

# Kuranishi spaces and Symplectic Geometry

Volume I.  
Basic theory of  
(m-)Kuranishi spaces

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**Basic theory of (m-)Kuranishi spaces**

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**Kuranishi spaces and Symplectic Geometry. Volume II.**  
**Differential Geometry of (m-)Kuranishi spaces**

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# Introduction to the series

## On the foundations of Symplectic Geometry

Several important areas of Symplectic Geometry involve ‘counting’ moduli spaces  $\mathcal{M}$  of  $J$ -holomorphic curves in a symplectic manifold  $(S, \omega)$  satisfying some conditions, where  $J$  is an almost complex structure on  $S$  compatible with  $\omega$ , and using the ‘numbers of curves’ to build some interesting theory, which is then shown to be independent of the choice of  $J$ . Areas of this type include Gromov–Witten theory [12, 39, 52, 68, 73, 79, 102, 104], Quantum Cohomology [68, 79], Lagrangian Floer cohomology [2, 21, 24, 29, 92, 109], Fukaya categories [18, 98, 100], Symplectic Field Theory [9, 15, 16], Contact Homology [14, 94], and Symplectic Cohomology [99].

Setting up the foundations of these areas, rigorously and in full generality, is a very long and difficult task, comparable to the work of Grothendieck and his school on the foundations of Algebraic Geometry, or the work of Lurie and Toën–Vezzosi on the foundations of Derived Algebraic Geometry. Any such foundational programme for Symplectic Geometry can be divided into five steps:

- (i) We must define a suitable class of geometric structures  $\mathcal{G}$  to put on the moduli spaces  $\bar{\mathcal{M}}$  of  $J$ -holomorphic curves we wish to ‘count’. This must satisfy both (ii) and (iii) below.
- (ii) Given a compact space  $X$  with geometric structure  $\mathcal{G}$  and an ‘orientation’, we must define a ‘virtual class’  $[[X]_{\text{virt}}]$  in some homology group, or a ‘virtual chain’  $[X]_{\text{virt}}$  in the chains of the homology theory, which ‘counts’  $X$ .  
Actually, usually one studies a compact, oriented  $\mathcal{G}$ -space  $X$  with a ‘smooth map’  $f : X \rightarrow Y$  to a manifold  $Y$ , and defines  $[[X]_{\text{virt}}]$  or  $[X]_{\text{virt}}$  in a suitable (co)homology theory of  $Y$ , such as singular homology or de Rham cohomology. These virtual classes/(co)chains must satisfy a package of properties, including a deformation-invariance property.
- (iii) We must prove that all the moduli spaces  $\bar{\mathcal{M}}$  of  $J$ -holomorphic curves that will be used in our theory have geometric structure  $\mathcal{G}$ , preferably in a natural way. Note that in order to make the moduli spaces  $\bar{\mathcal{M}}$  compact (necessary for existence of virtual classes/chains), we have to include *singular*  $J$ -holomorphic curves in  $\bar{\mathcal{M}}$ . This makes construction of the  $\mathcal{G}$ -structure on  $\bar{\mathcal{M}}$  significantly more difficult.

- (iv) We combine (i)–(iii) to study the situation in Symplectic Geometry we are interested in, e.g. to define Lagrangian Floer cohomology  $HF^*(L_1, L_2)$  for compact Lagrangians  $L_1, L_2$  in a compact symplectic manifold  $(S, \omega)$ .

To do this we choose an almost complex structure  $J$  on  $(S, \omega)$  and define a collection of moduli spaces  $\bar{\mathcal{M}}$  of  $J$ -holomorphic curves relevant to the problem. By (iii) these have structure  $\mathcal{G}$ , so by (ii) they have virtual classes/(co)chains  $[\bar{\mathcal{M}}]_{\text{virt}}$  in some (co)homology theory.

There will be geometric relationships between these moduli spaces – for instance, boundaries of moduli spaces may be written as sums of fibre products of other moduli spaces. By the package of properties in (ii), these geometric relationships should translate to algebraic relationships between the virtual classes/(co)chains, e.g. the boundaries of virtual cochains may be written as sums of cup products of other virtual cochains.

We use the virtual classes/(co)chains, and the algebraic identities they satisfy, and homological algebra, to build the theory we want – Quantum Cohomology, Lagrangian Floer Theory, and so on. We show the result is independent of the choice of almost complex structure  $J$  using the deformation-invariance properties of virtual classes/(co)chains.

- (v) We apply our new machine to do something interesting in Symplectic Geometry, e.g. prove the Arnold Conjecture.

Many authors have worked on programmes of this type, since the introduction of  $J$ -holomorphic curve techniques into Symplectic Geometry by Gromov [42] in 1985. Oversimplifying somewhat, we can divide these approaches into three main groups, according to their answer to (i) above:

- (A) (**Kuranishi-type spaces.**) In the work of Fukaya, Oh, Ohta and Ono [19–39], moduli spaces are given the structure of *Kuranishi spaces* (we will call their definition *FOOO Kuranishi spaces*).

Several other groups also work with Kuranishi-type spaces, including McDuff and Wehrheim [77, 78, 80–83], Pardon [94, 95], and the author in [60, 62] and this series.

- (B) (**Polyfolds.**) In the work of Hofer, Wysocki and Zehnder [46–53], moduli spaces are given the structure of *polyfolds*.

- (C) (**The rest of the world.**) One makes restrictive assumptions on the symplectic geometry – for instance, consider only noncompact, exact symplectic manifolds, and exact Lagrangians in them – takes  $J$  to be generic, and arranges that all the moduli spaces  $\bar{\mathcal{M}}$  we are interested in are smooth manifolds (or possibly ‘pseudomanifolds’, manifolds with singularities in codimension 2). Then we form virtual classes/chains as for fundamental classes of manifolds. A good example of this approach is Seidel’s construction [100] of Fukaya categories of Liouville domains.

We have not given complete references here, much important work is omitted.

Although Kuranishi-type spaces in (A), and polyfolds in (B), do exactly the same job, there is an important philosophical difference between them. Kuranishi spaces basically remember the minimal information needed to form virtual cycles/chains, and no more. Kuranishi spaces contain about the same amount of data as smooth manifolds, and include manifolds as examples.

In contrast, polyfolds remember the entire functional-analytic moduli problem, forgetting nothing. Any polyfold curve moduli space, even a moduli space of constant curves, is a hugely infinite-dimensional object, a vast amount of data.

Approach (C) makes one's life a lot simpler, but this comes at a cost. Firstly, one can only work in rather restricted situations, such as exact symplectic manifolds. And secondly, one must go through various contortions to ensure all the moduli spaces  $\bar{\mathcal{M}}$  are manifolds, such as using domain-dependent almost complex structures, which are unnecessary in approaches (A),(B).

## The aim and scope of the series, and its novel features

The aim of this series of books is to set up the foundations of these areas of Symplectic Geometry built using  $J$ -holomorphic curves following approach (A) above, using the author's own definition of Kuranishi space. We will do this starting from the beginning, rigorously, in detail, and as the author believes the subject ought to be done. The author hopes that in future, the series will provide a complete framework which symplectic geometers can refer to for theorems and proofs, and use large parts as a 'black box'.

The author currently plans four or more volumes, as follows:

- Volume I. **Basic theory of (m-)Kuranishi spaces.** Definitions of the category  $\mu\check{\mathbf{K}}\mathbf{ur}$  of  $\mu$ -Kuranishi spaces, and the 2-categories  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  of m-Kuranishi spaces and  $\check{\mathbf{K}}\mathbf{ur}$  of Kuranishi spaces, over a category of 'manifolds'  $\check{\mathbf{M}}\mathbf{an}$  such as classical manifolds  $\mathbf{M}\mathbf{an}$  or manifolds with corners  $\mathbf{M}\mathbf{an}^c$ . Boundaries, corners, and corner (2-)functors for (m- and  $\mu$ -)Kuranishi spaces with corners. Relation to similar structures in the literature, including Fukaya–Oh–Ohta–Ono's Kuranishi spaces, and Hofer–Wysocki–Zehnder's polyfolds. 'Kuranishi moduli problems', our approach to putting Kuranishi structures on moduli spaces, canonical up to equivalence.
- Volume II. **Differential Geometry of (m-)Kuranishi spaces.** Tangent and obstruction spaces for (m- and  $\mu$ -)Kuranishi spaces. Canonical bundles and orientations. (W-)transversality, (w-)submersions, and existence of w-transverse fibre products in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  and  $\check{\mathbf{K}}\mathbf{ur}$ . M-(co)homology of manifolds and orbifolds [63], virtual (co)chains and virtual (co)cycles for compact, oriented (m-)Kuranishi spaces in M-(co)homology. Orbifold strata of Kuranishi spaces. Bordism and cobordism for (m-)Kuranishi spaces.
- Volume III. **Kuranishi structures on moduli spaces of  $J$ -holomorphic curves.** For very many moduli spaces of  $J$ -holomorphic curves  $\bar{\mathcal{M}}$  of interest in Symplectic Geometry, including singular curves,



curves with Lagrangian boundary conditions, marked points, etc., we show that  $\overline{\mathcal{M}}$  can be made into a Kuranishi space  $\overline{\mathcal{M}}$ , uniquely up to equivalence in  $\mathbf{K\ddot{u}r}$ . We do this by a new method using 2-categories, similar to Grothendieck’s representable functor approach to moduli spaces in Algebraic Geometry. We do the same for many other classes of moduli problems for nonlinear elliptic p.d.e.s, including gauge theory moduli spaces. Natural relations between moduli spaces, such as maps  $F_i : \overline{\mathcal{M}}_{k+1} \rightarrow \overline{\mathcal{M}}_k$  forgetting a marked point, correspond to relations between the Kuranishi spaces, such as a 1-morphism  $F_i : \overline{\mathcal{M}}_{k+1} \rightarrow \overline{\mathcal{M}}_k$  in  $\mathbf{K\ddot{u}r}$ . We discuss orientations on Kuranishi moduli spaces.

Volumes IV– **Big theories in Symplectic Geometry.** To include Gromov–Witten invariants, Quantum Cohomology, Lagrangian Floer cohomology, and Fukaya categories.

For steps (i)–(v) above, (i)–(iii) will be tackled in volumes I–III respectively, and (iv)–(v) in volume IV onwards.

Readers familiar with the field will probably have noticed that our series sounds a lot like the work of Fukaya, Oh, Ohta and Ono [19–39], in particular, their 2009 two-volume book [24] on Lagrangian Floer cohomology. And it is very similar. On the large scale, and in a lot of the details, we have taken many ideas from Fukaya–Oh–Ohta–Ono, which the author acknowledges with thanks. Actually this is true of most foundational projects in this field: Fukaya, Oh, Ohta and Ono were the pioneers, and enormously creative, and subsequent authors have followed in their footsteps to a great extent.

However, there are features of our presentation that are genuinely new, and here we will highlight three:

- (a) The use of *Derived Differential Geometry* in our Kuranishi space theory.
- (b) The use of *M-(co)homology* to form virtual cycles and chains.
- (c) The use of ‘*Kuranishi moduli problems*’, similar to Grothendieck’s representable functor approach to moduli spaces in Algebraic Geometry, to prove moduli spaces of  $J$ -holomorphic curves have Kuranishi structures.

We discuss these in turn.

### (a) Derived Differential Geometry

Derived Algebraic Geometry, developed by Lurie [74] and Toën–Vezzosi [106, 107], is the study of ‘derived schemes’ and ‘derived stacks’, enhanced versions of classical schemes and stacks with a richer geometric structure. They were introduced to study moduli spaces in Algebraic Geometry. Roughly, a classical moduli space  $\mathcal{M}$  of objects  $E$  knows about the infinitesimal deformations of  $E$ , but not the obstructions to deformations. The corresponding derived moduli space  $\mathcal{M}$  remembers the deformations, obstructions, and higher obstructions.

Derived Algebraic Geometry has a less well-known cousin, Derived Differential Geometry, the study of ‘derived’ versions of smooth manifolds. Probably the first

reference to Derived Differential Geometry is a short final paragraph in Lurie [74, §4.5]. Lurie’s ideas were developed further in 2008 by his student David Spivak [103], who defined an  $\infty$ -category  $\mathbf{DerMan}_{\mathbf{Spi}}$  of ‘derived manifolds’.

When I read Spivak’s thesis [103], armed with a good knowledge of Fukaya–Oh–Ohta–Ono’s Kuranishi space theory [24], I had a revelation:

**Kuranishi spaces are really derived smooth orbifolds.**

This should not be surprising, as derived schemes and Kuranishi spaces are both geometric structures designed to remember the obstructions in moduli problems.

This has important consequences for Symplectic Geometry: to understand Kuranishi spaces properly, we should use the insights and methods of Derived Algebraic Geometry. Fukaya–Oh–Ohta–Ono could not do this, as their Kuranishi spaces predate Derived Algebraic Geometry by several years. Since they lacked essential tools, their FOOO Kuranishi spaces are not really satisfactory as geometric spaces, though they are adequate for their applications. For example, they give no definition of morphism of FOOO Kuranishi spaces.

A very basic fact about Derived Algebraic Geometry is that it always happens in higher categories, usually  $\infty$ -categories. We have written our theory in terms of 2-categories, which are much simpler than  $\infty$ -categories. There are special features of our situation which mean that 2-categories are enough for our purposes. Firstly, the existence of partitions of unity in Differential Geometry means that structure sheaves are soft, and have no higher cohomology. Secondly, we are only interested in ‘quasi-smooth’ derived spaces, which have deformations and obstructions, but no higher obstructions. As we are studying Kuranishi spaces with deformations and obstructions – two levels of tangent directions – these spaces need to live in a higher category  $\mathcal{C}$  with at least two levels of morphism, 1- and 2-morphisms, so  $\mathcal{C}$  needs to be at least a 2-category.

Our Kuranishi spaces form a weak 2-category  $\dot{\mathbf{K}}\mathbf{ur}$ . One can take the homotopy category  $\mathrm{Ho}(\dot{\mathbf{K}}\mathbf{ur})$  to get an ordinary category, but this loses important information. For example:

- 1-morphisms  $f : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\dot{\mathbf{K}}\mathbf{ur}$  are a 2-sheaf (stack) on  $\mathbf{X}$ , but morphisms  $[f] : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathrm{Ho}(\dot{\mathbf{K}}\mathbf{ur})$  are not a sheaf on  $\mathbf{X}$ , they are not ‘local’. This is probably one reason why Fukaya et al. do not define morphisms for FOOO Kuranishi spaces, as higher category techniques would be needed.
- As in Chapter 11 of volume II, there is a good notion of (w-)transverse 1-morphisms  $g : \mathbf{X} \rightarrow \mathbf{Z}$ ,  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  in  $\dot{\mathbf{K}}\mathbf{ur}$ , and (w-)transverse fibre products  $\mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  exist in  $\dot{\mathbf{K}}\mathbf{ur}$ , characterized by a universal property involving the 2-morphisms in  $\dot{\mathbf{K}}\mathbf{ur}$ . In  $\mathrm{Ho}(\dot{\mathbf{K}}\mathbf{ur})$  this universal property makes no sense, and (w-)transverse fibre products may not exist.

Derived Differential Geometry will be discussed in §4.8 of volume I.

## (b) M-(co)homology and virtual cycles

In Fukaya–Oh–Ohta–Ono’s Lagrangian Floer theory [24], a lot of extra complexity and hard work is due to the fact that their homology theory for forming virtual

chains (singular homology) does not play nicely with FOOO Kuranishi spaces. For example, they deal with moduli spaces  $\overline{\mathcal{M}}_k(\alpha)$  of stable  $J$ -holomorphic discs  $\Sigma$  in  $(S, \omega)$  with boundary in a Lagrangian  $L$ , with homology class  $[\Sigma] = \alpha$  in  $H_2(S, L; \mathbb{Z})$ , and  $k$  boundary marked points. These satisfy boundary equations

$$\partial \overline{\mathcal{M}}_k(\alpha) \simeq \coprod_{\alpha=\beta+\gamma, k=i+j} \overline{\mathcal{M}}_{i+1}(\beta) \times_{\mathbf{ev}_{i+1}, L, \mathbf{ev}_{j+1}} \overline{\mathcal{M}}_{j+1}(\gamma).$$

One would like to choose virtual chains  $[\overline{\mathcal{M}}_k(\alpha)]_{\text{virt}}$  in homology satisfying

$$\partial[\overline{\mathcal{M}}_k(\alpha)]_{\text{virt}} = \sum_{\alpha=\beta+\gamma, k=i+j} [\overline{\mathcal{M}}_{i+1}(\beta)]_{\text{virt}} \bullet_L [\overline{\mathcal{M}}_{j+1}(\gamma)]_{\text{virt}},$$

where  $\bullet_L$  is a chain-level intersection product/cup product on the (co)homology of  $L$ . But singular homology has no chain-level intersection product.

In their later work [27, §12], [33], Fukaya et al. define virtual cochains in de Rham cohomology, which does have a cochain-level cup product. But there are disadvantages to this too, for example, one is forced to work in (co)homology over  $\mathbb{R}$ , rather than  $\mathbb{Z}$  or  $\mathbb{Q}$ .

As in Chapter 12 of volume II, the author [63] defined new (co)homology theories  $MH_*(X; R)$ ,  $MH^*(X; R)$  of manifolds and orbifolds  $X$ , called ‘M-homology’ and ‘M-cohomology’. They satisfy the Eilenberg–Steenrod axioms, and so are canonically isomorphic to usual (co)homology  $H_*(X; R)$ ,  $H^*(X; R)$ , e.g. singular homology  $H_*^{\text{si}}(X; R)$ . They are specially designed for forming virtual (co)chains for (m-)Kuranishi spaces, and have very good (co)chain-level properties.

In Chapter 13 of volume II we will explain how to form virtual (co)cycles and (co)chains for (m-)Kuranishi spaces in M-(co)homology. There is no need to perturb the (m-)Kuranishi space to do this. Our construction has a number of technical advantages over competing theories: we can make infinitely many compatible choices of virtual (co)chains, which can be made strictly compatible with relations between (m-)Kuranishi spaces, such as boundary formulae.

These technical advantages mean that applying our machinery to define some theory like Lagrangian Floer cohomology, Fukaya categories, or Symplectic Field Theory, will be significantly easier. Identities which only hold up to homotopy in the Fukaya–Oh–Ohta–Ono model, often hold on the nose in our version.

### (c) Kuranishi moduli problems

The usual approaches to moduli spaces in Differential Geometry, and in Algebraic Geometry, are very different. In Differential Geometry, one defines a moduli space (e.g. of  $J$ -holomorphic curves, or instantons on a 4-manifold), initially as a set  $\mathcal{M}$  of isomorphism classes of the objects of interest, and then adds extra structure: first a topology, and then an atlas of charts on  $\mathcal{M}$  making the moduli space into a manifold or Kuranishi-type space. The individual charts are defined by writing the p.d.e. as a nonlinear Fredholm operator between Sobolev or Hölder spaces, and using the Implicit Function Theorem for Banach spaces.

In Algebraic Geometry, following Grothendieck, one begins by defining a functor  $F$  called the *moduli functor*, which encodes the behaviour of families of objects in the moduli problem. This might be of the form  $F : (\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}} \rightarrow \mathbf{Sets}$

(to define a moduli  $\mathbb{C}$ -scheme) or  $F : (\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}} \rightarrow \mathbf{Groupoids}$  (to define a moduli  $\mathbb{C}$ -stack), where  $\mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$ ,  $\mathbf{Sets}$ ,  $\mathbf{Groupoids}$  are the categories of affine  $\mathbb{C}$ -schemes, and sets, and groupoids, and  $(\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}}$  is the opposite category of  $\mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$ . Here if  $S$  is an affine  $\mathbb{C}$ -scheme then  $F(S)$  is the set or groupoid of families of objects in the moduli problem over the base  $\mathbb{C}$ -scheme  $S$ .

We say that the moduli functor  $F$  is *representable* if there exists a  $\mathbb{C}$ -scheme  $\mathcal{M}$  such that  $F$  is naturally isomorphic to  $\text{Hom}(-, \mathcal{M}) : (\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}} \rightarrow \mathbf{Sets}$ , or an Artin  $\mathbb{C}$ -stack  $\mathcal{M}$  such that  $F$  is naturally equivalent to  $\mathbf{Hom}(-, \mathcal{M}) : (\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}} \rightarrow \mathbf{Groupoids}$ . Then  $\mathcal{M}$  is unique up to canonical isomorphism or canonical equivalence, and is called the *moduli scheme* or *moduli stack*.

As in Gomez [41, §2.1–§2.2], there are two equivalent ways to encode stacks, or moduli problems, as functors: either as a functor  $F : (\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}} \rightarrow \mathbf{Groupoids}$  as above, or as a *category fibred in groupoids*  $G : \mathcal{C} \rightarrow \mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$ , that is, a category  $\mathcal{C}$  with a functor  $G$  to  $\mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$  satisfying some lifting properties of morphisms in  $\mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$  to morphisms in  $\mathcal{C}$ .

We introduce a new approach to constructing Kuranishi structures on Differential-Geometric moduli problems, including moduli of  $J$ -holomorphic curves, which is a 2-categorical analogue of the ‘category fibred in groupoids’ version of moduli functors in Algebraic Geometry. Our analogue of  $\mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$  is the 2-category  $\mathbf{G\ddot{K}N}$  of *global Kuranishi neighbourhoods*  $(V, E, \Gamma, s)$ , which are basically Kuranishi spaces  $\mathbf{X}$  covered by a single chart  $(V, E, \Gamma, s, \psi)$ .

We define a *Kuranishi moduli problem (KMP)* to be a 2-functor  $F : \mathcal{C} \rightarrow \mathbf{G\ddot{K}N}$  satisfying some lifting properties, where  $\mathcal{C}$  is a 2-category. For example, if  $\mathcal{M} \in \mathbf{K\ddot{u}r}$  is a Kuranishi space we can define a 2-category  $\mathcal{C}_{\mathcal{M}}$  with objects  $((V, E, \Gamma, s), \mathbf{f})$  for  $(V, E, \Gamma, s) \in \mathbf{G\ddot{K}N}$  and  $\mathbf{f} : (s^{-1}(0)/\Gamma, (V, E, \Gamma, s, \text{id}_{s^{-1}(0)/\Gamma})) \rightarrow \mathcal{M}$  a 1-morphism, and a 2-functor  $F_{\mathcal{M}} : \mathcal{C}_{\mathcal{M}} \rightarrow \mathbf{G\ddot{K}N}$  acting by  $F_{\mathcal{M}} : ((V, E, \Gamma, s), \mathbf{f}) \mapsto (V, E, \Gamma, s)$  on objects. A KMP  $F : \mathcal{C} \rightarrow \mathbf{G\ddot{K}N}$  is called *representable* if it is equivalent in a certain sense to  $F_{\mathcal{M}} : \mathcal{C}_{\mathcal{M}} \rightarrow \mathbf{G\ddot{K}N}$  for some  $\mathcal{M}$  in  $\mathbf{K\ddot{u}r}$ , which is unique up to equivalence. Then Kuranishi moduli problems form a 2-category  $\mathbf{K\ddot{M}P}$ , and the full 2-subcategory  $\mathbf{K\ddot{M}P}^{\text{re}}$  of representable KMP’s is equivalent to  $\mathbf{K\ddot{u}r}$ .

To construct a Kuranishi structure on some moduli space  $\mathcal{M}$ , e.g. a moduli space of  $J$ -holomorphic curves in some  $(S, \omega)$ , we carry out three steps:

- (1) Define a 2-category  $\mathcal{C}$  and 2-functor  $F : \mathcal{C} \rightarrow \mathbf{G\ddot{K}N}$ , where objects  $A$  in  $\mathcal{C}$  with  $F(A) = (V, E, \Gamma, s)$  correspond to families of objects in the moduli problem over the base Kuranishi neighbourhood  $(V, E, \Gamma, s)$ .
- (2) Prove that  $F : \mathcal{C} \rightarrow \mathbf{G\ddot{K}N}$  is a Kuranishi moduli problem.
- (3) Prove that  $F : \mathcal{C} \rightarrow \mathbf{G\ddot{K}N}$  is representable.

Here step (1) is usually fairly brief — far shorter than constructions of curve moduli spaces in [24, 39, 52], for instance. Step (2) is also short and uses standard arguments. The major effort is in (3). Step (3) has two parts: firstly we must show that a topological space  $\mathcal{M}$  naturally associated to the KMP is Hausdorff and second countable (often we can quote this from the literature), and secondly

we must prove that every point of  $\mathcal{M}$  admits a Kuranishi neighbourhood with a certain universal property.

We compare our approach to moduli problems with other current approaches, such as those of Fukaya–Oh–Ohta–Ono or Hofer–Wysocki–Zehnder:

- Rival approaches are basically very long ad hoc constructions, the effort is in the definition itself. In our approach we have a short-ish definition, followed by a theorem (representability of the KMP) with a long proof.
- Rival approaches may involve making many arbitrary choices to construct the moduli space. In our approach the definition of the KMP is natural, with no arbitrary choices. If the KMP is representable, the corresponding Kuranishi space  $\mathcal{M}$  is unique up to canonical equivalence in  $\mathbf{Kur}$ .
- In our approach, morphisms between moduli spaces, e.g. forgetting a marked point, are usually easy and require almost no work to construct.

Kuranishi moduli problems are introduced in Chapter 8 of volume I, and volume III is dedicated to constructing Kuranishi structures on moduli spaces using the KMP method.

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# Chapter 1

## Introduction to volume I

Kuranishi spaces were introduced in the work of Fukaya, Oh, Ohta and Ono [19–39], as the geometric structure on moduli spaces of  $J$ -holomorphic curves, which was to be used to define virtual cycles and virtual chains for such moduli spaces, for applications in Symplectic Geometry such as Gromov–Witten invariants, Lagrangian Floer cohomology, and Symplectic Field Theory.

Something which has consistently been a problem with Kuranishi spaces, since their introduction by Fukaya and Ono [39, §5] in 1999, has been to find a satisfactory definition, preferably as a category (or higher category) of geometric spaces, with a well-behaved notion of morphism, and good functorial properties. The definition used by Fukaya et al. has changed several times as their work has evolved [19–39], and others including McDuff and Wehrheim [77, 78, 80–83] have proposed their own variations.

This first volume will develop a theory of Kuranishi spaces. We use a new, more complex definition of Kuranishi space, first introduced by the author [60] in 2014, which form a 2-category  $\mathbf{Kur}$ . They are *not* the same as the Kuranishi spaces of Fukaya–Oh–Ohta–Ono [19–39] (which we will call *FOOO Kuranishi spaces*), but we prove in §7.5 that any FOOO Kuranishi space  $\mathbf{X}$  can be made into a Kuranishi space  $\mathbf{X}'$  in our sense, uniquely up to equivalence in  $\mathbf{Kur}$ . Therefore their work may be easily translated into our new language.

In fact, we give three variations on the notion of Kuranishi space:

- (i) a simple ‘manifold’ version, ‘ $\mu$ -Kuranishi spaces’, with trivial isotropy groups, which form an ordinary category  $\mu\mathbf{Kur}$  in Chapter 5;
- (ii) a more complicated ‘manifold’ version, ‘m-Kuranishi spaces’, with trivial isotropy groups, which form a weak 2-category  $\mathbf{mKur}$  in Chapter 4; and
- (iii) the full ‘orbifold’ version, ‘Kuranishi spaces’, with finite isotropy groups, which form a weak 2-category  $\mathbf{Kur}$  in Chapter 6.

These are related by an equivalence of categories  $\mu\mathbf{Kur} \simeq \mathrm{Ho}(\mathbf{mKur})$ , where  $\mathrm{Ho}(\mathbf{mKur})$  is the homotopy category of  $\mathbf{mKur}$ , and by a full and faithful embedding  $\mathbf{mKur} \hookrightarrow \mathbf{Kur}$ . Symplectic geometry will need Kuranishi spaces,

since we allow  $J$ -holomorphic curves with finite symmetry groups, which cause finite isotropy groups at the corresponding point in the moduli space.

Our definitions start with a category of ‘manifolds’  $\mathbf{Man}$  satisfying some assumptions given in Chapter 3, and yield corresponding (2-)categories of ‘(m- and  $\mu$ -)Kuranishi spaces’  $\mathbf{mKur}$ ,  $\mathbf{\mu Kur}$ ,  $\mathbf{Kur}$ . Here  $\mathbf{Man}$  can be the category of classical manifolds  $\mathbf{Man}$ , but there are many other possibilities, including the categories  $\mathbf{Man}^c$ ,  $\mathbf{Man}_{\text{st}}^c$ ,  $\mathbf{Man}^{\text{gc}}$ ,  $\mathbf{Man}^{\text{ac}}$ ,  $\mathbf{Man}^{c,\text{ac}}$  of manifolds with corners, and generalizations, discussed in Chapter 2. This gives many different (2-)categories  $\mathbf{mKur}^c$ ,  $\mathbf{mKur}_{\text{st}}^c$ ,  $\dots$ ,  $\mathbf{\mu Kur}^c$ ,  $\mathbf{\mu Kur}_{\text{st}}^c$ ,  $\dots$ ,  $\mathbf{Kur}^c$ ,  $\mathbf{Kur}_{\text{st}}^c$ ,  $\dots$  of variations on the theme of (m- and  $\mu$ -)Kuranishi spaces, useful in different problems.

Like manifolds, an (m- or  $\mu$ -)Kuranishi space  $\mathbf{X} = (X, \mathcal{K})$  is a Hausdorff, second countable topological space  $X$  with an ‘atlas of charts’  $\mathcal{K}$ . For m- and  $\mu$ -Kuranishi spaces the ‘charts’ are  $(V_i, E_i, s_i, \psi_i)$  for  $V_i$  a manifold,  $E_i \rightarrow V_i$  a vector bundle,  $s_i : V_i \rightarrow E_i$  a smooth section, and  $\psi_i : s_i^{-1}(0) \rightarrow X$  a homeomorphism with an open set  $\text{Im } \psi_i \subseteq X$ . For Kuranishi spaces the charts are  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  for  $V_i, E_i, s_i$  as above,  $\Gamma_i$  a finite group acting on  $V_i, E_i$  with  $s_i$  equivariant, and  $\psi_i : s_i^{-1}(0)/\Gamma_i \rightarrow \text{Im } \psi_i$  a homeomorphism.

As in Chapter 7, this is also true for other definitions of Kuranishi-type spaces due to Fukaya–Oh–Ohta and Ono [30, §4] and McDuff and Wehrheim [77, 78, 80–83]. The main technical innovation in our definition is our treatment of *coordinate changes* between the (m- or  $\mu$ -)Kuranishi neighbourhoods on  $X$  — the ‘transition functions’ between the charts in the atlas.

For  $\mu$ -Kuranishi spaces, coordinate changes and more general morphisms  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  are germs  $[V_{ij}, \phi_{ij}, \hat{\phi}_{ij}]$  of equivalence classes of triples  $(V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$ , where  $(V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  is a generalized Fukaya–Oh–Ohta–Ono-style coordinate change, and the equivalence relation is not obvious. They have the property that *coordinate changes*  $(V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  form a sheaf on  $\text{Im } \psi_i \cap \text{Im } \psi_j$ . Also, *coordinate changes are exactly the invertible morphisms between  $\mu$ -Kuranishi neighbourhoods*.

For (m-)Kuranishi spaces, we have FOOO-style coordinate changes and more general 1-morphisms  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  between Kuranishi neighbourhoods, but we also introduce 2-morphisms  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  between 1-morphisms  $\Phi_{ij}, \Phi'_{ij}$ , involving germs of equivalence classes, and making (m-)Kuranishi neighbourhoods on  $X$  into a 2-category. This 2-category has the property that *coordinate changes*  $(V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  form a 2-sheaf (stack) on  $\text{Im } \psi_i \cap \text{Im } \psi_j$ . Also, *coordinate changes are 1-morphisms of Kuranishi neighbourhoods which are invertible up to 2-isomorphism*.

These sheaf/stack properties of (m- and  $\mu$ -)Kuranishi neighbourhoods are crucial in our theory. For example, they are essential in defining compositions  $\mathbf{g} \circ \mathbf{f}$  of (1-)morphisms  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$ ,  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  between (m- or  $\mu$ -)Kuranishi spaces  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$ , so that we can make (m- and  $\mu$ -)Kuranishi spaces into well behaved (2-)categories  $\mathbf{mKur}$ ,  $\mathbf{\mu Kur}$ ,  $\mathbf{Kur}$ . The lack of such a sheaf property in the Fukaya–Oh–Ohta–Ono picture is why they have no good notion of morphism between FOOO Kuranishi spaces  $\mathbf{X}, \mathbf{Y}$ .

An (m- or  $\mu$ -)Kuranishi space  $\mathbf{X}$  has a *virtual dimension*  $\text{vdim } \mathbf{X} \in \mathbb{Z}$ , which

may be negative, where  $\text{vdim } X = \dim V_i - \text{rank } E_i$  for any (m- or  $\mu$ -)Kuranishi neighbourhood  $(V_i, E_i, s_i, \psi_i)$  or  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  on  $X$ .

We begin in Chapter 2 with background material on categories of manifolds with corners, of which there are several versions  $\mathbf{Man}^c, \mathbf{Man}_{\text{st}}^c, \mathbf{Man}^{\text{gc}}, \dots$ . Chapter 3 states assumptions on categories  $\mathbf{Man}, \mathbf{Man}^c$  of ‘manifolds’ and ‘manifolds with corners’, and explains how these assumptions allow us to do differential geometry in  $\mathbf{Man}, \mathbf{Man}^c$ , defining vector bundles,  $E \rightarrow X$ , tangent and cotangent bundles (sheaves)  $\mathcal{T}X, \mathcal{T}^*X$ , and so on. Detailed definitions and proofs from Chapter 3 are postponed to Appendix B.

Given a category  $\mathbf{Man}$  or  $\mathbf{Man}^c$  satisfying the assumptions of Chapter 3, Chapters 4–6 define (2-)categories  $\mathbf{mKur}, \mu\mathbf{Kur}, \mathbf{Kur}$  or  $\mathbf{mKur}^c, \mu\mathbf{Kur}^c, \mathbf{Kur}^c$  of m-Kuranishi spaces,  $\mu$ -Kuranishi spaces, and Kuranishi spaces, respectively. Taking  $\mathbf{Man}, \mathbf{Man}^c$  to be different examples yields a large number of interesting (2-)categories  $\mathbf{mKur}, \mathbf{mKur}^c, \mathbf{mKur}_{\text{st}}^c, \mathbf{mKur}^{\text{gc}}, \dots$ . We also study topics such as interesting classes of (1-)morphisms in  $\mathbf{mKur}, \mu\mathbf{Kur}, \mathbf{Kur}$ , and boundaries and corners in  $\mathbf{mKur}^c, \mu\mathbf{Kur}^c, \mathbf{Kur}^c$ , and isotropy groups in  $\mathbf{Kur}$ .

Chapter 7 explains the relation of our Kuranishi spaces with other Kuranishi-type spaces defined by Fukaya, Oh, Ohta and Ono [19–39] and McDuff and Wehrheim [77, 78, 80–83]. Chapter 8 introduces *Kuranishi moduli problems*, which will be our principal tool in volume III for proving that moduli spaces of  $J$ -holomorphic curves have Kuranishi structures, and proves some theorems about them. We illustrate their use by defining a truncation functor from the polyfold theory of Hofer, Wysocki and Zehnder [46–53] to our Kuranishi spaces.

Appendix A gives background on categories and 2-categories, and Appendix B gives more detail and proofs on the differential geometry in  $\mathbf{Man}, \mathbf{Man}^c$  that was outlined in Chapter 3.



## Chapter 2

# Manifolds with corners

We begin with background material about manifolds, manifolds with boundary, and manifolds with corners. We define the category of ordinary manifolds  $\mathbf{Man}$  in §2.2 as a subcategory of the category of manifolds with corners  $\mathbf{Man}^c$ , and generally we treat manifolds as special cases of manifolds with corners. Some references on manifolds are Lee [71] and Lang [70], and on manifolds with boundary and corners are Melrose [85, 86] and the author [59, 64].

### 2.1 The definition of manifolds with corners

**Definition 2.1.** Use the notation  $\mathbb{R}_k^m = [0, \infty)^k \times \mathbb{R}^{m-k}$  for  $0 \leq k \leq m$ , and write points of  $\mathbb{R}_k^m$  as  $u = (x_1, \dots, x_m)$  for  $x_1, \dots, x_k \in [0, \infty)$ ,  $x_{k+1}, \dots, x_m \in \mathbb{R}$ . Let  $U \subseteq \mathbb{R}_k^m$  and  $V \subseteq \mathbb{R}_l^n$  be open, and  $f = (f_1, \dots, f_n) : U \rightarrow V$  be a continuous map, so that  $f_j = f_j(x_1, \dots, x_m)$  maps  $U \rightarrow [0, \infty)$  for  $j = 1, \dots, l$  and  $U \rightarrow \mathbb{R}$  for  $j = l + 1, \dots, n$ . Then we say:

- (a)  $f$  is *weakly smooth* if all derivatives  $\frac{\partial^{a_1 + \dots + a_m}}{\partial x_1^{a_1} \dots \partial x_m^{a_m}} f_j(x_1, \dots, x_m) : U \rightarrow \mathbb{R}$  exist and are continuous for all  $j = 1, \dots, n$  and  $a_1, \dots, a_m \geq 0$ , including one-sided derivatives where  $x_i = 0$  for  $i = 1, \dots, k$ .
- (b)  $f$  is *smooth* if it is weakly smooth and every  $u = (x_1, \dots, x_m) \in U$  has an open neighbourhood  $\tilde{U}$  in  $U$  such that for each  $j = 1, \dots, l$ , either:
  - (i) we may uniquely write  $f_j(\tilde{x}_1, \dots, \tilde{x}_m) = F_j(\tilde{x}_1, \dots, \tilde{x}_m) \cdot \tilde{x}_1^{a_{1,j}} \dots \tilde{x}_k^{a_{k,j}}$  for all  $(\tilde{x}_1, \dots, \tilde{x}_m) \in \tilde{U}$ , where  $F_j : \tilde{U} \rightarrow (0, \infty)$  is weakly smooth and  $a_{1,j}, \dots, a_{k,j} \in \mathbb{N} = \{0, 1, 2, \dots\}$ , with  $a_{i,j} = 0$  if  $x_i \neq 0$ ; or
  - (ii)  $f_j|_{\tilde{U}} = 0$ .
- (c)  $f$  is *interior* if it is smooth, and case (b)(ii) does not occur.
- (d)  $f$  is *b-normal* if it is interior, and in case (b)(i), for each  $i = 1, \dots, k$  we have  $a_{i,j} > 0$  for at most one  $j = 1, \dots, l$ .
- (e)  $f$  is *strongly smooth* if it is smooth, and in case (b)(i), for each  $j = 1, \dots, l$  we have  $a_{i,j} = 1$  for at most one  $i = 1, \dots, k$ , and  $a_{i,j} = 0$  otherwise.

- (f)  $f$  is *simple* if it is interior, and in case (b)(i), for each  $i = 1, \dots, k$  with  $x_i = 0$  we have  $a_{i,j} = 1$  for exactly one  $j = 1, \dots, l$  and  $a_{i,j} = 0$  otherwise, and for all  $j = 1, \dots, l$  we have  $a_{i,j} = 1$  for at most one  $i = 1, \dots, k$ .
- (g)  $f$  is a *diffeomorphism* if it is a smooth bijection with smooth inverse.

All the classes (a)–(g) include identities and are closed under composition.

**Definition 2.2.** Let  $X$  be a second countable Hausdorff topological space. An *m-dimensional chart on  $X$*  is a pair  $(U, \phi)$ , where  $U \subseteq \mathbb{R}_k^m$  is open for some  $0 \leq k \leq m$ , and  $\phi : U \rightarrow X$  is a homeomorphism with an open set  $\phi(U) \subseteq X$ .

Let  $(U, \phi), (V, \psi)$  be  $m$ -dimensional charts on  $X$ . We call  $(U, \phi)$  and  $(V, \psi)$  *compatible* if  $\psi^{-1} \circ \phi : \phi^{-1}(\phi(U) \cap \psi(V)) \rightarrow \psi^{-1}(\phi(U) \cap \psi(V))$  is a diffeomorphism between open subsets of  $\mathbb{R}_k^m, \mathbb{R}_l^m$ , in the sense of Definition 2.1(g).

An *m-dimensional atlas* for  $X$  is a system  $\{(U_a, \phi_a) : a \in A\}$  of pairwise compatible  $m$ -dimensional charts on  $X$  with  $X = \bigcup_{a \in A} \phi_a(U_a)$ . We call such an atlas *maximal* if it is not a proper subset of any other atlas. Any atlas  $\{(U_a, \phi_a) : a \in A\}$  is contained in a unique maximal atlas, the set of all charts  $(U, \phi)$  of this type on  $X$  which are compatible with  $(U_a, \phi_a)$  for all  $a \in A$ .

An *m-dimensional manifold with corners* is a second countable Hausdorff topological space  $X$  equipped with a maximal  $m$ -dimensional atlas. Usually we refer to  $X$  as the manifold, leaving the atlas implicit, and by a *chart  $(U, \phi)$  on  $X$* , we mean an element of the maximal atlas.

Now let  $X, Y$  be manifolds with corners of dimensions  $m, n$ , and  $f : X \rightarrow Y$  a continuous map. We call  $f$  *weakly smooth*, or *smooth*, or *interior*, or *b-normal*, or *strongly smooth*, or *simple*, if whenever  $(U, \phi), (V, \psi)$  are charts on  $X, Y$  with  $U \subseteq \mathbb{R}_k^m, V \subseteq \mathbb{R}_l^n$  open, then

$$\psi^{-1} \circ f \circ \phi : (f \circ \phi)^{-1}(\psi(V)) \longrightarrow V \quad (2.1)$$

is weakly smooth, or smooth,  $\dots$ , or simple, respectively, as maps between open subsets of  $\mathbb{R}_k^m, \mathbb{R}_l^n$  in the sense of Definition 2.1.

We write  $\mathbf{Man}^c$  for the category with objects manifolds with corners  $X, Y$ , and morphisms smooth maps  $f : X \rightarrow Y$  in the sense above. We will also write  $\mathbf{Man}_{\text{in}}^c, \mathbf{Man}_{\text{bn}}^c, \mathbf{Man}_{\text{st}}^c, \mathbf{Man}_{\text{st,in}}^c, \mathbf{Man}_{\text{st,bn}}^c, \mathbf{Man}_{\text{si}}^c$  for the subcategories of  $\mathbf{Man}^c$  with morphisms interior maps, and b-normal maps, and strongly smooth maps, and strongly smooth interior maps, and strongly smooth b-normal maps, and simple maps, respectively.

We write  $\mathbf{Man}_{\text{we}}^c$  for the category with objects manifolds with corners and morphisms weakly smooth maps.

**Remark 2.3.** There are several non-equivalent definitions of categories of manifolds with corners. Just as objects, without considering morphisms, most authors define manifolds with corners as in Definition 2.2. However, Melrose [84–86] imposes an extra condition: in §2.2 we will define the boundary  $\partial X$  of a manifold with corners  $X$ , with an immersion  $i_X : \partial X \rightarrow X$ . Melrose requires that  $i_X|_C : C \rightarrow X$  should be injective for each connected component  $C$  of  $\partial X$  (such  $X$  are sometimes called *manifolds with faces*).

There is no general agreement in the literature on how to define smooth maps, or morphisms, of manifolds with corners:

- (i) Our smooth maps are due to Melrose [86, §1.12], [84, §1], who calls them *b-maps*. Interior and b-normal maps are also due to Melrose.
- (ii) The author [59] defined and studied strongly smooth maps above (which were just called ‘smooth maps’ in [59]).
- (iii) Monthubert’s *morphisms of manifolds with corners* [91, Def. 2.8] coincide with our strongly smooth b-normal maps.
- (iv) Most other authors, such as Cerf [11, §I.1.2], define smooth maps of manifolds with corners to be weakly smooth maps, in our notation.

## 2.2 Boundaries and corners of manifolds with corners

The material of this section broadly follows the author [59, 64].

**Definition 2.4.** Let  $U \subseteq \mathbb{R}_k^m$  be open. For each  $u = (x_1, \dots, x_m)$  in  $U$ , define the *depth*  $\text{depth}_U u$  of  $u$  in  $U$  to be the number of  $x_1, \dots, x_k$  which are zero. That is,  $\text{depth}_U u$  is the number of boundary faces of  $U$  containing  $u$ .

Let  $X$  be an  $m$ -manifold with corners. For  $x \in X$ , choose a chart  $(U, \phi)$  on the manifold  $X$  with  $\phi(u) = x$  for  $u \in U$ , and define the *depth*  $\text{depth}_X x$  of  $x$  in  $X$  by  $\text{depth}_X x = \text{depth}_U u$ . This is independent of the choice of  $(U, \phi)$ . For each  $l = 0, \dots, m$ , define the *depth  $l$  stratum* of  $X$  to be

$$S^l(X) = \{x \in X : \text{depth}_X x = l\}.$$

Then  $X = \coprod_{l=0}^m S^l(X)$  and  $\overline{S^l(X)} = \bigcup_{k=l}^m S^k(X)$ . The *interior* of  $X$  is  $X^\circ = S^0(X)$ . Each  $S^l(X)$  has the structure of an  $(m-l)$ -manifold without boundary.

The following lemma is easy to prove from Definition 2.1(b).

**Lemma 2.5.** *Let  $f : X \rightarrow Y$  be a smooth map of manifolds with corners. Then  $f$  is compatible with the depth stratifications  $X = \coprod_{k \geq 0} S^k(X)$ ,  $Y = \coprod_{l \geq 0} S^l(Y)$  in Definition 2.4, in the sense that if  $\emptyset \neq W \subseteq S^k(X)$  is a connected subset for some  $k \geq 0$ , then  $f(W) \subseteq S^l(Y)$  for some unique  $l \geq 0$ .*

The analogue of Lemma 2.5 is false for weakly smooth maps, so the functorial properties of corners below are false for  $\mathbf{Man}_{\text{we}}^c$ .

**Definition 2.6.** Let  $X$  be an  $m$ -manifold with corners,  $x \in X$ , and  $k = 0, 1, \dots, m$ . A *local  $k$ -corner component*  $\gamma$  of  $X$  at  $x$  is a local choice of connected component of  $S^k(X)$  near  $x$ . That is, for each small open neighbourhood  $V$  of  $x$  in  $X$ ,  $\gamma$  gives a choice of connected component  $W$  of  $V \cap S^k(X)$  with  $x \in \overline{W}$ , and any two such choices  $V, W$  and  $V', W'$  must be compatible in that  $x \in \overline{(W \cap W')}$ . When  $k = 1$ , we call  $\gamma$  a *local boundary component*.

As sets, define the *boundary*  $\partial X$  and *k-corners* manifold with corners  $C_k(X)$  for  $k = 0, 1, \dots, m$  by

$$\begin{aligned}\partial X &= \{(x, \beta) : x \in X, \beta \text{ is a local boundary component of } X \text{ at } x\}, \\ C_k(X) &= \{(x, \gamma) : x \in X, \gamma \text{ is a local } k\text{-corner component of } X \text{ at } x\}.\end{aligned}$$

Define  $i_X : \partial X \rightarrow X$  and  $\Pi_k : C_k(X) \rightarrow X$  by  $i_X : (x, \beta) \mapsto x$ ,  $\Pi_k : (x, \gamma) \mapsto x$ .

If  $(U, \phi)$  is a chart on  $X$  with  $U \subseteq \mathbb{R}_k^m$  open, then for each  $i = 1, \dots, k$  we can define a chart  $(U_i, \phi_i)$  on  $\partial X$  by

$$\begin{aligned}U_i &= \{(x_1, \dots, x_{m-1}) \in \mathbb{R}_{k-1}^{m-1} : (x_1, \dots, x_{i-1}, 0, x_i, \dots, x_{m-1}) \in U \subseteq \mathbb{R}_k^m\}, \\ \phi_i &: (x_1, \dots, x_{m-1}) \mapsto (\phi(x_1, \dots, x_{i-1}, 0, x_i, \dots, x_{m-1}), \phi_*(\{x_i = 0\})).\end{aligned}$$

The set of all such charts on  $\partial X$  forms an atlas, making  $\partial X$  into a manifold with corners of dimension  $m - 1$ , and  $i_X : \partial X \rightarrow X$  into a smooth (but not interior) map. Similarly, we make  $C_k(X)$  into an  $(m - k)$ -manifold with corners, and  $\Pi_k : C_k(X) \rightarrow X$  into a smooth map. We have  $\partial X = C_1(X)$ .

We call  $X$  a *manifold without boundary* (or just a *manifold*) if  $\partial X = \emptyset$ , and a *manifold with boundary* if  $\partial^2 X = \emptyset$ . We write  $\mathbf{Man}$  and  $\mathbf{Man}^b$  for the full subcategories of  $\mathbf{Man}^c$  with objects manifolds without boundary, and manifolds with boundary, so that  $\mathbf{Man} \subset \mathbf{Man}^b \subset \mathbf{Man}^c$ . This definition of  $\mathbf{Man}$  is equivalent to the usual definition of the category of manifolds. We also write  $\mathbf{Man}_{\text{in}}^b, \mathbf{Man}_{\text{si}}^b$  for the subcategories of  $\mathbf{Man}^b$  with morphisms interior maps, and simple maps.

For  $X$  a manifold with corners and  $k \geq 0$ , there are natural identifications

$$\begin{aligned}\partial^k X &\cong \{(x, \beta_1, \dots, \beta_k) : x \in X, \beta_1, \dots, \beta_k \text{ are distinct} \\ &\quad \text{local boundary components for } X \text{ at } x\},\end{aligned}\tag{2.2}$$

$$\begin{aligned}C_k(X) &\cong \{(x, \{\beta_1, \dots, \beta_k\}) : x \in X, \beta_1, \dots, \beta_k \text{ are distinct} \\ &\quad \text{local boundary components for } X \text{ at } x\}.\end{aligned}\tag{2.3}$$

There is a natural, free, smooth action of the symmetric group  $S_k$  on  $\partial^k X$ , by permutation of  $\beta_1, \dots, \beta_k$  in (2.2), and (2.2)–(2.3) give a natural diffeomorphism

$$C_k(X) \cong \partial^k X / S_k.\tag{2.4}$$

Corners commute with boundaries: there are natural isomorphisms

$$\begin{aligned}\partial C_k(X) &\cong C_k(\partial X) \cong \{(x, \{\beta_1, \dots, \beta_k\}, \beta_{k+1}) : x \in X, \beta_1, \dots, \beta_{k+1} \\ &\quad \text{are distinct local boundary components for } X \text{ at } x\}.\end{aligned}\tag{2.5}$$

For products of manifolds with corners we have natural diffeomorphisms

$$\partial(X \times Y) \cong (\partial X \times Y) \amalg (X \times \partial Y),\tag{2.6}$$

$$C_k(X \times Y) \cong \coprod_{i,j \geq 0, i+j=k} C_i(X) \times C_j(Y).\tag{2.7}$$

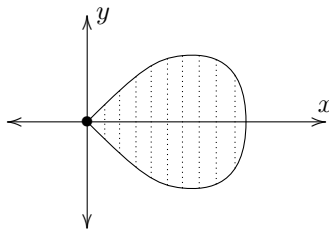


Figure 2.1: The teardrop, a 2-manifold with corners

**Example 2.7.** The *teardrop*  $T = \{(x, y) \in \mathbb{R}^2 : x \geq 0, y^2 \leq x^2 - x^4\}$ , shown in Figure 2.1, is a manifold with corners of dimension 2. The boundary  $\partial T$  is diffeomorphic to  $[0, 1]$ , and so is connected, but  $i_T : \partial T \rightarrow T$  is not injective. Thus  $T$  is not a manifold with faces, in the sense of Remark 2.3.

It is *not* true that general smooth  $f : X \rightarrow Y$  induce maps  $\partial f : \partial X \rightarrow \partial Y$  or  $C_k(f) : C_k(X) \rightarrow C_k(Y)$ , though this is true for simple maps  $f$ . For example, if  $f : X \rightarrow Y$  is the inclusion  $[0, \infty) \hookrightarrow \mathbb{R}$  then no map  $\partial f : \partial X \rightarrow \partial Y$  exists, as  $\partial X \neq \emptyset$  and  $\partial Y = \emptyset$ . However, by working in an enlarged category  $\check{\mathbf{Man}}^c$  of manifolds with corners of mixed dimension and considering  $C(X) = \coprod_{k \geq 0} C_k(X)$ , we can define a functor.

**Definition 2.8.** Write  $\check{\mathbf{Man}}^c$  for the category whose objects are disjoint unions  $\coprod_{m=0}^{\infty} X_m$ , where  $X_m$  is a manifold with corners of dimension  $m$ , allowing  $X_m = \emptyset$ , and whose morphisms are continuous maps  $f : \coprod_{m=0}^{\infty} X_m \rightarrow \coprod_{n=0}^{\infty} Y_n$ , such that  $f|_{X_m \cap f^{-1}(Y_n)} : X_m \cap f^{-1}(Y_n) \rightarrow Y_n$  is a smooth map of manifolds with corners for all  $m, n \geq 0$ . Objects of  $\check{\mathbf{Man}}^c$  will be called *manifolds with corners of mixed dimension*. We will also write  $\check{\mathbf{Man}}_{\text{in}}^c, \check{\mathbf{Man}}_{\text{st}}^c$  for the subcategories of  $\check{\mathbf{Man}}^c$  with morphisms interior maps, and strongly smooth maps.

**Definition 2.9.** Define the *corners*  $C(X)$  of a manifold with corners  $X$  by

$$\begin{aligned} C(X) &= \coprod_{k=0}^{\dim X} C_k(X) \\ &= \{(x, \gamma) : x \in X, \gamma \text{ is a local } k\text{-corner component of } X \text{ at } x, k \geq 0\}, \end{aligned}$$

considered as an object of  $\check{\mathbf{Man}}^c$  in Definition 2.8, a manifold with corners of mixed dimension. Define  $\Pi : C(X) \rightarrow X$  by  $\Pi : (x, \gamma) \mapsto x$ . This is smooth (i.e. a morphism in  $\check{\mathbf{Man}}^c$ ) as the maps  $\Pi_k : C_k(X) \rightarrow X$  are smooth for  $k \geq 0$ .

Let  $f : X \rightarrow Y$  be a smooth map of manifolds with corners, and suppose  $\gamma$  is a local  $k$ -corner component of  $X$  at  $x \in X$ . For each sufficiently small open neighbourhood  $V$  of  $x$  in  $X$ ,  $\gamma$  gives a choice of connected component  $W$  of  $V \cap S^k(X)$  with  $x \in \overline{W}$ , so by Lemma 2.5  $f(\overline{W}) \subseteq S^l(Y)$  for some  $l \geq 0$ . As  $f$  is continuous,  $f(W)$  is connected, and  $f(x) \in \overline{f(W)}$ . Thus there is a unique local  $l$ -corner component  $f_*(\gamma)$  of  $Y$  at  $f(x)$ , such that if  $\tilde{V}$  is a sufficiently small open neighbourhood of  $f(x)$  in  $Y$ , then the connected component  $\tilde{W}$  of  $\tilde{V} \cap S^l(Y)$  given by  $f_*(\gamma)$  has  $f(W) \cap \tilde{W} \neq \emptyset$ . This  $f_*(\gamma)$  is independent of the choice of sufficiently small  $V, \tilde{V}$ , so is well-defined.

Define a map  $C(f) : C(X) \rightarrow C(Y)$  by  $C(f) : (x, \gamma) \mapsto (f(x), f_*(\gamma))$ . Then  $C(f)$  is an interior morphism in  $\check{\mathbf{Man}}^c$ . If  $g : Y \rightarrow Z$  is another smooth map of manifolds with corners then  $C(g \circ f) = C(g) \circ C(f) : C(X) \rightarrow C(Z)$ , so  $C : \mathbf{Man}^c \rightarrow \check{\mathbf{Man}}_{\text{in}}^c \subset \check{\mathbf{Man}}^c$  is a functor, which we call a *corner functor*.

From [64, Prop. 2.11] we have:

**Proposition 2.10.** *Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}^c$ . Then*

- (a)  *$f$  is interior if and only if  $C(f)$  maps  $C_0(X) \rightarrow C_0(Y)$ .*
- (b)  *$f$  is  $b$ -normal if and only if  $C(f)$  maps  $C_k(X) \rightarrow \coprod_{l=0}^k C_l(Y)$  for all  $k$ .*
- (c) *If  $f$  is simple then  $C(f)$  maps  $C_k(X) \rightarrow C_k(Y)$  for all  $k \geq 0$ , and  $C_k(f) := C(f)|_{C_k(X)} : C_k(X) \rightarrow C_k(Y)$  is also a simple map.*

*Thus we have a **boundary functor**  $\partial : \mathbf{Man}_{\text{si}}^c \rightarrow \mathbf{Man}_{\text{si}}^c$  mapping  $X \mapsto \partial X$  on objects and  $f \mapsto \partial f := C(f)|_{C_1(X)} : \partial X \rightarrow \partial Y$  on (simple) morphisms  $f : X \rightarrow Y$ , and for all  $k \geq 0$  a  **$k$ -corner functor**  $C_k : \mathbf{Man}_{\text{si}}^c \rightarrow \mathbf{Man}_{\text{si}}^c$  mapping  $X \mapsto C_k(X)$  on objects and  $f \mapsto C_k(f) := C(f)|_{C_k(X)} : C_k(X) \rightarrow C_k(Y)$  on (simple) morphisms.*

As in [59, Def. 4.5] there is also a second corner functor on  $\mathbf{Man}^c$ , which we write as  $C' : \mathbf{Man}^c \rightarrow \check{\mathbf{Man}}^c$ .

**Definition 2.11.** Define  $C'(X) = C(X)$  in  $\check{\mathbf{Man}}^c$  for each  $X$  in  $\mathbf{Man}^c$ .

Let  $f : X \rightarrow Y$  be a smooth map of manifolds with corners. Define a map  $C'(f) : C'(X) \rightarrow C'(Y)$  by  $C'(f) : (x, \gamma) \mapsto (y, \delta)$ , where  $y = f(x)$  in  $Y$ , and  $\delta$  is the unique maximal local corner component of  $Y$  at  $y$  with the property that if  $V$  is an open neighbourhood of  $y$  in  $Y$  and  $a : V \rightarrow [0, \infty)$  is smooth with  $a(y) = a \circ f(x) = 0$  and  $a \circ f|_{\gamma} = 0$  then  $a|_{\delta} = 0$ .

Here  $\delta$  is *maximal* means that if  $\tilde{\delta}$  is any other local corner component with this property then  $\dim \delta \geq \dim \tilde{\delta}$  (so that  $\text{codim } \delta \leq \text{codim } \tilde{\delta}$ ) and  $\tilde{\delta}$  is contained in the closure of  $\delta$ . By considering local models in coordinates we can show that  $C'(f) : C'(X) \rightarrow C'(Y)$  is a morphism in  $\check{\mathbf{Man}}^c$ , and that this defines a functor  $C' : \mathbf{Man}^c \rightarrow \check{\mathbf{Man}}^c$ , which we also call a *corner functor*.

The next proposition is easy:

**Proposition 2.12.** *Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}^c$ . Then  $C'(f)$  maps  $C_0(X) \rightarrow C_0(Y)$ , and  $C'(f) = C(f)$  if and only if  $f$  is interior.*

*By Proposition 2.10(c), this implies that if  $f$  is simple (hence interior) then  $C'(f) = C(f)$  maps  $C_k(X) \rightarrow C_k(Y)$  for all  $k \geq 0$ , and  $C_k(f) := C'(f)|_{C_k(X)} : C_k(X) \rightarrow C_k(Y)$  is also a simple map.*

Equations (2.5) and (2.7) imply that if  $X, Y$  are manifolds with corners, we have natural isomorphisms

$$\partial C(X) \cong C(\partial X), \tag{2.8}$$

$$C(X \times Y) \cong C(X) \times C(Y). \tag{2.9}$$

The corner functors  $C, C'$  preserve products and direct products. That is, if  $f : W \rightarrow Y, g : X \rightarrow Y, h : X \rightarrow Z$  are smooth then the following commute

$$\begin{array}{ccc}
C(W \times X) & \xrightarrow{C(f \times h)} & C(Y \times Z) \\
\downarrow \cong & & \downarrow \cong \\
C(W) \times C(X) & \xrightarrow{C(f) \times C(h)} & C(Y) \times C(Z), \\
C'(W \times X) & \xrightarrow{C'(f \times h)} & C'(Y \times Z) \\
\downarrow \cong & & \downarrow \cong \\
C'(W) \times C'(X) & \xrightarrow{C'(f) \times C'(h)} & C'(Y) \times C'(Z),
\end{array}
\quad
\begin{array}{ccc}
C(X) & \begin{array}{l} \xrightarrow{C((g,h))} \\ \xrightarrow{(C(g), C(h))} \end{array} & \begin{array}{l} C(Y \times Z) \\ \downarrow \cong \\ C(Y) \times C(Z), \end{array} \\
C'(X) & \begin{array}{l} \xrightarrow{C'((g,h))} \\ \xrightarrow{(C'(g), C'(h))} \end{array} & \begin{array}{l} C'(Y \times Z) \\ \downarrow \cong \\ C'(Y) \times C'(Z), \end{array}
\end{array}$$

where the columns are the isomorphisms (2.9).

**Example 2.13.** (a) Let  $X = [0, \infty), Y = [0, \infty)^2$ , and define  $f : X \rightarrow Y$  by  $f(x) = (x, x)$ . We have

$$\begin{array}{lll}
C_0(X) \cong [0, \infty), & C_1(X) \cong \{0\}, & C_0(Y) \cong [0, \infty)^2, \\
C_1(Y) \cong (\{0\} \times [0, \infty)) \amalg ([0, \infty) \times \{0\}), & & C_2(Y) \cong \{(0, 0)\}.
\end{array}$$

Then  $C(f)$  maps  $C_0(X) \rightarrow C_0(Y)$ ,  $x \mapsto (x, x)$ , and  $C_1(X) \rightarrow C_2(Y)$ ,  $0 \mapsto (0, 0)$ . Also  $C'(f) = C(f)$ , as  $f$  is interior.

(b) Let  $X = *, Y = [0, \infty)$  and define  $f : X \rightarrow Y$  by  $f(*) = 0$ . Then  $C_0(X) \cong *, C_0(Y) \cong [0, \infty), C_1(Y) \cong \{0\}$ , and  $C(f)$  maps  $C_0(X) \rightarrow C_1(Y)$ ,  $* \mapsto 0$ , but  $C'(f)$  maps  $C_0(X) \rightarrow C_0(Y)$ ,  $* \mapsto 0$ , so  $C'(f) \neq C(f)$ .

Note that  $C(f), C'(f)$  need not map  $C_k(X) \rightarrow C_k(Y)$ .

### 2.3 Tangent bundles and b-tangent bundles

Manifolds with corners  $X$  have two notions of tangent bundle with functorial properties, the (ordinary) tangent bundle  $TX$ , the obvious generalization of tangent bundles of manifolds without boundary, and the *b-tangent bundle*  ${}^bTX$  introduced by Melrose [84, §2], [85, §2.2], [86, §I.10]. Taking duals gives two notions of cotangent bundle  $T^*X, {}^bT^*X$ . First we discuss vector bundles:

**Definition 2.14.** Let  $X$  be a manifold with corners. A vector bundle  $E \rightarrow X$  of rank  $k$  is a manifold with corners  $E$  and a smooth map  $\pi : E \rightarrow X$ , such that each fibre  $E_x := \pi^{-1}(x)$  for  $x \in X$  is given the structure of a real vector space of dimension  $k$ , and  $X$  may be covered by open  $U \subseteq X$  with diffeomorphisms  $\pi^{-1}(U) \cong U \times \mathbb{R}^k$  identifying  $\pi|_{\pi^{-1}(U)} : \pi^{-1}(U) \rightarrow U$  with the projection  $U \times \mathbb{R}^k \rightarrow \mathbb{R}^k$ , and the vector space structure on  $E_x$  with that on  $\{x\} \times \mathbb{R}^k \cong \mathbb{R}^k$ , for each  $x \in U$ . A section of  $E$  is a smooth map  $s : X \rightarrow E$  with  $\pi \circ s = \text{id}_X$ .

We write  $\Gamma^\infty(E)$  for the vector space of smooth sections of  $E$ , and  $C^\infty(X)$  for the  $\mathbb{R}$ -algebra of smooth functions  $X \rightarrow \mathbb{R}$ . Then  $\Gamma^\infty(E)$  is a  $C^\infty(X)$ -module.

Morphisms of vector bundles, dual vector bundles, tensor products of vector bundles, exterior products, and so on, all work as usual.

**Definition 2.15.** Let  $X$  be an  $m$ -manifold with corners. The *tangent bundle*  $\pi : TX \rightarrow X$  and *b-tangent bundle*  $\pi : {}^bTX \rightarrow X$  are natural rank  $m$  vector bundles on  $X$ , with a vector bundle morphism  $I_X : {}^bTX \rightarrow TX$ . The fibres of  $TX, {}^bTX$  at  $x \in X$  are written  $T_xX, {}^bT_xX$ . We may describe  $TX, {}^bTX, I_X$  in local coordinates as follows.

If  $(U, \phi)$  is a chart on  $X$ , with  $U \subseteq \mathbb{R}_k^m$  open, and  $(x_1, \dots, x_m)$  are the coordinates on  $U$ , then over  $\phi(U)$ ,  $TX$  is the trivial vector bundle with basis of sections  $\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_m}$ , and  ${}^bTX$  is the trivial vector bundle with basis of sections  $x_1 \frac{\partial}{\partial x_1}, \dots, x_k \frac{\partial}{\partial x_k}, \frac{\partial}{\partial x_{k+1}}, \dots, \frac{\partial}{\partial x_m}$ .

We have corresponding charts  $(TU, T\phi)$  on  $TX$  and  $({}^bTU, {}^bT\phi)$  on  ${}^bTX$ , where  $TU = {}^bTU = U \times \mathbb{R}^m \subseteq \mathbb{R}_k^{2m}$ , such that  $(x_1, \dots, x_m, q_1, \dots, q_m)$  in  $TU$  represents the vector  $q_1 \frac{\partial}{\partial x_1} + \dots + q_m \frac{\partial}{\partial x_m}$  over  $\phi(x_1, \dots, x_m) \in X$ , and  $(x_1, \dots, x_m, r_1, \dots, r_m)$  in  ${}^bTU$  represents  $r_1 x_1 \frac{\partial}{\partial x_1} + \dots + r_k x_k \frac{\partial}{\partial x_k} + r_{k+1} \frac{\partial}{\partial x_{k+1}} + \dots + r_m \frac{\partial}{\partial x_m}$  over  $\phi(x_1, \dots, x_m)$  in  $X$ , and  $I_X$  maps  $(x_1, \dots, x_m, r_1, \dots, r_m)$  in  ${}^bTU$  to  $(x_1, \dots, x_m, x_1 r_1, \dots, x_k r_k, r_{k+1}, \dots, r_m)$  in  $TU$ .

Under change of coordinates  $(x_1, \dots, x_m) \rightsquigarrow (\tilde{x}_1, \dots, \tilde{x}_m)$  from  $(U, \phi)$  to  $(\tilde{U}, \tilde{\phi})$ , the corresponding change  $(x_1, \dots, x_m, q_1, \dots, q_m) \rightsquigarrow (\tilde{x}_1, \dots, \tilde{q}_m)$  from  $(TU, T\phi)$  to  $(T\tilde{U}, T\tilde{\phi})$  is determined by  $\frac{\partial}{\partial x_i} = \sum_{j=1}^m \frac{\partial \tilde{x}_j}{\partial x_i}(x_1, \dots, x_m) \cdot \frac{\partial}{\partial \tilde{x}_j}$ , so that  $\tilde{q}_j = \sum_{i=1}^m \frac{\partial \tilde{x}_j}{\partial x_i}(x_1, \dots, x_m) q_i$ , and similarly for  $({}^bTU, {}^bT\phi), ({}^bT\tilde{U}, {}^bT\tilde{\phi})$ .

Elements of  $\Gamma^\infty(TX)$  are called *vector fields*, and of  $\Gamma^\infty({}^bTX)$  are called *b-vector fields*. The map  $(I_X)_* : \Gamma^\infty({}^bTX) \rightarrow \Gamma^\infty(TX)$  is injective, and identifies  $\Gamma^\infty({}^bTX)$  with the vector subspace of  $v \in \Gamma^\infty(TX)$  such that  $v|_{S^k(X)}$  is tangent to  $S^k(X)$  for all  $k = 1, \dots, \dim X$ .

Taking duals gives two notions of cotangent bundle  $T^*X, {}^bT^*X$ . The fibres of  $T^*X, {}^bT^*X$  at  $x \in X$  are written  $T_x^*X, {}^bT_x^*X$ .

Now suppose  $f : X \rightarrow Y$  is a smooth map of manifolds with corners. Then there is a natural smooth map  $Tf : TX \rightarrow TY$  so that the following commutes:

$$\begin{array}{ccc} TX & \xrightarrow{Tf} & TY \\ \downarrow \pi & & \downarrow \pi \\ X & \xrightarrow{f} & Y. \end{array}$$

Let  $(U, \phi)$  and  $(V, \psi)$  be coordinate charts on  $X, Y$  with  $U \subseteq \mathbb{R}_k^m, V \subseteq \mathbb{R}_l^n$ , with coordinates  $(x_1, \dots, x_m) \in U$  and  $(y_1, \dots, y_n) \in V$ , and let  $(TU, T\phi), (TV, T\psi)$  be the corresponding charts on  $TX, TY$ , with coordinates  $(x_1, \dots, x_m, q_1, \dots, q_m) \in TU$  and  $(y_1, \dots, y_n, r_1, \dots, r_n) \in TV$ . Equation (2.1) defines a map  $\psi^{-1} \circ f \circ \phi$  between open subsets of  $U, V$ . Write  $\psi^{-1} \circ f \circ \phi = (f_1, \dots, f_n)$ , for  $f_j = f_j(x_1, \dots, x_m)$ . Then the corresponding  $T\psi^{-1} \circ Tf \circ T\phi$  maps

$$\begin{aligned} T\psi^{-1} \circ Tf \circ T\phi : (x_1, \dots, x_m, q_1, \dots, q_m) &\longmapsto (f_1(x_1, \dots, x_m), \dots, \\ &f_n(x_1, \dots, x_m), \sum_{i=1}^m \frac{\partial f_1}{\partial x_i}(x_1, \dots, x_m) q_i, \dots, \sum_{i=1}^m \frac{\partial f_n}{\partial x_i}(x_1, \dots, x_m) q_i). \end{aligned}$$

We can also regard  $Tf$  as a vector bundle morphism  $df : TX \rightarrow f^*(TY)$  on  $X$ , which has dual morphism  $df : f^*(T^*Y) \rightarrow T^*X$ . If  $x \in X$  with  $f(x) = y$  in  $Y$  we have linear maps  $T_x f : T_x X \rightarrow T_y Y$  and  $T_x^* f : T_y^* Y \rightarrow T_x^* X$  on the fibres.



If  $g : Y \rightarrow Z$  is smooth then  $T(g \circ f) = Tg \circ Tf : TX \rightarrow TZ$ , and  $T(\text{id}_X) = \text{id}_{TX} : TX \rightarrow TX$ . Thus, the assignment  $X \mapsto TX$ ,  $f \mapsto Tf$  is a functor, the *tangent functor*  $T : \mathbf{Man}^c \rightarrow \mathbf{Man}^c$ . It restricts to  $T : \mathbf{Man}_{\text{in}}^c \rightarrow \mathbf{Man}_{\text{in}}^c$ .

As in [84, §2], the analogue of the morphisms  $Tf : TX \rightarrow TY$  for b-tangent bundles works only for *interior* maps  $f : X \rightarrow Y$ . So let  $f : X \rightarrow Y$  be an interior map of manifolds with corners. If  $f$  is interior, there is a unique interior map  ${}^bTf : {}^bTX \rightarrow {}^bTY$  so that the following commutes:

$$\begin{array}{ccccc}
{}^bTX & \xrightarrow{{}^bTf} & {}^bTY & & \\
\downarrow I_X & & \downarrow I_Y & & \\
TX & \xrightarrow{Tf} & TY & & \\
\downarrow \pi & & \downarrow \pi & & \\
X & \xrightarrow{f} & Y & & 
\end{array} \quad (2.10)$$

The assignment  $X \mapsto {}^bTX$ ,  $f \mapsto {}^bTf$  is a functor, the *b-tangent functor*  ${}^bT : \mathbf{Man}_{\text{in}}^c \rightarrow \mathbf{Man}_{\text{in}}^c$ . The maps  $I_X : {}^bTX \rightarrow TX$  give a natural transformation  $I : {}^bT \rightarrow T$  of functors  $\mathbf{Man}_{\text{in}}^c \rightarrow \mathbf{Man}_{\text{in}}^c$ .

We can also regard  ${}^bTf$  as a vector bundle morphism  ${}^bdf : {}^bTX \rightarrow f^*({}^bTY)$  on  $X$ , with dual morphism  ${}^bdf : f^*({}^bT^*Y) \rightarrow {}^bT^*X$ . If  $x \in X$  with  $f(x) = y$  in  $Y$  we have linear maps  ${}^bT_x f : {}^bT_x X \rightarrow {}^bT_y Y$  and  ${}^bT_x^* f : {}^bT_x^* Y \rightarrow {}^bT_x^* X$ .

Note that if  $f : X \rightarrow Y$  is a smooth map in  $\mathbf{Man}^c$  then  $C(f) : C(X) \rightarrow C(Y)$  is interior, so  ${}^bTC(f) : {}^bTC(X) \rightarrow {}^bTC(Y)$  is well defined, and we can use this as a substitute for  ${}^bTf : {}^bTX \rightarrow {}^bTY$  when  $f$  is not interior.

Let  $X$  be a manifold with corners, and  $k \geq 0$ . Then we have an exact sequence of vector bundles on  $C_k(X)$ :

$$0 \longrightarrow T(C_k(X)) \xrightarrow{d\Pi_k} \Pi_k^*(TX) \longrightarrow N_{C_k(X)} \longrightarrow 0, \quad (2.11)$$

where  $N_{C_k(X)}$  is the *normal bundle of  $C_k(X)$  in  $X$* , a natural rank  $k$  vector bundle on  $C_k(X)$ . When  $k = 1$  this becomes

$$0 \longrightarrow T(\partial X) \xrightarrow{di_X} i_X^*(TX) \longrightarrow N_{\partial X} \longrightarrow 0. \quad (2.12)$$

Here the normal line bundle  $N_{\partial X}$  has a natural orientation on its fibres, by outward-pointing vectors. Using (2.12) and the orientation on  $N_{\partial X}$ , we can show that an orientation on  $X$  induces an orientation on  $\partial X$ , as in §2.6.

For b-tangent bundles, as in [64, Prop. 2.22] there is an analogue of (2.11):

$$0 \longrightarrow {}^bN_{C_k(X)} \longrightarrow \Pi_k^*({}^bTX) \xrightarrow{I_X^\diamond} {}^bT(C_k(X)) \longrightarrow 0, \quad (2.13)$$

where  ${}^bN_{C_k(X)}$  is the *b-normal bundle of  $C_k(X)$  in  $X$* , a rank  $k$  vector bundle with a natural flat connection. Note that (2.13) goes in the opposite direction to (2.11). There is no natural map  ${}^b d\Pi_k : {}^bT(C_k(X)) \rightarrow \Pi_k^*({}^bTX)$  for  $k > 0$ , as  $\Pi_k$  is not interior. We can define  $I_X^\diamond$  in (2.13) by noting that  $(I_X)_* : \Gamma^\infty({}^bTX) \rightarrow \Gamma^\infty(TX)$  identifies  $\Gamma^\infty({}^bTX)$  with the vector subspace of  $v$  in  $\Gamma^\infty(TX)$  with  $v|_{S^l(X)}$  tangent to  $S^l(X)$  for all  $l$ , as in Definition 2.15, and under

this identification,  $I_X^\circ$  is just restriction/pullback of vector fields from  $X$  to  $C_k(X)$ . When  $k = 1$ ,  ${}^bN_{C_1(X)}$  is naturally trivial, giving an exact sequence

$$0 \longrightarrow \mathcal{O}_{\partial X} \longrightarrow i_X^*({}^bTX) \xrightarrow{I_X^\circ} {}^bT(\partial X) \longrightarrow 0, \quad (2.14)$$

where  $\mathcal{O}_{\partial X} = \partial X \times \mathbb{R} \rightarrow \partial X$  is the trivial line bundle on  $\partial X$ .

Here is some similar notation to  $N_{C_k(X)}$ ,  ${}^bN_{C_k(X)}$ , but working over  $X$  rather than  $C(X)$ , taken from [64, Def. 2.25].

**Definition 2.16.** Let  $X$  be a manifold with corners. For  $x \in S^k(X) \subseteq X$ , we have a natural exact sequence of real vector spaces

$$0 \longrightarrow T_x(S^k(X)) \xrightarrow{\iota_x X} T_x X \xrightarrow{\pi_x X} \tilde{N}_x X \longrightarrow 0, \quad (2.15)$$

where  $\dim \tilde{N}_x X = k$ . We call  $\tilde{N}_x X$  the *stratum normal space*. There is a unique point  $x' \in C_k(X)$  with  $\Pi_k(x') = x$ , and then  $\tilde{N}_x X \cong N_{C_k(X)}|_{x'}$ , and  $T_x(S^k(X)) \cong {}^bT(C_k(X))|_{x'}$ , and (2.15) is canonically isomorphic to the restriction of (2.11) to  $x'$ .

Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}^c$ , and let  $x \in S^k(X) \subseteq X$  with  $f(x) = y \in S^l(Y) \subseteq Y$ . Then  $f$  maps  $S^k(X) \rightarrow S^l(Y)$  near  $x$  by Lemma 2.5. There is a unique linear map  $\tilde{N}_x f : \tilde{N}_x X \rightarrow \tilde{N}_y Y$ , the *stratum normal map*, fitting into the following commutative diagram, where the rows are (2.15):

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_x(S^k(X)) & \xrightarrow{\iota_x X} & T_x X & \xrightarrow{\pi_x X} & \tilde{N}_x X \longrightarrow 0 \\ & & \downarrow T_x(f|_{S^k(X)}) & & \downarrow T_x f & & \downarrow \tilde{N}_x f \\ 0 & \longrightarrow & T_y(S^l(Y)) & \xrightarrow{\iota_y Y} & T_y Y & \xrightarrow{\pi_y Y} & \tilde{N}_y Y \longrightarrow 0 \end{array} \quad (2.16)$$

These morphisms  $\tilde{N}_x f$  are functorial in  $f$  and  $x$ . That is, if  $g : Y \rightarrow Z$  is another morphism in  $\mathbf{Man}^c$  then  $\tilde{N}_x(g \circ f) = \tilde{N}_y g \circ \tilde{N}_x f$ .

There is also a ‘b-tangent’ version. Let  $X$  be a manifold with corners. For each  $x \in S^k(X) \subseteq X$ , we have a natural exact sequence of real vector spaces

$$0 \longrightarrow {}^b\tilde{N}_x X \xrightarrow{{}^b\iota_x X} {}^bT_x X \xrightarrow{\Pi_x X} T_x(S^k(X)) \longrightarrow 0, \quad (2.17)$$

where  $\dim {}^b\tilde{N}_x X = k$ . We call  ${}^b\tilde{N}_x X$  the *stratum b-normal space*. There is a unique point  $x' \in C_k(X)$  with  $\Pi_k(x') = x$ , and then  ${}^b\tilde{N}_x X \cong {}^bN_{C_k(X)}|_{x'}$ , and  $T_x(S^k(X)) \cong {}^bT(C_k(X))|_{x'}$ , and (2.17) is canonically isomorphic to the restriction of (2.13) to  $x'$ .

Note that the  $\tilde{N}_x X, {}^b\tilde{N}_x X$  for  $x \in X$  are not the fibres of vector bundles on  $X$ , as  $\dim \tilde{N}_x X, \dim {}^b\tilde{N}_x X$  are only upper semicontinuous in  $x$ .

If  $(x_1, \dots, x_m) \in \mathbb{R}_k^m$  are local coordinates on  $X$  near  $x$  then we have

$$\begin{aligned} {}^b\tilde{N}_x X &= \left\langle x_1 \frac{\partial}{\partial x_1}, \dots, x_k \frac{\partial}{\partial x_k} \right\rangle_{\mathbb{R}}, & T_x(S^k(X)) &= \left\langle \frac{\partial}{\partial x_{k+1}}, \dots, \frac{\partial}{\partial x_m} \right\rangle_{\mathbb{R}}, \\ \text{and } {}^bT_x X &= \left\langle x_1 \frac{\partial}{\partial x_1}, \dots, x_k \frac{\partial}{\partial x_k}, \frac{\partial}{\partial x_{k+1}}, \dots, \frac{\partial}{\partial x_m} \right\rangle_{\mathbb{R}}. \end{aligned}$$

Using these identifications, define a subset  $\tilde{M}_x X \subseteq {}^b\tilde{N}_x X$  by

$$\tilde{M}_x X = \left\{ b_1 \cdot x_1 \frac{\partial}{\partial x_1} + \cdots + b_k \cdot x_k \frac{\partial}{\partial x_k} : b_1, \dots, b_k \in \mathbb{N} \right\},$$

so that  $\tilde{M}_x X \cong \mathbb{N}^k$ . This is independent of the choice of coordinates. We consider  $\tilde{M}_x X$  to be a commutative monoid under addition in  ${}^b\tilde{N}_x X$ , as in Definition 2.17 below.

Now let  $f : X \rightarrow Y$  be an interior map in  $\mathbf{Man}^c$ , and let  $x \in S^k(X) \subseteq X$  with  $f(x) = y \in S^l(Y) \subseteq Y$ . Then  $f$  maps  $S^k(X) \rightarrow S^l(Y)$  near  $x$  by Lemma 2.5. There is a unique linear map  ${}^b\tilde{N}_x f : {}^b\tilde{N}_x X \rightarrow {}^b\tilde{N}_y Y$ , the *stratum  $b$ -normal map*, fitting into the following commutative diagram, where the rows are (2.17):

$$\begin{array}{ccccccc} 0 & \longrightarrow & {}^b\tilde{N}_x X & \longrightarrow & {}^bT_x X & \longrightarrow & T_x(S^k(X)) \longrightarrow 0 \\ & & \downarrow {}^b\tilde{N}_x f & & \downarrow {}^bT_x f & & \downarrow T_x(f|_{S^k(X)}) \\ 0 & \longrightarrow & {}^b\tilde{N}_y Y & \longrightarrow & {}^bT_y Y & \longrightarrow & T_y(S^l(Y)) \longrightarrow 0. \end{array} \quad (2.18)$$

We have  ${}^b\tilde{N}_x f(\tilde{M}_x X) \subseteq \tilde{M}_y Y$ , so we define a monoid morphism  $\tilde{M}_x f : \tilde{M}_x X \rightarrow \tilde{M}_y Y$  by  $\tilde{M}_x f = {}^b\tilde{N}_x f|_{\tilde{M}_x X}$ . These morphisms  ${}^b\tilde{N}_x f, \tilde{M}_x f$  are functorial in  $f$  and  $x$ . That is, if  $g : Y \rightarrow Z$  is another interior morphism in  $\mathbf{Man}^c$  then  ${}^b\tilde{N}_x(g \circ f) = {}^b\tilde{N}_y g \circ {}^b\tilde{N}_x f$  and  $\tilde{M}_x(g \circ f) = \tilde{M}_y g \circ \tilde{M}_x f$ .

We have canonical isomorphisms  ${}^b\tilde{N}_x X \cong \tilde{M}_x X \otimes_{\mathbb{N}} \mathbb{R}$  for all  $x, X$ , which identify  ${}^b\tilde{N}_x f : {}^b\tilde{N}_x X \rightarrow {}^b\tilde{N}_y Y$  with  $\tilde{M}_x f \otimes \text{id}_{\mathbb{R}} : \tilde{M}_x X \otimes_{\mathbb{N}} \mathbb{R} \rightarrow \tilde{M}_y Y \otimes_{\mathbb{N}} \mathbb{R}$ .

An interior map  $f : X \rightarrow Y$  is  $b$ -normal if  ${}^b\tilde{N}_x f$  is surjective for all  $x \in X$ .

In §10.1.5 and §10.3 we will refer to  $\tilde{N}_x X, {}^b\tilde{N}_x X, \tilde{M}_x X$  as *quasi-tangent spaces*, as they behave quite like tangent spaces.

## 2.4 Generalizations of manifolds with corners

We briefly discuss the categories  $\mathbf{Man}^{gc}$  of *manifolds with  $g$ -corners* from [64] and  $\mathbf{Man}^{ac}$  of *manifolds with  $a$ -corners* from [66].

### 2.4.1 Manifolds with generalized corners

In [64] the author introduced an extension of manifolds with corners called *manifolds with generalized corners*, or *manifolds with  $g$ -corners*. They are locally modelled on certain spaces  $X_P$  for  $P$  a weakly toric monoid.

**Definition 2.17.** A (*commutative*) *monoid*  $(P, +, 0)$  is a set  $P$  with a commutative, associative operation  $+$  :  $P \times P \rightarrow P$  and an identity element  $0 \in P$ . Monoids are like abelian groups, but without inverses. They form a category **Mon**. Some examples of monoids are the natural numbers  $\mathbb{N} = \{0, 1, 2, \dots\}$ , the integers  $\mathbb{Z}$ , any abelian group  $G$ , and  $[0, \infty) = ([0, \infty), \cdot, 1)$ .

A monoid  $P$  is called *weakly toric* if for some  $m, k \geq 0$  and  $c_i^j \in \mathbb{Z}$  for  $i = 1, \dots, m, j = 1, \dots, k$  we have

$$P \cong \left\{ (l_1, \dots, l_m) \in \mathbb{Z}^m : c_1^j l_1 + \cdots + c_m^j l_m \geq 0, j = 1, \dots, k \right\}.$$

The *rank* of a weakly toric monoid  $P$  is  $\text{rank } P = \dim_{\mathbb{R}}(P \otimes_{\mathbb{N}} \mathbb{R})$ . A weakly toric monoid  $P$  is called *toric* if  $0 \in P$  is the only invertible element.

Let  $P$  be a weakly toric monoid. Define  $X_P$  to be the set of monoid morphisms  $x : P \rightarrow [0, \infty)$ , where  $([0, \infty), \cdot, 1)$  is the monoid  $[0, \infty)$  with operation multiplication and identity 1. Define the *interior*  $X_P^\circ \subset X_P$  of  $X_P$  to be the subset of  $x$  with  $x(P) \subseteq (0, \infty) \subset [0, \infty)$ .

For each  $p \in P$ , define a function  $\lambda_p : X_P \rightarrow [0, \infty)$  by  $\lambda_p(x) = x(p)$ . Then  $\lambda_{p+q} = \lambda_p \cdot \lambda_q$  for  $p, q \in P$ , and  $\lambda_0 = 1$ . Define a topology on  $X_P$  to be the weakest such that  $\lambda_p : X_P \rightarrow [0, \infty)$  is continuous for all  $p \in P$ . If  $U \subseteq X_P$  is open, define the *interior*  $U^\circ$  of  $U$  to be  $U^\circ = U \cap X_P^\circ$ .

Choose generators  $p_1, \dots, p_m$  for  $P$ , and a generating set of relations for  $p_1, \dots, p_m$  of the form

$$a_1^j p_1 + \dots + a_m^j p_m = b_1^j p_1 + \dots + b_m^j p_m \quad \text{in } P \text{ for } j = 1, \dots, k,$$

where  $a_i^j, b_i^j \in \mathbb{N}$  for  $i = 1, \dots, m$  and  $j = 1, \dots, k$ . Here as  $P$  is integral (i.e. a submonoid of an abelian group) we may suppose that  $a_i^j = 0$  or  $b_i^j = 0$  for all  $i, j$ . Then  $\lambda_{p_1} \times \dots \times \lambda_{p_m} : X_P \rightarrow [0, \infty)^m$  is a homeomorphism from  $X_P$  to

$$X'_P = \{(x_1, \dots, x_m) \in [0, \infty)^m : x_1^{a_1^j} \dots x_m^{a_m^j} = x_1^{b_1^j} \dots x_m^{b_m^j}, j = 1, \dots, k\},$$

regarding  $X'_P$  as a closed subset of  $[0, \infty)^m$  with the induced topology.

Let  $U \subseteq X_P$  be open, and  $U' = (\lambda_{p_1} \times \dots \times \lambda_{p_m})(U)$  be the corresponding open subset of  $X'_P$ . We say that a continuous function  $f : U \rightarrow \mathbb{R}$  or  $f : U \rightarrow [0, \infty)$  is *smooth* if there exists an open neighbourhood  $W$  of  $U'$  in  $[0, \infty)^m$  and a smooth function  $g : W \rightarrow \mathbb{R}$  or  $g : W \rightarrow [0, \infty)$  in the sense of manifolds with (ordinary) corners in §2.1–§2.3, such that  $f = g \circ (\lambda_{p_1} \times \dots \times \lambda_{p_m})$ . This definition turns out to be independent of the choice of generators  $p_1, \dots, p_m$ .

Now let  $Q$  be another weakly toric monoid,  $V \subseteq X_Q$  be open, and  $f : U \rightarrow V$  be continuous. We say that  $f$  is *smooth* if  $\lambda_q \circ f : U \rightarrow [0, \infty)$  is smooth in the sense above for all  $q \in Q$ . We call a smooth map  $f : U \rightarrow V$  *interior* if  $f(U^\circ) \subseteq V^\circ$ , and a *diffeomorphism* if  $f$  has a smooth inverse  $f^{-1} : V \rightarrow U$ .

With these definitions, for any weakly toric monoid  $P$ , the interior  $X_P^\circ$  is naturally a manifold of dimension  $\text{rank } P$ , diffeomorphic to  $\mathbb{R}^{\text{rank } P}$ .

**Example 2.18.** Let  $P$  be the weakly toric monoid  $\mathbb{N}^k \times \mathbb{Z}^{m-k}$  for  $0 \leq k \leq m$ . Then points of  $X_P$  are monoid morphisms  $x : \mathbb{N}^k \times \mathbb{Z}^{m-k} \rightarrow ([0, \infty), \cdot, 1)$ , which may be written uniquely in the form

$$x(p_1, \dots, p_m) = y_1^{p_1} \dots y_k^{p_k} e^{p_{k+1}y_{k+1} + \dots + p_m y_m}$$

for  $(y_1, \dots, y_m) \in \mathbb{R}_k^m$ . This gives a bijection  $X_P \cong \mathbb{R}_k^m = [0, \infty)^k \times \mathbb{R}^{m-k}$ . As in [64, §3.2], this bijection identifies the topologies on  $\mathbb{R}_k^m, X_P$ , and identifies the notions of smooth map between open subsets of  $\mathbb{R}_k^m, \mathbb{R}_l^n$  and between open subsets of  $X_P, X_Q$  in Definitions 2.1 and 2.17. Thus, the  $X_P$  for general weakly toric monoids  $P$  are a class of smooth spaces generalizing the spaces  $\mathbb{R}_k^m$  used as local models for manifolds with corners in §2.1.

In [64, §3.3] we use this to define the category  $\mathbf{Man}^{\mathbf{gc}}$  of *manifolds with g-corners*, by generalizing Definition 2.2. A *manifold with g-corners of dimension  $m$*  is a Hausdorff, second countable topological space  $X$  equipped with a maximal atlas  $\{(P_a, U_a, \phi_a) : a \in A\}$  of charts  $(P_a, U_a, \phi_a)$ , such that  $P_a$  is a weakly toric monoid with  $\text{rank } P_a = m$ , and  $U_a \subseteq X_{P_a}$  is open, and  $\phi_a : U_a \rightarrow X$  is a homeomorphism with an open set  $\phi_a(U_a) \subseteq X$ . Any two such charts  $(P_a, U_a, \phi_a), (P_b, U_b, \phi_b)$  are required to be pairwise compatible, in that the transition map  $\phi_b^{-1} \circ \phi_a : \phi_a^{-1}(\phi_b(U_b)) \rightarrow \phi_b^{-1}(\phi_a(U_a))$  must be a diffeomorphism between open subsets of  $X_{P_a}, X_{P_b}$  in the sense of Definition 2.17. For set-theoretic reasons we require the  $P_a$  to be submonoids of some  $\mathbb{Z}^k$ .

Morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}^{\mathbf{gc}}$ , called *smooth maps*, are continuous maps  $f : X \rightarrow Y$  such that for all charts  $(P_a, U_a, \phi_a), (Q_b, V_b, \psi_b)$  on  $X, Y$ , the transfer map  $\psi_b^{-1} \circ f \circ \phi_a$  is a smooth map between open subsets of  $X_{P_a}, X_{Q_b}$  in the sense of Definition 2.17. We call  $f$  *interior* if the  $\psi_b^{-1} \circ f \circ \phi_a : (f \circ \phi_a)^{-1}(\psi_b(V_b)) \rightarrow V_b$  are interior maps for all  $a, b$ , in the sense of Definition 2.17, and we write  $\mathbf{Man}_{\text{in}}^{\mathbf{gc}}$  for the subcategory of  $\mathbf{Man}^{\mathbf{gc}}$  with morphisms interior maps.

Generalizing Definition 2.16, in [64, Def. 3.51], if  $X \in \mathbf{Man}^{\mathbf{gc}}$ , for each  $x \in S^k(X) \subseteq X$  we define a real vector space  ${}^b\tilde{N}_x X$  with  $\dim {}^b\tilde{N}_x X = k$  in a natural exact sequence (2.17), and a subset  $\tilde{M}_x X \subseteq {}^b\tilde{N}_x X$  which is a commutative monoid under addition in  ${}^b\tilde{N}_x X$ . But now  $\tilde{M}_x X$  is a toric monoid of rank  $k$ , such that if  $\tilde{M}_x X = P$  then  $X$  near  $x$  is locally modelled on  $X_P \times \mathbb{R}^{\dim X - \text{rank } P}$  near  $(\delta_0, 0)$ , and  $X \in \mathbf{Man}^{\mathbf{c}} \subset \mathbf{Man}^{\mathbf{gc}}$  if and only if  $\tilde{M}_x X \cong \mathbb{N}^k$  for all  $x \in X$ .

If  $f : X \rightarrow Y$  is an interior map in  $\mathbf{Man}^{\mathbf{gc}}$  and  $x \in S^k(X) \subseteq X$  with  $f(x) = y \in S^l(Y) \subseteq Y$ , there is a unique linear map  ${}^b\tilde{N}_x f : {}^b\tilde{N}_x X \rightarrow {}^b\tilde{N}_y Y$  making (2.18) commute. Then  ${}^b\tilde{N}_x f(\tilde{M}_x X) \subseteq \tilde{M}_y Y$ , so we define a monoid morphism  $\tilde{M}_x f : \tilde{M}_x X \rightarrow \tilde{M}_y Y$  by  $\tilde{M}_x f = {}^b\tilde{N}_x f|_{\tilde{M}_x X}$ , as in Definition 2.16.

We call an interior map  $f : X \rightarrow Y$  *simple* if  $\tilde{M}_x f$  is an isomorphism for all  $x \in X$ . Write  $\mathbf{Man}_{\text{si}}^{\mathbf{gc}}$  for the subcategory of  $\mathbf{Man}^{\mathbf{gc}}$  with simple morphisms. We call an interior map  $f : X \rightarrow Y$  *b-normal* if  ${}^b\tilde{N}_x f$  is surjective for all  $x \in X$ . We write  $\mathbf{Man}_{\text{bn}}^{\mathbf{gc}}$  for the subcategory of  $\mathbf{Man}^{\mathbf{gc}}$  with morphisms b-normal maps.

Using Example 2.18 to view  $\mathbb{R}_k^m$  as a space  $X_P$ , we obtain a full embedding  $\mathbf{Man}^{\mathbf{c}} \subset \mathbf{Man}^{\mathbf{gc}}$ , which restricts to a full embedding  $\mathbf{Man}_{\text{in}}^{\mathbf{c}} \subset \mathbf{Man}_{\text{in}}^{\mathbf{gc}}$ . By an abuse of notation we will regard  $\mathbf{Man}^{\mathbf{c}}$  as a full subcategory of  $\mathbf{Man}^{\mathbf{gc}}$ , closed under isomorphisms in  $\mathbf{Man}^{\mathbf{gc}}$ , so that Proposition 3.21(b) below holds. We could modify the definitions of  $\mathbf{Man}^{\mathbf{c}}, \mathbf{Man}^{\mathbf{gc}}$  to make this true.

**Example 2.19.** The simplest manifold with g-corners which is not a manifold with corners is  $X = \{(x_1, x_2, x_3, x_4) \in [0, \infty)^4 : x_1 x_2 = x_3 x_4\}$ . We have  $X \cong X_P$ , where  $P$  is the monoid  $P = \{(a, b, c) \in \mathbb{N}^3 : c \leq a + b\}$ .

Then  $X$  is 3-dimensional, and has four 2-dimensional boundary faces

$$\begin{aligned} X_{13} &= \{(x_1, 0, x_3, 0) : x_1, x_3 \in [0, \infty)\}, & X_{14} &= \{(x_1, 0, 0, x_4) : x_1, x_4 \in [0, \infty)\}, \\ X_{23} &= \{(0, x_2, x_3, 0) : x_2, x_3 \in [0, \infty)\}, & X_{24} &= \{(0, x_2, 0, x_4) : x_2, x_4 \in [0, \infty)\}, \end{aligned}$$

and four 1-dimensional edges

$$\begin{aligned} X_1 &= \{(x_1, 0, 0, 0) : x_1 \in [0, \infty)\}, & X_2 &= \{(0, x_2, 0, 0) : x_2 \in [0, \infty)\}, \\ X_3 &= \{(0, 0, x_3, 0) : x_3 \in [0, \infty)\}, & X_4 &= \{(0, 0, 0, x_4) : x_4 \in [0, \infty)\}, \end{aligned}$$

all meeting at the vertex  $(0, 0, 0, 0) \in X$ . In a 3-manifold with (ordinary) corners such as  $[0, \infty)^3$ , three 2-dimensional boundary faces and three 1-dimensional edges meet at each vertex, so  $X$  has an exotic corner structure at  $(0, 0, 0, 0)$ .

As in [64, §3.4–§3.6], the theory of §2.2–§2.3 extends to manifolds with g-corners, but with some important differences:

- As in §2.2, boundaries  $\partial X$ ,  $k$ -corners  $C_k(X)$ , and the first corner functor  $C : \mathbf{Man}^{\text{gc}} \rightarrow \check{\mathbf{Man}}_{\text{in}}^{\text{gc}} \subset \mathbf{Man}^{\text{gc}}$  in Definition 2.9 work for manifolds with g-corners, where  $\check{\mathbf{Man}}_{\text{in}}^{\text{gc}}, \mathbf{Man}^{\text{gc}}$  are the extensions of  $\mathbf{Man}_{\text{in}}^{\text{gc}}, \mathbf{Man}^{\text{gc}}$  with objects disjoint unions  $\coprod_{m=0}^{\infty} X_m$ , where  $X_m$  is a manifold with g-corners of dimension  $m$ . However, equations (2.2)–(2.5) and (2.8) are false for manifolds with g-corners  $X$ : for  $k > 2$  there is no natural  $S_k$ -action on  $\partial^k X$ , and no natural diffeomorphism  $C_k(X) \cong \partial^k X / S_k$ .
- The second corner functor  $C'$  in Definition 2.11 does not extend to  $\mathbf{Man}^{\text{gc}}$ , as the maximal local corner component  $\delta$  there may not be unique.
- B-(co)tangent bundles  ${}^bTX, {}^bT^*X$  and the functor  ${}^bT : \mathbf{Man}_{\text{in}}^{\text{gc}} \rightarrow \mathbf{Man}_{\text{in}}^{\text{gc}}$  work nicely for manifolds with g-corners  $X$ . But ordinary (co)tangent bundles  $TX, T^*X$  are not well defined. One can define tangent spaces  $T_x X$  for  $x \in X$ , but  $\dim T_x X$  is only upper semicontinuous in  $x$ , and the  $T_x X$  do not form a vector bundle on  $X$ .

As discussed in §2.5.3, transverse fibre products exist in  $\mathbf{Man}^{\text{gc}}$  and  $\mathbf{Man}_{\text{in}}^{\text{gc}}$  under weak conditions, and this is an important reason for working with  $\mathbf{Man}^{\text{gc}}$ . We can think of  $\mathbf{Man}^{\text{gc}}$  as a closure of  $\mathbf{Man}^{\text{c}}$  under transverse fibre products.

## 2.4.2 Manifolds with analytic corners

In [66] the author introduced yet another variation on manifolds with corners, called *manifolds with analytic corners* or *manifolds with a-corners*, which form a category  $\mathbf{Man}^{\text{ac}}$ . They have applications to some classes of analytic problems.

The motivating idea is that a manifold with corners  $X$  has two tangent bundles  $TX, {}^bTX$ , as in §2.3. Now the definition of smooth functions on  $X$  in §2.1 favours  $TX$ , as  $f : X \rightarrow \mathbb{R}$  is smooth if  $\nabla^k f$  exists as a continuous section of  $\bigotimes^k T^*X$  for all  $k = 0, 1, \dots$ . For manifolds with a-corners  $X$  we define ‘a-smooth functions’ and ‘a-smooth maps’ using  ${}^bTX$ , so that roughly speaking  $f : X \rightarrow \mathbb{R}$  is a-smooth if  ${}^b\nabla^k f$  exists as a section of  $\bigotimes^k {}^bT^*X$  for all  $k = 0, 1, \dots$ . This gives a different smooth structure even for  $X = [0, \infty)$ . For example,  $x^\alpha : [0, \infty) \rightarrow \mathbb{R}$  is a-smooth for all real  $\alpha > 0$ .

Here are the a-smooth versions of Definition 2.1(b)–(g):

**Definition 2.20.** As in §2.1 write  $\mathbb{R}_k^m = [0, \infty)^k \times \mathbb{R}^{m-k}$  for  $0 \leq k \leq m$ , let  $U \subseteq \mathbb{R}_k^m$  be open, and  $f : U \rightarrow \mathbb{R}$  be continuous. We say that  $f$  is *a-smooth* if for all  $a_1, \dots, a_m \in \mathbb{N}$  and for any compact subset  $S \subseteq U$ , there exist positive constants  $C, \alpha$  such that

$$\left| \frac{\partial^{a_1 + \dots + a_m}}{\partial x_1^{a_1} \dots \partial x_m^{a_m}} f(x_1, \dots, x_m) \right| \leq C \prod_{i=1, \dots, k: a_i > 0} x_i^{\alpha - a_i}$$

for all  $(x_1, \dots, x_m) \in S$  with  $x_i > 0$  if  $i = 1, \dots, k$  with  $a_i > 0$ , where continuous partial derivatives must exist at the required points.

Now let  $U \subseteq \mathbb{R}_k^m$  and  $V \subseteq \mathbb{R}_l^n$  be open, and  $f = (f_1, \dots, f_n) : U \rightarrow V$  be a continuous map, so that  $f_j = f_j(x_1, \dots, x_m)$  maps  $U \rightarrow [0, \infty)$  for  $j = 1, \dots, l$  and  $U \rightarrow \mathbb{R}$  for  $j = l + 1, \dots, n$ . Then we say that

- (a)  $f$  is *a-smooth* if  $f_j : U \rightarrow \mathbb{R}$  is a-smooth as above for  $j = l + 1, \dots, n$ , and every  $u = (x_1, \dots, x_m) \in U$  has an open neighbourhood  $\tilde{U}$  in  $U$  such that for each  $j = 1, \dots, l$ , either:
  - (i) we may uniquely write  $f_j(\tilde{x}_1, \dots, \tilde{x}_m) = F_j(\tilde{x}_1, \dots, \tilde{x}_m) \cdot \tilde{x}_1^{a_{1,j}} \dots \tilde{x}_k^{a_{k,j}}$  for all  $(\tilde{x}_1, \dots, \tilde{x}_m) \in \tilde{U}$ , where  $F_j : \tilde{U} \rightarrow (0, \infty) \subset \mathbb{R}$  is a-smooth as above, and  $a_{1,j}, \dots, a_{k,j} \in [0, \infty)$ , with  $a_{i,j} = 0$  if  $x_i \neq 0$ ; or
  - (ii)  $f_j|_{\tilde{U}} = 0$ .
- (b)  $f$  is *interior* if it is a-smooth, and case (a)(ii) does not occur.
- (c)  $f$  is *b-normal* if it is interior, and in case (a)(i), for each  $i = 1, \dots, k$  we have  $a_{i,j} > 0$  for at most one  $j = 1, \dots, l$ .
- (d)  $f$  is *strongly a-smooth* if it is a-smooth, and in case (a)(i), for each  $j = 1, \dots, l$  we have  $a_{i,j} > 0$  for at most one  $i = 1, \dots, k$ .
- (e)  $f$  is *simple* if it is interior, and in case (a)(i), for each  $i = 1, \dots, k$  with  $x_i = 0$  we have  $a_{i,j} > 0$  for exactly one  $j = 1, \dots, l$ , and for all  $j = 1, \dots, l$  we have  $a_{i,j} > 0$  for at most one  $i = 1, \dots, k$ .
- (f)  $f$  is an *a-diffeomorphism* if it is an a-smooth bijection with a-smooth inverse.

As in [66, §3.2], we define the category  $\mathbf{Man}^{\mathbf{ac}}$  of *manifolds with a-corners* as for  $\mathbf{Man}^{\mathbf{ac}}$  in Definition 2.2, but replacing Definition 2.1(b)–(g) by Definition 2.17(a)–(f). We define subcategories  $\mathbf{Man}_{\mathbf{in}}^{\mathbf{ac}}, \mathbf{Man}_{\mathbf{bn}}^{\mathbf{ac}}, \mathbf{Man}_{\mathbf{st}}^{\mathbf{ac}}, \mathbf{Man}_{\mathbf{st}, \mathbf{in}}^{\mathbf{ac}}, \mathbf{Man}_{\mathbf{st}, \mathbf{bn}}^{\mathbf{ac}}$  and  $\mathbf{Man}_{\mathbf{si}}^{\mathbf{ac}}$  of  $\mathbf{Man}^{\mathbf{ac}}$  with interior, b-normal, strongly a-smooth, strongly a-smooth interior, strongly a-smooth b-normal, and simple morphisms, respectively. As in [66, §3], there is an (obvious) functor  $F_{\mathbf{Man}^{\mathbf{c}}}^{\mathbf{Man}^{\mathbf{ac}}} : \mathbf{Man}^{\mathbf{c}} \rightarrow \mathbf{Man}^{\mathbf{ac}}$ , and a (non-obvious and nontrivial) functor  $F_{\mathbf{Man}_{\mathbf{st}}^{\mathbf{ac}}}^{\mathbf{Man}_{\mathbf{st}}^{\mathbf{c}}} : \mathbf{Man}_{\mathbf{st}}^{\mathbf{ac}} \rightarrow \mathbf{Man}_{\mathbf{st}}^{\mathbf{c}}$ .

We also define a category  $\mathbf{Man}^{\mathbf{c}, \mathbf{ac}}$  of *manifolds with corners and a-corners*, including  $\mathbf{Man}^{\mathbf{c}}, \mathbf{Man}^{\mathbf{ac}}$  as full subcategories, and subcategories  $\mathbf{Man}_{\mathbf{in}}^{\mathbf{c}, \mathbf{ac}}$ ,

$\mathbf{Man}_{\text{bn}}^{\text{c,ac}}$ ,  $\mathbf{Man}_{\text{st}}^{\text{c,ac}}$ ,  $\mathbf{Man}_{\text{st,in}}^{\text{c,ac}}$ ,  $\mathbf{Man}_{\text{st,bn}}^{\text{c,ac}}$ ,  $\mathbf{Man}_{\text{si}}^{\text{c,ac}}$  of  $\mathbf{Man}^{\text{c,ac}}$  with interior, b-normal, strongly a-smooth, strongly a-smooth interior, strongly a-smooth b-normal, and simple morphisms, respectively. There are functors  $F_{\mathbf{Man}^{\text{c,ac}}}^{\mathbf{Man}^{\text{ac}}} : \mathbf{Man}^{\text{c,ac}} \rightarrow \mathbf{Man}^{\text{ac}}$  and  $F_{\mathbf{Man}_{\text{st}}^{\text{c,ac}}}^{\mathbf{Man}_{\text{st}}^{\text{c}}} : \mathbf{Man}_{\text{st}}^{\text{c,ac}} \rightarrow \mathbf{Man}_{\text{st}}^{\text{c}}$ .

As in [66, §4], the theory of §2.2–§2.3 extends to manifolds with a-corners  $\mathbf{Man}^{\text{ac}}$ ,  $\mathbf{Man}^{\text{c,ac}}$ , including both corner functors  $C, C'$  in Definitions 2.9 and 2.11, with the difference that we do not define ordinary tangent bundles  $TX$  for manifolds with a-corners  $X$ , but only b-tangent bundles  ${}^bTX$ .

If  $X$  lies in  $\mathbf{Man}^{\text{ac}}$  or  $\mathbf{Man}^{\text{c,ac}}$ , so that we have the  $k$ -corners  $C_k(X)$  with a projection  $\Pi_k : C_k(X) \rightarrow X$ , then as in (2.13) there is a rank  $k$  bundle  ${}^bN_{C_k(X)}$  on  $C_k(X)$  in an exact sequence (2.13). When  $k = 1$ , for  $\mathbf{Man}^{\text{c}}$  and  $\mathbf{Man}^{\text{gc}}$  this  ${}^bN_{C_1(X)}$  was naturally trivial,  ${}^bN_{C_1(X)} = \mathcal{O}_{\partial X}$ , giving an exact sequence (2.14) on  $\partial X$ . However, for  $X$  in  $\mathbf{Man}^{\text{ac}}$  or  $\mathbf{Man}^{\text{c,ac}}$  this  ${}^bN_{C_1(X)} = {}^bN_{\partial X}$  may not be naturally trivial, so that instead of (2.14) we have an exact sequence on  $\partial X$ :

$$0 \longrightarrow {}^bN_{\partial X} \longrightarrow i_X^*({}^bTX) \xrightarrow{I_X^\circ} {}^bT(\partial X) \longrightarrow 0. \quad (2.19)$$

Here  ${}^bN_{\partial X} \rightarrow \partial X$  is a line bundle which has a natural orientation on its fibres, by outward-pointing vectors. Also  ${}^bN_{\partial X}$  has a natural flat connection.

## 2.5 Transversality, submersions, and fibre products

Fibre products in categories are defined in §A.1. Transversality and submersions are about giving useful criteria for existence of fibre products of manifolds. If we work in some category of manifolds  $\mathbf{Man}$  such as  $\mathbf{Man}$ ,  $\mathbf{Man}_{\text{st}}^{\text{c}}$ ,  $\mathbf{Man}_{\text{in}}^{\text{gc}}$ ,  $\mathbf{Man}^{\text{gc}}$ ,  $\mathbf{Man}_{\text{in}}^{\text{c}}$ ,  $\mathbf{Man}^{\text{c}}$ , then we would like the properties:

- (i) If  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are ‘transverse’ then a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}$ , with  $\dim W = \dim X + \dim Y - \dim Z$ .
- (ii) If  $g : X \rightarrow Z$  is a ‘submersion’ then  $g, h$  are transverse for any  $h : Y \rightarrow Z$ .

We would also like the definitions of ‘transverse’ and ‘submersion’ to be easy to check, and not to be too restrictive. Chapter 11 in volume II will extend the results of this section to (m-)Kuranishi spaces.

### 2.5.1 Transversality and submersions in $\mathbf{Man}$

The next definition and theorem are well known, see for instance Lee [71, §4, §6] and Lang [70, §II.2].

**Definition 2.21.** Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be smooth maps of manifolds. We call  $g, h$  *transverse* if  $T_x g \oplus T_y h : T_x X \oplus T_y Y \rightarrow T_z Z$  is surjective for all  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ . We call  $g$  a *submersion* if  $T_x g : T_x X \rightarrow T_z Z$  is surjective for all  $x \in X$  with  $g(x) = z$  in  $Z$ .



**Theorem 2.22. (a)** Suppose  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are transverse smooth maps of manifolds. Then a fibre product  $W = X \times_{g,Z,h} Y$  exists in **Man**, with  $\dim W = \dim X + \dim Y - \dim Z$ , in a Cartesian square in **Man**:

$$\begin{array}{ccc} W & \longrightarrow & Y \\ \downarrow e & f & \downarrow h \\ X & \xrightarrow{g} & Z. \end{array} \quad (2.20)$$

We may write

$$W = \{(x, y) \in X \times Y : g(x) = h(y) \text{ in } Z\} \quad (2.21)$$

as an embedded submanifold of  $X \times Y$ , where  $e : W \rightarrow X$  and  $f : W \rightarrow Y$  act by  $e : (x, y) \mapsto x$  and  $f : (x, y) \mapsto y$ . If  $w \in W$  with  $e(w) = x \in X$ ,  $f(w) = y \in Y$  and  $g(x) = h(y) = z \in Z$  then the following sequence is exact:

$$0 \longrightarrow T_w W \xrightarrow{T_w e \oplus T_w f} T_x X \oplus T_y Y \xrightarrow{T_x g \oplus T_y h} T_z Z \longrightarrow 0. \quad (2.22)$$

**(b)** Suppose  $g : X \rightarrow Z$  is a submersion in **Man**. Then  $g, h$  are transverse for any morphism  $h : Y \rightarrow Z$  in **Man**.

**(c)** Let  $g : X \rightarrow Z$  be a morphism in **Man**. Then  $g$  is a submersion if and only if the following condition holds: for each  $x \in X$  with  $g(x) = z$ , there should exist open neighbourhoods  $X', Z'$  of  $x, z$  in  $X, Z$  with  $g(X') = Z'$ , a manifold  $Y'$  with  $\dim X = \dim Y' + \dim Z$ , and a diffeomorphism  $X' \cong Y' \times Z'$ , such that  $g|_{X'} : X' \rightarrow Z'$  is identified with  $\pi_{Z'} : Y' \times Z' \rightarrow Z'$ .

Part (c) gives an alternative definition of submersions in **Man**: submersions are local projections. Here are some examples of non-transverse fibre products in **Man**. They illustrate the facts that: (i) non-transverse fibre products need not exist; (ii),(iii) a fibre product  $W = X \times_Z Y$  may exist, but have  $\dim W \neq \dim X + \dim Y - \dim Z$ ; and (iv) a fibre product  $W = X \times_Z Y$  may exist, but may not be homeomorphic to (2.21) as a topological space.

**Example 2.23. (i)** Define manifolds  $X = \mathbb{R}^2$ ,  $Y = \{*\}$ ,  $Z = \mathbb{R}$ , and smooth maps  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  by  $g(x, y) = xy$  and  $h(*) = 0$ . Then  $g, h$  are not transverse at  $(0, 0) \in X$  and  $* \in Y$ . In this case no fibre product  $X \times_{g,Z,h} Y$  exists in **Man**. Roughly this is because the fibre product ought to be  $\{(x, y) \in \mathbb{R}^2 : xy = 0\}$ , which is not a manifold near  $(0, 0)$ .

**(ii)** Set  $X = Y = \{*\}$ ,  $Z = \mathbb{R}$ , and define  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  by  $g(*) = h(*) = 0$ . Then  $g, h$  are not transverse at  $* \in X$  and  $* \in Y$ . A fibre product  $W = X \times_{g,Z,h} Y$  exists in **Man**, where  $W = \{*\}$  with projections  $e : W \rightarrow X$ ,  $f : W \rightarrow Y$  given by  $e(*) = f(*) = *$ . Note that  $\dim W > \dim X + \dim Y - \dim Z$ , so  $W$  has larger than the expected dimension.

**(iii)** Set  $X = \mathbb{R}^2$ ,  $Y = \{*\}$ ,  $Z = \mathbb{R}$ , and define  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  by  $g(x, y) = x^2 + y^2$  and  $h(*) = 0$ . Then  $g, h$  are not transverse at  $(0, 0) \in X$  and  $* \in Y$ . A fibre product  $W = X \times_{g,Z,h} Y$  exists in **Man**, where  $W = \{*\}$  with  $e : W \rightarrow X$ ,  $f : W \rightarrow Y$  given by  $e(*) = (0, 0)$  and  $f(*) = *$ . Note that  $\dim W < \dim X + \dim Y - \dim Z$ , so  $W$  has smaller than expected dimension.

(iv) Set  $X = \mathbb{R}^2$ ,  $Y = \{*\}$ ,  $Z = \mathbb{R}$ , and define smooth  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  by

$$g(x, y) = \begin{cases} e^{-1/x^2}(y - \sin(1/x)), & x \neq 0, \\ 0, & x = 0, \end{cases} \quad h(*) = 0.$$

Then  $g, h$  are not transverse at  $(0, y) \in X$  and  $* \in Y$  for  $y \in \mathbb{R}$ . A fibre product  $W = X \times_{g, Z, h} Y$  exists in **Man**. It is the disjoint union  $W = (-\infty, 0) \amalg (0, \infty) \amalg \mathbb{R}$ , where  $e : W \rightarrow X$ ,  $f : W \rightarrow Y$  act by  $e(x) = (x, \sin(1/x))$  for  $x \in (-\infty, 0) \amalg (0, \infty)$  and  $e(y) = (0, y)$  for  $y \in \mathbb{R}$ , and  $f \equiv *$ .

We can also form the fibre product in topological spaces **Top**, which is

$$X_{\text{top}} \times_{Z_{\text{top}}} Y_{\text{top}} \cong \{(x, y) \in \mathbb{R}^2 : x \neq 0 \text{ and } y = \sin(1/x), \text{ or } x = 0\}.$$

Note that the fibre products in **Man** and **Top** coincide at the level of sets, but not at the level of topological spaces, since  $X \times_Z Y$  has three connected components but  $X_{\text{top}} \times_{Z_{\text{top}}} Y_{\text{top}}$  has only one.

## 2.5.2 Transversality and submersions in $\mathbf{Man}_{\text{st}}^c$ and $\mathbf{Man}^c$

The author [59] studied transverse fibre products and submersions in the category  $\mathbf{Man}_{\text{st}}^c$  of manifolds with corners and strongly smooth maps. The next definition is equivalent to [59, Def.s 3.2, 6.1 & 6.10]:

**Definition 2.24.** Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}_{\text{st}}^c$ . We call  $g, h$  *s-transverse* if for all  $x \in S^j(X) \subseteq X$  and  $y \in S^k(Y) \subseteq Y$  with  $g(x) = h(y) = z \in S^l(Z) \subseteq Z$ , the following morphisms are surjective:

$$\begin{aligned} T_x g|_{T_x S^j(X)} \oplus T_y h|_{T_y S^k(Y)} : T_x S^j(X) \oplus T_y S^k(Y) &\longrightarrow T_z S^l(Z), \\ \tilde{N}_x g \oplus \tilde{N}_y h : \tilde{N}_x X \oplus \tilde{N}_y Y &\longrightarrow \tilde{N}_z Z. \end{aligned} \quad (2.23)$$

This is an open condition on  $x \in X$  and  $y \in Y$ . That is, if (2.23) holds for some  $x, y, z$ , then there are open neighbourhoods  $x \in X' \subseteq X$  and  $y \in Y' \subseteq Y$  such that (2.23) also holds for all  $x' \in X'$  and  $y' \in Y'$  with  $g(x') = h(y') = z'$  in  $Z$ , even though  $j, k, l$  may not be constant.

We call  $g, h$  *t-transverse* if they are s-transverse, and if  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z \in Z$ , then for all  $\mathbf{x} \in C_j(X)$  and  $\mathbf{y} \in C_k(Y)$  with  $\Pi_j(\mathbf{x}) = x$ ,  $\Pi_k(\mathbf{y}) = y$  and  $C(g)\mathbf{x} = C(h)\mathbf{y} = \mathbf{z}$  in  $C_l(Z)$ , we have  $j + k \geq l$ , and there is exactly one triple  $(\mathbf{x}, \mathbf{y}, \mathbf{z})$  with  $j + k = l$ . This is an open condition on  $x \in X$  and  $y \in Y$ .

We call  $g$  an *s-submersion* if for all  $x \in S^j(X) \subseteq X$  with  $g(x) = z \in S^l(Z) \subseteq Z$ , the following morphisms are surjective:

$$T_x g|_{T_x S^j(X)} : T_x S^j(X) \longrightarrow T_z S^l(Z), \quad \tilde{N}_x g : \tilde{N}_x X \longrightarrow \tilde{N}_z Z. \quad (2.24)$$

These imply that s-submersions are interior and b-normal. Again, (2.24) is an open condition on  $x \in X$ .

**Theorem 2.25. (a)** Suppose  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are  $s$ -transverse smooth maps in  $\mathbf{Man}_{\text{st}}^{\mathbf{c}}$ . Then a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}_{\text{st}}^{\mathbf{c}}$ , with  $\dim W = \dim X + \dim Y - \dim Z$ , in a Cartesian square (2.20) in  $\mathbf{Man}_{\text{st}}^{\mathbf{c}}$ , which is also a Cartesian square in  $\mathbf{Man}^{\mathbf{c}}$ . We may define  $W$  by (2.21) as an embedded submanifold of  $X \times Y$ , where  $e : W \rightarrow X$  and  $f : W \rightarrow Y$  act by  $e : (x, y) \mapsto x$  and  $f : (x, y) \mapsto y$ .

If  $w \in S^i(W)$  with  $e(w) = x \in S^j(X)$ ,  $f(w) = y \in S^k(Y)$  and  $g(x) = h(y) = z \in S^l(Z)$  then the following sequences are exact:

$$0 \longrightarrow T_w W \xrightarrow{T_w e \oplus T_w f} T_x X \oplus T_y Y \xrightarrow{T_x g \oplus -T_y h} T_z Z \longrightarrow 0, \quad (2.25)$$

$$0 \rightarrow T_w S^i(W) \xrightarrow{T_w e \oplus T_w f | \dots} T_x S^j(X) \oplus T_y S^k(Y) \xrightarrow{T_x g \oplus -T_y h | \dots} T_z S^l(Z) \rightarrow 0, \quad (2.26)$$

$$0 \longrightarrow \tilde{N}_w W \xrightarrow{\tilde{N}_w e \oplus \tilde{N}_w f} \tilde{N}_x X \oplus \tilde{N}_y Y \xrightarrow{\tilde{N}_x g \oplus -\tilde{N}_y h} \tilde{N}_z Z \longrightarrow 0. \quad (2.27)$$

**(b)** In **(a)**,  $g, h$  are  $t$ -transverse if and only if the following are  $s$ -transverse (and indeed  $t$ -transverse) Cartesian squares in  $\mathbf{Man}_{\text{st}}^{\mathbf{c}}$  from Definition 2.8:

$$\begin{array}{ccc} C(W) & \xrightarrow{\quad C(f) \quad} & C(Y) \\ \downarrow C(e) & & C(h) \downarrow \\ C(X) & \xrightarrow{\quad C(g) \quad} & C(Z), \end{array} \quad (2.28)$$

$$\begin{array}{ccc} C(W) & \xrightarrow{\quad C'(f) \quad} & C(Y) \\ \downarrow C'(e) & & C'(h) \downarrow \\ C(X) & \xrightarrow{\quad C'(g) \quad} & C(Z). \end{array} \quad (2.29)$$

Here in (2.28) if  $\mathbf{w} \in C_i(W)$  with  $C(e)(\mathbf{w}) = \mathbf{x}$  in  $C_j(X)$ ,  $C(f)(\mathbf{w}) = \mathbf{y}$  in  $C_k(Y)$  and  $C(g)(\mathbf{x}) = C(h)(\mathbf{y}) = \mathbf{z}$  in  $C_l(Z)$  then  $i = j + k - l$ . Hence we have

$$C_i(W) \cong \coprod_{\substack{j,k,l \geq 0: \\ i=j+k-l}} (C_j(X) \cap C(g)^{-1}(C_l(Z))) \times_{C(g), C_l(Z), C(h)} (C_k(Y) \cap C(h)^{-1}(C_l(Z))) \quad (2.30)$$

for  $i \geq 0$ . When  $i = 1$ , this computes the boundary  $\partial W$ . The analogue holds for the second corner functor  $C'$  in Definition 2.11, using (2.29). Also (2.28) and (2.29) are Cartesian in  $\check{\mathbf{Man}}^{\mathbf{c}}$ . If  $g$  is an  $s$ -submersion then  $C(g), C(f), C'(g)$  and  $C'(f)$  are  $s$ -submersions in  $\check{\mathbf{Man}}_{\text{st}}^{\mathbf{c}}$ .

**(c)** Let  $g : X \rightarrow Z$  be a morphism in  $\mathbf{Man}_{\text{st}}^{\mathbf{c}}$ . Then  $g$  is an  $s$ -submersion if and only if the following condition holds: for each  $x \in X$  with  $g(x) = z$ , there should exist open neighbourhoods  $X', Z'$  of  $x, z$  in  $X, Z$  with  $g(X') = Z'$ , a manifold with corners  $Y'$  with  $\dim X = \dim Y' + \dim Z$ , and a diffeomorphism  $X' \cong Y' \times Z'$ , such that  $g|_{X'} : X' \rightarrow Z'$  is identified with  $\pi_{Z'} : Y' \times Z' \rightarrow Z'$ .

**(d)** Suppose  $g : X \rightarrow Z$  is an  $s$ -submersion, and  $h : Y \rightarrow Z$  is any morphism in  $\mathbf{Man}^{\mathbf{c}}$ , which need not be strongly smooth. Then a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}^{\mathbf{c}}$ , in a Cartesian square (2.20) in  $\mathbf{Man}^{\mathbf{c}}$ , with  $\dim W = \dim X +$

$\dim Y - \dim Z$ , and is given by (2.21). Also  $f$  is an  $s$ -submersion, and (2.28)–(2.29) are Cartesian in  $\check{\mathbf{Man}}^c$ , and (2.30) holds. If  $h$  is strongly smooth then  $e$  is strongly smooth, and  $g, h$  are  $s$ - and  $t$ -transverse, and (2.20) is Cartesian in  $\mathbf{Man}_{\text{st}}^c$ , and (2.28)–(2.29) are Cartesian in  $\check{\mathbf{Man}}_{\text{st}}^c$ .

*Proof.* For (a), [59, Th. 6.4] shows that a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}_{\text{st}}^c$ , with  $\dim W = \dim X + \dim Y - \dim Z$ , given by (2.21) as an embedded submanifold of  $X \times Y$ . This embedded submanifold property implies that (2.20) is also Cartesian in  $\mathbf{Man}^c$ . Exactness of (2.25)–(2.27) may be deduced from Theorem 2.22(a) and the proof of [59, Th. 6.4]. Part (b) in  $\check{\mathbf{Man}}_{\text{st}}^c$  is proved in [59, Th. 6.11], and in  $\check{\mathbf{Man}}^c$  follows from the embedded submanifold property. Part (c) is proved in [59, Prop. 5.1]. Part (d) follows easily from (a)–(c).  $\square$

**Example 2.26.** Set  $X = Y = [0, \infty)$  and  $Z = [0, \infty)^2$ , and define strongly smooth  $g : X \rightarrow Z, h : Y \rightarrow Z$  by  $g(x) = (x, 2x)$  and  $h(y) = (2y, y)$ . Then  $g, h$  are  $s$ -transverse. However

$$C(g)(0, X) = C(h)(0, Y) = ((0, 0), Z),$$

where  $(0, X) \in C_0(X), (0, Y) \in C_0(Y), ((0, 0), Z) \in C_0(Z)$ , and

$$C(g)(0, \{x = 0\}) = C(h)(0, \{y = 0\}) = ((0, 0), \{x = y = 0\}),$$

with  $(0, \{x = 0\})$  in  $C_1(X), (0, \{y = 0\})$  in  $C_1(Y)$  and  $((0, 0), \{x = y = 0\})$  in  $C_2(Z)$ , so there are two triples  $(\mathbf{x}, \mathbf{y}, \mathbf{z})$  with  $j+k=l$  over  $(x, y, z) = (0, 0, (0, 0))$ , and  $g, h$  are not  $t$ -transverse in Definition 2.24.

The fibre product  $W = X_{g,Z,h} Y$  in  $\mathbf{Man}_{\text{st}}^c$  is a single point  $*$ . In (2.30) when  $i = 0$  the left hand side is one point, and the right hand side is two points, so (2.30) does not hold. For  $i \neq 0$ , both sides of (2.30) are empty.

### 2.5.3 Transversality and submersions in $\mathbf{Man}_{\text{in}}^{\text{gc}}$ and $\mathbf{Man}^{\text{gc}}$

In [64, §4.3] the author studied transverse fibre products of manifolds with  $g$ -corners  $\mathbf{Man}_{\text{in}}^{\text{gc}}, \mathbf{Man}^{\text{gc}}$  in §2.4.1. The next definition is equivalent to [64, Def.s 4.3 & 4.24], except for  $c$ -fibrations in (e), which are new. The corresponding names and definitions of  $b$ -transverse,  $b$ -normal and  $b$ -fibrations in  $\mathbf{Man}^c$  are due to Melrose [84, §I], [85, §2], [87, §2.4].

**Definition 2.27.** Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be interior morphisms in  $\mathbf{Man}^{\text{gc}}$ . Then:

- (a) We call  $g, h$  *b-transverse* if  ${}^bT_x g \oplus {}^bT_y h : {}^bT_x X \oplus {}^bT_y Y \rightarrow {}^bT_z Z$  is surjective for all  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z \in Z$ .
- (b) We call  $g, h$  *c-transverse* if they are  $b$ -transverse, and whenever there are points  $\mathbf{x}, \mathbf{y}, \mathbf{z}$  in  $C_j(X), C_k(Y), C_l(Z)$  with  $C(g)\mathbf{x} = C(h)\mathbf{y} = \mathbf{z}$ , we have either  $j+k > l$  or  $j = k = l = 0$ , for  $C : \mathbf{Man}^{\text{gc}} \rightarrow \check{\mathbf{Man}}^{\text{gc}}$  as in §2.4.1.

- (c) We call  $g$  a *b-submersion* if  ${}^bT_xg : {}^bT_xX \rightarrow {}^bT_zZ$  is surjective for all  $x \in X$  with  $g(x) = z$  in  $Z$ .
- (d) We call  $g$  a *b-fibration* if it is a b-normal b-submersion. Here  $g$  is *b-normal* if whenever there are  $\mathbf{x}, \mathbf{z}$  in  $C_j(X), C_l(Z)$  with  $C(g)\mathbf{x} = \mathbf{z}$ , we have  $j \geq l$ .
- (e) We call  $g$  a *c-fibration* if it is a b-fibration, and if  $x \in X$  and  $\mathbf{z} \in C_l(Z)$  with  $g(x) = \Pi_l(\mathbf{z}) = z \in Z$ , then there is exactly one  $\mathbf{x} \in C_l(X)$  with  $\Pi_l(\mathbf{x}) = x$  and  $C(g)\mathbf{x} = \mathbf{z}$ .

**Theorem 2.28.** (a) Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be b-transverse morphisms in  $\mathbf{Man}_{\text{in}}^{\text{sc}}$ . Then a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}_{\text{in}}^{\text{sc}}$ , in a Cartesian square (2.20) in  $\mathbf{Man}_{\text{in}}^{\text{sc}}$ , with  $\dim W = \dim X + \dim Y - \dim Z$ .

Explicitly, we may write

$$W^\circ = \{(x, y) \in X^\circ \times Y^\circ : g(x) = h(y) \text{ in } Z^\circ\}, \quad (2.31)$$

and take  $W$  to be the closure  $\overline{W^\circ}$  of  $W^\circ$  in  $X \times Y$ . Then  $W$  is a submanifold of  $X \times Y$ , and  $e : W \rightarrow X, f : W \rightarrow Y$  act by  $e : (x, y) \mapsto x, f : (x, y) \mapsto y$ .

If  $w \in W$  with  $e(w) = x \in X, f(w) = y \in Y$  and  $g(x) = h(y) = z \in Z$  then the following sequence is exact:

$$0 \longrightarrow {}^bT_w W \xrightarrow{{}^bT_w e \oplus {}^bT_w f} {}^bT_x X \oplus {}^bT_y Y \xrightarrow{{}^bT_x g \oplus -{}^bT_y h} {}^bT_z Z \longrightarrow 0. \quad (2.32)$$

(b) In (a), if  $g, h$  are c-transverse then  $W$  is also a fibre product in  $\mathbf{Man}^{\text{sc}}$ , and is given by (2.21). Furthermore, (2.28) is Cartesian in  $\mathbf{Man}^{\text{sc}}$ , and (2.30) holds. If  $g$  is a b-fibration (or c-fibration) then  $C(g)$  and  $C(f)$  are b-fibrations (or c-fibrations) in  $\mathbf{Man}^{\text{sc}}$ .

(c) Let  $g : X \rightarrow Z$  be a b-submersion. Then  $g, h$  are b-transverse for any  $h : Y \rightarrow Z$  in  $\mathbf{Man}_{\text{in}}^{\text{sc}}$ , and in the Cartesian square (2.20),  $f$  is a b-submersion.

(d) Let  $g : X \rightarrow Z$  be a b-fibration. Then  $g, h$  are c-transverse for any  $h : Y \rightarrow Z$  in  $\mathbf{Man}_{\text{in}}^{\text{sc}}$ , and in the Cartesian square (2.20),  $f$  is a b-fibration.

(e) Let  $g : X \rightarrow Z$  be a c-fibration, and  $h : Y \rightarrow Z$  be any morphism in  $\mathbf{Man}^{\text{sc}}$ , which need not be interior. Then a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}^{\text{sc}}$ , in a Cartesian square (2.20) in  $\mathbf{Man}^{\text{sc}}$ , with  $\dim W = \dim X + \dim Y - \dim Z$ , and is given by (2.21). Also  $f$  is a c-fibration, and (2.28) is Cartesian in  $\mathbf{Man}^{\text{sc}}$ , and (2.30) holds.

*Proof.* Part (a) is proved in [64, Th. 4.27], apart from exactness of (2.32), which may be deduced from the proof. Part (b) is [64, Th. 4.28]. The first parts of (c),(d) are in [64, Def. 4.24 & Prop. 4.25]. That  $f$  is a b-submersion in (c) follows from exactness of (2.32) and  $g$  a b-submersion. Then in (d),  $f$  is a b-submersion, and we can show  $f$  is b-normal using  $g$  b-normal and (2.28) Cartesian at the level of sets, so  $f$  is a b-fibration.

For part (e), as  $g$  is a b-fibration,  $C(g) : C(X) \rightarrow C(Z)$  is a b-fibration, and  $C(h) : C(Y) \rightarrow C(Z)$  is interior even if  $h$  is not, so  $C(g), C(h)$  are b-transverse,

and a fibre product  $C(X) \times_{C(g), C(Z), C(h)} C(Y)$  exists in  $\check{\mathbf{Man}}_{\text{in}}^{\text{gc}}$  by the analogue of (a) in  $\check{\mathbf{Man}}_{\text{in}}^{\text{gc}}$ . Write  $W$  for the component of  $C(X) \times_{C(Z)} C(Y)$  of dimension  $\dim X + \dim Y - \dim Z$ . Then using the ideas of [64, §4] and the c-fibration condition, we can show  $W$  satisfies (e).  $\square$

This is a strong result, and means that  $\mathbf{Man}^{\text{gc}}$  is useful for problems in ‘manifolds with corners’ in which we want transverse fibre products to exist.

In contrast to Theorems 2.22(c) and 2.25(c), b-submersions and b-fibrations in  $\mathbf{Man}^{\text{gc}}$  need not be local projections. For example,  $g : [0, \infty)^2 \rightarrow [0, \infty)$ ,  $g(x, y) = xy$ , is a b-fibration, but is not a local projection near  $(0, 0)$ .

**Example 2.29.** Set  $X = Y = [0, \infty)^2$  and  $Z = [0, \infty)$ , and define  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  by  $g(x_1, x_2) = x_1x_2$  and  $h(x_3, x_4) = x_3x_4$ . Then  $g, h$  are interior and c-transverse, so a fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{Man}_{\text{in}}^{\text{gc}}$  by Theorem 2.28(a),(b), and is also a fibre product in  $\mathbf{Man}^{\text{gc}}$ . We may write

$$W = \{(x_1, x_2, x_3, x_4) \in [0, \infty)^4 : x_1x_2 = x_3x_4\},$$

which as in Example 2.19 is a manifold with g-corners, but not a manifold with corners. Thus,  $\mathbf{Man}^{\text{c}}$  is not closed under c-transverse fibre products in  $\mathbf{Man}^{\text{gc}}$ .

**Example 2.30.** Define  $X = [0, \infty)^2$ ,  $Z = [0, \infty)$  and a smooth map  $g : X \rightarrow Z$  by  $g(x, y) = xy$ . Then  $g$  is a b-fibration, but not a c-fibration, since over  $x = (0, 0) \in X$  with  $g(x) = z = 0$  in  $Z$  and  $\mathbf{z} = (0, \{z = 0\})$  in  $C_1(Z)$  with  $\Pi_1(\mathbf{z}) = z$ , we have two points  $\mathbf{x} = ((0, 0), \{x_1 = 0\})$  and  $\mathbf{x}' = ((0, 0), \{x_2 = 0\})$  in  $C_1(X)$  with  $\Pi_1(\mathbf{x}) = \Pi_1(\mathbf{x}') = x$  and  $C(g)\mathbf{x} = C(g)\mathbf{x}' = z$ .

Set  $Y = *$  and define  $h : Y \rightarrow Z$  by  $h : * \mapsto 0$ , so that  $h$  is not interior. No fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{Man}^{\text{gc}}$ .

#### 2.5.4 Transversality and submersions in $\mathbf{Man}_{\text{in}}^{\text{c}}$ and $\mathbf{Man}^{\text{c}}$

We can also consider fibre products in  $\mathbf{Man}_{\text{in}}^{\text{c}}$  and  $\mathbf{Man}^{\text{c}}$ . The appropriate definition of transversality is rather complicated (in particular, b- or c-transversality are not sufficient conditions). It is helpful to regard such fibre products as special cases of fibre products in  $\mathbf{Man}_{\text{in}}^{\text{gc}}$ ,  $\mathbf{Man}^{\text{gc}}$ , as in §2.5.3.

**Definition 2.31.** Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}_{\text{in}}^{\text{c}}$ . We can consider  $g, h$  as morphisms in  $\mathbf{Man}_{\text{in}}^{\text{gc}}$ , so Definition 2.27 makes sense. We call  $g, h$  *strictly b-transverse* (*sb-transverse*) or *strictly c-transverse* (*sc-transverse*) if they are b-transverse or c-transverse, respectively, and for all  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z \in Z$ , the toric monoid

$$\tilde{M}_x X \times_{\tilde{M}_z Z} \tilde{M}_y Y = \{(\lambda, \mu) \in \tilde{M}_x X \times \tilde{M}_y Y : \tilde{M}_x g(\lambda) = \tilde{M}_y h(\mu)\} \quad (2.33)$$

is isomorphic to  $\mathbb{N}^n$ , for  $n \in \mathbb{N}$  depending on  $x, y, z$ .

Here given morphisms  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  in  $\mathbf{Man}_{\text{in}}^{\text{c}}$  or  $\mathbf{Man}^{\text{c}}$ , we first require them to be b- or c-transverse, so that a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}_{\text{in}}^{\text{gc}}$  or  $\mathbf{Man}^{\text{gc}}$  by Theorem 2.28(a),(b). We have  $\tilde{M}_{(x,y)} W \cong \tilde{M}_x X \times_{\tilde{M}_z Z} \tilde{M}_y Y$ , so  $W$  lies in  $\mathbf{Man}^{\text{c}} \subset \mathbf{Man}^{\text{gc}}$  if and only if  $\tilde{M}_x X \times_{\tilde{M}_z Z} \tilde{M}_y Y \cong \mathbb{N}^k$  for all  $x, y, z$ . Since  $\mathbf{Man}_{\text{in}}^{\text{c}} \subset \mathbf{Man}_{\text{in}}^{\text{gc}}$ ,  $\mathbf{Man}^{\text{c}} \subset \mathbf{Man}^{\text{gc}}$  are full subcategories,  $W$  is then a fibre product in  $\mathbf{Man}_{\text{in}}^{\text{c}}$  or  $\mathbf{Man}^{\text{c}}$ . This proves:

**Theorem 2.32.** *Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be sb-transverse morphisms in  $\mathbf{Man}_{\text{in}}^{\text{c}}$ . Then a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}_{\text{in}}^{\text{c}}$ , with  $\dim W = \dim X + \dim Y - \dim Z$ . Explicitly, we may define  $W^\circ$  by (2.31), and take  $W$  to be the closure  $\overline{W^\circ}$  of  $W^\circ$  in  $X \times Y$ . Also (2.32) is exact for all  $w \in W$ .*

*If  $g, h$  are sc-transverse then  $W$  is also a fibre product in  $\mathbf{Man}^{\text{c}}$ , and is given by (2.21). Also (2.28) is Cartesian in  $\check{\mathbf{M}}\mathbf{an}^{\text{c}}$ , and (2.30) holds.*

Kottke and Melrose [69, §11] study fibre products in  $\mathbf{Man}^{\text{c}}$ , and the sc-transverse case in Theorem 2.32 is essentially equivalent to [69, Th. 11.5].

The case when  $\partial Z = \emptyset$  is simpler. The next theorem follows from [59, 64]:

**Theorem 2.33.** *Suppose  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are b-transverse morphisms in  $\mathbf{Man}^{\text{c}}$  with  $\partial Z = \emptyset$ . Then a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}^{\text{c}}$ , with  $\dim W = \dim X + \dim Y - \dim Z$ , and is given by (2.21) as an embedded submanifold of  $X \times Y$ . It is also a fibre product in  $\mathbf{Man}_{\text{st}}^{\text{c}}$  and  $\mathbf{Man}_{\text{in}}^{\text{c}}$ . Furthermore,  $g \circ i_X, h$  and  $g, h \circ i_Y$  are also b-transverse, and there is a natural diffeomorphism*

$$\partial(X \times_{g,Z,h} Y) \cong (\partial X \times_{g \circ i_X, Z, h} Y) \amalg (X \times_{g, Z, h \circ i_Y} \partial Y). \quad (2.34)$$

We would also like classes of ‘submersions’  $g : X \rightarrow Z$  in  $\mathbf{Man}^{\text{c}}$ , such that  $g, h$  are sb- or sc-transverse for all (interior)  $h : Y \rightarrow Z$  in  $\mathbf{Man}^{\text{c}}$ . In both cases, the appropriate notion is s-submersions from Definition 2.24.

**Example 2.34.** Let  $X, Y, Z, g, h$  be as in Example 2.29. Then  $g, h$  are c-transverse, but they are not sc-transverse, as in (2.33) we have

$$\tilde{M}_{(0,0)} X \times_{\tilde{M}_0 Z} \tilde{M}_{(0,0)} Y \cong \{(n_1, n_2, n_3, n_4) \in \mathbb{N}^4 : n_1 + n_2 = n_3 + n_4\},$$

which is not isomorphic to  $\mathbb{N}^k$  for any  $k \geq 0$ . A fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}_{\text{in}}^{\text{gc}}$  and  $\mathbf{Man}^{\text{gc}}$ , but not in  $\mathbf{Man}_{\text{in}}^{\text{c}}$  or  $\mathbf{Man}^{\text{c}}$ .

**Example 2.35.** Let  $X = [0, \infty) \times \mathbb{R}$ ,  $Y = [0, \infty)$  and  $Z = [0, \infty)^2$ . Define  $g : X \rightarrow Z$  by  $g(x_1, x_2) = (x_1, x_1 e^{x_2})$  and  $h : Y \rightarrow Z$  by  $h(y) = (y, y)$ . Then  $g$  is a b-submersion and  $h$  is interior, so  $g, h$  are b-transverse by Theorem 2.28(c), and in fact  $g, h$  are sb-transverse. But  $g, h$  are not c-transverse, since we have  $((0, x_2), \{x_1 = 0\})$  in  $C_1(X)$  and  $(0, \{y = 0\})$  in  $C_1(Y)$  with  $C(g)((0, x_2), \{x_1 = 0\}) = C(h)(0, \{y = 0\}) = ((0, 0), \{z_1 = z_2 = 0\})$  in  $C_2(Z)$ .

Theorem 2.32 gives a fibre product  $W = X \times_{g,Z,h} Y$  in  $\mathbf{Man}_{\text{in}}^{\text{c}}$ , where

$$W = \{((w, 0), w) : w \in [0, \infty)\} \cong [0, \infty).$$

It is also a fibre product in  $\mathbf{Man}_{\text{in}}^{\text{sc}}$ . Note that  $W$  is not given by the usual formula (2.21) which also contains points  $((0, x_2), 0)$  for  $0 \neq x_2 \in \mathbb{R}$ , that is,  $W$  is not a fibre product at the level of topological spaces. In this case no fibre product  $X \times_Z Y$  exists in  $\mathbf{Man}^c$  or  $\mathbf{Man}^{\text{sc}}$ .

**Example 2.36.** Let  $X = Y = [0, \infty)$  and  $Z = [0, \infty)^2$ , and define  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  by  $g(x) = (x, x)$ ,  $h(y) = (y, y^2)$ . Then  $g, h$  are sb-transverse. However, they are not c-transverse, since we have  $(0, \{x = 0\})$  in  $C_1(X)$  and  $(0, \{y = 0\})$  in  $C_1(Y)$  with  $C(g)(0, \{x = 0\}) = C(h)(0, \{y = 0\}) = ((0, 0), \{z_1 = z_2 = 0\})$  in  $C_2(Z)$ .

The fibre product  $W = X \times_{g,Z,h} Y$  in  $\mathbf{Man}_{\text{in}}^c$  given by Theorem 2.32 is  $W = \{(1, 1)\}$ , a single point. Although  $g, h$  are not c- or sc-transverse, in this case a fibre product  $W' = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}^c$  with  $W' = \{(0, 0), (1, 1)\}$ . So fibre products  $X \times_{g,Z,h} Y$  in  $\mathbf{Man}_{\text{in}}^c$  and  $\mathbf{Man}^c$  exist, but do not coincide.

**Remark 2.37.** Suppose we have some category of ‘manifolds’  $\dot{\mathbf{Man}}$  such as  $\mathbf{Man}, \mathbf{Man}^c, \mathbf{Man}_{\text{in}}^c, \dots$ , and morphisms  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  in  $\dot{\mathbf{Man}}$  for which a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\dot{\mathbf{Man}}$ . When should we expect  $W$  to be given, either as a set or as a topological space, by the usual formula

$$W = \{(x, y) \in X \times Y : g(x) = h(y) \text{ in } Z\} \quad (2.35)$$

From §2.5.1–§2.5.4 we observe that:

- (i) Theorems 2.22(a), 2.25(a), 2.28(b) and 2.32 show that (2.35) holds in topological spaces for transverse fibre products in  $\mathbf{Man}$ , and s-transverse fibre products in  $\mathbf{Man}_{\text{st}}^c$ , and c-transverse fibre products in  $\mathbf{Man}^{\text{sc}}$ , and sc-transverse fibre products in  $\mathbf{Man}^c$ .
- (ii) Theorems 2.28(a) and 2.32 show that b- and sb-transverse fibre products in  $\mathbf{Man}_{\text{in}}^{\text{sc}}$  and  $\mathbf{Man}_{\text{in}}^c$  are given by a different formula to (2.35), and in Examples 2.35 and 2.36 equation (2.35) is false at the level of sets.
- (iii) Example 2.23(iv) gives a non-transverse fibre product in  $\mathbf{Man}$  such that (2.35) holds at the level of sets, but not at the level of topological spaces.

For some categories  $\dot{\mathbf{Man}}$ , there is a 1-1 correspondence between morphisms  $f : \{*\} \rightarrow X$  in  $\dot{\mathbf{Man}}$ , and points  $x \in X$  of the underlying topological space, by  $f \leftrightarrow f(*) = x$ . This holds when  $\dot{\mathbf{Man}} = \mathbf{Man}, \mathbf{Man}_{\text{st}}^c, \mathbf{Man}^{\text{sc}}, \mathbf{Man}^c$ . For such  $\dot{\mathbf{Man}}$ , the universal property of fibre products in Definition A.3 applied to  $W' = \{*\}$  shows that (2.35) holds automatically *at the level of sets*, though not necessarily for topological spaces, as Example 2.23(iv) shows. In  $\mathbf{Man}_{\text{in}}^{\text{sc}}$  and  $\mathbf{Man}_{\text{in}}^c$ , morphisms  $f : \{*\} \rightarrow X$  correspond not to  $x \in X$ , but to  $x \in X^\circ$ . Then (2.35) can be false even for sets, as Examples 2.35 and 2.36 show.

## 2.6 Orientations

Orientations on manifolds are discussed by Lee [71, §15], and on manifolds with boundary and corners by the author [59, §7], [57] and Fukaya et al. [24, §8.2].



**Definition 2.38.** An *orientation*  $o_X$  on a manifold  $X$  is an equivalence class  $[\omega]$  of top-degree forms  $\omega \in \Gamma^\infty(\Lambda^{\dim X} T^*X)$  with  $\omega|_x \neq 0$  for all  $x \in X$ , where two such  $\omega, \omega'$  are equivalent if  $\omega' = K \cdot \omega$  for  $K : X \rightarrow (0, \infty)$  smooth. The *opposite orientation* is  $-o_X = [-\omega]$ . Then we call  $(X, o_X)$  an *oriented manifold*. Usually we suppress the orientation  $o_X$ , and just refer to  $X$  as an oriented manifold, and then we write  $-X$  for  $X$  with the opposite orientation. A nonvanishing top-degree form  $\omega$  on  $X$  is called *positive* if  $[\omega] = o_X$ , and *negative* if  $[\omega] = -o_X$ .

If  $x \in X$  and  $(v_1, \dots, v_m)$  is a basis for  $T_x X$ , then we call  $(v_1, \dots, v_m)$  *oriented* if  $\omega|_x \cdot v_1 \wedge \dots \wedge v_m > 0$ , and *anti-oriented* otherwise.

We will refer to the real line bundle  $\Lambda^{\dim X} T^*X \rightarrow X$  as the *canonical bundle*  $K_X$  of  $X$ , following common practice in algebraic geometry. Then an orientation on  $X$  is an orientation on the fibres of  $K_X$ .

Let  $f : X \rightarrow Y$  be a smooth map of manifolds. A *coorientation*  $c_f$  for  $f$  is an equivalence class  $[\gamma]$  of  $\gamma \in \Gamma^\infty(\Lambda^{\dim X} T^*X \otimes f^*(\Lambda^{\dim Y} T^*Y)^*)$  with  $\gamma|_x \neq 0$  for all  $x \in X$ , where  $\gamma, \gamma'$  are equivalent if  $\gamma' = K \cdot \gamma$  for  $K : X \rightarrow (0, \infty)$  smooth. The *opposite coorientation* is  $-c_f = [-\gamma]$ . If  $Y$  is oriented then coorientations on  $f$  are equivalent to orientations on  $X$ . Orientations on  $X$  are equivalent to coorientations on  $\pi : X \rightarrow *$ , for  $*$  the point.

All the above also works for manifolds with boundary  $\mathbf{Man}^b$  and corners  $\mathbf{Man}^c$ , their subcategories  $\mathbf{Man}_{\text{in}}^c, \dots$ , and  $\mathbf{Man}^{\text{sc}}, \mathbf{Man}^{\text{ac}}$  in §2.4. For  $\mathbf{Man}^c$  we can define orientations using either  $\Lambda^{\dim X} T^*X$  or  $\Lambda^{\dim X} ({}^b T^*X)$ , and they yield equivalent notions of orientation, since an orientation  $o_X$  on  $X$  is determined by its restriction to  $X^\circ|_X$ , and  $T^*X|_{X^\circ} = {}^b T^*X|_{X^\circ}$ .

Operations on manifolds with corners  $X, Y, Z, \dots$  such as products  $X \times Y$ , transverse fibre products  $X \times_{g,Z,h} Y$ , and boundaries  $\partial X$ , can be lifted to oriented manifolds with corners. To do this requires a choice of *orientation convention*. Ours are equivalent to those of Fukaya et al. [24, §8.2], see also [59, §7].

**Convention 2.39. (a)** Let  $X, Y$  be oriented manifolds. Then there is a natural orientation on  $X \times Y$ , such that if  $x \in X, y \in Y$  and  $(u_1, \dots, u_m), (v_1, \dots, v_n)$  are oriented bases for  $T_x X, T_y Y$  then  $(u_1, \dots, u_m, v_1, \dots, v_n)$  is an oriented basis for  $T_{(x,y)}(X \times Y) = T_x X \oplus T_y Y$ . This also works for manifolds with boundary, corners, g-corners,  $\dots$ , using  $T_x X, T_x Y$  or  ${}^b T_x X, {}^b T_x Y$ .

**(b)** Let  $X, Y, Z$  be oriented manifolds,  $g : X \rightarrow Z, h : Y \rightarrow Z$  be transverse smooth maps, and  $W = X \times_{g,Z,h} Y$  be the fibre product as in §2.5.1, with projections  $e : W \rightarrow X, f : W \rightarrow Y$ . Then there is a natural orientation on  $W$ , such that if  $w \in W$  with  $e(w) = x \in X, f(w) = y \in Y$  and  $g(x) = h(y) = z \in Z$ , so that we have an exact sequence of tangent spaces

$$0 \longrightarrow T_w W \xrightarrow{T_w e \oplus T_w f} T_x X \oplus T_y Y = T_{(x,y)}(X \times Y) \xrightarrow{T_x g \oplus -T_y h} T_z Z \longrightarrow 0,$$

then if  $(u_1, \dots, u_m)$  is an oriented basis for  $T_w W$ , and

$$((T_w e \oplus T_w f)(u_1), \dots, (T_w e \oplus T_w f)(u_m), v_1, \dots, v_n)$$

is an oriented basis for  $T_{(x,y)}(X \times Y)$  using the orientation from **(a)**, then

$$\left((-1)^{\dim Y \dim Z} (T_x g \oplus -T_y h)(v_1), (T_x g \oplus -T_y h)(v_2), \dots, (T_x g \oplus -T_y h)(v_n)\right)$$

is an oriented basis for  $T_z Z$ . This also works for manifolds with corners, etc.

**(c)** Let  $X$  be an oriented manifold with boundary, or corners (etc.). Then there is a natural orientation on the boundary  $\partial X$ , such that if  $(x_1, \dots, x_m)$  in  $[0, \infty) \times \mathbb{R}^{m-1}$  are local coordinates on  $X$  near  $x \in S^1(X)$  and  $(\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_m})$  are an oriented basis of  $T_x X$ , or equivalently  $(x_1 \frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2}, \dots, \frac{\partial}{\partial x_m})$  are an oriented basis of  ${}^b T_x X$ , then  $(\frac{\partial}{\partial x_2}, \dots, \frac{\partial}{\partial x_m})$  are an anti-oriented basis of  $T_{(x, \{x_1=0\})}(\partial X)$ , or equivalently  ${}^b T_{(x, \{x_1=0\})}(\partial X)$ . We can also explain this using (2.12) or (2.14).

If  $X$  is an oriented manifold with corners then part **(c)** gives orientations on  $\partial X, \partial^2 X, \dots, \partial^{\dim X} X$ . Note however that the free  $S_k$ -action on  $\partial^k X$  does not preserve orientations for  $k \geq 2$ , so we cannot define an orientation on  $C_k(X) \cong \partial^k X / S_k$  in (2.4), and  $C_k(X)$  can be non-orientable for  $k \geq 2$ .

There are often canonical diffeomorphisms between expressions involving fibre products and boundaries of manifolds with corners. When we promote these to oriented manifolds with corners using Convention 2.39, there will be some sign relating the orientations on each side.

For example, in Theorem 2.33, if  $X, Y, Z$  are oriented then in oriented manifolds with corners, as in [59, Prop. 7.4], equation (2.34) becomes

$$\partial(X \times_{g,Z,h} Y) \cong (\partial X \times_{g \circ i_X, Z, h} Y) \amalg (-1)^{\dim X + \dim Z} (X \times_{g, Z, h \circ i_Y} \partial Y). \quad (2.36)$$

Here [59, Prop. 7.5] are some more identities on orientations:

**Proposition 2.40.** **(a)** *If  $g : X \rightarrow Z, h : Y \rightarrow Z$  are transverse smooth maps of oriented manifolds with corners then in oriented manifolds we have*

$$X \times_{g,Z,h} Y \cong (-1)^{(\dim X - \dim Z)(\dim Y - \dim Z)} Y \times_{h,Z,g} X. \quad (2.37)$$

**(b)** *If  $e : V \rightarrow Y, f : W \rightarrow Y, g : W \rightarrow Z, h : X \rightarrow Z$  are smooth maps of oriented manifolds with corners then in oriented manifolds we have*

$$V \times_{e,Y,f \circ \pi_W} (W \times_{g,Z,h} X) \cong (V \times_{e,Y,f} W) \times_{g \circ \pi_W, Z, h} X, \quad (2.38)$$

*provided all four fibre products are transverse.*

**(c)** *If  $e : V \rightarrow Y, f : V \rightarrow Z, g : W \rightarrow Y, h : X \rightarrow Z$  are smooth maps of oriented manifolds with corners then in oriented manifolds we have*

$$\begin{aligned} V \times_{(e,f), Y \times Z, g \times h} (W \times X) &\cong \\ (-1)^{\dim Z(\dim Y + \dim W)} (V \times_{e,Y,g} W) \times_{f \circ \pi_V, Z, h} X, &\quad (2.39) \end{aligned}$$

*provided all three fibre products are transverse.*

## Chapter 3

# Assumptions about ‘manifolds’

In Chapters 4–6, starting from a category  $\dot{\mathbf{Man}}$  of ‘manifolds’ satisfying some assumptions, we will construct 2-categories  $\mathbf{mKur}$ ,  $\dot{\mathbf{Kur}}$  of ‘(m-)Kuranishi spaces’, and a category  $\mu\dot{\mathbf{Kur}}$  of ‘ $\mu$ -Kuranishi spaces’ associated to  $\dot{\mathbf{Man}}$ .

When  $\dot{\mathbf{Man}}$  is the usual category of smooth manifolds  $\mathbf{Man}$ , this will yield our usual (2-)categories of (m- or  $\mu$ -)Kuranishi spaces  $\mathbf{mKur}$ ,  $\mu\mathbf{Kur}$ ,  $\mathbf{Kur}$ . But there are many other possibilities for  $\dot{\mathbf{Man}}$ .

Sections 3.1–3.3 set out our basic assumptions and additional structures on the category  $\dot{\mathbf{Man}}$ , give examples of categories  $\dot{\mathbf{Man}}$  satisfying these conditions, explain some consequences of them, and define notation to be used later.

If  $\dot{\mathbf{Man}}$  satisfies the assumptions of §3.1, much of conventional differential geometry for classical manifolds  $\mathbf{Man}$  can be extended to  $\dot{\mathbf{Man}}$  — smooth functions and partitions of unity, vector bundles, tangent and cotangent bundles, connections, and so on. To streamline our presentation, we will do this extension in detail in Appendix B, and summarize the results in §3.3.

Section 3.4 extends §3.1–§3.3 to categories  $\dot{\mathbf{Man}}^c$  of ‘manifolds with corners’. In fact §3.1–§3.3 already apply without change to  $\dot{\mathbf{Man}} = \dot{\mathbf{Man}}^c$ , as the basic assumptions on  $\dot{\mathbf{Man}}$  in §3.1 are weak enough to include the categories of manifolds with corners  $\dot{\mathbf{Man}}^c$  we are interested in. So the material of §3.1–§3.3 and Chapters 4–6 does not need to be repeated, and our focus in §3.4 is on issues special to the corners case, such as interior maps, simple maps, boundaries  $\partial X$ , corners  $C_k(X)$ , and the corner functor  $C : \dot{\mathbf{Man}}^c \rightarrow \dot{\mathbf{Man}}_{\text{in}}^c$ .

### 3.1 Core assumptions on ‘manifolds’

This section gives seven assumptions, Assumptions 3.1–3.7, which we will make on all our categories of ‘manifolds’. They are the minimal assumptions we will need to define nicely behaved (2-)categories  $\mathbf{mKur}$ ,  $\mu\dot{\mathbf{Kur}}$ ,  $\dot{\mathbf{Kur}}$  of (m- and  $\mu$ -)Kuranishi spaces in Chapters 4–6.

Some assumptions require us to give data, and others require this data to have certain properties. The essential data we have to provide is:

- A category  $\dot{\mathbf{Man}}$  in Assumption 3.1.

- A faithful functor  $F_{\mathbf{Man}}^{\mathbf{Top}} : \mathbf{Man} \rightarrow \mathbf{Top}$  to the category of topological spaces  $\mathbf{Top}$  in Assumption 3.2.
- An inclusion  $\mathbf{Man} \subseteq \mathbf{Man}$  of the category of classical manifolds  $\mathbf{Man}$  as a full subcategory in Assumption 3.4.

Some examples to have in mind when reading this section, which satisfy all the assumptions, are the category  $\mathbf{Man}$  of classical manifolds, and the categories of manifolds with corners  $\mathbf{Man}_{\text{we}}^c$ ,  $\mathbf{Man}^c$ ,  $\mathbf{Man}_{\text{in}}^c$ ,  $\mathbf{Man}_{\text{st}}^c$ ,  $\mathbf{Man}_{\text{st,in}}^c$ ,  $\mathbf{Man}^{\text{gc}}$ ,  $\mathbf{Man}_{\text{in}}^{\text{gc}}$ ,  $\mathbf{Man}^{\text{ac}}$ ,  $\mathbf{Man}_{\text{in}}^{\text{ac}}$ , ... from Chapter 2.

### 3.1.1 General properties

**Assumption 3.1. (Category-theoretic properties.)** (a) We are given a category  $\mathbf{Man}$ . For simplicity, from Chapter 4 onwards, objects  $X$  in  $\mathbf{Man}$  will be called *manifolds* (although they may in examples not be manifolds, but some kind of singular space), and morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}$  will be called *smooth maps* (although they may in examples be non-smooth).

Isomorphisms in  $\mathbf{Man}$  are called *diffeomorphisms*.

(b) There is an object  $\emptyset \in \mathbf{Man}$  called the *empty set*, which is an initial object in  $\mathbf{Man}$  (i.e. every  $X \in \mathbf{Man}$  has a unique morphism  $\emptyset \rightarrow X$ ).

(c) There is an object  $* \in \mathbf{Man}$  called the *point*, which is a terminal object in  $\mathbf{Man}$  (i.e. every  $X \in \mathbf{Man}$  has a unique morphism  $\pi : X \rightarrow *$ ).

(d) Each object  $X$  in  $\mathbf{Man}$  has a *dimension*  $\dim X \in \mathbb{N} = \{0, 1, \dots\}$ , except that  $\dim \emptyset$  is undefined, or allowed to take any value. We have  $\dim * = 0$ .

(e) *Products*  $X \times Y$  of objects  $X, Y \in \mathbf{Man}$  exist in  $\mathbf{Man}$ , in the sense of category theory (fibre products over  $*$ ), with projections  $\pi_X : X \times Y \rightarrow X$  and  $\pi_Y : X \times Y \rightarrow Y$ . They have  $\dim(X \times Y) = \dim X + \dim Y$ . Hence *products*  $f \times g : W \times X \rightarrow Y \times Z$  of morphisms  $f : W \rightarrow Y$ ,  $g : X \rightarrow Z$ , and *direct products*  $(f, g) : X \rightarrow Y \times Z$  of  $f : X \rightarrow Y$ ,  $g : X \rightarrow Z$ , exist in  $\mathbf{Man}$ .

(f) If  $X, Y \in \mathbf{Man}$  with  $\dim X = \dim Y$  there is a *disjoint union*  $X \amalg Y$  in  $\mathbf{Man}$  with inclusion morphisms  $\iota_X : X \hookrightarrow X \amalg Y$ ,  $\iota_Y : Y \hookrightarrow X \amalg Y$ . It is a coproduct in the sense of category theory, with  $\dim(X \amalg Y) = \dim X = \dim Y$ .

**Assumption 3.2. (Underlying topological spaces.)** (a) There is a faithful functor  $F_{\mathbf{Man}}^{\mathbf{Top}} : \mathbf{Man} \rightarrow \mathbf{Top}$  from  $\mathbf{Man}$  to the category of topological spaces  $\mathbf{Top}$ , mapping objects  $X \in \mathbf{Man}$  to the *underlying topological space*  $X_{\text{top}} := F_{\mathbf{Man}}^{\mathbf{Top}}(X)$ , and morphisms  $f : X \rightarrow Y$  to  $f_{\text{top}} := F_{\mathbf{Man}}^{\mathbf{Top}}(f) : X_{\text{top}} \rightarrow Y_{\text{top}}$ .

So we can think of objects  $X$  of  $\mathbf{Man}$  as ‘topological spaces  $X_{\text{top}}$  with extra structure’. Since  $F_{\mathbf{Man}}^{\mathbf{Top}}$  is faithful (injective on morphisms), so that  $f_{\text{top}} : X_{\text{top}} \rightarrow Y_{\text{top}}$  determines  $f : X \rightarrow Y$ , we can think of morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}$  as ‘continuous maps  $f_{\text{top}}$  satisfying conditions’.

(b) Underlying topological spaces  $X_{\text{top}}$  are Hausdorff, locally compact, and second countable, and  $F_{\mathbf{Man}}^{\mathbf{Top}}(\emptyset) = \emptyset$ , and  $F_{\mathbf{Man}}^{\mathbf{Top}}(*)$  is a point.

(c)  $F_{\mathbf{Man}}^{\mathbf{Top}}$  takes products and disjoint unions in  $\mathbf{Man}$  functorially to products and disjoint unions in  $\mathbf{Top}$ .

(d) If  $X \in \mathbf{Man}$  and  $U' \subseteq X_{\text{top}}$  is open with inclusion  $i' : U' \hookrightarrow X_{\text{top}}$ , there is a natural object  $U$  in  $\mathbf{Man}$  called an *open submanifold* with  $U_{\text{top}} = U'$  and  $\dim U = \dim X$ , and an *inclusion morphism*  $i : U \hookrightarrow X$  with  $i_{\text{top}} = i'$ . If  $U' = \emptyset$  then  $U = \emptyset$ . Inclusion morphisms are functorial under inclusions of open sets  $U' \hookrightarrow V' \hookrightarrow X_{\text{top}}$ . Given a morphism  $f : X \rightarrow Y$  in  $\mathbf{Man}$ , we often write  $f|_U : U \rightarrow Y$  instead of  $f \circ i : U \rightarrow Y$ .

If  $f : W \rightarrow X$  is a morphism in  $\mathbf{Man}$  with  $f_{\text{top}}(W_{\text{top}}) \subseteq U_{\text{top}} \subseteq X_{\text{top}}$  then  $f$  factorizes uniquely as  $f = i \circ f'$  for a morphism  $f' : W \rightarrow U$  in  $\mathbf{Man}$ . If  $f$  is an open submanifold then so is  $f'$ .

Inclusions  $\iota_X : X \hookrightarrow X \amalg Y$ ,  $\iota_Y : Y \hookrightarrow X \amalg Y$  are open submanifolds.

(e) Suppose  $X \in \mathbf{Man}$ , and  $Y'$  is a topological space, and  $\psi : X_{\text{top}} \rightarrow Y'$  is a homeomorphism. Then there exists an object  $Y \in \mathbf{Man}$  and a diffeomorphism  $\phi : X \rightarrow Y$  such that  $Y_{\text{top}} = Y'$  and  $\phi_{\text{top}} = \psi$ .

In later chapters we will generally drop the distinction between  $X$  and  $X_{\text{top}}$ , and write  $x \in X$  rather than  $x \in X_{\text{top}}$ , identify open submanifolds  $i : U \hookrightarrow X$  with open sets  $U \subseteq X$ , and so on, just as one does for ordinary manifolds in differential geometry.

We suppose morphisms and objects in  $\mathbf{Man}$  can be glued over open covers.

**Assumption 3.3. (Sheaf-theoretic properties.)** (a) Let  $X, Y$  be objects in  $\mathbf{Man}$ , and  $f' : X_{\text{top}} \rightarrow Y_{\text{top}}$  be a continuous map, and  $\{U'_a : a \in A\}$  be an open cover of  $X_{\text{top}}$ . Write  $i_a : U_a \hookrightarrow X$  for the open submanifold with  $U_{a,\text{top}} = U'_a$ , and suppose there is a morphism  $f_a : U_a \rightarrow Y$  in  $\mathbf{Man}$  with  $f_{a,\text{top}} = f' \circ i_{a,\text{top}} : U_{a,\text{top}} \rightarrow Y_{\text{top}}$  for each  $a \in A$ . Then there is a morphism  $f : X \rightarrow Y$  in  $\mathbf{Man}$  with  $f_{\text{top}} = f'$  and  $f \circ i_a = f_a$  for all  $a \in A$ . Note that  $f_a, f$  must be unique by faithfulness in Assumption 3.2(a).

This implies that morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}$  form a sheaf on  $X$ .

(b) Let  $X'$  be a Hausdorff, second countable topological space,  $\{U'_a : a \in A\}$  an open cover of  $X'$ , and  $\{U_a : a \in A\}$  a family of objects in  $\mathbf{Man}$  with  $U_{a,\text{top}} = U'_a$  and  $\dim U_a = m$  for all  $a \in A$ , with  $m \in \mathbb{N}$ . For  $a, b \in A$  write  $i_{ab} : U_{ab} \hookrightarrow U_a$  for the open submanifold associated to  $U'_a \cap U'_b \subset U'_a = U_{a,\text{top}}$ .

Suppose that there is a (necessarily unique) diffeomorphism  $j_{ab} : U_{ab} \rightarrow U_{ba}$  in  $\mathbf{Man}$  with  $j_{ab,\text{top}} = \text{id}_{U'_a \cap U'_b}$  for all  $a, b \in A$ . Then there exists an object  $X$  in  $\mathbf{Man}$  with  $X_{\text{top}} = X'$  and  $\dim X = m$ , unique up to diffeomorphism, covered by open submanifolds  $i_a : U_a \hookrightarrow X$  for  $a \in A$ , for  $U_a$  as above.

### 3.1.2 Relation with classical manifolds

**Assumption 3.4. (Inclusion of ordinary manifolds.)** The usual category  $\mathbf{Man}$  of smooth manifolds and smooth maps between them is included as a full subcategory  $\mathbf{Man} \subseteq \mathbf{Man}$ .

Dimensions of objects in  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$  are as usual in  $\mathbf{Man}$ . Products and disjoint unions in  $\dot{\mathbf{Man}}$  of  $X, Y \in \mathbf{Man}$  agree with those in  $\mathbf{Man}$ . The empty set  $\emptyset$  and point  $*$  in Assumption 3.1(b),(c) lie in  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$ .

The underlying topological space functor  $F_{\mathbf{Man}}^{\mathbf{Top}}$  is as usual on  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$ . Open submanifolds in  $\mathbf{Man}, \dot{\mathbf{Man}}$  agree. We will often use that  $\mathbb{R}^n$  is an object of  $\dot{\mathbf{Man}}$  for  $n = 0, 1, \dots$ , since  $\mathbb{R}^n \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}$ . We generally write  $\mathbb{R}^n$  rather than  $\mathbb{R}_{\text{top}}^n$ , and  $X$  rather than  $X_{\text{top}}$  when  $X \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}$ .

From Chapter 4 onwards, by an abuse of notation we will usually refer to objects  $X$  of  $\dot{\mathbf{Man}}$  as ‘manifolds’, and morphisms  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  as ‘smooth maps’. When we need to refer to objects  $X \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}$  we will call them ‘classical manifolds’, and morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$  ‘classical smooth maps’.

