

Complex manifolds and Kähler Geometry

Lecture 9 of 16: Vanishing theorems and the Kodaira Embedding Theorem

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Plan of talk:

- 9 Vanishing theorems and the Kodaira Embedding Theorem
 - 9.1 Vanishing theorems
 - 9.2 The Kodaira and Serre Vanishing Theorems
 - 9.3 Application to line bundles and divisors
 - 9.4 The Kodaira Embedding Theorem

9.1. Vanishing theorems

Let (X, J) be a compact complex manifold, and $E \rightarrow X$ a holomorphic vector bundle. A *vanishing theorem* says that under some assumptions $H^q(E) = 0$ for $q > 0$. Then

$$\chi(X, E) = \sum_{q=0}^n (-1)^q \dim_{\mathbb{C}} H^q(E) = \dim_{\mathbb{C}} H^0(E),$$

so the Hirzebruch–Riemann–Roch Theorem in §8.2 gives

$$\dim_{\mathbb{C}} H^0(E) = \int_X \text{ch}(E) \text{td}(X).$$

So we can compute the number of holomorphic sections of E . This can be a powerful tool.

Positive line bundles

Definition

Let (X, J) be a complex manifold, and L a holomorphic line bundle. We call L *positive* if $c_1(L)$ in $H_{\text{dR}}^2(X; \mathbb{R})$ can be represented by a positive closed real (1,1)-form η , where η *positive* means that $\eta(v, Jv) > 0$ for nonzero vectors v .

Then $g(v, w) = \eta(v, Jw)$ is a Kähler metric on X , with Kähler form η . So if X has a positive line bundle then X admits Kähler metrics.

The converse is not true, e.g. there exist Kähler K3 surfaces with no positive line bundles. We call L *negative* if L^{-1} is positive.

Recall from §5.3 that the *Kähler cone* \mathcal{K} of X is the set of Kähler classes of Kähler metrics on X , an open convex cone in $H_{\text{dR}}^2(X; \mathbb{R}) \cap H^{1,1}(X)$. A holomorphic line bundle $L \rightarrow X$ is positive iff $c_1(L) \in \mathcal{K}$.

From this and §7, we see that if (X, J) is compact and admits Kähler metrics, then X has positive line bundles L iff

$$H^2(X; \mathbb{Z}) \cap \mathcal{K} \neq \emptyset,$$

with intersection in $H_{\text{dR}}^2(X; \mathbb{C})$.

As any element of $H^2(X; \mathbb{Q})$ has a positive multiple in $H^2(X; \mathbb{Z})$, this is equivalent to

$$H^2(X; \mathbb{Q}) \cap \mathcal{K} \neq \emptyset.$$

The tautological line bundle $\mathcal{O}(1)$ on $\mathbb{C}\mathbb{P}^n$ is positive. If (X, J) is a projective complex manifold then X is (isomorphic to) a complex submanifold of some $\mathbb{C}\mathbb{P}^n$, and then $\mathcal{O}(1)|_X$ is a positive line bundle on X . Thus, all projective complex manifolds admit positive line bundles. The Kodaira Embedding Theorem (later) shows that if a compact complex manifold (X, J) admits positive line bundles, then it is projective.

9.2. The Kodaira and Serre Vanishing Theorems

Theorem 9.1 (Kodaira Vanishing Theorem)

Let N be a positive line bundle on a compact complex manifold (X, J) of complex dimension n . Then

$$H^q(N \otimes \Lambda^p T^* X) = 0 \text{ for } p + q > n.$$

We sketch a proof. As N is positive, we may choose a positive closed real $(1,1)$ -form ω with $[\omega] = 2\pi c_1(N)$. Let g be the Kähler metric on X with Kähler form ω .

From §6.2 we may choose a Hermitian metric h on N , such that if ∇ is the connection on N preserving h and inducing the $\bar{\partial}$ -operator $\bar{\partial}_N$ of N , then $F_\nabla = -i\omega$. Write $\nabla = \partial_N + \bar{\partial}_N$ and $\nabla^* = \partial_N^* + \bar{\partial}_N^*$. From §8.1 we have

$$H^q(N \otimes \Lambda^p T^* X) \cong \mathcal{H}^{p,q}(N),$$

where $\mathcal{H}^{p,q}(N) = \text{Ker } \Delta_N^{p,q}$.

