

# Conjectures on counting associative 3-folds in $G_2$ -manifolds

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These slides available at  
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Plan of talk:

- 1  $G_2$ -manifolds and associative 3-folds
- 2 Different kinds of invariants
- 3 Conjectures on counting associative 3-folds

Disclaimer

*Everything may be false.*

# 1. $G_2$ -manifolds and associative 3-folds

Let  $(X, g)$  be a Riemannian manifold, and  $x \in X$ . The *holonomy group*  $\text{Hol}(g)$  is the group of isometries of  $T_x X$  given by parallel transport using the Levi-Civita connection  $\nabla$  around loops in  $X$  based at  $x$ . They were classified by Berger:

## Theorem (Berger, 1955)

Suppose  $X$  is simply-connected of dimension  $n$  and  $g$  is irreducible and nonsymmetric. Then either: (i)  $\text{Hol}(g) = \text{SO}(n)$  [generic];

(ii)  $n = 2m \geq 4$  and  $\text{Hol}(g) = \text{U}(m)$ , [Kähler manifolds];

(iii)  $n = 2m \geq 4$  and  $\text{Hol}(g) = \text{SU}(m)$ , [Calabi–Yau  $m$ -folds];

(iv)  $n = 4m \geq 8$  and  $\text{Hol}(g) = \text{Sp}(m)$ , [hyperkähler];

(v)  $n = 4m \geq 8$  and  $\text{Hol}(g) = \text{Sp}(m) \text{Sp}(1)$ , [quaternionic Kähler];

(vi)  $n = 7$  and  $\text{Hol}(g) = G_2$ , [exceptional holonomy] or

(vii)  $n = 8$  and  $\text{Hol}(g) = \text{Spin}(7)$  [exceptional holonomy].

We are interested in 7-manifolds  $(X, g)$  with holonomy  $G_2$ . Then  $g$  is Ricci-flat. Any such  $X$  has a natural closed 3-form  $\varphi$  and closed 4-form  $*\varphi$ , and I refer to  $(X, \varphi, *\varphi)$  as a  $G_2$ -manifold.

Many examples of compact 7-manifolds  $(X, \varphi, *\varphi)$  with holonomy  $G_2$  were constructed by Joyce (1996), Kovalev (2003), and Corti–Haskins–Kordström–Pacini (2015). They are important in String Theory and M-theory. The moduli space of holonomy  $G_2$ -metrics on a compact  $X$  is smooth of dimension  $b^3(X)$ .

If  $(X, \varphi, *\varphi)$  is a  $G_2$ -manifold then  $\varphi, *\varphi$  are calibrations on  $(X, g)$ , in the sense of Harvey–Lawson, so we have natural classes of calibrated submanifolds, called *associative 3-folds*, and *coassociative 4-folds*. They are minimal submanifolds in  $(X, g)$ .

The deformation theory of compact associatives and coassociatives was studied by McLean (1998). Both are controlled by an elliptic equation, and so are well behaved. Moduli spaces of associative 3-folds  $N$  may be obstructed, and have virtual dimension 0. Moduli spaces of coassociative 4-folds  $C$  are smooth of dimension  $b_+^2(C)$ .

## An analogy between $G_2$ -manifolds and Calabi–Yau 3-folds

There is a strong analogy:

$G_2$ -manifolds $(X, \varphi, * \varphi)$	$\leftrightarrow$	Calabi–Yau 3-folds $(Y, J, h)$
$G_2$ 3-form $\varphi$	$\leftrightarrow$	Kähler form $\omega$ of $h$
$G_2$ 4-form $* \varphi$	$\leftrightarrow$	complex structure $J$
Associative 3-folds $N$	$\leftrightarrow$	$J$ -holomorphic curves $\Sigma$ in $Y$
Coassociative 4-folds $C$	$\leftrightarrow$	special Lagrangians $L$ in $Y$ .