**Assumption 3.5. (Hadamard’s Lemma.)** Suppose  $X$  is an object in  $\dot{\mathbf{Man}}$ , and  $i : U \hookrightarrow X \times \mathbb{R}^n$  is an open submanifold with  $(x, 0, \dots, 0) \in U_{\text{top}}$  for all  $x \in X_{\text{top}}$ , and  $f : U \rightarrow \mathbb{R}$  is a morphism in  $\dot{\mathbf{Man}}$ . Then there exist morphisms  $g_1, \dots, g_n : U \rightarrow \mathbb{R}$  in  $\dot{\mathbf{Man}}$  with

$$f_{\text{top}}(x, t_1, \dots, t_n) = f_{\text{top}}(x, 0, \dots, 0) + \sum_{i=1}^n t_i \cdot g_{i,\text{top}}(x, t_1, \dots, t_n) \quad (3.1)$$

for all  $(x, t_1, \dots, t_n) \in U_{\text{top}}$ , so that  $x \in X_{\text{top}}$  and  $t_1, \dots, t_n \in \mathbb{R}$ .

Note that this has strong implications for the differentiability of functions in  $\dot{\mathbf{Man}}$ . For example, taking partial derivatives of (3.1) in  $t_1, \dots, t_n$  at  $t_1 = \dots = t_n = 0$  and noting that  $g_{1,\text{top}}, \dots, g_{n,\text{top}}$  are continuous implies that

$$\frac{\partial f_{\text{top}}}{\partial t_i}(x, 0, \dots, 0) = g_{i,\text{top}}(x, 0, \dots, 0) \quad (3.2)$$

for all  $x \in X_{\text{top}}$ , where the partial derivative exists. A more complicated argument shows that there exist unique morphisms  $h_1, \dots, h_n : U \rightarrow \mathbb{R}$  in  $\dot{\mathbf{Man}}$  with  $h_{i,\text{top}}(x, t_1, \dots, t_n) = \frac{\partial f_{\text{top}}}{\partial t_i}(x, t_1, \dots, t_n)$  for all  $(x, t_1, \dots, t_n) \in U_{\text{top}}$ .

The next assumption means that for  $X \in \dot{\mathbf{Man}}$ , the topology on  $X_{\text{top}}$  is generated by open subsets  $f_{\text{top}}^{-1}((0, \infty)) \subseteq X_{\text{top}}$  for smooth functions  $f : X \rightarrow \mathbb{R}$ .

**Assumption 3.6. (Topology is generated by smooth functions to  $\mathbb{R}$ .)** Let  $X$  be an object of  $\dot{\mathbf{Man}}$ . As  $\mathbb{R} \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}$ , we can consider morphisms  $f : X \rightarrow \mathbb{R}$  in  $\dot{\mathbf{Man}}$ . Suppose  $U' \subseteq X_{\text{top}}$  is open and  $x \in U'$ . Then there should exist  $f : X \rightarrow \mathbb{R}$  in  $\dot{\mathbf{Man}}$  with  $f_{\text{top}}(x) > 0$  and  $f_{\text{top}}|_{X_{\text{top}} \setminus U'} \leq 0$ .

### 3.1.3 Extension properties of smooth maps

Assumptions 3.1–3.6 hold for many categories of manifold-like spaces, including some which are not suitable for defining Kuranishi spaces. Though its significance is probably not clear on a first reading, our next assumption makes many features of ordinary manifolds work in  $\dot{\mathbf{Man}}$ , and is vital for much that we do in this

book. For example, we show in §B.4 that Assumption 3.7(a) allows us to define a ‘tangent sheaf  $\mathcal{T}X$ ’ for objects  $X \in \mathbf{Man}$ , a substitute for the tangent bundle  $TX \rightarrow X$  for  $X \in \mathbf{Man}$ .

**Assumption 3.7. (Extension properties of smooth maps.)** (a) Let  $X, Y$  be objects in  $\mathbf{Man}$ , and  $k \geq 2, n > 0$ . Suppose

$$U_i \hookrightarrow X \times (\mathbb{R}^n)^{k-1}$$

is an open submanifold for  $i = 1, \dots, k$  with  $X_{\text{top}} \times \{(0, \dots, 0)\} \subset U_{i,\text{top}}$ , and  $f_i : U_i \rightarrow Y$  is a morphism in  $\mathbf{Man}$  for  $i = 1, \dots, k$  such that

$$\begin{aligned} f_{i,\text{top}}(x, \mathbf{z}_1, \dots, \mathbf{z}_{i-1}, \mathbf{z}_{i+1}, \dots, \mathbf{z}_{j-1}, 0, \mathbf{z}_{j+1}, \dots, \mathbf{z}_k) \\ = f_{j,\text{top}}(x, \mathbf{z}_1, \dots, \mathbf{z}_{i-1}, 0, \mathbf{z}_{i+1}, \dots, \mathbf{z}_{j-1}, \mathbf{z}_{j+1}, \dots, \mathbf{z}_k) \end{aligned}$$

for all  $1 \leq i < j \leq k$ ,  $x \in X_{\text{top}}$  and  $\mathbf{z}_a \in \mathbb{R}^n$  for  $a = 1, \dots, k$ ,  $a \neq i, j$ , such that  $(x, \mathbf{z}_1, \dots, \mathbf{z}_{i-1}, \mathbf{z}_{i+1}, \dots, \mathbf{z}_{j-1}, 0, \mathbf{z}_{j+1}, \dots, \mathbf{z}_k) \in U_{i,\text{top}}$  and  $(x, \mathbf{z}_1, \dots, \mathbf{z}_{i-1}, 0, \mathbf{z}_{i+1}, \dots, \mathbf{z}_{j-1}, \mathbf{z}_{j+1}, \dots, \mathbf{z}_k) \in U_{j,\text{top}}$ . Then there should exist an open submanifold  $V \hookrightarrow X \times (\mathbb{R}^n)^k$  with  $X_{\text{top}} \times \{(0, \dots, 0)\} \subset V_{\text{top}}$ , and a morphism  $g : V \rightarrow Y$  in  $\mathbf{Man}$  such that

$$f_{i,\text{top}}(x, \mathbf{z}_1, \dots, \mathbf{z}_{i-1}, \mathbf{z}_{i+1}, \dots, \mathbf{z}_k) = g_{\text{top}}(x, \mathbf{z}_1, \dots, \mathbf{z}_{i-1}, 0, \mathbf{z}_{i+1}, \dots, \mathbf{z}_k)$$

for all  $i = 1, \dots, k$ ,  $x \in X_{\text{top}}$  and  $\mathbf{z}_a \in \mathbb{R}^n$  for  $a = 1, \dots, k$ ,  $a \neq i$ , with  $(x, \mathbf{z}_1, \dots, \mathbf{z}_{i-1}, \mathbf{z}_{i+1}, \dots, \mathbf{z}_k) \in U_{i,\text{top}}$ ,  $(x, \mathbf{z}_1, \dots, \mathbf{z}_{i-1}, 0, \mathbf{z}_{i+1}, \dots, \mathbf{z}_k) \in V_{\text{top}}$ .

(b) In part (a), suppose in addition that  $s : X \rightarrow \mathbb{R}^n$  and  $h : X \rightarrow Y$  are morphisms in  $\mathbf{Man}$  with

$$f_{i,\text{top}}(x, t_1 \cdot s_{\text{top}}(x), \dots, t_{i-1} \cdot s_{\text{top}}(x), t_{i+1} \cdot s_{\text{top}}(x), \dots, t_k \cdot s_{\text{top}}(x)) = h_{\text{top}}(x)$$

for all  $i = 1, \dots, k$ ,  $x \in X_{\text{top}}$  and  $t_1, \dots, t_{i-1}, t_{i+1}, \dots, t_k \in \mathbb{R}$  with  $t_1 + \dots + t_{i-1} + t_{i+1} + \dots + t_k = 1$  and  $(x, t_1 \cdot s_{\text{top}}(x), \dots, t_{i-1} \cdot s_{\text{top}}(x), t_{i+1} \cdot s_{\text{top}}(x), \dots, t_k \cdot s_{\text{top}}(x)) \in U_{i,\text{top}}$ . Then we can choose  $g$  to satisfy

$$g_{\text{top}}(x, t_1 \cdot s_{\text{top}}(x), \dots, t_k \cdot s_{\text{top}}(x)) = h_{\text{top}}(x)$$

for all  $x \in X_{\text{top}}$  and  $t_1, \dots, t_k \in \mathbb{R}$  with  $t_1 + \dots + t_k = 1$  and  $(x, t_1 \cdot s_{\text{top}}(x), \dots, t_k \cdot s_{\text{top}}(x)) \in V_{\text{top}}$ .

(c) In both (a) and (b), suppose the whole situation is invariant/equivariant under a finite group  $\Gamma$ , which acts on  $X, Y$  by diffeomorphisms in  $\mathbf{Man}$ , and acts linearly on  $\mathbb{R}^n$ , and may also act on  $\{1, \dots, k\}$  by permutations, and hence permute the  $U_i, f_i, \mathbf{z}_i, t_i$  for  $i = 1, \dots, k$ , in addition to the  $\Gamma$ -actions on  $X, Y, \mathbb{R}^n$ . Then we can choose  $V$  to be  $\Gamma$ -invariant, and  $g : V \rightarrow Y$  to be  $\Gamma$ -equivariant.

### 3.2 Examples of categories satisfying the assumptions

Here are some examples of categories  $\mathbf{Man}$  satisfying Assumptions 3.1–3.7.

**Example 3.8.** (i) The usual category of manifolds  $\mathbf{Man}$  from Chapter 2 satisfies all assumptions in §3.1.

(ii) In Chapter 2 we discussed many categories of manifolds with corners. Of these, the following satisfy all assumptions in §3.1:

$$\begin{aligned} & \mathbf{Man}_{\text{we}}^{\text{c}}, \mathbf{Man}^{\text{c}}, \mathbf{Man}_{\text{in}}^{\text{c}}, \mathbf{Man}_{\text{bn}}^{\text{c}}, \mathbf{Man}_{\text{st}}^{\text{c}}, \mathbf{Man}_{\text{st,in}}^{\text{c}}, \\ & \mathbf{Man}_{\text{in}}^{\text{gc}}, \mathbf{Man}_{\text{bn}}^{\text{gc}}, \mathbf{Man}_{\text{st}}^{\text{gc}}, \mathbf{Man}_{\text{in}}^{\text{ac}}, \mathbf{Man}_{\text{bn}}^{\text{ac}}, \mathbf{Man}_{\text{st}}^{\text{ac}}, \mathbf{Man}_{\text{st,in}}^{\text{ac}}, \\ & \mathbf{Man}_{\text{st,in}}^{\text{ac}}, \mathbf{Man}_{\text{in}}^{\text{c,ac}}, \mathbf{Man}_{\text{bn}}^{\text{c,ac}}, \mathbf{Man}_{\text{st}}^{\text{c,ac}}, \mathbf{Man}_{\text{st,in}}^{\text{c,ac}}. \end{aligned} \quad (3.3)$$

**Example 3.9.** In §6.6 we will define the 2-category of orbifolds  $\mathbf{Orb}$ . Define a 2-subcategory  $\mathbf{Orb}_{\text{sur}}^{\text{eff}} \subset \mathbf{Orb}$  with objects  $\mathfrak{X}$  effective orbifolds, and with 1-morphisms  $f : \mathfrak{X} \rightarrow \mathfrak{Y}$  whose morphisms of isotropy groups  $G_x f : G_x \mathfrak{X} \rightarrow G_y \mathfrak{Y}$  are surjective for all  $x \in \mathfrak{X}$  with  $f(x) = y \in \mathfrak{Y}$ , and with arbitrary 2-morphisms. Consider the homotopy category  $\text{Ho}(\mathbf{Orb}_{\text{sur}}^{\text{eff}})$ . The combination of the effective and surjective conditions means that  $\mathbf{Orb}_{\text{sur}}^{\text{eff}}$  is a *discrete* 2-category (i.e. there is at most one 2-morphism  $\eta : f \Rightarrow g$  between any two 1-morphisms  $f, g : \mathfrak{X} \rightarrow \mathfrak{Y}$  in  $\mathbf{Orb}_{\text{sur}}^{\text{eff}}$ ). So  $\mathbf{Orb}_{\text{sur}}^{\text{eff}}$  is equivalent to  $\text{Ho}(\mathbf{Orb}_{\text{sur}}^{\text{eff}})$  as a 2-category, and passing to the homotopy category does not lose any important information.

Any orbifold  $\mathfrak{X}$  has a natural locally closed stratification  $\mathfrak{X} = \coprod_{k=0}^{\dim \mathfrak{X}} \mathfrak{X}_k$ , where  $\mathfrak{X}_k$  is the disjoint union of the orbifold strata of  $\mathfrak{X}$  with codimension  $k$ , and  $\mathfrak{X}_k$  has the structure of a manifold of dimension  $\dim \mathfrak{X} - k$ . Because of the surjectivity on isotropy groups condition, 1-morphisms  $f : \mathfrak{X} \rightarrow \mathfrak{Y}$  are compatible with these stratifications in the sense of Lemma 2.5, and locally in  $\mathfrak{X}_k$  induce smooth maps  $f|_{\mathfrak{X}_k} : \mathfrak{X}_k \rightarrow \mathfrak{Y}_l$  between manifolds.

One can now show that the category  $\mathbf{Man} = \text{Ho}(\mathbf{Orb}_{\text{sur}}^{\text{eff}})$  satisfies Assumptions 3.1–3.7. There are a few subtle points in the proof. For Assumption 3.3 we use stack-theoretic properties of  $\mathbf{Orb}$  and the fact that  $\mathbf{Orb}_{\text{sur}}^{\text{eff}}$  is a discrete 2-category, so that we get sheaves and not just presheaves when we pass to the homotopy category.

We can also consider ‘corners’ versions of  $\text{Ho}(\mathbf{Orb}_{\text{sur}}^{\text{eff}})$  modelled on one of the categories in (3.3). These all work without any problems.

**Remark 3.10.** Here are some categories of manifolds which fail parts of Assumptions 3.1–3.7, and so are excluded from our theory:

- (a) The category  $\mathbf{Man}_{\text{ra}}$  of real analytic manifolds and real analytic maps fails Assumption 3.4, as there is no inclusion  $\mathbf{Man} \subseteq \mathbf{Man}_{\text{ra}}$ .

Partitions of unity will be important in our theory, but they do not exist in  $\mathbf{Man}_{\text{ra}}$ . So we will not define real analytic Kuranishi spaces.

- (b) The category  $\mathbf{Man}_{C^k}$  of  $C^k$ -manifolds for  $k \geq 0$  fails Assumption 3.5, since in general maps  $g_1, \dots, g_n : U \rightarrow \mathbb{R}$  satisfying (3.1) would have to be only  $C^{k-1}$ , and so would not be morphisms in  $\mathbf{Man}_{C^k}$ .

- (c) The category  $\mathbf{Man}^{\text{b}}$  of manifolds with boundary is not closed under products such as  $[0, 1] \times [0, 1]$ , so Assumption 3.1(e) fails. To include this example we should embed  $\mathbf{Man}^{\text{b}} \subset \mathbf{Man}^{\text{c}}$  and take  $\mathbf{Man} = \mathbf{Man}^{\text{c}}$ .



- (d) As in Remark 2.3, Melrose [84–86] works in the full subcategory  $\mathbf{Man}_{\text{fa}}^c \subset \mathbf{Man}^c$  of ‘manifolds with faces’  $X$ , for which  $i_X : \partial X \rightarrow X$  is injective on each connected component of  $\partial X$ . Since this is not a local condition on  $X$ , Assumption 3.3(b) fails for  $\mathbf{Man}_{\text{fa}}^c$ . Again, we should take  $\dot{\mathbf{Man}} = \mathbf{Man}^c$ .
- (e) The categories  $\mathbf{Man}_{\text{si}}^c, \mathbf{Man}_{\text{si}}^{\text{gc}}, \mathbf{Man}_{\text{si}}^{\text{ac}}, \mathbf{Man}_{\text{si}}^{c,\text{ac}}$  in Chapter 2 of various kinds of manifolds with corners, and *simple* maps, fail Assumption 3.6, since if  $X$  lies in one of these categories with  $\partial X \neq \emptyset$  then no map  $f : X \rightarrow \mathbb{R}$  is simple, so almost all of §3.3 does not work within  $\mathbf{Man}_{\text{si}}^c, \dots$

However, these categories will play an important rôle in our treatment of (m- and  $\mu$ -)Kuranishi spaces with corners in §3.4, §4.6, §5.4 and §6.3.

### 3.3 Differential geometry in $\dot{\mathbf{Man}}$

Suppose  $\dot{\mathbf{Man}}$  is a category satisfying Assumptions 3.1–3.7 in §3.1. Much of conventional differential geometry for classical manifolds  $\mathbf{Man}$  can be extended to  $\dot{\mathbf{Man}}$  — smooth functions and partitions of unity, vector bundles, tangent and cotangent bundles, connections, and so on. To avoid a lengthy diversion in our narrative, we will explain the extension to  $\dot{\mathbf{Man}}$  in detail in Appendix B, and summarize it here. Readers primarily interested in the conventional cases  $\dot{\mathbf{Man}} = \mathbf{Man}$  or  $\dot{\mathbf{Man}} = \mathbf{Man}^c$  should not need to look at Appendix B.

Here are two important differences with conventional differential geometry:

- If  $X \in \dot{\mathbf{Man}}$  is a ‘manifold’, we will define a *tangent sheaf*  $\mathcal{T}X$  and *cotangent sheaf*  $\mathcal{T}^*X$ , which are our substitutes for the (co)tangent bundles  $TX, T^*X$  of a classical manifold. These  $\mathcal{T}X, \mathcal{T}^*X$  may not be vector bundles for general  $\dot{\mathbf{Man}}$ , but are sheaves of modules over the *structure sheaf*  $\mathcal{O}_X$  of smooth functions  $X \rightarrow \mathbb{R}$ . Also  $\mathcal{T}X, \mathcal{T}^*X$  may not be dual to each other, though there is a natural pairing  $\mu_X : \mathcal{T}X \times \mathcal{T}^*X \rightarrow \mathcal{O}_X$ .
- If  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$ , we will define a *relative tangent sheaf*  $\mathcal{T}_f Y$  of  $\mathcal{O}_X$ -modules on  $X$ , with  $\mathcal{T}_f Y = \mathcal{T}X$  when  $X = Y$  and  $f = \text{id}_X$ . When  $\dot{\mathbf{Man}} = \mathbf{Man}$ ,  $\mathcal{T}_f Y$  is the sheaf of sections of the pullback vector bundle  $f^*(TY) \rightarrow X$ , but in general we may have  $\mathcal{T}_f Y \not\cong f^*(\mathcal{T}Y)$ .

In §3.3.5 we describe some ‘ $\mathcal{O}(s)$ ’ and ‘ $\mathcal{O}(s^2)$ ’ notation, explained in detail in §B.5, which will be important in Chapters 4–6.

#### 3.3.1 Smooth functions and the structure sheaf

We summarize the material of §B.1:

- (a) For each  $X \in \dot{\mathbf{Man}}$ , write  $C^\infty(X)$  for the set of morphisms  $a : X \rightarrow \mathbb{R}$  in  $\dot{\mathbf{Man}}$ . We show that  $C^\infty(X)$  has the structure of a commutative  $\mathbb{R}$ -algebra, and also of a  *$C^\infty$ -ring*, in the sense of  $C^\infty$ -algebraic geometry as in the author [56, 65] or Dubuc [13].

- (b) We define a sheaf  $\mathcal{O}_X$  of commutative  $\mathbb{R}$ -algebras or  $C^\infty$ -rings on the topological space  $X_{\text{top}}$ , called the *structure sheaf*, with  $\mathcal{O}_X(U_{\text{top}}) = C^\infty(U)$  for all open submanifolds  $U \hookrightarrow X$ . Sheaves are explained in §A.5.
- (c) We show that  $(X_{\text{top}}, \mathcal{O}_X)$  is an *affine  $C^\infty$ -scheme* in the sense of [13, 56, 65]. If  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$ , we define a morphism  $(f_{\text{top}}, f^\sharp) : (X_{\text{top}}, \mathcal{O}_X) \rightarrow (Y_{\text{top}}, \mathcal{O}_Y)$  of affine  $C^\infty$ -schemes. This defines a functor  $F_{\dot{\mathbf{Man}}}^{C^\infty \mathbf{Sch}} : \dot{\mathbf{Man}} \rightarrow \mathbf{C}^\infty \mathbf{Sch}^{\text{aff}}$  to the category of affine  $C^\infty$ -schemes, which is faithful, but need not be full.
- (d) We show that partitions of unity exist in  $\mathcal{O}_X$  subordinate to any open cover  $\{U_a : a \in A\}$  of  $X$ . Thus,  $\mathcal{O}_X$  is a *fine sheaf*.

When  $\dot{\mathbf{Man}} = \mathbf{Man}$  all this is standard material.

### 3.3.2 Vector bundles and sections

In §B.2 we discuss *vector bundles*  $E \rightarrow X$  in  $\dot{\mathbf{Man}}$ , and (smooth) *sections*  $s : X \rightarrow E$ , and we write  $\Gamma^\infty(E)$  for the  $C^\infty(X)$ -module of sections  $s$  of  $E$ . The usual definitions and operations on vector bundles and sections in differential geometry also work for vector bundles in  $\dot{\mathbf{Man}}$ , in exactly the same way with no surprises, so for instance if  $E, F \rightarrow X$  are vector bundles we can define vector bundles  $E^* \rightarrow X$ ,  $E \oplus F \rightarrow X$ ,  $E \otimes F \rightarrow X$ ,  $\Lambda^k E \rightarrow X$ , and so on, and if  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$  and  $G \rightarrow Y$  is a vector bundle we can define a pullback vector bundle  $f^*(G) \rightarrow X$ .

If  $E \rightarrow X$  is a vector bundle, we write  $\mathcal{E}$  for the sheaf of sections of  $E$ , as a sheaf of modules over  $\mathcal{O}_X$ . Morphisms of vector bundles  $\theta : E \rightarrow F$  are in natural 1-1 correspondence with morphisms of  $\mathcal{O}_X$ -modules  $\tilde{\theta} : \mathcal{E} \rightarrow \mathcal{F}$ .

### 3.3.3 The cotangent sheaf $\mathcal{T}^*X$ , and connections $\nabla$

In §B.3, for each  $X \in \dot{\mathbf{Man}}$  we define the *cotangent sheaf*  $\mathcal{T}^*X$ , a sheaf of  $\mathcal{O}_X$ -modules on  $X_{\text{top}}$ . We also define the *de Rham differential*  $d : \mathcal{O}_X \rightarrow \mathcal{T}^*X$ , a morphism of sheaves of  $\mathbb{R}$ -vector spaces which is a universal  $C^\infty$ -derivation. We do this by noting that  $(X_{\text{top}}, \mathcal{O}_X)$  is an affine  $C^\infty$ -scheme in the sense of [13, 56, 65], as in §3.3.1 and §B.1, and then using cotangent sheaves of  $C^\infty$ -schemes from the author [65, §5].

**Example 3.11.** (a) If  $\dot{\mathbf{Man}} = \mathbf{Man}$  then  $\mathcal{T}^*X$  is the sheaf of sections of the usual cotangent bundle  $T^*X \rightarrow X$  in differential geometry. The same holds if  $X \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}$  for general  $\dot{\mathbf{Man}}$ .

(b) If  $\dot{\mathbf{Man}}$  is one of the following categories from Chapter 2:

$$\mathbf{Man}^c, \mathbf{Man}_{\text{in}}^c, \mathbf{Man}_{\text{st}}^c, \mathbf{Man}_{\text{st}, \text{in}}^c, \mathbf{Man}_{\text{we}}^c,$$

then as in §2.3 there are two notions of cotangent bundle  $T^*X, {}^bT^*X$  of  $X$  in  $\dot{\mathbf{Man}}$ . It turns out that  $\mathcal{T}^*X$  is isomorphic to the sheaf of sections of  $T^*X$ .

(c) If  $\dot{\mathbf{Man}}$  is one of the following categories from §2.4:

$$\mathbf{Man}^{\text{gc}}, \mathbf{Man}_{\text{in}}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}_{\text{in}}^{\text{ac}}, \mathbf{Man}_{\text{st}}^{\text{ac}}, \\ \mathbf{Man}_{\text{st,in}}^{\text{ac}}, \mathbf{Man}^{\text{c,ac}}, \mathbf{Man}_{\text{in}}^{\text{c,ac}}, \mathbf{Man}_{\text{st}}^{\text{c,ac}}, \mathbf{Man}_{\text{st,in}}^{\text{c,ac}},$$

then the cotangent bundle  $T^*X$  of  $X \in \dot{\mathbf{Man}}$  may not be defined, though the b-cotangent bundle  ${}^bT^*X$  is. It turns out that  $\mathcal{T}^*X$  need not be isomorphic to the sheaf of sections of any vector bundle in these cases.

Let  $E \rightarrow X$  be a vector bundle in  $\dot{\mathbf{Man}}$ , and  $\mathcal{E}$  the  $\mathcal{O}_X$ -module of sections of  $E$  as in §3.3.2. We define a *connection*  $\nabla$  on  $E$  to be a morphism  $\nabla : \mathcal{E} \rightarrow \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{T}^*X$  of sheaves of  $\mathbb{R}$ -vector spaces on  $X_{\text{top}}$ , satisfying the Leibniz rule  $\nabla(a \cdot e) = a \cdot (\nabla e) + e \otimes (da)$  for all local sections  $a$  of  $\mathcal{O}_X$  and  $e$  of  $\mathcal{E}$ . We show that connections  $\nabla$  on  $E$  always exist, and if  $\nabla, \nabla'$  are two connections then  $\nabla' = \nabla + \Gamma$  for  $\Gamma : \mathcal{E} \rightarrow \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{T}^*X$  an  $\mathcal{O}_X$ -module morphism.

### 3.3.4 Tangent sheaves $\mathcal{T}X$ , and relative tangent sheaves $\mathcal{T}_fY$

We summarize the material of §B.4:

- (a) For each  $X \in \dot{\mathbf{Man}}$  we define the *tangent sheaf*  $\mathcal{T}X$ , as a sheaf of  $\mathcal{O}_X$ -modules on  $X_{\text{top}}$ .
- (b) If  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$  we define the *relative tangent sheaf*  $\mathcal{T}_fY$ , as an  $\mathcal{O}_X$ -module on  $X_{\text{top}}$ . There is a natural  $\mathcal{O}_X$ -module morphism

$$f^\flat \otimes \text{id}_{\mathcal{O}_X} : f^*(\mathcal{T}Y) = f_{\text{top}}^{-1}(\mathcal{T}Y) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X \longrightarrow \mathcal{T}_fY. \quad (3.4)$$

If  $g : Y \rightarrow Z$  is a morphism in  $\dot{\mathbf{Man}}$  we have an  $\mathcal{O}_X$ -module morphism

$$f^\flat \otimes \text{id}_{\mathcal{O}_X} : f^*(\mathcal{T}_gZ) = f_{\text{top}}^{-1}(\mathcal{T}_gZ) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X \longrightarrow \mathcal{T}_{g \circ f}Z. \quad (3.5)$$

Neither of (3.4) or (3.5) need be isomorphisms.

- (c) If  $f : X \rightarrow Y, g : Y \rightarrow Z$  are morphisms in  $\dot{\mathbf{Man}}$  then we define an  $\mathcal{O}_X$ -module morphism  $\mathcal{T}g : \mathcal{T}_fY \rightarrow \mathcal{T}_{g \circ f}Z$ .
- (d) If  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$  and  $E, F \rightarrow X$  are vector bundles then we define *morphisms*  $\theta : E \rightarrow \mathcal{T}_fY, \phi : \mathcal{T}_fY \rightarrow F$ . These are just  $\mathcal{O}_X$ -module morphisms  $\theta : \mathcal{E} \rightarrow \mathcal{T}_fY, \phi : \mathcal{T}_fY \rightarrow \mathcal{F}$ , for  $\mathcal{E}, \mathcal{F}$  the  $\mathcal{O}_X$ -modules of sections of  $E, F$ .

We can compose such morphisms by composing  $\mathcal{O}_X$ -module morphisms, so that  $\phi \circ \theta : \mathcal{E} \rightarrow \mathcal{F}$  is a vector bundle morphism  $E \rightarrow F$ .

- (e) We define a natural pairing  $\mu_X : \mathcal{T}X \times \mathcal{T}^*X \rightarrow \mathcal{O}_X$  between tangent and cotangent sheaves.
- (f) Let  $E \rightarrow X$  be a vector bundle in  $\dot{\mathbf{Man}}$ ,  $\nabla$  a connection on  $E$ , and  $s \in \Gamma^\infty(E)$ , so that  $\nabla s \in \Gamma(\mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{T}^*X)$  as in §3.3.3. Using the pairing  $\mu_X$  in (e) we can regard  $\nabla s$  as a morphism  $\nabla s : \mathcal{T}X \rightarrow E$ .

- (g) Let  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}$ ,  $F \rightarrow Y$  be a vector bundle, and  $\theta : F \rightarrow \mathcal{T}_g Z$  be a morphism on  $Y$ , as in (d). We define a morphism  $f^*(\theta) : f^*(F) \rightarrow \mathcal{T}_{g \circ f} Z$  by composing (3.5) with the pullback of  $\theta$  under  $f_{\text{top}}$ . This is something of an abuse of notation: we will treat  $\mathcal{T}_{g \circ f} Z$  as if it were the pullback  $f^*(\mathcal{T}_g Z)$ , although (3.5) may not be an isomorphism. Incorporating (3.5) in the definition of  $f^*(\theta)$  allows us to omit  $f^b \otimes \text{id}_{\mathcal{O}_X}$  in (3.5) from our notation.
- (h) Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ ,  $F \rightarrow Y$  be a vector bundle,  $\nabla$  a connection on  $F$ , and  $t \in \Gamma^\infty(F)$ , so that  $\nabla t \in \Gamma(\mathcal{F} \otimes_{\mathcal{O}_Y} \mathcal{T}^* Y)$ . We define a morphism  $f^*(\nabla t) : \mathcal{T}_f Y \rightarrow f^*(F)$ . This is not done by pulling back the morphism  $\nabla t : \mathcal{T} Y \rightarrow F$  in (f) along  $f$ , since the morphism (3.4) goes the wrong way, but by a different method.

**Example 3.12.** Let  $\mathbf{Man} = \mathbf{Man}$ . Then  $\mathcal{T} X$  in (a) is the sheaf of sections of the usual tangent bundle  $T X \rightarrow X$  in differential geometry, and  $\mathcal{T}_f Y$  in (b) is the sheaf of sections of  $f^*(T Y) \rightarrow X$ , and (3.4)–(3.5) are isomorphisms. In (c),  $\mathcal{T} g$  is the pullback  $f^*(T g) : f^*(T Y) \rightarrow (g \circ f)^*(T Z)$  of the derivative map  $T g : T Y \rightarrow g^*(T Z)$ . In (d), morphisms are vector bundle morphisms  $\theta : E \rightarrow f^*(T Y)$ ,  $\phi : f^*(T Y) \rightarrow F$ . In (e),  $\mu_X$  is the usual dual pairing  $T X \times T^* X \rightarrow \mathcal{O}_X$ . In (g),(h),  $f^*(\theta)$ ,  $f^*(\nabla t)$  are the usual pullbacks in differential geometry.

The moral is that when  $\mathbf{Man} = \mathbf{Man}$ , we should remember that  $\mathcal{T}_f Y$  means  $f^*(T Y)$ , all the sheaves  $\mathcal{O}_X, \mathcal{T}^* X, \mathcal{T} X, \mathcal{T}_f Y$  are vector bundles, and all of (a)–(h) are standard differential geometry of classical manifolds.

**Example 3.13.** Let  $\mathbf{Man}$  be one of the following categories from Chapter 2:

$$\mathbf{Man}_{\text{in}}^{\text{c}}, \mathbf{Man}_{\text{st, in}}^{\text{c}}, \mathbf{Man}_{\text{in}}^{\text{gc}}, \mathbf{Man}_{\text{in}}^{\text{ac}}, \mathbf{Man}_{\text{st, in}}^{\text{ac}}, \mathbf{Man}_{\text{in}}^{\text{c, ac}}, \mathbf{Man}_{\text{st, in}}^{\text{c, ac}}.$$

Then  $\mathcal{T} X$  in (a) is the sheaf of sections of the b-tangent bundle  ${}^b T X \rightarrow X$  from §2.3, and  $\mathcal{T}_f Y$  in (b) is the sheaf of sections of  $f^*({}^b T Y) \rightarrow X$ , and (3.4)–(3.5) are isomorphisms. Note that in these cases  $\mathcal{T} X$  and  $\mathcal{T}^* X$  may not be dual, since as in Example 3.11(b),(c) either  $\mathcal{T}^* X$  is the sheaf of sections of  $T^* X \rightarrow X$  (not  ${}^b T^* X \rightarrow X$ ), or  $\mathcal{T}^* X$  may not be a vector bundle.

**Example 3.14.** Let  $\mathbf{Man}$  be one of the following categories from Chapter 2:

$$\mathbf{Man}^{\text{c}}, \mathbf{Man}_{\text{st}}^{\text{c}}, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}_{\text{st}}^{\text{ac}}, \mathbf{Man}^{\text{c, ac}}, \mathbf{Man}_{\text{st}}^{\text{c, ac}}.$$

Then  $\mathcal{T} X$  in (a) is the sheaf of sections of the b-tangent bundle  ${}^b T X \rightarrow X$ , but  $\mathcal{T}_f Y$  in (b) is the sheaf of sections of the vector bundle of mixed rank  $C(f)^*({}^b T C(Y))|_{C_0(X)} \rightarrow X$ , using the corner functor  $C(f) : C(X) \rightarrow C(Y)$  and the identification  $X \cong C_0(X)$  from §2.2. Also (3.4)–(3.5) may not be isomorphisms, and  $\mathcal{T} X$  and  $\mathcal{T}^* X$  may not be dual.

### 3.3.5 The $O(s)$ and $O(s^2)$ notation

Section B.5 defines some ‘ $O(s)$ ’ and ‘ $O(s^2)$ ’ notation, which will be important in §4.1, §5.1 and §6.1. Here is an informal version of Definition B.36:

**Definition 3.15.** Let  $X$  be an object in  $\mathbf{Man}$ , and  $\pi : E \rightarrow X$  be a vector bundle, and  $s \in \Gamma^\infty(E)$  be a section. Then:

- (i) If  $F \rightarrow X$  is a vector bundle and  $t_1, t_2 \in \Gamma^\infty(F)$ , we write  $t_2 = t_1 + O(s)$  if there exists a morphism  $\alpha : E \rightarrow F$  such that  $t_2 = t_1 + \alpha \circ s$  in  $\Gamma^\infty(F)$ .

Similarly, we write  $t_2 = t_1 + O(s^2)$  if there exists  $\beta : E \otimes E \rightarrow F$  such that  $t_2 = t_1 + \beta \circ (s \otimes s)$  in  $\Gamma^\infty(F)$ . This implies that  $t_2 = t_1 + O(s)$ .

We can also apply this  $O(s), O(s^2)$  notation to morphisms of vector bundles  $\theta_1, \theta_2 : F \rightarrow G$ , by regarding  $\theta_1, \theta_2$  as sections of  $F^* \otimes G$ .

- (ii) If  $F \rightarrow X$  is a vector bundle,  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man}$ , and  $\Lambda_1, \Lambda_2 : F \rightarrow \mathcal{T}_f Y$  are morphisms as in §3.3.4(d), we define a notion of when  $\Lambda_2 = \Lambda_1 + O(s)$ . Basically this says that locally near  $0_{E, \text{top}}(s_{\text{top}}^{-1}(0)) \subseteq E_{\text{top}}$ , there should exist  $M : \pi^*(F) \rightarrow \mathcal{T}_{f \circ \pi} Y$  on  $E$  with  $0_E^*(M) = \Lambda_1$  and  $s^*(M) = \Lambda_2$ , where  $0_E : X \rightarrow E$  is the zero section.

- (iii) If  $f, g : X \rightarrow Y$  are morphisms, we define a notion of when  $g = f + O(s)$ . Basically this says that locally near  $0_{E, \text{top}}(s_{\text{top}}^{-1}(0)) \subseteq E_{\text{top}}$ , there should exist a morphism  $v : E \rightarrow Y$  with  $v \circ 0_E = f$  and  $v \circ s = g$ .

- (iv) Let  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  be as in (iii), and  $F \rightarrow X, G \rightarrow Y$  be vector bundles, and  $\theta_1 : F \rightarrow f^*(G), \theta_2 : F \rightarrow g^*(G)$  be morphisms. We wish to compare  $\theta_1, \theta_2$ , though they map to *different* vector bundles.

We define a notion of when  $\theta_2 = \theta_1 + O(s)$ . Basically this says that locally near  $0_{E, \text{top}}(s_{\text{top}}^{-1}(0)) \subseteq E_{\text{top}}$ , there should exist a morphism  $v : E \rightarrow Y$  with  $v \circ 0_E = f$  and  $v \circ f = g$  as in (iii), and a morphism  $\phi : \pi^*(F) \rightarrow v^*(G)$  on  $E$  with  $0_E^*(\phi) = \theta_1$  and  $s^*(\phi) = \theta_2$ .

- (v) Let  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  be as in (iii), and  $F \rightarrow X$  be a vector bundle, and  $\Lambda_1 : F \rightarrow \mathcal{T}_f Y, \Lambda_2 : F \rightarrow \mathcal{T}_g Y$  be morphisms, as in §3.3.4(d). We wish to compare  $\Lambda_1, \Lambda_2$ , though they map to *different* sheaves.

We define a notion of when  $\Lambda_2 = \Lambda_1 + O(s)$ . Basically this says that locally near  $0_{E, \text{top}}(s_{\text{top}}^{-1}(0)) \subseteq E_{\text{top}}$ , there should exist a morphism  $v : E \rightarrow Y$  with  $v \circ 0_E = f$  and  $v \circ s = g$  as in (iii), and a morphism  $M : \pi^*(F) \rightarrow \mathcal{T}_v Y$  on  $E$  with  $0_E^*(M) = \Lambda_1$  and  $s^*(M) = \Lambda_2$ .

- (vi) Suppose  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man}$ , and  $F \rightarrow X, G \rightarrow Y$  are vector bundles, and  $t \in \Gamma^\infty(G)$  with  $f^*(t) = O(s)$  in the sense of (i), and  $\Lambda : F \rightarrow \mathcal{T}_f Y$  is a morphism, as in §3.3.4(d), and  $\theta : F \rightarrow f^*(G)$  is a vector bundle morphism. We write  $\theta = f^*(dt) \circ \Lambda + O(s)$  if whenever  $\nabla$  is a connection on  $G$  we have  $\theta = f^*(\nabla t) \circ \Lambda + O(s)$  in the sense of (i), where  $f^*(\nabla t) : \mathcal{T}_f Y \rightarrow f^*(G)$  is as in §3.3.4(h), so that  $f^*(\nabla t) \circ \Lambda : F \rightarrow f^*(G)$  is a vector bundle morphism as in §3.3.4(d).

Here a connection  $\nabla$  on  $G$  exists as in §3.3.4, and the condition  $\theta = f^*(\nabla t) \circ \Lambda + O(s)$  is independent of the choice of connection  $\nabla$ . The notation ‘ $dt$ ’ in  $\theta = f^*(dt) \circ \Lambda + O(s)$  is intended to suggest that the condition is natural, and independent of the choice of connection.

- (vii) Let  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  be as in (iii), and  $\Lambda : E \rightarrow \mathcal{T}_f Y$  be a morphism in the sense of §3.3.4(d). We define a notion of when  $g = f + \Lambda \circ s + O(s^2)$ . Basically this says that locally near  $0_{E, \text{top}}(s_{\text{top}}^{-1}(0)) \subseteq E_{\text{top}}$ , there should exist a morphism  $v : E \rightarrow Y$  with  $v \circ 0_E = f$  and  $v \circ s = g$  as in (iii), and the normal derivative of  $v$  at the zero section  $0_E(X) \subseteq E$  should be  $\Lambda$ . Making sense of this formally needs the details of the definition of  $\mathcal{T}_f Y$  in §B.4, which we have not explained.

Here are equivalent but simpler definitions when  $\mathbf{Man} = \mathbf{Man}$ . We combine Definition 3.15(i),(ii) into Definition 3.16(i), and Definition 3.15(iv),(v) into Definition 3.16(iii), since the sheaf  $\mathcal{T}_f Y = f^*(TY)$  is a vector bundle when  $\mathbf{Man} = \mathbf{Man}$ , and does not need separate treatment.

**Definition 3.16.** Let  $X$  be a classical manifold,  $E \rightarrow X$  a vector bundle, and  $s \in \Gamma^\infty(E)$  a smooth section.

- (i) If  $F \rightarrow X$  is another vector bundle and  $t_1, t_2 \in \Gamma^\infty(F)$  are smooth sections, we write  $t_2 = t_1 + O(s)$  if there exists  $\alpha \in \Gamma^\infty(E^* \otimes F)$  such that  $t_2 = t_1 + \alpha \cdot s$  in  $\Gamma^\infty(F)$ , where the contraction  $\alpha \cdot s$  is formed using the natural pairing of vector bundles  $(E^* \otimes F) \times E \rightarrow F$  over  $X$ .

Similarly, we write  $t_2 = t_1 + O(s^2)$  if there exists  $\alpha \in \Gamma^\infty(E^* \otimes E^* \otimes F)$  such that  $t_2 = t_1 + \alpha \cdot (s \otimes s)$  in  $\Gamma^\infty(F)$ .

- (ii) Suppose  $f, g : X \rightarrow Y$  are smooth maps of classical manifolds. We write  $g = f + O(s)$  if whenever  $a : Y \rightarrow \mathbb{R}$  is a smooth map, there exists  $\beta \in \Gamma^\infty(E^*)$  such that  $a \circ g = a \circ f + \beta \cdot s$ .
- (iii) Let  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  be as in (ii), and  $F \rightarrow X, G \rightarrow Y$  be vector bundles, and  $\theta_1 : F \rightarrow f^*(G), \theta_2 : F \rightarrow g^*(G)$  be morphisms. We wish to compare  $\theta_1, \theta_2$ , though they map to *different* vector bundles.

We write  $\theta_2 = \theta_1 + O(s)$  if for all  $\alpha \in \Gamma^\infty(F)$  and  $\beta \in \Gamma^\infty(G^*)$  we have  $g^*(\beta) \cdot (\theta_2 \circ \alpha) = f^*(\beta) \cdot (\theta_1 \circ \alpha) + O(s)$  in  $C^\infty(X)$ , in the sense of (i).

- (iv) Suppose  $f : X \rightarrow Y$  is a smooth map of classical manifolds,  $F \rightarrow X, G \rightarrow Y$  are vector bundles,  $t \in \Gamma^\infty(G)$  with  $f^*(t) = O(s)$  in the sense of (i), and  $\Lambda : F \rightarrow f^*(TY), \theta : F \rightarrow f^*(G)$  are vector bundle morphisms. We write  $\theta = f^*(dt) \circ \Lambda + O(s)$  if  $\theta = f^*(\nabla t) \circ \Lambda + O(s)$  in the sense of (i) when  $\nabla$  is a connection on  $G$ , so that  $\nabla t \in \Gamma^\infty(T^*Y \otimes G)$  and  $f^*(\nabla t) : f^*(TY) \rightarrow f^*(G)$  is a vector bundle morphism. This condition is independent of the choice of connection  $\nabla$  on  $G$ .

- (v) Let  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  be as in (ii), and  $\Lambda : E \rightarrow f^*(TY)$  be a vector bundle morphism. We write  $g = f + \Lambda \circ s + O(s^2)$  if whenever  $a : Y \rightarrow \mathbb{R}$  is a smooth map, there exists  $\beta$  in  $\Gamma^\infty(E^* \otimes E^*)$  such that

$a \circ g = a \circ f + \Lambda \cdot (s \otimes f^*(dh)) + \beta \cdot (s \otimes s)$ . Here  $s \otimes f^*(dh)$  lies in  $\Gamma^\infty(E \otimes f^*(T^*Y))$ , and so pairs with  $\Lambda$ .

When  $\mathbf{\dot{M}an} = \mathbf{Man}$  we can interpret the  $O(s)$  and  $O(s^2)$  conditions in Definitions 3.15–3.16 in terms of  $C^\infty$ -algebraic geometry, as in [56, 65]. A manifold  $X$  corresponds to a  $C^\infty$ -scheme  $\underline{X}$ . Given a vector bundle  $E \rightarrow X$  and  $s \in \Gamma^\infty(E)$ , we have closed  $C^\infty$ -subschemes  $\underline{S}_1 \subseteq \underline{S}_2 \subseteq \underline{X}$ , where  $\underline{S}_1$  is defined by  $s = 0$ , and  $\underline{S}_2$  by  $s \otimes s = 0$ . Roughly, an equation on  $X$  holds up to  $O(s)$  if when translated into  $C^\infty$ -scheme language, the restriction of the equation to  $\underline{S}_1 \subseteq \underline{X}$  holds, and it holds up to  $O(s^2)$  if its restriction to  $\underline{S}_2 \subseteq \underline{X}$  holds. For example,  $t_2 = t_1 + O(s) \Leftrightarrow t_2|_{\underline{S}_1} = t_1|_{\underline{S}_1}$  and  $t_2 = t_1 + O(s^2) \Leftrightarrow t_2|_{\underline{S}_2} = t_1|_{\underline{S}_2}$  in Definition 3.15(i), and  $g = f + O(s) \Leftrightarrow \underline{g}|_{\underline{S}_1} = \underline{f}|_{\underline{S}_1}$  in Definition 3.15(iii).

The next theorem gives the properties of this  $O(s)$  and  $O(s^2)$  notation we will need for our (m- and  $\mu$ -)Kuranishi space theories. It will be proved in §B.9.

**Theorem 3.17.** *Work in the situation of Definition 3.15. Then:*

- (a) *All the ‘ $O(s)$ ’ and ‘ $O(s^2)$ ’ conditions above are local on  $s_{\text{top}}^{-1}(0) \subseteq X_{\text{top}}$ . That is, each condition holds on all of  $X_{\text{top}}$  if and only if it holds on a family of open subsets of  $X_{\text{top}}$  covering  $s_{\text{top}}^{-1}(0)$ .*
- (b) *In Definition 3.15(i),(ii),(iv)–(vi) the conditions are  $C^\infty(X)$ -linear in  $t, t_1, t_2, \theta, \theta_1, \theta_2, \Lambda, \Lambda_1, \Lambda_2$ . For example, in (i) if  $t_2 = t_1 + O(s)$ ,  $t'_2 = t'_1 + O(s)$  and  $a, b \in C^\infty(X)$  then  $(at_2 + bt'_2) = (at_1 + bt'_1) + O(s)$ .*
- (c) *In Definition 3.15(i)–(iii) the conditions are equivalence relations. For example, in (iii) if  $f, g, h : X \rightarrow Y$  are morphisms in  $\mathbf{\dot{M}an}$ , then  $f = f + O(s)$ , and  $g = f + O(s)$  implies that  $f = g + O(s)$ , and  $g = f + O(s)$ ,  $h = g + O(s)$  imply that  $h = f + O(s)$ .*
- (d) *In Definition 3.15(iv),(v) the conditions are equivalence relations relative to the equivalence relation of (iii). For example, if  $f, g, h : X \rightarrow Y$  are morphisms in  $\mathbf{\dot{M}an}$  with  $g = f + O(s)$ ,  $h = g + O(s)$ , and  $F \rightarrow X, G \rightarrow Y$  are vector bundles, and  $\theta_1 : F \rightarrow f^*(G)$ ,  $\theta_2 : F \rightarrow g^*(G)$ ,  $\theta_3 : F \rightarrow h^*(G)$  with  $\theta_2 = \theta_1 + O(s)$  (using  $g = f + O(s)$ ) and  $\theta_3 = \theta_2 + O(s)$  (using  $h = g + O(s)$ ) as in (iv), then  $h = f + O(s)$  by (c), and  $\theta_3 = \theta_1 + O(s)$  (using  $h = f + O(s)$ ) as in (iv).*
- (e) *Let  $X_a \hookrightarrow X$  for  $a \in A$  be open submanifolds with  $s_{\text{top}}^{-1}(0) \subseteq \bigcup_{a \in A} X_{a, \text{top}}$ . Write  $X_{ab} \hookrightarrow X$  for the open submanifold with  $X_{ab, \text{top}} = X_{a, \text{top}} \cap X_{b, \text{top}}$  for  $a, b \in A$ . Suppose we are given morphisms  $f_a : X_a \rightarrow Y$  in  $\mathbf{\dot{M}an}$  for all  $a \in A$  with  $f_a|_{X_{ab}} = f_b|_{X_{ab}} + O(s)$  on  $X_{ab}$  for all  $a, b \in A$ . Then there exist an open submanifold  $j : X' \hookrightarrow X$  with  $s_{\text{top}}^{-1}(0) \subseteq X'_{\text{top}}$  and a morphism  $g : X' \rightarrow Y$  such that  $g|_{X' \cap X_a} = f_a|_{X' \cap X_a} + O(s)$  for all  $a \in A$ . Suppose also that a finite group  $\Gamma$  acts on  $X, Y$  by diffeomorphisms in  $\mathbf{\dot{M}an}$ , and that the  $X_a \hookrightarrow X$  are  $\Gamma$ -invariant, and the  $f_a : X_a \rightarrow Y$  are  $\Gamma$ -equivariant, for all  $a \in A$ . Then we can choose  $X'$  to be  $\Gamma$ -invariant, and  $g$  to be  $\Gamma$ -equivariant.*

- (f) Let  $X, E, s, f, g, F, G, \theta_1$  be as in Definition 3.15(iv). Then there exists  $\theta_2 : F \rightarrow f^*(G)$  with  $\theta_2 = \theta_1 + O(s)$ , as in (iv). If  $\tilde{\theta}_2$  is an alternative choice for  $\theta_2$  then  $\tilde{\theta}_2 = \theta_2 + O(s)$ , as in (i).
- (g) Let  $X, E, s, f, g, F, G, \Lambda_1$  be as in Definition 3.15(v). Then there exists  $\Lambda_2 : F \rightarrow \mathcal{T}_g Y$  with  $\Lambda_2 = \Lambda_1 + O(s)$  as in (v). If  $\tilde{\Lambda}_2$  is an alternative choice for  $\Lambda_2$  then  $\tilde{\Lambda}_2 = \Lambda_2 + O(s)$ , as in (ii).
- (h) Let  $X, E, s, f, Y, F, G, t, \Lambda$  be as in (vi). Then there exists a vector bundle morphism  $\theta : F \rightarrow f^*(G)$  on  $X$  such that  $\theta = f^*(dt) \circ \Lambda + O(s)$ , in the sense of (vi). If  $\tilde{\theta}$  is an alternative choice for  $\theta$  then  $\tilde{\theta} = \theta + O(s)$  as in (i), regarding  $\theta, \tilde{\theta}$  as sections of  $F^* \otimes f^*(G)$ .
- (i) Suppose  $f, g : X \rightarrow Y$  are morphisms with  $g = f + O(s)$  as in (iii). Then there exists  $\Lambda : E \rightarrow \mathcal{T}_f Y$  with  $g = f + \Lambda \circ s + O(s^2)$  as in (vii).
- (j) Let  $X, E, s, f, g, Y, \Lambda$  with  $g = f + \Lambda \circ s + O(s^2)$  be as in (vii), and  $\tilde{\Lambda} : E \rightarrow \mathcal{T}_f Y$  be a morphism with  $\tilde{\Lambda} = \Lambda + O(s)$  as in (ii). Then  $g = f + \tilde{\Lambda} \circ s + O(s^2)$ .
- (k) Let  $X, E, s, f, g, Y, \Lambda$  with  $g = f + \Lambda \circ s + O(s^2)$  be as in (vii). Part (g) gives  $\tilde{\Lambda} : F \rightarrow \mathcal{T}_g Y$  with  $\tilde{\Lambda} = \Lambda + O(s)$  as in (v), where  $\tilde{\Lambda}$  is unique up to  $O(s)$ . Then  $f = g + (-\tilde{\Lambda}) \circ s + O(s^2)$  as in (vii).
- (l) Let  $f, g, h : X \rightarrow Y$  be morphisms in  $\mathbf{Man}$  with  $g = f + O(s)$ ,  $h = g + O(s)$ , so that  $h = f + O(s)$  by (c), and  $\Lambda_1 : E \rightarrow \mathcal{T}_f Y$ ,  $\Lambda_2 : E \rightarrow \mathcal{T}_g Y$  be morphisms with  $g = f + \Lambda_1 \circ s + O(s^2)$  and  $h = g + \Lambda_2 \circ s + O(s^2)$  be as in (vii). Part (g) gives  $\tilde{\Lambda}_2 : E \rightarrow \mathcal{T}_f Y$  with  $\tilde{\Lambda}_2 = \Lambda_2 + O(s)$  as in (v), unique up to  $O(s)$ . Then  $h = f + (\Lambda_1 + \tilde{\Lambda}_2) \circ s + O(s^2)$  as in (vii).
- (m) Let  $f, g : X \rightarrow Y$  be morphisms in  $\mathbf{Man}$  with  $g = f + O(s)$ , and  $\Lambda_1, \dots, \Lambda_k : E \rightarrow \mathcal{T}_f Y$  be morphisms with  $g = f + \Lambda_a \circ s + O(s^2)$  for  $a = 1, \dots, k$  as in (vii), and  $\alpha_1, \dots, \alpha_k \in C^\infty(X)$  with  $\alpha_1 + \dots + \alpha_k = 1$ . Then  $g = f + (\alpha_1 \cdot \Lambda_1 + \dots + \alpha_k \cdot \Lambda_k) \circ s + O(s^2)$  as in (vii).
- (n) Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ , and  $F, G \rightarrow Y$  be vector bundles,  $t \in \Gamma^\infty(F)$  with  $f^*(t) = O(s)$ , and  $u_1, u_2 \in \Gamma^\infty(G)$ .  
If  $u_2 = u_1 + O(t)$  as in (i) then  $f^*(u_2) = f^*(u_1) + O(s)$ , and if  $u_2 = u_1 + O(t^2)$  as in (i) then  $f^*(u_2) = f^*(u_1) + O(s^2)$ .
- (o) Let  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}$ , and  $F, G \rightarrow Y$  be vector bundles, and  $t \in \Gamma^\infty(F)$  with  $f^*(t) = O(s)$ , and  $\Lambda_1, \Lambda_2 : G \rightarrow \mathcal{T}_g Z$  with  $\Lambda_2 = \Lambda_1 + O(t)$  be as in (ii). Then  $f^*(\Lambda_2) = f^*(\Lambda_1) + O(s)$  as in (ii), where  $f^*(\Lambda_1), f^*(\Lambda_2) : f^*(G) \rightarrow \mathcal{T}_{g \circ f} Z$  are as in §3.3.4(g).
- (p) Suppose  $f : X \rightarrow Y$  and  $g, h : Y \rightarrow Z$  are morphisms in  $\mathbf{Man}$ , and  $F \rightarrow Y$  is a vector bundle, and  $t \in \Gamma^\infty(F)$  with  $f^*(t) = O(s)$ .  
If  $h = g + O(t)$  as in (iii) then  $h \circ f = g \circ f + O(s)$ .  
If  $h = g + \Lambda \circ t + O(t^2)$  as in (vii) for  $\Lambda : F \rightarrow \mathcal{T}_g Z$ , and  $\theta : E \rightarrow f^*(F)$  is a morphism with  $\theta \circ s = f^*(t) + O(s^2)$  as in (i), then

$$h \circ f = g \circ f + [f^*(\Lambda) \circ \theta] \circ s + O(s^2),$$

where  $f^*(\Lambda) \circ \theta : E \rightarrow \mathcal{T}_{g \circ f} Z$  is as in §3.3.4(d),(g).



- (q) Let  $f : X \rightarrow Y$ ,  $g, h : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}$ , and  $F, G \rightarrow Y$ ,  $H \rightarrow Z$  be vector bundles, and  $t \in \Gamma^\infty(F)$  with  $f^*(t) = O(s)$  and  $h = g + O(t)$ , and  $\theta_1 : G \rightarrow g^*(H)$ ,  $\theta_2 : G \rightarrow h^*(H)$  with  $\theta_2 = \theta_1 + O(t)$  be as in (iv). Then  $f^*(\theta_2) = f^*(\theta_1) + O(s)$  as in (iv).
- (r) Let  $f : X \rightarrow Y$ ,  $g, h : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}$ , and  $F, G \rightarrow Y$  be vector bundles, and  $t \in \Gamma^\infty(F)$  with  $f^*(t) = O(s)$ ,  $h = g + O(t)$ , and  $\Lambda_1 : G \rightarrow \mathcal{T}_g Z$ ,  $\Lambda_2 : G \rightarrow \mathcal{T}_h Z$  with  $\Lambda_2 = \Lambda_1 + O(t)$  be as in (v). Then  $f^*(\Lambda_2) = f^*(\Lambda_1) + O(s)$  as in (v), where  $f^*(\Lambda_1) : f^*(G) \rightarrow \mathcal{T}_{g \circ f} Z$  and  $f^*(\Lambda_2) : f^*(G) \rightarrow \mathcal{T}_{h \circ f} Z$  are as in §3.3.4(g).
- (s) Let  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}$ , and  $F, G \rightarrow Y$ ,  $H \rightarrow Z$  be vector bundles, and  $t \in \Gamma^\infty(F)$ ,  $u \in \Gamma^\infty(H)$  with  $f^*(t) = O(s)$ ,  $g^*(u) = O(t)$  as in (i), and  $\Lambda : G \rightarrow \mathcal{T}_g Z$ ,  $\theta : G \rightarrow g^*(H)$  with  $\theta = g^*(du) \circ \Lambda + O(t)$  be as in (vi). Then  $f^*(\theta) = (g \circ f)^*(du) \circ f^*(\Lambda) + O(s)$  as in (vi), where  $f^*(\Lambda) : f^*(G) \rightarrow \mathcal{T}_{g \circ f} Z$  is as in §3.3.4(g).
- (t) Let  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}$ , and  $F \rightarrow Y$  be a vector bundle, and  $\Lambda_1, \Lambda_2 : F \rightarrow \mathcal{T}_f Y$  with  $\Lambda_2 = \Lambda_1 + O(s)$  be as in (ii). Then  $\mathcal{T}g \circ \Lambda_2 = \mathcal{T}g \circ \Lambda_1 + O(s)$  as in (ii), where  $\mathcal{T}g \circ \Lambda_1, \mathcal{T}g \circ \Lambda_2 : F \rightarrow \mathcal{T}_{g \circ f} Z$  are as in §3.3.4(c),(d).
- (u) Let  $f, g : X \rightarrow Y$ ,  $h : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}$ . If  $g = f + O(s)$  as in (iii) then  $h \circ g = h \circ f + O(s)$ . If  $g = f + \Lambda \circ s + O(s^2)$  as in (vii) for  $\Lambda : E \rightarrow \mathcal{T}_f Y$ , then  $h \circ g = h \circ f + [\mathcal{T}h \circ \Lambda] \circ s + O(s^2)$ , where  $\mathcal{T}h \circ \Lambda : E \rightarrow \mathcal{T}_{h \circ f} Z$  is as in §3.3.4(c),(d).
- (v) Let  $f, g : X \rightarrow Y$ ,  $h : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}$  with  $g = f + O(s)$  as in (iii), so that  $h \circ g = h \circ f + O(s)$  by (u). Suppose  $F \rightarrow X$  is a vector bundle, and  $\Lambda_1 : F \rightarrow \mathcal{T}_f Y$ ,  $\Lambda_2 : F \rightarrow \mathcal{T}_g Y$  are morphisms with  $\Lambda_2 = \Lambda_1 + O(s)$  as in (v). Then  $\mathcal{T}h \circ \Lambda_2 = \mathcal{T}h \circ \Lambda_1 + O(s)$  as in (v), where  $\mathcal{T}h \circ \Lambda_1 : E \rightarrow \mathcal{T}_{h \circ f} Z$  and  $\mathcal{T}h \circ \Lambda_2 : E \rightarrow \mathcal{T}_{h \circ g} Z$  are as in §3.3.4(c),(d).

### 3.3.6 Discrete properties of morphisms in $\mathbf{Man}$

Section B.6 defines a condition for classes of morphisms in  $\mathbf{Man}$  to lift nicely to classes of (1-)morphisms in  $\mathbf{mKur}$ ,  $\mu\mathbf{Kur}$ ,  $\mathbf{Kur}$  in Chapters 4–6.

**Definition 3.18.** Let  $\mathbf{P}$  be a property of morphisms in  $\mathbf{Man}$ , so that for any morphism  $f : X \rightarrow Y$  in  $\mathbf{Man}$ , either  $f$  is  $\mathbf{P}$ , or  $f$  is not  $\mathbf{P}$ . For example, if  $\mathbf{Man}$  is  $\mathbf{Man}^c$  from §2.1, then  $\mathbf{P}$  could be interior, or b-normal.

We call  $\mathbf{P}$  a *discrete* property of morphisms in  $\mathbf{Man}$  if:

- (i) All diffeomorphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}$  are  $\mathbf{P}$ .
- (ii) All open submanifolds  $i : U \hookrightarrow X$  in  $\mathbf{Man}$  are  $\mathbf{P}$ .
- (iii) If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  in  $\mathbf{Man}$  are  $\mathbf{P}$  then  $g \circ f : X \rightarrow Z$  is  $\mathbf{P}$ .

- (iv) For a morphism  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  to be  $\mathbf{P}$  is a *local property on  $X$* , in the sense that if we can cover  $X$  by open submanifolds  $i : U \hookrightarrow X$  such that  $f \circ i : U \rightarrow Y$  is  $\mathbf{P}$ , then  $f$  is  $\mathbf{P}$ .

Some notation: if  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  and  $S \subseteq X_{\text{top}}$  then we say that  $f$  is  $\mathbf{P}$  near  $S$  if there exists an open submanifold  $i : U \hookrightarrow X$  such that  $S \subseteq U_{\text{top}} \subseteq X_{\text{top}}$  and  $f \circ i : U \rightarrow Y$  is  $\mathbf{P}$ . This is a well behaved notion as  $\mathbf{P}$  is a local property, e.g.  $f$  is  $\mathbf{P}$  if and only if  $f$  is  $\mathbf{P}$  near each  $x \in X_{\text{top}}$ .

- (v) All morphisms in  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$  are  $\mathbf{P}$ .
- (vi) Suppose  $f : X \times \mathbb{R} \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$ . If  $f$  is  $\mathbf{P}$  near  $X_{\text{top}} \times \{0\}$  in  $X_{\text{top}} \times \mathbb{R}$ , then  $f$  is  $\mathbf{P}$ .
- (vii) Suppose  $E \rightarrow X$  is a vector bundle in  $\dot{\mathbf{Man}}$ , and  $s \in \Gamma^\infty(E)$ , so that  $s_{\text{top}}^{-1}(0) \subseteq X_{\text{top}}$ , and  $f, g : X \rightarrow Y$  are morphisms in  $\dot{\mathbf{Man}}$  with  $g = f + O(s)$  in the sense of Definition 3.15(iii). Then  $f$  is  $\mathbf{P}$  near  $s_{\text{top}}^{-1}(0)$  if and only if  $g$  is  $\mathbf{P}$  near  $s_{\text{top}}^{-1}(0)$ .
- (viii) Suppose we are given a diagram in  $\dot{\mathbf{Man}}$ :

$$\begin{array}{ccccc}
 U' \hookrightarrow & \xrightarrow{\quad} & U \hookrightarrow & \xrightarrow{\quad} & X \\
 \downarrow f' & \nearrow i' & \downarrow f & \nearrow i & \\
 V' \hookrightarrow & \xrightarrow{\quad} & V \hookrightarrow & \xrightarrow{\quad} & Y \\
 \uparrow g' & \searrow j' & \uparrow g & \searrow j & 
 \end{array} \tag{3.6}$$

where  $i, i', j, j'$  are open submanifolds in  $\dot{\mathbf{Man}}$ , and  $f \circ i' = j \circ f' : U' \rightarrow Y$ ,  $g \circ j' = i \circ g' : V' \rightarrow X$ , and we are given points  $x \in U'_{\text{top}} \subseteq U_{\text{top}} \subseteq X_{\text{top}}$  and  $y \in V'_{\text{top}} \subseteq V_{\text{top}} \subseteq Y_{\text{top}}$  such that  $f_{\text{top}}(x) = y$  and  $g_{\text{top}}(y) = x$ . Suppose too that there are vector bundles  $E \rightarrow U'$  and  $F \rightarrow V'$  and sections  $s \in \Gamma^\infty(E)$ ,  $t \in \Gamma^\infty(F)$  with  $s(x) = t(y) = 0$ , such that  $g \circ f' = i \circ i' + O(s)$  on  $U'$  and  $f \circ g' = j \circ j' + O(t)$  on  $V'$  in the sense of Definition 3.15(iii). Then  $f, f'$  are  $\mathbf{P}$  near  $x$ , and  $g, g'$  are  $\mathbf{P}$  near  $y$ .

Parts (i),(iii) imply that we have a subcategory  $\dot{\mathbf{Man}}_{\mathbf{P}} \subseteq \dot{\mathbf{Man}}$  containing all objects  $X, Y$  in  $\dot{\mathbf{Man}}$ , and all morphisms  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  which are  $\mathbf{P}$ .

**Example 3.19.** (a) When  $\dot{\mathbf{Man}}$  is  $\mathbf{Man}^c$  from §2.1, the following properties of morphisms in  $\mathbf{Man}^c$  are discrete: interior, b-normal, strongly smooth, simple.

(b) When  $\dot{\mathbf{Man}}$  is  $\mathbf{Man}^{gc}$  from §2.4.1, the following properties of morphisms in  $\mathbf{Man}^{gc}$  are discrete: interior, b-normal, simple.

(c) When  $\dot{\mathbf{Man}}$  is  $\mathbf{Man}^{ac}$  or  $\mathbf{Man}^{c,ac}$  from §2.4.2, the following properties of morphisms in  $\dot{\mathbf{Man}}$  are discrete: interior, b-normal, strongly a-smooth, simple.

### 3.3.7 Comparing different categories $\dot{\mathbf{Man}}$

In §B.7 we discuss how to compare different categories  $\dot{\mathbf{Man}}, \ddot{\mathbf{Man}}$  satisfying Assumptions 3.1–3.7. Here is Condition B.40:

**Condition 3.20.** Suppose  $\dot{\mathbf{Man}}$ ,  $\ddot{\mathbf{Man}}$  satisfy Assumptions 3.1–3.7, and  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} : \dot{\mathbf{Man}} \rightarrow \ddot{\mathbf{Man}}$  is a functor in a commutative diagram

$$\begin{array}{ccccc}
 & & \dot{\mathbf{Man}} & \xrightarrow{F_{\dot{\mathbf{Man}}}^{\text{Top}}} & \mathbf{Top}, \\
 \mathbf{Man} & \xrightarrow{\subset} & \downarrow F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} & \searrow & \\
 & \xrightarrow{\subset} & \ddot{\mathbf{Man}} & \xrightarrow{F_{\ddot{\mathbf{Man}}}^{\text{Top}}} & 
 \end{array} \quad (3.7)$$

where the functors  $F_{\dot{\mathbf{Man}}}^{\text{Top}}, F_{\ddot{\mathbf{Man}}}^{\text{Top}}$  are as in Assumption 3.2, and the inclusions  $\mathbf{Man} \hookrightarrow \dot{\mathbf{Man}}, \ddot{\mathbf{Man}}$  as in Assumption 3.4. We require  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$  to take products, disjoint unions, and open submanifolds in  $\dot{\mathbf{Man}}$  to products, disjoint unions, and open submanifolds in  $\ddot{\mathbf{Man}}$ , and to preserve dimensions.

Note that  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$  must be faithful (injective on morphisms), as  $F_{\ddot{\mathbf{Man}}}^{\text{Top}}$  is.

In §B.7 we explain that given a functor  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$  satisfying Condition 3.20, all the geometry of §B.1–§B.5 in  $\dot{\mathbf{Man}}$  from §3.3.1–§3.3.5 maps functorially to its analogue in  $\ddot{\mathbf{Man}}$ . We chose the definitions in Appendix B to ensure this. For example, if  $\dot{X} \in \dot{\mathbf{Man}}$  and  $\ddot{X} = F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}(\dot{X})$  there are natural sheaf morphisms

$$\mathcal{O}_{\dot{X}} \rightarrow \mathcal{O}_{\ddot{X}}, \quad \mathcal{T}\dot{X} \rightarrow \mathcal{T}\ddot{X}, \quad \mathcal{T}^*\dot{X} \rightarrow \mathcal{T}^*\ddot{X}$$

on the common topological space  $\dot{X}_{\text{top}} = \ddot{X}_{\text{top}}$ .

Proposition B.43 discusses inclusions of subcategories  $\dot{\mathbf{Man}} \subseteq \ddot{\mathbf{Man}}$ :

**Proposition 3.21.** *Suppose  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} : \dot{\mathbf{Man}} \hookrightarrow \ddot{\mathbf{Man}}$  is an inclusion of subcategories satisfying Condition 3.20, and either:*

- (a) *All objects of  $\ddot{\mathbf{Man}}$  are objects of  $\dot{\mathbf{Man}}$ , and all morphisms  $f : X \rightarrow \mathbb{R}$  in  $\ddot{\mathbf{Man}}$  are morphisms in  $\dot{\mathbf{Man}}$ , and for a morphism  $f : X \rightarrow Y$  in  $\ddot{\mathbf{Man}}$  to lie in  $\dot{\mathbf{Man}}$  is a **discrete** condition, as in Definition 3.18; or*
- (b)  *$\dot{\mathbf{Man}}$  is a full subcategory of  $\ddot{\mathbf{Man}}$  closed under isomorphisms in  $\ddot{\mathbf{Man}}$ .*

*Then all the material of §3.3.1–§3.3.5 for  $\dot{\mathbf{Man}}$  is exactly the same if computed in  $\dot{\mathbf{Man}}$  or  $\ddot{\mathbf{Man}}$ , and the functorial maps from geometry in  $\dot{\mathbf{Man}}$  to geometry in  $\ddot{\mathbf{Man}}$  discussed above are the identity maps. For example, if  $f : X \rightarrow Y$  lies in  $\dot{\mathbf{Man}} \subseteq \ddot{\mathbf{Man}}$  then the relative tangent sheaves  $(\mathcal{T}_f Y)_{\dot{\mathbf{Man}}}, (\mathcal{T}_f Y)_{\ddot{\mathbf{Man}}}$  on  $X_{\text{top}}$  from §3.3.4 computed in  $\dot{\mathbf{Man}}$  and  $\ddot{\mathbf{Man}}$  are not just canonically isomorphic, but actually the same sheaf.*

For example, Figure 3.1 gives a diagram of functors from Chapter 2 which satisfy Condition 3.20. Arrows ‘ $\rightarrow$ ’ are inclusions of subcategories satisfying Proposition 3.21(a) or (b). Arrows marked ‘ $\star$ ’ involve the non-obvious functor  $F_{\dot{\mathbf{Man}}_{\text{st}}^{\text{c,ac}}}^{\ddot{\mathbf{Man}}_{\text{st}}^{\text{c}}} : \dot{\mathbf{Man}}_{\text{st}}^{\text{c,ac}} \rightarrow \ddot{\mathbf{Man}}_{\text{st}}^{\text{c}}$  from §2.4.2; some cycles in Figure 3.1 including arrows ‘ $\star$ ’ do not commute.

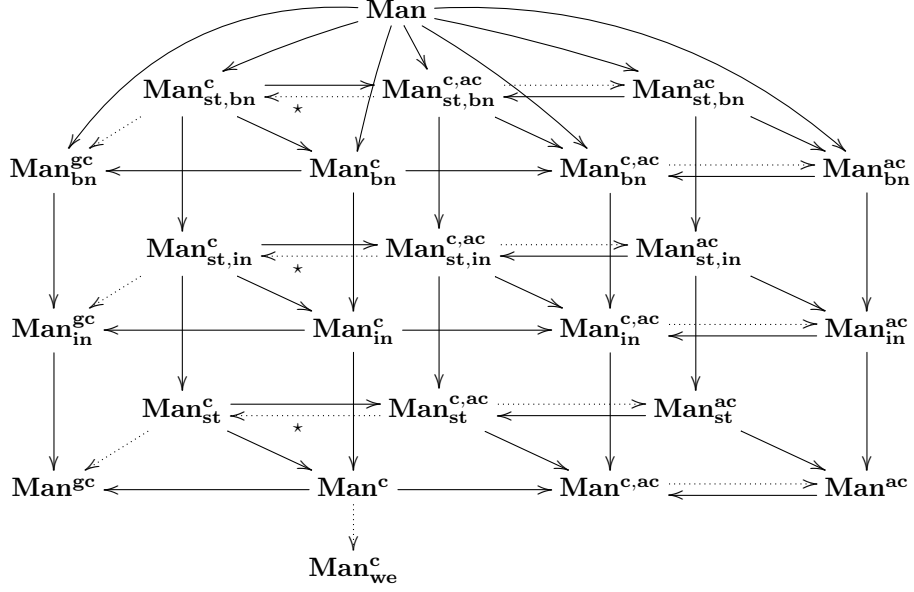


Figure 3.1: Functors satisfying Condition 3.20.  
Arrows ‘ $\rightarrow$ ’ satisfy Proposition 3.21(a) or (b).

Chapters 4–6 will associate (2-)categories  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ ,  $\mu\check{\mathbf{K}}\mathbf{ur}$ ,  $\check{\mathbf{K}}\mathbf{ur}$  of (m- or  $\mu$ -) Kuranishi spaces to each such category  $\check{\mathbf{M}}\mathbf{an}$ . When Condition 3.20 holds, by mapping geometry in  $\check{\mathbf{M}}\mathbf{an}$  to  $\mathbf{M}\mathbf{an}$  as above, we will define natural (2)-functors

$$F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}} : \mathbf{m}\check{\mathbf{K}}\mathbf{ur} \longrightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}, \quad F_{\mu\check{\mathbf{K}}\mathbf{ur}}^{\mu\check{\mathbf{K}}\mathbf{ur}} : \mu\check{\mathbf{K}}\mathbf{ur} \longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}, \quad F_{\check{\mathbf{K}}\mathbf{ur}}^{\check{\mathbf{K}}\mathbf{ur}} : \check{\mathbf{K}}\mathbf{ur} \longrightarrow \check{\mathbf{K}}\mathbf{ur}$$

between the (2-)categories  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ ,  $\mu\check{\mathbf{K}}\mathbf{ur}$ ,  $\check{\mathbf{K}}\mathbf{ur}$  and  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ ,  $\mu\check{\mathbf{K}}\mathbf{ur}$ ,  $\check{\mathbf{K}}\mathbf{ur}$  associated to  $\check{\mathbf{M}}\mathbf{an}$  and  $\mathbf{M}\mathbf{an}$ . When Proposition 3.21(a) or (b) holds, these are inclusions of (2)-subcategories.

### 3.4 Extension to ‘manifolds with corners’

The assumptions of §3.1 include many categories of manifolds with corners, as in Example 3.8(ii), giving corresponding (2-)categories of (m- or  $\mu$ -)Kuranishi spaces in Chapters 4–6. So to study ‘(m- or  $\mu$ -)Kuranishi spaces with corners’ we do not need to start again. Instead, we give extra assumptions about special features of manifolds with corners: boundaries  $\partial X$ ,  $k$ -corners  $C_k(X)$ , and the corner functor  $C$ . We change notation from  $\check{\mathbf{M}}\mathbf{an}$  in §3.1–§3.3 to  $\mathbf{M}\mathbf{an}^c$ .

#### 3.4.1 Core assumptions on ‘manifolds with corners’

**Assumption 3.22.** (a) We are given a category  $\mathbf{M}\mathbf{an}^c$ . For simplicity, objects  $X$  in  $\mathbf{M}\mathbf{an}^c$  will be called *manifolds with corners*, and morphisms  $f : X \rightarrow Y$  in

$\dot{\mathbf{Man}}^c$  will be called *smooth maps*.

(b) The category  $\dot{\mathbf{Man}}^c$  satisfies Assumptions 3.1–3.7 with  $\dot{\mathbf{Man}}^c$  in place of  $\mathbf{Man}$ . The functor in Assumption 3.2 will be written  $F_{\dot{\mathbf{Man}}^c}^{\mathbf{Top}} : \dot{\mathbf{Man}}^c \rightarrow \mathbf{Top}$ .

(c) We are given a class of morphisms in  $\dot{\mathbf{Man}}^c$  called *simple maps*. To be simple is a discrete property in the sense of §3.3.6. We write  $\dot{\mathbf{Man}}_{\text{si}}^c \subseteq \dot{\mathbf{Man}}^c$  for the subcategory of  $\dot{\mathbf{Man}}^c$  with all objects, and simple morphisms.

(d) For each object  $X$  in  $\dot{\mathbf{Man}}^c$  and each  $k = 0, \dots, \dim X$ , we are given an object  $C_k(X)$  in  $\dot{\mathbf{Man}}^c$  called the *k-corners of X* with  $\dim C_k(X) = \dim X - k$ , and a morphism  $\Pi_k : C_k(X) \rightarrow X$  in  $\dot{\mathbf{Man}}^c$ , such that  $\Pi_{k,\text{top}} : C_k(X)_{\text{top}} \rightarrow X_{\text{top}}$  is proper, with finite fibres  $\Pi_{k,\text{top}}^{-1}(x)$ ,  $x \in X_{\text{top}}$ .

We write  $C_k(X) = \emptyset$  for  $k > \dim X$ .

When  $k = 0$ ,  $\Pi_0 : C_0(X) \rightarrow X$  is a diffeomorphism in  $\dot{\mathbf{Man}}^c$ , so we can identify  $C_0(X)$  with  $X$ . When  $k = 1$  we write  $\partial X = C_1(X)$  and call  $\partial X$  the *boundary of X*. We also write  $i_X : \partial X \rightarrow X$  for  $\Pi_1 : C_1(X) \rightarrow X$ .

(e) If  $X \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}^c$  then  $C_k(X) = \emptyset$  for  $k > 0$ , so that  $\partial X = \emptyset$ .

(f) For all  $X$  in  $\dot{\mathbf{Man}}^c$  and  $k, l \geq 0$  with  $k + l \leq \dim X$  there is a natural morphism  $I_{k,l} : C_k(C_l(X)) \rightarrow C_{k+l}(X)$  such that the following commutes:

$$\begin{array}{ccc} C_k(C_l(X)) & \xrightarrow{\quad \Pi_k \quad} & C_l(X) \\ \downarrow I_{k,l} & & \downarrow \Pi_l \\ C_{k+l}(X) & \xrightarrow{\quad \Pi_{k+l} \quad} & X. \end{array}$$

Also  $I_{k,l}$  is étale, that is, a local diffeomorphism in  $\dot{\mathbf{Man}}^c$ , and surjective.

(g) As for  $\check{\mathbf{Man}}^c$  in Definition 2.8, construct a category  $\check{\mathbf{Man}}^c$  from  $\dot{\mathbf{Man}}^c$ , such that  $\check{\mathbf{Man}}^c$  has objects  $\vec{X} = \coprod_{m=0}^{\infty} X_m$ , for  $X_m$  an object of  $\dot{\mathbf{Man}}^c$  with  $\dim X_m = m$ , allowing  $X_m = \emptyset$ , and  $\check{\mathbf{Man}}^c$  has morphisms

$$\vec{f} = \coprod_{m,n=0}^{\infty} f_{mn} : \vec{X} = \coprod_{m=0}^{\infty} X_m \longrightarrow \vec{Y} = \coprod_{n=0}^{\infty} Y_n,$$

where for each  $m = 0, 1, \dots$  we have a disjoint union  $X_m = \coprod_{n=0}^{\infty} X_{mn}$  in  $\dot{\mathbf{Man}}^c$ , with  $X_{mn}$  open and closed in  $X_m$ , allowing  $X_{mn} = \emptyset$ , and  $f_{mn} : X_{mn} \rightarrow Y_n$  is a morphism in  $\dot{\mathbf{Man}}^c$ . Composition and identities are defined in the obvious way. We write  $\check{\mathbf{Man}}_{\text{si}}^c$  for the subcategory of  $\check{\mathbf{Man}}^c$  in which the  $f_{mn}$  are simple.

There is an obvious full and faithful *inclusion functor*  $\text{Inc} : \dot{\mathbf{Man}}^c \rightarrow \check{\mathbf{Man}}^c$ , which maps  $X$  to  $\coprod_{m=0}^{\infty} X_m$  with  $X_m = X$  if  $m = \dim X$  and  $X_m = \emptyset$  otherwise.

Then we are given a functor  $C : \dot{\mathbf{Man}}^c \rightarrow \check{\mathbf{Man}}^c$  called the *corner functor*, which on objects acts as  $C(X) = \coprod_{k=0}^{\dim X} C_k(X)$ , for  $C_k(X)$  the *k-corners of X* as in (d). The morphisms  $\Pi_k : C_k(X) \rightarrow X$  in  $\dot{\mathbf{Man}}^c$  for  $k = 0, \dots, \dim X$  from (d) give a morphism  $\Pi = \coprod_{k \geq 0} \Pi_k : C(X) \rightarrow \text{Inc}(X)$  in  $\check{\mathbf{Man}}^c$ , and over all  $X$  these comprise a natural transformation  $\Pi : C \Rightarrow \text{Inc}$  of functors  $\dot{\mathbf{Man}}^c \rightarrow \check{\mathbf{Man}}^c$ . That is, we have  $\Pi \circ C(f) = f \circ \Pi : C(X) \rightarrow Y$  for all morphisms  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}^c$ .

We may extend  $C$  to a functor  $\check{C} : \check{\mathbf{Man}}^c \rightarrow \check{\mathbf{Man}}^c$  in the obvious way. Then the morphisms  $I_{k,l}$  in (f) induce a natural transformation  $I : \check{C} \circ C \Rightarrow C$  of functors  $\check{\mathbf{Man}}^c \rightarrow \check{\mathbf{Man}}^c$ .

(h) For all  $X, Y \in \check{\mathbf{Man}}^c$  and  $k \geq 0$  there are natural diffeomorphisms

$$C_k(X \times Y) \cong \coprod_{i,j \geq 0, i+j=k} C_i(X) \times C_j(Y).$$

By part (g) these combine to give a diffeomorphism (isomorphism) in  $\check{\mathbf{Man}}^c$

$$C(X \times Y) \cong C(X) \times C(Y). \quad (3.8)$$

The corner functor  $C$  in (g) *preserves products and direct products*. That is, if  $f : W \rightarrow Y$ ,  $g : X \rightarrow Y$ ,  $h : X \rightarrow Z$  are smooth then the following commute

$$\begin{array}{ccc} C(W \times X) & \xrightarrow{C(f \times h)} & C(Y \times Z) \\ \downarrow \cong & C(f) \times C(h) & \cong \downarrow \\ C(W) \times C(X) & \longrightarrow & C(Y) \times C(Z), \end{array} \quad \begin{array}{ccc} & & C(Y \times Z) \\ & \xrightarrow{C((g,h))} & \downarrow \cong \\ C(X) & \xrightarrow{(C(g), C(h))} & C(Y) \times C(Z), \end{array}$$

where the columns are the isomorphisms (3.8).

(i) Suppose  $f : X \rightarrow Y$  is a simple map in  $\check{\mathbf{Man}}^c$ . Then  $C(f) : C(X) \rightarrow C(Y)$  in (g) lies in  $\check{\mathbf{Man}}_{\text{si}}^c$  and maps  $C_k(X) \rightarrow C_k(Y)$  for all  $k = 0, \dots, \dim X$ . Hence we have functors  $C_k : \check{\mathbf{Man}}_{\text{si}}^c \rightarrow \check{\mathbf{Man}}_{\text{si}}^c$  for  $k = 0, 1, \dots$ , called the *k-corner functors*, which on objects map  $X$  to  $C_k(X)$ , and on morphisms map  $f : X \rightarrow Y$  to the component  $C_k(f)$  of  $C(f) : C(X) \rightarrow C(Y)$  mapping  $C_k(X) \rightarrow C_k(Y)$ . We also write  $\partial = C_1 : \check{\mathbf{Man}}_{\text{si}}^c \rightarrow \check{\mathbf{Man}}_{\text{si}}^c$ , and call it the *boundary functor*.

(j) Let  $i : U \hookrightarrow X$  be an open submanifold in  $\check{\mathbf{Man}}^c$ . Then  $i$  is simple by Definition 3.18(ii), as simple is a discrete property by (c), so we have morphisms  $C_k(i) : C_k(U) \rightarrow C_k(X)$  in  $\check{\mathbf{Man}}^c$  for  $k = 0, \dots, \dim X$  by (i). We require these  $C_k(i)$  to be open submanifolds in  $\check{\mathbf{Man}}^c$ , with topological spaces  $C_k(U)_{\text{top}} = \Pi_{k, \text{top}}^{-1}(U_{\text{top}}) \subseteq C_k(X)_{\text{top}}$ .

(k) Let  $f : X \rightarrow Y$  be a morphism in  $\check{\mathbf{Man}}^c$  with  $\partial X = \partial Y = \emptyset$ . Then  $f$  is simple.

**Remark 3.23.** For the corner functor  $C : \check{\mathbf{Man}}^c \rightarrow \check{\mathbf{Man}}^c$  in Assumption 3.22(g), we shall be interested in cases in which there is a discrete property  $P$  of morphisms in  $\check{\mathbf{Man}}^c$  such that  $C$  maps to the subcategory  $\check{\mathbf{Man}}_P^c$  of  $\check{\mathbf{Man}}^c$  whose morphisms are  $P$ . For example, for  $\mathbf{Man}^c$  in §2.2 we have  $C : \mathbf{Man}^c \rightarrow \check{\mathbf{Man}}_{\text{in}}^c \subseteq \check{\mathbf{Man}}^c$ , with  $P$  interior morphisms in  $\mathbf{Man}^c$ .

### 3.4.2 Examples of categories satisfying the assumptions

Here are some examples satisfying Assumption 3.22:

**Example 3.24.** (a) The standard example is to take  $\check{\mathbf{Man}}^c$  to be  $\mathbf{Man}^c$  from §2.1, and to define simple maps as in §2.1, and  $k$ -corners  $C_k(X)$ , projections

$\Pi_k : C_k(X) \rightarrow X$ , and the corner functor  $C : \mathbf{Man}^c \rightarrow \check{\mathbf{Man}}^c$  from Definition 2.9 as in §2.2. Note that  $C$  maps to  $\check{\mathbf{Man}}_{\text{in}}^c \subset \check{\mathbf{Man}}^c$ , as in Remark 3.23.

(b) We can also take  $\check{\mathbf{Man}}^c$  to be  $\mathbf{Man}^c$  and simple maps,  $C_k(X), \Pi_k$  as in (a), but use the second corner functor  $C' : \mathbf{Man}^c \rightarrow \check{\mathbf{Man}}^c$  from Definition 2.11.

(c) We can take  $\check{\mathbf{Man}}^c$  to be  $\mathbf{Man}_{\text{st}}^c$  from §2.1, with simple maps,  $C_k(X), \Pi_k$  as in §2.1–§2.2, and either corner functor  $C : \mathbf{Man}_{\text{st}}^c \rightarrow \check{\mathbf{Man}}_{\text{st}, \text{in}}^c \subset \check{\mathbf{Man}}_{\text{st}}^c$  or  $C' : \mathbf{Man}_{\text{st}}^c \rightarrow \check{\mathbf{Man}}_{\text{st}}^c$ .

(d) We can take  $\check{\mathbf{Man}}^c = \mathbf{Man}^{\text{ac}}$  with simple maps,  $C_k(X), \Pi_k$  as in §2.4.2, and either  $C : \mathbf{Man}^{\text{ac}} \rightarrow \check{\mathbf{Man}}_{\text{in}}^{\text{ac}} \subset \check{\mathbf{Man}}^{\text{ac}}$  or  $C' : \mathbf{Man}^{\text{ac}} \rightarrow \check{\mathbf{Man}}^{\text{ac}}$ .

(e) We can take  $\check{\mathbf{Man}}^c = \mathbf{Man}_{\text{st}}^{\text{ac}}$  with simple maps,  $C_k(X), \Pi_k$  as in §2.4.2, and either  $C : \mathbf{Man}_{\text{st}}^{\text{ac}} \rightarrow \check{\mathbf{Man}}_{\text{st}, \text{in}}^{\text{ac}} \subset \check{\mathbf{Man}}_{\text{st}}^{\text{ac}}$  or  $C' : \mathbf{Man}_{\text{st}}^{\text{ac}} \rightarrow \check{\mathbf{Man}}_{\text{st}}^{\text{ac}}$ .

(f) We can take  $\check{\mathbf{Man}}^c = \mathbf{Man}^{c, \text{ac}}$  with simple maps,  $C_k(X), \Pi_k$  as in §2.4.2, and either  $C : \mathbf{Man}^{c, \text{ac}} \rightarrow \check{\mathbf{Man}}_{\text{in}}^{c, \text{ac}} \subset \check{\mathbf{Man}}^{c, \text{ac}}$  or  $C' : \mathbf{Man}^{c, \text{ac}} \rightarrow \check{\mathbf{Man}}^{c, \text{ac}}$ .

(g) We can take  $\check{\mathbf{Man}}^c = \mathbf{Man}_{\text{st}}^{c, \text{ac}}$  with simple maps,  $C_k(X), \Pi_k$  as in §2.4.2, and either  $C : \mathbf{Man}_{\text{st}}^{c, \text{ac}} \rightarrow \check{\mathbf{Man}}_{\text{st}, \text{in}}^{c, \text{ac}} \subset \check{\mathbf{Man}}_{\text{st}}^{c, \text{ac}}$  or  $C' : \mathbf{Man}_{\text{st}}^{c, \text{ac}} \rightarrow \check{\mathbf{Man}}_{\text{st}}^{c, \text{ac}}$ .

(h) We can take  $\check{\mathbf{Man}}^c = \mathbf{Man}^{\text{gc}}$  with simple maps,  $C_k(X), \Pi_k$  and  $C : \mathbf{Man}^{\text{gc}} \rightarrow \check{\mathbf{Man}}_{\text{in}}^{\text{gc}} \subset \check{\mathbf{Man}}^{\text{gc}}$  as in §2.4.1. The second corner functor  $C'$  does not work on  $\mathbf{Man}^{\text{gc}}$ .

(i) A trivial example: if  $\check{\mathbf{Man}}$  satisfies Assumptions 3.1–3.7, such as  $\check{\mathbf{Man}} = \mathbf{Man}$ , we can set  $\check{\mathbf{Man}}^c = \check{\mathbf{Man}}$ , define all morphisms in  $\check{\mathbf{Man}}^c$  to be simple, and for each  $X$  in  $\check{\mathbf{Man}}^c$  we put  $C_0(X) = X$ ,  $\partial X = \emptyset$  and  $C_k(X) = \emptyset$  for  $k > 0$ . Then Assumption 3.22 holds. This allows us for example to take  $\check{\mathbf{Man}}^c = \mathbf{Man}^c$ , but to have  $\partial X = \emptyset$  and  $C_k(X) = \emptyset$  for  $k > 0$ , for all  $X$  in  $\mathbf{Man}^c$ .

Note that Example 3.24 does not include the category  $\mathbf{Man}_{\text{we}}^c$  of manifolds with corners and weakly smooth maps from §2.1. This is because Lemma 2.5 is false for  $\mathbf{Man}_{\text{we}}^c$ , so the corner functor  $C$  in §2.2 cannot be defined for  $\mathbf{Man}_{\text{we}}^c$ , and Assumption 3.22 fails.

### 3.4.3 Pulling back morphisms $\theta : E \rightarrow \mathcal{T}_f Y$ by $\Pi : C(X) \rightarrow X$

Suppose throughout this section that  $\check{\mathbf{Man}}^c$  satisfies Assumption 3.22 in §3.4.1. In §B.8.1, given a morphism  $\theta : E \rightarrow \mathcal{T}_f Y$  on  $X$  we define a ‘pullback’ morphism  $\Pi^\circ(\theta) : \Pi^*(E) \rightarrow \mathcal{T}_{C(f)} C(Y)$  on  $C(X)$ . This does not follow from the material of §3.3.1–§3.3.5, it is a new feature for manifolds with corners  $\check{\mathbf{Man}}^c$ .

**Definition 3.25.** Let  $f : X \rightarrow Y$  be a morphism in  $\check{\mathbf{Man}}^c$ , and  $E \rightarrow X$  be a vector bundle on  $X$ , and  $\theta : E \rightarrow \mathcal{T}_f Y$  be a morphism on  $X$  in the sense of §3.3.4 and §B.4.8. Then we have a morphism  $C(f) : C(X) \rightarrow C(Y)$  in  $\check{\mathbf{Man}}^c$ , and pulling back by  $\Pi : C(X) \rightarrow X$  gives a vector bundle  $\Pi^*(E) \rightarrow C(X)$ . Definition B.45 in §B.8.1 defines a morphism  $\Pi^\circ(\theta) : \Pi^*(E) \rightarrow \mathcal{T}_{C(f)} C(Y)$  on  $C(X)$ , in the sense of §3.3.4 and §B.4.8.

We think of  $\Pi^\circ(\theta)$  as a kind of pullback of  $\theta$  by  $\Pi : C(X) \rightarrow X$ .

We write the restriction  $\Pi^\circ(\theta)|_{C_k(X)}$  for  $k = 0, 1, \dots$  as  $\Pi_k^\circ(\theta)$ . Thus if  $f : X \rightarrow Y$  is simple, so that  $C(f)$  maps  $C_k(X) \rightarrow C_k(Y)$  by Assumption 3.22(i), we have morphisms  $\Pi_k^\circ(\theta) : \Pi_k^*(E) \rightarrow \mathcal{T}_{C_k(f)}C_k(Y)$  for  $k = 0, 1, \dots$ .

**Example 3.26.** Take  $\dot{\mathbf{Man}}^c = \mathbf{Man}^c$ , and let  $f : X \rightarrow Y$  be an interior map in  $\mathbf{Man}^c$ , and  $E \rightarrow X$  be a vector bundle. Then  $\mathcal{T}_f Y$  is the sheaf of sections of  $f^*({}^bTY) \rightarrow X$ , as in Example 3.13, so morphisms  $\theta : E \rightarrow \mathcal{T}_f Y$  correspond to vector bundle morphisms  $\tilde{\theta} : E \rightarrow f^*({}^bTY)$  on  $X$ . Then  $\Pi^\circ(\theta)$  corresponds to the composition of vector bundle morphisms on  $C(X)$

$$\Pi^*(E) \xrightarrow{\Pi^*(\tilde{\theta})} \Pi^* \circ f^*({}^bTY) = C(f)^* \circ \Pi^*({}^bTY) \xrightarrow{C(f)^*(I_Y^\diamond)} C(f)^*({}^bTC(Y)),$$

where  $I_Y^\diamond : \Pi^*({}^bTY) \rightarrow {}^bTC(Y)$  is as in (2.13).

Here is Theorem B.47, giving properties of the morphisms  $\Pi^\circ(\theta)$ :

**Theorem 3.27. (a)** *Let  $f : X \rightarrow Y$  be a morphism in  $\dot{\mathbf{Man}}^c$ , and  $E \rightarrow X$  be a vector bundle, and  $\theta : E \rightarrow \mathcal{T}_f Y$  be a morphism, in the sense of §3.3.4(d). Then the following diagram of sheaves on  $C(X)_{\text{top}}$  commutes:*

$$\begin{array}{ccc} \Pi^*(E) & \xrightarrow{\quad \Pi^\circ(\theta) \quad} & \mathcal{T}_{C(f)}C(Y) \\ \downarrow \Pi^*(\theta) & & \mathcal{T}\Pi \downarrow \\ \mathcal{T}_{f \circ \Pi}Y & \xlongequal{\quad} & \mathcal{T}_{\Pi \circ C(f)}Y, \end{array}$$

where  $\mathcal{T}\Pi$  and  $\Pi^*(\theta)$  are as in §3.3.4(c),(g).

**(b)** *Let  $f : X \rightarrow Y$  be a morphism in  $\dot{\mathbf{Man}}^c$ ,  $D, E \rightarrow X$  be vector bundles,  $\lambda : D \rightarrow E$  a vector bundle morphism, and  $\theta : E \rightarrow \mathcal{T}_f Y$  a morphism. Then*

$$\Pi^\circ(\theta \circ \lambda) = \Pi^\circ(\theta) \circ \Pi^*(\lambda) : \Pi^*(D) \longrightarrow \mathcal{T}_{C(f)}C(Y).$$

**(c)** *Let  $f : X \rightarrow Y, g : Y \rightarrow Z$  be morphisms in  $\dot{\mathbf{Man}}^c$ , and  $E \rightarrow X$  be a vector bundle, and  $\theta : E \rightarrow \mathcal{T}_f Y$  be a morphism. Then the following diagram of sheaves on  $C(X)_{\text{top}}$  commutes:*

$$\begin{array}{ccc} \Pi^*(E) & \xrightarrow{\quad \Pi^\circ(\theta) \quad} & \mathcal{T}_{C(f)}C(Y) \\ \downarrow \Pi^\circ(\mathcal{T}g \circ \theta) & & \mathcal{T}C(g) \downarrow \\ \mathcal{T}_{C(g \circ f)}C(Z) & \xlongequal{\quad} & \mathcal{T}_{C(g) \circ C(f)}C(Z). \end{array}$$

**(d)** *Let  $f : X \rightarrow Y, g : Y \rightarrow Z$  be morphisms in  $\dot{\mathbf{Man}}^c$ , and  $F \rightarrow Y$  be a vector bundle, and  $\phi : F \rightarrow \mathcal{T}_g Z$  be a morphism. Then*

$$\begin{aligned} C(f)^*(\Pi^\circ(\phi)) &= \Pi^\circ(f^*(\phi)) : C(f)^* \circ \Pi^*(F) = \Pi^* \circ f^*(F) \\ &\longrightarrow \mathcal{T}_{C(g) \circ C(f)}C(Z) = \mathcal{T}_{C(g \circ f)}C(Z). \end{aligned}$$



Here is Theorem B.48, which shows that the  $O(s), O(s^2)$  notation of Definition 3.15(i)–(vii) on  $X$  pulls back under  $\Pi : C(X) \rightarrow X$  to the corresponding  $O(\Pi(s)), O(\Pi(s)^2)$  notation, using  $\Pi^\circ$  to pull back morphisms  $\Lambda : E \rightarrow \mathcal{T}_f Y$ .

**Theorem 3.28.** *Let  $X$  be an object in  $\dot{\mathbf{Man}}^c$ , and  $E \rightarrow X$  be a vector bundle, and  $s \in \Gamma^\infty(E)$  be a section. Then:*

- (i) *Suppose  $F \rightarrow X$  is a vector bundle and  $t_1, t_2 \in \Gamma^\infty(F)$  with  $t_2 = t_1 + O(s)$  (or  $t_2 = t_1 + O(s^2)$ ) on  $X$  as in Definition 3.15(i). Then  $\Pi^*(t_2) = \Pi^*(t_1) + O(\Pi^*(s))$  (or  $\Pi^*(t_2) = \Pi^*(t_1) + O(\Pi^*(s)^2)$ ) on  $C(X)$ .*
- (ii) *Suppose  $F \rightarrow X$  is a vector bundle,  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}^c$ , and  $\Lambda_1, \Lambda_2 : F \rightarrow \mathcal{T}_f Y$  are morphisms with  $\Lambda_2 = \Lambda_1 + O(s)$  on  $X$  as in Definition 3.15(ii). Then Definition 3.25 gives morphisms  $\Pi^\circ(\Lambda_1), \Pi^\circ(\Lambda_2) : \Pi^*(F) \rightarrow \mathcal{T}_{C(f)} C(Y)$  on  $C(X)$ , which satisfy  $\Pi^\circ(\Lambda_2) = \Pi^\circ(\Lambda_1) + O(\Pi^*(s))$  on  $C(X)$ .*
- (iii) *Suppose  $f, g : X \rightarrow Y$  are morphisms in  $\dot{\mathbf{Man}}^c$  with  $g = f + O(s)$  on  $X$  as in Definition 3.15(iii). Then  $C(g) = C(f) + O(\Pi^*(s))$  on  $C(X)$ .*
- (iv) *Suppose  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  are in (iii), and  $F \rightarrow X, G \rightarrow Y$  are vector bundles, and  $\theta_1 : F \rightarrow f^*(G), \theta_2 : F \rightarrow g^*(G)$  are morphisms with  $\theta_2 = \theta_1 + O(s)$  on  $X$  as in Definition 3.15(iv). Then  $\Pi^*(\theta_2) = \Pi^*(\theta_1) + O(\Pi^*(s))$  on  $C(X)$ .*
- (v) *Suppose  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  are in (iii), and  $F \rightarrow X$  is a vector bundle, and  $\Lambda_1 : F \rightarrow \mathcal{T}_f Y, \Lambda_2 : F \rightarrow \mathcal{T}_g Y$  are morphisms with  $\Lambda_2 = \Lambda_1 + O(s)$  on  $X$  as in Definition 3.15(v). Then  $C(g) = C(f) + O(\Pi^*(s))$  on  $C(X)$  by (iii), and Definition 3.25 gives morphisms  $\Pi^\circ(\Lambda_1) : \Pi^*(F) \rightarrow \mathcal{T}_{C(f)} C(Y), \Pi^\circ(\Lambda_2) : \Pi^*(F) \rightarrow \mathcal{T}_{C(g)} C(Y)$ , which satisfy  $\Pi^\circ(\Lambda_2) = \Pi^\circ(\Lambda_1) + O(\Pi^*(s))$  on  $C(X)$ .*
- (vi) *Suppose  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}^c$ , and  $F \rightarrow X, G \rightarrow Y$  are vector bundles, and  $t \in \Gamma^\infty(G)$  with  $f^*(t) = O(s)$ , and  $\Lambda : F \rightarrow \mathcal{T}_f Y$  is a morphism, and  $\theta : F \rightarrow f^*(G)$  is a vector bundle morphism with  $\theta = f^*(dt) \circ \Lambda + O(s)$  on  $X$  as in Definition 3.15(vi). Then  $\Pi^*(\theta) = C(f)^*(d\Pi^*(t)) \circ \Pi^\circ(\Lambda) + O(\Pi^*(s))$  on  $C(X)$ .*
- (vii) *Suppose  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  are in (iii), and  $\Lambda : E \rightarrow \mathcal{T}_f Y$  is a morphism with  $g = f + \Lambda \circ s + O(s^2)$  on  $X$  as in Definition 3.15(vii). Then  $C(g) = C(f) + \Pi^\circ(\Lambda) \circ \Pi^*(s) + O(\Pi^*(s)^2)$  on  $C(X)$ .*

### 3.4.4 Comparing different categories $\dot{\mathbf{Man}}^c$

Condition 3.20 in §3.3.7 and §B.7 compared two categories  $\dot{\mathbf{Man}}, \ddot{\mathbf{Man}}$  satisfying Assumptions 3.1–3.7. Here is Condition B.49 in §B.8.2, the corners analogue:

**Condition 3.29.** Let  $\dot{\mathbf{Man}}^c, \ddot{\mathbf{Man}}^c$  satisfy Assumption 3.22, and  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c} : \dot{\mathbf{Man}}^c \rightarrow \ddot{\mathbf{Man}}^c$  be a functor in the commutative diagram, as in (3.7)

$$\begin{array}{ccccc}
 & & \dot{\mathbf{Man}}^c & \xrightarrow{F_{\dot{\mathbf{Man}}^c}^{\text{Top}}} & \mathbf{Top} \\
 \mathbf{Man} & \xrightarrow{C} & \downarrow F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c} & \searrow & \\
 & \xrightarrow{C} & \ddot{\mathbf{Man}}^c & \xrightarrow{F_{\ddot{\mathbf{Man}}^c}^{\text{Top}}} & 
 \end{array}$$

We also require:

- (i)  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}$  should take products, disjoint unions, open submanifolds, and simple maps in  $\dot{\mathbf{Man}}^c$  to products, disjoint unions, open submanifolds, and simple maps in  $\ddot{\mathbf{Man}}^c$ , and preserve dimensions.
- (ii) There are canonical isomorphisms  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}(C_k(X)) \cong C_k(F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}(X))$  for all  $X$  in  $\dot{\mathbf{Man}}^c$  and  $k \geq 0$ , so  $k = 1$  gives  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}(\partial X) \cong \partial(F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}(X))$ .

These isomorphisms commute with the projections  $\Pi : C_k(X) \rightarrow X$  and  $I_{k,l} : C_k(C_l(X)) \rightarrow C_{k+l}(X)$  in  $\dot{\mathbf{Man}}^c$  and  $\ddot{\mathbf{Man}}^c$ , and induce a natural isomorphism  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c} \circ C \cong C \circ F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}$  of functors  $\dot{\mathbf{Man}}^c \rightarrow \ddot{\mathbf{Man}}^c$ .

As for Figure 3.1, Figure 3.2 gives a diagram of functors from Chapter 2 which satisfy Condition 3.29, with the first corner functor  $C$  from Definition 2.9. With the second corner functor  $C'$  from Definition 2.9 we get the same diagram omitting  $\mathbf{Man}^{\text{gc}}$ . Arrows ' $\rightarrow$ ' satisfy Proposition 3.21(a) or (b). The arrow marked ' $\star$ ' is the non-obvious functor  $F_{\mathbf{Man}_{\text{st}}^{\text{ac}}}^{\mathbf{Man}_{\text{st}}^c} : \mathbf{Man}_{\text{st}}^{\text{ac}} \rightarrow \mathbf{Man}_{\text{st}}^c$  from §2.4.2.

$$\begin{array}{ccccccc}
 & & \mathbf{Man}_{\text{st}}^c & \xleftrightarrow{\quad} & \mathbf{Man}_{\text{st}}^{c,\text{ac}} & \xleftrightarrow{\quad} & \mathbf{Man}_{\text{st}}^{\text{ac}} \\
 & & \xleftarrow{\quad} & \xleftarrow{\quad} & \xleftarrow{\quad} & \xleftarrow{\quad} & \\
 & & \star & & & & \\
 \mathbf{Man}^{\text{gc}} & \xleftarrow{\quad} & \mathbf{Man}^c & \xrightarrow{\quad} & \mathbf{Man}^{c,\text{ac}} & \xrightarrow{\quad} & \mathbf{Man}^{\text{ac}}
 \end{array}$$

Figure 3.2: Functors satisfying Condition 3.29, with the first corner functor  $C$ . Arrows ' $\rightarrow$ ' satisfy Proposition 3.21(a) or (b).

Condition 3.29 implies that  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c} : \dot{\mathbf{Man}}^c \rightarrow \ddot{\mathbf{Man}}^c$  satisfies Condition 3.20. Thus §3.3.7 applies, so that all the material of §3.3.1–§3.3.5 in  $\dot{\mathbf{Man}}^c$  maps functorially to its analogue in  $\ddot{\mathbf{Man}}^c$ . Remark B.50 explains that the morphisms  $\Pi^\diamond(\theta)$  in §3.4.3 are also compatible with these functorial maps.

## Chapter 4

# M-Kuranishi spaces

Throughout this chapter we suppose we are given a category  $\dot{\mathbf{Man}}$  satisfying Assumptions 3.1–3.7 in §3.1. Examples of such categories are given in §3.2. The primary example is the category  $\mathbf{Man}$  of ordinary manifolds, and the assumptions are almost all well-known differential-geometric facts in this case. To each such category  $\dot{\mathbf{Man}}$  we will associate a 2-category  $\mathbf{mKur}$  of ‘m-Kuranishi spaces’. The possibilities for  $\dot{\mathbf{Man}}$  include many categories of manifolds with corners, such as  $\mathbf{Man}^c$  in §2.1. In §4.6, to discuss the corners case, we switch notation from  $\dot{\mathbf{Man}}$  to a category  $\dot{\mathbf{Man}}^c$  satisfying Assumption 3.22, with a corresponding 2-category  $\mathbf{mKur}^c$  of ‘m-Kuranishi spaces with corners’.

We will use the notation of Appendix B for differential geometry in  $\dot{\mathbf{Man}}$  throughout, which is summarized in §3.3. In particular, readers should familiarize themselves with ‘relative tangent sheaves’  $\mathcal{T}_f Y$  in §3.3.4 and §B.4, and the ‘ $O(s)$ ’ and ‘ $O(s^2)$ ’ notation in §3.3.5 and §B.5, before proceeding.

By an abuse of notation we will often refer to objects  $X$  of  $\dot{\mathbf{Man}}$  as ‘manifolds’ (though they may in examples have singularities, corners, etc.), and morphisms  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  as ‘smooth maps’ (though they may in examples be non-smooth). As in Assumption 3.4 we have an inclusion  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$ . We will call objects  $X \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}$  ‘classical manifolds’, and call morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$  ‘classical smooth maps’.

In Chapter 3 we distinguished between objects  $X, Y$  and morphisms  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$ , and the corresponding topological spaces  $X_{\text{top}}, Y_{\text{top}}$  and continuous maps  $f_{\text{top}} : X_{\text{top}} \rightarrow Y_{\text{top}}$ . We will now drop this distinction, and just write  $X, Y, f$  in place of  $X_{\text{top}}, Y_{\text{top}}, f_{\text{top}}$ , as usual in differential geometry. We will also treat open submanifolds  $i : U \hookrightarrow X$  in Assumption 3.2(d) just as open subsets  $U \subseteq X$ .

On a first reading it may be helpful to take  $\dot{\mathbf{Man}} = \mathbf{Man}$ . For an introduction to 2-categories, see Appendix A.

### 4.1 The strict 2-category of m-Kuranishi neighbourhoods

We work throughout in a category  $\dot{\mathbf{Man}}$  satisfying Assumptions 3.1–3.7.

**Definition 4.1.** Let  $X$  be a topological space. An  $m$ -Kuranishi neighbourhood on  $X$  is a quadruple  $(V, E, s, \psi)$  such that:

- (a)  $V$  is a manifold (object in  $\mathbf{Man}$ ). We allow  $V = \emptyset$ .
- (b)  $\pi : E \rightarrow V$  is a vector bundle over  $V$ , called the *obstruction bundle*.
- (c)  $s : V \rightarrow E$  is a section of  $E$ , called the *Kuranishi section*.
- (d)  $\psi$  is a homeomorphism from  $s^{-1}(0)$  to an open subset  $\text{Im } \psi$  in  $X$ , where  $\text{Im } \psi = \{\psi(x) : x \in s^{-1}(0)\}$  is the image of  $\psi$ , and is called the *footprint* of  $(V, E, s, \psi)$ .

If  $S \subseteq X$  is open, by an  $m$ -Kuranishi neighbourhood over  $S$ , we mean an  $m$ -Kuranishi neighbourhood  $(V, E, s, \psi)$  on  $X$  with  $S \subseteq \text{Im } \psi \subseteq X$ .

We call  $(V, E, s, \psi)$  a *global  $m$ -Kuranishi neighbourhood* if  $\text{Im } \psi = X$ .

**Definition 4.2.** Let  $X, Y$  be topological spaces,  $f : X \rightarrow Y$  a continuous map,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be  $m$ -Kuranishi neighbourhoods on  $X, Y$  respectively, and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be an open set. A *1-morphism*  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  of  $m$ -Kuranishi neighbourhoods over  $(S, f)$  is a triple  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  satisfying:

- (a)  $V_{ij}$  is an open neighbourhood of  $\psi_i^{-1}(S)$  in  $V_i$ . We do not require that  $V_{ij} \cap s_i^{-1}(0) = \psi_i^{-1}(S)$ , only that  $\psi_i^{-1}(S) \subseteq V_{ij} \cap s_i^{-1}(0) \subseteq V_{ij}$ .
- (b)  $\phi_{ij} : V_{ij} \rightarrow V_j$  is a smooth map.
- (c)  $\hat{\phi}_{ij} : E_i|_{V_{ij}} \rightarrow \phi_{ij}^*(E_j)$  is a morphism of vector bundles on  $V_{ij}$ .
- (d)  $\hat{\phi}_{ij}(s_i|_{V_{ij}}) = \phi_{ij}^*(s_j) + O(s_i^2)$ , in the sense of Definition 3.15(i).
- (e)  $f \circ \psi_i = \psi_j \circ \phi_{ij}$  on  $s_i^{-1}(0) \cap V_{ij}$ .

When  $X = Y$  and  $f = \text{id}_X$  we just call  $\Phi_{ij}$  a *1-morphism over  $S$* . In this case, the *identity 1-morphism*  $\text{id}_{(V_i, E_i, s_i, \psi_i)} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_i, E_i, s_i, \psi_i)$  over  $S$  is  $\text{id}_{(V_i, E_i, s_i, \psi_i)} = (V_i, \text{id}_{V_i}, \text{id}_{E_i})$ .

**Definition 4.3.** Let  $f : X \rightarrow Y$  be a continuous map of topological spaces,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be  $m$ -Kuranishi neighbourhoods on  $X, Y$ , and  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be 1-morphisms of  $m$ -Kuranishi neighbourhoods over  $(S, f)$  for  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  open, where  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  and  $\Phi'_{ij} = (V'_{ij}, \phi'_{ij}, \hat{\phi}'_{ij})$ . Consider pairs  $(\hat{V}_{ij}, \hat{\lambda}_{ij})$  satisfying:

- (a)  $\hat{V}_{ij}$  is an open neighbourhood of  $\psi_i^{-1}(S)$  in  $V_{ij} \cap V'_{ij}$ .
- (b)  $\hat{\lambda}_{ij} : E_i|_{\hat{V}_{ij}} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{\hat{V}_{ij}}$  is a morphism in the notation of §3.3.4, with  $\phi'_{ij} = \phi_{ij} + \hat{\lambda}_{ij} \circ s_i + O(s_i^2)$  and  $\hat{\phi}'_{ij} = \hat{\phi}_{ij} + \phi_{ij}^*(ds_j) \circ \hat{\lambda}_{ij} + O(s_i)$  on  $\hat{V}_{ij}$ , (4.1) in the sense of Definition 3.15(iv),(vi),(vii).

Define a binary relation  $\sim$  on such pairs by  $(\hat{V}_{ij}, \hat{\lambda}_{ij}) \sim (\hat{V}'_{ij}, \hat{\lambda}'_{ij})$  if there exists an open neighbourhood  $\check{V}_{ij}$  of  $\psi_i^{-1}(S)$  in  $\hat{V}_{ij} \cap \hat{V}'_{ij}$  with

$$\hat{\lambda}_{ij}|_{\check{V}_{ij}} = \hat{\lambda}'_{ij}|_{\check{V}_{ij}} + O(s_i) \quad \text{on } \check{V}_{ij}, \quad (4.2)$$

in the sense of Definition 3.15(ii). We see from Theorem 3.17(c) that  $\sim$  is an equivalence relation. We also write  $\sim_S$  in place of  $\sim$  if we want to emphasize the open set  $S \subseteq X$ .

Write  $[\hat{V}_{ij}, \hat{\lambda}_{ij}]$  for the  $\sim$ -equivalence class of  $(\hat{V}_{ij}, \hat{\lambda}_{ij})$ . We say that  $[\hat{V}_{ij}, \hat{\lambda}_{ij}] : \Phi_{ij} \Rightarrow \Phi'_{ij}$  is a *2-morphism of 1-morphisms of m-Kuranishi neighbourhoods on  $X$  over  $(S, f)$* , or just a *2-morphism over  $(S, f)$* . We often write  $\Lambda_{ij} = [\hat{V}_{ij}, \hat{\lambda}_{ij}]$ .

When  $X = Y$  and  $f = \text{id}_X$  we just call  $\Lambda_{ij}$  a *2-morphism over  $S$* .

The *identity 2-morphism* of  $\Phi_{ij}$  over  $(S, f)$  is  $\text{id}_{\Phi_{ij}} = [V_{ij}, 0] : \Phi_{ij} \Rightarrow \Phi_{ij}$ .

**Definition 4.4.** Let  $X, Y, Z$  be topological spaces,  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be continuous maps,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j), (V_k, E_k, s_k, \psi_k)$  be m-Kuranishi neighbourhoods on  $X, Y, Z$  respectively, and  $T \subseteq \text{Im } \psi_j \cap g^{-1}(\text{Im } \psi_k) \subseteq Y$  and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(T) \subseteq X$  be open. Suppose  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a 1-morphism of m-Kuranishi neighbourhoods over  $(S, f)$ , and  $\Phi_{jk} = (V_{jk}, \phi_{jk}, \hat{\phi}_{jk}) : (V_j, E_j, s_j, \psi_j) \rightarrow (V_k, E_k, s_k, \psi_k)$  is a 1-morphism of m-Kuranishi neighbourhoods over  $(T, g)$ .

Define the *composition of 1-morphisms* to be  $\Phi_{jk} \circ \Phi_{ij} = (V_{ik}, \phi_{ik}, \hat{\phi}_{ik})$ , where  $V_{ik} = \phi_{ij}^{-1}(V_{jk}) \subseteq V_{ij} \subseteq V_i$ , and  $\phi_{ik} : V_{ik} \rightarrow V_k$  is  $\phi_{ik} = \phi_{jk} \circ \phi_{ij}|_{V_{ik}}$ , and  $\hat{\phi}_{ik} : E_i|_{V_{ik}} \rightarrow \phi_{ik}^*(E_k)$  is  $\hat{\phi}_{ik} = \phi_{ij}|_{V_{ik}}^*(\hat{\phi}_{jk}) \circ \hat{\phi}_{ij}|_{V_{ik}}$ .

It is easy to check that  $\Phi_{jk} \circ \Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_k, E_k, s_k, \psi_k)$  is a 1-morphism of m-Kuranishi neighbourhoods over  $(S, g \circ f)$ , using Theorem 3.17(n) to prove that Definition 4.2(d) holds.

An important special case is when  $X = Y = Z$ ,  $f = g = \text{id}_X$ , and  $S = T$ , so that  $\Phi_{ij}, \Phi_{jk}$  and  $\Phi_{jk} \circ \Phi_{ij}$  are all 1-morphisms over  $S \subseteq X$ .

Clearly, composition of 1-morphisms is *strictly associative*, that is,

$$(\Phi_{kl} \circ \Phi_{jk}) \circ \Phi_{ij} = \Phi_{kl} \circ (\Phi_{jk} \circ \Phi_{ij}) : (V_i, E_i, s_i, \psi_i) \longrightarrow (V_l, E_l, s_l, \psi_l).$$

So we generally leave the brackets out of such compositions. Also,

$$\Phi_{ij} \circ \text{id}_{(V_i, E_i, s_i, \psi_i)} = \text{id}_{(V_j, E_j, s_j, \psi_j)} \circ \Phi_{ij} = \Phi_{ij}$$

for a 1-morphism  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  over  $(S, f)$ .

**Definition 4.5.** Let  $X, Y$  be topological spaces,  $f : X \rightarrow Y$  be continuous,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be m-Kuranishi neighbourhoods on  $X, Y$ ,  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be open, and  $\Phi_{ij}, \Phi'_{ij}, \Phi''_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be 1-morphisms over  $(S, f)$  with  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$ ,  $\Phi'_{ij} = (V'_{ij}, \phi'_{ij}, \hat{\phi}'_{ij})$ ,  $\Phi''_{ij} = (V''_{ij}, \phi''_{ij}, \hat{\phi}''_{ij})$ . Suppose  $\Lambda_{ij} = [\hat{V}_{ij}, \hat{\lambda}_{ij}] : \Phi_{ij} \Rightarrow \Phi'_{ij}$  and  $\Lambda'_{ij} = [\hat{V}'_{ij}, \hat{\lambda}'_{ij}] : \Phi'_{ij} \Rightarrow \Phi''_{ij}$  are 2-morphisms over  $(S, f)$ . We will define the *vertical composition of 2-morphisms*, written

$$\Lambda'_{ij} \odot \Lambda_{ij} = [\hat{V}'_{ij}, \hat{\lambda}'_{ij}] \odot [\hat{V}_{ij}, \hat{\lambda}_{ij}] : \Phi_{ij} \Longrightarrow \Phi''_{ij} \quad \text{over } (S, f).$$

Choose representatives  $(\check{V}_{ij}, \hat{\lambda}_{ij}), (\check{V}'_{ij}, \hat{\lambda}'_{ij})$  in the  $\sim$ -equivalence classes  $\Lambda_{ij}, \Lambda'_{ij}$ . Define  $\check{V}''_{ij} = \check{V}_{ij} \cap \check{V}'_{ij} \subseteq V_i$ . Since  $\phi'_{ij}|_{\check{V}''_{ij}} = \phi_{ij}|_{\check{V}''_{ij}} + O(s_i)$  by (4.1), Theorem 3.17(g) shows that there exists  $\check{\lambda}'_{ij} : E_i|_{\check{V}''_{ij}} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{\check{V}''_{ij}}$ , unique up to  $O(s_i)$ , with  $\check{\lambda}'_{ij} = \hat{\lambda}'_{ij}|_{\check{V}''_{ij}} + O(s_i)$  in the sense of Definition 3.15(v).

Define  $\hat{\lambda}''_{ij} : E_i|_{\check{V}''_{ij}} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{\check{V}''_{ij}}$  by  $\hat{\lambda}''_{ij} = \hat{\lambda}_{ij}|_{\check{V}''_{ij}} + \check{\lambda}'_{ij}$ . Then Theorem 3.17(b),(c),(d),(g),(j),(l) imply  $(\check{V}''_{ij}, \hat{\lambda}''_{ij})$  satisfies Definition 4.3(b) for  $\Phi_{ij}, \Phi''_{ij}$ . Hence  $\Lambda''_{ij} = [\check{V}''_{ij}, \hat{\lambda}''_{ij}] : \Phi_{ij} \Rightarrow \Phi''_{ij}$  is a 2-morphism over  $(S, f)$ . Since  $\check{\lambda}'_{ij}$  is unique up to  $O(s_i)$  in Theorem 3.17(f), the equivalence class  $\Lambda''_{ij} = [\check{V}''_{ij}, \hat{\lambda}''_{ij}]$  is independent of choices. We define  $\Lambda'_{ij} \odot \Lambda_{ij} = \Lambda''_{ij}$ , and call this the *vertical composition of 2-morphisms over  $(S, f)$* . When  $X = Y$  and  $f = \text{id}_X$  we call it *vertical composition of 2-morphisms over  $S$* .

Let  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  be a 2-morphism over  $(S, f)$ , and choose a representative  $(\check{V}_{ij}, \hat{\lambda}_{ij})$  for  $\Lambda_{ij} = [\check{V}_{ij}, \hat{\lambda}_{ij}]$ . Now  $\phi'_{ij}|_{\check{V}_{ij}} = \phi_{ij}|_{\check{V}_{ij}} + O(s_i)$  by (4.1), so Theorem 3.17(f) gives  $\hat{\lambda}'_{ij} : E_i|_{\check{V}_{ij}} \rightarrow \mathcal{T}_{\phi'_{ij}} V_j|_{\check{V}_{ij}}$ , unique up to  $O(s_i)$ , with  $\hat{\lambda}'_{ij} = -\hat{\lambda}_{ij} + O(s_i)$ , in the sense of Definition 3.15(v). We can then show that  $\Lambda'_{ij} = [\check{V}_{ij}, \hat{\lambda}'_{ij}] : \Phi'_{ij} \Rightarrow \Phi_{ij}$  is a 2-morphism over  $(S, f)$ , and is a two-sided inverse  $\Lambda_{ij}^{-1}$  for  $\Lambda_{ij}$  under vertical composition. Thus, *all 2-morphisms over  $(S, f)$  are invertible under vertical composition, that is, they are 2-isomorphisms.*

**Definition 4.6.** Let  $X, Y, Z$  be topological spaces,  $f : X \rightarrow Y, g : Y \rightarrow Z$  be continuous maps,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j), (V_k, E_k, s_k, \psi_k)$  be m-Kuranishi neighbourhoods on  $X, Y, Z$ , and  $T \subseteq \text{Im } \psi_j \cap g^{-1}(\text{Im } \psi_k) \subseteq Y$  and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(T) \subseteq X$  be open. Suppose  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  are 1-morphisms of m-Kuranishi neighbourhoods over  $(S, f)$ , and  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  is a 2-morphism over  $(S, f)$ , and  $\Phi_{jk}, \Phi'_{jk} : (V_j, E_j, s_j, \psi_j) \rightarrow (V_k, E_k, s_k, \psi_k)$  are 1-morphisms of m-Kuranishi neighbourhoods over  $(T, g)$ , and  $\Lambda_{jk} : \Phi_{jk} \Rightarrow \Phi'_{jk}$  is a 2-morphism over  $(T, g)$ .

We will define the *horizontal composition of 2-morphisms*, written

$$\Lambda_{jk} * \Lambda_{ij} : \Phi_{jk} \circ \Phi_{ij} \Longrightarrow \Phi'_{jk} \circ \Phi'_{ij} \quad \text{over } (S, g \circ f).$$

Use our usual notation for  $\Phi_{ij}, \dots, \Lambda_{jk}$ , and write  $(V_{ik}, \phi_{ik}, \hat{\phi}_{ik}) = \Phi_{jk} \circ \Phi_{ij}$ ,  $(V'_{ik}, \phi'_{ik}, \hat{\phi}'_{ik}) = \Phi'_{jk} \circ \Phi'_{ij}$ , as in Definition 4.4. Choose representatives  $(\check{V}_{ij}, \hat{\lambda}_{ij}), (\check{V}'_{ij}, \hat{\lambda}'_{ij})$  for  $\Lambda_{ij}, \Lambda'_{ij}$ .

Set  $\check{V}_{ik} = \check{V}_{ij} \cap \phi_{ij}^{-1}(\check{V}'_{jk}) \subseteq V_i$ . Define a morphism on  $\check{V}_{ik}$

$$\hat{\lambda}_{ik} : E_i|_{\check{V}_{ik}} \longrightarrow \mathcal{T}_{\phi_{ik}} V_k|_{\check{V}_{ik}} \quad \text{by} \quad \hat{\lambda}_{ik} = \mathcal{T}\phi_{jk} \circ \hat{\lambda}_{ij} + \phi_{ij}|_{\check{V}_{ik}}^* (\hat{\lambda}'_{jk}) \circ \hat{\phi}_{ij}|_{\check{V}_{ik}}.$$

We can now check using Theorem 3.17(b),(c),(d),(g),(j),(l),(n),(p),(q),(t),(u) that  $(\check{V}_{ik}, \hat{\lambda}_{ik})$  satisfies Definition 4.3(b) for  $\Phi_{jk} \circ \Phi_{ij}, \Phi'_{jk} \circ \Phi'_{ij}$ , so  $\Lambda_{ik} = [\check{V}_{ik}, \hat{\lambda}_{ik}]$  is a 2-morphism over  $(S, g \circ f)$ , which is independent of choices. We define *horizontal composition of 2-morphisms* to be  $\Lambda_{jk} * \Lambda_{ij} = \Lambda_{ik}$ .

When  $X = Y = Z, f = g = \text{id}_X$  and  $S = T$  we call this *horizontal composition of 2-morphisms over  $S$* .

We have now defined all the structures of a strict 2-category, as in §A.2: objects (m-Kuranishi neighbourhoods on  $X$  over open  $S \subseteq X$ ), 1- and 2-morphisms, their three kinds of composition, and two kinds of identities. The next theorem has a long but straightforward proof, using Theorem 3.17 at some points, and we leave it as an exercise.

**Theorem 4.7.** *The structures in Definitions 4.1–4.6 satisfy the axioms of a strict 2-category in §A.2.*

We define three 2-categories of m-Kuranishi neighbourhoods:

**Definition 4.8.** Write  $\mathbf{m\check{K}N}$  for the *strict 2-category of m-Kuranishi neighbourhoods* defined using  $\mathbf{Man}$ , where:

- Objects of  $\mathbf{m\check{K}N}$  are triples  $(X, S, (V, E, s, \psi))$ , where  $X$  is a topological space,  $S \subseteq X$  is open, and  $(V, E, s, \psi)$  is an m-Kuranishi neighbourhood over  $S$ , as in Definition 4.1.
- 1-morphisms  $(f, \Phi_{ij}) : (X, S, (V_i, E_i, s_i, \psi_i)) \rightarrow (Y, T, (V_j, E_j, s_j, \psi_j))$  of  $\mathbf{m\check{K}N}$  are a pair of a continuous map  $f : X \rightarrow Y$  with  $S \subseteq f^{-1}(T) \subseteq X$  and a 1-morphism  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  over  $(S, f)$ , as in Definition 4.2.
- For 1-morphisms  $(f, \Phi_{ij}), (f, \Phi'_{ij}) : (X, S, (V_i, E_i, s_i, \psi_i)) \rightarrow (Y, T, (V_j, E_j, s_j, \psi_j))$  with the same continuous map  $f : X \rightarrow Y$ , a 2-morphism  $\Lambda_{ij} : (f, \Phi_{ij}) \Rightarrow (f, \Phi'_{ij})$  of  $\mathbf{m\check{K}N}$  is a 2-morphism  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  over  $(S, f)$ , as in Definition 4.3.
- Identities, and the three kinds of composition of 1- and 2-morphisms, are defined in the obvious way using Definitions 4.2–4.6.

Define  $\mathbf{Gm\check{K}N}$  to be the full 2-subcategory of  $\mathbf{m\check{K}N}$  with objects  $(s^{-1}(0), s^{-1}(0), (V, E, s, \text{id}_{s^{-1}(0)}))$  for which  $X = S = s^{-1}(0)$  and  $\psi = \text{id}_{s^{-1}(0)}$ . We call  $\mathbf{Gm\check{K}N}$  the *strict 2-category of global m-Kuranishi neighbourhoods*. For brevity we usually write objects of  $\mathbf{Gm\check{K}N}$  as  $(V, E, s)$  rather than  $(s^{-1}(0), s^{-1}(0), (V, E, s, \text{id}_{s^{-1}(0)}))$ . For a 1-morphism in  $\mathbf{Gm\check{K}N}$

$$(f, \Phi_{ij}) : (s_i^{-1}(0), s_i^{-1}(0), (V_i, E_i, s_i, \text{id}_{s_i^{-1}(0)})) \longrightarrow (s_j^{-1}(0), s_j^{-1}(0), (V_j, E_j, s_j, \text{id}_{s_j^{-1}(0)}))$$

with  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  we must have  $f = \phi_{ij}|_{s_i^{-1}(0)} : s_i^{-1}(0) \rightarrow s_j^{-1}(0)$  by Definition 4.2(e), so  $f$  is determined by  $\Phi_{ij}$ , and we write 1-morphisms of  $\mathbf{Gm\check{K}N}$  as  $\Phi_{ij} : (V_i, E_i, s_i) \rightarrow (V_j, E_j, s_j)$  rather than as  $(f, \Phi_{ij})$ . Similarly, we write 2-morphisms of  $\mathbf{Gm\check{K}N}$  as  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$ .

Let  $X$  be a topological space and  $S \subseteq X$  be open. Write  $\mathbf{m\check{K}N}_S(X)$  for the 2-subcategory of  $\mathbf{m\check{K}N}$  with objects  $(X, S, (V, E, s, \psi))$  for  $X, S$  as given, 1-morphisms  $(\text{id}_X, \Phi_{ij}) : (X, S, (V_i, E_i, s_i, \psi_i)) \rightarrow (X, S, (V_j, E_j, s_j, \psi_j))$

for  $f = \text{id}_X$ , and all 2-morphisms  $\Lambda_{ij} : (\text{id}_X, \Phi_{ij}) \Rightarrow (\text{id}_X, \Phi'_{ij})$ . We call  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$  the *strict 2-category of  $m$ -Kuranishi neighbourhoods over  $S \subseteq X$* .

We generally write objects of  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$  as  $(V, E, s, \psi)$ , omitting  $X, S$ , and 1-morphisms of  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$  as  $\Phi_{ij}$ , omitting  $\text{id}_X$ . That is, objects, 1- and 2-morphisms of  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$  are just  $m$ -Kuranishi neighbourhoods over  $S$  and 1- and 2-morphisms over  $S$  as in Definitions 4.2–4.4.

The accent ‘ $\dot{\phantom{x}}$ ’ in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}, \mathbf{Gm}\dot{\mathbf{K}}\mathbf{N}, \mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$  is because they are constructed using  $\dot{\mathbf{M}}\mathbf{an}$ . For particular  $\dot{\mathbf{M}}\mathbf{an}$  we modify the notation in the obvious way, e.g. if  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{M}\mathbf{an}$  we write  $\mathbf{m}\mathbf{K}\mathbf{N}, \mathbf{Gm}\mathbf{K}\mathbf{N}, \mathbf{m}\mathbf{K}\mathbf{N}_S(X)$ , and if  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{M}\mathbf{an}^c$  we write  $\mathbf{m}\mathbf{K}\mathbf{N}^c, \mathbf{Gm}\mathbf{K}\mathbf{N}^c, \mathbf{m}\mathbf{K}\mathbf{N}_S^c(X)$ .

If  $f : X \rightarrow Y$  is continuous,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  are  $m$ -Kuranishi neighbourhoods on  $X, Y$ , and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  is open, write  $\mathbf{Hom}_{S,f}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  for the groupoid with objects 1-morphisms  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  over  $(S, f)$ , and morphisms 2-morphisms  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  over  $(S, f)$ .

If  $X = Y$  and  $f = \text{id}_X$ , we write  $\mathbf{Hom}_S((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  in place of  $\mathbf{Hom}_{S,f}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$ .

Theorem 4.7 and the last part of Definition 4.5 imply:

**Corollary 4.9.** *In Definition 4.8,  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}, \mathbf{Gm}\dot{\mathbf{K}}\mathbf{N}$  and  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$  are strict 2-categories, and in fact (2, 1)-categories, as all 2-morphisms are invertible.*

**Definition 4.10.** Let  $X$  be a topological space, and  $S \subseteq X$  be open, and  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be a 1-morphism of  $m$ -Kuranishi neighbourhoods on  $X$  over  $S$ . Then  $\Phi_{ij}$  is a 1-morphism in the 2-category  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$  of Definition 4.8. We call  $\Phi_{ij}$  a *coordinate change over  $S$*  if it is an equivalence in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$ . That is,  $\Phi_{ij}$  is a coordinate change if there exist a 1-morphism  $\Phi_{ji} : (V_j, E_j, s_j, \psi_j) \rightarrow (V_i, E_i, s_i, \psi_i)$  and 2-(iso)morphisms  $\eta : \Phi_{ji} \circ \Phi_{ij} \Rightarrow \text{id}_{(V_i, E_i, s_i, \psi_i)}$  and  $\zeta : \Phi_{ij} \circ \Phi_{ji} \Rightarrow \text{id}_{(V_j, E_j, s_j, \psi_j)}$  over  $S$ . Write

$$\mathbf{Equ}_S((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)) \subseteq \mathbf{Hom}_S((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$$

for the subgroupoid with objects coordinate changes over  $S$ .

Theorems 10.57 and 10.58 in §10.5.1 give criteria for when 1-morphisms of  $m$ -Kuranishi neighbourhoods are coordinate changes.

**Definition 4.11.** Let  $T \subseteq S \subseteq X$  be open. Define the *restriction 2-functor*  $|_T : \mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X) \rightarrow \mathbf{m}\dot{\mathbf{K}}\mathbf{N}_T(X)$  to map objects  $(V_i, E_i, s_i, \psi_i)$  to exactly the same objects, and 1-morphisms  $\Phi_{ij}$  to exactly the same 1-morphisms but regarded as 1-morphisms over  $T$ , and 2-morphisms  $\Lambda_{ij} = [\hat{V}_{ij}, \hat{\lambda}_{ij}]$  over  $S$  to  $\Lambda_{ij}|_T = [\hat{V}_{ij}, \hat{\lambda}_{ij}]|_T$ , where  $[\hat{V}_{ij}, \hat{\lambda}_{ij}]|_T$  is the  $\sim_T$ -equivalence class of any representative  $(\hat{V}_{ij}, \hat{\lambda}_{ij})$  for the  $\sim_S$ -equivalence class  $[\hat{V}_{ij}, \hat{\lambda}_{ij}]$ .

Then  $|_T : \mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X) \rightarrow \mathbf{m}\dot{\mathbf{K}}\mathbf{N}_T(X)$  commutes with all the structure, so it is a strict 2-functor of strict 2-categories as in §A.3. If  $U \subseteq T \subseteq S \subseteq X$  are open then  $|_U \circ |_T = |_U : \mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X) \rightarrow \mathbf{m}\dot{\mathbf{K}}\mathbf{N}_U(X)$ .



Now let  $f : X \rightarrow Y$  be continuous,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be m-Kuranishi neighbourhoods on  $X, Y$ , and  $T \subseteq S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be open. Then as for  $|_T$  on 1- and 2-morphisms above, we define a functor

$$|_T : \mathbf{Hom}_{S,f}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)) \longrightarrow \mathbf{Hom}_{T,f}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)). \quad (4.3)$$

**Convention 4.12.** So far we have discussed 1- and 2-morphisms of m-Kuranishi neighbourhoods, and coordinate changes, *over a specified open set*  $S \subseteq X$ , or over  $(S, f)$ . We now make the convention that *when we do not specify a domain  $S$  for a 1-morphism, 2-morphism, or coordinate change, the domain should be as large as possible*. For example, if we say that  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a 1-morphism (or a 1-morphism over  $f : X \rightarrow Y$ ) without specifying  $S$ , we mean that  $S = \text{Im } \psi_i \cap \text{Im } \psi_j$  (or  $S = \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$ ).

Similarly, if we write a formula involving several 2-morphisms (possibly defined on different domains), without specifying the domain  $S$ , we make the convention *that the domain where the formula holds should be as large as possible*. That is, the domain  $S$  is taken to be the intersection of the domains of each 2-morphism in the formula, and we implicitly restrict each morphism in the formula to  $S$  as in Definition 4.11, so that it makes sense.

## 4.2 The stack property of m-Kuranishi neighbourhoods

In §A.6 we define *stacks on topological spaces*, a 2-category version of sheaves on topological spaces discussed in §A.5. The next theorem follows from the orbifold version Theorem 6.16, proved in §6.7, by taking  $\Gamma_i = \Gamma_j = \{1\}$ . It is very important in our theory. We call it the *stack property*. We will use it in §4.3 to construct compositions of 1- and 2-morphisms of m-Kuranishi spaces.

**Theorem 4.13.** *Let  $f : X \rightarrow Y$  be a continuous map of topological spaces, and  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be m-Kuranishi neighbourhoods on  $X, Y$ . For each open  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$ , define a groupoid*

$$\begin{aligned} \mathcal{H}om_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))(S) \\ = \mathbf{Hom}_{S,f}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)), \end{aligned}$$

as in Definition 4.8, for all open  $T \subseteq S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$  define a functor

$$\begin{aligned} \rho_{ST} : \mathcal{H}om_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))(S) \longrightarrow \\ \mathcal{H}om_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))(T) \end{aligned}$$

between groupoids by  $\rho_{ST} = |_T$ , as in (4.3), and for all open  $U \subseteq T \subseteq S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$  take the obvious isomorphism  $\eta_{STU} = \text{id}_{\rho_{SU}} : \rho_{TU} \circ \rho_{ST} \Rightarrow \rho_{SU}$ . Then  $\mathcal{H}om_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  is a **stack** on the open subset  $\text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$  in  $X$ , as in §A.6.

When  $X = Y$  and  $f = \text{id}_X$  we write  $\mathbf{Hom}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  rather than  $\mathbf{Hom}_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$ . Then coordinate changes  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  also form a stack  $\mathcal{E}qu((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  on  $\text{Im } \psi_i \cap \text{Im } \psi_j$ , a substack of  $\mathbf{Hom}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$ .

Here it is clear that  $\mathbf{Hom}_f(\dots)$  is a prestack on  $\text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$ , but not at all obvious that it is a stack; the point is that 1- and 2-morphisms of  $m$ -Kuranishi neighbourhoods have important gluing properties over open covers.

### 4.3 The weak 2-category of $m$ -Kuranishi spaces

We can now at last give one of the main definitions of the book:

**Definition 4.14.** Let  $X$  be a Hausdorff, second countable topological space, and  $n \in \mathbb{Z}$ . An  $m$ -Kuranishi structure  $\mathcal{K}$  on  $X$  of virtual dimension  $n$  is data  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, \Lambda_{ijk}, \Lambda_{ij}, \Lambda_{ik})$ , where:

- (a)  $I$  is an indexing set (not necessarily finite).
- (b)  $(V_i, E_i, s_i, \psi_i)$  is an  $m$ -Kuranishi neighbourhood on  $X$  for each  $i \in I$ , with  $\dim V_i - \text{rank } E_i = n$ .
- (c)  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a coordinate change for all  $i, j \in I$  (over  $S = \text{Im } \psi_i \cap \text{Im } \psi_j$ , as in Convention 4.12).
- (d)  $\Lambda_{ijk} = [\hat{V}_{ijk}, \hat{\lambda}_{ijk}] : \Phi_{jk} \circ \Phi_{ij} \Rightarrow \Phi_{ik}$  is a 2-morphism for all  $i, j, k \in I$  (over  $S = \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$ , as in Convention 4.12).
- (e)  $\bigcup_{i \in I} \text{Im } \psi_i = X$ .
- (f)  $\Phi_{ii} = \text{id}_{(V_i, E_i, s_i, \psi_i)}$  for all  $i \in I$ .
- (g)  $\Lambda_{iij} = \Lambda_{ijj} = \text{id}_{\Phi_{ij}}$  for all  $i, j \in I$ .
- (h) The following diagram of 2-morphisms over  $S = \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k \cap \text{Im } \psi_l$  commutes for all  $i, j, k, l \in I$ :

$$\begin{array}{ccc}
\Phi_{kl} \circ \Phi_{jk} \circ \Phi_{ij} & \xrightarrow{\Lambda_{jkl} * \text{id}_{\Phi_{ij}}} & \Phi_{jl} \circ \Phi_{ij} \\
\downarrow \text{id}_{\Phi_{kl}} * \Lambda_{ijk} & & \Lambda_{ijl} \downarrow \\
\Phi_{kl} \circ \Phi_{ik} & \xrightarrow{\Lambda_{ikl}} & \Phi_{il}.
\end{array} \tag{4.4}$$

We call  $\mathbf{X} = (X, \mathcal{K})$  an  $m$ -Kuranishi space, of virtual dimension  $\text{vdim } \mathbf{X} = n$ . When we write  $x \in \mathbf{X}$ , we mean that  $x \in X$ .

**Remark 4.15.** Our basic assumption on the topological space  $X$  of an  $m$ -Kuranishi space  $\mathbf{X} = (X, \mathcal{K})$  is that  $X$  should be *Hausdorff and second countable*, following the usual topological assumptions on manifolds, and the definitions of  $d$ -manifolds in [57, 58, 61]. Here is how this relates to other conditions.

Since  $X$  can be covered by open sets  $\text{Im } \psi_i \cong s_i^{-1}(0)/\Gamma$ , it is automatically *locally compact, locally second countable, and regular*. Hausdorff, second countable, and locally compact imply *paracompact*. Hausdorff, second countable, and regular

imply *metrizable*. Compact and locally second countable, imply second countable. Metrizable implies Hausdorff.

Thus, if  $\mathbf{X} = (X, \mathcal{K})$  is an m-Kuranishi space in our sense, then  $X$  is also Hausdorff, second countable, locally compact, regular, paracompact, and metrizable. Paracompactness is very useful.

The usual topological assumption in previous papers on Kuranishi spaces [24, 30, 39, 77, 78, 80–83, 110–112] is that  $X$  is *compact and metrizable*. Since  $X$  is automatically locally second countable as it can be covered by m-Kuranishi neighbourhoods, this implies that  $X$  is Hausdorff and second countable.

**Example 4.16.** Let  $V$  be a manifold,  $E \rightarrow V$  a vector bundle, and  $s : V \rightarrow E$  a smooth section, so that  $(V, E, s)$  is an object in  $\mathbf{Gm\dot{K}N}$  from Definition 4.8. Set  $X = s^{-1}(0) \subseteq V$ , as a topological space with the subspace topology. Then  $X$  is Hausdorff and second countable, as  $V$  is.

Define an m-Kuranishi structure  $\mathcal{K} = (\{0\}, (V_0, E_0, s_0, \psi_0), \Phi_{00}, \Lambda_{000})$  on  $X$  with indexing set  $I = \{0\}$ , one m-Kuranishi neighbourhood  $(V_0, E_0, s_0, \psi_0)$  with  $V_0 = V$ ,  $E_0 = E$ ,  $s_0 = s$  and  $\psi_0 = \text{id}_X$ , one coordinate change  $\Phi_{00} = \text{id}_{(V_0, E_0, s_0, \psi_0)}$ , and one 2-morphism  $\Lambda_{000} = \text{id}_{\Phi_{00}}$ . Then  $\mathbf{X} = (X, \mathcal{K})$  is an m-Kuranishi space, with  $\text{vdim } \mathbf{X} = \dim V - \text{rank } E$ . We write  $\mathbf{S}_{V, E, s} = \mathbf{X}$ .

We will need notation to distinguish m-Kuranishi neighbourhoods, coordinate changes, and 2-morphisms on different m-Kuranishi spaces. We will often use the following notation for m-Kuranishi spaces  $\mathbf{W}, \mathbf{X}, \mathbf{Y}, \mathbf{Z}$ :

$$\mathbf{W} = (W, \mathcal{H}), \quad \mathcal{H} = (H, (T_h, C_h, q_h, \varphi_h)_{h \in H}), \quad (4.5)$$

$$\Sigma_{hh'} = (T_{hh'}, \sigma_{hh'}, \hat{\sigma}_{hh'})_{h, h' \in H}, \quad \text{I}_{hh'h''} = [\hat{T}_{hh'h''}, \hat{\iota}_{hh'h''}]_{h, h', h'' \in H},$$

$$\mathbf{X} = (X, \mathcal{I}), \quad \mathcal{I} = (I, (U_i, D_i, r_i, \chi_i)_{i \in I}), \quad (4.6)$$

$$\text{T}_{ii'} = (U_{ii'}, \tau_{ii'}, \hat{\tau}_{ii'})_{i, i' \in I}, \quad \text{K}_{ii'i''} = [\hat{U}_{ii'i''}, \hat{\kappa}_{ii'i''}]_{i, i', i'' \in I},$$

$$\mathbf{Y} = (Y, \mathcal{J}), \quad \mathcal{J} = (J, (V_j, E_j, s_j, \psi_j)_{j \in J}), \quad (4.7)$$

$$\Upsilon_{jj'} = (V_{jj'}, v_{jj'}, \hat{v}_{jj'})_{j, j' \in J}, \quad \Lambda_{jj'j''} = [\hat{V}_{jj'j''}, \hat{\lambda}_{jj'j''}]_{j, j', j'' \in J},$$

$$\mathbf{Z} = (Z, \mathcal{K}), \quad \mathcal{K} = (K, (W_k, F_k, t_k, \omega_k)_{k \in K}), \quad (4.8)$$

$$\Phi_{kk'} = (W_{kk'}, \phi_{kk'}, \hat{\phi}_{kk'})_{k, k' \in K}, \quad \text{M}_{kk'k''} = [\hat{W}_{kk'k''}, \hat{\mu}_{kk'k''}]_{k, k', k'' \in K}.$$

The rest of the section until Theorem 4.28 will make m-Kuranishi spaces into a weak 2-category, as in §A.2. We first define 1- and 2-morphisms of m-Kuranishi spaces. Note a possible confusion: we will be defining 1-*morphisms of m-Kuranishi spaces*  $\mathbf{f}, \mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  and 2-*morphisms of m-Kuranishi spaces*  $\boldsymbol{\eta} : \mathbf{f} \Rightarrow \mathbf{g}$ , but these will be built out of 1-*morphisms of m-Kuranishi neighbourhoods*  $\mathbf{f}_{ij}, \mathbf{g}_{ij} : (U_i, D_i, r_i, \chi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  and 2-*morphisms of m-Kuranishi neighbourhoods*  $\boldsymbol{\eta}_{ij} : \mathbf{f}_{ij} \Rightarrow \mathbf{g}_{ij}$  in the sense of §4.1, so ‘1-morphism’ and ‘2-morphism’ can mean two different things.

**Definition 4.17.** Let  $\mathbf{X} = (X, \mathcal{I})$  and  $\mathbf{Y} = (Y, \mathcal{J})$  be m-Kuranishi spaces, with notation (4.6)–(4.7). A 1-*morphism of m-Kuranishi spaces*  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is data

$$\mathbf{f} = (f, \mathbf{f}_{ij}, i \in I, j \in J, \mathbf{F}_{ii'}^{j, j \in J}, i, i' \in I, \mathbf{F}_{i, i \in I}^{jj', j, j' \in J}), \quad (4.9)$$

satisfying the conditions:

- (a)  $f : X \rightarrow Y$  is a continuous map.
- (b)  $\mathbf{f}_{ij} = (U_{ij}, f_{ij}, \hat{f}_{ij}) : (U_i, D_i, r_i, \chi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a 1-morphism of m-Kuranishi neighbourhoods over  $f$  for all  $i \in I, j \in J$  (defined over  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j)$ , as usual).
- (c)  $\mathbf{F}_{ii'}^j = [\hat{U}_{ii'}^j, \hat{F}_{ii'}^j] : \mathbf{f}_{i'j} \circ \mathbb{T}_{ii'} \Rightarrow \mathbf{f}_{ij}$  is a 2-morphism over  $f$  for all  $i, i' \in I$  and  $j \in J$  (defined over  $S = \text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j)$ ).
- (d)  $\mathbf{F}_i^{jj'} = [\hat{U}_i^{jj'}, \hat{F}_i^{jj'}] : \Upsilon_{jj'} \circ \mathbf{f}_{ij} \Rightarrow \mathbf{f}_{ij'}$  is a 2-morphism over  $f$  for all  $i \in I$  and  $j, j' \in J$  (defined over  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'})$ ).
- (e)  $\mathbf{F}_{ii}^j = \mathbf{F}_i^{jj} = \text{id}_{\mathbf{f}_{ij}}$  for all  $i \in I, j \in J$ .
- (f) The following commutes for all  $i, i', i'' \in I$  and  $j \in J$ :

$$\begin{array}{ccc} \mathbf{f}_{i''j} \circ \mathbb{T}_{i'i''} \circ \mathbb{T}_{ii'} & \xrightarrow{\hspace{2cm}} & \mathbf{f}_{i'j} \circ \mathbb{T}_{ii'} \\ \downarrow \text{id}_{\mathbf{f}_{i''j}} * \mathbf{K}_{i'i''} & \begin{array}{c} \mathbf{F}_{i'i''}^j * \text{id}_{\mathbb{T}_{ii'}} \\ \mathbf{F}_{ii'}^j \end{array} & \mathbf{F}_{ii'}^j \downarrow \\ \mathbf{f}_{i''j} \circ \mathbb{T}_{ii''} & \xrightarrow{\hspace{2cm}} & \mathbf{f}_{ij}. \end{array} \quad (4.10)$$

- (g) The following commutes for all  $i, i' \in I$  and  $j, j' \in J$ :

$$\begin{array}{ccc} \Upsilon_{jj'} \circ \mathbf{f}_{i'j} \circ \mathbb{T}_{ii'} & \xrightarrow{\hspace{2cm}} & \mathbf{f}_{i'j'} \circ \mathbb{T}_{ii'} \\ \downarrow \text{id}_{\Upsilon_{jj'}} * \mathbf{F}_{ii'}^j & \begin{array}{c} \mathbf{F}_{i'i'}^{jj'} * \text{id}_{\mathbb{T}_{ii'}} \\ \mathbf{F}_i^{jj'} \end{array} & \mathbf{F}_{ii'}^{j'} \downarrow \\ \Upsilon_{jj'} \circ \mathbf{f}_{ij} & \xrightarrow{\hspace{2cm}} & \mathbf{f}_{ij'}. \end{array} \quad (4.11)$$

- (h) The following commutes for all  $i \in I$  and  $j, j', j'' \in J$ :

$$\begin{array}{ccc} \Upsilon_{j'j''} \circ \Upsilon_{jj'} \circ \mathbf{f}_{ij} & \xrightarrow{\hspace{2cm}} & \Upsilon_{jj''} \circ \mathbf{f}_{ij} \\ \downarrow \text{id}_{\Upsilon_{j'j''}} * \mathbf{F}_i^{jj'} & \begin{array}{c} \Lambda_{jj'j''} * \text{id}_{\mathbf{f}_{ij}} \\ \mathbf{F}_i^{j'j''} \end{array} & \mathbf{F}_i^{jj''} \downarrow \\ \Upsilon_{j'j''} \circ \mathbf{f}_{ij'} & \xrightarrow{\hspace{2cm}} & \mathbf{f}_{ij''}. \end{array} \quad (4.12)$$

If  $x \in \mathbf{X}$  (i.e.  $x \in X$ ), we will write  $\mathbf{f}(x) = f(x) \in \mathbf{Y}$ .

When  $\mathbf{Y} = \mathbf{X}$ , define the *identity 1-morphism*  $\text{id}_{\mathbf{X}} : \mathbf{X} \rightarrow \mathbf{X}$  by

$$\text{id}_{\mathbf{X}} = (\text{id}_X, \mathbb{T}_{ij, i, j \in I}, \mathbf{K}_{ii', i, i' \in I}^{j \in I}, \mathbf{K}_{ijj', i \in I}^{j, j' \in I}). \quad (4.13)$$

Then Definition 4.14(h) implies that (f)–(h) above hold.

**Definition 4.18.** Let  $\mathbf{X} = (X, \mathcal{I})$  and  $\mathbf{Y} = (Y, \mathcal{J})$  be m-Kuranishi spaces, with notation as in (4.6)–(4.7), and  $\mathbf{f}, \mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  be 1-morphisms, with notation (4.9). Suppose the continuous maps  $f, g : X \rightarrow Y$  in  $\mathbf{f}, \mathbf{g}$  satisfy  $f = g$ . A *2-morphism of m-Kuranishi spaces*  $\boldsymbol{\eta} : \mathbf{f} \Rightarrow \mathbf{g}$  is data  $\boldsymbol{\eta} = (\boldsymbol{\eta}_{ij}, i \in I, j \in J)$ , where  $\boldsymbol{\eta}_{ij} = [\hat{U}_{ij}, \hat{\eta}_{ij}] : \mathbf{f}_{ij} \Rightarrow \mathbf{g}_{ij}$  is a 2-morphism of m-Kuranishi neighbourhoods over  $f = g$  (defined over  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j)$ , as usual), satisfying the conditions:

- (a)  $\mathbf{G}_{ii'}^j \odot (\eta_{i'j} * \text{id}_{\mathbb{T}_{ii'}}) = \eta_{ij} \odot \mathbf{F}_{ii'}^j : \mathbf{f}_{i'j} \circ \mathbb{T}_{ii'} \Rightarrow \mathbf{g}_{ij}$  for all  $i, i' \in I, j \in J$ .  
(b)  $\mathbf{G}_i^{jj'} \odot (\text{id}_{\mathbb{T}_{jj'}} * \eta_{ij}) = \eta_{ij'} \odot \mathbf{F}_i^{jj'} : \Upsilon_{jj'} \circ \mathbf{f}_{ij} \Rightarrow \mathbf{g}_{ij'}$  for all  $i \in I, j, j' \in J$ .

Note that by definition, 2-morphisms  $\eta : \mathbf{f} \Rightarrow \mathbf{g}$  only exist if  $f = g$ .

If  $\mathbf{f} = \mathbf{g}$ , the *identity 2-morphism* is  $\text{id}_{\mathbf{f}} = (\text{id}_{\mathbf{f}_{ij}}, i \in I, j \in J) : \mathbf{f} \Rightarrow \mathbf{f}$ .

Next we will define composition of 1-morphisms. We must use the stack property in Theorem 4.13 to construct compositions of 1-morphisms  $\mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$ , and  $\mathbf{g} \circ \mathbf{f}$  is only unique up to 2-isomorphism.

In the next proposition, part (a) constructs candidates  $\mathbf{h}$  for  $\mathbf{g} \circ \mathbf{f}$ , part (b) shows such  $\mathbf{h}$  are unique up to canonical 2-isomorphism, and part (c) that  $\mathbf{g}$  and  $\mathbf{f}$  are allowed candidates for  $\mathbf{g} \circ \text{id}_{\mathbf{Y}}, \text{id}_{\mathbf{Y}} \circ \mathbf{f}$  respectively.

**Proposition 4.19.** (a) *Let  $\mathbf{X} = (X, \mathcal{I}), \mathbf{Y} = (Y, \mathcal{J})$ , and  $\mathbf{Z} = (Z, \mathcal{K})$  be  $m$ -Kuranishi spaces with notation (4.6)–(4.8), and  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}, \mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms, with  $\mathbf{f} = (f, \mathbf{f}_{ij}, \mathbf{F}_{ii'}^j, \mathbf{F}_i^{jj'})$ ,  $\mathbf{g} = (g, \mathbf{g}_{jk}, \mathbf{G}_{jj'}^k, \mathbf{G}_j^{kk'})$ . Then there exists a 1-morphism  $\mathbf{h} : \mathbf{X} \rightarrow \mathbf{Z}$  with  $\mathbf{h} = (h, \mathbf{h}_{ik}, \mathbf{H}_{ii'}^k, \mathbf{H}_i^{kk'})$ , such that  $h = g \circ f : X \rightarrow Z$ , and for all  $i \in I, j \in J, k \in K$  we have 2-morphisms of  $m$ -Kuranishi neighbourhoods over  $h$*

$$\Theta_{ijk} : \mathbf{g}_{jk} \circ \mathbf{f}_{ij} \Longrightarrow \mathbf{h}_{ik}, \quad (4.14)$$

where as usual (4.14) holds over  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)$ , and for all  $i, i' \in I, j, j' \in J, k, k' \in K$  the following commute:

$$\begin{array}{ccc} \mathbf{g}_{jk} \circ \mathbf{f}_{i'j} \circ \mathbb{T}_{ii'} & \xrightarrow{\Theta_{i'jk} * \text{id}_{\mathbb{T}_{ii'}}} & \mathbf{h}_{i'k} \circ \mathbb{T}_{ii'} \\ \Downarrow \text{id}_{\mathbf{g}_{jk}} * \mathbf{F}_{ii'}^j & & \mathbf{H}_{ii'}^k \Downarrow \\ \mathbf{g}_{jk} \circ \mathbf{f}_{ij} & \xrightarrow{\Theta_{ijk}} & \mathbf{h}_{ik}, \end{array} \quad (4.15)$$

$$\begin{array}{ccc} \mathbf{g}_{j'k} \circ \Upsilon_{jj'} \circ \mathbf{f}_{ij} & \xrightarrow{\mathbf{G}_{jj'}^k * \text{id}_{\mathbf{f}_{ij}}} & \mathbf{g}_{jk} \circ \mathbf{f}_{ij} \\ \Downarrow \text{id}_{\mathbf{g}_{j'k}} * \mathbf{F}_i^{jj'} & & \Theta_{ijk} \Downarrow \\ \mathbf{g}_{j'k} \circ \mathbf{f}_{ij'} & \xrightarrow{\Theta_{ij'k}} & \mathbf{h}_{ik}, \end{array} \quad (4.16)$$

$$\begin{array}{ccc} \Phi_{kk'} \circ \mathbf{g}_{jk} \circ \mathbf{f}_{ij} & \xrightarrow{\mathbf{G}_j^{kk'} * \text{id}_{\mathbf{f}_{ij}}} & \mathbf{g}_{jk'} \circ \mathbf{f}_{ij} \\ \Downarrow \text{id}_{\Phi_{kk'}} * \Theta_{ijk} & & \Theta_{ijk'} \Downarrow \\ \Phi_{kk'} \circ \mathbf{h}_{ik} & \xrightarrow{\mathbf{H}_i^{kk'}} & \mathbf{h}_{ik'}. \end{array} \quad (4.17)$$

(b) *If  $\tilde{\mathbf{h}} = (h, \tilde{\mathbf{h}}_{ik}, \tilde{\mathbf{H}}_{ii'}^k, \tilde{\mathbf{H}}_i^{kk'})$ ,  $\tilde{\Theta}_{ijk}$  are alternative choices for  $\mathbf{h}, \Theta_{ijk}$  in (a), then there is a unique 2-morphism of  $m$ -Kuranishi spaces  $\eta = (\eta_{ik}) : \mathbf{h} \Rightarrow \tilde{\mathbf{h}}$  satisfying  $\eta_{ik} \odot \Theta_{ijk} = \tilde{\Theta}_{ijk} : \mathbf{g}_{jk} \circ \mathbf{f}_{ij} \Rightarrow \tilde{\mathbf{h}}_{ik}$  for all  $i \in I, j \in J, k \in K$ .*

(c) *If  $\mathbf{X} = \mathbf{Y}$  and  $\mathbf{f} = \text{id}_{\mathbf{Y}}$  in (a), so that  $I = J$ , then a possible choice for  $\mathbf{h}, \Theta_{ijk}$  in (a) is  $\mathbf{h} = \mathbf{g}$  and  $\Theta_{ijk} = \mathbf{G}_{ij}^k$ .*

*Similarly, if  $\mathbf{Z} = \mathbf{Y}$  and  $\mathbf{g} = \text{id}_{\mathbf{Y}}$  in (a), so that  $K = J$ , then a possible choice for  $\mathbf{h}, \Theta_{ijk}$  in (a) is  $\mathbf{h} = \mathbf{f}$  and  $\Theta_{ijk} = \mathbf{F}_i^{jk}$ .*

*Proof.* For (a), define  $h = g \circ f : X \rightarrow Z$ . Let  $i \in I$  and  $k \in K$ , and set  $S = \text{Im } \chi_i \cap h^{-1}(\text{Im } \omega_k)$ , so that  $S$  is open in  $X$ . We want to choose a 1-morphism  $\mathbf{h}_{ik} : (U_i, D_i, r_i, \chi_i) \rightarrow (W_k, F_k, t_k, \omega_k)$  of m-Kuranishi neighbourhoods over  $(S, h)$ . Since  $\{\text{Im } \psi_j : j \in J\}$  is an open cover of  $Y$  and  $f$  is continuous,  $\{S \cap f^{-1}(\text{Im } \psi_j) : j \in J\}$  is an open cover of  $S$ . For all  $j, j' \in J$  we have a 2-morphism over  $S \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'})$ ,  $h$

$$\begin{aligned} & (\text{id}_{\mathbf{g}_{j'k}} * \mathbf{F}_i^{jj'}) \odot (\mathbf{G}_{jj'}^k * \text{id}_{\mathbf{f}_{ij}})^{-1} : \\ & \mathbf{g}_{jk} \circ \mathbf{f}_{ij} |_{S \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'})} \Longrightarrow \mathbf{g}_{j'k} \circ \mathbf{f}_{ij'} |_{S \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'})}. \end{aligned} \quad (4.18)$$

For  $j, j', j'' \in J$ , consider the diagram of 2-morphisms of 1-morphisms  $(U_i, D_i, r_i, \chi_i) \rightarrow (W_k, F_k, t_k, \omega_k)$  over  $S \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'} \cap \text{Im } \psi_{j'')}$ ,  $h$ :

$$\begin{array}{ccccc} \mathbf{g}_{jk} \circ \mathbf{f}_{ij} & \xleftarrow{\mathbf{G}_{jj'}^k * \text{id}_{\mathbf{f}_{ij}}} & \mathbf{g}_{j'k} \circ \Upsilon_{jj'} \circ \mathbf{f}_{ij} & \xrightarrow{\text{id}_{\mathbf{g}_{j'k}} * \mathbf{F}_i^{jj'}} & \mathbf{g}_{j'k} \circ \mathbf{f}_{ij'} \\ \uparrow \mathbf{G}_{jj''}^k * \text{id}_{\mathbf{f}_{ij}} & & \uparrow \mathbf{G}_{j'j''}^k * \text{id}_{\Upsilon_{jj'} \circ \mathbf{f}_{ij}} & & \\ \mathbf{g}_{j''k} \circ \Upsilon_{jj''} \circ \mathbf{f}_{ij} & \xleftarrow{\text{id}_{\mathbf{g}_{j''k}} * \Lambda_{jj'j''} * \text{id}_{\mathbf{f}_{ij}}} & \mathbf{g}_{j''k} \circ \Upsilon_{j'j''} \circ \Upsilon_{jj'} \circ \mathbf{f}_{ij} & & \mathbf{g}_{j'k} \circ \mathbf{f}_{ij'} \\ \downarrow \text{id}_{\mathbf{g}_{j''k}} * \mathbf{F}_i^{jj''} & & \downarrow \text{id}_{\mathbf{g}_{j''k}} * \text{id}_{\Upsilon_{j'j''} \circ \Upsilon_{jj'} \circ \mathbf{f}_{ij}} * \mathbf{F}_i^{jj''} & & \uparrow \mathbf{G}_{j'j''}^k * \text{id}_{\mathbf{f}_{ij'}} \\ \mathbf{g}_{j''k} \circ \mathbf{f}_{ij''} & \xleftarrow{\text{id}_{\mathbf{g}_{j''k}} * \mathbf{F}_i^{jj''}} & \mathbf{g}_{j''k} \circ \Upsilon_{j'j''} \circ \mathbf{f}_{ij'} & \xrightarrow{\mathbf{G}_{j'j''}^k * \text{id}_{\mathbf{f}_{ij'}}} & \mathbf{g}_{j'k} \circ \mathbf{f}_{ij'} \end{array} \quad (4.19)$$

Here the top left rectangle of (4.19) commutes by Definition 4.17(f) for  $\mathbf{g}$  composed with  $\text{id}_{\mathbf{f}_{ij}}$ , the bottom left rectangle by Definition 4.17(h) for  $\mathbf{f}$  composed with  $\text{id}_{\mathbf{g}_{j''k}}$ , and the right hand quadrilateral commutes by properties of strict 2-categories. Thus (4.19) commutes. This implies that

$$\begin{aligned} & ((\text{id}_{\mathbf{g}_{j''k}} * \mathbf{F}_i^{jj''}) \odot (\mathbf{G}_{j'j''}^k * \text{id}_{\mathbf{f}_{ij'}})^{-1}) \odot ((\text{id}_{\mathbf{g}_{j'k}} * \mathbf{F}_i^{jj'}) \odot (\mathbf{G}_{jj'}^k * \text{id}_{\mathbf{f}_{ij}})^{-1}) \\ & = (\text{id}_{\mathbf{g}_{j''k}} * \mathbf{F}_i^{jj''} \odot (\mathbf{G}_{jj'}^k * \text{id}_{\mathbf{f}_{ij}})^{-1}). \end{aligned} \quad (4.20)$$

Now Theorem 4.13 says that 1- and 2-morphisms from  $(U_i, D_i, r_i, \chi_i)$  to  $(W_k, F_k, t_k, \omega_k)$  over  $h$  form a stack on  $S$ , so applying Definition A.17(v) to the open cover  $\{S \cap f^{-1}(\text{Im } \psi_j) : j \in J\}$  of  $S$  with  $\mathbf{g}_{jk} \circ \mathbf{f}_{ij}$  in place of  $A_j$ , (4.18) in place of  $\alpha_{jj'}$ , and (4.20), shows that there exist a 1-morphism  $\mathbf{h}_{ik} : (U_i, D_i, r_i, \chi_i) \rightarrow (W_k, F_k, t_k, \omega_k)$  over  $(S, h)$ , and 2-morphisms

$$\Theta_{ijk} : \mathbf{g}_{jk} \circ \mathbf{f}_{ij} |_{S \cap f^{-1}(\text{Im } \psi_j)} \Longrightarrow \mathbf{h}_{ik} |_{S \cap f^{-1}(\text{Im } \psi_j)}$$

for all  $j \in J$ , satisfying for all  $j, j' \in J$

$$\Theta_{ijk} |_{S \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'})} = \Theta_{ij'k} \odot (\text{id}_{\mathbf{g}_{j'k}} * \mathbf{F}_i^{jj'}) \odot (\mathbf{G}_{jj'}^k * \text{id}_{\mathbf{f}_{ij}})^{-1}. \quad (4.21)$$

Observe that (4.21) is equivalent to equation (4.16) in the proposition.

So far we have chosen the data  $h, \mathbf{h}_{ik}$  for all  $i, k$  in  $\mathbf{h} = (h, \mathbf{h}_{ik}, \mathbf{H}_{ii'}^k, \mathbf{H}_i^{kk'})$ , where  $\mathbf{h}_{ik}$  involved an arbitrary choice. To define  $\mathbf{H}_{ii'}^k$  for  $i, i' \in I$  and  $k \in K$ ,

note that for each  $j \in J$ , equation (4.15) of the proposition implies that

$$\begin{aligned} & \mathbf{H}_{ii'}^k |_{\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)} \\ &= \Theta_{ijk} \odot (\text{id}_{\mathbf{g}_{jk}} * \mathbf{F}_{ii'}^j) \odot (\Theta_{i'jk} * \text{id}_{\text{T}_{ii'}})^{-1}. \end{aligned} \quad (4.22)$$

Using (4.21) for  $i, i'$  and a similar commutative diagram to (4.19), we can show that the prescribed values (4.22) for  $j, j' \in J$  agree when restricted to  $\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'}) \cap h^{-1}(\text{Im } \omega_k)$ . Therefore the stack property Theorem 4.13 and Definition A.17(iii),(iv) show that there is a unique 2-morphism  $\mathbf{H}_{ii'}^k : \mathbf{h}_{i'k} \circ \text{T}_{ii'} \Rightarrow \mathbf{h}_{ik}$  over  $h$  satisfying (4.22) for all  $j \in J$ , or equivalently, satisfying (4.15) for all  $j \in J$ . Similarly, there is a unique 2-morphism  $\mathbf{H}_i^{kk'} : \Phi_{kk'} \circ \mathbf{h}_{ik} \Rightarrow \mathbf{h}_{ik'}$  over  $h$  satisfying (4.17) for all  $j \in J$ .

We now claim that  $\mathbf{h} = (h, \mathbf{h}_{ik}, \mathbf{H}_{ii'}^k, \mathbf{H}_i^{kk'})$  is a 1-morphism  $\mathbf{h} : \mathbf{X} \rightarrow \mathbf{Z}$ . It remains to show Definition 4.17(f)–(h) hold for  $\mathbf{h}$ . To prove this, we first fix  $j \in J$  and prove the restrictions of (f)–(h) to the intersections of their domains with  $f^{-1}(\text{Im } \psi_j)$ . For instance, for part (f), for  $i, i', i'' \in I$  and  $k \in K$  we have

$$\begin{aligned} & (\mathbf{H}_{ii''}^k \odot (\text{id}_{\mathbf{h}_{i''k}} * \mathbf{K}_{ii'i''})) |_{\text{Im } \chi_i \cap \dots \cap h^{-1}(\text{Im } \omega_k)} \\ &= [\Theta_{ijk} \odot (\text{id}_{\mathbf{g}_{jk}} * \mathbf{F}_{ii''}^j) \odot (\Theta_{i''jk} * \text{id}_{\text{T}_{ii''}})^{-1}] \odot (\text{id}_{\mathbf{h}_{i''k}} * \mathbf{K}_{ii'i''}) \\ &= \Theta_{ijk} \odot (\text{id}_{\mathbf{g}_{jk}} * (\mathbf{F}_{ii''}^j \odot (\text{id}_{\mathbf{f}_{ij''}} * \mathbf{K}_{ii'i''}))) \odot ((\Theta_{i''jk}^{-1} * \text{id}_{\text{T}_{i'i''}}) * \text{id}_{\text{T}_{ii'}}) \\ &= \Theta_{ijk} \odot (\text{id}_{\mathbf{g}_{jk}} * (\mathbf{F}_{ii''}^j \odot (\mathbf{F}_{i'i''}^j * \text{id}_{\text{T}_{ii'}}))) \odot ((\Theta_{i''jk}^{-1} * \text{id}_{\text{T}_{i'i''}}) * \text{id}_{\text{T}_{ii'}}) \\ &= [\Theta_{ijk} \odot (\text{id}_{\mathbf{g}_{jk}} * \mathbf{F}_{ii'}^j) \odot (\Theta_{i'jk} * \text{id}_{\text{T}_{ii'}})^{-1}] \\ & \quad \odot ([(\Theta_{i'jk} \odot (\text{id}_{\mathbf{g}_{jk}} * \mathbf{F}_{i'i''}^j)) \odot (\Theta_{i''jk} * \text{id}_{\text{T}_{i'i''}})^{-1}] * \text{id}_{\text{T}_{ii'}}) \\ &= (\mathbf{H}_{ii'}^k \odot (\mathbf{H}_{i'i''}^k * \text{id}_{\text{T}_{ii'}})) |_{\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap \text{Im } \chi_{i''} \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)}, \end{aligned}$$

using (4.22) in the first and fifth steps, Definition 4.17(f) for  $\mathbf{f}$  in the third, and properties of strict 2-categories. Then we use the stack property Theorem 4.13 and Definition A.17(iii) to deduce that as Definition 4.17(f)–(h) for  $\mathbf{h}$  hold on the sets of an open cover, they hold globally. Therefore  $\mathbf{h} : \mathbf{X} \rightarrow \mathbf{Z}$  is a 1-morphism of m-Kuranishi spaces satisfying (4.15)–(4.17), proving (a).

