

## The d-orbifold programme. Lecture 2 of 5: The 2-categories of d-manifolds and d-orbifolds.

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May 2014

Some references (to be revised, especially concerning corners):  
For d-manifolds and d-orbifolds, see arXiv:1206.4207 (survey),  
arXiv:1208.4948, and preliminary version of book available at  
<http://people.maths.ox.ac.uk/~joyce/dmanifolds.html>.  
For  $C^\infty$  geometry, see arXiv:1104.4951 (survey), and  
arXiv:1001.0023.

## Disclaimer

Much of this lecture series is work in progress, and not yet written up — it exists only in my head.

What there is you can find on my web page at  
<http://people.maths.ox.ac.uk/~joyce/dmanifolds.html>,  
including a preliminary version (768 pages) of a book [book] on  
d-manifolds and d-orbifolds and their differential geometry.

I am intending to rewrite the book from the beginning, not  
because there is very much wrong with it, but because it turns out  
(irritatingly) that I need a more general notion of d-orbifold with  
corners to do moduli spaces of  $J$ -holomorphic curves properly.

# 1. Introduction

I will tell you about new classes of geometric objects I call *d-manifolds* and *d-orbifolds* — ‘derived’ smooth manifolds, in the sense of Derived Algebraic Geometry. Some properties:

- D-manifolds form a *strict 2-category*  $\mathbf{dMan}$ . That is, we have objects  $\mathbf{X}$ , the d-manifolds, 1-morphisms  $\mathbf{f}, \mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$ , the smooth maps, and also 2-morphisms  $\eta : \mathbf{f} \Rightarrow \mathbf{g}$ .
- Smooth manifolds embed into d-manifolds as a full (2)-subcategory. So, d-manifolds generalize manifolds.
- There are also 2-categories  $\mathbf{dMan}^b$ ,  $\mathbf{dMan}^c$  of d-manifolds *with boundary* and *with corners*, and orbifold versions  $\mathbf{dOrb}$ ,  $\mathbf{dOrb}^b$ ,  $\mathbf{dOrb}^c$  of these, *d-orbifolds*.
- Much of differential geometry extends nicely to d-manifolds: submersions, immersions, orientations, submanifolds, transverse fibre products, cotangent bundles, . . . .

## Origins in derived algebraic geometry

D-manifolds are based on ideas from *derived algebraic geometry*. First consider an algebro-geometric version of what we want to do. A good algebraic analogue of smooth manifolds are *complex algebraic manifolds*, that is, separated smooth  $\mathbb{C}$ -schemes  $S$  of pure dimension. These form a full subcategory  $\mathbf{AlgMan}_{\mathbb{C}}$  in the category  $\mathbf{Sch}_{\mathbb{C}}$  of  $\mathbb{C}$ -schemes, and can roughly be characterized as the (sufficiently nice) objects  $S$  in  $\mathbf{Sch}_{\mathbb{C}}$  whose cotangent complex  $\mathbb{L}_S$  is a vector bundle (i.e. perfect in the interval  $[0, 0]$ ).

To make a derived version of this, we first define an  $\infty$ -category  $\mathbf{DerSch}_{\mathbb{C}}$  of *derived  $\mathbb{C}$ -schemes*, and then define the  $\infty$ -category  $\mathbf{DerAlgMan}_{\mathbb{C}}$  of *derived complex algebraic manifolds* to be the full  $\infty$ -subcategory of objects  $\mathbf{S}$  in  $\mathbf{DerSch}_{\mathbb{C}}$  which are *quasi-smooth* (have cotangent complex  $\mathbb{L}_S$  perfect in the interval  $[-0, 0]$ ), and satisfy some other niceness conditions (separated, etc.).

## Derived algebraic geometry in the $C^\infty$ world

Thus, we have ‘classical’ categories  $\mathbf{AlgMan}_{\mathbb{C}} \subset \mathbf{Sch}_{\mathbb{C}}$ , and related ‘derived’  $\infty$ -categories  $\mathbf{DerAlgMan}_{\mathbb{C}} \subset \mathbf{DerSch}_{\mathbb{C}}$ .

David Spivak (arXiv:0810.5175, Duke Math. J.), a student of Jacob Lurie, defined an  $\infty$ -category  $\mathbf{DerMan}$  of ‘derived smooth manifolds’ using a similar structure: he considered ‘classical’ categories  $\mathbf{Man} \subset \mathbf{C}^\infty\mathbf{Sch}$  and related ‘derived’  $\infty$ -categories  $\mathbf{DerMan} \subset \mathbf{DerC}^\infty\mathbf{Sch}$ . Here  $\mathbf{C}^\infty\mathbf{Sch}$  is  $C^\infty$ -schemes, and  $\mathbf{DerC}^\infty\mathbf{Sch}$  derived  $C^\infty$ -schemes. That is, before we can ‘derive’, we must first embed  $\mathbf{Man}$  into a larger category of  $C^\infty$ -schemes, singular generalizations of manifolds.

My set-up is a simplification of Spivak’s. I consider ‘classical’ categories  $\mathbf{Man} \subset \mathbf{C}^\infty\mathbf{Sch}$  and related ‘derived’ 2-categories  $\mathbf{dMan} \subset \mathbf{dSpa}$ , where  $\mathbf{dMan}$  is  $d$ -manifolds, and  $\mathbf{dSpa}$   $d$ -spaces. Here  $\mathbf{dMan}, \mathbf{dSpa}$  are roughly 2-category truncations of Spivak’s  $\mathbf{DerMan}, \mathbf{DerC}^\infty\mathbf{Sch}$  — see Borisov arXiv:1212.1153.

