# Derived Differential Geometry

Lecture 3 of 14:  $C^{\infty}$ -Algebraic Geometry

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Lecture 3:  $C^{\infty}$ -Algebraic Geometry



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1/48

### 3. $C^{\infty}$ -Algebraic Geometry

Our goal is to define the 2-category of d-manifolds **dMan**. First consider an algebro-geometric version of what we want to do. A good algebraic analogue of smooth manifolds are *complex algebraic manifolds*, that is, separated smooth  $\mathbb{C}$ -schemes S of pure dimension. These form a full subcategory **AlgMan**<sub> $\mathbb{C}$ </sub> in the category **Sch**<sub> $\mathbb{C}$ </sub> of  $\mathbb{C}$ -schemes, and can roughly be characterized as the (sufficiently nice) objects S in **Sch**<sub> $\mathbb{C}$ </sub> whose cotangent complex  $\mathbb{L}_S$  is a vector bundle (i.e. perfect in the interval [0,0]).

To make a derived version of this, we first define an  $\infty$ -category **DerSch**<sub>C</sub> of *derived*  $\mathbb{C}$ -schemes, and then define the  $\infty$ -category **DerAlgMan**<sub>C</sub> of *derived complex algebraic manifolds* to be the full  $\infty$ -subcategory of objects **S** in **DerSch**<sub>C</sub> which are *quasi-smooth* (have cotangent complex  $\mathbb{L}_S$  perfect in the interval [-1,0]), and satisfy some other niceness conditions (separated, etc.).

#### 3 / 48

Dominic Joyce, Oxford University Lecture 3:  $C^{\infty}$ -Algebraic Geometry

 $C^{\infty}$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds

 $C^{\infty}$ -rings Sheaves  $C^{\infty}$ -schemes

Thus, we have 'classical' categories  $AlgMan_{\mathbb{C}} \subset Sch_{\mathbb{C}}$ , and related 'derived'  $\infty$ -categories  $DerAlgMan_{\mathbb{C}} \subset DerSch_{\mathbb{C}}$ .

David Spivak, a student of Jacob Lurie, defined an  $\infty$ -category **DerMan**<sub>Spi</sub> of 'derived smooth manifolds' using a similar structure: he considered 'classical' categories **Man**  $\subset$  **C**<sup> $\infty$ </sup>**Sch** and related 'derived'  $\infty$ -categories **DerMan**<sub>Spi</sub>  $\subset$  **DerC** $^{\infty}$ **Sch**. Here **C** $^{\infty}$ **Sch** is  $C^{\infty}$ -schemes, and **DerC** $^{\infty}$ **Sch** derived  $C^{\infty}$ -schemes. That is, before we can 'derive', we must first embed **Man** into a larger category of  $C^{\infty}$ -schemes, singular generalizations of manifolds. Our set-up is a simplification of Spivak's. I consider 'classical' categories **Man**  $\subset$  **C** $^{\infty}$ **Sch** and related 'derived' 2-categories **dMan**  $\subset$  **dSpa**, where **dMan** is *d*-manifolds, and **dSpa** *d*-spaces. Here **dMan**, **dSpa** are roughly 2-category truncations of Spivak's **DerMan**, **DerC** $^{\infty}$ **Sch** — see Borisov arXiv:1212.1153. This lecture will introduce classical  $C^{\infty}$ -schemes.

# 3.1. $C^{\infty}$ -rings

Algebraic geometry (based on algebra and polynomials) has excellent tools for studying singular spaces – the theory of schemes. In contrast, conventional differential geometry (based on smooth real functions and calculus) deals well with nonsingular spaces – manifolds – but poorly with singular spaces.

There is a little-known theory of schemes in differential geometry,  $C^{\infty}$ -schemes, going back to Lawvere, Dubuc, Moerdijk and Reyes, ... in synthetic differential geometry in the 1960s-1980s.

 $C^{\infty}$ -schemes are essentially *algebraic* objects, on which smooth real functions and calculus make sense.

The theory works by replacing commutative rings or  $\mathbb{K}$ -algebras in algebraic geometry by algebraic objects called  $C^{\infty}$ -rings.

#### 5 / 48

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 $C^{\infty}$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds  $C^{\infty}$ -rings Sheaves  $C^{\infty}$ -schemes

#### Definition 3.1 (First definition of $C^{\infty}$ -ring)

A  $C^{\infty}$ -ring is a set  $\mathfrak{C}$  together with *n*-fold operations  $\Phi_f : \mathfrak{C}^n \to \mathfrak{C}$ for all smooth maps  $f : \mathbb{R}^n \to \mathbb{R}$ ,  $n \ge 0$ , satisfying: Let  $m, n \ge 0$ , and  $f_i : \mathbb{R}^n \to \mathbb{R}$  for  $i = 1, \ldots, m$  and  $g : \mathbb{R}^m \to \mathbb{R}$ be smooth functions. Define  $h : \mathbb{R}^n \to \mathbb{R}$  by  $h(x_1, \ldots, x_n) = g(f_1(x_1, \ldots, x_n), \ldots, f_m(x_1 \ldots, x_n)),$ for  $(x_1, \ldots, x_n) \in \mathbb{R}^n$ . Then for all  $c_1, \ldots, c_n$  in  $\mathfrak{C}$  we have  $\Phi_h(c_1, \ldots, c_n) = \Phi_g(\Phi_{f_1}(c_1, \ldots, c_n), \ldots, \Phi_{f_m}(c_1, \ldots, c_n)).$ Also defining  $\pi_j : (x_1, \ldots, x_n) \mapsto x_j$  for  $j = 1, \ldots, n$  we have  $\Phi_{\pi_j} : (c_1, \ldots, c_n) \mapsto c_j.$ A morphism of  $C^{\infty}$ -rings is a map of sets  $\phi : \mathfrak{C} \to \mathfrak{D}$  with  $\Phi_f \circ \phi^n = \phi \circ \Phi_f : \mathfrak{C}^n \to \mathfrak{D}$  for all smooth  $f : \mathbb{R}^n \to \mathbb{R}$ . Write  $\mathbf{C}^{\infty}$ **Rings** for the category of  $C^{\infty}$ -rings.

### Definition 3.2 (Second definition of $C^{\infty}$ -ring)

Write **Euc** for the full subcategory of manifolds **Man** with objects  $\mathbb{R}^n$  for n = 0, 1, ... That is, **Euc** is the category with objects Euclidean spaces  $\mathbb{R}^n$ , and morphisms smooth maps  $f : \mathbb{R}^m \to \mathbb{R}^n$ . A  $C^{\infty}$ -ring is a product-preserving functor F : **Euc**  $\to$  **Sets**. That is, F is a functor with functorial identifications  $F(\mathbb{R}^{m+n}) = F(\mathbb{R}^m \times \mathbb{R}^n) \cong F(\mathbb{R}^m) \times F(\mathbb{R}^n)$  for all  $m, n \ge 0$ . A morphism  $\phi : F \to G$  of  $C^{\infty}$ -rings F, G is a natural transformation of functors  $\phi : F \Rightarrow G$ .

Definitions 3.1 and 3.2 are equivalent as follows. Given  $F : \mathbf{Euc} \to \mathbf{Sets}$  as above, define a set  $\mathfrak{C} = F(\mathbb{R})$ . As F is product-preserving,  $F(\mathbb{R}^n) \cong F(\mathbb{R})^n = \mathfrak{C}^n$  for all  $n \ge 0$ . If  $f : \mathbb{R}^n \to \mathbb{R}$  is smooth then  $F(f) : F(\mathbb{R}^n) \to F(\mathbb{R})$  is identified with a map  $\Phi_f : \mathfrak{C}^n \to \mathfrak{C}$ . Then  $(\mathfrak{C}, \Phi_{f, f:\mathbb{R}^n \to \mathbb{R} C^\infty})$  is a  $C^\infty$ -ring as in Definition 3.1. Conversely, given  $\mathfrak{C}$  we define F with  $F(\mathbb{R}^n) = \mathfrak{C}^n$ .