For instance, if  $Y$  is a Calabi–Yau 3-fold then  $X = Y \times \mathcal{S}^1$  is a  $G_2$ -manifold, and  $J$ -holomorphic curves  $\Sigma$ , special Lagrangians  $L$  map to associative 3-folds  $\Sigma \times \mathcal{S}^1$  and coassociative 4-folds  $L \times \mathcal{S}^1$  in  $Y \times \mathcal{S}^1$ . Now lots of exciting mathematics is known about Calabi–Yau 3-folds, so we can ask how much of this may extend to  $G_2$ -manifolds. Today we ask whether theories on ‘counting’  $J$ -holomorphic curves in Calabi–Yau 3-folds extend to theories on ‘counting’ associative 3-folds in  $G_2$ -manifolds.

## Invariant theories in Symplectic Geometry

Let  $(Y, \omega)$  be a compact symplectic manifold (e.g. a Calabi–Yau 3-fold) and  $J$  an almost complex structure on  $Y$  compatible with  $\omega$ . Then symplectic geometers can define:

- The *Gromov–Witten invariants*  $GW_{g,k}(\alpha)$  of  $(Y, \omega)$  ‘counting’  $J$ -holomorphic curves  $\Sigma$  with genus  $g$  and  $k$  marked points in homology class  $\alpha \in H_2(Y; \mathbb{Z})$ .
- The *Lagrangian Floer cohomology groups*  $HF^*(L_1, L_2)$  of compact Lagrangians  $L_1, L_2$  in  $Y$ .
- The *Fukaya category*  $D^b \mathcal{F}(Y)$ .

All of these are defined by ‘counting’  $J$ -holomorphic curves  $\Sigma$  in  $Y$  satisfying some conditions, but have the magic property that they are independent of the choice of  $J$ . That is, we can deform  $J$  through a smooth family  $J_t : t \in [0, 1]$ , but the structures defined using  $J_t$  do not change (up to canonical isomorphism).

## Invariant theories in $G_2$ geometry?

So by analogy with Calabi–Yau 3-folds, we can ask:

### Question 1

*Given a compact  $G_2$ -manifold  $(X, \varphi, * \varphi)$ , can we define interesting theories analogous to Gromov–Witten invariants, etc., by ‘counting’ associative 3-folds in  $X$ , so that the answer is unchanged under continuous deformations of  $* \varphi$ ?*

Actually, this is not yet a good question. In the symplectic case we fix  $\omega$  and vary  $J$ . We have an analogy  $\varphi \leftrightarrow \omega$ ,  $* \varphi \leftrightarrow J$ , so it would seem natural to consider varying the  $G_2$ -structure so that  $\varphi$  is fixed and  $* \varphi$  varies. But  $* \varphi$  is determined by  $\varphi$ , so this makes no sense. Our solution is to enlarge the class of  $G_2$ -manifolds we consider. Following Donaldson and Segal, we define *Tamed Almost  $G_2$ -manifolds*, or *TA  $G_2$ -manifolds*,  $(X, \varphi, \psi)$  to be a 7-manifold  $X$  with a closed  $G_2$  3-form  $\varphi$  and a closed  $G_2$  4-form  $\psi$  which satisfy a pointwise compatibility condition that is weaker than  $\psi = * \varphi$ .

We can add an extra line to our analogy:

TA  $G_2$ -manifolds  $(X, \varphi, \psi) \leftrightarrow$  symplectic manifold  $(Y, \omega)$   
 with compatible almost structure  $J$ .

Then associative 3-folds  $N$  in a TA  $G_2$ -manifold  $(X, \varphi, \psi)$  depend only on  $\psi$ , but the natural notion of ‘volume’ is  $\text{vol}(N) = \int_N \varphi$ , just as  $J$ -holomorphic curves  $\Sigma$  depend only on  $J$  but have volume  $\int_\Sigma \omega$ . We refine Question 1 to:

### Question 2

*Given a compact TA  $G_2$ -manifold  $(X, \varphi, \psi)$ , can we define interesting theories analogous to Gromov–Witten invariants, etc., by ‘counting’ associative 3-folds in  $X$ , so that the answer is unchanged under continuous deformations of  $(\varphi, \psi)$  fixing  $\varphi$ ?*

Answering this depends on understanding the singular behaviour of associative 3-folds, as singularities can break deformation-invariance.