## 2. D-manifolds without boundary

I will concentrate today on  $d$ -manifolds *without boundary*. Lecture 4 will explain how to include boundaries and corners.

We begin by discussing  $C^\infty$ -algebraic geometry,  $C^\infty$ -rings, and  $C^\infty$ -schemes. Algebraic geometry (based on algebra and polynomials) has excellent tools for studying singular spaces – the theory of schemes.

In contrast, conventional differential geometry (based on smooth real functions and calculus) deals well with nonsingular spaces – manifolds – but poorly with singular spaces.

There is a little-known theory of schemes in differential geometry,  $C^\infty$ -schemes, going back to Lawvere, Dubuc, Moerdijk and Reyes, ... in synthetic differential geometry in the 1960s-1980s.

$C^\infty$ -schemes are essentially *algebraic* objects, on which smooth real functions and calculus make sense.

## 2.1. $C^\infty$ -rings

Let  $X$  be a manifold, and write  $C^\infty(X)$  for the smooth functions  $c : X \rightarrow \mathbb{R}$ . Then  $C^\infty(X)$  is an  $\mathbb{R}$ -algebra: we can add smooth functions  $(c, d) \mapsto c + d$ , and multiply them  $(c, d) \mapsto cd$ , and multiply by  $\lambda \in \mathbb{R}$ .

But there are many more operations on  $C^\infty(X)$  than this, e.g. if  $c : X \rightarrow \mathbb{R}$  is smooth then  $\exp(c) : X \rightarrow \mathbb{R}$  is smooth, giving  $\exp : C^\infty(X) \rightarrow C^\infty(X)$ , which is algebraically independent of addition and multiplication.

Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  be smooth. Define  $\Phi_f : C^\infty(X)^n \rightarrow C^\infty(X)$  by  $\Phi_f(c_1, \dots, c_n)(x) = f(c_1(x), \dots, c_n(x))$  for all  $x \in X$ . Then addition comes from  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ ,  $f : (x, y) \mapsto x + y$ , multiplication from  $(x, y) \mapsto xy$ , etc. This huge collection of algebraic operations  $\Phi_f$  make  $C^\infty(X)$  into an algebraic object called a  $C^\infty$ -ring.

### Definition

A  $C^\infty$ -ring is a set  $\mathfrak{C}$  together with  $n$ -fold operations  $\Phi_f : \mathfrak{C}^n \rightarrow \mathfrak{C}$  for all smooth maps  $f : \mathbb{R}^n \rightarrow \mathbb{R}$ ,  $n \geq 0$ , satisfying:

Let  $m, n \geq 0$ , and  $f_i : \mathbb{R}^n \rightarrow \mathbb{R}$  for  $i = 1, \dots, m$  and  $g : \mathbb{R}^m \rightarrow \mathbb{R}$  be smooth functions. Define  $h : \mathbb{R}^n \rightarrow \mathbb{R}$  by

$$h(x_1, \dots, x_n) = g(f_1(x_1, \dots, x_n), \dots, f_m(x_1, \dots, x_n)),$$

for  $(x_1, \dots, x_n) \in \mathbb{R}^n$ . Then for all  $c_1, \dots, c_n$  in  $\mathfrak{C}$  we have

$$\Phi_h(c_1, \dots, c_n) = \Phi_g(\Phi_{f_1}(c_1, \dots, c_n), \dots, \Phi_{f_m}(c_1, \dots, c_n)).$$

Also defining  $\pi_j : (x_1, \dots, x_n) \mapsto x_j$  for  $j = 1, \dots, n$  we have

$$\Phi_{\pi_j}(c_1, \dots, c_n) \mapsto c_j.$$

A *morphism* of  $C^\infty$ -rings is  $\phi : \mathfrak{C} \rightarrow \mathfrak{D}$  with

$$\Phi_f \circ \phi^n = \phi \circ \Phi_f : \mathfrak{C}^n \rightarrow \mathfrak{D} \text{ for all smooth } f : \mathbb{R}^n \rightarrow \mathbb{R}.$$

Write  **$C^\infty$ Rings** for the category of  $C^\infty$ -rings.

## Examples of $C^\infty$ -rings

Then  $C^\infty(X)$  is a  $C^\infty$ -ring for any manifold  $X$ , and from  $C^\infty(X)$  we can recover  $X$  up to canonical isomorphism.

If  $f : X \rightarrow Y$  is smooth then  $f^* : C^\infty(Y) \rightarrow C^\infty(X)$  is a morphism of  $C^\infty$ -rings; conversely, if  $\phi : C^\infty(Y) \rightarrow C^\infty(X)$  is a morphism of  $C^\infty$ -rings then  $\phi = f^*$  for some unique smooth  $f : X \rightarrow Y$ . This gives a *full and faithful functor*  $F : \mathbf{Man} \rightarrow \mathbf{C}^\infty\mathbf{Rings}^{\text{op}}$  by  $F : X \mapsto C^\infty(X)$ ,  $F : f \mapsto f^*$ .

Thus, we can think of manifolds as examples of  $C^\infty$ -rings, and  $C^\infty$ -rings as generalizations of manifolds. But there are many more  $C^\infty$ -rings than manifolds. For example,  $C^0(X)$  is a  $C^\infty$ -ring for any topological space  $X$ .

Any  $C^\infty$ -ring  $\mathcal{C}$  has a *cotangent module*  $\Omega_{\mathcal{C}}$ . If  $\mathcal{C} = C^\infty(X)$  for  $X$  a manifold, then  $\Omega_{\mathcal{C}} = C^\infty(T^*X)$ .