#### 7 / 48

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 $C^{\infty}$ -rings Sheaves  $C^{\infty}$ -schemes

# Manifolds as $C^{\infty}$ -rings

Let X be a manifold, and write  $\mathfrak{C} = C^{\infty}(X)$  for the set of smooth functions  $c : X \to \mathbb{R}$ . Let  $f : \mathbb{R}^n \to \mathbb{R}$  be smooth. Define  $\Phi_f : C^{\infty}(X)^n \to C^{\infty}(X)$  by  $\Phi_f(c_1, \ldots, c_n)(x) = f(c_1(x), \ldots, c_n(x))$ for  $x \in X$ . These make  $C^{\infty}(X)$  into a  $C^{\infty}$ -ring as in Definition 3.1. Define  $F : \operatorname{Euc} \to \operatorname{Sets}$  by  $F(\mathbb{R}^n) = \operatorname{Hom}_{\operatorname{Man}}(X, \mathbb{R}^n)$  and  $F(f) = f \circ : \operatorname{Hom}_{\operatorname{Man}}(X, \mathbb{R}^m) \to \operatorname{Hom}_{\operatorname{Man}}(X, \mathbb{R}^n)$  for  $f : \mathbb{R}^m \to \mathbb{R}^n$ smooth. Then F is a  $C^{\infty}$ -ring as in Definition 3.2. If  $f : X \to Y$  is smooth map of manifolds then  $f^* : C^{\infty}(Y) \to C^{\infty}(X)$  is a morphism of  $C^{\infty}$ -rings; conversely, if  $\phi : C^{\infty}(Y) \to C^{\infty}(X)$  is a morphism of  $C^{\infty}$ -rings then  $\phi = f^*$  for some unique smooth  $f : X \to Y$ . This gives a *full and faithful functor*  $F : \operatorname{Man} \to \operatorname{C}^{\infty}\operatorname{Rings}^{\operatorname{op}}$  by  $F : X \mapsto C^{\infty}(X)$ ,  $F : f \mapsto f^*$ . Thus, we can think of manifolds as examples of  $C^{\infty}$ -rings. But there are many more  $C^{\infty}$ -rings than manifolds. For example,  $C^0(X)$  is a  $C^{\infty}$ -ring for any topological space X.

# $C^{\infty}$ -rings as $\mathbb{R}$ -algebras, ideals, and quotient $C^{\infty}$ -rings

Every  $C^{\infty}$ -ring  $\mathfrak{C}$  is a commutative  $\mathbb{R}$ -algebra, where addition is  $c + d = \Phi_f(c, d)$  for  $f : \mathbb{R}^2 \to \mathbb{R}$ , f(x, y) = x + y, and multiplication is  $c \cdot d = \Phi_g(c, d)$  for  $g : \mathbb{R}^2 \to \mathbb{R}$ , g(x, y) = xy, multiplication by  $\alpha \in \mathbb{R}$  is  $\alpha c = \Phi_h(c)$  for  $h : \mathbb{R} \to \mathbb{R}$ ,  $h(x) = \alpha x$ . An ideal  $I \subseteq \mathfrak{C}$  in a  $C^{\infty}$ -ring  $\mathfrak{C}$  is an ideal in  $\mathfrak{C}$  as an  $\mathbb{R}$ -algebra. Then the quotient vector space  $\mathfrak{C}/I$  is a commutative  $\mathbb{R}$ -algebra.

Proposition 3.3

If  $\mathfrak{C}$  is a  $C^{\infty}$ -ring and  $I \subseteq \mathfrak{C}$  an ideal, then there is a unique  $C^{\infty}$ -ring structure on  $\mathfrak{C}/I$  such that the projection  $\pi : \mathfrak{C} \to \mathfrak{C}/I$  is a morphism of  $C^{\infty}$ -rings.

#### Definition

A  $C^{\infty}$ -ring  $\mathfrak{C}$  is called *finitely generated* if  $\mathfrak{C} \cong C^{\infty}(\mathbb{R}^n)/I$  for some ideal  $I \subseteq C^{\infty}(\mathbb{R}^n)$ .

9 / 48

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 $C^{\infty}$ -rings Sheaves  $C^{\infty}$ -schemes

### Proof of Proposition 3.3

Let  $f : \mathbb{R}^n \to \mathbb{R}$  be smooth, and  $c_1 + I, \ldots, c_n + I \in \mathfrak{C}/I$ . For  $\pi : \mathfrak{C} \to \mathfrak{C}/I$  to be a morphism of  $C^{\infty}$ -rings, we are forced to set

$$\Phi_f(c_1+I,\ldots,c_n+I)=\Phi_f(c_1,\ldots,c_n)+I,$$

which determines the  $C^{\infty}$ -ring structure on  $\mathfrak{C}/I$  completely. The only thing to prove is that this is well-defined. That is, if  $c'_1, \ldots, c'_n \in \mathfrak{C}$  with  $c_i - c'_i \in I$ , so that  $c_1 + I = c'_1 + I, \ldots, c_n + I = c'_n + I$ , we must show that

$$\Phi_f(c_1,\ldots,c_n)-\Phi_f(c'_1,\ldots,c'_n)\in I.$$

# Proof of Proposition 3.3

### Lemma 3.4 (Hadamard's Lemma)

Suppose  $f : \mathbb{R}^n \to \mathbb{R}$  is smooth. Then there exist smooth  $g_i : \mathbb{R}^{2n} \to \mathbb{R}$  for i = 1, ..., n such that for all  $x_j, y_j$  we have

$$f(x_1,...,x_n)-f(y_1,...,y_n) = \sum_{i=1}^n g_i(x_1,...,x_n,y_1,...,y_n)\cdot(x_i-y_i).$$

Note that  $g_i(x_1, \ldots, x_n, x_1, \ldots, x_n) = \frac{\partial f}{\partial x_i}(x_1, \ldots, x_n)$ , so Hadamard's Lemma gives an algebraic interpretation of partial derivatives. The definition of  $C^{\infty}$ -ring implies that

$$\Phi_f(c_1,\ldots,c_n)-\Phi_f(c'_1,\ldots,c'_n)=\sum_{i=1}^n\Phi_{g_i}(c_1,\ldots,c_n,c'_1,\ldots,c'_n)\cdot(c_i-c'_i),$$

which lies in I as  $c_i - c'_i \in I$ , as we have to prove.

 $11 \, / \, 48$ 

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 $C^{\infty}$ -rings Sheaves  $C^{\infty}$ -schemes

Example 3.5 (Finitely presented  $C^{\infty}$ -rings. Compare Example 1.1.)

Suppose  $p_1, \ldots, p_k : \mathbb{R}^n \to \mathbb{R}$  are smooth functions. Then  $C^{\infty}(\mathbb{R}^n)$  is a  $C^{\infty}$ -ring, and so an  $\mathbb{R}$ -algebra. Write  $I = (p_1, \ldots, p_k)$  for the ideal in  $C^{\infty}(\mathbb{R}^n)$  generated by  $p_1, \ldots, p_k$ . Then  $C^{\infty}(\mathbb{R}^n)/(p_1, \ldots, p_k)$  is a  $C^{\infty}$ -ring, by Proposition 3.3. We think of it as the  $C^{\infty}$ -ring of functions on the smooth space  $X = \{(x_1, \ldots, x_n) \in \mathbb{R}^n : p_i(x_1, \ldots, x_n) = 0, i = 1, \ldots, k\}$ . Note that X may be singular.

#### Example 3.6

Let  $I \subset C^{\infty}(\mathbb{R})$  be the ideal of all smooth  $f : \mathbb{R} \to \mathbb{R}$  with f(x) = 0 for all  $x \ge 0$ . Then I is *not finitely generated*, so  $C^{\infty}(\mathbb{R})$  is *not noetherian* as an  $\mathbb{R}$ -algebra. This is one way in which  $C^{\infty}$ -algebraic geometry behaves worse than ordinary algebraic geometry. We think of  $C^{\infty}(\mathbb{R})/I$  as the  $C^{\infty}$ -ring of smooth functions  $f : [0, \infty) \to \mathbb{R}$ .

#### Definition

A  $C^{\infty}$ -ring  $\mathfrak{C}$  is a  $C^{\infty}$ -local ring if as an  $\mathbb{R}$ -algebra,  $\mathfrak{C}$  has a unique maximal ideal  $\mathfrak{m}$ , with  $\mathfrak{C}/\mathfrak{m} \cong \mathbb{R}$ .

#### Example 3.7

Let X be a manifold, and  $x \in X$ . Write  $C_x^{\infty}(X)$  for the  $C^{\infty}$ -ring of germs of smooth functions  $f : X \to \mathbb{R}$  at x. That is, elements of  $C_x^{\infty}(X)$  are  $\sim$ -equivalence classes [U, f] of pairs (U, f), where  $x \in U \subseteq X$  is open and  $f : U \to \mathbb{R}$  is smooth, and  $(U, f) \sim (U', f')$  if there exists open  $x \in U'' \subseteq U \cap U'$  with  $f|_{U''} = f'|_{U''}$ . Then  $C_x^{\infty}(X)$  is a  $C^{\infty}$ -local ring.

### Definition

An ideal  $I \subseteq C^{\infty}(\mathbb{R}^n)$  is called *fair* if for  $f \in C^{\infty}(\mathbb{R}^n)$ ,  $\pi_x(f) \in \pi_x(I)$  for all  $x \in \mathbb{R}^n$  implies that  $f \in I$ , where  $\pi_x : C^{\infty}(\mathbb{R}^n) \to C_x^{\infty}(\mathbb{R}^n)$  is the projection. A  $C^{\infty}$ -ring  $\mathfrak{C}$  is called *fair* if  $\mathfrak{C} \cong C^{\infty}(\mathbb{R}^n)/I$  for  $I \subseteq C^{\infty}(\mathbb{R}^n)$  a fair ideal.