As in §4.4 we have operators $L, \Lambda, \partial, \bar{\partial}, \partial^*, \bar{\partial}^*$ on (p, q) -forms on X satisfying the Kähler identities. Another identity that we did not mention is that $[\Lambda, L] = (n - (p + q)) \cdot \text{id}$ on (p, q) -forms. This extends to N -valued (p, q) -forms using $\partial_N, \bar{\partial}_N$. Also $[\Lambda, \bar{\partial}] = -i\partial^*$ extends to N -valued (p, q) -forms. If α is an N -valued (p, q) -form we have

$$\begin{aligned} L(\alpha) &= \omega \wedge \alpha = iF_\nabla \wedge \alpha \\ &= i(\nabla \wedge \nabla)\alpha = i(\bar{\partial}_N + \partial_N)^2 \alpha \\ &= i(\bar{\partial}_N \partial_N + \partial_N \bar{\partial}_N)\alpha. \end{aligned}$$

Suppose $\alpha \in \mathcal{H}^{p,q}(N)$, so that $\bar{\partial}_N \alpha = \bar{\partial}_N^* \alpha = 0$. Then

$$\begin{aligned} \langle L \circ \Lambda \alpha, \alpha \rangle_{L^2} &= \langle i(\bar{\partial}_N \partial_N + \partial_N \bar{\partial}_N) \Lambda \alpha, \alpha \rangle_{L^2} \\ &= \langle \partial_N \Lambda \alpha, -i \bar{\partial}_N^* \alpha \rangle_{L^2} + \langle \bar{\partial}_N \Lambda \alpha, -i \partial_N^* \alpha \rangle_{L^2} \\ &= 0 + \langle \bar{\partial}_N \Lambda \alpha, [\Lambda, \bar{\partial}_N] \alpha \rangle_{L^2} \\ &= \langle \bar{\partial}_N \Lambda \alpha, \Lambda \bar{\partial}_N \alpha \rangle_{L^2} - \langle \bar{\partial}_N \Lambda \alpha, \bar{\partial}_N \Lambda \alpha \rangle_{L^2} \\ &= 0 - \|\bar{\partial}_N \Lambda \alpha\|_{L^2}^2, \end{aligned}$$

using $\bar{\partial}_N^* \alpha = \bar{\partial}_N \alpha = 0$ and $[\Lambda, \bar{\partial}_N] = -i \partial_N^*$. Similarly

$$\langle \Lambda \circ L \alpha, \alpha \rangle_{L^2} = \|\partial_N \alpha\|_{L^2}^2.$$

But $[\Lambda, L] = (n - (p + q)) \cdot \text{id}$ on N -valued (p, q) -forms. Hence

$$\begin{aligned} (n - (p + q)) \|\alpha\|_{L^2}^2 &= \langle [\Lambda, L] \alpha, \alpha \rangle_{L^2} \\ &= \|\partial_N \alpha\|_{L^2}^2 + \|\bar{\partial}_N \Lambda \alpha\|_{L^2}^2. \end{aligned}$$

If $p + q > n$ then the l.h.s. is ≤ 0 and the r.h.s. ≥ 0 , so $\alpha = 0$, and $\mathcal{H}^{p,q}(N) = 0$, giving $H^q(N \otimes \Lambda^p T^* X) = 0$ as we want.

In the case $p = n$ we have $\Lambda^p T^* X = K_X$ and $p + q > n$ becomes $q > 0$, giving:

Corollary 9.2

Suppose L is a positive line bundle on a compact complex manifold (X, J) . Then

$$H^q(L \otimes K_X) = 0 \text{ for all } q > 0.$$

Equivalently, if L is a line bundle with $L \otimes K_X^{-1}$ positive then $H^q(L) = 0$ for $q > 0$, so that

$$\dim_{\mathbb{C}} H^0(L) = \int_X \text{ch}(L) \text{td}(X)$$

by the Hirzebruch–Riemann–Roch Theorem.

The Serre Vanishing Theorem

A similar proof to the Kodaira Vanishing Theorem yields:

Theorem 9.3 (Serre Vanishing Theorem)

Let L be a positive line bundle on a compact complex manifold (X, J) , and E any holomorphic vector bundle on X . Then there exists $m_0 \in \mathbb{Z}$ such that $H^q(E \otimes L^m) = 0$ for all $q > 0$ and $m \geq m_0$.

This also holds for coherent sheaves E , using sheaf cohomology.