## 2. Different kinds of invariants

Many important areas of geometry concern *invariants*. These have the following general structure:

- We begin with a primary geometric object  $X$  we want to study, e.g. a compact oriented 4-manifold, or a symplectic manifold.
- We choose some secondary geometric data  $\mathcal{G}$  on  $X$ , e.g. a Riemannian metric  $g$  on the 4-manifold, or an almost complex structure  $J$  on the symplectic manifold  $(X, \omega)$ . Usually  $\mathcal{G}$  lives in a large, connected, infinite-dimensional family.
- Using  $X, \mathcal{G}$  we define a nonlinear elliptic equation for objects  $E \rightarrow X$ , e.g. instantons on oriented Riemannian 4-manifold  $(X, J)$ , or  $J$ -holomorphic curves in  $(X, \omega)$ . These objects  $E$  form (compactified) moduli spaces  $\overline{\mathcal{M}}_E$ .
- We ‘count’ the moduli spaces  $\overline{\mathcal{M}}_E$  to get ‘invariants’  $[\overline{\mathcal{M}}_E]_{\text{virt}}$ . We prove the resulting numbers (or homology groups, etc.) are independent of the secondary data  $\mathcal{G}$ , and so depend only on  $X$ .

We can divide ‘invariant’ problems into four types, in decreasing order of niceness:

- Absolute invariants:** the numbers (or homology classes, etc.)  $[\overline{\mathcal{M}}_E]_{\text{virt}}$  are completely independent of secondary data  $\mathcal{G}$ .
- Invariants with cohomological wall crossing.** The  $[\overline{\mathcal{M}}_E]_{\text{virt}}$  do depend on  $\mathcal{G}$ , but in a nice way: from  $\mathcal{G}$  we can define some cohomology classes  $c(\mathcal{G})$ , and the  $[\overline{\mathcal{M}}_E]_{\text{virt}}$  change according to a rigid wall-crossing formula when  $c(\mathcal{G})$  crosses certain real hypersurfaces in its cohomology group.
- No invariant numbers, but subtle homological information conserved.** The  $[\overline{\mathcal{M}}_E]_{\text{virt}}$  depend on  $\mathcal{G}$  in a nasty way, the individual numbers change chaotically and unpredictably with  $\mathcal{G}$ , but from the family of all  $[\overline{\mathcal{M}}_E]_{\text{virt}}$  we can extract some nontrivial information – such as a cohomology group – which is independent of  $\mathcal{G}$ .
- No conserved information.** Nothing works.

It’s not always easy to tell the difference between (C) and (D).

## Examples of invariant problems of each type

- (A) **Absolute invariants.** ‘Closed String.’ Donaldson or Seiberg–Witten invariants counting instantons on closed oriented 4-manifolds  $X$  with  $b_+^2(X) > 1$ . Gromov–Witten invariants counting  $J$ -holomorphic curves in symplectic manifolds/smooth schemes.
- (B) **Invariants with wall-crossing.** ‘Counting branes/BPS states.’ Donaldson/SW invariants counting instantons on closed oriented 4-manifolds  $X$  with  $b_+^2(X) = 1$ . Donaldson–Thomas invariants. Conjecture (Joyce 1999): invariants counting SL 3-folds in  $C$ – $Y$  3-folds (mirror to D–T). Theorem–Conjecture (Borisov–Joyce 2015): invariants counting coherent sheaves on  $C$ – $Y$  4-folds.
- (C) **Invariant structures from homological algebra.** ‘Open string’. Morse flow lines and Morse homology. Instanton/SW Floer homology.  $J$ -holomorphic curves with boundary in Lagrangians; Lagrangian Floer cohomology; Fukaya categories. **Counting associative 3-folds? Counting  $G_2$ -instantons (D–S)?**
- (D) **No conserved information.** Number of squirrels in Hyde Park. ... until Kontsevich’s Homological Squirrel Conjecture?