13	/	48
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Modules over  $C^{\infty}$ -rings

### Definition

Let  $\mathfrak{C}$  be a  $C^{\infty}$ -ring. A *module* over  $\mathfrak{C}$  is a module over  $\mathfrak{C}$  as an  $\mathbb{R}$ -algebra.

You might expect that the definition of module should involve the operations  $\Phi_f$  as well as the  $\mathbb{R}$ -algebra structure, but it does not.

### Example 3.8

Let X be a manifold, and  $E \to X$  a vector bundle. Then  $C^{\infty}(X)$  is a  $C^{\infty}$ -ring, and the vector space  $C^{\infty}(E)$  of smooth sections of E is a module over  $C^{\infty}(X)$ .

# Cotangent modules

### Definition

Let  $\mathfrak{C}$  be a  $C^{\infty}$ -ring, and M a  $\mathfrak{C}$ -module. A  $C^{\infty}$ -derivation is an  $\mathbb{R}$ -linear map  $d : \mathfrak{C} \to M$  such that whenever  $f : \mathbb{R}^n \to \mathbb{R}$  is a smooth map and  $c_1, \ldots, c_n \in \mathfrak{C}$ , we have

$$\mathrm{d}\Phi_f(c_1,\ldots,c_n)=\sum_{i=1}^n\Phi_{\frac{\partial f}{\partial x_i}}(c_1,\ldots,c_n)\cdot\mathrm{d}c_i.$$

Note that d is *not* a morphism of  $\mathfrak{C}$ -modules. We call such a pair  $\Omega_{\mathfrak{C}}, d_{\mathfrak{C}}$  a *cotangent module* for  $\mathfrak{C}$  if it has the universal property that for any  $\mathfrak{C}$ -module M and  $C^{\infty}$ -derivation  $d : \mathfrak{C} \to M$ , there is a unique morphism of  $\mathfrak{C}$ -modules  $\phi : \Omega_{\mathfrak{C}} \to M$  with  $d = \phi \circ d_{\mathfrak{C}}$ .

Every  $C^{\infty}$ -ring has a cotangent module, unique up to isomorphism.

#### Example 3.9

Let X be a manifold, with cotangent bundle  $T^*X$ . Then  $C^{\infty}(T^*X)$  is a cotangent module for the  $C^{\infty}$ -ring  $C^{\infty}(X)$ .



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Sheaves  $C^{\infty}$ -schemes

# 3.2. Sheaves

Sheaves are a central idea in algebraic geometry.

### Definition

Let X be a topological space. A presheaf of sets  $\mathcal{E}$  on X consists of a set  $\mathcal{E}(U)$  for each open  $U \subseteq X$ , and a restriction map  $\rho_{UV} : \mathcal{E}(U) \to \mathcal{E}(V)$  for all open  $V \subseteq U \subseteq X$ , such that: (i)  $\mathcal{E}(\emptyset) = *$  is one point; (ii)  $\rho_{UU} = \operatorname{id}_{\mathcal{E}(U)}$  for all open  $U \subseteq X$ ; and (iii)  $\rho_{UW} = \rho_{VW} \circ \rho_{UV}$  for all open  $W \subseteq V \subseteq U \subseteq X$ . We call  $\mathcal{E}$  a sheaf if also whenever  $U \subseteq X$  is open and  $\{V_i : i \in I\}$ is an open cover of U, then: (iv) If  $s, t \in \mathcal{E}(U)$  with  $\rho_{UV_i}(s) = \rho_{UV_i}(t)$  for all  $i \in I$ , then s = t; (v) If  $s_i \in \mathcal{E}(V_i)$  for all  $i \in I$  with  $\rho_{V_i(V_i \cap V_j)}(s_i) = \rho_{V_j(V_i \cap V_j)}(s_j)$  in  $\mathcal{E}(V_i \cap V_j)$  for all  $i, j \in I$ , then there exists  $s \in \mathcal{E}(U)$  with  $\rho_{UV_i}(s) = s_i$  for all  $i \in I$ . This s is unique by (iv).

#### Definition

Let  $\mathcal{E}, \mathcal{F}$  be (pre)sheaves on X. A morphism  $\phi : \mathcal{E} \to \mathcal{F}$  consists of a map  $\phi(U) : \mathcal{E}(U) \to \mathcal{F}(U)$  for all open  $U \subseteq X$ , such that  $\rho_{UV} \circ \phi(U) = \phi(V) \circ \rho_{UV} : \mathcal{E}(U) \to \mathcal{F}(V)$  for all open  $V \subseteq U \subseteq X$ . Then sheaves form a category.

If C is any category in which direct limits exist, such as the categories of sets, rings, vector spaces,  $C^{\infty}$ -rings, ..., then we can define (pre)sheaves  $\mathcal{E}$  of objects in C on X in the obvious way, and morphisms  $\phi : \mathcal{E} \to \mathcal{F}$  by taking  $\mathcal{E}(U)$  to be an object in C, and  $\rho_{UV} : \mathcal{E}(U) \to \mathcal{E}(V), \phi(U) : \mathcal{E}(U) \to \mathcal{F}(U)$  to be morphisms in C, and  $\mathcal{E}(\emptyset)$  to be a terminal object in C (e.g. the zero ring). So in particular, we can define *sheaves of*  $C^{\infty}$ -rings on X. Almost any class of functions on X, or sections of a bundle on X, will form a sheaf on X. To be a sheaf means to be 'local on X', determined by its behaviour on any cover of small open sets.

17 / 48

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 $C^{\infty}$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds

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### Stalks of sheaves

#### Definition

Let X be a topological space, and  $\mathcal{E}$  a (pre)sheaf of sets (or  $C^{\infty}$ -rings, etc.) on X, and  $x \in X$ . The stalk  $\mathcal{E}_x$  of  $\mathcal{E}$  at x is  $\mathcal{E}_x = \varinjlim_{x \in U \subseteq X} \mathcal{E}(U)$ ,

where the direct limit (as a set, or  $C^{\infty}$ -ring, etc.) is over all open  $U \subseteq X$  with  $x \in U$  using  $\rho_{UV} : \mathcal{E}(U) \to \mathcal{E}(V)$  for open  $x \in V \subseteq U \subseteq X$ . That is, for all open  $x \in U \subseteq X$  we have a morphism  $\pi_x : \mathcal{E}(U) \to \mathcal{E}_x$ , such that for all  $x \in V \subseteq U \subseteq X$  we have  $\pi_x = \pi_x \circ \rho_{UV}$ , and  $\mathcal{E}_x$  is universal with this property.

#### Example 3.10

Let X be a manifold. Define a sheaf of  $C^{\infty}$ -rings  $\mathcal{O}_X$  on X by  $\mathcal{O}_X(U) = C^{\infty}(U)$  for all open  $U \subseteq X$ , as a  $C^{\infty}$ -ring, and  $\rho_{UV} : C^{\infty}(U) \to C^{\infty}(V)$ ,  $\rho_{UV} : f \mapsto f|_V$  for all open  $V \subseteq U \subseteq X$ . The stalk  $\mathcal{O}_{X,x}$  at  $x \in X$  is  $C_x^{\infty}(X)$  from Example 3.7.

# Sheafification and pullbacks

### Definition

Let X be a topological space and  $\mathcal{E}$  a presheaf (of sets,  $C^{\infty}$ -rings, etc.) on X. A *sheafification* of  $\mathcal{E}$  is a sheaf  $\mathcal{E}'$  and a morphism of presheaves  $\pi : \mathcal{E} \to \mathcal{E}'$ , with the universal property that any morphism  $\phi : \mathcal{E} \to \mathcal{F}$  with  $\mathcal{F}$  a sheaf factorizes uniquely as  $\phi = \phi' \circ \pi$  for  $\phi' : \mathcal{E}' \to \mathcal{F}$ .

Any presheaf  $\mathcal{E}$  has a sheafification  $\mathcal{E}'$ , unique up to canonical isomorphism, and the stalks satisfy  $\mathcal{E}_{\times} \cong \mathcal{E}'_{\times}$ .

### Definition

Let  $f : X \to Y$  be a continuous map of topological spaces, and  $\mathcal{E}$  a sheaf on Y. Define a presheaf  $\mathcal{P}f^{-1}(\mathcal{E})$  on X by  $\mathcal{P}f^{-1}(\mathcal{E}) = \lim_{V \supseteq f(U)} \mathcal{E}(V),$ 

where the direct limit is over open  $V \subseteq Y$  with  $f(U) \subseteq V$ . Define the *pullback sheaf*  $f^{-1}(\mathcal{E})$  to be the sheafification of  $\mathcal{P}f^{-1}(\mathcal{E})$ .



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 $C^{\infty}$ -schemes

### 3.3. $C^{\infty}$ -schemes

We can now define  $C^{\infty}$ -schemes almost exactly as for schemes in algebraic geometry, but replacing rings or  $\mathbb{K}$ -algebras by  $C^{\infty}$ -rings.