## 2.2. $C^\infty$ -schemes

We can now develop the whole machinery of scheme theory in algebraic geometry, replacing rings or algebras by  $C^\infty$ -rings throughout — see my arXiv:1104.4951, arXiv:1001.0023.

A  *$C^\infty$ -ringed space*  $\underline{X} = (X, \mathcal{O}_X)$  is a topological space  $X$  with a sheaf of  $C^\infty$ -rings  $\mathcal{O}_X$ . Write  $\mathbf{C}^\infty\mathbf{RS}$  for the category of  $C^\infty$ -ringed spaces.

The *global sections functor*  $\Gamma : \mathbf{C}^\infty\mathbf{RS} \rightarrow \mathbf{C}^\infty\mathbf{Rings}^{\text{op}}$  maps  $\Gamma : (X, \mathcal{O}_X) \mapsto \mathcal{O}_X(X)$ . It has a right adjoint, the *spectrum functor*  $\text{Spec} : \mathbf{C}^\infty\mathbf{Rings}^{\text{op}} \rightarrow \mathbf{C}^\infty\mathbf{RS}$ . That is, for each  $C^\infty$ -ring  $\mathcal{C}$  we construct a  $C^\infty$ -ringed space  $\text{Spec } \mathcal{C}$ . Points  $x \in \text{Spec } \mathcal{C}$  are  $\mathbb{R}$ -algebra morphisms  $x : \mathcal{C} \rightarrow \mathbb{R}$  (this implies  $x$  is a  $C^\infty$ -ring morphism). We don't use prime ideals.

On the subcategory of *fair*  $C^\infty$ -rings,  $\text{Spec}$  is full and faithful.

A  $C^\infty$ -ringed space  $\underline{X}$  is called an *affine  $C^\infty$ -scheme* if  $\underline{X} \cong \text{Spec } \mathfrak{C}$  for some  $C^\infty$ -ring  $\mathfrak{C}$ . We call  $\underline{X}$  a  *$C^\infty$ -scheme* if  $\underline{X}$  can be covered by open subsets  $U$  with  $(U, \mathcal{O}_X|_U)$  an affine  $C^\infty$ -scheme. Write  $\mathbf{C}^\infty\mathbf{Sch}$  for the full subcategory of  $C^\infty$ -schemes in  $\mathbf{C}^\infty\mathbf{RS}$ .

If  $X$  is a manifold, define a  $C^\infty$ -scheme  $\underline{X} = (X, \mathcal{O}_X)$  by  $\mathcal{O}_X(U) = C^\infty(U)$  for all open  $U \subseteq X$ . Then  $\underline{X} \cong \text{Spec } C^\infty(X)$ . This defines a full and faithful embedding  $\mathbf{Man} \hookrightarrow \mathbf{C}^\infty\mathbf{Sch}$ . So we can regard manifolds as examples of  $C^\infty$ -schemes.

All *fibre products* exist in  $\mathbf{C}^\infty\mathbf{Sch}$ . In manifolds  $\mathbf{Man}$ , fibre products  $X \times_{g,Z,h} Y$  need exist only if  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are transverse. When  $g, h$  are not transverse, the fibre product  $X \times_{g,Z,h} Y$  exists in  $\mathbf{C}^\infty\mathbf{Sch}$ , but may not be a manifold.

We also define *vector bundles* and *quasicoherent sheaves* on a  $C^\infty$ -scheme  $\underline{X}$ , and write  $\text{qcoh}(\underline{X})$  for the abelian category of quasicoherent sheaves. A  $C^\infty$ -scheme  $\underline{X}$  has a well-behaved *cotangent sheaf*  $T^*\underline{X}$ .

## Differences with ordinary Algebraic Geometry

- The topology on  $C^\infty$ -schemes is finer than the Zariski topology on schemes – affine schemes are always Hausdorff. No need to introduce the étale topology.
- Can find smooth functions supported on (almost) any open set.
- (Almost) any open cover has a subordinate partition of unity.
- Our  $C^\infty$ -rings  $\mathfrak{C}$  are generally *not noetherian* as  $\mathbb{R}$ -algebras. So ideals  $I$  in  $\mathfrak{C}$  may not be finitely generated, even in  $C^\infty(\mathbb{R}^n)$ .

## 2.3. Differential graded $C^\infty$ -rings

We can define derived  $\mathbb{C}$ -schemes by replacing  $\mathbb{C}$ -algebras  $A$  by *dg  $\mathbb{C}$ -algebras*  $A^\bullet$  in the definition of  $\mathbb{C}$ -scheme — commutative differential graded  $\mathbb{C}$ -algebras in degrees  $\leq 0$ , of the form

$\dots \rightarrow A^{-2} \xrightarrow{d} A^{-1} \xrightarrow{d} A^0$ , where  $A^0$  is an ordinary  $\mathbb{C}$ -algebra.

The corresponding ‘classical’  $\mathbb{C}$ -algebra is  $H^0(A^\bullet) = A^0/d[A^{-1}]$ .

There is a parallel notion of *dg  $C^\infty$ -ring*  $\mathfrak{C}^\bullet$ , of the form

$\dots \rightarrow \mathfrak{C}^{-2} \xrightarrow{d} \mathfrak{C}^{-1} \xrightarrow{d} \mathfrak{C}^0$ , where  $\mathfrak{C}^0$  is an ordinary  $C^\infty$ -ring, and

$\mathfrak{C}^{-1}, \mathfrak{C}^{-2}, \dots$  are modules over  $\mathfrak{C}^0$ . The corresponding ‘classical’  $C^\infty$ -ring is  $H^0(\mathfrak{C}^\bullet) = \mathfrak{C}^0/d[\mathfrak{C}^{-1}]$ .