For (b), if  $\tilde{\mathbf{h}}, \tilde{\Theta}_{ijk}$  are alternatives, then  $\mathbf{h}_{ik}, \tilde{\mathbf{h}}_{ik}$  are alternative solutions to the application of Theorem 4.13 and Definition A.17(v) above, for all  $i \in I$  and  $k \in K$ . Thus, the last part of Definition A.17(v) implies that there is a unique 2-morphism  $\eta_{ik} : \mathbf{h}_{ik} \Rightarrow \tilde{\mathbf{h}}_{ik}$  over  $h$  such that for all  $j \in J$  we have

$$\eta_{ik} |_{\text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)} = \tilde{\Theta}_{ijk} \odot \Theta_{ijk}^{-1}. \quad (4.23)$$

This implies that  $\eta_{ik} \odot \Theta_{ijk} = \tilde{\Theta}_{ijk}$ , as in (b). For each  $j \in J$  we have

$$\begin{aligned} & (\tilde{\mathbf{H}}_{ii'}^k \odot (\eta_{i'k} * \text{id}_{\text{T}_{ii'}})) |_{\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)} \\ &= [\tilde{\Theta}_{ijk} \odot (\text{id}_{\mathbf{g}_{jk}} * \mathbf{F}_{ii'}^j) \odot (\tilde{\Theta}_{i'jk} * \text{id}_{\text{T}_{ii'}})^{-1}] \odot [(\tilde{\Theta}_{i'jk} \odot \Theta_{i'jk}^{-1}) * \text{id}_{\text{T}_{ii'}}] \\ &= [\tilde{\Theta}_{ijk} \odot \Theta_{ijk}^{-1}] \odot [\Theta_{ijk} \odot (\text{id}_{\mathbf{g}_{jk}} * \mathbf{F}_{ii'}^j) \odot (\Theta_{i'jk} * \text{id}_{\text{T}_{ii'}})^{-1}] \\ &= (\eta_{ik} \odot \mathbf{H}_{ii'}^k) |_{\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)}, \end{aligned}$$

using (4.22) and (4.23) in the first and third steps. So by Definition A.17(iii) we deduce that  $\tilde{H}_{ii'}^k \odot (\eta_{i'k} * \text{id}_{T_{ii'}}) = \eta_{ik} \odot H_{ii'}^k$ , which is Definition 4.18(a) for  $\eta = (\eta_{ik}) : \mathbf{h} \Rightarrow \tilde{\mathbf{h}}$ . Similarly Definition 4.18(b) holds, so  $\eta : \mathbf{h} \Rightarrow \tilde{\mathbf{h}}$  is a 2-morphism of  $m$ -Kuranishi spaces. This proves (b). Part (c) is immediate, using Definition 4.17(f)–(h) for  $\mathbf{f}, \mathbf{g}$  to prove (4.15)–(4.17) hold for the given choices of  $\mathbf{h}$  and  $\Theta_{ijk}$ . This completes the proof of Proposition 4.19.  $\square$

Proposition 4.19(a) gives possible values  $\mathbf{h}$  for the composition  $\mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$ . Since there is no distinguished choice, we choose  $\mathbf{g} \circ \mathbf{f}$  arbitrarily.

**Definition 4.20.** For all pairs of 1-morphisms of  $m$ -Kuranishi spaces  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  and  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$ , use the Axiom of Global Choice (see Remark 4.21) to choose possible values of  $\mathbf{h} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\Theta_{ijk}$  in Proposition 4.19(a), and write  $\mathbf{g} \circ \mathbf{f} = \mathbf{h}$ , and for  $i \in I, j \in J, k \in K$  write

$$\Theta_{ijk}^{\mathbf{g}, \mathbf{f}} = \Theta_{ijk} : \mathbf{g}_{jk} \circ \mathbf{f}_{ij} \Longrightarrow (\mathbf{g} \circ \mathbf{f})_{ik}. \quad (4.24)$$

We call  $\mathbf{g} \circ \mathbf{f}$  the *composition of 1-morphisms of  $m$ -Kuranishi spaces*.

For general  $\mathbf{f}, \mathbf{g}$  we make these choices arbitrarily. However, if  $\mathbf{X} = \mathbf{Y}$  and  $\mathbf{f} = \text{id}_{\mathbf{Y}}$  then we choose  $\mathbf{g} \circ \text{id}_{\mathbf{Y}} = \mathbf{g}$  and  $\Theta_{jj'k}^{\mathbf{g}, \text{id}_{\mathbf{Y}}} = \mathbf{G}_{jj'k}^k$ , and if  $\mathbf{Z} = \mathbf{Y}$  and  $\mathbf{g} = \text{id}_{\mathbf{Y}}$  then we choose  $\text{id}_{\mathbf{Y}} \circ \mathbf{f} = \mathbf{f}$  and  $\Theta_{ijj'}^{\text{id}_{\mathbf{Y}}, \mathbf{f}} = \mathbf{F}_{ijj'}^{jj'}$ . This is allowed by Proposition 4.19(c).

The definition of a weak 2-category in Appendix A includes 2-isomorphisms  $\beta_{\mathbf{f}} : \mathbf{f} \circ \text{id}_{\mathbf{X}} \Rightarrow \mathbf{f}$  and  $\gamma_{\mathbf{f}} : \text{id}_{\mathbf{Y}} \circ \mathbf{f} \Rightarrow \mathbf{f}$  in (A.10), since one does not require  $\mathbf{f} \circ \text{id}_{\mathbf{X}} = \mathbf{f}$  and  $\text{id}_{\mathbf{Y}} \circ \mathbf{f} = \mathbf{f}$  in a general weak 2-category. We define

$$\beta_{\mathbf{f}} = \text{id}_{\mathbf{f}} : \mathbf{f} \circ \text{id}_{\mathbf{X}} \Longrightarrow \mathbf{f}, \quad \gamma_{\mathbf{f}} = \text{id}_{\mathbf{f}} : \text{id}_{\mathbf{Y}} \circ \mathbf{f} \Longrightarrow \mathbf{f}. \quad (4.25)$$

**Remark 4.21.** As in Shulman [101, §7] or Herrlick and Strecker [45, §1.2], the *Axiom of Global Choice*, or *Axiom of Choice for classes*, used in Definition 4.20, is a strong form of the Axiom of Choice.

As in Jech [54], in Set Theory one distinguishes between sets, and ‘classes’, which are like sets but may be larger. We are not allowed to consider things like ‘the set of all sets’, or ‘the set of all manifolds’, as this would lead to paradoxes such as ‘the set of all sets which are not members of themselves’. Instead sets, manifolds, . . . form classes, upon which more restrictive operations are allowed.

The Axiom of Choice says that if  $\{S_i : i \in I\}$  is a family of nonempty sets, with  $I$  a set, then we can simultaneously choose an element  $s_i \in S_i$  for all  $i \in I$ . The Axiom of Global Choice says the same thing, but allowing  $I$  (and possibly also the  $S_i$ ) to be classes rather than sets. As in [101, §7], the Axiom of Global Choice follows from the axioms of von Neumann–Bernays–Gödel Set Theory.

The Axiom of Global Choice is used, implicitly or explicitly, in the proofs of important results in category theory in their most general form, for example, Adjoint Functor Theorems, or that every category has a skeleton, or that every weak 2-category can be strictified.

We need to use the Axiom of Global Choice above because we make an arbitrary choice of  $\mathbf{g} \circ \mathbf{f}$  for all  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  and  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  in  $\mathbf{mKur}$ , and as we



have defined things, the collection of all such  $(\mathbf{f}, \mathbf{g})$  may be a proper class, not a set. We could avoid this by arranging our foundations differently. For example, if we required  $\mathbf{Man}$  and  $\mathbf{Top}$  to be small categories, then the collection of all  $(\mathbf{f}, \mathbf{g})$  would be a set, and the usual Axiom of Choice would suffice.

If we did not make arbitrary choices of compositions  $\mathbf{g} \circ \mathbf{f}$  at all, then  $\mathbf{mKur}$  would not be a weak 2-category in Theorem 4.28 below, since for 1-morphisms  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  and  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  in  $\mathbf{mKur}$  we would not be given a unique composition  $\mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$ , but only a nonempty family of possible choices for  $\mathbf{g} \circ \mathbf{f}$ , which are all 2-isomorphic. Such structures appear in the theory of *quasi-categories*, as in Boardman and Vogt [5] or Joyal [55], which are a form of  $\infty$ -category, and  $\mathbf{mKur}$  would be an example of a 3-coskeletal quasi-category.

Since composition of 1-morphisms  $\mathbf{g} \circ \mathbf{f}$  is natural only up to canonical 2-isomorphism, as in Proposition 4.19(b), composition is associative only up to canonical 2-isomorphism. Note that the 2-isomorphisms  $\alpha_{\mathbf{g}, \mathbf{f}, \mathbf{e}}$  in (4.26) are part of the definition of a weak 2-category in §A.2, as in (A.7).

**Proposition 4.22.** *Let  $e : \mathbf{W} \rightarrow \mathbf{X}$ ,  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$ ,  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms of  $m$ -Kuranishi spaces, and define composition of 1-morphisms as in Definition 4.20. Then using notation (4.5)–(4.8), there is a unique 2-morphism*

$$\alpha_{\mathbf{g}, \mathbf{f}, \mathbf{e}} : (\mathbf{g} \circ \mathbf{f}) \circ \mathbf{e} \Longrightarrow \mathbf{g} \circ (\mathbf{f} \circ \mathbf{e}) \quad (4.26)$$

with the property that for all  $h \in H$ ,  $i \in I$ ,  $j \in J$  and  $k \in K$  we have

$$(\alpha_{\mathbf{g}, \mathbf{f}, \mathbf{e}})_{hk} \odot \Theta_{hik}^{\mathbf{g} \circ \mathbf{f}, \mathbf{e}} \odot (\Theta_{ijk}^{\mathbf{g}, \mathbf{f}} * \text{id}_{e_{hi}}) = \Theta_{hjk}^{\mathbf{g}, \mathbf{f} \circ \mathbf{e}} \odot (\text{id}_{g_{jk}} * \Theta_{hij}^{\mathbf{f}, \mathbf{e}}). \quad (4.27)$$

*Proof.* The proof uses similar ideas to that of Proposition 4.19, so we will be brief. Note that for  $h \in H$ ,  $i \in I$ ,  $j \in J$ ,  $k \in K$ , equation (4.27) implies that

$$\begin{aligned} & (\alpha_{\mathbf{g}, \mathbf{f}, \mathbf{e}})_{hk} \Big|_{\text{Im } \varphi_h \cap e^{-1}(\text{Im } \chi_i) \cap (f \circ e)^{-1}(\text{Im } \psi_j) \cap (g \circ f \circ e)^{-1}(\text{Im } \omega_k)} \\ & = \Theta_{hjk}^{\mathbf{g}, \mathbf{f} \circ \mathbf{e}} \odot (\text{id}_{g_{jk}} * \Theta_{hij}^{\mathbf{f}, \mathbf{e}}) \odot (\Theta_{ijk}^{\mathbf{g}, \mathbf{f}} * \text{id}_{e_{hi}})^{-1} \odot (\Theta_{hik}^{\mathbf{g} \circ \mathbf{f}, \mathbf{e}})^{-1}. \end{aligned} \quad (4.28)$$

We show that for  $i' \in I$ ,  $j' \in J$ , the right hand sides of (4.28) for  $h, i, j, k$  and for  $h, i', j', k$  agree on the overlap of their domains, using the properties (4.15)–(4.17) of the  $\Theta_{ijk}^{\mathbf{g}, \mathbf{f}}$ . Then we use the stack property Theorem 4.13 and Definition A.17(iii),(iv) to deduce that there is a unique 2-morphism  $(\alpha_{\mathbf{g}, \mathbf{f}, \mathbf{e}})_{hk}$  satisfying (4.28) for all  $i \in I$ ,  $j \in J$ .

We prove the restrictions of Definition 4.18(a),(b) for  $\alpha_{\mathbf{g}, \mathbf{f}, \mathbf{e}} = ((\alpha_{\mathbf{g}, \mathbf{f}, \mathbf{e}})_{hk})$  to the intersection of their domains with  $e^{-1}(\text{Im } \chi_i) \cap (f \circ e)^{-1}(\text{Im } \psi_j)$ , for all  $i \in I$  and  $j \in J$ , using (4.28) and properties of the  $\Theta_{ijk}^{\mathbf{g}, \mathbf{f}}$ . Since these intersections form an open cover of the domains, Theorem 4.13 and Definition A.17(iii) imply that Definition 4.18(a),(b) for  $\alpha_{\mathbf{g}, \mathbf{f}, \mathbf{e}}$  hold on the correct domains, so  $\alpha_{\mathbf{g}, \mathbf{f}, \mathbf{e}}$  is a 2-morphism, as in (4.26). Uniqueness follows from uniqueness of  $(\alpha_{\mathbf{g}, \mathbf{f}, \mathbf{e}})_{hk}$  above. This completes the proof.  $\square$

We define vertical and horizontal composition of 2-morphisms:

**Definition 4.23.** Let  $\mathbf{f}, \mathbf{g}, \mathbf{h} : \mathbf{X} \rightarrow \mathbf{Y}$  be 1-morphisms of m-Kuranishi spaces, using notation (4.6)–(4.7), and  $\boldsymbol{\eta} = (\boldsymbol{\eta}_{ij}) : \mathbf{f} \Rightarrow \mathbf{g}$ ,  $\boldsymbol{\zeta} = (\boldsymbol{\zeta}_{ij}) : \mathbf{g} \Rightarrow \mathbf{h}$  be 2-morphisms. Define the *vertical composition of 2-morphisms*  $\boldsymbol{\zeta} \odot \boldsymbol{\eta} : \mathbf{f} \Rightarrow \mathbf{h}$  by

$$\boldsymbol{\zeta} \odot \boldsymbol{\eta} = (\boldsymbol{\zeta}_{ij} \odot \boldsymbol{\eta}_{ij}, i \in I, j \in J). \quad (4.29)$$

To see that  $\boldsymbol{\zeta} \odot \boldsymbol{\eta}$  satisfies Definition 4.18(a),(b), for (a) note that for all  $i, i' \in I$  and  $j \in J$ , by Definition 4.18(a) for  $\boldsymbol{\eta}, \boldsymbol{\zeta}$  we have

$$\begin{aligned} \mathbf{H}_{ii'}^j \odot ((\boldsymbol{\zeta}_{i'j} \odot \boldsymbol{\eta}_{i'j}) * \text{id}_{\Gamma_{ii'}}) &= \mathbf{H}_{ii'}^j \odot (\boldsymbol{\zeta}_{i'j} * \text{id}_{\Gamma_{ii'}}) \odot (\boldsymbol{\eta}_{i'j} * \text{id}_{\Gamma_{ii'}}) \\ &= \boldsymbol{\zeta}_{ij} \odot \mathbf{G}_{ii'}^j \odot (\boldsymbol{\eta}_{i'j} * \text{id}_{\Gamma_{ii'}}) = (\boldsymbol{\zeta}_{ij} \odot \boldsymbol{\eta}_{ij}) \odot \mathbf{F}_{ii'}^j, \end{aligned}$$

and Definition 4.18(b) for  $\boldsymbol{\zeta} \odot \boldsymbol{\eta}$  is proved similarly.

Clearly, vertical composition of 2-morphisms of m-Kuranishi spaces is associative,  $(\boldsymbol{\theta} \odot \boldsymbol{\zeta}) \odot \boldsymbol{\eta} = \boldsymbol{\theta} \odot (\boldsymbol{\zeta} \odot \boldsymbol{\eta})$ , since vertical composition of 2-morphisms of m-Kuranishi neighbourhoods is associative.

If  $\mathbf{g} = \mathbf{h}$  and  $\boldsymbol{\zeta} = \text{id}_{\mathbf{g}}$  then  $\text{id}_{\mathbf{g}} \odot \boldsymbol{\eta} = (\text{id}_{\mathbf{g}_{ij}} \odot \boldsymbol{\eta}_{ij}) = (\boldsymbol{\eta}_{ij}) = \boldsymbol{\eta}$ , and similarly  $\boldsymbol{\zeta} \odot \text{id}_{\mathbf{g}} = \boldsymbol{\zeta}$ , so identity 2-morphisms behave as expected under  $\odot$ .

If  $\boldsymbol{\eta} = (\boldsymbol{\eta}_{ij}) : \mathbf{f} \Rightarrow \mathbf{g}$  is a 2-morphism of m-Kuranishi spaces, then as 2-morphisms  $\boldsymbol{\eta}_{ij}$  of m-Kuranishi neighbourhoods are invertible, we may define  $\boldsymbol{\eta}^{-1} = (\boldsymbol{\eta}_{ij}^{-1}) : \mathbf{g} \Rightarrow \mathbf{f}$ . It is easy to check that  $\boldsymbol{\eta}^{-1}$  is a 2-morphism, and  $\boldsymbol{\eta}^{-1} \odot \boldsymbol{\eta} = \text{id}_{\mathbf{f}}$ ,  $\boldsymbol{\eta} \odot \boldsymbol{\eta}^{-1} = \text{id}_{\mathbf{g}}$ . Thus, all 2-morphisms of m-Kuranishi spaces are 2-isomorphisms.

**Definition 4.24.** Let  $\mathbf{e}, \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  and  $\mathbf{g}, \mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms of m-Kuranishi spaces, using notation (4.6)–(4.8), and  $\boldsymbol{\eta} = (\boldsymbol{\eta}_{ij}) : \mathbf{e} \Rightarrow \mathbf{f}$ ,  $\boldsymbol{\zeta} = (\boldsymbol{\zeta}_{jk}) : \mathbf{g} \Rightarrow \mathbf{h}$  be 2-morphisms. We claim there is a unique 2-morphism  $\boldsymbol{\theta} = (\boldsymbol{\theta}_{ik}) : \mathbf{g} \circ \mathbf{e} \Rightarrow \mathbf{h} \circ \mathbf{f}$ , such that for all  $i \in I, j \in J, k \in K$ , we have

$$\boldsymbol{\theta}_{ik} |_{\text{Im } \chi_i \cap e^{-1}(\text{Im } \psi_j) \cap (g \circ e)^{-1}(\text{Im } \omega_k)} = \Theta_{ijk}^{\mathbf{h}, \mathbf{f}} \odot (\boldsymbol{\zeta}_{jk} * \boldsymbol{\eta}_{ij}) \odot (\Theta_{ijk}^{\mathbf{g}, \mathbf{e}})^{-1}. \quad (4.30)$$

To prove this, suppose  $j, j' \in J$ , and consider the diagram of 2-morphisms over  $\text{Im } \chi_i \cap e^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'}) \cap (g \circ e)^{-1}(\text{Im } \omega_k)$ :

$$\begin{array}{ccccc} (\Theta_{ijk}^{\mathbf{g}, \mathbf{e}})^{-1} & \xrightarrow{\quad} & \mathbf{g}_{jk} \circ \mathbf{e}_{ij} & \xrightarrow{\quad \boldsymbol{\zeta}_{jk} * \boldsymbol{\eta}_{ij} \quad} & \mathbf{h}_{jk} \circ \mathbf{f}_{ij} & \xrightarrow{\quad \Theta_{ijk}^{\mathbf{h}, \mathbf{f}} \quad} \\ & \searrow & \uparrow \mathbf{G}_{jj'}^k * \text{id}_{\mathbf{e}_{ij}} & & \mathbf{H}_{jj'}^k * \text{id}_{\mathbf{f}_{ij}} \uparrow & \swarrow \\ (\mathbf{g} \circ \mathbf{e})_{ik} & \xrightarrow{\quad} & \mathbf{g}_{j'k} \circ \Upsilon_{jj'} \circ \mathbf{e}_{ij} & \xrightarrow{\quad \boldsymbol{\zeta}_{j'k} * \text{id}_{\Upsilon_{jj'}} * \boldsymbol{\eta}_{ij} \quad} & \mathbf{h}_{j'k} \circ \Upsilon_{jj'} \circ \mathbf{f}_{ij} & \xrightarrow{\quad (\mathbf{h} \circ \mathbf{f})_{ik} \quad} \\ & \searrow & \downarrow \text{id}_{\mathbf{g}_{j'k}} * \mathbf{E}_i^{jj'} & & \text{id}_{\mathbf{g}_{j'k}} * \mathbf{E}_i^{jj'} \downarrow & \swarrow \\ (\Theta_{ij'k}^{\mathbf{g}, \mathbf{e}})^{-1} & \xrightarrow{\quad} & \mathbf{g}_{j'k} \circ \mathbf{e}_{ij'} & \xrightarrow{\quad \boldsymbol{\zeta}_{j'k} * \boldsymbol{\eta}_{ij'} \quad} & \mathbf{h}_{j'k} \circ \mathbf{f}_{ij'} & \xrightarrow{\quad \Theta_{ij'k}^{\mathbf{h}, \mathbf{f}} \quad} \end{array} \quad (4.31)$$

Here the left and right quadrilaterals commute by (4.16), and the central rectangles commute by Definition 4.18(a),(b) for  $\boldsymbol{\zeta}, \boldsymbol{\eta}$ . Hence (4.31) commutes.

The two routes round the outside of (4.31) imply that the prescribed values (4.30) for  $\boldsymbol{\theta}_{ik}$  agree on overlaps between open sets for  $j, j'$ . As the  $\text{Im } \chi_i \cap e^{-1}(\text{Im } \psi_j) \cap (g \circ e)^{-1}(\text{Im } \omega_k)$  for  $j \in J$  form an open cover of the correct domain

$\text{Im } \chi_i \cap (g \circ e)^{-1}(\text{Im } \omega_k)$ , by Theorem 4.13 and Definition A.17(iii),(iv), there is a unique 2-morphism  $\theta_{ik} : (g \circ e)_{ik} \Rightarrow (h \circ f)_{ik}$  satisfying (4.30) for all  $j \in J$ .

To show  $\theta = (\theta_{ik}) : g \circ e \Rightarrow h \circ f$  is a 2-morphism, we must verify Definition 4.18(a),(b) for  $\theta$ . We do this by first showing that (a),(b) hold on the intersections of their domains with  $e^{-1}(\text{Im } \psi_j)$  for  $j \in J$  using (4.15), (4.17), (4.30), and Definition 4.18 for  $\eta, \zeta$ , and then use Theorem 4.13 and Definition A.17(iii) to deduce that Definition 4.18(a),(b) for  $\theta$  hold on their whole domains. So  $\theta$  is a 2-morphism of m-Kuranishi spaces.

Define the *horizontal composition of 2-morphisms*  $\zeta * \eta : g \circ e \Rightarrow h \circ f$  to be  $\zeta * \eta = \theta$ . By (4.30), for all  $i \in I, j \in J, k \in K$  we have

$$(\zeta * \eta)_{ik} \circ \Theta_{ijk}^{g,e} = \Theta_{ijk}^{h,f} \circ (\zeta_{jk} * \eta_{ij}), \quad (4.32)$$

and this characterizes  $\zeta * \eta$  uniquely.

We have now defined all the structures of a *weak 2-category of m-Kuranishi spaces*  $\mathbf{m}\dot{\mathbf{K}}\text{ur}$ , as in Appendix A: objects  $\mathbf{X}, \mathbf{Y}$ , 1-morphisms  $f, g : \mathbf{X} \rightarrow \mathbf{Y}$ , 2-morphisms  $\eta : f \Rightarrow g$ , identity 1- and 2-morphisms, composition of 1-morphisms, vertical and horizontal composition of 2-morphisms, 2-isomorphisms  $\alpha_{g,f,e}$  in (4.26) for associativity of 1-morphisms, and  $\beta_f, \gamma_f$  in (4.25) for identity 1-morphisms. To show that  $\mathbf{m}\dot{\mathbf{K}}\text{ur}$  is a weak 2-category, it remains only to prove the 2-morphism identities (A.6), (A.8), (A.9), (A.11) and (A.12). Of these, (A.11)–(A.12) are easy as  $\beta_f = \gamma_f = \text{id}_f$ , and we leave them as an exercise. The next three propositions prove (A.6), (A.8) and (A.9) hold.

**Proposition 4.25.** *Let  $f, \dot{f}, \ddot{f} : \mathbf{X} \rightarrow \mathbf{Y}, g, \dot{g}, \ddot{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms of m-Kuranishi spaces, and  $\eta : f \Rightarrow \dot{f}, \dot{\eta} : \dot{f} \Rightarrow \ddot{f}, \zeta : g \Rightarrow \dot{g}, \dot{\zeta} : \dot{g} \Rightarrow \ddot{g}$  be 2-morphisms. Then*

$$(\dot{\zeta} \circ \zeta) * (\dot{\eta} \circ \eta) = (\dot{\zeta} * \dot{\eta}) \circ (\zeta * \eta) : g \circ f \Longrightarrow \ddot{g} \circ \ddot{f}. \quad (4.33)$$

*Proof.* Use notation (4.6)–(4.8) for  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$ . For  $i \in I, j \in J, k \in K$  we have

$$\begin{aligned} & [(\dot{\zeta} \circ \zeta) * (\dot{\eta} \circ \eta)]_{ik} \Big|_{\text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j) \cap (g \circ f)^{-1}(\text{Im } \omega_k)} \\ &= \Theta_{ijk}^{\dot{g}, \ddot{f}} \circ ((\dot{\zeta}_{jk} \circ \zeta_{jk}) * (\dot{\eta}_{ij} \circ \eta_{ij})) \circ (\Theta_{ijk}^{g,f})^{-1} \\ &= \Theta_{ijk}^{\dot{g}, \ddot{f}} \circ ((\dot{\zeta}_{jk} * \dot{\eta}_{ij}) \circ (\zeta_{jk} * \eta_{ij})) \circ (\Theta_{ijk}^{g,f})^{-1} \\ &= [\Theta_{ijk}^{\dot{g}, \ddot{f}} \circ (\dot{\zeta}_{jk} * \dot{\eta}_{ij}) \circ (\Theta_{ijk}^{\dot{g}, \ddot{f}})^{-1}] \circ [\Theta_{ijk}^{\dot{g}, \ddot{f}} \circ (\zeta_{jk} * \eta_{ij}) \circ (\Theta_{ijk}^{g,f})^{-1}] \\ &= [(\dot{\zeta} * \dot{\eta}) \circ (\zeta * \eta)]_{ik} \Big|_{\text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j) \cap (g \circ f)^{-1}(\text{Im } \omega_k)}, \end{aligned}$$

using (4.29) and (4.32) in the first and fourth steps, and compatibility of vertical and horizontal composition for 2-morphisms of m-Kuranishi neighbourhoods in the second. Since the  $\text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j) \cap (g \circ f)^{-1}(\text{Im } \omega_k)$  for all  $j \in J$  form an open cover of the domain  $\text{Im } \chi_i \cap (g \circ f)^{-1}(\text{Im } \omega_k)$ , Theorem 4.13 and Definition A.17(iii) imply that  $[(\dot{\zeta} \circ \zeta) * (\dot{\eta} \circ \eta)]_{ik} = [(\dot{\zeta} * \dot{\eta}) \circ (\zeta * \eta)]_{ik}$ . As this holds for all  $i \in I$  and  $k \in K$ , equation (4.33) follows.  $\square$

**Proposition 4.26.** *Suppose  $e, \dot{e} : W \rightarrow X$ ,  $f, \dot{f} : X \rightarrow Y$ ,  $g, \dot{g} : Y \rightarrow Z$  are 1-morphisms of  $m$ -Kuranishi spaces, and  $\epsilon : e \Rightarrow \dot{e}$ ,  $\eta : f \Rightarrow \dot{f}$ ,  $\zeta : g \Rightarrow \dot{g}$  are 2-morphisms. Then the following diagram of 2-morphisms commutes:*

$$\begin{array}{ccc}
(g \circ f) \circ e & \xrightarrow{\alpha_{g,f,e}} & g \circ (f \circ e) \\
\Downarrow (\zeta * \eta) * \epsilon & & \zeta * (\eta * \epsilon) \Downarrow \\
(\dot{g} \circ \dot{f}) \circ \dot{e} & \xrightarrow{\alpha_{\dot{g},\dot{f},\dot{e}}} & \dot{g} \circ (\dot{f} \circ \dot{e}).
\end{array} \quad (4.34)$$

*Proof.* Use notation (4.5)–(4.8) for  $\mathbf{W}, \mathbf{X}, \mathbf{Y}, \mathbf{Z}$ . For  $h \in H$ ,  $i \in I$ ,  $j \in J$ ,  $k \in K$  we have

$$\begin{aligned}
& [(\zeta * (\eta * \epsilon)) \odot \alpha_{g,f,e}]_{hk} \Big|_{\text{Im } \varphi_h \cap e^{-1}(\text{Im } \chi_i) \cap (f \circ e)^{-1}(\text{Im } \psi_j) \cap (g \circ f \circ e)^{-1}(\text{Im } \omega_k)} \\
&= [\Theta_{hjk}^{\dot{g}, \dot{f} \circ \dot{e}} \odot [\zeta_{jk} * (\Theta_{hij}^{\dot{f}, \dot{e}} \odot (\eta_{ij} * \epsilon_{hi}) \odot (\Theta_{hij}^{f,e})^{-1})] \odot (\Theta_{hjk}^{g, f \circ e})^{-1}] \\
&\quad \odot [\Theta_{hjk}^{g, f \circ e} \odot (\text{id}_{g_{jk}} * \Theta_{hij}^{f,e}) \odot (\Theta_{ijk}^{g,f} * \text{id}_{e_{hi}})^{-1} \odot (\Theta_{hik}^{g \circ f, e})^{-1}] \\
&= \Theta_{hjk}^{\dot{g}, \dot{f} \circ \dot{e}} \odot (\text{id}_{\dot{g}_{jk}} * \Theta_{hij}^{\dot{f}, \dot{e}}) \odot (\zeta_{jk} * \eta_{ij} * \epsilon_{hi}) \odot (\Theta_{ijk}^{g,f} * \text{id}_{e_{hi}})^{-1} \odot (\Theta_{hik}^{g \circ f, e})^{-1} \\
&= [\Theta_{hjk}^{\dot{g}, \dot{f} \circ \dot{e}} \odot (\text{id}_{\dot{g}_{jk}} * \Theta_{hij}^{\dot{f}, \dot{e}}) \odot (\Theta_{ijk}^{\dot{g}, \dot{f}} * \text{id}_{\dot{e}_{hi}})^{-1} \odot (\Theta_{hik}^{\dot{g} \circ \dot{f}, \dot{e}})^{-1}] \\
&\quad \odot [\Theta_{hik}^{\dot{g} \circ \dot{f}, \dot{e}} \odot [(\Theta_{ijk}^{\dot{g}, \dot{f}} \odot (\zeta_{jk} * \eta_{ij}) \odot (\Theta_{ijk}^{g,f})^{-1}) * \epsilon_{hi}] \odot (\Theta_{hik}^{g \circ f, e})^{-1}] \\
&= [\alpha_{\dot{g}, \dot{f}, \dot{e}} \odot ((\zeta * \eta) * \epsilon)]_{hk} \Big|_{\text{Im } \varphi_h \cap e^{-1}(\text{Im } \chi_i) \cap (f \circ e)^{-1}(\text{Im } \psi_j) \cap (g \circ f \circ e)^{-1}(\text{Im } \omega_k)},
\end{aligned}$$

using (4.27) and (4.32) in the first and fourth steps, and properties of strict 2-categories in the second and third. This proves the restriction of the ‘ $hk$ ’ component of (4.34) to  $\text{Im } \varphi_h \cap e^{-1}(\text{Im } \chi_i) \cap (f \circ e)^{-1}(\text{Im } \psi_j) \cap (g \circ f \circ e)^{-1}(\text{Im } \omega_k)$  commutes. Since these subsets for all  $i, j$  form an open cover of the domain, Theorem 4.13 and Definition A.17(iii) imply that the ‘ $hk$ ’ component of (4.34) commutes for all  $h \in H$ ,  $k \in K$ , so (4.34) commutes.  $\square$

**Proposition 4.27.** *Let  $d : V \rightarrow W$ ,  $e : W \rightarrow X$ ,  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be 1-morphisms of  $m$ -Kuranishi spaces. Then in 2-morphisms we have*

$$\begin{aligned}
\alpha_{g,f,e \circ d} \odot \alpha_{g \circ f, e, d} &= (\text{id}_g * \alpha_{f, e, d}) \odot \alpha_{g, f \circ e, d} \odot (\alpha_{g, f, e} * \text{id}_d) : \\
((g \circ f) \circ e) \circ d &\implies g \circ (f \circ (e \circ d)).
\end{aligned} \quad (4.35)$$

*Proof.* Use notation (4.5)–(4.8) for  $\mathbf{W}, \mathbf{X}, \mathbf{Y}, \mathbf{Z}$ , and take  $G$  to be the indexing set for  $\mathbf{V}$ . Then for  $g \in G$ ,  $h \in H$ ,  $i \in I$ ,  $j \in J$ ,  $k \in K$ , on  $\text{Im } v_g \cap d^{-1}(\text{Im } \varphi_h)$

$\cap (e \circ d)^{-1}(\text{Im } \chi_i) \cap (f \circ e \circ d)^{-1}(\text{Im } \psi_j) \cap (g \circ f \circ e \circ d)^{-1}(\text{Im } \omega_k)$  we have

$$\begin{aligned}
& [(\alpha_{g,f,e \circ d}) \odot (\alpha_{g \circ f,e,d})]_{gk} | \dots \\
& = \{ \Theta_{gjk}^{g,f \circ (e \circ d)} \odot (\text{id}_{g_{jk}} * \Theta_{gij}^{f,e \circ d}) \odot (\Theta_{ijk}^{g,f} * \text{id}_{(e \circ d)_{gi}})^{-1} \odot (\Theta_{gik}^{g \circ f,e \circ d})^{-1} \} \\
& \quad \odot \{ \Theta_{gik}^{g \circ f,e \circ d} \odot (\text{id}_{(g \circ f)_{ik}} * \Theta_{ghi}^{e,d}) \odot (\Theta_{hik}^{g \circ f,e} * \text{id}_{d_{gh}})^{-1} \odot (\Theta_{ghk}^{(g \circ f) \circ e,d})^{-1} \} \\
& = \Theta_{gjk}^{g,f \circ (e \circ d)} \odot (\text{id}_{g_{jk}} * \Theta_{gij}^{f,e \circ d}) \odot ((\Theta_{ijk}^{g,f})^{-1} * \Theta_{ghi}^{e,d}) \\
& \quad \odot (\Theta_{hik}^{g \circ f,e} * \text{id}_{d_{gh}})^{-1} \odot (\Theta_{ghk}^{(g \circ f) \circ e,d})^{-1} \\
& = \{ \Theta_{gjk}^{g,f \circ (e \circ d)} \odot (\text{id}_{g_{jk}} * [\Theta_{gij}^{f,e \circ d} \odot (\text{id}_{f_{ij}} * \Theta_{ghi}^{e,d}) \odot (\Theta_{hij}^{f,e} * \text{id}_{d_{gh}})^{-1} \odot (\Theta_{ghj}^{f \circ e,d})^{-1}] \\
& \quad \odot (\Theta_{gjk}^{(f \circ e) \circ d})^{-1} \} \odot \{ \Theta_{gjk}^{(f \circ e) \circ d} \odot (\text{id}_{g_{jk}} * \Theta_{ghj}^{f \circ e,d}) \odot (\Theta_{hjk}^{g,f \circ e} * \text{id}_{d_{gh}})^{-1} \\
& \quad \odot (\Theta_{ghk}^{g \circ (f \circ e),d})^{-1} \} \odot \{ \Theta_{ghk}^{g \circ (f \circ e),d} \odot ([\Theta_{hjk}^{g,f \circ e} \odot (\text{id}_{g_{jk}} * \Theta_{hij}^{f,e}) \\
& \quad \odot (\Theta_{ijk}^{g,f} * \text{id}_{e_{hi}})^{-1} \odot (\Theta_{hik}^{g \circ f,e})^{-1}] * \text{id}_{d_{gh}}) \odot (\Theta_{ghk}^{(g \circ f) \circ e,d})^{-1} \} \\
& = [(\text{id}_g * \alpha_{f,e,d}) \odot \alpha_{g,f \circ e,d} \odot (\alpha_{g,f,e} * \text{id}_d)]_{gk} | \dots,
\end{aligned}$$

using (4.27) and (4.32) in the first and fourth steps, and properties of strict 2-categories in the second and third. This proves the restriction of the ‘ $gk$ ’ component of (4.35) to the subset  $\text{Im } v_g \cap d^{-1}(\text{Im } \varphi_h) \cap (e \circ d)^{-1}(\text{Im } \chi_i) \cap (f \circ e \circ d)^{-1}(\text{Im } \psi_j) \cap (g \circ f \circ e \circ d)^{-1}(\text{Im } \omega_k)$ . Since these subsets for all  $h, i, j$  form an open cover of the domain, Theorem 4.13 and Definition A.17(iii) imply that the ‘ $gk$ ’ component of (4.35) commutes for all  $g \in G$  and  $k \in K$ , so (4.35) commutes.  $\square$

We summarize the work of this section in the following:

**Theorem 4.28.** *The definitions and propositions above define a weak 2-category of  $m$ -Kuranishi spaces  $\mathbf{mKur}$ .*

**Definition 4.29.** In Theorem 4.28 we write  $\mathbf{mKur}$  for the 2-category of  $m$ -Kuranishi spaces constructed from our chosen category  $\mathbf{Man}$  satisfying Assumptions 3.1–3.7 in §3.1. By Example 3.8, the following categories from Chapter 2 are possible choices for  $\mathbf{Man}$ :

$$\mathbf{Man}, \mathbf{Man}^c, \mathbf{Man}_{\text{we}}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{\text{c,ac}}. \quad (4.36)$$

We write the corresponding 2-categories of  $m$ -Kuranishi spaces as follows:

$$\mathbf{mKur}, \mathbf{mKur}^c, \mathbf{mKur}_{\text{we}}^c, \mathbf{mKur}^{\text{gc}}, \mathbf{mKur}^{\text{ac}}, \mathbf{mKur}^{\text{c,ac}}. \quad (4.37)$$

Objects of  $\mathbf{mKur}^c, \mathbf{mKur}^{\text{gc}}, \mathbf{mKur}^{\text{ac}}, \mathbf{mKur}^{\text{c,ac}}$  will be called  $m$ -Kuranishi spaces with corners, and with  $g$ -corners, and with  $a$ -corners, and with corners and  $a$ -corners, respectively.

Actually, Example 3.8 gives lots more categories satisfying Assumptions 3.1–3.7, such as  $\mathbf{Man}_{\text{in}}^c \subset \mathbf{Man}^c$ , but we will not define notation for corresponding 2-categories of  $m$ -Kuranishi spaces  $\mathbf{mKur}_{\text{in}}^c, \dots$  here. Instead, in §4.5 we will define the 2-categories  $\mathbf{mKur}_{\text{in}}^c, \dots$  as 2-subcategories of the 2-categories in (4.37). The reason for this is explained in Remark 4.38.

**Example 4.30.** We will define a weak 2-functor  $F_{\mathbf{Man}}^{\mathbf{mKur}} : \mathbf{Man} \rightarrow \mathbf{mKur}$ . Weak 2-functors are explained in §A.3. Since  $\mathbf{mKur}$  is a weak 2-category, no other kind of functor to  $\mathbf{mKur}$  makes sense.

If  $X \in \mathbf{Man}$ , define an m-Kuranishi space  $F_{\mathbf{Man}}^{\mathbf{mKur}}(X) = \mathbf{X} = (X, \mathcal{K})$  with topological space  $X$  and m-Kuranishi structure

$$\mathcal{K} = (\{0\}, (V_0, E_0, s_0, \psi_0), \Phi_{00}, \Lambda_{000}),$$

with indexing set  $I = \{0\}$ , one m-Kuranishi neighbourhood  $(V_0, E_0, s_0, \psi_0)$  with  $V_0 = X$ ,  $E_0 \rightarrow V_0$  the zero vector bundle,  $s_0 = 0$ , and  $\psi_0 = \text{id}_X$ , one coordinate change  $\Phi_{00} = \text{id}_{(V_0, E_0, s_0, \psi_0)}$ , and one 2-morphism  $\Lambda_{000} = \text{id}_{\Phi_{00}}$ .

On 1-morphisms, if  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man}$  and  $\mathbf{X} = F_{\mathbf{Man}}^{\mathbf{mKur}}(X)$ ,  $\mathbf{Y} = F_{\mathbf{Man}}^{\mathbf{mKur}}(Y)$ , define a 1-morphism  $F_{\mathbf{Man}}^{\mathbf{mKur}}(f) = \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  by  $\mathbf{f} = (f, \mathbf{f}_{00}, \mathbf{F}_{00}^0, \mathbf{F}_0^{00})$ , where  $\mathbf{f}_{00} = (U_{00}, f_{00}, \hat{f}_{00})$  with  $U_{00} = X$ ,  $f_{00} = f$ , and  $\hat{f}_{00}$  is the zero map on zero vector bundles, and  $\mathbf{F}_{00}^0 = \mathbf{F}_0^{00} = \text{id}_{\mathbf{f}_{00}}$ .

On 2-morphisms, regarding  $\mathbf{Man}$  as a 2-category, the only 2-morphisms are identity morphisms  $\text{id}_f : f \Rightarrow f$  for (1-)morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}$ . We define  $F_{\mathbf{Man}}^{\mathbf{mKur}}(\text{id}_f) = \text{id}_{F_{\mathbf{Man}}^{\mathbf{mKur}}(f)}$ .

If  $\mathbf{X} = F_{\mathbf{Man}}^{\mathbf{mKur}}(X)$  and  $\mathbf{Y} = F_{\mathbf{Man}}^{\mathbf{mKur}}(Y)$  for  $X, Y \in \mathbf{Man}$ , it is easy to check that the only 1-morphisms  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}$  are those of the form  $F_{\mathbf{Man}}^{\mathbf{mKur}}(f)$  for morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}$ , and the only 2-morphisms  $\eta : \mathbf{f} \Rightarrow \mathbf{g}$  in  $\mathbf{mKur}$  for any 1-morphisms  $\mathbf{f}, \mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  are identity 2-morphisms  $\text{id}_{\mathbf{f}} : \mathbf{f} \Rightarrow \mathbf{f}$  when  $\mathbf{f} = \mathbf{g}$ .

Suppose  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  are (1-)morphisms in  $\mathbf{Man}$ , and write  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}, \mathbf{f}, \mathbf{g}$  for the images of  $X, Y, Z, f, g$  under  $F_{\mathbf{Man}}^{\mathbf{mKur}}$ . Then Definition 4.20 defines the composition  $\mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$ , by making an arbitrary choice. But the uniqueness property of 1-morphisms above implies that the only possibility is  $\mathbf{g} \circ \mathbf{f} = F_{\mathbf{Man}}^{\mathbf{mKur}}(g \circ f)$ . Define

$$(F_{\mathbf{Man}}^{\mathbf{mKur}})_{g,f} := \text{id}_{F_{\mathbf{Man}}^{\mathbf{mKur}}(g \circ f)} : F_{\mathbf{Man}}^{\mathbf{mKur}}(g) \circ F_{\mathbf{Man}}^{\mathbf{mKur}}(f) \Longrightarrow F_{\mathbf{Man}}^{\mathbf{mKur}}(g \circ f).$$

For any object  $X$  in  $\mathbf{Man}$  with  $\mathbf{X} = F_{\mathbf{Man}}^{\mathbf{mKur}}(X)$ , define

$$(F_{\mathbf{Man}}^{\mathbf{mKur}})_{\mathbf{X}} := \text{id}_{\text{id}_{\mathbf{X}}} : F_{\mathbf{Man}}^{\mathbf{mKur}}(\text{id}_X) \Longrightarrow \text{id}_{F_{\mathbf{Man}}^{\mathbf{mKur}}(\mathbf{X})}.$$

We have defined all the data of a weak 2-functor  $F_{\mathbf{Man}}^{\mathbf{mKur}} : \mathbf{Man} \rightarrow \mathbf{mKur}$  in Definition A.8. It is easy to check that  $F_{\mathbf{Man}}^{\mathbf{mKur}}$  is a weak 2-functor, which is full and faithful, and so embeds  $\mathbf{Man}$  as a full 2-subcategory of  $\mathbf{mKur}$ .

We say that an m-Kuranishi space  $\mathbf{X}$  is a manifold if  $\mathbf{X} \simeq F_{\mathbf{Man}}^{\mathbf{mKur}}(X')$  in  $\mathbf{mKur}$ , for some  $X' \in \mathbf{Man}$ . Theorem 10.45 in §10.4.2 gives a necessary and sufficient criterion for when  $\mathbf{X}$  is a manifold.

Assumption 3.4 gives a full subcategory  $\mathbf{Man} \subseteq \mathbf{Man}$ . Define a full and faithful weak 2-functor  $F_{\mathbf{Man}}^{\mathbf{mKur}} = F_{\mathbf{Man}}^{\mathbf{mKur}}|_{\mathbf{Man}} : \mathbf{Man} \rightarrow \mathbf{mKur}$ , which embeds

$\mathbf{Man}$  as a full 2-subcategory of  $\mathbf{m\check{K}ur}$ . We say that an m-Kuranishi space  $\mathbf{X}$  is a *classical manifold* if  $\mathbf{X} \simeq F_{\mathbf{Man}}^{\mathbf{m\check{K}ur}}(X')$  in  $\mathbf{m\check{K}ur}$ , for some  $X' \in \mathbf{Man}$ .

In a similar way to Example 4.30, we can define a weak 2-functor  $\mathbf{Gm\check{K}N} \rightarrow \mathbf{m\check{K}ur}$  which is an equivalence from the 2-category  $\mathbf{Gm\check{K}N}$  of global m-Kuranishi neighbourhoods in Definition 4.8 to the full 2-subcategory of objects  $(X, \mathcal{K})$  in  $\mathbf{m\check{K}ur}$  for which  $\mathcal{K}$  contains only one m-Kuranishi neighbourhood. It acts by  $(V, E, s) \mapsto \mathcal{S}_{V, E, s}$  on objects, for  $\mathcal{S}_{V, E, s}$  as in Example 4.16.

The next example defines *products*  $\mathbf{X} \times \mathbf{Y}$  of m-Kuranishi spaces  $\mathbf{X}, \mathbf{Y}$ . We discuss products further in §11.2.3, as examples of fibre products  $\mathbf{X} \times_* \mathbf{Y}$ .

**Example 4.31.** Let  $\mathbf{X} = (X, \mathcal{I}), \mathbf{Y} = (Y, \mathcal{J})$  be m-Kuranishi spaces in  $\mathbf{m\check{K}ur}$ , with notation (4.6)–(4.7). Define the *product* to be  $\mathbf{X} \times \mathbf{Y} = (X \times Y, \mathcal{K})$ , where

$$\mathcal{K} = (I \times J, (W_{(i,j)}, F_{(i,j)}, t_{(i,j)}, \omega_{(i,j)})_{(i,j) \in I \times J}, \Phi_{(i,j)(i',j')}, \mathbb{M}_{(i,j)(i',j')(i'',j'')}).$$

Here for all  $(i, j) \in I \times J$  we set  $W_{(i,j)} = U_i \times V_j$ ,  $F_{(i,j)} = \pi_{U_i}^*(D_i) \oplus \pi_{V_j}^*(E_j)$ , and  $t_{(i,j)} = \pi_{U_i}^*(r_i) \oplus \pi_{V_j}^*(s_j)$  so that  $t_{(i,j)}^{-1}(0) = r_i^{-1}(0) \times s_j^{-1}(0)$ , and  $\omega_{(i,j)} = \chi_i \times \psi_j : r_i^{-1}(0) \times s_j^{-1}(0) \rightarrow X \times Y$ . Also

$$\Phi_{(i,j)(i',j')} = \mathbb{T}_{ii'} \times \mathbb{Y}_{jj'} = (U_{ii'} \times V_{jj'}, \tau_{ii'} \times v_{jj'}, \pi_{U_{ii'}}^*(\hat{\tau}_{ii'}) \oplus \pi_{V_{jj'}}^*(\hat{v}_{jj'})),$$

and  $\mathbb{M}_{(i,j)(i',j')(i'',j'')} = \mathbb{K}_{ii'i''} \times \mathbb{L}_{jj'j''}$  is defined as a product 2-morphism in the obvious way. It is easy to check that  $\mathbf{X} \times \mathbf{Y}$  is an m-Kuranishi space, with  $\text{vdim}(\mathbf{X} \times \mathbf{Y}) = \text{vdim} \mathbf{X} + \text{vdim} \mathbf{Y}$ .

We can also define explicit projection 1-morphisms  $\pi_{\mathbf{X}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{X}$ ,  $\pi_{\mathbf{Y}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{Y}$ , where

$$\pi_{\mathbf{X}} = (\pi_X, \pi_{(i,j)i'}, (i,j) \in I \times J, i' \in I, \prod_{(i,j)(i',j') \in I \times J}^{i'', i'' \in I} \prod_{(i,j)(i',j') \in I \times J}^{i', i'' \in I}),$$

with  $\pi_{(i,j)i'} = (U_{ii'} \times V_j, \tau_{ii'} \circ \pi_{U_{ii'}}, \pi_{U_{ii'}}^*(\hat{\tau}_{ii'}) \circ \pi_{\pi_{U_i}^*(D_i)}^*)$ , and  $\prod_{(i,j)(i',j')}^{i'', i'' \in I}, \prod_{(i,j)}^{i', i'' \in I}$  are the basically the compositions of the 2-morphism  $\mathbb{K}_{ii'i''}$  in  $\mathcal{I}$  with the projection  $U_i \times V_j \rightarrow U_i$ . We define  $\pi_{\mathbf{Y}}$  in the same way.

We will show in §11.2.3 that  $\mathbf{X} \times \mathbf{Y}, \pi_{\mathbf{X}}, \pi_{\mathbf{Y}}$  have the universal property of products in a 2-category. That is,  $\mathbf{X} \times \mathbf{Y}$  is a fibre product  $\mathbf{X} \times_* \mathbf{Y}$  over the point (terminal object)  $*$  in  $\mathbf{m\check{K}ur}$ , as in §A.4, in a 2-Cartesian square

$$\begin{array}{ccc} \mathbf{X} \times \mathbf{Y} & \xrightarrow{\pi_{\mathbf{Y}}} & \mathbf{Y} \\ \downarrow \pi_{\mathbf{X}} & \text{id} \uparrow & \downarrow \\ \mathbf{X} & \longrightarrow & * \end{array}$$

Products are commutative and associative up to canonical equivalence, and in fact (with the above definition) up to canonical 1-isomorphism. That is, if  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are m-Kuranishi spaces, we have canonical 1-isomorphisms in  $\mathbf{m\check{K}ur}$

$$\mathbf{Y} \times \mathbf{X} \cong \mathbf{X} \times \mathbf{Y} \quad \text{and} \quad (\mathbf{X} \times \mathbf{Y}) \times \mathbf{Z} \cong \mathbf{X} \times (\mathbf{Y} \times \mathbf{Z}). \quad (4.38)$$

We can also define products and direct products of 1-morphisms. That is, if  $f : W \rightarrow Y$ ,  $g : X \rightarrow Y$ ,  $h : X \rightarrow Z$  are 1-morphisms in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  then we have a *product 1-morphism*  $f \times h : W \times X \rightarrow Y \times Z$  and a *direct product 1-morphism*  $(g, h) : X \rightarrow Y \times Z$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , both easy to write down explicitly. The existence of  $f \times h, (g, h)$  is also guaranteed by the universal property of products, uniquely up to canonical 2-isomorphism.

#### 4.4 Comparing m-Kuranishi spaces from different $\check{\mathbf{M}}\mathbf{an}$

Using the ideas of §3.3.7 and §B.7, we explain how to lift a functor  $F_{\check{\mathbf{M}}\mathbf{an}}^{\check{\mathbf{M}}\mathbf{an}} : \check{\mathbf{M}}\mathbf{an} \rightarrow \check{\mathbf{M}}\mathbf{an}$  satisfying Condition 3.20 to a corresponding weak 2-functor  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}} : \mathbf{m}\check{\mathbf{K}}\mathbf{ur} \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  between the 2-categories of m-Kuranishi spaces  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}, \mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  associated to  $\check{\mathbf{M}}\mathbf{an}, \check{\mathbf{M}}\mathbf{an}$ .

**Definition 4.32.** Suppose  $\check{\mathbf{M}}\mathbf{an}, \check{\mathbf{M}}\mathbf{an}$  satisfy Assumptions 3.1–3.7, and  $F_{\check{\mathbf{M}}\mathbf{an}}^{\check{\mathbf{M}}\mathbf{an}} : \check{\mathbf{M}}\mathbf{an} \rightarrow \check{\mathbf{M}}\mathbf{an}$  is a functor satisfying Condition 3.20. Then in §3.3.7 and §B.7 we explain how all the material of §3.3 on differential geometry in  $\check{\mathbf{M}}\mathbf{an}$  maps functorially to its analogue in  $\check{\mathbf{M}}\mathbf{an}$  under  $F_{\check{\mathbf{M}}\mathbf{an}}^{\check{\mathbf{M}}\mathbf{an}}$ .

Write  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}, \mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  for the 2-categories of m-Kuranishi spaces constructed from  $\check{\mathbf{M}}\mathbf{an}, \check{\mathbf{M}}\mathbf{an}$  in §4.3. We will define a weak 2-functor  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}} : \mathbf{m}\check{\mathbf{K}}\mathbf{ur} \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ . The basic idea is obvious: we apply  $F_{\check{\mathbf{M}}\mathbf{an}}^{\check{\mathbf{M}}\mathbf{an}}$  to turn the m-Kuranishi neighbourhoods and their 1- and 2-morphisms over  $\check{\mathbf{M}}\mathbf{an}$  used in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , into their analogues over  $\check{\mathbf{M}}\mathbf{an}$  used in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ .

As in §B.7, we will use accents ‘ $\dot{\cdot}$ ’ and ‘ $\ddot{\cdot}$ ’ to denote objects associated to  $\check{\mathbf{M}}\mathbf{an}$  and  $\check{\mathbf{M}}\mathbf{an}$ , respectively. When something is independent of  $\check{\mathbf{M}}\mathbf{an}$  or  $\check{\mathbf{M}}\mathbf{an}$  (such as the underlying topological space  $X$  in  $\check{\mathbf{X}}$ ) we omit the accent.

Let  $\check{\mathbf{X}} = (X, \check{\mathcal{K}})$  be an object in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , where

$$\check{\mathcal{K}} = (I, (\dot{V}_i, \dot{E}_i, \dot{s}_i, \psi_i)_{i \in I}, \dot{\Phi}_{ij, i, j \in I}, \dot{\Lambda}_{ijk, i, j, k \in I}),$$

with  $\dot{\Phi}_{ij} = (\dot{V}_{ij}, \dot{\phi}_{ij}, \hat{\phi}_{ij}) : (\dot{V}_i, \dot{E}_i, \dot{s}_i, \psi_i) \rightarrow (\dot{V}_j, \dot{E}_j, \dot{s}_j, \psi_j)$  and  $\dot{\Lambda}_{ijk} = [\dot{V}_{ijk}, \hat{\lambda}_{ijk}]$  for all  $i, j, k \in I$ . Define  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\check{\mathbf{X}}) = \check{\mathbf{X}} = (X, \check{\mathcal{K}})$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , where

$$\check{\mathcal{K}} = (I, (\ddot{V}_i, \ddot{E}_i, \ddot{s}_i, \psi_i)_{i \in I}, \ddot{\Phi}_{ij, i, j \in I}, \ddot{\Lambda}_{ijk, i, j, k \in I}),$$

with  $\ddot{\Phi}_{ij} = (\ddot{V}_{ij}, \ddot{\phi}_{ij}, \hat{\phi}_{ij}) : (\ddot{V}_i, \ddot{E}_i, \ddot{s}_i, \psi_i) \rightarrow (\ddot{V}_j, \ddot{E}_j, \ddot{s}_j, \psi_j)$  and  $\ddot{\Lambda}_{ijk} = [\ddot{V}_{ijk}, \hat{\lambda}_{ijk}]$  for all  $i, j, k \in I$ . Here  $\ddot{V}_i, \ddot{E}_i, \ddot{s}_i, \ddot{V}_{ij}, \ddot{\phi}_{ij}, \hat{\phi}_{ij}, \ddot{V}_{ijk}, \hat{\lambda}_{ijk}$  are the images of  $\dot{V}_i, \dot{E}_i, \dot{s}_i, \dot{V}_{ij}, \dot{\phi}_{ij}, \hat{\phi}_{ij}, \dot{V}_{ijk}, \hat{\lambda}_{ijk}$  under  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}$ , respectively, as in §B.7.

Similarly, if  $\check{f} : \check{\mathbf{X}} \rightarrow \check{\mathbf{Y}}$  is a 1-morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  we define a 1-morphism  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\check{f}) = \check{f} : \check{\mathbf{X}} \rightarrow \check{\mathbf{Y}}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , and if  $\check{\eta} : \check{f} \Rightarrow \check{g}$  is a 2-morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  we define a 2-morphism  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\check{\eta}) = \check{\eta} : \check{f} \Rightarrow \check{g}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , by applying  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}$  to all the  $\check{\mathbf{M}}\mathbf{an}$  structures in  $\check{f}, \check{\eta}$ , in the obvious way.



Let  $\dot{f} : \dot{X} \rightarrow \dot{Y}$  and  $\dot{g} : \dot{Y} \rightarrow \dot{Z}$  be 1-morphisms in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , and write  $\ddot{f} : \dot{X} \rightarrow \dot{Y}$ ,  $\ddot{g} : \dot{Y} \rightarrow \dot{Z}$  for their images in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  under  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}$ . Then Definition 4.20 defined  $\dot{g} \circ \dot{f} : \dot{X} \rightarrow \dot{Z}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  and  $\ddot{g} \circ \ddot{f} : \dot{X} \rightarrow \dot{Z}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , by making arbitrary choices. Since these choices may not be consistent, we need not have  $\ddot{g} \circ \ddot{f} = F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\dot{g} \circ \dot{f})$ . However, because  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\dot{g} \circ \dot{f})$  is one of the possible choices for  $\ddot{g} \circ \ddot{f}$ , Proposition 4.19(b) gives a canonical 2-morphism

$$(F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}})_{\dot{g}, \dot{f}} : F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\dot{g}) \circ F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\dot{f}) = \ddot{g} \circ \ddot{f} \implies F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\dot{g} \circ \dot{f})$$

in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , using the data  $\Theta_{ijk}^{\dot{g}, \dot{f}}$  and their images under  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}$ .

For  $\dot{X}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  with  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\dot{X}) = \ddot{X}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , we see using (4.13) that  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\text{id}_{\dot{X}}) = \text{id}_{\ddot{X}}$ . Define

$$(F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}})_{\dot{X}} = \text{id}_{\text{id}_{\ddot{X}}} : F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\text{id}_{\dot{X}}) \implies \text{id}_{F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}(\dot{X})}.$$

This defines all the data of a weak 2-functor  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}} : \mathbf{m}\check{\mathbf{K}}\mathbf{ur} \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , as in §A.3. It is easy to check that the weak 2-functor axioms hold.

Now suppose that  $F_{\mathbf{M}\mathbf{an}}^{\mathbf{M}\mathbf{an}} : \mathbf{M}\mathbf{an} \hookrightarrow \mathbf{M}\mathbf{an}$  is an inclusion of subcategories  $\mathbf{M}\mathbf{an} \subseteq \mathbf{M}\mathbf{an}$  satisfying either Proposition 3.21(a) or (b). Then Proposition 3.21 says that the maps  $F_{\mathbf{M}\mathbf{an}}^{\mathbf{M}\mathbf{an}}$  in §3.3.7 from geometry in  $\mathbf{M}\mathbf{an}$  to geometry in  $\mathbf{M}\mathbf{an}$  used above are identity maps. Hence  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  is actually a 2-subcategory of  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , and the 2-functor  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}$  is the inclusion  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur} \subseteq \mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ .

For the case of Proposition 3.21(b), when  $\mathbf{M}\mathbf{an}$  is a full subcategory of  $\mathbf{M}\mathbf{an}$ , then  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  is a full 2-subcategory of  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ . That is, if  $X, Y$  are objects of  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  then all 1-morphisms  $f, g : X \rightarrow Y$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  are 1-morphisms in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , and all 2-morphisms  $\eta : f \Rightarrow g$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  are 2-morphisms in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ .

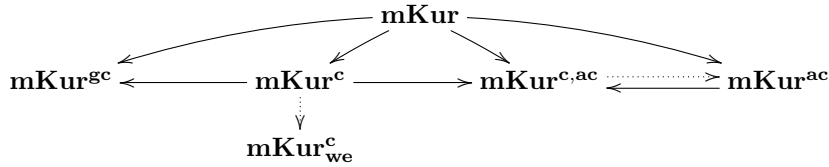


Figure 4.1: 2-functors between 2-categories of m-Kuranishi spaces from Definition 4.32. Arrows ‘ $\rightarrow$ ’ are inclusions of 2-subcategories.

Applying Definition 4.32 to the parts of the diagram Figure 3.1 of functors  $F_{\mathbf{M}\mathbf{an}}^{\mathbf{M}\mathbf{an}}$  involving the categories (4.36) yields a diagram Figure 4.1 of 2-functors  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}$ . Arrows ‘ $\rightarrow$ ’ are inclusions of 2-subcategories.

## 4.5 Discrete properties of 1-morphisms in $\mathbf{m\check{K}ur}$

In §3.3.6 and §B.6 we defined when a property  $\mathbf{P}$  of morphisms in  $\mathbf{\check{M}an}$  is *discrete*. For example, when  $\mathbf{\check{M}an} = \mathbf{Man}^c$  from §2.1, for a morphism  $f : X \rightarrow Y$  in  $\mathbf{Man}^c$  to be interior, or simple, are both discrete conditions.

We will now show that a discrete property  $\mathbf{P}$  of morphisms in  $\mathbf{\check{M}an}$  lifts to a corresponding property  $\mathbf{P}$  of 1-morphisms in  $\mathbf{m\check{K}ur}$ , in a well behaved way. We first define  $\mathbf{P}$  for 1-morphisms of m-Kuranishi neighbourhoods, as in §4.1.

**Definition 4.33.** Let  $\mathbf{P}$  be a discrete property of morphisms in  $\mathbf{\check{M}an}$ . Suppose  $f : X \rightarrow Y$  is a continuous map and  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a 1-morphism of m-Kuranishi neighbourhoods over  $(S, f)$ , for  $S \subseteq X$  open. We say that  $\Phi_{ij}$  is  $\mathbf{P}$  if  $\phi_{ij} : V_{ij} \rightarrow V_j$  is  $\mathbf{P}$  near  $\psi_i^{-1}(S)$  in  $V_{ij}$ . That is, there should exist an open submanifold  $\iota : U \hookrightarrow V_{ij}$  with  $\psi_i^{-1}(S) \subseteq U \subseteq V_{ij}$  such that  $\phi_{ij} \circ \iota : U \rightarrow V_j$  has property  $\mathbf{P}$  in  $\mathbf{\check{M}an}$ .

**Proposition 4.34.** Let  $\mathbf{P}$  be a discrete property of morphisms in  $\mathbf{\check{M}an}$ . Then:

- (a) Let  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be a 1-morphism of m-Kuranishi neighbourhoods over  $(S, f)$  for  $f : X \rightarrow Y$  continuous and  $S \subseteq X$  open. If  $\Phi_{ij}$  is  $\mathbf{P}$  and  $T \subseteq S$  is open then  $\Phi_{ij}|_T$  is  $\mathbf{P}$ . If  $\{T_a : a \in A\}$  is an open cover of  $S$  and  $\Phi_{ij}|_{T_a}$  is  $\mathbf{P}$  for all  $a \in A$  then  $\Phi_{ij}$  is  $\mathbf{P}$ .
- (b) Let  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be 1-morphisms over  $(S, f)$  and  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  a 2-morphism. Then  $\Phi_{ij}$  is  $\mathbf{P}$  if and only if  $\Phi'_{ij}$  is  $\mathbf{P}$ .
- (c) Let  $f : X \rightarrow Y, g : Y \rightarrow Z$  be continuous,  $T \subseteq Y, S \subseteq f^{-1}(T) \subseteq X$  be open,  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be a 1-morphism over  $(S, f)$ , and  $\Phi_{jk} : (V_j, E_j, s_j, \psi_j) \rightarrow (V_k, E_k, s_k, \psi_k)$  be a 1-morphism over  $(T, g)$ , so that  $\Phi_{jk} \circ \Phi_{ij}$  is a 1-morphism over  $(S, g \circ f)$ . If  $\Phi_{ij}, \Phi_{jk}$  are  $\mathbf{P}$  then  $\Phi_{jk} \circ \Phi_{ij}$  is  $\mathbf{P}$ .
- (d) Let  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be a coordinate change of m-Kuranishi neighbourhoods over  $S \subseteq X$ . Then  $\Phi_{ij}$  is  $\mathbf{P}$ .

*Proof.* Part (a) follows from Definition 3.18(iv), and part (b) from Definitions 3.18(vii) and 4.3(b), and part (c) from Definitions 3.18(iii) and 4.4.

For (d), as  $\Phi_{ij}$  is a coordinate change there exist a 1-morphism  $\Phi_{ji} : (V_j, E_j, s_j, \psi_j) \rightarrow (V_i, E_i, s_i, \psi_i)$  and 2-morphisms  $\Lambda_{ii} : \Phi_{ji} \circ \Phi_{ij} \Rightarrow \text{id}_{(V_i, E_i, s_i, \psi_i)}$ ,  $\Lambda_{jj} : \Phi_{ij} \circ \Phi_{ji} \Rightarrow \text{id}_{(V_j, E_j, s_j, \psi_j)}$ . Write  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$ ,  $\Phi_{ji} = (V_{ji}, \phi_{ji}, \hat{\phi}_{ji})$ , and as in (3.8) consider the diagram in  $\mathbf{\check{M}an}$ :

$$\begin{array}{ccccc}
 \phi_{ij}^{-1}(V_{ji}) \subset & \xrightarrow{\quad} & V_{ij} \subset & \xrightarrow{\quad} & V_i \\
 \phi_{ij}|_{\phi_{ij}^{-1}(V_{ji})} & \searrow & \phi_{ij} & \searrow & \\
 \phi_{ji}|_{\phi_{ji}^{-1}(V_{ij})} & \xrightarrow{\quad} & V_{ji} \subset & \xrightarrow{\quad} & V_j \\
 \phi_{ji}^{-1}(V_{ij}) \subset & \xrightarrow{\quad} & & & 
 \end{array}$$

For each  $x \in S$  let  $v_i = \psi_i^{-1}(x) \in \phi_{ij}^{-1}(V_{ji}) \subseteq V_{ij} \subseteq V_i$  and  $v_j = \psi_j^{-1}(x) \in \phi_{ji}^{-1}(V_{ij}) \subseteq V_{ji} \subseteq V_j$ , so that  $\phi_{ij}(v_i) = v_j$  and  $\phi_{ji}(v_j) = v_i$  by Definition 4.2(e) for  $\Phi_{ij}, \Phi_{ji}$ . Definition 4.3(b) for  $\Lambda_{ii}, \Lambda_{jj}$  implies that  $\phi_{ji} \circ \phi_{ij} = \text{id}_{V_i} + O(s_i)$  on  $\phi_{ij}^{-1}(V_{ji})$  and  $\phi_{ij} \circ \phi_{ji} = \text{id}_{V_j} + O(s_j)$  on  $\phi_{ji}^{-1}(V_{ij})$ . Therefore Definition 3.18(viii) implies that  $\phi_{ij}$  is  $\mathbf{P}$  near  $v_i$ . As this holds for all  $x \in S$ , Definition 3.18(iv) shows that  $\phi_{ij}$  is  $\mathbf{P}$  near  $\psi_i^{-1}(S)$ , so  $\Phi_{ij}$  is  $\mathbf{P}$ .  $\square$

**Definition 4.35.** Let  $\mathbf{P}$  be a discrete property of morphisms in  $\mathbf{Man}$ . Suppose  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is a 1-morphism in  $\mathbf{mKur}$ , and use notation (4.6), (4.7), (4.9) for  $\mathbf{X}, \mathbf{Y}, \mathbf{f}$ . We say that  $\mathbf{f}$  is  $\mathbf{P}$  if  $\mathbf{f}_{ij}$  is  $\mathbf{P}$  in the sense of Definition 4.33 for all  $i \in I$  and  $j \in J$ .

**Proposition 4.36.** Let  $\mathbf{P}$  be a discrete property of morphisms in  $\mathbf{Man}$ . Then:

- (a) Let  $\mathbf{f}, \mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  be 1-morphisms in  $\mathbf{mKur}$  and  $\eta : \mathbf{f} \Rightarrow \mathbf{g}$  a 2-morphism. Then  $\mathbf{f}$  is  $\mathbf{P}$  if and only if  $\mathbf{g}$  is  $\mathbf{P}$ .
- (b) Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  and  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{mKur}$ . If  $\mathbf{f}$  and  $\mathbf{g}$  are  $\mathbf{P}$  then  $\mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$  is  $\mathbf{P}$ .
- (c) Identity 1-morphisms  $\text{id}_{\mathbf{X}} : \mathbf{X} \rightarrow \mathbf{X}$  in  $\mathbf{mKur}$  are  $\mathbf{P}$ . Equivalences  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}$  are  $\mathbf{P}$ .

Parts (b),(c) imply that we have a 2-subcategory  $\mathbf{mKur}_{\mathbf{P}} \subseteq \mathbf{mKur}$  containing all objects in  $\mathbf{mKur}$ , and all 1-morphisms  $\mathbf{f}$  in  $\mathbf{mKur}$  which are  $\mathbf{P}$ , and all 2-morphisms  $\eta : \mathbf{f} \Rightarrow \mathbf{g}$  in  $\mathbf{mKur}$  between 1-morphisms  $\mathbf{f}, \mathbf{g}$  which are  $\mathbf{P}$ .

*Proof.* For (a), use notation (4.6), (4.7), (4.9) for  $\mathbf{X}, \mathbf{Y}, \mathbf{f}, \mathbf{g}$ . Then we have 2-morphisms of m-Kuranishi neighbourhoods  $\eta_{ij} : \mathbf{f}_{ij} \Rightarrow \mathbf{g}_{ij}$  for all  $i, j$ , so Proposition 4.34(b) implies that  $\mathbf{f}_{ij}$  is  $\mathbf{P}$  if and only if  $\mathbf{g}_{ij}$  is  $\mathbf{P}$ , and (a) follows.

For (b), use the notation of Definition 4.20, and suppose  $\mathbf{f}, \mathbf{g}$  are  $\mathbf{P}$ . Then for all  $i \in I, j \in J, k \in K$  we have 2-morphisms  $\Theta_{ijk}^{\mathbf{g}, \mathbf{f}} : \mathbf{g}_{jk} \circ \mathbf{f}_{ij} \Rightarrow (\mathbf{g} \circ \mathbf{f})_{ik}$  over  $(T_j, \mathbf{g} \circ \mathbf{f})$  for  $T_j = \text{Im } \chi_i \cap \mathbf{f}^{-1}(\text{Im } \psi_j) \cap (\mathbf{g} \circ \mathbf{f})^{-1}(\text{Im } \omega_k)$ . As  $\mathbf{f}, \mathbf{g}$  are  $\mathbf{P}$ ,  $\mathbf{f}_{ij}, \mathbf{g}_{jk}$  are  $\mathbf{P}$ , so  $\mathbf{g}_{jk} \circ \mathbf{f}_{ij}$  is  $\mathbf{P}$  by Proposition 4.34(c), and thus  $(\mathbf{g} \circ \mathbf{f})_{ik}$  is  $\mathbf{P}$  over  $(T_j, \mathbf{g} \circ \mathbf{f})$  by Proposition 4.34(b). Since this holds for all  $j \in J$ , Proposition 4.34(a) implies that  $(\mathbf{g} \circ \mathbf{f})_{ik}$  is  $\mathbf{P}$  over  $(S, \mathbf{g} \circ \mathbf{f})$  for  $S = \bigcup_{j \in J} T_j = \text{Im } \chi_i \cap (\mathbf{g} \circ \mathbf{f})^{-1}(\text{Im } \omega_k)$ , which is the domain we want. As this holds for all  $i \in I$  and  $k \in K$ ,  $\mathbf{g} \circ \mathbf{f}$  is  $\mathbf{P}$ .

For (c), that  $\text{id}_{\mathbf{X}}$  is  $\mathbf{P}$  follows from (4.13) and Proposition 4.34(d), as the  $T_{ij}$  are coordinate changes. Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be an equivalence in  $\mathbf{mKur}$ , and use notation (4.6), (4.7), (4.9). Then there exist a 1-morphism  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{X}$  and 2-morphisms  $\eta : \mathbf{g} \circ \mathbf{f} \Rightarrow \text{id}_{\mathbf{X}}, \zeta : \mathbf{f} \circ \mathbf{g} \Rightarrow \text{id}_{\mathbf{Y}}$ . Using the proof of Proposition 4.34(d) with  $\mathbf{f}_{ij}, \mathbf{g}_{ji}, \eta_{ii}, \zeta_{jj}$  in place of  $\Phi_{ij}, \Phi_{ji}, \Lambda_{ii}, \Lambda_{jj}$  shows that  $\mathbf{f}_{ij}$  is  $\mathbf{P}$ , for all  $i \in I$  and  $j \in J$ , so  $\mathbf{f}$  is  $\mathbf{P}$ .  $\square$

**Definition 4.37.** (a) Taking  $\mathbf{Man} = \mathbf{Man}^c$  from §2.1 gives the 2-category of m-Kuranishi spaces  $\mathbf{mKur}^c$  from Definition 4.29. We write

$$\mathbf{mKur}_{\text{in}}^c, \mathbf{mKur}_{\text{bn}}^c, \mathbf{mKur}_{\text{st}}^c, \mathbf{mKur}_{\text{st, in}}^c, \mathbf{mKur}_{\text{st, bn}}^c, \mathbf{mKur}_{\text{si}}^c$$

for the 2-subcategories of  $\mathbf{mKur}^c$  with 1-morphisms which are *interior*, and *b-normal*, and *strongly smooth*, and *strongly smooth-interior*, and *strongly smooth-b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^c$  are discrete by Example 3.19(a), so as in Definition 4.35 and Proposition 4.36 we have corresponding notions of interior, . . . , simple 1-morphisms in  $\mathbf{mKur}^c$ .

(b) Taking  $\mathbf{Man} = \mathbf{Man}^{\mathbf{g}c}$  from §2.4.1 gives the 2-category of m-Kuranishi spaces with g-corners  $\mathbf{mKur}^{\mathbf{g}c}$  from Definition 4.29. We write

$$\mathbf{mKur}_{\text{in}}^{\mathbf{g}c}, \mathbf{mKur}_{\text{bn}}^{\mathbf{g}c}, \mathbf{mKur}_{\text{si}}^{\mathbf{g}c}$$

for the 2-subcategories of  $\mathbf{mKur}^{\mathbf{g}c}$  with 1-morphisms which are *interior*, and *b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^{\mathbf{g}c}$  are discrete by Example 3.19(b), so we have corresponding notions for 1-morphisms in  $\mathbf{mKur}^{\mathbf{g}c}$ .

(c) Taking  $\mathbf{Man} = \mathbf{Man}^{\text{ac}}$  from §2.4.2 gives the 2-category of m-Kuranishi spaces with a-corners  $\mathbf{mKur}^{\text{ac}}$  from Definition 4.29. We write

$$\mathbf{mKur}_{\text{in}}^{\text{ac}}, \mathbf{mKur}_{\text{bn}}^{\text{ac}}, \mathbf{mKur}_{\text{st}}^{\text{ac}}, \mathbf{mKur}_{\text{st,in}}^{\text{ac}}, \mathbf{mKur}_{\text{st,bn}}^{\text{ac}}, \mathbf{mKur}_{\text{si}}^{\text{ac}}$$

for the 2-subcategories of  $\mathbf{mKur}^{\text{ac}}$  with 1-morphisms which are *interior*, and *b-normal*, and *strongly a-smooth*, and *strongly a-smooth-interior*, and *strongly a-smooth-b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^{\text{ac}}$  are discrete by Example 3.19(c), so we have corresponding notions for 1-morphisms in  $\mathbf{mKur}^{\text{ac}}$ .

(d) Taking  $\mathbf{Man} = \mathbf{Man}^{\text{c,ac}}$  from §2.4.2 gives the 2-category of m-Kuranishi spaces with corners and a-corners  $\mathbf{mKur}^{\text{c,ac}}$  from Definition 4.29. We write

$$\mathbf{mKur}_{\text{in}}^{\text{c,ac}}, \mathbf{mKur}_{\text{bn}}^{\text{c,ac}}, \mathbf{mKur}_{\text{st}}^{\text{c,ac}}, \mathbf{mKur}_{\text{st,in}}^{\text{c,ac}}, \mathbf{mKur}_{\text{st,bn}}^{\text{c,ac}}, \mathbf{mKur}_{\text{si}}^{\text{c,ac}}$$

for the 2-subcategories of  $\mathbf{mKur}^{\text{c,ac}}$  with 1-morphisms which are *interior*, and *b-normal*, and *strongly a-smooth*, and *strongly a-smooth-interior*, and *strongly a-smooth-b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^{\text{c,ac}}$  are discrete by Example 3.19(c), so we have corresponding notions for 1-morphisms in  $\mathbf{mKur}^{\text{c,ac}}$ .

Figure 4.1 gives inclusions between the 2-categories in (4.37). Combining this with the inclusions between the 2-subcategories in Definition 4.37 we get a diagram Figure 4.2 of inclusions of 2-subcategories of m-Kuranishi spaces.

**Remark 4.38.** (i) Most of the 2-categories  $\mathbf{mKur}_{\text{in}}^c, \mathbf{mKur}_{\text{bn}}^c, \dots$  in Definition 4.37 come from categories  $\mathbf{Man}_{\text{in}}^c, \mathbf{Man}_{\text{bn}}^c, \dots$  satisfying Assumptions 3.1–3.7, so we could have applied §4.3 to construct 2-categories of m-Kuranishi spaces  $\mathbf{mKur}^c$  directly from  $\mathbf{Man} = \mathbf{Man}_{\text{in}}^c, \mathbf{Man}_{\text{bn}}^c, \dots$ . But what we actually did was slightly different. We explain this for  $\mathbf{Man}_{\text{in}}^c$  and  $\mathbf{mKur}_{\text{in}}^c$ , though it applies to all the 2-categories above except those with simple 1-morphisms.

If  $\mathbf{X} = (X, \mathcal{I})$  lies in  $\mathbf{mKur}^c$ , with notation (4.6), each  $T_{ii'}$  in  $\mathcal{I}$  includes a morphism  $\tau_{ii'} : U_{ii'} \rightarrow U_{i'}$  in  $\mathbf{Man}^c$ . Then  $\mathbf{X}$  lies in  $\mathbf{mKur}_{\text{in}}^c$  as defined above

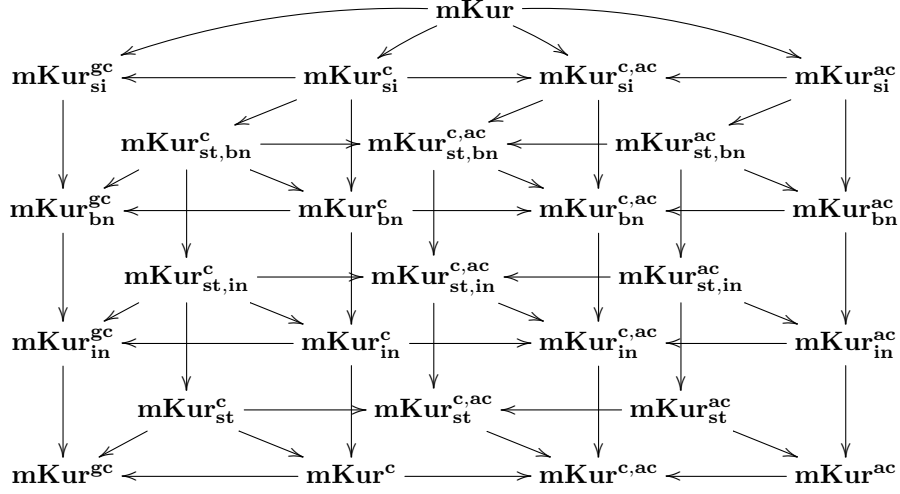


Figure 4.2: Inclusions of 2-categories of m-Kuranishi spaces.

if  $\tau_{ii'}$  is interior near  $\chi_i^{-1}(\text{Im } \chi_{i'})$  for all  $i, i' \in I$ , as in Definition 4.33. But  $\mathbf{X}$  lies in the 2-category  $\mathbf{mKur}$  associated to  $\mathbf{Man} = \mathbf{Man}_{\text{in}}^c$  in §4.3 if the  $\tau_{ii'}$  are interior on all of  $U_{ii'}$ . Similarly, if  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in (4.9) is a 1-morphism in  $\mathbf{mKur}^c$  then  $\mathbf{f}$  lies in  $\mathbf{mKur}_{\text{in}}^c$  above if the  $f_{ij} : U_{ij} \rightarrow V_j$  in  $\mathbf{f}_{ij}$  are interior near  $(f \circ \chi_i)^{-1}(\text{Im } \psi_j)$ , but  $\mathbf{f}$  lies in  $\mathbf{mKur}$  if the  $f_{ij}$  are interior on all of  $U_{ij}$ .

We have  $\mathbf{mKur} \subseteq \mathbf{mKur}_{\text{in}}^c \subseteq \mathbf{mKur}^c$ , where the inclusion  $\mathbf{mKur} \subseteq \mathbf{mKur}_{\text{in}}^c$  is an equivalence of 2-categories, but  $\mathbf{mKur}$  is not closed in  $\mathbf{mKur}^c$  under either equivalences of objects or under 2-isomorphism of 1-morphisms, but  $\mathbf{mKur}_{\text{in}}^c$  is closed in  $\mathbf{mKur}^c$  under both of these. This closure is a useful property, which is why we prefer this definition of  $\mathbf{mKur}_{\text{in}}^c, \dots$

(ii) In §2.4.2 we mentioned a functor  $F_{\mathbf{Man}_{\text{st}}^{\text{ac}}}^{\mathbf{Man}_{\text{st}}^c} : \mathbf{Man}_{\text{st}}^{\text{ac}} \rightarrow \mathbf{Man}_{\text{st}}^c$  from [66, §3]. Taking this to be  $F_{\mathbf{Man}}^{\mathbf{Man}} : \mathbf{Man} \rightarrow \mathbf{Man}$  and applying §4.4 gives a 2-functor  $F_{\mathbf{mKur}}^{\mathbf{mKur}} : \mathbf{mKur} \rightarrow \mathbf{mKur}$ . This does not map  $\mathbf{mKur}_{\text{st}}^{\text{ac}} \rightarrow \mathbf{mKur}_{\text{st}}^c$ , with the notation above, since  $\mathbf{mKur} \subset \mathbf{mKur}_{\text{st}}^{\text{ac}}$ ,  $\mathbf{mKur} \subset \mathbf{mKur}_{\text{st}}^c$  are proper but equivalent 2-subcategories, as in (i). However, we can get a 2-functor  $F_{\mathbf{mKur}_{\text{st}}^{\text{ac}}}^{\mathbf{mKur}_{\text{st}}^c} : \mathbf{mKur}_{\text{st}}^{\text{ac}} \rightarrow \mathbf{mKur}_{\text{st}}^c$  by composing with a quasi-inverse for  $\mathbf{mKur} \hookrightarrow \mathbf{mKur}_{\text{st}}^{\text{ac}}$ . The same applies to  $F_{\mathbf{Man}_{\text{st}}^{\text{c,ac}}}^{\mathbf{Man}_{\text{st}}^c} : \mathbf{Man}_{\text{st}}^{\text{c,ac}} \rightarrow \mathbf{Man}_{\text{st}}^c$  in §2.4.2.

## 4.6 M-Kuranishi spaces with corners. Boundaries, $k$ -corners, and the corner 2-functor

We now change notation from  $\mathbf{Man}$  in §3.1–§3.3 to  $\mathbf{Man}^c$ , and from  $\mathbf{mKur}$  in §4.3–§4.5 to  $\mathbf{mKur}^c$ . Suppose throughout this section that  $\mathbf{Man}^c$  satisfies Assumption 3.22 in §3.4.1. Then  $\mathbf{Man}^c$  satisfies Assumptions 3.1–3.7, so §4.3 constructs a 2-category  $\mathbf{mKur}^c$  of  $m$ -Kuranishi spaces associated to  $\mathbf{Man}^c$ . For instance,  $\mathbf{mKur}^c$  could be  $\mathbf{mKur}^c$ ,  $\mathbf{mKur}^{\text{gc}}$ ,  $\mathbf{mKur}^{\text{ac}}$  or  $\mathbf{mKur}^{c,\text{ac}}$  from Definition 4.29. We will refer to objects of  $\mathbf{mKur}^c$  as  *$m$ -Kuranishi spaces with corners*. We also write  $\mathbf{mKur}_{\text{si}}^c$  for the 2-subcategory of  $\mathbf{mKur}^c$  with simple 1-morphisms in the sense of §4.5, noting that simple is a discrete property of morphisms in  $\mathbf{Man}^c$  by Assumption 3.22(c).

Generalizing §2.2 for ordinary manifolds with corners  $\mathbf{Man}^c$ , we will define the *boundary*  $\partial X$  and  *$k$ -corners*  $C_k(X)$  for each  $X$  in  $\mathbf{mKur}^c$ , and the *corner 2-functor*  $C : \mathbf{mKur}^c \rightarrow \mathbf{mKur}^c$ . The definitions below are rather long, mechanical, heavy on notation, and boring. Despite this, the underlying ideas are straightforward, with little subtlety — everything just works, mostly in the obvious way. The principle is to apply  $C : \mathbf{Man}^c \rightarrow \mathbf{Man}^c$  in Assumption 3.22(g) to everything in sight, and use the ideas of §3.4.3 on how differential geometry lifts along  $\Pi_k : C_k(X) \rightarrow X$ .

### 4.6.1 Definition of the $k$ -corners $C_k(X)$

**Definition 4.39.** Let  $X = (X, \mathcal{K})$  in  $\mathbf{mKur}^c$  be an  $m$ -Kuranishi space with corners with  $\text{vdim } X = n$ , and as in Definition 4.14 write  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{hij}, h, i, j \in I)$  with  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  and  $\Lambda_{hij} = [\hat{V}_{hij}, \hat{\lambda}_{hij}]$ . Let  $k \in \mathbb{N}$ . We will define an  $m$ -Kuranishi space with corners  $C_k(X)$  in  $\mathbf{mKur}^c$  called the  *$k$ -corners of  $X$* , with  $\text{vdim } C_k(X) = n - k$ , and a 1-morphism  $\Pi_k : C_k(X) \rightarrow X$  in  $\mathbf{mKur}^c$ .

Explicitly we write  $C_k(X) = (C_k(X), \mathcal{K}_k)$  with

$$\mathcal{K}_k = (\{k\} \times I, (V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)})_{i \in I}, \Phi_{(k,i),(k,j)}, \Lambda_{(k,h)(k,i)(k,j)})_{i, j \in I}$$

$$\text{with } \Phi_{(k,i)(k,j)} = (V_{(k,i)(k,j)}, \phi_{(k,i)(k,j)}, \hat{\phi}_{(k,i)(k,j)})$$

$$\text{and } \Lambda_{(k,h)(k,i)(k,j)} = [\hat{V}_{(k,h)(k,i)(k,j)}, \hat{\lambda}_{(k,h)(k,i)(k,j)}],$$

where  $\mathcal{K}_k$  has indexing set  $\{k\} \times I$  with elements  $(k, i)$  for  $i \in I$ , for reasons that will become clear in §4.6.2, and as in (4.9) we write

$$\begin{aligned} \Pi_k &= (\Pi_k, \Pi_{(k,i)j}, i, j \in I, \Pi_{(k,i)(k,i')}, i, i' \in I, \Pi_{(k,i), i \in I}^{jj'}, j, j' \in I), \quad \text{where} \\ \Pi_{(k,i)j} &= (V_{(k,i)j}, \Pi_{(k,i)j}, \hat{\Pi}_{(k,i)j}) : (V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)}) \\ &\quad \longrightarrow (V_j, E_j, s_j, \psi_j), \\ \Pi_{(k,i)(k,i')}^j &= [\hat{V}_{(k,i)(k,i')}^j, \hat{\Pi}_{(k,i)(k,i')}^j] : \Pi_{(k,i')j} \circ \Phi_{(k,i)(k,i')} \implies \Pi_{(k,i)j}, \\ \Pi_{(k,i)}^{jj'} &= [\hat{V}_{(k,i)}^{jj'}, \hat{\Pi}_{(k,i)}^{jj'}] : \Phi_{jj'} \circ \Pi_{(k,i)j} \implies \Pi_{(k,i)j}. \end{aligned}$$

The hardest part is to define the topological space  $C_k(X)$  and the continuous maps  $\Pi_k : C_k(X) \rightarrow X$ ,  $\psi_{(k,i)} : s_{(k,i)}^{-1}(0) \rightarrow C_k(X)$ , and we do these last.

For each  $i \in I$ , define  $V_{(k,i)} = C_k(V_i)$  to be the  $k$ -corners of  $V_i$  from Assumption 3.22(d). Define  $E_{(k,i)} \rightarrow V_{(k,i)}$  to be the pullback vector bundle  $\Pi_k^*(E_i)$ , where  $\Pi_k : V_{(k,i)} = C_k(V_i) \rightarrow V_i$  is as in Assumption 3.22(d), and let  $s_{(k,i)} = \Pi_k^*(s_i)$  in  $\Gamma^\infty(E_{(k,i)})$  be the pullback section. Using Assumption 3.22 we can show these are equivalent to  $E_{(k,i)} = C_k(E_i)$ ,  $s_{(k,i)} = C_k(s_i)$ , where  $s_i : V_i \rightarrow E_i$  is simple. Note that

$$\dim V_{(k,i)} - \text{rank } E_{(k,i)} = \dim C_k(V_i) - \text{rank } E_i = \dim V_i - k - \text{rank } E_i = n - k,$$

by Assumption 3.22(d), as required in Definition 4.14(b) for  $C_k(\mathbf{X})$ .

Although we have not yet defined  $C_k(X)$  and  $\psi_{(k,i)} : s_{(k,i)}^{-1}(0) \rightarrow C_k(X)$ , the definition we later give will have the property that for  $i, j \in I$  we have

$$\psi_{(k,i)}^{-1}(\text{Im } \psi_{(k,j)}) = (\Pi_k \circ \psi_{(k,i)})^{-1}(\text{Im } \psi_j) = \Pi_k^{-1}(\psi_i^{-1}(\text{Im } \psi_j)), \quad (4.39)$$

where  $\psi_i^{-1}(\text{Im } \psi_j) \subseteq s_i^{-1}(0) \subseteq V_i$  and  $\Pi_k : V_{(k,i)} = C_k(V_i) \rightarrow V_i$ , and the definition of  $s_{(k,i)}$  implies that  $s_{(k,i)}^{-1}(0) = \Pi_k^{-1}(s_i^{-1}(0))$ .

Let  $i, j \in I$ . Since simple maps are a discrete property in  $\mathbf{Man}^c$  by Assumption 3.22(c), Definition 4.33 and Proposition 4.34(d) imply that  $\phi_{ij} : V_{ij} \rightarrow V_j$  is simple near  $\psi_i^{-1}(\text{Im } \psi_j) \subseteq V_{ij}$ . Let  $V'_{ij} \subseteq V_{ij}$  be the maximal open set on which  $\phi_{ij}$  is simple, so that  $\psi_i^{-1}(\text{Im } \psi_j) \subseteq V'_{ij}$ . Write  $\phi'_{ij}, \hat{\phi}'_{ij}$  for the restrictions of  $\phi_{ij}, \hat{\phi}_{ij}$  to  $V'_{ij}$ . Define

$$V_{(k,i)(k,j)} = C_k(V'_{ij}). \quad (4.40)$$

Then  $V_{(k,i)(k,j)}$  is open in  $V_{(k,i)}$  by Assumption 3.22(j), as  $V'_{ij} \subseteq V_{ij}$  is open, and  $\psi_{(k,i)}^{-1}(\text{Im } \psi_{(k,i)} \cap \text{Im } \psi_{(k,j)}) \subseteq V_{(k,i)(k,j)}$  as required in Definition 4.2(a) for  $\Phi_{(k,i)(k,j)}$  follows from (4.39) and  $\psi_i^{-1}(\text{Im } \psi_i \cap \text{Im } \psi_j) \subseteq V'_{ij}$ . As  $\phi'_{ij} : V'_{ij} \rightarrow V_j$  is simple, Assumption 3.22(d) gives a morphism  $C_k(\phi'_{ij}) : C_k(V'_{ij}) \rightarrow C_k(V_j)$  in  $\mathbf{Man}^c$ . Define

$$\phi_{(k,i)(k,j)} = C_k(\phi'_{ij}) : V_{(k,i)(k,j)} \longrightarrow V_{(k,j)}. \quad (4.41)$$

Assumption 3.22(g) implies that  $\phi'_{ij} \circ \Pi_k = \Pi_k \circ C_k(\phi'_{ij}) : C_k(V'_{ij}) \rightarrow V_j$ . Thus we may define

$$\begin{aligned} \hat{\phi}_{(k,i)(k,j)} &= \Pi_k^*(\hat{\phi}'_{ij}) : E_{(k,i)}|_{V_{(k,i)(k,j)}} = \Pi_k^*(E_i|_{V'_{ij}}) \longrightarrow \Pi_k^* \circ \phi'^*_{ij}(E_j) \\ &= (\phi'_{ij} \circ \Pi_k)^*(E_j) = (\Pi_k \circ C_k(\phi'_{ij}))^*(E_j) \\ &= C_k(\phi'_{ij})^* \circ \Pi_k^*(E_j) = \phi_{(k,i)(k,j)}^*(E_{(k,j)}). \end{aligned} \quad (4.42)$$

We have  $\hat{\phi}_{ij}(s_i|_{V_{ij}}) = \phi_{ij}^*(s_j) + O(s_i^2)$  by Definition 4.2(d) for  $\Phi_{ij}$ , so pulling back by  $\Pi_k : V_{(k,i)(k,j)} = C_k(V'_{ij}) \rightarrow V'_{ij} \subseteq V_{ij}$  using Theorem 3.28(i) yields

$$\hat{\phi}_{(k,i)(k,j)}(s_{(k,i)}|_{V_{(k,i)(k,j)}}) = \phi_{(k,i)(k,j)}^*(s_{(k,j)}) + O(s_{(k,i)}^2),$$

giving Definition 4.2(d) for  $\Phi_{ij}$ .

For  $\mathbf{\Pi}_{(k,i)j}$ , define

$$\begin{aligned}
V_{(k,i)j} &= C_k(V_{ij}), \quad \text{and} \\
\Pi_{(k,i)j} &= \phi_{ij} \circ \Pi_k : V_{(k,i)j} = C_k(V_{ij}) \longrightarrow V_j, \\
\hat{\Pi}_{(k,i)j} &= \Pi_k^*(\hat{\phi}_{ij}) : E_{(k,i)}|_{V_{(k,i)j}} = \Pi_k^*(E_i|_{V_{ij}}) \longrightarrow \\
&\Pi_k^* \circ \phi_{ij}^*(E_j) = (\phi_{ij} \circ \Pi_k)^*(E_j) = \Pi_{(k,i)j}^*(E_j).
\end{aligned} \tag{4.43}$$

We verify Definition 4.2(a),(d) for  $\mathbf{\Pi}_{(k,i)j}$  as for  $\Phi_{ij}$ .

We have now completely defined the 1-morphisms  $\Phi_{(k,i)(k,j)}$ ,  $\mathbf{\Pi}_{(k,i)j}$ , although we have not yet defined the data  $C_k(X)$  or  $\Pi_k : C_k(X) \rightarrow X$  or  $\psi_{(k,i)}$  in  $(V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)})$ , and have not yet verified condition Definition 4.2(e) for  $\Phi_{(k,i)(k,j)}$ ,  $\mathbf{\Pi}_{(k,i)j}$  which involves  $C_k(X)$ ,  $\Pi_k$ ,  $\psi_{(k,i)}$ ,  $\psi_{(k,j)}$ . The definition of the 2-morphisms  $\Lambda_{(k,h)(k,i)(k,j)}$ ,  $\mathbf{\Pi}_{(k,i)(k,i')}$ ,  $\mathbf{\Pi}_{(k,i)}^{jj'}$  in Definition 4.3 does not involve  $C_k(X)$ ,  $\Pi_k$ ,  $\psi_{(k,i)}$ , so we can do these next.

For  $h, i, j \in I$ , choose a representative  $(\hat{V}_{hij}, \hat{\lambda}_{hij})$  for the  $\sim$ -equivalence class  $\Lambda_{hij}$ . Then  $\hat{V}_{hij} \subseteq V_{hi} \cap \phi_{hi}^{-1}(V_{ij}) \cap V_{hj} \subseteq V_h$  is open, and  $\hat{\lambda}_{hij} : E_h|_{\hat{V}_{hij}} \rightarrow \mathcal{T}_{\phi_{ij} \circ \phi_{hi}} V_j|_{\hat{V}_{hij}}$  is a morphism. Set  $\hat{V}'_{hij} = \hat{V}_{hij} \cap V'_{hi} \cap \phi_{hi}^{-1}(V'_{ij}) \cap V'_{hj}$ . Define

$$\hat{V}_{(k,h)(k,i)(k,j)} = C_k(\hat{V}'_{hij}) \subseteq C_k(V_h) = V_{(k,h)}. \tag{4.44}$$

Define a morphism

$$\begin{aligned}
\hat{\lambda}_{(k,h)(k,i)(k,j)} &= \Pi_k^\diamond(\hat{\lambda}_{hij}) : E_{(k,h)}|_{\hat{V}_{(k,h)(k,i)(k,j)}} = \Pi_k^*(E_h|_{\hat{V}'_{hij}}) \\
&\longrightarrow \mathcal{T}_{\phi_{(k,i)(k,j)} \circ \phi_{(k,h)(k,i)}} V_{(k,j)}|_{\hat{V}_{(k,h)(k,i)(k,j)}} = \mathcal{T}_{C_k(\phi_{ij} \circ \phi_{hi}|_{\hat{V}'_{hij}})} C_k(V_j),
\end{aligned} \tag{4.45}$$

where  $\Pi_k^\diamond(\hat{\lambda}_{hij})$  is as in §3.4.3 and §B.8.1.

Now Definition 4.3(a) for  $\Lambda_{hij}$  gives

$$\psi_h^{-1}(\text{Im } \psi_h \cap \text{Im } \psi_i \cap \text{Im } \psi_j) \subseteq \hat{V}'_{hij}.$$

Applying  $\Pi_k^{-1}$  to this and using (4.39) (which we assume for now) yields

$$\psi_{(k,h)}^{-1}(\text{Im } \psi_{(k,h)} \cap \text{Im } \psi_{(k,i)} \cap \text{Im } \psi_{(k,j)}) \subseteq \hat{V}_{(k,h)(k,i)(k,j)}, \tag{4.46}$$

which is Definition 4.3(a) for  $(\hat{V}_{(k,h)(k,i)(k,j)}, \hat{\lambda}_{(k,h)(k,i)(k,j)})$  for the domain  $S = \text{Im } \psi_{(k,h)} \cap \text{Im } \psi_{(k,i)} \cap \text{Im } \psi_{(k,j)}$  for  $\Lambda_{(k,h)(k,i)(k,j)}$  in Definition 4.14(d) for  $C_k(\mathbf{X})$ . Definition 4.3(b) for  $\Lambda_{hij}$  gives

$$\begin{aligned}
\phi_{hj} &= \phi_{ij} \circ \phi_{hi} + \hat{\lambda}_{hij} \circ s_h + O(s_h^2), \\
\hat{\phi}_{hj} &= \phi_{hi}^*(\hat{\phi}_{ij}) \circ \hat{\phi}_{hi} + (\phi_{ij} \circ \phi_{hi})^*(ds_j) \circ \hat{\lambda}_{hij} + O(s_h).
\end{aligned}$$

Pulling both equations back by  $\Pi_k : \hat{V}_{(k,h)(k,i)(k,j)} = C_k(\hat{V}'_{hij}) \rightarrow \hat{V}'_{hij}$  and using Theorem 3.28(vi),(vii) yields

$$\begin{aligned}
\phi_{(k,h)(k,j)} &= \phi_{(k,i)(k,j)} \circ \phi_{(k,h)(k,i)} + \hat{\lambda}_{(k,h)(k,i)(k,j)} \circ s_{(k,h)} + O(s_{(k,h)}^2), \\
\hat{\phi}_{(k,h)(k,j)} &= \phi_{hi}^*(\hat{\phi}_{(k,i)(k,j)}) \circ \hat{\phi}_{(k,h)(k,i)} \\
&+ (\phi_{(k,i)(k,j)} \circ \phi_{(k,h)(k,i)})^*(ds_{(k,j)}) \circ \hat{\lambda}_{(k,h)(k,i)(k,j)} + O(s_{(k,h)}),
\end{aligned} \tag{4.47}$$



which is Definition 4.3(b) for  $(\hat{V}_{(k,h)(k,i)(k,j)}, \hat{\lambda}_{(k,h)(k,i)(k,j)})$ .

Write  $\Lambda_{(k,h)(k,i)(k,j)} = [\hat{V}_{(k,h)(k,i)(k,j)}, \hat{\lambda}_{(k,h)(k,i)(k,j)}]$  for the  $\sim$ -equivalence class of  $(\hat{V}_{(k,h)(k,i)(k,j)}, \hat{\lambda}_{(k,h)(k,i)(k,j)})$ , as in Definition 4.3. Theorem 3.28(ii) implies that equivalence  $\sim$  on pairs  $(\hat{V}_{hij}, \hat{\lambda}_{hij})$  lifts to  $\sim$  on pairs  $(\hat{V}_{(k,h)(k,i)(k,j)}, \hat{\lambda}_{(k,h)(k,i)(k,j)})$ , so  $\Lambda_{(k,h)(k,i)(k,j)}$  depends only on  $\Lambda_{hij} = [\hat{V}_{hij}, \hat{\lambda}_{hij}]$ , and (once we define  $C_k(X)$ ,  $\psi_{(k,i)}$  and verify the  $\Phi_{(k,i)(k,j)}$  are 1-morphisms), we have a well defined 2-morphism of m-Kuranishi neighbourhoods

$$\Lambda_{(k,h)(k,i)(k,j)} : \Phi_{(k,i)(k,j)} \circ \Phi_{(k,h)(k,i)} \implies \Phi_{(k,h)(k,j)}.$$

Next, for  $i, i', j \in I$  and  $i, j, j' \in I$ , choose representatives  $(\hat{V}_{ii'j}, \hat{\lambda}_{ii'j})$  and  $(\hat{V}_{ijj'}, \hat{\lambda}_{ijj'})$  for  $\Lambda_{ii'j} = [\hat{V}_{ii'j}, \hat{\lambda}_{ii'j}]$  and  $\Lambda_{ijj'} = [\hat{V}_{ijj'}, \hat{\lambda}_{ijj'}]$ , define  $\hat{V}_{(k,i)(k,i')}^j = C_k(\hat{V}_{ii'j})$  and  $\hat{V}_{(k,i)}^{jj'} = C_k(\hat{V}_{ijj'})$ , and define morphisms  $\hat{\Pi}_{(k,i)(k,i')}^j, \hat{\Pi}_{(k,i)}^{jj'}$  by the commutative diagrams

$$\begin{array}{ccc} E_{(k,i)}|_{\hat{V}_{(k,i)(k,i')}^j} & \xlongequal{\quad} & \Pi_k^*(E_i|_{\hat{V}_{ii'j}}) \\ \downarrow \hat{\Pi}_{(k,i)(k,i')}^j & & \Pi_k^*(\hat{\lambda}_{ii'j}) \downarrow \\ \mathcal{T}_{\Pi_{(k,i')j} \circ \phi_{(k,i)(k,i')}}|_{\hat{V}_{(k,i)(k,i')}^j} & \xlongequal{\quad} & \mathcal{T}_{\phi_{i'j} \circ \phi_{ii'} \circ \Pi_k}|_{V_j} \\ \\ E_{(k,i)}|_{\hat{V}_{(k,i)}^{jj'}} & \xlongequal{\quad} & \Pi_k^*(E_i|_{\hat{V}_{ijj'}}) \\ \downarrow \hat{\Pi}_{(k,i)}^{jj'} & & \Pi_k^*(\hat{\lambda}_{ijj'}) \downarrow \\ \mathcal{T}_{\Pi_{jj'} \circ \Pi_{(k,i)j}}|_{\hat{V}_{(k,i)}^{jj'}} & \xlongequal{\quad} & \mathcal{T}_{\phi_{jj'} \circ \phi_{ij} \circ \Pi_k}|_{V_j} \end{array}$$

where  $\Pi_k^*(\hat{\lambda}_{ii'j}), \Pi_k^*(\hat{\lambda}_{ijj'})$  are as in §3.3.4(g).

Definition 4.3(a),(b) for  $(\hat{V}_{(k,i)(k,i')}^j, \hat{\Pi}_{(k,i)(k,i')}^j)$  and  $(\hat{V}_{(k,i)}^{jj'}, \hat{\Pi}_{(k,i)}^{jj'})$  follow from Definition 4.3(a),(b) for  $(\hat{V}_{ii'j}, \hat{\lambda}_{ii'j})$  and  $(\hat{V}_{ijj'}, \hat{\lambda}_{ijj'})$ , as for (4.46)–(4.47). Write  $\Pi_{(k,i)(k,i')}^j = [\hat{V}_{(k,i)(k,i')}^j, \hat{\Pi}_{(k,i)(k,i')}^j]$  and  $\Pi_{(k,i)}^{jj'} = [\hat{V}_{(k,i)}^{jj'}, \hat{\Pi}_{(k,i)}^{jj'}]$  for the  $\sim$ -equivalence classes of  $(\hat{V}_{(k,i)(k,i')}^j, \hat{\Pi}_{(k,i)(k,i')}^j)$  and  $(\hat{V}_{(k,i)}^{jj'}, \hat{\Pi}_{(k,i)}^{jj'})$ , in the sense of Definition 4.3. These depend only on  $\Lambda_{ii'j}$  and  $\Lambda_{ijj'}$ , and (once we define  $C_k(X), \Pi_k, \psi_{(k,i)}$  and verify the  $\Pi_{(k,i)j}, \Phi_{(k,i)(k,j)}$  are 1-morphisms),  $\Pi_{(k,i)(k,i')}^j : \Pi_{(k,i')j} \circ \Phi_{(k,i)(k,i')} \implies \Pi_{(k,i)j}$  and  $\Pi_{(k,i)}^{jj'} : \Phi_{jj'} \circ \Pi_{(k,i)j} \implies \Pi_{(k,i)j'}$  are 2-morphisms of m-Kuranishi neighbourhoods.

It remains to define the topological space  $C_k(X)$  and the continuous maps  $\psi_{(k,i)} : s_{(k,i)}^{-1}(0) \rightarrow C_k(X)$ ,  $\Pi_k : C_k(X) \rightarrow X$ . Define a binary relation  $\approx$  on  $\coprod_{i \in I} s_{(k,i)}^{-1}(0)$  by  $v_i \approx v_j$  if  $i, j \in I$  and  $v_i \in V_{(k,i)(k,j)} \cap s_{(k,i)}^{-1}(0)$  with  $\phi_{(k,i)(k,j)}(v_i) = v_j$  in  $s_{(k,j)}^{-1}(0)$ . We claim that  $\approx$  is an equivalence relation on  $\coprod_{i \in I} s_{(k,i)}^{-1}(0)$ .

To prove this, suppose  $h, i, j \in I$  and  $v_h \in s_{(k,h)}^{-1}(0)$ ,  $v_i \in s_{(k,i)}^{-1}(0)$ ,  $v_j \in$

$s_{(k,j)}^{-1}(0)$  with  $v_h \approx v_i$  and  $v_i \approx v_j$ . Then

$$\begin{aligned} v_h &\in s_{(k,h)}^{-1}(0) \cap V_{(k,h)(k,i)} = \Pi_k^{-1}(s_h^{-1}(0) \cap V_{hi}) = \Pi_k^{-1}(\psi_h^{-1}(\text{Im } \psi_h \cap \text{Im } \psi_i)), \\ v_i &\in s_{(k,i)}^{-1}(0) \cap V_{(k,i)(k,j)} = \Pi_k^{-1}(s_i^{-1}(0) \cap V_{ij}) = \Pi_k^{-1}(\psi_i^{-1}(\text{Im } \psi_i \cap \text{Im } \psi_j)), \end{aligned}$$

with  $\phi_{(k,h)(k,i)}(v_h) = v_i$ ,  $\phi_{(k,i)(k,j)}(v_i) = v_j$ . Hence

$$\begin{aligned} \psi_h \circ \Pi_k(v_h) &= \psi_i \circ \phi_{hi} \circ \Pi_k(v_h) = \psi_i \circ \Pi_k \circ \phi_{(k,h)(k,i)}(v_h) \\ &= \psi_i \circ \Pi_k(v_i) \in \text{Im } \psi_i \cap \text{Im } \psi_j, \end{aligned}$$

using Definition 4.2(e) for  $\Phi_{hi}$ . Thus

$$v_h \in \Pi_k^{-1}(\psi_h^{-1}(\text{Im } \psi_h \cap \text{Im } \psi_j)) = \Pi_k^{-1}(s_h^{-1}(0) \cap V'_{hj}) = s_{(k,h)}^{-1}(0) \cap V_{(k,h)(k,j)},$$

and  $\phi_{(k,h)(k,j)}(v_h)$  is defined. The first equation of (4.47) and  $s_{(k,h)}(v_h) = 0$  imply that  $\phi_{(k,h)(k,j)}(v_h) = \phi_{(k,i)(k,j)} \circ \phi_{(k,h)(k,i)}(v_h) = \phi_{(k,i)(k,j)}(v_i) = v_j$ . Hence  $v_h \approx v_j$ , and  $v_h \approx v_i$ ,  $v_i \approx v_j$  imply that  $v_h \approx v_j$ .

Taking  $j = h$  and noting that  $\phi_{(k,h)(k,h)} = \text{id}_{V_{(k,h)}}$ , we see that

$$\begin{aligned} \phi_{(k,h)(k,i)}|_{\dots} : s_{(k,h)}^{-1}(0) \cap V_{(k,h)(k,i)} &\longrightarrow s_{(k,i)}^{-1}(0) \cap V_{(k,i)(k,h)}, \\ \phi_{(k,i)(k,h)}|_{\dots} : s_{(k,i)}^{-1}(0) \cap V_{(k,i)(k,h)} &\longrightarrow s_{(k,h)}^{-1}(0) \cap V_{(k,h)(k,i)}, \end{aligned} \quad (4.48)$$

are inverse maps. Hence  $v_h \approx v_i$  implies that  $v_i \approx v_h$ . And  $v_h \approx v_i$  for any  $v_h \in s_{(k,h)}^{-1}(0)$  as  $\phi_{(k,h)(k,h)} = \text{id}_{V_{(k,h)}}$ . Therefore  $\approx$  is an equivalence relation.

Now define  $C_k(X)$  to be the topological space, with the quotient topology,

$$C_k(X) = [\coprod_{i \in I} s_{(k,i)}^{-1}(0)] / \approx. \quad (4.49)$$

For each  $i \in I$  define  $\psi_{(k,i)} : s_{(k,i)}^{-1}(0) \rightarrow C_k(X)$  by  $\psi_{(k,i)} : v_i \mapsto [v_i]$ , where  $[v_i]$  is the  $\approx$ -equivalence class of  $v_i$ . Define  $\Pi_k : C_k(X) \rightarrow X$  by  $\Pi_k([v_i]) = \psi_i \circ \Pi_k(v_i)$  for  $i \in I$  and  $v_i \in s_{(k,i)}^{-1}(0)$ , so that  $\Pi_k(v_i) \in s_i^{-1}(0)$  and  $\psi_i \circ \Pi_k(v_i) \in X$ . To show this is well defined, suppose  $[v_i] = [v_j]$ , so that  $i, j \in I$  and  $v_i \in s_{(k,i)}^{-1}(0)$ ,  $v_j \in s_{(k,j)}^{-1}(0)$  with  $v_i \approx v_j$ . Then  $v_i \in V_{(k,i)(k,j)}$  with  $\phi_{(k,i)(k,j)}(v_i) = v_j$ , so that

$$\psi_j \circ \Pi_k(v_j) = \psi_j \circ \Pi_k \circ \phi_{(k,i)(k,j)}(v_i) = \psi_j \circ \phi_{ij} \circ \Pi_k(v_i) = \psi_i \circ \Pi_k(v_i),$$

using Definition 4.2(e) for  $\Phi_{ij}$  in the last step. Hence  $\Pi_k$  is well defined. Observe that (4.39) follows easily from the definitions of  $C_k(X)$ ,  $\Pi_k$ ,  $\psi_{(k,i)}$  above.

We have now defined all the data in  $C_k(\mathbf{X}) = (C_k(X), \mathcal{K}_k)$ . It remains to verify the conditions of Definition 4.14. As  $C_k(X)$  is made by gluing the topological spaces  $s_{(k,i)}^{-1}(0)$  for  $i \in I$  by an equivalence relation  $v_h \approx v_i$  for  $v_h \in s_{(k,h)}^{-1}(0)$ ,  $v_i \in s_{(k,i)}^{-1}(0)$  which identifies open sets  $s_{(k,h)}^{-1}(0) \cap V_{(k,h)(k,i)}$  in  $s_{(k,h)}^{-1}(0)$  and  $s_{(k,i)}^{-1}(0) \cap V_{(k,i)(k,h)}$  in  $s_{(k,i)}^{-1}(0)$  by a homeomorphism (since  $\phi_{(k,h)(k,i)}|_{\dots}, \phi_{(k,i)(k,h)}|_{\dots}$  in (4.48) are continuous, inverse maps), it follows that

$\psi_{(k,i)} : s_{(k,i)}^{-1}(0) \rightarrow C_k(X)$  is a homeomorphism with an open set  $\text{Im } \psi_{(k,i)}$  in  $C_k(X)$  for  $i \in I$ , giving Definition 4.1(d) for  $(V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)})$ , so  $(V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)})$  is an m-Kuranishi neighbourhood on  $C_k(X)$  for  $i \in I$ .

Because  $\psi_{(k,i)} : s_{(k,i)}^{-1}(0) \rightarrow \text{Im } \psi_{(k,i)}$  is a homeomorphism, we see that

$$\Pi_k|_{\text{Im } \psi_{(k,i)}} = \psi_i \circ \Pi_k \circ (\psi_{(k,i)})^{-1} : \text{Im } \psi_{(k,i)} \longrightarrow X,$$

which is clearly continuous. As the  $\text{Im } \psi_{(k,i)}$ ,  $i \in I$  cover  $C_k(X)$ , this proves that  $\Pi_k : C_k(X) \rightarrow X$  is continuous. Also  $\text{Im } \psi_{(k,i)} = \Pi_k^{-1}(\text{Im } \psi_i)$ , and  $\Pi_k|_{\text{Im } \psi_{(k,i)}} : \text{Im } \psi_{(k,i)} \rightarrow \text{Im } \psi_i$  is isomorphic to  $\Pi_k|_{\dots} : \Pi_k^{-1}(s_i^{-1}(0)) \rightarrow s_i^{-1}(0)$ . Since  $\Pi_k : C_k(V_i) \rightarrow V_i$  is proper with finite fibres by Assumption 3.22(d), we see that  $\Pi_k|_{\dots} : \Pi_k^{-1}(\text{Im } \psi_i) \rightarrow \text{Im } \psi_i$  is proper with finite fibres. As the  $\text{Im } \psi_i : i \in I$  cover  $X$ , it follows that  $\Pi_k : C_k(X) \rightarrow X$  is proper with finite fibres.

Suppose  $x'_1 \neq x'_2 \in C_k(X)$ , and set  $x_1 = \Pi_k(x'_1)$ ,  $x_2 = \Pi_k(x'_2)$  in  $X$ . If  $x_1 \neq x_2$  then as  $X$  is Hausdorff there exist open  $U_1 \subseteq X$ ,  $U_2 \subseteq X$  with  $U_1 \cap U_2 = \emptyset$ , and then  $U'_1 := \Pi_k^{-1}(U_1)$ ,  $U'_2 := \Pi_k^{-1}(U_2)$  are open in  $X$  with  $x'_1 \in U'_1$ ,  $x'_2 \in U'_2$  and  $U'_1 \cap U'_2 = \emptyset$ . If  $x_1 = x_2$  then  $x_1, x_2 \in \text{Im } \psi_i \subseteq X$  for some  $i \in I$ , so  $x'_1, x'_2 \in \text{Im } \psi_{(k,i)} \subseteq C_k(X)$ . But  $\text{Im } \psi_{(k,i)}$  is open in  $C_k(X)$  and is homeomorphic to  $s_{(k,i)}^{-1}(0) \subseteq V_{(k,i)}$ , which is Hausdorff by Assumption 3.2(b) for  $V_{(k,i)}$ . Hence there exist open  $x'_1 \in U'_1 \subseteq \text{Im } \psi_{(k,i)} \subseteq C_k(X)$  and  $x'_2 \in U'_2 \subseteq \text{Im } \psi_{(k,i)} \subseteq C_k(X)$  with  $U'_1 \cap U'_2 = \emptyset$ . Therefore  $C_k(X)$  is Hausdorff.

As  $X$  is second countable and the  $\text{Im } \psi_i$ ,  $i \in I$  cover  $X$ , there exists a countable subset  $J \subseteq I$  with  $X = \bigcup_{i \in J} \text{Im } \psi_i$ . Therefore  $C_k(X) = \bigcup_{i \in J} \text{Im } \psi_{(k,i)}$ . But each  $\text{Im } \psi_{(k,i)}$  is homeomorphic to  $s_{(k,i)}^{-1}(0) \subseteq V_{(k,i)}$ , which is second countable by Assumption 3.2(b) for  $V_{(k,i)}$ . So  $C_k(X)$  is a countable union of second countable open subspaces, and is second countable.

For all of Definition 4.14(a)–(h) for  $C_k(\mathbf{X})$ , either we have proved them above, or they follow from Definition 4.14(a)–(h) for  $\mathbf{X}$  by pulling back by  $\Pi_k$  and using Theorems 3.27–3.28. (In (c), that  $\Phi_{(k,i)(k,j)}$  is a coordinate change follows from  $\Phi_{(k,i)(k,j)}$  a 1-morphism and (d),(f).) Hence  $C_k(\mathbf{X}) = (C_k(X), \mathcal{K}_k)$  is an m-Kuranishi space with corners in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ , with  $\text{vdim } C_k(\mathbf{X}) = n - k$ .

Similarly, for Definition 4.17(a)–(h) for  $\mathbf{\Pi}_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$ , either we have proved them above, or they follow from Definition 4.14 for  $\mathbf{X}$  using Theorems 3.27–3.28, where we deduce Definition 4.17(f)–(h) for  $\mathbf{\Pi}_k$  from Definition 4.14(h) for  $\mathbf{X}$ . Thus  $\mathbf{\Pi}_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  is a 1-morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ .

When  $k = 1$  we also write  $\partial\mathbf{X} = C_1(\mathbf{X})$  and call it the *boundary* of  $\mathbf{X}$ , and we write  $i_{\mathbf{X}} : \partial\mathbf{X} \rightarrow \mathbf{X}$  in place of  $\mathbf{\Pi}_1 : C_1(\mathbf{X}) \rightarrow \mathbf{X}$ .

We summarize Definition 4.39 in:

**Theorem 4.40.** *For each  $\mathbf{X}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  and  $k = 0, 1, \dots$  we have defined the  $k$ -corners  $C_k(\mathbf{X})$ , an object in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  with  $\text{vdim } C_k(\mathbf{X}) = \text{vdim } \mathbf{X} - k$ , and a 1-morphism  $\mathbf{\Pi}_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ , whose underlying continuous map  $\Pi_k : C_k(X) \rightarrow X$  is proper with finite fibres. We also write  $\partial\mathbf{X} = C_1(\mathbf{X})$ , called the *boundary* of  $\mathbf{X}$ , and we write  $i_{\mathbf{X}} = \mathbf{\Pi}_1 : \partial\mathbf{X} \rightarrow \mathbf{X}$ .*

**Remark 4.41.** (a) The definitions of  $C_k(\mathbf{X})$  and  $\mathbf{\Pi}_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  in Definition 4.39 involve the notions of simple maps in  $\mathbf{Man}^c$ , and the functor  $C_k : \mathbf{Man}_{\text{si}}^c \rightarrow \mathbf{Man}_{\text{si}}^c$ , and the projections  $\Pi_k : C_k(V) \rightarrow V$  for  $V \in \mathbf{Man}^c$ . Apart from these, they do not involve the corner functor  $C : \mathbf{Man}^c \rightarrow \mathbf{Man}^c$ .

As in Example 3.24, when  $\mathbf{Man}^c$  is  $\mathbf{Man}^c$ ,  $\mathbf{Man}_{\text{st}}^c$ ,  $\mathbf{Man}^{\text{ac}}$ ,  $\mathbf{Man}_{\text{st}}^{\text{ac}}$ ,  $\mathbf{Man}^{c,\text{ac}}$  or  $\mathbf{Man}_{\text{st}}^{c,\text{ac}}$  there are two possibilities  $C, C'$  for  $C : \mathbf{Man}^c \rightarrow \mathbf{Man}^c$ . In each case, simple maps, the functor  $C_k$ , and projections  $\Pi_k$ , are the same for  $C, C'$ . Therefore  $C_k(\mathbf{X})$  and  $\mathbf{\Pi}_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  in  $\mathbf{mKur}^c$  are the same for  $C$  and  $C'$ .

(b) Definition 4.39 is similar to Fukaya, Oh, Ohta and Ono [24, Def. A1.30] for FOOO Kuranishi spaces — see §7.1 for more details.

#### 4.6.2 The corner 2-functor $C : \mathbf{mKur}^c \rightarrow \mathbf{mKur}^c$

**Definition 4.42.** Define the 2-category  $\mathbf{mKur}^c$  by following the definition of  $\mathbf{mKur}^c$  in §4.3, but with the following modifications. In Definition 4.14, for objects  $\mathbf{X} = (X, \mathcal{K})$  in  $\mathbf{mKur}^c$ , rather than taking  $\text{vdim } \mathbf{X}$  to be an integer  $n$ , it is a locally constant function  $\text{vdim} : X \rightarrow \mathbb{Z}$ . In part (b), we omit  $\dim V_i - \text{rank } E_i = n$ , but instead we require that  $\text{vdim}|_{\text{Im } \psi_i} = \dim V_i - \text{rank } E_i$ , for all  $i \in I$ . This determines  $\text{vdim} : X \rightarrow \mathbb{Z}$ , so it is not extra data. Objects of  $\mathbf{mKur}^c$  will be called *m-Kuranishi spaces with corners of mixed dimension*.

Then  $\mathbf{mKur}^c$  embeds as a full 2-subcategory  $\mathbf{mKur}^c \subset \mathbf{mKur}^c$  in the obvious way. Any  $\mathbf{X}$  in  $\mathbf{mKur}^c$  may be uniquely written as  $\mathbf{X} = \coprod_{n \in \mathbb{Z}} \mathbf{X}_n$ , where  $\mathbf{X}_n \subseteq \mathbf{X}$  is open and closed with topological space  $X_n = \text{vdim}^{-1}(n)$ , and  $\mathbf{X}_n \in \mathbf{mKur}^c \subset \mathbf{mKur}^c$  with  $\text{vdim } \mathbf{X}_n = n \in \mathbb{Z}$ .

If  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is a 1-morphism in  $\mathbf{mKur}^c$  with  $\mathbf{X} = \coprod_{m \in \mathbb{Z}} \mathbf{X}_m$ ,  $\mathbf{Y} = \coprod_{n \in \mathbb{Z}} \mathbf{Y}_n$  for  $\mathbf{X}_m, \mathbf{Y}_n$  in  $\mathbf{mKur}^c$  with  $\text{vdim } \mathbf{X}_m = m$ ,  $\text{vdim } \mathbf{Y}_n = n$ , then  $f|_{\mathbf{X}_{mn}} : \mathbf{X}_{mn} \rightarrow \mathbf{Y}_n$  is a 1-morphism in  $\mathbf{mKur}^c$  for all  $m, n \in \mathbb{Z}$ , where  $\mathbf{X}_{mn} := \mathbf{X}_m \cap f^{-1}(\mathbf{Y}_n)$  is open and closed in  $\mathbf{X}_m \subseteq \mathbf{X}$ , with  $\mathbf{X}_m = \coprod_{n \in \mathbb{Z}} \mathbf{X}_{mn}$ .

An alternative way to construct  $\mathbf{mKur}^c$  from  $\mathbf{mKur}^c$  is to say that objects of  $\mathbf{mKur}^c$  are  $\coprod_{n \in \mathbb{Z}} \mathbf{X}_n$  for  $\mathbf{X}_n$  in  $\mathbf{mKur}^c$  with  $\text{vdim } \mathbf{X}_n = n$  as above, and a 1-morphism  $f : \coprod_{m \in \mathbb{Z}} \mathbf{X}_m \rightarrow \coprod_{n \in \mathbb{Z}} \mathbf{Y}_n$  in  $\mathbf{mKur}^c$  assigns a decomposition  $\mathbf{X}_m = \coprod_{n \in \mathbb{Z}} \mathbf{X}_{mn}$  in  $\mathbf{mKur}^c$  for  $m \in \mathbb{Z}$  with  $\mathbf{X}_{mn} \subseteq \mathbf{X}_m$  open and closed, and 1-morphisms  $f_{mn} : \mathbf{X}_{mn} \rightarrow \mathbf{Y}_n$  in  $\mathbf{mKur}^c$  for all  $m, n \in \mathbb{Z}$ , and so on.

We write  $\mathbf{mKur}_{\text{si}}^c$  for the 2-subcategory of  $\mathbf{mKur}^c$  with the same objects, and with simple 1-morphisms, and all 2-morphisms between 1-morphisms in  $\mathbf{mKur}_{\text{si}}^c$ . For the examples of  $\mathbf{mKur}_{\text{si}}^c \subseteq \mathbf{mKur}^c$  in §4.3 and §4.5 we use the obvious notation for the corresponding 2-categories  $\mathbf{mKur}_{\text{si}}^c \subseteq \mathbf{mKur}^c$ , so for instance we enlarge  $\mathbf{mKur}^c$  associated to  $\mathbf{Man}^c = \mathbf{Man}^c$  to  $\mathbf{mKur}^c$ .

**Definition 4.43.** We will define a weak 2-functor  $C : \mathbf{mKur}^c \rightarrow \mathbf{mKur}^c$ , the *corner 2-functor*. On objects  $\mathbf{X}$  in  $\mathbf{mKur}^c$ , define  $C(\mathbf{X}) = \coprod_{k=0}^{\infty} C_k(\mathbf{X})$  in  $\mathbf{mKur}^c$ . Extending the notation of Definition 4.39, we regard  $C(\mathbf{X}) = (C(\mathbf{X}), \mathcal{K}_{\mathbb{N}})$  as a single object in  $\mathbf{mKur}^c$ , where  $\mathcal{K}_{\mathbb{N}}$  has indexing set  $\mathbb{N} \times I$ , and the part of  $C(\mathbf{X})$  with indexing set  $\{k\} \times I \subset \mathbb{N} \times I$  for  $k \in \mathbb{N}$  is  $C_k(\mathbf{X}) \subset$

$C(\mathbf{X})$ . Define a 1-morphism  $\Pi : C(\mathbf{X}) \rightarrow \mathbf{X}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  by  $\Pi = \coprod_{k=0}^{\infty} \Pi_k$ , for  $\Pi_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  as in Definition 4.39.

Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ , and use notation (4.6), (4.7) and (4.9) for  $\mathbf{X}, \mathbf{Y}, \mathbf{f}$ . Thus as above we write

$$\begin{aligned} C(\mathbf{X}) &= (C(X), \mathcal{I}_{\mathbb{N}}), \quad \mathcal{I}_{\mathbb{N}} = (\mathbb{N} \times I, (U_{(k,i)}, D_{(k,i)}, r_{(k,i)}, \chi_{(k,i)})_{(k,i) \in \mathbb{N} \times I}, \\ \mathbb{T}_{(k,i)(k',i')} &= (U_{(k,i)(k',i')}, \tau_{(k,i)(k',i')}, \hat{\tau}_{(k,i)(k',i')})_{(k,i),(k',i') \in \mathbb{N} \times I}, \\ \mathbb{K}_{(k,i)(k',i')(k'',i'')} &= [\hat{U}_{(k,i)(k',i')(k'',i'')}, \hat{\kappa}_{(k,i)(k',i')(k'',i'')}]_{(k,i),(k',i'),(k'',i'') \in \mathbb{N} \times I}, \\ C(\mathbf{Y}) &= (C(Y), \mathcal{J}_{\mathbb{N}}), \quad \mathcal{J}_{\mathbb{N}} = (\mathbb{N} \times J, (V_{(l,j)}, E_{(l,j)}, s_{(l,j)}, \psi_{(l,j)})_{(l,j) \in \mathbb{N} \times J}, \\ \mathbb{Y}_{(l,j)(l',j')} &= (V_{(l,j)(l',j')}, v_{(l,j)(l',j')}, \hat{v}_{(l,j)(l',j')})_{(l,j),(l',j') \in \mathbb{N} \times J}, \\ \Lambda_{(l,j)(l',j')(l'',j'')} &= [\hat{V}_{(l,j)(l',j')(l'',j'')}, \hat{\lambda}_{(l,j)(l',j')(l'',j'')}]_{(l,j),(l',j'),(l'',j'') \in \mathbb{N} \times J}. \end{aligned}$$

We will define a 1-morphism  $C(\mathbf{f}) : C(\mathbf{X}) \rightarrow C(\mathbf{Y})$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ , where

$$C(\mathbf{f}) = (C(f), \mathbf{f}_{(k,i)(l,j), (k,i) \in \mathbb{N} \times I, (l,j) \in \mathbb{N} \times J}, \mathbf{F}_{(k,i)(k',i'), (k,i),(k',i') \in \mathbb{N} \times I, (l,j)(l',j') \in \mathbb{N} \times J}^{(l,j), (l',j') \in \mathbb{N} \times J}). \quad (4.50)$$

First we define the map  $C(f) : C(X) \rightarrow C(Y)$ . Suppose  $x' \in C_k(X) \subseteq C(X)$  with  $\Pi_k(x') = x \in X$ , and let  $y = f(x) \in Y$ . Choose  $i \in I$  and  $j \in J$  with  $x \in \text{Im } \chi_i$  and  $y \in \text{Im } \psi_j$ , so that  $x' \in \text{Im } \chi_{(k,i)}$ . Write  $u_i = \chi_i^{-1}(x) \in r_i^{-1}(0) \subseteq U_i$ ,  $u'_i = \chi_{(k,i)}^{-1}(x') \in r_{(k,i)}^{-1}(0) \subseteq U_{(k,i)} = C_k(U_i)$ , so that  $\Pi_k(u'_i) = u_i$ , and write  $v_j = \psi_j^{-1}(y) \in s_j^{-1}(0) \subseteq V_j$ . Then  $f_{ij}(v_i) = v_j$  by Definition 4.2(e) for  $\mathbf{f}_{ij}$ .

In  $\mathbf{f}$  we have  $\mathbf{f}_{ij} = (U_{ij}, f_{ij}, \hat{f}_{ij}) : (U_i, D_i, r_i, \chi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$ , and  $u_i \in U_{ij} \subseteq U_i$ , so that  $u'_i \in C_k(U_{ij}) \subseteq C_k(U_i)$ . Then  $f_{ij} : U_{ij} \rightarrow V_j$  is a morphism in  $\mathbf{Man}^c$ , so  $C(f_{ij}) : C(U_{ij}) \rightarrow C(V_j)$  is a morphism in  $\check{\mathbf{M}}\mathbf{an}^c$  by Assumption 3.22(g). Write  $v'_j = C(f_{ij})(u'_i) \in C_l(V_j) \subseteq C(V_j)$ . Then

$$\Pi_l(v'_j) = \Pi_l \circ C(f_{ij})(u'_i) = f_{ij} \circ \Pi_k(u'_i) = f_{ij}(u_i) = v_j \in s_j^{-1}(0),$$

so  $v'_j \in \Pi_l^{-1}(s_j^{-1}(0)) = s_{(l,j)}^{-1}(0)$ . Define  $C(f)(x') = \psi_{(l,j)}(v'_j) \in C_l(Y) \subseteq C(Y)$ .

To show this well defined, let  $\tilde{i} \in I, \tilde{j} \in J$  be alternative choices with  $x \in \text{Im } \chi_{\tilde{i}}, y \in \text{Im } \psi_{\tilde{j}}$ , and write  $u_{\tilde{i}}, u'_{\tilde{i}}, v_{\tilde{j}}, v'_{\tilde{j}}$  for the alternative  $u_i, u'_i, v_j, v'_j$ . We have coordinate changes  $\mathbb{T}_{\tilde{i}\tilde{i}} = (U_{\tilde{i}\tilde{i}}, \tau_{\tilde{i}\tilde{i}}, \hat{\tau}_{\tilde{i}\tilde{i}}), \mathbb{Y}_{\tilde{j}\tilde{j}} = (V_{\tilde{j}\tilde{j}}, v_{\tilde{j}\tilde{j}}, \hat{v}_{\tilde{j}\tilde{j}})$  in  $\mathbf{X}, \mathbf{Y}$ . Then

$$\begin{aligned} \psi_{(l,j)}(v'_j) &= \psi_{(l,j)} \circ C(f_{ij})(u'_i) = \psi_{(l,\tilde{j})} \circ C(v_{\tilde{j}\tilde{j}}) \circ C(f_{i\tilde{j}}) \circ C(\tau_{\tilde{i}\tilde{i}})(u'_{\tilde{i}}) \\ &= \psi_{(l,\tilde{j})} \circ C(v_{\tilde{j}\tilde{j}} \circ f_{i\tilde{j}} \circ \tau_{\tilde{i}\tilde{i}})(u'_{\tilde{i}}) = \psi_{(l,\tilde{j})} \circ C(f_{\tilde{i}\tilde{j}})(u'_{\tilde{i}}) = \psi_{(l,\tilde{j})}(v'_{\tilde{j}}). \end{aligned}$$

Here in the first and fifth steps we use the definitions of  $v'_j, v'_{\tilde{j}}$ , in the second the definition of  $C_k(X), C_l(Y)$  in (4.49) with  $\tau_{\tilde{i}\tilde{i}}, v_{\tilde{j}\tilde{j}}$  simple near  $u_{\tilde{i}}, v_{\tilde{j}}$  so that  $k, l$  do not change, in the third that  $C : \check{\mathbf{M}}\mathbf{an}^c \rightarrow \check{\mathbf{M}}\mathbf{an}^c$  is a functor, and in the fourth Definition 4.17(g) for  $\mathbf{f}$ . Hence  $C(f)(x')$  is well defined.

We now have a commutative diagram

$$\begin{array}{ccc} u'_i \in r_{(k,i)}^{-1}(0) \cap C_k(U_{ij}) \cap C(f_{ij})^{-1}(C_l(V_j)) & \xrightarrow{C(f_{ij})|_{\dots}} & s_{(l,j)}^{-1}(0) \\ \downarrow \chi_{(k,i)}|_{\dots} & & \psi_{(l,j)} \downarrow \\ x' \in C(X) & \xrightarrow{C(f)} & C(Y). \end{array} \quad (4.51)$$

As the top row is continuous, and the columns are homeomorphisms with open subsets of  $C(X), C(Y)$ , we see that  $C(f)$  is continuous in an open neighbourhood of  $x'$  in  $C(X)$ . As this holds for all  $x'$ ,  $C(f)$  is continuous.

If  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is simple then  $f_{ij} : U_{ij} \rightarrow V_j$  is simple near  $r_i^{-1}(0)$  for all  $i, j$ , so  $C(f_{ij})$  maps  $C_k(U_{ij}) \rightarrow C_k(V_j)$  near  $r_{(k,i)}^{-1}(0)$  for all  $k = 0, 1, \dots$ , and hence  $C(f)$  maps  $C_k(X) \rightarrow C_k(Y)$  for all  $k = 0, 1, \dots$ .

For  $(k, i) \in \mathbb{N} \times I$  and  $(l, j) \in \mathbb{N} \times J$ , with  $\mathbf{f}_{ij} = (U_{ij}, f_{ij}, \hat{f}_{ij})$ , define

$$\begin{aligned} U_{(k,i)(l,j)} &= C_k(U_{ij}) \cap C(f_{ij})^{-1}(C_l(V_j)) \subseteq U_{(k,i)} = C_k(U_i), \\ f_{(k,i)(l,j)} &= C(f_{ij})|_{U_{(k,i)(l,j)}} : U_{(k,i)(l,j)} \longrightarrow V_{(l,j)} = C_l(V_j), \\ \hat{f}_{(k,i)(l,j)} &= \Pi_k^*(\hat{f}_{ij})|_{U_{(k,i)(l,j)}} : D_{(k,i)}|_{U_{(k,i)(l,j)}} = \Pi_k|_{U_{(k,i)(l,j)}}^*(D_i) \\ &\longrightarrow \Pi_k|_{U_{(k,i)(l,j)}}^* \circ f_{ij}^*(E_j) = C_k(f_{ij})|_{U_{(k,i)(l,j)}}^* \circ \Pi_k^*(E_j) = f_{(k,i)(l,j)}^*(E_{(l,j)}). \end{aligned}$$

Then we have a 1-morphism of m-Kuranishi neighbourhoods

$$\begin{aligned} \mathbf{f}_{(k,i)(l,j)} &= (U_{(k,i)(l,j)}, f_{(k,i)(l,j)}, \hat{f}_{(k,i)(l,j)}) : (U_{(k,i)}, D_{(k,i)}, r_{(k,i)}, \chi_{(k,i)}) \\ &\longrightarrow (V_{(l,j)}, E_{(l,j)}, s_{(l,j)}, \psi_{(l,j)}) \end{aligned} \quad (4.52)$$

over  $C(f) : C(X) \rightarrow C(Y)$  and  $S = \text{Im } \chi_{(k,i)} \cap C(f)^{-1}(\text{Im } \psi_{(l,j)})$ . Here Definition 4.2(a)–(c) for  $\mathbf{f}_{(k,i)(l,j)}$  are immediate, (d) follows by applying  $\Pi_k^*$  to (d) for  $\mathbf{f}_{ij}$  and using Theorem 3.28(i), and (e) holds by (4.51).

Let  $i, i' \in I$  and  $j, j' \in J$ , and choose representatives  $(\hat{U}_{ii'}^j, \hat{F}_{ii'}^j)$ ,  $(\hat{U}_i^{jj'}, \hat{F}_i^{jj'})$  for  $\mathbf{F}_{ii'}^j = [\hat{U}_{ii'}^j, \hat{F}_{ii'}^j]$ ,  $\mathbf{F}_i^{jj'} = [\hat{U}_i^{jj'}, \hat{F}_i^{jj'}]$  in  $\mathbf{f}$ . For  $k, l \in \mathbb{N}$ , define

$$\begin{aligned} \hat{U}_{(k,i)(k,i')}^{(l,j)} &= C_k(\hat{U}_{ii'}^j) \cap C(f_{i'j} \circ \tau_{ii'})^{-1}(C_l(V_j)), \\ \hat{U}_{(k,i)}^{(l,j)(l,j')} &= C_k(\hat{U}_i^{jj'}) \cap C(v_{jj'} \circ f_{ij})^{-1}(C_l(V_{j'})). \end{aligned} \quad (4.53)$$

As for (4.45), define morphisms

$$\begin{aligned} \hat{F}_{(k,i)(k,i')}^{(l,j)} &= \Pi_k^\diamond(\hat{F}_{ii'}^j)|_{\hat{U}_{(k,i)(k,i')}^{(l,j)}} : D_{(k,i)}|_{\hat{U}_{(k,i)(k,i')}^{(l,j)}} = \Pi_k^*(D_i)|_{\hat{U}_{(k,i)(k,i')}^{(l,j)}} \\ &\longrightarrow \mathcal{T}_{f_{(k,i')(l,j)} \circ \tau_{(k,i)(k,i')}} V_{(l,j)}|_{\hat{U}_{(k,i)(k,i')}^{(l,j)}} = \mathcal{T}_{C(f_{i'j} \circ \tau_{ii'})}|_{\hat{U}_{(k,i)(k,i')}^{(l,j)}} C_l(V_j), \end{aligned} \quad (4.54)$$

$$\begin{aligned} \hat{F}_{(k,i)}^{(l,j)(l,j')} &= \Pi_k^\diamond(\hat{F}_i^{jj'})|_{\hat{U}_{(k,i)}^{(l,j)(l,j')}} : D_{(k,i)}|_{\hat{U}_{(k,i)}^{(l,j)(l,j')}} = \Pi_k^*(D_i)|_{\hat{U}_{(k,i)}^{(l,j)(l,j')}} \\ &\longrightarrow \mathcal{T}_{v_{(l,j)(l,j')} \circ f_{(k,i)(l,j)}} V_{(l,j')}|_{\hat{U}_{(k,i)}^{(l,j)(l,j')}} = \mathcal{T}_{C(v_{jj'} \circ f_{ij})}|_{\hat{U}_{(k,i)}^{(l,j)(l,j')}} C_l(V_{j'}), \end{aligned} \quad (4.55)$$

where  $\Pi_k^\diamond(\hat{F}_{ii'}^j), \Pi_k^\diamond(\hat{F}_i^{jj'})$  are as in §3.4.3.

Now define 2-morphisms of m-Kuranishi neighbourhoods

$$\begin{aligned} \mathbf{F}_{(k,i)(k,i')}^{(l,j)} &= [\hat{U}_{(k,i)(k,i')}^{(l,j)}, \hat{F}_{(k,i)(k,i')}^{(l,j)}] : \mathbf{f}_{(k,i')(l,j)} \circ \mathbb{T}_{(k,i)(k,i')} \Longrightarrow \mathbf{f}_{(k,i)(l,j)}, \\ \mathbf{F}_{(k,i)}^{(l,j)(l,j')} &= [\hat{U}_{(k,i)}^{(l,j)(l,j')}, \hat{F}_{(k,i)}^{(l,j)(l,j')}] : \mathbb{Y}_{(l,j)(l,j')} \circ \mathbf{f}_{(k,i)(l,j)} \Longrightarrow \mathbf{f}_{(k,i)(l,j')}. \end{aligned}$$

Definition 4.3(a),(b) for  $\mathbf{F}_{(k,i)(k,i')}^{(l,j)}, \mathbf{F}_{(k,i)}^{(l,j)(l,j')}$  follow from Definition 4.3(a),(b) for  $\mathbf{F}_{ii'}^j, \mathbf{F}_i^{jj'}$ , as for (4.46)–(4.47). The equivalences  $\sim$  on pairs  $(\hat{U}_{ii'}^j, \hat{F}_{ii'}^j), (\hat{U}_i^{jj'}, \hat{F}_i^{jj'})$  lift to  $\sim$  on pairs  $(\hat{U}_{(k,i)(k,i')}^{(l,j)}, \hat{F}_{(k,i)(k,i')}^{(l,j)}), (\hat{U}_{(k,i)}^{(l,j)(l,j')}, \hat{F}_{(k,i)}^{(l,j)(l,j')})$  by Theorem 3.28(ii), so  $\mathbf{F}_{(k,i)(k,i')}^{(l,j)}, \mathbf{F}_{(k,i)}^{(l,j)(l,j')}$  depend only on  $\mathbf{F}_{ii'}^j, \mathbf{F}_i^{jj'}$ .

If  $k \neq k'$  and  $l \neq l'$  we define

$$\begin{aligned} \mathbf{F}_{(k,i)(k',i')}^{(l,j)} &= [\emptyset, 0] : \mathbf{f}_{(k',i')(l,j)} \circ \mathbb{T}_{(k,i)(k',i')} \implies \mathbf{f}_{(k,i)(l,j)}, \\ \mathbf{F}_{(k,i)}^{(l,j)(l',j')} &= [\emptyset, 0] : \Upsilon_{(l,j)(l',j')} \circ \mathbf{f}_{(k,i)(l,j)} \implies \mathbf{f}_{(k,i)(l',j')}. \end{aligned}$$

This makes sense as  $\mathbb{T}_{(k,i)(k',i')}, \Upsilon_{(l,j)(l',j')}$  are trivial, since

$$\text{Im } \chi_{(k,i)} \cap \text{Im } \chi_{(k',i')} = \text{Im } \psi_{(l,j)} \cap \text{Im } \psi_{(l',j')} = \emptyset$$

as  $C_k(X) \cap C_{k'}(X) = \emptyset, C_l(Y) \cap C_{l'}(Y) = \emptyset$ .

We have now defined all the data in  $C(\mathbf{f})$  in (4.50), and verified Definition 4.17(a)–(d) for  $C(\mathbf{f})$ . We deduce (e)–(h) from Definition 4.17(e)–(h) for  $\mathbf{f}$  by pulling back by  $\Pi_k : C_k(V_i) \rightarrow V_i$  using Theorems 3.27–3.28. This proves  $C(\mathbf{f}) : C(\mathbf{X}) \rightarrow C(\mathbf{Y})$  is a 1-morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ .

If  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is simple (that is, a 1-morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$ ) then  $C(\mathbf{f})$  maps  $C_k(X) \rightarrow C_k(Y)$  for  $k = 0, 1, \dots$ . Also as  $f_{ij} : U_{ij} \rightarrow V_j$  is simple near  $r_i^{-1}(0)$ ,  $C(f_{ij}) : C(U_{ij}) \rightarrow C(V_j)$  is simple near  $r_{(k,i)}^{-1}(0)$  by Assumption 3.22(i), so  $\mathbf{f}_{(k,i)(l,j)}$  and  $\mathbf{f}_{(k,i)(l,j)}$  in (4.52) are simple. Therefore  $C(\mathbf{f}) : C(\mathbf{X}) \rightarrow C(\mathbf{Y})$  is simple and decomposes as  $C(\mathbf{f}) = \coprod_{k=0}^{\infty} C_k(\mathbf{f})$  for  $C_k(\mathbf{f}) : C_k(\mathbf{X}) \rightarrow C_k(\mathbf{Y})$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$ .

Now let  $\mathbf{f}, \mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  be 1-morphisms and  $\boldsymbol{\eta} : \mathbf{f} \Rightarrow \mathbf{g}$  a 2-morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ . Use the notation above for  $\mathbf{X}, \mathbf{Y}, \mathbf{f}, C(\mathbf{X}), C(\mathbf{Y}), C(\mathbf{f})$ , and the obvious extensions to  $\mathbf{g}, C(\mathbf{g})$ , and write  $\boldsymbol{\eta} = (\boldsymbol{\eta}_{ij}, i \in I, j \in J)$ . For  $i \in I$  and  $j \in J$ , choose a representative  $(\hat{U}_{ij}, \hat{\eta}_{ij})$  for  $\boldsymbol{\eta}_{ij} = [\hat{U}_{ij}, \hat{\eta}_{ij}] : \mathbf{f}_{ij} \Rightarrow \mathbf{g}_{ij}$ . Let  $k, l \in \mathbb{N}$ . As in (4.53)–(4.55), define

$$\begin{aligned} \hat{U}_{(k,i)(l,j)} &= C_k(\hat{U}_{ij}) \cap C(f_{ij})^{-1}(C_l(V_j)) && \text{and} \\ \hat{\eta}_{(k,i)(l,j)} &= \Pi_k^\diamond(\hat{\eta}_{ij})|_{\hat{U}_{(k,i)(l,j)}} : D_{(k,i)}|_{\hat{U}_{(k,i)(l,j)}} = \Pi_k|_{\hat{U}_{(k,i)(l,j)}}^*(D_i) \\ &\longrightarrow \mathcal{T}_{f_{(k,i')(l,j)}} V_{(l,j)}|_{\hat{U}_{(k,i)(l,j)}} = \mathcal{T}_{C(f_{ij})|_{\hat{U}_{(k,i)(l,j)}}} C_l(V_j), \end{aligned}$$

where  $\Pi_k^\diamond(\hat{\eta}_{ij})$  is as in §3.4.3. The same proof as for  $\mathbf{F}_{(k,i)(k,i')}^{(l,j)}, \mathbf{F}_{(k,i)}^{(l,j)(l,j')}$  shows

$$\boldsymbol{\eta}_{(k,i)(l,j)} = [\hat{U}_{(k,i)(l,j)}, \hat{\eta}_{(k,i)(l,j)}] : \mathbf{f}_{(k,i)(l,j)} \implies \mathbf{g}_{(k,i)(l,j)}$$

is a 2-morphism of m-Kuranishi neighbourhoods, and is independent of the choice of  $(\hat{U}_{ij}, \hat{\eta}_{ij})$ . Define

$$C(\boldsymbol{\eta}) = (\boldsymbol{\eta}_{(k,i)(l,j)}, (k,i) \in \mathbb{N} \times I, (l,j) \in \mathbb{N} \times J) : C(\mathbf{f}) \implies C(\mathbf{g}).$$

We can deduce Definition 4.18(a),(b) for  $C(\eta)$  from Definition 4.18(a),(b) for  $\eta$ , by pulling back by  $\Pi_k : C_k(V_i) \rightarrow V_i$  using Theorems 3.27–3.28. Hence  $C(\eta) : C(\mathbf{f}) \Rightarrow C(\mathbf{g})$  is a 2-morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ .

Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$ ,  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ , with notation (4.6)–(4.9). Definition 4.20 defines the composition  $\mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ , by making an arbitrary choice, with 1-morphisms  $\Theta_{ijk}^{g,f} : \mathbf{g}_{jk} \circ \mathbf{f}_{ij} \Rightarrow (\mathbf{g} \circ \mathbf{f})_{ik}$  in (4.24) making (4.15)–(4.17) commute. The constructions above now give  $C(\mathbf{f}) : C(\mathbf{X}) \rightarrow C(\mathbf{Y})$  and  $C(\mathbf{g}) : C(\mathbf{Y}) \rightarrow C(\mathbf{Z})$  and  $C(\mathbf{g} \circ \mathbf{f}) : C(\mathbf{X}) \rightarrow C(\mathbf{Z})$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ . Definition 4.20 also defines the composition  $C(\mathbf{g}) \circ C(\mathbf{f}) : C(\mathbf{X}) \rightarrow C(\mathbf{Z})$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ , by making an arbitrary choice.

Since the choices in  $\mathbf{g} \circ \mathbf{f}$  and  $C(\mathbf{g}) \circ C(\mathbf{f})$  may not be consistent, we need not have  $C(\mathbf{g}) \circ C(\mathbf{f}) = C(\mathbf{g} \circ \mathbf{f})$ . However, by applying the corner functor to the 2-morphisms  $\Theta_{ijk}^{g,f}$  as for  $\Lambda_{hij}$ ,  $F_{ii'}^j, \dots$  above, we can show that  $C(\mathbf{g} \circ \mathbf{f})$  is one of the possible choices for  $C(\mathbf{g}) \circ C(\mathbf{f})$ . Hence Proposition 4.19(b) gives a canonical 2-morphism  $C_{g,f} : C(\mathbf{g}) \circ C(\mathbf{f}) \Rightarrow C(\mathbf{g} \circ \mathbf{f})$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ .

For any  $\mathbf{X}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  we can show from the definitions that  $C(\mathbf{id}_X) = \mathbf{id}_{C(\mathbf{X})}$ . Define a 2-morphism  $C_X = \mathbf{id}_{\mathbf{id}_{C(\mathbf{X})}} : C(\mathbf{id}_X) \Rightarrow \mathbf{id}_{C(\mathbf{X})}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ . This defines all the data of a weak 2-functor  $C : \mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$ , as in §A.3. It is easy to check that the weak 2-functor axioms hold.

As above, if  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  lies in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  then  $C(\mathbf{f}) = \coprod_{k=0}^{\infty} C_k(\mathbf{f})$  for  $C_k(\mathbf{f}) : C_k(\mathbf{X}) \rightarrow C_k(\mathbf{Y})$  1-morphisms in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$ . Hence  $C|_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c}$  decomposes as  $C|_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c} = \coprod_{k=0}^{\infty} C_k$  where  $C_k : \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  is a weak 2-functor. Let the *boundary 2-functor* be  $\partial = C_1 : \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$ .

If for some discrete property  $\mathbf{P}$  of morphisms in  $\mathbf{M}\mathbf{an}^c$  the corner functor  $C : \mathbf{M}\mathbf{an}^c \rightarrow \check{\mathbf{M}}\mathbf{an}^c$  in Assumption 3.22(g) maps to the subcategory  $\check{\mathbf{M}}\mathbf{an}_{\mathbf{P}}^c$  of  $\check{\mathbf{M}}\mathbf{an}^c$  whose morphisms are  $\mathbf{P}$ , then in the definition of  $C(\mathbf{f})$  above the 1-morphisms  $\mathbf{f}_{(k,i)(l,j)}$  are  $\mathbf{P}$ , so that  $C : \mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  maps to the 2-subcategory  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathbf{P}}^c$  of  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  whose 1-morphisms are  $\mathbf{P}$ .

We summarize Definition 4.43 in:

**Theorem 4.44.** *We have defined a weak 2-functor  $C : \mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  called the **corner 2-functor**. It acts on objects  $\mathbf{X}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  by  $C(\mathbf{X}) = \coprod_{k=0}^{\infty} C_k(\mathbf{X})$ . If  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is simple then  $C(\mathbf{f}) : C(\mathbf{X}) \rightarrow C(\mathbf{Y})$  is simple and maps  $C_k(\mathbf{X}) \rightarrow C_k(\mathbf{Y})$  for  $k = 0, 1, \dots$ . Thus  $C|_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c}$  decomposes as  $C|_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c} = \coprod_{k=0}^{\infty} C_k$ , where  $C_k : \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  is a weak 2-functor acting on objects by  $\mathbf{X} \mapsto C_k(\mathbf{X})$ , for  $C_k(\mathbf{X})$  as in §4.6.1. We also write  $\partial = C_1 : \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$ , and call it the **boundary 2-functor**.*

*If for some discrete property  $\mathbf{P}$  of morphisms in  $\mathbf{M}\mathbf{an}^c$  the corner functor  $C : \mathbf{M}\mathbf{an}^c \rightarrow \check{\mathbf{M}}\mathbf{an}^c$  maps to the subcategory  $\check{\mathbf{M}}\mathbf{an}_{\mathbf{P}}^c$  of  $\check{\mathbf{M}}\mathbf{an}^c$  whose morphisms are  $\mathbf{P}$ , then  $C : \mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  maps to the 2-subcategory  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathbf{P}}^c$  of  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  whose 1-morphisms are  $\mathbf{P}$ .*



### 4.6.3 Examples, and easy consequences

**Example 4.45.** Example 3.24(a)–(h) give examples of data  $\mathbf{\check{M}an}^c$ , simple maps, corner functors  $C : \mathbf{\check{M}an}^c \rightarrow \mathbf{\check{M}an}^c$ , etc. satisfying Assumption 3.22, where the corner functors are written either  $C$  as in Definition 2.9 or  $C'$  as in Definition 2.11. Definitions 4.29 and 4.37 give our notation for the corresponding 2-categories of m-Kuranishi spaces  $\mathbf{mKur}^c, \mathbf{mKur}_{\text{st}}^c, \dots$  from §4.3 and §4.5. Applying the constructions of §4.6.1–§4.6.2 to this data  $\mathbf{\check{M}an}^c, \dots$  gives  $C_k(\mathbf{X}), \partial\mathbf{X}$  and 1-morphisms  $\mathbf{\Pi}_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}, i_{\mathbf{X}} : \partial\mathbf{X} \rightarrow \mathbf{X}$  for  $\mathbf{X}$  in  $\mathbf{mKur}^c$ , and corner 2-functors  $C : \mathbf{mKur}^c \rightarrow \mathbf{m\check{K}ur}^c$ .

We write the corner 2-functors coming from Example 3.24(a)–(h) as:

$$\begin{aligned}
C : \mathbf{mKur}^c &\longrightarrow \mathbf{m\check{K}ur}_{\text{in}}^c \subset \mathbf{m\check{K}ur}^c, & C' : \mathbf{mKur}^c &\longrightarrow \mathbf{m\check{K}ur}^c, \\
C : \mathbf{mKur}_{\text{st}}^c &\longrightarrow \mathbf{m\check{K}ur}_{\text{st},\text{in}}^c \subset \mathbf{m\check{K}ur}_{\text{st}}^c, & C' : \mathbf{mKur}_{\text{st}}^c &\longrightarrow \mathbf{m\check{K}ur}_{\text{st}}^c, \\
C : \mathbf{mKur}^{\text{ac}} &\longrightarrow \mathbf{m\check{K}ur}_{\text{in}}^{\text{ac}} \subset \mathbf{m\check{K}ur}^{\text{ac}}, & C' : \mathbf{mKur}^{\text{ac}} &\longrightarrow \mathbf{m\check{K}ur}^{\text{ac}}, \\
C : \mathbf{mKur}_{\text{st}}^{\text{ac}} &\longrightarrow \mathbf{m\check{K}ur}_{\text{st},\text{in}}^{\text{ac}} \subset \mathbf{m\check{K}ur}_{\text{st}}^{\text{ac}}, & C' : \mathbf{mKur}_{\text{st}}^{\text{ac}} &\longrightarrow \mathbf{m\check{K}ur}_{\text{st}}^{\text{ac}}, \\
C : \mathbf{mKur}^{\text{c,ac}} &\longrightarrow \mathbf{m\check{K}ur}_{\text{in}}^{\text{c,ac}} \subset \mathbf{m\check{K}ur}^{\text{c,ac}}, & C' : \mathbf{mKur}^{\text{c,ac}} &\longrightarrow \mathbf{m\check{K}ur}^{\text{c,ac}}, \\
C : \mathbf{mKur}_{\text{st}}^{\text{c,ac}} &\longrightarrow \mathbf{m\check{K}ur}_{\text{st},\text{in}}^{\text{c,ac}} \subset \mathbf{m\check{K}ur}_{\text{st}}^{\text{c,ac}}, & C' : \mathbf{mKur}_{\text{st}}^{\text{c,ac}} &\longrightarrow \mathbf{m\check{K}ur}_{\text{st}}^{\text{c,ac}}, \\
C : \mathbf{mKur}^{\text{gc}} &\longrightarrow \mathbf{m\check{K}ur}_{\text{in}}^{\text{gc}} \subset \mathbf{m\check{K}ur}^{\text{gc}}. & & (4.56)
\end{aligned}$$

As in Example 3.24(h) and §2.4.1, there is no second corner functor  $C'$  on  $\mathbf{Man}^{\text{gc}}$ , and so no 2-functor  $C'$  on  $\mathbf{mKur}^{\text{gc}}$ . The functors  $C$  map to interior morphisms in  $\mathbf{\check{M}an}^c, \dots$ , where interior is a discrete property as in §3.3.6, so the last part of Theorem 4.44 implies that the corresponding 2-functors  $C$  map to interior 1-morphisms in  $\mathbf{m\check{K}ur}^c$ .

Remark 4.41(a) explains that the notions of boundary  $\partial\mathbf{X}$ ,  $k$ -corners  $C_k(\mathbf{X})$ , and 1-morphisms  $\mathbf{\Pi}_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  in  $\mathbf{mKur}^c, \mathbf{mKur}_{\text{st}}^c, \mathbf{mKur}^{\text{ac}}, \mathbf{mKur}_{\text{st}}^{\text{ac}}, \mathbf{mKur}^{\text{c,ac}}$  and  $\mathbf{mKur}_{\text{st}}^{\text{c,ac}}$  are independent of whether we choose  $C$  or  $C'$  in Assumption 3.22. So in each of the first six lines of (4.56), the 2-functors  $C$  and  $C'$  agree on objects, but differ on 1- and 2-morphisms.

As in Proposition 2.10(a),(b), all of the functors  $C : \mathbf{\check{M}an}^c \rightarrow \mathbf{\check{M}an}^c$  in Example 3.24(a)–(h) (though not the functors  $C'$ ) have the property that a morphism  $f : X \rightarrow Y$  is interior if and only if  $C(f) : C(X) \rightarrow C(Y)$  maps  $C_0(X) \rightarrow C_0(Y)$ , and  $f$  is b-normal if and only if  $C(f)$  maps  $C_k(X) \rightarrow \coprod_{l=0}^k C_l(Y)$  for all  $k = 0, \dots, \dim X$ , where interior and b-normal are discrete properties. Applying this to the definition of  $C(\mathbf{f})$  in Definition 4.43, we easily deduce:

**Proposition 4.46.** *For all of the 2-functors  $C$  in (4.56) (though not the 2-functors  $C'$ ), a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is interior (or b-normal) if and only if  $C(\mathbf{f})$  maps  $C_0(\mathbf{X}) \rightarrow C_0(\mathbf{Y})$  (or  $C(\mathbf{f})$  maps  $C_k(\mathbf{X}) \rightarrow \coprod_{l=0}^k C_l(\mathbf{Y})$  for all  $k = 0, 1, \dots$ , respectively).*

The boundary  $\partial\mathbf{X}$  and  $k$ -corners  $C_k(\mathbf{X})$  of  $\mathbf{X}$  in  $\mathbf{m\check{K}ur}^c$  depend, up to equivalence in  $\mathbf{mKur}^c$ , only on  $\mathbf{X}$  up to equivalence in  $\mathbf{mKur}^c$ . In applications

m-Kuranishi spaces with corners  $\mathbf{X}$  are usually only natural up to equivalence in  $\mathbf{mKur}^c$ , so this is important for boundaries and corners to be well behaved.

**Proposition 4.47.** *Let  $f : \mathbf{X} \rightarrow \mathbf{Y}$  be an equivalence in  $\mathbf{mKur}^c$ . Then  $f$  is simple by Proposition 4.36(c), and  $C_k(f) : C_k(\mathbf{X}) \rightarrow C_k(\mathbf{Y})$  for  $k = 0, 1, \dots$  and  $\partial f : \partial \mathbf{X} \rightarrow \partial \mathbf{Y}$  are also equivalences in  $\mathbf{mKur}^c$ .*

*Proof.* As  $f$  is an equivalence there exist a 1-morphism  $g : \mathbf{Y} \rightarrow \mathbf{X}$  and 2-morphisms  $\eta : g \circ f \Rightarrow \text{id}_{\mathbf{X}}$ ,  $\zeta : f \circ g \Rightarrow \text{id}_{\mathbf{Y}}$  in  $\mathbf{mKur}^c$ , where  $g$  is also an equivalence, and so simple. For  $k \geq 0$  we can apply the 2-functor  $C_k : \mathbf{mKur}_{\text{si}}^c \rightarrow \mathbf{mKur}_{\text{si}}^c$  to  $f, g, \eta, \zeta$ . The compositions of 2-morphisms

$$\begin{aligned} C_k(g) \circ C_k(f) &\xrightarrow{(C_k)_{g,f}} C_k(g \circ f) \xrightarrow{C_k(\eta)} C_k(\text{id}_{\mathbf{X}}) \xlongequal{\quad} \text{id}_{C_k(\mathbf{X})}, \\ C_k(f) \circ C_k(g) &\xrightarrow{(C_k)_{f,g}} C_k(f \circ g) \xrightarrow{C_k(\zeta)} C_k(\text{id}_{\mathbf{Y}}) \xlongequal{\quad} \text{id}_{C_k(\mathbf{Y})}, \end{aligned}$$

show  $C_k(f)$  is an equivalence, so putting  $k = 1$  shows  $\partial f$  is an equivalence.  $\square$

**Definition 4.48.** As in Definition 4.29 we write  $\mathbf{mKur}^c$  for the 2-category of m-Kuranishi spaces with corners associated to  $\mathbf{Man}^c = \mathbf{Man}^c$ . An object  $\mathbf{X}$  in  $\mathbf{mKur}^c$  is called an *m-Kuranishi space with boundary* if  $\partial(\partial \mathbf{X}) = \emptyset$ . Write  $\mathbf{mKur}^b$  for the full 2-subcategory of m-Kuranishi spaces with boundary in  $\mathbf{mKur}^c$ , and write  $\mathbf{mKur}_{\text{si}}^b \subseteq \mathbf{mKur}_{\text{in}}^b \subseteq \mathbf{mKur}^b$  for the 2-subcategories of  $\mathbf{mKur}^b$  with simple and interior 1-morphisms.

If  $V \in \mathbf{Man}^c$  then  $\partial(\partial V) = \emptyset$  if and only if  $C_k(V) = \emptyset$  for all  $k > 1$ . (For any  $\mathbf{Man}^c$  satisfying Assumption 3.22, surjectivity of  $I_{k,l}$  in (f) implies that the same holds in  $\mathbf{Man}^c$ ). Using this we can show that  $\mathbf{X} \in \mathbf{mKur}^c$  is an m-Kuranishi space with boundary if and only if  $C_k(\mathbf{X}) = \emptyset$  for all  $k > 1$ .

## 4.7 M-Kuranishi neighbourhoods on m-Kuranishi spaces

At the beginning of differential geometry, one defines manifolds  $X$  and smooth maps  $f : X \rightarrow Y$  in terms of an atlas  $\{(V_i, \psi_i) : i \in I\}$  of charts on  $X$ , and transition functions  $\psi_{ij} = \psi_j^{-1} \circ \psi_i|_{\psi_i^{-1}(\text{Im } \psi_j)}$  between charts  $(V_i, \psi_i), (V_j, \psi_j)$ . However, one quickly comes to regard actually choosing an atlas on  $X$  or working explicitly with atlases as unnatural and inelegant, so we generally suppress them, working with ‘local coordinates’ on  $X$  if we really need to reduce things to  $\mathbb{R}^n$ .

We now wish to advocate a similar philosophy for working with m-Kuranishi spaces  $\mathbf{X} = (X, \mathcal{K})$ , in which, like atlases, actually choosing or working explicitly with m-Kuranishi structures  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$  is regarded as inelegant and to be avoided where possible, and  $\mathbf{X}$  is understood to exist as a geometric space independently of any choices of  $I, (V_i, E_i, s_i, \psi_i), \dots$ . Our analogue of ‘local coordinates’ will be ‘m-Kuranishi neighbourhoods on m-Kuranishi spaces’.

### 4.7.1 Defining m-Kuranishi neighbourhoods on m-Kuranishi spaces

**Definition 4.49.** Suppose  $\mathbf{X} = (X, \mathcal{K})$  is an m-Kuranishi space, where  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ . An *m-Kuranishi neighbourhood on the m-Kuranishi space  $\mathbf{X}$*  is data  $(V_a, E_a, s_a, \psi_a), \Phi_{ai}, i \in I$  and  $\Lambda_{aij}, i, j \in I$ , where  $(V_a, E_a, s_a, \psi_a)$  is an m-Kuranishi neighbourhood on the topological space  $X$  in the sense of Definition 4.1, and  $\Phi_{ai} : (V_a, E_a, s_a, \psi_a) \rightarrow (V_i, E_i, s_i, \psi_i)$  is a coordinate change for each  $i \in I$  (over  $S = \text{Im } \psi_a \cap \text{Im } \psi_i$ , as usual) as in Definition 4.10, and  $\Lambda_{aij} : \Phi_{ij} \circ \Phi_{ai} \Rightarrow \Phi_{aj}$  is a 2-morphism (over  $S = \text{Im } \psi_a \cap \text{Im } \psi_i \cap \text{Im } \psi_j$ , as usual) as in Definition 4.3 for all  $i, j \in I$ , such that  $\Lambda_{aai} = \text{id}_{\Phi_{ai}}$  for all  $i \in I$ , and as in Definition 4.14(h), for all  $i, j, k \in I$  we have

$$\Lambda_{ajk} \odot (\text{id}_{\Phi_{jk}} * \Lambda_{aij}) = \Lambda_{aik} \odot (\Lambda_{ijk} * \text{id}_{\Phi_{ai}}) : \Phi_{jk} \circ \Phi_{ij} \circ \Phi_{ai} \Longrightarrow \Phi_{ak}, \quad (4.57)$$

where (4.57) holds over  $S = \text{Im } \psi_a \cap \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$  by our usual convention.

Here the subscript ‘ $a$ ’ in  $(V_a, E_a, s_a, \psi_a)$  is just a label used to distinguish m-Kuranishi neighbourhoods, generally not in  $I$ . If we omit  $a$  we will write ‘ $*$ ’ in place of ‘ $a$ ’ in  $\Phi_{ai}, \Lambda_{aij}$ , giving  $\Phi_{*i} : (V, E, s, \psi) \rightarrow (V_i, E_i, s_i, \psi_i)$  and  $\Lambda_{*ij} : \Phi_{ij} \circ \Phi_{*i} \Rightarrow \Phi_{*j}$ .

We will usually just say  $(V_a, E_a, s_a, \psi_a)$  or  $(V, E, s, \psi)$  is an *m-Kuranishi neighbourhood on  $\mathbf{X}$* , leaving the data  $\Phi_{ai}, \Lambda_{aij}$  or  $\Phi_{*i}, \Lambda_{*ij}$  implicit. We call such a  $(V, E, s, \psi)$  a *global m-Kuranishi neighbourhood on  $\mathbf{X}$*  if  $\text{Im } \psi = X$ .

**Example 4.50.** Let  $\mathbf{X} = (X, \mathcal{K})$  be as in Definition 4.49, and let  $a \in I$ . Then  $(V_a, E_a, s_a, \psi_a)$  is an m-Kuranishi neighbourhood on  $\mathbf{X}$ , with data  $\Phi_{ai}, i \in I, \Lambda_{aij}, i, j \in I$  as in  $\mathcal{K}$ , where (4.57) follows from Definition 4.14(h) for  $\mathbf{X}$ . Thus, all the m-Kuranishi neighbourhoods in  $\mathcal{K}$  are m-Kuranishi neighbourhoods on  $\mathbf{X}$ .