#### Definition

A  $C^{\infty}$ -ringed space  $\underline{X} = (X, \mathcal{O}_X)$  is a topological space X with a sheaf of  $C^{\infty}$ -rings  $\mathcal{O}_X$ . It is called a *local*  $C^{\infty}$ -ringed space if the stalks  $\mathcal{O}_{X,x}$  are  $C^{\infty}$ -local rings for all  $x \in X$ . A morphism  $\underline{f} : \underline{X} \to \underline{Y}$  of  $C^{\infty}$ -ringed spaces is  $\underline{f} = (f, f^{\sharp})$ , where  $f : X \to Y$  is a continuous map of topological spaces, and  $f^{\sharp} : f^{-1}(\mathcal{O}_Y) \to \mathcal{O}_X$  is a morphism of sheaves of  $C^{\infty}$ -rings on X. Write  $\mathbf{C}^{\infty}\mathbf{RS}$  for the category of  $C^{\infty}$ -ringed spaces, and  $\mathbf{L}\mathbf{C}^{\infty}\mathbf{RS}$ for the full subcategory of local  $C^{\infty}$ -ringed spaces.

#### Definition

The global sections functor  $\Gamma : LC^{\infty}RS \to C^{\infty}Rings^{\operatorname{op}}$  maps  $\Gamma : (X, \mathcal{O}_X) \mapsto \mathcal{O}_X(X)$ . It has a right adjoint, the spectrum functor Spec :  $C^{\infty}Rings^{\operatorname{op}} \to LC^{\infty}RS$ . That is, for each  $C^{\infty}$ -ring  $\mathfrak{C}$  we construct a local  $C^{\infty}$ -ringed space  $X = \operatorname{Spec} \mathfrak{C}$ . Points  $x \in X$  are  $\mathbb{R}$ -algebra morphisms  $x : \mathfrak{C} \to \mathbb{R}$  (this implies x is a  $C^{\infty}$ -ring morphism). Then each  $c \in \mathfrak{C}$  defines a map  $c : X \to \mathbb{R}$ . We give X the weakest topology such that these  $c : X \to \mathbb{R}$  are continuous for all  $c \in \mathfrak{C}$ . We don't use prime ideals.

In algebraic geometry, Spec : **Rings**<sup>op</sup>  $\rightarrow$  **LRS** is full and faithful. In  $C^{\infty}$ -algebraic geometry, it is full but not faithful, that is, Spec forgets some information, as we don't use prime ideals. But on the subcategory **C**<sup> $\infty$ </sup>**Rings**<sup>fa</sup> of *fair C*<sup> $\infty$ </sup>-rings, Spec is full and faithful.

#### 21/48

Dominic Joyce, Oxford University Lecture 3:  $C^{\infty}$ -Algebraic Geometry

 $C^{\infty}$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds  $C^{\infty}$ -rings Sheaves  $C^{\infty}$ -schemes

#### Definition

A local  $C^{\infty}$ -ringed space  $\underline{X}$  is called an *affine*  $C^{\infty}$ -scheme if  $\underline{X} \cong \operatorname{Spec} \mathfrak{C}$  for some  $C^{\infty}$ -ring  $\mathfrak{C}$ . We call  $\underline{X}$  a  $C^{\infty}$ -scheme if Xcan be covered by open subsets U with  $(U, \mathcal{O}_X|_U)$  an affine  $C^{\infty}$ -scheme. Write  $\mathbf{C}^{\infty}$ Sch for the full subcategory of  $C^{\infty}$ -schemes in  $\mathbf{LC}^{\infty}$ RS.

If X is a manifold, define a  $C^{\infty}$ -scheme  $\underline{X} = (X, \mathcal{O}_X)$  by  $\mathcal{O}_X(U) = C^{\infty}(U)$  for all open  $U \subseteq X$ . Then  $\underline{X} \cong \operatorname{Spec} C^{\infty}(X)$ . This defines a full and faithful embedding **Man**  $\hookrightarrow \mathbf{C}^{\infty}$ **Sch**. So we can regard manifolds as examples of  $C^{\infty}$ -schemes. Think of a  $C^{\infty}$ -ringed space  $\underline{X}$  as a topological space X with a notion of 'smooth function'  $f: U \to \mathbb{R}$  for open  $U \subseteq X$ , i.e.  $f \in \mathcal{O}_X(U)$ . If  $\underline{X}$  is a local  $C^{\infty}$ -ringed space then the notion of 'value of f in  $\mathbb{R}$  at a point  $x \in U$ ' makes sense, since we can compose the maps  $f \in \mathcal{O}_X(U) \xrightarrow{\pi_X} \mathcal{O}_{X,x} \to \mathcal{O}_{X,x}/\mathfrak{m} \cong \mathbb{R}$ . If  $\underline{X}$  is a  $C^{\infty}$ -scheme, then for small open  $U \subseteq X$  we can locally reconstruct the sheaf  $\mathcal{O}_X|_U$  from the  $C^{\infty}$ -ring  $\mathcal{O}_X(U)$ . All *fibre products* exist in  $\mathbb{C}^{\infty}$ Sch. In manifolds Man, fibre products  $X \times_{g,Z,h} Y$  need exist only if  $g: X \to Z$  and  $h: Y \to Z$  are transverse. When g, h are not transverse, the fibre product  $X \times_{g,Z,h} Y$  exists in  $\mathbb{C}^{\infty}$ Sch, but may not be a manifold. We also define *vector bundles* and *quasicoherent sheaves* on a  $C^{\infty}$ -scheme  $\underline{X}$ , as sheaves of  $\mathcal{O}_X$ -modules, and write qcoh( $\underline{X}$ ) for the abelian category of quasicoherent sheaves. A  $C^{\infty}$ -scheme  $\underline{X}$  has a well-behaved *cotangent sheaf*  $T^*X$ .

Dominic Joyce, Oxford University Lecture 3:  $C^{\infty}$ -Algebraic Geometry

 $\mathcal{C}^\infty ext{-Algebraic Geometry}$  2-categories, d-spaces, and d-manifolds

 $C^{\infty}$ -rings Sheaves  $C^{\infty}$ -schemes

# Differences with ordinary Algebraic Geometry

- The topology on C<sup>∞</sup>-schemes is finer than the Zariski topology on schemes – affine schemes are always Hausdorff. No need to introduce the étale topology.
- Can find smooth functions supported on (almost) any open set.
- (Almost) any open cover has a subordinate partition of unity.
- Our C<sup>∞</sup>-rings 𝔅 are generally not noetherian as ℝ-algebras. So ideals I in 𝔅 may not be finitely generated, even in C<sup>∞</sup>(ℝ<sup>n</sup>). This means there is not a well-behaved notion of coherent sheaf.

# Derived Differential Geometry

Lecture 4 of 14: 2-categories, d-spaces, and d-manifolds

Dominic Joyce, Oxford University Summer 2015

These slides, and references, etc., available at http://people.maths.ox.ac.uk/~joyce/DDG2015



 $C^{\infty}$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds 2-categories Differential graded  $C^{\infty}$ -ring D-spaces D-manifolds

### 4. 2-categories, d-spaces, and d-manifolds

Our goal is to define the 2-category of d-manifolds **dMan**. To do this we will define a 2-category **dSpa** of 'd-spaces', a kind of derived  $C^{\infty}$ -scheme, and then define d-manifolds **dMan**  $\subset$  **dSpa** to be a special kind of d-space, just as manifolds **Man**  $\subset$  **C**<sup> $\infty$ </sup>**Sch** are a special kind of  $C^{\infty}$ -scheme.

First we introduce 2-*categories*. There are two kinds, strict 2-categories and weak 2-categories. We will meet both, as d-manifolds and d-orbifolds **dMan**, **dOrb** are strict 2-categories, but Kuranishi spaces **Kur** are a weak 2-category. Every weak 2-category C is equivalent as a weak 2-category to a strict 2-category C' (weak 2-categories can be 'strictified'), so there is no fundamental difference, but weak 2-categories have more notation.

#### 27 / 48

Dominic Joyce, Oxford University Lecture 4: 2-categories, d-spaces, and d-manifolds

 $C^{\infty}$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds 2-categories Differential graded  $C^{\infty}$ -rings D-spaces D-manifolds

### 4.1. 2-categories

A 2-category C has objects  $X, Y, \ldots, 1$ -morphisms  $f, g : X \to Y$  (morphisms), and 2-morphisms  $\eta : f \Rightarrow g$  (morphisms between morphisms). Here are some examples to bear in mind:

#### Example 4.1

(a) The strict 2-category  $\mathfrak{Cat}$  has objects categories  $\mathcal{C}, \mathscr{D}, \ldots$ , 1-morphisms functors  $F, G : \mathcal{C} \to \mathscr{D}$ , and 2-morphisms natural transformations  $\eta : F \Rightarrow G$ .