One could use dg  $C^\infty$ -rings to define ‘derived  $C^\infty$ -schemes’; an alternative is to use *simplicial  $C^\infty$ -rings*, see Spivak arXiv:0810.5175, Borisov–Noel arXiv:1112.0033, and Borisov arXiv:1212.1153.

## Square zero dg $C^\infty$ -rings

My d-spaces are a 2-category truncation of derived  $C^\infty$ -schemes.

To define them, I use a special class of dg  $C^\infty$ -rings called *square zero dg  $C^\infty$ -rings*, which form a 2-category **SZC $^\infty$ Rings**.

A dg  $C^\infty$ -ring  $\mathfrak{C}^\bullet$  is *square zero* if  $\mathfrak{C}^i = 0$  for  $i < -1$  and

$\mathfrak{C}^{-1} \cdot d[\mathfrak{C}^{-1}] = 0$ . Then  $\mathfrak{C}$  is  $\mathfrak{C}^{-1} \xrightarrow{d} \mathfrak{C}^0$ , and  $d[\mathfrak{C}^{-1}]$  is a square zero ideal in the (ordinary)  $C^\infty$ -ring  $\mathfrak{C}^0$ , and  $\mathfrak{C}^{-1}$  is a module over the ‘classical’  $C^\infty$ -ring  $H^0(\mathfrak{C}^\bullet) = \mathfrak{C}^0/d[\mathfrak{C}^{-1}]$ .

A 1-morphism  $\alpha^\bullet : \mathfrak{C}^\bullet \rightarrow \mathfrak{D}^\bullet$  in **SZC $^\infty$ Rings** is maps

$\alpha^0 : \mathfrak{C}^0 \rightarrow \mathfrak{D}^0$ ,  $\alpha^{-1} : \mathfrak{C}^{-1} \rightarrow \mathfrak{D}^{-1}$  preserving all the structure.

Then  $H^0(\alpha^\bullet) : H^0(\mathfrak{C}) \rightarrow H^0(\mathfrak{D})$  is a morphism of  $C^\infty$ -rings.

For 1-morphisms  $\alpha^\bullet, \beta^\bullet : \mathfrak{C}^\bullet \rightarrow \mathfrak{D}^\bullet$  a 2-morphism  $\eta : \alpha^\bullet \Rightarrow \beta^\bullet$  is a linear  $\eta : \mathfrak{C}^0 \rightarrow \mathfrak{D}^{-1}$  with  $\beta^0 = \alpha^0 + d \circ \eta$  and  $\beta^{-1} = \alpha^{-1} + \eta \circ d$ .

There is an embedding of (2-)categories **C $^\infty$ Rings**  $\subset$  **SZC $^\infty$ Rings** as the (2-)subcategory of  $\mathfrak{C}^\bullet$  with  $\mathfrak{C}^{-1} = 0$ .



## Cotangent complexes in the 2-category setting

Let  $\mathfrak{C}^\bullet$  be a square zero dg  $C^\infty$ -ring. Define the *cotangent complex*  $\mathbb{L}_{\mathfrak{C}^\bullet}^{-1} \xrightarrow{d_{\mathfrak{C}^\bullet}} \mathbb{L}_{\mathfrak{C}^\bullet}^0$  to be the 2-term complex of  $H^0(\mathfrak{C}^\bullet)$ -modules

$$\mathfrak{C}^{-1} \xrightarrow{d_{\text{DR}^{\text{od}}}} \Omega_{\mathfrak{C}^0} \otimes_{\mathfrak{C}^0} H^0(\mathfrak{C}^\bullet),$$

regarded as an element of the 2-category of 2-term complexes of  $H^0(\mathfrak{C}^\bullet)$ -modules. Let  $\alpha^\bullet, \beta^\bullet : \mathfrak{C}^\bullet \rightarrow \mathfrak{D}^\bullet$  be 1-morphisms and

$\eta : \alpha^\bullet \Rightarrow \beta^\bullet$  a 2-morphism in **SZC $^\infty$ Rings**. Then

$H^0(\alpha^\bullet) = H^0(\beta^\bullet)$ , so we may regard  $\mathfrak{D}^{-1}$  as an  $H^0(\mathfrak{C}^\bullet)$ -module.

And  $\eta : \mathfrak{C}^0 \rightarrow \mathfrak{D}^{-1}$  is a derivation, so it factors through an

$H^0(\mathfrak{C}^\bullet)$ -linear map  $\hat{\eta} : \Omega_{\mathfrak{C}^0} \otimes_{\mathfrak{C}^0} H^0(\mathfrak{C}^\bullet) \rightarrow \mathfrak{D}^{-1}$ . We have a diagram

$$\begin{array}{ccc} \mathbb{L}_{\mathfrak{C}^\bullet}^{-1} & \xrightarrow{d_{\mathfrak{C}^\bullet}} & \mathbb{L}_{\mathfrak{C}^\bullet}^0 \\ \mathbb{L}_\alpha^{-1} \downarrow \downarrow \mathbb{L}_\beta^{-1} & \hat{\eta} \nearrow & \mathbb{L}_\alpha^0 \downarrow \downarrow \mathbb{L}_\beta^0 \\ \mathbb{L}_{\mathfrak{D}^\bullet}^{-1} & \xrightarrow{d_{\mathfrak{D}^\bullet}} & \mathbb{L}_{\mathfrak{D}^\bullet}^0 \end{array}$$

So 1-morphisms induce morphisms, and 2-morphisms homotopies, of virtual cotangent modules.