Let E be a holomorphic vector bundle of rank $k > 0$, and consider $\chi(X, E \otimes L^m)$ as a function of m in \mathbb{Z} . The H–R–R Theorem gives

$$\begin{aligned} \chi(X, E \otimes L^m) &= \int_X \text{ch}(E \otimes L^m) \text{td}(X) \\ &= \int_X \text{ch}(E) \exp(m c_1(L)) \text{td}(X). \end{aligned}$$

Here $\exp(m c_1(L)) = 1 + m c_1(L) + \frac{m^2}{2!} c_1(L)^2 + \dots + \frac{m^n}{n!} c_1(L)^n$, where $n = \dim_{\mathbb{C}} X$. Thus $\chi(X, E \otimes L^m)$ is a polynomial in m of degree n , with leading term

$$\chi(X, E \otimes L^m) = \frac{k}{n!} \int_X c_1(L)^n m^n + \dots$$

As L is positive, $c_1(L)$ is represented by the Kähler form ω of a Kähler metric g on X , and then

$\int_X c_1(L)^n = \int_X \omega^n = n! \operatorname{vol}_g(X) > 0$. Thus the leading term of $\chi(X, E \otimes L^m)$ is positive, proving:

Lemma 9.4

Let (X, J) be a compact complex manifold, L a positive line bundle on X , and E a holomorphic vector bundle on X of positive rank. Then $\chi(X, E \otimes L^m) \gg 0$ for $m \gg 0$. Hence $\dim H^0(E \otimes L^m) \gg 0$ for $m \gg 0$ by the Serre Vanishing Theorem.

9.3. Application to line bundles and divisors

Recall from §7 that if (X, J) is a compact complex manifold then the Picard group $\operatorname{Pic}(X)$ is the group of holomorphic line bundles up to isomorphism, and $\operatorname{Div}(X)/\sim$ is the group of divisors on X up to equivalence, and there is an injective morphism

$\mu : (\operatorname{Div}(X)/\sim) \rightarrow \operatorname{Pic}(X)$ whose image is the subgroup of $[L] \in \operatorname{Pic}(X)$ for which L admits meromorphic sections.

Suppose X has a positive line bundle \tilde{L} . We will show that any line bundle L on X has a meromorphic section. Applying Lemma 9.4 to L and \mathcal{O}_X shows that $\dim H^0(L \otimes \tilde{L}^m) \gg 0$ and $\dim H^0(\mathcal{O}_X \otimes \tilde{L}^m) \gg 0$ when $m \gg 0$. So we can choose $m \gg 0$ and $0 \neq s \in H^0(L \otimes \tilde{L}^m)$, $0 \neq t \in H^0(\mathcal{O}_X \otimes \tilde{L}^m)$. Then $s \otimes t^{-1}$ is a meromorphic section of $(L \otimes \tilde{L}^m) \otimes (\mathcal{O}_X \otimes \tilde{L}^m)^* \cong L$.

This proves:

Theorem 9.5

Suppose (X, J) is a compact complex manifold which admits positive line bundles (equivalently, (X, J) is projective). Then $\mu : (\text{Div}(X)/\sim) \rightarrow \text{Pic}(X)$ in §7.4 is an isomorphism.

As in §7.2, we can describe $\text{Pic}(X)$ very precisely in terms of $H_1(X; \mathbb{Z})$, $H^2(X; \mathbb{Z})$, and $H^{1,1}(X)$. So we get a description of $\text{Div}(X)/\sim$. In particular, this proves the existence of many (possibly singular) complex hypersurfaces in projective complex manifolds. This proves the case $k = n - 1$ of the Hodge Conjecture in §5.4.

The base locus, morphisms to projective spaces

Definition

Let (X, J) be a compact complex manifold, and L a holomorphic line bundle on X . Then $H^0(L)$ is a finite-dimensional vector space. The *base locus* of L is

$$B = \{x \in X : s(x) = 0 \ \forall s \in H^0(L)\}.$$

It is a closed subset of X , algebraic when X is algebraic.

Theorem 9.6 (Bertini's Theorem)

Let (X, J) be a compact complex manifold, and L a holomorphic line bundle on X . Then for generic $s \in H^0(L)$, the zeroes $s^{-1}(0)$ are a smooth hypersurface in X away from B .

In particular, if $B = \emptyset$, which is often true, then $Y = s^{-1}(0)$ is a compact complex submanifold of X of dimension $\dim_{\mathbb{C}} Y = \dim_{\mathbb{C}} X - 1$, whose homology class $[Y]$ is Poincaré dual to $c_1(L)$. So we can prove the existence of many compact hypersurfaces in X , and by induction, of many compact submanifolds of any codimension.

If L has base locus B , we can define a natural holomorphic map $\Phi_L : X \setminus B \rightarrow \mathbb{P}(H^0(L)^*)$ as follows: for $x \in X \setminus B$, choose an isomorphism $\phi_x : L_x \rightarrow \mathbb{C}$, and define $\psi_x : H^0(L) \rightarrow \mathbb{C}$ by $\psi_x(s) = \phi_x(s(x))$. Then $\psi_x \in H^0(L)^*$, with $\psi_x \neq 0$ as $x \notin B$, so $[\psi_x] \in \mathbb{P}(H^0(L)^*)$. We define $\Phi_L(x) = [\psi_x]$. This is independent of the choice of ϕ_x .