(b) The strict 2-category **Top**<sup>ho</sup> of *topological spaces up to homotopy* has objects topological spaces X, Y, ..., 1-morphisms continuous maps  $f, g : X \to Y$ , and 2-morphisms isotopy classes  $[H] : f \Rightarrow g$  of homotopies H from f to g. That is,  $H : X \times [0,1] \to Y$  is continuous with H(x,0) = f(x), H(x,1) = g(x), and  $H, H' : X \times [0,1] \to Y$  are isotopic if there exists continuous  $I : X \times [0,1]^2 \to Y$  with I(x,s,0) = H(x,s), I(s,x,1) = H'(x,s), I(x,0,t) = f(x), I(x,1,t) = g(x).

### Definition

A (strict) 2-category C consists of a proper class of objects  $\operatorname{Obj}(\mathcal{C})$ , for all  $X, Y \in \operatorname{Obj}(\mathcal{C})$  a category  $\operatorname{Hom}(X, Y)$ , for all X in  $Ob_i(\mathcal{C})$  an object  $id_X$  in Hom(X, X) called the *identity* 1-morphism, and for all X, Y, Z in  $Obj(\mathcal{C})$  a functor  $\mu_{X,Y,Z}$ : Hom(X,Y) × Hom(Y,Z)  $\rightarrow$  Hom(X,Z). These must satisfy the *identity property*, that  $\mu_{X,X,Y}(\mathrm{id}_X,-) = \mu_{X,Y,Y}(-,\mathrm{id}_Y) = \mathrm{id}_{\mathrm{Hom}(X,Y)}$ (4.1)as functors  $\operatorname{Hom}(X, Y) \to \operatorname{Hom}(X, Y)$ , and the associativity property, that  $\mu_{W,Y,Z} \circ (\mu_{W,X,Y} \times \mathrm{id}) = \mu_{W,X,Z} \circ (\mathrm{id} \times \mu_{X,Y,Z})$ (4.2)as functors  $\operatorname{Hom}(W, X) \times \operatorname{Hom}(X, Y) \times \operatorname{Hom}(Y, Z) \to \operatorname{Hom}(W, X)$ . Objects f of Hom(X, Y) are called 1-morphisms, written  $f: X \to Y$ . For 1-morphisms  $f, g: X \to Y$ , morphisms  $\eta \in \operatorname{Hom}_{\operatorname{Hom}(X,Y)}(f,g)$  are called 2-*morphisms*, written  $\eta : f \Rightarrow g$ .



There are three kinds of composition in a 2-category, satisfying various associativity relations. If  $f: X \to Y$  and  $g: Y \to Z$  are 1-morphisms then  $\mu_{X,Y,Z}(f,g)$  is the *horizontal composition of* 1-morphisms, written  $g \circ f: X \to Z$ . If  $f, g, h: X \to Y$  are 1-morphisms and  $\eta: f \Rightarrow g, \zeta: g \Rightarrow h$  are 2-morphisms then composition of  $\eta, \zeta$  in  $\operatorname{Hom}(X, Y)$  gives the *vertical composition of* 2-morphisms of  $\eta, \zeta$ , written  $\zeta \odot \eta: f \Rightarrow h$ , as a diagram

$$X \xrightarrow[h]{g} \downarrow \zeta \not \eta Y \longrightarrow X \xrightarrow[h]{f} Y. \quad (4.3)$$

And if  $f, \tilde{f}: X \to Y$  and  $g, \tilde{g}: Y \to Z$  are 1-morphisms and  $\eta: f \Rightarrow \tilde{f}, \zeta: g \Rightarrow \tilde{g}$  are 2-morphisms then  $\mu_{X,Y,Z}(\eta, \zeta)$  is the horizontal composition of 2-morphisms, written  $\zeta * \eta: g \circ f \Rightarrow \tilde{g} \circ \tilde{f}$ , as a diagram

There are also two kinds of identity: *identity* 1-morphisms  $id_X : X \to X$  and *identity* 2-morphisms  $id_f : f \Rightarrow f$ . A 2-morphism is a 2-*isomorphism* if it is invertible under vertical composition. A 2-category is called a (2,1)-*category* if all 2-morphisms are 2-isomorphisms. For example, stacks in algebraic geometry form a (2,1)-category.

In a 2-category  $\mathfrak{C}$ , there are three notions of when objects X, Y in  $\mathfrak{C}$  are 'the same': equality X = Y, and isomorphism, that is we have 1-morphisms  $f : X \to Y$ ,  $g : Y \to X$  with  $g \circ f = \operatorname{id}_X$  and  $f \circ g = \operatorname{id}_Y$ , and equivalence, that is we have 1-morphisms  $f : X \to Y, g : Y \to X$  and 2-isomorphisms  $\eta : g \circ f \Rightarrow \operatorname{id}_X$  and  $\zeta : f \circ g \Rightarrow \operatorname{id}_Y$ . Usually equivalence is the correct notion. Commutative diagrams in 2-categories should in general only commute up to (specified) 2-isomorphisms, rather than strictly. A simple example of a commutative diagram in a 2-category  $\mathfrak{C}$  is



which means that X, Y, Z are objects of  $\mathfrak{C}$ ,  $f : X \to Y$ ,  $g : Y \to Z$  and  $h : X \to Z$  are 1-morphisms in  $\mathfrak{C}$ , and  $\eta : g \circ f \Rightarrow h$  is a 2-isomorphism.  $C^{\infty}$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds 2-categories Differential graded  $C^{\infty}$ -ring D-spaces D-manifolds

Definition (Fibre products in 2-categories. Compare §2.3.)

Let  $\mathcal{C}$  be a strict 2-category and  $g: X \to Z$ ,  $h: Y \to Z$  be 1-morphisms in  $\mathcal{C}$ . A fibre product  $X \times_Z Y$  in  $\mathcal{C}$  is an object W, 1-morphisms  $\pi_X: W \to X$  and  $\pi_Y: W \to Y$  and a 2-isomorphism  $\eta: g \circ \pi_X \Rightarrow h \circ \pi_Y$  in  $\mathcal{C}$  with the following universal property: suppose  $\pi'_X: W' \to X$  and  $\pi'_Y: W' \to Y$  are 1-morphisms and  $\eta': g \circ \pi'_X \Rightarrow h \circ \pi'_Y$  is a 2-isomorphism in  $\mathcal{C}$ . Then there exists a 1-morphism  $b: W' \to W$  and 2-isomorphisms  $\zeta_X: \pi_X \circ b \Rightarrow \pi'_X$ ,  $\zeta_Y: \pi_Y \circ b \Rightarrow \pi'_Y$  such that the following diagram commutes:

Furthermore, if  $\tilde{b}, \tilde{\zeta}_X, \tilde{\zeta}_Y$  are alternative choices of  $b, \zeta_X, \zeta_Y$  then there should exist a unique 2-isomorphism  $\theta : \tilde{b} \Rightarrow b$  with  $\tilde{\zeta}_X = \zeta_X \odot (\operatorname{id}_{\pi_X} * \theta)$  and  $\tilde{\zeta}_Y = \zeta_Y \odot (\operatorname{id}_{\pi_Y} * \theta)$ .

If a fibre product  $X \times_Z Y$  exists, it is unique up to equivalence.

33 / 48

 $C^{\infty}$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds

Dominic Joyce, Oxford University

2-categories Differential graded  $C^{\infty}$ -rings D-spaces D-manifolds

Lecture 4: 2-categories, d-spaces, and d-manifolds

### Weak 2-categories

A weak 2-category, or bicategory, is like a strict 2-category, except that the equations of functors (4.1), (4.2) are required to hold not up to equality, but up to specified natural isomorphisms. That is, a weak 2-category  $\mathcal{C}$  consists of data  $\operatorname{Obj}(\mathcal{C})$ ,  $\operatorname{Hom}(X, Y)$ ,  $\mu_{X,Y,Z}$ ,  $\operatorname{id}_X$  as above, but in place of (4.1), a natural isomorphism

 $\alpha: \mu_{W,Y,Z} \circ (\mu_{W,X,Y} \times \mathrm{id}) \Longrightarrow \mu_{W,X,Z} \circ (\mathrm{id} \times \mu_{X,Y,Z}),$ and in place of (4.2), natural isomorphisms

 $\beta: \mu_{X,X,Y}(\mathrm{id}_X, -) \Longrightarrow \mathrm{id}, \quad \gamma: \mu_{X,Y,Y}(-, \mathrm{id}_Y) \Longrightarrow \mathrm{id},$ satisfying some identities. That is, composition of 1-morphisms is associative only up to specified 2-isomorphisms, so for 1-morphisms  $e: W \to X, f: X \to Y, g: Y \to Z$  we have a 2-isomorphism  $\alpha_{g,f,e}: (g \circ f) \circ e \Longrightarrow g \circ (f \circ e).$ 

Similarly identities  $id_X, id_Y$  work up to 2-isomorphism, so for each  $f: X \to Y$  we have 2-isomorphisms

$$\beta_f: f \circ \operatorname{id}_X \Longrightarrow f, \qquad \gamma_f: \operatorname{id}_Y \circ f \Longrightarrow f.$$

# 4.2. Differential graded $C^{\infty}$ -rings

As in §2, to define derived  $\mathbb{K}$ -schemes, we replaced commutative  $\mathbb{K}$ -algebras by commutative differential graded  $\mathbb{K}$ -algebras (or simplicial  $\mathbb{K}$ -algebras). So, to define derived  $C^{\infty}$ -schemes, we should replace  $C^{\infty}$ -rings by *differential graded*  $C^{\infty}$ -rings (or perhaps simplicial  $C^{\infty}$ -rings, as in Spivak and Borisov–Noël).