## More about problems of type (C)

### A simple example: Morse homology and Morse flow lines

Let  $X$  be a compact manifold, and  $f : X \rightarrow \mathbb{R}$  a fixed Morse function. Pick some generic Riemannian metric  $g$  on  $X$  (the secondary data  $\mathcal{G}$ ), and for critical points  $p, q$  of  $f$ , consider the moduli spaces  $\bar{\mathcal{M}}(p, q)$  of gradient flow-lines  $\gamma : \mathbb{R} \rightarrow X$  of  $f$  (i.e.  $\frac{d}{dt}\gamma(t) = \nabla f|_{\gamma(t)}$ ) with  $\lim_{t \rightarrow -\infty} \gamma(t) = p$ ,  $\lim_{t \rightarrow \infty} \gamma(t) = q$ . Then (simplifying a bit)  $\bar{\mathcal{M}}(p, q)$  is an oriented manifold with corners, of dimension  $\mu(p) - \mu(q) - 1$ , where  $\mu(p)$  is the Morse index (number of negative e-values of  $\text{Hess}_p(f)$ ), with boundary

$$\partial \bar{\mathcal{M}}(p, r) = \coprod_{q \in \text{Crit}(f)} \bar{\mathcal{M}}(p, q) \times \bar{\mathcal{M}}(q, r). \quad (1)$$

This boundary behaviour comes from ‘broken flow-lines’ – a real codimension 1 singular behaviour of flow-lines.

We define *Morse homology*  $MH_*(X)$  as the homology of the complex  $(MC_*(X), \partial)$ , where  $MC_k(X)$  has basis  $p \in \text{Crit}(f)$  with  $\mu(p) = k$ , and  $\partial p = \sum_{q \in \text{Crit}(f): \mu(q) = k-1} \# \bar{\mathcal{M}}(p, q) \cdot q$ .

Here  $\bar{\mathcal{M}}(p, q)$  is a compact oriented 0-manifold, i.e. a finite set with signs, and we count with signs to get  $\# \bar{\mathcal{M}}(p, q)$ .

Note that these numbers  $\# \bar{\mathcal{M}}(p, q)$  are *not invariant under deformations of  $g$* .

However, the homology  $MH_*(X)$  of the complex  $(MC_*(X), \partial)$  is canonically isomorphic to  $H_*(X; \mathbb{R})$ , and so is independent of  $g$ .

The deformation-invariant information is contained in the collection of all numbers  $\# \bar{\mathcal{M}}(p, q)$ , not in individual numbers.

As we deform  $g$  through a family  $g_t: t \in [0, 1]$ , in real codimension 1 in  $t$ , a flow line  $\gamma: q \rightarrow q'$  can appear with  $\mu(q) = \mu(q')$ , so that  $\text{vdim}(\bar{\mathcal{M}}(q, q')) = -1$ . Then the numbers change by:

$$\begin{aligned} \# \bar{\mathcal{M}}(p, q') &\longmapsto \# \bar{\mathcal{M}}(p, q') \pm \# \bar{\mathcal{M}}(p, q), & \mu(p) = \mu(q) + 1, \\ \# \bar{\mathcal{M}}(q, r) &\longmapsto \# \bar{\mathcal{M}}(q, r) \mp \# \bar{\mathcal{M}}(q', r), & \mu(r) = \mu(q) - 1. \end{aligned}$$