9.4. The Kodaira Embedding Theorem

Definition

Let L be a holomorphic line bundle on a compact complex manifold (X, J) . We call L *very ample* if the base locus B of L is \emptyset , and the map $\Phi_L : X \rightarrow \mathbb{P}(H^0(L)^*)$ is an embedding of complex manifolds. We call L *ample* if L^k is very ample for some positive integer k .

If L is very ample then choosing a basis for $H^0(L)$ gives an embedding $\Phi_L : X \rightarrow \mathbb{C}\mathbb{P}^N$, where $N + 1 = \dim H^0(L)$, which identifies X with a complex submanifold of $\mathbb{C}\mathbb{P}^N$. One can show that $L \cong \Phi_L^*(\mathcal{O}(1))$, where $\mathcal{O}(1)$ is the usual line bundle on $\mathbb{C}\mathbb{P}^N$. But $\mathcal{O}(1)$ is a positive line bundle on $\mathbb{C}\mathbb{P}^N$, so $\Phi_L^*(\mathcal{O}(1))$ is positive. So any very ample line bundle on X is positive.

Also if L^k is positive for $k > 0$, so that $c_1(L^k)$ is represented by a positive $(1,1)$ -form ω , then $c_1(L)$ is represented by $\frac{1}{k}\omega$, so L is positive. Thus, if L is ample, then L is positive. The important Kodaira Embedding Theorem is a converse to this:

Theorem 9.7 (Kodaira Embedding Theorem)

Let (X, J) be a compact complex manifold, and L a positive line bundle on X . Then L is ample.

The proof is complicated. A partial explanation is that as $\dim H^0(L^k) \gg 0$ for $k \gg 0$ by Lemma 9.4, when k is large there are many sections of L^k , and these are enough both to force $B = \emptyset$, and to embed X in $\mathbb{P}(H^0(L)^*) \cong \mathbb{C}P^N$.

Consequences of Kodaira Embedding

Given a positive line bundle L , a multiple L^k induces an embedding of X in a projective space, giving:

Corollary 9.8

Suppose (X, J) is a compact complex manifold admitting positive line bundles. Then X is projective, that is, X is isomorphic to a complex submanifold of $\mathbb{C}P^N$ for some $N \gg 0$.

Conversely, if $X \subset \mathbb{C}P^N$ is projective then it admits positive line bundles, e.g. $\mathcal{O}(1)|_X$ is positive.

From §9.1, if (X, J) is a compact complex manifold admitting Kähler metrics, then X admits positive line bundles if and only if

$$H^2(X; \mathbb{Q}) \cap \mathcal{K} \neq \emptyset,$$

with intersection in $H_{\text{dR}}^2(X; \mathbb{C})$. So we deduce:

Corollary 9.9

Let (X, J) be a compact complex manifold admitting Kähler metrics. Then X is projective if and only if

$$H^2(X; \mathbb{Q}) \cap \mathcal{K} \neq \emptyset.$$

In particular, if $H^{2,0}(X) = 0$ then $H^{1,1}(X) = H_{\text{dR}}^2(X; \mathbb{C})$, so

$$H^2(X; \mathbb{Q}) \cap H^{1,1}(X) = H^2(X; \mathbb{Q}),$$

which is dense in $H^2(X; \mathbb{R})$. Also \mathcal{K} is a nonempty open set in $H^{1,1}(X) \cap H^2(X; \mathbb{R}) = H^2(X; \mathbb{R})$, so $H^2(X; \mathbb{Q}) \cap \mathcal{K} \neq \emptyset$. Thus we have:

Corollary 9.10

Let (X, J) be a compact complex manifold admitting Kähler metrics with $H^{2,0}(X) = 0$. Then X is projective.

So under mild conditions, compact Kähler manifolds are projective, and can be studied using complex algebraic geometry.

Complex manifolds and Kähler Geometry

Lecture 10 of 16: Topics on line bundles and divisors

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Plan of talk:

- 10 Topics on line bundles and divisors
 - 10.1 Finite covers
 - 10.2 The Lefschetz Hyperplane Theorem
 - 10.3 The adjunction formula
 - 10.4 Blow-ups

10.1. Finite covers

From the Kodaira Embedding Theorem, a compact complex manifold is projective iff it admits positive line bundles. We use this to prove:

Proposition 10.1

Let (X, J) be a compact complex manifold, and (\tilde{X}, \tilde{J}) a finite cover of X , with covering map $\pi : \tilde{X} \rightarrow X$. Then \tilde{X} is projective iff X is projective.