Definition

A differential graded  $C^{\infty}$ -ring (or dg  $C^{\infty}$ -ring)  $\mathfrak{C}^{\bullet} = (\mathfrak{C}^*, \mathrm{d})$  is a commutative differential graded  $\mathbb{R}$ -algebra  $(\mathfrak{C}^*, \mathrm{d})$  in degrees  $\leq 0$ , as in §2.2, together with the structure  $(\Phi_f)_{f:\mathbb{R}^n \to \mathbb{R}} C^{\infty}$  of a  $C^{\infty}$ -ring on  $\mathfrak{C}^0$ , such that the  $\mathbb{R}$ -algebra structures on  $\mathfrak{C}^0$  from the  $C^{\infty}$ -ring and the cdga over  $\mathbb{R}$  agree.

A morphism  $\phi : \mathfrak{C}^{\bullet} \to \mathfrak{D}^{\bullet}$  of dg  $C^{\infty}$ -rings is maps  $\phi^{k} : \mathfrak{C}^{k} \to \mathfrak{D}^{k}$ for all  $k \leq 0$ , such that  $(\phi^{k})_{k \leq 0}$  is a morphism of cdgas over  $\mathbb{R}$ , and  $\phi^{0} : \mathfrak{C}^{0} \to \mathfrak{D}^{0}$  is a morphism of  $C^{\infty}$ -rings.

35 / 48

Dominic Joyce, Oxford University Lecture 4: 2-categories, d-spaces, and d-manifolds

 $C^\infty$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds 2-categories Differential graded  $C^{\infty}$ -rings D-spaces D-manifolds

Then dg  $C^{\infty}$ -rings form an  $(\infty$ -)category **DGC**<sup> $\infty$ </sup>**Rings**. One could use dg  $C^{\infty}$ -rings to define 'derived  $C^{\infty}$ -schemes' and 'derived  $C^{\infty}$ -stacks' as functors  $F : \mathbf{DGC}^{\infty}\mathbf{Rings} \to \mathbf{SSets}$ . An alternative is to use *simplicial*  $C^{\infty}$ -*rings*  $\mathbf{SC}^{\infty}\mathbf{Rings}$ , as in Spivak 2008, Borisov–Noel 2011, and Borisov 2012.

### Example 4.2 (Kuranishi neighbourhoods. Compare Example 2.1.)

Let V be a smooth manifold, and  $E \to V$  a smooth real vector bundle of rank n, and  $s: V \to E$  a smooth section. Define a dg  $C^{\infty}$ -ring  $\mathfrak{C}^{\bullet}$  as follows: take  $\mathfrak{C}^{0} = C^{\infty}(V)$ , with its natural  $\mathbb{R}$ -algebra and  $C^{\infty}$ -ring structures. Set  $\mathfrak{C}^{k} = C^{\infty}(\Lambda^{-k}E^{*})$  for  $k = -1, -2, \ldots, -n$ , and  $\mathfrak{C}^{k} = 0$  for k < -n. The multiplication  $\mathfrak{C}^{k} \times \mathfrak{C}^{l} \to \mathfrak{C}^{k+l}$  are multiplication by functions in  $C^{\infty}(V)$  if k = 0or l = 0, and wedge product  $\wedge : \Lambda^{-k}E^{*} \times \Lambda^{-l}E^{*} \to \Lambda^{-k-l}E^{*}$  if k, l < 0. The differential  $d: \mathfrak{C}^{k} \to \mathfrak{C}^{k+1}$  is contraction with  $s, s \cdot : \Lambda^{-k}E^{*} \to \Lambda^{-k-1}E^{*}$ .

# Square zero dg $C^{\infty}$ -rings

We will use only a special class of dg  $C^{\infty}$ -rings called *square zero* dg  $C^{\infty}$ -rings, which form a 2-category **SZC<sup>\infty</sup>Rings**.

#### Definition

A dg  $C^{\infty}$ -ring  $\mathfrak{C}^{\bullet}$  is square zero if  $\mathfrak{C}^{i} = 0$  for i < -1 and  $\mathfrak{C}^{-1} \cdot d[\mathfrak{C}^{-1}] = 0$ . Then  $\mathfrak{C}$  is  $\mathfrak{C}^{-1} \xrightarrow{d} \mathfrak{C}^{0}$ , and  $d[\mathfrak{C}^{-1}]$  is a square zero ideal in the (ordinary)  $C^{\infty}$ -ring  $\mathfrak{C}^{0}$ , and  $\mathfrak{C}^{-1}$  is a module over the 'classical'  $C^{\infty}$ -ring  $H^{0}(\mathfrak{C}^{\bullet}) = \mathfrak{C}^{0}/d[\mathfrak{C}^{-1}]$ . A 1-morphism  $\alpha^{\bullet} : \mathfrak{C}^{\bullet} \to \mathfrak{D}^{\bullet}$  in SZC<sup> $\infty$ </sup> Rings is maps  $\alpha^{0} : \mathfrak{C}^{0} \to \mathfrak{D}^{0}, \alpha^{-1} : \mathfrak{C}^{-1} \to \mathfrak{D}^{-1}$  preserving all the structure. Then  $H^{0}(\alpha^{\bullet}) : H^{0}(\mathfrak{C}) \to H^{0}(\mathfrak{D})$  is a morphism of  $C^{\infty}$ -rings. For 1-morphisms  $\alpha^{\bullet}, \beta^{\bullet} : \mathfrak{C}^{\bullet} \to \mathfrak{D}^{\bullet}$  a 2-morphism  $\eta : \alpha^{\bullet} \Rightarrow \beta^{\bullet}$  is a linear  $\eta : \mathfrak{C}^{0} \to \mathfrak{D}^{-1}$  with  $\beta^{0} = \alpha^{0} + d \circ \eta$  and  $\beta^{-1} = \alpha^{-1} + \eta \circ d$ . There is an embedding of (2-)categories  $\mathbf{C}^{\infty}$  Rings  $\subset$  SZC<sup> $\infty$ </sup> Rings as the (2-)subcategory of  $\mathfrak{C}^{\bullet}$  with  $\mathfrak{C}^{-1} = 0$ .

37 / 48

Dominic Joyce, Oxford University Lecture 4: 2-categories, d-spaces, and d-manifolds

 $C^\infty$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds Differential graded  $C^{\infty}$ -rings D-spaces D-manifolds

There is a truncation functor  $T : \mathbf{DGC}^{\infty}\mathbf{Rings} \to \mathbf{SZC}^{\infty}\mathbf{Rings}$ , where if  $\mathfrak{C}^{\bullet}$  is a dg  $C^{\infty}$ -ring, then  $\mathfrak{D}^{\bullet} = T(\mathfrak{C}^{\bullet})$  is the square zero  $C^{\infty}$ -ring with

$$\mathfrak{D}^0 = \mathfrak{C}^0 / [\mathrm{d}\mathfrak{C}^{-1}]^2, \quad \mathfrak{D}^{-1} = \mathfrak{C}^{-1} / [\mathrm{d}\mathfrak{C}^{-2} + (\mathrm{d}\mathfrak{C}^{-1}) \cdot \mathfrak{C}^{-1})].$$

Applied to Example 4.2 this gives:

Example 4.3 (Kuranishi neighbourhoods. Compare Example 4.2.) Let V be a manifold,  $E \rightarrow V$  a vector bundle, and  $s: V \rightarrow E$  a

smooth section. Associate a square zero dg  $C^{\infty}$ -ring  $\mathfrak{C}^{-1} \xrightarrow{d} \mathfrak{C}^{0}$  to the 'Kuranishi neighbourhood' (V, E, s) by

$$\begin{split} \mathfrak{C}^0 &= C^\infty(V)/I_s^2, \qquad \mathfrak{C}^{-1} = C^\infty(E^*)/I_s \cdot C^\infty(E^*), \\ &\mathrm{d}(\epsilon + I_s \cdot C^\infty(E^*)) = \epsilon(s) + I_s^2, \end{split}$$

where  $I_s = C^{\infty}(E^*) \cdot s \subset C^{\infty}(V)$  is the ideal generated by s.

These will be the local models for d-manifolds.