**Definition 4.51.** Using the same notation, suppose  $(V_a, E_a, s_a, \psi_a), \Phi_{ai}, i \in I, \Lambda_{aij}, i, j \in I$  and  $(V_b, E_b, s_b, \psi_b), \Phi_{bi}, i \in I, \Lambda_{bij}, i, j \in I$  are m-Kuranishi neighbourhoods on  $\mathbf{X}$ , and  $S \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b$  is open. A *coordinate change from  $(V_a, E_a, s_a, \psi_a)$  to  $(V_b, E_b, s_b, \psi_b)$  over  $S$  on the m-Kuranishi space  $\mathbf{X}$*  is data  $\Phi_{ab}, \Lambda_{abi}, i \in I$ , where  $\Phi_{ab} : (V_a, E_a, s_a, \psi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  is a coordinate change over  $S$  as in Definition 4.10, and  $\Lambda_{abi} : \Phi_{bi} \circ \Phi_{ab} \Rightarrow \Phi_{ai}$  is a 2-morphism over  $S \cap \text{Im } \psi_i$  as in Definition 4.3 for each  $i \in I$ , such that for  $i, j \in I$  we have

$$\Lambda_{aij} \odot (\text{id}_{\Phi_{ij}} * \Lambda_{abi}) = \Lambda_{abj} \odot (\Lambda_{bij} * \text{id}_{\Phi_{ab}}) : \Phi_{ij} \circ \Phi_{bi} \circ \Phi_{ab} \Longrightarrow \Phi_{aj}, \quad (4.58)$$

where (4.58) holds over  $S \cap \text{Im } \psi_i \cap \text{Im } \psi_j$ .

We will usually just say that  $\Phi_{ab} : (V_a, E_a, s_a, \psi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  is a *coordinate change over  $S$  on  $\mathbf{X}$* , leaving the data  $\Lambda_{abi}, i \in I$  implicit. If we do not specify  $S$ , we mean that  $S$  is as large as possible, that is,  $S = \text{Im } \psi_a \cap \text{Im } \psi_b$ .

Suppose  $\Phi_{ab} : (V_a, E_a, s_a, \psi_a) \rightarrow (V_b, E_b, s_b, \psi_b), \Lambda_{abi}, i \in I$  and  $\Phi_{bc} : (V_b, E_b, s_b, \psi_b) \rightarrow (V_c, E_c, s_c, \psi_c), \Lambda_{bci}, i \in I$  are such coordinate changes over  $S \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$ . Define  $\Phi_{ac} = \Phi_{bc} \circ \Phi_{ab} : (V_a, E_a, s_a, \psi_a) \rightarrow (V_c, E_c, s_c, \psi_c)$  and  $\Lambda_{aci} = \Lambda_{abi} \odot (\Lambda_{bci} * \text{id}_{\Phi_{ab}}) : \Phi_{ci} \circ \Phi_{ac} \Rightarrow \Phi_{ai}$  for all  $i \in I$ . It is easy to show that  $\Phi_{ac} = \Phi_{bc} \circ \Phi_{ab}, \Lambda_{aci}, i \in I$  is a coordinate change from  $(V_a, E_a, s_a, \psi_a)$  to  $(V_c, E_c, s_c, \psi_c)$  over  $S$  on  $\mathbf{X}$ . We call this *composition of coordinate changes*.

**Example 4.52.** Let  $\mathbf{X} = (X, \mathcal{K})$  be as in Definition 4.49, and let  $a, b \in I$ . Then  $(V_a, E_a, s_a, \psi_a)$  and  $(V_b, E_b, s_b, \psi_b)$  are m-Kuranishi neighbourhoods on  $\mathbf{X}$  as in Example 4.50. The coordinate change  $\Phi_{ab} : (V_a, E_a, s_a, \psi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  in  $\mathcal{K}$  is a coordinate change over  $\text{Im } \psi_a \cap \text{Im } \psi_b$  on  $\mathbf{X}$ , with data  $\Lambda_{abi}, i \in I$  as in  $\mathcal{K}$ .

**Example 4.53.** Let  $\mathbf{X}, \mathbf{Y}$  be m-Kuranishi spaces in  $\mathbf{mKur}$ , and  $(U, D, r, \chi)$  and  $(V, E, s, \psi)$  be m-Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$ . Example 4.31 defined the product m-Kuranishi space  $\mathbf{X} \times \mathbf{Y}$ . It is easy to construct a product m-Kuranishi neighbourhood  $(U \times V, \pi_U^*(D) \oplus \pi_V^*(E), \pi_U^*(r) \oplus \pi_V^*(s), \chi \times \psi)$  on  $\mathbf{X} \times \mathbf{Y}$ .

**Definition 4.54.** Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism of m-Kuranishi spaces, and use notation (4.6)–(4.7) for  $\mathbf{X}, \mathbf{Y}$ , and (4.9) for  $\mathbf{f}$ . Suppose  $(U_a, D_a, r_a, \chi_a), \mathbb{T}_{ai}, i \in I, \mathbb{K}_{aii'}, i, i' \in I$  is an m-Kuranishi neighbourhood on  $\mathbf{X}$ , and  $(V_b, E_b, s_b, \psi_b), \Upsilon_{bj}, j \in J, \Lambda_{bjj'}, j, j' \in J$  an m-Kuranishi neighbourhood on  $\mathbf{Y}$ , as in Definition 4.49. Let  $S \subseteq \text{Im } \chi_a \cap \mathbf{f}^{-1}(\text{Im } \psi_b)$  be open. A 1-morphism from  $(U_a, D_a, r_a, \chi_a)$  to  $(V_b, E_b, s_b, \psi_b)$  over  $(S, \mathbf{f})$  on the m-Kuranishi spaces  $\mathbf{X}, \mathbf{Y}$  is data  $\mathbf{f}_{ab}, \mathbf{F}_{ai}^{bj}, j \in J, i \in I$ , where  $\mathbf{f}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  is a 1-morphism of m-Kuranishi neighbourhoods over  $(S, \mathbf{f})$  in the sense of Definition 4.2, and  $\mathbf{F}_{ai}^{bj} : \Upsilon_{bj} \circ \mathbf{f}_{ab} \Rightarrow \mathbf{f}_{ij} \circ \mathbb{T}_{ai}$  is a 2-morphism over  $S \cap \text{Im } \chi_i \cap \mathbf{f}^{-1}(\text{Im } \psi_j), \mathbf{f}$  as in Definition 4.3 for all  $i \in I, j \in J$ , such that for all  $i, i' \in I, j, j' \in J$  we have

$$\begin{aligned} (\mathbf{F}_{ai}^{bj})^{-1} \circ (\mathbf{F}_{ii'}^j * \text{id}_{\mathbb{T}_{ai}}) &= (\mathbf{F}_{ai'}^{bj})^{-1} \circ (\text{id}_{\mathbf{f}_{i'j}} * \mathbb{K}_{aii'}) : \\ &(\mathbf{f}_{i'j} \circ \mathbb{T}_{ii'}) \circ \mathbb{T}_{ai} \implies \Upsilon_{bj} \circ \mathbf{f}_{ab}, \\ \mathbf{F}_{ai}^{bj'} \circ (\Lambda_{bjj'} * \text{id}_{\mathbf{f}_{ab}}) &= (\mathbf{F}_{i}^{jj'} * \text{id}_{\mathbb{T}_{ai}}) \circ (\text{id}_{\Upsilon_{jj'}} * \mathbf{F}_{ai}^{bj}) : \\ &(\Upsilon_{jj'} \circ \Upsilon_{bj}) \circ \mathbf{f}_{ab} \implies \mathbf{f}_{ij'} \circ \mathbb{T}_{ai}. \end{aligned} \tag{4.59}$$

We will usually just say that  $\mathbf{f}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  is a 1-morphism of m-Kuranishi neighbourhoods over  $(S, \mathbf{f})$  on  $\mathbf{X}, \mathbf{Y}$ , leaving the data  $\mathbf{F}_{ai}^{bj}, j \in J, i \in I$  implicit.

Suppose  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  is another 1-morphism of m-Kuranishi spaces, using notation (4.8) for  $\mathbf{Z}$ , and  $(W_c, F_c, t_c, \omega_c)$  is an m-Kuranishi neighbourhood on  $\mathbf{Z}$ , and  $T \subseteq \text{Im } \psi_b \cap \mathbf{g}^{-1}(\text{Im } \omega_c), S \subseteq \text{Im } \chi_a \cap \mathbf{f}^{-1}(T)$  are open,  $\mathbf{f}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  is a 1-morphism of m-Kuranishi neighbourhoods over  $(S, \mathbf{f})$  on  $\mathbf{X}, \mathbf{Y}$ , and  $\mathbf{g}_{bc} : (V_b, E_b, s_b, \psi_b) \rightarrow (W_c, F_c, t_c, \omega_c)$  is a 1-morphism of m-Kuranishi neighbourhoods over  $(T, \mathbf{g})$  on  $\mathbf{Y}, \mathbf{Z}$ .

Define  $\mathbf{h} = \mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$ , so that Definition 4.20 gives 2-morphisms

$$\Theta_{ijk}^{\mathbf{g}, \mathbf{f}} : \mathbf{g}_{jk} \circ \mathbf{f}_{ij} \implies \mathbf{h}_{ik}$$

for all  $i \in I, j \in J$  and  $k \in K$ . Set  $\mathbf{h}_{ac} = \mathbf{g}_{bc} \circ \mathbf{f}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (W_c, F_c, t_c, \omega_c)$ . Using the stack property Theorem 4.13, one can show that for all  $i \in I, k \in K$  there is a unique 2-morphism  $\mathbf{H}_{ai}^{ck} : \Phi_{ck} \circ \mathbf{h}_{ac} \Rightarrow \mathbf{h}_{ik} \circ \mathbb{T}_{ai}$  over  $S \cap \text{Im } \chi_i \cap \mathbf{h}^{-1}(\text{Im } \omega_k), \mathbf{h}$ , such that for all  $j \in J$  we have

$$\begin{aligned} \mathbf{H}_{ai}^{ck} |_{S \cap \text{Im } \chi_i \cap \mathbf{f}^{-1}(\text{Im } \psi_j) \cap \mathbf{h}^{-1}(\text{Im } \omega_k)} \\ = (\Theta_{ijk}^{\mathbf{g}, \mathbf{f}} * \text{id}_{\mathbb{T}_{ai}}) \circ (\text{id}_{\mathbf{g}_{jk}} * \mathbf{F}_{ai}^{bj}) \circ (\mathbf{G}_{bj}^{ck} * \text{id}_{\mathbf{f}_{ab}}). \end{aligned} \tag{4.60}$$

It is then easy to prove that  $\mathbf{h}_{ac} = \mathbf{g}_{bc} \circ \mathbf{f}_{ab}$ ,  $\mathbf{H}_{ai, i \in I}^{ck, k \in K}$  is a 1-morphism from  $(U_a, D_a, r_a, \chi_a)$  to  $(W_c, F_c, t_c, \omega_c)$  over  $(S, \mathbf{h})$  on  $\mathbf{X}, \mathbf{Z}$ . We call this *composition of 1-morphisms*.

**Example 4.55.** Let  $\mathbf{X} = (X, \mathcal{I}), \mathbf{Y} = (Y, \mathcal{J}), \mathbf{f}$  be as in Definition 4.54, and let  $a \in I$  and  $b \in J$ . Then  $(U_a, D_a, r_a, \chi_a)$  in  $\mathcal{I}$  and  $(V_b, E_b, s_b, \psi_b)$  in  $\mathcal{J}$  are  $m$ -Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$  by Example 4.50. The 1-morphism  $\mathbf{f}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  in  $\mathbf{f}$  is a 1-morphism over  $(\text{Im } \chi_a \cap f^{-1}(\psi_b), \mathbf{f})$ , with extra data  $\mathbf{F}_{ai, i \in I}^{bj, j \in J}$ , where for  $\mathbf{F}_{ai}^j, \mathbf{F}_a^{bj}$  as in  $\mathbf{f}$  we have

$$\mathbf{F}_{ai}^{bj} = (\mathbf{F}_{ai}^j)^{-1} \odot \mathbf{F}_a^{bj} : \Upsilon_{bj} \circ \mathbf{f}_{ab} \Longrightarrow \mathbf{f}_{ij} \circ \mathbb{T}_{ai}.$$

The next theorem can be proved using the stack property Theorem 4.13 by very similar methods to Propositions 4.19, 4.22, 4.25, 4.26 and 4.27, so we leave the proof as an exercise for the reader.

**Theorem 4.56.** (a) Let  $\mathbf{X} = (X, \mathcal{K})$  be an  $m$ -Kuranishi space, where  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, \Lambda_{ijk})$ , and  $(V_a, E_a, s_a, \psi_a), (V_b, E_b, s_b, \psi_b)$  be  $m$ -Kuranishi neighbourhoods on  $\mathbf{X}$ , in the sense of Definition 4.49, and  $S \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b$  be open. Then there exists a coordinate change  $\Phi_{ab} : (V_a, E_a, s_a, \psi_a) \rightarrow (V_b, E_b, s_b, \psi_b), \Lambda_{abi, i \in I}$  over  $S$  on  $\mathbf{X}$ , in the sense of Definition 4.51. If  $\Phi_{ab}, \tilde{\Phi}_{ab}$  are two such coordinate changes, there is a unique 2-morphism  $\Xi_{ab} : \Phi_{ab} \Rightarrow \tilde{\Phi}_{ab}$  over  $S$  as in Definition 4.3, such that for all  $i \in I$  we have

$$\Lambda_{abi} = \tilde{\Lambda}_{abi} \odot (\text{id}_{\Phi_{bi}} * \Xi_{ab}) : \Phi_{bi} \circ \Phi_{ab} \Longrightarrow \tilde{\Phi}_{bi}, \quad (4.61)$$

which holds over  $S \cap \text{Im } \psi_i$  by our usual convention.

(b) Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism of  $m$ -Kuranishi spaces, and use notation (4.6), (4.7), (4.9). Let  $(U_a, D_a, r_a, \chi_a), (V_b, E_b, s_b, \psi_b)$  be  $m$ -Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$  respectively in the sense of Definition 4.49, and let  $S \subseteq \text{Im } \chi_a \cap f^{-1}(\text{Im } \psi_b)$  be open. Then there exists a 1-morphism  $\mathbf{f}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  of  $m$ -Kuranishi neighbourhoods over  $(S, \mathbf{f})$  on  $\mathbf{X}, \mathbf{Y}$ , in the sense of Definition 4.54.

(c) Let  $\mathbf{f}, \mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  be 1-morphisms of  $m$ -Kuranishi spaces and  $\eta : \mathbf{f} \Rightarrow \mathbf{g}$  a 2-morphism, and use notation (4.6), (4.7), (4.9) and  $\eta = (\eta_{ij, i \in I, j \in J})$ . Suppose  $(U_a, D_a, r_a, \chi_a), (V_b, E_b, s_b, \psi_b)$  are  $m$ -Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$ , and  $S \subseteq \text{Im } \chi_a \cap f^{-1}(\text{Im } \psi_b)$  is open, and  $\mathbf{f}_{ab}, \mathbf{g}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  are 1-morphisms over  $(S, \mathbf{f}), (S, \mathbf{g})$  respectively. Then there is a unique 2-morphism  $\eta_{ab} : \mathbf{f}_{ab} \Rightarrow \mathbf{g}_{ab}$  over  $(S, \mathbf{f})$  as in Definition 4.3, such that the following commutes over  $S \cap \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j)$  for all  $i \in I$  and  $j \in J$ :

$$\begin{array}{ccc} \Upsilon_{bj} \circ \mathbf{f}_{ab} & \xrightarrow{\quad \quad \quad} & \mathbf{f}_{ij} \circ \mathbb{T}_{ai} \\ \Downarrow \text{id}_{\Upsilon_{bj}} * \eta_{ab} & \begin{array}{c} \mathbf{F}_{ai}^{bj} \\ \mathbf{G}_{ai}^{bj} \end{array} & \eta_{ij} * \text{id}_{\mathbb{T}_{ai}} \Downarrow \\ \Upsilon_{bj} \circ \mathbf{g}_{ab} & \xrightarrow{\quad \quad \quad} & \mathbf{g}_{ij} \circ \mathbb{T}_{ai}. \end{array} \quad (4.62)$$

(d) The unique 2-morphisms in (c) are compatible with vertical and horizontal composition and identities. For example, if  $\mathbf{f}, \mathbf{g}, \mathbf{h} : \mathbf{X} \rightarrow \mathbf{Y}$  are 1-morphisms in  $\mathbf{mK\ddot{u}r}$ , and  $\boldsymbol{\eta} : \mathbf{f} \Rightarrow \mathbf{g}$ ,  $\boldsymbol{\zeta} : \mathbf{g} \Rightarrow \mathbf{h}$  are 2-morphisms with  $\boldsymbol{\theta} = \boldsymbol{\zeta} \odot \boldsymbol{\eta} : \mathbf{f} \Rightarrow \mathbf{h}$ , and  $(U_a, D_a, r_a, \chi_a)$ ,  $(V_b, E_b, s_b, \psi_b)$  are  $m$ -Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$ , and  $\mathbf{f}_{ab}, \mathbf{g}_{ab}, \mathbf{h}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  are 1-morphisms over  $(S, \mathbf{f})$ ,  $(S, \mathbf{g})$ ,  $(S, \mathbf{h})$ , and  $\boldsymbol{\eta}_{ab} : \mathbf{f}_{ab} \Rightarrow \mathbf{g}_{ab}$ ,  $\boldsymbol{\zeta}_{ab} : \mathbf{g}_{ab} \Rightarrow \mathbf{h}_{ab}$ ,  $\boldsymbol{\theta}_{ab} : \mathbf{f}_{ab} \Rightarrow \mathbf{h}_{ab}$  come from  $\boldsymbol{\eta}, \boldsymbol{\zeta}, \boldsymbol{\theta}$  as in (c), then  $\boldsymbol{\theta}_{ab} = \boldsymbol{\zeta}_{ab} \odot \boldsymbol{\eta}_{ab}$ .

**Remark 4.57.** Note that we make the (potentially confusing) distinction between  $m$ -Kuranishi neighbourhoods  $(V_i, E_i, s_i, \psi_i)$  on a topological space  $X$ , as in Definition 4.1, and  $m$ -Kuranishi neighbourhoods  $(V_a, E_a, s_a, \psi_a)$  on an  $m$ -Kuranishi space  $\mathbf{X} = (X, \mathcal{K})$ , which are as in Definition 4.49, and come equipped with the extra implicit data  $\Phi_{ai}, i \in I$ ,  $\Lambda_{aij}, i, j \in I$  giving the compatibility with the  $m$ -Kuranishi structure  $\mathcal{K}$  on  $X$ .

We also distinguish between coordinate changes  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  between  $m$ -Kuranishi neighbourhoods on a topological space  $X$ , which are as in Definition 4.10 and for which there may be many choices or none, and coordinate changes  $\Phi_{ab} : (V_a, E_a, s_a, \psi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  between  $m$ -Kuranishi neighbourhoods on an  $m$ -Kuranishi space  $\mathbf{X}$ , which are as in Definition 4.51, and come equipped with implicit extra data  $\Lambda_{abi}, i \in I$ , and which by Theorem 4.56(a) always exist, and are unique up to unique 2-isomorphism.

Similarly, we distinguish between 1-morphisms  $\mathbf{f}_{ij} : (U_i, D_i, r_i, \chi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  of  $m$ -Kuranishi neighbourhoods over a continuous map of topological spaces  $f : X \rightarrow Y$ , which are as in Definition 4.2 and for which there may be many choices or none, and 1-morphisms  $\mathbf{f}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  of  $m$ -Kuranishi neighbourhoods over a 1-morphism of  $m$ -Kuranishi spaces  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$ , which are as Definition 4.54, and come equipped with implicit extra data  $\mathbf{F}_{ai}^{bj}, j \in J, i \in I$ , and which by Theorem 4.56(b),(c) always exist, and are unique up to unique 2-isomorphism.

### 4.7.2 Constructing equivalent $m$ -Kuranishi structures

We can use  $m$ -Kuranishi neighbourhoods on  $\mathbf{X} = (X, \mathcal{K})$  to construct alternative  $m$ -Kuranishi structures  $\mathcal{K}'$  on  $X$ .

**Theorem 4.58.** Let  $\mathbf{X} = (X, \mathcal{K})$  be an  $m$ -Kuranishi space, and  $\{(V_a, E_a, s_a, \psi_a) : a \in A\}$  a family of  $m$ -Kuranishi neighbourhoods on  $\mathbf{X}$  with  $X = \bigcup_{a \in A} \text{Im } \psi_a$ . For all  $a, b \in A$ , let  $\Phi_{ab} : (V_a, E_a, s_a, \psi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  be a coordinate change over  $S = \text{Im } \psi_a \cap \text{Im } \psi_b$  on  $\mathbf{X}$  given by Theorem 4.56(a), which is unique up to 2-isomorphism; when  $a = b$  we choose  $\Phi_{aa} = \text{id}_{(V_a, E_a, s_a, \psi_a)}$  and  $\Lambda_{aai} = \text{id}_{\Phi_{ai}}$  for  $i \in I$ , which is allowed by Theorem 4.56(a).

For all  $a, b, c \in A$ , both  $\Phi_{bc} \circ \Phi_{ab}|_S$  and  $\Phi_{ac}|_S$  are coordinate changes  $(V_a, E_a, s_a, \psi_a) \rightarrow (V_c, E_c, s_c, \psi_c)$  over  $S = \text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$  on  $\mathbf{X}$ , so Theorem 4.56(a) gives a unique 2-morphism  $\Lambda_{abc} : \Phi_{bc} \circ \Phi_{ab}|_S \Rightarrow \Phi_{ac}|_S$ . Then  $\mathcal{K}' = (A, (V_a, E_a, s_a, \psi_a)_{a \in A}, \Phi_{ab}, a, b \in A, \Lambda_{abc}, a, b, c \in A)$  is an  $m$ -Kuranishi structure on  $X$ , and  $\mathbf{X}' = (X, \mathcal{K}')$  is canonically equivalent to  $\mathbf{X}$  in  $\mathbf{mK\ddot{u}r}$ , in the sense of Definition A.7.

*Proof.* Write  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ , and let  $\mathcal{K}'$  be as in the theorem. We claim that  $\mathcal{K}'$  is an m-Kuranishi structure on  $X$ . Definition 4.14(a)–(f) are immediate. For (g), if  $a, b \in A$  then we have a 2-morphism  $\Lambda_{aab} : \Phi_{ab} \circ \Phi_{aa} \Rightarrow \Phi_{ab}$ , with the defining property, from (4.61), that

$$\Lambda_{aai} \odot (\Lambda_{abi} * \text{id}_{\Phi_{aa}}) = \Lambda_{abi} \odot (\text{id}_{\Phi_{bi}} * \Lambda_{aab}) : \Phi_{bi} \circ \Phi_{ab} \Longrightarrow \Phi_{ai}. \quad (4.63)$$

Here the left hand side is the 2-morphism  $\tilde{\Lambda}_{abi}$  from Definition 4.51 for the composition  $\tilde{\Phi}_{ab} = \Phi_{ab} \circ \Phi_{aa}$ . Since by definition  $\Phi_{aa} = \text{id}_{(V_a, E_a, s_a, \psi_a)}$  and  $\Lambda_{aai} = \text{id}_{\Phi_{ai}}$ , equation (4.63) is satisfied by  $\Lambda_{aab} = \text{id}_{\Phi_{ab}}$  for all  $i \in I$ , so by uniqueness in Theorem 4.56(a) we have  $\Lambda_{aab} = \text{id}_{\Phi_{ab}}$ . Similarly  $\Lambda_{abb} = \text{id}_{\Phi_{ab}}$ , proving Definition 4.14(g) for  $\mathcal{K}'$ .

For (h), let  $a, b, c, d \in A$  and  $i \in I$ , and consider the diagram of 2-morphisms

$$\begin{array}{ccc}
\Phi_{di} \circ \Phi_{cd} \circ \Phi_{bc} \circ \Phi_{ab} & \xrightarrow{\text{id}_{\Phi_{di}} * \Lambda_{bcd} * \text{id}_{\Phi_{ab}}} & \Phi_{di} \circ \Phi_{bd} \circ \Phi_{ab} \\
\downarrow \text{id}_{\Phi_{di}} * \text{id}_{\Phi_{cd}} * \Lambda_{abc} & \swarrow \Lambda_{cdi} * \text{id}_{\Phi_{bc}} * \text{id}_{\Phi_{ab}} & \searrow \Lambda_{bdi} * \text{id}_{\Phi_{ab}} \\
& \Phi_{ci} \circ \Phi_{bc} \circ \Phi_{ab} & \xrightarrow{\Lambda_{bci} * \text{id}_{\Phi_{ab}}} & \Phi_{bi} \circ \Phi_{ab} \\
& \downarrow \text{id}_{\Phi_{ci}} * \Lambda_{abc} & & \downarrow \Lambda_{abi} \\
& \Phi_{ci} \circ \Phi_{ac} & \xrightarrow{\Lambda_{aci}} & \Phi_{ai} \\
& \swarrow \Lambda_{cdi} * \text{id}_{\Phi_{ac}} & \searrow \Lambda_{adi} & \\
\Phi_{di} \circ \Phi_{cd} \circ \Phi_{ac} & \xrightarrow{\text{id}_{\Phi_{di}} * \Lambda_{acd}} & \Phi_{di} \circ \Phi_{ad} &
\end{array}$$

Here each small quadrilateral commutes by definition of  $\Lambda_{abc}$ . Thus the outer quadrilateral commutes. But the outer quadrilateral is ‘ $\Phi_{di} \circ$ ’ on 1-morphisms and ‘ $\text{id}_{\Phi_{di}} *$ ’ on 2-morphisms applied to (4.4) with  $a, b, c, d$  in place of  $i, j, k, l$ . As  $\Phi_{di}$  is a coordinate change, this implies (4.4) commutes, restricted to the intersection of its domain with  $\text{Im } \psi_i$ . As this holds for all  $i \in I$ , we deduce Definition 4.14(h) for  $\mathcal{K}'$ . So  $\mathbf{X}'$  is an m-Kuranishi space.

To show  $\mathbf{X}', \mathbf{X}$  are equivalent in  $\mathbf{mKur}$ , we must construct 1-morphisms  $\mathbf{f} : \mathbf{X}' \rightarrow \mathbf{X}$ ,  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{X}'$  and 2-morphisms  $\boldsymbol{\eta} : \mathbf{g} \circ \mathbf{f} \Rightarrow \text{id}_{\mathbf{X}'}$ ,  $\boldsymbol{\zeta} : \mathbf{f} \circ \mathbf{g} \Rightarrow \text{id}_{\mathbf{X}}$ . As in (4.9), define

$$\mathbf{f} = (\text{id}_{\mathbf{X}}, \Phi_{ai}, a \in A, i \in I, (\Lambda_{aa'i})_{a, a' \in A}^{i \in I}, (\Lambda_{aai'})_{a \in A}^{i, i' \in I}),$$

where the  $\Lambda_{aai'}$ ,  $\Lambda_{aa'i}$  are from Definitions 4.49–4.51. We can check using (4.57)–(4.61) that Definition 4.17(a)–(h) hold, so  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{X}'$  is a 1-morphism.

For  $\mathbf{g}$ , as  $\Phi_{ai} : (V_a, E_a, s_a, \psi_a) \rightarrow (V_i, E_i, s_i, \psi_i)$  is a coordinate change, there exist a 1-morphism  $\Psi_{ia} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_a, E_a, s_a, \psi_a)$ , and 2-morphisms  $\xi_{ia} : \Psi_{ia} \circ \Phi_{ai} \Rightarrow \text{id}_{(V_a, E_a, s_a, \psi_a)}$  and  $\chi_{ia} : \Phi_{ai} \circ \Psi_{ia} \Rightarrow \text{id}_{(V_i, E_i, s_i, \psi_i)}$ . By Proposition A.5, we can choose these to satisfy  $\xi_{ia} * \text{id}_{\Psi_{ia}} = \text{id}_{\Psi_{ia}} * \chi_{ia}$  and  $\chi_{ia} * \text{id}_{\Phi_{ai}} = \text{id}_{\Phi_{ai}} * \xi_{ia}$ . Define

$$\mathbf{g} = (\text{id}_{\mathbf{X}}, \Psi_{ia}, i \in I, a \in A, (M_{ii'a})_{i, i' \in I}^{a \in A}, (M_{iaa'})_{i \in I}^{a, a' \in A}),$$

where  $M_{ii'a}$ ,  $M_{iaa'}$  are defined by the commutative diagrams

$$\begin{array}{ccc} \Psi_{i'a} \circ \Phi_{ii'} \circ \Phi_{ai} \circ \Psi_{ia} & \xrightarrow{\quad} & \Psi_{i'a} \circ \Phi_{ai'} \circ \Psi_{ia} \xrightarrow{\quad} \text{id}_{(V_a, E_a, s_a, \psi_a)} \circ \Psi_{ia} \\ \downarrow \text{id}_{\Psi_{i'a}} * \text{id}_{\Phi_{ii'}} * \chi_{ia} & \text{id}_{\Psi_{i'a}} * \Lambda_{aii'} * \text{id}_{\Psi_{ia}} & \xi_{i'a} * \text{id}_{\Psi_{ia}} \\ \Psi_{i'a} \circ \Phi_{ii'} \circ \text{id}_{(V_i, E_i, s_i, \psi_i)} & \xrightarrow{\quad} & \Psi_{i'a} \circ \Phi_{ii'} \xrightarrow{\quad M_{ii'a}} \Psi_{ia}, \end{array}$$

$$\begin{array}{ccc} \Psi_{ia'} \circ \Phi_{a'i} \circ \Phi_{aa'} \circ \Psi_{ia} & \xrightarrow{\quad} & \Psi_{ia'} \circ \Phi_{ai} \circ \Psi_{ia} \xrightarrow{\quad} \Psi_{ia'} \circ \text{id}_{(V_i, E_i, s_i, \psi_i)} \\ \downarrow \xi_{ia'} * \text{id}_{\Phi_{aa'}} * \text{id}_{\Psi_{ia}} & \text{id}_{\Psi_{ia'}} * \Lambda_{aa'i} * \text{id}_{\Psi_{ia}} & \text{id}_{\Psi_{ia'}} * \chi_{ia} \\ \text{id}_{(V_{a'}, E_{a'}, s_{a'}, \psi_{a'})} \circ \Phi_{aa'} \circ \Psi_{ia} & \xrightarrow{\quad} & \Phi_{aa'} \circ \Psi_{ia} \xrightarrow{\quad M_{iaa'}} \Psi_{ia'}. \end{array}$$

Using the various identities we can show that  $\mathbf{g} : \mathbf{X}' \rightarrow \mathbf{X}$  is a 1-morphism.

Definition 4.20 defines the compositions  $\mathbf{g} \circ \mathbf{f}$ ,  $\mathbf{f} \circ \mathbf{g}$ , and some 2-morphisms of m-Kuranishi neighbourhoods  $\Theta_{aii'}^{\mathbf{g}, \mathbf{f}}$  and  $\Theta_{iaa'}^{\mathbf{f}, \mathbf{g}}$ . For all  $a, a' \in A$ , there is a unique 2-morphism  $\eta_{aa'} : (\mathbf{g} \circ \mathbf{f})_{aa'} \Rightarrow (\mathbf{id}_{\mathbf{X}'})_{aa'} = \Phi_{aa'}$  of m-Kuranishi neighbourhoods over  $\text{Im } \psi_a \cap \text{Im } \psi_{a'}$  such that for all  $i \in I$ , the following commutes:

$$\begin{array}{ccc} \Psi_{ia'} \circ \Phi_{a'i} \circ \Phi_{aa'} & \xrightarrow{\quad \xi_{ia'} * \text{id}_{\Phi_{aa'}} \quad} & \text{id}_{(V_{a'}, E_{a'}, s_{a'}, \psi_{a'})} \circ \Phi_{aa'} \\ \downarrow \text{id}_{\Psi_{ia'}} * \Lambda_{aa'i} & \Theta_{aii'}^{\mathbf{g}, \mathbf{f}} & \downarrow \\ \Psi_{ia'} \circ \Phi_{ai} & \xrightarrow{\quad} & (\mathbf{g} \circ \mathbf{f})_{aa'} \xrightarrow{\quad \eta_{aa'} |_{\text{Im } \psi_a \cap \text{Im } \psi_{a'}} \quad} \Phi_{aa'}. \end{array} \quad (4.64)$$

To prove this we show that the prescribed values for  $i, i' \in I$  agree on the intersection  $\text{Im } \psi_a \cap \text{Im } \psi_{a'} \cap \text{Im } \psi_i \cap \text{Im } \psi_{i'}$ , and use the stack property Theorem 4.13 to prove there is a unique  $\eta_{aa'}$  such that (4.64) commutes for all  $i \in I$ . Then we show that  $\eta = (\eta_{aa'}, a, a' \in A)$  is a 2-morphism  $\eta : \mathbf{g} \circ \mathbf{f} \Rightarrow \mathbf{id}_{\mathbf{X}'}$  in  $\mathbf{m}\hat{\mathbf{K}}\mathbf{ur}$ .

Similarly, we construct a 2-morphism  $\zeta = (\zeta_{ii'}, i, i' \in I) : \mathbf{f} \circ \mathbf{g} \Rightarrow \mathbf{id}_{\mathbf{X}}$ , where  $\zeta_{ii'}$  fits into a commuting diagram for all  $a \in A$

$$\begin{array}{ccc} \Phi_{ii'} \circ \Phi_{ai} \circ \Psi_{ia} & \xrightarrow{\quad \text{id}_{\Phi_{ii'}} * \chi_{ia} \quad} & \Phi_{ii'} \circ \text{id}_{(V_i, E_i, s_i, \psi_i)} \\ \downarrow \Lambda_{aii'} * \text{id}_{\Psi_{ia}} & \Theta_{iaa'}^{\mathbf{f}, \mathbf{g}} & \downarrow \\ \Phi_{aii'} \circ \Psi_{ia} & \xrightarrow{\quad} & (\mathbf{f} \circ \mathbf{g})_{ii'} \xrightarrow{\quad \zeta_{ii'} |_{\text{Im } \psi_i \cap \text{Im } \psi_{i'} \cap \text{Im } \psi_a} \quad} \Phi_{ii'}. \end{array}$$

Thus  $\mathbf{X}'$  and  $\mathbf{X}$  are equivalent in  $\mathbf{m}\hat{\mathbf{K}}\mathbf{ur}$ . The equivalence  $\mathbf{f} : \mathbf{X}' \rightarrow \mathbf{X}$  is actually independent of choices, so its quasi-inverse  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{X}'$  is canonical up to 2-isomorphism.  $\square$

As the m-Kuranishi neighbourhoods  $(V_i, E_i, s_i, \psi_i)$  in the m-Kuranishi structure on  $\mathbf{X}$  are m-Kuranishi neighbourhoods on  $\mathbf{X}$ , we deduce:

**Corollary 4.59.** *Let  $\mathbf{X} = (X, \mathcal{K})$  be an m-Kuranishi space with  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ . Suppose  $J \subseteq I$  with  $\bigcup_{j \in J} \text{Im } \psi_j = X$ . Then  $\mathcal{K}' = (J, (V_i, E_i, s_i, \psi_i)_{i \in J}, \Phi_{ij}, i, j \in J, \Lambda_{ijk}, i, j, k \in J)$  is an m-Kuranishi structure on  $X$ , and  $\mathbf{X}' = (X, \mathcal{K}')$  is canonically equivalent to  $\mathbf{X}$  in  $\mathbf{m}\hat{\mathbf{K}}\mathbf{ur}$ .*

Thus, adding or subtracting extra m-Kuranishi neighbourhoods to or from the m-Kuranishi structure of  $\mathbf{X}$  leaves  $\mathbf{X}$  unchanged up to equivalence.



### 4.7.3 M-Kuranishi neighbourhoods on boundaries and corners

Now suppose  $\mathbf{Man}^c$  satisfies Assumption 3.22, so that as in §4.6 we have a 2-category  $\mathbf{mKur}^c$  of m-Kuranishi spaces with corners  $\mathbf{X}$ , which have boundaries  $\partial\mathbf{X}$  and  $k$ -corners  $C_k(\mathbf{X})$ . We will show that m-Kuranishi neighbourhoods  $(V_a, E_a, s_a, \psi_a)$  on  $\mathbf{X}$  lift to m-Kuranishi neighbourhoods on  $\partial\mathbf{X}$  and  $C_k(\mathbf{X})$ .

**Definition 4.60.** Let  $\mathbf{X} = (X, \mathcal{K})$  be an m-Kuranishi space with corners in  $\mathbf{mKur}^c$  with  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{hij}, h, i, j \in I)$ . Then for each  $k \in \mathbb{N}$ , Definition 4.39 defines an object  $C_k(\mathbf{X}) = (C_k(X), \mathcal{K}_k)$  and a 1-morphism  $\Pi_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  in  $\mathbf{mKur}^c$ , where

$$\begin{aligned} \mathcal{K}_k &= (\{k\} \times I, (V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)})_{i \in I}, \Phi_{(k,i), (k,j)}, \Lambda_{(k,h)(k,i)(k,j)}), \\ \Pi_k &= (\Pi_k, \Pi_{(k,i)j}, i, j \in I, \Pi_{(k,i)(k,i')}, i, i' \in I, \Pi_{(k,i), i \in I}^{jj'}, j, j' \in I). \end{aligned}$$

Let  $(V_a, E_a, s_a, \psi_a), \Phi_{ai}, i \in I, \Lambda_{aij}, i, j \in I$  be an m-Kuranishi neighbourhood on  $\mathbf{X}$ , as in Definition 4.49. We will define a corresponding m-Kuranishi neighbourhood  $(V_{(k,a)}, E_{(k,a)}, s_{(k,a)}, \psi_{(k,a)}), \Phi_{(k,a), (k,i)}, i \in I, \Lambda_{(k,a)(k,i)(k,j)}, i, j \in I$  on  $C_k(\mathbf{X})$ , with  $V_{(k,a)} = C_k(V_a), E_{(k,a)} = C_k(E_a)$ , and  $s_{(k,a)} = C_k(s_a)$ . When  $k = 1$  this is an m-Kuranishi neighbourhood on  $\partial\mathbf{X} = C_1(\mathbf{X})$ . Almost all the hard work has been done already in Definition 4.39.

We take  $(V_{(k,a)}, E_{(k,a)}, s_{(k,a)}, \psi_{(k,a)})$  to be the m-Kuranishi neighbourhood on  $C_k(X)$  constructed from  $(V_a, E_a, s_a, \psi_a)$  in the same way that  $(V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)})$  is constructed from  $(V_i, E_i, s_i, \psi_i)$  in Definition 4.39, except that  $\psi_{(k,a)}$  is defined as we explain shortly. Also  $\Phi_{(k,a), (k,i)}, \Lambda_{(k,a)(k,i)(k,j)}$  are constructed from  $\Phi_{ai}, \Lambda_{aij}$  in exactly the same way that  $\Phi_{(k,i), (k,j)}, \Lambda_{(k,h)(k,i)(k,j)}$  are constructed from  $\Phi_{ij}, \Lambda_{hij}$  in Definition 4.39, though we postpone the proof of Definition 4.2(e) for  $\Phi_{(k,a), (k,i)}$ .

To define  $\psi_{(k,a)} : s_{(k,a)}^{-1}(0) \rightarrow C_k(X)$ , let  $v' \in s_{(k,a)}^{-1}(0) \subseteq V_{(k,a)} = C_k(V_a)$  with  $\Pi_k(v') = v \in s_a^{-1}(0) \subseteq V_a$ , where  $\Pi_k : C_k(V_a) \rightarrow V_a$ . Then  $x = \psi_a(v) \in X$ , so there exists  $i \in I$  with  $x \in \text{Im } \psi_i$ , and thus  $v \in V_{ai} \cap s_a^{-1}(0)$ , which implies that  $v' \in V_{(k,a)(k,i)} \cap s_{(k,a)}^{-1}(0)$ , so  $\phi_{(k,a)(k,i)}(v') \in s_{(k,i)}^{-1}(0) \subseteq V_{(k,i)}$ , and  $\psi_{(k,i)} \circ \phi_{(k,a)(k,i)}(v') \in C_k(X)$ . Define  $\psi_{(k,a)}(v') = \psi_{(k,i)} \circ \phi_{(k,a)(k,i)}(v')$ . If also  $x \in \text{Im } \psi_j$  for  $j \in I$  then the 1- and 2-morphisms

$$\begin{aligned} \Phi_{(k,i)(k,j)} : (V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)}) &\longrightarrow (V_{(k,j)}, E_{(k,j)}, s_{(k,j)}, \psi_{(k,j)}) \\ \Lambda_{(k,a)(k,i)(k,j)} : \Phi_{(k,i)(k,j)} \circ \Phi_{(k,a)(k,i)} &\implies \Phi_{(k,a)(k,j)} \end{aligned}$$

imply that

$$\psi_{(k,i)} \circ \phi_{(k,a)(k,i)}(v') = \psi_{(k,j)} \circ \phi_{(k,i)(k,j)} \circ \phi_{(k,a)(k,i)}(v') = \psi_{(k,k)} \circ \phi_{(k,a)(k,k)}(v').$$

Thus  $\psi_{(k,a)}(v')$  is independent of the choice of  $i \in I$  with  $x \in \text{Im } \psi_i$ , and is well defined. We show  $\psi_{(k,a)}$  is a homeomorphism with its open image as in Definition 4.39. Therefore  $(V_{(k,a)}, E_{(k,a)}, s_{(k,a)}, \psi_{(k,a)})$  is an m-Kuranishi neighbourhood

on  $C_k(\mathbf{X})$ . Definition 4.2(e) for  $\Phi_{(k,a),(k,i)}$  follows from  $\psi_{(k,a)}(v') = \psi_{(k,i)} \circ \phi_{(k,a)(k,i)}(v')$  above. Hence  $\Phi_{(k,a),(k,i)}$ ,  $\Lambda_{(k,a)(k,i)(k,j)}$  are 1- and 2-morphisms of m-Kuranishi neighbourhoods, as required. The condition (4.57) for the  $\Lambda_{(k,a)(k,i)(k,j)}$  follows from (4.57) for the  $\Lambda_{aij}$  in the same way that Definition 4.14(h) for the  $\Lambda_{(k,h)(k,i)(k,j)}$  is proved in Definition 4.39. This shows that  $(V_{(k,a)}, E_{(k,a)}, s_{(k,a)}, \psi_{(k,a)})$  with data  $\Phi_{(k,a),(k,i)}$ ,  $i \in I$ ,  $\Lambda_{(k,a)(k,i)(k,j)}$ ,  $i, j \in I$  is an m-Kuranishi neighbourhood on  $C_k(\mathbf{X})$ , as in §4.6.

Very much like  $\Pi_{(k,i)i}$  in Definition 4.39, we can show that that

$$\Pi_{(k,a)a} = (V_{(k,a)}, \Pi_k, \text{id}_{E_{(k,a)}}) : (V_{(k,a)}, E_{(k,a)}, s_{(k,a)}, \psi_{(k,a)}) \longrightarrow (V_a, E_a, s_a, \psi_a)$$

is a 1-morphism of m-Kuranishi neighbourhoods over  $\Pi_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$ , in the sense of Definition 4.54.

**Definition 4.61.** Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism in  $\mathbf{mKur}^c$ , with notation (4.6), (4.7), (4.9), suppose we are given m-Kuranishi neighbourhoods  $(U_a, D_a, r_a, \chi_a)$ ,  $\mathbb{T}_{ai}$ ,  $i \in I$ ,  $\mathbb{K}_{aii'}$ ,  $i, i' \in I$  on  $\mathbf{X}$  and  $(V_b, E_b, s_b, \psi_b)$ ,  $\Upsilon_{bj}$ ,  $j \in J$ ,  $\Lambda_{bjj'}$ ,  $j, j' \in J$  on  $\mathbf{Y}$ , and let  $\mathbf{f}_{ab}$ ,  $\mathbf{F}_{ai}^{bj}$ ,  $j \in J$ ,  $i \in I$  be a 1-morphism  $\mathbf{f}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  of m-Kuranishi neighbourhoods over  $(\text{Im } \chi_a \cap f^{-1}(\text{Im } \psi_b), \mathbf{f})$  on  $\mathbf{X}, \mathbf{Y}$ , as in Definition 4.54 and Theorem 4.56(b), with  $\mathbf{f}_{ab} = (U_{ab}, f_{ab}, \hat{f}_{ab})$ .

Let  $k, l \in \mathbb{N}$ , so that Definition 4.60 gives m-Kuranishi neighbourhoods  $(U_{(k,a)}, D_{(k,a)}, r_{(k,a)}, \chi_{(k,a)})$ ,  $\mathbb{T}_{(k,a),(k,i)}$ ,  $i \in I$ ,  $\mathbb{K}_{(k,a)(k,i)(k,i')}$ ,  $i, i' \in I$  on  $C_k(\mathbf{X})$  and  $(V_{(l,b)}, E_{(l,b)}, s_{(l,b)}, \psi_{(l,b)})$ ,  $\Upsilon_{(l,a),(l,j)}$ ,  $j \in J$ ,  $\Lambda_{(l,a)(l,j)(l,j')}$ ,  $j, j' \in J$  on  $C_l(\mathbf{Y})$ . Then exactly as for (4.52) in Definition 4.43, from  $\mathbf{f}_{ab}$  we define a 1-morphism of m-Kuranishi neighbourhoods

$$\begin{aligned} \mathbf{f}_{(k,a)(l,b)} &= (U_{(k,a)(l,b)}, f_{(k,a)(l,b)}, \hat{f}_{(k,a)(l,b)}) : (U_{(k,a)}, D_{(k,a)}, r_{(k,a)}, \chi_{(k,a)}) \\ &\longrightarrow (V_{(l,b)}, E_{(l,b)}, s_{(l,b)}, \psi_{(l,b)}) \end{aligned}$$

over  $C(f) : C(\mathbf{X}) \rightarrow C(\mathbf{Y})$  and  $S = \text{Im } \chi_{(k,a)} \cap C(f)^{-1}(\text{Im } \psi_{(l,b)})$ , where

$$\begin{aligned} U_{(k,a)(l,b)} &= C_k(U_{ab}) \cap C(f_{ab})^{-1}(C_l(V_b)) \subseteq U_{(k,a)} = C_k(U_a), \\ f_{(k,a)(l,b)} &= C(f_{ab})|_{U_{(k,a)(l,b)}} : U_{(k,a)(l,b)} \longrightarrow V_{(l,b)} = C_l(V_b), \\ \hat{f}_{(k,a)(l,b)} &= \Pi_k^*(\hat{f}_{ab})|_{U_{(k,a)(l,b)}} : D_{(k,a)}|_{U_{(k,a)(l,b)}} \longrightarrow f_{(k,a)(l,b)}^*(E_{(l,b)}). \end{aligned}$$

We also define 2-morphisms  $\mathbf{F}_{(k,a)(k,i)}^{(l,b)(l,j)} : \Upsilon_{(l,b)(l,j)} \circ \mathbf{f}_{(k,a)(l,b)} \rightrightarrows \mathbf{f}_{(k,i)(l,j)} \circ \mathbb{T}_{(k,a)(k,i)}$  from the  $\mathbf{F}_{ai}^{bj}$  as for  $\mathbf{F}_{(k,i)}^{(l,j)}$  in Definition 4.43. Then (4.59) for the  $\mathbf{F}_{(k,a)(k,i)}^{(l,b)(l,j)}$  follows from (4.59) for the  $\mathbf{F}_{ai}^{bj}$  by applying the corner functor. Hence  $\mathbf{f}_{(k,a)(l,b)}$ ,  $\mathbf{F}_{(k,a)(k,i)}^{(l,b)(l,j)}$ ,  $j \in J$ ,  $i \in I$  is a 1-morphism of m-Kuranishi neighbourhoods  $\mathbf{f}_{(k,a)(l,b)} : (U_{(k,a)}, D_{(k,a)}, r_{(k,a)}, \chi_{(k,a)}) \rightarrow (V_{(l,b)}, E_{(l,b)}, s_{(l,b)}, \psi_{(l,b)})$  over  $(\text{Im } \chi_{(k,a)} \cap C(f)^{-1}(\text{Im } \psi_{(l,b)}), C(\mathbf{f}))$  on  $C(\mathbf{X}), C(\mathbf{Y})$ , as in Definition 4.54.

A special case of this construction is when  $\mathbf{X} = \mathbf{Y}$ ,  $\mathbf{f} = \text{id}_{\mathbf{X}}$ , and  $k = l$ , and  $\mathbf{f}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  is a coordinate change of m-Kuranishi neighbourhoods on  $\mathbf{X}$ . Then  $\mathbf{f}_{(k,a)(k,b)} : (U_{(k,a)}, D_{(k,a)}, r_{(k,a)}, \chi_{(k,a)}) \rightarrow (V_{(k,b)}, E_{(k,b)}, s_{(k,b)}, \psi_{(k,b)})$  is a coordinate change on  $C_k(\mathbf{X})$ .

#### 4.7.4 A philosophical digression

We can now state our:

**Philosophy for working with m-Kuranishi spaces.** *A good way to think about the ‘real’ geometric structure on m-Kuranishi spaces is as follows:*

- (i) *Every m-Kuranishi space  $\mathbf{X}$  has an underlying topological space  $X$ , and a large collection of ‘m-Kuranishi neighbourhoods’  $(V_a, E_a, s_a, \psi_a)$  on  $\mathbf{X}$ , which are m-Kuranishi neighbourhoods on  $X$  in the sense of §4.1, but with an additional compatibility with the m-Kuranishi structure on  $\mathbf{X}$ .*

*We think of  $(V_a, E_a, s_a, \psi_a)$  as a choice of ‘local coordinates’ on  $\mathbf{X}$ .*

- (ii) *For any two m-Kuranishi neighbourhoods  $(V_a, E_a, s_a, \psi_a), (V_b, E_b, s_b, \psi_b)$  on  $\mathbf{X}$ , there is a coordinate change  $\Phi_{ab} : (V_a, E_a, s_a, \psi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$ , natural up to canonical 2-isomorphism.*
- (iii) *A 1-morphism of m-Kuranishi spaces  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  has an underlying continuous map  $f : X \rightarrow Y$ . If  $(U_a, D_a, r_a, \chi_a), (V_b, E_b, s_b, \psi_b)$  are m-Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$ , there is a 1-morphism  $\mathbf{f}_{ab} : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  over  $f$ , natural up to canonical 2-isomorphism.*
- (iv) *The coordinate changes and 1-morphisms in (ii),(iii) behave in the obvious functorial ways under compositions and identities, up to canonical 2-isomorphisms.*
- (v) *The family of m-Kuranishi neighbourhoods on  $\mathbf{X}$  is closed under several natural constructions. For example:*

- (a) *If  $(V, E, s, \psi)$  is an m-Kuranishi neighbourhood on  $\mathbf{X}$  and  $V' \subseteq V$  is open then  $(V', E|_{V'}, s|_{V'}, \psi|_{V' \cap s^{-1}(0)})$  is an m-Kuranishi neighbourhood on  $\mathbf{X}$ .*

- (b) *If  $(V, E, s, \psi)$  is an m-Kuranishi neighbourhood on  $\mathbf{X}$  and  $\pi : F \rightarrow V$  is a vector bundle then  $(F, \pi^*(E) \oplus \pi^*(F), \pi^*(s) \oplus \text{id}_F, \psi \circ \pi|_{\dots})$  is an m-Kuranishi neighbourhood on  $\mathbf{X}$ .*

- (vi) *The collection of all m-Kuranishi neighbourhoods  $(V_a, E_a, s_a, \psi_a)$  on  $\mathbf{X}$  will usually be much larger than a particular atlas  $\{(V_i, E_i, s_i, \psi_i) : i \in I\}$ . There are so many m-Kuranishi neighbourhoods on  $\mathbf{X}$  that we can often choose them to satisfy extra conditions. For example, in §10.4 we discuss m-Kuranishi neighbourhoods on  $\mathbf{X}$  which are ‘minimal at  $x$  in  $\mathbf{X}$ ’.*

We will be guided by this philosophy from Chapter 7 onwards, where we will usually frame our definitions and results in terms of m-Kuranishi neighbourhoods on  $\mathbf{X} = (X, \mathcal{K})$ , rather than in terms of the particular m-Kuranishi neighbourhoods  $(V_i, E_i, s_i, \psi_i)$  in the m-Kuranishi structure  $\mathcal{K}$ , which we try not to use.

## 4.8 M-Kuranishi spaces and derived manifolds

We now take  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}$ , and work with the corresponding 2-category of m-Kuranishi spaces  $\mathbf{mKur}$ .

Derived Differential Geometry is the study of ‘derived smooth manifolds’, where ‘derived’ is in the sense of the Derived Algebraic Geometry of Lurie [74] and Toën–Vezzosi [106, 107]. There are several different models of Derived Differential Geometry in the literature, all closely related:

- Probably the first reference to Derived Differential Geometry is a short final paragraph in Lurie [74, §4.5], outlining how to define an  $\infty$ -category of ‘derived  $C^\infty$ -schemes’, and an  $\infty$ -subcategory of ‘derived manifolds’.
- Lurie’s ideas were developed further by his student David Spivak [103], who defined an  $\infty$ -category  $\mathbf{DerMan}_{\mathbf{Spi}}$  of ‘derived manifolds’. Spivak’s construction was rather complicated.
- Borisov and Noel [8] gave a simpler  $\infty$ -category  $\mathbf{DerMan}_{\mathbf{BN}}$  of ‘derived manifolds’, with an  $\infty$ -category equivalence  $\mathbf{DerMan}_{\mathbf{BN}} \simeq \mathbf{DerMan}_{\mathbf{Spi}}$ .
- The author [57, 58, 61] defined a strict 2-category  $\mathbf{dMan}$  of ‘d-manifolds’, and studied their differential geometry in detail.
- Borisov [7] relates the derived manifolds of [8, 103] with the d-manifolds of [57, 58, 61]. Borisov constructs a 2-functor

$$\Pi : \pi_1(\mathbf{DerMan}_{\mathbf{BN}}) \longrightarrow \mathbf{dMan} \quad (4.65)$$

from the 2-category truncation  $\pi_1(\mathbf{DerMan}_{\mathbf{BN}})$  of  $\mathbf{DerMan}_{\mathbf{BN}}$ . This 2-functor  $\Pi$  is not an equivalence of 2-categories, but it is fairly close to being an equivalence. Reducing to homotopy categories, the functor

$$\mathrm{Ho}(\Pi) : \mathrm{Ho}(\mathbf{DerMan}_{\mathbf{BN}}) \longrightarrow \mathrm{Ho}(\mathbf{dMan}) \quad (4.66)$$

is full but not faithful, and induces a 1-1 correspondence between isomorphism classes of objects.

- Wallbridge [108] defines a rather general  $\infty$ -category of ‘derived manifolds’, which we prefer to think of as ‘derived  $C^\infty$ -schemes’, and then extends them to an Artin stack version, ‘derived smooth stacks’.
- Macpherson [76] states a universal property of an ‘ $\infty$ -category of derived manifolds’, and argues that  $\mathbf{DerMan}_{\mathbf{Spi}}$  and  $\mathbf{DerMan}_{\mathbf{BN}}$  satisfy his universal property. This universal property explains the existence of Borisov’s 2-functor (4.65), and of (4.67) below.

The next theorem will be proved in [57]:

**Theorem 4.62.** *There is an equivalence of 2-categories  $\mathbf{dMan} \simeq \mathbf{mKur}$ , where  $\mathbf{dMan}$  is the strict 2-category of d-manifolds from [57, 58, 61], and  $\mathbf{mKur}$  is as in §4.3 for  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}$ .*

Combining with Borisov’s 2-functor (4.65) gives a 2-functor

$$\pi_1(\mathbf{DerMan}_{\mathbf{Spi}}) \simeq \pi_1(\mathbf{DerMan}_{\mathbf{BN}}) \longrightarrow \mathbf{mKur}, \quad (4.67)$$

which is close to being an equivalence.

**Remark 4.63. (a)** The author carefully designed the definitions of §4.1–§4.3 using facts about d-manifolds from [57, 58, 61], in order to make Theorem 4.62 hold.

**(b)** The definitions of m-Kuranishi spaces above, and of ( $\mu$ -)Kuranishi spaces in Chapters 5 and 6, are also very much inspired by Fukaya–Oh–Ohta–Ono’s Kuranishi spaces [19–39] in Symplectic Geometry (which we call *FOOO Kuranishi spaces*), and by related structures such as McDuff–Wehrheim’s Kuranishi atlases [77, 78, 80–83], all of which are geometric structures put on moduli spaces of  $J$ -holomorphic curves. From this we can draw an important conclusion:

**Fukaya–Oh–Ohta–Ono’s Kuranishi spaces [19–39], and similar geometric structures in Symplectic Geometry, are actually a prototype kind of derived orbifold.**

This is not surprising, as FOOO Kuranishi spaces and derived schemes were invented to do more-or-less the same job, namely to be a geometric structure on moduli spaces which encodes the obstructions in deformation theory of objects.

**(c)** We now have two different approaches to derived manifolds:

- (i) Spivak [103], Borisov–Noel [7, 8] and the author [57, 58, 61] all define a derived manifold  $\mathbf{X} = (X, \mathcal{O}_X)$  as a topological space  $X$  with a (homotopy) sheaf of derived  $C^\infty$ -rings  $\mathcal{O}_X$ . The differences between [103], [7, 8], and [57, 58, 61] are in the notions of sheaf and derived  $C^\infty$ -ring used.
- (ii) M-Kuranishi spaces  $(X, \mathcal{K})$  above are a topological space  $X$  with an atlas  $\mathcal{K}$  of m-Kuranishi neighbourhoods  $(V_i, E_i, s_i, \psi_i)_{i \in I}$ , plus coordinate changes and 2-morphisms between them.

For comparison, here are two equivalent ways to define classical manifolds:

- (i) A manifold  $(X, \mathcal{O}_X)$  is a Hausdorff, second countable topological space  $X$  with a sheaf  $\mathcal{O}_X$  of  $\mathbb{R}$ -algebras or  $C^\infty$ -rings, such that  $(X, \mathcal{O}_X)$  is locally modelled on  $(\mathbb{R}^n, \mathcal{O}_{\mathbb{R}^n})$ , for  $\mathcal{O}_{\mathbb{R}^n}$  the sheaf of smooth functions  $\mathbb{R}^n \rightarrow \mathbb{R}$ .
- (ii) A manifold  $(X, \mathcal{A})$  is a Hausdorff, second countable topological space  $X$  with an atlas  $\mathcal{A}$  of charts  $(V_i, \psi_i)_{i \in I}$ , where  $V_i \subseteq \mathbb{R}^n$  is open and  $\psi_i : V_i \rightarrow X$  is a homeomorphism with an open set  $\text{Im } \psi_i \subseteq X$ , and charts  $(V_i, \psi_i), (V_j, \psi_j)$  for  $i, j \in I$  are compatible (i.e. coordinate changes are smooth).

These two approaches (i) and (ii) to derived differential geometry are broadly equivalent, but each has advantages for different purposes. In approach (i), derived manifolds are embedded in a much larger  $\infty$ - or 2-category of *derived*

$C^\infty$ -schemes (the 2-category of  $d$ -spaces  $\mathbf{dSpa}$  in [57, 58, 61]), which may be useful.

An advantage of approach (ii) is that we can replace the base category  $\mathbf{Man}$  with a variation, such as manifolds with corners  $\mathbf{Man}^c$ , and so define a 2-category  $\mathbf{mKur}^c$ , or whatever. We have done this already, by defining  $\mathbf{mKur}$  starting from a category  $\mathbf{Man}$  of ‘manifolds’ satisfying some basic assumptions, leading to many different (2-)categories of ‘derived manifolds’, as in (4.37). This would be much more difficult to do in approach (i).

## Chapter 5

# $\mu$ -Kuranishi spaces

Throughout this chapter we suppose we are given a category  $\mathbf{Man}$  satisfying Assumptions 3.1–3.7 in §3.1. To each such  $\mathbf{Man}$  we will associate a category  $\mu\mathbf{Kur}$  of ‘ $\mu$ -Kuranishi spaces’, a simplified version of the 2-category of m-Kuranishi spaces  $\mathbf{mKur}$  from Chapter 4.

We will prove that  $\mu\mathbf{Kur}$  is equivalent to the homotopy category  $\mathrm{Ho}(\mathbf{mKur})$ . Given this, the reader may wonder if there is any point in studying  $\mu\mathbf{Kur}$ , as we could just consider  $\mathrm{Ho}(\mathbf{mKur})$  instead. Some reasons are that the definition of  $\mu\mathbf{Kur}$  is a lot simpler than those of  $\mathbf{mKur}$  or  $\mathrm{Ho}(\mathbf{mKur})$ , involving categories rather than 2-categories, and sheaves rather than stacks. Also,  $\mu\mathbf{Kur}$  has better geometrical properties than one would expect of  $\mathrm{Ho}(\mathbf{mKur})$ : morphisms  $f : X \rightarrow Y$  in  $\mu\mathbf{Kur}$  form a sheaf on  $X$ , when one would only expect morphisms  $[f] : X \rightarrow Y$  in  $\mathrm{Ho}(\mathbf{mKur})$  to form a presheaf on  $X$ .

Nonetheless, the 2-category structure in  $\mathbf{mKur}$  contains important information, which is lost in  $\mu\mathbf{Kur}$ , so that  $\mathbf{mKur}$  is better than  $\mu\mathbf{Kur}$  for some purposes. In particular, the fibre products  $W = X \times_{g,Z,h} Y$  in  $\mathbf{mKur}$  discussed in §11.2 are characterized by a universal property involving 2-morphisms, which makes no sense in  $\mu\mathbf{Kur}$ . As in §11.4, the corresponding fibre products in  $\mu\mathbf{Kur}$  may not exist, or may exist but be the wrong answer for applications.

We begin in §5.1 by discussing linearity properties of 2-morphisms of m-Kuranishi neighbourhoods from §4.1. We can glue such 2-morphisms using a partition of unity. Because of this, we show in §5.2 that the homotopy category of the 2-category of m-Kuranishi neighbourhoods in §4.1 forms a sheaf rather than just a presheaf, which is what we need to make the definition of  $\mu$ -Kuranishi spaces work in §5.3, and in particular to define composition of morphisms of  $\mu$ -Kuranishi spaces.

For the orbifold analogue, Kuranishi neighbourhoods in §6.1, the results of §5.1 would be false, and therefore we will not define an orbifold version of  $\mu$ -Kuranishi spaces. The good properties of  $\mathrm{Ho}(\mathbf{mKur})$  mentioned above do not hold for  $\mathrm{Ho}(\mathbf{Kur})$  in Chapter 5, in particular, morphisms  $[f] : X \rightarrow Y$  in  $\mathrm{Ho}(\mathbf{Kur})$  form a presheaf on  $X$ , but generally not a sheaf.

## 5.1 Linearity properties of 2-morphisms of m-Kuranishi neighbourhoods

We explain some linearity properties of 2-morphisms of m-Kuranishi neighbourhoods. The set  $\text{Hom}_S(\Phi_{ij}, \Phi'_{ij})$  of 2-morphisms  $\Lambda_{ij} : \Phi_{ij} \rightrightarrows \Phi'_{ij}$  over  $(S, f)$  is a real affine space, and a real vector space when  $\Phi_{ij} = \Phi'_{ij}$ . We can also multiply 2-morphisms  $\Lambda_{ij} : \Phi_{ij} \rightrightarrows \Phi_{ij}$  by smooth functions on  $V_{ij}$ , and combine 2-morphisms  $\Lambda_{ij} : \Phi_{ij} \rightrightarrows \Phi'_{ij}$  using a partition of unity.

**Definition 5.1.** Let  $f : X \rightarrow Y$  be continuous,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be m-Kuranishi neighbourhoods on  $X, Y$ , and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be open, and  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be 1-morphisms over  $(S, f)$ , with  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  and  $\Phi'_{ij} = (V'_{ij}, \phi'_{ij}, \hat{\phi}'_{ij})$ . Write

$$\text{Hom}_S(\Phi_{ij}, \Phi'_{ij}) = \{\Lambda_{ij} : \Lambda_{ij} : \Phi_{ij} \rightrightarrows \Phi'_{ij} \text{ is a 2-morphism over } (S, f)\}. \quad (5.1)$$

We will show that  $\text{Hom}_S(\Phi_{ij}, \Phi'_{ij})$  naturally has the structure of a real affine space, and  $\text{Hom}_S(\Phi_{ij}, \Phi_{ij})$  the structure of a real vector space. Write

$$\text{Hom}(E_i|_{V_{ij}}, \mathcal{T}_{\phi_{ij}} V_j|_{\hat{V}_{ij}})_{\psi_i^{-1}(S)} \quad (5.2)$$

for the real vector space of germs at  $\psi_i^{-1}(S) \subseteq V_{ij}$  of morphisms  $E_i|_{V_{ij}} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{V_{ij}}$  in the sense of §3.3.4. That is, an element of (5.3) is an equivalence class  $[\hat{V}_{ij}, \hat{\lambda}_{ij}]$  of pairs  $(\hat{V}_{ij}, \hat{\lambda}_{ij})$ , where  $\hat{V}_{ij}$  is an open neighbourhood of  $\psi_i^{-1}(S)$  in  $V_{ij}$  and  $\hat{\lambda}_{ij} : E_i|_{\hat{V}_{ij}} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{\hat{V}_{ij}}$  is a morphism, and pairs  $(\hat{V}_{ij}, \hat{\lambda}_{ij}), (\hat{V}'_{ij}, \hat{\lambda}'_{ij})$  are equivalent if there exists an open neighbourhood  $\hat{V}''_{ij}$  of  $\psi_i^{-1}(S)$  in  $\hat{V}_{ij} \cap \hat{V}'_{ij}$  with  $\hat{\lambda}_{ij}|_{\hat{V}''_{ij}} = \hat{\lambda}'_{ij}|_{\hat{V}''_{ij}}$ . Then by Definition 4.3 we have:

$$\begin{aligned} \text{Hom}_S(\Phi_{ij}, \Phi'_{ij}) &\cong \\ &\frac{\{[\hat{V}_{ij}, \hat{\lambda}_{ij}] \in \text{Hom}(E_i|_{V_{ij}}, \mathcal{T}_{\phi_{ij}} V_j|_{\hat{V}_{ij}})_{\psi_i^{-1}(S)} : \\ &\quad \phi'_{ij} = \phi_{ij} + \hat{\lambda}_{ij} \circ s_i + O(s_i^2), \quad \hat{\phi}'_{ij} = \hat{\phi}_{ij} + \phi_{ij}^*(ds_j) \circ \hat{\lambda}_{ij} + O(s_i)\}}{\sim : [\hat{V}_{ij}, \hat{\lambda}_{ij}] \sim [\hat{V}'_{ij}, \hat{\lambda}'_{ij}] \text{ if } \hat{\lambda}'_{ij} - \hat{\lambda}_{ij} = O(s_i)}. \end{aligned} \quad (5.3)$$

We claim that the equations on  $\hat{\lambda}_{ij}$  in the numerator of (5.3) are linear in  $[\hat{V}_{ij}, \hat{\lambda}_{ij}]$  if  $\Phi'_{ij} = \Phi_{ij}$ , and affine linear for general  $\Phi'_{ij}$ . To prove this, noting that  $\hat{\lambda}_{ij} = 0$  is a solution when  $\Phi'_{ij} = \Phi_{ij}$ , it is enough to show that if  $[\hat{V}_{ij}, \hat{\lambda}_{ij}]$  and  $[\hat{V}'_{ij}, \hat{\lambda}'_{ij}]$  satisfy the equations and  $\alpha \in \mathbb{R}$  then  $\alpha \cdot [\hat{V}_{ij}, \hat{\lambda}_{ij}] + (1 - \alpha)[\hat{V}'_{ij}, \hat{\lambda}'_{ij}]$  also satisfy the equations. For the first equation, as we have

$$\phi'_{ij} = \phi_{ij} + \hat{\lambda}_{ij} \circ s_i + O(s_i^2) \quad \text{and} \quad \phi'_{ij} = \phi_{ij} + \hat{\lambda}'_{ij} \circ s_i + O(s_i^2), \quad (5.4)$$

so Theorem 3.17(m) with  $k = 2$  gives

$$\phi'_{ij} = \phi_{ij} + [\alpha \cdot \hat{\lambda}_{ij} + (1 - \alpha) \cdot \hat{\lambda}'_{ij}] \circ s_i + O(s_i^2),$$



as we want. For the second equation  $\hat{\phi}'_{ij} = \hat{\phi}_{ij} + \phi_{ij}^*(ds_j) \circ \hat{\lambda}_{ij} + O(s_i)$ , affine linearity is immediate from Definition 3.15(vi) and Theorem 3.17(b).

The equivalence relation  $\sim$  on the denominator of (5.3) is the quotient by a vector subspace of  $\text{Hom}(E_i|_{V_{ij}}, \mathcal{T}_{\phi_{ij}} V_j|_{\hat{V}_{ij}})_{\psi_i^{-1}(S)}$  acting by translation. Hence  $\text{Hom}_S(\Phi_{ij}, \Phi'_{ij})$  is the quotient of a real affine space (or a real vector space if  $\Phi'_{ij} = \Phi_{ij}$ ) by a vector subspace acting by translations, and is a real affine space (or a real vector space if  $\Phi'_{ij} = \Phi_{ij}$ ).

This proves the first part of the next result, the second is straightforward:

**Proposition 5.2.** *Let  $f : X \rightarrow Y$  be continuous,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be  $m$ -Kuranishi neighbourhoods on  $X, Y$ , and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be open, and  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be 1-morphisms over  $(S, f)$ . Then the set  $\text{Hom}_S(\Phi_{ij}, \Phi'_{ij})$  of 2-morphisms  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  over  $(S, f)$  naturally has the structure of a real affine space, and  $\text{Hom}_S(\Phi_{ij}, \Phi_{ij})$  the structure of a real vector space.*

*These vector space and affine space structures are compatible with vertical and horizontal composition, identities, and inverses, in the obvious ways. Thus, the strict 2-categories  $\mathbf{m\dot{K}N}, \mathbf{Gm\dot{K}N}, \mathbf{m\dot{K}N}_S(X)$  of §4.1 have a real linear structure at the level of 2-morphisms.*

In any 2-category  $\mathcal{C}$ , if  $\Phi : A \rightarrow B$  is a 1-morphism in  $\mathcal{C}$  then the set  $\text{Hom}(\Phi, \Phi)$  of 2-morphisms  $\Lambda : \Phi \rightarrow \Phi$  is a monoid under vertical composition  $\odot$ . For the 2-categories  $\mathbf{m\dot{K}N}, \mathbf{Gm\dot{K}N}, \mathbf{m\dot{K}N}_S(X)$  of §4.1, this monoid is a real vector space, and in particular an abelian group.

The next lemma holds as (5.2) is clearly a module over both  $C^\infty(V_i)$  and  $C^\infty(V_i)_{\psi_i^{-1}(S)}$ , and the conditions in (5.3) for  $\Phi'_{ij} = \Phi_{ij}$  are  $C^\infty(V_i)$ -linear, by Theorem 3.17(b),(m), so the actions of  $C^\infty(V_i), C^\infty(V_i)_{\psi_i^{-1}(S)}$  on (5.2) descend to (5.3).

**Lemma 5.3.** *Let  $f : X \rightarrow Y$  be continuous,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be  $m$ -Kuranishi neighbourhoods on  $X, Y$ , and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be open, and  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be a 1-morphism over  $(S, f)$ . Then the vector space  $\text{Hom}_S(\Phi_{ij}, \Phi_{ij})$  is naturally a module over  $C^\infty(V_i)$ , and also over  $C^\infty(V_i)_{\psi_i^{-1}(S)}$ , the  $\mathbb{R}$ -algebra of germs at  $\psi_i^{-1}(S)$  of smooth functions  $V_i \rightarrow \mathbb{R}$ .*

That is, if  $\Lambda : \Phi_{ij} \Rightarrow \Phi_{ij}$  is a 2-morphism over  $(S, f)$  then we can define another 2-morphism  $\alpha \cdot \Lambda : \Phi_{ij} \Rightarrow \Phi_{ij}$  for any  $\alpha \in C^\infty(V_i)$ , or more generally any  $\alpha \in C^\infty(\hat{V}_i)$  for  $\hat{V}_i$  an open neighbourhood of  $\psi_i^{-1}(S)$  in  $V_i$ . Next we explain how to glue 2-morphisms  $\Lambda^a : \Phi_{ij} \Rightarrow \Phi'_{ij}$  using a partition of unity.

**Definition 5.4.** Let  $f : X \rightarrow Y$  be continuous,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be  $m$ -Kuranishi neighbourhoods on  $X, Y$ , and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be open, and  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be 1-morphisms over  $(S, f)$ , with  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  and  $\Phi'_{ij} = (V'_{ij}, \phi'_{ij}, \hat{\phi}'_{ij})$ .

Suppose  $\{T^a : a \in A\}$  is an open cover of  $S$ , and  $\Lambda^a : \Phi_{ij} \Rightarrow \Phi'_{ij}$  is a 2-morphism over  $(T^a, f)$ . Choose representatives  $(\hat{V}^a, \hat{\lambda}^a)$  for  $\Lambda^a = [\hat{V}^a, \hat{\lambda}^a]$

for  $a \in A$ , so that  $\hat{V}^a$  is an open neighbourhood of  $\psi_i^{-1}(T^a)$  in  $V_{ij} \cap V'_{ij}$ . Set  $\hat{V}_{ij} = \bigcup_{a \in A} \hat{V}^a$ , so that  $\hat{V}_{ij}$  is an open neighbourhood of  $\psi_i^{-1}(S)$  in  $V_{ij} \cap V'_{ij}$ . Then  $\{\hat{V}^a : a \in A\}$  is an open cover of  $\hat{V}_{ij}$ . Choose a partition of unity  $\{\eta^a : a \in A\}$  on  $\hat{V}_{ij}$  subordinate to  $\{\hat{V}^a : a \in A\}$ , as in §3.3.1(d). Define a morphism on  $\hat{V}_{ij}$ :

$$\hat{\lambda}_{ij} : E_i|_{\hat{V}_{ij}} \longrightarrow \mathcal{T}_{\phi_{ij}} V_j|_{\hat{V}_{ij}} \quad \text{by} \quad \hat{\lambda}_{ij} = \sum_{a \in A} \eta^a \cdot \hat{\lambda}^a. \quad (5.5)$$

Here  $\hat{\lambda}^a$  is only defined on  $\hat{V}^a \subseteq \hat{V}_{ij}$ , but as  $\text{supp } \eta^a \subseteq \hat{V}^a$ , we can extend  $\eta^a \cdot \hat{\lambda}^a$  by zero on  $\hat{V}_{ij} \setminus \hat{V}^a$ , and so make  $\eta^a \cdot \hat{\lambda}^a$  defined on all of  $\hat{V}_{ij}$ . As  $\{\eta^a : a \in A\}$  is locally finite, the sum  $\sum_{a \in A} \dots$  in (5.5) is locally finite, and so is well defined as we are working with sheaves. Thus  $\hat{\lambda}_{ij}$  is well defined.

We now claim that  $(\hat{V}_{ij}, \hat{\lambda}_{ij})$  satisfies Definition 4.3, so that  $\Lambda_{ij} := [\hat{V}_{ij}, \hat{\lambda}_{ij}] : \Phi_{ij} \Rightarrow \Phi'_{ij}$  is a 2-morphism over  $(S, f)$ . To see this, note that as the conditions on  $\hat{\lambda}_{ij}$  in (5.3) are affine linear, combining a family of solutions using a partition of unity as in (5.5) gives another solution. Informally we write

$$\Lambda_{ij} = \sum_{a \in A} \eta^a \cdot \Lambda^a, \quad \text{in 2-morphisms } \Phi_{ij} \Longrightarrow \Phi'_{ij}. \quad (5.6)$$

That is, we can combine 2-morphisms  $\Lambda^a : \Phi_{ij} \Rightarrow \Phi'_{ij}$  over  $(T^a, f)$  for  $a \in A$  using a partition of unity, to get a 2-morphism over  $(S, f)$  for  $S = \bigcup_{a \in A} T^a$ .

## 5.2 The category of $\mu$ -Kuranishi neighbourhoods

Recall from §A.2 that the *homotopy category*  $\text{Ho}(\mathcal{C})$  of a 2-category  $\mathcal{C}$  is the category whose objects are objects of  $\mathcal{C}$ , and whose morphisms  $[f] : X \rightarrow Y$  are 2-isomorphism classes  $[f]$  of 1-morphisms  $f : X \rightarrow Y$  in  $\mathcal{C}$ . In §5.2–§5.3 we define a simplified version of m-Kuranishi spaces, called  *$\mu$ -Kuranishi spaces*, in which we reduce from 2-categories to categories by taking homotopy categories.

Here is the analogue of Definitions 4.1–4.6 and 4.8.

**Definition 5.5.** Define the *category of  $\mu$ -Kuranishi neighbourhoods* to be the homotopy category of the 2-category of m-Kuranishi neighbourhoods from §4.1. In more detail:

- (a) Let  $X$  be a topological space, and  $S \subseteq X$  be open. A  *$\mu$ -Kuranishi neighbourhood*  $(V, E, s, \psi)$  on  $X$  (or over  $S$ ) is just an m-Kuranishi neighbourhood on  $X$  (or over  $S$ ), in the sense of Definition 4.1.
- (b) Let  $f : X \rightarrow Y$  be a continuous map,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be  $\mu$ -Kuranishi neighbourhoods (hence m-Kuranishi neighbourhoods) on  $X, Y$ , and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$  be open. A *morphism*  $[\Phi_{ij}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  of  $\mu$ -Kuranishi neighbourhoods over  $(S, f)$  is an equivalence class  $[\Phi_{ij}]$  of 1-morphisms  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  of m-Kuranishi neighbourhoods over  $(S, f)$ , where 1-morphisms  $\Phi_{ij}, \Phi'_{ij}$  are equivalent (written  $\Phi_{ij} \approx_S \Phi'_{ij}$ ) if there exists a 2-morphism  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  of m-Kuranishi neighbourhoods over  $(S, f)$ .

When  $X = Y$  and  $f = \text{id}_X$  we call  $[\Phi_{ij}]$  a *morphism over  $S$* . In this case, the *identity morphism*  $\text{id}_{(V_i, E_i, s_i, \psi_i)} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_i, E_i, s_i, \psi_i)$  over  $S$  is  $[\text{id}_{(V_i, E_i, s_i, \psi_i)}]$ , for  $\text{id}_{(V_i, E_i, s_i, \psi_i)}$  as in §4.1.

If  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$ , we write  $[\Phi_{ij}] = [V_{ij}, \phi_{ij}, \hat{\phi}_{ij}]$ .

- (c) Let  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be continuous,  $(V_i, E_i, s_i, \psi_i)$ ,  $(V_j, E_j, s_j, \psi_j)$ ,  $(V_k, E_k, s_k, \psi_k)$  be  $\mu$ -Kuranishi neighbourhoods on  $X, Y, Z$  respectively, and  $T \subseteq \text{Im } \psi_j \cap g^{-1}(\text{Im } \psi_k) \subseteq Y$  and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(T) \subseteq X$  be open. Suppose  $[\Phi_{ij}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a morphism of  $\mu$ -Kuranishi neighbourhoods over  $(S, f)$ , and  $[\Phi_{jk}] : (V_j, E_j, s_j, \psi_j) \rightarrow (V_k, E_k, s_k, \psi_k)$  a morphism of  $\mu$ -Kuranishi neighbourhoods over  $(T, g)$ .

Define the *composition of morphisms* to be

$$[\Phi_{jk}] \circ [\Phi_{ij}] = [\Phi_{jk} \circ \Phi_{ij}] : (V_i, E_i, s_i, \psi_i) \longrightarrow (V_k, E_k, s_k, \psi_k),$$

as a morphism of  $\mu$ -Kuranishi neighbourhoods over  $(S, g \circ f)$ . Here we choose representatives  $\Phi_{ij}, \Phi_{jk}$  for the equivalence classes  $[\Phi_{ij}], [\Phi_{jk}]$ , and use the composition of 1-morphisms  $\Phi_{jk} \circ \Phi_{ij}$  from §4.1. Properties of 2-categories imply that  $[\Phi_{jk} \circ \Phi_{ij}]$  is independent of the choice of  $\Phi_{ij}, \Phi_{jk}$ .

Definition 4.8 defined a strict 2-category  $\mathbf{m\check{K}N}$  and 2-subcategories  $\mathbf{Gm\check{K}N}$  and  $\mathbf{m\check{K}N}_S(X)$  for  $S \subseteq X$  open. In the same way, we define the *category of  $\mu$ -Kuranishi neighbourhoods  $\mu\check{K}N$* , where:

- Objects of  $\mu\check{K}N$  are triples  $(X, S, (V, E, s, \psi))$ , with  $X$  a topological space,  $S \subseteq X$  open, and  $(V, E, s, \psi)$  a  $\mu$ -Kuranishi neighbourhood over  $S$ .
- Morphisms  $(f, [\Phi_{ij}]) : (X, S, (V_i, E_i, s_i, \psi_i)) \rightarrow (Y, T, (V_j, E_j, s_j, \psi_j))$  of  $\mu\check{K}N$  are a pair of a continuous map  $f : X \rightarrow Y$  with  $S \subseteq f^{-1}(T) \subseteq X$  and a morphism  $[\Phi_{ij}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  of  $\mu$ -Kuranishi neighbourhoods over  $(S, f)$ .
- Identities and composition are defined in the obvious way, using (b),(c).

Define the *category of global  $\mu$ -Kuranishi neighbourhoods  $\mathbf{G}\mu\check{K}N$*  to be the full subcategory of  $\mu\check{K}N$  with objects  $(s^{-1}(0), s^{-1}(0), (V, E, s, \text{id}_{s^{-1}(0)}))$  for which  $X = S = s^{-1}(0)$  and  $\psi = \text{id}_{s^{-1}(0)}$ . We usually write objects of  $\mathbf{G}\mu\check{K}N$  as  $(V, E, s)$  rather than  $(s^{-1}(0), s^{-1}(0), (V, E, s, \text{id}_{s^{-1}(0)}))$ , and we write morphisms of  $\mathbf{G}\mu\check{K}N$  as  $[\Phi_{ij}] : (V_i, E_i, s_i) \rightarrow (V_j, E_j, s_j)$  rather than as  $(f, [\Phi_{ij}])$ , since  $f = \phi_{ij}|_{s_i^{-1}(0)}$  is determined by  $[\Phi_{ij}]$  as in Definition 4.8.

Let  $X$  be a topological space and  $S \subseteq X$  be open. Write  $\mu\check{K}N_S(X)$  for the subcategory of  $\mu\check{K}N$  with objects  $(X, S, (V, E, s, \psi))$  for  $X, S$  as given and morphisms  $(\text{id}_X, [\Phi_{ij}]) : (X, S, (V_i, E_i, s_i, \psi_i)) \rightarrow (X, S, (V_j, E_j, s_j, \psi_j))$  for  $f = \text{id}_X$ . We call  $\mu\check{K}N_S(X)$  the *category of  $\mu$ -Kuranishi neighbourhoods over  $S \subseteq X$* . We generally write objects of  $\mu\check{K}N_S(X)$  as  $(V, E, s, \psi)$ , omitting  $X, S$ , and morphisms of  $\mathbf{m\check{K}N}_S(X)$  as  $[\Phi_{ij}]$ , omitting  $\text{id}_X$ .

Then we have equalities  $\mu\dot{\mathbf{K}}\mathbf{N} = \text{Ho}(\mathbf{m}\dot{\mathbf{K}}\mathbf{N})$ ,  $\mathbf{G}\mu\dot{\mathbf{K}}\mathbf{N} = \text{Ho}(\mathbf{G}\mathbf{m}\dot{\mathbf{K}}\mathbf{N})$ ,  $\mu\dot{\mathbf{K}}\mathbf{N}_S(X) = \text{Ho}(\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X))$  with the homotopy categories of the strict 2-categories  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}$ ,  $\mathbf{G}\mathbf{m}\dot{\mathbf{K}}\mathbf{N}$ ,  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$  of §4.1.

The accent ‘ $\dot{\phantom{x}}$ ’ in  $\mu\dot{\mathbf{K}}\mathbf{N}$ ,  $\mathbf{G}\mu\dot{\mathbf{K}}\mathbf{N}$ ,  $\mu\dot{\mathbf{K}}\mathbf{N}_S(X)$  is because they are constructed using  $\dot{\mathbf{M}}\mathbf{an}$ . For particular  $\dot{\mathbf{M}}\mathbf{an}$  we modify the notation in the obvious way, e.g. if  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{M}\mathbf{an}$  we write  $\mu\mathbf{K}\mathbf{N}$ ,  $\mathbf{G}\mu\mathbf{K}\mathbf{N}$ ,  $\mu\mathbf{K}\mathbf{N}_S(X)$ , and if  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{M}\mathbf{an}^c$  we write  $\mu\mathbf{K}\mathbf{N}^c$ ,  $\mathbf{G}\mu\mathbf{K}\mathbf{N}^c$ ,  $\mu\mathbf{K}\mathbf{N}_S^c(X)$ .

If  $f : X \rightarrow Y$  is continuous,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  are  $\mu$ -Kuranishi neighbourhoods on  $X, Y$ , and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  is open, write  $\text{Hom}_{S,f}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  for the set of morphisms  $[\Phi_{ij}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  over  $(S, f)$ .

If  $X = Y$  and  $f = \text{id}_X$ , we write  $\text{Hom}_S((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  in place of  $\text{Hom}_{S,f}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$ .

**Remark 5.6.** (a) In §4.1, for m-Kuranishi neighbourhoods  $(V_i, E_i, s_i, \psi_i)$  over  $S$ , or 1-morphisms  $\Phi_{ij}$  over  $(S, f)$ , the open set  $S \subseteq X$  appears only as a condition on  $(V_i, E_i, s_i, \psi_i)$  or  $\Phi_{ij}$ , as we need  $S \subseteq \text{Im } \psi_i$  or  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$ . Thus m-Kuranishi neighbourhoods and their 1-morphisms make sense without knowing  $S$ . However, 2-morphisms  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  over  $(S, f)$  are equivalence classes under  $\sim_S$  depending on  $S$ , so do not make sense without specifying  $S$ .

Similarly,  $\mu$ -Kuranishi neighbourhoods  $(V_i, E_i, s_i, \psi_i)$  make sense without knowing  $S$ , but their morphisms  $[\Phi_{ij}]$  are equivalence classes under  $\approx_S$  depending on  $S$ , so do not make sense without specifying  $S$ .

(b) If we define  $\mu$ -Kuranishi neighbourhoods and their morphisms directly, rather than via m-Kuranishi neighbourhoods and their 1- and 2-morphisms, the definitions and proofs can be simplified a bit. For example, the equivalence relation  $\sim_S$  in Definition 4.3 is not needed for the  $\mu$ -Kuranishi case.

Here are the analogues of Definitions 4.10, 4.11 and Convention 4.12:

**Definition 5.7.** Let  $X$  be a topological space, and  $S \subseteq X$  be open, and  $[\Phi_{ij}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be a morphism of  $\mu$ -Kuranishi neighbourhoods on  $X$  over  $S$ . Then  $[\Phi_{ij}]$  is a morphism in the category  $\mu\dot{\mathbf{K}}\mathbf{N}_S(X)$  of Definition 5.5. We call  $[\Phi_{ij}]$  a *coordinate change over  $S$*  if it is an isomorphism in  $\mu\dot{\mathbf{K}}\mathbf{N}_S(X)$ . This holds if and only if any representative  $\Phi_{ij}$  is an equivalence in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$ , that is, if and only if  $\Phi_{ij}$  is a coordinate change of m-Kuranishi neighbourhoods over  $S$ , as in Definition 4.10. Write

$$\text{Iso}_S((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)) \subseteq \text{Hom}_S((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$$

for the subset of coordinate changes  $[\Phi_{ij}]$  over  $S$ .

**Definition 5.8.** Let  $T \subseteq S \subseteq X$  be open. Define the *restriction functor*  $|_T : \mu\dot{\mathbf{K}}\mathbf{N}_S(X) \rightarrow \mu\dot{\mathbf{K}}\mathbf{N}_T(X)$  to map objects  $(V_i, E_i, s_i, \psi_i)$  to exactly the same objects, and morphisms  $[\Phi_{ij}]$  to  $[\Phi_{ij}]|_T$ , where  $[\Phi_{ij}]|_T$  is the  $\approx_T$ -equivalence class of any representative  $\Phi_{ij}$  of the  $\approx_S$ -equivalence class  $[\Phi_{ij}]$ . Then  $|_T :$

$\mu\dot{\mathbf{K}}\mathbf{N}_S(X) \rightarrow \mu\dot{\mathbf{K}}\mathbf{N}_T(X)$  commutes with all the structure, so it is a functor. If  $U \subseteq T \subseteq S \subseteq X$  are open then  $|_U \circ |_T = |_U : \mu\dot{\mathbf{K}}\mathbf{N}_S(X) \rightarrow \mu\dot{\mathbf{K}}\mathbf{N}_U(X)$ .

Now let  $f : X \rightarrow Y$  be continuous,  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be  $\mu$ -Kuranishi neighbourhoods on  $X, Y$ , and  $T \subseteq S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be open. Then as for  $|_T$  on morphisms above, we define a map

$$|_T : \text{Hom}_{S,f}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)) \longrightarrow \text{Hom}_{T,f}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)). \quad (5.7)$$

**Convention 5.9.** *When we do not specify a domain  $S$  for a morphism, or coordinate change, of  $\mu$ -Kuranishi neighbourhoods, the domain should be as large as possible.* For example, if we say that  $[\Phi_{ij}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a morphism (or a morphism over  $f : X \rightarrow Y$ ) without specifying  $S$ , we mean that  $S = \text{Im } \psi_i \cap \text{Im } \psi_j$  (or  $S = \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$ ).

Similarly, if we write a formula involving several morphisms or coordinate changes (possibly defined on different domains), without specifying the domain  $S$ , we make the convention that *the domain where the formula holds should be as large as possible*. That is, the domain  $S$  is taken to be the intersection of the domains of each morphism in the formula, and we implicitly restrict each morphism in the formula to  $S$  as in Definition 5.8, to make it make sense.

For example, if we say that  $[\Phi_{ij}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$ ,  $[\Phi_{jk}] : (V_j, E_j, s_j, \psi_j) \rightarrow (V_k, E_k, s_k, \psi_k)$  and  $[\Phi_{ik}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_k, E_k, s_k, \psi_k)$  are morphisms of  $\mu$ -Kuranishi neighbourhoods on  $X$ , and

$$[\Phi_{ik}] = [\Phi_{jk}] \circ [\Phi_{ij}], \quad (5.8)$$

we mean that  $[\Phi_{ij}]$  is defined over  $\text{Im } \psi_i \cap \text{Im } \psi_j$ , and  $[\Phi_{jk}]$  over  $\text{Im } \psi_j \cap \text{Im } \psi_k$ , and  $[\Phi_{ik}]$  over  $\text{Im } \psi_i \cap \text{Im } \psi_k$ , and (5.8) holds over  $\text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$ , that is, (5.8) is equivalent to

$$[\Phi_{ik}]|_{\text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k} = [\Phi_{jk}]|_{\text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k} \circ [\Phi_{ij}]|_{\text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k}.$$

Note in particular the potentially confusing point that (5.8) *does not determine*  $[\Phi_{ik}]$  on  $\text{Im } \psi_i \cap \text{Im } \psi_k$ , *but only on*  $\text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$ .

The next theorem is proved by combining Theorem 4.13 and the ideas of §5.1.

**Theorem 5.10.** *Let  $f : X \rightarrow Y$  be a continuous map of topological spaces, and  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be  $\mu$ -Kuranishi neighbourhoods on  $X, Y$ . For each open  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$ , as in Definition 5.5 define a set*

$$\begin{aligned} \text{Hom}_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))(S) \\ = \text{Hom}_{S,f}((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)), \end{aligned}$$

and for open  $T \subseteq S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$  as in Definition 5.8 define a map

$$\begin{aligned} \rho_{ST} : \text{Hom}_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))(S) \longrightarrow \\ \text{Hom}_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))(T) \end{aligned}$$

by  $\rho_{ST} = |_T$  in (5.7). Then  $\mathcal{H}om_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  is a **sheaf of sets** on the open subset  $\text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$  in  $X$ , as in Definition A.12.

When  $X = Y$  and  $f = \text{id}_X$  we write  $\mathcal{H}om((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  instead of  $\mathcal{H}om_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$ . Then coordinate changes  $[\Phi_{ij}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  also form a sheaf  $\mathcal{I}so((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  on  $\text{Im } \psi_i \cap \text{Im } \psi_j$ , a subsheaf of  $\mathcal{H}om((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$ .

*Proof.* For the first part, we must show  $\mathcal{H}om_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  satisfies the sheaf axioms Definition A.12(i)–(v). Parts (i)–(iii), the presheaf axioms, are immediate. For (iv)–(v), let  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be open, and  $\{T^a : a \in A\}$  be an open cover of  $S$ .

For (iv), suppose  $[\Phi_{ij}], [\Phi'_{ij}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  are morphisms of  $\mu$ -Kuranishi neighbourhoods over  $(S, f)$ , and  $[\Phi_{ij}]|_{T^a} = [\Phi'_{ij}]|_{T^a}$  for all  $a \in A$ . Choose representatives  $\Phi_{ij}, \Phi'_{ij}$  for  $[\Phi_{ij}], [\Phi'_{ij}]$ , so that  $\Phi_{ij}, \Phi'_{ij}$  are 1-morphisms of m-Kuranishi neighbourhoods over  $(S, f)$ . Since  $[\Phi_{ij}]|_{T^a} = [\Phi'_{ij}]|_{T^a}$ , there exists a 2-morphism  $\Lambda^a : \Phi_{ij} \Rightarrow \Phi'_{ij}$  of m-Kuranishi neighbourhoods over  $(T^a, f)$  for all  $a \in A$ . Then Definition 5.4 constructs a 2-morphism  $\Lambda_{ij} = \sum_{a \in A} \eta^a \cdot \Lambda^a : \Phi_{ij} \Rightarrow \Phi'_{ij}$  of m-Kuranishi neighbourhoods over  $(S, f)$ , using a partition of unity  $\{\eta^a : a \in A\}$ . So  $\Lambda_{ij}$  implies that  $[\Phi_{ij}] = [\Phi'_{ij}]$  in morphisms of  $\mu$ -Kuranishi neighbourhoods over  $(S, f)$ . Hence Definition A.12(iv) holds.

For (v), suppose  $[\Phi_{ij}^a] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  are morphisms of  $\mu$ -Kuranishi neighbourhoods over  $(T^a, f)$  for  $a \in A$ , and  $[\Phi_{ij}^a]|_{T^a \cap T^b} = [\Phi_{ij}^b]|_{T^a \cap T^b}$  for all  $a, b \in A$ . Choose representatives  $\Phi_{ij}^a = (V_{ij}^a, \phi_{ij}^a, \hat{\phi}_{ij}^a)$  for  $[\Phi_{ij}^a]$  for  $a \in A$ , so that  $\Phi_{ij}^a$  is a 1-morphism of m-Kuranishi neighbourhoods over  $(T^a, f)$ . Since  $[\Phi_{ij}^a]|_{T^a \cap T^b} = [\Phi_{ij}^b]|_{T^a \cap T^b}$ , there exists a 2-morphism  $\Lambda^{ab} : \Phi_{ij}^a \Rightarrow \Phi_{ij}^b$  of m-Kuranishi neighbourhoods over  $(T^a \cap T^b, f)$  for all  $a, b \in A$ . Choose representatives  $(\hat{V}^{ab}, \hat{\lambda}^{ab})$  for  $\Lambda^{ab} = [\hat{V}^{ab}, \hat{\lambda}^{ab}]$  for  $a, b \in A$ , so that  $\hat{V}^{ab}$  is an open neighbourhood of  $\psi_i^{-1}(T^a \cap T^b)$  in  $V_{ij}^a \cap V_{ij}^b \subseteq V_i$ .

Define  $V_{ij} = \bigcup_{a \in A} V_{ij}^a$ , so that  $V_{ij}$  is an open neighbourhood of  $\psi_i^{-1}(S)$  in  $V_i$ . Then  $\{V_{ij}^a : a \in A\}$  is an open cover of  $V_{ij}$ . Choose a partition of unity  $\{\eta^a : a \in A\}$  on  $V_{ij}$  subordinate to  $\{V_{ij}^a : a \in A\}$ , as in §B.1.4. Now for all  $a, b, c \in A$ , we have a 2-morphism  $(\Lambda^{bc})^{-1} \odot \Lambda^{ac} : \Phi_{ij}^a \Rightarrow \Phi_{ij}^b$  of m-Kuranishi neighbourhoods over  $(T^a \cap T^b \cap T^c, f)$ . And  $\{T^a \cap T^b \cap T^c : c \in A\}$  is an open cover of  $T^a \cap T^b$ . So by Definition 5.4, as in (5.6) we can form a 2-morphism

$$\tilde{\Lambda}^{ab} = \sum_{c \in A} \eta^c \cdot ((\Lambda^{bc})^{-1} \odot \Lambda^{ac}) : \Phi_{ij}^a \Longrightarrow \Phi_{ij}^b$$

over  $(T^a \cap T^b, f)$ . We claim that these  $\tilde{\Lambda}^{ab}$  satisfy

$$\tilde{\Lambda}^{bc}|_{T^a \cap T^b \cap T^c} \odot \tilde{\Lambda}^{ab}|_{T^a \cap T^b \cap T^c} = \tilde{\Lambda}^{ac}|_{T^a \cap T^b \cap T^c} \quad \text{for all } a, b, c \in A. \quad (5.9)$$

To see this, note that  $\tilde{\Lambda}^{ab} = [\tilde{V}_{ij}^{ab}, \tilde{\lambda}_{ij}^{ab}]$  with  $\tilde{\lambda}_{ij}^{ab} = \sum_{c \in A} \eta^c \cdot (-\hat{\lambda}^{bc} + \hat{\lambda}^{ac})$ , and thus on  $\tilde{V}_{ij}^{ab} \cap \tilde{V}_{ij}^{bc}$  we have

$$\begin{aligned} \tilde{\lambda}_{ij}^{bc} + \tilde{\lambda}_{ij}^{ab} &= \left( \sum_{d \in A} \eta^d \cdot (-\hat{\lambda}^{cd} + \hat{\lambda}^{bd}) \right) + \left( \sum_{d \in A} \eta^d \cdot (-\hat{\lambda}^{bd} + \hat{\lambda}^{ad}) \right) \\ &= \sum_{d \in A} \eta^d \cdot (-\hat{\lambda}^{cd} + \hat{\lambda}^{ad}) = \tilde{\lambda}_{ij}^{ac}. \end{aligned}$$

Theorem 4.13 says  $\mathbf{Hom}_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  is a stack. Applying Definition A.17(v) to the 1-morphisms  $\Phi_{ij}^a : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  over  $(T^a, f)$  and 2-morphisms  $\tilde{\Lambda}^{ab} : \Phi_{ij}^a \Rightarrow \Phi_{ij}^b$  over  $(T^a \cap T^b, f)$  satisfying (5.9) shows that there exist a 1-morphism  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  over  $(S, f)$  and 2-morphisms  $\tilde{\Lambda}^a : \Phi_{ij}^a \Rightarrow \Phi_{ij}$  over  $(T^a, f)$  for  $a \in A$  satisfying  $\tilde{\Lambda}^a|_{T^a \cap T^b} = \tilde{\Lambda}^b|_{T^a \cap T^b} \circ \tilde{\Lambda}^{ab}$  for all  $a, b \in A$ . Then  $[\Phi_{ij}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a morphism of  $\mu$ -Kuranishi neighbourhoods over  $(S, f)$ , and  $\tilde{\Lambda}^a : \Phi_{ij}^a \Rightarrow \Phi_{ij}$  implies that  $[\Phi_{ij}]|_{T^a} = [\Phi_{ij}^a]$  for all  $a \in A$ . Hence Definition A.12(v) holds, and  $\mathbf{Hom}_f((V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j))$  is a sheaf.  $\square$

We call Theorem 5.10 the *sheaf property*. We will use it in §5.3 to construct compositions of morphisms of  $\mu$ -Kuranishi spaces.

## 5.3 The category of $\mu$ -Kuranishi spaces

### 5.3.1 The definition of the category $\mu\mathbf{Kur}$

We give the analogue of §4.3 for  $\mu$ -Kuranishi spaces. This is much simpler, as we do not have to deal with 2-morphisms.

**Definition 5.11.** Let  $X$  be a Hausdorff, second countable topological space, and  $n \in \mathbb{Z}$ . A  $\mu$ -Kuranishi structure  $\mathcal{K}$  on  $X$  of virtual dimension  $n$  is data  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, [\Phi_{ij}]_{i, j \in I})$ , where:

- (a)  $I$  is an indexing set.
- (b)  $(V_i, E_i, s_i, \psi_i)$  is a  $\mu$ -Kuranishi neighbourhood on  $X$  for each  $i \in I$ , with  $\dim V_i - \text{rank } E_i = n$ .
- (c)  $[\Phi_{ij}] = [V_{ij}, \phi_{ij}, \hat{\phi}_{ij}] : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a coordinate change for all  $i, j \in I$  (as in Convention 5.9, defined on  $S = \text{Im } \psi_i \cap \text{Im } \psi_j$ ).
- (d)  $\bigcup_{i \in I} \text{Im } \psi_i = X$ .
- (e)  $[\Phi_{ii}] = [\text{id}_{(V_i, E_i, s_i, \psi_i)}]$  for all  $i \in I$ .
- (f)  $[\Phi_{jk}] \circ [\Phi_{ij}] = [\Phi_{ik}]$  for all  $i, j, k \in I$  (as in Convention 5.9, this holds on  $S = \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$ ).

We call  $\mathbf{X} = (X, \mathcal{K})$  a  $\mu$ -Kuranishi space, of virtual dimension  $\text{vdim } \mathbf{X} = n$ . When we write  $x \in \mathbf{X}$ , we mean that  $x \in X$ .

**Example 5.12.** Let  $V$  be a manifold (object in  $\mathbf{Man}$ ),  $E \rightarrow V$  a vector bundle, and  $s : V \rightarrow E$  a smooth section, so that  $(V, E, s)$  is an object in  $\mathbf{GMKN}$  from Definition 5.5. Set  $X = s^{-1}(0)$ , as a closed subset of  $V$  with the induced topology. Then  $X$  is Hausdorff and second countable, as  $V$  is. Define a  $\mu$ -Kuranishi structure  $\mathcal{K} = (\{0\}, (V_0, E_0, s_0, \psi_0), \Phi_{00})$  on  $X$  with indexing set  $I = \{0\}$ , one  $\mu$ -Kuranishi neighbourhood  $(V_0, E_0, s_0, \psi_0)$  with  $V_0 = V$ ,  $E_0 = E$ ,  $s_0 = s$  and  $\psi_0 = \text{id}_X$ , and one coordinate change  $\Phi_{00} = \text{id}_{(V_0, E_0, s_0, \psi_0)}$ . Then  $\mathbf{X} = (X, \mathcal{K})$  is a  $\mu$ -Kuranishi space, with  $\text{vdim } \mathbf{X} = \dim V - \text{rank } E$ . We write  $\mathbf{S}_{V, E, s} = \mathbf{X}$ .

When we are discussing several  $\mu$ -Kuranishi spaces at once, we need notation to distinguish  $\mu$ -Kuranishi neighbourhoods and coordinate changes on the different spaces. As for (4.5)–(4.8), one choice we will often use for  $\mu$ -Kuranishi spaces  $\mathbf{W}, \mathbf{X}, \mathbf{Y}, \mathbf{Z}$  is

$$\begin{aligned} \mathbf{W} &= (W, \mathcal{H}), \quad \mathcal{H} = (H, (T_h, C_h, q_h, \varphi_h)_{h \in H}, \\ &\quad [\Sigma_{hh'}] = [T_{hh'}, \sigma_{hh'}, \hat{\sigma}_{hh'}]_{h, h' \in H}), \end{aligned} \quad (5.10)$$

$$\mathbf{X} = (X, \mathcal{I}), \quad \mathcal{I} = (I, (U_i, D_i, r_i, \chi_i)_{i \in I}, [\mathbb{T}_{ii'}] = [U_{ii'}, \tau_{ii'}, \hat{\tau}_{ii'}]_{i, i' \in I}), \quad (5.11)$$

$$\mathbf{Y} = (Y, \mathcal{J}), \quad \mathcal{J} = (J, (V_j, E_j, s_j, \psi_j)_{j \in J}, [\Upsilon_{jj'}] = [V_{jj'}, \nu_{jj'}, \hat{\nu}_{jj'}]_{j, j' \in J}), \quad (5.12)$$

$$\begin{aligned} \mathbf{Z} &= (Z, \mathcal{K}), \quad \mathcal{K} = (K, (W_k, F_k, t_k, \omega_k)_{k \in K}, \\ &\quad [\Phi_{kk'}] = [W_{kk'}, \phi_{kk'}, \hat{\phi}_{kk'}]_{k, k' \in K}). \end{aligned} \quad (5.13)$$

**Definition 5.13.** Let  $\mathbf{X} = (X, \mathcal{I})$  and  $\mathbf{Y} = (Y, \mathcal{J})$  be  $\mu$ -Kuranishi spaces, with notation (5.11)–(5.12). A *morphism*  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is  $\mathbf{f} = (f, [\mathbf{f}_{ij}]_{i \in I, j \in J})$ , where  $f : X \rightarrow Y$  is a continuous map, and  $[\mathbf{f}_{ij}] = [U_{ij}, f_{ij}, \hat{f}_{ij}] : (U_i, D_i, r_i, \chi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a morphism of  $\mu$ -Kuranishi neighbourhoods over  $f$  for all  $i \in I, j \in J$  (defined over  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j)$ , by Convention 5.9), satisfying:

(a) If  $i, i' \in I$  and  $j \in J$  then in morphisms over  $f$  we have

$$[\mathbf{f}_{i'j}] \circ [\mathbb{T}_{ii'}] = [\mathbf{f}_{ij}], \quad (5.14)$$

where (5.14) holds over  $S = \text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j)$  by Convention 5.9, and each term in (5.14) is implicitly restricted to  $S$ . In particular, (5.14) *does not determine*  $\mathbf{f}_{ij}$ , but only its restriction  $[\mathbf{f}_{ij}]|_S$ .

(b) If  $i \in I$  and  $j, j' \in J$  then interpreted as for (5.14), we have

$$[\Upsilon_{jj'}] \circ [\mathbf{f}_{ij}] = [\mathbf{f}_{ij'}]. \quad (5.15)$$

If  $x \in \mathbf{X}$  (i.e.  $x \in X$ ), we will write  $\mathbf{f}(x) = f(x) \in Y$ .

When  $\mathbf{Y} = \mathbf{X}$ , so that  $J = I$ , define  $\text{id}_{\mathbf{X}} = (\text{id}_X, [\mathbb{T}_{ij}]_{i, j \in I})$ . Then Definition 5.11(f) implies that (a),(b) hold, so  $\text{id}_{\mathbf{X}} : \mathbf{X} \rightarrow \mathbf{X}$  is a morphism of  $\mu$ -Kuranishi spaces, which we call the *identity morphism*.

In the next theorem, we use the sheaf property of morphisms of  $\mu$ -Kuranishi neighbourhoods in Theorem 5.10 to construct compositions  $\mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$  of morphisms of  $\mu$ -Kuranishi spaces  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}, \mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$ , and hence show that  $\mu$ -Kuranishi spaces form a category  $\mu\check{\mathbf{K}}\text{ur}$ .

In §4.3 we made arbitrary choices to define composition of 1-morphisms of  $\mu$ -Kuranishi spaces. For  $\mu$ -Kuranishi spaces, composition is canonical.

**Theorem 5.14.** (a) *Let  $\mathbf{X} = (X, \mathcal{I}), \mathbf{Y} = (Y, \mathcal{J}), \mathbf{Z} = (Z, \mathcal{K})$  be  $\mu$ -Kuranishi spaces with notation (5.11)–(5.13), and  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}, \mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  be morphisms, where  $\mathbf{f} = (f, [\mathbf{f}_{ij}]_{i \in I, j \in J}), \mathbf{g} = (g, [\mathbf{g}_{jk}]_{j \in J, k \in K})$ . Then there exists a unique*



morphism  $\mathbf{h} : \mathbf{X} \rightarrow \mathbf{Z}$ , where  $\mathbf{h} = (h, [\mathbf{h}_{ik}]_{i \in I, k \in K})$  such that  $h = g \circ f : X \rightarrow Z$ , and for all  $i \in I, j \in J, k \in K$  we have

$$[\mathbf{h}_{ik}] = [\mathbf{g}_{jk}] \circ [\mathbf{f}_{ij}], \quad (5.16)$$

where by Convention 5.9, (5.16) holds over  $\text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)$ , and so may not determine  $[\mathbf{h}_{ik}]$  over  $\text{Im } \chi_i \cap h^{-1}(\text{Im } \omega_k)$ .

We write  $\mathbf{g} \circ \mathbf{f} = \mathbf{h}$ , so that  $\mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$  is a morphism of  $\mu$ -Kuranishi spaces, and call  $\mathbf{g} \circ \mathbf{f}$  the **composition** of  $\mathbf{f}, \mathbf{g}$ .

(b) Composition of morphisms is associative, that is, if  $\mathbf{e} : \mathbf{W} \rightarrow \mathbf{Z}$  is another morphism of  $\mu$ -Kuranishi spaces then  $(\mathbf{g} \circ \mathbf{f}) \circ \mathbf{e} = \mathbf{g} \circ (\mathbf{f} \circ \mathbf{e})$ .

(c) Composition is compatible with identities, that is,  $\mathbf{f} \circ \text{id}_{\mathbf{X}} = \text{id}_{\mathbf{Y}} \circ \mathbf{f} = \mathbf{f}$  for all morphisms of  $\mu$ -Kuranishi spaces  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$ .

Thus  $\mu$ -Kuranishi spaces form a category, which we write as  $\mu\mathbf{Kur}$ .

*Proof.* For (a), define  $h = g \circ f : X \rightarrow Z$ . Let  $i \in I$  and  $k \in K$ , and set  $S = \text{Im } \chi_i \cap h^{-1}(\text{Im } \omega_k)$ , so that  $S$  is open in  $X$ . We want to define a morphism  $[\mathbf{h}_{ik}] : (U_i, D_i, r_i, \chi_i) \rightarrow (W_k, F_k, t_k, \omega_k)$  of  $\mu$ -Kuranishi neighbourhoods over  $(S, h)$ . Equation (5.16) means that for each  $j \in J$  we must have

$$[\mathbf{h}_{ik}]|_{S \cap f^{-1}(\text{Im } \psi_j)} = [\mathbf{g}_{jk}] \circ [\mathbf{f}_{ij}]|_{S \cap f^{-1}(\text{Im } \psi_j)}. \quad (5.17)$$

As  $\{\text{Im } \psi_j : j \in J\}$  is an open cover of  $Y$  and  $f$  is continuous,  $\{S \cap f^{-1}(\text{Im } \psi_j) : j \in J\}$  is an open cover of  $S$ . For all  $j, j' \in J$  we have

$$\begin{aligned} [\mathbf{g}_{jk}] \circ [\mathbf{f}_{ij}]|_{S \cap f^{-1}(\text{Im } \psi_j) \cap f^{-1}(\text{Im } \psi_{j'})} &= [\mathbf{g}_{j'k}] \circ [\mathbf{f}_{ij'}] \circ [\mathbf{f}_{ij}]|_{\dots} \\ &= [\mathbf{g}_{j'k}] \circ [\mathbf{f}_{ij'}]|_{S \cap f^{-1}(\text{Im } \psi_j) \cap f^{-1}(\text{Im } \psi_{j'})}, \end{aligned} \quad (5.18)$$

using (5.14) for  $\mathbf{g}$  in the first step, and (5.15) for  $\mathbf{f}$  in the second.

Now the right hand side of (5.17) prescribes values for a morphism over  $h$  on the sets of an open cover  $\{S \cap f^{-1}(\text{Im } \psi_j) : j \in J\}$  of  $S$ . Equation (5.18) shows that these values agree on overlaps  $(S \cap f^{-1}(\text{Im } \psi_j)) \cap (S \cap f^{-1}(\text{Im } \psi_{j'}))$ . Therefore the sheaf property Theorem 5.10 shows that there is a unique morphism  $[\mathbf{h}_{ik}]$  over  $(S, h)$  satisfying (5.17) for all  $j \in J$ .

We have now defined  $\mathbf{h} = (h, [\mathbf{h}_{ik}]_{i \in I, k \in K})$ . To show  $\mathbf{h} : \mathbf{X} \rightarrow \mathbf{Z}$  is a morphism, we must verify Definition 5.13(a),(b). For (a), suppose  $i, i' \in I, j \in J$  and  $k \in K$ . Then we have

$$\begin{aligned} [\mathbf{h}_{i'k}] \circ [\mathbf{T}_{ii'}]|_{\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)} &= [\mathbf{g}_{jk}] \circ [\mathbf{f}_{i'j}] \circ [\mathbf{T}_{ii'}]|_{\dots} \\ &= [\mathbf{g}_{jk}] \circ [\mathbf{f}_{ij}]|_{\dots} = [\mathbf{h}_{ij}]|_{\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)}, \end{aligned}$$

using (5.17) with  $i'$  in place of  $i$  in the first step, (5.14) for  $\mathbf{f}$  in the second, and (5.17) in the third. This proves the restriction of (5.14) for  $\mathbf{h}, i, i', k$  to  $\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)$ , for each  $j \in J$ .

Since the  $\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)$  for  $j \in J$  form an open cover of  $\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap h^{-1}(\text{Im } \omega_k)$ , Theorem 5.10 implies that (5.14) holds for

$h, i, i', k$  on the correct domain  $\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap h^{-1}(\text{Im } \omega_k)$ , yielding Definition 5.13(a) for  $h$ . Definition 5.13(b) follows by a similar argument, involving (5.15) for  $g$ . Hence  $h : \mathbf{X} \rightarrow \mathbf{Z}$  is a morphism, proving part (a).

For (b), in notation (5.10)–(5.13), if  $h \in H, i \in I, j \in J, k \in K$  we find that

$$\begin{aligned} & [((g \circ f) \circ e)_{h,k}]|_{\text{Im } \varphi_h \cap e^{-1}(\text{Im } \chi_i) \cap (f \circ e)^{-1}(\text{Im } \psi_j) \cap (g \circ f \circ e)^{-1}(\text{Im } \omega_k)} \\ &= [g_{jk}] \circ [f_{ij}] \circ [e_{hi}] \\ &= [(g \circ (f \circ e))_{h,k}]|_{\text{Im } \varphi_h \cap e^{-1}(\text{Im } \chi_i) \cap (f \circ e)^{-1}(\text{Im } \psi_j) \cap (g \circ f \circ e)^{-1}(\text{Im } \omega_k)}, \end{aligned}$$

where the middle step makes sense without brackets by associativity of composition of morphisms of  $\mu$ -Kuranishi neighbourhoods. Since  $\text{Im } \varphi_h \cap e^{-1}(\text{Im } \chi_i) \cap (f \circ e)^{-1}(\text{Im } \psi_j) \cap (g \circ f \circ e)^{-1}(\text{Im } \omega_k)$  for all  $i \in I, j \in J$  form an open cover of  $\text{Im } \varphi_h \cap (g \circ f \circ e)^{-1}(\text{Im } \omega_k)$ , Theorem 5.10 implies that  $[((g \circ f) \circ e)_{h,k}] = [(g \circ (f \circ e))_{h,k}]$  over the correct domain  $\text{Im } \varphi_h \cap (g \circ f \circ e)^{-1}(\text{Im } \omega_k)$ , so that  $(g \circ f) \circ e = g \circ (f \circ e)$ , proving (b).

For (c), let  $i \in I$  and  $j \in J$ . Then we have

$$[(f \circ \text{id}_{\mathbf{X}})_{i,j}] = [f_{ij}] \circ [T_{ii}] = [f_{ij}] \circ [\text{id}_{(U_i, D_i, r_i, \chi_i)}] = [f_{ij}],$$

using (5.16) and the definition of  $\text{id}_{\mathbf{X}}$  in the first step, and Definition 5.11(e) in the second. Thus  $f \circ \text{id}_{\mathbf{X}} = f$ . We show that  $\text{id}_{\mathbf{Y}} \circ f = f$  in the same way. This completes the proof.  $\square$

### 5.3.2 Examples of categories $\mu\dot{\mathbf{K}}\text{ur}$

Here are the analogues of Definition 4.29 and Example 4.30:

**Definition 5.15.** In Theorem 5.14 we write  $\mu\dot{\mathbf{K}}\text{ur}$  for the category of  $\mu$ -Kuranishi spaces constructed from our chosen category  $\dot{\mathbf{M}}\text{an}$  satisfying Assumptions 3.1–3.7 in §3.1. By Example 3.8, the following categories from Chapter 2 are possible choices for  $\dot{\mathbf{M}}\text{an}$ :

$$\mathbf{Man}, \mathbf{Man}_{\text{we}}^c, \mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{\text{c,ac}}. \quad (5.19)$$

We write the corresponding categories of  $\mu$ -Kuranishi spaces as follows:

$$\mu\mathbf{Kur}, \mu\mathbf{Kur}_{\text{we}}^c, \mu\mathbf{Kur}^c, \mu\mathbf{Kur}^{\text{gc}}, \mu\mathbf{Kur}^{\text{ac}}, \mu\mathbf{Kur}^{\text{c,ac}}. \quad (5.20)$$

**Example 5.16.** We will define a functor  $F_{\dot{\mathbf{M}}\text{an}}^{\mu\dot{\mathbf{K}}\text{ur}} : \dot{\mathbf{M}}\text{an} \rightarrow \mu\dot{\mathbf{K}}\text{ur}$ . On objects, if  $X \in \dot{\mathbf{M}}\text{an}$  define a  $\mu$ -Kuranishi space  $F_{\dot{\mathbf{M}}\text{an}}^{\mu\dot{\mathbf{K}}\text{ur}}(X) = \mathbf{X} = (X, \mathcal{K})$  with topological space  $X$  and  $\mu$ -Kuranishi structure  $\mathcal{K} = (\{0\}, (V_0, E_0, s_0, \psi_0), [\Phi_{00}])$ , with indexing set  $I = \{0\}$ , one  $\mu$ -Kuranishi neighbourhood  $(V_0, E_0, s_0, \psi_0)$  with  $V_0 = X$ ,  $E_0 \rightarrow V_0$  the zero vector bundle,  $s_0 = 0$ , and  $\psi_0 = \text{id}_X$ , and one coordinate change  $[\Phi_{00}] = [\text{id}_{(V_0, E_0, s_0, \psi_0)}]$ .

On morphisms, if  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{M}}\text{an}$  and  $\mathbf{X} = F_{\dot{\mathbf{M}}\text{an}}^{\mu\dot{\mathbf{K}}\text{ur}}(X)$ ,  $\mathbf{Y} = F_{\dot{\mathbf{M}}\text{an}}^{\mu\dot{\mathbf{K}}\text{ur}}(Y)$ , define a morphism  $F_{\dot{\mathbf{M}}\text{an}}^{\mu\dot{\mathbf{K}}\text{ur}}(f) = \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  by  $\mathbf{f} = (f, [f_{00}])$ ,

where  $[\mathbf{f}_{00}] = [V_{00}, f_{00}, \hat{f}_{00}]$  with  $V_{00} = X$ ,  $f_{00} = f$ , and  $\hat{f}_{00}$  is the zero map on zero vector bundles.

It is now easy to check that  $F_{\mathbf{Man}}^{\mu\check{\mathbf{K}}\mathbf{ur}}$  is a functor, which is full and faithful, and thus embeds  $\mathbf{Man}$  as a full subcategory of  $\mu\check{\mathbf{K}}\mathbf{ur}$ . So we can identify  $\mathbf{Man}$  with its image in  $\mu\check{\mathbf{K}}\mathbf{ur}$ . We say that a  $\mu$ -Kuranishi space  $\mathbf{X}$  is a manifold if  $\mathbf{X} \cong F_{\mathbf{Man}}^{\mu\check{\mathbf{K}}\mathbf{ur}}(X')$  in  $\mu\check{\mathbf{K}}\mathbf{ur}$ , for some  $X' \in \mathbf{Man}$ .

Assumption 3.4 gives a full subcategory  $\mathbf{Man} \subseteq \mathbf{Man}$ . Define a full and faithful functor  $F_{\mathbf{Man}}^{\mu\check{\mathbf{K}}\mathbf{ur}} = F_{\mathbf{Man}}^{\mu\check{\mathbf{K}}\mathbf{ur}}|_{\mathbf{Man}} : \mathbf{Man} \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}$ , which embeds  $\mathbf{Man}$  as a full subcategory of  $\mu\check{\mathbf{K}}\mathbf{ur}$ . We say that a  $\mu$ -Kuranishi space  $\mathbf{X}$  is a classical manifold if  $\mathbf{X} \cong F_{\mathbf{Man}}^{\mu\check{\mathbf{K}}\mathbf{ur}}(X')$  in  $\mu\check{\mathbf{K}}\mathbf{ur}$ , for some  $X' \in \mathbf{Man}$ .

In a similar way to Example 5.16, we can define a functor  $\mathbf{G}\mu\check{\mathbf{K}}\mathbf{N} \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}$  which is an equivalence from the category  $\mathbf{G}\mu\check{\mathbf{K}}\mathbf{N}$  of global  $\mu$ -Kuranishi neighbourhoods in Definition 5.5 to the full subcategory of objects  $(X, \mathcal{K})$  in  $\mu\check{\mathbf{K}}\mathbf{ur}$  for which  $\mathcal{K}$  contains only one  $\mu$ -Kuranishi neighbourhood. It acts by  $(V, E, s) \mapsto \mathbf{S}_{V,E,s}$  on objects, where  $\mathbf{S}_{V,E,s}$  is as in Example 5.12.

**Example 5.17.** As in Example 4.31, if  $\mathbf{X}, \mathbf{Y}$  are  $\mu$ -Kuranishi spaces in  $\mu\check{\mathbf{K}}\mathbf{ur}$  with notation (5.11)–(5.12), we can define an explicit product  $\mathbf{X} \times \mathbf{Y}$  in  $\mu\check{\mathbf{K}}\mathbf{ur}$  with  $\text{vdim}(\mathbf{X} \times \mathbf{Y}) = \text{vdim} \mathbf{X} + \text{vdim} \mathbf{Y}$ , such that  $\mathbf{X} \times \mathbf{Y} = (X \times Y, \mathcal{K})$  with

$$\mathcal{K} = (I \times J, (W_{(i,j)}, F_{(i,j)}, t_{(i,j)}, \omega_{(i,j)})_{(i,j) \in I \times J}, [\Phi_{(i,j)(i',j')}]_{(i,j),(i',j') \in I \times J})$$

for  $(W_{(i,j)}, F_{(i,j)}, t_{(i,j)}, \omega_{(i,j)}, \Phi_{(i,j)(i',j')})$  as in Example 4.31. There are natural projection morphisms  $\pi_{\mathbf{X}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{X}$ ,  $\pi_{\mathbf{Y}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{Y}$ . These have the universal property of products in an ordinary category, that is,  $\mathbf{X} \times \mathbf{Y}$  is a fibre product  $\mathbf{X} \times_* \mathbf{Y}$  over the point (terminal object)  $*$  in  $\mu\check{\mathbf{K}}\mathbf{ur}$ .

Products are commutative and associative up to canonical isomorphism. We can also define products and direct products of morphisms. That is, if  $\mathbf{f} : \mathbf{W} \rightarrow \mathbf{Y}$ ,  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$ ,  $\mathbf{h} : \mathbf{X} \rightarrow \mathbf{Z}$  are morphisms in  $\mu\check{\mathbf{K}}\mathbf{ur}$  then we have a product morphism  $\mathbf{f} \times \mathbf{h} : \mathbf{W} \times \mathbf{X} \rightarrow \mathbf{Y} \times \mathbf{Z}$  and a direct product morphism  $(\mathbf{g}, \mathbf{h}) : \mathbf{X} \rightarrow \mathbf{Y} \times \mathbf{Z}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , both easy to write down explicitly.

### 5.3.3 Comparing $\mu$ -Kuranishi spaces from different $\mathbf{Man}$

As in §4.4, following Definition 4.32, we easily prove:

**Proposition 5.18.** Suppose  $\mathbf{Man}, \mathbf{Man}$  are categories satisfying Assumptions 3.1–3.7, and  $F_{\mathbf{Man}}^{\mathbf{Man}} : \mathbf{Man} \rightarrow \mathbf{Man}$  is a functor satisfying Condition 3.20. Then we can define a natural functor  $F_{\mu\check{\mathbf{K}}\mathbf{ur}}^{\mu\check{\mathbf{K}}\mathbf{ur}} : \mu\check{\mathbf{K}}\mathbf{ur} \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}$ .

If  $F_{\mathbf{Man}}^{\mathbf{Man}} : \mathbf{Man} \hookrightarrow \mathbf{Man}$  is an inclusion of subcategories  $\mathbf{Man} \subseteq \mathbf{Man}$  satisfying either Proposition 3.21(a) or (b), then  $F_{\mu\check{\mathbf{K}}\mathbf{ur}}^{\mu\check{\mathbf{K}}\mathbf{ur}} : \mu\check{\mathbf{K}}\mathbf{ur} \hookrightarrow \mu\check{\mathbf{K}}\mathbf{ur}$  is also an inclusion of subcategories  $\mu\check{\mathbf{K}}\mathbf{ur} \subseteq \mu\check{\mathbf{K}}\mathbf{ur}$ .

As for Figure 4.1, applying Proposition 5.18 to the parts of the diagram Figure 3.1 of functors  $F_{\mathbf{Man}}^{\mathbf{Man}}$  involving the categories (5.19) yields a diagram Figure 5.1 of functors  $F_{\mu\mathbf{Kur}}^{\mu\mathbf{Kur}}$ . Arrows ‘ $\rightarrow$ ’ are inclusions of subcategories.

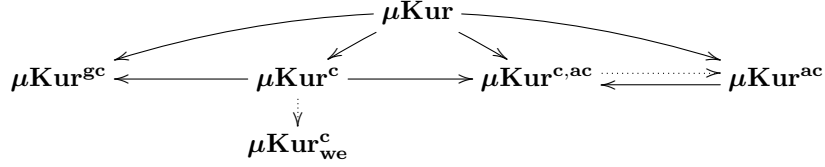


Figure 5.1: Functors between categories of  $\mu$ -Kuranishi spaces from Proposition 5.18. Arrows ‘ $\rightarrow$ ’ are inclusions of subcategories.

### 5.3.4 Discrete properties of morphisms in $\mu\mathbf{Kur}$

In §3.3.6 and §B.6 we defined when a property  $P$  of morphisms in  $\mathbf{Man}$  is *discrete*. Section 4.5 explained how to extend discrete properties of morphisms in  $\mathbf{Man}$  to corresponding properties of 1-morphisms in  $\mathbf{mKur}$ . We now do the same for  $\mu\mathbf{Kur}$ . Here are the analogues of Definition 4.35, and Proposition 4.36(b),(c), proved in the same way, and Definition 4.37.

**Definition 5.19.** Let  $P$  be a discrete property of morphisms in  $\mathbf{Man}$ . Suppose  $f : X \rightarrow Y$  is a morphism in  $\mu\mathbf{Kur}$ . Use notation (5.11)–(5.12) for  $X, Y$ , and write  $f = (f, [f_{ij}]_{i \in I, j \in J})$  as in Definition 5.13. We say that  $f$  is  $P$  if  $f_{ij}$  is  $P$  in the sense of Definition 4.33 for all  $i \in I$  and  $j \in J$ . This is independent of the choice of representative  $f_{ij}$  for  $[f_{ij}]$  in  $f$  by Proposition 4.34(b).

**Proposition 5.20.** Let  $P$  be a discrete property of morphisms in  $\mathbf{Man}$ . Then:

- (a) Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be morphisms in  $\mu\mathbf{Kur}$ . If  $f$  and  $g$  are  $P$  then  $g \circ f : X \rightarrow Z$  is  $P$ .
- (b) Identity morphisms  $\text{id}_X : X \rightarrow X$  in  $\mu\mathbf{Kur}$  are  $P$ . Isomorphisms  $f : X \rightarrow Y$  in  $\mu\mathbf{Kur}$  are  $P$ .

Parts (a),(b) imply that we have a subcategory  $\mu\mathbf{Kur}_P \subseteq \mu\mathbf{Kur}$  containing all objects in  $\mu\mathbf{Kur}$ , and all morphisms  $f$  in  $\mu\mathbf{Kur}$  which are  $P$ .

**Definition 5.21.** (a) Taking  $\mathbf{Man} = \mathbf{Man}^c$  from §2.1 gives the category of  $\mu$ -Kuranishi spaces with corners  $\mu\mathbf{Kur}^c$  from Definition 5.15. We write

$$\mu\mathbf{Kur}_{\text{in}}^c, \mu\mathbf{Kur}_{\text{bn}}^c, \mu\mathbf{Kur}_{\text{st}}^c, \mu\mathbf{Kur}_{\text{st,in}}^c, \mu\mathbf{Kur}_{\text{st,bn}}^c, \mu\mathbf{Kur}_{\text{si}}^c$$

for the subcategories of  $\mu\mathbf{Kur}^c$  with morphisms which are *interior*, and *b-normal*, and *strongly smooth*, and *strongly smooth-interior*, and *strongly smooth-b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^c$  are discrete

by Example 3.19(a), so as in Definition 5.19 and Proposition 5.20 we have corresponding notions of interior,  $\dots$ , simple morphisms in  $\mu\mathbf{Kur}^c$ .

(b) Taking  $\mathring{\mathbf{Man}} = \mathbf{Man}^{\mathbf{gc}}$  from §2.4.1 gives the category of  $\mu$ -Kuranishi spaces with g-corners  $\mu\mathbf{Kur}^{\mathbf{gc}}$  from Definition 5.15. We write

$$\mu\mathbf{Kur}_{\text{in}}^{\mathbf{gc}}, \mu\mathbf{Kur}_{\text{bn}}^{\mathbf{gc}}, \mu\mathbf{Kur}_{\text{si}}^{\mathbf{gc}}$$

for the subcategories of  $\mu\mathbf{Kur}^{\mathbf{gc}}$  with morphisms which are *interior*, and *b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^{\mathbf{gc}}$  are discrete by Example 3.19(b), so we have corresponding notions in  $\mu\mathbf{Kur}^{\mathbf{gc}}$ .

(c) Taking  $\mathring{\mathbf{Man}} = \mathbf{Man}^{\mathbf{ac}}$  from §2.4.2 gives the category of  $\mu$ -Kuranishi spaces with a-corners  $\mu\mathbf{Kur}^{\mathbf{ac}}$  from Definition 5.15. We write

$$\mu\mathbf{Kur}_{\text{in}}^{\mathbf{ac}}, \mu\mathbf{Kur}_{\text{bn}}^{\mathbf{ac}}, \mu\mathbf{Kur}_{\text{st}}^{\mathbf{ac}}, \mu\mathbf{Kur}_{\text{st,in}}^{\mathbf{ac}}, \mu\mathbf{Kur}_{\text{st,bn}}^{\mathbf{ac}}, \mu\mathbf{Kur}_{\text{si}}^{\mathbf{ac}}$$

for the subcategories of  $\mu\mathbf{Kur}^{\mathbf{ac}}$  with morphisms which are *interior*, and *b-normal*, and *strongly a-smooth*, and *strongly a-smooth-interior*, and *strongly a-smooth-b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^{\mathbf{ac}}$  are discrete by Example 3.19(c), so we have corresponding notions for morphisms in  $\mu\mathbf{Kur}^{\mathbf{ac}}$ .

(d) Taking  $\mathring{\mathbf{Man}} = \mathbf{Man}^{\mathbf{c,ac}}$  from §2.4.2 gives the category of  $\mu$ -Kuranishi spaces with corners and a-corners  $\mu\mathbf{Kur}^{\mathbf{c,ac}}$  from Definition 5.15. We write

$$\mu\mathbf{Kur}_{\text{in}}^{\mathbf{c,ac}}, \mu\mathbf{Kur}_{\text{bn}}^{\mathbf{c,ac}}, \mu\mathbf{Kur}_{\text{st}}^{\mathbf{c,ac}}, \mu\mathbf{Kur}_{\text{st,in}}^{\mathbf{c,ac}}, \mu\mathbf{Kur}_{\text{st,bn}}^{\mathbf{c,ac}}, \mu\mathbf{Kur}_{\text{si}}^{\mathbf{c,ac}}$$

for the subcategories of  $\mu\mathbf{Kur}^{\mathbf{c,ac}}$  with morphisms which are *interior*, and *b-normal*, and *strongly a-smooth*, and *strongly a-smooth-interior*, and *strongly a-smooth-b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^{\mathbf{c,ac}}$  are discrete by Example 3.19(c), so we have corresponding notions for morphisms in  $\mu\mathbf{Kur}^{\mathbf{c,ac}}$ .

Figure 5.1 gives inclusions between the categories in (5.20). Combining this with the inclusions between the subcategories in Definition 5.21 we get a diagram Figure 5.2 of inclusions of subcategories of  $\mu$ -Kuranishi spaces, as for Figure 4.2.

### 5.3.5 $\mu$ -Kuranishi spaces and m-Kuranishi spaces

Next we relate  $\mu$ -Kuranishi spaces to m-Kuranishi spaces in §4.3.

**Definition 5.22.** We will define a functor  $F_{\mathbf{mKur}}^{\mu\mathbf{Kur}} : \text{Ho}(\mathbf{mKur}) \rightarrow \mu\mathbf{Kur}$ , where  $\text{Ho}(\mathbf{mKur})$  is the homotopy category of the weak 2-category  $\mathbf{mKur}$  as in §A.2, that is, the category with objects  $\mathbf{X}, \mathbf{Y}$  objects of  $\mathbf{mKur}$ , and morphisms  $[\mathbf{f}] : \mathbf{X} \rightarrow \mathbf{Y}$  are 2-isomorphism classes  $[\mathbf{f}]$  of 1-morphisms  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}$ .

Let  $\mathbf{X} = (X, \mathcal{K})$  be an object of  $\mathbf{mKur}$ , with  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij, i, j \in I}, \Lambda_{ijk, i, j, k \in I})$ . Then  $(V_i, E_i, s_i, \psi_i)$  is a  $\mu$ -Kuranishi neighbourhood on  $X$  for each  $i \in I$ , and taking the  $\approx_S$ -equivalence class  $[\Phi_{ij}]$  of  $\Phi_{ij}$  over  $S = \text{Im } \psi_i \cap \text{Im } \psi_j$  as in Definition 5.5(b) gives a coordinate change  $[\Phi_{ij}] :$

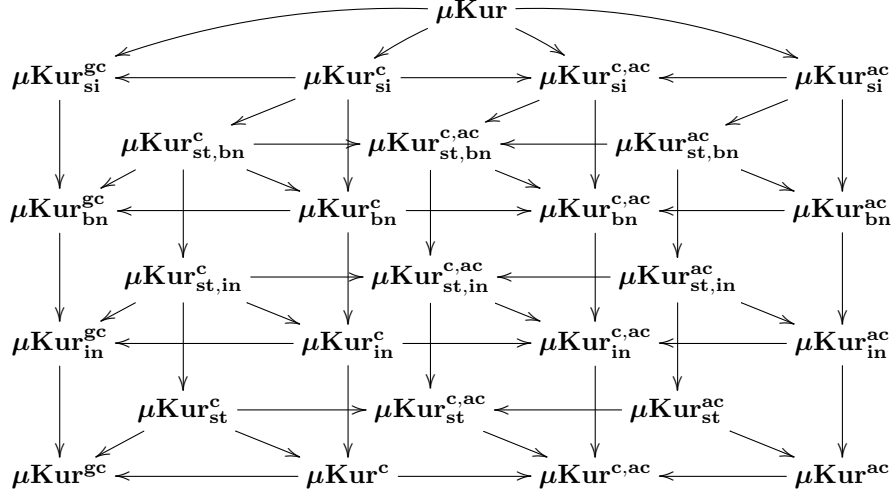


Figure 5.2: Inclusions of categories of  $\mu$ -Kuranishi spaces.

$(V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  for  $i, j \in I$ . Write  $\mathcal{K}' = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, [\Phi_{ij}]_{i, j \in I})$  and  $\mathbf{X}' = (X, \mathcal{K}')$ . Then Definition 5.11(d)–(f) follow from Definition 4.14(e), (f), (d), so  $\mathbf{X}'$  is a  $\mu$ -Kuranishi space. Define  $F_{\mathbf{mKur}}^{\mu\check{\mathbf{K}}ur}(\mathbf{X}) = \mathbf{X}'$ .

Next let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism in  $\mathbf{mKur}$ , using notation (4.6), (4.7), (4.9) for  $\mathbf{X}, \mathbf{Y}, \mathbf{f}$ , and set  $\mathbf{X}' = F_{\mathbf{mKur}}^{\mu\check{\mathbf{K}}ur}(\mathbf{X})$  and  $\mathbf{Y}' = F_{\mathbf{mKur}}^{\mu\check{\mathbf{K}}ur}(\mathbf{Y})$ . Taking the  $\approx_S$ -equivalence class  $[\mathbf{f}_{ij}]$  of  $\mathbf{f}_{ij}$  over  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j)$  as in Definition 5.5(b) we find that

$$\mathbf{f}' = (f, [\mathbf{f}_{ij}]_{i \in I, j \in J}) : \mathbf{X}' \rightarrow \mathbf{Y}' \quad (5.21)$$

is a morphism in  $\mu\check{\mathbf{K}}ur$ , as Definition 5.13(a), (b) for  $\mathbf{f}'$  follow from Definition 4.17(c), (d) for  $\mathbf{f}$ . Define  $F_{\mathbf{mKur}}^{\mu\check{\mathbf{K}}ur}([\mathbf{f}]) = \mathbf{f}'$ .

To show this is well-defined, let  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism and  $\eta : \mathbf{f} \Rightarrow \mathbf{g}$  a 2-morphism in  $\mathbf{mKur}$ , where  $\mathbf{g} = (g, \mathbf{g}_{ij, i \in I, j \in J}, \mathbf{G}_{ii', i, i' \in I}^{j, j \in J}, \mathbf{G}_{i, i \in I}^{jj', j, j' \in J})$  and  $\eta = (\eta_{ij, i \in I, j \in J})$ . Then  $f = g : X \rightarrow Y$ , and  $\eta_{ij} : \mathbf{f}_{ij} \Rightarrow \mathbf{g}_{ij}$  is a 2-morphism of m-Kuranishi neighbourhoods over  $(S, f)$  for  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j)$ , so  $[\mathbf{f}_{ij}] = [\mathbf{g}_{ij}]$  in morphisms of  $\mu$ -Kuranishi neighbourhoods over  $(S, f)$ . Therefore  $\mathbf{f}'$  in (5.21) is independent of the choice of representative  $\mathbf{f}$  for the morphism  $[\mathbf{f}] : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\text{Ho}(\mathbf{mKur})$ , so  $F_{\mathbf{mKur}}^{\mu\check{\mathbf{K}}ur}([\mathbf{f}])$  is well defined.

Comparing Proposition 4.19 and Definition 4.20 with Theorem 5.14(a) we see that  $F_{\mathbf{mKur}}^{\mu\check{\mathbf{K}}ur}$  preserves composition of morphisms, and comparing Definitions 4.17 and 5.13 we see that  $F_{\mathbf{mKur}}^{\mu\check{\mathbf{K}}ur}$  preserves identities. Hence  $F_{\mathbf{mKur}}^{\mu\check{\mathbf{K}}ur} : \text{Ho}(\mathbf{mKur}) \rightarrow \mu\check{\mathbf{K}}ur$  is a functor.

The next theorem will be proved in §5.6.

**Theorem 5.23.** *The functor  $F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\mu\dot{\mathbf{K}}\mathbf{ur}} : \text{Ho}(\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}) \rightarrow \mu\dot{\mathbf{K}}\mathbf{ur}$  in Definition 5.22 is an equivalence of categories.*

Section 4.8 related m-Kuranishi spaces to the derived manifolds of Spivak [103], Borisov–Noel [7, 8] and the author [57, 58, 61]. Theorems 4.62 and 5.23 imply:

**Corollary 5.24.** *There is an equivalence of categories  $\text{Ho}(\mathbf{d}\mathbf{Man}) \simeq \mu\mathbf{Kur}$ , where  $\mathbf{d}\mathbf{Man}$  is the strict 2-category of  $d$ -manifolds from [57, 58, 61], and  $\mu\mathbf{Kur}$  is as above for  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}$ .*

Combining this with Borisov’s functor (4.66) gives a functor

$$\text{Ho}(\mathbf{DerMan}_{\text{Spi}}) \simeq \text{Ho}(\mathbf{DerMan}_{\text{BN}}) \longrightarrow \mu\mathbf{Kur},$$

which is close to being an equivalence (it is full but not faithful, and induces a 1-1 correspondence between isomorphism classes of objects).

## 5.4 $\mu$ -Kuranishi spaces with corners. Boundaries, $k$ -corners, and the corner functor

We now change notation from  $\dot{\mathbf{M}}\mathbf{an}$  in §3.1–§3.3 to  $\dot{\mathbf{M}}\mathbf{an}^c$ , and from  $\mu\dot{\mathbf{K}}\mathbf{ur}$  in §5.3 to  $\mu\dot{\mathbf{K}}\mathbf{ur}^c$ . Suppose throughout this section that  $\dot{\mathbf{M}}\mathbf{an}^c$  satisfies Assumption 3.22 in §3.4.1. Then  $\dot{\mathbf{M}}\mathbf{an}^c$  satisfies Assumptions 3.1–3.7, so §5.3 constructs a category  $\mu\dot{\mathbf{K}}\mathbf{ur}^c$  of  $\mu$ -Kuranishi spaces associated to  $\dot{\mathbf{M}}\mathbf{an}^c$ . For instance,  $\mu\dot{\mathbf{K}}\mathbf{ur}^c$  could be  $\mu\mathbf{Kur}^c$ ,  $\mu\mathbf{Kur}^{\text{gc}}$ ,  $\mu\mathbf{Kur}^{\text{ac}}$  or  $\mu\mathbf{Kur}^{c,\text{ac}}$  from Definition 5.15. We will refer to objects of  $\mu\dot{\mathbf{K}}\mathbf{ur}^c$  as  $\mu$ -Kuranishi spaces with corners. We also write  $\mu\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  for the subcategory of  $\mu\dot{\mathbf{K}}\mathbf{ur}^c$  with simple morphisms in the sense of §5.3.4, noting that simple is a discrete property of morphisms in  $\dot{\mathbf{M}}\mathbf{an}^c$  by Assumption 3.22(c).

In §4.6, for each  $\mathbf{X} \in \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  we defined the  $k$ -corners  $C_k(\mathbf{X})$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$ , with  $\partial\mathbf{X} = C_1(\mathbf{X})$ . We constructed a 2-category  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  from  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  with objects  $\coprod_{n \in \mathbb{Z}} \mathbf{X}_n$  for  $\mathbf{X}_n \in \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  with  $\text{vdim } \mathbf{X}_n = n$ , and defined the corner 2-functor  $C : \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c \rightarrow \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$ .

We will now extend all this to  $\mu$ -Kuranishi spaces with corners. This is a simplification of §4.6. Here is the analogue of Definition 4.39:

**Definition 5.25.** Let  $\mathbf{X} = (X, \mathcal{K})$  in  $\mu\dot{\mathbf{K}}\mathbf{ur}^c$  be a  $\mu$ -Kuranishi space with corners, and write  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, [\Phi_{ij}]_{i,j \in I})$  as in Definition 5.11. Choose representatives  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  for  $[\Phi_{ij}]$  for all  $i, j \in I$ , so that  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a 1-morphism of m-Kuranishi neighbourhoods. Since  $[\Phi_{ij}] \circ [\Phi_{hi}] = [\Phi_{hj}]$  for  $h, i, j \in I$  by Definition 5.11(f), we can choose a 2-morphism  $\Lambda_{hij} : \Phi_{ij} \circ \Phi_{hi} \Rightarrow \Phi_{hj}$ . We are now in the situation of the beginning of Definition 4.39, except that the  $\Lambda_{hij}$  need not satisfy Definition 4.14(g),(h). This will not matter to us.

Let  $k \in \mathbb{N}$ . We will define a  $\mu$ -Kuranishi space with corners  $C_k(\mathbf{X})$  in  $\mu\mathbf{Kur}^c$  called the  $k$ -corners of  $\mathbf{X}$ , and a morphism  $\mathbf{\Pi}_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  in  $\mu\mathbf{Kur}^c$ . Explicitly we write  $C_k(\mathbf{X}) = (C_k(X), \mathcal{K}_k)$  with

$$\mathcal{K}_k = (\{k\} \times I, (V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)})_{i \in I}, [\Phi_{(k,i),(k,j)}]_{i,j \in I})$$

with  $\hat{\Phi}_{(k,i)(k,j)} = (V_{(k,i)(k,j)}, \phi_{(k,i)(k,j)}, \hat{\phi}_{(k,i)(k,j)}),$

where  $\mathcal{K}_k$  has indexing set  $\{k\} \times I$ , and as in Definition 5.13 we write

$$\mathbf{\Pi}_k = (\mathbf{\Pi}_k, [\mathbf{\Pi}_{(k,i)j}]_{i,j \in I}), \quad \text{where}$$

$$\mathbf{\Pi}_{(k,i)j} = (V_{(k,i)j}, \Pi_{(k,i)j}, \hat{\Pi}_{(k,i)j}) : (V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)}) \rightarrow (V_j, E_j, s_j, \psi_j).$$

We follow Definition 4.39 closely. For all  $i, j \in I$ , define  $\Phi_{(k,i)(k,j)} = (V_{(k,i)(k,j)}, \phi_{(k,i)(k,j)}, \hat{\phi}_{(k,i)(k,j)})$  by (4.40)–(4.42), and  $\mathbf{\Pi}_{(k,i)j}$  by (4.43). Define the topological space  $C_k(X)$  by  $C_k(X) = [\prod_{i \in I} s_{(k,i)}^{-1}(0)] / \approx$  and the continuous maps  $\psi_{(k,i)} : s_{(k,i)}^{-1}(0) \rightarrow C_k(X)$ ,  $\mathbf{\Pi}_k : C_k(X) \rightarrow X$  as in Definition 4.39. Here the proof that  $\approx$  is an equivalence relation involves the existence of the 2-morphism  $\Lambda_{hij} : \Phi_{ij} \circ \Phi_{hi} \Rightarrow \Phi_{hj}$  as above, but not Definition 4.14(g),(h).

The proofs in Definition 4.39 show that  $C_k(X)$  is Hausdorff and second countable, and  $\mathbf{\Pi}_k : C_k(X) \rightarrow X$  is continuous and proper with finite fibres, and  $(V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)})$  is an m-Kuranishi neighbourhood (hence a  $\mu$ -Kuranishi neighbourhood) on  $C_k(X)$  for  $i \in I$ , and

$$\begin{aligned} \Phi_{(k,i)(k,j)} &: (V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)}) \longrightarrow (V_{(k,j)}, E_{(k,j)}, s_{(k,j)}, \psi_{(k,j)}), \\ \mathbf{\Pi}_{(k,i)j} &: (V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)}) \longrightarrow (V_j, E_j, s_j, \psi_j), \end{aligned}$$

are 1-morphisms of m-Kuranishi neighbourhoods (over  $\mathbf{\Pi}_k$ ). Thus

$$\begin{aligned} [\Phi_{(k,i)(k,j)}] &: (V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)}) \longrightarrow (V_{(k,j)}, E_{(k,j)}, s_{(k,j)}, \psi_{(k,j)}), \\ [\mathbf{\Pi}_{(k,i)j}] &: (V_{(k,i)}, E_{(k,i)}, s_{(k,i)}, \psi_{(k,i)}) \longrightarrow (V_j, E_j, s_j, \psi_j), \end{aligned}$$

are morphisms of  $\mu$ -Kuranishi neighbourhoods (over  $\mathbf{\Pi}_k$ ).

To see  $[\Phi_{(k,i)(k,j)}], [\mathbf{\Pi}_{(k,i)j}]$  are independent of the choice of representative  $\Phi_{ij}$  for  $[\Phi_{ij}]$ , and so are well defined, note that if  $\Phi'_{ij}$  is an alternative choice giving  $\Phi'_{(k,i)(k,j)}, \mathbf{\Pi}'_{(k,i)j}$  then there is a 2-morphism  $\eta_{ij} = [\dot{V}_{ij}, \hat{\eta}_{ij}] : \Phi_{ij} \Rightarrow \Phi'_{ij}$ . As for  $\Lambda_{hij}, \Lambda_{(k,h)(k,i)(k,j)}$  and  $\mathbf{\Pi}^j_{(k,i)(k,i')}$  in Definition 4.39 we define 2-morphisms

$$\begin{aligned} [C_k(\dot{V}_{ij}), \mathbf{\Pi}_k^\diamond(\hat{\eta}_{ij})] &: \Phi_{(k,i)(k,j)} \Longrightarrow \Phi'_{(k,i)(k,j)}, \\ [C_k(\dot{V}_{ij}), \mathbf{\Pi}_k^*(\hat{\eta}_{ij})] &: \mathbf{\Pi}_{(k,i)j} \Longrightarrow \mathbf{\Pi}'_{(k,i)j}, \end{aligned}$$

so that  $[\Phi_{(k,i)(k,j)}] = [\Phi'_{(k,i)(k,j)}]$  and  $[\mathbf{\Pi}_{(k,i)j}] = [\mathbf{\Pi}'_{(k,i)j}]$ .

We have now defined all the data in  $C_k(\mathbf{X})$  and  $\mathbf{\Pi}_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$ . We can check that  $C_k(\mathbf{X})$  and  $\mathbf{\Pi}_k$  satisfy the conditions of Definitions 5.11 and 5.13, with  $\text{vdim } C_k(\mathbf{X}) = \text{vdim } \mathbf{X} - k$ , in the same way as in Definition 4.39, where for example to show that  $[\Phi_{(k,i)(k,j)}] \circ [\Phi_{(k,h)(k,i)}] = [\Phi_{(k,h)(k,j)}]$  in Definition 5.11(f) for  $C_k(\mathbf{X})$  we construct a 2-morphism  $\Lambda_{(k,h)(k,i)(k,j)} : \Phi_{(k,i)(k,j)} \circ \Phi_{(k,h)(k,i)} \Rightarrow \Phi_{(k,h)(k,j)}$  from  $\Lambda_{hij}$  as in Definition 4.39.



This proves the analogue of Theorem 4.40:

**Theorem 5.26.** *For each  $X$  in  $\mu\check{\mathbf{K}}\mathbf{ur}^c$  and  $k = 0, 1, \dots$  we have defined the  $k$ -corners  $C_k(X)$ , an object in  $\mu\check{\mathbf{K}}\mathbf{ur}^c$  with  $\text{vdim } C_k(X) = \text{vdim } X - k$ , and a morphism  $\Pi_k : C_k(X) \rightarrow X$  in  $\mu\check{\mathbf{K}}\mathbf{ur}^c$ , whose underlying continuous map  $\Pi_k : C_k(X) \rightarrow X$  is proper with finite fibres. We also write  $\partial X = C_1(X)$ , called the **boundary** of  $X$ , and we write  $i_X = \Pi_1 : \partial X \rightarrow X$ .*

Modifying Definition 4.42 we construct categories  $\mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \subseteq \mu\check{\mathbf{K}}\mathbf{ur}^c$  from  $\mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \subseteq \mu\check{\mathbf{K}}\mathbf{ur}^c$  in the obvious way, with objects  $\coprod_{n \in \mathbb{Z}} X_n$  for  $X_n$  in  $\mu\check{\mathbf{K}}\mathbf{ur}^c$  with  $\text{vdim } X_n = n$ , where  $\mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c, \mu\check{\mathbf{K}}\mathbf{ur}^c$  embed as full subcategories of  $\mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c, \mu\check{\mathbf{K}}\mathbf{ur}^c$ . For the examples of  $\mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \subseteq \mu\check{\mathbf{K}}\mathbf{ur}^c$  in Definitions 5.15, 5.21 we use the obvious notation for the corresponding categories  $\mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \subseteq \mu\check{\mathbf{K}}\mathbf{ur}^c$ , so for instance we enlarge  $\mu\mathbf{K}\mathbf{ur}^c$  associated to  $\mathbf{M}\mathbf{an}^c = \mathbf{M}\mathbf{an}^c$  to  $\mu\check{\mathbf{K}}\mathbf{ur}^c$ .

Then following Definition 4.43, but modifying it as in Definition 5.25, we define the corner functor  $C : \mu\check{\mathbf{K}}\mathbf{ur}^c \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}^c$ . This is straightforward and involves no new ideas, so we leave it as an exercise for the reader. This proves the analogue of Theorem 4.44:

**Theorem 5.27.** *We can define a functor  $C : \mu\check{\mathbf{K}}\mathbf{ur}^c \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}^c$  called the **corner functor**. It acts on objects  $X$  in  $\mu\check{\mathbf{K}}\mathbf{ur}^c$  by  $C(X) = \coprod_{k=0}^{\infty} C_k(X)$ . If  $f : X \rightarrow Y$  is simple then  $C(f) : C(X) \rightarrow C(Y)$  is simple and maps  $C_k(X) \rightarrow C_k(Y)$  for  $k = 0, 1, \dots$ . Thus  $C|_{\mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c}$  decomposes as  $C|_{\mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c} = \coprod_{k=0}^{\infty} C_k$ , where  $C_k : \mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  is a functor acting on objects by  $X \mapsto C_k(X)$ , for  $C_k(X)$  as in Definition 5.25. We also write  $\partial = C_1 : \mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$ , and call it the **boundary functor**.*

*If for some discrete property  $P$  of morphisms in  $\mathbf{M}\mathbf{an}^c$  the corner functor  $C : \mathbf{M}\mathbf{an}^c \rightarrow \mathbf{M}\mathbf{an}^c$  maps to the subcategory  $\check{\mathbf{M}}\mathbf{an}_P^c$  of  $\mathbf{M}\mathbf{an}^c$  whose morphisms are  $P$ , then  $C : \mu\check{\mathbf{K}}\mathbf{ur}^c \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}^c$  maps to the subcategory  $\mu\check{\mathbf{K}}\mathbf{ur}_P^c$  of  $\mu\check{\mathbf{K}}\mathbf{ur}^c$  whose morphisms are  $P$ .*

As for Example 4.45, applying Theorem 5.27 to the data  $\mathbf{M}\mathbf{an}^c, \dots$  in Example 3.24(a)–(h) gives corner functors:

$$\begin{aligned}
C : \mu\mathbf{K}\mathbf{ur}^c &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{in}}^c \subset \mu\check{\mathbf{K}}\mathbf{ur}^c, & C' : \mu\mathbf{K}\mathbf{ur}^c &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}^c, \\
C : \mu\mathbf{K}\mathbf{ur}_{\text{st}}^c &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{st},\text{in}}^c \subset \mu\check{\mathbf{K}}\mathbf{ur}_{\text{st}}^c, & C' : \mu\mathbf{K}\mathbf{ur}_{\text{st}}^c &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{st}}^c, \\
C : \mu\mathbf{K}\mathbf{ur}^{\text{ac}} &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{in}}^{\text{ac}} \subset \mu\check{\mathbf{K}}\mathbf{ur}^{\text{ac}}, & C' : \mu\mathbf{K}\mathbf{ur}^{\text{ac}} &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}^{\text{ac}}, \\
C : \mu\mathbf{K}\mathbf{ur}_{\text{st}}^{\text{ac}} &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{st},\text{in}}^{\text{ac}} \subset \mu\check{\mathbf{K}}\mathbf{ur}_{\text{st}}^{\text{ac}}, & C' : \mu\mathbf{K}\mathbf{ur}_{\text{st}}^{\text{ac}} &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{st}}^{\text{ac}}, \\
C : \mu\mathbf{K}\mathbf{ur}^{\text{c,ac}} &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{in}}^{\text{c,ac}} \subset \mu\check{\mathbf{K}}\mathbf{ur}^{\text{c,ac}}, & C' : \mu\mathbf{K}\mathbf{ur}^{\text{c,ac}} &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}^{\text{c,ac}}, \\
C : \mu\mathbf{K}\mathbf{ur}_{\text{st}}^{\text{c,ac}} &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{st},\text{in}}^{\text{c,ac}} \subset \mu\check{\mathbf{K}}\mathbf{ur}_{\text{st}}^{\text{c,ac}}, & C' : \mu\mathbf{K}\mathbf{ur}_{\text{st}}^{\text{c,ac}} &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{st}}^{\text{c,ac}}, \\
C : \mu\mathbf{K}\mathbf{ur}^{\text{gc}} &\longrightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{in}}^{\text{gc}} \subset \mu\check{\mathbf{K}}\mathbf{ur}^{\text{gc}}. & & (5.22)
\end{aligned}$$

As for Propositions 4.46 and 4.47, we prove:

**Proposition 5.28.** *For all of the functors  $C$  in (5.22) (though not the functors  $C'$ ), a morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is interior (or  $b$ -normal) if and only if  $C(\mathbf{f})$  maps  $C_0(\mathbf{X}) \rightarrow C_0(\mathbf{Y})$  (or  $C(\mathbf{f})$  maps  $C_k(\mathbf{X}) \rightarrow \coprod_{l=0}^k C_l(\mathbf{Y})$  for all  $k = 0, 1, \dots$ ).*

**Proposition 5.29.** *Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be an isomorphism in  $\mu\mathbf{Kur}^c$ . Then  $\mathbf{f}$  is simple by Proposition 5.20(b), and  $C_k(\mathbf{f}) : C_k(\mathbf{X}) \rightarrow C_k(\mathbf{Y})$  for  $k = 0, 1, \dots$  and  $\partial\mathbf{f} : \partial\mathbf{X} \rightarrow \partial\mathbf{Y}$  are also isomorphisms in  $\mu\mathbf{Kur}^c$ .*

Here is the analogue of Definition 4.48:

**Definition 5.30.** As in Definition 5.15 we write  $\mu\mathbf{Kur}^c$  for the category of  $\mu$ -Kuranishi spaces with corners associated to  $\mathbf{Man}^c = \mathbf{Man}^c$ . An object  $\mathbf{X}$  in  $\mu\mathbf{Kur}^c$  is called a  $\mu$ -Kuranishi space with boundary if  $\partial(\partial\mathbf{X}) = \emptyset$ . Write  $\mu\mathbf{Kur}^b$  for the full subcategory of  $\mu$ -Kuranishi spaces with boundary in  $\mu\mathbf{Kur}^c$ , and write  $\mu\mathbf{Kur}_{\text{si}}^b \subseteq \mu\mathbf{Kur}_{\text{in}}^b \subseteq \mu\mathbf{Kur}^b$  for the subcategories of  $\mu\mathbf{Kur}^b$  with simple and interior morphisms. We can show that  $\mathbf{X} \in \mu\mathbf{Kur}^c$  is a  $\mu$ -Kuranishi space with boundary if and only if  $C_k(\mathbf{X}) = \emptyset$  for all  $k > 1$ .

## 5.5 $\mu$ -Kuranishi neighbourhoods on $\mu$ -Kuranishi spaces

We now give the ‘ $\mu$ -Kuranishi’ analogue of the ideas of §4.7.

**Definition 5.31.** Suppose  $\mathbf{X} = (X, \mathcal{K})$  is a  $\mu$ -Kuranishi space, where  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, [\Phi_{ij}]_{i, j \in I})$ . A  $\mu$ -Kuranishi neighbourhood on  $\mathbf{X}$  is data  $(V_a, E_a, s_a, \psi_a)$  and  $[\Phi_{ai}]_{i \in I}$ , where  $(V_a, E_a, s_a, \psi_a)$  is a  $\mu$ -Kuranishi neighbourhood on the topological space  $X$  as in Definition 5.5(a), and  $[\Phi_{ai}] : (V_a, E_a, s_a, \psi_a) \rightarrow (V_i, E_i, s_i, \psi_i)$  is a coordinate change for each  $i \in I$  as in Definition 5.7 (over  $S = \text{Im } \psi_a \cap \text{Im } \psi_i$ , as usual), such that for all  $i, j \in I$  we have

$$[\Phi_{ij}] \circ [\Phi_{ai}] = [\Phi_{aj}], \quad (5.23)$$

where (5.23) holds over  $S = \text{Im } \psi_a \cap \text{Im } \psi_i \cap \text{Im } \psi_j$  by Convention 5.9.

Here the subscript ‘ $a$ ’ in  $(V_a, E_a, s_a, \psi_a)$  is just a label used to distinguish  $\mu$ -Kuranishi neighbourhoods, generally not in  $I$ . If we omit  $a$  we will write ‘ $*$ ’ in place of ‘ $a$ ’ in  $[\Phi_{ai}]$ , giving  $[\Phi_{*i}] : (V, E, s, \psi) \rightarrow (V_i, E_i, s_i, \psi_i)$ .

We will usually just say  $(V_a, E_a, s_a, \psi_a)$  or  $(V, E, s, \psi)$  is a  $\mu$ -Kuranishi neighbourhood on  $\mathbf{X}$ , leaving the data  $[\Phi_{ai}]_{i \in I}$  or  $[\Phi_{*i}]_{i \in I}$  implicit. We call such a  $(V, E, s, \psi)$  a global  $\mu$ -Kuranishi neighbourhood on  $\mathbf{X}$  if  $\text{Im } \psi = X$ .

The next theorem can be proved using the sheaf property Theorem 5.10 by very similar methods to Theorem 5.14, noting that (5.24)–(5.25) imply that

$$\begin{aligned} [\Phi_{ab}]|_{\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_i} &= [\Phi_{bi}]^{-1} \circ [\Phi_{ai}], \\ [\mathbf{f}_{ab}]|_{\text{Im } \psi_a \cap \text{Im } \psi_i \cap \mathbf{f}^{-1}(\text{Im } \psi_b \cap \text{Im } \psi_j)} &= [\Phi_{bj}]^{-1} \circ [\mathbf{f}_{ij}] \circ [\mathbf{T}_{bi}], \end{aligned}$$

so we leave the proof as an exercise for the reader.

**Theorem 5.32. (a)** Let  $\mathbf{X} = (X, \mathcal{K})$  be a  $\mu$ -Kuranishi space, where  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, [\Phi_{ij}]_{i, j \in I})$ , and  $(V_a, E_a, s_a, \psi_a), (V_b, E_b, s_b, \psi_b)$  be  $\mu$ -Kuranishi neighbourhoods on  $\mathbf{X}$ , in the sense of Definition 5.31. Then there is a unique coordinate change  $[\Phi_{ab}] : (V_a, E_a, s_a, \psi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  in the sense of Definition 5.7 such that for all  $i \in I$  we have

$$[\Phi_{bi}] \circ [\Phi_{ab}] = [\Phi_{ai}], \quad (5.24)$$

which holds on  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_i$  by Convention 5.9. We will call  $[\Phi_{ab}]$  the **coordinate change between the  $\mu$ -Kuranishi neighbourhoods**  $(V_a, E_a, s_a, \psi_a), (V_b, E_b, s_b, \psi_b)$  on the  $\mu$ -Kuranishi space  $\mathbf{X}$ .

**(b)** Let  $f : \mathbf{X} \rightarrow \mathbf{Y}$  be a morphism of  $\mu$ -Kuranishi spaces, with notation (5.11)–(5.12), and let  $(U_a, D_a, r_a, \chi_a), (V_b, E_b, s_b, \psi_b)$  be  $\mu$ -Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$  respectively, in the sense of Definition 5.31. Then there is a unique morphism  $[\mathbf{f}_{ab}] : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  of  $\mu$ -Kuranishi neighbourhoods over  $f$  as in Definition 5.5(b), such that for all  $i \in I$  and  $j \in J$  we have

$$[\Phi_{bj}] \circ [\mathbf{f}_{ab}] = [\mathbf{f}_{ij}] \circ [\mathbf{T}_{bi}]. \quad (5.25)$$

We will call  $[\mathbf{f}_{ab}]$  the **morphism of  $\mu$ -Kuranishi neighbourhoods**  $(V_a, E_a, s_a, \psi_a), (V_b, E_b, s_b, \psi_b)$  over  $f : \mathbf{X} \rightarrow \mathbf{Y}$ .

**Remark 5.33.** Note that we make the (potentially confusing) distinction between  $\mu$ -Kuranishi neighbourhoods  $(V_i, E_i, s_i, \psi_i)$  on a topological space  $X$ , as in Definition 5.5(a), and  $\mu$ -Kuranishi neighbourhoods  $(V_a, E_a, s_a, \psi_a)$  on a  $\mu$ -Kuranishi space  $\mathbf{X} = (X, \mathcal{K})$ , which are as in Definition 5.31, and come equipped with the extra implicit data  $[\Phi_{ai}]_{i \in I}$  giving the compatibility with the  $\mu$ -Kuranishi structure  $\mathcal{K}$  on  $X$ . Similarly, we distinguish between coordinate changes of  $\mu$ -Kuranishi neighbourhoods over  $X$  or  $\mathbf{X}$ , and between morphisms of  $\mu$ -Kuranishi neighbourhoods over  $f : X \rightarrow Y$  or  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$ .

**Theorem 5.34.** Let  $\mathbf{X} = (X, \mathcal{K})$  be a  $\mu$ -Kuranishi space, and  $\{(V_a, E_a, s_a, \psi_a) : a \in A\}$  a family of  $\mu$ -Kuranishi neighbourhoods on  $\mathbf{X}$  with  $X = \bigcup_{a \in A} \text{Im } \psi_a$ . For all  $a, b \in A$ , let  $[\Phi_{ab}] : (V_a, E_a, s_a, \psi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  be the coordinate change from Theorem 5.32(a). Then  $\mathcal{K}' = (A, (V_a, E_a, s_a, \psi_a)_{a \in A}, [\Phi_{ab}]_{a, b \in A})$  is a  $\mu$ -Kuranishi structure on  $X$ , and  $\mathbf{X}' = (X, \mathcal{K}')$  is canonically isomorphic to  $\mathbf{X}$  in  $\mu\mathbf{Kur}$ .

*Proof.* Write  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, [\Phi_{ij}]_{i, j \in I})$ , and let  $\mathcal{K}'$  be as in the theorem. Definition 5.11(a)–(d) for  $\mathcal{K}'$  are immediate. For part (e), note that  $[\Phi_{aa}], [\text{id}_{(V_a, E_a, s_a, \psi_a)}] : (V_a, E_a, s_a, \psi_a) \rightarrow (V_a, E_a, s_a, \psi_a)$  both satisfy the conditions of Theorem 5.32(a) with  $a = b$ , so by uniqueness we have  $[\Phi_{aa}] = [\text{id}_{(V_a, E_a, s_a, \psi_a)}]$ . Similarly, for  $a, b, c \in A$  we can show that  $[\Phi_{bc}] \circ [\Phi_{ab}]$  and  $[\Phi_{ac}]$  are coordinate changes  $(V_a, E_a, s_a, \psi_a) \rightarrow (V_c, E_c, s_c, \psi_c)$  over  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$  satisfying the conditions of Theorem 5.32(a), so uniqueness gives  $[\Phi_{bc}] \circ [\Phi_{ab}] = [\Phi_{ac}]$ , proving (f). Hence  $\mathcal{K}'$  is a  $\mu$ -Kuranishi structure.

To show  $\mathbf{X}, \mathbf{X}'$  are canonically isomorphic, note that each  $(V_a, E_a, s_a, \psi_a)$  comes equipped with implicit extra data  $[\Phi_{ai}]_{i \in I}$ . Define morphisms  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{X}'$

and  $g : X' \rightarrow X$  by  $f = (\text{id}_X, [\Phi_{ai}]_{a \in A, i \in I})$  and  $g = (\text{id}_X, [\Phi_{ai}]_{i \in I, a \in A}^{-1})$ . It is easy to check that  $f, g$  are morphisms in  $\mu\check{\mathbf{K}}\mathbf{ur}$  with  $g \circ f = \text{id}_X$  and  $f \circ g = \text{id}_{X'}$ . So  $f, g$  are canonical isomorphisms.  $\square$

As the  $\mu$ -Kuranishi neighbourhoods  $(V_i, E_i, s_i, \psi_i)$  in the  $\mu$ -Kuranishi structure on  $X$  are  $\mu$ -Kuranishi neighbourhoods on  $X$ , we deduce:

**Corollary 5.35.** *Let  $X = (X, \mathcal{K})$  be a  $\mu$ -Kuranishi space with  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, [\Phi_{ij}]_{i, j \in I})$ . Suppose  $J \subseteq I$  with  $\bigcup_{j \in J} \text{Im } \psi_j = X$ . Then  $\mathcal{K}' = (J, (V_i, E_i, s_i, \psi_i)_{i \in J}, [\Phi_{ij}]_{i, j \in J})$  is a  $\mu$ -Kuranishi structure on  $X$ , and  $X' = (X, \mathcal{K}')$  is canonically isomorphic to  $X$  in  $\mu\check{\mathbf{K}}\mathbf{ur}$ .*

Thus, adding or subtracting extra  $\mu$ -Kuranishi neighbourhoods to the  $\mu$ -Kuranishi structure of  $X$  leaves  $X$  unchanged up to canonical isomorphism.

As in §4.7.3, if  $\check{\mathbf{M}}\mathbf{an}^c$  satisfies Assumption 3.22 then we can lift  $\mu$ -Kuranishi neighbourhoods  $(V_a, E_a, s_a, \psi_a)$  on  $X$  in  $\mu\check{\mathbf{K}}\mathbf{ur}^c$  to  $\mu$ -Kuranishi neighbourhoods  $(V_{(k,a)}, E_{(k,a)}, s_{(k,a)}, \psi_{(k,a)})$  on the  $k$ -corners  $C_k(X)$  from §5.4, and we can lift morphisms  $[f_{ab}] : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  of  $\mu$ -Kuranishi neighbourhoods over  $f : X \rightarrow Y$  in  $\mu\check{\mathbf{K}}\mathbf{ur}^c$  to morphisms  $[f_{(k,a)(l,b)}] : (U_{(k,a)}, D_{(k,a)}, r_{(k,a)}, \chi_{(k,a)}) \rightarrow (V_{(l,b)}, E_{(l,b)}, s_{(l,b)}, \psi_{(l,b)})$  over  $C(f) : C(X) \rightarrow C(Y)$ . We leave the details to the reader. As in §4.7.4, we could now state our philosophy for working with  $\mu$ -Kuranishi spaces, but we will not.

## 5.6 Proof of Theorem 5.23

Use the notation of Definition 5.22. To show  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mu\check{\mathbf{K}}\mathbf{ur}} : \text{Ho}(\mathbf{m}\check{\mathbf{K}}\mathbf{ur}) \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}$  is an equivalence of categories, we have to prove three things: that  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mu\check{\mathbf{K}}\mathbf{ur}}$  is faithful (injective on morphisms), and full (surjective on morphisms), and surjective on isomorphism classes of objects.

The proofs of these will involve gluing together 2-morphisms of  $\mathbf{m}$ -Kuranishi neighbourhoods using families of partitions of unity, so we begin by showing that partitions of unity with the properties we need exist.

### 5.6.1 A lemma on partitions of unity on $X$ in $\mu\check{\mathbf{K}}\mathbf{ur}$

Let  $X = (X, \mathcal{I})$  be a  $\mu$ -Kuranishi space, with  $\mathcal{I} = (I, (U_i, D_i, r_i, \chi_i)_{i \in I}, T_{ij} = [U_{ij}, \tau_{ij}, \hat{\tau}_{ij}]_{i, j \in I})$ , as in (5.11). Then  $\{\text{Im } \chi_i : i \in I\}$  is an open cover of  $X$ , with  $\chi_i : r_i^{-1}(0) \rightarrow \text{Im } \chi_i$  a homeomorphism for each  $i \in I$ .

Roughly speaking, we want to define a smooth partition of unity  $\{\eta_i : i \in I\}$  on  $X$  subordinate to  $\{\text{Im } \chi_i : i \in I\}$ , so that  $\eta_i : X \rightarrow \mathbb{R}$  is smooth with  $\eta_i(X) \subseteq [0, 1]$  and  $\sum_{i \in I} \eta_i = 1$ . However,  $X$  is not a manifold, so naïvely ‘ $\eta_i : X \rightarrow \mathbb{R}$  is smooth’ does not make sense.