Proof of Proposition 10.1

Suppose X is projective. Then there exists a positive line bundle L on X , so $c_1(L)$ is represented by a positive, closed, real $(1,1)$ -form η . The pullback $\pi^*(L)$ has $c_1(\pi^*(L))$ represented by $\pi^*(\eta)$, which is positive as π is a local diffeomorphism, so $\pi^*(L)$ is positive, and \tilde{X} is projective.

Conversely, suppose \tilde{X} is projective, so there exists \tilde{L} on \tilde{X} positive, with $c_1(\tilde{L})$ represented by $\tilde{\eta}$ positive.

Define a line bundle L on X to have fibre $L|_x = \bigotimes_{\tilde{x} \in \tilde{X}: \pi(\tilde{x})=x} \tilde{L}|_{\tilde{x}}$. Then L is holomorphic (it is the determinant line bundle of the push-forward sheaf $\pi_*(\tilde{L})$) and $c_1(L)$ is represented by η , where

$$\eta|_x = \sum_{\tilde{x} \in \tilde{X}: \pi(\tilde{x})=x} d\pi_*(\tilde{\eta}|_{\tilde{x}}).$$

This is locally a sum of positive forms, so is positive, and L is positive, and X is projective. □

Example: complex tori

Let $n \geq 2$, and consider the torus $T^{2n} = \mathbb{R}^{2n}/\mathbb{Z}^{2n}$, where \mathbb{R}^{2n} has coordinates (x_1, \dots, x_{2n}) . Let $J = J_a^b$ be a complex structure and $g = g_{ab}$ a compatible Kähler metric on \mathbb{R}^{2n} (not necessarily the standard ones), where J_a^b and g_{ab} are constant in coordinates (x_1, \dots, x_{2n}) . That is, J is an element of $GL(2n, \mathbb{R})$ with $J^2 = -1$. The set of such J is $\mathcal{M}_n \cong GL(2n; \mathbb{R})/GL(n; \mathbb{C})$, a complex manifold with $\dim_{\mathbb{C}} \mathcal{M}_n = n^2$. Then J, g both descend to T^{2n} , to make (T^{2n}, J, g) a compact Kähler manifold.

Under what conditions is (T^{2n}, J) projective? Well, if $\alpha \in H^2(T^{2n}; \mathbb{Z}) \cong \mathbb{Z}^{n(2n-1)}$ then α is $c_1(L)$ for a holomorphic line bundle L iff $\pi_{2,0}(\alpha) = 0$, where $\pi_{2,0} : H^2(T^{2n}; \mathbb{Z}) \rightarrow H^{2,0}(T^{2n})$ is projection to the $(2,0)$ -component in $H^2(T^{2n}; \mathbb{C})$.

We have $H^{2,0}(T^{2n}) \cong \mathbb{C}^{n(n-1)/2}$. So the subset of J for which (T^{2n}, J) has a holomorphic line bundle L with $c_1(L) = \alpha$ is a subvariety \mathcal{N}_α in \mathcal{M}_n of codimension $\frac{1}{2}n(n-1)$.

Example: complex tori

In particular, $\mathcal{M}_n \setminus \bigcup_{0 \neq \alpha \in \mathbb{Z}^{n(2n-1)}} \mathcal{N}_\alpha$ is nonempty, and if J lies in this subset of \mathcal{M}_n then (T^{2n}, J) has no holomorphic line bundles L with $c_1(L) \neq 0$, so no positive line bundles, and (T^{2n}, J) is not projective. Thus, generic complex tori (T^{2n}, J) for $n \geq 2$ are not projective; the family of projective complex tori are of complex codimension $\frac{1}{2}n(n-1)$ in the family of all complex tori.

10.2. The Lefschetz Hyperplane Theorem

Let (X, J) be a compact complex manifold, and Y a hypersurface in X , that is, Y is a closed, embedded complex submanifold of X with $\dim_{\mathbb{C}} Y = \dim_{\mathbb{C}} X - 1$. Then Y is a *divisor* in X . (We assume Y is nonsingular, though divisors can be singular). By the correspondence between line bundles and divisors in §7, there exists a line bundle L_Y , and $s \in H^0(L_Y)$ with $Y = s^{-1}(0)$, and $s = 0$ with multiplicity 1 on Y .

How are the cohomologies of X and Y related? Well, restriction of k -forms on X to Y induces a map $\rho : H_{\text{dR}}^k(X; \mathbb{C}) \rightarrow H_{\text{dR}}^k(Y; \mathbb{C})$. If X admits Kähler metrics then so does Y , and $H_{\text{dR}}^k(X; \mathbb{C})$ splits into $H^{p,q}(X)$. As the restriction of a (p, q) -form on X to Y is a (p, q) -form on Y , we see that ρ maps $H^{p,q}(X) \rightarrow H^{p,q}(Y)$.