### 3. Conjectures on counting associative 3-folds

Let  $(X, \varphi, \psi)$  be a TA  $G_2$ -manifold, and  $\alpha \in H_3(X; \mathbb{Z})$  a homology class. Write  $\mathcal{M}^\alpha(\psi)$  for the moduli space of compact associative 3-folds  $N$  in  $X$  with  $[N] = \alpha$  in  $H_3(X; \mathbb{Z})$ . McLean proved the deformation theory of  $\mathcal{M}^\alpha(\psi)$  is elliptic, possibly obstructed, with virtual dimension 0. We expect that if  $\psi$  is generic, then there are no obstructions:

#### Conjecture 1

*Let  $(X, \varphi, \psi)$  be a compact TA- $G_2$ -manifold with  $\psi$  generic in the infinite-dimensional family of closed 4-forms on  $X$ . Then moduli spaces  $\mathcal{M}^\alpha(\psi)$  of associative 3-folds  $N$  with  $[N] = \alpha \in H_3(X; \mathbb{Z})$  are smooth compact 0-manifolds (i.e. finite sets).*



## Counting invariants: elementary considerations

Assume Conjecture 1, and consider how we might define invariants counting associative 3-folds. Two big issues are:

- **Orientations of moduli spaces.** We want a way to define orientations on the moduli spaces  $\mathcal{M}^\alpha(\psi)$ . For  $\psi$  generic, the moduli spaces are compact 0-manifolds, and orientations are maps  $\text{Or} : \mathcal{M}^\alpha(\psi) \rightarrow \{\pm 1\}$ . Thus we have counts

$$\#\mathcal{M}^\alpha(\psi) = \sum_{[M] \in \mathcal{M}^\alpha(\psi)} \text{Or}([M]).$$

- **Dependence on  $\psi$ .** Let  $(\varphi_0, \psi_0), (\varphi_1, \psi_1)$  be given with  $\psi_0, \psi_1$  generic, and  $(\varphi_t, \psi_t) : t \in [0, 1]$  be a generic smooth path of TA- $G_2$ -structures between them. Then we have families  $\mathcal{M}^\alpha(\psi_t)$  for  $t \in [0, 1]$ . We expect that at finite sets of values  $0 < t_1 < \dots < t_k < 1$  of  $t$ , the moduli spaces can change, becoming singular/obstructed. Then  $\#\mathcal{M}^\alpha(\psi_t)$  may change discontinuously at  $t = t_i$ , and so not be invariants.

## Real codimension 1 singular behaviour

So, the really important issue to understand is: how can moduli spaces  $\mathcal{M}^\alpha(\psi_t)$  change in generic 1-parameter families  $(\varphi_t, \psi_t) : t \in [0, 1]$ ? That is, what is the possible singular behaviour of associative 3-folds which happens in codimension 1 of possible  $G_2$  4-forms  $\psi$ ?

Although general singularities of associatives may be too horrible to feasibly understand, it seems plausible that there may be *only finitely many kinds of singularity* that occur generically in codimension 1 – the most common kinds of singularity. We could hope to understand all these types of codimension 1 singular behaviour, at least conjecturally. Then we would understand the only ways moduli spaces  $\mathcal{M}^\alpha(\psi_t)$  can change in generic families  $(\varphi_t, \psi_t) : t \in [0, 1]$ , and we could try to build invariants, cohomology groups, etc., unaffected by these changes.

This is the approach of my paper (also compare Donaldson–Segal).



## $U(1)$ -invariant associative 3-folds in $\mathbb{R}^7$

Consider associative 3-folds  $N$  in  $\mathbb{R}^7$  invariant under the  $U(1)$ -action

$$e^{i\theta} : (x_1, \dots, x_7) \longmapsto (x_1, x_2, x_3, \cos \theta x_4 - \sin \theta x_5, \sin \theta x_4 + \cos \theta x_5, \cos \theta x_6 + \sin \theta x_7, -\sin \theta x_6 + \cos \theta x_7).$$