## Examples of square zero dg $C^\infty$ -rings

Let  $V$  be a manifold,  $E \rightarrow V$  a vector bundle, and  $s : V \rightarrow E$  a smooth section. Then we call  $(V, E, s)$  a *Kuranishi neighbourhood* (compare Kuranishi spaces); for d-orbifolds, we take  $V$  an orbifold.

Associate a square zero dg  $C^\infty$ -ring  $\mathfrak{C}^{-1} \xrightarrow{d} \mathfrak{C}^0$  to  $(V, E, s)$  by

$$\begin{aligned} \mathfrak{C}^0 &= C^\infty(V)/I_s^2, & \mathfrak{C}^{-1} &= C^\infty(E^*)/I_s \cdot C^\infty(E^*), \\ d(\epsilon + I_s \cdot C^\infty(E^*)) &= \epsilon(s) + I_s^2, \end{aligned}$$

where  $I_s = C^\infty(E^*) \cdot s \subset C^\infty(V)$  is the ideal generated by  $s$ .

The d-manifold  $\mathbf{X}$  associated to  $(V, E, s)$  is  $\text{Spec } \mathfrak{C}^\bullet$ . It only knows about functions on  $V$  up to  $O(s^2)$ , and sections of  $E$  up to  $O(s)$ .



## 2.4. D-spaces

A *d-space*  $\mathbf{X}$  is a topological space  $X$  with a sheaf of square zero dg- $C^\infty$ -rings  $\mathcal{O}_{\mathbf{X}}^\bullet = \mathcal{O}_X^{-1} \xrightarrow{d} \mathcal{O}_X^0$ , such that  $\underline{X} = (X, H^0(\mathcal{O}_{\mathbf{X}}^\bullet))$  and  $(X, \mathcal{O}_X^0)$  are  $C^\infty$ -schemes, and  $\mathcal{O}_X^{-1}$  is quasicoherent over  $\underline{X}$ . We call  $\underline{X}$  the *underlying classical  $C^\infty$ -scheme*.

D-spaces form a strict 2-category  $\mathbf{dSpa}$ , with 1-morphisms and 2-morphisms defined using sheaves of 1-morphisms and 2-morphisms in  $\mathbf{SZC}^\infty\mathbf{Rings}$  in the obvious way.

All fibre products exist in  $\mathbf{dSpa}$ .

$C^\infty$ -schemes include into d-spaces as those  $\mathbf{X}$  with  $\mathcal{O}_X^{-1} = 0$ .

Thus we have inclusions of (2-)categories  $\mathbf{Man} \subset \mathbf{C}^\infty\mathbf{Sch} \subset \mathbf{dSpa}$ , so manifolds are examples of d-spaces.

The *cotangent complex*  $\mathbb{L}_{\mathbf{X}}^\bullet$  of  $\mathbf{X}$  is the sheaf of cotangent complexes of  $\mathcal{O}_{\mathbf{X}}^\bullet$ , a 2-term complex  $\mathbb{L}_{\mathbf{X}}^{-1} \xrightarrow{d_{\mathbf{X}}} \mathbb{L}_{\mathbf{X}}^0$  of quasicoherent sheaves on  $\underline{X}$ . Such complexes form a 2-category  $\mathrm{qcoh}^{[-1,0]}(\underline{X})$ .

## 2.5. D-manifolds

A *d-manifold*  $\mathbf{X}$  of *virtual dimension*  $n \in \mathbb{Z}$  is a d-space  $\mathbf{X}$  whose topological space  $X$  is Hausdorff and second countable, and such that  $\mathbf{X}$  is covered by open d-subspaces  $\mathbf{Y} \subset \mathbf{X}$  with equivalences  $\mathbf{Y} \simeq U \times_{g,W,h} V$ , where  $U, V, W$  are manifolds with  $\dim U + \dim V - \dim W = n$ , and  $g : U \rightarrow W, h : V \rightarrow W$  are smooth maps, and  $U \times_{g,W,h} V$  is the fibre product in the 2-category  $\mathbf{dSpa}$ . (The 2-category structure is *essential* to define the fibre product here.)

Write  $\mathbf{dMan}$  for the full 2-subcategory of d-manifolds in  $\mathbf{dSpa}$ .

Alternatively, we can write the local models as  $\mathbf{Y} \simeq V \times_{0,E,s} V$ , where  $V$  is a manifold,  $E \rightarrow V$  a vector bundle,  $s : V \rightarrow E$  a smooth section, and  $n = \dim V - \mathrm{rank} E$ . Then  $(V, E, s)$  is a *Kuranishi neighbourhood* on  $\mathbf{X}$  (compare with Kuranishi spaces). We call such  $V \times_{0,E,s} V$  *affine d-manifolds*.

## 2.6. D-orbifolds, d-manifolds with corners

In a similar way, I define 2-categories of *d-stacks*  $\mathbf{dSta}$ , which are a Deligne–Mumford stack version of d-spaces locally modelled on quotients  $[\mathbf{X}/G]$  for  $\mathbf{X}$  a d-space and  $G$  a finite group, and *d-orbifolds*  $\mathbf{dOrb} \subset \mathbf{dSta}$ . D-orbifolds  $\mathbf{X}$  are locally modelled by Kuranishi neighbourhoods  $(V, E, s)$  with  $V$  an orbifold,  $E \rightarrow V$  a vector bundle and  $s : V \rightarrow E$  a smooth section (that is,  $\mathbf{X}$  is locally equivalent to a fibre product  $V \times_{0, E, s} V$  in  $\mathbf{dSta}$ ).