The Lefschetz Hyperplane Theorem gives conditions for these ρ to be isomorphisms.

The Lefschetz Hyperplane Theorem

Theorem 10.2 (Lefschetz Hyperplane Theorem)

Let (X, J) be a compact complex manifold with $\dim_{\mathbb{C}} X = n$, and Y a smooth hypersurface in X . Suppose the induced line bundle L_Y on X is positive. Then the restriction maps $\rho : H_{\text{dR}}^k(X; \mathbb{C}) \rightarrow H_{\text{dR}}^k(Y; \mathbb{C})$ are isomorphisms for $k \leq n - 2$ and injective for $k = n - 1$. Hence $\rho : H^{p,q}(X) \rightarrow H^{p,q}(Y)$ is an isomorphism for $p + q \leq n - 2$ and injective for $p + q = n - 1$. Also, if $n \geq 3$ then $\pi_1(X) \cong \pi_1(Y)$.

Sketch proof.

In the case $p = 0$, using sheaf cohomology ideas, one can show that there is a long exact sequence

$$\cdots \rightarrow H^q(L_Y^*) \rightarrow H^{0,q}(X) \xrightarrow{\rho} H^{0,q}(Y) \rightarrow H^{q+1}(L_Y^*) \rightarrow \cdots$$

By Serre duality in §8.3 we have $H^q(L_Y^*) \cong H^{n-q}(L_Y \otimes \Lambda^n T^* X)^*$. So by the Kodaira Vanishing Theorem in §9.2 and L_Y positive we have $H^q(L_Y^*) = 0$ for $q < n$. Hence $\rho : H^{0,q}(X) \rightarrow H^{0,q}(Y)$ is an isomorphism for $q < n - 1$, and injective for $q = n - 1$. The case $p > 0$ is more complicated, with two long exact sequences. \square

The Lefschetz Hyperplane Theorem is a useful computational tool. Usually we use it when we understand the topology of X well, e.g. $X = \mathbb{C}P^n$, and we want to compute $H^*(Y)$. The Lefschetz Hyperplane Theorem gives $H^k(Y) \cong H^k(X)$ for $k < n - 1$. Then Poincaré duality gives $H^k(Y)$ for $k > n - 1$. It remains only to compute $H^{n-1}(Y)$, the middle dimension. For instance, if we can compute $\chi(Y)$ then as we know $b^k(Y)$ for $k \neq n - 1$, we can deduce $b^{n-1}(Y)$.

Example 10.3

Consider the line bundle $\mathcal{O}(k)$ on $\mathbb{C}\mathbb{P}^n$ for $k > 0$. For every $0 \neq \mathbf{z} \in \mathbb{C}^{n+1}$, there is a homogeneous order k polynomial p with $p(\mathbf{z}) \neq 0$. This corresponds to $s \in H^0(\mathcal{O}(k))$ with $s([\mathbf{z}]) \neq 0$. Hence the base locus of $\mathcal{O}(k)$ is empty. Let $s \in H^0(\mathcal{O}(k))$ be generic. Then $s^{-1}(0)$ is smooth by Bertini's theorem in §9.3. Let $X = \mathbb{C}\mathbb{P}^n$ and $Y = s^{-1}(0)$. The line bundle L_Y is $\mathcal{O}(k)$, which is positive, so the Lefschetz Hyperplane Theorem applies. Hence $H^j(Y; \mathbb{C}) = \mathbb{C}$ if $0 \leq j < n - 1$ is even, and $H^j(Y; \mathbb{C}) = 0$ if $0 \leq j < n - 1$ is odd, and $\pi_1(Y) = \{1\}$ if $n \geq 3$.

Example 10.4

Let $X = \mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$ and $Y = \{[1, 0], [0, 1]\} \times \mathbb{C}\mathbb{P}^1$. Then the line bundle L_Y on $\mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$ is $\mathcal{O}(2, 0)$, where $\mathcal{O}(k, l) = \pi_1^*(\mathcal{O}(k)) \otimes \pi_2^*(\mathcal{O}(l))$. Here $\mathcal{O}(k, l)$ is positive iff $k, l > 0$, so $\mathcal{O}(2, 0)$ is not positive, and the Lefschetz Hyperplane Theorem does not apply. In fact $H^0(X; \mathbb{C}) \cong \mathbb{C}$ and $H^0(Y; \mathbb{C}) \cong \mathbb{C}^2$, so $\rho : H^0(X; \mathbb{C}) \rightarrow H^0(Y; \mathbb{C})$ is not an isomorphism, and the conclusions of the Lefschetz Hyperplane Theorem do not hold.