### Cotangent complexes in the 2-category setting

Let  $\mathfrak{C}^{\bullet}$  be a square zero dg  $C^{\infty}$ -ring. Define the *cotangent complex*  $\mathbb{L}_{\mathfrak{C}}^{-1} \xrightarrow{\mathrm{d}_{\mathfrak{C}}} \mathbb{L}_{\mathfrak{C}}^{0}$  to be the 2-term complex of  $H^{0}(\mathfrak{C}^{\bullet})$ -modules  $\mathfrak{C}^{-1} \xrightarrow{\mathrm{d}_{\mathrm{DR}} \circ \mathrm{d}} \mathcal{D}_{\mathfrak{C}^{0}} \otimes_{\mathfrak{C}^{0}} H^{0}(\mathfrak{C}^{\bullet}),$ 

regarded as an element of the 2-category of 2-term complexes of  $H^0(\mathfrak{C}^{\bullet})$ -modules, with  $\Omega_{\mathfrak{C}^0}$  the cotangent module of the  $C^{\infty}$ -ring  $\mathfrak{C}^0$ , as in §3.1. Let  $\alpha^{\bullet}, \beta^{\bullet} : \mathfrak{C}^{\bullet} \to \mathfrak{D}^{\bullet}$  be 1-morphisms and  $\eta : \alpha^{\bullet} \Rightarrow \beta^{\bullet}$  a 2-morphism in **SZC**<sup> $\infty$ </sup>**Rings**. Then  $H^0(\alpha^{\bullet}) = H^0(\beta^{\bullet})$ , so we may regard  $\mathfrak{D}^{-1}$  as an  $H^0(\mathfrak{C}^{\bullet})$ -module. And  $\eta : \mathfrak{C}^0 \to \mathfrak{D}^{-1}$  is a derivation, so it factors through an  $H^0(\mathfrak{C}^{\bullet})$ -linear map  $\hat{\eta} : \Omega_{\mathfrak{C}^0} \otimes_{\mathfrak{C}^0} H^0(\mathfrak{C}^{\bullet}) \to \mathfrak{D}^{-1}$ . We have a diagram  $\mathbb{L}^{-1}_{\mathfrak{C}} \xrightarrow{\mathbb{L}^0_{\mathfrak{C}}} \mathbb{L}^0_{\mathfrak{C}} \downarrow \downarrow \mathbb{L}^0_{\beta}$  $\mathbb{L}^{-1}_{\mathfrak{D}} \xrightarrow{\mathbb{L}^0_{\mathfrak{C}}} \mathbb{L}^0_{\mathfrak{D}}$ .

So 1-morphisms induce morphisms, and 2-morphisms homotopies, of cotangent complexes.

39 / 48

Dominic Joyce, Oxford University Lecture 4: 2-categories, d-spaces, and d-manifolds

 $C^{\infty}$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds 2-categories Differential graded  $C^{\infty}$ -rings D-spaces D-manifolds

### 4.3. D-spaces

D-spaces are our notion of derived  $C^{\infty}$ -scheme:

#### Definition

A *d-space* **X** is a topological space X with a sheaf of square zero dg- $C^{\infty}$ -rings  $\mathcal{O}_{\mathbf{X}}^{\bullet} = \mathcal{O}_{X}^{-1} \stackrel{\mathrm{d}}{\longrightarrow} \mathcal{O}_{\mathbf{X}}^{0}$ , such that  $\underline{X} = (X, H^{0}(\mathcal{O}_{\mathbf{X}}^{\bullet}))$  and  $(X, \mathcal{O}_{\mathbf{X}}^{0})$  are  $C^{\infty}$ -schemes, and  $\mathcal{O}_{X}^{-1}$  is quasicoherent over  $\underline{X}$ . We call  $\underline{X}$  the underlying classical  $C^{\infty}$ -scheme.

We require that the topological space X should be Hausdorff and second countable, and the underlying classical  $C^{\infty}$ -scheme X should be *locally fair*, i.e. covered by open Spec  $\mathfrak{C} \cong \underline{U} \subseteq \underline{X}$  for  $\mathfrak{C}$  a fair  $C^{\infty}$ -ring. Basically this means X is locally finite-dimensional.

Note that  $\mathcal{O}_{\mathbf{X}}^{\bullet}$  is an ordinary (strict) sheaf of square zero dg  $C^{\infty}$ -rings, using only the objects and 1-morphisms in **SZC<sup>\infty</sup> Rings**, and not (as usual in DAG) a homotopy sheaf using 2-isomorphisms  $\rho_{VW} \circ \rho_{UV} \Rightarrow \rho_{UW}$  for open  $W \subseteq V \subseteq U \subseteq X$ .

#### Definition

A 1-morphism  $\mathbf{f} : \mathbf{X} \to \mathbf{Y}$  of d-spaces  $\mathbf{X}, \mathbf{Y}$  is  $\mathbf{f} = (f, f^{\sharp})$ , where  $f : X \to Y$  is a continuous map of topological spaces, and  $f^{\sharp} : f^{-1}(\mathcal{O}_{\mathbf{Y}}^{\bullet}) \to \mathcal{O}_{\mathbf{X}}^{\bullet}$  is a morphism of sheaves of square zero dg  $C^{\infty}$ -rings on X. Then  $\underline{f} = (f, H^0(f^{\sharp})) : \underline{X} \to \underline{Y}$  is a morphism of the underlying classical  $C^{\infty}$ -schemes.

#### Definition

Let  $\mathbf{f}, \mathbf{g} : \mathbf{X} \to \mathbf{Y}$  be 1-morphisms of d-spaces, and suppose the continuous maps  $f, g : X \to Y$  are equal. We have morphisms  $f^{\sharp}, g^{\sharp} : f^{-1}(\mathcal{O}_{\mathbf{Y}}^{\bullet}) \to \mathcal{O}_{\mathbf{X}}^{\bullet}$  of sheaves of square zero dg  $C^{\infty}$ -rings. That is,  $f^{\sharp}, g^{\sharp}$  are sheaves on X of 1-morphisms in SZC<sup> $\infty$ </sup> Rings. A 2-morphism  $\eta : \mathbf{f} \Rightarrow \mathbf{g}$  is a sheaf on X of 2-morphisms  $\eta : f^{\sharp} \Rightarrow g^{\sharp}$  in SZC<sup> $\infty$ </sup> Rings. That is, for each open  $U \subseteq X$ , we have a 2-morphism  $\eta(U) : f^{\sharp}(U) \Rightarrow g^{\sharp}(U)$  in SZC<sup> $\infty$ </sup> Rings, with  $\mathrm{id}_{\rho_{UV}} * \eta(U) = \eta(V) * \mathrm{id}_{\rho_{UV}}$  for all open  $V \subseteq U \subseteq X$ .

41 / 48

Dominic Joyce, Oxford University Lecture 4: 2-categories, d-spaces, and d-manifolds

 $C^\infty$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds 2-categories Differential graded  $C^{\infty}$ -rings D-spaces D-manifolds

With the obvious notions of composition of 1- and 2-morphisms, and identities, d-spaces form a strict 2-category **dSpa**, in which all 2-morphisms are 2-isomorphisms.

 $C^{\infty}$ -schemes include into d-spaces as those **X** with  $\mathcal{O}_{X}^{-1} = 0$ . Thus we have inclusions of (2-)categories **Man**  $\subset \mathbf{C}^{\infty}\mathbf{Sch} \subset \mathbf{dSpa}$ , so manifolds are examples of d-spaces.

The cotangent complex  $\mathbb{L}^{\bullet}_{\mathbf{X}}$  of  $\mathbf{X}$  is the sheaf of cotangent complexes of  $\mathcal{O}^{\bullet}_{\mathbf{X}}$ , a 2-term complex  $\mathbb{L}^{-1}_{\mathbf{X}} \xrightarrow{\mathrm{d}_{\mathbf{X}}} \mathbb{L}^{0}_{\mathbf{X}}$  of quasicoherent sheaves on  $\underline{X}$ . Such complexes form a 2-category qcoh<sup>[-1,0]</sup>( $\underline{X}$ ).

#### Theorem 4.4

All fibre products exist in the 2-category dSpa.

The proof is by construction: given 1-morphisms  $\mathbf{g} : \mathbf{X} \to \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \to \mathbf{Z}$ , we write down an explicit d-space  $\mathbf{W}$ , 1-morphisms  $\mathbf{e} : \mathbf{W} \to \mathbf{X}$ ,  $\mathbf{f} : \mathbf{W} \to \mathbf{Y}$  and 2-isomorphism  $\eta : \mathbf{g} \circ \mathbf{e} \Rightarrow \mathbf{h} \circ \mathbf{f}$ , and verify by hand that it satisfies the universal property in §4.1.