Define  $U(1)$ -invariant quadratic polynomials  $y_1, y_2, y_3$  on  $\mathbb{R}^7$  by

$$y_1(x_1, \dots, x_7) = x_4^2 + x_5^2 - x_6^2 - x_7^2,$$

$$y_2(x_1, \dots, x_7) = 2(x_4 x_7 + x_5 x_6),$$

$$y_3(x_1, \dots, x_7) = 2(x_4 x_6 - x_5 x_7).$$

Then  $y_1^2 + y_2^2 + y_3^2 = (x_4^2 + x_5^2 + x_6^2 + x_7^2)^2$ . Consider the map

$$\Pi = (x_1, x_2, x_3, y_1, y_2, y_3) : \mathbb{R}^7 \longrightarrow \mathbb{R}^6 = \mathbb{C}^3.$$

This induces a homeomorphism  $\bar{\Pi} : \mathbb{R}^7/U(1) \rightarrow \mathbb{R}^6$ . The  $U(1)$ -fixed set  $\mathbb{R}^3 \subset \mathbb{R}^7$  maps to  $L = \mathbb{R}^3 = \{(x_1, x_2, x_3, 0, 0, 0) : x_j \in \mathbb{R}\}$  in  $\mathbb{R}^6 = \mathbb{C}^3$ .

### Proposition

*There is a 1-1 correspondence between  $U(1)$ -invariant associative 3-folds  $N$  in  $\mathbb{R}^7$  and  $J$ -holomorphic curves  $\Sigma$  in  $\mathbb{R}^6$  with boundary  $\partial\Sigma \subset L = \mathbb{R}^3 \subset \mathbb{R}^6$  by  $\Sigma = \bar{\Pi}(N/U(1))$ , where  $J$  is an almost complex structure on  $\mathbb{R}^6$  with singularities on  $L = \mathbb{R}^3 \subset \mathbb{R}^6$ .*

Explicitly, writing  $u : \mathbb{R}^6 \rightarrow [0, \infty)$ ,

$u(x_1, x_2, x_3, y_1, y_2, y_3) = (y_1^2 + y_2^2 + y_3^2)^{1/2}$ , then in block diagonal form on  $\mathbb{R}^6 = \mathbb{R}^3 \oplus \mathbb{R}^3$ , we have

$$J = \begin{pmatrix} 0 & -\frac{1}{2}u^{-1/2} \text{id}_{\mathbb{R}^3} \\ 2u^{1/2} \text{id}_{\mathbb{R}^3} & 0 \end{pmatrix},$$

so that  $J$  is singular when  $u = 0$ . But I do not expect the singularities of  $J$  to affect the heuristic behaviour of curves  $\Sigma$ .

### Conclusion

*Counting closed associative 3-folds should be similar to counting  $J$ -holomorphic curves **with boundary**. So it may be of type (C), and we should **not** look for invariants, even with wall-crossing, but for Floer-type groups and subtle homological algebra information.*

## Invariant information from counting associative 3-folds

In my paper I make a proposal for extracting invariant information from numbers of associatives  $\#\mathcal{M}^\alpha(\psi)$ . There are three parts to it:

- (a) ‘Canonical flags’ of associative 3-folds, and defining natural orientations for associative moduli spaces  $\mathcal{M}^\alpha(\psi)$ .
- (b) Conjectural description of codimension 1 singular behaviour of associative 3-folds – six different kinds of singularity of moduli spaces.
- (c) Define a superpotential  $\Phi_\psi : \mathcal{U} \rightarrow \Lambda$ , where  $\Lambda$  is a Novikov ring of formal power series, and  $\mathcal{U}$  is an open set in  $H^3(X; \Lambda)$ . This  $\Phi_\psi$  is very roughly a generating function for  $\#\mathcal{M}^\alpha(\psi)$  for associative  $\mathbb{Q}$ -homology spheres  $N$ , plus higher contributions involving ‘linking numbers’ and ‘self-linking numbers’ of such  $N$ . **Conjecture:**  $\Phi_\psi$  is not invariant, but changes under deformations by  $\Phi_\psi \mapsto \Phi_\psi \circ \Upsilon$  for  $\Upsilon : \mathcal{U} \rightarrow \mathcal{U}$  a diffeomorphism of  $\mathcal{U}$  as a rigid analytic space. Given a choice of critical point of  $\Phi_\psi$ , we define  *$G_2$ -quantum cohomology*, an associative, supercommutative  $\Lambda$ -algebra. I expect it to be deformation-invariant up to isomorphism.