I also define 2-categories  $\mathbf{dSpa}^b, \mathbf{dSpa}^c, \mathbf{dMan}^b, \mathbf{dMan}^c, \mathbf{dSta}^b, \mathbf{dSta}^c, \mathbf{dOrb}^b, \mathbf{dOrb}^c$  of d-spaces, d-manifolds, d-stacks and d-orbifolds *with boundary*, and *with corners*.

Many moduli spaces of  $J$ -holomorphic curves will be d-orbifolds with corners. Doing ‘things with corners’ properly, especially in the derived context, is more complicated than you would expect. I will say more about this in Lecture 4.

## 2.7. Why should $\mathbf{dMan}$ be a 2-category?

Here is one reason why any class of ‘derived manifolds’ should be (at least) a 2-category. One property we want of  $\mathbf{dMan}$  (or of Kuranishi spaces, etc.) is that it contains manifolds  $\mathbf{Man}$  as a subcategory, and if  $X, Y, Z$  are manifolds and  $g : X \rightarrow Z, h : Y \rightarrow Z$  are smooth then a fibre product  $\mathbf{W} = X \times_{g, Z, h} Y$  should exist in  $\mathbf{dMan}$ , characterized by a universal property in  $\mathbf{dMan}$ , and should be a d-manifold of ‘virtual dimension’

$$\text{vdim } \mathbf{W} = \dim X + \dim Y - \dim Z.$$

Note that  $g, h$  need not be transverse, and  $\text{vdim } \mathbf{W}$  may be negative. Consider the case  $X = Y = *$ , the point,  $Z = \mathbb{R}$ , and  $g, h : * \mapsto 0$ . If  $\mathbf{dMan}$  were an ordinary category then as  $*$  is a terminal object, the unique fibre product  $* \times_{0, \mathbb{R}, 0} *$  would be  $*$ . But this has virtual dimension 0, not  $-1$ . So  $\mathbf{dMan}$  must be some kind of higher category.

## Why is a 2-category enough?

Usually in derived algebraic geometry, one considers an  $\infty$ -category of objects (derived stacks, etc.). But we work in a 2-category, a truncation of Spivak's  $\infty$ -category of derived manifolds.

Here are two reasons why this truncation does not lose important information. Firstly, d-manifolds correspond to *quasi-smooth* derived schemes  $\mathbf{X}$ , whose cotangent complexes  $\mathbb{L}_{\mathbf{X}}$  lie in degrees  $[-1, 0]$ . So  $\mathbb{L}_{\mathbf{X}}$  lies in a 2-category of complexes, not an  $\infty$ -category. Note that  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is étale in  $\mathbf{dMan}$  iff  $\Omega_{\mathbf{f}} : \mathbf{f}^*(\mathbb{L}_{\mathbf{Y}}) \rightarrow \mathbb{L}_{\mathbf{X}}$  is an equivalence.

Secondly, the existence of *partitions of unity* in differential geometry means that our structure sheaves  $\mathcal{O}_{\mathbf{X}}$  are 'fine' or 'soft', which simplifies behaviour. Partitions of unity are also essential in gluing by equivalences in  $\mathbf{dMan}$ . Our '2-category style derived geometry' would not work well in a conventional algebro-geometric context, rather than a differential-geometric one.

## 3. 'Standard model' d-manifolds

Let  $V$  be a manifold,  $E \rightarrow V$  a vector bundle, and  $s : V \rightarrow E$  a smooth section. Then we can define an explicit affine d-manifold  $\mathbf{S}_{V,E,s}$  in a 2-Cartesian diagram in  $\mathbf{dMan}$ :

$$\begin{array}{ccc}
 \mathbf{S}_{V,E,s} & \xrightarrow{\pi} & \mathbf{V} \\
 \downarrow \pi & \eta \Uparrow & \downarrow 0 \\
 \mathbf{V} & \xrightarrow{s} & \mathbf{E}
 \end{array}$$

We have  $\mathbf{S}_{V,E,s} = \text{Spec } \mathfrak{C}^\bullet$ , for  $\mathfrak{C}^\bullet$  as in the example in §2.3. We call  $\mathbf{S}_{V,E,s}$  a 'standard model' d-manifold. It is similar to *Kuranishi neighbourhoods* in Fukaya–Oh–Ohta–Ono's Kuranishi spaces. It has dimension  $\text{vdim } \mathbf{S}_{V,E,s} = \dim V - \text{rank } E$ . Every affine d-manifold is equivalent to some  $\mathbf{S}_{V,E,s}$ , and every d-manifold is locally equivalent to some  $\mathbf{S}_{V,E,s}$ .

## 'Standard model' 1-morphisms

Let  $V, W$  be manifolds,  $E \rightarrow V, F \rightarrow W$  vector bundles, and  $s : V \rightarrow E, t : W \rightarrow F$  smooth sections, so we have d-manifolds  $\mathbf{S}_{V,E,s}, \mathbf{S}_{W,F,t}$ . Suppose  $f : V \rightarrow W$  is smooth, and  $\hat{f} : E \rightarrow f^*(F)$  is a morphism of vector bundles on  $V$  satisfying  $\hat{f} \circ s = f^*(t) + O(s^2)$  in  $C^\infty(f^*(F))$ . Then we define a 'standard model' 1-morphism  $\mathbf{S}_{f,\hat{f}} : \mathbf{S}_{V,E,s} \rightarrow \mathbf{S}_{W,F,t}$ . Two 1-morphisms  $\mathbf{S}_{f,\hat{f}}, \mathbf{S}_{g,\hat{g}}$  are equal iff  $g = f + O(s^2)$  and  $\hat{g} = \hat{f} + O(s)$ .