In fact we will not work with ‘smooth functions’  $\eta_i$  on  $X$  directly, apart from in the proof of Lemma 5.36. Instead, for each  $i \in I$  we want a partition of unity  $\{\eta_{ij} : j \in I\}$  on  $U_i$  in the sense of §3.3.1(d), such that  $\eta_{ij}|_{r_i^{-1}(0)} = \eta_j \circ \chi_i$  for each

$j \in I$ . The fact that  $\eta_{ik} : U_i \rightarrow \mathbb{R}$  and  $\eta_{jk} : U_j \rightarrow \mathbb{R}$  both come from the same  $\eta_k : X \rightarrow \mathbb{R}$  is expressed in the condition  $\eta_{ik} = \eta_{jk} \circ \tau_{ij} + O(r_i)$  on  $U_{ij} \subseteq U_i$  for all  $i, j \in I$ . So our result Lemma 5.36 is stated using only smooth functions on manifolds (objects in  $\mathbf{Man}$ ).

But to prove Lemma 5.36, it is convenient to first choose a ‘smooth partition of unity’  $\{\eta_i : i \in I\}$  on  $X$  subordinate to  $\{\text{Im } \chi_i : i \in I\}$ , so that  $\{\eta_j \circ \chi_i : j \in I\}$  is a partition of unity on  $r_i^{-1}(0) \subseteq U_i$ , and then extend this from  $r_i^{-1}(0)$  to  $U_i$ . To do this we have to interpret  $X$  and  $r_i^{-1}(0)$  as some kind of ‘smooth space’. We do this using  $C^\infty$ -schemes and  $C^\infty$ -algebraic geometry, as in [56, 65], which are the foundation of the author’s theory of d-manifolds and d-orbifolds in [57, 58, 61].

**Lemma 5.36.** *Let  $\mathbf{X} = (X, \mathcal{I})$  be a  $\mu$ -Kuranishi space, with notation (5.11) for  $\mathcal{I}$ , and let  $\mathbb{T}_{ij} = (U_{ij}, \tau_{ij}, \hat{\tau}_{ij})$  represent  $[\mathbb{T}_{ij}]$  for  $i, j \in I$ , with  $(U_{ii}, \tau_{ii}, \hat{\tau}_{ii}) = (U_i, \text{id}_{U_i}, \text{id}_{D_i})$ . Then for all  $i \in I$  we can choose a partition of unity  $\{\eta_{ij} : j \in I\}$  on  $U_i$  subordinate to the open cover  $\{U_{ij} : j \in I\}$  of  $U_i$ , as in §3.3.1(d) and §B.1.4, such that for all  $i, j, k \in I$  we have*

$$\eta_{ik}|_{U_{ij}} = \eta_{jk} \circ \tau_{ij} + O(r_i) \quad \text{on } U_{ij} \subseteq U_i, \quad (5.26)$$

in the sense of Definition 3.15(i).

*Proof.* We use notation and results on  $C^\infty$ -schemes and  $C^\infty$ -algebraic geometry from [65], in which  $C^\infty$ -schemes are written  $\underline{X} = (X, \mathcal{O}_X)$  for  $X$  a topological space and  $\mathcal{O}_X$  a sheaf of  $C^\infty$ -rings on  $X$ , satisfying certain conditions.

For each  $i \in I$ , as in §3.3.1(c) and §B.1.3 the manifold  $U_i$  in  $\mathbf{Man}$  naturally becomes an affine  $C^\infty$ -scheme  $\underline{U}_i$ , and  $r_i^{-1}(0) \subseteq U_i$  becomes the closed  $C^\infty$ -subscheme  $\underline{r}_i^{-1}(0)$  in  $\underline{U}_i$  defined by  $r_i = 0$ . If  $i, j \in I$  and  $(U_{ij}, \tau_{ij}, \hat{\tau}_{ij})$  represents  $\mathbb{T}_{ij}$ , then  $\hat{\tau}_{ij}(r_i|_{U_{ij}}) = \tau_{ij}^*(r_j) + O(r_i^2)$  on  $U_{ij}$  by Definition 4.2(d). This implies that  $\underline{\tau}_{ij} : \underline{U}_{ij} \rightarrow \underline{U}_j$  restricts to an isomorphism of  $C^\infty$ -schemes

$$\underline{\tau}_{ij}|_{\underline{U}_{ij} \cap \underline{r}_i^{-1}(0)} : \underline{U}_{ij} \cap \underline{r}_i^{-1}(0) \rightarrow \underline{U}_{ji} \cap \underline{r}_j^{-1}(0). \quad (5.27)$$

We now have a topological space  $X$ , an open cover  $\{\text{Im } \chi_i : i \in I\}$  on  $X$ ,  $C^\infty$ -schemes  $\underline{r}_i^{-1}(0)$  with underlying topological spaces  $r_i^{-1}(0)$  and homeomorphisms  $\chi_i : r_i^{-1}(0) \rightarrow \text{Im } \chi_i \subseteq X$  for all  $i \in I$ , and isomorphisms of  $C^\infty$ -schemes (5.27) lifting the homeomorphisms  $\chi_j^{-1} \circ \chi_i : U_{ij} \cap r_i^{-1}(0) \rightarrow U_{ji} \cap r_j^{-1}(0)$  over double overlaps  $\text{Im } \chi_i \cap \text{Im } \chi_j \subseteq X$ . From  $\mathbb{T}_{jk} \circ \mathbb{T}_{ij} = \mathbb{T}_{ik}$  in Definition 5.11(f), we deduce that the isomorphisms (5.27) have the obvious composition property  $\underline{\tau}_{jk}|\dots \circ \underline{\tau}_{ij}|\dots = \underline{\tau}_{ik}|\dots$  over triple overlaps  $\text{Im } \chi_i \cap \text{Im } \chi_j \cap \text{Im } \chi_k \subseteq X$ .

Standard results on schemes (actually, just the fact that sheaves of  $C^\infty$ -rings on  $X$  form a stack on  $X$ ) imply that  $X$  may be made into a  $C^\infty$ -scheme  $\underline{X}$ , uniquely up to unique isomorphism, and the homeomorphisms  $\chi_i : r_i^{-1}(0) \rightarrow \text{Im } \chi_i \subseteq X$  upgraded to  $C^\infty$ -scheme morphisms  $\underline{\chi}_i : \underline{r}_i^{-1}(0) \rightarrow \underline{X}$  which are isomorphisms with open  $C^\infty$ -subschemes  $\text{Im } \underline{\chi}_i \subseteq \underline{X}$  for  $i \in I$ , such that

$$\underline{\chi}_j \circ \underline{\tau}_{ij}|_{\underline{U}_{ij} \cap \underline{r}_i^{-1}(0)} = \underline{\chi}_i|_{\underline{U}_{ij} \cap \underline{r}_i^{-1}(0)} \quad \text{for all } i, j \in I. \quad (5.28)$$

Since  $X$  is Hausdorff, second countable, and regular, as in Remark 4.15, [65, Cor. 4.42] implies that  $\underline{X}$  is an affine  $C^\infty$ -scheme, and [65, Th. 4.40] says that  $\mathcal{O}_X$  is *fine*, that is, there exists a locally finite partition of unity in  $\mathcal{O}_X$  subordinate to any open cover of  $\underline{X}$ . Thus we can choose a partition of unity  $\{\eta_i : i \in I\}$  on  $\underline{X}$  subordinate to  $\{\text{Im } \chi_i : i \in I\}$ .

Then for each  $i \in I$ ,  $\{\eta_j \circ \chi_i : j \in I\}$  is a partition of unity on the  $C^\infty$ -scheme  $r_i^{-1}(0)$  subordinate to the open cover  $\{\underline{U}_{ij} \cap r_i^{-1}(0) : j \in J\}$ . From the proof of the existence of partitions of unity on  $C^\infty$ -schemes in [65, §4.7], we see that a partition of unity on  $r_i^{-1}(0) \subseteq \underline{U}_i$  subordinate to  $\{\underline{U}_{ij} \cap r_i^{-1}(0) : j \in J\}$  can be extended to a partition of unity on  $\underline{U}_i$  subordinate to  $\{\underline{U}_{ij} : j \in J\}$ , which is equivalent to a partition of unity on  $U_i$  in the sense of §B.1.4.

Thus, for all  $i \in I$  we can choose a partition of unity  $\{\eta_{ij} : j \in I\}$  on  $U_i$  subordinate to  $\{U_{ij} : j \in I\}$ , such that  $\eta_{ij}|_{r_i^{-1}(0)} = \underline{\eta}_j \circ \chi_i$  for all  $j \in I$ , in the sense of  $C^\infty$ -schemes. If  $i, j, k \in I$  then

$$\eta_{ik}|_{\underline{U}_{ij} \cap r_i^{-1}(0)} = \underline{\eta}_k \circ \chi_i|_{\underline{U}_{ij} \cap r_i^{-1}(0)} = \underline{\eta}_k \circ \chi_j \circ \tau_{ij}|_{\underline{U}_{ij} \cap r_i^{-1}(0)} = \eta_{jk} \circ \tau_{ij}|_{\underline{U}_{ij} \cap r_i^{-1}(0)},$$

using (5.28). But  $f|_{\underline{U}_{ij} \cap r_i^{-1}(0)} = g|_{\underline{U}_{ij} \cap r_i^{-1}(0)}$  for smooth  $f, g : U_{ij} \rightarrow \mathbb{R}$  is equivalent to  $f = g + O(r_i)$  on  $U_{ij}$ , so equation (5.26) follows.  $\square$

### 5.6.2 $F^{\mu\mathbf{K}\text{ur}}_{\mathbf{m}\mathbf{K}\text{ur}}$ is faithful

Let  $\mathbf{f}, \mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  be 1-morphisms in  $\mathbf{m}\mathbf{K}\text{ur}$ , so that  $[\mathbf{f}], [\mathbf{g}] : \mathbf{X} \rightarrow \mathbf{Y}$  are morphisms in  $\text{Ho}(\mathbf{m}\mathbf{K}\text{ur})$ . Write  $\mathbf{X}', \mathbf{Y}', \mathbf{f}', \mathbf{g}'$  for the images of  $\mathbf{X}, \mathbf{Y}, [\mathbf{f}], [\mathbf{g}]$  under  $F^{\mu\mathbf{K}\text{ur}}_{\mathbf{m}\mathbf{K}\text{ur}}$ . Suppose  $\mathbf{f}' = \mathbf{g}'$ . We must show that  $[\mathbf{f}] = [\mathbf{g}]$ , that is, that there exists a 2-morphism  $\mu : \mathbf{f} \Rightarrow \mathbf{g}$  in  $\mathbf{m}\mathbf{K}\text{ur}$ .

Use notation (4.6), (4.7), (4.9) for  $\mathbf{X}, \mathbf{Y}, \mathbf{f}$ , and write  $\mathbf{g} = (g, \mathbf{g}_{ij}, i \in I, j \in J, \mathbf{G}_{ii'}^{jj}, j \in J, \mathbf{G}_{i, i' \in I}^{jj'}, j, j' \in J)$ . Then  $\mathbf{f}' = \mathbf{g}'$  means that  $f = g$ , and  $[\mathbf{f}_{ij}] = [\mathbf{g}_{ij}]$  for all  $i \in I, j \in J$  as morphisms  $(U_i, D_i, r_i, \chi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  of  $\mu$ -Kuranishi neighbourhoods over  $(S, f)$  in the sense of §5.2, where  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j)$ . Hence there exists a 2-morphism  $\lambda_{ij} : \mathbf{f}_{ij} \Rightarrow \mathbf{g}_{ij}$  of m-Kuranishi neighbourhoods over  $(S, f)$  in the sense of §4.1.

We would like  $\lambda = (\lambda_{ij}, i \in I, j \in J) : \mathbf{f} \Rightarrow \mathbf{g}$  to be a 2-morphism of m-Kuranishi spaces, but there is a problem: as the  $\lambda_{ij}$  are chosen arbitrarily, they have no compatibility with the  $\mathbf{F}_{ii'}^j, \mathbf{F}_i^{jj'}, \mathbf{G}_{ii'}^j, \mathbf{G}_i^{jj'}$ , so Definition 4.18(a),(b) may not hold for  $\lambda$ . We will define a modified version  $\mu = (\mu_{ij}, i \in I, j \in J)$  of  $\lambda$  which does have the required compatibility.

For  $i, \tilde{i} \in I$  and  $j, \tilde{j} \in I$ , define  $\lambda_{i\tilde{i}}^{\tilde{j}j}$  to be the horizontal composition of 2-morphisms over  $S = \text{Im } \chi_i \cap \text{Im } \chi_{\tilde{i}} \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{\tilde{j}})$  and  $f : X \rightarrow Y$

$$\mathbf{f}_{ij} \xrightarrow[\text{=} (\mathbf{F}_{i\tilde{i}}^j \circ (\mathbf{F}_{\tilde{i}}^{j\tilde{j}} * \text{id}))^{-1}]^{(\mathbf{F}_{i\tilde{i}}^{\tilde{j}j} \circ (\text{id} * \mathbf{F}_{i\tilde{i}}^j))^{-1}} \Upsilon_{\tilde{j}j} \circ \text{id} * \lambda_{i\tilde{i}} * \text{id} \xrightarrow{\Upsilon_{\tilde{j}j} \circ} \mathbf{g}_{i\tilde{i}j} \circ \text{T}_{i\tilde{i}} \xrightarrow[\text{=} \mathbf{G}_{i\tilde{i}}^j \circ (\mathbf{G}_{\tilde{i}}^{j\tilde{j}} * \text{id})]{\mathbf{G}_{i\tilde{i}}^{\tilde{j}j} \circ (\text{id} * \mathbf{G}_{i\tilde{i}}^j)} \mathbf{g}_{ij}, \quad (5.29)$$

where the alternative expressions for the first and third 2-morphisms come from Definition 4.17(g).

Apply Lemma 5.36 to  $\mathbf{X}' = F^{\mu\mathbf{K}\mathbf{ur}}(\mathbf{X})$ , using  $(U_{i' \tilde{i}}, \tau_{i' \tilde{i}}, \hat{\tau}_{i' \tilde{i}})$  to represent  $\mathbb{T}'_{i' \tilde{i}}$ . This gives a partition of unity  $\{\eta_{i' \tilde{i}} : \tilde{i} \in I\}$  on  $U_i$  subordinate to  $\{U_{i \tilde{i}} : \tilde{i} \in I\}$  for each  $i \in I$ , such that for all  $i, i', \tilde{i} \in I$  we have

$$\eta_{i \tilde{i}}|_{U_{i' \tilde{i}}} = \eta_{i' \tilde{i}} \circ \tau_{i' \tilde{i}} + O(r_i) \quad \text{on } U_{i' \tilde{i}} \subseteq U_i.$$

Similarly, applying Lemma 5.36 to  $\mathbf{Y}' = F^{\mu\mathbf{K}\mathbf{ur}}(\mathbf{Y})$  gives a partition of unity  $\{\zeta_{j \tilde{j}} : \tilde{j} \in J\}$  on  $V_j$  subordinate to  $\{V_{j \tilde{j}} : \tilde{j} \in J\}$  for each  $j \in J$ , such that for all  $j, j', \tilde{j} \in J$  we have

$$\zeta_{j \tilde{j}}|_{V_{j' \tilde{j}}} = \zeta_{j' \tilde{j}} \circ v_{j' \tilde{j}} + O(s_j) \quad \text{on } V_{j' \tilde{j}} \subseteq V_j.$$

Now, using the notation of (5.6) in Definition 5.4, for  $i \in I$  and  $j \in J$  define a 2-morphism  $\mu_{ij} : \mathbf{f}_{ij} \Rightarrow \mathbf{g}_{ij}$  over  $(S, f)$  with  $\mathbf{f}_{ij} = (V_{ij}, f_{ij}, \hat{f}_{ij})$  by

$$\mu_{ij} = \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i \tilde{i}} \cdot f_{ij}^*(\zeta_{j \tilde{j}}) \cdot \lambda_{i \tilde{i}}^{\tilde{j} \tilde{j}}. \quad (5.30)$$

We will show that  $\mu = (\mu_{ij}, i \in I, j \in J) : \mathbf{f} \Rightarrow \mathbf{g}$  is a 2-morphism in  $\mathbf{mK}\mathbf{ur}$ . For  $i, i', \tilde{i} \in I$  and  $j, \tilde{j} \in J$  consider the diagram

$$\begin{array}{ccc}
\mathbf{f}_{i' j} \circ \mathbb{T}_{i' \tilde{i}} & \xrightarrow{\quad \quad \quad} & \mathbf{f}_{ij} \\
\downarrow \lambda_{i' \tilde{i}}^{\tilde{j} \tilde{j}} * \text{id} & \begin{array}{c} \xleftarrow{\mathbf{F}_{i' \tilde{i}}^j * \text{id}} \\ \xrightarrow{\mathbf{F}_{i' \tilde{i}}^j} \\ \xrightarrow{\text{id} * \mathbf{K}_{i' \tilde{i}}} \\ \xrightarrow{\mathbf{F}_{i' \tilde{i}}^{\tilde{j} \tilde{j}} * \text{id}} \\ \xrightarrow{\Upsilon_{\tilde{j} \tilde{j}} \circ \mathbf{f}_{i' \tilde{i}} \circ \mathbb{T}_{i' \tilde{i}}} \\ \xrightarrow{\Upsilon_{\tilde{j} \tilde{j}} \circ \mathbf{g}_{i' \tilde{i}} \circ \mathbb{T}_{i' \tilde{i}}} \\ \xrightarrow{\mathbf{G}_{i' \tilde{i}}^j * \text{id}} \end{array} & \begin{array}{c} \xrightarrow{\mathbf{F}_{i \tilde{i}}^j} \\ \xrightarrow{\mathbf{f}_{ij} \circ \mathbb{T}_{i \tilde{i}}} \\ \xrightarrow{\Upsilon_{\tilde{j} \tilde{j}} \circ \mathbf{f}_{i \tilde{i}} \circ \mathbb{T}_{i \tilde{i}}} \\ \xrightarrow{\Upsilon_{\tilde{j} \tilde{j}} \circ \mathbf{g}_{i \tilde{i}} \circ \mathbb{T}_{i \tilde{i}}} \\ \xrightarrow{\mathbf{G}_{i \tilde{i}}^j} \end{array} \\
\mathbf{g}_{i' j} \circ \mathbb{T}_{i' \tilde{i}} & \xrightarrow{\quad \quad \quad} & \mathbf{g}_{ij} \\
\downarrow \lambda_{i' \tilde{i}}^{\tilde{j} \tilde{j}} & \begin{array}{c} \xleftarrow{\mathbf{G}_{i' \tilde{i}}^j * \text{id}} \\ \xrightarrow{\mathbf{G}_{i' \tilde{i}}^j} \\ \xrightarrow{\text{id} * \mathbf{K}_{i' \tilde{i}}} \\ \xrightarrow{\mathbf{G}_{i' \tilde{i}}^{\tilde{j} \tilde{j}} * \text{id}} \\ \xrightarrow{\Upsilon_{\tilde{j} \tilde{j}} \circ \mathbf{f}_{i' \tilde{i}} \circ \mathbb{T}_{i' \tilde{i}}} \\ \xrightarrow{\Upsilon_{\tilde{j} \tilde{j}} \circ \mathbf{g}_{i' \tilde{i}} \circ \mathbb{T}_{i' \tilde{i}}} \\ \xrightarrow{\mathbf{G}_{i' \tilde{i}}^j * \text{id}} \end{array} & \begin{array}{c} \xrightarrow{\mathbf{G}_{i \tilde{i}}^j} \\ \xrightarrow{\mathbf{g}_{ij} \circ \mathbb{T}_{i \tilde{i}}} \\ \xrightarrow{\Upsilon_{\tilde{j} \tilde{j}} \circ \mathbf{f}_{i \tilde{i}} \circ \mathbb{T}_{i \tilde{i}}} \\ \xrightarrow{\Upsilon_{\tilde{j} \tilde{j}} \circ \mathbf{g}_{i \tilde{i}} \circ \mathbb{T}_{i \tilde{i}}} \\ \xrightarrow{\mathbf{G}_{i \tilde{i}}^{\tilde{j} \tilde{j}} * \text{id}} \end{array}
\end{array} \quad (5.31)$$

Here the hexagons commute by the definition (5.29) of  $\lambda_{i \tilde{i}}^{\tilde{j} \tilde{j}}$ , the top and bottom quadrilaterals by Definition 4.17(f) for  $\mathbf{f}, \mathbf{g}$ , and the central rectangles by compatibility of horizontal and vertical composition. Thus (5.31) commutes.

We now have

$$\begin{aligned}
\mathbf{G}_{i' \tilde{i}}^j \circ (\mu_{ij} * \text{id}) &= \mathbf{G}_{i' \tilde{i}}^j \circ (\sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i' \tilde{i}} \cdot f_{ij}^*(\zeta_{j \tilde{j}}) \cdot \lambda_{i' \tilde{i}}^{\tilde{j} \tilde{j}}) * \text{id} \\
&= \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \tau_{i' \tilde{i}}^*(\eta_{i' \tilde{i}}) \cdot (f_{i' j} \circ \tau_{i' \tilde{i}})^*(\zeta_{j \tilde{j}}) \cdot \mathbf{G}_{i' \tilde{i}}^j \circ (\lambda_{i' \tilde{i}}^{\tilde{j} \tilde{j}} * \text{id}) \\
&= \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i \tilde{i}} \cdot (f_{i' j} \circ \tau_{i' \tilde{i}})^*(\zeta_{j \tilde{j}}) \cdot (\lambda_{i \tilde{i}}^{\tilde{j} \tilde{j}} \circ \mathbf{F}_{i' \tilde{i}}^j) \\
&= (\sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i \tilde{i}} \cdot f_{ij}^*(\zeta_{j \tilde{j}}) \cdot \lambda_{i \tilde{i}}^{\tilde{j} \tilde{j}}) \circ \mathbf{F}_{i' \tilde{i}}^j = \mu_{ij} \circ \mathbf{F}_{i' \tilde{i}}^j,
\end{aligned}$$

where the first and fifth steps use (5.30), and the third uses (5.26), (5.31), and the fact that  $\mu_{ij}$  in (5.30) only depends on  $\eta_{i\tilde{i}}$  up to  $O(r_i)$ . This proves Definition 4.18(a) for  $\mu$ , and part (b) is similar. Hence  $\mu : \mathbf{f} \Rightarrow \mathbf{g}$  is a 2-morphism, so  $[\mathbf{f}] = [\mathbf{g}]$  as morphisms in  $\text{Ho}(\mathbf{m}\check{\mathbf{K}}\mathbf{ur})$ , and  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mu\check{\mathbf{K}}\mathbf{ur}}$  is faithful, as we want.

### 5.6.3 $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mu\check{\mathbf{K}}\mathbf{ur}}$ is full

Let  $\mathbf{X}, \mathbf{Y}$  be objects in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , and write  $\mathbf{X}' = F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mu\check{\mathbf{K}}\mathbf{ur}}(\mathbf{X})$ ,  $\mathbf{Y}' = F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mu\check{\mathbf{K}}\mathbf{ur}}(\mathbf{Y})$ . Suppose  $\mathbf{f}' : \mathbf{X}' \rightarrow \mathbf{Y}'$  is a morphism in  $\mu\check{\mathbf{K}}\mathbf{ur}$ . We must show that there exists a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  with  $F_{\mathbf{m}\check{\mathbf{K}}\mathbf{ur}}^{\mu\check{\mathbf{K}}\mathbf{ur}}([\mathbf{f}]) = \mathbf{f}'$ .

Use notation (4.6)–(4.7) for  $\mathbf{X}, \mathbf{Y}$ , as in §5.3 write  $\mathbf{f}' = (f, [f_{ij}]_{i \in I, j \in J})$ , and let  $\mathbf{f}_{ij} : (U_i, D_i, r_i, \chi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be a 1-morphism of m-Kuranishi neighbourhoods representing  $[f_{ij}]$  for all  $i \in I$  and  $j \in J$ . Then Definition 5.13(a),(b) for  $\mathbf{f}'$  imply that  $[f_{\tilde{i}j}] \circ [T_{i\tilde{i}}] = [f_{ij}]$  and  $[Y_{\tilde{j}j}] \circ [f_{ij}] = [f_{i\tilde{j}}]$  for all  $i, \tilde{i} \in I$  and  $j, \tilde{j} \in J$ , so that  $[Y_{\tilde{j}j}] \circ [f_{i\tilde{j}}] \circ [T_{i\tilde{i}}] = [Y_{\tilde{j}j}] \circ [f_{ij}] \circ [T_{i\tilde{i}}] = [f_{ij}]$ . Hence we may choose 2-morphisms of m-Kuranishi neighbourhoods over  $f$

$$\lambda_{i\tilde{i}}^{\tilde{j}j} : Y_{\tilde{j}j} \circ f_{i\tilde{j}} \circ T_{i\tilde{i}} \Longrightarrow f_{ij}$$

for all  $i, \tilde{i} \in I$  and  $j, \tilde{j} \in J$ . For  $i, i', \tilde{i} \in I$  and  $j, j', \tilde{j} \in J$ , define 2-morphisms  $\mathbf{F}_{i i'(\tilde{i})}^{j(\tilde{j})} : \mathbf{f}_{i'j} \circ T_{i i'} \Rightarrow \mathbf{f}_{ij}$  over  $(S, f)$  for  $S = \text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap \text{Im } \chi_{\tilde{i}} \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{\tilde{j}})$  and  $\mathbf{F}_{i(\tilde{i})}^{j j'(\tilde{j})} : Y_{j j'} \circ \mathbf{f}_{ij} \Rightarrow \mathbf{f}_{i j'}$  over  $(S, f)$  for  $S = \text{Im } \chi_i \cap \text{Im } \chi_{\tilde{i}} \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'} \cap \text{Im } \psi_{\tilde{j}})$  by the commutative diagrams

$$\begin{array}{ccc} \mathbf{f}_{i'j} \circ T_{i i'} & \xrightarrow{\hspace{10em}} & \mathbf{f}_{ij} \\ \downarrow (\lambda_{i' \tilde{i}}^{\tilde{j}j})^{-1} * \text{id}_{T_{i i'}} & \mathbf{F}_{i i'(\tilde{i})}^{j(\tilde{j})} & \lambda_{i\tilde{i}}^{\tilde{j}j} \uparrow \\ Y_{\tilde{j}j} \circ \mathbf{f}_{i\tilde{j}} \circ T_{i' \tilde{i}} \circ T_{i i'} & \xrightarrow{\text{id}_{Y_{\tilde{j}j}} \circ \mathbf{f}_{i\tilde{j}} * \mathbf{K}_{i i' \tilde{i}}} & Y_{\tilde{j}j} \circ \mathbf{f}_{i\tilde{j}} \circ T_{i\tilde{i}}, \\ \\ Y_{j j'} \circ \mathbf{f}_{ij} & \xrightarrow{\hspace{10em}} & \mathbf{f}_{i j'} \\ \downarrow \text{id}_{Y_{j j'}} * (\lambda_{i\tilde{i}}^{\tilde{j}j'})^{-1} & \mathbf{F}_{i(\tilde{i})}^{j j'(\tilde{j})} & \lambda_{i\tilde{i}}^{\tilde{j}j'} \uparrow \\ Y_{j j'} \circ Y_{\tilde{j}j} \circ \mathbf{f}_{i\tilde{j}} \circ T_{i\tilde{i}} & \xrightarrow{\Lambda_{j j j'} * \text{id}_{\mathbf{f}_{i\tilde{j}} \circ T_{i\tilde{i}}}} & Y_{j j'} \circ \mathbf{f}_{i\tilde{j}} \circ T_{i\tilde{i}}. \end{array} \quad (5.32)$$

Apply Lemma 5.36 to  $\mathbf{X}'$ , using  $T_{ij} = (U_{i i'}, \tau_{i i'}, \hat{\tau}_{i i'})$  from  $\mathbf{X}$  to represent  $[T_{ij}]$ . This gives a partition of unity  $\{\eta_{i\tilde{i}} : \tilde{i} \in I\}$  on  $U_i$  subordinate to  $\{U_{i\tilde{i}} : \tilde{i} \in I\}$  for each  $i \in I$  satisfying (5.26). Similarly, applying Lemma 5.36 to  $\mathbf{Y}'$  gives a partition of unity  $\{\zeta_{j\tilde{j}} : \tilde{j} \in J\}$  on  $V_j$  subordinate to  $\{V_{j\tilde{j}} : \tilde{j} \in J\}$  for  $j \in J$ .

As in (5.30), using the notation of (5.6) in Definition 5.4, for  $i, i' \in I$  and  $j, j' \in J$  define 2-morphisms  $\mathbf{F}_{i i'}^j : \mathbf{f}_{i'j} \circ T_{i i'} \Rightarrow \mathbf{f}_{ij}$  over  $(S, f)$  for  $S = \text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j)$ , and  $\mathbf{F}_i^{j j'} : Y_{j j'} \circ \mathbf{f}_{ij} \Rightarrow \mathbf{f}_{i j'}$  over  $(S, f)$  for  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'})$  by

$$\begin{aligned} \mathbf{F}_{i i'}^j &= \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i\tilde{i}} \cdot f_{ij}^*(\zeta_{j\tilde{j}}) \cdot \mathbf{F}_{i i'(\tilde{i})}^{j(\tilde{j})}, \\ \mathbf{F}_i^{j j'} &= \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i\tilde{i}} \cdot f_{i j'}^*(\zeta_{j'\tilde{j}}) \cdot \mathbf{F}_{i(\tilde{i})}^{j j'(\tilde{j})}. \end{aligned} \quad (5.33)$$



We now claim that  $\mathbf{f} = (f, \mathbf{f}_{ij}, i \in I, j \in J, \mathbf{F}_{i'i', i, i' \in I}^j, j \in J, \mathbf{F}_{i, i \in I}^{jj'}, j, j' \in J)$  is a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ . We must verify Definition 4.17(a)–(h). Parts (a)–(d) are immediate. For (e), if  $i = i'$  then  $\mathbf{F}_{ii(i)}^{j(\tilde{j})}$  in (5.32) is  $\text{id}_{\mathbf{f}_{ij}}$ , giving  $\mathbf{F}_{ii}^j = \text{id}_{\mathbf{f}_{ij}}$  in (5.33). Similarly  $\mathbf{F}_i^{jj} = \text{id}_{\mathbf{f}_{ij}}$ , proving Definition 4.17(e).

To prove part (f), let  $\tilde{i} \in I, \tilde{j} \in J$  and consider the diagram

$$\begin{array}{ccc}
\mathbf{f}_{i''j} \circ \mathbb{T}_{i'i''} \circ \mathbb{T}_{ii'} & \xrightarrow{\mathbf{F}_{i'i''(\tilde{i})}^{j(\tilde{j})} * \text{id}} & \mathbf{f}_{i'j} \circ \mathbb{T}_{ii'} \\
\downarrow \text{id} * \mathbf{K}_{i'i''} & \swarrow \lambda_{i'i''\tilde{i}}^{j\tilde{j}} * \text{id} & \searrow \lambda_{i'\tilde{i}}^{j\tilde{j}} * \text{id} \\
\Upsilon_{\tilde{j}\tilde{j}} \circ \mathbf{f}_{i\tilde{j}} \circ \mathbb{T}_{i''\tilde{i}} \circ \mathbb{T}_{i'i''} \circ \mathbb{T}_{ii'} & \xrightarrow{\text{id} * \mathbf{K}_{i'i''\tilde{i}} * \text{id}} & \Upsilon_{\tilde{j}\tilde{j}} \circ \mathbf{f}_{i\tilde{j}} \circ \mathbb{T}_{i'\tilde{i}} \circ \mathbb{T}_{ii'} \\
\downarrow \text{id} * \mathbf{K}_{i'i''} & \swarrow \text{id} * \mathbf{K}_{i'i''\tilde{i}} & \searrow \text{id} * \mathbf{K}_{i'i'\tilde{i}} \\
\Upsilon_{\tilde{j}\tilde{j}} \circ \mathbf{f}_{i\tilde{j}} \circ \mathbb{T}_{i''\tilde{i}} \circ \mathbb{T}_{ii''} & \xrightarrow{\text{id} * \mathbf{K}_{i'i''\tilde{i}}} & \Upsilon_{\tilde{j}\tilde{j}} \circ \mathbf{f}_{i\tilde{j}} \circ \mathbb{T}_{i\tilde{i}} \\
\downarrow \text{id} * \mathbf{K}_{i'i''} & \swarrow \lambda_{i'i''\tilde{i}}^{j\tilde{j}} * \text{id} & \searrow \lambda_{i\tilde{i}}^{j\tilde{j}} \\
\mathbf{f}_{i''j} \circ \mathbb{T}_{ii''} & \xrightarrow{\mathbf{F}_{i'i''(\tilde{i})}^{j(\tilde{j})}} & \mathbf{f}_{ij}
\end{array} \quad (5.34)$$

Here the top, bottom and right quadrilaterals commute by (5.32), the central rectangle by Definition 4.14(h) for  $\mathbf{X}$ , and the left quadrilateral by compatibility of horizontal and vertical composition. Thus (5.34) commutes.

We now have

$$\begin{aligned}
\mathbf{F}_{i''}^j \odot (\text{id}_{\mathbf{f}_{i''j}} * \mathbf{K}_{i'i''}) &= \left( \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i\tilde{i}} \cdot f_{i\tilde{j}}^*(\zeta_{\tilde{j}\tilde{j}}) \cdot \mathbf{F}_{i'i''(\tilde{i})}^{j(\tilde{j})} \right) \odot (\text{id}_{\mathbf{f}_{i''j}} * \mathbf{K}_{i'i''}) \\
&= \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i\tilde{i}} \cdot f_{i\tilde{j}}^*(\zeta_{\tilde{j}\tilde{j}}) \cdot (\mathbf{F}_{i'i''(\tilde{i})}^{j(\tilde{j})} \odot (\text{id}_{\mathbf{f}_{i''j}} * \mathbf{K}_{i'i''})) \\
&= \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i\tilde{i}} \cdot f_{i\tilde{j}}^*(\zeta_{\tilde{j}\tilde{j}}) \cdot (\mathbf{F}_{i'i''(\tilde{i})}^{j(\tilde{j})} \odot (\mathbf{F}_{i'i''(\tilde{i})}^{j(\tilde{j})} * \text{id}_{\mathbb{T}_{ii''}})) \\
&= \left( \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i\tilde{i}} \cdot f_{i\tilde{j}}^*(\zeta_{\tilde{j}\tilde{j}}) \cdot \mathbf{F}_{i'i''(\tilde{i})}^{j(\tilde{j})} \right) \odot \left( \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i\tilde{i}} \cdot f_{i\tilde{j}}^*(\zeta_{\tilde{j}\tilde{j}}) \cdot (\mathbf{F}_{i'i''(\tilde{i})}^{j(\tilde{j})} * \text{id}_{\mathbb{T}_{ii''}}) \right) \\
&= \mathbf{F}_{i''}^j \odot \left( \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \tau_{i\tilde{i}}^*(\eta_{i\tilde{i}}) \cdot (f_{i'j} \circ \tau_{ii'})^*(\zeta_{\tilde{j}\tilde{j}}) \cdot (\mathbf{F}_{i'i''(\tilde{i})}^{j(\tilde{j})} * \text{id}_{\mathbb{T}_{ii''}}) \right) \\
&= \mathbf{F}_{i''}^j \odot \left( \left( \sum_{\tilde{i} \in I} \sum_{\tilde{j} \in J} \eta_{i'\tilde{i}} \cdot f_{i'j}^*(\zeta_{\tilde{j}\tilde{j}}) \cdot \mathbf{F}_{i'i''(\tilde{i})}^{j(\tilde{j})} \right) * \text{id}_{\mathbb{T}_{ii''}} \right) = \mathbf{F}_{i''}^j \odot (\mathbf{F}_{i'i''}^j * \text{id}_{\mathbb{T}_{ii''}}).
\end{aligned} \quad (5.35)$$

Here we use (5.33) in the first and seventh steps, and (5.34) in the third. In the fourth step, it may be surprising that one sum  $\sum_{\tilde{i}} \sum_{\tilde{j}}$  turns into two sums composed with  $\odot$ . This is because  $\odot$  in Definition 4.5 is basically an operation of addition, not multiplication, so sums (5.6) are distributive over  $\odot$ . In the fifth step we use (5.26) for the  $\eta_{i\tilde{i}}$ , and (5.33), and  $f_{i'j} \circ \tau_{ii'} = f_{ij} + O(r_i)$ , and the fact that  $\mathbf{F}_{i''}^j$  in (5.33) only depends on  $\eta_{i\tilde{i}}, f_{i\tilde{j}}^*(\zeta_{\tilde{j}\tilde{j}})$  up to  $O(r_i)$ .

Equation (5.35) proves Definition 4.17(f) for  $\mathbf{f}$ . Parts (g),(h) are similar. Hence  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is a 1-morphism in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ . By construction  $F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\mu\dot{\mathbf{K}}\mathbf{ur}}([\mathbf{f}]) = \mathbf{f}'$ , so  $F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\mu\dot{\mathbf{K}}\mathbf{ur}}$  is full, as we have to prove.

#### 5.6.4 $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mu\mathbf{K}\mathbf{ur}}$ is surjective on isomorphism classes

Let  $\mathbf{X}' = (X, \mathcal{K}')$  be a  $\mu$ -Kuranishi space, with  $\mathcal{K}' = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, [\Phi_{ij}]_{i, j \in I})$ . To show  $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mu\mathbf{K}\mathbf{ur}}$  is surjective on isomorphism classes, we must construct an object  $\mathbf{X}$  in  $\mathbf{m}\mathbf{K}\mathbf{ur}$  with  $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mu\mathbf{K}\mathbf{ur}}(\mathbf{X}) \cong \mathbf{X}'$  in  $\mu\mathbf{K}\mathbf{ur}$ . Actually we will arrange that  $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mu\mathbf{K}\mathbf{ur}}(\mathbf{X}) = \mathbf{X}'$ .

Then  $(V_i, E_i, s_i, \psi_i)$  is an m-Kuranishi neighbourhood on  $X$  for  $i \in I$ . Choose a representative  $\Phi_{ij}$  for  $[\Phi_{ij}]$  for  $i, j \in I$ , where as  $[\Phi_{ii}] = [\text{id}_{(V_i, E_i, s_i, \psi_i)}]$  we take  $\Phi_{ii} = \text{id}_{(V_i, E_i, s_i, \psi_i)}$ . As  $[\Phi_{jk}] \circ [\Phi_{ij}] = [\Phi_{ik}]$  for  $i, j, k \in I$  by Definition 5.11(f) for  $\mathbf{X}'$ , there exists a 2-morphism of m-Kuranishi neighbourhoods

$$K_{ijk} : \Phi_{jk} \circ \Phi_{ij} \Longrightarrow \Phi_{ik}$$

over  $S = \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$ , where as  $\Phi_{ii}, \Phi_{jj}$  are identities we choose  $K_{iij} = K_{ijj} = \text{id}_{\Phi_{ij}}$  for  $i, j \in I$ . Therefore  $K_{iji} : \Phi_{ji} \circ \Phi_{ij} \Rightarrow \text{id}_{(V_i, E_i, s_i, \psi_i)}$ ,  $K_{jji} : \Phi_{ij} \circ \Phi_{ji} \Rightarrow \text{id}_{(V_j, E_j, s_j, \psi_j)}$  imply that  $\Phi_{ij}$  is an equivalence in  $\mathbf{KN}_S(X)$  for  $S = \text{Im } \psi_i \cap \text{Im } \psi_j$ , and so a coordinate change over  $S$ , for all  $i, j \in I$ .

Let  $\tilde{i}, i, j, k \in I$ . Then Lemma A.6 in the 2-category  $\mathbf{KN}_S(X)$  and  $\Phi_{ii}$  an equivalence implies that there is a unique 2-morphism

$$K_{ijk}^{(\tilde{i})} : \Phi_{jk} \circ \Phi_{ij} \Longrightarrow \Phi_{ik}$$

over  $S = \text{Im } \psi_{\tilde{i}} \cap \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$  making the following diagram commute:

$$\begin{array}{ccc} \Phi_{jk} \circ \Phi_{ij} \circ \Phi_{\tilde{i}\tilde{i}} & \xrightarrow{\hspace{10em}} & \Phi_{ik} \circ \Phi_{\tilde{i}\tilde{i}} \\ \downarrow \text{id}_{\Phi_{jk}} * K_{\tilde{i}\tilde{i}j} & \begin{array}{c} \xrightarrow{K_{ijk}^{(\tilde{i})} * \text{id}_{\Phi_{\tilde{i}\tilde{i}}} \\ \xrightarrow{K_{\tilde{i}jk}} \end{array} & \begin{array}{c} \xrightarrow{K_{\tilde{i}ik}^{-1}} \\ \uparrow \end{array} \\ \Phi_{jk} \circ \Phi_{\tilde{i}j} & \xrightarrow{\hspace{10em}} & \Phi_{ik} \end{array} \quad (5.36)$$

Apply Lemma 5.36 to  $\mathbf{X}'$ , using  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  to represent  $[\Phi_{ij}]$ . This gives a partition of unity  $\{\eta_{\tilde{i}\tilde{i}} : \tilde{i} \in I\}$  on  $V_i$  subordinate to  $\{V_{\tilde{i}\tilde{i}} : \tilde{i} \in I\}$  for each  $i \in I$ , satisfying (5.26). As in (5.30) and (5.33), using the notation of (5.6) in Definition 5.4, for all  $i, j, k \in I$  define a 2-morphism  $\Lambda_{ijk} : \Phi_{jk} \circ \Phi_{ij} \Rightarrow \Phi_{ik}$  over  $S = \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$  by

$$\Lambda_{ijk} = \sum_{\tilde{i} \in I} \eta_{\tilde{i}\tilde{i}} \cdot K_{ijk}^{(\tilde{i})}. \quad (5.37)$$

Define  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ . We will show that  $\mathbf{X} = (X, \mathcal{K})$  is an m-Kuranishi space with  $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mu\mathbf{K}\mathbf{ur}}(\mathbf{X}) = \mathbf{X}'$ . Definition 4.14(a)–(f) for  $\mathcal{K}$  are immediate. For (g), as  $K_{iij} = K_{ijj} = \text{id}_{\Phi_{ij}}$ , equation (5.36) implies that  $K_{iij}^{(\tilde{i})} = K_{ijj}^{(\tilde{i})} = \text{id}_{\Phi_{ij}}$ , so (5.37) gives  $\Lambda_{iij} = \Lambda_{ijj} = \text{id}_{\Phi_{ij}}$ , as we want.

To prove Definition 4.14(h) for  $\mathcal{K}$ , let  $\bar{i}, i, j, k, l \in I$ , and consider the diagram

$$\begin{array}{ccc}
\Phi_{kl} \circ \Phi_{jk} \circ \Phi_{ij} \circ \Phi_{\bar{i}i} & \xrightarrow{\quad K_{jkl}^{(\bar{i})} * \text{id} \quad} & \Phi_{jl} \circ \Phi_{ij} \circ \Phi_{\bar{i}i} \\
\downarrow \text{id} * K_{ijk}^{(\bar{i})} * \text{id} & \begin{array}{c} \searrow \text{id} * K_{\bar{i}ij} \\ \downarrow \text{id} * K_{\bar{i}jk} \\ \swarrow \text{id} * K_{\bar{i}ik} \end{array} & \downarrow \text{id} * K_{\bar{i}ij} \\
\Phi_{kl} \circ \Phi_{jk} \circ \Phi_{\bar{i}j} & \xrightarrow{\quad K_{jkl}^{(\bar{i})} * \text{id} \quad} & \Phi_{jl} \circ \Phi_{\bar{i}j} \\
\downarrow \text{id} * K_{\bar{i}ik} & \downarrow \text{id} * K_{\bar{i}jk} & \downarrow K_{\bar{i}jl} \\
\Phi_{kl} \circ \Phi_{\bar{i}k} & \xrightarrow{\quad K_{\bar{i}kl} \quad} & \Phi_{\bar{i}l} \\
\downarrow \text{id} * K_{\bar{i}ik} & \downarrow \text{id} * K_{\bar{i}jk} & \downarrow K_{\bar{i}il} \\
\Phi_{kl} \circ \Phi_{ik} \circ \Phi_{\bar{i}i} & \xrightarrow{\quad K_{ikl}^{(\bar{i})} * \text{id} \quad} & \Phi_{il} \circ \Phi_{\bar{i}i}
\end{array} \quad (5.38)$$

over  $S = \text{Im } \psi_{\bar{i}} \cap \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k \cap \text{Im } \psi_l$ . Here the top quadrilateral commutes by compatibility of horizontal and vertical composition, and the other four quadrilaterals commute by (5.36). Hence (5.38) commutes.

Applying Lemma A.6 to the outer rectangle of (5.38) and using  $\Phi_{\bar{i}i}$  an equivalence shows that over  $S = \text{Im } \psi_{\bar{i}} \cap \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k \cap \text{Im } \psi_l$  we have

$$K_{ikl}^{(\bar{i})} \odot (\text{id}_{\Phi_{kl}} * K_{ijk}^{(\bar{i})}) = K_{ijl}^{(\bar{i})} \odot (K_{jkl}^{(\bar{i})} * \text{id}_{\Phi_{ij}}) : \Phi_{kl} \circ \Phi_{jk} \circ \Phi_{ij} \implies \Phi_{il}. \quad (5.39)$$

Now

$$\begin{aligned}
\Lambda_{ikl} \odot (\text{id}_{\Phi_{kl}} * \Lambda_{ijk}) &= \left( \sum_{\bar{i} \in I} \eta_{\bar{i}i} \cdot K_{ikl}^{(\bar{i})} \right) \odot \left( \text{id}_{\Phi_{kl}} * \left( \sum_{\bar{i} \in I} \eta_{\bar{i}i} \cdot K_{ijk}^{(\bar{i})} \right) \right) \\
&= \sum_{\bar{i} \in I} \eta_{\bar{i}i} \cdot \left( K_{ikl}^{(\bar{i})} \odot (\text{id}_{\Phi_{kl}} * K_{ijk}^{(\bar{i})}) \right) = \sum_{\bar{i} \in I} \eta_{\bar{i}i} \cdot \left( K_{ijl}^{(\bar{i})} \odot (K_{jkl}^{(\bar{i})} * \text{id}_{\Phi_{ij}}) \right) \\
&= \left( \sum_{\bar{i} \in I} \eta_{\bar{i}i} \cdot K_{ijl}^{(\bar{i})} \right) \odot \left( \sum_{\bar{i} \in I} \eta_{\bar{i}i} \cdot (K_{jkl}^{(\bar{i})} * \text{id}_{\Phi_{ij}}) \right) \\
&= \Lambda_{ijl} \odot \left( \sum_{\bar{i} \in I} \phi_{ij}^* (\eta_{\bar{i}i}) \cdot (K_{jkl}^{(\bar{i})} * \text{id}_{\Phi_{ij}}) \right) \\
&= \Lambda_{ijl} \odot \left( \left( \sum_{\bar{i} \in I} \eta_{\bar{i}i} \cdot K_{jkl}^{(\bar{i})} \right) * \text{id}_{\Phi_{ij}} \right) = \Lambda_{ijl} \odot (\Lambda_{jkl} * \text{id}_{\Phi_{ij}}).
\end{aligned} \quad (5.40)$$

Here we use (5.37) in the first and seventh steps, and (5.39) in the third. In the second and fourth steps we use the fact that sums (5.6) are distributive over  $\odot$ , as in the proof of (5.35). In the fifth step we use (5.37), and (5.26) for the  $\eta_{\bar{i}i}$ , and the fact that  $\Lambda_{ijk}$  in (5.37) only depends on  $\eta_{\bar{i}i}$  up to  $O(s_i)$ .

Equation (5.40) proves Definition 4.14(h) for  $\mathcal{K}$ . Hence  $\mathbf{X} = (X, \mathcal{K})$  is an m-Kuranishi space. By construction  $F_{\text{mKur}}^{\mu \text{Kur}}(\mathbf{X}) = \mathbf{X}'$ . Therefore  $F_{\text{mKur}}^{\mu \text{Kur}}$  is surjective on isomorphism classes. This completes the proof of Theorem 5.23.

## Chapter 6

# Kuranishi spaces, and orbifolds

Throughout this chapter we suppose we are given a category  $\dot{\mathbf{Man}}$  satisfying Assumptions 3.1–3.7 in §3.1 (though defining the 2-category of orbifolds  $\mathbf{Orb}$  in §6.6 only needs Assumptions 3.1–3.3). As in Chapter 4, we will usually refer to objects  $X \in \dot{\mathbf{Man}}$  as ‘manifolds’, and morphisms  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  as ‘smooth maps’. We will call objects  $X$  in  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$  ‘classical manifolds’, and call morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$  ‘classical smooth maps’.

Classical orbifolds  $\mathfrak{X}$  are generalizations of classical manifolds which are locally modelled on  $\mathbb{R}^n/\Gamma$  for  $\Gamma$  a finite group acting linearly on  $\mathbb{R}^n$ . Kuranishi spaces are an orbifold version of m-Kuranishi spaces in Chapter 4, and as in §4.8 should be regarded as ‘derived orbifolds’. From the category  $\dot{\mathbf{Man}}$  we will construct a weak 2-category of ‘Kuranishi spaces’  $\dot{\mathbf{Kur}}$ , with a full and faithful embedding  $\mathbf{mKur} \hookrightarrow \dot{\mathbf{Kur}}$  of  $\mathbf{mKur}$  from §4.3.

Sections 6.1–6.4 follow §4.1–§4.7 closely, but including extra finite groups  $\Gamma_i$  throughout. Section 6.5 discusses isotropy groups, and §6.6 relates orbifolds and Kuranishi spaces. The proof of Theorem 6.16 is deferred until §6.7.

### 6.1 The weak 2-category of Kuranishi neighbourhoods

The next seven definitions are the orbifold analogues of Definitions 4.1–4.6:

**Definition 6.1.** Let  $X$  be a topological space. A *Kuranishi neighbourhood* on  $X$  is a quintuple  $(V, E, \Gamma, s, \psi)$  such that:

- (a)  $V$  is a manifold (object in  $\dot{\mathbf{Man}}$ ). We allow  $V = \emptyset$ .
- (b)  $\pi : E \rightarrow V$  is a vector bundle over  $V$ , called the *obstruction bundle*.
- (c)  $\Gamma$  is a finite group with a smooth action on  $V$  (that is, an action by isomorphisms in  $\dot{\mathbf{Man}}$ ), and a compatible action on  $E$  preserving the vector bundle structure. We do not assume the  $\Gamma$ -actions are effective.
- (d)  $s : V \rightarrow E$  is a  $\Gamma$ -equivariant smooth section of  $E$ , called the *Kuranishi section*.

- (e)  $\psi$  is a homeomorphism from  $s^{-1}(0)/\Gamma$  to an open subset  $\text{Im } \psi = \{\psi(\Gamma v) : v \in s^{-1}(0)\}$  in  $X$ , called the *footprint* of  $(V, E, \Gamma, s, \psi)$ .

We will write  $\bar{\psi} : s^{-1}(0) \rightarrow \text{Im } \psi \subseteq X$  for the composition of  $\psi$  with the projection  $s^{-1}(0) \rightarrow s^{-1}(0)/\Gamma$ .

**Definition 6.2.** Let  $X, Y$  be topological spaces,  $f : X \rightarrow Y$  a continuous map,  $(V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be Kuranishi neighbourhoods on  $X, Y$  respectively, and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be an open set. A *1-morphism*  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  of *Kuranishi neighbourhoods over  $(S, f)$*  is a quadruple  $(P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  satisfying:

- (a)  $P_{ij}$  is a manifold (object in  $\mathbf{Man}$ ), with commuting smooth actions of  $\Gamma_i, \Gamma_j$  (that is, with a smooth action of  $\Gamma_i \times \Gamma_j$ ), with the  $\Gamma_j$ -action free.
- (b)  $\pi_{ij} : P_{ij} \rightarrow V_i$  is a smooth map (morphism in  $\mathbf{Man}$ ) which is  $\Gamma_i$ -equivariant,  $\Gamma_j$ -invariant, and étale (a local diffeomorphism). The image  $V_{ij} := \pi_{ij}(P_{ij})$  is a  $\Gamma_i$ -invariant open neighbourhood of  $\bar{\psi}_i^{-1}(S)$  in  $V_i$  (that is,  $V_{ij} \subseteq V_i$  is an open submanifold in  $\mathbf{Man}$ ), and the fibres  $\pi_{ij}^{-1}(v)$  of  $\pi_{ij}$  for  $v \in V_{ij}$  are  $\Gamma_j$ -orbits, so that  $\pi_{ij} : P_{ij} \rightarrow V_{ij}$  is a principal  $\Gamma_j$ -bundle.

We do not require  $\bar{\psi}_i^{-1}(S) = V_{ij} \cap s_i^{-1}(0)$ , only that  $\bar{\psi}_i^{-1}(S) \subseteq V_{ij} \cap s_i^{-1}(0)$ .

- (c)  $\phi_{ij} : P_{ij} \rightarrow V_j$  is a  $\Gamma_i$ -invariant and  $\Gamma_j$ -equivariant smooth map, that is,  $\phi_{ij}(\gamma_i \cdot p) = \phi_{ij}(p)$ ,  $\phi_{ij}(\gamma_j \cdot p) = \gamma_j \cdot \phi_{ij}(p)$  for all  $\gamma_i \in \Gamma_i, \gamma_j \in \Gamma_j, p \in P_{ij}$ .
- (d)  $\hat{\phi}_{ij} : \pi_{ij}^*(E_i) \rightarrow \phi_{ij}^*(E_j)$  is a  $\Gamma_i$ - and  $\Gamma_j$ -equivariant morphism of vector bundles on  $P_{ij}$ , where the  $\Gamma_i, \Gamma_j$ -actions are induced by the given  $\Gamma_i$ -action and the trivial  $\Gamma_j$ -action on  $E_i$ , and vice versa for  $E_j$ .
- (e)  $\hat{\phi}_{ij}(\pi_{ij}^*(s_i)) = \phi_{ij}^*(s_j) + O(\pi_{ij}^*(s_i)^2)$ , as in Definition 3.15(i).
- (f)  $f \circ \bar{\psi}_i \circ \pi_{ij} = \bar{\psi}_j \circ \phi_{ij}$  on  $\pi_{ij}^{-1}(s_i^{-1}(0)) \subseteq P_{ij}$ .

If  $X = Y$  and  $f = \text{id}_X$  then we call  $\Phi_{ij}$  a *1-morphism of Kuranishi neighbourhoods over  $S$* , or just a *1-morphism over  $S$* .

**Definition 6.3.** Let  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  be a Kuranishi neighbourhood on  $X$ , and  $S \subseteq \text{Im } \psi_i$  be open. We will define the *identity 1-morphism*

$$\text{id}_{(V_i, E_i, \Gamma_i, s_i, \psi_i)} = (P_{ii}, \pi_{ii}, \phi_{ii}, \hat{\phi}_{ii}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_i, E_i, \Gamma_i, s_i, \psi_i). \quad (6.1)$$

Since  $P_{ii}$  must have two different actions of  $\Gamma_i$ , for clarity we write  $\Gamma_i^1 = \Gamma_i^2 = \Gamma_i$ , where  $\Gamma_i^1$  and  $\Gamma_i^2$  mean the copies of  $\Gamma_i$  acting on the domain and target of the 1-morphism in (6.1), respectively.

Define  $P_{ii} = V_i \times \Gamma_i$ , and let  $\Gamma_i^1$  act on  $P_{ii}$  by  $\gamma^1 : (v, \gamma) \mapsto (\gamma^1 \cdot v, \gamma(\gamma^1)^{-1})$  and  $\Gamma_i^2$  act on  $P_{ii}$  by  $\gamma^2 : (v, \gamma) \mapsto (v, \gamma^2 \gamma)$ . Define  $\pi_{ii}, \phi_{ii} : P_{ii} \rightarrow V_i$  by  $\pi_{ii} : (v, \gamma) \mapsto v$  and  $\phi_{ii} : (v, \gamma) \mapsto \gamma \cdot v$ . Then  $\pi_{ii}$  is  $\Gamma_i^1$ -equivariant and  $\Gamma_i^2$ -invariant, and is a  $\Gamma_i^2$ -principal bundle, and  $\phi_{ii}$  is  $\Gamma_i^1$ -invariant and  $\Gamma_i^2$ -equivariant.

At  $(v, \gamma) \in P_{ii}$ , the morphism  $\hat{\phi}_{ii} : \pi_{ii}^*(E_i) \rightarrow \phi_{ii}^*(E_i)$  must map  $E_i|_v \rightarrow E_i|_{\gamma \cdot v}$ . We have such a map, the lift of the  $\gamma$ -action on  $V_i$  to  $E_i$ . So we define  $\hat{\phi}_{ii}$  on

$V_i \times \{\gamma\} \subseteq P_{ii}$  to be the lift to  $E_i$  of the  $\gamma$ -action on  $V_i$ , for each  $\gamma \in \Gamma$ . It is now easy to check that  $(P_{ii}, \pi_{ii}, \phi_{ii}, \hat{\phi}_{ii})$  satisfies Definition 6.2(a)–(f), so (6.1) is a 1-morphism over  $S$ .

**Definition 6.4.** Suppose  $X, Y$  are topological spaces,  $f : X \rightarrow Y$  is a continuous map,  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$ ,  $(V_j, E_j, \Gamma_j, s_j, \psi_j)$  are Kuranishi neighbourhoods on  $X, Y$  respectively,  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  is open, and  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  are two 1-morphisms over  $(S, f)$ , with  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  and  $\Phi'_{ij} = (P'_{ij}, \pi'_{ij}, \phi'_{ij}, \hat{\phi}'_{ij})$ .

Consider triples  $(\dot{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij})$  satisfying:

- (a)  $\dot{P}_{ij}$  is a  $\Gamma_i$ - and  $\Gamma_j$ -invariant open neighbourhood of  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$  in  $P_{ij}$ .
- (b)  $\lambda_{ij} : \dot{P}_{ij} \rightarrow P'_{ij}$  is a  $\Gamma_i$ - and  $\Gamma_j$ -equivariant smooth map with  $\pi'_{ij} \circ \lambda_{ij} = \pi_{ij}|_{\dot{P}_{ij}}$ . This implies that  $\lambda_{ij}$  is an isomorphism of principal  $\Gamma_j$ -bundles over  $\dot{V}_{ij} := \pi_{ij}(\dot{P}_{ij})$ , so  $\lambda_{ij}$  is a diffeomorphism with a  $\Gamma_i$ - and  $\Gamma_j$ -invariant open set  $\lambda_{ij}(\dot{P}_{ij})$  in  $P'_{ij}$ .
- (c)  $\hat{\lambda}_{ij} : \pi_{ij}^*(E_i)|_{\dot{P}_{ij}} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{\dot{P}_{ij}}$  is a morphism in the notation of §3.3.4, which is  $\Gamma_i$ - and  $\Gamma_j$ -equivariant, and satisfies

$$\begin{aligned} \phi'_{ij} \circ \lambda_{ij} &= \phi_{ij}|_{\dot{P}_{ij}} + \hat{\lambda}_{ij} \circ \pi_{ij}^*(s_i) + O(\pi_{ij}^*(s_i)^2) \quad \text{and} \\ \lambda_{ij}^*(\hat{\phi}'_{ij}) &= \hat{\phi}_{ij}|_{\dot{P}_{ij}} + \phi_{ij}^*(ds_j) \circ \hat{\lambda}_{ij} + O(\pi_{ij}^*(s_i)) \quad \text{on } \dot{P}_{ij}, \end{aligned} \quad (6.2)$$

in the sense of Definition 3.15(iv),(vi),(vii).

Define a binary relation  $\sim$  on such triples by  $(\dot{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}) \sim (\dot{P}'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij})$  if there exists an open neighbourhood  $\ddot{P}_{ij}$  of  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$  in  $\dot{P}_{ij} \cap \dot{P}'_{ij}$  with

$$\lambda_{ij}|_{\ddot{P}_{ij}} = \lambda'_{ij}|_{\ddot{P}_{ij}} \quad \text{and} \quad \hat{\lambda}_{ij}|_{\ddot{P}_{ij}} = \hat{\lambda}'_{ij}|_{\ddot{P}_{ij}} + O(\pi_{ij}^*(s_i)) \quad \text{on } \ddot{P}_{ij}, \quad (6.3)$$

in the sense of Definition 3.15(ii). We see from Theorem 3.17(c) that  $\sim$  is an equivalence relation. We also write  $\sim_S$  in place of  $\sim$  if we want to emphasize the open set  $S \subseteq X$ .

Write  $[\dot{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}]$  for the  $\sim$ -equivalence class of  $(\dot{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij})$ . We say that  $[\dot{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}] : \Phi_{ij} \rightrightarrows \Phi'_{ij}$  is a *2-morphism of 1-morphisms of Kuranishi neighbourhoods on  $X$  over  $(S, f)$* , or just a *2-morphism over  $(S, f)$* . We often write  $\Lambda_{ij} = [\dot{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}]$ .

If  $X = Y$  and  $f = \text{id}_X$  then we call  $\Lambda_{ij}$  a *2-morphism of Kuranishi neighbourhoods over  $S$* , or just a *2-morphism over  $S$* .

For a 1-morphism  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$ , define the *identity 2-morphism*

$$\text{id}_{\Phi_{ij}} = [P_{ij}, \text{id}_{P_{ij}}, 0] : \Phi_{ij} \rightrightarrows \Phi_{ij}. \quad (6.4)$$

**Definition 6.5.** Let  $X, Y, Z$  be topological spaces,  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be continuous maps,  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$ ,  $(V_j, E_j, \Gamma_j, s_j, \psi_j)$ ,  $(V_k, E_k, \Gamma_k, s_k, \psi_k)$  be Kuranishi neighbourhoods on  $X, Y, Z$  respectively, and  $T \subseteq \text{Im } \psi_j \cap g^{-1}(\text{Im } \psi_k) \subseteq Y$  and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(T) \subseteq X$  be open. Suppose  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  is a 1-morphism of Kuranishi neighbourhoods over  $(S, f)$ , and  $\Phi_{jk} = (P_{jk}, \pi_{jk}, \phi_{jk}, \hat{\phi}_{jk}) : (V_j, E_j, \Gamma_j, s_j, \psi_j) \rightarrow (V_k, E_k, \Gamma_k, s_k, \psi_k)$  is a 1-morphism of Kuranishi neighbourhoods over  $(T, g)$ .

Consider the diagram in **Man**:

$$\begin{array}{ccccc}
& & \Gamma_i \times \Gamma_j \times \Gamma_k & & \\
& & \curvearrowright & & \\
& & P_{ij} \times_{V_j} P_{jk} & & \\
& \swarrow \pi_{P_{ij}} & & \searrow \pi_{P_{jk}} & \\
\Gamma_i \times \Gamma_j & & & & \Gamma_j \times \Gamma_k & \\
\curvearrowright & & & & \curvearrowright & \\
P_{ij} & & & & P_{jk} & \\
\swarrow \pi_{ij} & & \Gamma_j & & \searrow \pi_{jk} & \\
V_i & & \curvearrowright & & V_k & \\
& & \phi_{ij} & & \phi_{jk} & \\
& & & & & \Gamma_k & \\
& & & & & \curvearrowright & 
\end{array} \quad (6.5)$$

Here as  $\pi_{jk}$  is étale one can show that the fibre product  $P_{ij} \times_{V_j} P_{jk}$  exists in **Man** using Assumptions 3.2(e) and 3.3(b). We have shown the actions of various combinations of  $\Gamma_i, \Gamma_j, \Gamma_k$  on each space. In fact  $\Gamma_i \times \Gamma_j \times \Gamma_k$  acts on the whole diagram, with all maps equivariant, but we have omitted the trivial actions (for instance,  $\Gamma_j, \Gamma_k$  act trivially on  $V_i$ ).

As  $\Gamma_j$  acts freely on  $P_{ij}$ , it also acts freely on  $P_{ij} \times_{V_j} P_{jk}$ . Using Assumption 3.3 and the facts that  $P_{ij} \times_{V_j} P_{jk}$  is Hausdorff and  $\Gamma_j$  is finite, we can show that the quotient  $P_{ik} := (P_{ij} \times_{V_j} P_{jk})/\Gamma_j$  exists in **Man**, with projection  $\Pi : P_{ij} \times_{V_j} P_{jk} \rightarrow P_{ik}$ . The commuting actions of  $\Gamma_i, \Gamma_k$  on  $P_{ij} \times_{V_j} P_{jk}$  descend to commuting actions of  $\Gamma_i, \Gamma_k$  on  $P_{ik}$ , such that  $\Pi$  is  $\Gamma_i$ - and  $\Gamma_k$ -equivariant. As  $\pi_{ij} \circ \pi_{P_{ij}} : P_{ij} \times_{V_j} P_{jk} \rightarrow V_i$  and  $\phi_{jk} \circ \pi_{P_{jk}} : P_{ij} \times_{V_j} P_{jk} \rightarrow V_k$  are  $\Gamma_j$ -invariant, they factor through  $\Pi$ , so there are unique smooth maps  $\pi_{ik} : P_{ik} \rightarrow V_i$  and  $\phi_{ik} : P_{ik} \rightarrow V_k$  such that  $\pi_{ij} \circ \pi_{P_{ij}} = \pi_{ik} \circ \Pi$  and  $\phi_{jk} \circ \pi_{P_{jk}} = \phi_{ik} \circ \Pi$ .

Consider the diagram of vector bundles on  $P_{ij} \times_{V_j} P_{jk}$ :

$$\begin{array}{ccc}
\Pi^* \circ \pi_{ik}^*(E_i) & \xrightarrow{\hspace{10em}} & \Pi^* \circ \phi_{ik}^*(E_k) \\
\parallel & & \parallel \\
\pi_{P_{ij}}^* \circ \pi_{ij}^*(E_i) & \xrightarrow{\pi_{P_{ij}}^*(\hat{\phi}_{ij})} \pi_{P_{ij}}^* \circ \phi_{ij}^*(E_j) = \pi_{P_{jk}}^* \circ \pi_{jk}^*(E_j) \xrightarrow{\pi_{P_{jk}}^*(\hat{\phi}_{jk})} & \pi_{P_{jk}}^* \circ \phi_{jk}^*(E_k)
\end{array}$$

There is a unique morphism on the top line making the diagram commute. As  $\hat{\phi}_{ij}, \hat{\phi}_{jk}$  are  $\Gamma_j$ -equivariant, this is  $\Gamma_j$ -equivariant, so it is the pullback under  $\Pi^*$  of a unique morphism  $\hat{\phi}_{ik} : \pi_{ik}^*(E_i) \rightarrow \phi_{ik}^*(E_k)$ , as shown. It is now easy to check that  $(P_{ik}, \pi_{ik}, \phi_{ik}, \hat{\phi}_{ik})$  satisfies Definition 6.2(a)–(f), and is a 1-morphism  $\Phi_{ik} = (P_{ik}, \pi_{ik}, \phi_{ik}, \hat{\phi}_{ik}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_k, E_k, \Gamma_k, s_k, \psi_k)$  over  $(S, g \circ f)$ . We write  $\Phi_{jk} \circ \Phi_{ij} = \Phi_{ik}$ , and call it the *composition of 1-morphisms*.

If we have three such 1-morphisms  $\Phi_{ij}, \Phi_{jk}, \Phi_{kl}$ , define

$$\lambda_{ijkl} : [P_{ij} \times_{V_j} ((P_{jk} \times_{V_k} P_{kl})/\Gamma_k)]/\Gamma_j \rightarrow [((P_{ij} \times_{V_j} P_{jk})/\Gamma_j) \times_{V_k} P_{kl}]/\Gamma_k \quad (6.6)$$

to be the natural identification. Then we have a 2-isomorphism

$$\alpha_{\Phi_{kl}, \Phi_{jk}, \Phi_{ij}} := [[P_{ij} \times_{V_j} ((P_{jk} \times_{V_k} P_{kl})/\Gamma_k)]/\Gamma_j, \lambda_{ijkl}, 0] : (\Phi_{kl} \circ \Phi_{jk}) \circ \Phi_{ij} \Longrightarrow \Phi_{kl} \circ (\Phi_{jk} \circ \Phi_{ij}). \quad (6.7)$$

That is, composition of 1-morphisms is associative up to canonical 2-isomorphism, as for weak 2-categories in §A.2.

For  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  as above, define

$$\begin{aligned} \mu_{ij} &: ((V_i \times \Gamma_i) \times_{V_i} P_{ij})/\Gamma_i \longrightarrow P_{ij}, \\ \nu_{ij} &: (P_{ij} \times_{V_j} (V_j \times \Gamma_j))/\Gamma_j \longrightarrow P_{ij}, \end{aligned}$$

to be the natural identifications. Then we have 2-isomorphisms

$$\begin{aligned} \beta_{\Phi_{ij}} &:= [((V_i \times \Gamma_i) \times_{V_i} P_{ij})/\Gamma_i, \mu_{ij}, 0] : \Phi_{ij} \circ \text{id}_{(V_i, E_i, \Gamma_i, s_i, \psi_i)} \Longrightarrow \Phi_{ij}, \\ \gamma_{\Phi_{ij}} &:= [(P_{ij} \times_{V_j} (V_j \times \Gamma_j))/\Gamma_j, \nu_{ij}, 0] : \text{id}_{(V_j, E_j, \Gamma_j, s_j, \psi_j)} \circ \Phi_{ij} \Longrightarrow \Phi_{ij}, \end{aligned} \quad (6.8)$$

so identity 1-morphisms behave as they should up to canonical 2-isomorphism, as for weak 2-categories in §A.2.

**Definition 6.6.** Let  $X, Y$  be topological spaces,  $f : X \rightarrow Y$  be continuous,  $(V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be Kuranishi neighbourhoods on  $X, Y$ ,  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be open, and  $\Phi_{ij}, \Phi'_{ij}, \Phi''_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be 1-morphisms over  $(S, f)$  with  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$ ,  $\Phi'_{ij} = (P'_{ij}, \pi'_{ij}, \phi'_{ij}, \hat{\phi}'_{ij})$ ,  $\Phi''_{ij} = (P''_{ij}, \pi''_{ij}, \phi''_{ij}, \hat{\phi}''_{ij})$ . Suppose  $\Lambda_{ij} = [P_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}] : \Phi_{ij} \Rightarrow \Phi'_{ij}$  and  $\Lambda'_{ij} = [P'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij}] : \Phi'_{ij} \Rightarrow \Phi''_{ij}$  are 2-morphisms over  $(S, f)$ . We will define the *vertical composition of 2-morphisms over  $(S, f)$* , written

$$\Lambda'_{ij} \circ \Lambda_{ij} = [P'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij}] \circ [P_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}] : \Phi_{ij} \Longrightarrow \Phi''_{ij}.$$

When  $X = Y$  and  $f = \text{id}_X$  we call it *vertical composition over  $S$* .

Choose representatives  $(\hat{P}'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij}), (\hat{P}'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij})$  in the  $\sim$ -equivalence classes  $[P_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}], [P'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij}]$ . Define  $\hat{P}''_{ij} = \lambda_{ij}^{-1}(\hat{P}'_{ij}) \subseteq \hat{P}'_{ij} \subseteq P_{ij}$ , and  $\lambda''_{ij} = \lambda'_{ij} \circ \lambda_{ij}|_{\hat{P}''_{ij}}$ . Consider the sheaf morphism on  $\hat{P}''_{ij}$ :

$$\pi_{ij}^*(E_i)|_{\hat{P}''_{ij}} = \lambda_{ij}^* \circ \pi_{ij}^*(E_i)|_{\hat{P}'_{ij}} \xrightarrow{\lambda_{ij}^*(\hat{\lambda}'_{ij})} \mathcal{T}_{\phi'_{ij} \circ \lambda_{ij}} V_j|_{\hat{P}''_{ij}},$$

using the notation of §3.3.4. Since  $\phi'_{ij} \circ \lambda_{ij}|_{\hat{P}''_{ij}} = \phi_{ij}|_{\hat{P}''_{ij}} + O(\pi_{ij}^*(s_i))$  by (6.2), Theorem 3.17(g) shows that there exists a morphism  $\check{\lambda}'_{ij} : \pi_{ij}^*(E_i)|_{\hat{P}''_{ij}} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{\hat{P}''_{ij}}$ , unique up to  $O(\pi_{ij}^*(s_i))$ , with

$$\check{\lambda}'_{ij} = \lambda_{ij}^*(\hat{\lambda}'_{ij}) + O(\pi_{ij}^*(s_i)), \quad (6.9)$$

as in Definition 3.15(v). By averaging over the  $\Gamma_i \times \Gamma_j$ -action we can suppose  $\check{\lambda}'_{ij}$  is  $\Gamma_i$ - and  $\Gamma_j$ -equivariant, as  $\hat{\lambda}'_{ij}$  is.



Define  $\hat{\lambda}'_{ij} : \pi_{ij}^*(E_i)|_{\hat{P}'_{ij}} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{\hat{P}'_{ij}}$  by  $\hat{\lambda}'_{ij} = \hat{\lambda}_{ij}|_{\hat{P}'_{ij}} + \check{\lambda}'_{ij}$ . We can prove using Theorem 3.17 that  $(\hat{P}'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij})$  satisfies Definition 6.4(a)–(c) for  $\Phi_{ij}, \Phi'_{ij}$ , using (6.2) for  $\hat{\lambda}_{ij}, \hat{\lambda}'_{ij}$  and (6.9) to prove (6.2) for  $\hat{\lambda}'_{ij}$ . Hence  $\Lambda''_{ij} = [\hat{P}'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij}] : \Phi_{ij} \Rightarrow \Phi'_{ij}$  is a 2-morphism over  $(S, f)$ . It is independent of choices. We define  $[\hat{P}'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij}] \odot [\hat{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}] = [\hat{P}'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij}]$ , or  $\Lambda'_{ij} \odot \Lambda_{ij} = \Lambda''_{ij}$ .

Let  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  be a 2-morphism over  $(S, f)$ , and choose a representative  $(\hat{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij})$  for  $\Lambda_{ij} = [\hat{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}]$ . Define  $\hat{P}'_{ij} = \lambda_{ij}(\hat{P}_{ij})$ , so that  $\hat{P}'_{ij} \subseteq P'_{ij}$  is open and  $\lambda_{ij} : \hat{P}_{ij} \rightarrow \hat{P}'_{ij}$  is a diffeomorphism. Set  $\lambda'_{ij} = \lambda_{ij}^{-1} : \hat{P}'_{ij} \rightarrow \hat{P}_{ij} \subseteq P_{ij}$ . Then  $\hat{P}'_{ij}$  is  $\Gamma_i$ - and  $\Gamma_j$ -invariant, and  $\lambda'_{ij}$  is  $\Gamma_i$ - and  $\Gamma_j$ -equivariant.

Now  $\phi'_{ij} = \phi_{ij} \circ \lambda'_{ij} + O(\pi_{ij}^*(s_i))$ , so Theorem 3.17(g) gives  $\hat{\lambda}'_{ij} : \pi_{ij}^*(E_i)|_{\hat{P}'_{ij}} \rightarrow \mathcal{T}_{\phi'_{ij}} V_j|_{\hat{P}'_{ij}}$ , unique up to  $O(\pi_{ij}^*(s_i))$ , with  $\hat{\lambda}'_{ij} = -\lambda'_{ij}(\hat{\lambda}_{ij}) + O(\pi_{ij}^*(s_i))$ , as in Definition 3.15(v). Since  $\hat{\lambda}_{ij}$  is  $\Gamma_i, \Gamma_j$ -equivariant, by averaging  $\hat{\lambda}'_{ij}$  over the  $\Gamma_i \times \Gamma_j$ -action we can suppose  $\hat{\lambda}'_{ij}$  is  $\Gamma_i, \Gamma_j$ -equivariant. We can then show that  $(\hat{P}'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij})$  satisfies Definition 6.4(a)–(c), so that  $\Lambda'_{ij} = [\hat{P}'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij}] : \Phi'_{ij} \Rightarrow \Phi_{ij}$  is a 2-morphism over  $(S, f)$ . This  $\Lambda'_{ij}$  is a two-sided inverse  $\Lambda_{ij}^{-1}$  for  $\Lambda_{ij}$  under vertical composition. Thus, *all 2-morphisms over  $(S, f)$  are invertible under vertical composition, that is, they are 2-isomorphisms.*

**Definition 6.7.** Let  $X, Y, Z$  be topological spaces,  $f : X \rightarrow Y, g : Y \rightarrow Z$  be continuous maps,  $(V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j), (V_k, E_k, \Gamma_k, s_k, \psi_k)$  be Kuranishi neighbourhoods on  $X, Y, Z$ , and  $T \subseteq \text{Im } \psi_j \cap g^{-1}(\text{Im } \psi_k) \subseteq Y$  and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(T) \subseteq X$  be open. Suppose  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  are 1-morphisms of Kuranishi neighbourhoods over  $(S, f)$ , and  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  is a 2-morphism over  $(S, f)$ , and  $\Phi_{jk}, \Phi'_{jk} : (V_j, E_j, \Gamma_j, s_j, \psi_j) \rightarrow (V_k, E_k, \Gamma_k, s_k, \psi_k)$  are 1-morphisms of Kuranishi neighbourhoods over  $(T, g)$ , and  $\Lambda_{jk} : \Phi_{jk} \Rightarrow \Phi'_{jk}$  is a 2-morphism over  $(T, g)$ .

We will define the *horizontal composition of 2-morphisms*, written

$$\Lambda_{jk} * \Lambda_{ij} : \Phi_{jk} \circ \Phi_{ij} \Longrightarrow \Phi'_{jk} \circ \Phi'_{ij} \quad \text{over } (S, g \circ f). \quad (6.10)$$

Use our usual notation for  $\Phi_{ij}, \dots, \Lambda_{jk}$ , and write  $(P_{ik}, \pi_{ik}, \phi_{ik}, \hat{\phi}_{ik}) = \Phi_{jk} \circ \Phi_{ij}$ ,  $(P'_{ik}, \pi'_{ik}, \phi'_{ik}, \hat{\phi}'_{ik}) = \Phi'_{jk} \circ \Phi'_{ij}$ , as in Definition 6.5. Choose representatives  $(\hat{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}), (\hat{P}_{jk}, \lambda_{jk}, \hat{\lambda}_{jk})$  for  $\Lambda_{ij} = [\hat{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}]$  and  $\Lambda_{jk} = [\hat{P}_{jk}, \lambda_{jk}, \hat{\lambda}_{jk}]$ .

Then  $P_{ik} = (P_{ij} \times_{V_j} P_{jk})/\Gamma_j$ , and  $\hat{P}_{ij} \subseteq P_{ij}, \hat{P}_{jk} \subseteq P_{jk}$  are open and  $\Gamma_j$ -invariant, so  $\hat{P}_{ij} \times_{V_j} \hat{P}_{jk}$  is open and  $\Gamma_j$ -invariant in  $P_{ij} \times_{V_j} P_{jk}$ . Define  $\hat{P}_{ik} = (\hat{P}_{ij} \times_{V_j} \hat{P}_{jk})/\Gamma_j$ , as an open subset of  $P_{ik}$ . It is  $\Gamma_i$ - and  $\Gamma_k$ -invariant, as  $\hat{P}_{ij}, \hat{P}_{jk}$  are  $\Gamma_i$ - and  $\Gamma_k$ -invariant, respectively.

The maps  $\lambda_{ij} : \hat{P}_{ij} \rightarrow P'_{ij}, \lambda_{jk} : \hat{P}_{jk} \rightarrow P'_{jk}$  satisfy  $\phi'_{ij} \circ \lambda_{ij} = \phi_{ij}|_{\hat{P}_{ij}} : \hat{P}_{ij} \rightarrow V_j$  and  $\pi'_{jk} \circ \lambda_{jk} = \pi_{jk}|_{\hat{P}_{jk}} : \hat{P}_{jk} \rightarrow V_j$ . Hence by properties of fibre products they induce a unique smooth map  $\tilde{\lambda}_{ik} : \hat{P}_{ij} \times_{\phi_{ij}, V_j, \pi_{jk}} \hat{P}_{jk} \rightarrow P'_{ij} \times_{\phi'_{ij}, V_j, \pi'_{jk}} P'_{jk}$  with  $\pi_{P'_{ij}} \circ \tilde{\lambda}_{ik} = \lambda_{ij} \circ \pi_{\hat{P}_{ij}}$  and  $\pi_{P'_{jk}} \circ \tilde{\lambda}_{ik} = \lambda_{jk} \circ \pi_{\hat{P}_{jk}}$ . As everything is  $\Gamma_j$ -equivariant,

$\tilde{\lambda}_{ik}$  descends to the quotients by  $\Gamma_j$ . Thus we obtain a unique smooth map

$$\lambda_{ik} : \dot{P}_{ik} = (\dot{P}_{ij} \times_{V_j} \dot{P}_{jk}) / \Gamma_j \longrightarrow (P'_{ij} \times_{V_j} P'_{jk}) / \Gamma_j = P'_{ik}$$

with  $\lambda_{ik} \circ \Pi = \Pi' \circ \tilde{\lambda}_{ik}$ , for  $\Pi : \dot{P}_{ij} \times_{V_j} \dot{P}_{jk} \rightarrow (\dot{P}_{ij} \times_{V_j} \dot{P}_{jk}) / \Gamma_j$ ,  $\Pi' : P'_{ij} \times_{V_j} P'_{jk} \rightarrow (P'_{ij} \times_{V_j} P'_{jk}) / \Gamma_j$  the projections.

Define a morphism of sheaves on  $\dot{P}_{ij} \times_{V_j} \dot{P}_{jk}$

$$\begin{aligned} \tilde{\lambda}_{ik} : \Pi^* \circ \pi_{ik}^*(E_i) &= (\pi_{ij} \circ \pi_{\dot{P}_{ij}})^*(E_i) \longrightarrow \Pi^*(\mathcal{T}_{\phi_{ik}} V_k) \quad \text{by} \\ \tilde{\lambda}_{ik} &= (\Pi_*^b)^{-1} \circ \mathcal{T}\phi_{jk} \circ (\mathcal{T}\pi_{jk})^{-1} \circ \pi_{\dot{P}_{ij}}^*(\hat{\lambda}_{ij}) \\ &\quad + (\Pi_*^b)^{-1} \circ \pi_{\dot{P}_{jk}}^*(\hat{\lambda}_{jk}) \circ \pi_{\dot{P}_{ij}}^*(\hat{\phi}_{ij}), \end{aligned}$$

where the morphisms are given in the diagram

$$\begin{array}{ccccc} (\pi_{ij} \circ \pi_{\dot{P}_{ij}})^*(E_i) & \xrightarrow{\pi_{\dot{P}_{ij}}^*(\hat{\phi}_{ij})} & (\phi_{ij} \circ \pi_{\dot{P}_{ij}})^*(E_j) & \xlongequal{\quad} & (\pi_{jk} \circ \pi_{\dot{P}_{jk}})^*(E_j) \\ \downarrow \pi_{\dot{P}_{ij}}^*(\hat{\lambda}_{ij}) & & \Pi^*(\mathcal{T}_{\phi_{ik}} V_k) \xrightleftharpoons[(\Pi_*^b)^{-1}]{\Pi_*^b} \mathcal{T}_{\phi_{ik} \circ \Pi} V_k & & \downarrow \pi_{\dot{P}_{jk}}^*(\hat{\lambda}_{jk}) \\ \mathcal{T}_{\phi_{ij} \circ \pi_{\dot{P}_{ij}}} V_j & \xlongequal{\quad} & \mathcal{T}_{\pi_{jk} \circ \pi_{\dot{P}_{jk}}} V_j & \xrightleftharpoons[\mathcal{T}\pi_{jk}]{(\mathcal{T}\pi_{jk})^{-1}} & \mathcal{T}_{\pi_{\dot{P}_{jk}}} \dot{P}_{jk} \xrightarrow{\mathcal{T}\phi_{jk}} \mathcal{T}_{\phi_{jk} \circ \pi_{\dot{P}_{jk}}} V_k. \end{array}$$

Here  $\mathcal{T}\pi_{jk} : \mathcal{T}_{\pi_{\dot{P}_{jk}}} \dot{P}_{jk} \rightarrow \mathcal{T}_{\pi_{jk} \circ \pi_{\dot{P}_{jk}}} V_j$  and  $\Pi_*^b : \Pi^*(\mathcal{T}_{\phi_{ik}} V_k) \rightarrow \mathcal{T}_{\phi_{ik} \circ \Pi} V_k$  are invertible as  $\pi_{jk}, \Pi$  are étale. As all the ingredients are  $\Gamma_i, \Gamma_j, \Gamma_k$ -invariant or equivariant,  $\tilde{\lambda}_{ik}$  is  $\Gamma_j$ -invariant, and so descends to  $\dot{P}_{ik} = (\dot{P}_{ij} \times_{V_j} \dot{P}_{jk}) / \Gamma_j$ . That is, there is a unique morphism  $\hat{\lambda}_{ik} : \pi_{ik}^*(E_i)|_{\dot{P}_{ik}} \rightarrow \mathcal{T}_{\phi_{ik}} V_k|_{\dot{P}_{ik}}$  of sheaves on  $\dot{P}_{ik}$  with  $\Pi^*(\hat{\lambda}_{ik}) = \tilde{\lambda}_{ik}$ . As  $\tilde{\lambda}_{ik}$  is  $\Gamma_i$ - and  $\Gamma_k$ -equivariant, so is  $\hat{\lambda}_{ik}$ .

One can now check that  $(\dot{P}_{ik}, \lambda_{ik}, \hat{\lambda}_{ik})$  satisfies Definition 6.4(a)–(c), where (6.2) for  $\hat{\lambda}_{ik}$  follows from adding the pullbacks to  $\dot{P}_{ij} \times_{V_j} \dot{P}_{jk}$  of (6.2) for  $\hat{\lambda}_{ij}, \hat{\lambda}_{jk}$ , so  $\Lambda_{ik} = [\dot{P}_{ik}, \lambda_{ik}, \hat{\lambda}_{ik}]$  is a 2-morphism as in (6.10), which is independent of choices of  $(\dot{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}), (\dot{P}_{jk}, \lambda_{jk}, \hat{\lambda}_{jk})$ . We define  $\Lambda_{jk} * \Lambda_{ij} = \Lambda_{ik}$  in (6.10).

We have now defined all the structures of a weak 2-category: objects (Kuranishi neighbourhoods), 1- and 2-morphisms, their three kinds of composition, two kinds of identities, and the coherence 2-isomorphisms (6.7), (6.8). The next theorem, the analogue of Theorem 4.7, has a long but straightforward proof using Theorem 3.17 at some points, and we leave it as an exercise.

**Theorem 6.8.** *The structures in Definitions 6.1–6.7 satisfy the axioms of a weak 2-category in §A.2.*

Here are the analogues of Definition 4.8 and Corollary 4.9:

**Definition 6.9.** Write  $\dot{\mathbf{K}}\mathbf{N}$  for the weak 2-category of Kuranishi neighbourhoods defined using  $\dot{\mathbf{M}}\mathbf{an}$ , where:

- Objects of  $\dot{\mathbf{KN}}$  are triples  $(X, S, (V, E, \Gamma, s, \psi))$ , where  $X$  is a topological space,  $S \subseteq X$  is open, and  $(V, E, \Gamma, s, \psi)$  is a Kuranishi neighbourhood over  $S$ , as in Definition 6.1.
- 1-morphisms  $(f, \Phi_{ij}) : (X, S, (V_i, E_i, \Gamma_i, s_i, \psi_i)) \rightarrow (Y, T, (V_j, E_j, \Gamma_j, s_j, \psi_j))$  of  $\dot{\mathbf{KN}}$  are a pair of a continuous map  $f : X \rightarrow Y$  with  $S \subseteq f^{-1}(T) \subseteq X$  and a 1-morphism  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  over  $(S, f)$ , as in Definition 6.2.
- For 1-morphisms  $(f, \Phi_{ij}), (f, \Phi'_{ij}) : (X, S, (V_i, E_i, \Gamma_i, s_i, \psi_i)) \rightarrow (Y, T, (V_j, E_j, \Gamma_j, s_j, \psi_j))$  with the same continuous map  $f : X \rightarrow Y$ , a 2-morphism of  $\dot{\mathbf{KN}}$  is a 2-morphism  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  over  $(S, f)$ , as in Definition 6.4.
- Identities, the three kinds of composition of 1- and 2-morphisms, and the coherence 2-isomorphisms  $\alpha_{g,f,e}, \beta_f, \gamma_f$  are defined in the obvious way using Definitions 6.3 and 6.5–6.7.

Write  $\mathbf{G}\dot{\mathbf{KN}}$  for the full 2-subcategory of  $\dot{\mathbf{KN}}$  with objects  $(s^{-1}(0)/\Gamma, s^{-1}(0)/\Gamma, (V, E, \Gamma, s, \text{id}_{s^{-1}(0)/\Gamma}))$  for which  $X = S = s^{-1}(0)/\Gamma$  and  $\psi = \text{id}_{s^{-1}(0)/\Gamma}$ . We call  $\mathbf{G}\dot{\mathbf{KN}}$  the *weak 2-category of global Kuranishi neighbourhoods*. We usually write objects of  $\mathbf{G}\dot{\mathbf{KN}}$  as  $(V, E, \Gamma, s)$  rather than  $(s^{-1}(0)/\Gamma, s^{-1}(0)/\Gamma, (V, E, \Gamma, s, \text{id}_{s^{-1}(0)/\Gamma}))$ . Similarly, we write 1-morphisms of  $\mathbf{G}\dot{\mathbf{KN}}$  as  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i) \rightarrow (V_j, E_j, \Gamma_j, s_j)$  rather than as  $(f, \Phi_{ij})$ , since  $f$  is determined by  $\Phi_{ij}$  as in Definition 4.8, and we write 2-morphisms of  $\mathbf{G}\dot{\mathbf{KN}}$  as  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$ .

Let  $X$  be a topological space and  $S \subseteq X$  be open. Write  $\dot{\mathbf{KN}}_S(X)$  for the 2-subcategory of  $\dot{\mathbf{KN}}$  with objects  $(X, S, (V, E, \Gamma, s, \psi))$  for  $X, S$  as given, 1-morphisms  $(\text{id}_X, \Phi_{ij}) : (X, S, (V_i, E_i, \Gamma_i, s_i, \psi_i)) \rightarrow (X, S, (V_j, E_j, \Gamma_j, s_j, \psi_j))$  for  $f = \text{id}_X$ , and all 2-morphisms  $\Lambda_{ij} : (\text{id}_X, \Phi_{ij}) \Rightarrow (\text{id}_X, \Phi'_{ij})$ . We call  $\dot{\mathbf{KN}}_S(X)$  the *weak 2-category of Kuranishi neighbourhoods over  $S \subseteq X$* .

We generally write objects of  $\dot{\mathbf{KN}}_S(X)$  as  $(V, E, \Gamma, s, \psi)$ , omitting  $X, S$ , and 1-morphisms of  $\dot{\mathbf{KN}}_S(X)$  as  $\Phi_{ij}$ , omitting  $\text{id}_X$ . That is, objects, 1- and 2-morphisms of  $\dot{\mathbf{KN}}_S(X)$  are just Kuranishi neighbourhoods over  $S$  and 1- and 2-morphisms over  $S$  as in Definitions 6.1, 6.2 and 6.4.

The accent ‘ $\dot{\phantom{x}}$ ’ in  $\dot{\mathbf{KN}}, \mathbf{G}\dot{\mathbf{KN}}, \dot{\mathbf{KN}}_S(X)$  is because they are constructed using  $\dot{\mathbf{Man}}$ . For particular  $\dot{\mathbf{Man}}$  we modify the notation in the obvious way, e.g. if  $\dot{\mathbf{Man}} = \mathbf{Man}$  we write  $\mathbf{KN}, \mathbf{GKN}, \mathbf{KN}_S(X)$ , and if  $\dot{\mathbf{Man}} = \mathbf{Man}^c$  we write  $\mathbf{KN}^c, \mathbf{GKN}^c, \mathbf{KN}_S^c(X)$ .

If  $f : X \rightarrow Y$  is continuous,  $(V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)$  are Kuranishi neighbourhoods on  $X, Y$ , and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  is open, write  $\mathbf{Hom}_{S,f}((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$  for the groupoid with objects 1-morphisms  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  over  $(S, f)$ , and morphisms 2-morphisms  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  over  $(S, f)$ .

If  $X = Y$  and  $f = \text{id}_X$ , we write  $\mathbf{Hom}_S((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$  in place of  $\mathbf{Hom}_{S,f}((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$ .

**Corollary 6.10.** *In Definition 6.9,  $\dot{\mathbf{KN}}, \mathbf{G}\dot{\mathbf{KN}}$  and  $\dot{\mathbf{KN}}_S(X)$  are weak 2-categories, and in fact (2,1)-categories, as all 2-morphisms are invertible.*

Here are the analogues of Definitions 4.10–4.11 and Convention 4.12:

**Definition 6.11.** Let  $X$  be a topological space, and  $S \subseteq X$  be open, and  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be a 1-morphism of Kuranishi neighbourhoods on  $X$  over  $S$ . Then  $\Phi_{ij}$  is a 1-morphism in the 2-category  $\dot{\mathbf{K}}\mathbf{N}_S(X)$  of Definition 6.9. We call  $\Phi_{ij}$  a *coordinate change over  $S$*  if it is an equivalence in  $\dot{\mathbf{K}}\mathbf{N}_S(X)$ . Write

$$\begin{aligned} \mathbf{Equ}_S &((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)) \\ &\subseteq \mathbf{Hom}_S((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)) \end{aligned}$$

for the subgroupoid with objects coordinate changes over  $S$ .

Here is Theorem 10.65(a)–(c) from §10.5.3 in volume II, which gives criteria for when a 1-morphism of Kuranishi neighbourhoods on  $X$  is a coordinate change when  $\dot{\mathbf{M}}\mathbf{an}$  is  $\mathbf{Man}$ ,  $\mathbf{Man}^c$ ,  $\mathbf{Man}^{\mathfrak{g}c}$ ,  $\mathbf{Man}^{\mathfrak{a}c}$  or  $\mathbf{Man}^{c,\mathfrak{a}c}$ .

**Theorem 6.12.** *Working in a category  $\dot{\mathbf{M}}\mathbf{an}$  which we specify in (a)–(c) below, let  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be a 1-morphism of Kuranishi neighbourhoods on a topological space  $X$  over an open subset  $S \subseteq X$ . Let  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S)) \subseteq P_{ij}$ , set  $v_i = \pi_{ij}(p) \in V_i$  and  $v_j = \phi_{ij}(p) \in V_j$ , and consider the morphism of finite groups*

$$\begin{aligned} \rho_p : \{(\gamma_i, \gamma_j) \in \Gamma_i \times \Gamma_j : (\gamma_i, \gamma_j) \cdot p = p\} &\longrightarrow \{\gamma_j \in \Gamma_j : \gamma_j \cdot v_j = v_j\}, \\ \rho_p : (\gamma_i, \gamma_j) &\longmapsto \gamma_j. \end{aligned} \quad (6.11)$$

Then:

- (a) If  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}$  then  $\Phi_{ij}$  is a coordinate change over  $S$  if and only if for all  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , equation (6.11) is an isomorphism, and the following is exact:

$$0 \longrightarrow T_{v_i} V_i \xrightarrow{d_{v_i} s_i \oplus (T_p \phi_{ij} \circ (T_p \pi_{ij})^{-1})} E_i|_{v_i} \oplus T_{v_j} V_j \xrightarrow{-\hat{\phi}_{ij}|_p \oplus d_{v_j} s_j} E_j|_{v_j} \longrightarrow 0. \quad (6.12)$$

- (b) If  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}^c$  then  $\Phi_{ij}$  is a coordinate change over  $S$  if and only if  $\phi_{ij}$  is simple near  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , as in §2.1, and for all  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , equation (6.11) is an isomorphism and (6.12) is exact.

- (c) If  $\dot{\mathbf{M}}\mathbf{an}$  is one of  $\mathbf{Man}^c$ ,  $\mathbf{Man}^{\mathfrak{g}c}$ ,  $\mathbf{Man}^{\mathfrak{a}c}$  or  $\mathbf{Man}^{c,\mathfrak{a}c}$  then  $\Phi_{ij}$  is a coordinate change over  $S$  if and only if  $\phi_{ij}$  is simple near  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , and using  $b$ -tangent spaces from §2.3, for all  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , equation (6.11) is an isomorphism and the following is exact:

$$0 \longrightarrow {}^b T_{v_i} V_i \xrightarrow{d_{v_i} s_i \oplus ({}^b T_p \phi_{ij} \circ ({}^b T_p \pi_{ij})^{-1})} E_i|_{v_i} \oplus {}^b T_{v_j} V_j \xrightarrow{-\hat{\phi}_{ij}|_p \oplus d_{v_j} s_j} E_j|_{v_j} \longrightarrow 0.$$

**Definition 6.13.** Let  $T \subseteq S \subseteq X$  be open. Define the *restriction 2-functor*  $|_T : \mathbf{KN}_S(X) \rightarrow \mathbf{KN}_T(X)$  to map objects  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  to exactly the same objects, and 1-morphisms  $\Phi_{ij}$  to exactly the same 1-morphisms but regarded as 1-morphisms over  $T$ , and 2-morphisms  $\Lambda_{ij}$  over  $S$  to  $\Lambda_{ij}|_T$ , where  $\Lambda_{ij}|_T$  is the  $\sim_T$ -equivalence class of any representative  $(\hat{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij})$  for the  $\sim_S$ -equivalence class  $\Lambda_{ij}$ . We take the 2-morphisms  $F_{g,f}, F_X$  in Definition A.8 to be identities. Then  $|_T : \mathbf{KN}_S(X) \rightarrow \mathbf{KN}_T(X)$  is a weak 2-functor of weak 2-categories as in §A.3. If  $U \subseteq T \subseteq S \subseteq X$  are open then  $|_U \circ |_T = |_U : \mathbf{KN}_S(X) \rightarrow \mathbf{KN}_U(X)$ .

Now let  $f : X \rightarrow Y$  be continuous,  $(V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be Kuranishi neighbourhoods on  $X, Y$ , and  $T \subseteq S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be open. Then as for  $|_T$  on 1- and 2-morphisms above, we define a functor

$$|_T : \mathbf{Hom}_{S,f}((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)) \longrightarrow \mathbf{Hom}_{T,f}((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)).$$

**Convention 6.14.** When we do not specify a domain  $S$  for a morphism, or coordinate change, of Kuranishi neighbourhoods, the domain should be as large as possible. For example, if we say that  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  is a 1-morphism (or a 1-morphism over  $f : X \rightarrow Y$ ) without specifying  $S$ , we mean that  $S = \text{Im } \psi_i \cap \text{Im } \psi_j$  (or  $S = \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$ ).

Similarly, if we write a formula involving several 2-morphisms (possibly defined on different domains), without specifying the domain  $S$ , we make the convention *that the domain where the formula holds should be as large as possible*. That is, the domain  $S$  is taken to be the intersection of the domains of each 2-morphism in the formula, and we implicitly restrict each morphism in the formula to  $S$  as in Definition 6.13, so that it makes sense.

**Remark 6.15.** (i) Our coordinate changes in Definition 6.11 are closely related to coordinate changes between Kuranishi neighbourhoods in the theory of Fukaya, Oh, Ohta and Ono [19–39], as described in §7.1. We explain the connection in §7.1. One of the most important innovations in our theory is to introduce the notion of 2-morphism between coordinate changes.

(ii) Our 1-morphisms of Kuranishi neighbourhoods involve  $V_{ij} \xleftarrow{\pi_{ij}} P_{ij} \xrightarrow{\phi_{ij}} V_j$  with  $\pi_{ij}$  a  $\Gamma_i$ -equivariant principal  $\Gamma_j$ -bundle, and  $\phi_{ij}$   $\Gamma_i$ -invariant and  $\Gamma_j$ -equivariant. As in §6.6, this is a known way of writing 1-morphisms of orbifolds  $[V_{ij}/\Gamma_i] \rightarrow [V_j/\Gamma_j]$ , called *Hilsum–Skandalis morphisms*. So the data  $P_{ij}, \pi_{ij}, \phi_{ij}$  in  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  is very natural from the orbifold point of view.

(iii) In the definition of 2-morphisms  $\Lambda_{ij} = [\hat{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}]$  in Definition 6.4, by restricting to arbitrarily small open neighbourhoods  $\hat{P}_{ij}$  of  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$  in  $P_{ij}$  and then taking equivalence classes, we are in effect taking *germs* about  $\bar{\psi}_i^{-1}(S)$  in  $V_i$ , or germs about  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$  in  $P_{ij}$ . Fukaya–Ono’s first definition of Kuranishi space [39, §5] involved germs of Kuranishi neighbourhoods at points. We take germs at larger subsets  $\bar{\psi}_i^{-1}(S)$  in 2-morphisms.

Here is the analogue of Theorem 4.13, proved in §6.7, which is very important in our theory. We will call Theorem 6.16 the *stack property*. We will use it in §6.2 to construct compositions of 1- and 2-morphisms of Kuranishi spaces.

**Theorem 6.16.** *Let  $f : X \rightarrow Y$  be a continuous map of topological spaces, and  $(V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be Kuranishi neighbourhoods on  $X, Y$ . For each open  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$ , define a groupoid*

$$\begin{aligned} \mathbf{Hom}_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))(S) \\ = \mathbf{Hom}_{S,f}((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)), \end{aligned}$$

as in Definition 6.9, for all open  $T \subseteq S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$  define a functor

$$\begin{aligned} \rho_{ST} : \mathbf{Hom}_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))(S) \longrightarrow \\ \mathbf{Hom}_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))(T) \end{aligned}$$

between groupoids by  $\rho_{ST} = |_T$ , as in Definition 6.13, and for all open  $U \subseteq T \subseteq S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$  take the obvious isomorphism  $\eta_{STU} = \text{id}_{\rho_{SU}} : \rho_{TU} \circ \rho_{ST} \Rightarrow \rho_{SU}$ . Then  $\mathbf{Hom}_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$  is a **stack** on the open subset  $\text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$  in  $X$ , as in §A.6.

When  $X = Y, f = \text{id}_X$  we write  $\mathbf{Hom}((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$  rather than  $\mathbf{Hom}_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$ . Coordinate changes  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  also form a stack  $\mathbf{Equ}((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$  on  $\text{Im } \psi_i \cap \text{Im } \psi_j$ , a substack of  $\mathbf{Hom}((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$ .

## 6.2 The weak 2-category of Kuranishi spaces

### 6.2.1 The definition of the 2-category $\mathbf{K\ddot{u}r}$

We now define the weak 2-category of Kuranishi spaces  $\mathbf{K\ddot{u}r}$ . We follow the definition of  $\mathbf{mK\ddot{u}r}$  in §4.3 closely, with the difference that m-Kuranishi neighbourhoods in §4.1 are a strict 2-category, but Kuranishi neighbourhoods in §6.1 are a weak 2-category. So we cannot omit brackets in compositions of 1-morphisms such as  $\Phi_{kl} \circ \Phi_{jk} \circ \Phi_{ij}$  in (4.4), we must write  $(\Phi_{kl} \circ \Phi_{jk}) \circ \Phi_{ij}$  or  $\Phi_{kl} \circ (\Phi_{jk} \circ \Phi_{ij})$  as in (6.13), and we have to insert extra coherence 2-morphisms  $\alpha_{\Phi_{kl}, \Phi_{jk}, \Phi_{ij}}, \beta_{\Phi_{ij}}, \gamma_{\Phi_{ij}}$  from (6.7)–(6.8) throughout.

For example, compare (4.4), (4.10), (4.11), and (4.12) above with (6.13), (6.19), (6.20), and (6.21) below, noting the extra  $\alpha_{*,*,*}$ , and compare Definitions 4.14(g) and 6.17(g), noting the extra  $\beta_*, \gamma_*$ .

Since every weak 2-category is equivalent as a weak 2-category to a strict 2-category, we can guarantee that any proof which works in strict 2-categories can be extended to a proof in weak 2-categories by including extra 2-morphisms  $\alpha_{*,*,*}, \beta_*, \gamma_*$ , although diagrams such as (4.19) and (4.31) become rather more complicated. So we omit proofs in this section, referring to those in §4.3.

Here is the analogue of Definition 4.14.

**Definition 6.17.** Let  $X$  be a Hausdorff, second countable topological space, and  $n \in \mathbb{Z}$ . A *Kuranishi structure*  $\mathcal{K}$  on  $X$  of *virtual dimension*  $n$  is data  $\mathcal{K} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ , where:

- (a)  $I$  is an indexing set (not necessarily finite).
- (b)  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  is a Kuranishi neighbourhood on  $X$  for each  $i \in I$ , with  $\dim V_i - \text{rank } E_i = n$ .
- (c)  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  is a coordinate change for all  $i, j \in I$  (as usual, defined over  $S = \text{Im } \psi_i \cap \text{Im } \psi_j$ ).
- (d)  $\Lambda_{ijk} = [\hat{P}_{ijk}, \lambda_{ijk}, \hat{\lambda}_{ijk}] : \Phi_{jk} \circ \Phi_{ij} \Rightarrow \Phi_{ik}$  is a 2-morphism for all  $i, j, k \in I$  (as usual, defined over  $S = \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$ ).
- (e)  $\bigcup_{i \in I} \text{Im } \psi_i = X$ .
- (f)  $\Phi_{ii} = \text{id}_{(V_i, E_i, \Gamma_i, s_i, \psi_i)}$  for all  $i \in I$ .
- (g)  $\Lambda_{iij} = \beta_{\Phi_{ij}}$  and  $\Lambda_{ijj} = \gamma_{\Phi_{ij}}$  for all  $i, j \in I$ , for  $\beta_{\Phi_{ij}}, \gamma_{\Phi_{ij}}$  as in (6.8).
- (h) The following diagram of 2-morphisms over  $S = \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k \cap \text{Im } \psi_l$  commutes for all  $i, j, k, l \in I$ , for  $\alpha_{\Phi_{kl}, \Phi_{jk}, \Phi_{ij}}$  as in (6.7):

$$\begin{array}{ccc}
(\Phi_{kl} \circ \Phi_{jk}) \circ \Phi_{ij} & \xrightarrow{\Lambda_{jkl} * \text{id}_{\Phi_{ij}}} & \Phi_{jl} \circ \Phi_{ij} \\
\Downarrow \alpha_{\Phi_{kl}, \Phi_{jk}, \Phi_{ij}} & & \Lambda_{ijl} \Downarrow \\
\Phi_{kl} \circ (\Phi_{jk} \circ \Phi_{ij}) & \xrightarrow{\text{id}_{\Phi_{kl}} * \Lambda_{ijk}} \Phi_{kl} \circ \Phi_{ik} \xrightarrow{\Lambda_{ikl}} & \Phi_{il}.
\end{array} \quad (6.13)$$

We call  $\mathbf{X} = (X, \mathcal{K})$  a *Kuranishi space*, of *virtual dimension*  $\text{vdim } \mathbf{X} = n$ . When we write  $x \in \mathbf{X}$ , we mean that  $x \in X$ .

Here is the analogue of Example 4.16.

**Example 6.18.** Let  $V$  be a manifold,  $E \rightarrow V$  a vector bundle,  $\Gamma$  a finite group with a smooth action on  $V$  and a compatible action on  $E$  preserving the vector bundle structure, and  $s : V \rightarrow E$  a  $\Gamma$ -equivariant smooth section, so that  $(V, E, \Gamma, s)$  is an object in  $\mathbf{GKN}$  from Definition 6.9. Set  $X = s^{-1}(0)/\Gamma$ , with the quotient topology induced from the closed subset  $s^{-1}(0) \subseteq V$ . Then  $X$  is Hausdorff and second countable, as  $V$  is and  $\Gamma$  is finite.

Define a Kuranishi structure  $\mathcal{K} = (\{0\}, (V_0, E_0, \Gamma_0, s_0, \psi_0), \Phi_{00}, \Lambda_{000})$  on  $X$  with indexing set  $I = \{0\}$ , one Kuranishi neighbourhood  $(V_0, E_0, \Gamma_0, s_0, \psi_0)$  with  $V_0 = V$ ,  $E_0 = E$ ,  $\Gamma_0 = \Gamma$ ,  $s_0 = s$  and  $\psi_0 = \text{id}_X$ , one coordinate change  $\Phi_{00} = \text{id}_{(V_0, E_0, \Gamma_0, s_0, \psi_0)}$ , and one 2-morphism  $\Lambda_{000} = \text{id}_{\Phi_{00}}$ . Then  $\mathbf{X} = (X, \mathcal{K})$  is a Kuranishi space, with  $\text{vdim } \mathbf{X} = \dim V - \text{rank } E$ . We write  $\mathbf{S}_{V, E, \Gamma, s} = \mathbf{X}$ .

We will need notation to distinguish Kuranishi neighbourhoods, coordinate changes, and 2-morphisms on different Kuranishi spaces. As for (4.5)–(4.8), we will often use the following notation for Kuranishi spaces  $\mathbf{W}, \mathbf{X}, \mathbf{Y}, \mathbf{Z}$ :

$$\begin{aligned}
\mathbf{W} &= (W, \mathcal{H}), \quad \mathcal{H} = (H, (T_h, C_h, A_i, q_h, \varphi_h)_{h \in H}, \Sigma_{hh'} = (O_{hh'}, \pi_{hh'}, \sigma_{hh'}, \\
&\quad \hat{\sigma}_{hh'})_{h, h' \in H}, \quad \text{I}_{hh'h''} = [\hat{O}_{hh'h''}, \iota_{hh'h''}, \hat{\iota}_{hh'h''}]_{h, h', h'' \in H},
\end{aligned} \quad (6.14)$$

$$\begin{aligned} \mathbf{X} = (X, \mathcal{I}), \quad \mathcal{I} = (I, (U_i, D_i, B_i, r_i, \chi_i)_{i \in I}, \mathbb{T}_{ii'} = (P_{ii'}, \pi_{ii'}, \tau_{ii'}, \\ \hat{\tau}_{ii'})_{i, i' \in I}, \mathbf{K}_{ii'i''} = [\hat{P}_{ii'i''}, \kappa_{ii'i''}, \hat{\kappa}_{ii'i''}]_{i, i', i'' \in I}), \end{aligned} \quad (6.15)$$

$$\begin{aligned} \mathbf{Y} = (Y, \mathcal{J}), \quad \mathcal{J} = (J, (V_j, E_j, \Gamma_j, s_j, \psi_j)_{j \in J}, \Upsilon_{jj'} = (Q_{jj'}, \pi_{jj'}, \upsilon_{jj'}, \\ \hat{\upsilon}_{jj'})_{j, j' \in J}, \Lambda_{jj'j''} = [\hat{Q}_{jj'j''}, \lambda_{jj'j''}, \hat{\lambda}_{jj'j''}]_{j, j', j'' \in J}), \end{aligned} \quad (6.16)$$

$$\begin{aligned} \mathbf{Z} = (Z, \mathcal{K}), \quad \mathcal{K} = (K, (W_k, F_k, \Delta_k, t_k, \omega_k)_{k \in K}, \Phi_{kk'} = (R_{kk'}, \pi_{kk'}, \phi_{kk'}, \\ \hat{\phi}_{kk'})_{k, k' \in K}, \mathbf{M}_{kk'k''} = [\hat{R}_{kk'k''}, \mu_{kk'k''}, \hat{\mu}_{kk'k''}]_{k, k', k'' \in K}). \end{aligned} \quad (6.17)$$

Here are the analogues of Definitions 4.17 and 4.18.

**Definition 6.19.** Let  $\mathbf{X} = (X, \mathcal{I})$  and  $\mathbf{Y} = (Y, \mathcal{J})$  be Kuranishi spaces, with notation (6.15)–(6.16). A 1-morphism of Kuranishi spaces  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is data

$$\mathbf{f} = (f, \mathbf{f}_{ij}, i \in I, j \in J, \mathbf{F}_{ii', i, i' \in I}^{j, j \in J}, \mathbf{F}_{i, i \in I}^{jj', j, j' \in J}), \quad (6.18)$$

satisfying the conditions:

- (a)  $f : X \rightarrow Y$  is a continuous map.
- (b)  $\mathbf{f}_{ij} = (P_{ij}, \pi_{ij}, f_{ij}, \hat{f}_{ij}) : (U_i, D_i, B_i, r_i, \chi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  is a 1-morphism of Kuranishi neighbourhoods over  $f$  for all  $i \in I, j \in J$  (defined over  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j)$ , as usual).
- (c)  $\mathbf{F}_{ii'}^j = [\hat{P}_{ii'}, F_{ii'}^j, \hat{F}_{ii'}^j] : \mathbf{f}_{i'j} \circ \mathbb{T}_{ii'} \Rightarrow \mathbf{f}_{ij}$  is a 2-morphism over  $f$  for all  $i, i' \in I$  and  $j \in J$  (defined over  $S = \text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j)$ ).
- (d)  $\mathbf{F}_i^{jj'} = [\hat{P}_i^{jj'}, F_i^{jj'}, \hat{F}_i^{jj'}] : \Upsilon_{jj'} \circ \mathbf{f}_{ij} \Rightarrow \mathbf{f}_{ij'}$  is a 2-morphism over  $f$  for all  $i \in I$  and  $j, j' \in J$  (defined over  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'})$ ).
- (e)  $\mathbf{F}_{ii}^j = \beta_{\mathbf{f}_{ij}}$  and  $\mathbf{F}_i^{jj} = \gamma_{\mathbf{f}_{ij}}$  for all  $i \in I, j \in J$ , for  $\beta_{\mathbf{f}_{ij}}, \gamma_{\mathbf{f}_{ij}}$  as in (6.8).
- (f) The following commutes for all  $i, i', i'' \in I$  and  $j \in J$ :

$$\begin{array}{ccc} (\mathbf{f}_{i''j} \circ \mathbb{T}_{i'i''}) \circ \mathbb{T}_{ii'} & \xrightarrow{\hspace{10em}} & \mathbf{f}_{i'j} \circ \mathbb{T}_{ii'} \\ \downarrow \alpha_{\mathbf{f}_{i''j}, \mathbb{T}_{i'i''}, \mathbb{T}_{ii'}} & \mathbf{F}_{i'i''}^j * \text{id}_{\mathbb{T}_{ii'}} & \downarrow \mathbf{F}_{ii'}^j \\ \mathbf{f}_{i''j} \circ (\mathbb{T}_{i'i''} \circ \mathbb{T}_{ii'}) & \xrightarrow{\text{id}_{\mathbf{f}_{i''j}} * \mathbf{K}_{i'i''}} & \mathbf{f}_{i'j} \circ \mathbb{T}_{ii'} \xrightarrow{\mathbf{F}_{ii'}^j} \mathbf{f}_{ij}. \end{array} \quad (6.19)$$

- (g) The following commutes for all  $i, i' \in I$  and  $j, j' \in J$ :

$$\begin{array}{ccc} (\Upsilon_{jj'} \circ \mathbf{f}_{i'j}) \circ \mathbb{T}_{ii'} & \xrightarrow{\hspace{10em}} & \mathbf{f}_{i'j'} \circ \mathbb{T}_{ii'} \\ \downarrow \alpha_{\Upsilon_{jj'}, \mathbf{f}_{i'j}, \mathbb{T}_{ii'}} & \mathbf{F}_{i'i'}^{jj'} * \text{id}_{\mathbb{T}_{ii'}} & \downarrow \mathbf{F}_{ii'}^{j'} \\ \Upsilon_{jj'} \circ (\mathbf{f}_{i'j} \circ \mathbb{T}_{ii'}) & \xrightarrow{\text{id}_{\Upsilon_{jj'}} * \mathbf{F}_{ii'}^{jj'}} & \Upsilon_{jj'} \circ \mathbf{f}_{ij} \xrightarrow{\mathbf{F}_i^{jj'}} \mathbf{f}_{ij'}. \end{array} \quad (6.20)$$

- (h) The following commutes for all  $i \in I$  and  $j, j', j'' \in J$ :

$$\begin{array}{ccc} (\Upsilon_{j'j''} \circ \Upsilon_{jj'}) \circ \mathbf{f}_{ij} & \xrightarrow{\hspace{10em}} & \Upsilon_{jj''} \circ \mathbf{f}_{ij} \\ \downarrow \alpha_{\Upsilon_{j'j''}, \Upsilon_{jj'}, \mathbf{f}_{ij}} & \Lambda_{jj'j''} * \text{id}_{\mathbf{f}_{ij}} & \downarrow \mathbf{F}_i^{jj''} \\ \Upsilon_{j'j''} \circ (\Upsilon_{jj'} \circ \mathbf{f}_{ij}) & \xrightarrow{\text{id}_{\Upsilon_{j'j''}} * \mathbf{F}_i^{jj'}} & \Upsilon_{j'j''} \circ \mathbf{f}_{ij'} \xrightarrow{\mathbf{F}_i^{j'j''}} \mathbf{f}_{ij''}. \end{array} \quad (6.21)$$



If  $x \in \mathbf{X}$  (i.e.  $x \in X$ ), we will write  $\mathbf{f}(x) = f(x) \in \mathbf{Y}$ .

When  $\mathbf{Y} = \mathbf{X}$ , define the *identity 1-morphism*  $\mathbf{id}_{\mathbf{X}} : \mathbf{X} \rightarrow \mathbf{X}$  by

$$\mathbf{id}_{\mathbf{X}} = (\mathrm{id}_X, \mathbb{T}_{ij, i, j \in I}, \mathbb{K}_{ii', i, i' \in I}^{j \in I}, \mathbb{K}_{ijj', i \in I}^{j, j' \in I}). \quad (6.22)$$

Then Definition 6.17(h) implies that (f)–(h) above hold.

**Definition 6.20.** Let  $\mathbf{X} = (X, \mathcal{I})$  and  $\mathbf{Y} = (Y, \mathcal{J})$  be Kuranishi spaces, with notation as in (6.15)–(6.16), and  $\mathbf{f}, \mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  be 1-morphisms. Suppose the continuous maps  $f, g : X \rightarrow Y$  in  $\mathbf{f}, \mathbf{g}$  satisfy  $f = g$ . A *2-morphism of Kuranishi spaces*  $\boldsymbol{\eta} : \mathbf{f} \Rightarrow \mathbf{g}$  is data  $\boldsymbol{\eta} = (\boldsymbol{\eta}_{ij, i \in I, j \in J})$ , where  $\boldsymbol{\eta}_{ij} = [\hat{P}_{ij}, \eta_{ij}, \hat{\eta}_{ij}] : \mathbf{f}_{ij} \Rightarrow \mathbf{g}_{ij}$  is a 2-morphism of Kuranishi neighbourhoods over  $f = g$  (defined over  $S = \mathrm{Im} \chi_i \cap f^{-1}(\mathrm{Im} \psi_j)$ , as usual), satisfying the conditions:

- (a)  $\mathbf{G}_{ii'}^j \odot (\boldsymbol{\eta}_{i'j} * \mathrm{id}_{\mathbb{T}_{ii'}}) = \boldsymbol{\eta}_{ij} \odot \mathbf{F}_{ii'}^j : \mathbf{f}_{i'j} \circ \mathbb{T}_{ii'} \Rightarrow \mathbf{g}_{ij}$  for all  $i, i' \in I, j \in J$ .
- (b)  $\mathbf{G}_i^{jj'} \odot (\mathrm{id}_{\mathbb{T}_{jj'}} * \boldsymbol{\eta}_{ij}) = \boldsymbol{\eta}_{ij'} \odot \mathbf{F}_i^{jj'} : \Upsilon_{jj'} \circ \mathbf{f}_{ij} \Rightarrow \mathbf{g}_{ij'}$  for all  $i \in I, j, j' \in J$ .

Note that by definition, 2-morphisms  $\boldsymbol{\eta} : \mathbf{f} \Rightarrow \mathbf{g}$  only exist if  $f = g$ .

If  $\mathbf{f} = \mathbf{g}$ , the *identity 2-morphism* is  $\mathbf{id}_{\mathbf{f}} = (\mathrm{id}_{\mathbf{f}_{ij}, i \in I, j \in J}) : \mathbf{f} \Rightarrow \mathbf{f}$ .

As for m-Kuranishi spaces in §4.3, given 1-morphisms of Kuranishi spaces  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$ ,  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$ , we must use the stack property in Theorem 6.16 to define the composition  $\mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$ , where  $\mathbf{g} \circ \mathbf{f}$  is only unique up to 2-isomorphism, so we must make an arbitrary choice.

Here is the analogue of Proposition 4.19. It is proved in the same way, but inserting extra 2-morphisms  $\boldsymbol{\alpha}_{*,*,*}, \boldsymbol{\beta}_*, \boldsymbol{\gamma}_*$  as we are now working in a weak 2-category.

**Proposition 6.21.** (a) *Let  $\mathbf{X} = (X, \mathcal{I})$ ,  $\mathbf{Y} = (Y, \mathcal{J})$ ,  $\mathbf{Z} = (Z, \mathcal{K})$  be Kuranishi spaces with notation (6.15)–(6.17), and  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$ ,  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms, with  $\mathbf{f} = (f, \mathbf{f}_{ij}, \mathbf{F}_{ii'}^j, \mathbf{F}_i^{jj'})$ ,  $\mathbf{g} = (g, \mathbf{g}_{jk}, \mathbf{G}_{jj'}^k, \mathbf{G}_j^{kk'})$ . Then there exists a 1-morphism  $\mathbf{h} : \mathbf{X} \rightarrow \mathbf{Z}$  with  $\mathbf{h} = (h, \mathbf{h}_{ik}, \mathbf{H}_{ii'}^k, \mathbf{H}_i^{kk'})$ , such that  $h = g \circ f : X \rightarrow Z$ , and for all  $i \in I, j \in J, k \in K$  we have 2-morphisms over  $h$*

$$\Theta_{ijk} : \mathbf{g}_{jk} \circ \mathbf{f}_{ij} \Longrightarrow \mathbf{h}_{ik}, \quad (6.23)$$

where as usual (6.23) holds over  $S = \mathrm{Im} \chi_i \cap f^{-1}(\mathrm{Im} \psi_j) \cap h^{-1}(\mathrm{Im} \omega_k)$ , and for

all  $i, i' \in I, j, j' \in J, k, k' \in K$  the following commute:

$$\begin{array}{ccc}
(g_{jk} \circ f_{i'j}) \circ \mathbb{T}_{ii'} & \xrightarrow{\Theta_{i'jk} * \text{id}_{\mathbb{T}_{ii'}}} & h_{i'k} \circ \mathbb{T}_{ii'} \\
\downarrow \alpha_{g_{jk}, f_{i'j}, \mathbb{T}_{ii'}} & & \downarrow H_{ii'}^k \\
g_{jk} \circ (f_{i'j} \circ \mathbb{T}_{ii'}) & \xrightarrow{\text{id}_{g_{jk}} * F_{ii'}^j} & g_{jk} \circ f_{ij} \xrightarrow{\Theta_{ijk}} h_{ik},
\end{array} \quad (6.24)$$

$$\begin{array}{ccc}
(g_{j'k} \circ \Upsilon_{jj'}) \circ f_{ij} & \xrightarrow{G_{jj'}^k * \text{id}_{f_{ij}}} & g_{jk} \circ f_{ij} \\
\downarrow \alpha_{g_{j'k}, \Upsilon_{jj'}, f_{ij}} & & \downarrow \Theta_{ijk} \\
g_{j'k} \circ (\Upsilon_{jj'} \circ f_{ij}) & \xrightarrow{\text{id}_{g_{j'k}} * F_i^{jj'}} & g_{j'k} \circ f_{ij'} \xrightarrow{\Theta_{ij'k}} h_{ik},
\end{array} \quad (6.25)$$

$$\begin{array}{ccc}
(\Phi_{kk'} \circ g_{jk}) \circ f_{ij} & \xrightarrow{G_j^{kk'} * \text{id}_{f_{ij}}} & g_{jk'} \circ f_{ij} \\
\downarrow \alpha_{\Phi_{kk'}, g_{jk}, f_{ij}} & & \downarrow \Theta_{ijk'} \\
\Phi_{kk'} \circ (g_{jk} \circ f_{ij}) & \xrightarrow{\text{id}_{\Phi_{kk'}} * \Theta_{ijk}} & \Phi_{kk'} \circ h_{ik} \xrightarrow{H_i^{kk'}} h_{ik'}.
\end{array} \quad (6.26)$$

(b) If  $\tilde{h} = (h, \tilde{h}_{ik}, \tilde{H}_{ii'}^k, \tilde{H}_i^{kk'})$ ,  $\tilde{\Theta}_{ijk}$  are alternative choices for  $h, \Theta_{ijk}$  in (a), then there is a unique 2-morphism of Kuranishi spaces  $\eta = (\eta_{ik}) : \mathbf{h} \Rightarrow \tilde{\mathbf{h}}$  satisfying  $\eta_{ik} \circ \Theta_{ijk} = \tilde{\Theta}_{ijk} : g_{jk} \circ f_{ij} \Rightarrow \tilde{h}_{ik}$  for all  $i \in I, j \in J, k \in K$ .

(c) If  $\mathbf{X} = \mathbf{Y}$  and  $\mathbf{f} = \text{id}_{\mathbf{Y}}$  in (a), so that  $I = J$ , then a possible choice for  $h, \Theta_{ijk}$  in (a) is  $h = g$  and  $\Theta_{ijk} = G_{ij}^k$ .

Similarly, if  $\mathbf{Z} = \mathbf{Y}$  and  $\mathbf{g} = \text{id}_{\mathbf{Y}}$  in (a), so that  $K = J$ , then a possible choice for  $h, \Theta_{ijk}$  in (a) is  $h = f$  and  $\Theta_{ijk} = F_i^{jk}$ .

Here is the analogue of Definition 4.20.

**Definition 6.22.** For all pairs of 1-morphisms of Kuranishi spaces  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  and  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$ , use the Axiom of Global Choice (see Remark 4.21) to choose possible values of  $\mathbf{h} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\Theta_{ijk}$  in Proposition 6.21(a), and write  $\mathbf{g} \circ \mathbf{f} = \mathbf{h}$ , and for  $i \in I, j \in J, k \in K$

$$\Theta_{ijk}^{g, \mathbf{f}} = \Theta_{ijk} : g_{jk} \circ f_{ij} \Longrightarrow (g \circ f)_{ik}.$$

We call  $\mathbf{g} \circ \mathbf{f}$  the *composition of 1-morphisms of Kuranishi spaces*.

For general  $\mathbf{f}, \mathbf{g}$  we make these choices arbitrarily. However, if  $\mathbf{X} = \mathbf{Y}$  and  $\mathbf{f} = \text{id}_{\mathbf{Y}}$  then we choose  $\mathbf{g} \circ \text{id}_{\mathbf{Y}} = \mathbf{g}$  and  $\Theta_{jj'k}^{g, \text{id}_{\mathbf{Y}}} = G_{jj'}^k$ , and if  $\mathbf{Z} = \mathbf{Y}$  and  $\mathbf{g} = \text{id}_{\mathbf{Y}}$  then we choose  $\text{id}_{\mathbf{Y}} \circ \mathbf{f} = \mathbf{f}$  and  $\Theta_{ijj'}^{\text{id}_{\mathbf{Y}}, \mathbf{f}} = F_i^{jj'}$ . This is allowed by Proposition 6.21(c).

The definition of a weak 2-category in Appendix A includes 2-isomorphisms  $\beta_{\mathbf{f}} : \mathbf{f} \circ \text{id}_{\mathbf{X}} \Rightarrow \mathbf{f}$  and  $\gamma_{\mathbf{f}} : \text{id}_{\mathbf{Y}} \circ \mathbf{f} \Rightarrow \mathbf{f}$  in (A.10), since one does not require  $\mathbf{f} \circ \text{id}_{\mathbf{X}} = \mathbf{f}$  and  $\text{id}_{\mathbf{Y}} \circ \mathbf{f} = \mathbf{f}$  in a general weak 2-category. We define

$$\beta_{\mathbf{f}} = \text{id}_{\mathbf{f}} : \mathbf{f} \circ \text{id}_{\mathbf{X}} \Longrightarrow \mathbf{f}, \quad \gamma_{\mathbf{f}} = \text{id}_{\mathbf{f}} : \text{id}_{\mathbf{Y}} \circ \mathbf{f} \Longrightarrow \mathbf{f}. \quad (6.27)$$

Here is the analogue of Proposition 4.22. It is proved in the same way, but inserting extra 2-morphisms  $\alpha_{g_{jk}, f_{ij}, e_{hi}}$  of Kuranishi neighbourhoods.

**Proposition 6.23.** *Let  $e : W \rightarrow X$ ,  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be 1-morphisms of Kuranishi spaces, and define composition of 1-morphisms as in Definition 6.22. Then using notation (6.14)–(6.17), there is a unique 2-morphism*

$$\alpha_{g,f,e} : (g \circ f) \circ e \implies g \circ (f \circ e) \quad (6.28)$$

with the property that for all  $h \in H$ ,  $i \in I$ ,  $j \in J$  and  $k \in K$  we have

$$(\alpha_{g,f,e})_{hk} \odot \Theta_{hik}^{g \circ f, e} \odot (\Theta_{ijk}^{g,f} * \text{id}_{e_{hi}}) = \Theta_{hjk}^{g,f \circ e} \odot (\text{id}_{g_{jk}} * \Theta_{hij}^{f,e}) \odot \alpha_{g_{jk}, f_{ij}, e_{hi}}. \quad (6.29)$$

Here are the analogues of Definitions 4.23 and 4.24.

**Definition 6.24.** Let  $f, g, h : X \rightarrow Y$  be 1-morphisms of Kuranishi spaces, using notation (6.15)–(6.16), and  $\eta = (\eta_{ij}) : f \Rightarrow g$ ,  $\zeta = (\zeta_{ij}) : g \Rightarrow h$  be 2-morphisms. Define the *vertical composition of 2-morphisms*  $\zeta \odot \eta : f \Rightarrow h$  by

$$\zeta \odot \eta = (\zeta_{ij} \odot \eta_{ij}, i \in I, j \in J). \quad (6.30)$$

To see that  $\zeta \odot \eta$  satisfies Definition 6.20(a),(b), for (a) note that for all  $i, i' \in I$  and  $j \in J$ , by Definition 6.20(a) for  $\eta, \zeta$  we have

$$\begin{aligned} \mathbf{H}_{ii'}^j \odot ((\zeta_{i'j} \odot \eta_{i'j}) * \text{id}_{\Gamma_{ii'}}) &= \mathbf{H}_{ii'}^j \odot (\zeta_{i'j} * \text{id}_{\Gamma_{ii'}}) \odot (\eta_{i'j} * \text{id}_{\Gamma_{ii'}}) \\ &= \zeta_{ij} \odot \mathbf{G}_{ii'}^j \odot (\eta_{i'j} * \text{id}_{\Gamma_{ii'}}) = (\zeta_{ij} \odot \eta_{ij}) \odot \mathbf{F}_{ii'}^j, \end{aligned}$$

and Definition 6.20(b) for  $\zeta \odot \eta$  is proved in a similar way.

Clearly, vertical composition of 2-morphisms of Kuranishi spaces is associative,  $(\theta \odot \zeta) \odot \eta = \theta \odot (\zeta \odot \eta)$ , since vertical composition of 2-morphisms of Kuranishi neighbourhoods is associative.

If  $g = h$  and  $\zeta = \text{id}_g$  then  $\text{id}_g \odot \eta = (\text{id}_{g_{ij}} \odot \eta_{ij}) = (\eta_{ij}) = \eta$ , and similarly  $\zeta \odot \text{id}_g = \zeta$ , so identity 2-morphisms behave as expected under  $\odot$ .

If  $\eta = (\eta_{ij}, i \in I, j \in J) : f \Rightarrow g$  is a 2-morphism of Kuranishi spaces, then as 2-morphisms  $\eta_{ij}$  of Kuranishi neighbourhoods are invertible, we may define  $\eta^{-1} = (\eta_{ij}^{-1}, j \in J, i \in I) : g \Rightarrow f$ . It is easy to check that  $\eta^{-1}$  is a 2-morphism, and  $\eta^{-1} \odot \eta = \text{id}_f$ ,  $\eta \odot \eta^{-1} = \text{id}_g$ . Thus, all 2-morphisms of Kuranishi spaces are 2-isomorphisms.

**Definition 6.25.** Let  $e, f : X \rightarrow Y$  and  $g, h : Y \rightarrow Z$  be 1-morphisms of Kuranishi spaces, using notation (6.15)–(6.17), and  $\eta = (\eta_{ij}) : e \Rightarrow f$ ,  $\zeta = (\zeta_{jk}) : g \Rightarrow h$  be 2-morphisms. We claim there is a unique 2-morphism  $\theta = (\theta_{ik}) : g \circ e \Rightarrow h \circ f$ , such that for all  $i \in I$ ,  $j \in J$ ,  $k \in K$ , we have

$$\theta_{ik} |_{\text{Im } \chi_i \cap e^{-1}(\text{Im } \psi_j) \cap (g \circ e)^{-1}(\text{Im } \omega_k)} = \Theta_{ijk}^{h,f} \odot (\zeta_{jk} * \eta_{ij}) \odot (\Theta_{ijk}^{g,e})^{-1}. \quad (6.31)$$

To prove this, suppose  $j, j' \in J$ , and consider the diagram of 2-morphisms over  $\text{Im } \chi_i \cap e^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'}) \cap (g \circ e)^{-1}(\text{Im } \omega_k)$ :

$$\begin{array}{ccc}
(\Theta_{ijk}^{g,e})^{-1} & \xrightarrow{\quad} & \mathbf{g}_{jk} \circ \mathbf{e}_{ij} \xrightarrow{\quad \zeta_{jk} * \eta_{ij} \quad} & \mathbf{h}_{jk} \circ \mathbf{f}_{ij} & \xrightarrow{\quad} & \Theta_{ijk}^{h,f} \\
& \nearrow & \uparrow \mathbf{G}_{jj'}^k * \text{id}_{e_{ij}} & \xrightarrow{\quad (\zeta_{j'k} * \text{id}_{\Upsilon_{jj'}}) * \eta_{ij} \quad} & \mathbf{H}_{jj'}^k * \text{id}_{f_{ij}} & \uparrow \\
& & (\mathbf{g}_{j'k} \circ \Upsilon_{jj'}) \circ \mathbf{e}_{ij} & \xrightarrow{\quad} & (\mathbf{h}_{j'k} \circ \Upsilon_{jj'}) \circ \mathbf{f}_{ij} & \\
(\mathbf{g} \circ \mathbf{e})_{ik} & \downarrow \alpha_{\mathbf{g}_{j'k}, \Upsilon_{jj'}, e_{ij}} & & \downarrow \alpha_{\mathbf{h}_{j'k}, \Upsilon_{jj'}, f_{ij}} & & (\mathbf{h} \circ \mathbf{f})_{ik} \\
& \searrow & \mathbf{g}_{j'k} \circ (\Upsilon_{jj'} \circ \mathbf{e}_{ij}) \xrightarrow{\quad \zeta_{j'k} * (\text{id}_{\Upsilon_{jj'}} * \eta_{ij}) \quad} & \mathbf{h}_{j'k} \circ (\Upsilon_{jj'} \circ \mathbf{f}_{ij}) & \xrightarrow{\quad} & \\
& & \downarrow \text{id}_{\mathbf{g}_{j'k}} * \mathbf{E}_i^{jj'} & \downarrow \text{id}_{\mathbf{h}_{j'k}} * \mathbf{F}_i^{jj'} & & \\
(\Theta_{ij'k}^{g,e})^{-1} & \xrightarrow{\quad} & \mathbf{g}_{j'k} \circ \mathbf{e}_{ij'} \xrightarrow{\quad \zeta_{j'k} * \eta_{ij'} \quad} & \mathbf{h}_{j'k} \circ \mathbf{f}_{ij'} & \xrightarrow{\quad} & \Theta_{ij'k}^{h,f}
\end{array} \quad (6.32)$$

Here the left and right polygons commute by (6.25), the top and bottom rectangles commute by Definition 6.20(a),(b) for  $\zeta, \eta$ , and the central rectangle commutes by properties of weak 2-categories. Hence (6.32) commutes.

The two routes round the outside of (6.32) imply that the prescribed values (6.31) for  $\theta_{ik}$  agree on overlaps between open sets for  $j, j'$ . As the  $\text{Im } \chi_i \cap e^{-1}(\text{Im } \psi_j) \cap (g \circ e)^{-1}(\text{Im } \omega_k)$  for  $j \in J$  form an open cover of the correct domain  $\text{Im } \chi_i \cap (g \circ e)^{-1}(\text{Im } \omega_k)$ , by Theorem 6.16 and Definition A.17(iii),(iv), there is a unique 2-morphism  $\theta_{ik} : (\mathbf{g} \circ \mathbf{e})_{ik} \Rightarrow (\mathbf{h} \circ \mathbf{f})_{ik}$  satisfying (6.31) for all  $j \in J$ .

To show  $\theta = (\theta_{ik}) : \mathbf{g} \circ \mathbf{e} \Rightarrow \mathbf{h} \circ \mathbf{f}$  is a 2-morphism, we must verify Definition 6.20(a),(b) for  $\theta$ . We do this by first showing that (a),(b) hold on the intersections of their domains with  $e^{-1}(\text{Im } \psi_j)$  for  $j \in J$  using (6.24), (6.26), (6.31), and Definition 6.20 for  $\eta, \zeta$ , and then use Theorem 6.16 and Definition A.17(iii) to deduce that Definition 6.20(a),(b) for  $\theta$  hold on their whole domains. So  $\theta$  is a 2-morphism of Kuranishi spaces.

Define the *horizontal composition of 2-morphisms*  $\zeta * \eta : \mathbf{g} \circ \mathbf{e} \Rightarrow \mathbf{h} \circ \mathbf{f}$  to be  $\zeta * \eta = \theta$ . By (6.31), for all  $i \in I, j \in J, k \in K$  we have

$$(\zeta * \eta)_{ik} \odot \Theta_{ijk}^{g,e} = \Theta_{ijk}^{h,f} \odot (\zeta_{jk} * \eta_{ij}), \quad (6.33)$$

and this characterizes  $\zeta * \eta$  uniquely.

We have now defined all the structures of a *weak 2-category of Kuranishi spaces*  $\dot{\mathbf{K}}\text{ur}$ , as in §A.2: objects  $\mathbf{X}, \mathbf{Y}$ , 1-morphisms  $\mathbf{f}, \mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$ , 2-morphisms  $\eta : \mathbf{f} \Rightarrow \mathbf{g}$ , identity 1- and 2-morphisms, composition of 1-morphisms, vertical and horizontal composition of 2-morphisms, 2-isomorphisms  $\alpha_{g,f,e}$  in (6.28) for associativity of 1-morphisms, and  $\beta_f, \gamma_f$  in (6.27) for identity 1-morphisms. Following the proofs of Propositions 4.25–4.27 in §4.3, but including extra 2-morphisms  $\alpha_{*,*,*}, \beta_*, \gamma_*$ , as in Theorem 4.28 we prove:

**Theorem 6.26.** *The definitions and propositions above define a weak 2-category of Kuranishi spaces  $\dot{\mathbf{K}}\text{ur}$ .*

**Remark 6.27.** (a) We proved in §6.1 that Kuranishi neighbourhoods over  $S \subseteq X$  form a weak 2-category  $\dot{\mathbf{K}}\text{N}_S(X)$ , and now we have shown that Kuranishi spaces also form a weak 2-category  $\dot{\mathbf{K}}\text{ur}$ . But morally,  $\dot{\mathbf{K}}\text{N}_S(X)$  is closer to

being a strict 2-category. In  $\dot{\mathbf{K}}\mathbf{N}_S(X)$  there is a natural notion of composition of 1-morphisms  $\Phi_{jk} \circ \Phi_{ij}$ , but it just fails to be strictly associative, as the canonical isomorphism of fibre products  $\lambda_{ijkl}$  in (6.6) is not the identity. The analogue  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$  for m-Kuranishi spaces in §4.1 is a strict 2-category.

In  $\dot{\mathbf{K}}\mathbf{ur}$ , there is no natural notion of composition of 1-morphisms  $\mathbf{g} \circ \mathbf{f}$ , so as in Definition 6.22 we have to choose  $\mathbf{g} \circ \mathbf{f}$  using the Axiom of Global Choice, and composition of 1-morphisms in  $\dot{\mathbf{K}}\mathbf{ur}$  is far from being strictly associative.

(b) We can define a weak 2-functor  $\mathbf{G}\dot{\mathbf{K}}\mathbf{N} \rightarrow \dot{\mathbf{K}}\mathbf{ur}$  which is an equivalence from the 2-category  $\mathbf{G}\dot{\mathbf{K}}\mathbf{N}$  of global Kuranishi neighbourhoods in Definition 6.9 to the full 2-subcategory of objects  $(X, \mathcal{K})$  in  $\dot{\mathbf{K}}\mathbf{ur}$  for which  $\mathcal{K}$  contains only one Kuranishi neighbourhood. It acts by  $(V, E, \Gamma, s) \mapsto \mathbf{S}_{V, E, \Gamma, s}$  on objects, for  $\mathbf{S}_{V, E, \Gamma, s}$  as in Example 6.18.

Here is the analogue of Examples 4.31 and 5.17:

**Example 6.28.** Let  $\mathbf{X} = (X, \mathcal{I})$ ,  $\mathbf{Y} = (Y, \mathcal{J})$  be Kuranishi spaces in  $\dot{\mathbf{K}}\mathbf{ur}$ , with notation (6.15)–(6.16). Define the *product* to be  $\mathbf{X} \times \mathbf{Y} = (X \times Y, \mathcal{K})$ , where

$$\mathcal{K} = (I \times J, (W_{(i,j)}, F_{(i,j)}, \Delta_{(i,j)}, t_{(i,j)}, \omega_{(i,j)})_{(i,j) \in I \times J}, \Phi_{(i,j)(i',j')}, (i,j),(i',j') \in I \times J, \\ \mathbf{M}_{(i,j)(i',j')(i'',j'')}, (i,j),(i',j'),(i'',j'') \in I \times J).$$

Here for all  $(i, j) \in I \times J$  we set  $W_{(i,j)} = U_i \times V_j$ ,  $F_{(i,j)} = \pi_{U_i}^*(D_i) \oplus \pi_{V_j}^*(E_j)$ ,  $\Delta_{(i,j)} = B_i \times \Gamma_j$ , and  $t_{(i,j)} = \pi_{U_i}^*(r_i) \oplus \pi_{V_j}^*(s_j)$  so that  $t_{(i,j)}^{-1}(0) = r_i^{-1}(0) \times s_j^{-1}(0)$ , and  $\omega_{(i,j)} = \chi_i \times \psi_j : (r_i^{-1}(0) \times s_j^{-1}(0)) / (B_i \times \Gamma_j) \rightarrow X \times Y$ . Also

$$\Phi_{(i,j)(i',j')} = \mathbf{T}_{ii'} \times \mathbf{Y}_{jj'} = (P_{ii'} \times Q_{jj'}, \pi_{ii'} \times \pi_{jj'}, \tau_{ii'} \times \nu_{jj'}, \pi_{P_{ii'}}^*(\hat{\tau}_{ii'}) \oplus \pi_{Q_{jj'}}^*(\hat{\nu}_{jj'})),$$

and  $\mathbf{M}_{(i,j)(i',j')(i'',j'')} = \mathbf{K}_{ii'ii''} \times \mathbf{L}_{jj'jj''}$  is defined as a product 2-morphism in the obvious way. Then  $\mathbf{X} \times \mathbf{Y}$  is a Kuranishi space, with  $\text{vdim}(\mathbf{X} \times \mathbf{Y}) = \text{vdim} \mathbf{X} + \text{vdim} \mathbf{Y}$ . As in Example 4.31 we define explicit projection 1-morphisms  $\pi_{\mathbf{X}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{X}$  and  $\pi_{\mathbf{Y}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{Y}$ .

Then  $\mathbf{X} \times \mathbf{Y}$ ,  $\pi_{\mathbf{X}}$ ,  $\pi_{\mathbf{Y}}$  have the universal property of products in a 2-category, as in §11.5 in volume II. Products are commutative and associative up to canonical equivalence. If  $\mathbf{f} : \mathbf{W} \rightarrow \mathbf{Y}$ ,  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$ ,  $\mathbf{h} : \mathbf{X} \rightarrow \mathbf{Z}$  are 1-morphisms in  $\dot{\mathbf{K}}\mathbf{ur}$  then we have a *product 1-morphism*  $\mathbf{f} \times \mathbf{h} : \mathbf{W} \times \mathbf{X} \rightarrow \mathbf{Y} \times \mathbf{Z}$  and a *direct product 1-morphism*  $(\mathbf{g}, \mathbf{h}) : \mathbf{X} \rightarrow \mathbf{Y} \times \mathbf{Z}$  in  $\dot{\mathbf{K}}\mathbf{ur}$ , both easy to write down explicitly.

## 6.2.2 Examples of 2-categories $\dot{\mathbf{K}}\mathbf{ur}$ , and 2-functors of them

Here is the analogue of Definition 4.29:

**Definition 6.29.** In Theorem 6.26 we write  $\dot{\mathbf{K}}\mathbf{ur}$  for the 2-category of Kuranishi spaces constructed from a category  $\dot{\mathbf{M}}\mathbf{an}$  satisfying Assumptions 3.1–3.7. By Example 3.8, the following categories from Chapter 2 are possible choices for  $\dot{\mathbf{M}}\mathbf{an}$ :

$$\mathbf{Man}, \mathbf{Man}_{\text{we}}^c, \mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{c, \text{ac}}. \quad (6.34)$$

We write the corresponding 2-categories of Kuranishi spaces as follows:

$$\mathbf{Kur}, \mathbf{Kur}_{\text{we}}^c, \mathbf{Kur}^c, \mathbf{Kur}^{\text{gc}}, \mathbf{Kur}^{\text{ac}}, \mathbf{Kur}^{c,\text{ac}}. \quad (6.35)$$

Objects of  $\mathbf{Kur}^c, \mathbf{Kur}^{\text{gc}}, \mathbf{Kur}^{\text{ac}}, \mathbf{Kur}^{c,\text{ac}}$  will be called *Kuranishi spaces with corners*, and *with g-corners*, and *with a-corners*, and *with corners and a-corners*, respectively.

In §4.4 we showed that any functor  $F_{\mathbf{Man}}^{\mathbf{Man}} : \mathbf{Man} \rightarrow \mathbf{Man}$  satisfying Condition 3.20 induces a weak 2-functor  $F_{\mathbf{mKur}}^{\mathbf{mKur}} : \mathbf{mKur} \rightarrow \mathbf{mKur}$ , and under the hypotheses of Proposition 3.21 this is an inclusion of 2-subcategories. The same arguments work for Kuranishi spaces, proving:

**Proposition 6.30.** *Suppose  $\mathbf{Man}, \mathbf{Man}$  are categories satisfying Assumptions 3.1–3.7, and  $F_{\mathbf{Man}}^{\mathbf{Man}} : \mathbf{Man} \rightarrow \mathbf{Man}$  is a functor satisfying Condition 3.20. Then we can define a natural weak 2-functor  $F_{\mathbf{Kur}}^{\mathbf{Kur}} : \mathbf{Kur} \rightarrow \mathbf{Kur}$ .*

*If  $F_{\mathbf{Man}}^{\mathbf{Man}} : \mathbf{Man} \hookrightarrow \mathbf{Man}$  is an inclusion of subcategories  $\mathbf{Man} \subseteq \mathbf{Man}$  satisfying either Proposition 3.21(a) or (b), then  $F_{\mathbf{Kur}}^{\mathbf{Kur}} : \mathbf{Kur} \hookrightarrow \mathbf{Kur}$  is also an inclusion of 2-subcategories  $\mathbf{Kur} \subseteq \mathbf{Kur}$ .*

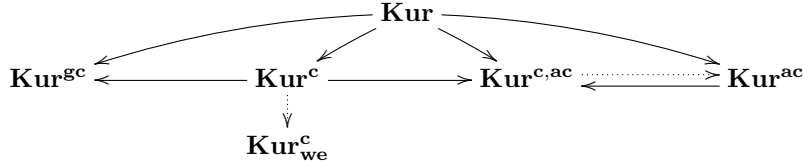


Figure 6.1: 2-functors between 2-categories of Kuranishi spaces from Definition 6.29. Arrows ‘ $\rightarrow$ ’ are inclusions of 2-subcategories.

Applying Definition 4.32 to the parts of the diagram Figure 3.1 of functors  $F_{\mathbf{Man}}^{\mathbf{Man}}$  involving the categories (6.34) yields a diagram Figure 6.1 of 2-functors  $F_{\mathbf{Kur}}^{\mathbf{Kur}}$ . Arrows ‘ $\rightarrow$ ’ are inclusions of 2-subcategories.

### 6.2.3 Discrete properties of 1-morphisms in $\mathbf{Kur}$

In §3.3.6 and §B.6 we defined when a property  $P$  of morphisms in  $\mathbf{Man}$  is *discrete*. Section 4.5 explained how to extend discrete properties of morphisms in  $\mathbf{Man}$  to corresponding properties of 1-morphisms in  $\mathbf{mKur}$ . We now do the same for  $\mathbf{Kur}$ . Here are the analogues of Definitions 4.33, 4.35, and 4.37 and Propositions 4.34 and 4.36, proved in a very similar way.

**Definition 6.31.** Let  $P$  be a discrete property of morphisms in  $\mathbf{Man}$ . Suppose  $f : X \rightarrow Y$  is a continuous map and  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  is a 1-morphism of Kuranishi neighbourhoods over  $(S, f)$ , for  $S \subseteq X$  open. We say that  $\Phi_{ij}$  is  $P$  if  $\phi_{ij} : P_{ij} \rightarrow V_j$  is  $P$  near  $(\bar{\psi}_i \circ \pi_{ij})^{-1}(S)$

in  $P_{ij}$ . That is, there should exist an open submanifold  $\iota : U \hookrightarrow P_{ij}$  with  $(\bar{\psi}_i \circ \pi_{ij})^{-1}(S) \subseteq U \subseteq P_{ij}$  such that  $\phi_{ij} \circ \iota : U \rightarrow V_j$  has property  $\mathbf{P}$  in  $\mathbf{Man}$ .

**Proposition 6.32.** *Let  $\mathbf{P}$  be a discrete property of morphisms in  $\mathbf{Man}$ . Then:*

- (a) *Let  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be a 1-morphism of Kuranishi neighbourhoods over  $(S, f)$  for  $f : X \rightarrow Y$  continuous and  $S \subseteq X$  open. If  $\Phi_{ij}$  is  $\mathbf{P}$  and  $T \subseteq S$  is open then  $\Phi_{ij}|_T$  is  $\mathbf{P}$ . If  $\{T_a : a \in A\}$  is an open cover of  $S$  and  $\Phi_{ij}|_{T_a}$  is  $\mathbf{P}$  for all  $a \in A$  then  $\Phi_{ij}$  is  $\mathbf{P}$ .*
- (b) *Let  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be 1-morphisms over  $(S, f)$  and  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  a 2-morphism. Then  $\Phi_{ij}$  is  $\mathbf{P}$  if and only if  $\Phi'_{ij}$  is  $\mathbf{P}$ .*
- (c) *Let  $f : X \rightarrow Y, g : Y \rightarrow Z$  be continuous,  $T \subseteq Y, S \subseteq f^{-1}(T) \subseteq X$  be open,  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be a 1-morphism over  $(S, f)$ , and  $\Phi_{jk} : (V_j, E_j, \Gamma_j, s_j, \psi_j) \rightarrow (V_k, E_k, \Gamma_k, s_k, \psi_k)$  be a 1-morphism over  $(T, g)$ , so that  $\Phi_{jk} \circ \Phi_{ij}$  is a 1-morphism over  $(S, g \circ f)$ . If  $\Phi_{ij}, \Phi_{jk}$  are  $\mathbf{P}$  then  $\Phi_{jk} \circ \Phi_{ij}$  is  $\mathbf{P}$ .*
- (d) *Let  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be a coordinate change of Kuranishi neighbourhoods over  $S \subseteq X$ . Then  $\Phi_{ij}$  is  $\mathbf{P}$ .*

**Definition 6.33.** Let  $\mathbf{P}$  be a discrete property of morphisms in  $\mathbf{Man}$ . Suppose  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is a 1-morphism in  $\mathbf{Kur}$ , and use notation (6.15), (6.16), (6.18) for  $\mathbf{X}, \mathbf{Y}, f$ . We say that  $f$  is  $\mathbf{P}$  if  $f_{ij}$  is  $\mathbf{P}$  in the sense of Definition 6.31 for all  $i \in I$  and  $j \in J$ .

**Proposition 6.34.** *Let  $\mathbf{P}$  be a discrete property of morphisms in  $\mathbf{Man}$ . Then:*

- (a) *Let  $f, g : \mathbf{X} \rightarrow \mathbf{Y}$  be 1-morphisms in  $\mathbf{Kur}$  and  $\eta : f \Rightarrow g$  a 2-morphism. Then  $f$  is  $\mathbf{P}$  if and only if  $g$  is  $\mathbf{P}$ .*
- (b) *Let  $f : \mathbf{X} \rightarrow \mathbf{Y}$  and  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{Kur}$ . If  $f$  and  $g$  are  $\mathbf{P}$  then  $g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is  $\mathbf{P}$ .*
- (c) *Identity 1-morphisms  $\text{id}_{\mathbf{X}} : \mathbf{X} \rightarrow \mathbf{X}$  in  $\mathbf{Kur}$  are  $\mathbf{P}$ . Equivalences  $f : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{Kur}$  are  $\mathbf{P}$ .*

Parts (b),(c) imply that we have a 2-subcategory  $\mathbf{Kur}_{\mathbf{P}} \subseteq \mathbf{Kur}$  containing all objects in  $\mathbf{Kur}$ , and all 1-morphisms  $f$  in  $\mathbf{Kur}$  which are  $\mathbf{P}$ , and all 2-morphisms  $\eta : f \Rightarrow g$  in  $\mathbf{Kur}$  between 1-morphisms  $f, g$  which are  $\mathbf{P}$ .

**Definition 6.35.** (a) Taking  $\mathbf{Man} = \mathbf{Man}^c$  from §2.1 gives the 2-category of Kuranishi spaces  $\mathbf{Kur}^c$  from Definition 6.29. We write

$$\mathbf{Kur}_{\text{in}}^c, \mathbf{Kur}_{\text{bn}}^c, \mathbf{Kur}_{\text{st}}^c, \mathbf{Kur}_{\text{st,in}}^c, \mathbf{Kur}_{\text{st,bn}}^c, \mathbf{Kur}_{\text{si}}^c$$

for the 2-subcategories of  $\mathbf{Kur}^c$  with 1-morphisms which are *interior*, and *b-normal*, and *strongly smooth*, and *strongly smooth-interior*, and *strongly smooth-b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^c$  are

discrete by Example 3.19(a), so as in Definition 6.33 and Proposition 6.34 we have corresponding notions of interior, . . . , simple 1-morphisms in  $\mathbf{Kur}^c$ .

(b) Taking  $\mathring{\mathbf{Man}} = \mathbf{Man}^{\mathfrak{g}^c}$  from §2.4.1 gives the 2-category of Kuranishi spaces with g-corners  $\mathbf{Kur}^{\mathfrak{g}^c}$  from Definition 6.29. We write

$$\mathbf{Kur}_{\text{in}}^{\mathfrak{g}^c}, \mathbf{Kur}_{\text{bn}}^{\mathfrak{g}^c}, \mathbf{Kur}_{\text{si}}^{\mathfrak{g}^c}$$

for the 2-subcategories of  $\mathbf{Kur}^{\mathfrak{g}^c}$  with 1-morphisms which are *interior*, and *b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^{\mathfrak{g}^c}$  are discrete by Example 3.19(b), so we have corresponding notions for 1-morphisms in  $\mathbf{Kur}^{\mathfrak{g}^c}$ .

(c) Taking  $\mathring{\mathbf{Man}} = \mathbf{Man}^{\text{ac}}$  from §2.4.2 gives the 2-category of Kuranishi spaces with a-corners  $\mathbf{Kur}^{\text{ac}}$  from Definition 6.29. We write

$$\mathbf{Kur}_{\text{in}}^{\text{ac}}, \mathbf{Kur}_{\text{bn}}^{\text{ac}}, \mathbf{Kur}_{\text{st}}^{\text{ac}}, \mathbf{Kur}_{\text{st,in}}^{\text{ac}}, \mathbf{Kur}_{\text{st,bn}}^{\text{ac}}, \mathbf{Kur}_{\text{si}}^{\text{ac}}$$

for the 2-subcategories of  $\mathbf{Kur}^{\text{ac}}$  with 1-morphisms which are *interior*, and *b-normal*, and *strongly a-smooth*, and *strongly a-smooth-interior*, and *strongly a-smooth-b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^{\text{ac}}$  are discrete by Example 3.19(c), so we have corresponding notions for 1-morphisms in  $\mathbf{Kur}^{\text{ac}}$ .

(d) Taking  $\mathring{\mathbf{Man}} = \mathbf{Man}^{\text{c,ac}}$  from §2.4.2 gives the 2-category of Kuranishi spaces with corners and a-corners  $\mathbf{Kur}^{\text{c,ac}}$  from Definition 6.29. We write

$$\mathbf{Kur}_{\text{in}}^{\text{c,ac}}, \mathbf{Kur}_{\text{bn}}^{\text{c,ac}}, \mathbf{Kur}_{\text{st}}^{\text{c,ac}}, \mathbf{Kur}_{\text{st,in}}^{\text{c,ac}}, \mathbf{Kur}_{\text{st,bn}}^{\text{c,ac}}, \mathbf{Kur}_{\text{si}}^{\text{c,ac}}$$

for the 2-subcategories of  $\mathbf{Kur}^{\text{c,ac}}$  with 1-morphisms which are *interior*, and *b-normal*, and *strongly a-smooth*, and *strongly a-smooth-interior*, and *strongly a-smooth-b-normal*, and *simple*, respectively. These properties of morphisms in  $\mathbf{Man}^{\text{c,ac}}$  are discrete by Example 3.19(c), so we have corresponding notions for 1-morphisms in  $\mathbf{Kur}^{\text{c,ac}}$ .

Figure 6.1 gives inclusions between the 2-categories in (6.35). Combining this with the inclusions between the 2-subcategories in Definition 6.35 we get a diagram Figure 6.2 of inclusions of 2-subcategories of Kuranishi spaces.

## 6.2.4 Kuranishi spaces and m-Kuranishi spaces

We relate m-Kuranishi spaces in Chapter 4 to Kuranishi spaces above.

**Example 6.36.** Let  $\mathbf{m}\mathring{\mathbf{Kur}}$  and  $\mathring{\mathbf{Kur}}$  be the weak 2-categories constructed in §4.3 and above from the same category of ‘manifolds’  $\mathring{\mathbf{Man}}$ . We will define a full and faithful weak 2-functor  $F_{\mathbf{m}\mathring{\mathbf{Kur}}}^{\mathring{\mathbf{Kur}}} : \mathbf{m}\mathring{\mathbf{Kur}} \hookrightarrow \mathring{\mathbf{Kur}}$ , as in §A.3.

First we explain how to map m-Kuranishi neighbourhoods and their 1- and 2-morphisms to Kuranishi neighbourhoods and their 1- and 2-morphisms. An m-Kuranishi neighbourhood  $(V_i, E_i, s_i, \psi_i)$  on  $X$  maps to the Kuranishi neighbourhood  $(V_i, E_i, \{1\}, s_i, \psi_i)$  on  $X$ , that is, to  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  with group  $\Gamma_i = \{1\}$ .



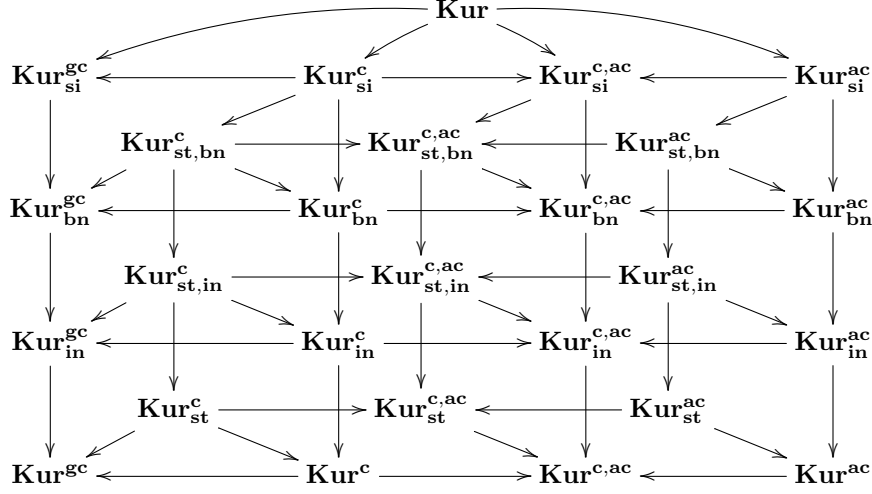


Figure 6.2: Inclusions of 2-categories of Kuranishi spaces.

A 1-morphism  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  of m-Kuranishi neighbourhoods over  $(S, f)$  maps to the 1-morphism  $\tilde{\Phi}_{ij} = (V_{ij}, \text{id}_{V_{ij}}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, \{1\}, s_i, \psi_i) \rightarrow (V_j, E_j, \{1\}, s_j, \psi_j)$  of Kuranishi neighbourhoods over  $(S, f)$ . That is, in  $\tilde{\Phi}_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$ ,  $\pi_{ij} : P_{ij} \rightarrow V_{ij} \subseteq V_i$  must be a principal  $\Gamma_j$ -bundle for  $\Gamma_j = \{1\}$ , so we take  $P_{ij} = V_{ij}$  and  $\pi_{ij} = \text{id}_{V_{ij}}$ .

Given 1-morphisms  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  of m-Kuranishi neighbourhoods over  $(S, f)$  and corresponding 1-morphisms  $\tilde{\Phi}_{ij}, \tilde{\Phi}'_{ij} : (V_i, E_i, \{1\}, s_i, \psi_i) \rightarrow (V_j, E_j, \{1\}, s_j, \psi_j)$  of Kuranishi neighbourhoods over  $(S, f)$ , a 2-morphism  $[\hat{V}_{ij}, \hat{\lambda}_{ij}] : \Phi_{ij} \Rightarrow \Phi'_{ij}$  of m-Kuranishi neighbourhoods maps to the 2-morphism  $[\hat{V}_{ij}, \text{id}_{\hat{V}_{ij}}, \hat{\lambda}_{ij}] : \tilde{\Phi}_{ij} \Rightarrow \tilde{\Phi}'_{ij}$  of Kuranishi neighbourhoods.

To define  $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mathbf{K}\mathbf{ur}}$ , we apply this process to all m-Kuranishi neighbourhoods, 1- and 2-morphisms in the structures on  $\mathbf{m}\mathbf{K}\mathbf{ur}$ . On objects, let  $\mathbf{X} = (X, \mathcal{K})$  be an m-Kuranishi space, with  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ , where  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  and  $\Lambda_{ijk} = [\hat{V}_{ijk}, \hat{\lambda}_{ijk}]$ . Define  $\tilde{\mathcal{K}} = (I, (V_i, E_i, \{1\}, s_i, \psi_i)_{i \in I}, \tilde{\Phi}_{ij}, i, j \in I, \tilde{\Lambda}_{ijk}, i, j, k \in I)$ , where  $\tilde{\Phi}_{ij} = (V_{ij}, \text{id}_{V_{ij}}, \phi_{ij}, \hat{\phi}_{ij})$  and  $\tilde{\Lambda}_{ijk} = [\hat{V}_{ijk}, \text{id}_{\hat{V}_{ijk}}, \hat{\lambda}_{ijk}]$ . Then  $\tilde{\mathbf{X}} = (X, \tilde{\mathcal{K}})$  is a Kuranishi space, and we set  $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mathbf{K}\mathbf{ur}}(\mathbf{X}) = \tilde{\mathbf{X}}$ . Similarly we define 1- and 2-morphisms  $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mathbf{K}\mathbf{ur}}(\mathbf{f}), F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mathbf{K}\mathbf{ur}}(\eta)$  in  $\mathbf{K}\mathbf{ur}$  for all 1- and 2-morphisms  $\mathbf{f}, \eta$  in  $\mathbf{m}\mathbf{K}\mathbf{ur}$ .

Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  and  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{m}\mathbf{K}\mathbf{ur}$ , and write  $\tilde{\mathbf{X}}, \tilde{\mathbf{Y}}, \tilde{\mathbf{Z}}, \tilde{\mathbf{f}}, \tilde{\mathbf{g}}$  for the images of  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}, \mathbf{f}, \mathbf{g}$  under  $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mathbf{K}\mathbf{ur}}$ . Then Definition 4.20 defines  $\mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$  in  $\mathbf{m}\mathbf{K}\mathbf{ur}$ , and Definition 6.22 defines  $\tilde{\mathbf{g}} \circ \tilde{\mathbf{f}} : \tilde{\mathbf{X}} \rightarrow \tilde{\mathbf{Z}}$  in  $\mathbf{K}\mathbf{ur}$ , both by making an arbitrary choice. As these choices may not be compatible, we need not have  $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mathbf{K}\mathbf{ur}}(\mathbf{g} \circ \mathbf{f}) = \tilde{\mathbf{g}} \circ \tilde{\mathbf{f}}$ . But  $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mathbf{K}\mathbf{ur}}(\mathbf{g} \circ \mathbf{f})$  is

a possible choice for  $\tilde{g} \circ \tilde{f}$ , so as in Proposition 6.23 there is a canonical 2-isomorphism  $(F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}})_{g,f} : F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}}(g) \circ F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}}(f) \Rightarrow F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}}(g \circ f)$ . We also write  $(F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}})_{\mathbf{X}} : F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}}(\mathbf{id}_{\mathbf{X}}) \Rightarrow \mathbf{id}_{F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}}(\mathbf{X})}$  for the obvious 2-morphism.

This defines all the data of a weak 2-functor  $F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}} : \mathbf{m}\dot{\mathbf{K}}\mathbf{ur} \hookrightarrow \dot{\mathbf{K}}\mathbf{ur}$ , as in §A.3. It is easy to check that  $F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}}$  satisfies the conditions for a weak 2-functor, and that it is full and faithful, and so embeds  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  as a full 2-subcategory of  $\dot{\mathbf{K}}\mathbf{ur}$ . It is an equivalence between  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  and the full 2-subcategory of objects  $\mathbf{X} = (X, \mathcal{K})$  in  $\dot{\mathbf{K}}\mathbf{ur}$  with  $\Gamma_i = \{1\}$  for all Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  in  $\mathcal{K}$ .

### 6.3 Kuranishi spaces with corners.

#### Boundaries, $k$ -corners, and the corner 2-functor

We now change notation from  $\dot{\mathbf{M}}\mathbf{an}$  in §3.1–§3.3 to  $\dot{\mathbf{M}}\mathbf{an}^c$  in §3.4, and from  $\dot{\mathbf{K}}\mathbf{ur}$  in §6.2 to  $\dot{\mathbf{K}}\mathbf{ur}^c$ . Suppose throughout this section that  $\dot{\mathbf{M}}\mathbf{an}^c$  satisfies Assumption 3.22 in §3.4.1. Then  $\dot{\mathbf{M}}\mathbf{an}^c$  satisfies Assumptions 3.1–3.7, so §6.2 constructs a 2-category  $\dot{\mathbf{K}}\mathbf{ur}^c$  of Kuranishi spaces associated to  $\dot{\mathbf{M}}\mathbf{an}^c$ . For instance,  $\dot{\mathbf{K}}\mathbf{ur}^c$  could be  $\mathbf{Kur}^c$ ,  $\mathbf{Kur}^{gc}$ ,  $\mathbf{Kur}^{ac}$  or  $\mathbf{Kur}^{c,ac}$  from Definition 6.29. We will refer to objects of  $\dot{\mathbf{K}}\mathbf{ur}^c$  as *Kuranishi spaces with corners*. We also write  $\dot{\mathbf{K}}\mathbf{ur}_{\mathbf{si}}^c$  for the 2-subcategory of  $\dot{\mathbf{K}}\mathbf{ur}^c$  with simple 1-morphisms in the sense of §6.2.3, noting that simple is a discrete property of morphisms in  $\dot{\mathbf{M}}\mathbf{an}^c$  by Assumption 3.22(c).

In §4.6, for each  $\mathbf{X} \in \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  we defined the  $k$ -corners  $C_k(\mathbf{X})$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  for  $k = 0, 1, \dots$ , with  $\partial\mathbf{X} = C_1(\mathbf{X})$ . We constructed a 2-category  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  from  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  with objects  $\coprod_{n \in \mathbb{Z}} \mathbf{X}_n$  for  $\mathbf{X}_n \in \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  with  $\text{vdim } \mathbf{X}_n = n$ , and defined the corner 2-functor  $C : \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c \rightarrow \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$ .

We will now extend all this to Kuranishi spaces with corners. We have to work with the more complicated notions of Kuranishi neighbourhoods and their 1- and 2-morphisms from §6.1, rather than m-Kuranishi neighbourhoods from §4.1, but apart from this the definitions and proofs are essentially the same. Here is the analogue of Definition 4.39:

**Definition 6.37.** Let  $\mathbf{X} = (X, \mathcal{K})$  in  $\dot{\mathbf{K}}\mathbf{ur}^c$  be a Kuranishi space with corners with  $\text{vdim } \mathbf{X} = n$ , and as in Definition 6.17 write  $\mathcal{K} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{hij}, h, i, j \in I)$  with  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  and  $\Lambda_{hij} = [\hat{P}_{hij}, \lambda_{hij}, \hat{\lambda}_{hij}]$ . Let  $k \in \mathbb{N}$ . We will define a Kuranishi space with corners  $C_k(\mathbf{X})$  in  $\dot{\mathbf{K}}\mathbf{ur}^c$  called the  *$k$ -corners of  $\mathbf{X}$* , with  $\text{vdim } C_k(\mathbf{X}) = n - k$ , and a 1-morphism  $\Pi_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  in  $\dot{\mathbf{K}}\mathbf{ur}^c$ .