### (a) Canonical flags and orientations

Let  $X$  be an oriented 7-manifold, and  $N \subset X$  a compact, connected, oriented 3-submanifold. A *flag*  $[s]$  on  $N$  is (roughly) an isotopy class of nonvanishing sections  $s$  of the normal bundle  $\nu \rightarrow N$  of  $N$  in  $X$ . It is like a framing of a knot in  $\mathbb{R}^3$ . The set  $\text{Flag}(N)$  of flags on  $N$  is a  $\mathbb{Z}$ -torsor.

I define a *flag structure*  $F$  on 7-manifolds  $X$ , a new algebro-topological structure, giving a sign  $F(N, [s]) = \pm 1$  to each immersed flagged 3-submanifold  $(N, [s])$ , satisfying some rules.

Let  $(X, \varphi, \psi)$  be a TA- $G_2$ -manifold, and  $N \subset X$  be a compact associative 3-fold, with unobstructed deformation theory.

McLean’s deformation theory for  $N$  gives a twisted Dirac operator  $\mathbb{D}_N : \Gamma^\infty(\nu) \rightarrow \Gamma^\infty(\nu)$ , self-adjoint as  $d\psi = 0$ . By comparing  $\mathbb{D}_N$  with  $d + *d : \Gamma^\infty(\Lambda^0 T^*N \oplus \Lambda^2 T^*N) \rightarrow \Gamma^\infty(\Lambda^0 T^*N \oplus \Lambda^2 T^*N)$  and using spectral flow, I define a *canonical flag*  $[s_N]$  for  $N$ .

Given a choice of flag structure  $F$  on  $X$ , we define orientations

$\text{Or} : \mathcal{M}^\alpha(\psi) \rightarrow \{\pm 1\}$  on associative moduli spaces by

$\text{Or}(N) = F(N, [s_N])$ . I claim this is a natural (and cool) thing to do.

## (b) Conjectural codim 1 singular behaviour of associatives

In my paper I describe 6 kinds of codim 1 singularities of associatives. Here I will explain one. Suppose we have a generic 1-parameter family of TA- $G_2$ -manifolds  $(X, \varphi_t, \psi_t)$ ,  $t \in [0, 1]$ , and compact, unobstructed associatives  $N_t^1, N_t^2$  in  $(X, \varphi_t, \psi_t)$  with  $[N_t^i] = \alpha^i$  in  $H_3(X; \mathbb{Z})$ . For generic  $t \in [0, 1]$  we expect  $N_t^1 \cap N_t^2 = \emptyset$ , but at  $t_0 \in (0, 1)$  we may have  $N_{t_0}^1 \cap N_{t_0}^2 = \{p\}$ . Then (Nordström) we can create a new family of associatives  $N_t^3$  for  $t \in (t_0, 1]$  with topology the connect sum  $N_t^3 \cong N_t^1 \# N_t^2$  and homology class  $[N_t^3] = \alpha^1 + \alpha^2$ , such that for  $t$  close to  $t_0$ ,  $N_t^3$  near  $p$  resembles a small ‘Lawlor neck’  $\mathcal{S}^2 \times \mathbb{R}$  in  $T_p X \cong \mathbb{C}^3 \oplus \mathbb{R}$ .