### Theorem

Every 1-morphism  $\mathbf{g} : \mathbf{S}_{V,E,s} \rightarrow \mathbf{S}_{W,F,t}$  in  $\mathbf{dMan}$  is of the form  $\mathbf{S}_{f,\hat{f}}$ , possibly after making  $V$  smaller.

### Theorem

A 'standard model' 1-morphism  $\mathbf{S}_{f,\hat{f}} : \mathbf{S}_{V,E,s} \rightarrow \mathbf{S}_{W,F,t}$  is étale (a local equivalence) in  $\mathbf{dMan}$  iff for each  $v \in V$  with  $s(v) = 0$  and  $w = f(v) \in W$ , the following sequence is exact:

$$0 \rightarrow T_v V \xrightarrow{ds(v) \oplus df(v)} E_v \oplus T_w W \xrightarrow{\hat{f}(v) \oplus -dt(w)} F_w \rightarrow 0.$$

$\mathbf{S}_{f,\hat{f}}$  is an equivalence iff also  $f|_{s^{-1}(0)} : s^{-1}(0) \rightarrow t^{-1}(0)$  is a bijection.

### Example

In Kuranishi spaces, a 'coordinate change'  $(f, \hat{f}) : (V, E, s) \rightarrow (W, F, t)$  is embeddings  $f : V \hookrightarrow W$  and  $\hat{f} : E \hookrightarrow f^*(F)$  with  $\hat{f} \circ s = f^*(t)$ ,  $f^*(TW)/TV \cong f^*(F)/E$ . The theorem shows  $\mathbf{S}_{f,\hat{f}}$  is étale, or an equivalence.

## 'Standard model' 2-morphisms

Let  $\mathbf{S}_{V,E,s}, \mathbf{S}_{W,F,t}$  be 'standard model' d-manifolds, and

$\mathbf{S}_{f,\hat{f}}, \mathbf{S}_{g,\hat{g}} : \mathbf{S}_{V,E,s} \rightarrow \mathbf{S}_{W,F,t}$  'standard model' 1-morphisms.

Suppose  $\Lambda : E \rightarrow f^*(TW)$  is a morphism of vector bundles on  $V$ , with  $g = f + \Lambda \cdot s + O(s^2)$  and  $\hat{g} = \hat{f} + \Lambda \cdot f^*(dt) + O(s)$ . Then we can define a 'standard model' 2-morphism  $\mathbf{S}_\Lambda : \mathbf{S}_{f,\hat{f}} \Rightarrow \mathbf{S}_{g,\hat{g}}$ .

Every 2-morphism  $\eta : \mathbf{S}_{f,\hat{f}} \Rightarrow \mathbf{S}_{g,\hat{g}}$  is  $\mathbf{S}_\Lambda$  for some  $\Lambda$ . Also  $\mathbf{S}_\Lambda = \mathbf{S}_{\Lambda'}$  iff  $\Lambda' = \Lambda + O(s)$ .

These 'standard models' give a very explicit geometric picture of objects, 1- and 2-morphisms in  $\mathbf{dMan}$ . The  $O(s), O(s^2)$  notation tells you how much information about  $V, E, s$  the d-manifolds and morphisms remember.

One should use these ideas to relate d-manifolds/d-orbifolds and Kuranishi spaces, and to see how to make a new, 2-categorical definition of Kuranishi spaces.

## 4. Differential geometry of d-manifolds

### 4.1. Cotangent complexes of d-manifolds

If  $\mathbf{X}$  is a d-manifold, its cotangent complex  $\mathbb{L}_{\mathbf{X}}^\bullet$  is *perfect*, that is,  $\mathbb{L}_{\mathbf{X}}^\bullet$  is equivalent locally on  $\underline{X}$  in the 2-category  $\mathrm{qcoh}^{[-1,0]}(\underline{X})$  of 2-term complexes of quasicoherent sheaves on  $\underline{X}$  to a complex of vector bundles  $\mathcal{E}^{-1} \rightarrow \mathcal{E}^0$ , and  $\mathrm{rank} \mathcal{E}^0 - \mathrm{rank} \mathcal{E}^{-1} = \mathrm{vdim} \mathbf{X}$ .

For  $x \in \mathbf{X}$ , define the *cotangent space*  $T_x^* \mathbf{X} = H^0(\mathbb{L}_{\mathbf{X}}|_x)$  and the *obstruction space*  $O_x \mathbf{X} = H^{-1}(\mathbb{L}_{\mathbf{X}}|_x)$ , with  $\dim T_x^* \mathbf{X} - \dim O_x \mathbf{X} = \mathrm{vdim} \mathbf{X}$ . A 1-morphism of d-manifolds  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  induces a 1-morphism  $\mathrm{df} : \underline{f}^*(\mathbb{L}_{\mathbf{Y}}^\bullet) \rightarrow \mathbb{L}_{\mathbf{X}}^\bullet$  in  $\mathrm{qcoh}^{[-1,0]}(\underline{X})$ .