10.3. The adjunction formula

Let (X, J) be a compact complex manifold, and Y a hypersurface in X , that is, Y is a closed complex submanifold of X with $\dim_{\mathbb{C}} Y = \dim_{\mathbb{C}} X - 1$. Then Y induces a holomorphic line bundle L_Y on X , with a holomorphic section s vanishing on Y . The *normal bundle* ν_Y of Y in X is $TX|_Y/TY$, a holomorphic line bundle on Y . As $s|_Y \equiv 0$ but $\nabla s \neq 0$ on Y , the derivative of s in the normal directions to Y gives an isomorphism of line bundles $ds|_Y : \nu_Y \rightarrow L_Y|_Y$.

The adjunction formula

We have an exact sequence of holomorphic vector bundles on Y

$$0 \rightarrow TY \rightarrow TX|_Y \rightarrow \nu_Y \rightarrow 0.$$

Using $\nu_Y \cong L_Y|_Y$ and dualizing gives

$$0 \rightarrow L_Y^*|_Y \rightarrow T^*X|_Y \rightarrow T^*Y \rightarrow 0.$$

Thus taking top exterior powers gives an isomorphism

$$\Lambda^n T^*X|_Y \cong \Lambda^{n-1} T^*Y \otimes L_Y^*|_Y,$$

where $n = \dim_{\mathbb{C}} X$. Therefore

$$K_Y \cong (K_X \otimes L_Y)|_Y. \tag{10.1}$$

This is the *adjunction formula*.

We often use the adjunction formula when we understand X and K_X – e.g. $X = \mathbb{C}\mathbb{P}^n$ – and we want to compute K_Y .

Example 10.5

Suppose Y is a smooth degree k hypersurface in $X = \mathbb{C}P^n$. That is, $Y = s^{-1}(0)$ for $s \in H^0(\mathcal{O}(k))$. Then $L_Y \cong \mathcal{O}(k)$. Also $K_{\mathbb{C}P^n} \cong \mathcal{O}(-n-1)$, as in §7.2. So the adjunction formula gives

$$K_Y \cong (\mathcal{O}(-n-1) \otimes \mathcal{O}(k))|_Y = \mathcal{O}(k-n-1)|_Y.$$

In particular, if $k = n + 1$ then $K_Y \cong \mathcal{O}(0)|_Y \cong \mathcal{O}_Y$, that is, the canonical bundle of Y is trivial. Then Y is called *Calabi–Yau*. So, for example, a smooth quartic in $\mathbb{C}P^3$ is a Calabi–Yau 2-fold ($K3$ surface), and a smooth quintic in $\mathbb{C}P^4$ is a Calabi–Yau 3-fold. If $k < n + 1$ then K_Y is a negative line bundle (Y is a *Fano manifold*). If $k > n + 1$ then K_Y is a positive line bundle (Y is of *general type*).

10.4. Blow-ups

Let (X, J) be a complex n -manifold, and Y a closed, embedded complex k -submanifold in X . The *blow-up of X along Y* is a complex manifold \tilde{X} with a holomorphic map $\pi : \tilde{X} \rightarrow X$, such that $\pi^{-1}(Y)$ is a smooth, closed hypersurface D in \tilde{X} called the *exceptional divisor*, and $\pi : \tilde{X} \setminus D \rightarrow X \setminus Y$ is a biholomorphism. Thus, \tilde{X} is made by cutting the k -submanifold Y out of X and replacing it by the $(n - 1)$ -submanifold D . If X is compact then \tilde{X} is compact.

Blow-ups also work in the worlds of varieties and schemes – basically, singular complex manifolds. One can define the blow-up of a scheme at a closed subscheme, which is another scheme. Blow-ups are often used to resolve singularities. That is, if X is a singular complex manifold (scheme), then by (repeatedly) blowing up X at its singularities, we can define a nonsingular complex manifold \tilde{X} .

The next example defines the blow-up of \mathbb{C}^n at 0.

Example 10.6

Let \tilde{X} be the subset of points $((x_1, \dots, x_n), [y_1, \dots, y_n])$ in $\mathbb{C}^n \times \mathbb{C}\mathbb{P}^{n-1}$ such that $x_j = \lambda y_j$ for $j = 1, \dots, n$, for some $\lambda \in \mathbb{C}$. That is, either $(x_1, \dots, x_n) \neq (0, \dots, 0)$ and $[y_1, \dots, y_n] = [x_1, \dots, x_n]$, or $(x_1, \dots, x_n) = (0, \dots, 0)$ and $[y_1, \dots, y_n]$ is arbitrary. Then \tilde{X} is a complex submanifold of $\mathbb{C}^n \times \mathbb{C}\mathbb{P}^{n-1}$, with complex dimension n .