Thus  $\# \mathcal{M}^{\alpha^1 + \alpha^2}(\psi_t)$  changes by  $\pm 1$  as  $t$  crosses  $t_0$ , so it is not invariant. We might hope to compensate for this by also counting pairs  $(N_t^1, N_t^2)$  weighted by a ‘linking number’  $\ell(N_t^1, N_t^2)$ , such that  $\ell(N_t^1, N_t^2)$  changes by  $\mp 1$  when  $N_t^1, N_t^2$  cross, to cancel the change from  $N_t^3$ . However, no such  $\ell(N_t^1, N_t^2)$  exists. On the face of it, this looks fatal for invariants counting associatives!

## (c) A superpotential counting associatives

Consider TA- $G_2$ -structures  $(\varphi, \psi)$  on  $X$  with  $[\varphi] = \gamma \in H^3(X; \mathbb{R})$  fixed. Let  $\mathbb{F}$  be the field  $\mathbb{Q}, \mathbb{R}$  or  $\mathbb{C}$ . Write  $\Lambda$  for the Novikov ring

$$\Lambda = \left\{ \sum_{i=1}^{\infty} c_i q^{\alpha_i} : c_i \in \mathbb{F}, \alpha_i \in \mathbb{R}, \alpha_i \rightarrow \infty \text{ as } i \rightarrow \infty \right\},$$

with  $q$  a formal variable. Write  $\Lambda_{>0} \subset \Lambda$  for the ideal with all  $\alpha_i > 0$ . Write  $\mathcal{U}$  for the set of group homomorphisms

$$\mathcal{U} = \text{Hom}(H_3(X; \mathbb{Z}), 1 + \Lambda_{>0}).$$

Then  $\mathcal{U}$  is a smooth rigid analytic space, roughly an open set in  $H^3(X; \Lambda)$  in the analytic topology. It has  $\mathcal{U} \cong \Lambda_{>0}^{b_3(X)}$ .

We define a superpotential  $\Phi_\psi : \mathcal{U} \rightarrow \Lambda_{>0}$ , of the form

$$\Phi_\psi = \sum_{\substack{\text{associatives } N \\ H_1(N; \mathbb{Z}) \text{ finite}}} \text{Or}(N) | H_1(N; \mathbb{Z}) | q^{[\varphi] \cdot [N]} + \text{higher order terms.}$$

These ‘higher order terms’ do involve a kind of ‘linking number’  $\ell(N^1, N^2)$ , but it needs arbitrary choices to define. They also involve canonical flags  $[s_N]$ , interpreted as a ‘self-linking number’.

I conjecture that different arbitrary choices, and deformations, change  $\Phi_\psi$  by reparametrizations  $\Phi_\psi \mapsto \Phi_\psi \circ \Upsilon$ ,  $\Upsilon$  an isomorphism.

## $G_2$ quantum cohomology

Motivated by an analogy with ‘bounding cochains’ in Fukaya–Oh–Ohta–Ono’s Lagrangian Floer theory, I believe a natural thing to do is to choose a critical point  $\theta$  of the superpotential  $\Phi_\psi$ . This is, in a sense, a deformation-invariant thing to do, as if we change  $\Phi_\psi \mapsto \Phi_{\psi'} = \Phi_\psi \circ \Upsilon$ , then we also change  $\theta \mapsto \theta' = \Upsilon^{-1}(\theta)$ . Such critical points  $\theta$  need not exist, and we call  $(X, \varphi, \psi)$  *unobstructed* if  $\text{Crit}(\Phi_\psi) \neq \emptyset$ . We can then define the  $G_2$  quantum cohomology  $QH_\theta^*(X; \Lambda)$ , which is a supercommutative algebra over the Novikov ring  $\Lambda$ , a natural deformation of  $H^*(X; \Lambda)$ , which is similar to Quantum Cohomology in Symplectic Geometry, and should be unchanged under deformations of  $(X, \varphi, \psi)$  fixing  $[\varphi]$  in  $H^3(X; \mathbb{R})$ . In my paper I also point out some possible problems in Donaldson–Segal’s programme for defining invariants counting  $G_2$ -instantons, and propose to fix these problems by including a choice of critical point  $\theta$  of  $\Phi_\psi$  in the picture.