#### Theorem

A 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{dMan}$  is *étale* if and only if  $\mathrm{df} : \underline{f}^*(\mathbb{L}_{\mathbf{Y}}^\bullet) \rightarrow \mathbb{L}_{\mathbf{X}}^\bullet$  is an equivalence in  $\mathrm{qcoh}^{[-1,0]}(\underline{X})$ , if and only if  $H^0(\mathrm{df}|_x) : T_{f(x)}^* \mathbf{Y} \rightarrow T_x^* \mathbf{X}$  and  $H^{-1}(\mathrm{df}|_x) : O_{f(x)}^* \mathbf{Y} \rightarrow O_x^* \mathbf{X}$  are isomorphisms for all  $x \in \mathbf{X}$ .

## 4.2. D-transversality and fibre products

Let  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  be smooth maps of manifolds. Then  $g, h$  are *transverse* if for all  $x \in X$ ,  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ , the map  $dg|_x \oplus dh|_y : T_z^*Z \rightarrow T_x^*X \oplus T_y^*Y$  is injective. If  $g, h$  are transverse then a fibre product  $X \times_{g,Z,h} Y$  exists in **Man**.

Similarly, we call 1-morphisms of d-manifolds  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$ ,

$\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  *d-transverse* if for all  $x \in \mathbf{X}$ ,  $y \in \mathbf{Y}$  with

$\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$ , the map

$H^{-1}(d\mathbf{g}|_x) \oplus H^{-1}(d\mathbf{h}|_y) : O_z^*\mathbf{Z} \rightarrow O_x^*\mathbf{X} \oplus O_y^*\mathbf{Y}$  is injective.

Note that d-transversality is *much weaker* than transversality of manifolds, and often holds automatically.

### Theorem

Let  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  be d-transverse 1-morphisms in **dMan**. Then a fibre product  $\mathbf{W} = \mathbf{X} \times_{\mathbf{g},\mathbf{Z},\mathbf{h}} \mathbf{Y}$  exists in **dMan**.

If  $\mathbf{Z}$  is a manifold,  $O_z^*\mathbf{Z} = 0$  and d-transversality is trivial, giving:

### Corollary

All fibre products of the form  $\mathbf{X} \times_{\mathbf{Z}} \mathbf{Y}$  with  $\mathbf{X}, \mathbf{Y}$  d-manifolds and  $\mathbf{Z}$  a manifold exist in the 2-category **dMan**.

The same holds in **dOrb**. This is a very useful property of d-manifolds and d-orbifolds. For example, moduli spaces  $\bar{\mathcal{M}}_k(\gamma)$  of  $J$ -holomorphic discs in homology class  $\gamma$  in a symplectic manifold with boundary in a Lagrangian  $L$  and  $k$  boundary marked points are d-orbifolds with corners satisfying

$$\partial \bar{\mathcal{M}}_k(\gamma) = \coprod_{i+j=k, \alpha+\beta=\gamma} \bar{\mathcal{M}}_{i+1}(\alpha) \times_L \bar{\mathcal{M}}_{j+1}(\beta),$$

where the d-orbifold fibre products over the manifold  $L$  exist.

## 4.3. Gluing by equivalences

A 1-morphism  $f : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{dMan}$  is an *equivalence* if there exist  $g : \mathbf{Y} \rightarrow \mathbf{X}$  and 2-morphisms  $\eta : g \circ f \Rightarrow \text{id}_{\mathbf{X}}$  and  $\zeta : f \circ g \Rightarrow \text{id}_{\mathbf{Y}}$ .

### Theorem

Let  $\mathbf{X}, \mathbf{Y}$  be  $d$ -manifolds,  $\emptyset \neq \mathbf{U} \subseteq \mathbf{X}$ ,  $\emptyset \neq \mathbf{V} \subseteq \mathbf{Y}$  open  $d$ -submanifolds, and  $f : \mathbf{U} \rightarrow \mathbf{V}$  an equivalence. Suppose the topological space  $Z = X \cup_{U=V} Y$  made by gluing  $X, Y$  using  $f$  is Hausdorff. Then there exists a  $d$ -manifold  $\mathbf{Z}$ , unique up to equivalence, open  $\hat{\mathbf{X}}, \hat{\mathbf{Y}} \subseteq \mathbf{Z}$  with  $\mathbf{Z} = \hat{\mathbf{X}} \cup \hat{\mathbf{Y}}$ , equivalences  $g : \mathbf{X} \rightarrow \hat{\mathbf{X}}$  and  $h : \mathbf{Y} \rightarrow \hat{\mathbf{Y}}$ , and a 2-morphism  $\eta : g|_{\mathbf{U}} \Rightarrow h \circ f$ .

Equivalence is the natural notion of when two objects in  $\mathbf{dMan}$  are 'the same'. In the theorem  $\mathbf{Z}$  is a *pushout*  $\mathbf{X} \amalg_{\text{id}_{\mathbf{U}}, \mathbf{U}, f} \mathbf{Y}$  in  $\mathbf{dMan}$ .

The theorem generalizes to gluing families of  $d$ -manifolds  $\mathbf{X}_i : i \in I$  by equivalences on double overlaps  $\mathbf{X}_i \cap \mathbf{X}_j$ , with (weak) conditions on triple overlaps  $\mathbf{X}_i \cap \mathbf{X}_j \cap \mathbf{X}_k$ .

We can take the  $\mathbf{X}_i$  to be 'standard model'  $d$ -manifolds  $\mathbf{S}_{V_i, E_i, S_i}$ , and the equivalences on overlaps  $\mathbf{X}_i \cap \mathbf{X}_j$  to be 1-morphisms  $\mathbf{S}_{e_{ij}, \hat{e}_{ij}}$ . This is very useful for proving existence of  $d$ -manifold structures on moduli spaces.