# Gluing d-spaces by equivalences

#### Theorem 4.5

Let  $\mathbf{X}, \mathbf{Y}$  be d-spaces,  $\emptyset \neq \mathbf{U} \subseteq \mathbf{X}, \emptyset \neq \mathbf{V} \subseteq \mathbf{Y}$  open d-subspaces, and  $\mathbf{f} : \mathbf{U} \to \mathbf{V}$  an equivalence in the 2-category **dSpa**. Suppose the topological space  $Z = X \cup_{U=V} Y$  made by gluing X, Y using  $\mathbf{f}$ is Hausdorff. Then there exist a d-space  $\mathbf{Z}$ , unique up to equivalence in **dSpa**, open  $\hat{\mathbf{X}}, \hat{\mathbf{Y}} \subseteq \mathbf{Z}$  with  $\mathbf{Z} = \hat{\mathbf{X}} \cup \hat{\mathbf{Y}}$ , equivalences  $\mathbf{g} : \mathbf{X} \to \hat{\mathbf{X}}$  and  $\mathbf{h} : \mathbf{Y} \to \hat{\mathbf{Y}}$ , and a 2-morphism  $\eta : \mathbf{g}|_{\mathbf{U}} \Rightarrow \mathbf{h} \circ \mathbf{f}$ .

The proof is again by explicit construction. First we glue the classical  $C^{\infty}$ -schemes  $\underline{X}, \underline{Y}$  on  $\underline{U} \subseteq \underline{X}, \underline{V} \subseteq \underline{Y}$  by the isomorphism  $\underline{f} : \underline{U} \to \underline{V}$  to get a  $C^{\infty}$ -scheme  $\underline{Z}$ . The definition of  $\mathbf{Z}$  involves choosing a smooth partition of unity on  $\underline{Z}$  subordinate to the open cover  $\{\underline{U}, \underline{V}\}$ . This is possible in the world of  $C^{\infty}$ -schemes, but would not work in conventional (derived) algebraic geometry.

#### 43 / 48

Dominic Joyce, Oxford University Lecture 4: 2-categories, d-spaces, and d-manifolds

 $C^{\infty}$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds 2-categories Differential graded  $C^{\infty}$ -rings D-spaces D-manifolds

#### Theorem 4.6

Suppose I is an indexing set, and < is a total order on I, and  $X_i$  for  $i \in I$  are d-spaces, and for all i < j in I we are given open d-subspaces  $U_{ij} \subseteq X_i$ ,  $U_{ji} \subseteq X_j$  and an equivalence  $e_{ij} : U_{ij} \rightarrow U_{ji}$ , such that for all i < j < k in I we have a 2-commutative diagram

$$\mathbf{U}_{ij} \cap \mathbf{U}_{ik} \xrightarrow{\mathbf{e}_{ij} | \mathbf{u}_{ij} \cap \mathbf{U}_{ik}} \mathbf{U}_{ji} \cap \mathbf{U}_{jk} \xrightarrow{\mathbf{e}_{jk} | \mathbf{u}_{ji} \cap \mathbf{U}_{jk}} \mathbf{U}_{ki} \cap \mathbf{U}_{kj}.$$

$$(4.5)$$

Define the quotient topological space  $Z = (\coprod_{i \in I} X_i) / \sim$ , where  $\sim$ is generated by  $x_i \sim x_j$  if  $i < j, x_i \in U_{ij} \subseteq X_i$  and  $x_j \in U_{ji} \subseteq X_j$ with  $e_{ij}(x_i) = x_j$ . Suppose Z is Hausdorff and second countable. Then there exist a d-space Z and a 1-morphism  $\mathbf{f}_i : \mathbf{X}_i \to \mathbf{Z}$  which is an equivalence with an open d-subspace  $\hat{\mathbf{X}}_i \subseteq \mathbf{Z}$  for all  $i \in I$ , where  $\mathbf{Z} = \bigcup_{i \in I} \hat{\mathbf{X}}_i$ , such that  $\mathbf{f}_i(\mathbf{U}_{ij}) = \hat{\mathbf{X}}_i \cap \hat{\mathbf{X}}_j$  for i < j in I, and there exists a 2-morphism  $\zeta_{ij} : \mathbf{f}_j \circ \mathbf{e}_{ij} \Rightarrow \mathbf{f}_i |_{\mathbf{U}_{ij}}$ . The d-space Z is unique up to equivalence, and is independent of choice of  $\eta_{ijk}$ .

Theorem 4.6 generalizes Theorem 4.5 to gluing many d-spaces by equivalences. It is important that the 2-isomorphisms  $\eta_{ijk}$  in (4.5) are only required to exist, they need not satisfy any conditions on quadruple overlaps, etc., and **Z** is independent of the choice of  $\eta_{ijk}$ . Because of this, Theorem 4.6 actually makes sense as a statement in the homotopy category Ho(**dSpa**). The analogue is false for gluing by equivalences for orbifolds **Orb**, d-orbifolds **dOrb**, and d-stacks **dSta**.

#### 45 / 48

Dominic Joyce, Oxford University Lecture 4: 2-categories, d-spaces, and d-manifolds

 $C^{\infty}$ -Algebraic Geometry 2-categories, d-spaces, and d-manifolds

2-categories Differential graded  $C^{\infty}$ -rings D-spaces D-manifolds

### 4.4. D-manifolds

#### Definition

A *d*-manifold **X** of virtual dimension  $n \in \mathbb{Z}$  is a d-space **X** such that **X** is covered by open d-subspaces  $\mathbf{Y} \subset \mathbf{X}$  with equivalences  $\mathbf{Y} \simeq U \times_{g,W,h} V$ , where U, V, W are manifolds with  $\dim U + \dim V - \dim W = n$ , regarded as d-spaces by  $\mathbf{Man} \subset \mathbf{C}^{\infty}\mathbf{Sch} \subset \mathbf{dSpa}$ , and  $g: U \to W$ ,  $h: V \to W$  are smooth maps, and  $U \times_{g,W,h} V$  is the fibre product in the 2-category  $\mathbf{dSpa}$ . Write **dMan** for the full 2-subcategory of d-manifolds in  $\mathbf{dSpa}$ .

Note that the fibre product  $U \times_W V$  exists by Theorem 4.4, and must be taken in **dSpa** as a 2-category, not as an ordinary category Alternatively, we can write the local models as  $\mathbf{Y} \simeq V \times_{0,E,s} V$ , where V is a manifold,  $E \rightarrow V$  a vector bundle,  $s : V \rightarrow E$  a smooth section, and  $n = \dim V - \operatorname{rank} E$ . Then (V, E, s) is a Kuranishi neighbourhood on **X**, as in Fukaya–Oh–Ohta–Ono. Thus, a d-manifold **X** is a 'derived' geometric space covered by simple, differential-geometric local models: they are fibre products  $U \times_{g,W,h} V$  for smooth maps of manifolds  $g : U \to W$ ,  $h : V \to W$ , or they are the zeroes  $s^{-1}(0)$  of a smooth section  $s : V \to E$  of a vector bundle  $E \to V$  over a manifold V. However, as usual in derived geometry, the way in which these local models are glued together (by equivalences in the 2-category **dSpa**) is more mysterious, is weaker than isomorphisms, and takes some work to understand. We discuss this later in the course. If  $\mathbf{g} : \mathbf{X} \to \mathbf{Z}$ ,  $\mathbf{h} : \mathbf{Y} \to \mathbf{Z}$  are 1-morphisms in **dMan**, then Theorem 4.4 says that a fibre product  $\mathbf{W} = \mathbf{X} \times_{\mathbf{g},\mathbf{Z},\mathbf{h}} \mathbf{Y}$  exists in **dSpa**. If  $\mathbf{W}$  is a d-manifold (which is a local question on  $\mathbf{W}$ ) then  $\mathbf{W}$  is also a fibre product in **dMan**. So we will give be able to give useful criteria for existence of fibre products in **dMan**.

#### 47 / 48 Dominic Joyce, Oxford University Lecture 4: 2-categories, d-spaces, and d-manifolds



Theorems 4.5 and 4.6 immediately lift to results on gluing by equivalences in **dMan**, taking  $\mathbf{U}, \mathbf{V}, \mathbf{X}_i$  to be d-manifolds of a fixed virtual dimension  $n \in \mathbb{Z}$ . Thus, we can define d-manifolds by gluing together local models by equivalences. This is very useful, as natural examples (e.g. moduli spaces) are often presented in terms of local models somehow glued on overlaps.

I chose to use square zero dg  $C^{\infty}$ -rings to define **dSpa**, **dMan** (rather than, say, general dg  $C^{\infty}$ -rings) as they are very 'small' they are essentially the minimal extension of classical  $C^{\infty}$ -rings which remembers the 'derived' information I care about (in particular, sufficient to form virtual cycles for derived manifolds). This has the advantage of making the theory simpler than it could have been, e.g. by using 2-categories rather than  $\infty$ -categories, whilst still having good properties, e.g. 'correct' fibre products and gluing by equivalences. A possible disadvantage is that they forget 'higher obstructions', which occur in some moduli problems.