Explicitly we write  $C_k(\mathbf{X}) = (C_k(X), \mathcal{K}_k)$  with

$$\mathcal{K}_k = (\{k\} \times I, (V_{(k,i)}, E_{(k,i)}, \Gamma_{(k,i)}, s_{(k,i)}, \psi_{(k,i)})_{i \in I}, \Phi_{(k,i),(k,j)}, \Lambda_{(k,h)(k,i)(k,j)},$$

$$\text{with } \Phi_{(k,i)(k,j)} = (P_{(k,i)(k,j)}, \pi_{(k,i)(k,j)}, \phi_{(k,i)(k,j)}, \hat{\phi}_{(k,i)(k,j)})$$

$$\text{and } \Lambda_{(k,h)(k,i)(k,j)} = [\hat{P}_{(k,h)(k,i)(k,j)}, \lambda_{(k,h)(k,i)(k,j)}, \hat{\lambda}_{(k,h)(k,i)(k,j)}],$$

where  $\mathcal{K}_k$  has indexing set  $\{k\} \times I$ , and as in (6.18) we write

$$\mathbf{\Pi}_k = (\mathbf{\Pi}_k, \mathbf{\Pi}_{(k,i)j}, i, j \in I, \mathbf{\Pi}_{(k,i)(k,i')}, i, i' \in I, \mathbf{\Pi}^{jj'}, j, j' \in I), \quad \text{where}$$

$$\mathbf{\Pi}_{(k,i)j} = (P_{(k,i)j}, \pi_{(k,i)j}, \Pi_{(k,i)j}, \hat{\Pi}_{(k,i)j}) : (V_{(k,i)}, E_{(k,i)}, \Gamma_{(k,i)}, s_{(k,i)}, \psi_{(k,i)}) \\ \longrightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j),$$

$$\mathbf{\Pi}_{(k,i)(k,i')}^j = [\hat{P}_{(k,i)(k,i')}^j, \Pi_{(k,i)(k,i')}^j, \hat{\Pi}_{(k,i)(k,i')}^j] : \mathbf{\Pi}_{(k,i')j} \circ \Phi_{(k,i)(k,i')} \implies \mathbf{\Pi}_{(k,i)j},$$

$$\mathbf{\Pi}_{(k,i)}^{jj'} = [\hat{P}_{(k,i)}^{jj'}, \Pi_{(k,i)}^{jj'}, \hat{\Pi}_{(k,i)}^{jj'}] : \Phi_{jj'} \circ \mathbf{\Pi}_{(k,i)j} \implies \mathbf{\Pi}_{(k,i)j'}.$$

As in Definition 4.39, for each  $i \in I$ , define  $V_{(k,i)} = C_k(V_i)$  to be the  $k$ -corners of  $V_i$  from Assumption 3.22(d). Define  $E_{(k,i)} \rightarrow V_{(k,i)}$  to be the pullback vector bundle  $\Pi_k^*(E_i)$ , where  $\Pi_k : V_{(k,i)} = C_k(V_i) \rightarrow V_i$  is as in Assumption 3.22(d), and let  $s_{(k,i)} = \Pi_k^*(s_i)$  in  $\Gamma^\infty(E_{(k,i)})$  be the pullback section. These are equivalent to  $E_{(k,i)} = C_k(E_i)$ ,  $s_{(k,i)} = C_k(s_i)$ , where  $s_i : V_i \rightarrow E_i$  is simple. Note that

$$\dim V_{(k,i)} - \text{rank } E_{(k,i)} = \dim C_k(V_i) - \text{rank } E_i = \dim V_i - k - \text{rank } E_i = n - k,$$

by Assumption 3.22(d), as required in Definition 6.17(b) for  $C_k(\mathbf{X})$ .

Define a finite group  $\Gamma_{(k,i)} = \Gamma_i$ . As in Definition 6.1(c),  $\Gamma_i$  acts on  $V_i$  by diffeomorphisms in  $\mathbf{Man}^c$ , and we write these as  $\rho(\gamma) : V_i \rightarrow V_i$  for  $\gamma \in \Gamma_i$ . Then  $\rho(\gamma)$  is simple by Definition 3.18(i) as simple maps are discrete, so Assumption 3.22(i) gives morphisms  $C_k \circ \rho(\gamma) : V_{(k,i)} = C_k(V_i) \rightarrow V_{(k,i)} = C_k(V_i)$  for  $\gamma \in \Gamma_{(k,i)} = \Gamma_i$ , and these form a smooth action of  $\Gamma_{(k,i)}$  on  $V_{(k,i)}$ . Similarly the  $\Gamma_i$ -action on  $E_i$  lifts to a  $\Gamma_{(k,i)}$ -action on  $E_{(k,i)} = C_k(E_i)$  preserving the vector bundle structure, and  $s_{(k,i)} = C_k(s_i) : V_{(k,i)} \rightarrow E_{(k,i)}$  is  $\Gamma_{(k,i)}$ -equivariant as  $s_i : V_i \rightarrow E_i$  is  $\Gamma_i$ -equivariant. This defines the data  $V_{(k,i)}, E_{(k,i)}, \Gamma_{(k,i)}, s_{(k,i)}$  in  $(V_{(k,i)}, E_{(k,i)}, \Gamma_{(k,i)}, s_{(k,i)}, \psi_{(k,i)})$ , and verifies Definition 6.1(a)–(d).

Let  $i, j \in I$ . Since simple maps are a discrete property in  $\mathbf{Man}^c$  by Assumption 3.22(c), Definition 6.31 and Proposition 6.32(d) imply that  $\phi_{ij} : P_{ij} \rightarrow V_j$  is simple near  $(\bar{\psi}_i \circ \pi_{ij})^{-1}(\text{Im } \psi_j) \subseteq P_{ij}$ . Note too that  $\pi_{ij} : P_{ij} \rightarrow V_i$  is always simple, by Definition 3.18(i),(iv) and discreteness of simple maps, as  $\pi_{ij}$  is étale by Definition 6.2(b). Let  $P'_{ij} \subseteq P_{ij}$  be the maximal open set on which  $\phi_{ij}$  is simple, so that  $(\bar{\psi}_i \circ \pi_{ij})^{-1}(\text{Im } \psi_j) \subseteq P'_{ij}$ . Write  $\pi'_{ij}, \phi'_{ij}, \hat{\phi}'_{ij}$  for the restrictions

of  $\pi_{ij}, \phi_{ij}, \hat{\phi}_{ij}$  to  $P'_{ij}$ , so  $\pi'_{ij}, \phi'_{ij}$  are simple. Generalizing (4.40)–(4.43), define

$$\begin{aligned}
P_{(k,i)(k,j)} &= C_k(P'_{ij}), \\
\pi_{(k,i)(k,j)} &= C_k(\pi'_{ij}) : P_{(k,i)(k,j)} = C_k(P'_{ij}) \longrightarrow V_{(k,i)} = C_k(V_i), \\
\phi_{(k,i)(k,j)} &= C_k(\phi'_{ij}) : P_{(k,i)(k,j)} = C_k(P'_{ij}) \longrightarrow V_{(k,j)} = C_k(V_j), \\
\hat{\phi}_{(k,i)(k,j)} &= \Pi_k^*(\hat{\phi}'_{ij}) : \pi_{(k,i)(k,j)}^*(E_{(k,i)}) = C_k(\pi'_{ij})^* \circ \Pi_k^*(E_i) = \Pi_k^* \circ \pi_{ij}^*(E_i) \\
&\longrightarrow \Pi_k^* \circ \phi_{ij}^*(E_j) = C_k(\phi'_{ij})^* \circ \Pi_k^*(E_j) = \phi_{(k,i)(k,j)}^*(E_{(k,j)}), \\
P_{(k,i)j} &= C_k(P_{ij}), \\
\pi_{(k,i)j} &= C_k(\pi_{ij}) : P_{(k,i)j} = C_k(P_{ij}) \longrightarrow V_{(k,i)} = C_k(V_i), \\
\Pi_{(k,i)j} &= \phi_{ij} \circ \Pi_k : V_{(k,i)j} = C_k(V_{ij}) \longrightarrow V_j, \\
\hat{\Pi}_{(k,i)j} &= \Pi_k^*(\hat{\phi}_{ij}) : \pi_{(k,i)j}^*(E_{(k,i)}) = C_k(\pi_{ij})^* \circ \Pi_k^*(E_i) = \Pi_k^* \circ \pi_{ij}^*(E_i) \\
&\longrightarrow \Pi_k^* \circ \phi_{ij}^*(E_j) = (\phi_{ij} \circ \Pi_k)^*(E_j) = \Pi_{(k,i)j}^*(E_j).
\end{aligned}$$

This defines  $\Phi_{(k,i)(k,j)}$  and  $\Pi_{(k,i)j}$ . We can verify Definition 6.2(a)–(e) for  $\Phi_{(k,i)(k,j)}, \Pi_{(k,i)j}$  (except for  $\bar{\psi}_i^{-1}(S) \subseteq V_{ij}$  in Definition 6.2(b), as  $\psi_{(k,i)}$  is not yet defined) by applying  $C_k$  to Definition 6.2(a)–(e) for  $\Phi_{ij}$  and using Theorem 3.28 as in Definition 4.39.

For  $h, i, j \in I$ , choose a representative  $(\acute{P}_{hij}, \lambda_{hij}, \hat{\lambda}_{hij})$  for the  $\sim$ -equivalence class  $\Lambda_{hij} = [\acute{P}_{hij}, \lambda_{hij}, \hat{\lambda}_{hij}]$  in Definition 6.4. Here  $\Lambda_{hij} : \Phi_{ij} \circ \Phi_{hi} \Rightarrow \Phi_{hj}$  is a 2-morphism, where  $\Phi_{ij} \circ \Phi_{hi}$  is defined in Definition 6.5. From the definitions,  $\acute{P}_{hij} \subseteq (P_{hi} \times_{\phi_{hi}, V_i, \pi_{ij}} P_{ij})/\Gamma_i$  is open, and  $\lambda_{hij}$  maps  $\acute{P}_{hij} \rightarrow P_{hj}$ . Set

$$\acute{P}'_{hij} = \acute{P}_{hij} \cap [(P'_{hi} \times_{\phi'_{hi}, V_i, \pi'_{ij}} P'_{ij})/\Gamma_i] \cap \lambda_{hij}^{-1}(P'_{hj}).$$

Let  $\lambda'_{hij}, \hat{\lambda}'_{hij}$  be the restrictions of  $\lambda_{hij}, \hat{\lambda}_{hij}$  to  $\acute{P}'_{hij}$ . Generalizing (4.44), define

$$\begin{aligned}
\acute{P}_{(k,h)(k,i)(k,j)} &= C_k(\acute{P}'_{hij}) \subseteq (P_{(k,h)(k,i)} \times_{\phi_{(k,h)(k,i)}, V_{(k,i)}, \pi_{(k,i)(k,j)}} P_{(k,i)(k,j)})/\Gamma_{(k,i)} \\
&= (C_k(P'_{hi}) \times_{C_k(\phi'_{hi}), C_k(V_i), C_k(\pi'_{ij})} C_k(P'_{ij}))/\Gamma_i = C_k((P'_{hi} \times_{\phi'_{hi}, V_i, \pi'_{ij}} P'_{ij})/\Gamma_i),
\end{aligned}$$

where as  $\phi'_{ij}, \pi'_{ij}$  are simple with  $\pi'_{ij}$  étale, the corner functor  $C_k$  commutes with the fibre products and group quotients. Generalizing (4.45), define

$$\begin{aligned}
\lambda_{(k,h)(k,i)(k,j)} &= C_k(\lambda'_{hij}) : \acute{P}_{(k,h)(k,i)(k,j)} = C_k(\acute{P}'_{hij}) \longrightarrow P_{(k,h)(k,j)} = C_k(P'_{hj}), \\
\hat{\lambda}_{(k,h)(k,i)(k,j)} &= \Pi_k^*(\hat{\lambda}'_{hij}) : \pi_{(k,h)(k,i)(k,j)}^*(E_{(k,h)}) = \pi_{V_{(k,h)}}^* \circ \Pi_k^*(E_h) = \Pi_k^* \circ \pi_{V_h}^*(E_h) \\
&\longrightarrow \mathcal{T}_{\phi_{(k,i)(k,j)} \circ \pi_{P_{(k,i)(k,j)}}/\Gamma_{(k,i)}} V_{(k,j)} = \mathcal{T}_{C_k(\phi'_{ij} \circ \pi_{P'_{ij}}/\Gamma_i)} C_k(V_j).
\end{aligned}$$

We check  $(\acute{P}_{(k,h)(k,i)(k,j)}, \lambda_{(k,h)(k,i)(k,j)}, \hat{\lambda}_{(k,h)(k,i)(k,j)})$  satisfies Definition 6.4(a)–(c) (except for  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S)) \subseteq \acute{P}_{ij}$  in (a), as  $\psi_{(k,i)}$  is not yet defined) by applying  $C_k$  to Definition 6.4(a)–(c) for  $(\acute{P}_{hij}, \lambda_{hij}, \hat{\lambda}_{hij})$  and using Theorem 3.28 as in Definition 4.39.

Write  $\Lambda_{(k,h)(k,i)(k,j)} = [\hat{P}_{(k,h)(k,i)(k,j)}, \lambda_{(k,h)(k,i)(k,j)}, \hat{\lambda}_{(k,h)(k,i)(k,j)}]$  for the  $\sim$ -equivalence class of  $(\hat{P}_{(k,h)(k,i)(k,j)}, \lambda_{(k,h)(k,i)(k,j)}, \hat{\lambda}_{(k,h)(k,i)(k,j)})$ , as in Definition 6.4. Theorem 3.28(ii) implies that equivalence  $\sim$  on triples  $(\hat{P}_{hij}, \lambda_{hij}, \hat{\lambda}_{hij})$  lifts to  $\sim$  on triples  $(\hat{P}_{(k,h)(k,i)(k,j)}, \lambda_{(k,h)(k,i)(k,j)}, \hat{\lambda}_{(k,h)(k,i)(k,j)})$ , so  $\Lambda_{(k,h)(k,i)(k,j)}$  depends only on  $\Lambda_{hij} = [\hat{P}_{hij}, \lambda_{hij}, \hat{\lambda}_{hij}]$ , and (once we define  $C_k(X)$ ,  $\psi_{(k,i)}$  and verify the  $\Phi_{(k,i)(k,j)}$  are 1-morphisms), we have a well defined 2-morphism of Kuranishi neighbourhoods

$$\Lambda_{(k,h)(k,i)(k,j)} : \Phi_{(k,i)(k,j)} \circ \Phi_{(k,h)(k,i)} \implies \Phi_{(k,h)(k,j)}.$$

We define the 2-morphisms  $\mathbf{\Pi}_{(k,i)(k,i')}^j, \mathbf{\Pi}_{(k,i)}^{jj'}$  in  $\mathbf{\Pi}_k$  by generalizing the m-Kuranishi case in Definition 4.39 as for  $\Lambda_{(k,h)(k,i)(k,j)}$  above.

It remains to define the topological space  $C_k(X)$  and the continuous maps  $\psi_{(k,i)} : s_{(k,i)}^{-1}(0)/\Gamma_{(k,i)} \rightarrow C_k(X)$ ,  $\Pi_k : C_k(X) \rightarrow X$ . Define a binary relation  $\approx$  on  $\coprod_{i \in I} s_{(k,i)}^{-1}(0)/\Gamma_{(k,i)}$  by  $v_i \Gamma_{(k,i)} \approx v_j \Gamma_{(k,j)}$  if  $v_i \in s_{(k,i)}^{-1}(0)$ ,  $v_j \in s_{(k,j)}^{-1}(0)$  for  $i, j \in I$  and there exists  $p_{ij} \in P_{(k,i)(k,j)}$  with  $\pi_{(k,i)(k,j)}(p_{ij}) = v_i$  and  $\phi_{(k,i)(k,j)}(p_{ij}) = v_j$ . We can prove that  $\approx$  is an equivalence relation on  $\coprod_{i \in I} s_{(k,i)}^{-1}(0)/\Gamma_{(k,i)}$  by generalizing the proof in Definition 4.39, using the 2-morphism  $\Lambda_{(k,h)(k,i)(k,j)}$  above to show that  $v_h \Gamma_{(k,h)} \approx v_i \Gamma_{(k,i)}$  and  $v_i \Gamma_{(k,i)} \approx v_j \Gamma_{(k,j)}$  imply that  $v_h \Gamma_{(k,h)} \approx v_j \Gamma_{(k,j)}$ .

Generalizing (4.49), define  $C_k(X)$  to be the topological space

$$C_k(X) = [\coprod_{i \in I} s_{(k,i)}^{-1}(0)/\Gamma_{(k,i)}] / \approx,$$

with the quotient topology. For each  $i \in I$  define  $\psi_{(k,i)} : s_{(k,i)}^{-1}(0)/\Gamma_{(k,i)} \rightarrow C_k(X)$  by  $\psi_{(k,i)} : v_i \Gamma_{(k,i)} \mapsto [v_i \Gamma_{(k,i)}]$ , where  $[v_i \Gamma_{(k,i)}]$  is the  $\approx$ -equivalence class of  $v_i \Gamma_{(k,i)}$ . Define  $\Pi_k : C_k(X) \rightarrow X$  by  $\Pi_k([v_i \Gamma_{(k,i)}]) = \bar{\psi}_i \circ \Pi_k(v_i)$  for  $i \in I$  and  $v_i \in s_{(k,i)}^{-1}(0)$ , so that  $\Pi_k(v_i) \in s_i^{-1}(0)$  and  $\bar{\psi}_i \circ \Pi_k(v_i) \in X$ .

We can show as in Definition 4.39 that  $C_k(X)$  is Hausdorff and second countable, and  $\Pi_k : C_k(X) \rightarrow X$  is well defined, continuous and proper with finite fibres, and  $(V_{(k,i)}, E_{(k,i)}, \Gamma_{(k,i)}, s_{(k,i)}, \psi_{(k,i)})$  is a Kuranishi neighbourhood on  $C_k(X)$  for  $i \in I$ .

For all of Definition 6.17(a)–(h) for  $C_k(\mathbf{X})$ , either we have proved them above, or they follow from Definition 6.17(a)–(h) for  $\mathbf{X}$  by pulling back by  $\Pi_k$  and using Theorems 3.27–3.28, as in Definition 4.39. Hence  $C_k(\mathbf{X})$  is a Kuranishi space with corners in  $\mathbf{Kur}^c$ , with  $\text{vdim } C_k(\mathbf{X}) = n - k$ . Similarly, for Definition 6.19(a)–(h) for  $\mathbf{\Pi}_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$ , either we have proved them above, or they follow from Definition 6.17 for  $\mathbf{X}$  using Theorems 3.27–3.28, as in Definition 4.39, where we deduce Definition 6.19(f)–(h) for  $\mathbf{\Pi}_k$  from Definition 6.17(h) for  $\mathbf{X}$ . Thus  $\mathbf{\Pi}_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  is a 1-morphism in  $\mathbf{Kur}^c$ .

When  $k = 1$  we also write  $\partial \mathbf{X} = C_1(\mathbf{X})$  and call it the *boundary* of  $\mathbf{X}$ , and we write  $\mathbf{i}_X : \partial \mathbf{X} \rightarrow \mathbf{X}$  in place of  $\mathbf{\Pi}_1 : C_1(\mathbf{X}) \rightarrow \mathbf{X}$ .

This proves the analogue of Theorem 4.40:

**Theorem 6.38.** *For each  $\mathbf{X}$  in  $\check{\mathbf{K}}\mathbf{ur}^c$  and  $k = 0, 1, \dots$  we have defined the  $k$ -corners  $C_k(\mathbf{X})$ , an object in  $\check{\mathbf{K}}\mathbf{ur}^c$  with  $\text{vdim } C_k(\mathbf{X}) = \text{vdim } \mathbf{X} - k$ , and a 1-morphism  $\Pi_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  in  $\check{\mathbf{K}}\mathbf{ur}^c$ , whose underlying continuous map  $\Pi_k : C_k(\mathbf{X}) \rightarrow \mathbf{X}$  is proper with finite fibres. We also write  $\partial\mathbf{X} = C_1(\mathbf{X})$ , called the **boundary** of  $\mathbf{X}$ , and we write  $i_{\mathbf{X}} = \Pi_1 : \partial\mathbf{X} \rightarrow \mathbf{X}$ .*

Definition 6.37 is similar to Fukaya, Oh, Ohta and Ono [24, Def. A1.30] for FOOO Kuranishi spaces — see §7.1 for more details.

Modifying Definition 4.42 we construct weak 2-categories  $\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \subseteq \check{\mathbf{K}}\mathbf{ur}^c$  from  $\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \subseteq \check{\mathbf{K}}\mathbf{ur}^c$  in the obvious way, with objects  $\coprod_{n \in \mathbb{Z}} \mathbf{X}_n$  for  $\mathbf{X}_n \in \check{\mathbf{K}}\mathbf{ur}^c$  with  $\text{vdim } \mathbf{X}_n = n$ , where  $\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c, \check{\mathbf{K}}\mathbf{ur}^c$  embed as full 2-subcategories of  $\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  and  $\check{\mathbf{K}}\mathbf{ur}^c$ . For the examples of  $\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \subseteq \check{\mathbf{K}}\mathbf{ur}^c$  in Definitions 6.29 and 6.35 we use the obvious notation for the corresponding 2-categories  $\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \subseteq \check{\mathbf{K}}\mathbf{ur}^c$ , so for instance we enlarge  $\mathbf{Kur}^c$  associated to  $\check{\mathbf{M}}\mathbf{an}^c = \mathbf{Man}^c$  to  $\check{\mathbf{K}}\mathbf{ur}^c$ .

Then following Definition 4.43, but modifying it as in Definition 6.37, we define the corner 2-functor  $C : \check{\mathbf{K}}\mathbf{ur}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}^c$ . This is straightforward and involves no new ideas, so we leave it as an exercise for the reader. This proves the analogue of Theorem 4.44:

**Theorem 6.39.** *We can define a weak 2-functor  $C : \check{\mathbf{K}}\mathbf{ur}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}^c$  called the **corner 2-functor**. It acts on objects  $\mathbf{X}$  in  $\check{\mathbf{K}}\mathbf{ur}^c$  by  $C(\mathbf{X}) = \coprod_{k=0}^{\infty} C_k(\mathbf{X})$ . If  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is simple then  $C(f) : C(\mathbf{X}) \rightarrow C(\mathbf{Y})$  is simple and maps  $C_k(\mathbf{X}) \rightarrow C_k(\mathbf{Y})$  for  $k = 0, 1, \dots$ . Thus  $C|_{\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c}$  decomposes as  $C|_{\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c} = \coprod_{k=0}^{\infty} C_k$ , where  $C_k : \check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  is a weak 2-functor acting on objects by  $\mathbf{X} \mapsto C_k(\mathbf{X})$ , for  $C_k(\mathbf{X})$  as in Definition 6.37. We also write  $\partial = C_1 : \check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$ , and call it the **boundary 2-functor**.*

*If for some discrete property  $P$  of morphisms in  $\check{\mathbf{M}}\mathbf{an}^c$  the corner functor  $C : \check{\mathbf{M}}\mathbf{an}^c \rightarrow \check{\mathbf{M}}\mathbf{an}^c$  maps to the subcategory  $\check{\mathbf{M}}\mathbf{an}_P^c$  of  $\check{\mathbf{M}}\mathbf{an}^c$  whose morphisms are  $P$ , then  $C : \check{\mathbf{K}}\mathbf{ur}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}^c$  maps to the 2-subcategory  $\check{\mathbf{K}}\mathbf{ur}_P^c$  of  $\check{\mathbf{K}}\mathbf{ur}^c$  whose 1-morphisms are  $P$ .*

As for Example 4.45, applying Theorem 6.39 to the data  $\check{\mathbf{M}}\mathbf{an}^c, \dots$  in Example 3.24(a)–(h) gives corner functors:

$$\begin{aligned}
C : \mathbf{Kur}^c &\longrightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{in}}^c \subset \check{\mathbf{K}}\mathbf{ur}^c, & C' : \mathbf{Kur}^c &\longrightarrow \check{\mathbf{K}}\mathbf{ur}^c, \\
C : \mathbf{Kur}_{\text{st}}^c &\longrightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{st, in}}^c \subset \check{\mathbf{K}}\mathbf{ur}_{\text{st}}^c, & C' : \mathbf{Kur}_{\text{st}}^c &\longrightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{st}}^c, \\
C : \mathbf{Kur}^{\text{ac}} &\longrightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{in}}^{\text{ac}} \subset \check{\mathbf{K}}\mathbf{ur}^{\text{ac}}, & C' : \mathbf{Kur}^{\text{ac}} &\longrightarrow \check{\mathbf{K}}\mathbf{ur}^{\text{ac}}, \\
C : \mathbf{Kur}_{\text{st}}^{\text{ac}} &\longrightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{st, in}}^{\text{ac}} \subset \check{\mathbf{K}}\mathbf{ur}_{\text{st}}^{\text{ac}}, & C' : \mathbf{Kur}_{\text{st}}^{\text{ac}} &\longrightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{st}}^{\text{ac}}, \\
C : \mathbf{Kur}^{\text{c, ac}} &\longrightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{in}}^{\text{c, ac}} \subset \check{\mathbf{K}}\mathbf{ur}^{\text{c, ac}}, & C' : \mathbf{Kur}^{\text{c, ac}} &\longrightarrow \check{\mathbf{K}}\mathbf{ur}^{\text{c, ac}}, \\
C : \mathbf{Kur}_{\text{st}}^{\text{c, ac}} &\longrightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{st, in}}^{\text{c, ac}} \subset \check{\mathbf{K}}\mathbf{ur}_{\text{st}}^{\text{c, ac}}, & C' : \mathbf{Kur}_{\text{st}}^{\text{c, ac}} &\longrightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{st}}^{\text{c, ac}}, \\
C : \mathbf{Kur}^{\text{gc}} &\longrightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{in}}^{\text{gc}} \subset \check{\mathbf{K}}\mathbf{ur}^{\text{gc}}. & & (6.36)
\end{aligned}$$

As for Propositions 4.46 and 4.47, we prove:

**Proposition 6.40.** *For all of the 2-functors  $C$  in (6.36) (though not the 2-functors  $C'$ ), a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is interior (or b-normal) if and only if  $C(\mathbf{f})$  maps  $C_0(\mathbf{X}) \rightarrow C_0(\mathbf{Y})$  (or  $C(\mathbf{f})$  maps  $C_k(\mathbf{X}) \rightarrow \coprod_{l=0}^k C_l(\mathbf{Y})$  for all  $k = 0, 1, \dots$ , respectively).*

**Proposition 6.41.** *Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be an equivalence in  $\mathbf{Kur}^c$ . Then  $\mathbf{f}$  is simple by Proposition 6.34(c), and  $C_k(\mathbf{f}) : C_k(\mathbf{X}) \rightarrow C_k(\mathbf{Y})$  for  $k = 0, 1, \dots$  and  $\partial \mathbf{f} : \partial \mathbf{X} \rightarrow \partial \mathbf{Y}$  are also equivalences in  $\mathbf{Kur}^c$ .*

## 6.4 Kuranishi neighbourhoods on Kuranishi spaces

In §4.7 we discussed ‘m-Kuranishi neighbourhoods on m-Kuranishi spaces’, and in §5.5 we explained the  $\mu$ -Kuranishi analogue. Now we define ‘Kuranishi neighbourhoods on Kuranishi spaces’. We follow §4.7 closely, with the difference that m-Kuranishi neighbourhoods in §4.1 are a strict 2-category, but Kuranishi neighbourhoods in §6.1 are a weak 2-category. So we cannot omit brackets in compositions of 1-morphisms such as  $(\Phi_{jk} \circ \Phi_{ij}) \circ \Phi_{ai}$  in (6.37), and we have to insert extra coherence 2-morphisms  $\alpha_{*,*,*}, \beta_*, \gamma_*$  from (6.7)–(6.8) throughout.

**Definition 6.42.** Suppose  $\mathbf{X} = (X, \mathcal{K})$  is a Kuranishi space, where  $\mathcal{K} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ . A *Kuranishi neighbourhood on the Kuranishi space  $\mathbf{X}$*  is data  $(V_a, E_a, \Gamma_a, s_a, \psi_a), \Phi_{ai}, i \in I$  and  $\Lambda_{aij}, i, j \in I$  where  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  is a Kuranishi neighbourhood on the topological space  $X$  in the sense of Definition 6.1, and  $\Phi_{ai} : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_i, E_i, \Gamma_i, s_i, \psi_i)$  is a coordinate change for each  $i \in I$  (over  $S = \text{Im } \psi_a \cap \text{Im } \psi_i$ , as usual) as in Definition 6.11, and  $\Lambda_{aij} : \Phi_{ij} \circ \Phi_{ai} \Rightarrow \Phi_{aj}$  is a 2-morphism (over  $S = \text{Im } \psi_a \cap \text{Im } \psi_i \cap \text{Im } \psi_j$ , as usual) as in Definition 6.4 for all  $i, j \in I$ , such that  $\Lambda_{aai} = \text{id}_{\Phi_{ai}}$  for all  $i \in I$ , and as in Definition 6.17(h), for all  $i, j, k \in I$  we have

$$\begin{aligned} \Lambda_{ajk} \odot (\text{id}_{\Phi_{jk}} * \Lambda_{aij}) \odot \alpha_{\Phi_{jk}, \Phi_{ij}, \Phi_{ai}} &= \Lambda_{aik} \odot (\Lambda_{ijk} * \text{id}_{\Phi_{ai}}) : \\ (\Phi_{jk} \circ \Phi_{ij}) \circ \Phi_{ai} &\Longrightarrow \Phi_{ak}, \end{aligned} \quad (6.37)$$

where (6.37) holds over  $S = \text{Im } \psi_a \cap \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$  by Convention 6.14.

Here the subscript ‘ $a$ ’ in  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  is just a label used to distinguish Kuranishi neighbourhoods, generally not in  $I$ . If we omit  $a$  we will write ‘ $*$ ’ in place of ‘ $a$ ’ in  $\Phi_{ai}, \Lambda_{aij}$ , giving  $\Phi_{*i} : (V, E, \Gamma, s, \psi) \rightarrow (V_i, E_i, \Gamma_i, s_i, \psi_i)$  and  $\Lambda_{*ij} : \Phi_{ij} \circ \Phi_{*i} \Rightarrow \Phi_{*j}$ .

We will usually just say  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  or  $(V, E, \Gamma, s, \psi)$  is a *Kuranishi neighbourhood on  $\mathbf{X}$* , leaving the data  $\Phi_{ai}, \Lambda_{aij}$  or  $\Phi_{*i}, \Lambda_{*ij}$  implicit. We call such a  $(V, E, \Gamma, s, \psi)$  a *global Kuranishi neighbourhood on  $\mathbf{X}$*  if  $\text{Im } \psi = X$ .

**Definition 6.43.** Using the same notation, suppose  $(V_a, E_a, \Gamma_a, s_a, \psi_a), \Phi_{ai}, i \in I, \Lambda_{aij}, i, j \in I$  and  $(V_b, E_b, \Gamma_b, s_b, \psi_b), \Phi_{bi}, i \in I, \Lambda_{bij}, i, j \in I$  are Kuranishi neighbourhoods on  $\mathbf{X}$ , and  $S \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b$  is open. A *coordinate change from  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  to  $(V_b, E_b, \Gamma_b, s_b, \psi_b)$  over  $S$  on the Kuranishi space  $\mathbf{X}$*  is

data  $\Phi_{ab}, \Lambda_{abi}, i \in I$ , where  $\Phi_{ab} : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  is a coordinate change over  $S$  as in Definition 6.11, and  $\Lambda_{abi} : \Phi_{bi} \circ \Phi_{ab} \Rightarrow \Phi_{ai}$  is a 2-morphism over  $S \cap \text{Im } \psi_i$  as in Definition 6.4 for each  $i \in I$ , such that for all  $i, j \in I$  we have

$$\begin{aligned} \Lambda_{aij} \odot (\text{id}_{\Phi_{ij}} * \Lambda_{abi}) \odot \alpha_{\Phi_{ij}, \Phi_{bi}, \Phi_{ab}} &= \Lambda_{abj} \odot (\Lambda_{bij} * \text{id}_{\Phi_{ab}}) : \\ (\Phi_{ij} \circ \Phi_{bi}) \circ \Phi_{ab} &\Longrightarrow \Phi_{aj}, \end{aligned} \quad (6.38)$$

where (6.38) holds over  $S \cap \text{Im } \psi_i \cap \text{Im } \psi_j$ .

We will usually just say that  $\Phi_{ab} : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  is a coordinate change over  $S$  on  $\mathbf{X}$ , leaving the data  $\Lambda_{abi}, i \in I$  implicit. If we do not specify  $S$ , we mean that  $S$  is as large as possible, that is,  $S = \text{Im } \psi_a \cap \text{Im } \psi_b$ .

Suppose  $\Phi_{ab} : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$ ,  $\Lambda_{abi}, i \in I$  and  $\Phi_{bc} : (V_b, E_b, \Gamma_b, s_b, \psi_b) \rightarrow (V_c, E_c, \Gamma_c, s_c, \psi_c)$ ,  $\Lambda_{bci}, i \in I$  are such coordinate changes over  $S \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$ . Define  $\Phi_{ac} = \Phi_{bc} \circ \Phi_{ab} : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_c, E_c, \Gamma_c, s_c, \psi_c)$  and  $\Lambda_{aci} = \Lambda_{abi} \odot (\Lambda_{bci} * \text{id}_{\Phi_{ab}}) \odot \alpha_{\Phi_{bc}, \Phi_{ab}, \Phi_{ac}}^{-1} : \Phi_{ci} \circ \Phi_{ac} \Rightarrow \Phi_{ai}$  for all  $i \in I$ . It is easy to show that  $\Phi_{ac} = \Phi_{bc} \circ \Phi_{ab}$ ,  $\Lambda_{aci}, i \in I$  is a coordinate change from  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  to  $(V_c, E_c, \Gamma_c, s_c, \psi_c)$  over  $S$  on  $\mathbf{X}$ . We call this *composition of coordinate changes*.

**Definition 6.44.** Let  $f : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism of Kuranishi spaces, and use notation (6.15)–(6.16) for  $\mathbf{X}, \mathbf{Y}$ , and (6.18) for  $f$ . Suppose  $(U_a, D_a, B_a, r_a, \chi_a)$ ,  $\mathbb{T}_{ai}, i \in I$ ,  $\mathbb{K}_{aii'}, i, i' \in I$  is a Kuranishi neighbourhood on  $\mathbf{X}$ , and  $(V_b, E_b, \Gamma_b, s_b, \psi_b)$ ,  $\Upsilon_{bj}, j \in J$ ,  $\Lambda_{bjj'}, j, j' \in J$  a Kuranishi neighbourhood on  $\mathbf{Y}$ , as in Definition 6.42. Let  $S \subseteq \text{Im } \chi_a \cap f^{-1}(\text{Im } \psi_b)$  be open. A 1-morphism from  $(U_a, D_a, B_a, r_a, \chi_a)$  to  $(V_b, E_b, \Gamma_b, s_b, \psi_b)$  over  $(S, f)$  on the Kuranishi spaces  $\mathbf{X}, \mathbf{Y}$  is data  $f_{ab}, \mathbf{F}_{ai}^{bj}, j \in J, i \in I$ , where  $f_{ab} : (U_a, D_a, B_a, r_a, \chi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  is a 1-morphism of Kuranishi neighbourhoods over  $(S, f)$  in the sense of Definition 6.2, and  $\mathbf{F}_{ai}^{bj} : \Upsilon_{bj} \circ f_{ab} \Rightarrow f_{ij} \circ \mathbb{T}_{ai}$  is a 2-morphism over  $S \cap \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j)$ ,  $f$  as in Definition 6.4 for all  $i \in I, j \in J$ , such that for all  $i, i' \in I, j, j' \in J$  we have

$$\begin{aligned} (\mathbf{F}_{ai}^{bj})^{-1} \odot (\mathbf{F}_{ii'}^j * \text{id}_{\mathbb{T}_{ai}}) &= (\mathbf{F}_{ai'}^{bj})^{-1} \odot (\text{id}_{f_{i'j}} * \mathbb{K}_{aii'}) \odot \alpha_{f_{i'j}, \mathbb{T}_{ii'}, \mathbb{T}_{ai}} : \\ (f_{i'j} \circ \mathbb{T}_{ii'}) \circ \mathbb{T}_{ai} &\Longrightarrow \Upsilon_{bj} \circ f_{ab}, \\ \mathbf{F}_{ai}^{bj'} \odot (\Lambda_{bjj'} * \text{id}_{f_{ab}}) &= (\mathbf{F}_{ii'}^{jj'} * \text{id}_{\mathbb{T}_{ai}}) \odot (\text{id}_{\Upsilon_{jj'}} * \mathbf{F}_{ai}^{bj}) \odot \alpha_{\Upsilon_{jj'}, \Upsilon_{bj}, f_{ab}} : \\ (\Upsilon_{jj'} \circ \Upsilon_{bj}) \circ f_{ab} &\Longrightarrow f_{ij'} \circ \mathbb{T}_{ai}. \end{aligned}$$

We will usually just say that  $f_{ab} : (U_a, D_a, B_a, r_a, \chi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  is a 1-morphism of Kuranishi neighbourhoods over  $(S, f)$  on  $\mathbf{X}, \mathbf{Y}$ , leaving the data  $\mathbf{F}_{ai}^{bj}, j \in J, i \in I$  implicit.

Suppose  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  is another 1-morphism of Kuranishi spaces, using notation (6.17) for  $\mathbf{Z}$ , and  $(W_c, F_c, \Delta_c, t_c, \omega_c)$  is a Kuranishi neighbourhood on  $\mathbf{Z}$ , and  $T \subseteq \text{Im } \psi_b \cap g^{-1}(\text{Im } \omega_c)$ ,  $S \subseteq \text{Im } \chi_a \cap f^{-1}(T)$  are open,  $f_{ab} : (U_a, D_a, B_a, r_a, \chi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  is a 1-morphism of Kuranishi neighbourhoods over  $(S, f)$  on  $\mathbf{X}, \mathbf{Y}$ , and  $g_{bc} : (V_b, E_b, \Gamma_b, s_b, \psi_b) \rightarrow (W_c, F_c, \Delta_c, t_c, \omega_c)$  is a 1-morphism of Kuranishi neighbourhoods over  $(T, g)$  on  $\mathbf{Y}, \mathbf{Z}$ .



Define  $\mathbf{h} = \mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$ , so that Definition 6.22 gives 2-morphisms

$$\Theta_{ijk}^{g,f} : \mathbf{g}_{jk} \circ \mathbf{f}_{ij} \Longrightarrow \mathbf{h}_{ik}$$

for all  $i \in I, j \in J$  and  $k \in K$ . Set  $\mathbf{h}_{ac} = \mathbf{g}_{bc} \circ \mathbf{f}_{ab} : (U_a, D_a, \mathbf{B}_a, r_a, \chi_a) \rightarrow (W_c, F_c, \Delta_c, t_c, \omega_c)$ . Using the stack property Theorem 6.16, one can show that for all  $i \in I, k \in K$  there is a unique 2-morphism  $\mathbf{H}_{ai}^{ck} : \Phi_{ck} \circ \mathbf{h}_{ac} \Rightarrow \mathbf{h}_{ik} \circ \mathbb{T}_{ai}$  over  $S \cap \text{Im } \chi_i \cap h^{-1}(\text{Im } \omega_k)$ ,  $h$ , such that for all  $j \in J$  we have

$$\begin{aligned} \mathbf{H}_{ai}^{ck} |_{S \cap \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j) \cap h^{-1}(\text{Im } \omega_k)} &= (\Theta_{ijk}^{g,f} * \text{id}_{\mathbb{T}_{ai}}) \odot \alpha_{\mathbf{g}_{jk}, \mathbf{f}_{ij}, \mathbb{T}_{ai}}^{-1} \\ &\odot (\text{id}_{\mathbf{g}_{jk}} * \mathbf{F}_{ai}^{bj}) \odot \alpha_{\mathbf{g}_{jk}, \Upsilon_{bj}, \mathbf{f}_{ab}} \odot (\mathbf{G}_{bj}^{ck} * \text{id}_{\mathbf{f}_{ab}}) \odot \alpha_{\Phi_{ck}, \mathbf{g}_{bc}, \mathbf{f}_{ab}}^{-1}. \end{aligned}$$

It is then easy to prove that  $\mathbf{h}_{ac} = \mathbf{g}_{bc} \circ \mathbf{f}_{ab}$ ,  $\mathbf{H}_{ai, i \in I}^{ck, k \in K}$  is a 1-morphism from  $(U_a, D_a, \mathbf{B}_a, r_a, \chi_a)$  to  $(W_c, F_c, \Delta_c, t_c, \omega_c)$  over  $(S, \mathbf{h})$  on  $\mathbf{X}, \mathbf{Z}$ . We call this *composition of 1-morphisms*.

As for Theorem 4.56, the next theorem can be proved using the stack property Theorem 6.16, and we leave the proof as an exercise for the reader.

**Theorem 6.45.** (a) *Let  $\mathbf{X} = (X, \mathcal{K})$  be a Kuranishi space, where  $\mathcal{K} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, \Lambda_{ijk})$ , and  $(V_a, E_a, \Gamma_a, s_a, \psi_a), (V_b, E_b, \Gamma_b, s_b, \psi_b)$  be Kuranishi neighbourhoods on  $\mathbf{X}$ , in the sense of Definition 6.42, and  $S \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b$  be open. Then there exists a coordinate change  $\Phi_{ab} : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b), \Lambda_{abi}, i \in I$  over  $S$  on  $\mathbf{X}$ , in the sense of Definition 6.43. If  $\Phi_{ab}, \tilde{\Phi}_{ab}$  are two such coordinate changes, there is a unique 2-morphism  $\Xi_{ab} : \Phi_{ab} \Rightarrow \tilde{\Phi}_{ab}$  over  $S$  as in Definition 6.4, such that for all  $i \in I$  we have*

$$\Lambda_{abi} = \tilde{\Lambda}_{abi} \odot (\text{id}_{\Phi_{bi}} * \Xi_{ab}) : \Phi_{bi} \circ \Phi_{ab} \Longrightarrow \tilde{\Phi}_{bi}, \quad (6.39)$$

which holds over  $S \cap \text{Im } \psi_i$  by our usual convention.

(b) *Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism of Kuranishi spaces, and use notation (6.15), (6.16), (6.18). Let  $(U_a, D_a, \mathbf{B}_a, r_a, \chi_a), (V_b, E_b, \Gamma_b, s_b, \psi_b)$  be Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$  respectively in the sense of Definition 6.42, and let  $S \subseteq \text{Im } \chi_a \cap f^{-1}(\text{Im } \psi_b)$  be open. Then there exists a 1-morphism  $\mathbf{f}_{ab} : (U_a, D_a, \mathbf{B}_a, r_a, \chi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  of Kuranishi neighbourhoods over  $(S, \mathbf{f})$  on  $\mathbf{X}, \mathbf{Y}$ , in the sense of Definition 6.44.*

(c) *Let  $\mathbf{f}, \mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  be 1-morphisms of Kuranishi spaces and  $\eta : \mathbf{f} \Rightarrow \mathbf{g}$  a 2-morphism, and use notation (6.15), (6.16), (6.18) and  $\eta = (\eta_{ij}, i \in I, j \in J)$ . Suppose  $(U_a, D_a, \mathbf{B}_a, r_a, \chi_a), (V_b, E_b, \Gamma_b, s_b, \psi_b)$  are Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$ , and  $S \subseteq \text{Im } \chi_a \cap f^{-1}(\text{Im } \psi_b)$  is open, and  $\mathbf{f}_{ab}, \mathbf{g}_{ab} : (U_a, D_a, \mathbf{B}_a, r_a, \chi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  are 1-morphisms over  $(S, \mathbf{f}), (S, \mathbf{g})$ . Then there is a unique 2-morphism  $\eta_{ab} : \mathbf{f}_{ab} \Rightarrow \mathbf{g}_{ab}$  over  $(S, f)$  as in Definition 6.4, such that the following commutes over  $S \cap \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j)$  for all  $i \in I$  and  $j \in J$ :*

$$\begin{array}{ccc} \Upsilon_{bj} \circ \mathbf{f}_{ab} & \xlongequal{\quad} & \mathbf{f}_{ij} \circ \mathbb{T}_{ai} \\ \Downarrow \text{id}_{\Upsilon_{bj}} * \eta_{ab} & \begin{array}{c} \mathbf{F}_{ai}^{bj} \\ \mathbf{G}_{ai}^{bj} \end{array} & \eta_{ij} * \text{id}_{\mathbb{T}_{ai}} \Downarrow \\ \Upsilon_{bj} \circ \mathbf{g}_{ab} & \xlongequal{\quad} & \mathbf{g}_{ij} \circ \mathbb{T}_{ai}. \end{array}$$

(d) The unique 2-morphisms in (c) are compatible with vertical and horizontal composition and identities. For example, if  $\mathbf{f}, \mathbf{g}, \mathbf{h} : \mathbf{X} \rightarrow \mathbf{Y}$  are 1-morphisms in  $\mathbf{K}\mathbf{ur}$ , and  $\eta : \mathbf{f} \Rightarrow \mathbf{g}$ ,  $\zeta : \mathbf{g} \Rightarrow \mathbf{h}$  are 2-morphisms with  $\theta = \zeta \circ \eta : \mathbf{f} \Rightarrow \mathbf{h}$ , and  $(U_a, D_a, B_a, r_a, \chi_a)$ ,  $(V_b, E_b, \Gamma_b, s_b, \psi_b)$  are Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$ , and  $\mathbf{f}_{ab}, \mathbf{g}_{ab}, \mathbf{h}_{ab} : (U_a, D_a, B_a, r_a, \chi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  are 1-morphisms over  $(S, \mathbf{f})$ ,  $(S, \mathbf{g})$ ,  $(S, \mathbf{h})$ , and  $\eta_{ab} : \mathbf{f}_{ab} \Rightarrow \mathbf{g}_{ab}$ ,  $\zeta_{ab} : \mathbf{g}_{ab} \Rightarrow \mathbf{h}_{ab}$ ,  $\theta_{ab} : \mathbf{f}_{ab} \Rightarrow \mathbf{h}_{ab}$  come from  $\eta, \zeta, \theta$  as in (c), then  $\theta_{ab} = \zeta_{ab} \circ \eta_{ab}$ .

**Remark 6.46.** Note that we make the (potentially confusing) distinction between Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  on a topological space  $X$ , as in Definition 6.1, and Kuranishi neighbourhoods  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  on a Kuranishi space  $\mathbf{X} = (X, \mathcal{K})$ , which are as in Definition 6.42, and come equipped with the extra implicit data  $\Phi_{ai}, i \in I$ ,  $\Lambda_{aij}, i, j \in I$  giving the compatibility with the Kuranishi structure  $\mathcal{K}$  on  $X$ . Similarly, we distinguish between coordinate changes of Kuranishi neighbourhoods over  $X$  or  $\mathbf{X}$ , and between 1-morphisms of Kuranishi neighbourhoods over  $f : X \rightarrow Y$  or  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$ .

Here are the analogues of Theorem 4.58 and Corollary 4.59. They are proved in the same way, but extending from strict to weak 2-categories.

**Theorem 6.47.** Let  $\mathbf{X} = (X, \mathcal{K})$  be a Kuranishi space, and  $\{(V_a, E_a, \Gamma_a, s_a, \psi_a) : a \in A\}$  a family of Kuranishi neighbourhoods on  $\mathbf{X}$  with  $X = \bigcup_{a \in A} \text{Im } \psi_a$ . For all  $a, b \in A$ , let  $\Phi_{ab} : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  be a coordinate change over  $S = \text{Im } \psi_a \cap \text{Im } \psi_b$  on  $\mathbf{X}$  given by Theorem 6.45(a), which is unique up to 2-isomorphism; when  $a = b$  we choose  $\Phi_{ab} = \text{id}_{(V_a, E_a, \Gamma_a, s_a, \psi_a)}$  and  $\Lambda_{aai} = \beta_{\Phi_{ai}}$  for  $i \in I$ , which is allowed by Theorem 6.45(a).

For all  $a, b, c \in A$ , both  $\Phi_{bc} \circ \Phi_{ab}|_S$  and  $\Phi_{ac}|_S$  are coordinate changes  $(V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_c, E_c, \Gamma_c, s_c, \psi_c)$  over  $S = \text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$  on  $\mathbf{X}$ , so Theorem 6.45(a) gives a unique 2-morphism  $\Lambda_{abc} : \Phi_{bc} \circ \Phi_{ab}|_S \Rightarrow \Phi_{ac}|_S$ . Then  $\mathcal{K}' = (A, (V_a, E_a, \Gamma_a, s_a, \psi_a)_{a \in A}, \Phi_{ab}, a, b \in A, \Lambda_{abc}, a, b, c \in A)$  is a Kuranishi structure on  $X$ , and  $\mathbf{X}' = (X, \mathcal{K}')$  is canonically equivalent to  $\mathbf{X}$  in  $\mathbf{K}\mathbf{ur}$ .

**Corollary 6.48.** Let  $\mathbf{X} = (X, \mathcal{K})$  be a Kuranishi space with  $\mathcal{K} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ . Suppose  $J \subseteq I$  with  $\bigcup_{j \in J} \text{Im } \psi_j = X$ . Then  $\mathcal{K}' = (J, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in J}, \Phi_{ij}, i, j \in J, \Lambda_{ijk}, i, j, k \in J)$  is a Kuranishi structure on  $X$ , and  $\mathbf{X}' = (X, \mathcal{K}')$  is canonically equivalent to  $\mathbf{X}$  in  $\mathbf{K}\mathbf{ur}$ .

As in §4.7.3, if  $\mathbf{Man}^c$  satisfies Assumption 3.22 then we can lift Kuranishi neighbourhoods  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  on  $\mathbf{X}$  in  $\mathbf{K}\mathbf{ur}^c$  to Kuranishi neighbourhoods  $(V_{(k,a)}, E_{(k,a)}, \Gamma_{(k,a)}, s_{(k,a)}, \psi_{(k,a)})$  on  $C_k(\mathbf{X})$  from §6.3, with  $\Gamma_{(k,a)} = \Gamma_a$ , and we can lift 1-morphisms  $\mathbf{f}_{ab} : (U_a, D_a, B_a, r_a, \chi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  of Kuranishi neighbourhoods over  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{K}\mathbf{ur}^c$  to 1-morphisms  $\mathbf{f}_{(k,a)(l,b)} : (U_{(k,a)}, D_{(k,a)}, B_{(k,a)}, r_{(k,a)}, \chi_{(k,a)}) \rightarrow (V_{(l,b)}, E_{(l,b)}, \Gamma_{(l,b)}, s_{(l,b)}, \psi_{(l,b)})$  over  $C(\mathbf{f}) : C(\mathbf{X}) \rightarrow C(\mathbf{Y})$ . We leave the details to the reader. As in §4.7.4, we could now state our philosophy for working with Kuranishi spaces, but we will not.

## 6.5 Isotropy groups

Next we discuss *isotropy groups* of Kuranishi spaces (also called *orbifold groups*, or *stabilizer groups*). They are also studied for orbifolds, as in §6.6.

**Definition 6.49.** Let  $\mathbf{X} = (X, \mathcal{K})$  be a Kuranishi space, with  $\mathcal{K} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ , and let  $x \in \mathbf{X}$ . Choose an arbitrary  $i \in I$  with  $x \in \text{Im } \psi_i$ , and choose  $v_i \in s_i^{-1}(0) \subseteq V_i$  with  $\bar{\psi}_i(v_i) = x$ . Define a finite group  $G_x \mathbf{X}$  called the *isotropy group of  $\mathbf{X}$  at  $x$* , as a subgroup of  $\Gamma_i$ , by

$$G_x \mathbf{X} = \{\gamma \in \Gamma_i : \gamma \cdot v_i = v_i\} = \text{Stab}_{\Gamma_i}(v_i). \quad (6.40)$$

We explain to what extent  $G_x \mathbf{X}$  depends on the arbitrary choice of  $i, v_i$ . Let  $j, v_j$  be alternative choices, giving another group  $G'_x \mathbf{X} = \text{Stab}_{\Gamma_j}(v_j)$ . Then we have a coordinate change  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  in  $\mathcal{K}$ . Consider the set

$$S_x = \{p \in P_{ij} : \pi_{ij}(p) = v_i, \phi_{ij}(p) = v_j\}. \quad (6.41)$$

In Lemma 6.50 below we show that  $G_x \mathbf{X}$  and  $G'_x \mathbf{X}$  have natural, commuting, free, transitive actions on  $S_x$ . Pick  $p \in S_x$ . Define an isomorphism of finite groups  $I_x^G : G_x \mathbf{X} \rightarrow G'_x \mathbf{X}$  by  $I_x^G(\gamma) = \gamma'$  if  $\gamma \cdot p = (\gamma')^{-1} \cdot p$  in  $S_x$ , using the free, transitive actions of  $G_x \mathbf{X}, G'_x \mathbf{X}$  on  $S_x$ .

Suppose we instead picked  $\tilde{p} \in S_x$ , yielding  $\tilde{I}_x^G : G_x \mathbf{X} \rightarrow G'_x \mathbf{X}$ . Since  $G'_x \mathbf{X}$  acts freely transitively on  $S_x$ , there is a unique  $\delta \in G'_x \mathbf{X}$  with  $\delta \cdot p = \tilde{p}$ . Then we see that  $\tilde{I}_x^G(\gamma) = \delta I_x^G(\gamma) \delta^{-1}$  for all  $\gamma \in G_x \mathbf{X}$ .

If  $k, v_k$  is a third choice for  $i, v_i$ , yielding a finite group  $G''_x \mathbf{X} = \text{Stab}_{\Gamma_k}(v_k)$ , then as above by picking points  $p \in S_x$  we can define isomorphisms

$$I_x^G : G_x \mathbf{X} \longrightarrow G'_x \mathbf{X}, \quad \tilde{I}_x^G : G'_x \mathbf{X} \longrightarrow G''_x \mathbf{X}, \quad \check{I}_x^G : G_x \mathbf{X} \longrightarrow G''_x \mathbf{X}.$$

We can show that  $\check{I}_x^G \circ I_x^G$  and  $\tilde{I}_x^G$  differ by the action of some canonical  $\delta \in G''_x \mathbf{X}$ , as for  $I_x^G, \tilde{I}_x^G$  above. That is,  $\tilde{I}_x^G \circ I_x^G$  is a possible choice for  $\check{I}_x^G$ .

To summarize:  $G_x \mathbf{X}$  is independent of the choice of  $i, v_i$  up to isomorphism, but not up to canonical isomorphism. There are isomorphisms  $I_x^G : G_x \mathbf{X} \rightarrow G'_x \mathbf{X}$  between any two choices for  $G_x \mathbf{X}$ , which are canonical up to conjugation by an element of  $G'_x \mathbf{X}$ , and behave as expected under composition.

**Lemma 6.50.** *In Definition 6.49, the subset  $S_x \subseteq P_{ij}$  in (6.41) is invariant under the commuting actions of  $G_x \mathbf{X} \subseteq \Gamma_i$  and  $G'_x \mathbf{X} \subseteq \Gamma_j$  on  $P_{ij}$  induced by the  $\Gamma_i, \Gamma_j$ -actions on  $P_{ij}$ , and  $G_x \mathbf{X}, G'_x \mathbf{X}$  each act freely transitively on  $S_x$ .*

*Proof.* If  $\gamma \in G_x \mathbf{X}$  and  $p \in S_x$  then  $\pi_{ij}(\gamma \cdot p) = \gamma \cdot \pi_{ij}(p) = \gamma \cdot v_i = v_i$  (as  $\pi_{ij}$  is  $\Gamma_i$ -equivariant and  $\gamma \in \text{Stab}_{\Gamma_i}(v_i)$ ), and  $\phi_{ij}(\gamma \cdot p) = \phi_{ij}(p) = v_j$  (as  $\phi_{ij}$  is  $\Gamma_i$ -invariant). Hence  $\gamma \cdot p \in S_x$ , so  $S_x$  is  $G_x \mathbf{X}$ -invariant. If  $\gamma' \in G'_x \mathbf{X}$  and  $p \in S_x$  then  $\pi_{ij}(\gamma' \cdot p) = \pi_{ij}(p) = v_i$  (as  $\pi_{ij}$  is  $\Gamma_j$ -invariant), and  $\phi_{ij}(\gamma' \cdot p) = \gamma' \cdot \phi_{ij}(p) = \gamma' \cdot v_j = v_j$  (as  $\phi_{ij}$  is  $\Gamma_j$ -equivariant and  $\gamma' \in \text{Stab}_{\Gamma_j}(v_j)$ ). Hence  $\gamma' \cdot p \in S_x$ , so  $S_x$  is  $G'_x \mathbf{X}$ -invariant. This proves the first part.

Next we prove that  $S_x$  is nonempty. As  $\pi_{ij} : P_{ij} \rightarrow V_{ij} \subseteq V_i$  is a principal  $\Gamma_j$ -bundle and  $v_i \in \bar{\psi}_i^{-1}(S) \subseteq V_{ij}$ , there exists  $p \in P_{ij}$  with  $\pi_{ij}(p) = v_i$ . Then  $\bar{\psi}_j \circ \phi_{ij}(p) = \bar{\psi}_i \circ \pi_{ij}(p) = \bar{\psi}_i(v_i) = x$ , so  $\phi_{ij}(p) \in \bar{\psi}_j^{-1}(x)$ . Since  $\psi_j : V_j/\Gamma_j \rightarrow \text{Im } \psi_j \subseteq X$  is a homeomorphism,  $\bar{\psi}_j^{-1}(x)$  is a  $\Gamma_j$ -orbit in  $V_j$ , which contains  $\phi_{ij}(p)$  and  $v_j$ . Hence  $v_j = \gamma_j \cdot \phi_{ij}(p)$  for some  $\gamma_j \in \Gamma_j$ . But then  $\pi_{ij}(\gamma_j \cdot p) = \pi_{ij}(p) = v_i$  (as  $\pi_{ij}$  is  $\Gamma_j$ -invariant) and  $\phi_{ij}(\gamma_j \cdot p) = \gamma_j \cdot \phi_{ij}(p) = v_j$  (as  $\phi_{ij}$  is  $\Gamma_j$ -equivariant). Thus  $\gamma_j \cdot p \in S_x$ , and  $S_x \neq \emptyset$ .

Suppose  $p, p' \in S_x$ . Then  $p, p' \in \pi_{ij}^{-1}(v_i)$ , where  $\Gamma_j$  acts freely and transitively on  $\pi_{ij}^{-1}(v_i)$  as  $\pi_{ij} : P_{ij} \rightarrow V_{ij} \subseteq V_i$  is a principal  $\Gamma_j$ -bundle. Thus there exists a unique  $\gamma' \in \Gamma_j$  with  $\gamma' \cdot p = p'$ . But then

$$\gamma' \cdot v_j = \gamma' \cdot \phi_{ij}(p) = \phi_{ij}(\gamma' \cdot p) = \phi_{ij}(p') = v_j,$$

as  $\phi_{ij}(p) = \phi_{ij}(p') = v_j$  and  $\phi_{ij}$  is  $\Gamma_j$ -equivariant. Hence  $\gamma' \in \text{Stab}_{\Gamma_j}(v_j) = G'_x \mathbf{X}$ . Therefore  $G'_x \mathbf{X}$  acts freely and transitively on  $S_x$ .

Finally we show  $G_x \mathbf{X}$  acts freely transitively on  $S_x$ . As  $\Phi_{ij}$  is a coordinate change over  $S = \text{Im } \psi_i \cap \text{Im } \psi_j$ , there exist a 1-morphism  $\Phi_{ji} = (P_{ji}, \pi_{ji}, \phi_{ji}, \hat{\phi}_{ji}) : (V_j, E_j, \Gamma_j, s_j, \psi_j) \rightarrow (V_i, E_i, \Gamma_i, s_i, \psi_i)$  and 2-morphisms  $\Lambda_{ii} : \text{id}_{(V_i, E_i, \Gamma_i, s_i, \psi_i)} \Rightarrow \Phi_{ji} \circ \Phi_{ij}, M_{jj} : \text{id}_{(V_j, E_j, \Gamma_j, s_j, \psi_j)} \Rightarrow \Phi_{ij} \circ \Phi_{ji}$  over  $S$ . Choose representatives  $(\hat{P}_{ii}, \lambda_{ii}, \hat{\lambda}_{ii})$  and  $(\hat{P}_{jj}, \mu_{jj}, \hat{\mu}_{jj})$  for  $\Lambda_{ii}, M_{jj}$ . Consider:

$$\begin{aligned} \lambda_{ii}|_{\{v_i\} \times \Gamma_i} : \{v_i\} \times \Gamma_i &\xrightarrow{\cong} \{(p, q) \in P_{ij} \times P_{ji} : \pi_{ij}(p) = v_i, \phi_{ij}(p) = \pi_{ji}(q)\} / \Gamma_j \\ &\cong \{(p, q) \in P_{ij} \times P_{ji} : \pi_{ij}(p) = v_i, \phi_{ji}(p) = \pi_{ji}(q) = v_j\} / G'_x \mathbf{X} \\ &= \{(p, q) \in S_x \times P_{ji} : \pi_{ji}(q) = v_j\} / G'_x \mathbf{X}. \end{aligned} \quad (6.42)$$

Here both  $\text{id}_{(V_i, E_i, \Gamma_i, s_i, \psi_i)}$  and  $\Phi_{ji} \circ \Phi_{ij}$  include a principal  $\Gamma_i$ -bundle over an open neighbourhood of  $\bar{\psi}_i^{-1}(S)$  in  $V_i$ , and  $\lambda_{ii}$  is an isomorphism between them; the top line of (6.42) is this isomorphism restricted to the fibres over  $v_i$ . In the second line we use that  $\phi_{ij}(p) = \pi_{ji}(q)$  lies in the  $\Gamma_j$ -orbit of  $v_j$  in  $V_j$  as  $\pi_{ij}(p) = v_i$ , and  $\pi_{ji} : P_{ji} \rightarrow V_j$  is  $\Gamma_j$ -equivariant, and  $G'_x \mathbf{X} = \text{Stab}_{\Gamma_j}(v_j)$ . In the third line we use (6.41). Similarly we show that

$$\begin{aligned} \mu_{jj}|_{\{v_j\} \times \Gamma_j} : \{v_j\} \times \Gamma_j &\xrightarrow{\cong} \{(q, p) \in P_{ji} \times P_{ij} : \phi_{ij}(p) = v_j, \phi_{ji}(q) = \pi_{ij}(p)\} / \Gamma_i \\ &\cong \{(q, p) \in P_{ji} \times P_{ij} : \phi_{ij}(p) = v_j, \phi_{ji}(q) = \pi_{ij}(p) = v_i\} / G_x \mathbf{X} \\ &= \{(q, p) \in P_{ji} \times S_x : \phi_{ji}(q) = v_i\} / G_x \mathbf{X}. \end{aligned} \quad (6.43)$$

Now the top line of (6.42) is equivariant under two commuting  $\Gamma_i$ -actions. On the left hand side these act by left and right  $\Gamma_i$ -multiplication on  $\{v_i\} \times \Gamma_i$ , so are free and transitive. On the right they act by  $\Gamma_i$ -multiplication on  $P_{ij} \ni p$  and  $P_{ji} \ni q$ . Restricting the free  $\Gamma_i$ -action on  $P_{ij}$  to a free  $G_x \mathbf{X}$ -action, this free  $G_x \mathbf{X}$ -action descends to the second and third lines of (6.42), so we see that  $G_x \mathbf{X}$  acts freely on  $S_x$ .

Similarly, the top line of (6.43) has two transitive actions of  $\Gamma_j$ . The action on  $P_{ji} \ni q$  descends to a transitive  $\Gamma_j$ -action on the second and third lines. Therefore  $\Gamma_j \backslash (\phi_{ji}^{-1}(v_i) \times S_x) / G_x \mathbf{X} \cong (\phi_{ji}^{-1}(v_i) / \Gamma_j) \times (S_x / G_x \mathbf{X})$  is a point, so  $S_x / G_x \mathbf{X}$  is a point, and  $G_x \mathbf{X}$  acts transitively on  $S_x$ .  $\square$

We discuss functoriality of the  $G_x\mathbf{X}$  under 1- and 2-morphisms.

**Definition 6.51.** Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism of Kuranishi spaces, with notation (6.15), (6.16), (6.18), and let  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . Then Definition 6.49 gives isotropy groups  $G_x\mathbf{X}$ , defined using  $i \in I$  and  $u_i \in U_i$  with  $\bar{\chi}_i(u_i) = x$ , and  $G_y\mathbf{Y}$ , defined using  $j \in J$  and  $v_j \in V_j$  with  $\bar{\psi}_j(v_j) = y$ . In  $\mathbf{f}$  we have a 1-morphism  $\mathbf{f}_{ij} = (P_{ij}, \pi_{ij}, f_{ij}, \hat{f}_{ij})$  over  $f$ . As in (6.41), define

$$S_{x,\mathbf{f}} = \{p \in P_{ij} : \pi_{ij}(p) = u_i, f_{ij}(p) = v_j\}. \quad (6.44)$$

Following the first part of the proof of Lemma 6.50, we find that  $S_{x,\mathbf{f}}$  is invariant under the commuting actions of  $G_x\mathbf{X} = \text{Stab}_{B_i}(u_i) \subseteq B_i$  and  $G_y\mathbf{Y} = \text{Stab}_{\Gamma_j}(v_j) \subseteq \Gamma_j$  on  $P_{ij}$  induced by the  $B_i, \Gamma_j$ -actions on  $P_{ij}$ . But this time,  $G_y\mathbf{Y}$  acts freely transitively on  $S_{x,\mathbf{f}}$ , but  $G_x\mathbf{X}$  need not act freely or transitively.

Pick  $p \in S_{x,\mathbf{f}}$ . As for  $I_x^G$  in Definition 6.49, define a group morphism  $G_x\mathbf{f} : G_x\mathbf{X} \rightarrow G_y\mathbf{Y}$  by  $G_x\mathbf{f}(\gamma) = \gamma'$  if  $\gamma \cdot p = (\gamma')^{-1} \cdot p$  in  $S_{x,\mathbf{f}}$ , using the actions of  $G_x\mathbf{X}, G_y\mathbf{Y}$  on  $S_{x,\mathbf{f}}$  with  $G_y\mathbf{Y}$  free and transitive.

If  $\tilde{p} \in S_{x,\mathbf{f}}$  is an alternative choice for  $p$ , yielding  $\tilde{G}_x\mathbf{f} : G_x\mathbf{X} \rightarrow G_y\mathbf{Y}$ , there is a unique  $\delta \in G_y\mathbf{Y}$  with  $\delta \cdot p = \tilde{p}$ , and then  $\tilde{G}_x\mathbf{f}(\gamma) = \delta(G_x\mathbf{f}(\gamma))\delta^{-1}$  for all  $\gamma \in G_x\mathbf{X}$ . That is, the morphism  $G_x\mathbf{f} : G_x\mathbf{X} \rightarrow G_y\mathbf{Y}$  is canonical up to conjugation by an element of  $G_y\mathbf{Y}$ .

Continuing with the same notation, suppose  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  is another 1-morphism and  $\boldsymbol{\eta} : \mathbf{f} \Rightarrow \mathbf{g}$  a 2-morphism in  $\mathbf{Kur}$ . Then above we define  $G_x\mathbf{g}$  by choosing an arbitrary point  $q \in S_{x,\mathbf{g}}$ , where

$$S_{x,\mathbf{g}} = \{q \in Q_{ij} : \pi_{ij}(q) = u_i, g_{ij}(q) = v_j\},$$

with  $g_{ij} = (Q_{ij}, \pi_{ij}, g_{ij}, \hat{g}_{ij})$  in  $\mathbf{g}$ . In  $\boldsymbol{\eta}$  we have  $\boldsymbol{\eta}_{ij} = [\hat{P}_{ij}, \eta_{ij}, \hat{\eta}_{ij}]$  represented by  $(\hat{P}_{ij}, \eta_{ij}, \hat{\eta}_{ij})$ , where  $\hat{P}_{ij} \subseteq P_{ij}$  and  $\eta_{ij} : \hat{P}_{ij} \rightarrow Q_{ij}$ . From the definitions we find that  $S_{x,\mathbf{f}} \subseteq \hat{P}_{ij}$ , and  $\eta_{ij}|_{S_{x,\mathbf{f}}} : S_{x,\mathbf{f}} \rightarrow S_{x,\mathbf{g}}$  is a bijection. Since  $G_y\mathbf{Y}$  acts freely and transitively on  $S_{x,\mathbf{g}}$ , there is a unique element  $G_x\boldsymbol{\eta} \in G_y\mathbf{Y}$  with  $G_x\boldsymbol{\eta} \cdot \eta_{ij}(p) = q$ . One can now check that

$$G_x\mathbf{g}(\gamma) = (G_x\boldsymbol{\eta})(G_x\mathbf{f}(\gamma))(G_x\boldsymbol{\eta})^{-1} \quad \text{for all } \gamma \in G_x\mathbf{X}.$$

That is,  $G_x\mathbf{g}$  is conjugate to  $G_x\mathbf{f}$  under  $G_x\boldsymbol{\eta} \in G_y\mathbf{Y}$ , the same indeterminacy as in the definition of  $G_x\mathbf{f}$ .

Suppose instead that  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  is another 1-morphism of Kuranishi spaces and  $\mathbf{g}(y) = z \in \mathbf{Z}$ . Then in a similar way we can show there is a canonical element  $G_{x,\mathbf{g},\mathbf{f}} \in G_z\mathbf{Z}$  such that for all  $\gamma \in G_x\mathbf{X}$  we have

$$G_x(\mathbf{g} \circ \mathbf{f})(\gamma) = (G_{x,\mathbf{g},\mathbf{f}})((G_y\mathbf{g} \circ G_x\mathbf{f})(\gamma))(G_{x,\mathbf{g},\mathbf{f}})^{-1}.$$

That is,  $G_x(\mathbf{g} \circ \mathbf{f})$  is conjugate to  $G_y\mathbf{g} \circ G_x\mathbf{f}$  under  $G_{x,\mathbf{g},\mathbf{f}} \in G_z\mathbf{Z}$ .

Since 2-morphisms  $\boldsymbol{\eta} : \mathbf{f} \Rightarrow \mathbf{g}$  relate  $G_x\mathbf{f}$  and  $G_x\mathbf{g}$  by isomorphisms, if  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is an equivalence in  $\mathbf{Kur}$  then  $G_x\mathbf{f}$  is an isomorphism for all  $x \in \mathbf{X}$ .

**Remark 6.52.** The definitions of  $G_x \mathbf{X}, G_x \mathbf{f}$  above depend on arbitrary choices. We could use the Axiom of (Global) Choice as in Remark 4.21 to choose particular values for  $G_x \mathbf{X}, G_x \mathbf{f}$  for all  $\mathbf{X}, x, \mathbf{f}$ . But this is not really necessary, we can just bear the non-uniqueness in mind when working with them. All the definitions we make using  $G_x \mathbf{X}, G_x \mathbf{f}$  will be independent of the arbitrary choices in Definitions 6.49 and 6.51.

**Definition 6.53.** (a) We call a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\dot{\mathbf{K}}\mathbf{ur}$  *representable* if  $G_x \mathbf{f} : G_x \mathbf{X} \rightarrow G_{\mathbf{f}(x)} \mathbf{Y}$  is injective for all  $x \in \mathbf{X}$ .

(b) Write  $\dot{\mathbf{K}}\mathbf{ur}_{\text{trG}} \subset \dot{\mathbf{K}}\mathbf{ur}$  for the full 2-subcategory of  $\mathbf{X}$  in  $\dot{\mathbf{K}}\mathbf{ur}$  with *trivial isotropy groups*, that is, with  $G_x \mathbf{X} = \{1\}$  for all  $x \in \mathbf{X}$ .

In Example 6.36 we defined a weak 2-functor  $F_{\mathbf{mK}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}} : \mathbf{mK}\mathbf{ur} \rightarrow \dot{\mathbf{K}}\mathbf{ur}$ . If  $\mathbf{X} \in \mathbf{mK}\mathbf{ur}$  and  $\mathbf{X}' = F_{\mathbf{mK}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}}(\mathbf{X})$  then  $\mathbf{X}'$  has Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  with  $\Gamma_i = \{1\}$ , so clearly  $G_x \mathbf{X}' = \{1\}$  for all  $x \in \mathbf{X}'$  as  $G_x \mathbf{X}' \subseteq \Gamma_i$  for some  $i \in I$ , and thus  $F_{\mathbf{mK}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}}$  maps  $\mathbf{mK}\mathbf{ur} \rightarrow \dot{\mathbf{K}}\mathbf{ur}_{\text{trG}}$ , so we may write it as  $F_{\mathbf{mK}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}_{\text{trG}}} : \mathbf{mK}\mathbf{ur} \rightarrow \dot{\mathbf{K}}\mathbf{ur}_{\text{trG}}$ .

**Theorem 6.54.** *The weak 2-functor  $F_{\mathbf{mK}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}_{\text{trG}}} : \mathbf{mK}\mathbf{ur} \rightarrow \dot{\mathbf{K}}\mathbf{ur}_{\text{trG}}$  from Example 6.36 is an equivalence of 2-categories.*

*Proof.* By construction,  $F_{\mathbf{mK}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}}$  is an equivalence from  $\mathbf{mK}\mathbf{ur}$  to the full 2-subcategory  $\dot{\mathbf{K}}\mathbf{ur}_{\text{tr}\Gamma} \subset \dot{\mathbf{K}}\mathbf{ur}_{\text{trG}} \subset \dot{\mathbf{K}}\mathbf{ur}$  of Kuranishi spaces  $\mathbf{X} = (X, \mathcal{K})$  such that all Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  in  $\mathcal{K}$  have  $\Gamma_i = \{1\}$ . Thus, to show that  $F_{\mathbf{mK}\mathbf{ur}}^{\dot{\mathbf{K}}\mathbf{ur}_{\text{trG}}} : \mathbf{mK}\mathbf{ur} \rightarrow \dot{\mathbf{K}}\mathbf{ur}_{\text{trG}}$  is an equivalence, it is enough to prove that the inclusion  $\dot{\mathbf{K}}\mathbf{ur}_{\text{tr}\Gamma} \subset \dot{\mathbf{K}}\mathbf{ur}_{\text{trG}}$  is an equivalence. That is, if  $\mathbf{X}$  is an object of  $\dot{\mathbf{K}}\mathbf{ur}_{\text{trG}}$ , we must find  $\mathbf{X}'$  in  $\dot{\mathbf{K}}\mathbf{ur}_{\text{tr}\Gamma}$  with  $\mathbf{X}' \simeq \mathbf{X}$  in  $\dot{\mathbf{K}}\mathbf{ur}_{\text{trG}}$ .

Write  $\mathbf{X} = (X, \mathcal{K})$  with  $\mathcal{K} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ . Let  $x \in X$ . Then there exists  $i \in I$  with  $x \in \text{Im } \bar{\psi}_i$ . Pick  $v \in s_i^{-1}(0) \subseteq V_i$  with  $\bar{\psi}_i(v) = x$ . Then  $\text{Stab}_{\Gamma_i}(v) \cong G_x \mathbf{X} = \{1\}$ , so  $\Gamma_i$  acts freely on  $V_i$  near  $v$ . Using  $\Gamma_i$  finite and  $V_i$  Hausdorff, we can choose an open neighbourhood  $W_x$  of  $v$  in  $V_i$  such that  $W_x \cap (\gamma \cdot W_x) = \emptyset$  for all  $1 \neq \gamma \in \Gamma_i$ . Set  $F_x = E_i|_{W_x}$ , and  $\Delta_x = \{1\}$ , and  $t_x = s_i|_{W_x}$ . Define  $\omega_x : t_x^{-1}(0) \rightarrow X$  to be the composition

$$t_x^{-1}(0) \xrightarrow{v' \mapsto v' \Gamma} s_i^{-1}(0)/\Gamma_i \xrightarrow{\psi_i} X. \quad (6.45)$$

Since  $W_x \cap (\gamma \cdot W_x) = \emptyset$  for all  $1 \neq \gamma \in \Gamma$ , the first map in (6.45) is a homeomorphism with an open subset, and the second map  $\psi_i$  is too by Definition 6.1(e). Hence  $\omega_x$  is a homeomorphism with an open subset  $\text{Im } \omega_x \subseteq X$ . Thus  $(W_x, F_x, \Delta_x, t_x, \omega_x)$  is a Kuranishi neighbourhood on  $X$ , with  $x \in \text{Im } \omega_x$ .

Now define  $Q_{x_i} = W_x \times \Gamma_i$ , considered as an object in  $\mathbf{Man}$  which is the disjoint union of  $|\Gamma_i|$  copies of  $W_x$ . Let  $\Gamma_i$  act on  $Q_{x_i}$  by the trivial action on  $W_x$  and left action on  $\Gamma_i$ , and let  $\Delta_x = \{1\}$  act trivially on  $Q_{x_i}$ . Define morphisms  $\pi_{x_i} : W_x \times \Gamma_i \rightarrow W_x$  and  $v_{x_i} : W_x \times \Gamma_i \rightarrow V_i$  such that  $\pi_{x_i} : (v, \gamma) \mapsto v \in W_x$  and

$\pi_{xi} : (v, \gamma) \mapsto \gamma \cdot v \in V_i$  on points. That is,  $\pi_{xi}$  is the projection  $W_x \times \Gamma_i \rightarrow W_x$ , and on  $W_x \times \{\gamma\}$ ,  $v_{xi}$  is the composition of the inclusion  $W_x \hookrightarrow V_i$  and the group action  $\gamma \cdot : V_i \rightarrow V_i$ , for each  $\gamma \in \Gamma_i$ . Define a vector bundle morphism  $\hat{v}_{xi} : \pi_{xi}^*(F_x) \rightarrow v_{xi}^*(E_i)$  such that for each  $\gamma \in \Gamma_i$ ,  $\hat{v}_{xi}|_{W_x \times \{\gamma\}}$  is the action of  $\gamma$  on  $E_i$ , restricted to a map  $\gamma \cdot : E_i|_{W_x} \rightarrow E_i|_{\gamma \cdot W_x}$ .

It is now easy to check that  $\Upsilon_{xi} := (Q_{xi}, \pi_{xi}, v_{xi}, \hat{v}_{xi})$  is a 1-morphism of Kuranishi neighbourhoods  $\Upsilon_{xi} : (W_x, F_x, \Delta_x, t_x, \omega_x) \rightarrow (V_i, E_i, \Gamma_i, s_i, \psi_i)$  over  $\text{Im } \omega_x \subseteq X$ . Furthermore,  $\Upsilon_{ix} := (Q_{xi}, v_{xi}, \pi_{xi}, \hat{v}_{xi}^{-1})$  is a 1-morphism  $\Upsilon_{ix} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (W_x, F_x, \Delta_x, t_x, \omega_x)$  over  $\text{Im } \omega_x$ . There are obvious 2-morphisms  $\eta_{xx} : \Upsilon_{ix} \circ \Upsilon_{xi} \Rightarrow \text{id}_{(W_x, F_x, \Delta_x, t_x, \omega_x)}$  and  $\zeta_{ii} : \Upsilon_{xi} \circ \Upsilon_{ix} \Rightarrow \text{id}_{(V_i, E_i, \Gamma_i, s_i, \psi_i)}$  over  $\text{Im } \omega_x$ . Hence  $\Upsilon_{xi}, \Upsilon_{ix}$  are coordinate changes over  $\text{Im } \omega_x$ .

Next we use the ideas of §6.4. For each  $j \in I$  define a coordinate change  $\Phi_{xj} := \Phi_{ij} \circ \Upsilon_{xi} : (W_x, F_x, \Delta_x, t_x, \omega_x) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  over  $\text{Im } \omega_x \cap \text{Im } \psi_j \subseteq X$ , and for all  $j, k \in I$  define a 2-morphism  $\Lambda_{xjk} : \Phi_{jk} \circ \Phi_{xj} \Rightarrow \Phi_{xk}$  by the commutative diagram

$$\begin{array}{ccc} \Phi_{jk} \circ \Phi_{xj} & \xrightarrow{\Lambda_{xjk}} & \Phi_{xk} \\ \parallel & & \parallel \\ \Phi_{jk} \circ (\Phi_{ij} \circ \Upsilon_{xi}) & \xrightarrow{\alpha_{\Phi_{jk}, \Phi_{ij}, \Upsilon_{xi}}^{-1}} (\Phi_{jk} \circ \Phi_{ij}) \circ \Upsilon_{xi} \xrightarrow{\Lambda_{ijk} * \text{id}_{\Upsilon_{xi}}} & \Phi_{ik} \circ \Upsilon_{xi} \end{array}$$

Using Definition 6.17(h) for the  $\Lambda_{ijk}$  and properties of 2-categories we find that these  $\Phi_{xj}, \Lambda_{xjk}$  satisfy (6.37), so that  $(W_x, F_x, \Delta_x, t_x, \omega_x), \Phi_{xj}, \Lambda_{xjk}$  is a Kuranishi neighbourhood on the Kuranishi space  $\mathbf{X}$ , in the sense of Definition 6.42.

Thus we have a family  $(W_x, F_x, \Delta_x, t_x, \omega_x)$  for  $x \in X$  of Kuranishi neighbourhoods on  $\mathbf{X}$  which cover  $X$ . Hence Theorem 6.47 constructs a Kuranishi space  $\mathbf{X}' = (X, \mathcal{K}')$  equivalent to  $\mathbf{X}$  in  $\mathbf{K}\mathbf{ur}$ , such that  $\mathcal{K}'$  has Kuranishi neighbourhoods  $(W_x, F_x, \Delta_x, t_x, \omega_x)$  for  $x \in X$ . Since  $\Delta_x = \{1\}$  for all  $x$ , this  $\mathbf{X}'$  lies in  $\mathbf{K}\mathbf{ur}_{\text{tr}\Gamma} \subset \mathbf{K}\mathbf{ur}_{\text{tr}\mathbf{G}}$ , which proves Theorem 6.54.  $\square$

## 6.6 Orbifolds and Kuranishi spaces

We have said that Kuranishi spaces are an orbifold version of m-Kuranishi spaces, and should be regarded as ‘derived orbifolds’, just as m-Kuranishi spaces are a kind of ‘derived manifold’, as in §4.8. We now explore the relationship between orbifolds and Kuranishi spaces in more detail. As we explain in §6.6.1, there are many different definitions of orbifolds in the literature, most of which are known to be equivalent at the level of categories or 2-categories.

To relate orbifolds and Kuranishi spaces, we find it convenient to give our own, new definition of a 2-category of orbifolds  $\mathbf{Orb}_{\mathbf{K}\mathbf{ur}}$  in §6.6.2, which is basically the 2-subcategory  $\mathbf{Orb}_{\mathbf{K}\mathbf{ur}} \subset \mathbf{K}\mathbf{ur}$  of Kuranishi spaces  $\mathbf{X}$  all of whose Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  have  $E_i = s_i = 0$ , and then to show  $\mathbf{Orb}_{\mathbf{K}\mathbf{ur}}$  is equivalent to the 2-categories of orbifolds defined by other authors.

### 6.6.1 Definitions of orbifolds in the literature

Orbifolds are generalizations of manifolds locally modelled on  $\mathbb{R}^n/G$ , for  $G$  a finite group acting linearly on  $\mathbb{R}^n$ . They were introduced by Satake [97], who called them ‘V-manifolds’. Later they were studied by Thurston [105, Ch. 13] who gave them the name ‘orbifold’.

As for Kuranishi spaces, defining orbifolds  $\mathfrak{X}, \mathfrak{Y}$  and smooth maps  $f : \mathfrak{X} \rightarrow \mathfrak{Y}$  was initially problematic, and early definitions of ordinary categories of orbifolds [97, 105] had some bad differential-geometric behaviour (e.g. for some definitions, one cannot define pullbacks  $f^*(\mathfrak{E})$  of orbifold vector bundles  $\mathfrak{E} \rightarrow \mathfrak{Y}$ ). It is now generally agreed that it is best to define orbifolds to be a 2-category. See Lerman [72] for a good overview of ways to define orbifolds.

There are three main definitions of ordinary categories of orbifolds:

- (a) Satake [97] and Thurston [105] defined an orbifold  $\mathfrak{X}$  to be a Hausdorff topological space  $X$  with an atlas  $\{(V_i, \Gamma_i, \psi_i) : i \in I\}$  of orbifold charts  $(V_i, \Gamma_i, \psi_i)$ , where  $V_i$  is a manifold,  $\Gamma_i$  a finite group acting smoothly (and locally effectively) on  $V_i$ , and  $\psi_i : V_i/\Gamma_i \rightarrow X$  a homeomorphism with an open set in  $X$ , and pairs of charts  $(V_i, \Gamma_i, \psi_i), (V_j, \Gamma_j, \psi_j)$  satisfy compatibility conditions on their overlaps in  $X$ . Smooth maps  $f : \mathfrak{X} \rightarrow \mathfrak{Y}$  between orbifolds are continuous maps  $f : X \rightarrow Y$  of the underlying spaces, which lift locally to smooth maps on the charts, giving a category  $\mathbf{Orb}_{\text{ST}}$ .
- (b) Chen and Ruan [12, §4] defined orbifolds  $\mathfrak{X}$  in a similar way to [97, 105], but using germs of orbifold charts  $(V_p, \Gamma_p, \psi_p)$  for  $p \in X$ . Their morphisms  $f : \mathfrak{X} \rightarrow \mathfrak{Y}$  are called *good maps*, giving a category  $\mathbf{Orb}_{\text{CR}}$ .
- (c) Moerdijk and Pronk [89, 90] defined a category of orbifolds  $\mathbf{Orb}_{\text{MP}}$  as *proper étale Lie groupoids* in  $\mathbf{Man}$ . Their definition of smooth map  $f : \mathfrak{X} \rightarrow \mathfrak{Y}$ , called *strong maps* [90, §5] is complicated: it is an equivalence class of diagrams  $\mathfrak{X} \xleftarrow{\phi} \mathfrak{X}' \xrightarrow{\psi} \mathfrak{Y}$ , where  $\mathfrak{X}'$  is a third orbifold, and  $\phi, \psi$  are morphisms of groupoids with  $\phi$  an equivalence (loosely, a diffeomorphism).

A book on orbifolds in the sense of [12, 89, 90] is Adem, Leida and Ruan [1].

There are four main definitions of 2-categories of orbifolds:

- (i) Pronk [96] defines a strict 2-category  $\mathbf{LieGpd}$  of Lie groupoids in  $\mathbf{Man}$  as in (c), with the obvious 1-morphisms of groupoids, and localizes by a class of weak equivalences  $\mathcal{W}$  to get a weak 2-category  $\mathbf{Orb}_{\text{Pr}} = \mathbf{LieGpd}[\mathcal{W}^{-1}]$ .
- (ii) Lerman [72, §3.3] defines a weak 2-category  $\mathbf{Orb}_{\text{Le}}$  of Lie groupoids in  $\mathbf{Man}$  as in (c), with a non-obvious notion of 1-morphism called ‘Hilsum–Skandalis morphisms’ involving ‘bibundles’, and does not need to localize.

Henriques and Metzler [44] also use Hilsum–Skandalis morphisms. We used Hilsum–Skandalis morphisms in our 1-morphisms of Kuranishi neighbourhoods in §6.1, as in Remark 6.15(ii).

- (iii) Behrend and Xu [4, §2], Lerman [72, §4] and Metzler [88, §3.5] define a strict 2-category of orbifolds  $\mathbf{Orb}_{\text{ManSta}}$  as a class of Deligne–Mumford



stacks on the site  $(\mathbf{Man}, \mathcal{J}_{\mathbf{Man}})$  of manifolds with Grothendieck topology  $\mathcal{J}_{\mathbf{Man}}$  coming from open covers.

- (iv) The author [65] defines a strict 2-category of orbifolds  $\mathbf{Orb}_{C^\infty\text{Sta}}$  as a class of Deligne–Mumford stacks on the site  $(\mathbf{C}^\infty\mathbf{Sch}, \mathcal{J}_{\mathbf{C}^\infty\mathbf{Sch}})$  of  $C^\infty$ -schemes.

As in Behrend and Xu [4, §2.6], Lerman [72], Pronk [96], and the author [65, Th. 7.26], approaches (i)–(iv) give equivalent weak 2-categories  $\mathbf{Orb}_{\text{Pr}}$ ,  $\mathbf{Orb}_{\text{Le}}$ ,  $\mathbf{Orb}_{\text{ManSta}}$ ,  $\mathbf{Orb}_{C^\infty\text{Sta}}$ . As they are equivalent, the differences between them are not of mathematical importance, but more a matter of convenience or taste. Properties of localization also imply that  $\mathbf{Orb}_{\text{MP}} \simeq \text{Ho}(\mathbf{Orb}_{\text{Pr}})$ . Thus, all of (c) and (i)–(iv) are equivalent at the level of homotopy categories.

In §6.6.2 we give a fifth definition of a weak 2-category of orbifolds, similar to (ii) above, which is a special case of our definition of Kuranishi spaces.

### 6.6.2 The weak 2-category of orbifolds $\mathring{\mathbf{Orb}}$

In a similar way to (i)–(iv) in §6.6.1, we now give a fifth definition of a weak 2-category of orbifolds, essentially as a full 2-subcategory  $\mathbf{Orb}_{\text{Kur}} \subset \mathbf{Kur}$ , and we will show that  $\mathbf{Orb}_{\text{Kur}}$  is equivalent to  $\mathbf{Orb}_{\text{Pr}}$ ,  $\mathbf{Orb}_{\text{Le}}$ ,  $\mathbf{Orb}_{\text{ManSta}}$ ,  $\mathbf{Orb}_{C^\infty\text{Sta}}$  in §6.6.1(i)–(iv). This provides a convenient way to relate orbifolds and Kuranishi spaces. Fukaya et al. [30, §9] and McDuff [78] also define (effective) orbifolds as special examples of their notions of Kuranishi space/Kuranishi atlas.

The basic idea is that orbifolds  $\mathfrak{X}$  in  $\mathbf{Orb}_{\text{Kur}}$  are just Kuranishi spaces  $\mathbf{X} = (X, \mathcal{K})$  with  $\mathcal{K} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})_{i, j \in I}, \Lambda_{ijk} = [\hat{P}_{ijk}, \lambda_{ijk}, \hat{\lambda}_{ijk}]_{i, j, k \in I})$ , for which the obstruction bundles  $E_i \rightarrow V_i$  are zero for all  $i \in I$ , so that the sections  $s_i$  are also zero. This allows us to simplify the notation a lot. Equations in §6.1 involving error terms  $O(\pi_{ij}^*(s_i))$  or  $O(\pi_{ij}^*(s_i)^2)$  become exact, as  $s_i = 0$ .

As  $E_i, s_i$  are zero we can take ‘orbifold charts’ to be  $(V_i, \Gamma_i, \psi_i)$ . As  $\hat{\phi}_{ij} = 0$  we can take coordinate changes to be  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij})$ , and we can also take  $V_{ij} = \pi_{ij}(P_{ij})$  to be equal to  $\bar{\psi}_i^{-1}(S)$ , rather than just an open neighbourhood of  $\bar{\psi}_i^{-1}(S)$  in  $V_i$ , since  $\bar{\psi}_i^{-1}(S)$  is open in  $V_i$  when  $s_i = 0$ . For 2-morphisms  $\Lambda_{ij} = [\hat{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}] : \Phi_{ij} \Rightarrow \Phi'_{ij}$  in §6.1, we have  $\hat{\lambda}_{ij} = 0$ , and we are forced to take  $\hat{P}_{ij} = P_{ij}$ , and the equivalence relation  $\sim$  in Definition 6.4 becomes trivial, so we can take 2-morphisms to be just  $\lambda_{ij}$ .

Section 6.6.1 discussed only orbifolds modelled on classical manifolds, as almost all the literature on orbifolds concerns only these. However, we will construct a weak 2-category of ‘orbifolds’  $\mathring{\mathbf{Orb}}$  corresponding to any category of ‘manifolds’  $\mathring{\mathbf{Man}}$  satisfying Assumptions 3.1–3.3. When  $\mathring{\mathbf{Man}} = \mathbf{Man}$  this gives a 2-category  $\mathbf{Orb}_{\text{Kur}}$  equivalent to the 2-categories of orbifolds discussed in §6.6.1. When  $\mathring{\mathbf{Man}} = \mathbf{Man}^c$  we get a 2-category  $\mathbf{Orb}^c$  of orbifolds with corners, and so on. From here until Proposition 6.62, fix a category  $\mathring{\mathbf{Man}}$  satisfying Assumptions 3.1–3.3. As usual we will call objects  $X \in \mathring{\mathbf{Man}}$  ‘manifolds’, and morphisms  $f : X \rightarrow Y$  in  $\mathring{\mathbf{Man}}$  ‘smooth maps’.

**Definition 6.55.** Let  $X$  be a topological space. An *orbifold chart* on  $X$  is a triple  $(V, \Gamma, \psi)$ , where  $V$  is a manifold (object in  $\mathbf{Man}$ ),  $\Gamma$  is a finite group with a smooth action on  $V$  (that is, an action by isomorphisms in  $\mathbf{Man}$ ), and  $\psi$  is a homeomorphism from the topological space  $V/\Gamma$  to an open subset  $\text{Im } \psi$  in  $X$ . We write  $\bar{\psi} : V \rightarrow X$  for the composition of  $\psi$  with the projection  $V \rightarrow V/\Gamma$ .

We call an orbifold chart  $(V, \Gamma, \psi)$  *effective* if the action of  $\Gamma$  on  $V$  is locally effective, that is, no nonempty open set  $U \subseteq V$  is fixed by  $1 \neq \gamma \in \Gamma$ .

**Definition 6.56.** Let  $X, Y$  be topological spaces,  $f : X \rightarrow Y$  a continuous map,  $(V_i, \Gamma_i, \psi_i), (V_j, \Gamma_j, \psi_j)$  be orbifold charts on  $X, Y$  respectively, and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be an open set. A *1-morphism*  $\Phi_{ij} : (V_i, \Gamma_i, \psi_i) \rightarrow (V_j, \Gamma_j, \psi_j)$  of orbifold charts over  $(S, f)$  is a triple  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij})$  satisfying:

- (a)  $P_{ij}$  is a manifold (object in  $\mathbf{Man}$ ), with commuting smooth actions of  $\Gamma_i, \Gamma_j$  (that is, with a smooth action of  $\Gamma_i \times \Gamma_j$ ), with the  $\Gamma_j$ -action free.
- (b)  $\pi_{ij} : P_{ij} \rightarrow V_i$  is a smooth map (morphism in  $\mathbf{Man}$ ) which is  $\Gamma_i$ -equivariant,  $\Gamma_j$ -invariant, and étale (a local diffeomorphism), with  $\pi_{ij}(P_{ij}) = \bar{\psi}_i^{-1}(S)$ . The fibres  $\pi_{ij}^{-1}(v)$  of  $\pi_{ij}$  for  $v \in \bar{\psi}_i^{-1}(S)$  are  $\Gamma_j$ -orbits, so that  $\pi_{ij} : P_{ij} \rightarrow \bar{\psi}_i^{-1}(S)$  is a principal  $\Gamma_j$ -bundle, with  $\bar{\psi}_i^{-1}(S)$  an open submanifold of  $V_i$ .
- (c)  $\phi_{ij} : P_{ij} \rightarrow V_j$  is a  $\Gamma_i$ -invariant and  $\Gamma_j$ -equivariant smooth map, that is,  $\phi_{ij}(\gamma_i \cdot p) = \phi_{ij}(p)$ ,  $\phi_{ij}(\gamma_j \cdot p) = \gamma_j \cdot \phi_{ij}(p)$  for all  $\gamma_i \in \Gamma_i, \gamma_j \in \Gamma_j, p \in P_{ij}$ .
- (d)  $f \circ \bar{\psi}_i \circ \pi_{ij} = \bar{\psi}_j \circ \phi_{ij} : P_{ij} \rightarrow Y$ .

If  $X = Y$  and  $f = \text{id}_X$  then we call  $\Phi_{ij}$  a *coordinate change over  $S$*  if also:

- (e) The  $\Gamma_i$ -action on  $P_{ij}$  is free,  $\phi_{ij} : P_{ij} \rightarrow V_j$  is étale, and the fibres  $\phi_{ij}^{-1}(v')$  of  $\phi_{ij}$  for  $v' \in \bar{\psi}_j^{-1}(S)$  are  $\Gamma_i$ -orbits, so that  $\phi_{ij} : P_{ij} \rightarrow \bar{\psi}_j^{-1}(S)$  is a principal  $\Gamma_i$ -bundle, with  $\bar{\psi}_j^{-1}(S)$  an open submanifold of  $V_j$ .

Then  $\Phi_{ij}$  is a ‘Hilsum–Skandalis morphism’, as in §6.6.1. If  $(P_{ij}, \pi_{ij}, \phi_{ij}) : (V_i, \Gamma_i, \psi_i) \rightarrow (V_j, \Gamma_j, \psi_j)$  is a coordinate change over  $S$ , then  $(P_{ij}, \phi_{ij}, \pi_{ij}) : (V_j, \Gamma_j, \psi_j) \rightarrow (V_i, \Gamma_i, \psi_i)$  is also a coordinate change over  $S$ .

If  $S \subseteq \text{Im } \psi_i \subseteq X$  is open, we define the *identity coordinate change over  $S$*

$$\text{id}_{(V_i, \Gamma_i, \psi_i)} = (\bar{\psi}_i^{-1}(S) \times \Gamma_i, \pi_{ii}, \phi_{ii}) : (V_i, \Gamma_i, \psi_i) \longrightarrow (V_i, \Gamma_i, \psi_i),$$

where  $\bar{\psi}_i^{-1}(S) \subseteq V_i$  is an open submanifold, and  $\pi_{ii}, \phi_{ii} : \bar{\psi}_i^{-1}(S) \times \Gamma_i \rightarrow V_i$  map  $\pi_{ii} : (v, \gamma) \mapsto v$  and  $\phi_{ii} : (v, \gamma) \mapsto \gamma \cdot v$ .

**Definition 6.57.** Let  $\Phi_{ij}, \Phi'_{ij} : (V_i, \Gamma_i, \psi_i) \rightarrow (V_j, \Gamma_j, \psi_j)$  be 1-morphisms of orbifold charts over  $(S, f)$ , where  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij})$  and  $\Phi'_{ij} = (P'_{ij}, \pi'_{ij}, \phi'_{ij})$ . A *2-morphism*  $\lambda_{ij} : \Phi_{ij} \rightrightarrows \Phi'_{ij}$  is a  $\Gamma_i$ - and  $\Gamma_j$ -equivariant diffeomorphism  $\lambda_{ij} : P_{ij} \rightarrow P'_{ij}$  with  $\pi'_{ij} \circ \lambda_{ij} = \pi_{ij}$  and  $\phi'_{ij} \circ \lambda_{ij} = \phi_{ij}$ . That is, 2-morphisms are just isomorphisms preserving all the structure, in the most obvious way.

The *identity 2-morphism*  $\text{id}_{\Phi_{ij}} : \Phi_{ij} \rightrightarrows \Phi_{ij}$  is  $\text{id}_{\Phi_{ij}} = \text{id}_{P_{ij}} : P_{ij} \rightarrow P_{ij}$ .

**Definition 6.58.** Let  $X, Y, Z$  be topological spaces,  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be continuous maps,  $(V_i, \Gamma_i, \psi_i), (V_j, \Gamma_j, \psi_j), (V_k, \Gamma_k, \psi_k)$  be orbifold charts on  $X, Y, Z$  respectively, and  $T \subseteq \text{Im } \psi_j \cap g^{-1}(\text{Im } \psi_k) \subseteq Y$  and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(T) \subseteq X$  be open. Suppose  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}) : (V_i, \Gamma_i, \psi_i) \rightarrow (V_j, \Gamma_j, \psi_j)$  is a 1-morphism of orbifold charts over  $(S, f)$ , and  $\Phi_{jk} = (P_{jk}, \pi_{jk}, \phi_{jk}) : (V_j, \Gamma_j, \psi_j) \rightarrow (V_k, \Gamma_k, \psi_k)$  is a 1-morphism of orbifold charts over  $(T, g)$ .

Consider the diagram in **Man**:

$$\begin{array}{ccccc}
& & \Gamma_i \times \Gamma_j \times \Gamma_k & & \\
& & \curvearrowright & & \\
& & P_{ij} \times_{V_j} P_{jk} & & \\
& \Gamma_i \times \Gamma_j & \swarrow \pi_{P_{ij}} & \searrow \pi_{P_{jk}} & \Gamma_j \times \Gamma_k \\
& \curvearrowright & P_{ij} & & P_{jk} & \curvearrowright \\
& \swarrow \pi_{ij} & & \searrow \pi_{jk} & & \swarrow \phi_{jk} \\
\Gamma_i & \curvearrowright & V_i & & V_j & \curvearrowright & V_k & \curvearrowright & \Gamma_k \\
& \swarrow \phi_{ij} & & \searrow \phi_{jk} & & & & & 
\end{array}$$

Here as  $\pi_{jk}$  is étale one can show that the fibre product  $P_{ij} \times_{V_j} P_{jk}$  exists in **Man** using Assumptions 3.2(e) and 3.3(b). We have shown the actions of various combinations of  $\Gamma_i, \Gamma_j, \Gamma_k$  on each space. In fact  $\Gamma_i \times \Gamma_j \times \Gamma_k$  acts on the whole diagram, with all maps equivariant, but we have omitted the trivial actions (for instance,  $\Gamma_j, \Gamma_k$  act trivially on  $V_i$ ).

As  $\Gamma_j$  acts freely on  $P_{ij}$ , it also acts freely on  $P_{ij} \times_{V_j} P_{jk}$ . Using Assumption 3.3 and the facts that  $P_{ij} \times_{V_j} P_{jk}$  is Hausdorff and  $\Gamma_j$  is finite, we can show that the quotient  $P_{ik} := (P_{ij} \times_{V_j} P_{jk})/\Gamma_j$  exists in **Man**, with projection  $\Pi : P_{ij} \times_{V_j} P_{jk} \rightarrow P_{ik}$ . The commuting actions of  $\Gamma_i, \Gamma_k$  on  $P_{ij} \times_{V_j} P_{jk}$  descend to commuting actions of  $\Gamma_i, \Gamma_k$  on  $P_{ik}$ , such that  $\Pi$  is  $\Gamma_i$ - and  $\Gamma_k$ -equivariant. As  $\pi_{ij} \circ \pi_{P_{ij}} : P_{ij} \times_{V_j} P_{jk} \rightarrow V_i$  and  $\phi_{jk} \circ \pi_{P_{jk}} : P_{ij} \times_{V_j} P_{jk} \rightarrow V_k$  are  $\Gamma_j$ -invariant, they factor through  $\Pi$ , so there are unique smooth maps  $\pi_{ik} : P_{ik} \rightarrow V_i$  and  $\phi_{ik} : P_{ik} \rightarrow V_k$  such that  $\pi_{ij} \circ \pi_{P_{ij}} = \pi_{ik} \circ \Pi$  and  $\phi_{jk} \circ \pi_{P_{jk}} = \phi_{ik} \circ \Pi$ .

It is now easy to check that  $\Phi_{ik} = (P_{ik}, \pi_{ik}, \phi_{ik})$  satisfies Definition 6.56(a)–(d), and is a 1-morphism  $\Phi_{ik} : (V_i, \Gamma_i, \psi_i) \rightarrow (V_k, \Gamma_k, \psi_k)$  over  $(S, g \circ f)$ . We write  $\Phi_{jk} \circ \Phi_{ij} = \Phi_{ik}$ , and call it the *composition of 1-morphisms*.

If we have three such 1-morphisms  $\Phi_{ij}, \Phi_{jk}, \Phi_{kl}$ , define

$$\begin{aligned}
\alpha_{\Phi_{kl}, \Phi_{jk}, \Phi_{ij}} &: [P_{ij} \times_{V_j} ((P_{jk} \times_{V_k} P_{kl})/\Gamma_k)]/\Gamma_j \\
&\longrightarrow [((P_{ij} \times_{V_j} P_{jk})/\Gamma_j) \times_{V_k} P_{kl}]/\Gamma_k
\end{aligned}$$

to be the natural identification. Then  $\alpha_{\Phi_{kl}, \Phi_{jk}, \Phi_{ij}}$  is a 2-isomorphism

$$\alpha_{\Phi_{kl}, \Phi_{jk}, \Phi_{ij}} : (\Phi_{kl} \circ \Phi_{jk}) \circ \Phi_{ij} \Longrightarrow \Phi_{kl} \circ (\Phi_{jk} \circ \Phi_{ij}).$$

That is, composition of 1-morphisms is associative up to canonical 2-isomorphism, as for weak 2-categories in §A.2.

For  $\Phi_{ij} : (V_i, \Gamma_i, \psi_i) \rightarrow (V_j, \Gamma_j, \psi_j)$  a morphism over  $(S, f)$  as above with  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$ , and for  $T \subseteq \text{Im } \psi_j \subseteq Y$  open with  $S \subseteq f^{-1}(T)$ , define

$$\begin{aligned}
\beta_{\Phi_{ij}} &: ((\bar{\psi}_i^{-1}(S) \times \Gamma_i) \times_{V_i} P_{ij})/\Gamma_i \longrightarrow P_{ij}, \\
\gamma_{\Phi_{ij}} &: (P_{ij} \times_{V_j} (\bar{\psi}_j^{-1}(T) \times \Gamma_j))/\Gamma_j \longrightarrow P_{ij},
\end{aligned}$$

to be the natural identifications. Then we have 2-isomorphisms

$$\begin{aligned}\beta_{\Phi_{ij}} &: \Phi_{ij} \circ \text{id}_{(V_i, \Gamma_i, \psi_i)} \Longrightarrow \Phi_{ij}, \\ \gamma_{\Phi_{ij}} &: \text{id}_{(V_j, \Gamma_j, \psi_j)} \circ \Phi_{ij} \Longrightarrow \Phi_{ij},\end{aligned}$$

where  $\text{id}_{(V_i, \Gamma_i, \psi_i)}, \text{id}_{(V_j, \Gamma_j, \psi_j)}$  are the identities over  $S, T$ , so identity 1-morphisms behave as they should up to canonical 2-isomorphism, as in §A.2.

**Definition 6.59.** Let  $f : X \rightarrow Y$  be continuous,  $(V_i, \Gamma_i, \psi_i), (V_j, \Gamma_j, \psi_j)$  be orbifold charts on  $X, Y$ , and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  be open. Suppose  $\Phi_{ij}, \Phi'_{ij}, \Phi''_{ij} : (V_i, \Gamma_i, \psi_i) \rightarrow (V_j, \Gamma_j, \psi_j)$  are 1-morphisms of orbifold charts over  $(S, f)$  with  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij})$ , etc., and  $\lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}, \lambda'_{ij} : \Phi'_{ij} \Rightarrow \Phi''_{ij}$  are 2-morphisms. The *vertical composition*  $\lambda'_{ij} \circ \lambda_{ij} : \Phi_{ij} \Rightarrow \Phi''_{ij}$  is just the composition  $\lambda'_{ij} \circ \lambda_{ij} = \lambda'_{ij} \circ \lambda_{ij} : P_{ij} \rightarrow P''_{ij}$  of morphisms in  $\mathbf{Man}$ .

Now let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be continuous,  $(V_i, \Gamma_i, \psi_i), (V_j, \Gamma_j, \psi_j), (V_k, \Gamma_k, \psi_k)$  be orbifold charts on  $X, Y, Z$ , and  $T \subseteq \text{Im } \psi_j \cap g^{-1}(\text{Im } \psi_k) \subseteq Y$  and  $S \subseteq \text{Im } \psi_i \cap f^{-1}(T) \subseteq X$  be open. Suppose  $\Phi_{ij}, \Phi'_{ij} : (V_i, \Gamma_i, \psi_i) \rightarrow (V_j, \Gamma_j, \psi_j)$  are 1-morphisms of orbifold charts over  $(S, f)$ , and  $\Phi_{jk}, \Phi'_{jk} : (V_j, \Gamma_j, \psi_j) \rightarrow (V_k, \Gamma_k, \psi_k)$  are 1-morphisms of orbifold charts over  $(T, g)$ , with  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij})$ , etc., and  $\lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}, \lambda_{jk} : \Phi_{jk} \Rightarrow \Phi'_{jk}$  are 2-morphisms.

Write  $\lambda_{jk} \times_{V_j} \lambda_{ij} : P_{ij} \times_{V_j} P_{jk} \rightarrow P'_{ij} \times_{V_j} P'_{jk}$  for the induced diffeomorphism of fibre products. It is  $\Gamma_j$ -equivariant, and so induces a unique diffeomorphism  $\lambda_{jk} * \lambda_{ij} : P_{ik} = (P_{ij} \times_{V_j} P_{jk})/\Gamma_j \rightarrow (P'_{ij} \times_{V_j} P'_{jk})/\Gamma_j = P'_{ik}$ . Then  $\lambda_{jk} * \lambda_{ij} : \Phi_{jk} \circ \Phi_{ij} \Rightarrow \Phi'_{jk} \circ \Phi'_{ij}$  is a 2-morphism, *horizontal composition*.

As in Theorem 6.8, we have defined a weak 2-category, with objects orbifold charts. We can now follow §6.1–§6.2 from Definition 6.13 until Theorem 6.26, taking the  $E_i, s_i, \hat{\phi}_{ijk}, \hat{\lambda}_{ijk}$  to be zero throughout. This gives:

**Theorem 6.60.** *To any category  $\mathbf{Man}$  satisfying Assumptions 3.1–3.3, we can associate a corresponding weak 2-category  $\mathbf{Orb}$  of **Kuranishi orbifolds**, or just **orbifolds**. Objects of  $\mathbf{Orb}$  are  $\mathfrak{X} = (X, \mathcal{O})$  for  $X$  a Hausdorff, second countable topological space and  $\mathcal{O} = (I, (V_i, \Gamma_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \lambda_{ijk}, i, j, k \in I)$  an **orbifold structure on  $X$  of dimension  $n \in \mathbb{N}$** , defined as in §6.2 but using orbifold charts, coordinate changes and 2-morphisms as above.*

Here is the analogue of Definition 4.29:

**Definition 6.61.** In Theorem 6.60 we write  $\mathbf{Orb}$  for the 2-category of orbifolds constructed from a category  $\mathbf{Man}$  satisfying Assumptions 3.1–3.3. By Example 3.8, the following categories from Chapter 2 are possible choices for  $\mathbf{Man}$ :

$$\mathbf{Man}, \mathbf{Man}_{\text{we}}^c, \mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{c, \text{ac}}.$$

We write the corresponding 2-categories of orbifolds as follows:

$$\mathbf{Orb}_{\text{Kur}}, \mathbf{Orb}_{\text{we}}^c, \mathbf{Orb}^c, \mathbf{Orb}^{\text{gc}}, \mathbf{Orb}^{\text{ac}}, \mathbf{Orb}^{c, \text{ac}}. \quad (6.46)$$

Here we use ‘ $\mathbf{Orb}_{\text{Kur}}$ ’ to distinguish it from the other (2-)categories of orbifolds discussed in §6.6.1.

In a similar way to Example 4.30, it is easy to prove:

**Proposition 6.62.** *There is a full, faithful weak 2-functor  $F_{\mathbf{Man}}^{\mathring{\mathbf{Orb}}} : \mathring{\mathbf{Man}} \hookrightarrow \mathring{\mathbf{Orb}}$  embedding  $\mathring{\mathbf{Man}}$  as a full (2-)subcategory of  $\mathring{\mathbf{Orb}}$ , which on objects maps  $F_{\mathbf{Man}}^{\mathring{\mathbf{Orb}}} : X \mapsto (X, \mathcal{O})$ , where  $\mathcal{O} = (\{0\}, (V_0, \Gamma_0, \psi_0), \Phi_{00}, \Lambda_{000})$ , with indexing set  $I = \{0\}$ , one orbifold chart  $(V_0, \Gamma_0, \psi_0)$  with  $V_0 = X$ ,  $\Gamma_0 = \{1\}$ , and  $\psi_0 = \text{id}_X$ , one coordinate change  $\Phi_{00} = \text{id}_{(V_0, \Gamma_0, \psi_0)}$ , and one 2-morphism  $\Lambda_{000} = \text{id}_{\Phi_{00}}$ .*

*We say that an orbifold  $\mathfrak{X}$  is a manifold if  $\mathfrak{X} \simeq F_{\mathbf{Man}}^{\mathring{\mathbf{Orb}}}(X)$  in  $\mathring{\mathbf{Orb}}$  for some  $X \in \mathring{\mathbf{Man}}$ .*

In §6.5, for a Kuranishi space  $\mathbf{X}$ , we defined the isotropy group  $G_x \mathbf{X}$  for all  $x \in \mathbf{X}$ . In the same way, for an orbifold  $\mathfrak{X}$  we have isotropy groups  $G_x \mathfrak{X}$  for all  $x \in \mathfrak{X}$ . We use these to give a criterion for when an orbifold is a manifold.

**Proposition 6.63.** *An orbifold  $\mathfrak{X}$  in  $\mathring{\mathbf{Orb}}$  is a manifold, in the sense of Proposition 6.62, if and only if  $G_x \mathfrak{X} = \{1\}$  for all  $x \in \mathfrak{X}$ .*

*Proof.* The ‘only if’ part is obvious. For the ‘if’ part, suppose  $\mathfrak{X} \in \mathring{\mathbf{Orb}}$  with  $G_x \mathfrak{X} = \{1\}$  for all  $x \in \mathfrak{X}$ . The proof of Theorem 6.54 in §6.5 implies that  $\mathfrak{X} \simeq \mathfrak{X}'$  in  $\mathring{\mathbf{Orb}}$  for  $\mathfrak{X}' = (X, \mathcal{O}')$  with  $\mathcal{O}' = (I, (V_i, \Gamma_i, \psi_i)_{i \in I}, \Phi_{ij}, \lambda_{ijk}, \lambda_{ijk}, \lambda_{ijk})_{i,j,k \in I}$  an orbifold structure on  $X$  with  $\Gamma_i = \{1\}$  for all  $i \in I$ .

Now  $X$  is a Hausdorff, second countable topological space,  $\{\text{Im } \psi_i : i \in I\}$  is an open cover of  $X$ , and  $\{V_i : i \in I\}$  is a family of objects in  $\mathring{\mathbf{Man}}$  with  $\psi_i : V_{i,\text{top}} = V_{i,\text{top}}/\{1\} \rightarrow \text{Im } \psi_i$  a homeomorphism for  $i \in I$ . Using Assumption 3.2(e), we replace the  $V_i$  by diffeomorphic objects in  $\mathring{\mathbf{Man}}$  such that  $V_{i,\text{top}} = \text{Im } \psi_i$ , and  $\psi_i : V_{i,\text{top}} \rightarrow \text{Im } \psi_i$  is the identity map for  $i \in I$ .

For  $i, j \in I$ , writing  $V_{ij} \hookrightarrow V_i$  and  $V_{ji} \hookrightarrow V_j$  for the open submanifolds with  $V_{ij,\text{top}} = V_{ji,\text{top}} = \text{Im } \psi_i \cap \text{Im } \psi_j$ , using the coordinate change  $\Phi_{ij}$  with  $\Gamma_i = \Gamma_j = \{1\}$  we can show there is a unique diffeomorphism  $\phi_{ij} : V_{ij} \rightarrow V_{ji}$  in  $\mathring{\mathbf{Man}}$  with  $\phi_{ij,\text{top}} = \text{id}_{\text{Im } \psi_i \cap \text{Im } \psi_j}$ . Therefore Assumption 3.3(b) makes  $X$  into an object in  $\mathring{\mathbf{Man}}$ , such that  $V_i \hookrightarrow X$  are open submanifolds for all  $i \in I$ . It is then easy to see that  $\mathfrak{X}' \simeq F_{\mathbf{Man}}^{\mathring{\mathbf{Orb}}}(X)$  in  $\mathring{\mathbf{Orb}}$ , and the proposition follows.  $\square$

Now let  $\mathring{\mathbf{Man}}$  satisfy all of Assumptions 3.1–3.7, not just Assumptions 3.1–3.3, so that we have both a 2-category of orbifolds  $\mathring{\mathbf{Orb}}$  above, and a 2-category of Kuranishi spaces  $\mathring{\mathbf{Kur}}$  from §6.2. In a similar way to Example 6.36 and Proposition 6.64, it is easy to prove:

**Proposition 6.64.** *There is a full, faithful weak 2-functor  $F_{\mathring{\mathbf{Orb}}}^{\mathring{\mathbf{Kur}}} : \mathring{\mathbf{Orb}} \hookrightarrow \mathring{\mathbf{Kur}}$  embedding  $\mathring{\mathbf{Orb}}$  as a full 2-subcategory of  $\mathring{\mathbf{Kur}}$ , which on objects maps  $F_{\mathring{\mathbf{Orb}}}^{\mathring{\mathbf{Kur}}} : (X, \mathcal{O}) \mapsto (X, \mathcal{K})$ , where for  $\mathcal{O}$  as above,  $\mathcal{K} = (I, (V_i, 0, \Gamma_i, 0, \psi_i)_{i \in I}, (P_{ij}, \pi_{ij}, \phi_{ij}, 0)_{i,j \in I}, [P_{ijk}, \lambda_{ijk}, 0]_{i,j,k \in I})$  is the Kuranishi structure obtained by taking all the obstruction bundle data  $E_i, s_i, \hat{\phi}_{ijk}, \hat{\lambda}_{ijk}$  to be zero.*

*We say that a Kuranishi space  $\mathbf{X}$  is an orbifold if  $\mathbf{X} \simeq F_{\mathring{\mathbf{Orb}}}^{\mathring{\mathbf{Kur}}}(\mathfrak{X})$  in  $\mathring{\mathbf{Kur}}$  for some  $\mathfrak{X} \in \mathring{\mathbf{Orb}}$ .*

Theorem 10.52 in §10.4.4 gives a necessary and sufficient criterion for when a Kuranishi space  $\mathbf{X}$  in  $\mathbf{Kur}$  is an orbifold.

### 6.6.3 Relation to previous definitions of orbifolds

We relate  $\mathbf{Orb}_{\mathbf{Kur}}$  to previous definitions of (2-)categories of orbifolds.

**Theorem 6.65.** *The 2-category of Kuranishi orbifolds  $\mathbf{Orb}_{\mathbf{Kur}}$  defined in Theorem 6.60 using  $\mathbf{Man} = \mathbf{Man}$  is equivalent as a weak 2-category to the 2-categories of orbifolds  $\mathbf{Orb}_{\mathbf{Pr}}$ ,  $\mathbf{Orb}_{\mathbf{Le}}$ ,  $\mathbf{Orb}_{\mathbf{ManSta}}$ ,  $\mathbf{Orb}_{C^\infty\mathbf{Sta}}$  in [4, 65, 72, 88, 96] described in §6.6.1. Also there is an equivalence of categories  $\mathrm{Ho}(\mathbf{Orb}_{\mathbf{Kur}}) \simeq \mathbf{Orb}_{\mathbf{MP}}$ , for  $\mathbf{Orb}_{\mathbf{MP}}$  the category of orbifolds from Moerdijk and Pronk [89, 90].*

*Proof.* Use the notation of §6.6.1. We will define a full and faithful weak 2-functor  $F_{\mathbf{Orb}_{\mathbf{Kur}}}^{\mathbf{Orb}_{\mathbf{Le}}} : \mathbf{Orb}_{\mathbf{Kur}} \rightarrow \mathbf{Orb}_{\mathbf{Le}}$ , which is an equivalence of 2-categories. Given an orbifold  $\mathfrak{X} = (X, \mathcal{O})$  in our sense with  $\mathcal{O} = (I, (V_i, \Gamma_i, \psi_i)_{i \in I}, \Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij})_{i,j \in I}, \lambda_{ijk}, i,j,k \in I)$ , we define a natural proper étale Lie groupoid  $[V \rightrightarrows U] = (U, V, s, t, u, i, m)$  in  $\mathbf{Man}$  (that is, a groupoid-orbifold in the sense of [89, 90, 96] and [72, §3.3], as in §6.6.1(c),(i),(ii)) with  $U = \coprod_{i \in I} V_i$ , and  $V = \coprod_{i,j \in I} P_{ij}$ , and  $s, t : V \rightarrow U$  given by  $s = \coprod_{i,j \in I} \pi_{ij}$  and  $t = \coprod_{i,j \in I} \phi_{ij}$ , where the data  $\lambda_{ijk}, i,j,k \in I$  gives the multiplication map  $m : V \times_U V \rightarrow V$ . We define  $F_{\mathbf{Orb}_{\mathbf{Kur}}}^{\mathbf{Orb}_{\mathbf{Le}}}(\mathfrak{X}) = [V \rightrightarrows U]$ .

By working through the definitions, it turns out that Lerman's definitions of 1- and 2-morphisms in  $\mathbf{Orb}_{\mathbf{Le}}$  in terms of 'bibundles', when applied to groupoids  $[V \rightrightarrows U]$  of the form  $F_{\mathbf{Orb}_{\mathbf{Kur}}}^{\mathbf{Orb}_{\mathbf{Le}}}(\mathfrak{X})$ , reduce exactly to 1- and 2-morphisms in  $\mathbf{Orb}_{\mathbf{Kur}}$  as above. Thus, the definition of  $F_{\mathbf{Orb}_{\mathbf{Kur}}}^{\mathbf{Orb}_{\mathbf{Le}}}$  on 1- and 2-morphisms, and that  $F_{\mathbf{Orb}_{\mathbf{Kur}}}^{\mathbf{Orb}_{\mathbf{Le}}}$  is full and faithful, are immediate. The rest of the weak 2-functor data and conditions are straightforward. To show  $F_{\mathbf{Orb}_{\mathbf{Kur}}}^{\mathbf{Orb}_{\mathbf{Le}}}$  is an equivalence, we need to show that every groupoid-orbifold  $[V \rightrightarrows U]$  is equivalent in  $\mathbf{Orb}_{\mathbf{Le}}$  to  $F_{\mathbf{Orb}_{\mathbf{Kur}}}^{\mathbf{Orb}_{\mathbf{Le}}}(\mathfrak{X})$  for some  $\mathfrak{X}$  in  $\mathbf{Orb}_{\mathbf{Kur}}$ . This can be done as in Moerdijk and Pronk [90, Proof of Th. 4.1].

The discussion in §6.6.1 now shows that our  $\mathbf{Orb}_{\mathbf{Kur}}$  is equivalent as a weak 2-category to  $\mathbf{Orb}_{\mathbf{Pr}}$ ,  $\mathbf{Orb}_{\mathbf{Le}}$ ,  $\mathbf{Orb}_{\mathbf{ManSta}}$ ,  $\mathbf{Orb}_{C^\infty\mathbf{Sta}}$ , and also that  $\mathrm{Ho}(\mathbf{Orb}_{\mathbf{Kur}}) \simeq \mathbf{Orb}_{\mathbf{MP}}$  as categories.  $\square$

Combining Proposition 6.64 and Theorem 6.65 shows that the 2-categories of orbifolds  $\mathbf{Orb}_{\mathbf{Pr}}$ ,  $\mathbf{Orb}_{\mathbf{Le}}$ ,  $\mathbf{Orb}_{\mathbf{ManSta}}$ ,  $\mathbf{Orb}_{C^\infty\mathbf{Sta}}$  in [4, 65, 72, 88, 96] are equivalent to a full 2-subcategory of the 2-category of Kuranishi spaces  $\mathbf{Kur}$ . So (classical) orbifolds can be regarded as examples of Kuranishi spaces.

### 6.6.4 More about orbifolds, and orbifolds with corners

The material of §6.2.2, §6.2.3 and §6.3 for Kuranishi spaces (with corners) specializes easily to orbifolds (with corners). As in §6.6.2, this is a simplification, obtained by setting  $E_i = s_i = 0$  in all Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$ . Here are some brief comments on this:

- (a) As in Proposition 6.30, if  $\dot{\mathbf{Man}}, \ddot{\mathbf{Man}}$  satisfy Assumptions 3.1–3.3 and  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} : \dot{\mathbf{Man}} \rightarrow \ddot{\mathbf{Man}}$  satisfies Condition 3.20, we can define a natural weak 2-functor  $F_{\dot{\mathbf{Orb}}}^{\ddot{\mathbf{Orb}}} : \dot{\mathbf{Orb}} \rightarrow \ddot{\mathbf{Orb}}$ . As in Figure 6.1, we get a diagram Figure 6.3 of 2-functors between 2-categories of orbifolds.
- (b) As in §6.2.3, if  $\mathbf{P}$  is a discrete property of morphisms in  $\dot{\mathbf{Man}}$ , we can define when 1-morphisms in  $\dot{\mathbf{Orb}}$  are  $\mathbf{P}$ , and the analogue of Proposition 6.34 holds. In the orbifold case, the definition of discrete properties  $\mathbf{P}$  of morphisms in  $\dot{\mathbf{Man}}$  is unnecessarily strong: we need only Definition 3.18(i)–(iv), not (v)–(viii), for a property  $\mathbf{P}$  to lift nicely from  $\dot{\mathbf{Man}}$  to  $\dot{\mathbf{Orb}}$ . For example, submersions in  $\dot{\mathbf{Man}} = \mathbf{Man}$  satisfy (i)–(iv) but not (v)–(viii), and lift to a good notion of submersion in  $\mathbf{Orb}_{\text{Kur}}$ .

Thus we can define many interesting 2-subcategories of the 2-categories of orbifolds in (6.46), as in Figure 6.2 for Kuranishi spaces.

- (c) Suppose  $\dot{\mathbf{Man}}^c$  satisfies Assumption 3.22 in §3.4.1. (Actually, in Assumption 3.22(b) it is enough for  $\dot{\mathbf{Man}}^c$  to satisfy Assumptions 3.1–3.3, not Assumptions 3.1–3.7.) Then as in §6.6.2 we have a 2-category  $\dot{\mathbf{Orb}}^c$  of orbifolds associated to  $\dot{\mathbf{Man}}^c$ . For instance,  $\dot{\mathbf{Orb}}^c$  could be  $\mathbf{Orb}^c, \mathbf{Orb}^{\text{gc}}, \mathbf{Orb}^{\text{ac}}$  or  $\mathbf{Orb}^{c,\text{ac}}$  from Definition 6.61. We will refer to objects of  $\dot{\mathbf{Orb}}^c$  as *orbifolds with corners*. We also write  $\dot{\mathbf{Orb}}_{\text{si}}^c$  for the 2-subcategory of  $\dot{\mathbf{Orb}}^c$  with simple 1-morphisms, in the sense of (b).

As in §6.3, for any  $\mathfrak{X}$  in  $\dot{\mathbf{Orb}}^c$  and  $k = 0, \dots, \dim \mathfrak{X}$  we can define the *k-corners*  $C_k(\mathfrak{X})$ , an object in  $\dot{\mathbf{Orb}}^c$  with  $\dim C_k(\mathfrak{X}) = \dim \mathfrak{X} - k$ , and a 1-morphism  $\Pi_k : C_k(\mathfrak{X}) \rightarrow \mathfrak{X}$  in  $\dot{\mathbf{Orb}}^c$ . We also write  $\partial \mathfrak{X} = C_1(\mathfrak{X})$ , the *boundary* of  $\mathfrak{X}$ , and we write  $i_{\mathfrak{X}} = \Pi_1 : \partial \mathfrak{X} \rightarrow \mathfrak{X}$ .

We define a 2-category  $\ddot{\mathbf{Orb}}^c$  from  $\dot{\mathbf{Orb}}^c$  with objects  $\coprod_{n=0}^{\infty} \mathfrak{X}_n$  for  $\mathfrak{X}_n$  in  $\dot{\mathbf{Orb}}^c$  with  $\dim \mathfrak{X}_n = n$ , and the *corner 2-functor*  $C : \dot{\mathbf{Orb}}^c \rightarrow \ddot{\mathbf{Orb}}^c$ . The restriction  $C|_{\dot{\mathbf{Orb}}_{\text{si}}^c}$  decomposes as  $C|_{\dot{\mathbf{Orb}}_{\text{si}}^c} = \coprod_{k=0}^{\infty} C_k$ , where  $C_k : \dot{\mathbf{Orb}}_{\text{si}}^c \rightarrow \dot{\mathbf{Orb}}_{\text{si}}^c$  is a weak 2-functor acting on objects by  $\mathfrak{X} \mapsto C_k(\mathfrak{X})$ . Examples of such corner 2-functors are given by the analogue of (6.36).

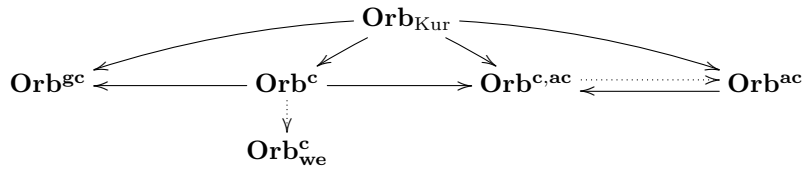


Figure 6.3: 2-functors between 2-categories of orbifolds from Definition 6.61. Arrows ‘ $\rightarrow$ ’ are inclusions of 2-subcategories.

## 6.7 Proof of Theorems 4.13 and 6.16

Let  $f : X \rightarrow Y$  be a continuous map of topological spaces, and  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$ ,  $(V_j, E_j, \Gamma_j, s_j, \psi_j)$  be Kuranishi neighbourhoods on  $X, Y$ . We must show that  $\mathcal{H}om_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$  from Theorem 6.16 is a stack on  $\text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$ , that is, that it satisfies Definition A.17(i)–(v). Parts (i),(ii) are immediate from the definition of restriction  $|_T$  in Definition 6.13. When  $\Gamma_i = \Gamma_j = \{1\}$  this will imply Theorem 4.13.

### 6.7.1 Definition A.17(iii) for

$$\mathcal{H}om_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$$

For (iii), let  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$  be open,  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  and  $\Phi'_{ij} = (P'_{ij}, \pi'_{ij}, \phi'_{ij}, \hat{\phi}'_{ij})$  be 1-morphisms  $(V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  over  $(S, f)$ , and  $\Lambda_{ij}, \Lambda'_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  be 2-morphisms over  $(S, f)$ . Suppose  $\{T^a : a \in A\}$  is an open cover of  $S$ , such that  $\Lambda_{ij}|_{T^a} = \Lambda'_{ij}|_{T^a}$  for all  $a \in A$ . Choose representatives  $(\dot{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}), (\dot{P}'_{ij}, \lambda'_{ij}, \hat{\lambda}'_{ij})$  for  $\Lambda_{ij}, \Lambda'_{ij}$ . Then  $\Lambda_{ij}|_{T^a} = \Lambda'_{ij}|_{T^a}$  means as in (6.3) that there exists an open neighbourhood  $\ddot{P}_{ij}^a$  of  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(T^a))$  in  $\dot{P}_{ij} \cap \dot{P}'_{ij}$  with

$$\lambda_{ij}|_{\ddot{P}_{ij}^a} = \lambda'_{ij}|_{\ddot{P}_{ij}^a} \quad \text{and} \quad \hat{\lambda}_{ij}|_{\ddot{P}_{ij}^a} = \hat{\lambda}'_{ij}|_{\ddot{P}_{ij}^a} + O(\pi_{ij}^*(s_i)) \quad \text{on } \ddot{P}_{ij}^a. \quad (6.47)$$

Set  $\ddot{P}_{ij} = \bigcup_{a \in A} \ddot{P}_{ij}^a$ , an open neighbourhood of  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$  in  $\dot{P}_{ij} \cap \dot{P}'_{ij}$ . Then (6.47) for all  $a \in A$  implies (6.3) on  $\ddot{P}_{ij}$  by Theorem 3.17(a), so  $\Lambda_{ij} = \Lambda'_{ij}$ . This proves Definition A.17(iii) for  $\mathcal{H}om_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$ .

### 6.7.2 Definition A.17(iv) for

$$\mathcal{H}om_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$$

For (iv), suppose  $S, \Phi_{ij}, \Phi'_{ij}$  are as in §6.7.1,  $\{T^a : a \in A\}$  is an open cover of  $S$ , and  $\Lambda_{ij}^a : \Phi_{ij}|_{T^a} \Rightarrow \Phi'_{ij}|_{T^a}$  are 2-morphisms over  $(T^a, f)$  for  $a \in A$  with  $\Lambda_{ij}^a|_{T^a \cap T^b} = \Lambda_{ij}^b|_{T^a \cap T^b}$  for all  $a, b \in A$ . Choose representatives  $(\dot{P}_{ij}^a, \lambda_{ij}^a, \hat{\lambda}_{ij}^a)$  for  $\Lambda_{ij}^a$  for  $a \in A$ , and making  $\dot{P}_{ij}^a$  smaller if necessary, suppose that  $\dot{P}_{ij}^a \cap \pi_{ij}^{-1}(s_i^{-1}(0)) = \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(T^a))$ . Then  $\Lambda_{ij}^a|_{T^a \cap T^b} = \Lambda_{ij}^b|_{T^a \cap T^b}$  means there exists an open neighbourhood  $\ddot{P}_{ij}^{ab}$  of  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(T^a \cap T^b))$  in  $\dot{P}_{ij}^a \cap \dot{P}_{ij}^b$  with

$$\lambda_{ij}^a|_{\ddot{P}_{ij}^{ab}} = \lambda_{ij}^b|_{\ddot{P}_{ij}^{ab}} \quad \text{and} \quad \hat{\lambda}_{ij}^a|_{\ddot{P}_{ij}^{ab}} = \hat{\lambda}_{ij}^b|_{\ddot{P}_{ij}^{ab}} + O(\pi_{ij}^*(s_i)) \quad \text{on } \ddot{P}_{ij}^{ab}. \quad (6.48)$$

Here the second equation of (6.48) holds on  $\dot{P}_{ij}^a \cap \dot{P}_{ij}^b$ , as the  $O(\pi_{ij}^*(s_i))$  condition is trivial away from  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(T^a \cap T^b))$ .

Choose a partition of unity  $\{\eta^a : a \in A\}$  on  $\bigcup_{a \in A} \dot{P}_{ij}^a \subseteq P_{ij}$  subordinate to the open cover  $\{\dot{P}_{ij}^a : a \in A\}$ , as in §3.3.1(d). By averaging the  $\eta^a$  over the  $\Gamma_i \times \Gamma_j$ -action on  $\dot{P}_{ij}$ , we suppose each  $\eta^a$  is  $\Gamma_i$ - and  $\Gamma_j$ -invariant. The *open*



support of  $\eta^a$  is  $\text{supp}^\circ \eta^a = \{p \in \bigcup_{a' \in A} \dot{P}_{ij}^{a'} : \eta^a(p) > 0\}$ , an open submanifold in  $\bigcup_{a' \in A} \dot{P}_{ij}^{a'}$ , and the support  $\text{supp} \eta^a = \overline{\text{supp}^\circ \eta^a}$  of  $\eta^a$  is the closure of  $\text{supp}^\circ \eta^a$  in  $\bigcup_{a' \in A} \dot{P}_{ij}^{a'}$ . Consider the subset  $\dot{P}_{ij} \subseteq P_{ij}$  given by

$$\begin{aligned} \dot{P}_{ij} = \{p \in \bigcup_{a \in A} \dot{P}_{ij}^a : \text{if } a, b \in A \text{ with } p \in \text{supp} \eta^a \cap \text{supp} \eta^b \\ \text{then } \lambda_{ij}^a(p) = \lambda_{ij}^b(p)\}. \end{aligned} \quad (6.49)$$

We claim that  $\dot{P}_{ij}$  is open in  $P_{ij}$ , and so an object in  $\mathbf{Man}$ . To see this, note that  $\dot{P}_{ij}$  is the complement in the open set  $\bigcup_{a \in A} \dot{P}_{ij}^a \subseteq P_{ij}$  of the sets  $S^{a,b}$  for all  $a, b \in A$ , where  $S^{a,b} = \{p \in \text{supp} \eta^a \cap \text{supp} \eta^b : \lambda_{ij}^a(p) \neq \lambda_{ij}^b(p)\}$ . Now  $\lambda_{ij}^a, \lambda_{ij}^b : \dot{P}_{ij}^a \cap \dot{P}_{ij}^b \rightarrow P'_{ij}$  are smooth with  $\pi'_{ij} \circ \lambda_{ij}^a = \pi'_{ij} \circ \lambda_{ij}^b$ , where  $\pi'_{ij} : P'_{ij} \rightarrow V_i$  is a principal  $\Gamma_j$ -bundle over  $V'_{ij} \subseteq V_i$ . Thus the condition  $\lambda_{ij}^a \neq \lambda_{ij}^b$  is open and closed in  $\dot{P}_{ij}^a \cap \dot{P}_{ij}^b$ , so  $S^{a,b}$  is open and closed in  $\text{supp} \eta^a \cap \text{supp} \eta^b$ , and closed in  $\bigcup_{a \in A} \dot{P}_{ij}^a$ . As  $\{\eta^a : a \in A\}$  is locally finite, we see that  $\dot{P}_{ij}$  is open.

Next we claim that  $\dot{P}_{ij}$  contains  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ . Let  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ . Then  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(T^{a'})) \subseteq \dot{P}_{ij}^{a'}$  for some  $a' \in A$  as  $\bigcup_{a' \in A} T^{a'} = S$ , so  $p \in \dot{P}_{ij}^{a'} \subseteq \bigcup_{a \in A} \dot{P}_{ij}^a$ . If  $p \in \text{supp} \eta^a \cap \text{supp} \eta^b$  for  $a, b \in A$  then  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(T^a \cap T^b)) \subseteq \dot{P}_{ij}^{ab}$ , and the first equation of (6.48) gives  $\lambda_{ij}^a(p) = \lambda_{ij}^b(p)$ . Hence  $p \in \dot{P}_{ij}$ , proving the claim.

Define  $\lambda_{ij} : \dot{P}_{ij} \rightarrow P'_{ij}$  by

$$\lambda_{ij}(p) = \lambda_{ij}^a(p) \quad \text{if } a \in A \text{ with } p \in \text{supp} \eta^a. \quad (6.50)$$

This is well-defined by (6.49) as  $\dot{P}_{ij} \subseteq \bigcup_{a \in A} \text{supp} \eta^a$ . As  $\dot{P}_{ij}$  is covered by the open sets  $\dot{P}_{ij} \cap \text{supp}^\circ \eta^a$  for  $a \in A$ , and  $\lambda_{ij} = \lambda_{ij}^a$  on  $\dot{P}_{ij} \cap \text{supp}^\circ \eta^a$  with  $\lambda_{ij}^a$  smooth and étale,  $\lambda_{ij}$  is smooth and étale by Assumption 3.3(a).

Define a morphism  $\hat{\lambda}_{ij} : \pi_{ij}^*(E_i)|_{\dot{P}_{ij}} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{\dot{P}_{ij}}$  by

$$\hat{\lambda}_{ij} = \sum_{a \in A} \eta^a|_{\dot{P}_{ij}} \cdot \hat{\lambda}_{ij}^a, \quad (6.51)$$

where  $\hat{\lambda}_{ij}^a$  is only defined on  $\dot{P}_{ij} \cap \dot{P}_{ij}^a$ , but  $\eta^a \cdot \hat{\lambda}_{ij}^a$  is well-defined and smooth on  $\dot{P}_{ij}$ , being zero outside  $\dot{P}_{ij}^a$ .

For each  $a \in A$ , define  $\dot{P}_{ij}^a = \{p \in \dot{P}_{ij} \cap \dot{P}_{ij}^a : \lambda_{ij}(p) = \lambda_{ij}^a(p)\}$ . As above this is open and closed in  $\dot{P}_{ij} \cap \dot{P}_{ij}^a$  and so open in  $\dot{P}_{ij} \cap \dot{P}_{ij}^a$ , and contains  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(T^a))$ , and by definition

$$\lambda_{ij}|_{\dot{P}_{ij}^a} = \lambda_{ij}^a|_{\dot{P}_{ij}^a}. \quad (6.52)$$

Using (6.51) in the first step, the second equation of (6.48) (which holds on  $\dot{P}_{ij}^a \cap \dot{P}_{ij}^b$ ) in the second, and  $\sum_{b \in A} \eta_b = 1$  in the fourth, we have

$$\begin{aligned} \hat{\lambda}_{ij}|_{\dot{P}_{ij}^a} &= \sum_{b \in A} \eta^b|_{\dot{P}_{ij}^a} \cdot \hat{\lambda}_{ij}^b = \sum_{b \in A} \eta^b|_{\dot{P}_{ij}^a} \cdot (\hat{\lambda}_{ij}^a + O(\pi_{ij}^*(s_i))) \\ &= (\sum_{b \in A} \eta^b) \cdot \hat{\lambda}_{ij}^a|_{\dot{P}_{ij}^a} + O(\pi_{ij}^*(s_i)) = \hat{\lambda}_{ij}^a|_{\dot{P}_{ij}^a} + O(\pi_{ij}^*(s_i)). \end{aligned} \quad (6.53)$$

We now claim that  $(\acute{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij})$  satisfies Definition 6.4(a)–(c) over  $S$ . The  $\Gamma_i, \Gamma_j$ -equivariance of  $\acute{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}$  follows as the ingredients from which they are defined are  $\Gamma_i, \Gamma_j$ -equivariant. Equation (6.2) for  $\acute{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}$  on  $\acute{P}_{ij} \cap \acute{P}_{ij}^a$  follows from (6.2) for  $\acute{P}_{ij}^a, \lambda_{ij}^a, \hat{\lambda}_{ij}^a$ , equation (6.53), and  $\lambda_{ij} = \lambda_{ij}^a$  on  $\acute{P}_{ij}^a$ , and the rest of (a)–(c) are already proved. Therefore  $\Lambda_{ij} := [\acute{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}]$  is a 2-morphism  $\Phi_{ij} \Rightarrow \Phi'_{ij}$  over  $S$ . Equations (6.52)–(6.53) imply that  $(\acute{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}) \sim_{T^a} (\acute{P}_{ij}^a, \lambda_{ij}^a, \hat{\lambda}_{ij}^a)$  in the sense of Definition 6.4, so  $\Lambda_{ij}|_{T^a} = \Lambda_{ij}^a$ , for all  $a \in A$ . This proves Definition A.17(iv) for  $\mathbf{Hom}_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$ .

### 6.7.3 Definition A.17(v) for

$$\mathbf{Hom}_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$$

Let  $S \subseteq \text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$  be open, and  $\{T^a : a \in A\}$  be an open cover of  $S$ , and  $\Phi_{ij}^a = (P_{ij}^a, \pi_{ij}^a, \phi_{ij}^a, \hat{\phi}_{ij}^a) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be a 1-morphism of Kuranishi neighbourhoods over  $(T^a, f)$  for  $a \in A$ , and  $\Lambda_{ij}^{ab} : \Phi_{ij}^a|_{T^a \cap T^b} \Rightarrow \Phi_{ij}^b|_{T^a \cap T^b}$  a 2-morphism over  $(T^a \cap T^b, f)$  for all  $a, b \in A$  such that  $\Lambda_{ij}^{bc} \odot \Lambda_{ij}^{ab} = \Lambda_{ij}^{ac}$  over  $(T^a \cap T^b \cap T^c, f)$  for all  $a, b, c \in A$ . Choose representatives  $(\acute{P}_{ij}^{ab}, \lambda_{ij}^{ab}, \hat{\lambda}_{ij}^{ab})$  for  $\Lambda_{ij}^{ab}$  for all  $a, b \in A$ , so that (6.2) gives

$$\begin{aligned} \phi_{ij}^b \circ \lambda_{ij}^{ab} &= \phi_{ij}^a|_{\acute{P}_{ij}^{ab}} + \hat{\lambda}_{ij}^{ab} \circ (\pi_{ij}^a)^*(s_i) + O((\pi_{ij}^a)^*(s_i)^2) \quad \text{and} \\ (\lambda_{ij}^{ab})^*(\hat{\phi}_{ij}^b) &= \hat{\phi}_{ij}^a|_{\acute{P}_{ij}^{ab}} + (\phi_{ij}^a)^*(ds_j) \circ \hat{\lambda}_{ij}^{ab} + O((\pi_{ij}^a)^*(s_i)) \quad \text{on } \acute{P}_{ij}^{ab}. \end{aligned} \quad (6.54)$$

Write  $V_{ij}^a = \pi_{ij}^a(P_{ij}^a)$ , so that  $V_{ij}^a$  is an open neighbourhood of  $\bar{\psi}_i^{-1}(T^a)$  in  $V_i$  for  $a \in A$ , and  $\pi_{ij}^a : P_{ij}^a \rightarrow V_{ij}^a$  is a principal  $\Gamma_j$ -bundle, and similarly write  $\acute{V}_{ij}^{ab} = \pi_{ij}^a(\acute{P}_{ij}^{ab})$  for  $a, b \in A$ . For simplicity, making  $P_{ij}^a, V_{ij}^a$  smaller if necessary, suppose that  $V_{ij}^a \cap s_i^{-1}(0) = \bar{\psi}_i^{-1}(T^a)$ .

From §6.1,  $\Lambda_{ij}^{bc} \odot \Lambda_{ij}^{ab} = \Lambda_{ij}^{ac}$  means we can choose an open neighbourhood  $\acute{P}_{ij}^{abc}$  of  $(\pi_{ij}^a)^{-1}(\bar{\psi}_i^{-1}(T^a \cap T^b \cap T^c))$  in  $(\lambda_{ij}^{ab})^{-1}(\acute{P}_{ij}^{bc}) \cap \acute{P}_{ij}^{ac} \subseteq P_{ij}^a$ , such that

$$\begin{aligned} \lambda_{ij}^{bc} \circ \lambda_{ij}^{ab}|_{\acute{P}_{ij}^{abc}} &= \lambda_{ij}^{ac}|_{\acute{P}_{ij}^{abc}} \quad \text{and} \\ \hat{\lambda}_{ij}^{ab}|_{\acute{P}_{ij}^{abc}} + \lambda_{ij}^{ab}|_{\acute{P}_{ij}^{abc}}^*(\hat{\lambda}_{ij}^{bc}) &= \hat{\lambda}_{ij}^{ac}|_{\acute{P}_{ij}^{abc}} + O((\pi_{ij}^a)^*(s_i)) \quad \text{on } \acute{P}_{ij}^{abc}. \end{aligned} \quad (6.55)$$

Choose a partition of unity  $\{\eta^a : a \in A\}$  on  $\bigcup_{a \in A} V_{ij}^a \subseteq V_i$  subordinate to the open cover  $\{V_{ij}^a : a \in A\}$ , as in §3.3.1(d). As in (6.49), define

$$\begin{aligned} V_{ij} &= \{v \in \bigcup_{a \in A} V_{ij}^a : \text{if } a, b \in A \text{ with } v \in \text{supp } \eta^a \cap \text{supp } \eta^b \text{ then } v \in \acute{V}_{ij}^{ab}, \\ &\quad \text{and if } a, b, c \in A \text{ with } v \in \text{supp } \eta^a \cap \text{supp } \eta^b \cap \text{supp } \eta^c \\ &\quad \text{then } \lambda_{ij}^{bc} \circ \lambda_{ij}^{ab} = \lambda_{ij}^{ac} \text{ on } (\pi_{ij}^a)^{-1}(v)\}. \end{aligned} \quad (6.56)$$

As for the argument between (6.49) and (6.50),  $V_{ij}$  is an open neighbourhood of  $\bar{\psi}_i^{-1}(S)$  in  $V_i$ , and is  $\Gamma_i$ -invariant as all the ingredients in (6.56) are.

Define  $\dot{P}_{ij}$ , initially as a topological space with the quotient topology, by

$$\dot{P}_{ij} = (\coprod_{a \in A} (\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a)) / \sim, \quad (6.57)$$

where  $(\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a) \subseteq P_{ij}^a$  is open, and  $\sim$  is the binary relation on  $\coprod_{a \in A} (\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a)$  given by  $p^a \sim p^b$  if  $p^a \in (\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a)$  and  $p^b \in (\pi_{ij}^b)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^b)$  for  $a, b \in A$  with  $p^b = \lambda_{ij}^{ab}(p^a)$ . This is an equivalence relation by (6.56). Write  $[p^a]$  for the  $\sim$ -equivalence class of  $p^a$ .

Define a map  $\dot{\pi}_{ij} : \dot{P}_{ij} \rightarrow V_{ij} \subseteq V_i$  by  $\dot{\pi}_{ij} : [p^a] \mapsto \pi_{ij}^a(p^a)$  for  $p^a \in (\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a)$ . This is well-defined as if  $[p^a] = [p^b]$  then  $p^a \sim p^b$ , so  $p^b = \lambda_{ij}^{ab}(p^a)$ , and  $\pi_{ij}^a(p^a) = \pi_{ij}^b(p^b)$  as  $\pi_{ij}^b \circ \lambda_{ij}^{ab} = \pi_{ij}^a$  by Definition 6.4(b). The  $\Gamma_i \times \Gamma_j$ -actions on  $(\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a) \subseteq P_{ij}^a$  induce a  $\Gamma_i \times \Gamma_j$ -action on  $\dot{P}_{ij}$ , and  $\dot{\pi}_{ij}$  is  $\Gamma_i$ -equivariant and  $\Gamma_j$ -invariant.

Then  $\dot{\pi}_{ij} : \dot{P}_{ij} \rightarrow V_{ij}$  is continuous and is a topological principal  $\Gamma_j$ -bundle, as it is built by gluing the topological principal  $\Gamma_j$ -bundles  $\pi_{ij}^a : (\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a) \rightarrow V_{ij} \cap \text{supp}^\circ \eta^a$  by the isomorphisms  $\lambda_{ij}^{ab}$  on overlaps  $V_{ij} \cap \text{supp}^\circ \eta^a \cap \text{supp}^\circ \eta^b$ , where the isomorphisms  $\lambda_{ij}^{ab}$  compose correctly by (6.56).

It follows that the natural morphisms  $(\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a) \rightarrow \dot{P}_{ij}$  mapping  $p^a \mapsto [p^a]$  for  $a \in A$  are homeomorphisms with open subsets  $\dot{P}_{ij}^a$  of  $\dot{P}_{ij}$ , and that  $\dot{P}_{ij}$  is Hausdorff, and second countable, as  $V_{ij} \in \mathbf{Man}$  is by Assumption 3.2(b). Also the  $(\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a)$  for  $a \in A$  are objects in  $\mathbf{Man}$ , and the gluing maps  $\lambda_{ij}^{ab}$  are diffeomorphisms between open submanifolds of  $(\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a)$  and  $(\pi_{ij}^b)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^b)$ . Therefore Assumptions 3.2(e) and 3.3(b) make  $\dot{P}_{ij}$  into an object in  $\mathbf{Man}$ , with underlying topological space (6.57), such that the inclusion maps  $(\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a) \rightarrow \dot{P}_{ij}$  are diffeomorphisms with open submanifolds  $\dot{P}_{ij}^a$  of  $\dot{P}_{ij}$  for  $a \in A$ , with  $\{\dot{P}_{ij}^a : a \in A\}$  an open cover of  $\dot{P}_{ij}$ .

Furthermore, Assumption 3.3(a) now makes  $\dot{\pi}_{ij} : \dot{P}_{ij} \rightarrow V_{ij}$  into a morphism in  $\mathbf{Man}$ , locally modelled on  $\pi_{ij}^a|_{\dots} : (\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a) \rightarrow V_{ij}$ , with  $\dot{P}_{ij}^a = \dot{\pi}_{ij}^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a)$ . The topological  $\Gamma_i \times \Gamma_j$ -action on  $\dot{P}_{ij}$  also lifts to a  $\Gamma_i \times \Gamma_j$ -action by morphisms in  $\mathbf{Man}$ , where the  $\dot{P}_{ij}^a$  are  $\Gamma_i \times \Gamma_j$ -invariant. As  $\pi_{ij}^a$  is étale,  $\dot{\pi}_{ij}$  is étale, and as  $\dot{\pi}_{ij} : \dot{P}_{ij} \rightarrow V_{ij}$  is a  $\Gamma_i$ -invariant topological principal  $\Gamma_j$ -bundle, it is a  $\Gamma_i$ -invariant principal  $\Gamma_j$ -bundle in  $\mathbf{Man}$ .

Define  $\lambda_{ij}^a : \dot{P}_{ij}^a \rightarrow P_{ij}^a$  in  $\mathbf{Man}$  to be the composition of the isomorphism  $\dot{P}_{ij}^a \cong (\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a)$  with the inclusion  $(\pi_{ij}^a)^{-1}(V_{ij} \cap \text{supp}^\circ \eta^a) \hookrightarrow P_{ij}^a$ . Then the definition of  $\sim$  for  $\dot{P}_{ij}$  in (6.57) implies that

$$\lambda_{ij}^{ab} \circ \lambda_{ij}^a|_{\dot{P}_{ij}^a \cap \dot{P}_{ij}^b} = \lambda_{ij}^b|_{\dot{P}_{ij}^a \cap \dot{P}_{ij}^b} : \dot{P}_{ij}^a \cap \dot{P}_{ij}^b \longrightarrow P_{ij}^b \quad \text{for } a, b \in A, \quad (6.58)$$

where  $\lambda_{ij}^a(\dot{P}_{ij}^a \cap \dot{P}_{ij}^b) \subseteq \dot{P}_{ij}^{ab}$  by (6.56), so that  $\lambda_{ij}^{ab} \circ \lambda_{ij}^a|_{\dot{P}_{ij}^a \cap \dot{P}_{ij}^b}$  is well defined.

We have smooth maps  $\phi_{ij}^a \circ \lambda_{ij}^a : \dot{P}_{ij}^a \rightarrow V_j$  and morphisms  $(\lambda_{ij}^a)^*(\hat{\phi}_{ij}^a) : \dot{\pi}_{ij}^*(E_i)|_{\dot{P}_{ij}^a} \rightarrow \mathcal{T}_{\phi_{ij}^a \circ \lambda_{ij}^a} V_j$  for  $a \in A$ , such that for  $a, b \in A$ , applying  $\circ \lambda_{ij}^a$  and

$(\lambda_{ij}^a)^*$  to the equations of (6.54) gives

$$(\phi_{ij}^b \circ \lambda_{ij}^b) = (\phi_{ij}^a \circ \lambda_{ij}^a) + (\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab}) \circ \dot{\pi}_{ij}^*(s_i) + O(\dot{\pi}_{ij}^*(s_i)^2), \quad (6.59)$$

$$(\lambda_{ij}^b)^*(\hat{\phi}_{ij}^b) = (\lambda_{ij}^a)^*(\hat{\phi}_{ij}^a) + (\phi_{ij}^a \circ \lambda_{ij}^a)^*(ds_j) \circ (\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab}) + O(\dot{\pi}_{ij}^*(s_i)), \quad (6.60)$$

which hold on  $\dot{P}_{ij}^a \cap \dot{P}_{ij}^b$  as  $\lambda_{ij}^a(\dot{P}_{ij}^a \cap \dot{P}_{ij}^b) \subseteq \dot{P}_{ij}^{ab}$ . For all  $a, b, c \in A$ , applying  $(\lambda_{ij}^a)^*$  to the second equation of (6.55) and using (6.58) gives

$$(\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab}) + (\lambda_{ij}^b)^*(\hat{\lambda}_{ij}^{bc}) = (\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ac}) + O(\dot{\pi}_{ij}^*(s_i)) \quad (6.61)$$

on  $(\lambda_{ij}^a)^{-1}(\dot{P}_{ij}^{abc})$ . In fact (6.61) holds on  $\dot{P}_{ij}^a \cap \dot{P}_{ij}^b \cap \dot{P}_{ij}^c$ , as the  $O(\dot{\pi}_{ij}^*(s_i))$  condition is trivial away from  $\dot{\pi}_{ij}^{-1}(\psi_i^{-1}(T^a \cap T^b \cap T^c))$ .

Now (6.59) implies that  $(\phi_{ij}^b \circ \lambda_{ij}^b) = (\phi_{ij}^a \circ \lambda_{ij}^a) + O(\dot{\pi}_{ij}^*(s_i))$  on  $\dot{P}_{ij}^a \cap \dot{P}_{ij}^b$ , where the  $\dot{P}_{ij}^a$  are  $\Gamma_i \times \Gamma_j$ -invariant, and the  $\phi_{ij}^a \circ \lambda_{ij}^a$  are  $\Gamma_i \times \Gamma_j$ -equivariant. Therefore by Theorem 3.17(c),(e) there exist a  $\Gamma_i \times \Gamma_j$ -invariant open neighbourhood  $P_{ij} \hookrightarrow \dot{P}_{ij}$  of  $\dot{\pi}_{ij}^*(s_i)^{-1}(0)$  in  $\dot{P}_{ij}$ , and a  $\Gamma_i \times \Gamma_j$ -equivariant morphism  $\phi_{ij} : P_{ij} \rightarrow V_j$  in  $\mathbf{Man}$ , such that for all  $a \in A$  we have

$$\phi_{ij}^a \circ \lambda_{ij}^a|_{P_{ij} \cap \dot{P}_{ij}^a} = \phi_{ij}|_{P_{ij} \cap \dot{P}_{ij}^a} + O(\dot{\pi}_{ij}^*(s_i)) \quad \text{on } P_{ij} \cap \dot{P}_{ij}^a. \quad (6.62)$$

Define  $\pi_{ij} = \dot{\pi}_{ij}|_{P_{ij}} : P_{ij} \rightarrow V_i$ .

Applying Theorem 3.17(i) to (6.62) shows we may choose a morphism  $\hat{\mu}_{ij}^a : \pi_{ij}^*(E_i)|_{P_{ij} \cap \dot{P}_{ij}^a} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{P_{ij} \cap \dot{P}_{ij}^a}$  with

$$\phi_{ij}^a \circ \lambda_{ij}^a|_{P_{ij} \cap \dot{P}_{ij}^a} = \phi_{ij}|_{P_{ij} \cap \dot{P}_{ij}^a} + \hat{\mu}_{ij}^a \circ \pi_{ij}^*(s_i) + O(\pi_{ij}^*(s_i)^2) \quad \text{on } P_{ij} \cap \dot{P}_{ij}^a. \quad (6.63)$$

Since  $\phi_{ij}^a \circ \lambda_{ij}^a|_{P_{ij} \cap \dot{P}_{ij}^a}$  and  $\phi_{ij}|_{P_{ij} \cap \dot{P}_{ij}^a}$  are  $\Gamma_i \times \Gamma_j$ -equivariant, (6.63) also holds with  $\hat{\mu}_{ij}^a$  replaced by  $(\gamma_i, \gamma_j)^*(\hat{\mu}_{ij}^a)$  for  $(\gamma_i, \gamma_j) \in \Gamma_i \times \Gamma_j$ . Averaging  $(\gamma_i, \gamma_j)^*(\hat{\mu}_{ij}^a)$  over  $(\gamma_i, \gamma_j) \in \Gamma_i \times \Gamma_j$  and using Theorem 3.17(m), we see that we may take  $\hat{\mu}_{ij}^a$  to be  $\Gamma_i \times \Gamma_j$ -equivariant.

Using the notation of Definition 3.15(v), and applying Theorem 3.17(g), we see that we can choose a morphism  $\hat{\lambda}_{ij}^a : \pi_{ij}^*(E_i)|_{P_{ij} \cap \dot{P}_{ij}^a} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{P_{ij} \cap \dot{P}_{ij}^a}$  with

$$\hat{\lambda}_{ij}^a = \hat{\mu}_{ij}^a + \sum_{b \in A} \pi_{ij}^*(\eta^b)|_{P_{ij} \cap \dot{P}_{ij}^a} \cdot (\hat{\mu}_{ij}^b - \hat{\mu}_{ij}^a - (\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab})) + O(\pi_{ij}^*(s_i)). \quad (6.64)$$

Here  $(\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab})$  in (6.64) is a morphism  $\pi_{ij}^*(E_i)|_{\dots} \rightarrow \mathcal{T}_{\phi_{ij} \circ \lambda_{ij}^a} V_j|_{\dots}$ , but by (6.63) and Theorem 3.17(g) there exists  $(\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab})' : \pi_{ij}^*(E_i)|_{\dots} \rightarrow \mathcal{T}_{\phi_{ij}} V_j|_{\dots}$ , unique up to  $O(\pi_{ij}^*(s_i))$ , with  $(\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab})' = (\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab}) + O(\pi_{ij}^*(s_i))$  as in Definition 3.15(v), and we replace  $(\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab})$  in (6.64) by  $(\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab})'$  to define  $\hat{\lambda}_{ij}^a$ . By averaging  $\hat{\lambda}_{ij}^a$  over the  $\Gamma_i \times \Gamma_j$ -action, we can suppose it is  $\Gamma_i \times \Gamma_j$ -equivariant.

Combining (6.59) with (6.63) for  $a, b$  and using Theorem 3.17(l) to go from  $\phi_{ij}$  to  $\phi_{ij}^b \circ \lambda_{ij}^b$  to  $\phi_{ij}^a \circ \lambda_{ij}^a$  to  $\phi_{ij}$  we see that

$$\phi_{ij} = \phi_{ij} + (\hat{\mu}_{ij}^b - \hat{\mu}_{ij}^a - (\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab})) \circ \pi_{ij}^*(s_i) + O(\pi_{ij}^*(s_i)^2) \quad \text{on } P_{ij} \cap \dot{P}_{ij}^a \cap \dot{P}_{ij}^b.$$

Hence Theorem 3.17(a),(m) and local finiteness of  $\{\pi_{ij}^*(\eta^b) : b \in A\}$  give

$$\phi_{ij} = \phi_{ij} + \left( \sum_{b \in A} \pi_{ij}^*(\eta^b) \cdot (\hat{\mu}_{ij}^b - \hat{\mu}_{ij}^a - (\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab})) \right) \circ \pi_{ij}^*(s_i) + O(\pi_{ij}^*(s_i)^2)$$

on  $P_{ij}$ . Combining this with (6.63), (6.64) and Theorem 3.17(m) shows that

$$\phi_{ij}^a \circ \lambda_{ij}^a|_{P_{ij} \cap \dot{P}_{ij}^a} = \phi_{ij}|_{P_{ij} \cap \dot{P}_{ij}^a} + \hat{\lambda}_{ij}^a \circ \pi_{ij}^*(s_i) + O(\pi_{ij}^*(s_i)^2) \text{ on } P_{ij} \cap \dot{P}_{ij}^a. \quad (6.65)$$

For all  $a, b \in A$ , on  $P_{ij} \cap \dot{P}_{ij}^a \cap \dot{P}_{ij}^b$  we have

$$\begin{aligned} \hat{\lambda}_{ij}^b - \hat{\lambda}_{ij}^a &= \hat{\mu}_{ij}^b - \hat{\mu}_{ij}^a + \sum_{c \in A} \pi_{ij}^*(\eta^c) \cdot (\hat{\mu}_{ij}^c - \hat{\mu}_{ij}^b - (\lambda_{ij}^b)^*(\hat{\lambda}_{ij}^{bc}) \\ &\quad - \hat{\mu}_{ij}^c + \hat{\mu}_{ij}^a + (\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ac})) + O(\pi_{ij}^*(s_i)) \\ &= \hat{\mu}_{ij}^b - \hat{\mu}_{ij}^a + \sum_{c \in A} \pi_{ij}^*(\eta^c) \cdot (-\hat{\mu}_{ij}^b + \hat{\mu}_{ij}^a + (\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab})) + O(\pi_{ij}^*(s_i)) \\ &= (\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab}) + O(\pi_{ij}^*(s_i)), \end{aligned} \quad (6.66)$$

using (6.64) in the first step, (6.61) in the second, and  $\sum_c \eta^c = 1$  in the third.

By Theorem 3.17(f),(h) we choose  $\hat{\phi}_{ij}^a : \pi_{ij}^*(E_i)|_{P_{ij} \cap \dot{P}_{ij}^a} \rightarrow \phi_{ij}^*(E_j)|_{P_{ij} \cap \dot{P}_{ij}^a}$  with

$$\hat{\phi}_{ij}^a = (\lambda_{ij}^a)^*(\hat{\phi}_{ij}^a) - \phi_{ij}^*(ds_j) \circ \hat{\lambda}_{ij}^a + O(\pi_{ij}^*(s_i)), \quad (6.67)$$

uniquely up to  $O(\pi_{ij}^*(s_i))$ . By averaging over the  $\Gamma_i \times \Gamma_j$ -action we can suppose  $\hat{\phi}_{ij}^a$  is  $\Gamma_i$ - and  $\Gamma_j$ -equivariant. Define a  $\Gamma_i$ - and  $\Gamma_j$ -equivariant morphism  $\hat{\phi}_{ij} : \pi_{ij}^*(E_i) \rightarrow \phi_{ij}^*(E_j)$  on  $P_{ij}$  by

$$\hat{\phi}_{ij} = \sum_{a \in A} \pi_{ij}^*(\eta^a) \cdot \hat{\phi}_{ij}^a. \quad (6.68)$$

Then for each  $a \in A$ , on  $P_{ij} \cap \dot{P}_{ij}^a$  we have

$$\begin{aligned} (\lambda_{ij}^a)^*(\hat{\phi}_{ij}^a) &= \sum_{b \in A} \pi_{ij}^*(\eta^b) \cdot [(\lambda_{ij}^a)^*(\hat{\phi}_{ij}^a) \\ &\quad + (\phi_{ij}^a \circ \lambda_{ij}^a)^*(ds_j) \circ [(\lambda_{ij}^a)^*(\hat{\lambda}_{ij}^{ab}) - \hat{\lambda}_{ij}^b + \hat{\lambda}_{ij}^a]] + O(\pi_{ij}^*(s_i)) \\ &= \sum_{b \in A} \pi_{ij}^*(\eta^b) \cdot [(\lambda_{ij}^b)^*(\hat{\phi}_{ij}^b) - \phi_{ij}^*(ds_j) \circ \hat{\lambda}_{ij}^b + \phi_{ij}^*(ds_j) \circ \hat{\lambda}_{ij}^a] + O(\pi_{ij}^*(s_i)) \\ &= \sum_{b \in A} \pi_{ij}^*(\eta^b) \cdot [\hat{\phi}_{ij}^b + \phi_{ij}^*(ds_j) \circ \hat{\lambda}_{ij}^a] + O(\pi_{ij}^*(s_i)) \\ &= \hat{\phi}_{ij}|_{\dot{P}_{ij}^a} + \phi_{ij}^*(ds_j) \circ \hat{\lambda}_{ij}^a + O(\pi_{ij}^*(s_i)), \end{aligned} \quad (6.69)$$

using (6.66) and  $\{\eta^b : b \in A\}$  a partition of unity in the first step, (6.60) and  $\phi_{ij}^a \circ \lambda_{ij}^a = \phi_{ij}|_{\dot{P}_{ij}^a} + O(\pi_{ij}^*(s_i))$  from (6.65) in the second, (6.67) in the third, and (6.68) and  $\{\eta^b : b \in A\}$  a partition of unity in the fourth.

We have already proved  $\Phi_{ij} := (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  satisfies Definition 6.2(a)–(d). Parts (e),(f) hold on  $P_{ij} \cap \dot{P}_{ij}^a \subseteq P_{ij}$  by (6.65), (6.69) and Definition 6.2(e),(f) for  $\Phi_{ij}^a$ , for each  $a \in A$ , so they hold on  $\bigcup_{a \in A} (P_{ij} \cap \dot{P}_{ij}^a) = P_{ij}$ . Thus  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  is a 1-morphism over  $(S, f)$ .

Equations (6.65) and (6.69) imply that  $\Lambda_{ij}^a := [P_{ij} \cap \dot{P}_{ij}^a, \lambda_{ij}^a|_{P_{ij} \cap \dot{P}_{ij}^a}, \hat{\lambda}_{ij}^a]$  is a 2-morphism  $\Phi_{ij}|_{T^a} \Rightarrow \Phi_{ij}^a$  over  $(T^a, f)$  for all  $a \in A$ . Equations (6.58) and (6.66) imply that  $\Lambda_{ij}^b|_{T^a \cap T^b} = \Lambda_{ij}^{ab} \odot \Lambda_{ij}^a|_{T^a \cap T^b}$  for all  $a, b \in A$ . This proves Definition A.17(v), showing that  $\mathcal{H}om_f((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$  is a stack on  $\text{Im } \psi_i \cap f^{-1}(\text{Im } \psi_j)$ , and completes the first part of Theorem 6.16.

#### 6.7.4 $\mathcal{E}qu(\dots)$ is a substack of $\mathcal{H}om(\dots)$

Now we take  $X = Y$  and  $f = \text{id}_X$ . In this subsection, we will by an abuse of notation treat the weak 2-category  $\mathbf{KN}_S(X)$  defined in §6.1 as if it were a strict 2-category. That is, we will pretend the 2-morphisms  $\alpha_{\Phi_{kl}, \Phi_{jk}, \Phi_{ij}}, \beta_{\Phi_{ij}}, \gamma_{\Phi_{ij}}$  in (6.7) and (6.8) are identities or omit them, and we will omit brackets in compositions of 1-morphisms such as  $\Phi_{kl} \circ \Phi_{jk} \circ \Phi_{ij}$ . This is permissible as every weak 2-category can be strictified. We do it because otherwise diagrams such as Figure 6.4 would become too big.

Definition A.17(i)–(iv) for  $\mathcal{E}qu((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$  are immediate from (i)–(iv) for  $\mathcal{H}om((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$ . For (v), we must show that in the last part of the proof in §6.7.3, if the  $\Phi_{ij}^a$  are coordinate changes over  $T^a$  (i.e. equivalences in  $\mathbf{KN}_{T^a}(X)$ ), then the  $\Phi_{ij}$  we construct with 2-morphisms  $\Lambda_{ij}^a : \Phi_{ij}|_{T^a} \Rightarrow \Phi_{ij}^a$  for  $a \in A$  is a coordinate change over  $S$ .

Let  $S, \{T^a : a \in A\}, \Phi_{ij}^a, \Lambda_{ij}^{ab}, \Phi_{ij}, \Lambda_{ij}^a$  be as in §6.7.3, but with  $X = Y$ ,  $f = \text{id}_X$  and all the  $\Phi_{ij}^a$  coordinate changes. Since  $\Phi_{ij}^a$  is an equivalence in  $\mathbf{KN}_{T^a}(X)$ , we may choose a coordinate change  $\Phi_{ji}^a : (V_j, E_j, \Gamma_j, s_j, \psi_j) \rightarrow (V_i, E_i, \Gamma_i, s_i, \psi_i)$  over  $T^a$  and 2-morphisms  $I_i^a : \Phi_{ji}^a \circ \Phi_{ij}^a \Rightarrow \text{id}_{(V_i, E_i, \Gamma_i, s_i, \psi_i)}$  and  $K_j^a : \Phi_{ij}^a \circ \Phi_{ji}^a \Rightarrow \text{id}_{(V_j, E_j, \Gamma_j, s_j, \psi_j)}$  for all  $a \in A$ . By Proposition A.5 we can suppose these satisfy

$$\text{id}_{\Phi_{ij}^a} * I_i^a = K_j^a * \text{id}_{\Phi_{ij}^a} \quad \text{and} \quad \text{id}_{\Phi_{ji}^a} * K_j^a = I_i^a * \text{id}_{\Phi_{ji}^a}. \quad (6.70)$$

Define 2-morphisms  $M_{ji}^{ab} : \Phi_{ji}^a|_{T^a \cap T^b} \Rightarrow \Phi_{ji}^b|_{T^a \cap T^b}$  over  $T^a \cap T^b$  for all  $a, b \in A$  to be the vertical composition

$$\Phi_{ji}^a|_{T^a \cap T^b} \xRightarrow{\text{id}_{\Phi_{ji}^a} * (K_j^b)^{-1}} \Phi_{ji}^a \circ \Phi_{ij}^b \circ \Phi_{ji}^b \xRightarrow{\text{id}_{\Phi_{ji}^a} * (\Lambda_{ij}^{ab})^{-1} * \text{id}_{\Phi_{ji}^b}} \Phi_{ji}^a \circ \Phi_{ij}^a \circ \Phi_{ji}^b \xRightarrow{I_i^a * \text{id}_{\Phi_{ji}^b}} \Phi_{ji}^b|_{T^a \cap T^b}. \quad (6.71)$$

For  $a, b, c \in A$ , consider the diagram Figure 6.4 of 2-morphisms over  $T^a \cap T^b \cap T^c$ . The three outer quadrilaterals commute by the definition (6.71) of  $M_{ji}^{ab}$ . Eight inner quadrilaterals commute by compatibility of horizontal and vertical composition, a 2-gon commutes by (6.70), and a triangle commutes as  $\Lambda_{ij}^{bc} \odot \Lambda_{ij}^{ab} = \Lambda_{ij}^{ac}$ . Hence Figure 6.4 commutes, which shows that  $M_{ji}^{bc} \odot M_{ji}^{ab} = M_{ji}^{ac}$  over  $T^a \cap T^b \cap T^c$  for all  $a, b, c \in A$ .

Thus by Definition A.17(v) for  $\mathcal{H}om((V_j, E_j, \Gamma_j, s_j, \psi_j), (V_i, E_i, \Gamma_i, s_i, \psi_i))$ , proved in §6.7.3, there exists a 1-morphism  $\Phi_{ji} : (V_j, E_j, \Gamma_j, s_j, \psi_j) \rightarrow (V_i, E_i, \Gamma_i, s_i, \psi_i)$  over  $S$  and 2-morphisms  $M_{ji}^a : \Phi_{ji}|_{T^a} \Rightarrow \Phi_{ji}^a$  over  $T^a$  for  $a \in A$ , such that  $M_{ji}^b|_{T^a \cap T^b} = M_{ji}^{ab} \odot M_{ji}^a|_{T^a \cap T^b}$  over  $T^a \cap T^b$  for all  $a, b \in A$ .