Define $\pi : \tilde{X} \rightarrow \mathbb{C}^n$ by

$$\pi : ((x_1, \dots, x_n), [y_1, \dots, y_n]) \mapsto (x_1, \dots, x_n).$$

Then π is holomorphic. If $(x_1, \dots, x_n) \neq (0, \dots, 0)$ then $\pi^{-1}(x_1, \dots, x_n)$ is the point $((x_1, \dots, x_n), [x_1, \dots, x_n])$. Also $\pi^{-1}(0) = \{0\} \times \mathbb{C}\mathbb{P}^{n-1}$ is a smooth hypersurface D in \tilde{X} , and $\pi : \tilde{X} \setminus D \rightarrow \mathbb{C}^n \setminus \{0\}$ is biholomorphic. The other projection $\pi_2 : \tilde{X} \rightarrow \mathbb{C}\mathbb{P}^{n-1}$ identifies \tilde{X} with the total space of the line bundle $\mathcal{O}(-1)$ over $\mathbb{C}\mathbb{P}^{n-1}$.

In the same way, the blow-up \tilde{X} of a complex manifold X at a point x replaces x by the projective space $D = \mathbb{P}(T_x X)$. The blow-up \tilde{X} of X along a complex submanifold Y replaces Y by $D = \mathbb{P}(\nu)$, where $\nu = TX|_Y/TY$ is the normal bundle of Y in X . That is, we have $\pi : D \rightarrow Y$ with $\pi^{-1}(y) = \mathbb{P}(T_y X/T_y Y)$ for $y \in Y$.

We can consider holomorphic line bundles on blow-ups. If \tilde{X} is the blow-up of X along Y , with exceptional divisor D , and $L \rightarrow X$ is a holomorphic line bundle on X , then $\pi^*(L)$ is a holomorphic line bundle on \tilde{X} .

We also have the holomorphic line bundle L_D on \tilde{X} associated to D . A calculation similar to the adjunction formula shows that

$$K_{\tilde{X}} \cong L_D^{n-k-1} \otimes \pi^*(K_X),$$

where $n = \dim_{\mathbb{C}} X$, $k = \dim_{\mathbb{C}} Y$.

Proposition 10.7

Suppose (X, J) is a compact complex manifold, Y a closed complex submanifold in X , $\pi : \tilde{X} \rightarrow X$ the blow-up of X along Y with exceptional divisor D , and L a positive line bundle on X . Then $L_D^{-1} \otimes \pi^(L)^k$ is a positive line bundle on \tilde{X} for $k \gg 0$.*

Sketch proof.

The projection $\pi : D \rightarrow Y$ has fibre $\mathbb{C}\mathbb{P}^{n-k-1}$ over $y \in Y$, where $n = \dim_{\mathbb{C}} X$, $k = \dim_{\mathbb{C}} Y$. One can show that $L_D|_{\pi^{-1}(y)}$ is the line bundle $\mathcal{O}(-1) \rightarrow \mathbb{C}\mathbb{P}^{n-k-1}$. Thus $L_D^{-1}|_{\pi^{-1}(y)}$ is $\mathcal{O}(1)$, which is positive. We can choose a closed real $(1,1)$ -form η on \tilde{X} representing $c_1(L_D^{-1})$, such that $\eta|_{\pi^{-1}(y)}$ is positive on $\pi^{-1}(y) \cong \mathbb{C}\mathbb{P}^{n-k-1}$ for each $y \in Y$.

As L is positive on X we can choose a closed, real, positive $(1,1)$ -form ζ on X representing $c_1(L)$. Then $\pi^*(\zeta)$ represents $c_1(\pi^*(L))$, and $\eta + k\pi^*(\zeta)$ represents $c_1(L_D^{-1} \otimes \pi^*(L)^k)$.

We claim $\eta + k\pi^*(\zeta)$ is a positive $(1,1)$ -form for $k \gg 0$, so that $L_D^{-1} \otimes \pi^*(L)^k$ is positive. To see this, note that $\pi^*(\zeta)$ is nonnegative on \tilde{X} , and zero only on the tangent bundles of $\pi^{-1}(y)$ for $y \in Y$; also, η is positive on the tangent bundles of $\pi^{-1}(y)$, though it may be negative in other directions. \square

By a corollary of the Kodaira Embedding Theorem, a compact complex manifold is projective iff it admits positive line bundles. So we deduce.

Corollary 10.8

Let (X, J) be a projective complex manifold, Y a closed complex submanifold of X , and \tilde{X} the blow-up of X along Y . Then \tilde{X} is projective.