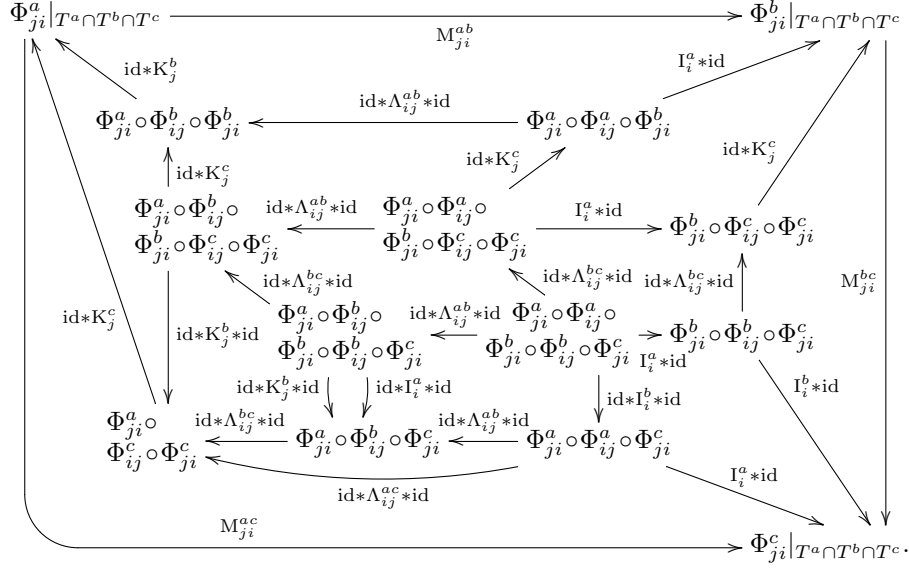
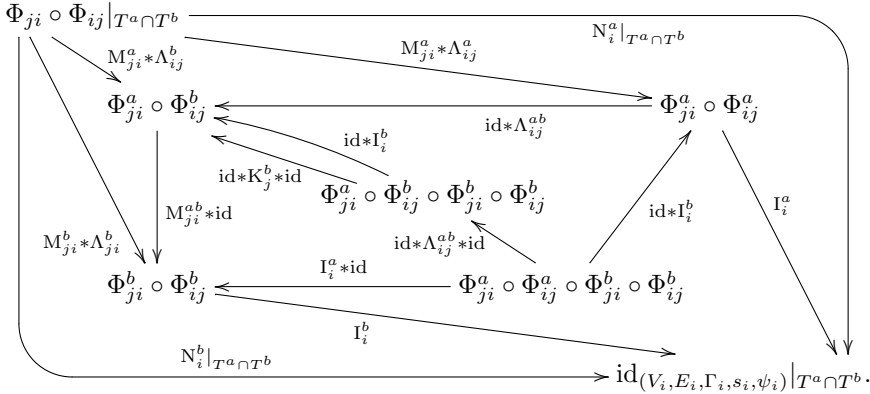


Figure 6.4: Proof that  $M_{ji}^{bc} \odot M_{ji}^{ab} = M_{ji}^{ac}$

For each  $a \in A$ , define a 2-morphism  $N_i^a : (\Phi_{ji} \circ \Phi_{ij})|_{T^a} \Rightarrow id_{(V_i, E_i, \Gamma_i, s_i, \psi_i)}|_{T^a}$  by the vertical composition

$$(\Phi_{ji} \circ \Phi_{ij})|_{T^a} \xrightarrow{M_{ji}^a * \Lambda_{ij}^a} \Phi_{ji}^a \circ \Phi_{ij}^a \xrightarrow{I_i^a} id_{(V_i, E_i, \Gamma_i, s_i, \psi_i)}|_{T^a}. \quad (6.72)$$

Then the following diagram commutes by (6.70),  $\Lambda_{ij}^b = \Lambda_{ij}^{ab} \odot \Lambda_{ij}^a$ ,  $M_{ji}^b = M_{ji}^{ab} \odot M_{ji}^a$ , the definitions of  $M_{ji}^a, N_i^a$  in (6.71) and (6.72), and compatibility of horizontal and vertical composition:



Hence  $N_i^a |_{T^a \cap T^b} = N_i^b |_{T^a \cap T^b}$  for all  $a, b \in A$ . Therefore by Definition A.17(iv) for  $\mathcal{H}om((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_i, E_i, \Gamma_i, s_i, \psi_i))$ , proved in §6.7.2, there is a unique 2-morphism  $N_i : \Phi_{ji} \circ \Phi_{ij} \Rightarrow id_{(V_i, E_i, \Gamma_i, s_i, \psi_i)}$  over  $S$  with  $N_i |_{T^a} = N_i^a$  for all  $a \in A$ .

Similarly we construct  $O_j : \Phi_{ij} \circ \Phi_{ji} \Rightarrow \text{id}_{(V_j, E_j, \Gamma_j, s_j, \psi_j)}$ . These  $\Phi_{ji}, N_i, O_j$  show  $\Phi_{ij}$  is an equivalence in  $\dot{\mathbf{K}}\mathbf{N}_S(X)$ , and so a coordinate change. This gives Definition A.17(v) for  $\mathcal{E}qu((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$ , which is thus a substack of  $\mathcal{H}om((V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j))$ , completing the proof of Theorem 6.16.



## Chapter 7

# Relation to other Kuranishi-type spaces (To be rewritten.)

We now compare our Kuranishi spaces in Chapter 6 with Kuranishi-type spaces developed by other authors. In §7.1–§7.4 we discuss various definitions of Kuranishi space, and of good coordinate system, in the work of Fukaya, Oh, Ohta and Ono [19–39], McDuff and Wehrheim [77, 78, 80–83], and Dingyu Yang [110–112]. We use Yang’s work to connect our Kuranishi spaces with the polyfold theory of Hofer, Wysocki and Zehnder [46–53].

To improve compatibility with Chapter 6, we have made some small changes in notation compared to our sources, without changing the content. We hope the authors concerned will not mind this. Examples 7.2, 7.5, ... explain the relationship between the material we explain, and the definitions of §6.1. Section 7.5 will prove that all the structures we discuss can be converted to Kuranishi spaces in the sense of §6.2. The proof of Theorem 7.26 is deferred until §7.6.

### 7.1 Fukaya–Oh–Ohta–Ono’s Kuranishi spaces

‘Kuranishi spaces’ are used in the work of Fukaya, Oh, Ohta and Ono [19–39] as the geometric structure on moduli spaces of  $J$ -holomorphic curves. Initially introduced by Fukaya and Ono [39, §5] in 1999, the definition has changed several times as their work has evolved.

This section explains their most recent definition of Kuranishi space, taken from [30, §4]. As in the rest of our book ‘Kuranishi neighbourhood’, ‘coordinate change’ and ‘Kuranishi space’ have a different meaning, we will use the terms ‘FOOO Kuranishi neighbourhood’, ‘FOOO coordinate change’ and ‘FOOO Kuranishi space’ below to refer to concepts from [30].

For the next definitions, let  $X$  be a compact, metrizable topological space.

**Definition 7.1.** A *FOOO Kuranishi neighbourhood* on  $X$  is a quintuple  $(V, E, \Gamma, s, \psi)$  such that:

- (a)  $V$  is a classical manifold, or manifold with corners ( $V \in \mathbf{Man}$  or  $\mathbf{Man}^c$ ).

- (b)  $E$  is a finite-dimensional real vector space.
- (c)  $\Gamma$  is a finite group with a smooth, effective action on  $V$ , and a linear representation on  $E$ .
- (d)  $s : V \rightarrow E$  is a  $\Gamma$ -equivariant smooth map.
- (e)  $\psi$  is a homeomorphism from  $s^{-1}(0)/\Gamma$  to an open subset  $\text{Im } \psi$  in  $X$ , where  $\text{Im } \psi = \{\psi(x\Gamma) : x \in s^{-1}(0)\}$  is the image of  $\psi$ , and is called the *footprint* of  $(V, E, \Gamma, s, \psi)$ .

We will write  $\bar{\psi} : s^{-1}(0) \rightarrow \text{Im } \psi \subseteq X$  for the composition of  $\psi$  with the projection  $s^{-1}(0) \rightarrow s^{-1}(0)/\Gamma$ .

Now let  $p \in X$ . A *FOOO Kuranishi neighbourhood of  $p$  in  $X$*  is a FOOO Kuranishi neighbourhood  $(V_p, E_p, \Gamma_p, s_p, \psi_p)$  with a distinguished point  $o_p \in V_p$  such that  $o_p$  is fixed by  $\Gamma_p$ , and  $s_p(o_p) = 0$ , and  $\psi_p([o_p]) = p$ . Then  $o_p$  is unique.

**Example 7.2.** For our Kuranishi neighbourhoods  $(V', E', \Gamma', s', \psi')$  in Definition 6.1,  $\pi' : E' \rightarrow V'$  is a  $\Gamma'$ -equivariant vector bundle, and  $s' : V' \rightarrow E'$  a  $\Gamma'$ -equivariant smooth section. Also  $\Gamma'$  is not required to act effectively on  $V'$ .

To make a FOOO Kuranishi neighbourhood  $(V, E, \Gamma, s, \psi)$  into one of our Kuranishi neighbourhoods  $(V', E', \Gamma', s', \psi')$ , take  $V' = V$ ,  $\Gamma' = \Gamma$ ,  $\psi' = \psi$ , let  $\pi' : E' \rightarrow V'$  be the trivial vector bundle  $\pi_V : V \times E \rightarrow V$  with fibre  $E$ , and  $s' = (\text{id}, s) : V \rightarrow V \times E$ . Thus, FOOO Kuranishi neighbourhoods correspond to special examples of our Kuranishi neighbourhoods  $(V', E', \Gamma', s', \psi')$ , in which  $\pi' : E' \rightarrow V'$  is a trivial vector bundle, and  $\Gamma'$  acts effectively on  $V'$ .

By an abuse of notation, we will sometimes identify FOOO Kuranishi neighbourhoods with the corresponding Kuranishi neighbourhoods in §6.1. That is, we will use  $E$  to denote both a vector space, and the corresponding trivial vector bundle over  $V$ , and  $s$  to denote both a map, and a section of a trivial bundle. Fukaya et al. [30, Def. 4.3(4)] also make the same abuse of notation.

**Definition 7.3.** Let  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$ ,  $(V_j, E_j, \Gamma_j, s_j, \psi_j)$  be FOOO Kuranishi neighbourhoods on  $X$ . Suppose  $S \subseteq \text{Im } \psi_i \cap \text{Im } \psi_j \subseteq X$  is an open subset of the intersection of the footprints  $\text{Im } \psi_i, \text{Im } \psi_j \subseteq X$ . We say a quadruple  $\Phi_{ij} = (V_{ij}, h_{ij}, \varphi_{ij}, \hat{\varphi}_{ij})$  is a *FOOO coordinate change from  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  to  $(V_j, E_j, \Gamma_j, s_j, \psi_j)$  over  $S$*  if:

- (a)  $V_{ij}$  is a  $\Gamma_i$ -invariant open neighbourhood of  $\bar{\psi}_i^{-1}(S)$  in  $V_i$ .
- (b)  $h_{ij} : \Gamma_i \rightarrow \Gamma_j$  is an injective group homomorphism.
- (c)  $\varphi_{ij} : V_{ij} \hookrightarrow V_j$  is an  $h_{ij}$ -equivariant smooth embedding, such that the induced map  $(\varphi_{ij})_* : V_{ij}/\Gamma_i \rightarrow V_j/\Gamma_j$  is injective.
- (d)  $\hat{\varphi}_{ij} : V_{ij} \times E_i \hookrightarrow V_j \times E_j$  is an  $h_{ij}$ -equivariant embedding of vector bundles over  $\varphi_{ij} : V_{ij} \hookrightarrow V_j$ , viewing  $V_{ij} \times E_i \rightarrow V_{ij}$ ,  $V_j \times E_j \rightarrow V_j$  as trivial vector bundles.
- (e)  $\hat{\varphi}_{ij}(s_i|_{V_{ij}}) = \varphi_{ij}^*(s_j)$ , in sections of  $\varphi_{ij}^*(V_j \times E_j) \rightarrow V_{ij}$ .
- (f)  $\psi_i = \psi_j \circ (\varphi_{ij})_*$  on  $(s_i^{-1}(0) \cap V_{ij})/\Gamma_i$ .

- (g)  $h_{ij}$  restricts to an isomorphism  $\text{Stab}_{\Gamma_i}(v) \rightarrow \text{Stab}_{\Gamma_j}(\varphi_{ij}(v))$  for all  $v$  in  $V_{ij}$ , where  $\text{Stab}_{\Gamma_i}(v)$  is the *stabilizer subgroup*  $\{\gamma \in \Gamma_i : \gamma(v) = v\}$ .
- (h) For each  $v \in s_i^{-1}(0) \cap V_{ij} \subseteq V_{ij} \subseteq V_i$  we have a commutative diagram

$$\begin{array}{ccccccc}
0 & \longrightarrow & T_v V_i & \xrightarrow{\quad d\varphi_{ij}|_v \quad} & T_{\varphi_{ij}(v)} V_j & \longrightarrow & N_{ij}|_v \longrightarrow 0 \\
& & \downarrow ds_i|_v & & \downarrow ds_j|_{\varphi_{ij}(v)} & & \downarrow d_{\text{fibre } s_j}|_v \\
0 & \longrightarrow & E_i|_v & \xrightarrow{\quad \hat{\varphi}_{ij}|_v \quad} & E_j|_{\varphi_{ij}(v)} & \longrightarrow & F_{ij}|_v \longrightarrow 0
\end{array} \tag{7.1}$$

with exact rows, where  $N_{ij} \rightarrow V_{ij}$  is the normal bundle of  $V_{ij}$  in  $V_j$ , and  $F_{ij} = \varphi_{ij}^*(E_j)/\hat{\varphi}_{ij}(E_i|_{V_{ij}})$  the quotient bundle. We require that the induced morphism  $d_{\text{fibre } s_j}|_v$  in (7.1) should be an isomorphism.

Note that  $d_{\text{fibre } s_j}|_v$  an isomorphism in (7.1) is equivalent to the following complex being exact:

$$0 \longrightarrow T_v V_i \xrightarrow{\quad ds_i|_v \oplus d\varphi_{ij}|_v \quad} E_i|_v \oplus T_{\varphi_{ij}(v)} V_j \xrightarrow{\quad \hat{\varphi}_{ij}|_v \oplus -ds_j|_{\varphi_{ij}(v)} \quad} E_j|_{\varphi_{ij}(v)} \longrightarrow 0. \tag{7.2}$$

This should be compared to Theorem 6.12.

Now let  $(V_p, E_p, \Gamma_p, s_p, \psi_p)$ ,  $(V_q, E_q, \Gamma_q, s_q, \psi_q)$  be FOOO Kuranishi neighbourhoods of  $p \in X$  and  $q \in \text{Im } \psi_p \subseteq X$ , respectively. We say a quadruple  $\Phi_{qp} = (V_{qp}, h_{qp}, \varphi_{qp}, \hat{\varphi}_{qp})$  is a *FOOO coordinate change* if it is a FOOO coordinate change from  $(V_q, E_q, \Gamma_q, s_q, \psi_q)$  to  $(V_p, E_p, \Gamma_p, s_p, \psi_p)$  over  $S_{qp}$ , where  $S_{qp}$  is any open neighbourhood of  $q$  in  $\text{Im } \psi_q \cap \text{Im } \psi_p$ .

**Remark 7.4. (a)** We have changed notation slightly compared to [30], to improve compatibility with the rest of the book. Fukaya et al. [30, §4] write Kuranishi neighbourhoods as  $(V, E, \Gamma, \psi, s)$  rather than  $(V, E, \Gamma, s, \psi)$ . Also, they write coordinate changes as  $\Phi_{pq} = (\hat{\varphi}_{pq}, \varphi_{pq}, h_{pq})$ , leaving  $V_{pq}$  implicit, rather than as  $\Phi_{qp} = (V_{qp}, h_{qp}, \varphi_{qp}, \hat{\varphi}_{qp})$  as we do. Note that we have changed the order of  $p, q$  in the subscripts compared to [30].

Fukaya et al. do not require  $\hat{\varphi}_{ij} : V_{ij} \times E_i \hookrightarrow \varphi_{ij}^*(V_j \times E_j)$  to come from an injective linear map of vector spaces  $E_i \hookrightarrow E_j$ . As in §7.3, McDuff and Wehrheim do require this.

Fukaya et al. only impose Definition 7.3(h) for Kuranishi spaces ‘with a tangent bundle’ in the sense of [24, 30, 39]. As the author knows of no reason for considering Kuranishi spaces ‘without tangent bundles’, and the notation appears to be merely historical, we will include ‘with a tangent bundle’ in our definitions of FOOO coordinate changes and FOOO Kuranishi spaces.

**(b)** Manifolds with corners were discussed in Chapter 2. When we allow the  $V_i$  in Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  to be manifolds with corners, it is important that the definition of *embedding* of manifolds with corners  $\varphi_{ij} : V_{ij} \hookrightarrow V_j$  used in Definition 7.3(c) includes the condition that  $\varphi_{ij}$  be *simple*, in the sense of §2.1. For comparison, in our theory of Kuranishi spaces with corners in §6.3, it is important that coordinate changes  $\Phi_{ij}$  are simple in the sense of Definition 6.31, as follows from Proposition 6.32(d).

We relate FOOO coordinate changes to coordinate changes in §6.1:

**Example 7.5.** Let  $\Phi_{ij} = (V_{ij}, h_{ij}, \varphi_{ij}, \hat{\varphi}_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be a FOOO coordinate change over  $S$ , as in Definition 7.3. As in Example 7.2, regard the FOOO Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)$  as examples of Kuranishi neighbourhoods in the sense of §6.1.

Set  $P_{ij} = V_{ij} \times \Gamma_j$ . Let  $\Gamma_i$  act on  $P_{ij}$  by  $\gamma_i : (v, \gamma) \mapsto (\gamma_i \cdot v, \gamma h_{ij}(\gamma_i)^{-1})$ . Let  $\Gamma_j$  act on  $P_{ij}$  by  $\gamma_j : (v, \gamma) \mapsto (v, \gamma_j \gamma)$ . Define  $\pi_{ij} : P_{ij} \rightarrow V_i$  and  $\phi_{ij} : P_{ij} \rightarrow V_j$  by  $\pi_{ij} : (v, \gamma) \mapsto v$  and  $\phi_{ij} : (v, \gamma) \mapsto \gamma \cdot \varphi_{ij}(v)$ . Then  $\pi_{ij}$  is  $\Gamma_i$ -equivariant and  $\Gamma_j$ -invariant. Since  $\varphi_{ij}$  is  $h_{ij}$ -equivariant,  $\phi_{ij}$  is  $\Gamma_i$ -invariant, and  $\Gamma_j$ -equivariant.

We will define a vector bundle morphism  $\hat{\phi}_{ij} : \pi_{ij}^*(E_i) \rightarrow \phi_{ij}^*(E_j)$ . At  $(v, \gamma) \in P_{ij}$ , this  $\hat{\phi}_{ij}$  must map  $E_i|_v \rightarrow E_j|_{\gamma \cdot \varphi_{ij}(v)}$ . We define  $\hat{\phi}_{ij}|_{(v, \gamma)}$  to be the composition of  $\hat{\varphi}_{ij}|_v : E_i|_v \rightarrow E_j|_{\varphi_{ij}(v)}$  with  $\gamma \cdot : E_j|_{\varphi_{ij}(v)} \rightarrow E_j|_{\gamma \cdot \varphi_{ij}(v)}$  from the  $\Gamma_j$ -action on  $E_j$ . That is,  $\hat{\phi}_{ij}|_{V_{ij} \times \{\gamma\}} = \gamma \cdot \hat{\varphi}_{ij}$  for each  $\gamma \in \Gamma_j$ .

It is now easy to see that  $\tilde{\Phi}_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  is a 1-morphism over  $S$ , in the sense of §6.1. Using (7.2), Theorem 6.12(a),(b) show that  $\tilde{\Phi}_{ij}$  is a coordinate change over  $S$ , as in §6.1, noting that  $\varphi_{ij}$  is simple in the corners case as in Remark 7.4(b).

**Definition 7.6.** A FOOO Kuranishi structure  $\mathcal{K}$  on  $X$  of virtual dimension  $n \in \mathbb{Z}$  in the sense of [30, §4], including the ‘with a tangent bundle’ condition, assigns a FOOO Kuranishi neighbourhood  $(V_p, E_p, \Gamma_p, s_p, \psi_p)$  for each  $p \in X$  and a FOOO coordinate change  $\Phi_{qp} = (V_{qp}, h_{qp}, \varphi_{qp}, \hat{\varphi}_{qp}) : (V_q, E_q, \Gamma_q, s_q, \psi_q) \rightarrow (V_p, E_p, \Gamma_p, s_p, \psi_p)$  for each  $q \in \text{Im } \psi_p$  such that the following holds:

- (a)  $\dim V_p - \text{rank } E_p = n$  for all  $p \in X$ .
- (b) If  $q \in \text{Im } \psi_p, r \in \psi_q((V_{qp} \cap s_q^{-1}(0))/\Gamma_q)$ , then for each connected component  $(\varphi_{rq}^{-1}(V_{qp}) \cap V_{rp})^\alpha$  of  $\varphi_{rq}^{-1}(V_{qp}) \cap V_{rp}$  there exists  $\gamma_{rqp}^\alpha \in \Gamma_p$  with

$$\begin{aligned} h_{qp} \circ h_{rq} &= \gamma_{rqp}^\alpha \cdot h_{rp} \cdot (\gamma_{rqp}^\alpha)^{-1}, & \varphi_{qp} \circ \varphi_{rq} &= \gamma_{rqp}^\alpha \cdot \varphi_{rp}, \\ \text{and} \quad \varphi_{rq}^* (\hat{\varphi}_{qp}) \circ \hat{\varphi}_{rq} &= \gamma_{rqp}^\alpha \cdot \hat{\varphi}_{rp}, \end{aligned} \quad (7.3)$$

where the second and third equations hold on  $(\varphi_{rq}^{-1}(V_{qp}) \cap V_{rp})^\alpha$ .

If the  $V_p$  for  $p \in X$  are classical manifolds, we call  $\mathbf{X} = (X, \mathcal{K})$  a FOOO Kuranishi space, of virtual dimension  $n \in \mathbb{Z}$ , written  $\text{vdim } \mathbf{X} = n$ . If the  $V_p$  are manifolds with corners, we call  $\mathbf{X}$  a FOOO Kuranishi space with corners.

We prove in Theorem 7.29 below that a FOOO Kuranishi space  $\mathbf{X}$  (with corners) can be made into a Kuranishi space  $\mathbf{X}'$  (with corners) in the sense of §6.2. We will show that the elements  $\gamma_{rqp}^\alpha \in \Gamma_p$  in Definition 7.6(b) correspond in the setting of §6.1 to a 2-morphism  $\Lambda_{rqp} : \tilde{\Phi}_{qp} \circ \tilde{\Phi}_{rq} \Rightarrow \tilde{\Phi}_{rp}$ .

**Example 7.7. (i)** In the Fukaya–Oh–Ohta–Ono theory [19–39], one often relates two FOOO coordinate changes in the following way. Let  $\Phi_{ij} = (V_{ij}, h_{ij}, \varphi_{ij},$

$\hat{\varphi}_{ij}, \Phi'_{ij} = (V'_{ij}, h'_{ij}, \varphi'_{ij}, \hat{\varphi}'_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be FOOO coordinate changes over  $S$ . Suppose there exists  $\gamma \in \Gamma_j$  such that

$$h_{ij} = \gamma \cdot h'_{ij} \cdot \gamma^{-1}, \quad \phi_{ij} = \gamma \cdot \phi'_{ij}, \quad \text{and} \quad \hat{\phi}_{ij} = \gamma \cdot \hat{\phi}'_{ij}, \quad (7.4)$$

where the second and third equations hold on  $\hat{V}_{ij} := V_{ij} \cap V'_{ij}$ .

Let  $\tilde{\Phi}_{ij}, \tilde{\Phi}'_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be the 1-morphisms in the sense of §6.1 corresponding to  $\Phi_{ij}, \Phi'_{ij}$  in Example 7.5. Set  $\hat{P}_{ij} = \hat{V}_{ij} \times \Gamma_j \subseteq P_{ij}$ . Define  $\lambda_{ij} : \hat{P}_{ij} = \hat{V}_{ij} \times \Gamma_j \rightarrow V'_{ij} \times \Gamma_j = P'_{ij}$  by  $\lambda_{ij} : (v, \gamma') \mapsto (v, \gamma'\gamma)$ , and  $\hat{\lambda}_{ij} = 0$ . Then  $(\hat{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij})$  satisfies Definition 6.4(a)–(c), so we have defined a 2-morphism  $\Lambda_{ij} = [\hat{P}_{ij}, \lambda_{ij}, \hat{\lambda}_{ij}] : \tilde{\Phi}_{ij} \Rightarrow \tilde{\Phi}'_{ij}$ , in the sense of §6.1.

(ii) This enables us to interpret Definition 7.6(b) in terms of a 2-morphism. In the situation of Definition 7.6(b), the composition of the FOOO coordinate changes  $\Phi_{rq}, \Phi_{qp}$  is  $\Phi_{qp} \circ \Phi_{rp} = (\varphi_{rq}^{-1}(V_{qp}), h_{qp} \circ h_{rp}, \varphi_{qp} \circ \varphi_{rp}|_{\varphi_{rq}^{-1}(V_{qp})}, \varphi_{rq}^*(\hat{\varphi}_{qp}) \circ \hat{\varphi}_{rp}|_{\varphi_{rq}^{-1}(V_{qp})})$ . Thus, (7.3) relates  $\Phi_{qp} \circ \Phi_{rp}$  to  $\Phi_{rp}$  in the same way that (7.4) relates  $\Phi_{ij}$  to  $\Phi'_{ij}$ , except for allowing  $\gamma_{rqp}$  to vary on different connected components. Hence, if  $\tilde{\Phi}_{rq}, \tilde{\Phi}_{qp}, \tilde{\Phi}_{rp}$  are the coordinate changes in the sense of §6.1 associated to  $\Phi_{rq}, \Phi_{qp}, \Phi_{rp}$  in Example 7.5, then the method of (i) defines a 2-morphism  $\Lambda_{pqr} : \tilde{\Phi}_{qp} \circ \tilde{\Phi}_{rq} \Rightarrow \tilde{\Phi}_{rp}$ , in the sense of §6.1.

(iii) In the situation of Definition 7.6(b), suppose  $v \in (\varphi_{rq}^{-1}(V_{qp}) \cap V_{rp})^\alpha$  is generic. Then  $\text{Stab}_{\Gamma_r}(v) = \{1\}$ , as  $\Gamma_r$  acts (locally) effectively on  $V_r$  by Definition 7.1(c). Hence  $\text{Stab}_{\Gamma_p}(\varphi_{rp}(v)) = \{1\}$  by Definition 7.3(g). Therefore the point  $\gamma_{rqp}^\alpha \cdot \varphi_{rp}(v) = \varphi_{qp} \circ \varphi_{rp}(v)$  in  $V_p$  determines  $\gamma_{rqp}^\alpha$  in  $\Gamma_p$ . So the second equation of (7.3) determines  $\gamma_{rqp}^\alpha \in \Gamma_p$  uniquely, provided it exists. Thus the 2-morphism  $\Lambda_{pqr} : \tilde{\Phi}_{qp} \circ \tilde{\Phi}_{rq} \Rightarrow \tilde{\Phi}_{rp}$  in (ii) is also determined uniquely.

**Definition 7.8.** Let  $\mathbf{X}$  be a FOOO Kuranishi space (possibly with corners). Then for each  $p \in X$ ,  $q \in \text{Im } \psi_p$  and  $v \in s_q^{-1}(0) \cap V_{qp}$ , we have an exact sequence (7.2). Taking top exterior powers in (7.2) yields an isomorphism

$$(\det T_v V_q) \otimes \det(E_p|_{\varphi_{qp}(v)}) \cong (\det E_q|_v) \otimes (T_{\varphi_{qp}(v)} V_p),$$

where  $\det W$  means  $\Lambda^{\dim W} W$ , or equivalently, a canonical isomorphism

$$(\det T^* V_p \otimes \det E_p)|_{\varphi_{qp}(v)} \cong (\det T^* V_q \otimes \det E_q)|_v. \quad (7.5)$$

Defining the isomorphism (7.5) requires a suitable sign convention. Sign conventions are discussed in Fukaya et al. [24, §8.2] and McDuff and Wehrheim [82, §8.1]. An *orientation* on  $\mathbf{X}$  is a choice of orientations on the line bundles

$$\det T^* V_p \otimes \det E_p|_{s_p^{-1}(0)} \longrightarrow s_p^{-1}(0)$$

for all  $p \in X$ , compatible with the isomorphisms (7.5). In §10.7 in volume II we will develop the analogue of these ideas for our (m- and  $\mu$ -)Kuranishi spaces.

**Definition 7.9.** Let  $\mathbf{X}$  be a FOOO Kuranishi space (possibly with corners), and  $Y$  a classical manifold. A *smooth map*  $\mathbf{f} : \mathbf{X} \rightarrow Y$  is  $\mathbf{f} = (f_p : p \in X)$  where  $f_p : V_p \rightarrow Y$  is a  $\Gamma_p$ -invariant smooth map for all  $p \in X$  (that is,  $f_p$  factors via  $V_p \rightarrow V_p/\Gamma_p \rightarrow Y$ ), and  $f_p \circ \varphi_{qp} = f_q|_{V_{qp}} : V_{qp} \rightarrow Y$  for all  $q \in \text{Im } \psi_p$ . This induces a unique continuous map  $f : X \rightarrow Y$  with  $f_p|_{s_p^{-1}(0)} = f \circ \psi_p$  for all  $p \in X$ . We call  $\mathbf{f}$  *weakly submersive* if each  $f_p$  is a submersion.

Suppose  $\mathbf{X}, \mathbf{X}'$  are FOOO Kuranishi spaces,  $Y$  is a classical manifold, and  $\mathbf{f} : \mathbf{X} \rightarrow Y, \mathbf{f}' : \mathbf{X}' \rightarrow Y$  are weakly submersive. Then as in [24, §A1.2] one can define a ‘fibre product’ Kuranishi space  $\mathbf{W} = \mathbf{X} \times_Y \mathbf{X}'$ , with topological space  $W = \{(p, p') \in X \times X' : f(p) = f'(p')\}$ , and FOOO Kuranishi neighbourhoods  $(V_{p,p'}, E_{p,p'}, \Gamma_{p,p'}, s_{p,p'}, \psi_{p,p'})$  for  $(p, p') \in W$ , where  $V_{p,p'} = V_p \times_{f_p, Y, f'_{p'}} V'_{p'}$ ,  $E_{p,p'} = \pi_{V_p}^*(E_p) \oplus \pi_{V'_{p'}}^*(E'_{p'})$ ,  $\Gamma_{p,p'} = \Gamma_p \times \Gamma'_{p'}$ ,  $s_{p,p'} = \pi_{V_p}^*(s_p) \oplus \pi_{V'_{p'}}^*(s'_{p'})$ , and  $\psi_{p,p'} = \psi_p \circ (\pi_{V_p})_* \times \psi'_{p'} \circ (\pi_{V'_{p'}})_*$ . The weakly submersive condition ensures  $V_{p,p'} = V_p \times_Y V'_{p'}$  is well-defined.

**Remark 7.10. (i)** Note that Fukaya et al. [19–39] *do not define morphisms between Kuranishi spaces*, but only morphisms  $\mathbf{f} : \mathbf{X} \rightarrow Y$  from Kuranishi spaces  $\mathbf{X}$  to classical manifolds  $Y$ . Thus, Kuranishi spaces in [19–39] *do not form a category*.

Observe however that Fukaya [19, §3, §5] (see also [35, §4.2]) works with a forgetful morphism  $\text{forget} : \mathcal{M}_{l,1}(\beta) \rightarrow \mathcal{M}_{l,0}(\beta)$ , which is clearly intended to be some kind of morphism of Kuranishi spaces, without defining the concept.

**(ii)** The ‘fibre product’  $\mathbf{X} \times_Y \mathbf{X}'$  in Definition 7.9 *is not a fibre product in the sense of category theory*, characterized by a universal property, since Fukaya et al. in [19–39] do not have a category (or higher category) of FOOO Kuranishi spaces in which to state such a universal property. Their ‘fibre product’ is really just an ad hoc construction. Chapter 11 in volume II will study w-transverse 2-category fibre products in our 2-categories of (m-)Kuranishi spaces  $\mathbf{m}\mathbf{Kur}, \mathbf{Kur}$ .

## 7.2 Fukaya–Oh–Ohta–Ono’s good coordinate systems

*Good coordinate systems* on Kuranishi spaces  $\mathbf{X}$  in the work of Fukaya, Oh, Ohta and Ono [19, 24, 26, 27, 30, 33, 35–37, 39] are an open cover of  $\mathbf{X}$  by FOOO Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  for  $i$  in a finite set  $I$ , with coordinate changes  $\Phi_{ij}$  for  $i, j \in I$ , satisfying extra conditions. They are a tool for constructing virtual cycles for Kuranishi spaces using the method of ‘perturbation by multisections’, and the extra conditions are included to make this virtual cycle construction work.

As with Kuranishi spaces, since its introduction in [39, Def. 6.1] the definition of good coordinate system has changed several times during the evolution of [19, 24, 26, 27, 30, 33, 35–37, 39], see in chronological order [39, Def. 6.1], [24, Lem. A1.11], [26, §15], and [30, §5]. Of these, [30, 39] work with Kuranishi neighbourhoods  $(\mathfrak{V}_i, \mathfrak{E}_i, s_i, \psi_i)$  where  $\mathfrak{V}_i$  is an orbifold (which we do not want to do), and [24, 26] with Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  with  $V_i$  a manifold.

The definition we give below is a hybrid of those in [24, 26, 30, 36]. Essentially our ‘FOOO weak good coordinate systems’ follow the definitions in [24, 26], and our ‘FOOO good coordinate systems’ include extra conditions adapted from [30, 36]. We show in Theorem 7.31 below that given a FOOO weak good coordinate system on  $X$ , we can make  $X$  into a Kuranishi space  $\mathbf{X}$  in the sense of §6.2.

**Definition 7.11.** Let  $X$  be a compact, metrizable topological space. A *FOOO weak good coordinate system*  $\mathcal{G} = ((I, \prec), (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i \prec j \text{ in } I)$  on  $X$  of virtual dimension  $n \in \mathbb{Z}$  consists of a finite indexing set  $I$ , a partial order  $\prec$  on  $I$ , FOOO Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  for  $i \in I$  with  $V_i$  a classical manifold,  $\dim V_i - \text{rank } E_i = n$ , and  $X = \bigcup_{i \in I} \text{Im } \psi_i$ , and FOOO coordinate changes  $\Phi_{ij} = (V_{ij}, h_{ij}, \varphi_{ij}, \hat{\varphi}_{ij})$  from  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  to  $(V_j, E_j, \Gamma_j, s_j, \psi_j)$  over  $S = \text{Im } \psi_i \cap \text{Im } \psi_j$  for all  $i, j \in I$  with  $i \prec j$  and  $\text{Im } \psi_i \cap \text{Im } \psi_j \neq \emptyset$ , satisfying the two conditions:

- (a) If  $i \neq j \in I$  with  $\text{Im } \psi_i \cap \text{Im } \psi_j \neq \emptyset$  then either  $i \prec j$  or  $j \prec i$ .
- (b) If  $i \prec j \prec k$  in  $I$  with  $\text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k \neq \emptyset$  then there exists  $\gamma_{ijk} \in \Gamma_k$  such that as in (7.3) we have

$$\begin{aligned} h_{jk} \circ h_{ij} &= \gamma_{ijk} \cdot h_{ik} \cdot \gamma_{ijk}^{-1}, & \varphi_{jk} \circ \varphi_{ij} &= \gamma_{ijk} \cdot \varphi_{ik}, \\ \text{and} & & \varphi_{ij}^*(\hat{\varphi}_{jk}) \circ \hat{\varphi}_{ij} &= \gamma_{ijk} \cdot \hat{\varphi}_{ik}, \end{aligned} \quad (7.6)$$

where the second and third equations hold on  $V_{ij} \cap V_{ik} \cap \varphi_{ij}^{-1}(V_{jk})$ . The  $\gamma_{ijk}$  are uniquely determined by (7.6) as in Example 7.7(iii).

If instead the  $V_i$  for  $i \in I$  are manifolds with corners, we call  $\mathcal{G}$  a *FOOO weak good coordinate system with corners*.

We call  $\mathcal{G}$  a *FOOO good coordinate system on  $X$  (with corners)* if it also satisfies the extra conditions:

- (c) If  $i \prec j$  in  $I$ ,  $\text{Im } \psi_i \cap \text{Im } \psi_j \neq \emptyset$  then  $\psi_i((V_{ij} \cap s_i^{-1}(0))/\Gamma_i) = \text{Im } \psi_i \cap \text{Im } \psi_j$ .
- (d) If  $i \prec j$  in  $I$  and  $\text{Im } \psi_i \cap \text{Im } \psi_j \neq \emptyset$  then  $\text{inc} \times \varphi_{ij} : V_{ij} \rightarrow V_i \times V_j$  is proper, where  $\text{inc} : V_{ij} \hookrightarrow V_i$  is the inclusion.
- (e) If  $i \prec j$ ,  $i \prec k$  in  $I$  for  $j \neq k$  and  $\text{Im } \psi_i \cap \text{Im } \psi_j \neq \emptyset \neq \text{Im } \psi_i \cap \text{Im } \psi_k$ ,  $V_{ij} \cap V_{ik} \neq \emptyset$ , then  $\text{Im } \psi_j \cap \text{Im } \psi_k \neq \emptyset$ , and **either**  $j \prec k$  and  $V_{ij} \cap V_{ik} = \varphi_{ij}^{-1}(V_{jk})$ , **or**  $k \prec j$  and  $V_{ij} \cap V_{ik} = \varphi_{ik}^{-1}(V_{kj})$ .
- (f) If  $i \prec k$ ,  $j \prec k$  in  $I$  for  $i \neq j$  and  $\text{Im } \psi_i \cap \text{Im } \psi_k \neq \emptyset \neq \text{Im } \psi_j \cap \text{Im } \psi_k$  and  $v_i \in V_{ik}$ ,  $v_j \in V_{jk}$ ,  $\delta \in \Gamma_k$  with  $\varphi_{jk}(v_j) = \delta \cdot \varphi_{ik}(v_i)$  in  $V_k$ , then  $\text{Im } \psi_i \cap \text{Im } \psi_j \neq \emptyset$  and **either**  $i \prec j$ ,  $v_i \in V_{ij}$ , and there exists  $\gamma \in \Gamma_j$  with  $h_{jk}(\gamma) = \delta \gamma_{ijk}$  and  $v_j = \gamma \cdot \varphi_{ij}(v_i)$ ; **or**  $j \prec i$ ,  $v_j \in V_{ji}$ , and there exists  $\gamma \in \Gamma_i$  with  $h_{ik}(\gamma) = \delta^{-1} \gamma_{jik}$  and  $v_i = \gamma \cdot \varphi_{ji}(v_j)$ , for  $\gamma_{ijk}, \gamma_{jik}$  as in (b).

As in [36], parts (c)–(f) are equivalent to:

- (g) Define a symmetric, reflexive binary relation  $\sim$  on  $\coprod_{i \in I} V_i/\Gamma_i$  by  $\Gamma_i v \sim \Gamma_j \varphi_{ij}(v_i)$  if  $i \prec j$ ,  $\text{Im } \psi_i \cap \text{Im } \psi_j \neq \emptyset$  and  $v \in V_{ij}$ . Then  $\sim$  is an equivalence relation, and  $(\coprod_{i \in I} V_i/\Gamma_i)/\sim$  with the quotient topology is Hausdorff.

Now let  $X, \mathcal{G}$  be as above (either weak or not), and  $Y$  be a classical manifold. As in Definition 7.9, a *smooth map*  $(f_i, i \in I)$  from  $(X, \mathcal{G})$  to  $Y$  is a  $\Gamma_i$ -invariant smooth map  $f_i : V_i \rightarrow Y$  for  $i \in I$ , with  $f_j \circ \varphi_{ij} = f_i|_{V_{ij}} : V_{ij} \rightarrow Y$  for all  $i \prec j$  in  $I$ . This induces a unique continuous map  $f : X \rightarrow Y$  with  $f_i|_{s_i^{-1}(0)} = f \circ \bar{\psi}_i$  for  $i \in I$ .

Using elementary topology, Fukaya, Oh, Ohta and Ono [36] prove:

**Theorem 7.12.** *Suppose  $\mathcal{G} = ((I, \prec), (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i \prec j \text{ in } I)$  is a FOOO weak good coordinate system on  $X$ . Then we can construct a FOOO good coordinate system  $\mathcal{G}' = ((I', \prec), (V'_i, E'_i, \Gamma'_i, s'_i, \psi'_i)_{i \in I}, \Phi'_{ij}, i \prec j \text{ in } I)$  on  $X$ , where  $I' \subseteq I$ ,  $V'_i \subseteq V_i$ ,  $V'_{ij} \subseteq V_{ij}$  are open,  $\Gamma'_i = \Gamma_i$ ,  $h'_{ij} = h_{ij}$ , and  $E'_i, s'_i, \psi'_i, \varphi'_{ij}, \hat{\varphi}'_{ij}$  are obtained from  $E_i, \dots, \hat{\varphi}_{ij}$  by restricting from  $V_i, V_{ij}$  to  $V'_i, V'_{ij}$ .*

In fact Fukaya et al. [36] work at the level of orbifolds  $V_i/\Gamma_i, V_{ij}/\Gamma_i$  rather than manifolds with finite group actions, but their result easily implies Theorem 7.12. The next definition is based on Fukaya et al. [30, Def. 7.2], but using  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  for  $V_i$  a manifold, rather than  $(\mathfrak{V}_i, \mathfrak{E}_i, \mathfrak{s}_i, \psi_i)$  for  $\mathfrak{V}_i$  an orbifold.

**Definition 7.13.** Let  $\mathbf{X} = (X, \mathcal{K})$  be a FOOO Kuranishi space. A FOOO (weak) good coordinate system  $\mathcal{G} = ((I, \prec), (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i \prec j \text{ in } I)$  on the topological space  $X$  is called *compatible with the FOOO Kuranishi structure  $\mathcal{K}$  on  $\mathbf{X}$*  if for each  $i \in I$  and each  $p \in \text{Im } \psi_i \subseteq X$  there exists a FOOO coordinate change  $\Phi_{pi}$  from  $(V_p, E_p, \Gamma_p, s_p, \psi_p)$  to  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  on an open neighbourhood  $S_{pi}$  of  $p$  in  $\text{Im } \psi_p \cap \text{Im } \psi_i$  (where  $(V_p, E_p, \Gamma_p, s_p, \psi_p)$  comes from  $\mathcal{K}$  and  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  from the good coordinate system) such that

(a) If  $q \in \text{Im } \psi_p \cap \text{Im } \psi_i$  then there exists  $\gamma_{qpi} \in \Gamma_i$  such that

$$\begin{aligned} h_{pi} \circ h_{qp} &= \gamma_{qpi} \cdot h_{qi} \cdot \gamma_{qpi}^{-1}, & \varphi_{pi} \circ \varphi_{qp} &= \gamma_{qpi} \cdot \varphi_{qi}, \\ \text{and} \quad \varphi_{qp}^*(\hat{\varphi}_{pi}) \circ \hat{\varphi}_{qp} &= \gamma_{qpi} \cdot \hat{\varphi}_{qi}, \end{aligned}$$

where the second and third equations hold on  $\varphi_{qp}^{-1}(V_{pi}) \cap V_{qp} \cap V_{qi}$ .

(b) If  $i \prec j$  in  $I$  with  $p \in \text{Im } \psi_i \cap \text{Im } \psi_j$  then there exists  $\gamma_{pij} \in \Gamma_j$  such that

$$\begin{aligned} h_{ij} \circ h_{pi} &= \gamma_{pij} \cdot h_{pj} \cdot \gamma_{pij}^{-1}, & \varphi_{ij} \circ \varphi_{pi} &= \gamma_{pij} \cdot \varphi_{pj}, \\ \text{and} \quad \varphi_{pi}^*(\hat{\varphi}_{ij}) \circ \hat{\varphi}_{pi} &= \gamma_{pij} \cdot \hat{\varphi}_{pj}, \end{aligned} \tag{7.7}$$

where the second and third equations hold on  $\varphi_{pi}^{-1}(V_{ij}) \cap V_{pi} \cap V_{pj}$ .

**Remark 7.14.** For the programme of [19–39], one would like to show:

- (i) Any (oriented) FOOO Kuranishi space  $\mathbf{X}$  (perhaps also with a smooth map  $\mathbf{f} : \mathbf{X} \rightarrow Y$  to a manifold  $Y$ ) admits a compatible (oriented) FOOO good coordinate system  $((I, \prec), (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i \prec j \text{ in } I)$  (perhaps also with a smooth map  $(f_i, i \in I)$  to  $Y$ ).



- (ii) Given a compact, metrizable topological space  $X$  with an oriented FOOO good coordinate system  $((I, \prec), (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i \prec j \text{ in } I)$  (perhaps with a smooth map  $(f_i, i \in I)$  to a classical manifold  $Y$ ), we can construct a *virtual cycle* for  $X$  (perhaps in the singular homology  $H_*(Y; \mathbb{Q})$  or de Rham cohomology  $H_{\text{dR}}^*(Y; \mathbb{R})$  of  $Y$ ).

Producing such virtual cycles is, from the point of view of symplectic geometry, the sole reason for defining and studying Kuranishi spaces.

Statements (i), for various definitions of ‘Kuranishi space’, ‘good coordinate system’, and ‘compatible’, can be found in [39, Lem. 6.3] (with short proof), [24, Lem. A1.11] (with no proof), and [30, §7] (with long proof). Constructions (ii), again for various definitions, can be found in [39, §6], [24, §A1.1], [27, §12] (using de Rham cohomology), and [30, §6] (with long proof).

### 7.3 McDuff–Wehrheim’s Kuranishi atlases

Next we discuss an approach to Kuranishi spaces developed by McDuff and Wehrheim [77, 78, 80–83]. Their main definition is that of a (*weak*) *Kuranishi atlas* on a topological space  $X$ . Here are [81, Def.s 2.2.2 & 2.2.8].

**Definition 7.15.** An *MW Kuranishi neighbourhood*  $(V, E, \Gamma, s, \psi)$  on a topological space  $X$  is the same as a FOOO Kuranishi neighbourhood in Definition 7.1, with  $V$  a classical manifold, except that  $\Gamma$  need not act effectively on  $V$ .

As in Example 7.2, by an abuse of notation we will regard MW Kuranishi neighbourhoods as examples of our Kuranishi neighbourhoods in §6.1.

**Definition 7.16.** Suppose  $(V_B, E_B, \Gamma_B, s_B, \psi_B), (V_C, E_C, \Gamma_C, s_C, \psi_C)$  are MW Kuranishi neighbourhoods on a topological space  $X$ , and  $S \subseteq \text{Im } \psi_B \cap \text{Im } \psi_C \subseteq X$  is open. We say a quadruple  $\Phi_{BC} = (\tilde{V}_{BC}, \rho_{BC}, \varpi_{BC}, \hat{\varphi}_{BC})$  is an *MW coordinate change from  $(V_B, E_B, \Gamma_B, s_B, \psi_B)$  to  $(V_C, E_C, \Gamma_C, s_C, \psi_C)$  over  $S$*  if:

- (a)  $\tilde{V}_{BC}$  is a  $\Gamma_C$ -invariant embedded submanifold of  $V_C$  containing  $\bar{\psi}_C^{-1}(S)$ .
- (b)  $\rho_{BC} : \Gamma_C \rightarrow \Gamma_B$  is a surjective group morphism, with kernel  $\Delta_{BC} \subseteq \Gamma_C$ .  
There should exist an isomorphism  $\Gamma_C \cong \Gamma_B \times \Delta_{BC}$  identifying  $\rho_{BC}$  with the projection  $\Gamma_B \times \Delta_{BC} \rightarrow \Gamma_B$ .
- (c)  $\varpi_{BC} : \tilde{V}_{BC} \rightarrow V_B$  is a  $\rho_{BC}$ -equivariant étale map, with image  $V_{BC} = \varpi_{BC}(\tilde{V}_{BC})$  a  $\Gamma_B$ -invariant open neighbourhood of  $\bar{\psi}_B^{-1}(S)$  in  $V_B$ , such that  $\varpi_{BC} : \tilde{V}_{BC} \rightarrow V_{BC}$  is a principal  $\Delta_{BC}$ -bundle.
- (d)  $\hat{\varphi}_{BC} : E_B \rightarrow E_C$  is an injective  $\Gamma_C$ -equivariant linear map, where the  $\Gamma_C$ -action on  $E_B$  is induced from the  $\Gamma_B$ -action by  $\rho_{BC}$ , so in particular  $\Delta_{BC}$  acts trivially on  $E_B$ .
- (e)  $\hat{\varphi}_{BC} \circ s_B \circ \varpi_{BC} = s_C|_{\tilde{V}_{BC}} : \tilde{V}_{BC} \rightarrow E_C$ .
- (f)  $\psi_B \circ (\varpi_{BC})_* = \psi_C$  on  $(s_C^{-1}(0) \cap \tilde{V}_{BC})/\Gamma_C$ .

(g) For each  $v \in \tilde{V}_{BC}$  we have a commutative diagram

$$\begin{array}{ccccccc}
0 & \longrightarrow & T_v \tilde{V}_{BC} & \xrightarrow{\quad \subset \quad} & T_v V_C & \longrightarrow & N_{BC}|_v \longrightarrow 0 \\
& & \downarrow d(\varpi_{BC}^*(s_B))|_v & & \downarrow ds_C|_v & & \downarrow d_{\text{fibre } s_C}|_v \\
0 & \longrightarrow & E_B & \xrightarrow{\quad \hat{\varphi}_{BC} \quad} & E_C & \longrightarrow & E_C / \hat{\varphi}_{BC}(E_B) \longrightarrow 0
\end{array} \tag{7.8}$$

with exact rows, where  $N_{BC}$  is the normal bundle of  $\tilde{V}_{BC}$  in  $V_C$ . We require the induced morphism  $d_{\text{fibre } s_C}|_v$  in (7.8) to be an isomorphism.

We relate MW coordinate changes to coordinate changes in §6.1:

**Example 7.17.** Let  $\Phi_{BC} = (\tilde{V}_{BC}, \rho_{BC}, \varpi_{BC}, \hat{\varphi}_{BC}) : (V_B, E_B, \Gamma_B, s_B, \psi_B) \rightarrow (V_C, E_C, \Gamma_C, s_C, \psi_C)$  be an MW coordinate change over  $S$ , as in Definition 7.16. Regard  $(V_B, E_B, \Gamma_B, s_B, \psi_B), (V_C, E_C, \Gamma_C, s_C, \psi_C)$  as Kuranishi neighbourhoods in the sense of §6.1, as in Example 7.2.

Set  $P_{BC} = \tilde{V}_{BC} \times \Gamma_B$ . Let  $\Gamma_B$  act on  $P_{BC}$  by  $\gamma_B : (v, \gamma) \mapsto (v, \gamma_B \gamma)$ . Let  $\Gamma_C$  act on  $P_{BC}$  by  $\gamma_C : (v, \gamma) \mapsto (\gamma_C \cdot v, \gamma \rho_{BC}(\gamma_C)^{-1})$ . Define  $\pi_{BC} : P_{BC} \rightarrow V_B$  and  $\phi_{BC} : P_{BC} \rightarrow V_C$  by  $\pi_{BC} : (v, \gamma) \mapsto \gamma \cdot \varpi_{BC}(v)$  and  $\phi_{BC} : (v, \gamma) \mapsto v$ . Then  $\pi_{BC}$  is  $\Gamma_B$ -equivariant and  $\Gamma_C$ -invariant, and  $\phi_{BC}$  is  $\Gamma_B$ -invariant and  $\Gamma_C$ -equivariant.

Define  $\hat{\varphi}_{BC} : \pi_{BC}^*(V_B \times E_B) \rightarrow \phi_{BC}^*(V_C \times E_C)$ , as a morphism of trivial vector bundles with fibres  $E_B, E_C$  on  $P_{BC} = \tilde{V}_{BC} \times \Gamma_B$ , by  $\hat{\varphi}_{BC}|_{\tilde{V}_{BC} \times \{\gamma\}} = \hat{\varphi}_{BC} \circ (\gamma^{-1} \cdot -)$  for each  $\gamma \in \Gamma_B$ . It is easy to see that  $\tilde{\Phi}_{BC} = (P_{BC}, \pi_{BC}, \phi_{BC}, \hat{\varphi}_{BC}) : (V_B, E_B, \Gamma_B, s_B, \psi_B) \rightarrow (V_C, E_C, \Gamma_C, s_C, \psi_C)$  is a 1-morphism over  $S$ , in the sense of §6.1. Combining Definition 7.16(g) and Theorem 6.12(a) shows that  $\tilde{\Phi}_{BC}$  is a coordinate change over  $S$ , in the sense of §6.1.

**Definition 7.18.** Let  $X$  be a compact, metrizable topological space. An MW weak Kuranishi atlas  $\mathcal{K} = (A, I, (V_B, E_B, \Gamma_B, s_B, \psi_B)_{B \in I}, \Phi_{BC}, B, C \in I, B \subsetneq C)$  on  $X$  of virtual dimension  $n \in \mathbb{Z}$ , as in [81, Def. 2.3.1], consists of a finite indexing set  $A$ , a set  $I$  of nonempty subsets of  $A$ , MW Kuranishi neighbourhoods  $(V_B, E_B, \Gamma_B, s_B, \psi_B)$  on  $X$  for all  $B \in I$  with  $\dim V_B - \text{rank } E_B = n$  and  $X = \bigcup_{B \in I} \text{Im } \psi_B$ , and MW coordinate changes  $\Phi_{BC} = (\tilde{V}_{BC}, \rho_{BC}, \varpi_{BC}, \hat{\varphi}_{BC})$  from  $(V_B, E_B, \Gamma_B, s_B, \psi_B)$  to  $(V_C, E_C, \Gamma_C, s_C, \psi_C)$  on  $S = \text{Im } \psi_B \cap \text{Im } \psi_C$  for all  $B, C \in I$  with  $B \subsetneq C$ , satisfying the four conditions:

- (a) We have  $\{a\} \in I$  for all  $a \in A$ , and  $I = \{\emptyset \neq B \subseteq A : \bigcap_{a \in B} \text{Im } \psi_{\{a\}} \neq \emptyset\}$ . Also  $\text{Im } \psi_B = \bigcap_{a \in B} \text{Im } \psi_{\{a\}}$  for all  $B \in I$ .
- (b) We have  $\Gamma_B = \prod_{a \in B} \Gamma_{\{a\}}$  for all  $B \in I$ . If  $B, C \in I$  with  $B \subsetneq C$  then  $\rho_{BC} : \Gamma_C \rightarrow \Gamma_B$  is the obvious projection  $\prod_{a \in C} \Gamma_{\{a\}} \rightarrow \prod_{a \in B} \Gamma_{\{a\}}$ , with kernel  $\Delta_{BC} \cong \prod_{a \in C \setminus B} \Gamma_{\{a\}}$ .
- (c) We have  $E_B = \prod_{a \in B} E_{\{a\}}$  for all  $B \in I$ , with the obvious representation of  $\Gamma_B = \prod_{a \in B} \Gamma_{\{a\}}$ . If  $B \subsetneq C$  in  $I$  then  $\hat{\varphi}_{BC} : E_B = \prod_{a \in B} E_{\{a\}} \rightarrow E_C = \prod_{a \in C} E_{\{a\}}$  is  $\text{id}_{E_{\{a\}}}$  for  $a \in B$ , and maps to zero in  $E_{\{a\}}$  for  $a \in C \setminus B$ .

- (d) If  $B, C, D \in I$  with  $B \subsetneq C \subsetneq D$  then  $\varpi_{BC} \circ \varpi_{CD} = \varpi_{BD}$  on  $\tilde{V}_{BCD} := \tilde{V}_{BD} \cap \varpi_{CD}^{-1}(\tilde{V}_{BC})$ . One can show using (b),(c) and Definition 7.16 that  $\tilde{V}_{BD}$  and  $\varpi_{CD}^{-1}(\tilde{V}_{BC})$  are both open subsets in  $s_D^{-1}(\hat{\varphi}_{BD}(E_B))$ , which is a submanifold of  $V_D$ , so  $\tilde{V}_{BCD}$  is a submanifold of  $V_D$ .

We call  $\mathcal{K} = (A, I, (V_B, E_B, \Gamma_B, s_B, \psi_B)_{B \in I}, \Phi_{BC}, B \subsetneq C)$  an *MW Kuranishi atlas on  $X$* , as in [81, Def. 2.3.1], if it also satisfies:

- (e) If  $B, C, D \in I$  with  $B \subsetneq C \subsetneq D$  then  $\varpi_{CD}^{-1}(\tilde{V}_{BC}) \subseteq \tilde{V}_{BD}$ .

McDuff and Wehrheim also define *orientations* on MW weak Kuranishi atlases, in a very similar way to Definition 7.8.

Two MW weak Kuranishi atlases  $\mathcal{K}, \mathcal{K}'$  on  $X$  are called *directly commensurate* if they are both contained in a third MW weak Kuranishi atlas  $\mathcal{K}''$ . They are called *commensurate* if there exist MW weak Kuranishi atlases  $\mathcal{K} = \mathcal{K}_0, \mathcal{K}_1, \dots, \mathcal{K}_m = \mathcal{K}'$  with  $\mathcal{K}_{i-1}, \mathcal{K}_i$  directly commensurate for  $i = 1, \dots, m$ . This is an equivalence relation on MW weak Kuranishi atlases on  $X$ .

We show in Theorem 7.33 below that given an MW weak Kuranishi atlas on  $X$ , we can make  $X$  into a Kuranishi space  $\mathbf{X}$  in the sense of Chapter 6.

McDuff and Wehrheim argue that their concept of MW weak Kuranishi atlas is a more natural, or more basic, idea than a FOOO Kuranishi space, since in analytic moduli problems such as  $J$ -holomorphic curve moduli spaces, one has to construct an MW weak Kuranishi atlas (or something close to it) first, and then define the FOOO Kuranishi structure using this.

When one constructs an MW weak Kuranishi atlas  $\mathcal{K}$  on a moduli space of  $J$ -holomorphic curves  $\bar{\mathcal{M}}$ , the construction involves many arbitrary choices, but McDuff and Wehrheim expect different choices  $\mathcal{K}, \mathcal{K}'$  to be commensurate. They prove this [82, Rem. 6.2.2] for their definition of MW weak Kuranishi atlases on moduli spaces of nonsingular genus zero Gromov–Witten curves in [82, §4.3].

We relate Definition 7.18(d) to 2-morphisms in §6.1:

**Example 7.19.** In the situation of Definition 7.18(d), let  $\tilde{\Phi}_{BC}, \tilde{\Phi}_{BD}, \tilde{\Phi}_{CD}$  be the coordinate changes in the sense of §6.1 associated to the MW coordinate changes  $\Phi_{BC}, \Phi_{BD}, \Phi_{CD}$  in Example 7.17. The composition coordinate change  $\tilde{\Phi}_{CD} \circ \tilde{\Phi}_{BC} = (P_{BCD}, \pi_{BCD}, \phi_{BCD}, \hat{\phi}_{BCD})$  from Definition 6.5 has

$$\begin{aligned} P_{BCD} &= [(\tilde{V}_{BC} \times \Gamma_B) \times_{V_C} (\tilde{V}_{CD} \times \Gamma_C)] / \Gamma_C \\ &\cong (\tilde{V}_{BC} \times_{V_C} \tilde{V}_{CD}) \times \Gamma_B \cong \varpi_{CD}^{-1}(\tilde{V}_{BC}) \times \Gamma_B. \end{aligned} \quad (7.9)$$

Define  $\hat{P}_{BCD}$  to be the open subset of  $P_{BCD}$  identified with  $\tilde{V}_{BCD} \times \Gamma_B$  by (7.9), and  $\lambda_{BCD}: \hat{P}_{BCD} \rightarrow P_{BD} = \tilde{V}_{BD} \times \Gamma_B$  to be the map identified by (7.9) with the inclusion  $\tilde{V}_{BCD} \times \Gamma_B \hookrightarrow \tilde{V}_{BD} \times \Gamma_B$ , and  $\hat{\lambda}_{BCD} = 0$ . Then as in Example 7.7(i), we can show that  $(\hat{P}_{BCD}, \lambda_{BCD}, \hat{\lambda}_{BCD})$  satisfies Definition 6.4(a)–(c), so we have defined a 2-morphism  $\Lambda_{BCD} = [\hat{P}_{BCD}, \lambda_{BCD}, \hat{\lambda}_{BCD}]: \tilde{\Phi}_{CD} \circ \tilde{\Phi}_{BC} \Rightarrow \tilde{\Phi}_{BD}$  on  $S_{BCD} = \text{Im } \psi_B \cap \text{Im } \psi_C \cap \text{Im } \psi_D$ , in the sense of §6.1.

McDuff and Wehrheim prove [82, Th. B], [81, Th. A]:

**Theorem 7.20.** *Let  $\mathcal{K} = (A, I, (V_B, E_B, \Gamma_B, s_B, \psi_B)_{B \in I}, \Phi_{BC}, B, C \in I, B \subsetneq C)$  be an oriented MW weak Kuranishi atlas of dimension  $n$  on a compact, metrizable topological space  $X$ . Then  $\mathcal{K}$  determines:*

- (a) *A **virtual moduli cycle**  $[X]_{\text{vmc}}$  in the cobordism group  $\Omega_n^{\text{SO}, \mathbb{Q}}$  of compact, oriented,  $n$ -dimensional ‘ $\mathbb{Q}$ -weighted manifolds’ in the sense of [81, §A].*
- (b) *A **virtual fundamental class**  $[X]_{\text{vfc}}$  in  $\check{H}_n(X; \mathbb{Q})$ , where  $\check{H}_*(-; \mathbb{Q})$  is Čech homology over  $\mathbb{Q}$ .*

*Any two commensurate MW weak Kuranishi atlases  $\mathcal{K}, \mathcal{K}'$  on  $X$  yield the same virtual moduli cycle and virtual fundamental class.*

*If  $\mathcal{K}$  has trivial isotropy (that is,  $\Gamma_B = \{1\}$  for all  $B \in I$ ) then we may instead take  $[X]_{\text{vmc}} \in \Omega_n^{\text{SO}}$ , where  $\Omega_n^{\text{SO}}$  is the usual oriented cobordism group, and  $[X]_{\text{vfc}} \in H_n^{\text{St}}(X; \mathbb{Z})$ , where  $H_n^{\text{St}}(-; \mathbb{Z})$  is Steenrod homology over  $\mathbb{Z}$ .*

In part (a), the author expects that  $\Omega_n^{\text{SO}, \mathbb{Q}} \cong \Omega_n^{\text{SO}} \otimes_{\mathbb{Z}} \mathbb{Q}$ , so that  $\Omega_n^{\text{SO}, \mathbb{Q}} \cong \mathbb{Q}[x_4, x_8, \dots]$  by results of Thom.

Theorem 7.20 is McDuff and Wehrheim’s solution to the issues discussed in Remark 7.14. As an intermediate step in the proof of Theorem 7.20, they pass to a Kuranishi atlas with better properties (a ‘reduction’ of a ‘tame, metrizable’ Kuranishi atlas), which is similar to a FOOO good coordinate system.

## 7.4 Dingyu Yang’s Kuranishi structures, and polyfolds

As part of a project to define a truncation functor from polyfolds to Kuranishi spaces, Dingyu Yang [110–112] writes down his own theory of Kuranishi spaces:

**Definition 7.21.** Let  $X$  be a compact, metrizable topological space. A *DY Kuranishi structure*  $\mathcal{K}$  on  $X$  is a FOOO Kuranishi structure in the sense of Definition 7.6, satisfying the additional conditions [111, Def. 1.11]:

- (a) the *maximality condition*, which is essentially Definition 7.11(e),(f), but replacing  $i \prec j$  by  $q \in \text{Im } \psi_p$ .
- (b) the *topological matching condition*, which is related to Definition 7.11(d), but replacing  $i \prec j$  by  $q \in \text{Im } \psi_p$ .

There are a few other small differences — for instance, Yang does not require the vector bundles  $E_p$  in  $(V_p, E_p, \Gamma_p, s_p, \psi_p)$  to be trivial.

We show in Theorem 7.35 below that given a DY Kuranishi structure  $\mathcal{K}$  on  $X$ , we can make  $X$  into a Kuranishi space  $\mathbf{X}$  in the sense of §6.2.

Yang also defines his own notion of DY good coordinate system [111, Def. 2.4], which is almost the same as a FOOO good coordinate system in §7.2.

One reason for these modifications is that it simplifies the passage from Kuranishi spaces to good coordinate systems, as in Remark 7.14(i): Yang shows

[111, Th. 2.10] that given any DY Kuranishi space  $\mathbf{X}$ , one can construct a DY good coordinate system  $((I, \prec), (V'_p, E'_p, \Gamma'_p, s'_p, \psi'_p)_{p \in I}, \Phi'_{qp}, q \prec p \text{ in } I)$  in which  $I \subseteq X$  is a finite subset,  $V'_p \subseteq V_p$  is a  $\Gamma_p$ -invariant open subset,  $\Gamma'_p = \Gamma_p$ , and  $E'_p, s'_p, \psi'_p$  are the restrictions of  $E_p, s_p, \psi_p$  to  $V'_p$  for each  $p \in I$ , and the coordinate changes  $\Phi'_{qp}$  for  $q \prec p$  are obtained either by restricting  $\Phi_{qp}$  to an open  $V'_{qp} \subseteq V_{qp}$  if  $q \in \text{Im } \psi_p$ , or in a more complicated way otherwise.

The next definition comes from Yang [110, §1.6], [111, §5], [112, §2.4].

**Definition 7.22.** Let  $\mathcal{K}, \mathcal{K}'$  be DY Kuranishi structures on a compact topological space  $X$ . An *embedding*  $\epsilon : \mathcal{K} \hookrightarrow \mathcal{K}'$  is a choice of FOOO coordinate change  $\epsilon_p : (V_p, E_p, \Gamma_p, s_p, \psi_p) \rightarrow (V'_p, E'_p, \Gamma'_p, s'_p, \psi'_p)$  with domain  $V_p$  for all  $p \in X$ , commuting with the FOOO coordinate changes  $\Phi_{qp}, \Phi'_{qp}$  in  $\mathcal{K}, \mathcal{K}'$  up to elements of  $\Gamma'_p$ . An embedding is a *chart refinement* if the  $\epsilon_p$  come from inclusions of  $\Gamma_p$ -invariant open sets  $V_p \hookrightarrow V'_p$ .

DY Kuranishi structures  $\mathcal{K}, \mathcal{K}'$  on  $X$  are called *R-equivalent* (or *equivalent*) if there is a diagram of DY Kuranishi structures on  $X$

$$\mathcal{K} \xleftarrow{\sim} \mathcal{K}_1 \implies \mathcal{K}_2 \longleftarrow \mathcal{K}_3 \xrightarrow{\sim} \mathcal{K}',$$

where arrows  $\implies$  are embeddings, and  $\xrightarrow{\sim}$  are chart refinements. Using facts about existence of good coordinate systems, Yang proves [110, Th. 1.6.17], [111, §11.2] that R-equivalence is an equivalence relation on DY Kuranishi structures.

Yang emphasizes the idea, which he calls *choice independence*, that when one constructs a (DY) Kuranishi structure  $\mathcal{K}$  on a moduli space  $\mathcal{M}$ , it should be independent of choices up to R-equivalence.

One major goal of Yang's work is to relate the Kuranishi space theory of Fukaya, Oh, Ohta and Ono [19–39] to the polyfold theory of Hofer, Wysocki and Zehnder [46–53]. Here is a very brief introduction to this:

- An *sc-Banach space*  $\mathcal{V}$  is a sequence  $\mathcal{V} = (\mathcal{V}_0 \supset \mathcal{V}_1 \supset \mathcal{V}_2 \supset \dots)$ , where the  $\mathcal{V}_i$  are Banach spaces, the inclusions  $\mathcal{V}_{i+1} \hookrightarrow \mathcal{V}_i$  are compact, bounded linear maps, and  $\mathcal{V}_\infty = \bigcap_{i \geq 0} \mathcal{V}_i$  is dense in every  $\mathcal{V}_i$ .

The *tangent space*  $T\mathcal{V}$  is  $T\mathcal{V} = (\mathcal{V}_1 \oplus \mathcal{V}_0 \supset \mathcal{V}_2 \oplus \mathcal{V}_1 \supset \dots)$ , an sc-Banach space. An *open set*  $\mathcal{Q}$  in  $\mathcal{V}$  is an open set  $\mathcal{Q} \subset \mathcal{V}_0$ , and we write  $\mathcal{Q}_i = \mathcal{Q} \cap \mathcal{V}_i$  for  $i \geq 0$ . Its *tangent space* is  $T\mathcal{Q} = \mathcal{Q}_1 \oplus \mathcal{V}_0$ , as an open set in  $T\mathcal{V}$ .

An example to bear in mind is if  $M$  is a compact manifold,  $E \rightarrow M$  a smooth vector bundle,  $\alpha \in (0, 1)$ , and  $\mathcal{V}_k = C^{k, \alpha}(E)$  for  $k = 0, 1, \dots$

- Let  $\mathcal{V} = (\mathcal{V}_0 \supset \mathcal{V}_1 \supset \dots)$ ,  $\mathcal{W} = (\mathcal{W}_0 \supset \mathcal{W}_1 \supset \dots)$  be sc-Banach spaces and  $\mathcal{Q} \subseteq \mathcal{V}$ ,  $\mathcal{R} \subseteq \mathcal{W}$  be open. A map  $f : \mathcal{Q} \rightarrow \mathcal{R}$  is called *sc<sup>0</sup>* if  $f(\mathcal{Q}_i) \subseteq \mathcal{R}_i$  and  $f|_{\mathcal{Q}_i} : \mathcal{Q}_i \rightarrow \mathcal{R}_i$  is a continuous map of Banach manifolds for all  $i \geq 0$ .

An sc<sup>0</sup> map  $f : \mathcal{Q} \rightarrow \mathcal{R}$  is called *sc<sup>1</sup>* if for each  $q \in \mathcal{Q}_1$  there exists a bounded linear map  $Df_q : \mathcal{V}_0 \rightarrow \mathcal{W}_0$ , such that  $f|_{\mathcal{Q}_1} : \mathcal{Q}_1 \rightarrow \mathcal{R}_0$  is a  $C^1$  map of Banach manifolds with  $\nabla f|_q = Df_q|_{\mathcal{V}_1} : \mathcal{V}_1 \rightarrow \mathcal{W}_0$  for all  $q \in \mathcal{Q}_1$ , and  $Tf : T\mathcal{Q} \rightarrow T\mathcal{R}$  mapping  $Tf : (q, v) \mapsto (f(q), Df_q(v))$  is an sc<sup>0</sup> map.

By induction on  $k$ , we call  $f : \mathcal{Q} \rightarrow \mathcal{R}$  an  $sc^k$  map for  $k = 2, 3, \dots$  if  $f$  is  $sc^1$  and  $Tf : T\mathcal{Q} \rightarrow T\mathcal{R}$  is an  $sc^{k-1}$  map. We call  $f : \mathcal{Q} \rightarrow \mathcal{R}$  *sc-smooth*, or  $sc^\infty$ , if it is  $sc^k$  for all  $k = 0, 1, \dots$ . This implies that  $f|_{\mathcal{Q}_{i+k}} : \mathcal{Q}_{i+k} \rightarrow \mathcal{R}_i$  is a  $C^k$ -map of Banach manifolds for all  $i, k \geq 0$ .

- Let  $\mathcal{V} = (\mathcal{V}_0 \supset \mathcal{V}_1 \supset \dots)$  be an sc-Banach space and  $\mathcal{Q} \subseteq \mathcal{V}$  be open. An *sc $^\infty$ -retraction* is an sc-smooth map  $r : \mathcal{Q} \rightarrow \mathcal{Q}$  with  $r \circ r = r$ . Set  $\mathcal{O} = \text{Im } r \subset \mathcal{V}$ . We call  $(\mathcal{O}, \mathcal{V})$  a *local sc-model*.

If  $\mathcal{V}$  is finite-dimensional then  $\mathcal{O}$  is just a smooth manifold. But in infinite dimensions, new phenomena occur, and the tangent spaces  $T_x\mathcal{O}$  can vary discontinuously with  $x \in \mathcal{O}$ . This is important for ‘gluing’.

- An *M-polyfold chart*  $(\mathcal{O}, \mathcal{V}, \psi)$  on a topological space  $Z$  is a local sc-model  $(\mathcal{O}, \mathcal{V})$  and a homeomorphism  $\psi : \mathcal{O} \rightarrow \text{Im } \psi$  with an open set  $\text{Im } \psi \subset Z$ .
- M-polyfold charts  $(\mathcal{O}, \mathcal{V}, \psi), (\tilde{\mathcal{O}}, \tilde{\mathcal{V}}, \tilde{\psi})$  on  $Z$  are *compatible* if  $\tilde{\psi}^{-1} \circ \psi \circ r : \mathcal{Q} \rightarrow \tilde{\mathcal{V}}$  and  $\psi^{-1} \circ \tilde{\psi} \circ \tilde{r} : \tilde{\mathcal{Q}} \rightarrow \mathcal{V}$  are sc-smooth, where  $\mathcal{Q} \subset \mathcal{V}, \tilde{\mathcal{Q}} \subset \tilde{\mathcal{V}}$  are open and  $r : \mathcal{Q} \rightarrow \mathcal{Q}, \tilde{r} : \tilde{\mathcal{Q}} \rightarrow \tilde{\mathcal{Q}}$  are sc-smooth with  $r \circ r = r, \tilde{r} \circ \tilde{r} = \tilde{r}$  and  $\text{Im } r = \psi^{-1}(\text{Im } \psi) \subseteq \mathcal{O}, \text{Im } \tilde{r} = \tilde{\psi}^{-1}(\text{Im } \tilde{\psi}) \subseteq \tilde{\mathcal{O}}$ .
- An *M-polyfold* is roughly a metrizable topological space  $Z$  with a maximal atlas of pairwise compatible M-polyfold charts.
- *Polyfolds* are the orbifold version of M-polyfolds, proper étale groupoids in M-polyfolds.
- A *polyfold Fredholm structure*  $\mathcal{P}$  on a metrizable topological space  $X$  writes  $X$  as the zeroes of an sc-Fredholm section  $\mathfrak{s} : \mathfrak{V} \rightarrow \mathfrak{E}$  of a strong polyfold vector bundle  $\mathfrak{E} \rightarrow \mathfrak{V}$  over a polyfold  $\mathfrak{V}$ .

This is all rather complicated. The motivation for local sc-models  $(\mathcal{O}, \mathcal{V})$  is that they can be used to describe functional-analytic problems involving ‘gluing’, ‘bubbling’, and ‘neck-stretching’, including moduli spaces of  $J$ -holomorphic curves with singularities of various kinds.

The polyfold programme [46–53] aims to show that moduli spaces of  $J$ -holomorphic curves in symplectic geometry may be given a polyfold Fredholm structure, and that compact spaces with oriented polyfold Fredholm structures have virtual chains and virtual classes. One can then use these virtual chains/classes to define big theories in symplectic geometry, such as Gromov–Witten invariants or Symplectic Field Theory. Constructing a polyfold Fredholm structure on a moduli space of  $J$ -holomorphic curves involves far fewer arbitrary choices than defining a Kuranishi structure. Fabert, Fish, Golovko and Wehrheim [17] survey the polyfold programme.

Yang proves [110, Th. 3.1.7] (see also [112, §2.6]):

**Theorem 7.23.** *Suppose we are given a ‘polyfold Fredholm structure’  $\mathcal{P}$  on a compact metrizable topological space  $X$ , that is, we write  $X$  as the zeroes of an sc-Fredholm section  $\mathfrak{s} : \mathfrak{V} \rightarrow \mathfrak{E}$  of a strong polyfold vector bundle  $\mathfrak{E} \rightarrow \mathfrak{V}$  over a polyfold  $\mathfrak{V}$ , where  $\mathfrak{s}$  has constant Fredholm index  $n \in \mathbb{Z}$ . Then we can construct a DY Kuranishi structure  $\mathcal{K}$  on  $X$ , of virtual dimension  $n$ , which is independent of choices up to  $R$ -equivalence.*

In the survey [112], Yang announces further results for which the proofs were not available at the time of writing. These include:

- (a) Yang defines ‘R-equivalence’ of polyfold Fredholm structures on  $X$  [112, Def. 2.14], and claims [112, §2.8] that Theorem 7.23 extends to a 1-1 correspondence between R-equivalence classes of polyfold Fredholm structures on  $X$ , and R-equivalence classes of DY Kuranishi structures  $\mathcal{K}$  on  $X$ .
- (b) In [112, §2.4], Yang claims that R-equivalence extends as an equivalence relation to FOOO Kuranishi structures, and every R-equivalence class of FOOO Kuranishi structures contains a DY Kuranishi structure. Hence the 1-1 correspondence in (a) also extends to a 1-1 correspondence with R-equivalence classes of FOOO Kuranishi structures.
- (c) Yang claims that virtual chains or virtual classes for polyfolds and for FOOO/DY Kuranishi spaces agree under (a),(b).
- (d) Yang says [112, p. 26, p. 46] that in future work he will make spaces with DY Kuranishi structures into a category  $\mathbf{Kur}_{\text{DY}}$ .

These results would enable a clean translation between the polyfold and Kuranishi approaches to symplectic geometry. It seems likely that in (d) there will be an equivalence of categories  $\mathbf{Kur}_{\text{DY}} \simeq \text{Ho}(\mathbf{Kur})$ , for  $\mathbf{Kur}$  as in §6.2.

## 7.5 Relating our Kuranishi spaces to previous definitions

We now show that all of the Kuranishi-type structures discussed in §7.1–§7.3 can be made into a Kuranishi space  $\mathbf{X}$  in our sense, uniquely up to equivalence in  $\mathbf{Kur}$  or  $\mathbf{Kur}^c$ . We do this by defining a notion of ‘fair coordinate system’  $\mathcal{F}$  on a topological space  $X$  in §7.5.1 which is so general that it includes all of the structures of §7.1–§7.3 as special cases, and proving that given  $X, \mathcal{F}$ , we can construct a Kuranishi structure  $\mathcal{K}$  on  $X$  uniquely up to equivalence.

In §7.5.1 we work over any category of ‘manifolds’  $\mathbf{Man}$  satisfying Assumptions 3.1–3.7, and then in §7.5.2–§7.5.5 we specialize to  $\mathbf{Man} = \mathbf{Man}$  or  $\mathbf{Man}^c$ , following our references [19–39, 77, 78, 80–83, 110–112].

Theorems 7.29, 7.31, 7.33, 7.35, and 7.36 below are important, as they show that the geometric structures on moduli spaces considered by Fukaya, Oh, Ohta and Ono [19–39], McDuff and Wehrheim [77, 78, 80–83], Yang [110–112], and Hofer, Wysocki and Zehnder [46–53], can all be transformed to Kuranishi spaces in our sense. Thus, large parts of the symplectic geometry literature can now be interpreted in our framework.

### 7.5.1 Fair coordinate systems and Kuranishi spaces

Our next definition is a kind of ‘least common denominator’ for the Kuranishi-type structures discussed in §7.1–§7.3. The name ‘fair coordinate system’ is intended to suggest something like the ‘good coordinate systems’ in §7.2, but not as strong. We work over a category  $\mathbf{Man}$  satisfying the assumptions of §3.1.

**Definition 7.24.** Let  $X$  be a Hausdorff, second countable topological space. A fair coordinate system  $\mathcal{F}$  on  $X$ , of virtual dimension  $n \in \mathbb{Z}$ , is data  $\mathcal{F} = (A, (V_a, E_a, \Gamma_a, s_a, \psi_a)_{a \in A}, S_{ab}, \Phi_{ab}, \Lambda_{abc}, S_{abc}, \lambda_{abc}, \hat{\lambda}_{abc})_{a, b, c \in A}$ , where:

- (a)  $A$  is an indexing set (not necessarily finite).
- (b)  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  is a Kuranishi neighbourhood on  $X$  for each  $a \in A$ , with  $\dim V_a - \text{rank } E_a = n$ , as in §6.1.
- (c)  $S_{ab} \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b$  is an open set for all  $a, b \in A$ . (We can have  $S_{ab} = \emptyset$ .)
- (d)  $\Phi_{ab} = (P_{ab}, \pi_{ab}, \phi_{ab}, \hat{\phi}_{ab}) : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  is a coordinate change over  $S_{ab}$ , for all  $a, b \in A$ , as in §6.1.
- (e)  $S_{abc} \subseteq S_{ab} \cap S_{ac} \cap S_{bc} \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$  is an open set for all  $a, b, c \in A$ . (We can have  $S_{abc} = \emptyset$ .)
- (f)  $\Lambda_{abc} = [\hat{P}_{abc}, \lambda_{abc}, \hat{\lambda}_{abc}] : \Phi_{bc} \circ \Phi_{ab} \Rightarrow \Phi_{ac}$  is a 2-morphism for all  $a, b, c \in A$ , defined over  $S_{abc}$ .
- (g)  $\bigcup_{a \in A} \text{Im } \psi_a = X$ .
- (h)  $S_{aa} = \text{Im } \psi_a$  and  $\Phi_{aa} = \text{id}_{(V_a, E_a, \Gamma_a, s_a, \psi_a)}$  for all  $a \in A$ .
- (i)  $S_{aab} = S_{abb} = S_{ab}$  and  $\Lambda_{aab} = \beta_{\Phi_{ab}}, \Lambda_{abb} = \gamma_{\Phi_{ab}}$  for all  $a, b \in A$ .
- (j) The following diagram of 2-morphisms over  $S_{abc} \cap S_{abd} \cap S_{acd} \cap S_{bcd}$  commutes for all  $a, b, c, d \in A$ :

$$\begin{array}{ccc}
(\Phi_{cd} \circ \Phi_{bc}) \circ \Phi_{ab} & \xrightarrow{\Lambda_{bcd} * \text{id}_{\Phi_{ab}}} & \Phi_{bd} \circ \Phi_{ab} \\
\downarrow \alpha_{\Phi_{cd}, \Phi_{bc}, \Phi_{ab}} & & \downarrow \Lambda_{abd} \\
\Phi_{cd} \circ (\Phi_{bc} \circ \Phi_{ab}) & \xrightarrow{\text{id}_{\Phi_{cd}} * \Lambda_{abc}} \Phi_{cd} \circ \Phi_{ac} \xrightarrow{\Lambda_{acd}} & \Phi_{ad}
\end{array}$$

Also, either condition (k) or condition (k)' below hold, or both, where:

- (k) Suppose  $B \subseteq A$  is finite and nonempty, and  $x \in \bigcap_{b \in B} \text{Im } \psi_b \subseteq X$ . Then there exists  $a \in A$  such that  $x \in S_{ab}$  for all  $b \in B$ , and if  $b, c \in B$  with  $x \in S_{bc}$  then  $x \in S_{abc}$ .
- (k)' Suppose  $B \subseteq A$  is finite and nonempty, and  $x \in \bigcap_{b \in B} \text{Im } \psi_b \subseteq X$ . Then there exists  $d \in A$  such that  $x \in S_{bd}$  for all  $b \in B$ , and if  $b, c \in B$  with  $x \in S_{bc}$  then  $x \in S_{bcd}$ .

Here (k), (k)' are somewhat arbitrary. What we are trying to achieve by these conditions on the  $S_{ab}, S_{abc}$  is roughly that:

- (A) If  $x \in \text{Im } \psi_b \cap \text{Im } \psi_c$ , one can map  $(V_b, E_b, \Gamma_b, s_b, \psi_b) \rightarrow (V_c, E_c, \Gamma_c, s_c, \psi_c)$  near  $x$  by a finite chain of coordinate changes  $\Phi_{ij}$  and their (quasi)inverses  $\Phi_{ji}^{-1}$  — for (k) by  $\Phi_{ac} \circ \Phi_{ab}^{-1}$ , and for (k)' by  $\Phi_{cd}^{-1} \circ \Phi_{bd}$ .
- (B) Any two such chains of  $\Phi_{ij}, \Phi_{ji}^{-1}$  near  $x$  are canonically 2-isomorphic near  $x$  using combinations of the 2-isomorphisms  $\Lambda_{ijk}$  and their inverses.



We chose (k),(k)' as they hold in our examples, and there is a nice method to prove Theorem 7.26 using (k) or (k)'.

**Example 7.25.** Let  $\mathbf{X} = (X, \mathcal{K})$  be a Kuranishi space in the sense of §6.2, with  $\mathcal{K} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ . Set  $S_{ij} = \text{Im } \psi_i \cap \text{Im } \psi_j$  for all  $i, j \in I$ , and  $S_{ijk} = \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$  for all  $i, j, k \in I$ . Then  $\mathcal{F} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, S_{ij}, \Phi_{ij}, i, j \in I, S_{ijk}, \Lambda_{ijk}, i, j, k \in I)$  is a fair coordinate system on  $X$ . Here Definition 7.24(a)–(j) are immediate from Definition 6.17(a)–(h), and both of Definition 7.24(k),(k)' hold, where we can take  $a \in B$  arbitrary in (k) and  $d \in B$  arbitrary in (k)'.

The next theorem will be proved in §7.6. When we say  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  ‘may be given the structure of a Kuranishi neighbourhood on the Kuranishi space  $\mathbf{X}$ ’, we mean that as in §6.4, we can choose implicit extra data  $\Phi_{ai}, i \in I, \Lambda_{aij}, i, j \in I$  relating  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  to the Kuranishi structure  $\mathcal{K}$  on  $X$ , and similarly, by ‘ $\Phi_{ab}$  may be given the structure of a coordinate change over  $S_{ab}$  on the Kuranishi space  $\mathbf{X}$ ’, we mean that we can choose implicit extra data  $\Lambda_{abi}, i \in I$  relating  $\Phi_{ab}$  to  $\mathcal{K}$ .

**Theorem 7.26.** *Suppose  $\mathcal{F} = (A, (V_a, E_a, \Gamma_a, s_a, \psi_a)_{a \in A}, S_{ab}, \Phi_{ab}, a, b \in A, S_{abc}, \Lambda_{abc}, a, b, c \in A)$  is a fair coordinate system of virtual dimension  $n \in \mathbb{Z}$  on a Hausdorff, second countable topological space  $X$ , in the sense of Definition 7.24. Then we may make  $X$  into a Kuranishi space  $\mathbf{X} = (X, \mathcal{K})$  in the sense of §6.2 with  $\text{vdim } \mathbf{X} = n$ , such that  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  may be given the structure of a Kuranishi neighbourhood on the Kuranishi space  $\mathbf{X}$  in the sense of §6.4 for all  $a \in A$ , and  $\Phi_{ab} : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  may be given the structure of a coordinate change over  $S_{ab}$  on the Kuranishi space  $\mathbf{X}$  in the sense of §6.4 for all  $a, b \in A$ , and  $\Lambda_{abc} : \Phi_{bc} \circ \Phi_{ab} \Rightarrow \Phi_{ac}$  is the unique 2-morphism over  $S_{abc}$  given by Theorem 6.45(a) for all  $a, b, c \in A$ . This  $\mathbf{X}$  is unique up to canonical equivalence in the 2-category  $\check{\mathbf{K}}\text{ur}$ , as in Definition A.7.*

The next proposition follows easily from Corollary 6.48 and Theorem 7.26.

**Proposition 7.27.** *Let  $\mathcal{F} = (A, (V_a, E_a, \Gamma_a, s_a, \psi_a)_{a \in A}, S_{ab}, \Phi_{ab}, a, b \in A, S_{abc}, \Lambda_{abc}, a, b, c \in A)$  be a fair coordinate system on  $X$ . Suppose  $\tilde{A} \subseteq A$  with  $\bigcup_{a \in \tilde{A}} \text{Im } \psi_a = X$ , and in Definition 7.24(k),(k)', if  $B \subseteq \tilde{A} \subseteq A$  then we can choose  $a \in \tilde{A}$  in (k) and  $d \in \tilde{A}$  in (k)'. Then  $\tilde{\mathcal{F}} = (\tilde{A}, (V_a, E_a, \Gamma_a, s_a, \psi_a)_{a \in \tilde{A}}, S_{ab}, \Phi_{ab}, a, b \in \tilde{A}, S_{abc}, \Lambda_{abc}, a, b, c \in \tilde{A})$  is also a fair coordinate system on  $X$ . Let  $\mathbf{X} = (X, \mathcal{K})$  and  $\tilde{\mathbf{X}} = (X, \tilde{\mathcal{K}})$  be the Kuranishi spaces constructed from  $\mathcal{F}, \tilde{\mathcal{F}}$  in Theorem 7.26. Then  $\mathbf{X}, \tilde{\mathbf{X}}$  are canonically equivalent in  $\check{\mathbf{K}}\text{ur}$ , as in Definition A.7.*

## 7.5.2 Fukaya–Oh–Ohta–Ono’s Kuranishi spaces

Section 7.1 defined Fukaya–Oh–Ohta–Ono’s ‘FOOO Kuranishi spaces’ (working over  $\mathbf{Man} = \mathbf{Man}$ ) and ‘FOOO Kuranishi spaces with corners’ (over  $\mathbf{Man} = \mathbf{Man}^c$ ). We now relate these to our notion of Kuranishi spaces.

**Example 7.28.** Let  $\mathbf{X} = (X, \mathcal{K})$  be a FOOO Kuranishi space with  $\text{vdim } \mathbf{X} = n$ , in the sense of Definition 7.6. Then  $\mathcal{K}$  gives a FOOO Kuranishi neighbourhood  $(V_p, E_p, \Gamma_p, s_p, \psi_p)$  for each  $p \in X$ , and for all  $p, q \in X$  with  $q \in \text{Im } \psi_p$  it gives a FOOO coordinate change  $\Phi_{qp} = (V_{qp}, h_{qp}, \varphi_{qp}, \hat{\varphi}_{qp}) : (V_q, E_q, \Gamma_q, s_q, \psi_q) \rightarrow (V_p, E_p, \Gamma_p, s_p, \psi_p)$  defined on an open neighbourhood  $S_{qp}$  of  $q$  in  $\text{Im } \psi_q \cap \text{Im } \psi_p$ , and for all  $p, q, r \in X$  with  $q \in \text{Im } \psi_p$  and  $r \in S_{qp}$ , Definition 7.6(b) gives unique group elements  $\gamma_{rqp}^\alpha \in \Gamma_p$  which relate  $\Phi_{qp} \circ \Phi_{rq}$  to  $\Phi_{rp}$  on  $S_{rqp} := S_{qp} \cap S_{rp} \cap S_{rq}$ .

We will define a fair coordinate system  $\mathcal{F}$  on  $X$ , over  $\mathbf{Man} = \mathbf{Man}$ . Take the indexing set  $A$  to be  $A = X$ , and for each  $p \in A$ , let the Kuranishi neighbourhood  $(V_p, E_p, \Gamma_p, s_p, \psi_p)$  be as in  $\mathcal{K}$ , regarded as a Kuranishi neighbourhood in the sense of §6.1 as in Example 7.2. If  $p \neq q \in A$  with  $q \in \text{Im } \psi_p$ , define  $S_{qp} \subseteq \text{Im } \psi_q \cap \text{Im } \psi_p$  to be the domain of the FOOO coordinate change  $\Phi_{qp}$  in  $\mathcal{K}$ . Define  $\tilde{\Phi}_{qp} : (V_q, E_q, \Gamma_q, s_q, \psi_q) \rightarrow (V_p, E_p, \Gamma_p, s_p, \psi_p)$  to be the coordinate change over  $S_{qp}$  in the sense of §6.1 associated to the FOOO coordinate change  $\Phi_{qp}$  in Example 7.5. Define  $S_{pp} = \text{Im } \psi_p$  and  $\tilde{\Phi}_{pp} = \text{id}_{(V_p, E_p, \Gamma_p, s_p, \psi_p)}$  for all  $p \in A$ . If  $p \neq q \in A$  and  $q \notin \text{Im } \psi_p$ , define  $S_{qp} = \emptyset$  and  $\tilde{\Phi}_{qp} = (\emptyset, \emptyset, \emptyset, \emptyset)$ .

If  $p \neq q \neq r \in A$  with  $q \in \text{Im } \psi_p$  and  $r \in S_{qp}$ , set  $S_{rqp} = S_{qp} \cap S_{rp} \cap S_{rq}$ , and define  $\Lambda_{rqp} : \tilde{\Phi}_{qp} \circ \tilde{\Phi}_{rq} \Rightarrow \tilde{\Phi}_{rp}$  to be the 2-morphism over  $S_{rqp}$  defined in Example 7.7(ii) using the group elements  $\gamma_{rqp}^\alpha \in \Gamma_p$  in Definition 7.6(b). If  $p \neq q \neq r \in A$  with  $q \notin \text{Im } \psi_p$  or  $r \notin S_{qp}$ , define  $S_{rqp} = \emptyset$  and  $\Lambda_{rqp} = [\emptyset, \emptyset, \emptyset]$ . Define  $S_{qpp} = S_{qpp} = S_{qp}$  and  $\Lambda_{qpp} = \beta_{\tilde{\Phi}_{qp}}$ ,  $\Lambda_{qpp} = \gamma_{\tilde{\Phi}_{qp}}$  for all  $p, q \in A$ . This defines all the data in  $\mathcal{F} = (A, (V_p, E_p, \Gamma_p, s_p, \psi_p)_{p \in A}, S_{qp}, \tilde{\Phi}_{qp}, \Lambda_{rqp}, S_{rqp}, \Lambda_{rqp}, r, q, p \in A)$ . We will show  $\mathcal{F}$  satisfies Definition 7.24(a)–(k).

Parts (a)–(i) are immediate. For (j), if  $p \neq q \neq r \neq s \in X$  with  $q \in \text{Im } \psi_p$  and  $r \in S_{qp}$  and  $s \in S_{rq} \cap S_{rp}$  then Definition 7.6(b) gives elements  $\gamma_{rqp}^\alpha, \gamma_{sqp}^{\alpha'}, \gamma_{srp}^{\alpha''} \in \Gamma_p$  and  $\gamma_{srq}^{\alpha'''} \in \Gamma_q$  satisfying (7.3). Using (7.3) four times we see that

$$\gamma_{rqp}^\alpha \gamma_{srp}^{\alpha''} \cdot \varphi_{sp} = \varphi_{qp} \circ \varphi_{rq} \circ \varphi_{sr} = h_{qp}(\gamma_{srq}^{\alpha'''}) \gamma_{sqp}^{\alpha''} \cdot \varphi_{sp}, \quad (7.10)$$

where (7.10) holds on the domain

$$\begin{aligned} & \varphi_{sr}^{-1}((\varphi_{rq}^{-1}(V_{qp}) \cap V_{rq} \cap V_{rp})^\alpha) \cap (\varphi_{sq}^{-1}(V_{qp}) \cap V_{sq} \cap V_{sp})^{\alpha'} \cap \\ & (\varphi_{sr}^{-1}(V_{rp}) \cap V_{sr} \cap V_{sp})^{\alpha''} \cap (\varphi_{sr}^{-1}(V_{rq}) \cap V_{sr} \cap V_{sq})^{\alpha'''} . \end{aligned} \quad (7.11)$$

If (7.11) is nonempty, the argument of Example 7.7(iii) implies that  $\gamma_{rqp}^\alpha \gamma_{srp}^{\alpha''} = h_{qp}(\gamma_{srq}^{\alpha'''}) \gamma_{sqp}^{\alpha''}$ . This is the condition required to verify  $\Lambda_{srp} \odot (\Lambda_{rqp} * \text{id}_{\tilde{\Phi}_{sr}}) = \Lambda_{sqp} \odot (\text{id}_{\tilde{\Phi}_{qp}} * \Lambda_{srq}) \odot \alpha_{\tilde{\Phi}_{qp}, \tilde{\Phi}_{rq}, \tilde{\Phi}_{sr}}$  on the component of  $S_{srq} \cap S_{srp} \cap S_{sqp} \cap S_{rqp}$  corresponding to the connected components  $\alpha, \alpha', \alpha'', \alpha'''$ .

This proves Definition 7.24(j) in this case. If  $p = q$  then (j) becomes

$$\begin{aligned} \Lambda_{srq} \odot (\gamma_{\tilde{\Phi}_{rq}} * \text{id}_{\tilde{\Phi}_{sr}}) &= \gamma_{\tilde{\Phi}_{sq}} \odot (\text{id}_{\text{id}_{(V_q, E_q, \Gamma_q, s_q, \psi_q)}} * \Lambda_{srq}) \\ &\quad \odot \alpha_{\text{id}_{(V_q, E_q, \Gamma_q, s_q, \psi_q)}, \tilde{\Phi}_{rq}, \tilde{\Phi}_{sr}}, \end{aligned} \quad (7.12)$$

which holds trivially, and the cases  $q = r$ ,  $r = s$  are similar. In the remaining cases one of  $S_{srq}, S_{srp}, S_{sqp}, S_{rqp}$  is empty, so (j) is vacuous. Thus (j) holds.

For (k), suppose  $B \subseteq A$  is finite and nonempty, and  $x \in \bigcap_{p \in B} \text{Im } \psi_p \subseteq X$ . Then  $x \in S_{xp}$  for all  $p \in B$ , since  $S_{xp}$  is an open neighbourhood of  $x$  in  $\text{Im } \psi_x \cap \text{Im } \psi_p$ , and  $x \in S_{xqp}$  for all  $q, p \in B$  with  $x \in S_{qp}$ , since  $S_{xqp} = S_{qp} \cap S_{xp} \cap S_{xq}$  in this case and  $x \in S_{xp}$ ,  $x \in S_{xq}$ . Thus (k) holds with  $a = x$ , and  $\mathcal{F}$  is a fair coordinate system on  $X$ , over  $\mathbf{Man} = \mathbf{Man}$ .

If instead  $\mathbf{X}$  is a FOOO Kuranishi space with corners, the same construction gives a fair coordinate system  $\mathcal{F}$  on  $X$  over  $\mathbf{Man} = \mathbf{Man}^c$ .

Combining Example 7.28 and Theorem 7.26 yields:

**Theorem 7.29.** *Suppose  $\mathbf{X} = (X, \mathcal{K})$  is a FOOO Kuranishi space, as in Definition 7.6. Then we can construct a Kuranishi space  $\mathbf{X}' = (X, \mathcal{K}')$  over  $\mathbf{Man} = \mathbf{Man}$  in the sense of §6.2 with  $\text{vdim } \mathbf{X}' = \text{vdim } \mathbf{X}$ , with the same topological space  $X$ , and  $\mathbf{X}'$  is unique up to canonical equivalence in  $\mathbf{Kur}$ .*

*If instead  $\mathbf{X}$  is a FOOO Kuranishi space with corners, the same holds over  $\mathbf{Man} = \mathbf{Man}^c$ , so that  $\mathbf{X}'$  is unique up to canonical equivalence in  $\mathbf{Kur}^c$ .*

One can also show that geometric data and constructions for FOOO Kuranishi spaces  $\mathbf{X}$  such as orientations in Definition 7.8, smooth maps  $f : \mathbf{X} \rightarrow Y$  to a manifold  $Y$  and ‘fibre products’  $\mathbf{X} \times_Y \mathbf{X}'$  in Definition 7.9, and boundaries  $\partial \mathbf{X}$  of FOOO Kuranishi spaces with corners  $\mathbf{X}$  in [24, Def. A1.30], can be mapped to the corresponding notions in our theory.

### 7.5.3 Fukaya–Oh–Ohta–Ono’s (weak) good coordinate systems

Section 7.2 discussed Fukaya–Oh–Ohta–Ono’s ‘FOOO (weak) good coordinate systems (with corners)’. We relate these to our Kuranishi spaces.

**Example 7.30.** Let  $\mathcal{G} = ((I, \prec), (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij, i \prec j})$  be a FOOO weak good coordinate system of virtual dimension  $n \in \mathbb{Z}$  on a compact, metrizable topological space  $X$ , in the sense of Definition 7.11.

We will define a fair coordinate system  $\mathcal{F}$  on  $X$  over  $\mathbf{Man} = \mathbf{Man}$ . Take the indexing set  $A$  to be  $I$ , and the Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  for  $i \in I$  to be as given. If  $i \neq j \in I$  with  $i \prec j$ , define  $S_{ij} = \text{Im } \psi_i \cap \text{Im } \psi_j$ , and  $\tilde{\Phi}_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  to be the coordinate change over  $S_{ij}$  in the sense of §6.1 associated to the FOOO coordinate change  $\Phi_{ij}$  in Example 7.5. Define  $S_{ii} = \text{Im } \psi_i$  and  $\tilde{\Phi}_{ii} = \text{id}_{(V_i, E_i, \Gamma_i, s_i, \psi_i)}$  for all  $i \in I$ . If  $i \neq j \in I$  and  $i \not\prec j$ , define  $S_{ij} = \emptyset$  and  $\tilde{\Phi}_{ij} = (\emptyset, \emptyset, \emptyset, \emptyset)$ .

If  $i \neq j \neq k \in I$  with  $i \prec j \prec k$ , set  $S_{ijk} = \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$ , and define  $\Lambda_{ijk} : \tilde{\Phi}_{jk} \circ \tilde{\Phi}_{ij} \Rightarrow \tilde{\Phi}_{ik}$  to be the 2-morphism over  $S_{ijk}$  defined in Example 7.7(ii) using the unique group element  $\gamma_{ijk} \in \Gamma_k$  in Definition 7.11(b). If  $i \neq j \neq k \in I$  with  $i \not\prec j$  or  $j \not\prec k$ , define  $S_{ijk} = \emptyset$  and  $\Lambda_{ijk} = [\emptyset, \emptyset, \emptyset]$ . Set  $S_{iij} = S_{ijj} = \text{Im } \psi_i \cap \text{Im } \psi_j$  and  $\Lambda_{iij} = \beta_{\tilde{\Phi}_{ij}}$ ,  $\Lambda_{ijj} = \gamma_{\tilde{\Phi}_{ij}}$  for all  $i, j \in I$ . This defines all the data in  $\mathcal{F} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, S_{ij}, \tilde{\Phi}_{ij, i, j \in I}, S_{ijk}, \Lambda_{ijk, i, j, k \in I})$ . We shall show  $\mathcal{F}$  satisfies Definition 7.24(a)–(k).

Parts (a)–(i) are immediate. For (j), if  $i \neq j \neq k \neq l$  in  $I$  with  $i \prec j \prec k \prec l$  and  $\text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k \cap \text{Im } \psi_l \neq \emptyset$  then the argument of (7.10)–(7.11) shows that  $\gamma_{jkl}\gamma_{ijl} = h_{kl}(\gamma_{ijk})\gamma_{ikl}$ , and so  $\Lambda_{ijl} \odot (\Lambda_{jkl} * \text{id}_{\tilde{\Phi}_{ij}}) = \Lambda_{ikl} \odot (\text{id}_{\tilde{\Phi}_{kl}} * \Lambda_{ijk}) \odot \alpha_{\tilde{\Phi}_{kl}, \tilde{\Phi}_{jk}, \tilde{\Phi}_{ij}}$  as we want. The cases  $i = j$ ,  $j = k$ ,  $k = l$  hold as for (7.12), and in the remaining cases one of  $S_{ijk}, S_{ijl}, S_{ikl}, S_{jkl}$  is empty, so (j) is vacuous. Thus (j) holds.

For (k) or (k)', suppose  $\emptyset \neq B \subseteq I$  is finite and  $x \in \bigcap_{b \in B} \text{Im } \psi_b$ . Then for all  $b \neq c \in B$  we have  $x \in \text{Im } \psi_b \cap \text{Im } \psi_c \neq \emptyset$ , so  $b \prec c$  or  $c \prec b$  by Definition 7.11(a). Thus the partial order  $\prec$  restricted to  $B$  is a total order, and we may uniquely write  $B = \{b_1, b_2, \dots, b_m\}$  with  $b_1 \prec b_2 \prec \dots \prec b_m$ . It is now easy to check that (k) holds with  $a = b_1$ , and also (k)' holds with  $d = b_m$ . Therefore  $\mathcal{F}$  is a fair coordinate system on  $X$  over  $\dot{\mathbf{Man}} = \mathbf{Man}$ .

If instead  $\mathcal{G}$  is a FOOO weak good coordinate system with corners, the same construction gives a fair coordinate system  $\mathcal{F}$  on  $X$  over  $\dot{\mathbf{Man}} = \mathbf{Man}^c$ .

Combining Example 7.30 and Theorem 7.26 yields:

**Theorem 7.31.** *Suppose  $X$  is a compact, metrizable topological space with a FOOO weak good coordinate system  $\mathcal{G} = ((I, \prec), (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij, i \prec j})$ , of virtual dimension  $n \in \mathbb{Z}$ , in the sense of Definition 7.11. Then we can make  $X$  into a Kuranishi space  $\mathbf{X} = (X, \mathcal{K})$  over  $\dot{\mathbf{Man}} = \mathbf{Man}$  in the sense of §6.2 with  $\text{vdim } \mathbf{X} = n$ , and  $\mathbf{X}$  is unique up to canonical equivalence in  $\mathbf{Kur}$ .*

*If instead  $\mathcal{G}$  is a FOOO weak good coordinate system with corners, the same holds over  $\dot{\mathbf{Man}} = \mathbf{Man}^c$ , so that  $\mathbf{X}$  is an object in  $\mathbf{Kur}^c$ .*

#### 7.5.4 McDuff–Wehrheim’s (weak) Kuranishi atlases

Section 7.3 discussed McDuff–Wehrheim’s ‘MW (weak) Kuranishi atlases’, working over  $\dot{\mathbf{Man}} = \mathbf{Man}$ . We relate these to our Kuranishi spaces.

**Example 7.32.** Let  $(A, I, (V_B, E_B, \Gamma_B, s_B, \psi_B)_{B \in I}, \Phi_{BC, B, C \in I, B \subsetneq C})$  be an MW weak Kuranishi atlas of virtual dimension  $n \in \mathbb{Z}$  on a compact, metrizable topological space  $X$ , in the sense of Definition 7.18.

We will define a fair coordinate system  $\mathcal{F}$  on  $X$  over  $\dot{\mathbf{Man}} = \mathbf{Man}$ . Take the indexing set to be  $I$ , and the Kuranishi neighbourhoods  $(V_B, E_B, \Gamma_B, s_B, \psi_B)$  for  $B \in I$  to be as given. If  $B, C \in I$  with  $B \subsetneq C$ , define  $S_{BC} = \text{Im } \psi_B \cap \text{Im } \psi_C$ , and  $\tilde{\Phi}_{BC} : (V_B, E_B, \Gamma_B, s_B, \psi_B) \rightarrow (V_C, E_C, \Gamma_C, s_C, \psi_C)$  to be the coordinate change over  $S_{BC}$  in the sense of §6.1 associated to the MW coordinate change  $\Phi_{BC}$  in Example 7.17. Define  $S_{BB} = \text{Im } \psi_B$  and  $\tilde{\Phi}_{BB} = \text{id}_{(V_B, E_B, \Gamma_B, s_B, \psi_B)}$  for all  $B \in I$ . If  $B \not\subseteq C$  in  $I$ , define  $S_{BC} = \emptyset$  and  $\tilde{\Phi}_{BC} = (\emptyset, \emptyset, \emptyset)$ .

If  $B \subsetneq C \subsetneq D$  in  $I$  then Definition 7.18(b)–(d) say essentially that  $\Phi_{CD} \circ \Phi_{BC} = \Phi_{BD}$  on the intersection of their domains. Example 7.19 defines a canonical 2-isomorphism  $\Lambda_{BCD} : \tilde{\Phi}_{CD} \circ \tilde{\Phi}_{BC} \Rightarrow \tilde{\Phi}_{BD}$  on  $S_{BCD} := \text{Im } \psi_B \cap \text{Im } \psi_C \cap \text{Im } \psi_D$ .

If  $B \neq C \neq D \in I$  with  $B \not\subseteq C$  or  $C \not\subseteq D$ , define  $S_{BCD} = \emptyset$  and  $\Lambda_{BCD} = [\emptyset, \emptyset, \emptyset]$ . Set  $S_{BBC} = S_{BCC} = S_{BC}$  and  $\Lambda_{BBC} = \beta_{\tilde{\Phi}_{BC}}$ ,  $\Lambda_{BCC} = \gamma_{\tilde{\Phi}_{BC}}$  for

all  $B, C \in I$ . This defines all the data in  $\mathcal{F} = (I, (V_B, E_B, \Gamma_B, s_B, \psi_B)_{B \in I}, S_{BC}, \tilde{\Phi}_{BC}, B, C \in I, S_{BCD}, \Lambda_{BCD}, B, C, D \in I)$ .

We will show  $\mathcal{F}$  satisfies Definition 7.24(a)–(j), (k)'. Parts (a)–(i) are immediate. For (j), if  $B \subsetneq C \subsetneq D \subsetneq E$  in  $I$  then Definition 7.18(b)–(d) basically imply that

$$\Phi_{DE} \circ (\Phi_{CD} \circ \Phi_{BC}) = \Phi_{BE} = (\Phi_{DE} \circ \Phi_{CD}) \circ \Phi_{BC}$$

holds on the intersection of their domains, and from this we easily see that  $\Lambda_{BDE} \odot (\Lambda_{CDE} * \text{id}_{\tilde{\Phi}_{BC}}) = \Lambda_{BDE} \odot (\text{id}_{\tilde{\Phi}_{DE}} * \Lambda_{BCD}) \odot \alpha_{\tilde{\Phi}_{DE}, \tilde{\Phi}_{CD}, \tilde{\Phi}_{BC}}$ , as we want. The remaining cases follow as in Examples 7.28 and 7.30. Thus (j) holds.

For (k)', suppose  $\emptyset \neq J \subseteq I$  is finite and  $x \in \bigcap_{B \in J} \text{Im } \psi_B \subseteq X$ . Then Definition 7.18(a) says that  $D = \bigcup_{B \in J} B$  lies in  $I$ , and  $x \in \bigcap_{B \in J} \text{Im } \psi_B \subseteq \text{Im } \psi_D$ . For any  $B \in J$  we have  $B \subseteq D$ , so  $S_{BD} = \text{Im } \psi_B \cap \text{Im } \psi_D \ni x$ . If  $B, C \in J$  with  $x \in S_{BC}$  then  $B \subseteq C$ , as otherwise  $S_{BC} = \emptyset$ , so  $B \subseteq C \subseteq D$  and  $S_{BCD} = \text{Im } \psi_B \cap \text{Im } \psi_C \cap \text{Im } \psi_D \ni x$ . Therefore (k)' holds with  $d = D$ , and  $\mathcal{F}$  is a fair coordinate system on  $X$  over  $\mathbf{Man} = \mathbf{Man}$ .

**Theorem 7.33.** *Suppose  $X$  is a compact, metrizable topological space with an MW weak Kuranishi atlas  $\mathcal{K}$ , of virtual dimension  $n \in \mathbb{Z}$ , in the sense of Definition 7.18. Then we can make  $X$  into a Kuranishi space  $\mathbf{X}' = (X, \mathcal{K}')$  over  $\mathbf{Man} = \mathbf{Man}$  in the sense of §6.2 with  $\text{vdim } \mathbf{X}' = n$ , and  $\mathbf{X}'$  is unique up to canonical equivalence in the 2-category  $\mathbf{Kur}$ . Commensurate MW weak Kuranishi atlases  $\mathcal{K}, \tilde{\mathcal{K}}$  on  $X$  yield equivalent Kuranishi spaces  $\mathbf{X}', \tilde{\mathbf{X}}'$ .*

*Proof.* The first part is immediate from Example 7.32 and Theorem 7.26. For the second part, note that as in Definition 7.18, if  $\mathcal{K}, \tilde{\mathcal{K}}$  are commensurate then they are linked by a diagram of MW weak Kuranishi atlases

$$\begin{array}{ccccccc} \mathcal{K} = \mathcal{K}_0 & & \mathcal{K}_1 & & \cdots & & \mathcal{K}_{m-1} & & \mathcal{K}_m = \tilde{\mathcal{K}} \\ & \searrow & \swarrow & \searrow & \swarrow & \searrow & \swarrow & \searrow & \swarrow \\ & & \hat{\mathcal{K}}_1 & & \cdots & & \hat{\mathcal{K}}_{m-1} & & \hat{\mathcal{K}}_m \end{array} \quad (7.13)$$

where each arrow is an inclusion of MW weak Kuranishi atlases.

By Proposition 7.27, the construction of the first part applied to MW weak Kuranishi atlases  $\mathcal{K}, \hat{\mathcal{K}}$  with  $\mathcal{K} \subseteq \hat{\mathcal{K}}$  yields equivalent Kuranishi spaces, so (7.13) induces a corresponding diagram of equivalences in  $\mathbf{Kur}$ , and thus  $\mathbf{X}', \tilde{\mathbf{X}}'$  are equivalent in  $\mathbf{Kur}$ .  $\square$

### 7.5.5 Dingyu Yang's Kuranishi structures, and polyfolds

Section 7.4 discussed Dingyu Yang's 'DY Kuranishi structures', working over  $\mathbf{Man} = \mathbf{Man}$ . We relate these to our Kuranishi spaces.

**Example 7.34.** Using the notation of §7.4, let  $X$  be a compact, metrizable topological space, and  $\mathcal{K}$  a DY Kuranishi structure on  $X$  with  $\text{vdim}(X, \mathcal{K}) = n$ , in the sense of Definition 7.21. Then exactly the same construction as in Example 7.28 yields a fair coordinate system  $\mathcal{F}$  on  $X$ .

**Theorem 7.35.** *Suppose  $X$  is a compact, metrizable topological space with a DY Kuranishi structure  $\mathcal{K}$ , of virtual dimension  $n \in \mathbb{Z}$ , in the sense of Definition 7.21. Then we can construct a Kuranishi space  $\mathbf{X}' = (X, \mathcal{K}')$  over  $\mathbf{Man} = \mathbf{Man}$  in the sense of §6.2 with  $\text{vdim } \mathbf{X}' = n$ , with the same topological space  $X$ , and  $\mathbf{X}'$  is unique up to canonical equivalence in the 2-category  $\mathbf{Kur}$ . R-equivalent DY Kuranishi structures  $\mathcal{K}, \tilde{\mathcal{K}}$  on  $\mathbf{X}$  yield equivalent Kuranishi spaces  $\mathbf{X}', \tilde{\mathbf{X}}'$ .*

*Proof.* The first part is immediate from Example 7.34 and Theorem 7.26. For the second part, note that as in Definition 7.22, if  $\mathcal{K}, \tilde{\mathcal{K}}$  are R-equivalent then there is a diagram of embeddings of DY Kuranishi structures on  $X$ :

$$\mathcal{K} \xleftarrow{\sim} \mathcal{K}_1 \xrightarrow{\quad} \mathcal{K}_2 \xleftarrow{\quad} \mathcal{K}_3 \xrightarrow{\sim} \tilde{\mathcal{K}}. \quad (7.14)$$

If  $\epsilon : \mathcal{K}_1 \rightarrow \mathcal{K}_2$  is an embedding of DY Kuranishi structures, then following Example 7.28 we can define three fair coordinate systems  $\mathcal{F}_1, \mathcal{F}_2, \mathcal{F}_{12}$  on  $X$ , where  $\mathcal{F}_1, \mathcal{F}_2$  come from  $\mathcal{K}_1, \mathcal{K}_2$ , and  $\mathcal{F}_{12}$  contains the Kuranishi neighbourhoods from  $\mathcal{K}_1$  and  $\mathcal{K}_2$ , and the coordinate changes from  $\mathcal{K}_1, \mathcal{K}_2$  and  $\epsilon$ , so that  $\mathcal{F}_{12}$  contains  $\mathcal{F}_1$  and  $\mathcal{F}_2$ . Theorem 7.26 then gives Kuranishi structures  $\mathcal{K}'_1, \mathcal{K}'_2, \mathcal{K}'_{12}$  on  $X$ . Since  $\mathcal{F}_1 \subset \mathcal{F}_{12}, \mathcal{F}_2 \subset \mathcal{F}_{12}$ , by Proposition 7.27 we have equivalences  $(X, \mathcal{K}'_1) \rightarrow (X, \mathcal{K}'_{12}), (X, \mathcal{K}'_2) \rightarrow (X, \mathcal{K}'_{12})$  in  $\mathbf{Kur}$ , and hence an equivalence  $(X, \mathcal{K}'_1) \rightarrow (X, \mathcal{K}'_2)$  in  $\mathbf{Kur}$ . Therefore (7.14) induces a corresponding diagram of equivalences in  $\mathbf{Kur}$ , and thus  $\mathbf{X}', \tilde{\mathbf{X}}'$  are equivalent in  $\mathbf{Kur}$ .  $\square$

Combining Theorem 7.35 with Yang's Theorem 7.23, [110, Th. 3.1.7], we relate Hofer–Wysocki–Zehnder's polyfold theory [46–53] to our Kuranishi spaces:

**Theorem 7.36.** *Suppose we are given a 'polyfold Fredholm structure'  $\mathcal{P}$  on a compact metrizable topological space  $X$ , that is, we write  $X$  as the zeroes of a Fredholm section  $\mathfrak{s} : \mathfrak{Y} \rightarrow \mathfrak{E}$  of a strong polyfold vector bundle  $\mathfrak{E} \rightarrow \mathfrak{Y}$  over a polyfold  $\mathfrak{Y}$ , where  $\mathfrak{s}$  has constant Fredholm index  $n \in \mathbb{Z}$ . Then we can make  $X$  into a Kuranishi space  $\mathbf{X} = (X, \mathcal{K})$  in the sense of §6.2 with  $\text{vdim } \mathbf{X} = n$ , and  $\mathbf{X}$  is unique up to canonical equivalence in the 2-category  $\mathbf{Kur}$ .*

## 7.6 Proof of Theorem 7.26

In this section, as in §6.7.4 we will by an abuse of notation treat the weak 2-category  $\mathbf{KN}_S(X)$  defined in §6.1 as if it were a strict 2-category, omitting 2-morphisms  $\alpha_{\Phi_{kl}, \Phi_{jk}, \Phi_{ij}}, \beta_{\Phi_{ij}}, \gamma_{\Phi_{ij}}$  in (6.7) and (6.8), and omitting brackets in compositions of 1-morphisms  $\Phi_{kl} \circ \Phi_{jk} \circ \Phi_{ij}$ . We do this because otherwise diagrams such as (7.17), (7.23), (7.25), ... would become too big.

Let  $\mathcal{F} = (A, (V_a, E_a, \Gamma_a, s_a, \psi_a)_{a \in A}, S_{ab}, \Phi_{ab}, \Lambda_{ab}, \Lambda_{abc}, \Lambda_{abc}, \Lambda_{abc}, \Lambda_{abc})$  be a fair coordinate system of virtual dimension  $n \in \mathbb{Z}$  on a Hausdorff, second countable topological space  $X$ , as in §7.5. Then  $\mathcal{F}$  satisfies either Definition 7.24(k) or (k)'. We will suppose  $\mathcal{F}$  satisfies Definition 7.24(k), and give the proof in this case. The proof for (k)' is very similar, but the order of composition of 1-morphisms is reversed, and the order of horizontal composition of 2-morphisms is reversed (though vertical composition stays the same), and the order of subscripts

$a, b, c, \dots$  is reversed, so  $\Phi_{ab}, \Lambda_{abc}$  are replaced by  $\Phi_{ba}, \Lambda_{cba}$ , and so on. We leave the details for case (k)' to the interested reader.

Throughout the proof, we will use the following notation for multiple intersections of the open sets  $S_{ab}$  in  $X$ . For  $a_1, \dots, a_k \in A$ ,  $k \geq 3$ , write

$$\acute{S}_{a_1 a_2 \dots a_k} = \bigcap_{1 \leq i < j \leq k} S_{a_i a_j}.$$

More generally, if we enclose a group of consecutive indices  $a_l a_{l+1} \dots a_m$  in brackets, as in  $\acute{S}_{a_1 \dots a_{l-1} (a_l \dots a_m) a_{m+1} \dots a_k}$ , we omit from the intersection any  $S_{a_i a_j}$  with both  $a_i, a_j$  belonging to the bracketed group. So, for example

$$\begin{aligned} \acute{S}_{a(bc)} &= S_{ab} \cap S_{ac}, & \acute{S}_{(ab)(cd)} &= S_{ac} \cap S_{ad} \cap S_{bc} \cap S_{bd}, \\ \acute{S}_{a(bc)(de)} &= S_{ab} \cap S_{ac} \cap S_{ad} \cap S_{ae} \cap S_{bd} \cap S_{be} \cap S_{cd} \cap S_{ce}. \end{aligned}$$

In Definition 7.24, the 2-morphisms  $\Lambda_{abc}$  are defined on open sets  $S_{abc} \subseteq S_{ab} \cap S_{ac} \cap S_{bc} \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$ . We begin by showing that we can extend the  $\Lambda_{abc}$  canonically to  $\acute{S}_{abc} = S_{ab} \cap S_{ac} \cap S_{bc}$ .

**Lemma 7.37.** *There exist unique 2-morphisms  $\tilde{\Lambda}_{abc} : \Phi_{bc} \circ \Phi_{ab} \Rightarrow \Phi_{ac}$  defined over  $\acute{S}_{abc}$  for all  $a, b, c \in A$ , such that  $\tilde{\Lambda}_{abc}|_{S_{abc}} = \Lambda_{abc}$ , and as in Definition 7.24(j) we have  $\tilde{\Lambda}_{acd} \odot (\text{id}_{\Phi_{cd}} * \tilde{\Lambda}_{abc}) = \tilde{\Lambda}_{abd} \odot (\Lambda_{bcd} * \text{id}_{\Phi_{ab}}) : \Phi_{cd} \circ \Phi_{bc} \circ \Phi_{ab} \Rightarrow \Phi_{ad}$  over  $\acute{S}_{abcd}$ , for all  $a, b, c, d \in A$ .*

*Proof.* Fix  $a, b, c \in A$ . We will construct a 2-morphism  $\tilde{\Lambda}_{abc} : \Phi_{bc} \circ \Phi_{ab} \Rightarrow \Phi_{ac}$  over  $\acute{S}_{abc}$ . For each  $d \in A$ , define

$$\tilde{S}_{abc}^d = S_{dab} \cap S_{dac} \cap S_{dbc} \subseteq \acute{S}_{abc}. \quad (7.15)$$

Then  $\tilde{S}_{abc}^d$  is open in  $\acute{S}_{abc}$ . Definition 7.24(k) with  $B = \{a, b, c\}$  implies that for each  $x \in \acute{S}_{abc}$ , there exists  $d \in A$  with  $x \in \tilde{S}_{abc}^d$ . Thus,  $\{\tilde{S}_{abc}^d : d \in A\}$  is an open cover of  $\acute{S}_{abc}$ .

Since  $\Phi_{da}$  is an equivalence in the weak 2-category  $\dot{\mathbf{K}}\mathbf{N}_{\tilde{S}_{abc}^d}(X)$  in Definition 6.9, as it is a coordinate change, Lemma A.6 implies that for each  $d \in A$  there is a unique 2-morphism

$$\begin{aligned} \tilde{\Lambda}_{abc}^d : \Phi_{bc} \circ \Phi_{ab} &\Longrightarrow \Phi_{ac} \quad \text{over } \tilde{S}_{abc}^d, \text{ such that} \\ \tilde{\Lambda}_{abc}^d * \text{id}_{\Phi_{da}} &= \Lambda_{dac}^{-1} \odot \Lambda_{dbc} \odot (\text{id}_{\Phi_{bc}} * \Lambda_{dab}). \end{aligned} \quad (7.16)$$

For  $d, e \in A$ , we will show that  $\tilde{\Lambda}_{abc}^d|_{\tilde{S}_{abc}^d \cap \tilde{S}_{abc}^e} = \tilde{\Lambda}_{abc}^e|_{\tilde{S}_{abc}^d \cap \tilde{S}_{abc}^e}$ . Let  $x \in \tilde{S}_{abc}^d \cap \tilde{S}_{abc}^e$ . Then Definition 7.24(k) with  $B = \{a, b, c, d, e\}$  gives  $f \in A$  with  $x \in S_{fab} \cap S_{fac} \cap S_{fbc} \cap S_{fda} \cap S_{fdb} \cap S_{fdc} \cap S_{fea} \cap S_{feb} \cap S_{fec} \cap \tilde{S}_{abc}^d \cap \tilde{S}_{abc}^e$ .

Consider the diagram of 2-morphisms on this intersection:

$$\begin{array}{c}
\begin{array}{ccccc}
& & \Phi_{bc} \circ \Phi_{ab} \circ \Phi_{fa} & & \\
& \swarrow \text{id}_{\Phi_{bc} \circ \Phi_{ab}} * \Lambda_{fda} & & \swarrow \text{id}_{\Phi_{bc}} * \Lambda_{fab} & \swarrow \text{id}_{\Phi_{bc} \circ \Phi_{ab}} * \Lambda_{fea} \\
\Phi_{bc} \circ \Phi_{ab} \circ \Phi_{da} \circ \Phi_{fd} & & \Phi_{bc} \circ \Phi_{fb} & & \Phi_{bc} \circ \Phi_{ab} \circ \Phi_{ea} \circ \Phi_{fe} \\
& \swarrow \text{id}_{\Phi_{bc}} * \Lambda_{dab} * \text{id}_{\Phi_{fd}} & \swarrow \text{id}_{\Phi_{bc}} * \Lambda_{fdb} & \swarrow \text{id}_{\Phi_{bc}} * \Lambda_{eab} * \text{id}_{\Phi_{fe}} & \\
& \Phi_{bc} \circ \Phi_{db} \circ \Phi_{fd} & & \Phi_{bc} \circ \Phi_{eb} \circ \Phi_{fe} & \\
& \swarrow \Lambda_{abc} * \text{id}_{\Phi_{da} \circ \Phi_{fd}} & \swarrow \Lambda_{dbc} * \text{id}_{\Phi_{fd}} & \swarrow \Lambda_{fec} * \text{id}_{\Phi_{fe}} & \swarrow \Lambda_{abc} * \text{id}_{\Phi_{ea} \circ \Phi_{fe}} \\
& \Phi_{dc} \circ \Phi_{fd} & & \Phi_{ec} \circ \Phi_{fe} & \\
& \swarrow \Lambda_{dac} * \text{id}_{\Phi_{fd}} & \swarrow \Lambda_{fbc} & \swarrow \Lambda_{fec} & \swarrow \Lambda_{eac} * \text{id}_{\Phi_{fe}} \\
\Phi_{ac} \circ \Phi_{da} \circ \Phi_{fd} & & \Phi_{fc} & & \Phi_{ac} \circ \Phi_{ea} \circ \Phi_{fe} \\
& \swarrow \tilde{\Lambda}_{abc}^d * \text{id}_{\Phi_{fa}} & \swarrow \text{id}_{\Phi_{ac}} * \Lambda_{fda} & \swarrow \text{id}_{\Phi_{ac}} * \Lambda_{fea} & \swarrow \tilde{\Lambda}_{abc}^e * \text{id}_{\Phi_{fa}} \\
& \Phi_{ac} \circ \Phi_{fa} & & \Phi_{ac} \circ \Phi_{fa} & 
\end{array}
\end{array} \tag{7.17}$$

Here the outer two quadrilaterals commute by (7.16), and the inner eight quadrilaterals commute by Definition 7.24(j). So (7.17) commutes.

Thus, for each  $x \in \tilde{S}_{abc}^d \cap \tilde{S}_{abc}^e$ , on an open neighbourhood of  $x$  we have  $\tilde{\Lambda}_{abc}^d * \text{id}_{\Phi_{fa}} = \tilde{\Lambda}_{abc}^e * \text{id}_{\Phi_{fa}}$ , so that on an open neighbourhood of  $x$  we have  $\tilde{\Lambda}_{abc}^d = \tilde{\Lambda}_{abc}^e$  by Lemma A.6. Definition A.17(iii) and Theorem 6.16 now imply that  $\tilde{\Lambda}_{abc}^d = \tilde{\Lambda}_{abc}^e$  on  $\tilde{S}_{abc}^d \cap \tilde{S}_{abc}^e$ . Since the  $\tilde{S}_{abc}^d$  for  $d \in A$  cover  $\dot{S}_{abc}$ , Definition A.17(iii),(iv) and Theorem 6.16 show that there exists a unique 2-morphism  $\tilde{\Lambda}_{abc} : \Phi_{bc} \circ \Phi_{ab} \Rightarrow \Phi_{ac}$  over  $\dot{S}_{abc}$  such that

$$\tilde{\Lambda}_{abc}|_{\tilde{S}_{abc}^d} = \tilde{\Lambda}_{abc}^d \quad \text{for all } d \in A. \tag{7.18}$$

When  $d = a$ , we see from (7.15)–(7.16) and Definition 7.24(h),(i) that  $\tilde{S}_{abc}^a = S_{abc}$  and  $\tilde{\Lambda}_{abc}^a = \Lambda_{abc}$ . Hence  $\tilde{\Lambda}_{abc}|_{S_{abc}} = \Lambda_{abc}$ , as we have to prove.

Suppose  $a, b, c, d \in A$ , and  $x \in \dot{S}_{abcd} = S_{ab} \cap S_{ac} \cap S_{ad} \cap S_{bc} \cap S_{bd} \cap S_{cd}$ . Definition 7.24(k) with  $B = \{a, b, c, d\}$  gives  $e \in A$  with  $x \in \tilde{S}_{abc}^e \cap \tilde{S}_{abd}^e \cap \tilde{S}_{acd}^e \cap \tilde{S}_{bcd}^e$ . So, in an open neighbourhood of  $x$  we have

$$\begin{aligned}
& [\tilde{\Lambda}_{acd} \odot (\text{id}_{\Phi_{cd}} * \tilde{\Lambda}_{abc})] * \text{id}_{\Phi_{ea}} = (\tilde{\Lambda}_{acd}^e * \text{id}_{\Phi_{ea}}) \odot (\text{id}_{\Phi_{cd}} * \tilde{\Lambda}_{abc}^e * \text{id}_{\Phi_{ea}}) \\
& = (\Lambda_{ead}^{-1} \odot \Lambda_{ecd} \odot (\text{id}_{\Phi_{cd}} * \Lambda_{eac})) \\
& \quad \odot ((\text{id}_{\Phi_{cd}} * \Lambda_{eac}^{-1}) \odot (\text{id}_{\Phi_{cd}} * \Lambda_{ebc}) \odot (\text{id}_{\Phi_{cd}} * \text{id}_{\Phi_{bc}} * \Lambda_{eab})) \\
& = \Lambda_{ead}^{-1} \odot \Lambda_{ebd} \odot (\Lambda_{ebd}^{-1} \odot \Lambda_{ecd} \odot (\text{id}_{\Phi_{cd}} * \Lambda_{ebc})) \odot (\text{id}_{\Phi_{cd} \circ \Phi_{bc}} * \Lambda_{eab}) \\
& = (\Lambda_{ead}^{-1} \odot \Lambda_{ebd} \odot (\text{id}_{\Phi_{bd}} * \Lambda_{eab})) \\
& \quad \odot ((\text{id}_{\Phi_{bd}} * \Lambda_{eab}^{-1}) \odot (\tilde{\Lambda}_{bcd}^e * \text{id}_{\Phi_{eb}}) \odot (\text{id}_{\Phi_{cd} \circ \Phi_{bc}} * \Lambda_{eab})) \\
& = (\tilde{\Lambda}_{abd}^e * \text{id}_{\Phi_{ea}}) \odot (\tilde{\Lambda}_{bcd}^e * \text{id}_{\Phi_{ab}} * \text{id}_{\Phi_{ea}}) = [\tilde{\Lambda}_{abd} \odot (\tilde{\Lambda}_{bcd} * \text{id}_{\Phi_{ab}})] * \text{id}_{\Phi_{ea}},
\end{aligned}$$

using (7.18) in the first, fourth and sixth steps, and (7.16) in the second, third and fifth. Lemma A.6 now implies that  $\tilde{\Lambda}_{acd} \odot (\text{id}_{\Phi_{cd}} * \tilde{\Lambda}_{abc}) = \tilde{\Lambda}_{abd} \odot (\tilde{\Lambda}_{bcd} * \text{id}_{\Phi_{ab}})$  holds near  $x$ . Applying Definition A.17(iii) and Theorem 6.16 again shows it holds on the correct domain  $\dot{S}_{abcd}$ . This completes the lemma.  $\square$



Next, for all  $a, b \in A$  we have a coordinate change  $\Phi_{ab} : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  over  $S_{ab} \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b$ . This is an equivalence in the 2-category  $\mathbf{KN}_{S_{ab}}(X)$  by Definition 6.11. Thus we may choose a quasi-inverse  $\check{\Phi}_{ba} : (V_b, E_b, \Gamma_b, s_b, \psi_b) \rightarrow (V_a, E_a, \Gamma_a, s_a, \psi_a)$ , which is also a coordinate change over  $S_{ab}$ , and 2-morphisms

$$\eta_{ab} : \Phi_{ab} \circ \check{\Phi}_{ba} \Rightarrow \text{id}_{(V_a, E_a, \Gamma_a, s_a, \psi_a)}, \quad \zeta_{ab} : \check{\Phi}_{ba} \circ \Phi_{ab} \Rightarrow \text{id}_{(V_b, E_b, \Gamma_b, s_b, \psi_b)}. \quad (7.19)$$

When  $a = b$ , so that  $\Phi_{aa} = \text{id}_{(V_a, E_a, \Gamma_a, s_a, \psi_a)}$ , we choose

$$\check{\Phi}_{aa} = \text{id}_{(V_a, E_a, \Gamma_a, s_a, \psi_a)} \quad \text{and} \quad \eta_{aa} = \zeta_{aa} = \text{id}_{\text{id}_{(V_a, E_a, \Gamma_a, s_a, \psi_a)}}. \quad (7.20)$$

Now fix  $a, b \in A$ . For all  $c \in A$ , we have  $\acute{S}_{c(ab)} = S_{ca} \cap S_{cb} \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b$ . From Definition 7.24(k) with  $B = \{a, b\}$ , we see that for each  $x \in \text{Im } \psi_a \cap \text{Im } \psi_b$  there exists  $c \in A$  with  $x \in \acute{S}_{c(ab)}$ , so  $\{\acute{S}_{c(ab)} : c \in A\}$  is an open cover of  $\text{Im } \psi_a \cap \text{Im } \psi_b$ . For each  $c \in A$ , define a 1-morphism  $\Psi_{ab}^c : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  over  $\acute{S}_{c(ab)}$  by  $\Psi_{ab}^c = \Phi_{cb} \circ \check{\Phi}_{ac}$ .

**Lemma 7.38.** *For all  $a, b, c, d \in A$ , there is a unique 2-morphism*

$$M_{ab}^{cd} : \Psi_{ab}^c \Longrightarrow \Psi_{ab}^d \quad \text{over } \acute{S}_{(cd)(ab)} = \acute{S}_{c(ab)} \cap \acute{S}_{d(ab)}, \quad (7.21)$$

such that for all  $e \in A$ , the following commutes on  $\acute{S}_{e(cd)(ab)}$ :

$$\begin{array}{ccc} \Phi_{cb} \circ \Phi_{ec} & \xrightarrow{\quad \tilde{\Lambda}_{ecb} \quad} & \Phi_{eb} & \xrightarrow{\quad \tilde{\Lambda}_{edb}^{-1} \quad} & \Phi_{db} \circ \Phi_{ed} \\ \Downarrow \text{id}_{\Phi_{cb}} * \zeta_{ca}^{-1} * \text{id}_{\Phi_{ac}} & & & & \text{id}_{\Phi_{db}} * \zeta_{da}^{-1} * \text{id}_{\Phi_{ad}} \Downarrow \\ \Phi_{cb} \circ \check{\Phi}_{ac} \circ \Phi_{ca} \circ \Phi_{ec} & & & & \Phi_{db} \circ \check{\Phi}_{ad} \circ \Phi_{da} \circ \Phi_{ed} \\ \Downarrow \text{id}_{\Phi_{cb} \circ \check{\Phi}_{ac}} * \tilde{\Lambda}_{eca} & & M_{ab}^{cd} * \text{id}_{\Phi_{ea}} & & \text{id}_{\Phi_{db} \circ \check{\Phi}_{ad}} * \tilde{\Lambda}_{eda} \Downarrow \\ \Phi_{cb} \circ \check{\Phi}_{ac} \circ \Phi_{ea} & \xlongequal{\quad} & \Psi_{ab}^c \circ \Phi_{ea} & \xrightarrow{\quad} & \Psi_{ab}^d \circ \Phi_{ea} & \xlongequal{\quad} & \Phi_{db} \circ \check{\Phi}_{ad} \circ \Phi_{ea}. \end{array} \quad (7.22)$$

*Proof.* Equation (7.22) determines  $M_{ab}^{cd} * \text{id}_{\Phi_{ea}}$  over  $\acute{S}_{e(cd)(ab)}$ , and so by Lemma A.6, determines  $M_{ab}^{cd}$  over  $\acute{S}_{e(cd)(ab)}$ , as  $\Phi_{ea}$  is an equivalence. Write  $(M_{ab}^{cd})^e$  for the value for  $M_{ab}^{cd}$  on  $\acute{S}_{e(cd)(ab)}$  determined by (7.22). Observe that Definition 7.24(k) with  $B = \{a, b, c, d\}$  implies that the  $\acute{S}_{e(cd)(ab)}$  for  $e \in A$  form an open cover of  $\acute{S}_{(cd)(ab)}$ .

Let  $e, f \in A$ , and  $x \in \acute{S}_{(ef)(cd)(ab)} = \acute{S}_{e(cd)(ab)} \cap \acute{S}_{f(cd)(ab)}$ . Applying Definition 7.24(k) with  $B = \{a, b, c, d, e, f\}$  and this  $x$  gives  $g \in A$  such that all the 1-

and 2-morphisms in the following diagram are defined on  $x \in \acute{S}_{g(ef)(cd)(ab)}$ :

$$\begin{array}{ccc}
& \Psi_{ab}^c \circ \Phi_{ga} & \\
\swarrow \tilde{\Lambda}_{gea}^{-1} & & \searrow \tilde{\Lambda}_{gfa}^{-1} \\
\Phi_{cb} \circ \check{\Phi}_{ac} \circ \Phi_{ea} \circ \Phi_{ge} & & \Phi_{cb} \circ \check{\Phi}_{ac} \circ \Phi_{fa} \circ \Phi_{gf} \\
\downarrow \tilde{\Lambda}_{eca}^{-1} & \tilde{\Lambda}_{gca}^{-1} & \tilde{\Lambda}_{gfc}^{-1} \\
\Phi_{cb} \circ \check{\Phi}_{ac} \circ \Phi_{ca} \circ \Phi_{gc} & & \Phi_{cb} \circ \check{\Phi}_{ac} \circ \Phi_{ca} \circ \Phi_{fc} \circ \Phi_{gf} \\
\downarrow \zeta_{ca} & \tilde{\Lambda}_{gcb} & \zeta_{ca} \\
\Phi_{cb} \circ \Phi_{ec} \circ \Phi_{ge} & & \Phi_{cb} \circ \Phi_{fc} \circ \Phi_{gf} \\
\downarrow \tilde{\Lambda}_{ecb} & \tilde{\Lambda}_{gcb} & \tilde{\Lambda}_{fcb} \\
\Phi_{eb} \circ \Phi_{ge} & \Phi_{gb} & \Phi_{fb} \circ \Phi_{gf} \\
\downarrow \tilde{\Lambda}_{edb}^{-1} & \tilde{\Lambda}_{gdb}^{-1} & \tilde{\Lambda}_{fdb}^{-1} \\
\Phi_{db} \circ \Phi_{ed} \circ \Phi_{ge} & & \Phi_{db} \circ \Phi_{fd} \circ \Phi_{gf} \\
\downarrow \zeta_{da}^{-1} & \tilde{\Lambda}_{gda} & \zeta_{da}^{-1} \\
\Phi_{db} \circ \check{\Phi}_{ad} \circ \Phi_{da} \circ \Phi_{ge} & & \Phi_{db} \circ \check{\Phi}_{ad} \circ \Phi_{da} \circ \Phi_{fd} \circ \Phi_{gf} \\
\downarrow \tilde{\Lambda}_{eda} & \tilde{\Lambda}_{gda} & \tilde{\Lambda}_{fda} \\
\Phi_{db} \circ \check{\Phi}_{ad} \circ \Phi_{ea} \circ \Phi_{ge} & \Psi_{ab}^d \circ \Phi_{ga} & \Phi_{db} \circ \check{\Phi}_{ad} \circ \Phi_{fa} \circ \Phi_{gf} \\
\tilde{\Lambda}_{gea} & & \tilde{\Lambda}_{gfa}
\end{array}
\quad (7.23)$$

$(M_{ab}^{cd})^e * \text{id}_{\Phi_{ga}} \quad \quad \quad (M_{ab}^{cd})^f * \text{id}_{\Phi_{ga}}$

Here for clarity we have omitted all ‘id...’ and ‘\*id...’ terms. The two outer nine-gons commute by (7.22), eight small quadrilaterals commute by Lemma 7.37, and four small quadrilaterals commute by compatibility of horizontal and vertical composition. Thus (7.23) commutes, and  $(M_{ab}^{cd})^e * \text{id}_{\Phi_{ga}} = (M_{ab}^{cd})^f * \text{id}_{\Phi_{ga}}$  near  $x$ , so  $(M_{ab}^{cd})^e = (M_{ab}^{cd})^f$  near  $x$  by Lemma A.6.

As this holds for all  $x \in \acute{S}_{e(cd)(ab)} \cap \acute{S}_{f(cd)(ab)}$ , Definition A.17(iii) and Theorem 6.16 show that  $(M_{ab}^{cd})^e = (M_{ab}^{cd})^f$  on  $\acute{S}_{e(cd)(ab)} \cap \acute{S}_{f(cd)(ab)}$ . Since the  $\acute{S}_{e(cd)(ab)}$  for  $e \in A$  cover  $\acute{S}_{(cd)(ab)}$ , Definition A.17(iii),(iv) and Theorem 6.16 imply that there is a unique 2-morphism  $M_{ab}^{cd}$  as in (7.21) with  $M_{ab}^{cd}|_{\acute{S}_{e(cd)(ab)}} = (M_{ab}^{cd})^e$ . But by definition of  $(M_{ab}^{cd})^e$  this holds if and only if (7.22) commutes. This completes the lemma.  $\square$

**Lemma 7.39.** *For all  $a, b, c, d, e \in A$ , we have*

$$\begin{aligned}
M_{ab}^{de} \odot M_{ab}^{cd} &= M_{ab}^{ce} : \Psi_{ab}^c \implies \Psi_{ab}^e \\
\text{over } \acute{S}_{(cde)(ab)} &= \acute{S}_{(cd)(ab)} \cap \acute{S}_{(ce)(ab)} \cap \acute{S}_{(de)(ab)}.
\end{aligned}
\quad (7.24)$$

*Proof.* Let  $x \in \acute{S}_{(cde)(ab)}$ . Definition 7.24(k) with  $B = \{a, b, c, d, e\}$  and this  $x$  gives  $f \in A$  such that all the 1- and 2-morphisms in the following diagram are

defined on  $x \in \acute{S}_{f(cde)(ab)}$ :

$$\begin{array}{ccccc}
& & \Phi_{fb} & & \\
& \swarrow & \downarrow \tilde{\Lambda}_{fdb}^{-1} & \searrow & \\
& & \Phi_{db} \circ \Phi_{fd} & & \\
& \swarrow \tilde{\Lambda}_{fcb}^{-1} & & \searrow \tilde{\Lambda}_{feb}^{-1} & \\
\Phi_{cb} \circ \Phi_{fc} & & \Phi_{db} \circ \Phi_{fd} & & \Phi_{eb} \circ \Phi_{fe} \\
\downarrow \text{id}_{\Phi_{cb}} * \zeta_{ca}^{-1} * \text{id}_{\Phi_{ac}} & & \downarrow \text{id}_{\Phi_{db}} * \zeta_{da}^{-1} * \text{id}_{\Phi_{ad}} & & \downarrow \text{id}_{\Phi_{eb}} * \zeta_{ea}^{-1} * \text{id}_{\Phi_{ae}} \\
\Phi_{cb} \circ \check{\Phi}_{ac} \circ \Phi_{ca} \circ \Phi_{fc} & & \Phi_{db} \circ \check{\Phi}_{ad} \circ \Phi_{da} \circ \Phi_{fd} & & \Phi_{eb} \circ \check{\Phi}_{ae} \circ \Phi_{ea} \circ \Phi_{fe} \\
\downarrow \text{id}_{\Phi_{cb} \circ \check{\Phi}_{ac}} * \tilde{\Lambda}_{fca} & & \downarrow \text{id}_{\Phi_{db} \circ \check{\Phi}_{ad}} * \tilde{\Lambda}_{fda} & & \downarrow \text{id}_{\Phi_{eb} \circ \check{\Phi}_{ae}} * \tilde{\Lambda}_{fea} \\
\Phi_{cb} \circ \check{\Phi}_{ac} \circ \Phi_{fa} & \xrightarrow{M_{ab}^{cd} * \text{id}_{\Phi_{fa}}} & \Phi_{db} \circ \check{\Phi}_{ad} \circ \Phi_{fa} & \xrightarrow{M_{ab}^{de} * \text{id}_{\Phi_{fa}}} & \Phi_{eb} \circ \check{\Phi}_{ae} \circ \Phi_{fa} \\
& \xrightarrow{M_{ab}^{ce} * \text{id}_{\Phi_{fa}}} & & & \\
& & \Phi_{db} \circ \check{\Phi}_{ad} \circ \Phi_{fa} & & 
\end{array} \tag{7.25}$$

Here the two inner and the outer septagons commute by (7.22). Thus (7.25) commutes, and compatibility of horizontal and vertical composition gives

$$(M_{ab}^{de} \odot M_{ab}^{cd}) * \text{id}_{\Phi_{fa}} = (M_{ab}^{de} * \text{id}_{\Phi_{fa}}) \odot (M_{ab}^{cd} * \text{id}_{\Phi_{fa}}) = M_{ab}^{ce} * \text{id}_{\Phi_{fa}}$$

near  $x$ , so (7.24) holds near  $x$  by Lemma A.6. As this is true for all  $x \in \acute{S}_{(cde)(ab)}$ , the lemma follows from Definition A.17(iii) and Theorem 6.16.  $\square$

By Lemmas 7.38 and 7.39, as  $\{\acute{S}_{c(ab)} : c \in A\}$  is an open cover of  $\text{Im } \psi_a \cap \text{Im } \psi_b$ , we may now apply Definition A.17(v) and Theorem 6.16 to show that for all  $a, b \in A$ , there exists a coordinate change  $\Psi_{ab} : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  over  $\text{Im } \psi_a \cap \text{Im } \psi_b$ , and 2-morphisms  $\epsilon_{ab}^c : \Psi_{ab}^c \Rightarrow \Psi_{ab}$  over  $\acute{S}_{c(ab)}$  for all  $c \in A$ , such that for all  $c, d \in A$  we have

$$\epsilon_{ab}^d \odot M_{ab}^{cd} = \epsilon_{ab}^c : \Psi_{ab}^c \Rightarrow \Psi_{ab} \quad \text{over } \acute{S}_{(cd)(ab)} = \acute{S}_{c(ab)} \cap \acute{S}_{d(ab)}. \tag{7.26}$$

Furthermore  $\Psi_{ab}$  is unique up to 2-isomorphism.

In the case when  $a = b$ , we have  $\Psi_{aa}^a = \Phi_{aa} = \check{\Phi}_{aa} = \text{id}_{(V_a, E_a, \Gamma_a, s_a, \psi_a)}$  and  $\acute{S}_{a(aa)} = \text{Im } \psi_a$ , so  $\epsilon_{aa}^a : \text{id}_{(V_a, E_a, \Gamma_a, s_a, \psi_a)} \Rightarrow \Psi_{aa}$  is a 2-morphism over  $\text{Im } \psi_a$ . As we can choose  $\Psi_{aa}$  freely in its 2-isomorphism class, we choose

$$\Psi_{aa} = \text{id}_{(V_a, E_a, \Gamma_a, s_a, \psi_a)} \quad \text{and} \quad \epsilon_{aa}^a = \text{id}_{\text{id}_{(V_a, E_a, \Gamma_a, s_a, \psi_a)}}, \quad \text{for all } a \in A. \tag{7.27}$$

**Lemma 7.40.** *For all  $a, b, c \in A$ , there is a unique 2-morphism*

$$K_{abc} : \Psi_{bc} \circ \Psi_{ab} \Rightarrow \Psi_{ac} \quad \text{over } \text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c,$$

such that for all  $d \in A$ , the following commutes over  $\acute{S}_{d(abc)}$ :

$$\begin{array}{ccc}
\Phi_{dc} \circ \check{\Phi}_{bd} \circ \Phi_{db} \circ \check{\Phi}_{ad} & \xlongequal{\quad} & \Psi_{bc}^d \circ \Psi_{ab}^d \xrightarrow{\epsilon_{bc}^d * \epsilon_{ab}^d} \Psi_{bc} \circ \Psi_{ab} \\
\downarrow \text{id}_{\Phi_{dc}} * \zeta_{db} * \text{id}_{\check{\Phi}_{ad}} & & \downarrow K_{abc} \\
\Phi_{dc} \circ \check{\Phi}_{ad} & \xlongequal{\quad} & \Psi_{ac}^d \xrightarrow{\epsilon_{ac}^d} \Psi_{ac}
\end{array} \tag{7.28}$$

*Proof.* Fix  $a, b, c \in A$ . If  $x \in \text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$ , then Definition 7.24(k) with  $B = \{a, b, c\}$  and this  $x$  gives  $d \in A$  with  $x \in \acute{S}_{d(abc)}$ . Hence  $\{\acute{S}_{d(abc)} : d \in A\}$  is an open cover of  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$ .

For each  $d \in A$ , write  $K_{abc}^d$  for the 2-morphism over  $\acute{S}_{d(abc)}$  determined by (7.28) with  $K_{abc}^d$  in place of  $K_{abc}$ . We have to show that there is a unique 2-morphism  $K_{abc}$  over  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$  with  $K_{abc}|_{\acute{S}_{d(abc)}} = K_{abc}^d$ .

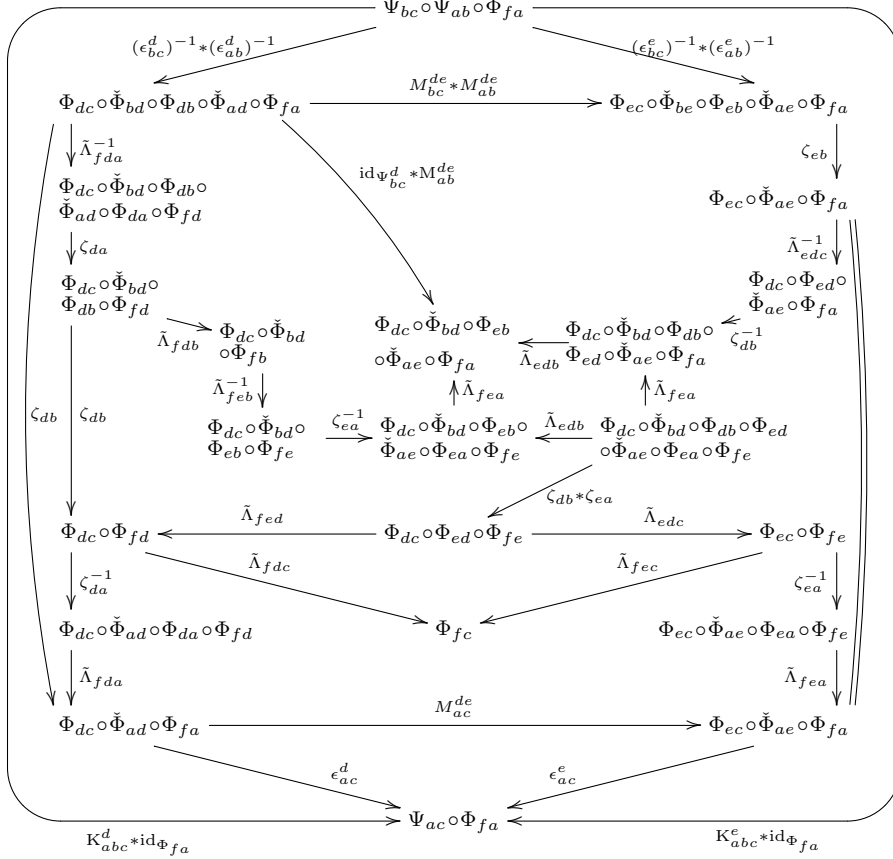


Figure 7.1: Proof that  $K_{abc}^d * \text{id}_{\Phi_{fa}} = K_{abc}^e * \text{id}_{\Phi_{fa}}$

Let  $d, e \in A$ , and  $x \in \acute{S}_{(de)(abc)} = \acute{S}_{d(abc)} \cap \acute{S}_{e(abc)}$ . Definition 7.24(k) with  $B = \{a, b, c, d, e\}$  and this  $x$  gives  $f \in A$  with  $x \in \acute{S}_{f(de)(abc)}$ . Consider the diagram of 1- and 2-morphisms Figure 7.1. We have omitted most terms  $*\text{id} \dots$  and  $\text{id} \dots *$  in the 2-morphisms for clarity. The two outer crescent shapes are the definitions of  $K_{abc}^d, K_{abc}^e$  in (7.28), composed with  $\Phi_{fa}$ . The top and bottom triangles commute by (7.26). In the interior of the figure, the three polygons with sides involving  $M_{ab}^{de}, M_{ac}^{de}, M_{bc}^{de}$  commute by (7.22). The remaining four polygons commute by Lemma 7.37 and compatibility of horizontal and vertical composition.

Thus Figure 7.1 commutes, which proves that  $K_{abc}^d * \text{id}_{\Phi_{fa}} = K_{abc}^e * \text{id}_{\Phi_{fa}}$  on  $\dot{S}_{f(de)(abc)}$ . Lemma A.6 now shows that  $K_{abc}^d = K_{abc}^e$  on  $\dot{S}_{f(de)(abc)}$ .

As the  $\dot{S}_{f(de)(abc)}$  for  $f \in A$  cover  $\dot{S}_{d(abc)} \cap \dot{S}_{e(abc)}$ , Definition A.17(iii) and Theorem 6.16 imply that  $K_{abc}^d = K_{abc}^e$  on  $\dot{S}_{d(abc)} \cap \dot{S}_{e(abc)}$ . Since  $\{\dot{S}_{d(abc)} : d \in A\}$  is an open cover of  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$ , Definition A.17(iii),(iv) and Theorem 6.16 show that there exists a unique 2-morphism  $K_{abc}$  over  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$  such that  $K_{abc}|_{\dot{S}_{d(abc)}} = K_{abc}^d$ . Thus (7.28) commutes for all  $d \in A$ , by definition of  $K_{abc}^d$ . This completes the proof.  $\square$

Putting  $a, a, b, a$  in place of  $a, b, c, d$  in (7.28) and using  $\epsilon_{aa}^a, \zeta_{aa}$  identities by (7.20), (7.27), and similarly putting  $a, b, b, b$  in place of  $a, b, c, d$  and using  $\epsilon_{bb}^b, \zeta_{bb}$  identities, yields

$$K_{aab} = K_{abb} = \text{id}_{\Psi_{ab}}. \quad (7.29)$$

**Lemma 7.41.** *For all  $a, b, c, d \in A$  we have  $K_{acd} \odot (\text{id}_{\Psi_{cd}} * K_{abc}) = K_{abd} \odot (K_{bcd} * \text{id}_{\Psi_{ab}}) : \Psi_{cd} \circ \Psi_{bc} \circ \Psi_{ab} \implies \Psi_{ad}$  over  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c \cap \text{Im } \psi_d$ .*

*Proof.* Let  $x \in \text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c \cap \text{Im } \psi_d$ . Definition 7.24(k) with  $B = \{a, b, c, d\}$  and this  $x$  gives  $e \in A$  with  $x \in \dot{S}_{e(abcd)}$ . Consider the diagram

$$\begin{array}{ccc}
\Psi_{cd} \circ \Psi_{bc} \circ \Psi_{ab} & \xrightarrow{\text{id}_{\Psi_{cd}} * K_{abc}} & \Psi_{cd} \circ \Psi_{ac} \\
\downarrow \text{K}_{bcd} * \text{id}_{\Psi_{ab}} & \searrow^{(\epsilon_{cd}^e * \epsilon_{bc}^e * \epsilon_{ab}^e)^{-1}} & \downarrow \text{K}_{acd} \\
\begin{array}{ccc}
\Phi_{ed} \circ \check{\Phi}_{ce} \circ \check{\Phi}_{ec} \circ \check{\Phi}_{be} \circ \check{\Phi}_{eb} \circ \check{\Phi}_{ae} & \xrightarrow{\zeta_{eb} * \text{id}_{\check{\Phi}_{ae}}} & \Phi_{ed} \circ \check{\Phi}_{ce} \circ \check{\Phi}_{ec} \circ \check{\Phi}_{ae} \\
\downarrow \text{id}_{\Phi_{ed}} * \zeta_{ec} * \text{id}_{\check{\Phi}_{be} \circ \check{\Phi}_{eb} \circ \check{\Phi}_{ae}} & & \downarrow \text{id}_{\Phi_{ed}} * \zeta_{cc} * \text{id}_{\check{\Phi}_{ae}} \\
\Phi_{ed} \circ \check{\Phi}_{be} \circ \check{\Phi}_{eb} \circ \check{\Phi}_{ae} & \xrightarrow{\text{id}_{\Phi_{ed}} * \zeta_{eb} * \text{id}_{\check{\Phi}_{ae}}} & \Phi_{ed} \circ \check{\Phi}_{ae}
\end{array} & & \begin{array}{ccc}
\Phi_{ed} \circ \check{\Phi}_{ce} \circ \check{\Phi}_{ec} \circ \check{\Phi}_{ae} & \xrightarrow{(\epsilon_{cd}^e * \epsilon_{ac}^e)^{-1}} & \Phi_{ed} \circ \check{\Phi}_{ae} \\
\downarrow \text{K}_{acd} & & \downarrow \text{K}_{acd} \\
\Phi_{ed} \circ \check{\Phi}_{be} \circ \check{\Phi}_{eb} \circ \check{\Phi}_{ae} & \xrightarrow{\text{id}_{\Phi_{ed}} * \zeta_{eb} * \text{id}_{\check{\Phi}_{ae}}} & \Phi_{ed} \circ \check{\Phi}_{ae}
\end{array} \\
\downarrow \epsilon_{bd}^e * \epsilon_{ab}^e & \swarrow^{(\epsilon_{bd}^e * \epsilon_{ab}^e)^{-1}} & \downarrow \epsilon_{ad}^e \\
\Psi_{bd} \circ \Psi_{ab} & \xrightarrow{K_{abd}} & \Psi_{ad}
\end{array} \quad (7.30)$$

Here the four outer quadrilaterals commute by (7.28), and the inner rectangle commutes by compatibility of horizontal and vertical multiplication. Thus (7.30) commutes, and the outer rectangle shows that  $K_{acd} \odot (\text{id}_{\Psi_{cd}} * K_{abc}) = K_{abd} \odot (K_{bcd} * \text{id}_{\Psi_{ab}})$  holds over  $\dot{S}_{e(abcd)}$ . Since the  $\dot{S}_{e(abcd)}$  for all  $e \in A$  cover  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c \cap \text{Im } \psi_d$ , the lemma follows from Definition A.17(iii) and Theorem 6.16.  $\square$

The definition of the  $\Psi_{ab}$  after Lemma 7.39, Lemmas 7.40–7.41, and equations (7.27) and (7.29), now imply that  $\mathcal{K} = (A, (V_a, E_a, \Gamma_a, s_a, \psi_a)_{a \in A}, \Psi_{ab}, a, b \in A, K_{abc}, a, b, c \in A)$  is a Kuranishi structure on  $X$  in the sense of §6.2, so  $\mathbf{X} = (X, \mathcal{K})$  is a Kuranishi space with  $\text{vdim } \mathbf{X} = n$ , as we have to prove.

To give  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  the structure of a Kuranishi neighbourhood on the Kuranishi space  $\mathbf{X}$  in the sense of §6.4 for  $a \in A$ , note that as  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  is already part of the Kuranishi structure  $\mathcal{K}$ , we can take  $\Psi_{ai}, i \in A$  and  $K_{aij}, i, j \in A$  to be the implicit extra data  $\Phi_{ai}, i \in I, \Lambda_{aij}, i, j \in I$  in Definition 6.42.

To give  $\Phi_{ab} : (V_a, E_a, \Gamma_a, s_a, \psi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  the structure of a coordinate change over  $S_{ab}$  on the Kuranishi space  $\mathbf{X}$  as in §6.4 for  $a, b \in A$ , we need to specify implicit extra data  $I_{abi}, i \in A$  in place of  $\Lambda_{abi}, i \in A$  in Definition 6.43, where  $I_{abi} : \Psi_{bi} \circ \Phi_{ab} \Rightarrow \Psi_{ai}$  is a 2-morphism over  $S_{ab} \cap \text{Im } \psi_i$  for all  $i \in A$  satisfying (6.38) over  $S_{ab} \cap \text{Im } \psi_i \cap \text{Im } \psi_j$  for all  $i, j \in A$ , which becomes

$$K_{aij} \odot (\text{id}_{\Psi_{ij}} * I_{abi}) = I_{abj} \odot (K_{bij} * \text{id}_{\Phi_{ab}}) : \Psi_{ij} \circ \Psi_{bi} \circ \Phi_{ab} \Longrightarrow \Psi_{aj}. \quad (7.31)$$

Since  $\check{\Phi}_{aa} = \text{id}_{V_a, E_a, \Gamma_a, s_a, \psi_a}$  by (7.20) we have  $\Psi_{ab}^a = \Phi_{ab}$ , so the definition of  $\Psi_{ab}$  gives a 2-morphism  $\epsilon_{ab}^a : \Phi_{ab} \Rightarrow \Psi_{ab}$  over  $S_{ab} \subseteq \text{Im } \psi_a \cap \text{Im } \psi_b$ . Define  $I_{abi} = K_{abi} \odot (\text{id}_{\Psi_{bi}} * (\epsilon_{ab}^a)^{-1})$ . Then (7.31) follows from vertically composing  $\text{id}_{\Psi_{ij} \circ \Psi_{bi}} * (\epsilon_{ab}^a)^{-1}$  with Lemma 7.41 with  $i, j$  in place of  $c, d$ . This makes  $\Phi_{ab}$  into a coordinate change over  $S_{ab}$  on  $\mathbf{X}$ , as we want.

Now let  $a, b, c \in A$ . To show that  $\Lambda_{abc} : \Phi_{bc} \circ \Phi_{ab} \Rightarrow \Phi_{ac}$  is the unique 2-morphism over  $S_{abc}$  given by Theorem 6.45(a), we must prove that as in (6.39), for all  $i \in A$ , over  $S_{abc} \cap \text{Im } \psi_i$  we have

$$I_{abi} \odot (I_{bci} * \text{id}_{\Phi_{ab}}) = I_{aci} \odot (\text{id}_{\Psi_{ci}} * \Lambda_{abc}) : \Psi_{ci} \circ \Phi_{bc} \circ \Phi_{ab} \Longrightarrow \Psi_{ai}. \quad (7.32)$$

To prove (7.32), consider the diagram of 2-morphisms over  $S_{abc} \cap \text{Im } \psi_i$ :

$$\begin{array}{ccc}
\Psi_{ci} \circ \Phi_{bc} \circ \Phi_{ab} & \xrightarrow{\text{id}_{\Psi_{ci}} * \Lambda_{abc} = \text{id}_{\Psi_{ci}} * \tilde{\Lambda}_{abc}} & \Psi_{ci} \circ \Phi_{ac} \\
\downarrow \text{id}_{\Psi_{ci}} * M_{bc}^{ba} * \text{id}_{\Phi_{ab}} & \searrow \text{id}_{\Psi_{ci}} \circ \Phi_{ac} * \zeta_{ab} & \downarrow \text{id}_{\Psi_{ci}} * \epsilon_{ac}^a \\
\Psi_{ci} \circ \Phi_{ac} \circ \check{\Phi}_{ba} \circ \Phi_{ab} & & \Psi_{ci} \circ \Psi_{ac} \\
\downarrow \text{id}_{\Psi_{ci}} * \epsilon_{bc}^b * \epsilon_{ab}^a & \searrow \text{id}_{\Psi_{ci}} * \epsilon_{bc}^a * \epsilon_{ab}^a & \downarrow I_{aci} \\
\Psi_{ci} \circ \Psi_{bc} \circ \Psi_{ab} & \xrightarrow{\text{id}_{\Psi_{ci}} * K_{abc}} & \Psi_{ci} \circ \Psi_{ac} \\
\downarrow I_{bci} * \text{id}_{\Phi_{ab}} & \searrow K_{bci} * \text{id}_{\Psi_{ab}} & \downarrow K_{aci} \\
\Psi_{bi} \circ \Psi_{ab} & \xrightarrow{K_{abi}} & \Psi_{ai} \\
\downarrow \text{id}_{\Psi_{bi}} * \epsilon_{ab}^a & \searrow I_{abi} & \downarrow \\
\Psi_{bi} \circ \Phi_{ab} & \xrightarrow{I_{abi}} & \Psi_{ai}
\end{array} \quad (7.33)$$

Here the bottom and rightmost triangles, and the leftmost quadrilateral, commute by definition of  $I_{abi}$ . The lower central quadrilateral commutes by Lemma 7.41, the upper central quadrilateral by (7.28) with  $d = a$ , the upper left triangle by (7.26), and the topmost triangle by (7.22) with  $b, c, b, a, a$  in place of  $a, b, c, d, e$ , noting that of the seven morphisms in (7.22), four are identities in this case, so we omit them. Also we use  $\tilde{\Lambda}_{abc}|_{S_{abc}} = \Lambda_{abc}$  from Lemma 7.37. Thus (7.33) commutes, and the outer rectangle yields (7.32). Hence  $\Lambda_{abc} : \Phi_{bc} \circ \Phi_{ab} \Rightarrow \Phi_{ac}$

is the unique 2-morphism over  $S_{abc}$  given by Theorem 6.45(a). This completes the proof of the first part of Theorem 7.26.

It remains to show that  $\mathbf{X} = (X, \mathcal{K})$  is unique up to equivalence in  $\mathbf{Kur}$ . To prove this, we have to consider where in the proof above we made arbitrary choices, and show that if we made different choices yielding  $\mathbf{X}' = (X, \mathcal{K}')$ , then  $\mathbf{X}$  and  $\mathbf{X}'$  are equivalent in  $\mathbf{Kur}$ . There are two places in the construction of  $\mathbf{X}$  where we made arbitrary choices: firstly the choice after Lemma 7.37 of a quasi-inverse  $\check{\Phi}_{ba}$  for  $\Phi_{ab}$  and 2-morphisms  $\eta_{ab}, \zeta_{ab}$  in (7.19) (though in fact the  $\eta_{ab}$  were never used in the definition of  $\mathbf{X}$ ), and secondly the choice after Lemma 7.39 of  $\Psi_{ab}$  and 2-morphisms  $\epsilon_{ab}^c$  satisfying (7.26).

For the first, if  $\check{\Phi}'_{ba}, \eta'_{ab}, \zeta'_{ab}$  are alternative choices for  $\check{\Phi}_{ba}, \eta_{ab}, \zeta_{ab}$ , for all  $a, b \in A$ , then there exist unique 2-morphisms  $\alpha_{ab} : \check{\Phi}_{ba} \Rightarrow \check{\Phi}'_{ba}$  such that

$$\zeta_{ab} = \zeta'_{ab} \odot (\alpha_{ab} * \text{id}_{\Phi_{ab}}) \quad \text{for all } a, b \in A, \quad (7.34)$$

and  $\alpha_{aa} = \text{id}_{\text{id}_{(V_a, E_a, \Gamma_a, s_a, \psi_a)}}$ . Then one can check that for the second choice we can keep  $\Psi_{ab}$  unchanged and replace  $\epsilon_{ab}^c$  by

$$\epsilon'_{ab}{}^c = \epsilon_{ab}^c \odot (\text{id}_{\Phi_{cb}} * (\alpha_{ac})^{-1}) \quad \text{for all } a, b, c \in A. \quad (7.35)$$

Using (7.34)–(7.35) to compare (7.28) for  $\check{\Phi}_{ba}, \eta_{ab}, \zeta_{ab}, \epsilon_{ab}^c$  and  $\check{\Phi}'_{ba}, \eta'_{ab}, \zeta'_{ab}, \epsilon'_{ab}{}^c$ , we find that the two occurrences of  $\alpha_{da}$  and of  $\alpha_{db}$  cancel, so  $K_{abc}$  is unchanged. Thus, the family of possible outcomes for  $\Psi_{ab}, K_{abc}$  and  $\mathbf{X}$  are independent of the first choice of  $\check{\Phi}_{ba}, \eta_{ab}, \zeta_{ab}$  for  $a, b \in A$ .

Next, regard the  $\check{\Phi}_{ba}, \eta_{ab}, \zeta_{ab}$  as fixed, and let  $\Psi'_{ab}, \epsilon'_{ab}{}^c$  be alternative possibilities for  $\Psi_{ab}, \epsilon_{ab}^c$  in the second choice, and  $K'_{abc}$  the corresponding 2-morphisms in Lemma 7.40. Then by Theorem 6.16 and the last part of Definition A.17(v), there are unique 2-morphisms  $\beta_{ab} : \Psi_{ab} \Rightarrow \Psi'_{ab}$  for all  $a, b \in A$ , such that

$$\epsilon'_{ab}{}^c = \beta_{ab} \odot \epsilon_{ab}^c \quad \text{for all } a, b, c \in A. \quad (7.36)$$

Substituting (7.36) into (7.28) for  $\Psi'_{ab}, \epsilon'_{ab}{}^c, K'_{abc}$  and comparing with (7.28) for  $\Psi_{ab}, \epsilon_{ab}^c, K_{abc}$ , we see that

$$K'_{abc} = \beta_{ac} \odot K_{abc} \odot (\beta_{bc}^{-1} * \beta_{ab}^{-1}).$$

Define 1-morphisms  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{X}'$ ,  $\mathbf{g} : \mathbf{X}' \rightarrow \mathbf{X}$ , in the notation of (6.18), by

$$\begin{aligned} \mathbf{f} &= (\text{id}_X, \Psi_{ab}, a \in A, b \in A, (K_{aa'b})_{aa', a, a' \in A}^{b, b \in A}, (K_{abb'} \odot (\beta_{bb'}^{-1} * \text{id}_{\Psi_{ab}}))_{a, a \in A}^{bb', b, b' \in A}), \\ \mathbf{g} &= (\text{id}_X, \Psi'_{ab}, a \in A, b \in A, (K'_{aa'b})_{aa', a, a' \in A}^{b, b \in A}, (K'_{abb'} \odot (\beta_{bb'} * \text{id}_{\Psi'_{ab}}))_{a, a \in A}^{bb', b, b' \in A}). \end{aligned}$$

One can check these satisfy Definition 6.19(a)–(h), and so are 1-morphisms of Kuranishi spaces. Definition 6.22 now gives a 1-morphism of Kuranishi spaces  $\mathbf{g} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{X}$ , and 2-morphisms of Kuranishi neighbourhoods for all  $a, b, c \in A$

$$\Theta_{abc}^{\mathbf{g} \circ \mathbf{f}} : \Psi'_{bc} \circ \Psi_{ab} \Longrightarrow (\mathbf{g} \circ \mathbf{f})_{ac}.$$

We claim that there is a unique 2-morphism  $\boldsymbol{\varrho} = (\boldsymbol{\varrho}_{ac}, a, c \in A) : \mathbf{g} \circ \mathbf{f} \Rightarrow \mathbf{id}_X$  of Kuranishi spaces such that for all  $a, b, c \in A$  the following diagram of 2-morphisms of Kuranishi neighbourhoods over  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$  commutes:

$$\begin{array}{ccc}
\Psi'_{bc} \circ \Psi_{ab} & \xrightarrow{\quad (\beta'_{bc})^{-1} * \text{id}_{\Psi_{ab}} \quad} & \Psi_{bc} \circ \Psi_{ab} \\
\Downarrow \Theta_{abc}^{\mathbf{g}, \mathbf{f}} & & \text{K}_{abc} \Downarrow \\
(\mathbf{g} \circ \mathbf{f})_{ac} & \xrightarrow{\quad \boldsymbol{\varrho}_{ac}|_{\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c} \quad} & \Psi_{ac} = (\mathbf{id}_X)_{ac}.
\end{array} \tag{7.37}$$

To prove this, note that (7.37) determines  $\boldsymbol{\varrho}_{ac}$  on the open subset  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c \subseteq \text{Im } \psi_a \cap \text{Im } \psi_c$ . Using (6.24)–(6.26) for the  $\Theta_{abc}^{\mathbf{g}, \mathbf{f}}$  and Lemma 7.41 for the  $\text{K}_{abc}$ , we prove that these prescribed values for  $\boldsymbol{\varrho}_{ac}$  agree on overlaps between  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$  and  $\text{Im } \psi_a \cap \text{Im } \psi_{b'} \cap \text{Im } \psi_c$ , for all  $b, b' \in A$ . Thus, as the  $\text{Im } \psi_a \cap \text{Im } \psi_b \cap \text{Im } \psi_c$  for all  $b \in A$  form an open cover of the correct domain  $\text{Im } \psi_a \cap \text{Im } \psi_c$  for  $a, c \in A$ , Theorem 6.16 and Definition A.17(iii),(iv) imply that there is a unique 2-morphism  $\boldsymbol{\varrho}_{ac} : (\mathbf{g} \circ \mathbf{f})_{ac} \Rightarrow (\mathbf{id}_X)_{ac}$  such that (7.37) commutes for all  $b \in A$ .

We can then check that  $\boldsymbol{\varrho} = (\boldsymbol{\varrho}_{ac}, a, c \in A)$  satisfies Definition 6.20(a),(b), by proving that they hold on the restriction of their domains with  $\text{Im } \psi_b$  for each  $b \in A$  using (7.37), (6.24)–(6.26) for the  $\Theta_{abc}^{\mathbf{g}, \mathbf{f}}$  and Lemma 7.41 for the  $\text{K}_{abc}$ , and then using Theorem 6.16 and Definition A.17(iii) to deduce that Definition 6.20(a),(b) hold on the correct domains. Therefore  $\boldsymbol{\varrho} : \mathbf{g} \circ \mathbf{f} \Rightarrow \mathbf{id}_X$  is a 2-morphism of Kuranishi spaces. Similarly, exchanging  $X, X'$  we construct a 2-morphism  $\boldsymbol{\sigma} : \mathbf{f} \circ \mathbf{g} \Rightarrow \mathbf{id}_{X'}$ . Hence  $\mathbf{f} : X \rightarrow X'$  is an equivalence, and  $X, X'$  are equivalent in the 2-category  $\mathbf{Kur}$ . This completes the proof of Theorem 7.26.



## Chapter 8

# (M-)Kuranishi spaces as stacks

# Appendix A

## Categories and 2-categories

We recall background material on categories, 2-categories, and sheaves and stacks on topological spaces. Some references are MacLane [75] for §A.1, and Borceux [6, §7], Kelly and Street [67], and Behrend et al. [3, App. B] for §A.2–§A.4, and Bredon [10], Godement [40], and Hartshorne [43, §II.1] for §A.5.

### A.1 Basics of category theory

Here are the basic definitions in category theory, as in MacLane [75, §I].

**Definition A.1.** A *category*  $\mathcal{C}$  consists of a class of *objects*  $\text{Obj}(\mathcal{C})$ , and for all  $X, Y \in \text{Obj}(\mathcal{C})$  a set  $\text{Hom}(X, Y)$  of *morphisms*  $f$  from  $X$  to  $Y$ , written  $f : X \rightarrow Y$ , and for all  $X, Y \in \text{Obj}(\mathcal{C})$  a *composition map*  $\circ : \text{Hom}(X, Y) \times \text{Hom}(Y, Z) \rightarrow \text{Hom}(X, Z)$ , written  $(f, g) \mapsto g \circ f$ . Composition must be *associative*, that is, if  $f : W \rightarrow X$ ,  $g : X \rightarrow Y$  and  $h : Y \rightarrow Z$  are morphisms in  $\mathcal{C}$  then  $(h \circ g) \circ f = h \circ (g \circ f)$ . For each  $X \in \text{Obj}(\mathcal{C})$  there must exist an *identity morphism*  $\text{id}_X : X \rightarrow X$  such that  $f \circ \text{id}_X = f = \text{id}_Y \circ f$  for all  $f : X \rightarrow Y$  in  $\mathcal{C}$ .

A morphism  $f : X \rightarrow Y$  is an *isomorphism* if there exists  $f^{-1} : Y \rightarrow X$  with  $f^{-1} \circ f = \text{id}_X$  and  $f \circ f^{-1} = \text{id}_Y$ . A category  $\mathcal{C}$  is called a *groupoid* if every morphism is an isomorphism. In a groupoid  $\mathcal{C}$ , for each  $X \in \text{Obj}(\mathcal{C})$  the set  $\text{Hom}(X, X)$  of morphisms  $f : X \rightarrow X$  form a group.

A category  $\mathcal{C}$  is *small* if  $\text{Obj}(\mathcal{C})$  is a set, rather than a proper class. It is *essentially small* if the isomorphism classes  $\text{Obj}(\mathcal{C}) / \cong$  of objects in  $\mathcal{C}$  form a set, rather than a proper class.

If  $\mathcal{C}$  is a category, the *opposite category*  $\mathcal{C}^{\text{op}}$  is  $\mathcal{C}$  with the directions of all morphisms reversed. That is, we define  $\text{Obj}(\mathcal{C}^{\text{op}}) = \text{Obj}(\mathcal{C})$ , and for all  $X, Y, Z \in \text{Obj}(\mathcal{C})$  we define  $\text{Hom}_{\mathcal{C}^{\text{op}}}(X, Y) = \text{Hom}_{\mathcal{C}}(Y, X)$ , and for  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  in  $\mathcal{C}$  we define  $f \circ_{\mathcal{C}^{\text{op}}} g = g \circ_{\mathcal{C}} f$ , and  $\text{id}_{\mathcal{C}^{\text{op}}} X = \text{id}_{\mathcal{C}} X$ .

Given categories  $\mathcal{C}, \mathcal{D}$ , the *product category*  $\mathcal{C} \times \mathcal{D}$  has objects  $(W, X)$  in  $\text{Obj}(\mathcal{C}) \times \text{Obj}(\mathcal{D})$  and morphisms  $f \times g : (W, X) \rightarrow (Y, Z)$  when  $f : W \rightarrow Y$  is a morphism in  $\mathcal{C}$  and  $g : X \rightarrow Z$  is a morphism in  $\mathcal{D}$ , in the obvious way.

We call  $\mathcal{D}$  a *subcategory* of  $\mathcal{C}$  if  $\text{Obj}(\mathcal{D}) \subseteq \text{Obj}(\mathcal{C})$ , and  $\text{Hom}_{\mathcal{D}}(X, Y) \subseteq \text{Hom}_{\mathcal{C}}(X, Y)$  for all  $X, Y \in \text{Obj}(\mathcal{D})$ , and compositions and identities in  $\mathcal{C}, \mathcal{D}$

agree. We call  $\mathcal{D}$  a *full* subcategory if also  $\text{Hom}_{\mathcal{D}}(X, Y) = \text{Hom}_{\mathcal{C}}(X, Y)$  for all  $X, Y$  in  $\text{Obj}(\mathcal{D})$ .

**Definition A.2.** Let  $\mathcal{C}, \mathcal{D}$  be categories. A (*covariant*) *functor*  $F : \mathcal{C} \rightarrow \mathcal{D}$  gives, for all objects  $X$  in  $\mathcal{C}$  an object  $F(X)$  in  $\mathcal{D}$ , and for all morphisms  $f : X \rightarrow Y$  in  $\mathcal{C}$  a morphism  $F(f) : F(X) \rightarrow F(Y)$  in  $\mathcal{D}$ , such that  $F(g \circ f) = F(g) \circ F(f)$  for all  $f : X \rightarrow Y, g : Y \rightarrow Z$  in  $\mathcal{C}$ , and  $F(\text{id}_X) = \text{id}_{F(X)}$  for all  $X \in \text{Obj}(\mathcal{C})$ . A *contravariant functor*  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a covariant functor  $F : \mathcal{C}^{\text{op}} \rightarrow \mathcal{D}$ .

Functors compose in the obvious way. Each category  $\mathcal{C}$  has an obvious *identity functor*  $\text{id}_{\mathcal{C}} : \mathcal{C} \rightarrow \mathcal{C}$  with  $\text{id}_{\mathcal{C}}(X) = X$  and  $\text{id}_{\mathcal{C}}(f) = f$  for all  $X, f$ . A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is called *full* if the maps  $\text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{D}}(F(X), F(Y)), f \mapsto F(f)$  are surjective for all  $X, Y \in \text{Obj}(\mathcal{C})$ , and *faithful* if the maps  $\text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{D}}(F(X), F(Y))$  are injective for all  $X, Y \in \text{Obj}(\mathcal{C})$ .

Let  $\mathcal{C}, \mathcal{D}$  be categories and  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be functors. A *natural transformation*  $\eta : F \Rightarrow G$  gives, for all objects  $X$  in  $\mathcal{C}$ , a morphism  $\eta(X) : F(X) \rightarrow G(X)$  in  $\mathcal{D}$  such that if  $f : X \rightarrow Y$  is a morphism in  $\mathcal{C}$  then  $\eta(Y) \circ F(f) = G(f) \circ \eta(X)$  as morphisms  $F(X) \rightarrow G(Y)$  in  $\mathcal{D}$ . We call  $\eta$  a *natural isomorphism* if  $\eta(X)$  is an isomorphism for all  $X \in \text{Obj}(\mathcal{C})$ .

A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is called an *equivalence* if there exist a functor  $G : \mathcal{D} \rightarrow \mathcal{C}$  and natural isomorphisms  $\eta : G \circ F \Rightarrow \text{id}_{\mathcal{C}}$  and  $\zeta : F \circ G \Rightarrow \text{id}_{\mathcal{D}}$ . Then we call  $\mathcal{C}, \mathcal{D}$  *equivalent categories*.

It is a fundamental principle of category theory that equivalent categories  $\mathcal{C}, \mathcal{D}$  should be thought of as being ‘the same’, and naturally isomorphic functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  should be thought of as being ‘the same’. Note that equivalence of categories  $\mathcal{C}, \mathcal{D}$  is much weaker than strict isomorphism: isomorphism classes of objects in  $\mathcal{C}$  are naturally in bijection with isomorphism classes of objects in  $\mathcal{D}$ , but there need be no relation between the sizes of the isomorphism classes, so that  $\mathcal{C}$  could have many more objects than  $\mathcal{D}$ , for instance.

**Definition A.3.** Let  $\mathcal{C}$  be a category, and  $g : X \rightarrow Z, h : Y \rightarrow Z$  be morphisms in  $\mathcal{C}$ . A *fibre product* of  $g, h$  in  $\mathcal{C}$  is an object  $W$  and morphisms  $e : W \rightarrow X$  and  $f : W \rightarrow Y$  in  $\mathcal{C}$ , such that  $g \circ e = h \circ f$ , with the universal property that if  $e' : W' \rightarrow X$  and  $f' : W' \rightarrow Y$  are morphisms in  $\mathcal{C}$  with  $g \circ e' = h \circ f'$  then there is a unique morphism  $b : W' \rightarrow W$  with  $e' = e \circ b$  and  $f' = f \circ b$ . Then we write  $W = X \times_{g, Z, h} Y$  or  $W = X \times_Z Y$ , and  $e = \pi_X, f = \pi_Y$ . The diagram

$$\begin{array}{ccc} W & \xrightarrow{\quad} & Y \\ \downarrow e & \begin{array}{c} f \\ g \end{array} & \downarrow h \\ X & \xrightarrow{\quad} & Z \end{array} \quad (\text{A.1})$$

is called a *Cartesian square*. Fibre products need not exist, but if they do exist they are unique up to canonical isomorphism in  $\mathcal{C}$ .

## A.2 Strict and weak 2-categories

**Definition A.4.** A *strict 2-category*  $\mathcal{C}$  consists of a class of *objects*  $\text{Obj}(\mathcal{C})$ , for all  $X, Y \in \text{Obj}(\mathcal{C})$  an essentially small category  $\mathbf{Hom}(X, Y)$ , for all  $X, Y, Z$  in  $\text{Obj}(\mathcal{C})$  a functor  $\mu_{X,Y,Z} : \mathbf{Hom}(X, Y) \times \mathbf{Hom}(Y, Z) \rightarrow \mathbf{Hom}(X, Z)$  called *composition*, and for all  $X$  in  $\text{Obj}(\mathcal{C})$  an object  $\text{id}_X$  in  $\mathbf{Hom}(X, X)$  called the *identity 1-morphism*. These must satisfy the *associativity property*, that

$$\mu_{W,Y,Z} \circ (\mu_{W,X,Y} \times \text{id}_{\mathbf{Hom}(Y,Z)}) = \mu_{W,X,Z} \circ (\text{id}_{\mathbf{Hom}(W,X)} \times \mu_{X,Y,Z}) \quad (\text{A.2})$$

as functors  $\mathbf{Hom}(W, X) \times \mathbf{Hom}(X, Y) \times \mathbf{Hom}(Y, Z) \rightarrow \mathbf{Hom}(W, X)$ , and the *identity property*, that

$$\mu_{X,X,Y}(\text{id}_X, -) = \mu_{X,Y,Y}(-, \text{id}_Y) = \text{id}_{\mathbf{Hom}(X,Y)} \quad (\text{A.3})$$

as functors  $\mathbf{Hom}(X, Y) \rightarrow \mathbf{Hom}(X, Y)$ .

Objects  $f$  of  $\mathbf{Hom}(X, Y)$  are called *1-morphisms*, written  $f : X \rightarrow Y$ . For 1-morphisms  $f, g : X \rightarrow Y$ , morphisms  $\eta$  in  $\mathbf{Hom}_{\mathbf{Hom}(X,Y)}(f, g)$  are called *2-morphisms*, written  $\eta : f \Rightarrow g$ . Thus, a 2-category has objects  $X$ , and two kinds of morphisms: 1-morphisms  $f : X \rightarrow Y$  between objects, and 2-morphisms  $\eta : f \Rightarrow g$  between 1-morphisms.

A *weak 2-category*, or *bicategory*, is like a strict 2-category, except that the equations of functors (A.2), (A.3) are required to hold only up to specified natural isomorphisms. That is, a weak 2-category  $\mathcal{C}$  consists of data  $\text{Obj}(\mathcal{C}), \mathbf{Hom}(X, Y), \mu_{X,Y,Z}, \text{id}_X$  as above, but in place of (A.2), a natural isomorphism of functors

$$\alpha : \mu_{W,Y,Z} \circ (\mu_{W,X,Y} \times \text{id}_{\mathbf{Hom}(Y,Z)}) \Longrightarrow \mu_{W,X,Z} \circ (\text{id}_{\mathbf{Hom}(W,X)} \times \mu_{X,Y,Z}), \quad (\text{A.4})$$

and in place of (A.3), natural isomorphisms

$$\beta : \mu_{X,X,Y}(\text{id}_X, -) \Longrightarrow \text{id}_{\mathbf{Hom}(X,Y)}, \quad \gamma : \mu_{X,Y,Y}(-, \text{id}_Y) \Longrightarrow \text{id}_{\mathbf{Hom}(X,Y)}. \quad (\text{A.5})$$

These  $\alpha, \beta, \gamma$  must satisfy identities which we give below in (A.9) and (A.12).

A strict 2-category  $\mathcal{C}$  can be regarded as an example of a weak 2-category, in which the natural isomorphisms  $\alpha, \beta, \gamma$  in (A.4)–(A.5) are the identities.

We now unpack Definition A.4, making it more explicit.

There are three kinds of composition in a 2-category, satisfying various associativity relations. If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are 1-morphisms then  $\mu_{X,Y,Z}(f, g)$  is the *composition of 1-morphisms*, written  $g \circ f : X \rightarrow Z$ . If  $f, g, h : X \rightarrow Y$  are 1-morphisms and  $\eta : f \Rightarrow g, \zeta : g \Rightarrow h$  are 2-morphisms then composition of  $\eta, \zeta$  in  $\mathbf{Hom}(X, Y)$  gives the *vertical composition of 2-morphisms*, written  $\zeta \odot \eta : f \Rightarrow h$ , as a diagram

$$\begin{array}{ccc} \begin{array}{ccc} & f & \\ & \Downarrow \eta & \\ X & \xrightarrow{g} & Y \\ & \Downarrow \zeta & \\ & h & \end{array} & \rightsquigarrow & \begin{array}{ccc} & f & \\ & \Downarrow \zeta \odot \eta & \\ X & \xrightarrow{\quad} & Y \\ & h & \end{array} \end{array}$$

Vertical composition is associative.

If  $f, \dot{f} : X \rightarrow Y$  and  $g, \dot{g} : Y \rightarrow Z$  are 1-morphisms and  $\eta : f \Rightarrow \dot{f}$ ,  $\zeta : g \Rightarrow \dot{g}$  are 2-morphisms then  $\mu_{X,Y,Z}(\eta, \zeta)$  is the *horizontal composition of 2-morphisms*, written  $\zeta * \eta : g \circ f \Rightarrow \dot{g} \circ \dot{f}$ , as a diagram

$$X \begin{array}{c} \xrightarrow{f} \\ \Downarrow \eta \\ \xrightarrow{\dot{f}} \end{array} Y \begin{array}{c} \xrightarrow{g} \\ \Downarrow \zeta \\ \xrightarrow{\dot{g}} \end{array} Z \quad \rightsquigarrow \quad X \begin{array}{c} \xrightarrow{g \circ f} \\ \Downarrow \zeta * \eta \\ \xrightarrow{\dot{g} \circ \dot{f}} \end{array} Z.$$

As  $\mu_{X,Y,Z}$  is a functor, these satisfy *compatibility of vertical and horizontal composition*: given a diagram of 1- and 2-morphisms

$$X \begin{array}{c} \xrightarrow{f} \\ \Downarrow \eta \\ \xrightarrow{\dot{f}} \end{array} Y \begin{array}{c} \xrightarrow{g} \\ \Downarrow \zeta \\ \xrightarrow{\dot{g}} \end{array} Z,$$

we have

$$(\dot{\zeta} \circ \zeta) * (\dot{\eta} \circ \eta) = (\dot{\zeta} * \dot{\eta}) \circ (\zeta * \eta) : g \circ f \Rightarrow \dot{g} \circ \dot{f}. \quad (\text{A.6})$$

There are also two kinds of identity: *identity 1-morphisms*  $\text{id}_X : X \rightarrow X$  and *identity 2-morphisms*  $\text{id}_f : f \Rightarrow f$ .

In a strict 2-category  $\mathcal{C}$ , composition of 1-morphisms is strictly associative,  $(g \circ f) \circ e = g \circ (f \circ e)$ , and horizontal composition of 2-morphisms is strictly associative,  $(\zeta * \eta) * \epsilon = \zeta * (\eta * \epsilon)$ . In a weak 2-category  $\mathcal{C}$ , composition of 1-morphisms is associative up to specified 2-isomorphisms. That is, if  $e : W \rightarrow X$ ,  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  are 1-morphisms in  $\mathcal{C}$  then the natural isomorphism  $\alpha$  in (A.4) gives a 2-isomorphism

$$\alpha_{g,f,e} : (g \circ f) \circ e \Rightarrow g \circ (f \circ e). \quad (\text{A.7})$$

As  $\alpha$  is a natural isomorphism, given 1-morphisms  $e, \dot{e} : W \rightarrow X$ ,  $f, \dot{f} : X \rightarrow Y$ ,  $g, \dot{g} : Y \rightarrow Z$  and 2-morphisms  $\epsilon : e \Rightarrow \dot{e}$ ,  $\eta : f \Rightarrow \dot{f}$ ,  $\zeta : g \Rightarrow \dot{g}$  in  $\mathcal{C}$ , the following diagram of 2-morphisms must commute:

$$\begin{array}{ccc} (g \circ f) \circ e & \xrightarrow{\alpha_{g,f,e}} & g \circ (f \circ e) \\ \Downarrow (\zeta * \eta) * \epsilon & & \zeta * (\eta * \epsilon) \Downarrow \\ (\dot{g} \circ \dot{f}) \circ \dot{e} & \xrightarrow{\alpha_{\dot{g},\dot{f},\dot{e}}} & \dot{g} \circ (\dot{f} \circ \dot{e}). \end{array} \quad (\text{A.8})$$

The  $\alpha_{g,f,e}$  must satisfy the *associativity coherence axiom*: if  $d : V \rightarrow W$  is another 1-morphism, then the following diagram of 2-morphisms must commute:

$$\begin{array}{ccc} ((g \circ f) \circ e) \circ d & \xrightarrow{\alpha_{g,f,e} * \text{id}_d} & (g \circ (f \circ e)) \circ d & \xrightarrow{\alpha_{g,f \circ e,d}} & g \circ ((f \circ e) \circ d) \\ \Downarrow \alpha_{g \circ f,e,d} & & & & \text{id}_g * \alpha_{f,e,d} \Downarrow \\ (g \circ f) \circ (e \circ d) & \xrightarrow{\alpha_{g,f,d \circ e}} & & & g \circ (f \circ (e \circ d)). \end{array} \quad (\text{A.9})$$

In a strict 2-category  $\mathcal{C}$ , given a 1-morphism  $f : X \rightarrow Y$ , the identity 1-morphisms  $\text{id}_X, \text{id}_Y$  satisfy  $f \circ \text{id}_X = \text{id}_Y \circ f = f$ . In a weak 2-category  $\mathcal{C}$ , the natural isomorphisms  $\beta, \gamma$  in (A.5) give 2-isomorphisms

$$\beta_f : f \circ \text{id}_X \Rightarrow f, \quad \gamma_f : \text{id}_Y \circ f \Rightarrow f. \quad (\text{A.10})$$

As  $\beta, \gamma$  are natural isomorphisms, if  $\eta : f \Rightarrow \dot{f}$  is a 2-morphism we must have

$$\begin{aligned} \eta \odot \beta_f &= \beta_{\dot{f}} \odot (\eta * \text{id}_{\text{id}_X}) : f \circ \text{id}_X \Rightarrow \dot{f}, \\ \eta \odot \gamma_f &= \gamma_{\dot{f}} \odot (\text{id}_{\text{id}_Y} * \eta) : \text{id}_Y \circ f \Rightarrow \dot{f}. \end{aligned} \quad (\text{A.11})$$

The  $\beta_f, \gamma_f$  must satisfy the *identity coherence axiom*: if  $g : Y \rightarrow Z$  is another 1-morphism, then the following diagram of 2-morphisms must commute:

$$\begin{array}{ccc} (g \circ \text{id}_Y) \circ f & \xrightarrow{\beta_g * \text{id}_f} & g \circ f. \\ \Downarrow \alpha_{g, \text{id}_Y, f} & \searrow & \\ g \circ (\text{id}_Y \circ f) & \xrightarrow{\text{id}_g * \gamma_f} & \end{array} \quad (\text{A.12})$$

A 2-category  $\mathcal{C}$  is called a *(2, 1)-category* if all 2-morphisms in  $\mathcal{C}$  are invertible under vertical composition.

A basic example of a strict 2-category is the *2-category of categories*  $\mathbf{Cat}$ , with objects small categories  $\mathcal{C}$ , 1-morphisms functors  $F : \mathcal{C} \rightarrow \mathcal{D}$ , and 2-morphisms natural transformations  $\eta : F \Rightarrow G$  for functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$ . Orbifolds naturally form a 2-category (strict or weak, depending on the definition), and so do stacks in algebraic geometry.

In a 2-category  $\mathcal{C}$ , there are three notions of when objects  $X, Y$  in  $\mathcal{C}$  are ‘the same’: *equality*  $X = Y$ , and *1-isomorphism*, that is we have 1-morphisms  $f : X \rightarrow Y, g : Y \rightarrow X$  with  $g \circ f = \text{id}_X$  and  $f \circ g = \text{id}_Y$ , and *equivalence*, that is, we have 1-morphisms  $f : X \rightarrow Y, g : Y \rightarrow X$  and 2-isomorphisms  $\eta : g \circ f \Rightarrow \text{id}_X$  and  $\zeta : f \circ g \Rightarrow \text{id}_Y$ . Usually equivalence is the correct notion. By [3, Prop. B.8], we can also choose  $\eta, \zeta$  to satisfy some extra identities:

**Proposition A.5.** *Let  $\mathcal{C}$  be a weak 2-category, and  $f : X \rightarrow Y$  be an equivalence in  $\mathcal{C}$ . Then there exist a 1-morphism  $g : Y \rightarrow X$  and 2-isomorphisms  $\eta : g \circ f \Rightarrow \text{id}_X$  and  $\zeta : f \circ g \Rightarrow \text{id}_Y$  with  $\zeta * \text{id}_f = (\text{id}_f * \eta) \odot \alpha_{f, g, f}$  as 2-isomorphisms  $(f \circ g) \circ f \Rightarrow f$ , and  $\eta * \text{id}_g = (\text{id}_g * \zeta) \odot \alpha_{g, f, g}$  as 2-isomorphisms  $(g \circ f) \circ g \Rightarrow g$ .*

The next elementary lemma about 2-categories is easy to prove.

**Lemma A.6.** *Suppose  $f : X \rightarrow Y$  and  $g, h : Y \rightarrow Z$  are 1-morphisms in a (strict or weak) 2-category  $\mathcal{C}$ , with  $f$  an equivalence. Then the map  $\eta \mapsto \eta * \text{id}_f = \zeta$  induces a 1-1 correspondence between 2-morphisms  $\eta : g \Rightarrow h$  and 2-morphisms  $\zeta : g \circ f \Rightarrow h \circ f$  in  $\mathcal{C}$ .*

**Definition A.7.** Let  $\mathcal{C}$  be a 2-category. When we say that objects  $X, Y$  in  $\mathcal{C}$  are *canonically equivalent*, we mean that there is a nonempty distinguished class  $\mathcal{E}$  of equivalences  $f : X \rightarrow Y$  in  $\mathcal{C}$ , and given any  $f, g$  in  $\mathcal{E}$  there is a 2-isomorphism  $\eta : f \Rightarrow g$ . Often there is a distinguished choice of such  $\eta$ .

When we say that an object  $X$  in  $\mathcal{C}$  is *unique up to canonical equivalence*, we mean that there is a nonempty class  $\mathcal{O}$  of distinguished choices  $X, X', X'', \dots$  for  $X$ , and given any  $X, X'$  in  $\mathcal{O}$  there is a nonempty distinguished class  $\mathcal{E}_{X, X'}$  of equivalences  $f : X \rightarrow X'$ , and given any  $f, g$  in  $\mathcal{E}_{X, X'}$  there is a 2-isomorphism  $\eta : f \Rightarrow g$ , such that  $\text{id}_X : X \rightarrow X$  lies in  $\mathcal{E}_{X, X}$ , and if  $f : X \rightarrow X'$  lies in  $\mathcal{E}_{X, X'}$  and  $f' : X' \rightarrow X''$  in  $\mathcal{E}_{X', X''}$  then  $f' \circ f : X \rightarrow X''$  lies in  $\mathcal{E}_{X, X''}$ .

*Commutative diagrams* in 2-categories should in general only commute *up to (specified) 2-isomorphisms*, rather than strictly. A simple example of a commutative diagram in a 2-category  $\mathcal{C}$  is

$$\begin{array}{ccc} & & Y \\ & \nearrow f & \searrow g \\ X & & Z \\ & \xrightarrow{h} & \end{array}, \quad \begin{array}{c} \Downarrow \eta \\ h \end{array}$$

which means that  $X, Y, Z$  are objects of  $\mathcal{C}$ ,  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  and  $h : X \rightarrow Z$  are 1-morphisms in  $\mathcal{C}$ , and  $\eta : g \circ f \Rightarrow h$  is a 2-isomorphism.

Let  $\mathcal{C}$  be a 2-category. The *homotopy category*  $\text{Ho}(\mathcal{C})$  of  $\mathcal{C}$  is the category whose objects are objects of  $\mathcal{C}$ , and whose morphisms  $[f] : X \rightarrow Y$  are 2-isomorphism classes  $[f]$  of 1-morphisms  $f : X \rightarrow Y$  in  $\mathcal{C}$ . The condition in Definition A.4 that  $\mathbf{Hom}(X, Y)$  is essentially small ensures that  $\text{Hom}_{\text{Ho}(\mathcal{C})}(X, Y)$  is a set, rather than a proper class. Then equivalences in  $\mathcal{C}$  become isomorphisms in  $\text{Ho}(\mathcal{C})$ , 2-commutative diagrams in  $\mathcal{C}$  become commutative diagrams in  $\text{Ho}(\mathcal{C})$ , and so on.

### A.3 2-functors, 2-natural transformations, modifications

Next we discuss 2-functors between 2-categories, following Borceux [6, §7.2, §7.5] and Behrend et al. [3, §B.4].

**Definition A.8.** Let  $\mathcal{C}, \mathcal{D}$  be strict 2-categories. A *strict 2-functor*  $F : \mathcal{C} \rightarrow \mathcal{D}$  assigns an object  $F(X)$  in  $\mathcal{D}$  for each object  $X$  in  $\mathcal{C}$ , a 1-morphism  $F(f) : F(X) \rightarrow F(Y)$  in  $\mathcal{D}$  for each 1-morphism  $f : X \rightarrow Y$  in  $\mathcal{C}$ , and a 2-morphism  $F(\eta) : F(f) \Rightarrow F(g)$  in  $\mathcal{D}$  for each 2-morphism  $\eta : f \Rightarrow g$  in  $\mathcal{C}$ , such that  $F$  preserves all the structures on  $\mathcal{C}, \mathcal{D}$ , that is,

$$F(g \circ f) = F(g) \circ F(f), \quad F(\text{id}_X) = \text{id}_{F(X)}, \quad F(\zeta * \eta) = F(\zeta) * F(\eta), \quad (\text{A.13})$$

$$F(\zeta \circ \eta) = F(\zeta) \circ F(\eta), \quad F(\text{id}_f) = \text{id}_{F(f)}. \quad (\text{A.14})$$

Now let  $\mathcal{C}, \mathcal{D}$  be weak 2-categories. Then strict 2-functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  are not well-behaved. To fix this, we need to relax (A.13) to hold only up to specified 2-isomorphisms. A *weak 2-functor* (or *pseudofunctor*)  $F : \mathcal{C} \rightarrow \mathcal{D}$  assigns an object  $F(X)$  in  $\mathcal{D}$  for each object  $X$  in  $\mathcal{C}$ , a 1-morphism  $F(f) : F(X) \rightarrow F(Y)$  in  $\mathcal{D}$  for each 1-morphism  $f : X \rightarrow Y$  in  $\mathcal{C}$ , a 2-morphism  $F(\eta) : F(f) \Rightarrow F(g)$  in  $\mathcal{D}$  for each 2-morphism  $\eta : f \Rightarrow g$  in  $\mathcal{C}$ , a 2-isomorphism  $F_{g,f} : F(g) \circ F(f) \Rightarrow F(g \circ f)$  in  $\mathcal{D}$  for all 1-morphisms  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  in  $\mathcal{C}$ , and a 2-isomorphism  $F_X : F(\text{id}_X) \Rightarrow \text{id}_{F(X)}$  in  $\mathcal{D}$  for all objects  $X$  in  $\mathcal{C}$ , such that (A.14) holds, and for all  $e : W \rightarrow X$ ,  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  in  $\mathcal{C}$  the following diagram of 2-isomorphisms commutes in  $\mathcal{D}$ :

$$\begin{array}{ccccc} (F(g) \circ F(f)) \circ F(e) & \xrightarrow{F_{g,f} * \text{id}_{F(e)}} & F(g \circ f) \circ F(e) & \xrightarrow{F_{g \circ f, e}} & F((g \circ f) \circ e) \\ \Downarrow \alpha_{F(g), F(f), F(e)} & & & & \Downarrow F(\alpha_{g, f, e}) \\ F(g) \circ (F(f) \circ F(e)) & \xrightarrow{\text{id}_{F(g)} * F_{f, e}} & F(g) \circ F(f \circ e) & \xrightarrow{F_{g, f \circ e}} & F(g \circ (f \circ e)), \end{array}$$

and for all 1-morphisms  $f : X \rightarrow Y$  in  $\mathcal{C}$ , the following commute in  $\mathcal{D}$ :

$$\begin{array}{ccc} F(f) \circ F(\text{id}_X) & \xrightarrow{F_{f, \text{id}_X}} & F(f \circ \text{id}_X) & F(\text{id}_Y) \circ F(f) & \xrightarrow{F_{\text{id}_Y, f}} & F(\text{id}_Y \circ f) \\ \Downarrow \text{id}_{F(f)} * F_X & & F(\beta_f) \Downarrow & \Downarrow F_Y * \text{id}_{F(f)} & & F(\gamma_f) \Downarrow \\ F(f) \circ \text{id}_{F(X)} & \xrightarrow{\beta_{F(f)}} & F(f), & \text{id}_{F(Y)} \circ F(f) & \xrightarrow{\gamma_{F(f)}} & F(f), \end{array}$$

and if  $f, \dot{f} : X \rightarrow Y$  and  $g, \dot{g} : Y \rightarrow Z$  are 1-morphisms and  $\eta : f \Rightarrow \dot{f}, \zeta : g \Rightarrow \dot{g}$  are 2-morphisms in  $\mathcal{C}$  then the following commutes in  $\mathcal{D}$ :

$$\begin{array}{ccc} F(g) \circ F(f) & \xrightarrow{F_{g, f}} & F(g \circ f) \\ \Downarrow F(\zeta) * F(\eta) & & F(\zeta * \eta) \Downarrow \\ F(\dot{g}) \circ F(\dot{f}) & \xrightarrow{F_{\dot{g}, \dot{f}}} & F(\dot{g} \circ \dot{f}). \end{array}$$

There are obvious notions of *composition*  $G \circ F$  of strict and weak 2-functors  $F : \mathcal{C} \rightarrow \mathcal{D}, G : \mathcal{D} \rightarrow \mathcal{E}$ , *identity 2-functors*  $\text{id}_{\mathcal{C}}$ , and so on.

If  $\mathcal{C}, \mathcal{D}$  are strict 2-categories, then a strict 2-functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  can be made into a weak 2-functor by taking all  $F_{g, f}, F_X$  to be identity 2-morphisms.

Here is the 2-category analogue of natural transformations of functors:

**Definition A.9.** Let  $\mathcal{C}, \mathcal{D}$  be weak 2-categories and  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be weak 2-functors. A *weak 2-natural transformation* (or *pseudo-natural transformation*)  $\Theta : F \Rightarrow G$  assigns a 1-morphism  $\Theta(X) : F(X) \rightarrow G(X)$  in  $\mathcal{D}$  for all objects  $X$  in  $\mathcal{C}$  and a 2-isomorphism  $\Theta(f) : \Theta(Y) \circ F(f) \Rightarrow G(f) \circ \Theta(X)$  in  $\mathcal{D}$  for all 1-morphisms  $f : X \rightarrow Y$  in  $\mathcal{C}$ , such that if  $\eta : f \Rightarrow g$  is a 2-morphism in  $\mathcal{C}$  then

$$\begin{aligned} (G(\eta) * \text{id}_{\Theta(X)}) \circ \Theta(f) &= \Theta(g) \circ (\text{id}_{\Theta(Y)} * F(\eta)) : \\ \Theta(Y) \circ F(f) &\longrightarrow G(g) \circ \Theta(X), \end{aligned}$$

and if  $f : X \rightarrow Y, g : Y \rightarrow Z$  are 1-morphisms in  $\mathcal{C}$  then the following diagram of 2-isomorphisms commutes in  $\mathcal{D}$ :

$$\begin{array}{ccc} (\Theta(Z) \circ F(g)) \circ F(f) & \xrightarrow{\alpha_{\Theta(Z), F(g), F(f)}} & \Theta(Z) \circ (F(g) \circ F(f)) & \xrightarrow{\text{id}_{\Theta(Z)} * F_{g, f}} & \Theta(Z) \circ (F(g \circ f)) \\ \Downarrow \Theta(g) * \text{id}_{F(f)} & & & & \Theta(g \circ f) \Downarrow \\ (G(g) \circ \Theta(Y)) \circ F(f) & & & & G(g \circ f) \circ \Theta(X) \\ \Downarrow \alpha_{G(g), \Theta(Y), F(f)} & \text{id}_{G(g)} * \Theta(f) & & \alpha_{G(g), G(f), \Theta(X)}^{-1} & G_{g, f} * \text{id}_{\Theta(X)} \Uparrow \\ G(g) \circ (\Theta(Y) \circ F(f)) & \xrightarrow{\text{id}_{G(g)} * \Theta(f)} & G(g) \circ (G(f) \circ \Theta(X)) & \xrightarrow{\alpha_{G(g), G(f), \Theta(X)}^{-1}} & (G(g) \circ G(f)) \circ \Theta(X), \end{array}$$

and if  $X \in \mathcal{C}$  then the following diagram of 2-isomorphisms commutes in  $\mathcal{D}$ :

$$\begin{array}{ccc} \Theta(X) \circ F(\text{id}_X) & \xrightarrow{\Theta(\text{id}_X)} & G(\text{id}_X) \circ \Theta(X) & \xrightarrow{G_X * \text{id}_{\Theta(X)}} & \text{id}_{G(X)} \circ \Theta(X) \\ \Downarrow \text{id}_{\Theta(X)} * F_X & & & & \gamma_{\Theta(X)} \Downarrow \\ \Theta(X) \circ \text{id}_{F(X)} & \xrightarrow{\beta_{\Theta(X)}} & & & \Theta(X). \end{array}$$



Just as the ‘category of (small) categories’ is actually a (strict) 2-category, so the ‘category of (weak) 2-categories’ is actually a 3-category (which we will not define). The 3-morphisms in this 3-category, morphisms between weak 2-natural transformations, are called *modifications*.

**Definition A.10.** Let  $\mathcal{C}, \mathcal{D}$  be weak 2-categories,  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  be weak 2-functors, and  $\Theta, \Phi : F \rightrightarrows G$  be weak 2-natural transformations. A *modification*  $\aleph : F \rightrightarrows G$  assigns a 2-isomorphism  $\aleph(X) : \Theta(X) \rightrightarrows \Phi(X)$  in  $\mathcal{D}$  for all objects  $X$  in  $\mathcal{C}$ , such that for all 1-morphisms  $f : X \rightarrow Y$  in  $\mathcal{C}$  we have

$$\begin{aligned} (\mathrm{id}_{G(f)} * \aleph(X)) \odot \Theta(f) &= \Phi(f) \odot (\aleph(Y) * \mathrm{id}_{F(f)}) : \\ \Theta(Y) \circ F(f) &\rightrightarrows \Phi(Y) \circ G(f). \end{aligned}$$

There are obvious notions of composition of modifications, identity modifications, and so on.

A weak 2-natural transformation  $\Theta : F \rightrightarrows G$  is called an *equivalence of 2-functors* if there exist a weak 2-natural transformation  $\Phi : G \rightrightarrows F$  and modifications  $\aleph : \Phi \circ \Theta \rightrightarrows \mathrm{id}_F$  and  $\beth : \Theta \circ \Phi \rightrightarrows \mathrm{id}_G$ . Equivalence of 2-functors is a good notion of when weak 2-functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  are ‘the same’.

A weak 2-functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is called an *equivalence of weak 2-categories* if there exists a weak 2-functor  $G : \mathcal{D} \rightarrow \mathcal{C}$  and equivalences of 2-functors  $\Theta : G \circ F \rightrightarrows \mathrm{id}_{\mathcal{C}}$ ,  $\Phi : F \circ G \rightrightarrows \mathrm{id}_{\mathcal{D}}$ . Equivalence of weak 2-categories is a good notion of when weak 2-categories  $\mathcal{C}, \mathcal{D}$  are ‘the same’.

Here are some well-known facts about 2-categories:

- (i) Every weak 2-category  $\mathcal{C}$  is equivalent as a weak 2-category to a strict 2-category  $\mathcal{C}'$ , that is, weak 2-categories can always be strictified.
- (ii) If  $\mathcal{C}, \mathcal{D}$  are strict 2-categories, and  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a weak 2-functor, it may not be true that  $F$  is equivalent to a strict 2-functor  $F' : \mathcal{C} \rightarrow \mathcal{D}$  (though this does hold if  $\mathcal{D} = \mathbf{Cat}$ , the strict 2-category of categories). That is, weak 2-functors cannot necessarily be strictified.

Even if one is working with strict 2-categories, weak 2-functors are often the correct notion of functor between them.

- (iii) A weak 2-functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is an equivalence of weak 2-categories, as in Definition A.10, if and only if for all objects  $X, Y$  in  $\mathcal{C}$ , the functor  $F_{X,Y} : \mathbf{Hom}_{\mathcal{C}}(X, Y) \rightarrow \mathbf{Hom}_{\mathcal{D}}(F(X), F(Y))$  is an equivalence of categories, and the map induced by  $F$  from equivalence classes of objects in  $\mathcal{C}$  to equivalence classes of objects in  $\mathcal{D}$  is surjective (and hence a bijection).

## A.4 Fibre products in 2-categories

Fibre products in ordinary categories were defined in Definition A.3. We now define fibre products in 2-categories, following Behrend et al. [3, Def. B.13].

**Definition A.11.** Let  $\mathcal{C}$  be a strict 2-category and  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  be 1-morphisms in  $\mathcal{C}$ . A *fibre product* in  $\mathcal{C}$  consists of an object  $W$ , 1-morphisms  $e : W \rightarrow X$  and  $f : W \rightarrow Y$  and a 2-isomorphism  $\eta : g \circ e \Rightarrow h \circ f$  in  $\mathcal{C}$ , so that we have a 2-commutative diagram

$$\begin{array}{ccc} W & \xrightarrow{\quad} & Y \\ \downarrow e & \begin{array}{c} f \\ \eta \Uparrow \end{array} & \downarrow h \\ X & \xrightarrow{\quad g \quad} & Z \end{array} \quad (\text{A.15})$$

with the following universal property: suppose  $e' : W' \rightarrow X$  and  $f' : W' \rightarrow Y$  are 1-morphisms and  $\eta' : g \circ e' \Rightarrow h \circ f'$  is a 2-isomorphism in  $\mathcal{C}$ . Then there should exist a 1-morphism  $b : W' \rightarrow W$  and 2-isomorphisms  $\zeta : e \circ b \Rightarrow e'$ ,  $\theta : f \circ b \Rightarrow f'$  such that the following diagram of 2-isomorphisms commutes:

$$\begin{array}{ccc} g \circ e \circ b & \xrightarrow{\quad \eta * \text{id}_b \quad} & h \circ f \circ b \\ \downarrow \text{id}_g * \zeta & \eta' & \downarrow \text{id}_h * \theta \\ g \circ e' & \xrightarrow{\quad} & h \circ f'. \end{array} \quad (\text{A.16})$$

Furthermore, if  $\tilde{b}, \tilde{\zeta}, \tilde{\theta}$  are alternative choices of  $b, \zeta, \theta$  then there should exist a unique 2-isomorphism  $\epsilon : b \Rightarrow \tilde{b}$  with

$$\zeta = \tilde{\zeta} \circ (\text{id}_e * \epsilon) \quad \text{and} \quad \theta = \tilde{\theta} \circ (\text{id}_f * \epsilon).$$

We call such a fibre product diagram (A.15) a *2-Cartesian square*. We often write  $W = X \times_Z Y$  or  $W = X \times_{g,Z,h} Y$ , and call  $W$  the fibre product.

If a fibre product  $X \times_Z Y$  in  $\mathcal{C}$  exists then it is unique up to canonical equivalence in  $\mathcal{C}$ . If  $\mathcal{C}$  is an ordinary category, that is, all 2-morphisms are identities  $\text{id}_f : f \Rightarrow f$ , this definition of fibre products in  $\mathcal{C}$  is equivalent to that in Definition A.3.

If instead  $\mathcal{C}$  is a weak 2-category, we must replace (A.16) by

$$\begin{array}{ccccc} (g \circ e) \circ b & \xrightarrow{\quad \eta * \text{id}_b \quad} & (h \circ f) \circ b & \xrightarrow{\quad \alpha_{h,f,b} \quad} & h \circ (f \circ b) \\ \downarrow \alpha_{g,e,b} & \text{id}_g * \zeta & \eta' & \downarrow \text{id}_h * \theta & \\ g \circ (e \circ b) & \xrightarrow{\quad} & g \circ e' & \xrightarrow{\quad} & h \circ f'. \end{array} \quad (\text{A.17})$$

Orbifolds, and stacks in algebraic geometry, form 2-categories, and Definition A.11 is the right way to define fibre products of orbifolds or stacks.

## A.5 Sheaves on topological spaces

Next we discuss sheaves. These are a fundamental tool in Algebraic Geometry, as in Hartshorne [43, §II.1], for instance. Although Differential Geometers may not be familiar with sheaves, nonetheless they are everywhere in Differential Geometry, and one uses properties of sheaves all the time without noticing.

For something to be a sheaf on a space  $X$  just means that it is defined locally on  $X$ . For example, if  $X$  is a manifold then smooth functions  $f : X \rightarrow \mathbb{R}$  form a sheaf  $\mathcal{O}_X$  of  $\mathbb{R}$ -algebras on  $X$ , since the condition that a function  $f : X \rightarrow \mathbb{R}$  is smooth is a local condition near each  $x \in X$ . Some good references on sheaves are Bredon [10], Godement [40], and Hartshorne [43, §II.1].

**Definition A.12.** Let  $X$  be a topological space. A *presheaf of sets*  $\mathcal{E}$  on  $X$  consists of the data of a set  $\mathcal{E}(S)$  for every open set  $S \subseteq X$ , and a map  $\rho_{ST} : \mathcal{E}(S) \rightarrow \mathcal{E}(T)$  called the *restriction map* for every inclusion  $T \subseteq S \subseteq X$  of open sets, satisfying the conditions that:

- (i)  $\mathcal{E}(\emptyset) = *$  is a point.
- (ii)  $\rho_{SS} = \text{id}_{\mathcal{E}(S)} : \mathcal{E}(S) \rightarrow \mathcal{E}(S)$  for all open  $S \subseteq X$ ; and
- (iii)  $\rho_{SU} = \rho_{TU} \circ \rho_{ST} : \mathcal{E}(S) \rightarrow \mathcal{E}(U)$  for all open  $U \subseteq T \subseteq S \subseteq X$ .

A presheaf of sets  $\mathcal{E}$  on  $X$  is called a *sheaf* if it also satisfies

- (iv) If  $S \subseteq X$  is open,  $\{T_a : a \in A\}$  is an open cover of  $S$ , and  $s, t \in \mathcal{E}(S)$  have  $\rho_{ST_a}(s) = \rho_{ST_a}(t)$  in  $\mathcal{E}(T_a)$  for all  $a \in A$ , then  $s = t$  in  $\mathcal{E}(S)$ ; and
- (v) If  $S \subseteq X$  is open,  $\{T_a : a \in A\}$  is an open cover of  $S$ , and we are given elements  $s_a \in \mathcal{E}(T_a)$  for all  $a \in A$  such that  $\rho_{T_a(T_a \cap T_b)}(s_a) = \rho_{T_b(T_a \cap T_b)}(s_b)$  in  $\mathcal{E}(T_a \cap T_b)$  for all  $a, b \in A$ , then there exists  $s \in \mathcal{E}(S)$  with  $\rho_{ST_a}(s) = s_a$  for all  $a \in A$ . This  $s$  is unique by (iv).

Suppose  $\mathcal{E}, \mathcal{F}$  are presheaves or sheaves of sets on  $X$ . A *morphism*  $\phi : \mathcal{E} \rightarrow \mathcal{F}$  consists of a map  $\phi(S) : \mathcal{E}(S) \rightarrow \mathcal{F}(S)$  for all open  $S \subseteq X$ , such that the following diagram commutes for all open  $T \subseteq S \subseteq X$

$$\begin{array}{ccc} \mathcal{E}(S) & \xrightarrow{\phi(S)} & \mathcal{F}(S) \\ \downarrow \rho_{ST} & \phi(S) & \rho'_{ST} \downarrow \\ \mathcal{E}(T) & \xrightarrow{\phi(T)} & \mathcal{F}(T), \end{array}$$

where  $\rho_{ST}$  is the restriction map for  $\mathcal{E}$ , and  $\rho'_{ST}$  the restriction map for  $\mathcal{F}$ .

We have defined sheaves of sets, but one can also define sheaves of abelian groups, rings, modules,  $\dots$ , by replacing sets by abelian groups,  $\dots$ , throughout.

If  $\mathcal{E}$  is a sheaf of sets, abelian groups,  $\dots$  on  $X$  then we write  $\Gamma(\mathcal{E})$  for  $\mathcal{E}(X)$ , the *global sections of  $\mathcal{E}$* , as a set, abelian group,  $\dots$ .

**Definition A.13.** Let  $\mathcal{E}$  be a presheaf of sets on  $X$ . For each  $x \in X$ , the *stalk*  $\mathcal{E}_x$  is the direct limit of the sets  $\mathcal{E}(U)$  for all  $x \in U \subseteq X$ , via the restriction maps  $\rho_{UV}$ . A morphism  $\phi : \mathcal{E} \rightarrow \mathcal{F}$  induces morphisms  $\phi_x : \mathcal{E}_x \rightarrow \mathcal{F}_x$  for all  $x \in X$ . If  $\mathcal{E}, \mathcal{F}$  are sheaves then  $\phi$  is an isomorphism if and only if  $\phi_x$  is an isomorphism for all  $x \in X$ .

**Definition A.14.** Let  $\mathcal{E}$  be a presheaf of sets on  $X$ . A *sheafification* of  $\mathcal{E}$  is a sheaf of sets  $\hat{\mathcal{E}}$  on  $X$  and a morphism of presheaves  $\pi : \mathcal{E} \rightarrow \hat{\mathcal{E}}$ , such that whenever  $\mathcal{F}$  is a sheaf of sets on  $X$  and  $\phi : \mathcal{E} \rightarrow \mathcal{F}$  is a morphism, there is a unique morphism  $\hat{\phi} : \hat{\mathcal{E}} \rightarrow \mathcal{F}$  with  $\phi = \hat{\phi} \circ \pi$ . As in [43, Prop. II.1.2], a sheafification always exists, and is unique up to canonical isomorphism; one can be constructed explicitly using the stalks  $\mathcal{E}_x$  of  $\mathcal{E}$ .

Next we discuss *pushforwards* and *pullbacks* of sheaves by continuous maps.

**Definition A.15.** Let  $f : X \rightarrow Y$  be a continuous map of topological spaces, and  $\mathcal{E}$  a sheaf of sets on  $X$ . Define the *pushforward* (*direct image*) sheaf  $f_*(\mathcal{E})$  on  $Y$  by  $(f_*(\mathcal{E}))(U) = \mathcal{E}(f^{-1}(U))$  for all open  $U \subseteq Y$ , with restriction maps  $\rho'_{UV} = \rho_{f^{-1}(U)f^{-1}(V)} : (f_*(\mathcal{E}))(U) \rightarrow (f_*(\mathcal{E}))(V)$  for all open  $V \subseteq U \subseteq Y$ . Then  $f_*(\mathcal{E})$  is a sheaf of sets on  $Y$ .

If  $\phi : \mathcal{E} \rightarrow \mathcal{F}$  is a morphism of sheaves we define a morphism  $f_*(\phi) : f_*(\mathcal{E}) \rightarrow f_*(\mathcal{F})$  of sheaves on  $Y$  by  $(f_*(\phi))(u) = \phi(f^{-1}(U))$  for all open  $U \subseteq Y$ . For continuous maps  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  we have  $(g \circ f)_* = g_* \circ f_*$ .

**Definition A.16.** Let  $f : X \rightarrow Y$  be a continuous map of topological spaces, and  $\mathcal{E}$  a sheaf of sets on  $Y$ . Define a presheaf  $\mathcal{P}f^{-1}(\mathcal{E})$  on  $X$  by  $(\mathcal{P}f^{-1}(\mathcal{E}))(U) = \lim_{A \supseteq f(U)} \mathcal{E}(A)$  for open  $U \subseteq X$ , where the direct limit is taken over all open  $A \subseteq Y$  containing  $f(U)$ , using the restriction maps  $\rho_{AB}$  in  $\mathcal{E}$ . For open  $V \subseteq U \subseteq X$ , define  $\rho'_{UV} : (\mathcal{P}f^{-1}(\mathcal{E}))(U) \rightarrow (\mathcal{P}f^{-1}(\mathcal{E}))(V)$  as the direct limit of the morphisms  $\rho_{AB}$  in  $\mathcal{E}$  for  $B \subseteq A \subseteq Y$  with  $f(U) \subseteq A$  and  $f(V) \subseteq B$ . Then we define the *pullback* (*inverse image*)  $f^{-1}(\mathcal{E})$  to be the sheafification of the presheaf  $\mathcal{P}f^{-1}(\mathcal{E})$ . It is unique up to canonical isomorphism.

If  $\phi : \mathcal{E} \rightarrow \mathcal{F}$  is a morphism of sheaves on  $Y$ , one can define a pullback morphism  $f^{-1}(\phi) : f^{-1}(\mathcal{E}) \rightarrow f^{-1}(\mathcal{F})$  of sheaves on  $X$ . As in [43, Ex. II.1.18], pushforward  $f_*$  is right adjoint to  $f^{-1}$ . That is, there are natural bijections

$$\mathrm{Hom}_X(f^{-1}(\mathcal{E}), \mathcal{F}) \cong \mathrm{Hom}_Y(\mathcal{E}, f_*(\mathcal{F})) \quad (\text{A.18})$$

for all sheaves  $\mathcal{E}$  on  $Y$  and  $\mathcal{F}$  on  $X$ , with functorial properties.

## A.6 Stacks on topological spaces

In §A.5 we explained sheaves on topological spaces. We will also need a 2-category analogue of sheaves, called *stacks on a topological space*.

**Definition A.17.** Let  $X$  be a topological space. A *prestack* (or *prestack in groupoids*, or *2-presheaf*)  $\mathcal{E}$  on  $X$ , consists of the data of a groupoid  $\mathcal{E}(S)$  for every open set  $S \subseteq X$ , and a functor  $\rho_{ST} : \mathcal{E}(S) \rightarrow \mathcal{E}(T)$  called the *restriction map* for every inclusion  $T \subseteq S \subseteq X$  of open sets, and a natural isomorphism of functors  $\eta_{STU} : \rho_{TU} \circ \rho_{ST} \Rightarrow \rho_{SU}$  for all inclusions  $U \subseteq T \subseteq S \subseteq X$  of open sets, satisfying the conditions that:

- (i)  $\rho_{SS} = \mathrm{id}_{\mathcal{E}(S)} : \mathcal{E}(S) \rightarrow \mathcal{E}(S)$  for all open  $S \subseteq X$ , and  $\eta_{SST} = \eta_{STT} = \mathrm{id}_{\rho_{ST}}$  for all open  $T \subseteq S \subseteq X$ ; and

- (ii)  $\eta_{SUV} \odot (\text{id}_{\rho_{UV}} * \eta_{STU}) = \eta_{STV} \odot (\eta_{TUV} * \text{id}_{\rho_{ST}}) : \rho_{UV} \circ \rho_{TU} \circ \rho_{ST} \implies \rho_{SV}$   
for all open  $V \subseteq U \subseteq T \subseteq S \subseteq X$ .

A prestack  $\mathcal{E}$  on  $X$  is called a *stack* (or *stack in groupoids*, or *2-sheaf*) on  $X$  if whenever  $S \subseteq X$  is open and  $\{T_a : a \in A\}$  is an open cover of  $S$ , and we write  $T_{ab} = T_a \cap T_b$  and  $T_{abc} = T_a \cap T_b \cap T_c$  for  $a, b, c \in A$ , then:

- (iii) If  $\epsilon, \zeta : E \rightarrow F$  are morphisms in  $\mathcal{E}(S)$  and  $\rho_{ST_a}(\epsilon) = \rho_{ST_a}(\zeta) : \rho_{ST_a}(E) \rightarrow \rho_{ST_a}(F)$  in  $\mathcal{E}(T_a)$  for all  $a \in A$ , then  $\epsilon = \zeta$ .  
(iv) If  $E, F$  are objects of  $\mathcal{E}(S)$  and  $\epsilon_a : \rho_{ST_a}(E) \rightarrow \rho_{ST_a}(F)$  are morphisms in  $\mathcal{E}(T_a)$  for all  $a \in A$  with

$$\begin{aligned} \eta_{ST_a T_{ab}}(F) \circ \rho_{T_a T_{ab}}(\epsilon_a) \circ \eta_{ST_a T_{ab}}(E)^{-1} \\ = \eta_{ST_b T_{ab}}(F) \circ \rho_{T_b T_{ab}}(\epsilon_b) \circ \eta_{ST_b T_{ab}}(E)^{-1} \end{aligned}$$

in  $\mathcal{E}(T_{ab})$  for all  $a, b \in A$ , then there exists  $\epsilon : E \rightarrow F$  in  $\mathcal{E}(S)$  (necessarily unique by (iii)) with  $\rho_{ST_a}(\epsilon) = \epsilon_a$  for all  $a \in A$ .

- (v) If  $E_a \in \mathcal{E}(T_a)$  for  $a \in A$  and  $\epsilon_{ab} : \rho_{T_a T_{ab}}(E_a) \rightarrow \rho_{T_b T_{ab}}(E_b)$  are morphisms in  $\mathcal{E}(T_{ab})$  for all  $a, b \in A$  satisfying

$$\begin{aligned} \eta_{T_c T_{bc} T_{abc}}(E_c) \circ \rho_{T_{bc} T_{abc}}(\epsilon_{bc}) \circ \eta_{T_b T_{bc} T_{abc}}(E_b)^{-1} \\ \circ \eta_{T_b T_{ab} T_{abc}}(E_b) \circ \rho_{T_{ab} T_{abc}}(\epsilon_{ab}) \circ \eta_{T_a T_{ab} T_{abc}}(E_a)^{-1} \\ = \eta_{T_c T_{ac} T_{abc}}(E_c) \circ \rho_{T_{ac} T_{abc}}(\epsilon_{ac}) \circ \eta_{T_a T_{ac} T_{abc}}(E_a)^{-1} \end{aligned}$$

for all  $a, b, c \in A$ , then there exist an object  $E$  in  $\mathcal{E}(S)$  and morphisms  $\zeta_a : E_a \rightarrow \rho_{ST_a}(E)$  for  $a \in A$  such that for all  $a, b \in A$  we have

$$\eta_{ST_a T_{ab}}(E) \circ \rho_{T_a T_{ab}}(\zeta_a) = \eta_{ST_b T_{ab}}(E) \circ \rho_{T_b T_{ab}}(\zeta_b) \circ \epsilon_{ab}.$$

If  $\tilde{E}, \tilde{\zeta}_a$  are alternative choices then (iii),(iv) imply there is a unique isomorphism  $\theta : E \rightarrow \tilde{E}$  in  $\mathcal{E}(S)$  with  $\rho_{ST_a}(\theta) = \tilde{\zeta}_a \circ \zeta_a^{-1}$  for all  $a \in A$ .

**Remark A.18.** (a) Actually the term ‘stack’ is used in Algebraic Geometry with a more general meaning, namely ‘stack on a site’, as in Olsson [93] for instance. Here a ‘site’  $\mathcal{S}$  is a generalization of a topological space. When  $\mathcal{S}$  is the site of open subsets of a topological space  $X$  with the usual open covers, we recover Definition A.17. When  $\mathcal{S}$  is the site  $\text{Sch}_{\mathbb{K}}$  of schemes over a field  $\mathbb{K}$  with the étale or smooth topology, we obtain Deligne–Mumford or Artin  $\mathbb{K}$ -stacks in Algebraic Geometry. There are several equivalent ways to define stacks; we have chosen the definition which most obviously generalizes sheaves in §A.5.

(b) In the examples of stacks on topological spaces that will be important to us, we will have  $\rho_{TU} \circ \rho_{ST} = \rho_{SU}$  and  $\eta_{STU} = \text{id}_{\rho_{SU}}$  for all open  $U \subseteq T \subseteq S \subseteq X$ . So (ii) is automatic, and all the  $\eta_{\dots}(\dots)$  terms in (iv),(v) can be omitted.

## Appendix B

# Differential geometry in $\mathbf{Man}$ and $\mathbf{Man}^c$

Suppose for the whole of §B.1–§B.6 that  $\mathbf{Man}$  satisfies Assumptions 3.1–3.7 in §3.1. Using the assumptions, we will define some notation and prove some results on differential geometry in  $\mathbf{Man}$ . This is standard material for classical manifolds  $\mathbf{Man}$ , the main point is that it also works for any category  $\mathbf{Man}$  satisfying Assumptions 3.1–3.7. In §B.7 we explain how to compare differential geometry in two categories  $\mathbf{Man}, \check{\mathbf{Man}}$  satisfying Assumptions 3.1–3.7 related by a functor  $F_{\mathbf{Man}}^{\check{\mathbf{Man}}} : \mathbf{Man} \rightarrow \check{\mathbf{Man}}$ . Sections B.1–B.7 are summarized in §3.3.

Section B.8 explains how to extend §B.1–§B.7 to a category of manifolds with corners  $\mathbf{Man}^c$  satisfying Assumption 3.22 in §3.4. It is summarized in §3.4.3. Section B.9 proves Theorem 3.17.

### B.1 Functions on manifolds, and the structure sheaf

#### B.1.1 The $\mathbb{R}$ -algebra $C^\infty(X)$

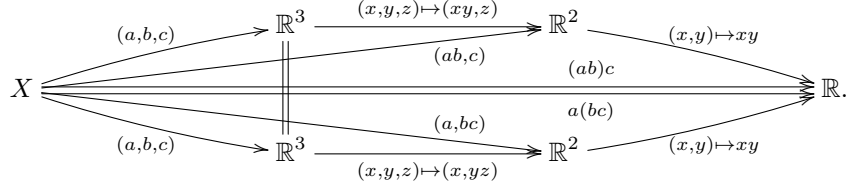
**Definition B.1.** For each  $X \in \mathbf{Man}$ , write  $C^\infty(X)$  for the set of morphisms  $a : X \rightarrow \mathbb{R}$  in  $\mathbf{Man}$ . Faithfulness of  $F_{\mathbf{Man}}^{\mathbf{Top}}$  in Assumption 3.2(a) implies that we may identify  $C^\infty(X)$  with a subset of the set  $C^0(X_{\text{top}})$  of continuous maps  $a_{\text{top}} : X_{\text{top}} \rightarrow \mathbb{R}$ . We will show that  $C^\infty(X)$  has a natural commutative  $\mathbb{R}$ -algebra structure, a subalgebra of the obvious  $\mathbb{R}$ -algebra structure on  $C^0(X_{\text{top}})$ .

Given  $a, b \in C^\infty(X)$  and  $\lambda \in \mathbb{R}$  we define  $a + b, a \cdot b, \lambda \cdot a \in C^\infty(X)$  and the elements  $0, 1 \in C^\infty(X)$  by the following commutative diagrams in  $\mathbf{Man}$ :

$$\begin{array}{ccc}
 X \begin{array}{c} \xrightarrow{a+b} \mathbb{R}, \\ \searrow (a,b) \quad \nearrow (x,y) \mapsto x+y \\ \mathbb{R}^2 \end{array} & X \begin{array}{c} \xrightarrow{a \cdot b} \mathbb{R}, \\ \searrow (a,b) \quad \nearrow (x,y) \mapsto xy \\ \mathbb{R}^2 \end{array} & X \begin{array}{c} \xrightarrow{\lambda \cdot a} \mathbb{R}, \\ \searrow a \quad \nearrow x \mapsto \lambda x \\ \mathbb{R} \end{array} \\
 \\
 X \begin{array}{c} \xrightarrow{0} \mathbb{R}, \\ \searrow \pi \quad \nearrow 0 \\ * \end{array} & X \begin{array}{c} \xrightarrow{1} \mathbb{R}, \\ \searrow \pi \quad \nearrow 1 \\ * \end{array} & 
 \end{array}$$

Here  $(x, y) \mapsto x + y$  and  $(x, y) \mapsto xy$  mapping  $\mathbb{R}^2 \rightarrow \mathbb{R}$  are morphisms in  $\mathbf{Man} \subseteq \mathbf{\dot{M}an}$ , and similarly for  $x \mapsto \lambda x$  and  $0, 1 : * \rightarrow \mathbb{R}$ . The map  $\pi : X \rightarrow *$  is as in Assumption 3.1(c).

One can now show that these operations make  $C^\infty(X)$  into a commutative  $\mathbb{R}$ -algebra by straightforward diagram-chasing. For example, to show that multiplication is associative, consider the commutative diagram:



If  $f : X \rightarrow Y$  is a morphism in  $\mathbf{\dot{M}an}$ , define  $f^* : C^\infty(Y) \rightarrow C^\infty(X)$  by  $f^* : a \mapsto a \circ f$ . Then  $f$  is an  $\mathbb{R}$ -algebra morphism. If  $g : Y \rightarrow Z$  is another morphism in  $\mathbf{Man}$  then  $(g \circ f)^* = f^* \circ g^* : C^\infty(Z) \rightarrow C^\infty(X)$ .

### B.1.2 Making $C^\infty(X)$ into a $C^\infty$ -ring

The subject of  *$C^\infty$ -algebraic geometry* treats differential-geometric problems using the machinery of algebraic geometry, including sheaves, schemes and stacks. Some references are the author [56, 65] and Dubuc [13]. A key idea is  *$C^\infty$ -rings*, which are a generalization of  $\mathbb{R}$ -algebras with a richer algebraic structure, such that if  $X$  is a smooth manifold then  $C^\infty(X)$  is naturally a  $C^\infty$ -ring.

**Definition B.2.** A  *$C^\infty$ -ring* is a set  $\mathfrak{C}$  together with operations

$$\Phi_f : \mathfrak{C}^n = \overset{\text{"n copies"}}{\mathfrak{C} \times \cdots \times \mathfrak{C}} \longrightarrow \mathfrak{C}$$

for all  $n \geq 0$  and smooth maps  $f : \mathbb{R}^n \rightarrow \mathbb{R}$ , where by convention when  $n = 0$  we define  $\mathfrak{C}^0$  to be the single point  $\{\emptyset\}$ . These operations must satisfy the following relations: suppose  $m, n \geq 0$ , and  $f_i : \mathbb{R}^n \rightarrow \mathbb{R}$  for  $i = 1, \dots, m$  and  $g : \mathbb{R}^m \rightarrow \mathbb{R}$  are smooth functions. Define a smooth function  $h : \mathbb{R}^n \rightarrow \mathbb{R}$  by

$$h(x_1, \dots, x_n) = g(f_1(x_1, \dots, x_n), \dots, f_m(x_1, \dots, x_n)),$$

for all  $(x_1, \dots, x_n) \in \mathbb{R}^n$ . Then for all  $(c_1, \dots, c_n) \in \mathfrak{C}^n$  we have

$$\Phi_h(c_1, \dots, c_n) = \Phi_g(\Phi_{f_1}(c_1, \dots, c_n), \dots, \Phi_{f_m}(c_1, \dots, c_n)).$$

We also require that for all  $1 \leq j \leq n$ , defining  $\pi_j : \mathbb{R}^n \rightarrow \mathbb{R}$  by  $\pi_j : (x_1, \dots, x_n) \mapsto x_j$ , we have  $\Phi_{\pi_j}(c_1, \dots, c_n) = c_j$  for all  $(c_1, \dots, c_n) \in \mathfrak{C}^n$ .

Usually we refer to  $\mathfrak{C}$  as the  $C^\infty$ -ring, leaving the operations  $\Phi_f$  implicit.

A *morphism* between  $C^\infty$ -rings  $(\mathfrak{C}, (\Phi_f)_{f:\mathbb{R}^n \rightarrow \mathbb{R}} C^\infty)$ ,  $(\mathfrak{D}, (\Psi_f)_{f:\mathbb{R}^n \rightarrow \mathbb{R}} C^\infty)$  is a map  $\phi : \mathfrak{C} \rightarrow \mathfrak{D}$  such that  $\Psi_f(\phi(c_1), \dots, \phi(c_n)) = \phi \circ \Phi_f(c_1, \dots, c_n)$  for all smooth  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  and  $c_1, \dots, c_n \in \mathfrak{C}$ . We will write  $\mathbf{C}^\infty \mathbf{Rings}$  for the category of  $C^\infty$ -rings. As in [65, §2.2], every  $C^\infty$ -ring  $\mathfrak{C}$  has the structure of a

commutative  $\mathbb{R}$ -algebra, in which addition and multiplication are the  $C^\infty$ -ring operations  $\Phi_f, \Phi_g$  for  $f, g : \mathbb{R}^2 \rightarrow \mathbb{R}$  mapping  $f(x, y) = x + y$  and  $g(x, y) = xy$ .

A *module*  $M$  over a  $C^\infty$ -ring  $\mathfrak{C}$  is a module over  $\mathfrak{C}$  as an  $\mathbb{R}$ -algebra.

As in [13, 56, 65], in  $C^\infty$ -algebraic geometry one studies  $C^\infty$ -schemes and  $C^\infty$ -stacks, which are versions of schemes and stacks in Algebraic Geometry in which rings are replaced by  $C^\infty$ -rings.  $C^\infty$ -algebraic geometry has been used as the basis for Derived Differential Geometry, the study of ‘derived smooth manifolds’ and ‘derived smooth orbifolds’, by defining derived manifolds (or orbifolds) to be special examples of ‘derived  $C^\infty$ -schemes’ or ‘derived Deligne–Mumford  $C^\infty$ -stacks’. See Spivak [103], Borisov and Noel [7, 8] and the author [57, 58, 61] for different notions of derived manifolds and derived orbifolds.

Our Kuranishi spaces are an alternative approach to Derived Differential Geometry, and the 2-categories  $\mathbf{mKur}, \mathbf{Kur}$  of (m-)Kuranishi spaces defined in Chapters 4 and 6 using  $\mathbf{Man} = \mathbf{Man}$  are equivalent to the 2-categories  $\mathbf{dMan}, \mathbf{dOrb}$  of ‘d-manifolds’ and ‘d-orbifolds’ defined in [57, 58, 61] using  $C^\infty$ -algebraic geometry.

**Definition B.3.** Let  $X \in \mathbf{Man}$ , and  $C^\infty(X)$  be as in §B.1.1. Then we can give  $C^\infty(X)$  the structure of a  $C^\infty$ -ring, such that if  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is smooth (and hence a morphism in  $\mathbf{Man}$ ) and  $a_1, \dots, a_n \in C^\infty(X)$  then  $\Phi_f(a_1, \dots, a_n) \in C^\infty(X)$  is defined by the commutative diagram in  $\mathbf{Man}$ :

$$\begin{array}{ccc} X & \xrightarrow{\Phi_f(a_1, \dots, a_n)} & \mathbb{R} \\ & \searrow (a_1, \dots, a_n) \quad \nearrow f & \\ & \mathbb{R}^n & \end{array}$$

The method of proof in §B.1.1 that  $C^\infty(X)$  is an  $\mathbb{R}$ -algebra now also shows that  $C^\infty(X)$  is a  $C^\infty$ -ring. The associated  $\mathbb{R}$ -algebra structure is that in §B.1.1.

### B.1.3 The structure sheaf $\mathcal{O}_X$

**Definition B.4.** Let  $X \in \mathbf{Man}$ . Then for each open  $U' \subseteq X_{\text{top}}$ , Assumption 3.2(d) gives a unique open submanifold  $i : U \hookrightarrow X$  with  $i_{\text{top}}(U_{\text{top}}) = U'$ . Set  $\mathcal{O}_X(U') = C^\infty(U)$ , where  $C^\infty(U)$  is regarded either as an  $\mathbb{R}$ -algebra as in §B.1.1, or as a  $C^\infty$ -ring as in §B.1.2.

For open  $V' \subseteq U' \subseteq X_{\text{top}}$  we have open submanifolds  $i : U \hookrightarrow X, j : V \hookrightarrow X$  with  $\mathcal{O}_X(U') = C^\infty(U)$  and  $\mathcal{O}_X(V') = C^\infty(V)$ . Since  $V_{\text{top}} \subseteq U_{\text{top}}$  Assumption 3.2(d) gives a unique  $k : V \rightarrow U$  in  $\mathbf{Man}$  with  $i \circ k = j : V \rightarrow X$ . Define  $\rho_{U'V'} : \mathcal{O}_X(U') \rightarrow \mathcal{O}_X(V')$  by  $\rho_{U'V'} : a \mapsto a \circ k$ , for  $a : U \rightarrow \mathbb{R}$  in  $\mathbf{Man}$ .

It is now easy to check that  $\rho_{U'V'}$  is a morphism of  $\mathbb{R}$ -algebras, and of  $C^\infty$ -rings, and so the data  $\mathcal{O}_X(U'), \rho_{U'V'}$  defines a sheaf of  $\mathbb{R}$ -algebras or  $C^\infty$ -rings  $\mathcal{O}_X$  on  $X_{\text{top}}$ , as in Definition A.12(i)–(v), where the sheaf axiom (iv) follows from faithfulness in Assumption 3.2(a), and (v) from Assumption 3.3(a). We call  $\mathcal{O}_X$  the *structure sheaf* of  $X$ .

If  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man}$ , then  $(f_{\text{top}})_*(\mathcal{O}_X)$  and  $\mathcal{O}_Y$  are sheaves of  $\mathbb{R}$ -algebras or  $C^\infty$ -rings on  $Y$ . Define a morphism  $f_\# : \mathcal{O}_Y \rightarrow (f_{\text{top}})_*(\mathcal{O}_X)$  of



sheaves of  $\mathbb{R}$ -algebras or  $C^\infty$ -rings on  $Y_{\text{top}}$  as follows. Let  $j : V \hookrightarrow Y$  be an open submanifold, and  $i : U \hookrightarrow X$  the open submanifold with  $U_{\text{top}} = f_{\text{top}}^{-1}(V_{\text{top}}) \subseteq X_{\text{top}}$ , and  $f' : U \rightarrow V$  the unique morphism with  $j \circ f' = f \circ i : U \rightarrow Y$  from Assumption 3.2(d). Set

$$\begin{aligned} f_{\#}(V_{\text{top}}) &= f'^* : \mathcal{O}_Y(V_{\text{top}}) = C^\infty(V) \longrightarrow C^\infty(U) = \mathcal{O}_X(U_{\text{top}}) \\ &= \mathcal{O}_X(f_{\text{top}}^{-1}(V_{\text{top}})) = (f_{\text{top}})_*(\mathcal{O}_X)(V_{\text{top}}). \end{aligned} \quad (\text{B.1})$$

These  $f_{\#}(V_{\text{top}})$  for all open  $j : V \hookrightarrow Y$  form a sheaf morphism  $f_{\#} : \mathcal{O}_Y \rightarrow (f_{\text{top}})_*(\mathcal{O}_X)$ . Let  $f^{\sharp} : f_{\text{top}}^{-1}(\mathcal{O}_Y) \rightarrow \mathcal{O}_X$  be the adjoint morphism of sheaves of  $\mathbb{R}$ -algebras or  $C^\infty$ -rings on  $X$  under (A.18). Then  $(f_{\text{top}}, f^{\sharp}) : (X_{\text{top}}, \mathcal{O}_X) \rightarrow (Y_{\text{top}}, \mathcal{O}_Y)$  is a morphism of locally ringed spaces, or locally  $C^\infty$ -ringed spaces.

Now results in [65, §4.8] give sufficient criteria for when a locally  $C^\infty$ -ringed space  $(X, \mathcal{O}_X)$  is an affine  $C^\infty$ -scheme, and Assumptions 3.2(b) and 3.6 imply that these criteria hold. We then easily deduce:

**Proposition B.5.** (a) *Let  $X$  be an object of  $\mathbf{Man}$ , so that  $X_{\text{top}}$  is a topological space and  $\mathcal{O}_X$  a sheaf of  $C^\infty$ -rings on  $X_{\text{top}}$ . Then  $(X_{\text{top}}, \mathcal{O}_X)$  is an affine  $C^\infty$ -scheme in the sense of [13, 56, 65].*

(b) *Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ . Then  $(f_{\text{top}}, f^{\sharp}) : (X_{\text{top}}, \mathcal{O}_X) \rightarrow (Y_{\text{top}}, \mathcal{O}_Y)$  is a morphism of affine  $C^\infty$ -schemes in the sense of [13, 56, 65].*

(c) *Combining (a),(b) we may define a functor  $F_{\mathbf{Man}}^{C^\infty \text{Sch}^{\text{aff}}} : \mathbf{Man} \rightarrow \mathbf{C}^\infty \text{Sch}^{\text{aff}}$  to the category of affine  $C^\infty$ -schemes, mapping  $X \mapsto (X_{\text{top}}, \mathcal{O}_X)$  on objects and  $f \mapsto (f_{\text{top}}, f^{\sharp})$  on morphisms. This functor is faithful, but need not be full.*

This will help us to relate the (m-)Kuranishi spaces of Chapters 4 and 6 to the d-manifolds and d-orbifolds of [57, 58, 61].

### B.1.4 Partitions of unity

**Definition B.6.** Let  $X \in \mathbf{Man}$ . Then as in §B.1.1 we have an  $\mathbb{R}$ -algebra  $C^\infty(X)$ , which as in §B.1.3 is the global sections  $C^\infty(X) = \mathcal{O}_X(X_{\text{top}})$  of a sheaf of  $\mathbb{R}$ -algebras  $\mathcal{O}_X$  on  $X_{\text{top}}$ . Hence by sheaf theory each  $\eta \in C^\infty(X)$  has a *support*  $\text{supp } \eta \subseteq X_{\text{top}}$ , a closed subset of  $X_{\text{top}}$ , such that  $X_{\text{top}} \setminus \text{supp } \eta$  is the largest open set  $U' \subseteq X_{\text{top}}$  with  $\eta|_{U'} = 0$  in  $\mathcal{O}_X(U')$ .

Consider formal sums  $\sum_{a \in A} \eta_a$  with  $\eta_a \in C^\infty(X)$  for all  $a$  in a possibly infinite indexing set  $A$ . Such a sum is called *locally finite* if we can cover  $X_{\text{top}}$  by open  $U' \subseteq X_{\text{top}}$  such that  $U' \cap \text{supp } \eta_a = \emptyset$  for all but finitely many  $a \in A$ . By sheaf theory, for a locally finite sum  $\sum_{a \in A} \eta_a$  there is a unique  $\eta \in C^\infty(X)$  with  $\sum_{a \in A} \eta_a|_{U'} = \eta|_{U'}$  whenever  $U' \subseteq X_{\text{top}}$  is open with  $\eta_a|_{U'} = 0$  for all but finitely many  $a \in A$ , so that  $\sum_{a \in A} \eta_a|_{U'}$  makes sense. We write  $\sum_{a \in A} \eta_a = \eta$ .

Let  $\{U'_a : a \in A\}$  be an open cover of  $X_{\text{top}}$ . A *partition of unity*  $\{\eta_a : a \in A\}$  on  $X$  subordinate to  $\{U'_a : a \in A\}$  is  $\eta_a \in C^\infty(X)$  with  $\text{supp } \eta_a \subseteq U'_a$  for all  $a \in A$ , with  $\eta_{a, \text{top}}(x) \geq 0$  in  $\mathbb{R}$  for all  $x \in X_{\text{top}}$ , such that  $\sum_{a \in A} \eta_a$  is locally finite with  $\sum_{a \in A} \eta_a = 1$  in  $C^\infty(X)$ .

The next proposition can be proved following the standard method for constructing partitions of unity on smooth manifolds, as in Lang [70, §II.3] or Lee [71, Th. 2.23], or alternatively follows from Proposition B.5 and results on partitions of unity on  $C^\infty$ -schemes in [65, §4.7]. The important points are:

- By Assumption 3.2(b),  $X_{\text{top}}$  is Hausdorff, locally compact, and second countable, which is used in [70, Th. II.1] and [71, Th. 1.15].
- Let  $U' \subseteq X_{\text{top}}$  be open and  $x \in U'$ . Assumption 3.6 gives  $a : X \rightarrow \mathbb{R}$  in  $\mathbf{Man}$  with  $a_{\text{top}}(x) > 0$  and  $a_{\text{top}}|_{X_{\text{top}} \setminus U'} \leq 0$ . Define  $b : \mathbb{R} \rightarrow \mathbb{R}$  by  $b(x) = e^{-1/x}$  for  $x > 0$  and  $b(x) = 0$  for  $x \leq 0$ . Then  $b$  is a morphism in  $\mathbf{Man} \subseteq \mathbf{Man}$  by Assumption 3.4, so  $b \circ a : X \rightarrow \mathbb{R}$  is a morphism in  $\mathbf{Man}$ . We have  $(b \circ a)_{\text{top}}(x) > 0$ , and  $(b \circ a)_{\text{top}}(x') \geq 0$  for all  $x' \in X_{\text{top}}$ , and  $\text{supp}(b \circ a) \subseteq U'$ . Thus we can construct ‘bump functions’ on  $X$ .

This and Proposition B.5 are the main places we use Assumption 3.6.

**Proposition B.7.** *Let  $X$  be an object of  $\mathbf{Man}$ , and  $\{U'_a : a \in A\}$  be an open cover of  $X_{\text{top}}$ . Then there exists a partition of unity  $\{\eta_a : a \in A\}$  on  $X$  subordinate to  $\{U'_a : a \in A\}$ .*

Therefore  $\mathcal{O}_X$  is a *fine sheaf*, and hence a *soft sheaf*, as in Godement [40, §II.3.7] or Bredon [10, §II.9], and all  $\mathcal{O}_X$ -modules  $\mathcal{E}$  are also fine and soft.

## B.2 Vector bundles

### B.2.1 Vector bundles and sections

**Definition B.8.** Let  $X$  be an object in  $\mathbf{Man}$ . A *vector bundle*  $E \rightarrow X$  of rank  $m$  is a morphism  $\pi : E \rightarrow X$  in  $\mathbf{Man}$ , such that for each  $x \in X_{\text{top}}$  the topological fibre  $E_{x,\text{top}} := \pi_{\text{top}}^{-1}(x) \subseteq E_{\text{top}}$  is given the structure of a real vector space of dimension  $m$ , and  $X$  may be covered by open submanifolds  $i : U \hookrightarrow X$ , such that if  $j : E_U \hookrightarrow E$  is the open submanifold corresponding to  $\pi_{\text{top}}^{-1}(U_{\text{top}}) \subseteq E_{\text{top}}$ , and  $k : E_U \rightarrow U$  is unique with  $i \circ k = \pi \circ j : E_U \rightarrow X$  by Assumption 3.2(d), then there is an isomorphism  $l : U \times \mathbb{R}^m \rightarrow E_U$  in  $\mathbf{Man}$  making the following diagram commute:

$$\begin{array}{ccccc} U \times \mathbb{R}^m & \xrightarrow{\quad l \quad} & E_U & \hookrightarrow & E \\ \downarrow \pi_U & & \downarrow k & & \downarrow \pi \\ U & \xrightarrow{\quad i \quad} & U & \hookrightarrow & X \end{array}$$

and  $l_{\text{top}}$  identifies the vector space structure on  $\{x\} \times \mathbb{R}^m \cong \mathbb{R}^m$  with that on  $E_{x,\text{top}}$ , for each  $x \in U_{\text{top}}$ .

The vector space structure on  $E_{x,\text{top}}$  may be encoded in morphisms  $\mu_+, \mu_\cdot, z$  in  $\mathbf{Man}$  as follows. Addition ‘+’ in  $E_{x,\text{top}}$  corresponds to a morphism  $\mu_+ : E \times_{\pi, X, \pi} E \rightarrow E$ , where the fibre product exists in  $\mathbf{Man}$ , with  $\mu_{+,\text{top}}(v, w) = v + w$  for all  $x \in X_{\text{top}}$  and  $v, w \in E_{x,\text{top}}$ . Multiplication by real numbers ‘ $\cdot$ ’ corresponds

to a morphism  $\mu. : \mathbb{R} \times E \rightarrow E$ , with  $\mu.,\text{top}(\lambda, v) = \lambda \cdot v$  for all  $\lambda \in \mathbb{R}$ ,  $x \in X_{\text{top}}$  and  $v \in E_{x,\text{top}}$ . The zero element  $0 \in E_{x,\text{top}}$  comes from  $0_E : X \rightarrow E$  with  $0_{E,\text{top}}(x) = 0 \in E_{x,\text{top}}$  for all  $x \in X_{\text{top}}$ .

A *section* of  $E$  is a morphism  $s : X \rightarrow E$  in  $\mathbf{Man}$  with  $\pi \circ s = \text{id}_X$ . Write  $\Gamma^\infty(E)$  for the set of sections of  $E$ . For  $C^\infty(X)$  as in §B.1.1, if  $a \in C^\infty(X)$  and  $s, t \in \Gamma^\infty(E)$ , we define  $a \cdot s, s + t \in \Gamma^\infty(E)$  by the commutative diagrams

$$\begin{array}{ccc}
 & \mathbb{R} \times E & \\
 (a,s) \nearrow & \downarrow \mu. & \\
 X & & E \\
 a \cdot s \searrow & & \\
 & & 
 \end{array}
 \qquad
 \begin{array}{ccccc}
 & & s & & \\
 & & \nearrow & & \\
 X & & & E & \\
 \text{---} u \text{---} & \nearrow & \pi_1 & \searrow & \pi \\
 & & E \times_{\pi, X, \pi} E & & X \\
 & \searrow & \pi_2 & \nearrow & \\
 & & t & & \\
 s+t \nearrow & \mu_+ & \searrow & & \\
 & & E & & 
 \end{array}$$

where the morphism  $u$  exists by the universal property of  $E \times_X E$ .

At each point  $x \in X_{\text{top}}$  we have

$$(a \cdot s)_{\text{top}}(x) = a_{\text{top}}(x) \cdot s_{\text{top}}(x), \quad (s + t)_{\text{top}}(x) = s_{\text{top}}(x) + t_{\text{top}}(x),$$

where on the right hand sides we use operations  $\cdot, +$  in the  $\mathbb{R}$ -vector space  $E_{x,\text{top}}$ . Thus for  $a, b \in C^\infty(X)$  and  $s, t, u \in \Gamma^\infty(E)$  we have

$$[a \cdot (b \cdot s)]_{\text{top}} = [(a \cdot b) \cdot s]_{\text{top}}, \quad [s + t]_{\text{top}} = [t + s]_{\text{top}}, \quad [s + (t + u)]_{\text{top}} = [(s + t) + u]_{\text{top}}$$

in maps  $X_{\text{top}} \rightarrow E_{\text{top}}$ , by identities in  $E_{x,\text{top}}$  for each  $x \in X_{\text{top}}$ . Faithfulness in Assumption 3.2(a) implies the corresponding identities in  $\mathbf{Man}$ . Therefore  $\Gamma^\infty(E)$  is a  $C^\infty(X)$ -module, and hence an  $\mathbb{R}$ -vector space. We will write  $0_E : X \rightarrow E$  for the zero section, the element  $0 \in \Gamma^\infty(E)$ .

If  $E, F \rightarrow X$  are vector bundles, a *morphism of vector bundles*  $\theta : E \rightarrow F$  is a morphism  $\theta : E \rightarrow F$  in  $\mathbf{Man}$  in a commutative diagram

$$\begin{array}{ccc}
 E & \xrightarrow{\quad \theta \quad} & F \\
 \downarrow \pi & & \downarrow \pi \\
 X & \xlongequal{\quad \quad \quad} & X,
 \end{array}$$

such that  $\theta_{\text{top}}|_{E_{x,\text{top}}} : E_{x,\text{top}} \rightarrow F_{x,\text{top}}$  is a linear map for all  $x \in X_{\text{top}}$ . We write  $\text{Hom}(E, F)$  for the set of vector bundle morphisms  $\theta : E \rightarrow F$ . As for  $\Gamma^\infty(E)$ ,  $\text{Hom}(E, F)$  is naturally a  $C^\infty(X)$ -module, and hence an  $\mathbb{R}$ -vector space. If  $\theta : E \rightarrow F$  is a vector bundle morphism and  $s \in \Gamma^\infty(E)$  then  $\theta \circ s \in \Gamma^\infty(F)$ .

The usual operations on vector bundles and sections in differential geometry also work for vector bundles in  $\mathbf{Man}$ , so for instance if  $E, F \rightarrow X$  are vector bundles we can define vector bundles  $E^* \rightarrow X$ ,  $E \oplus F \rightarrow X$ ,  $E \otimes F \rightarrow X$ ,  $\Lambda^k E \rightarrow X$ , and so on, and if  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man}$  and  $G \rightarrow Y$  is a vector bundle we can define a pullback vector bundle  $f^*(G) \rightarrow X$ . To construct  $E^*, E \oplus F, \dots$  as objects of  $\mathbf{Man}$ , we build them using Assumptions 3.2(e) and 3.3(b) over an open cover  $\{U_a : a \in A\}$  of  $X$  with  $E, F \rightarrow X$  trivial over each  $U_a$ , by gluing together  $U_a \times (\mathbb{R}^m)^*, U_a \times (\mathbb{R}^m \oplus \mathbb{R}^n), \dots$  for all  $a \in A$ .

## B.2.2 The sheaf of sections of a vector bundle

**Definition B.9.** Let  $X$  be an object in  $\mathbf{Man}$ , and  $E \rightarrow X$  be a vector bundle of rank  $r$ . Then for each open  $U' \subseteq X_{\text{top}}$ , Assumption 3.2(d) gives an open submanifold  $i : U \hookrightarrow X$  with  $U_{\text{top}} = U'$ . Let  $E|_U = i^*(E)$  as a vector bundle over  $U$ , and write  $\mathcal{E}(U') = \Gamma^\infty(E|_U)$ , considered as a module over  $\mathcal{O}_X(U') = C^\infty(U)$ .

For open  $V' \subseteq U' \subseteq X_{\text{top}}$  we have open submanifolds  $i : U \hookrightarrow X$ ,  $j : V \hookrightarrow X$  with  $\mathcal{O}_X(U') = C^\infty(U)$  and  $\mathcal{O}_X(V') = C^\infty(V)$ . Since  $V_{\text{top}} \subseteq U_{\text{top}}$  Assumption 3.2(d) gives a unique  $k : V \rightarrow U$  in  $\mathbf{Man}$  with  $i \circ k = j : V \rightarrow X$ . Define  $\rho_{U'V'} : \mathcal{E}(U') \rightarrow \mathcal{E}(V')$  by  $\rho_{U'V'} : s \mapsto k^*(s) = s|_V$ . Then as for  $\mathcal{O}_X$  in §B.1.3, this defines a sheaf  $\mathcal{E}$  of  $\mathcal{O}_X$ -modules on  $X_{\text{top}}$ , which is locally free of rank  $r$ .

For brevity, sheaves of  $\mathcal{O}_X$ -modules will just be called  $\mathcal{O}_X$ -modules.

As for vector bundles in algebraic geometry, working with vector bundles  $E, F \rightarrow X$  is equivalent to working with the corresponding  $\mathcal{O}_X$ -modules  $\mathcal{E}, \mathcal{F}$ , and one can easily translate between the two languages. In particular:

- There is a 1-1 correspondence, up to canonical isomorphism, between vector bundles  $E \rightarrow X$  of rank  $r$  and locally free  $\mathcal{O}_X$ -modules  $\mathcal{E}$  of rank  $r$ .
- If  $E, F \rightarrow X$  are vector bundles, and  $\mathcal{E}, \mathcal{F}$  the corresponding  $\mathcal{O}_X$ -modules, there is a natural identification  $\text{Hom}(E, F) \cong \text{Hom}_{\mathcal{O}_X\text{-mod}}(\mathcal{E}, \mathcal{F})$  between vector bundle morphisms  $\theta : E \rightarrow F$  and  $\mathcal{O}_X$ -module morphisms  $\tilde{\theta} : \mathcal{E} \rightarrow \mathcal{F}$ . These identifications preserve composition of morphisms.
- If  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man}$  and  $E \rightarrow Y$  is a vector bundle, with  $\mathcal{E}$  the corresponding  $\mathcal{O}_Y$ -module, then the vector bundle  $f^*(E) \rightarrow X$  corresponds to the  $\mathcal{O}_X$ -module  $f_{\text{top}}^{-1}(\mathcal{E}) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X$ , using the morphism  $f^\# : f_{\text{top}}^{-1}(\mathcal{O}_Y) \rightarrow \mathcal{O}_X$  of sheaves of  $\mathbb{R}$ -algebras on  $X_{\text{top}}$  from §B.1.3.

As in [65, §5], a module over a  $C^\infty$ -ring is simply a module over the associated  $\mathbb{R}$ -algebra. So for sheaves of  $\mathcal{O}_X$ -modules, it makes no difference whether we consider  $\mathcal{O}_X$  in §B.1.3 to be a sheaf of  $\mathbb{R}$ -algebras or a sheaf of  $C^\infty$ -rings.

## B.3 The cotangent sheaf, and connections

### B.3.1 The cotangent sheaf $\mathcal{T}^*X$

In §B.1.2–§B.1.3 we showed that if  $X$  is an object of  $\mathbf{Man}$  then  $(X_{\text{top}}, \mathcal{O}_X)$  is an affine  $C^\infty$ -scheme in the sense of [13, 56, 65]. As in [65, §5.6],  $C^\infty$ -schemes have a good notion of cotangent sheaf, which we will use as a substitute for the cotangent bundle  $T^*X$  of a classical manifold  $X$ . The next two definitions are taken from [65, §5.2 & §5.6].

**Definition B.10.** Suppose  $\mathfrak{C}$  is a  $C^\infty$ -ring, as in Definition B.2, and  $M$  a  $\mathfrak{C}$ -module. A  $C^\infty$ -derivation is an  $\mathbb{R}$ -linear map  $d : \mathfrak{C} \rightarrow M$  such that whenever  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is a smooth map and  $c_1, \dots, c_n \in \mathfrak{C}$ , we have

$$d\Phi_f(c_1, \dots, c_n) = \sum_{i=1}^n \Phi_{\frac{\partial f}{\partial x_i}}(c_1, \dots, c_n) \cdot dc_i. \quad (\text{B.2})$$

Note that  $d$  is *not* a morphism of  $\mathfrak{C}$ -modules. We call such a pair  $M, d$  a *cotangent module* for  $\mathfrak{C}$  if it has the universal property that for any  $C^\infty$ -derivation  $d' : \mathfrak{C} \rightarrow M'$ , there exists a unique morphism of  $\mathfrak{C}$ -modules  $\lambda : M \rightarrow M'$  with  $d' = \lambda \circ d$ .

There is a natural construction for a cotangent module: we take  $M$  to be the quotient of the free  $\mathfrak{C}$ -module with basis of symbols  $dc$  for  $c \in \mathfrak{C}$  by the  $\mathfrak{C}$ -submodule spanned by all expressions of the form  $d\Phi_f(c_1, \dots, c_n) - \sum_{i=1}^n \Phi_{\frac{\partial f}{\partial x_i}}(c_1, \dots, c_n) \cdot dc_i$  for  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  smooth and  $c_1, \dots, c_n \in \mathfrak{C}$ . Thus cotangent modules exist, and are unique up to unique isomorphism. When we speak of ‘the’ cotangent module, we mean that constructed above. We write  $d_{\mathfrak{C}} : \mathfrak{C} \rightarrow \Omega_{\mathfrak{C}}$  for the cotangent module of  $\mathfrak{C}$ .

Let  $\mathfrak{C}, \mathfrak{D}$  be  $C^\infty$ -rings with cotangent modules  $\Omega_{\mathfrak{C}}, d_{\mathfrak{C}}, \Omega_{\mathfrak{D}}, d_{\mathfrak{D}}$ , and  $\phi : \mathfrak{C} \rightarrow \mathfrak{D}$  be a morphism of  $C^\infty$ -rings. Then we may regard  $\Omega_{\mathfrak{D}}$  as a  $\mathfrak{C}$ -module, and  $d_{\mathfrak{D}} \circ \phi : \mathfrak{C} \rightarrow \Omega_{\mathfrak{D}}$  as a  $C^\infty$ -derivation. Thus by the universal property of  $\Omega_{\mathfrak{C}}$ , there exists a unique morphism of  $\mathfrak{C}$ -modules  $\Omega_\phi : \Omega_{\mathfrak{C}} \rightarrow \Omega_{\mathfrak{D}}$  with  $d_{\mathfrak{D}} \circ \phi = \Omega_\phi \circ d_{\mathfrak{C}}$ . If  $\phi : \mathfrak{C} \rightarrow \mathfrak{D}, \psi : \mathfrak{D} \rightarrow \mathfrak{E}$  are morphisms of  $C^\infty$ -rings then  $\Omega_{\psi \circ \phi} = \Omega_\psi \circ \Omega_\phi : \Omega_{\mathfrak{C}} \rightarrow \Omega_{\mathfrak{E}}$ .

**Definition B.11.** Let  $X$  be an object in  $\dot{\mathbf{Man}}$ , so that  $(X_{\text{top}}, \mathcal{O}_X)$  is an affine  $C^\infty$ -scheme as in §B.1.3. Define  $\mathcal{PT}^*X$  to associate to each open  $U \subseteq X_{\text{top}}$  the cotangent module  $\Omega_{\mathcal{O}_X(U)}$  of Definition B.10, regarded as a module over the  $C^\infty$ -ring  $\mathcal{O}_X(U)$ , and to each inclusion of open sets  $V \subseteq U \subseteq X_{\text{top}}$  the morphism of  $\mathcal{O}_X(U)$ -modules  $\Omega_{\rho_{UV}} : \Omega_{\mathcal{O}_X(U)} \rightarrow \Omega_{\mathcal{O}_X(V)}$  associated to the morphism of  $C^\infty$ -rings  $\rho_{UV} : \mathcal{O}_X(U) \rightarrow \mathcal{O}_X(V)$ . Then the following commutes:

$$\begin{array}{ccc} \mathcal{O}_X(U) \times \Omega_{\mathcal{O}_X(U)} & \xrightarrow{\mu_{\mathcal{O}_X(U)}} & \Omega_{\mathcal{O}_X(U)} \\ \downarrow \rho_{UV} \times \Omega_{\rho_{UV}} & & \Omega_{\rho_{UV}} \downarrow \\ \mathcal{O}_X(V) \times \Omega_{\mathcal{O}_X(V)} & \xrightarrow{\mu_{\mathcal{O}_X(V)}} & \Omega_{\mathcal{O}_X(V)}, \end{array}$$

where  $\mu_{\mathcal{O}_X(U)}, \mu_{\mathcal{O}_X(V)}$  are the module actions of  $\mathcal{O}_X(U), \mathcal{O}_X(V)$  on  $\Omega_{\mathcal{O}_X(U)}, \Omega_{\mathcal{O}_X(V)}$ . Using this and functoriality of cotangent modules  $\Omega_{\psi \circ \phi} = \Omega_\psi \circ \Omega_\phi$  in Definition B.10, we see that  $\mathcal{PT}^*X$  is a presheaf of  $\mathcal{O}_X$ -modules on  $X_{\text{top}}$ . Define the *cotangent sheaf*  $\mathcal{T}^*X$  of  $X$  to be the sheafification of  $\mathcal{PT}^*X$ .

Define a morphism  $\mathcal{P}d : \mathcal{O}_X \rightarrow \mathcal{PT}^*X$  of presheaves of  $\mathbb{R}$ -vector spaces by

$$\mathcal{P}d(U) = d_{\Omega_{\mathcal{O}_X(U)}} : \mathcal{O}_X(U) \longrightarrow \mathcal{PT}^*X(U) = \Omega_{\mathcal{O}_X(U)},$$

and define the *de Rham differential*  $d : \mathcal{O}_X \rightarrow \mathcal{T}^*X$  to be the corresponding morphism of sheaves of  $\mathbb{R}$ -vector spaces on  $X_{\text{top}}$ . It satisfies (B.2) on each open  $U \subseteq X_{\text{top}}$ . Note that although  $\mathcal{O}_X, \mathcal{T}^*X$  are  $\mathcal{O}_X$ -modules,  $d$  is not a morphism of  $\mathcal{O}_X$ -modules, as (B.2) is not compatible with  $\mathcal{O}_X$ -linearity.

**Example B.12.** (a) If  $\dot{\mathbf{Man}} = \mathbf{Man}$  and  $X \in \mathbf{Man}$  then  $\mathcal{T}^*X$  is canonically isomorphic as an  $\mathcal{O}_X$ -module to the sheaf of sections of the usual cotangent bundle  $T^*X \rightarrow X$ , as in §B.2.2. For general  $\dot{\mathbf{Man}}$ , if  $X \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}$  then

as the definition of  $\mathcal{T}^*X$  happens entirely inside  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$ , again  $\mathcal{T}^*X$  is isomorphic to the sheaf of sections of  $T^*X$ .

(b) If  $\dot{\mathbf{Man}}$  is one of the following categories from Chapter 2:

$$\mathbf{Man}^c, \mathbf{Man}_{\text{in}}^c, \mathbf{Man}_{\text{st}}^c, \mathbf{Man}_{\text{st,in}}^c, \mathbf{Man}_{\text{we}}^c, \quad (\text{B.3})$$

then as in §2.3 there are two notions of cotangent bundle  $T^*X, {}^bT^*X$  of  $X$  in  $\dot{\mathbf{Man}}$ . It turns out that  $\mathcal{T}^*X$  is isomorphic to the sheaf of sections of  $T^*X$ .

(c) If  $\dot{\mathbf{Man}}$  is one of the following categories from §2.4:

$$\begin{aligned} &\mathbf{Man}^{\text{gc}}, \mathbf{Man}_{\text{in}}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}_{\text{in}}^{\text{ac}}, \mathbf{Man}_{\text{st}}^{\text{ac}}, \\ &\mathbf{Man}_{\text{st,in}}^{\text{ac}}, \mathbf{Man}^{\text{c,ac}}, \mathbf{Man}_{\text{in}}^{\text{c,ac}}, \mathbf{Man}_{\text{st}}^{\text{c,ac}}, \mathbf{Man}_{\text{st,in}}^{\text{c,ac}}, \end{aligned}$$

then the cotangent bundle  $T^*X$  of  $X \in \dot{\mathbf{Man}}$  may not be defined, though the b-cotangent bundle  ${}^bT^*X$  is. It turns out that  $\mathcal{T}^*X$  need not be isomorphic to the sheaf of sections of any vector bundle on  $X$  in these cases.

### B.3.2 Connections on vector bundles

We can use cotangent sheaves in §B.3.1 to define a notion of connection.

**Definition B.13.** Let  $X$  be an object in  $\dot{\mathbf{Man}}$ , and  $E \rightarrow X$  a vector bundle, and  $\mathcal{E}$  the  $\mathcal{O}_X$ -module of sections of  $E$  as in §B.2.2. A *connection*  $\nabla$  on  $E$  is a morphism of sheaves of  $\mathbb{R}$ -vector spaces on  $X_{\text{top}}$ :

$$\nabla : \mathcal{E} \longrightarrow \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{T}^*X,$$

such that if  $U \subseteq X_{\text{top}}$  is open and  $a \in \mathcal{O}_X(U)$ ,  $e \in \mathcal{E}(U)$  then

$$\nabla(a \cdot e) = a \cdot (\nabla e) + e \otimes (d(U)a) \quad \text{in } (\mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{T}^*X)(U), \quad (\text{B.4})$$

where  $d : \mathcal{O}_X \rightarrow \mathcal{T}^*X$  is the de Rham differential from §B.3.1.

Note that although  $\mathcal{E}, \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{T}^*X$  are  $\mathcal{O}_X$ -modules,  $\nabla$  is not a morphism of  $\mathcal{O}_X$ -modules, as (B.4) is not  $\mathcal{O}_X(U)$ -linear.

**Proposition B.14.** *Let  $X \in \dot{\mathbf{Man}}$  and  $E \rightarrow X$  be a vector bundle. Then:*

- (a) *There exists a connection  $\nabla$  on  $E$ .*
- (b) *If  $\nabla, \nabla'$  are connections on  $E$  then  $\nabla' = \nabla + \Gamma$ , for  $\Gamma : \mathcal{E} \rightarrow \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{T}^*X$  an  $\mathcal{O}_X$ -module morphism on  $X_{\text{top}}$ .*
- (c) *If  $\nabla$  is a connection on  $E$  and  $\Gamma : \mathcal{E} \rightarrow \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{T}^*X$  is an  $\mathcal{O}_X$ -module morphism then  $\nabla' = \nabla + \Gamma$  is a connection on  $E$ .*

*Proof.* For (a), first suppose  $E$  is trivial, say  $E = X \times \mathbb{R}^k \rightarrow X$ . Then we can define a connection  $\nabla$  on  $E$  by

$$\nabla(U) : (e_1, \dots, e_k) \longmapsto (d(U)e_1, \dots, d(U)e_k)$$

whenever  $U \subseteq X_{\text{top}}$  is open and  $e_1, \dots, e_k \in \mathcal{O}_X(U)$ , using the obvious identifications  $\mathcal{E}(U) \cong \mathcal{O}_X(U)^k$  and  $(\mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{T}^*X)(U) \cong \mathcal{T}^*X(U)^k$ .

In the general case, choose an open cover  $\{U_a : a \in A\}$  of  $X$  by open submanifolds  $U_a \hookrightarrow X$  such that  $E|_{U_a} \rightarrow U_a$  is trivial for each  $a \in A$ . Then there exists a connection  $\nabla_a$  on  $E|_{U_a}$ . As in §B.1.4 we can choose a partition of unity  $\{\eta_a : a \in A\}$  on  $X$  subordinate to  $\{U_a : a \in A\}$ . It is now easy to check that  $\nabla = \sum_{a \in A} \eta_a \cdot \nabla_a$  is a well defined connection on  $E$ .

For (b), define  $\Gamma = \nabla' - \nabla : \mathcal{E} \rightarrow \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{T}^*X$ , as a sheaf of morphisms of  $\mathbb{R}$ -vector spaces. If  $U \subseteq X_{\text{top}}$  is open and  $a \in \mathcal{O}_X(U)$ ,  $e \in \mathcal{E}(U)$  then subtracting (B.4) for  $\nabla, \nabla'$  implies that  $\Gamma(a \cdot e) = a \cdot (\Gamma e)$  in  $(\mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{T}^*X)(U)$ , as the  $e \otimes (d(U)a)$  terms cancel. Hence  $\Gamma$  is  $\mathcal{O}_X$ -linear, and a morphism of  $\mathcal{O}_X$ -modules. Part (c) follows by the same argument in reverse.  $\square$

**Example B.15.** If  $\dot{\mathbf{Man}} = \mathbf{Man}$  then connections  $\nabla$  on a vector bundle  $E \rightarrow X$  in the sense of Definition B.13 are in canonical 1-1 correspondence with the usual notion of connections on  $E$  in differential geometry, with (B.4) the usual Leibniz rule for connections. The same holds if  $\mathbf{Man}$  lies in (B.3).

## B.4 Tangent sheaves

Let  $f : X \rightarrow Y$  be a morphism in  $\dot{\mathbf{Man}}$ , and  $E \rightarrow X$  a vector bundle. To define 2-morphisms of m-Kuranishi neighbourhoods in Chapter 4, we will (roughly) need a notion of ‘vector bundle morphism  $\Lambda : E \rightarrow f^*(TY)$ ’, where  $TY$  is the ‘tangent bundle’ of  $Y$ . For general categories  $\dot{\mathbf{Man}}$ , there are two problems with this. Firstly, objects  $X$  in  $\dot{\mathbf{Man}}$  may not have tangent vector bundles  $TX \rightarrow X$ . And secondly, there are examples such as  $\dot{\mathbf{Man}} = \mathbf{Man}^c$  in which tangent bundles do exist, but  $f^*(TY)$  is the wrong thing for our purpose.

Our solution is to define ‘ $TX$ ’, and ‘ $f^*(TY)$ ’, and ‘ $\text{Hom}(E, f^*(TY))$ ’ as sheaves on  $X$ , rather than as vector bundles:

- (i) For each  $X \in \dot{\mathbf{Man}}$  we will define a sheaf  $\mathcal{T}X$  of  $\mathcal{O}_X$ -modules on  $X_{\text{top}}$  called the *tangent sheaf* of  $X$ . Sections of  $\mathcal{T}X$  parametrize infinitesimal deformations of  $\text{id}_X : X \rightarrow X$  as a morphism in  $\dot{\mathbf{Man}}$ . If  $\dot{\mathbf{Man}} = \mathbf{Man}$  then  $\mathcal{T}X$  is the sheaf of smooth sections of the usual tangent bundle  $TX$ .
- (ii) For each morphism  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  we will define a sheaf  $\mathcal{T}_f Y$  of  $\mathcal{O}_X$ -modules on  $X_{\text{top}}$  called the *tangent sheaf* of  $f$ . Sections of  $\mathcal{T}_f Y$  parametrize infinitesimal deformations of  $f : X \rightarrow Y$ . If  $\dot{\mathbf{Man}} = \mathbf{Man}$  then  $\mathcal{T}_f Y$  is the sheaf of smooth sections of  $f^*(TY)$ .
- (iii) For each morphism  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  and vector bundle  $E \rightarrow X$  we define morphisms  $E \rightarrow \mathcal{T}_f Y$  as morphisms of sheaves of  $\mathcal{O}_X$ -modules.

In §B.3.1 we defined the cotangent sheaf  $\mathcal{T}^*X$ . In general  $\mathcal{T}X$  and  $\mathcal{T}^*X$  are not dual to each other, though there is a natural pairing  $\mathcal{T}X \times \mathcal{T}^*X \rightarrow \mathcal{O}_X$ . We define  $\mathcal{T}^*X$  using morphisms  $X \rightarrow \mathbb{R}$  in  $\dot{\mathbf{Man}}$ , and  $\mathcal{T}X$  using morphisms  $X \times \mathbb{R} \rightarrow X$  in  $\dot{\mathbf{Man}}$ , so  $\mathcal{T}X$  and  $\mathcal{T}^*X$  depend on different data in  $\dot{\mathbf{Man}}$ .

### B.4.1 Defining the $f$ -vector fields just as a set $\Gamma(\mathcal{T}_f Y)$

**Definition B.16.** Let  $f : X \rightarrow Y$  be a morphism in  $\dot{\mathbf{Man}}$ . Consider commutative diagrams in  $\dot{\mathbf{Man}}$  of the form

$$\begin{array}{ccccc}
 & & X & & \\
 & \swarrow^{(\text{id}_X, 0)} & \downarrow l & \searrow^f & \\
 X \times \mathbb{R} & \xleftarrow{i} & U & \xrightarrow{u} & Y,
 \end{array} \tag{B.5}$$

where  $i : U \hookrightarrow X \times \mathbb{R}$  is an open submanifold with  $X_{\text{top}} \times \{0\} \subseteq U_{\text{top}} \subseteq X_{\text{top}} \times \mathbb{R}$ , and unique  $l : X \rightarrow U$  with  $i \circ l = (\text{id}_X, 0)$  exists by Assumption 3.2(d), and  $u : U \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$  with  $u \circ l = f$ . We also require that  $U_{\text{top}}$  can be written as a union of subsets  $X'_{\text{top}} \times (-\epsilon, \epsilon)$  in  $X_{\text{top}} \times \mathbb{R}$  for  $X'_{\text{top}} \subseteq X_{\text{top}}$  open and  $\epsilon > 0$  (this condition will only be used in the proof of Proposition B.43). For brevity we write such a diagram as the pair  $(U, u)$ .

Define a binary relation  $\approx$  on such pairs  $(U, u)$  by  $(U, u) \approx (U', u')$  if for all  $\tilde{x} \in X_{\text{top}}$  there exists an open submanifold  $j : V \hookrightarrow X \times \mathbb{R}^2$  and a morphism  $v : V \rightarrow Y$  satisfying

$$\begin{aligned}
 (\tilde{x}, 0, 0) &\in V_{\text{top}}, & v_{\text{top}}(x, s, -s) &= f_{\text{top}}(x) \quad \forall (x, s, -s) \in V_{\text{top}}, \\
 v_{\text{top}}(x, s, 0) &= u_{\text{top}}(x, s) \quad \forall (x, s) \in U_{\text{top}} \text{ with } (x, s, 0) \in V_{\text{top}}, \\
 v_{\text{top}}(x, 0, s') &= u'_{\text{top}}(x, s') \quad \forall (x, s') \in U'_{\text{top}} \text{ with } (x, 0, s') \in V_{\text{top}}.
 \end{aligned} \tag{B.6}$$

We will show  $\approx$  is an equivalence relation. Suppose  $(U, u)$  is a pair, and let  $j : V \hookrightarrow X \times \mathbb{R}^2$  be the open submanifold and  $v : V \rightarrow Y$  the morphism with

$$V_{\text{top}} = \{(x, s, s') \in X_{\text{top}} \times \mathbb{R}^2 : (x, s + s') \in U_{\text{top}}\}, \quad v_{\text{top}} : (x, s, s') \mapsto u_{\text{top}}(x, s + s').$$

Then  $(V, v)$  implies that  $(U, u) \approx (U, u)$ , so  $\approx$  is reflexive. By exchanging the two factors of  $\mathbb{R}$  in  $X \times \mathbb{R}^2$  we see that  $(U, u) \approx (U', u')$  for pairs  $(U, u), (U', u')$  implies that  $(U', u') \approx (U, u)$ , so  $\approx$  is symmetric. Suppose  $(U, u) \approx (U', u')$  and  $(U', u') \approx (U'', u'')$ . Then for each  $\tilde{x} \in X_{\text{top}}$  there exist  $(V, v)$  as above for  $(U, u) \approx (U', u')$ , and  $(V', v')$  for  $(U', u') \approx (U'', u'')$ . Apply Assumption 3.7(a) with  $k = 3$  and  $n = 1$  to obtain an open submanifold  $k : W \hookrightarrow X \times \mathbb{R}^3$  and a morphism  $w : W \rightarrow Y$  such that  $(\tilde{x}, 0, 0, 0) \in W_{\text{top}}$ , and  $w_{\text{top}}(x, s, s', 0) = v_{\text{top}}(x, s, s')$  if  $(x, s, s') \in V_{\text{top}}$  with  $(x, s, s', 0)$  in  $W_{\text{top}}$ , and  $w_{\text{top}}(x, 0, s', s'') = v'_{\text{top}}(x, s', s'')$  if  $(x, s', s'') \in V'_{\text{top}}$  with  $(x, 0, s', s'')$  in  $W_{\text{top}}$ , and  $w_{\text{top}}(x, s, s', s'') = f_{\text{top}}(x)$  if  $(x, s, s', s'') \in W_{\text{top}}$  with  $s + s' + s'' = 0$ .

Here we change variables in  $\mathbb{R}^3$  from  $(s, s', s'')$  to  $(y_1, y_2, y_3) = (s + s' + s'', s, s'')$  to apply Assumption 3.7(a), so that  $w_{\text{top}}(x, s, s', s'') = f_{\text{top}}(x)$  when  $s + s' + s'' = 0$  prescribes  $w_{\text{top}}$  when  $y_1 = 0$ , and  $w_{\text{top}}(x, 0, s', s'') = v'_{\text{top}}(x, s', s'')$  prescribes  $w_{\text{top}}$  when  $y_2 = 0$ , and  $w_{\text{top}}(x, s, s', 0) = v_{\text{top}}(x, s, s')$  prescribes  $w_{\text{top}}$  when  $y_3 = 0$ . Making  $W$  smaller, we suppose that  $(x, s, s', 0) \in W_{\text{top}}$  implies that  $(x, s, s') \in V_{\text{top}}$ , and  $(x, 0, s', s'') \in W_{\text{top}}$  implies that  $(x, s', s'') \in V'_{\text{top}}$ .

Let  $j'' : V'' \hookrightarrow X \times \mathbb{R}^2$  be the open submanifold with

$$V''_{\text{top}} = \{(x, s, s'') \in X_{\text{top}} \times \mathbb{R}^2 : (x, s, 0, s'') \in W_{\text{top}}\}.$$



Then Assumption 3.2(d) applied to  $(\text{id}_X \times \text{id}_{\mathbb{R}} \times 0 \times \text{id}_{\mathbb{R}}) \circ j'' : V'' \rightarrow X \times \mathbb{R}^3$  gives a morphism  $h : V'' \rightarrow W$  in  $\mathbf{Man}$  with  $h_{\text{top}}(x, s, s'') = (x, s, 0, s'')$ . Define  $v'' = w \circ h : V'' \rightarrow Y$ . Then such  $(V'', v'')$  for all  $\tilde{x} \in X_{\text{top}}$  establish that  $(U, u) \approx (U'', u'')$ , since  $(\tilde{x}, 0, 0) \in V''_{\text{top}}$ , and  $v''_{\text{top}}(x, s, 0) = w_{\text{top}}(x, s, 0, 0) = v_{\text{top}}(x, s, 0) = u_{\text{top}}(x, s)$ , and  $v''_{\text{top}}(x, 0, s'') = w_{\text{top}}(x, 0, 0, s'') = v'_{\text{top}}(x, 0, s'') = u''_{\text{top}}(x, s'')$ . Thus  $\approx$  is transitive, and is an equivalence relation.

Write  $[U, u]$  for the  $\approx$ -equivalence class of pairs  $(U, u)$  as above. Write  $\Gamma(\mathcal{T}_f Y)$  for the set of all such  $\approx$ -equivalence classes  $[U, u]$ . (In §B.4.5 we will define a sheaf of  $\mathcal{O}_X$ -modules  $\mathcal{T}_f Y$  on  $X_{\text{top}}$  whose global sections are this set  $\Gamma(\mathcal{T}_f Y)$ , but for now  $\Gamma(\mathcal{T}_f Y)$  is just our notation for the set of all  $[U, u]$ .)

When  $Y = X$  and  $f = \text{id}_X$ , we write  $\Gamma(\mathcal{T}X) = \Gamma(\mathcal{T}_{\text{id}_X} X)$ .

**Example B.17.** Here is how to understand Definition B.16 in the case that  $\mathbf{Man} = \mathbf{Man}$ . Then we can use tangent spaces and derivatives of maps. Consider a diagram (B.5) in  $\mathbf{Man}$ . Write points in  $U \subseteq X \times \mathbb{R}$  as  $(x, s)$  with  $x \in X$  and  $s \in \mathbb{R}$ . Then for each  $x \in X$  with  $f(x) = y \in Y$  we have  $u(x, 0) = y \in Y$  and  $\frac{\partial u}{\partial s}(x, 0) \in T_y Y = f^*(TY)|_x$ . The map  $\hat{u} : x \mapsto \frac{\partial u}{\partial s}(x, 0)$  is a smooth section  $\hat{u}$  of the vector bundle  $f^*(TY) \rightarrow X$ .

Now let  $(U, u)$ ,  $(U', u')$  be two such diagrams, and  $\hat{u}, \hat{u}' \in \Gamma^\infty(f^*(TY))$  the corresponding sections. Suppose  $(U, u) \approx (U', u')$ , and let  $\tilde{x} \in X$  with  $\tilde{y} = f(\tilde{x})$ , so that there exist  $j : V \hookrightarrow X \times \mathbb{R}^2$  and  $v : V \rightarrow Y$  satisfying (B.6). Considering points  $(\tilde{x}, s, s') \in V$  with  $v(\tilde{x}, s, s') \in Y$ , we have  $\frac{\partial v}{\partial s}(\tilde{x}, 0, 0), \frac{\partial v}{\partial s'}(\tilde{x}, 0, 0) \in T_{\tilde{y}} Y$ . Differentiating (B.6) in  $s, s'$  at  $(\tilde{x}, 0, 0)$  yields

$$\begin{aligned} \frac{\partial v}{\partial s}(\tilde{x}, 0, 0) - \frac{\partial v}{\partial s'}(\tilde{x}, 0, 0) &= 0, & \frac{\partial v}{\partial s}(\tilde{x}, 0, 0) &= \frac{\partial u}{\partial s}(\tilde{x}, 0) = \hat{u}(\tilde{x}) \\ \text{and} & & \frac{\partial v}{\partial s'}(\tilde{x}, 0, 0) &= \frac{\partial u'}{\partial s'}(\tilde{x}, 0) = \hat{u}'(\tilde{x}), \end{aligned}$$

so that  $\hat{u}(\tilde{x}) = \hat{u}'(\tilde{x})$ , for all  $\tilde{x} \in X$ . Thus  $(U, u) \approx (U', u')$  forces  $\hat{u} = \hat{u}'$  in  $\Gamma^\infty(f^*(TY))$ . Conversely one can show that  $\hat{u} = \hat{u}'$  implies  $(U, u) \approx (U', u')$ . Also every  $\hat{u} \in \Gamma^\infty(f^*(TY))$  comes from some  $(U, u)$  in (B.5). Hence  $\approx$ -equivalence classes  $[U, u]$  are in 1-1 correspondence with  $\hat{u} \in \Gamma^\infty(f^*(TY))$  by  $[U, u] \mapsto \hat{u}$ . So we can identify  $\Gamma(\mathcal{T}_f Y)$  with  $\Gamma^\infty(f^*(TY))$  when  $\mathbf{Man} = \mathbf{Man}$ .

## B.4.2 Making $\Gamma(\mathcal{T}_f Y)$ into a $C^\infty(X)$ -module

Section B.1.1 discussed the  $\mathbb{R}$ -algebra  $C^\infty(X)$ . We will give  $\Gamma(\mathcal{T}_f Y)$  in §B.4.1 the structure of a  $C^\infty(X)$ -module.

**Definition B.18.** We continue in the situation of Definition B.16. To make  $\Gamma(\mathcal{T}_f Y)$  into a  $C^\infty(X)$ -module we must define the product  $a \cdot \alpha$  in  $\Gamma(\mathcal{T}_f Y)$  for all  $a \in C^\infty(X)$  and  $\alpha \in \Gamma(\mathcal{T}_f Y)$ , the sum  $\alpha + \beta$  in  $\Gamma(\mathcal{T}_f Y)$  for all  $\alpha, \beta \in \Gamma(\mathcal{T}_f Y)$ , and the zero element  $0 \in \Gamma(\mathcal{T}_f Y)$ , and verify they satisfy

$$\begin{aligned} \alpha + \beta &= \beta + \alpha, & (\alpha + \beta) + \gamma &= \alpha + (\beta + \gamma), \\ 0_X \cdot \alpha &= 0, & 1_X \cdot \alpha &= \alpha, & a \cdot (b \cdot \alpha) &= (a \cdot b) \cdot \alpha, \\ (a + b) \cdot \alpha &= (a \cdot \alpha) + (b \cdot \alpha), & a \cdot (\alpha + \beta) &= (a \cdot \alpha) + (a \cdot \beta), \end{aligned} \tag{B.7}$$

for all  $a, b \in C^\infty(X)$  and  $\alpha, \beta, \gamma \in \Gamma(\mathcal{T}_f Y)$ , where  $0_X, 1_X \in C^\infty(X)$  are the morphisms  $0, 1 : X \rightarrow \mathbb{R}$ .

To define  $a \cdot \alpha$ , let  $a \in C^\infty(X)$  and  $\alpha \in \Gamma(\mathcal{T}_f Y)$ , and let  $(U, u)$  in (B.5) represent  $\alpha = [U, u]$ . Write  $\tilde{i} : \tilde{U} \hookrightarrow X \times \mathbb{R}$  for the open submanifold with

$$\tilde{U}_{\text{top}} = \{(x, s) \in X_{\text{top}} \times \mathbb{R} : (x, a_{\text{top}}(x)s) \in U_{\text{top}}\}.$$

Form the commutative diagram in **Man**:

$$\begin{array}{ccccc} & & \xrightarrow{\quad (\text{id}_X, 0) \quad} & & \\ X \times \mathbb{R} & \xleftarrow{\quad \tilde{i} \quad} & \tilde{U} & \xleftarrow{\quad \star \quad} & X \\ \downarrow \text{id}_X \times (a \cdot \text{id}_{\mathbb{R}}) & & \star \downarrow & \begin{array}{l} \xleftarrow{\quad \tilde{l} \quad} \\ \xrightarrow{\quad \tilde{u} \quad} \end{array} & \begin{array}{l} \downarrow f \\ \xrightarrow{\quad l \quad} \end{array} \\ X \times \mathbb{R} & \xleftarrow{\quad i \quad} & U & \xleftarrow{\quad u \quad} & Y, \end{array} \quad (\text{B.8})$$

where morphisms labelled ‘ $\star$ ’ exist by Assumption 3.2(d), and  $\text{id}_X \times (a \cdot \text{id}_{\mathbb{R}})$  maps  $(x, s) \mapsto (x, a_{\text{top}}(x)s)$  on  $X_{\text{top}} \times \mathbb{R}$ . Then  $\tilde{U}, \tilde{i}, \tilde{l}, \tilde{u}$  are a diagram of type (B.5). Define  $a \cdot \alpha = [\tilde{U}, \tilde{u}] \in \Gamma(\mathcal{T}_f Y)$ .

To show this is well defined, we must prove that if  $(U', u')$  is another representative for  $\alpha$ , so that  $(U, u) \approx (U', u')$ , and  $(\tilde{U}', \tilde{u}')$  is constructed from  $a, (U', u')$  as in (B.8), then  $(\tilde{U}, \tilde{u}) \approx (\tilde{U}', \tilde{u}')$ , so that  $[\tilde{U}, \tilde{u}] = [\tilde{U}', \tilde{u}']$ . We do this by combining the data  $j : V \hookrightarrow X \times \mathbb{R}^2, v : V \rightarrow Y$  satisfying (B.6) showing that  $(U, u) \approx (U', u')$  with (B.8), now using  $\text{id}_X \times (a \cdot \text{id}_{\mathbb{R}}) \times (a \cdot \text{id}_{\mathbb{R}}) : X \times \mathbb{R}^2 \rightarrow X \times \mathbb{R}^2$  in place of the left hand column of (B.8), to construct  $\tilde{j}, \tilde{V}, \tilde{v}$  showing that  $(\tilde{U}, \tilde{u}) \approx (\tilde{U}', \tilde{u}')$ . So  $a \cdot \alpha$  is well defined.

To define  $\alpha + \beta$ , let  $\alpha, \beta \in \Gamma(\mathcal{T}_f Y)$ , and let  $(U, u), (\hat{U}, \hat{u})$  in (B.5) represent  $\alpha = [U, u]$  and  $\beta = [\hat{U}, \hat{u}]$ . Assumption 3.7(a) with  $k = 2$  and  $m_1 = m_2 = 1$  applied to  $(U_1, u_1) = (U, u)$  and  $(U_2, u_2) = (\hat{U}, \hat{u})$  gives an open  $j : V \hookrightarrow X \times \mathbb{R}^2$  and  $v : V \rightarrow Y$  such that  $X_{\text{top}} \times \{(0, 0)\} \subseteq V_{\text{top}}$  and  $v_{\text{top}}(x, s, 0) = u_{\text{top}}(x, s)$  for all  $(x, s)$  in  $U_{\text{top}}$  with  $(x, s, 0)$  in  $V_{\text{top}}$  and  $v_{\text{top}}(x, 0, s) = \hat{u}_{\text{top}}(x, s)$  for all  $(x, s)$  in  $\hat{U}_{\text{top}}$  with  $(x, 0, s)$  in  $V_{\text{top}}$ . Let  $\tilde{i} : \tilde{U} \hookrightarrow X \times \mathbb{R}$  be the open submanifold with

$$\tilde{U}_{\text{top}} = \{(x, s) \in X_{\text{top}} \times \mathbb{R} : (x, s, s) \in V_{\text{top}} \subseteq X_{\text{top}} \times \mathbb{R}^2\}.$$

Form the commutative diagram in **Man**:

$$\begin{array}{ccccc} X \times \mathbb{R} & \xleftarrow{\quad \tilde{i} \quad} & \tilde{U} & \xleftarrow{\quad \star \quad} & X \\ \downarrow \text{id}_X \times (\text{id}_{\mathbb{R}}, \text{id}_{\mathbb{R}}) & & \star \downarrow & \begin{array}{l} \xleftarrow{\quad \tilde{l} \quad} \\ \xrightarrow{\quad \tilde{u} \quad} \end{array} & \begin{array}{l} \downarrow f \\ \xrightarrow{\quad m \quad} \end{array} \\ X \times \mathbb{R}^2 & \xleftarrow{\quad j \quad} & V & \xleftarrow{\quad v \quad} & Y, \end{array}$$

where morphisms labelled ‘ $\star$ ’ exist by Assumption 3.2(d), and  $\text{id}_X \times (\text{id}_{\mathbb{R}}, \text{id}_{\mathbb{R}})$  maps  $(x, s) \mapsto (x, s, s)$  on  $X_{\text{top}} \times \mathbb{R}$ . Then  $\tilde{U}, \tilde{i}, \tilde{l}, \tilde{u}$  are a diagram (B.5). Write  $\alpha + \beta = [\tilde{U}, \tilde{u}]$  in  $\Gamma(\mathcal{T}_f Y)$ .

To show this is well defined, suppose  $(U', u'), (\hat{U}', \hat{u}')$  are alternative representatives for  $\alpha, \beta$ , so that  $(U, u) \approx (U', u')$  and  $(\hat{U}, \hat{u}) \approx (\hat{U}', \hat{u}')$ , and use  $(V', v')$  to construct  $(\tilde{U}', \tilde{u}')$  from  $(U', u'), (\hat{U}', \hat{u}')$  as above. We must prove that

$(\check{U}, \check{u}) \approx (\check{U}', \check{u}')$ . Let  $\tilde{x} \in X_{\text{top}}$ , and let  $j : V \hookrightarrow X \times \mathbb{R}^2$ ,  $v : V \rightarrow Y$  satisfy (B.6) for  $(U, u) \approx (U', u')$ , and  $\hat{j} : \hat{V} \hookrightarrow X \times \mathbb{R}^2$ ,  $\hat{v} : \hat{V} \rightarrow Y$  satisfy (B.6) for  $(\check{U}, \check{u}) \approx (\check{U}', \check{u}')$ . We will apply Assumption 3.7(a) five times to construct an open submanifold  $k : W \hookrightarrow X \times \mathbb{R}^4$  with  $(\tilde{x}, 0, 0, 0) \in W_{\text{top}}$  and a morphism  $w : W \rightarrow Y$ , such that for all  $x \in X_{\text{top}}$  and  $q, r, s, t \in \mathbb{R}$  in the appropriate open sets we have

$$\begin{aligned}
w_{\text{top}}(x, q, 0, 0, 0) &= u_{\text{top}}(x, q), & w_{\text{top}}(x, 0, r, 0, 0) &= \hat{u}_{\text{top}}(x, r), \\
w_{\text{top}}(x, q, q, 0, 0) &= \check{u}_{\text{top}}(x, q), & w_{\text{top}}(x, 0, 0, s, 0) &= u'_{\text{top}}(x, s), \\
w_{\text{top}}(x, 0, 0, 0, t) &= \hat{u}'_{\text{top}}(x, t), & w_{\text{top}}(x, 0, 0, s, s) &= \check{u}'_{\text{top}}(x, s), \\
w_{\text{top}}(x, q, r, 0, 0) &= v_{\text{top}}(x, q, r), & w_{\text{top}}(x, 0, 0, s, t) &= \hat{v}_{\text{top}}(x, s, t), \\
w_{\text{top}}(x, q, 0, s, 0) &= v_{\text{top}}(x, q, s), & w_{\text{top}}(x, 0, r, 0, t) &= \hat{v}''_{\text{top}}(x, r, t), \\
&& w_{\text{top}}(x, q, r, -q, -r) &= f_{\text{top}}(x).
\end{aligned} \tag{B.9}$$

We do this in the following steps:

- (a) Choose values of  $w_{\text{top}}(x, q, r, -q, t)$  to satisfy the second, fifth, tenth, and eleventh equations of (B.9), using Assumption 3.7(a) with  $k = 2$ ,  $n = 1$  and  $X \times \mathbb{R}$  with variables  $(x, x') \in X'_{\text{top}} = X_{\text{top}} \times \mathbb{R}$  in place of  $X$ , and variables  $(x, q, r, -q, t) = (x, z_1, z_2 + x', -z_1, -x')$ .
- (b) Choose values of  $w_{\text{top}}(x, q, 0, s, t)$  to satisfy the first, fourth, fifth, sixth, eighth and ninth equations of (B.9), using Assumption 3.7(a) with  $k = 2$ ,  $n = 1$  and  $X \times \mathbb{R}$  with variables  $(x, x') \in X'_{\text{top}} = X_{\text{top}} \times \mathbb{R}$  in place of  $X$ , and variables  $(x, q, 0, s, t) = (x, z_1, 0, x', z_2)$ .
- (c) Choose values of  $w_{\text{top}}(x, q, r, 0, t)$  to satisfy the first, second, third, fifth, seventh and tenth equations of (B.9), and with  $w_{\text{top}}(x, q, 0, 0, t)$  as already determined in (b), using Assumption 3.7(a) with  $k = 3$ ,  $n = 1$  and variables  $(x, q, r, 0, t) = (x, z_1, z_2, 0, z_3)$ .
- (d) Choose values of  $w_{\text{top}}(x, q, r, s, 0)$  to satisfy the first–fourth, seventh and ninth equations of (B.9), and with  $w_{\text{top}}(x, q, r, -q, 0)$  as already determined in (a), using Assumption 3.7(a) with  $k = 3$ ,  $n = 1$  and variables  $(x, q, r, s, 0) = (x, z_1 - z_3, z_2, z_3, 0)$ .
- (e) Choose values of  $w_{\text{top}}(x, q, r, s, t)$  agreeing with the choices made in (a)–(d), using Assumption 3.7(a) with  $k = 4$ ,  $n = 1$  and variables  $(x, q, r, s, t) = (x, z_1 - z_3, z_2, z_3, z_4)$ .

Write  $\check{j} : \check{V} \rightarrow X \times \mathbb{R}^2$  for the open submanifold with

$$\check{V}_{\text{top}} = \{(x, q, s) \in X_{\text{top}} \times \mathbb{R}^2 : (x, q, q, s, s) \in W_{\text{top}} \subseteq X_{\text{top}} \times \mathbb{R}^4\}.$$

Form the commutative diagram in  $\mathbf{Man}$ :

$$\begin{array}{ccc}
X \times \mathbb{R}^2 & \longleftarrow & \check{V} \\
\downarrow \text{id}_X \times (\text{id}_{\mathbb{R}}, \text{id}_{\mathbb{R}}) \times (\text{id}_{\mathbb{R}}, \text{id}_{\mathbb{R}}) & \searrow \check{j} & \downarrow * \\
X \times \mathbb{R}^4 & \longleftarrow & W \\
& & \downarrow k \\
& & W \xrightarrow{w} Y
\end{array}$$

$\check{V} \xrightarrow{v} Y$

where the morphism ‘ $\star$ ’ exists by Assumption 3.2(d), and  $\text{id}_X \times (\text{id}_{\mathbb{R}}, \text{id}_{\mathbb{R}}) \times (\text{id}_{\mathbb{R}}, \text{id}_{\mathbb{R}})$  maps  $(x, q, s) \mapsto (x, q, q, s, s)$  on  $X_{\text{top}} \times \mathbb{R}^2$ . Then  $j : \tilde{V} \hookrightarrow X \times \mathbb{R}^2$  and  $\tilde{v} : \tilde{V} \rightarrow Y$  satisfy (B.6) for  $(\tilde{U}, \tilde{u}) \approx (U', \hat{u}')$  at  $\tilde{x} \in X_{\text{top}}$ , for all  $\tilde{x}$ . Hence  $[\tilde{U}, \tilde{u}] = [\hat{U}', \hat{u}']$ , and  $\alpha + \beta$  is well defined.

Define  $0 \in \Gamma(\mathcal{T}_f Y)$  to be  $0 = [X \times \mathbb{R}, f \circ \pi_X]$ , so that (B.5) becomes

$$\begin{array}{ccccc} & & X & & \\ & \swarrow^{(\text{id}_X, 0)} & \downarrow l & \searrow^f & \\ X \times \mathbb{R} & \xleftarrow{\text{id}} & X \times \mathbb{R} & \xrightarrow{f \circ \pi_X} & Y. \end{array}$$

This defines all the data  $\cdot, +, 0$  of the  $C^\infty(X)$ -module structure on  $\Gamma(\mathcal{T}_f Y)$ . It is now a long but straightforward calculation to show that the axioms (B.7) hold, and we leave this as an exercise for the reader.

### B.4.3 Action of $v \in \Gamma(\mathcal{T}_f Y)$ as an $f$ -derivation

If  $X$  is a classical manifold and  $\alpha \in \Gamma^\infty(TX)$  is a vector field then  $\alpha$  acts as a derivation  $\Delta_\alpha : C^\infty(X) \rightarrow C^\infty(X)$  (and in fact as a  $C^\infty$ -derivation, as in the author [65, §5.2]). We prove a relative version of this for  $\mathbf{Man}$ .

**Definition B.19.** Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ , and  $\alpha \in \Gamma(\mathcal{T}_f Y)$ . We will define a map  $\Delta_\alpha : C^\infty(Y) \rightarrow C^\infty(X)$ . Write  $\alpha = [U, u]$  for  $(U, u)$  as in (B.5). Let  $a \in C^\infty(Y)$ , so that  $a : Y \rightarrow \mathbb{R}$  and  $a \circ u : U \rightarrow \mathbb{R}$  are morphisms in  $\mathbf{Man}$ . Apply Assumption 3.5 to  $f = a \circ u : U \rightarrow \mathbb{R}$ . By (3.1)–(3.2), this gives a morphism  $g : U \rightarrow \mathbb{R}$  in  $\mathbf{Man}$  such that

$$g_{\text{top}}(x, t) = \begin{cases} t^{-1}[(a \circ u)_{\text{top}}(x, t) - (a \circ u)_{\text{top}}(x, 0)], & t \neq 0, \\ \frac{\partial}{\partial t}(a \circ u)_{\text{top}}(x, t), & t = 0, \end{cases} \quad (\text{B.10})$$

and this determines  $g$  uniquely, by faithfulness in Assumption 3.2(a). Now define  $\Delta_\alpha(a) = g \circ l : X \rightarrow \mathbb{R}$ . Then  $\Delta_\alpha(a) \in C^\infty(X)$ , and (B.10) gives

$$\Delta_\alpha(a)_{\text{top}}(x) = \frac{\partial}{\partial t}(a \circ u)_{\text{top}}(x, t)|_{t=0} \quad \text{for } x \in X_{\text{top}}. \quad (\text{B.11})$$

Let  $(U', u')$  be an alternative representative for  $\alpha$ , and write  $\Delta'_\alpha : C^\infty(Y) \rightarrow C^\infty(X)$  for the corresponding map. Then  $(U, u) \approx (U', u')$ , so by Definition B.16 for each  $\tilde{x} \in X_{\text{top}}$  there exist open  $j : V \hookrightarrow X \times \mathbb{R}^2$  and  $v : V \rightarrow Y$  satisfying (B.6). Then

$$\begin{aligned} \Delta_\alpha(a)_{\text{top}}(\tilde{x}) &= \frac{\partial}{\partial s}(a \circ u)_{\text{top}}(\tilde{x}, s)|_{s=0} = \frac{\partial}{\partial s}(a \circ v)_{\text{top}}(\tilde{x}, s, 0)|_{s=0} \\ &= \frac{\partial}{\partial s'}(a \circ v)_{\text{top}}(\tilde{x}, 0, s')|_{s'=0} = \frac{\partial}{\partial s'}(a \circ u')_{\text{top}}(\tilde{x}, s')|_{s'=0} = \Delta'_\alpha(a)_{\text{top}}(\tilde{x}), \end{aligned}$$

using (B.11) in the first and last steps, and differentiating (B.6) in  $s, s'$  at  $s = s' = 0$  for the second–fourth. Hence  $\Delta_\alpha = \Delta'_\alpha$ , and  $\Delta_\alpha$  is well defined.

It is clear from (B.11) that  $\Delta_\alpha : C^\infty(Y) \rightarrow C^\infty(X)$  is an  $\mathbb{R}$ -linear map. We will show in Proposition B.20 that it is both a derivation of  $C^\infty(Y)$  as an  $\mathbb{R}$ -algebra, and a  $C^\infty$ -derivation of  $C^\infty(Y)$  as a  $C^\infty$ -ring.

The next proposition follows easily from (B.11), the product and chain rules for differentiation, and Definition B.18.

**Proposition B.20.** *Work in the situation of Definition B.19. Then:*

- (a) *Regard  $f^* : C^\infty(Y) \rightarrow C^\infty(X)$  as a morphism of commutative  $\mathbb{R}$ -algebras as in §B.1.1. Then the  $\mathbb{R}$ -linear map  $\Delta_\alpha : C^\infty(Y) \rightarrow C^\infty(X)$  satisfies*

$$\Delta_\alpha(a \cdot b) = f^*(a) \cdot \Delta_\alpha(b) + f^*(b) \cdot \Delta_\alpha(a) \quad \text{for all } a, b \in C^\infty(Y).$$

*That is,  $\Delta_\alpha$  is a **relative derivation** for  $f^* : C^\infty(Y) \rightarrow C^\infty(X)$ .*

- (b) *Regard  $f^* : C^\infty(Y) \rightarrow C^\infty(X)$  as a morphism of  $C^\infty$ -rings as in §B.1.2, and write the  $C^\infty$ -ring operations on  $C^\infty(X), C^\infty(Y)$  as  $\Phi_g, \Psi_g$  respectively for smooth  $g : \mathbb{R}^n \rightarrow \mathbb{R}$ . Then  $\Delta_\alpha : C^\infty(Y) \rightarrow C^\infty(X)$  satisfies*

$$\begin{aligned} \Delta_\alpha(\Psi_g(a_1, \dots, a_n)) &= \sum_{i=1}^n f^*(\Psi_{\frac{\partial g}{\partial x_i}}(a_1, \dots, a_n)) \cdot \Delta_\alpha(a_i) \\ &= \sum_{i=1}^n \Phi_{\frac{\partial g}{\partial x_i}}(f^*(a_1), \dots, f^*(a_n)) \cdot \Delta_\alpha(a_i) \end{aligned} \tag{B.12}$$

*for all  $a_1, \dots, a_n \in C^\infty(Y)$ . That is,  $\Delta_\alpha$  is a **relative  $C^\infty$ -derivation** for  $f^* : C^\infty(Y) \rightarrow C^\infty(X)$ .*

- (c) *If  $\alpha, \beta \in \Gamma(\mathcal{T}_f Y)$  then  $\Delta_{\alpha+\beta}(a) = \Delta_\alpha(a) + \Delta_\beta(a)$  for all  $a \in C^\infty(Y)$ .*  
(d) *If  $a \in C^\infty(X)$  and  $\alpha \in \Gamma(\mathcal{T}_f Y)$  then  $\Delta_{a \cdot \alpha}(b) = a \cdot \Delta_\alpha(b)$  for all  $b \in C^\infty(Y)$ .*

When  $\dot{\mathbf{Man}} = \mathbf{Man}$ , one can show that the map  $\alpha \mapsto \Delta_\alpha$  is a 1-1 correspondence between elements of  $\Gamma(\mathcal{T}_f Y)$  and relative  $C^\infty$ -derivations. But for general  $\dot{\mathbf{Man}}$ , it is not clear that  $\alpha \mapsto \Delta_\alpha$  need be either injective or surjective.

#### B.4.4 Acting on modules $\Gamma(\mathcal{T}_f Y)$ with morphisms in $\dot{\mathbf{Man}}$

Suppose  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are morphisms in  $\dot{\mathbf{Man}}$ . We will define natural morphisms  $\Gamma(\mathcal{T}g) : \Gamma(\mathcal{T}_f Y) \rightarrow \Gamma(\mathcal{T}_{g \circ f} Z)$  and  $f^* : \Gamma(\mathcal{T}_g Z) \rightarrow \Gamma(\mathcal{T}_{g \circ f} Z)$ .

**Definition B.21.** Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be morphisms in  $\dot{\mathbf{Man}}$ . Sections B.4.1–B.4.2 define  $C^\infty(X)$ -modules  $\Gamma(\mathcal{T}_f Y)$  and  $\Gamma(\mathcal{T}_{g \circ f} Z)$ . Define a map  $\Gamma(\mathcal{T}g) : \Gamma(\mathcal{T}_f Y) \rightarrow \Gamma(\mathcal{T}_{g \circ f} Z)$  by  $\Gamma(\mathcal{T}g)([U, u]) = [U, g \circ u]$ . It is easy to check using §B.4.1–§B.4.2 that if  $(U, u) \approx (U', u')$  then  $(U, g \circ u) \approx (U', g \circ u')$ , so that  $\Gamma(\mathcal{T}g)$  is well-defined, and that it is a  $C^\infty(X)$ -module morphism.

For  $[U, u] \in \Gamma(\mathcal{T}_g Z)$  defined by a pair  $(U, u)$  in a diagram (B.5) with  $Y, Z, g$  in place of  $X, Y, f$ , form the commutative diagram in **Man**:

$$\begin{array}{ccccc}
 & X & \xrightarrow{\quad} & Y & \\
 & \searrow^{(\text{id}_X, 0)} & & \searrow^g & \\
 X \times \mathbb{R} & \xleftarrow{i'} & U' & \xrightarrow{g \circ f} & Z \\
 & \searrow^{f \times \text{id}_{\mathbb{R}}} & \searrow^{l'} & \searrow^{(id_Y, 0)} & \\
 & & Y \times \mathbb{R} & \xleftarrow{i} & U \\
 & & \searrow^{m'} & \searrow^{l'} & \searrow^u \\
 & & & & 
 \end{array} \quad (\text{B.13})$$

Here  $i' : U' \hookrightarrow X \times \mathbb{R}$  is open with

$$U'_{\text{top}} = \{(x, t) \in X_{\text{top}} \times \mathbb{R} : (f_{\text{top}}(x), t) \in U_{\text{top}}\},$$

and unique  $l', m'$  exist making (B.13) commute by Assumption 3.2(d). Then  $U', i', l', u'$  in (B.13) are a diagram (B.5) for  $g \circ f$ , so that  $[U', u'] \in \Gamma(\mathcal{T}_{g \circ f} Z)$ . Define  $f^*([U, u]) = [U', u']$ .

To show that  $[U', u']$  is independent of the choice of representative  $(U, u)$  for  $[U, u]$ , so that  $f^*$  is well defined, given another choice  $(\hat{U}, \hat{u})$  yielding  $(\hat{U}', \hat{u}')$ , as  $(U, u) \approx (\hat{U}, \hat{u})$  there exist  $V, v$  for each  $\tilde{y} \in Y_{\text{top}}$  satisfying (B.6) at  $\tilde{y}$  over  $g : Y \rightarrow Z$ . Then for  $\tilde{x} \in X_{\text{top}}$  with  $f_{\text{top}}(\tilde{x}) = \tilde{y}$ , we define  $V', v'$  satisfying (B.6) for  $(U', u') \approx (\hat{U}', \hat{u}')$  at  $\tilde{x}$  over  $g \circ f : X \rightarrow Z$ , by constructing  $V', v'$  from  $V, v$  in the same way that (B.13) generalizes (B.5). Hence  $[U', u'] = [\hat{U}', \hat{u}']$ , and  $f^*([U, u])$  is well defined.

It is easy to check using §B.4.1–§B.4.2 that  $f^*(\alpha + \beta) = f^*(\alpha) + f^*(\beta)$  and  $f^*(a \cdot \alpha) = f^*(a) \cdot f^*(\alpha)$ , for all  $a \in C^\infty(Y)$  and  $\alpha, \beta \in \Gamma(\mathcal{T}_g Z)$ . That is,  $f^* : \Gamma(\mathcal{T}_g Z) \rightarrow \Gamma(\mathcal{T}_{g \circ f} Z)$  is a module morphism relative to  $f^* : C^\infty(Y) \rightarrow C^\infty(X)$ .

If  $e : W \rightarrow X$  is another morphism in **Man**, we see that

$$\begin{aligned}
 \Gamma(\mathcal{T}(g \circ f)) &= \Gamma(\mathcal{T}g) \circ \Gamma(\mathcal{T}f) : \Gamma(\mathcal{T}_e X) \longrightarrow \Gamma(\mathcal{T}_{g \circ f \circ e} Z), \\
 (f \circ e)^* &= e^* \circ f^* : \Gamma(\mathcal{T}_g Z) \longrightarrow \Gamma(\mathcal{T}_{g \circ f \circ e} Z), \\
 \Gamma(\mathcal{T}g) \circ e^* &= e^* \circ \Gamma(\mathcal{T}g) : \Gamma(\mathcal{T}_f Y) \longrightarrow \Gamma(\mathcal{T}_{g \circ f \circ e} Z).
 \end{aligned} \quad (\text{B.14})$$

**Example B.22.** If  $f : X \rightarrow Y$  is a morphism in **Man**  $\subseteq \dot{\mathbf{Man}}$ , we have  $\Gamma(\mathcal{T}_f Y) \cong \Gamma^\infty(f^*(TY))$  as in Example B.17. For morphisms  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  in **Man**  $\subseteq \dot{\mathbf{Man}}$ , these isomorphisms identify

$$\begin{aligned}
 \Gamma(\mathcal{T}g) : \Gamma(\mathcal{T}_f Y) \rightarrow \Gamma(\mathcal{T}_{g \circ f} Z) &\leftrightarrow f^*(Tg) \circ : \Gamma^\infty(f^*(TY)) \rightarrow \Gamma^\infty((g \circ f)^*(TZ)), \\
 f^* : \Gamma(\mathcal{T}_g Z) \rightarrow \Gamma(\mathcal{T}_{g \circ f} Z) &\leftrightarrow f^* : \Gamma^\infty(g^*(TZ)) \rightarrow \Gamma^\infty((g \circ f)^*(TZ)),
 \end{aligned}$$

where  $Tg : TY \rightarrow g^*(TZ)$  is the derivative of  $g$ . This justifies the notation  $\Gamma(\mathcal{T}g)$  and  $f^*$  in Definition B.21.

**Lemma B.23.** Suppose  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are morphisms in **Man**, with  $g : Y \hookrightarrow Z$  an open submanifold. Then  $\Gamma(\mathcal{T}g) : \Gamma(\mathcal{T}_f Y) \rightarrow \Gamma(\mathcal{T}_{g \circ f} Z)$  is an isomorphism of  $C^\infty(X)$ -modules.

*Proof.* We will define an inverse map  $I : \Gamma(\mathcal{T}_{g \circ f} Z) \rightarrow \Gamma(\mathcal{T}_f Y)$  for  $\Gamma(\mathcal{T}g)$ . Let  $\alpha \in \Gamma(\mathcal{T}_{g \circ f} Z)$ , and pick a representative  $(U, u)$  for  $\alpha = [U, u]$ , in a diagram (B.5). Let  $i' : U' \hookrightarrow X \times \mathbb{R}$  be the open submanifold with

$$U'_{\text{top}} = \{(x, t) \in X_{\text{top}} \times \mathbb{R} : u_{\text{top}}(x, t) \in Y_{\text{top}} \subseteq Z_{\text{top}}\}.$$

Then (B.5) extends to a commutative diagram

$$\begin{array}{ccccc}
 & & X & & \\
 & \xrightarrow{(\text{id}_X, 0)} & \downarrow l & \xrightarrow{g \circ f} & \\
 X \times \mathbb{R} & \xleftarrow{i} & U & \xrightarrow{u} & Z, \\
 & \searrow i' & \swarrow j' & \searrow g & \\
 & & U' & \xrightarrow{u'} & Y
 \end{array} \quad (\text{B.15})$$

where  $j', l', u'$  exist by Assumption 3.2(d) for the open submanifolds  $i : U \hookrightarrow X \times \mathbb{R}$ ,  $i' : U' \hookrightarrow X \times \mathbb{R}$  and  $g : Y \hookrightarrow Z$  respectively. Then  $U', i', l', u'$  are a diagram (B.5) for  $f : X \rightarrow Y$ , so  $[U', u'] \in \Gamma(\mathcal{T}_f Y)$ . Define  $I(\alpha) = [U', u']$ .

A similar argument for  $V, v$  satisfying (B.6) shows  $I(\alpha)$  is independent of the choice of  $(U, u)$ , and so is well defined. To see that  $\Gamma(\mathcal{T}g) \circ I = \text{id}$ , note that

$$\Gamma(\mathcal{T}g) \circ I(\alpha) = [U', g \circ u'] = [U', u \circ j'],$$

and use  $V, v$  in (B.6) with  $v_{\text{top}}(x, s, t) = u_{\text{top}}(x, s + t)$  to show that  $(U, u) \approx (U', u \circ j')$ , so that  $\Gamma(\mathcal{T}g) \circ I(\alpha) = [U, u] = \alpha$ . To see that  $I \circ \Gamma(\mathcal{T}g) = \text{id}$ , let  $\beta = [U', u'] \in \Gamma(\mathcal{T}_f Y)$ , so that  $\Gamma(\mathcal{T}g)(\beta) = [U', g \circ u']$ , and consider (B.15) with  $U = U', i = i', l = l', u = g \circ u'$  to see that  $I \circ \Gamma(\mathcal{T}g)(\beta) = [U, u'] = \beta$ . Therefore  $\Gamma(\mathcal{T}g)$  is a bijection, and so an isomorphism of  $C^\infty(X)$ -modules.  $\square$

#### B.4.5 The sheaves of $\mathcal{O}_X$ -modules $\mathcal{T}X$ and $\mathcal{T}_f Y$

Next we define a sheaf of  $\mathcal{O}_X$ -modules  $\mathcal{T}_f Y$  on  $X_{\text{top}}$ , with global sections  $\mathcal{T}_f Y(X_{\text{top}}) = \Gamma(\mathcal{T}_f Y)$ . This justifies the notation  $\Gamma(\mathcal{T}_f Y)$  in §B.4.1.

**Definition B.24.** Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ . Section B.1.3 defines a sheaf of  $\mathbb{R}$ -algebras  $\mathcal{O}_X$  on  $X_{\text{top}}$ . For each open submanifold  $\chi' : X' \hookrightarrow X$  in  $\mathbf{Man}$ , so that  $X'_{\text{top}} \subseteq X_{\text{top}}$  is an open set and  $f \circ \chi' : X' \rightarrow Y$  a morphism in  $\mathbf{Man}$ , write  $\mathcal{T}_f Y(X'_{\text{top}}) = \Gamma(\mathcal{T}_{f \circ \chi'} Y)$  from Definition B.16, considered as a module over  $\mathcal{O}_X(X'_{\text{top}}) = C^\infty(X')$  as in Definition B.18. Note that when  $\chi' : X' \hookrightarrow X$  is  $\text{id}_X : X \hookrightarrow X$  we have  $\mathcal{T}_f Y(X_{\text{top}}) = \Gamma(\mathcal{T}_f Y)$ .

For each commutative triangle of open submanifolds in  $\mathbf{Man}$ :

$$\begin{array}{ccc}
 & X' & \\
 \xi \nearrow & & \searrow \chi' \\
 X'' & \xrightarrow{\chi''} & X,
 \end{array} \quad (\text{B.16})$$

using the notation of §B.4.4 define a map

$$\rho_{X'_{\text{top}} X''_{\text{top}}} = \xi^* : \mathcal{T}_f Y(X'_{\text{top}}) = \Gamma(\mathcal{T}_{f \circ \chi'} Y) \longrightarrow \mathcal{T}_f Y(X''_{\text{top}}) = \Gamma(\mathcal{T}_{f \circ \chi' \circ \xi} Y).$$

From §B.4.4,  $\rho_{X'_{\text{top}}, X''_{\text{top}}}$  intertwines the actions of  $\mathcal{O}_X(X'_{\text{top}}) = C^\infty(X')$  and  $\mathcal{O}_X(X''_{\text{top}}) = C^\infty(X'')$  on  $\mathcal{T}_f Y(X'_{\text{top}}), \mathcal{T}_f Y(X''_{\text{top}})$  via the morphism  $\rho_{X'_{\text{top}}, X''_{\text{top}}} : \mathcal{O}_X(X'_{\text{top}}) \rightarrow \mathcal{O}_X(X''_{\text{top}})$  from §B.1.3.

**Proposition B.25.** *In Definition B.24, the data  $\mathcal{T}_f Y(X'_{\text{top}})$  and  $\rho_{X'_{\text{top}}, X''_{\text{top}}} : \mathcal{T}_f Y(X'_{\text{top}}) \rightarrow \mathcal{T}_f Y(X''_{\text{top}})$  for all open  $X''_{\text{top}} \subseteq X'_{\text{top}} \subseteq X_{\text{top}}$  form a sheaf of  $\mathcal{O}_X$ -modules  $\mathcal{T}_f Y$  on  $X_{\text{top}}$ , which we call the **tangent sheaf of  $f$** . When  $Y = X$ ,  $f = \text{id}_X$ , we write  $\mathcal{T}X = \mathcal{T}_{\text{id}_X} X$ , and call it the **tangent sheaf of  $X$** .*

*Proof.* It is immediate from Definition B.24 and (B.14) that  $\mathcal{T}_f Y$  is a presheaf of  $\mathcal{O}_X$ -modules, that is, it satisfies Definition A.12(i)–(iii). Let  $\chi' : X' \hookrightarrow X$  and  $\chi''_a : X''_a \hookrightarrow X$  for  $a \in A$  be open submanifolds with  $\bigcup_{a \in A} X''_{a, \text{top}} = X'_{\text{top}}$ , so that  $\{X''_{a, \text{top}} : a \in A\}$  is an open cover of  $X'_{\text{top}} \subseteq X_{\text{top}}$ . For each  $a \in A$ , as  $X''_{a, \text{top}} \subseteq X'_{\text{top}} \subseteq X_{\text{top}}$ , Assumption 3.2(d) implies that there is a unique open submanifold  $\xi_a : X''_a \hookrightarrow X'$  with  $\chi''_a = \chi' \circ \xi_a$ , as in (B.16).

For (iv), suppose  $\alpha_1, \alpha_2 \in \mathcal{T}_f Y(X'_{\text{top}}) = \Gamma(\mathcal{T}_{f \circ \chi'} Y)$  with  $\rho_{X'_{\text{top}}, X''_{a, \text{top}}}(\alpha_1) = \rho_{X'_{\text{top}}, X''_{a, \text{top}}}(\alpha_2)$  for all  $a \in A$ , so that  $\xi_a^*(\alpha_1) = \xi_a^*(\alpha_2)$  in  $\Gamma(\mathcal{T}_{f \circ \chi' \circ \xi_a} Y)$ . Write  $\alpha_c = [U_c, u_c]$  for  $c = 1, 2$ , where  $U_c, u_c$  live in a commutative diagram (B.5):

$$\begin{array}{ccc} & X' & \\ (\text{id}_{X'}, 0) \swarrow & \downarrow l_c & \searrow f \circ \chi' \\ X' \times \mathbb{R} & \xrightarrow{i_c} U_c & \xrightarrow{u_c} Y \end{array}$$

From the definition of  $\xi_a^*(\alpha_c)$  in §B.4.4, we see that if we define  $h_{ac} : U_{ac} \hookrightarrow U_c$  to be the open submanifold with  $U_{ac, \text{top}} = U_{c, \text{top}} \cap (X''_{a, \text{top}} \times \mathbb{R}) \subseteq X'_{\text{top}} \times \mathbb{R}$ , then  $\xi_a^*(\alpha_c) = [U_{ac}, u_c \circ h_{ac}]$ . Hence  $[U_{a1}, u_1 \circ h_{a1}] = [U_{a2}, u_2 \circ h_{a2}]$ , so by Definition B.16, for each  $\tilde{x} \in X''_{a, \text{top}}$  there exist  $j : V \hookrightarrow X''_a$  and  $v : V \rightarrow Y$  satisfying (B.6). Then  $\xi_a \circ j : V \hookrightarrow X'$  and  $v : V \rightarrow Y$  satisfy (B.6) for  $(U_1, u_1) \approx (U_2, u_2)$  at  $\tilde{x} \in X'_{\text{top}}$ . As this holds for all  $\tilde{x} \in X''_{a, \text{top}}$ , and  $\bigcup_{a \in A} X''_{a, \text{top}} = X'_{\text{top}}$ , we see that  $\alpha_1 = [U_1, u_1] = [U_2, u_2] = \alpha_2$ . Hence  $\mathcal{T}_f Y$  satisfies Definition A.12(iv).

For (v), suppose that  $\alpha_a \in \mathcal{T}_f Y(X''_{a, \text{top}}) = \Gamma(\mathcal{T}_{f \circ \chi''_a} Y)$  for all  $a \in A$  with

$$\rho_{X''_{a, \text{top}}, X''_{a, \text{top}} \cap X''_{b, \text{top}}}(\alpha_a) = \rho_{X''_{b, \text{top}}, X''_{a, \text{top}} \cap X''_{b, \text{top}}}(\alpha_b) \quad \text{for all } a, b \in A. \quad (\text{B.17})$$

Write  $\alpha_a = [U_a, u_a]$  for  $a \in A$ , where  $U_a, u_a$  live in a diagram (B.5):

$$\begin{array}{ccc} & X''_a & \\ (\text{id}_{X''_a}, 0) \swarrow & \downarrow l_a & \searrow f \circ \chi''_a \\ X''_a \times \mathbb{R} & \xrightarrow{i_a} U_a & \xrightarrow{u_a} Y \end{array}$$

Let  $S_A$  be the set of all finite, nonempty subsets  $B \subseteq A$ . For each  $B \in S_A$  write  $\chi''_B : X''_B \hookrightarrow X'$  for the open submanifold with  $X''_{B, \text{top}} = \bigcap_{a \in B} X''_{a, \text{top}}$ . When  $B = \{a\}$  we have  $X''_{\{a\}} = X''_a$ ,  $\chi''_{\{a\}} = \chi''_a$ . If  $C \subseteq B$  lie in  $S_A$  then there is a unique  $\xi_{BC} : X''_B \hookrightarrow X''_C$  with  $\chi''_B = \chi''_C \circ \xi_{BC}$  by Assumption 3.2(d).

For each  $B \in S_A$  we will choose an open submanifold  $k_B : W_B \hookrightarrow X''_B \times \prod_{b \in B} \mathbb{R}$  and a morphism  $w_B : W_B \rightarrow Y$  in  $\mathbf{Man}$  with the properties:



- (a)  $X''_{B,\text{top}} \times \{(0, \dots, 0)\} \subseteq W_{B,\text{top}}$  for all  $B \in S_A$ .
- (b) For  $a \in A$  we have  $W_{\{a\}} = U_a \hookrightarrow X''_a \times \mathbb{R} = X''_{\{a\}} \times \mathbb{R}$  and  $w_{\{a\}} = u_a$ .
- (c) If  $C \subsetneq B$  lie in  $S_A$  and  $(x, (s_a)_{a \in C} \amalg (0)_{a \in B \setminus C}) \in W_{B,\text{top}}$  then  $(x, (s_a)_{a \in C})$  lies in  $W_{C,\text{top}}$  with  $w_{C,\text{top}}(x, (s_a)_{a \in C}) = w_{B,\text{top}}(x, (s_a)_{a \in C} \amalg (0)_{a \in B \setminus C})$ .

We do this by induction on  $|B|$ . For the first step,  $W_B, w_B$  are determined by (b) when  $|B| = 1$ , and (a) holds by definition of  $U_a, u_a$ . For the inductive step, suppose that  $m \geq 1$  and we have chosen  $W_B, w_B$  for all  $B \in S_A$  with  $|B| \leq m$ , such that (a),(c) hold whenever  $|B| \leq m$ . Let  $B \in S_A$  with  $|B| = m+1$ , and write  $B = \{a_1, \dots, a_{m+1}\}$ . Apply Assumption 3.7(a) with  $k = m+1$ ,  $n = 1$ , and  $X''_B$  in place of  $X$ , taking  $f_i : U_i \rightarrow Y$  to be the restriction of  $w_{B \setminus \{a_i\}} : W_{B \setminus \{a_i\}} \rightarrow Y$  to the intersection of  $W_{B \setminus \{a_i\}}$  with  $X''_B \times \mathbb{R}^m$ .

The compatibility condition between  $f_i, f_j$  in Assumption 3.7(a) follows from (c) above for  $B \setminus \{a_i, a_j\} \subset B \setminus \{a_i\}$  and  $B \setminus \{a_i, a_j\} \subset B \setminus \{a_j\}$ . Therefore Assumption 3.7(a) gives  $W_B, w_B$  satisfying (a), and (c) when  $C \subsetneq B$  with  $|C| = m$ . Then (c) for  $|C| < m$  follows by taking  $C \subsetneq B \setminus \{a_i\} \subsetneq B$ . Hence by induction we can choose  $W_B, w_B$  satisfying (a)–(c) for all  $B \in S_A$ .

Now apply Proposition B.7 to choose a partition of unity  $\{\eta_a : a \in A\}$  on  $X'$  subordinate to the open cover  $\{X''_{a,\text{top}} : a \in A\}$ . Choose an open submanifold  $i : U \hookrightarrow X' \times \mathbb{R}$  such that  $X'_{\text{top}} \times \{0\} \subseteq U_{\text{top}}$  and if  $(x, s) \in U_{\text{top}}$  and  $B = \{a \in A : x \in \text{supp } \eta_{a,\text{top}}\}$  then  $(x, (\eta_{a,\text{top}}(x)s)_{a \in B}) \in W_{B,\text{top}}$ . By (a) above and local finiteness of  $\{\eta_a : a \in A\}$ , this holds for any small enough open neighbourhood of  $X'_{\text{top}} \times \{0\}$  in  $X' \times \mathbb{R}$ .

We claim that there is a unique morphism  $u : U \rightarrow Y$  in  $\mathbf{Man}$  such that for all  $(x, s) \in U_{\text{top}}$  with  $B = \{a \in A : x \in \text{supp } \eta_{a,\text{top}}\}$  in  $S_A$  we have

$$u_{\text{top}}(x, s) = w_{B,\text{top}}(x, (\eta_{a,\text{top}}(x)s)_{a \in B}). \quad (\text{B.18})$$

To see this, note that as  $\eta_a$  for  $a \in B$  and  $w_B$  are morphisms in  $\mathbf{Man}$ , for each  $B \in S_A$ , equation (B.18) is the underlying continuous map of a morphism in  $\mathbf{Man}$  from an open submanifold of  $U$  to  $Y$ . Part (c) above implies that these continuous maps for  $C \subseteq B$  agree on the overlap of their domains. If a point lies in the domain of the functions for  $B, B' \in S_A$  then it lies in the domain for  $B \cap B'$  by (c), and considering  $B \cap B' \subseteq B$  and  $B \cap B' \subseteq B'$  we see that the continuous maps for  $B, B'$  agree on the overlap of their domains. Hence by Assumption 3.3(a) there is a unique  $u : U \rightarrow Y$  satisfying (B.18).

Now put  $\alpha = [U, u] \in \mathcal{T}_f Y(X'_{\text{top}}) = \Gamma(\mathcal{T}_{f \circ X'} Y)$ . Fix  $a \in A$ , and let  $\tilde{x} \in X''_{a,\text{top}}$ . Set  $B = \{b \in A : \tilde{x} \in \text{supp } \eta_{b,\text{top}}\}$ . Choose an open neighbourhood  $R \hookrightarrow X''_a$  of  $\tilde{x}$  in  $X''_a$  such that  $R_{\text{top}} \subseteq X''_{b,\text{top}}$  for all  $b \in B$ , and  $R_{\text{top}} \cap \text{supp } \eta_{c,\text{top}} = \emptyset$  for all  $c \in A \setminus B$ . This is possible as  $\text{supp } \eta_{b,\text{top}}$  is contained in  $X''_{b,\text{top}}$  and closed in

$X'_{\text{top}}$ , and  $\{\eta_a : a \in A\}$  is locally finite. We have

$$\begin{aligned}
\rho_{X''_{a,\text{top}} R_{\text{top}}} \circ \rho_{X'_{\text{top}} X''_{a,\text{top}}}(\alpha) &= \rho_{X'_{\text{top}} R_{\text{top}}}(\alpha) = \sum_{b \in B} \rho_{X''_{b,\text{top}} R_{\text{top}}}(\eta_b|_{X''_b} \cdot \alpha_b) \\
&= \sum_{b \in B} \rho_{X'_{\text{top}} R_{\text{top}}}(\eta_b) \cdot \rho_{X''_{b,\text{top}} R_{\text{top}}}(\alpha_b) \\
&= \sum_{b \in B} \rho_{X'_{\text{top}} R_{\text{top}}}(\eta_b) \cdot \rho_{(X''_{a,\text{top}} \cap X''_{b,\text{top}}) R_{\text{top}}} \circ \rho_{X''_{b,\text{top}}(X''_{a,\text{top}} \cap X''_{b,\text{top}})}(\alpha_b) \\
&= \sum_{b \in B} \rho_{X'_{\text{top}} R_{\text{top}}}(\eta_b) \cdot \rho_{(X''_{a,\text{top}} \cap X''_{b,\text{top}}) R_{\text{top}}} \circ \rho_{X''_{a,\text{top}}(X''_{a,\text{top}} \cap X''_{b,\text{top}})}(\alpha_a) \\
&= \sum_{b \in B} \rho_{X'_{\text{top}} R_{\text{top}}}(\eta_b) \cdot \rho_{X''_{a,\text{top}} R_{\text{top}}}(\alpha_a) = \rho_{X'_{\text{top}} R_{\text{top}}}\left(\sum_{b \in B} \eta_b\right) \cdot \rho_{X''_{a,\text{top}} R_{\text{top}}}(\alpha_a) \\
&= \rho_{X'_{\text{top}} R_{\text{top}}}(1) \cdot \rho_{X''_{a,\text{top}} R_{\text{top}}}(\alpha_a) = \rho_{X''_{a,\text{top}} R_{\text{top}}}(\alpha_a). \tag{B.19}
\end{aligned}$$

Here the second step follows from comparing the definition (B.21) of  $\alpha = [U, u]$  with the definitions of addition and multiplication by functions in  $\Gamma(\mathcal{T}_f|_R Y)$  in §B.4.2, the fifth uses (B.17), the eighth holds as  $\sum_{b \in B} \eta_b$  is 1 on  $R$  since  $\{\eta_a : a \in A\}$  is a partition of unity with  $R_{\text{top}} \cap \text{supp } \eta_c = \emptyset$  for all  $c \in A \setminus B$ , and the other steps come from  $\mathcal{T}_f Y$  being a presheaf of  $\mathcal{O}_X$ -modules as above.

Since  $X''_{a,\text{top}}$  is covered by such open subsets  $R_{\text{top}} \subseteq X''_{a,\text{top}}$ , equation (B.19) and Definition A.12(iv) for  $\mathcal{T}_f Y$  (proved above) imply that  $\rho_{X'_{\text{top}} X''_{a,\text{top}}}(\alpha) = \alpha_a$ , for all  $a \in A$ . Therefore  $\mathcal{T}_f Y$  satisfies Definition A.12(v), and is a sheaf.  $\square$

Here are some examples:

**Example B.26.** (a) When  $\dot{\mathbf{Man}} = \mathbf{Man}$ , we have  $\Gamma(\mathcal{T}_f Y) \cong \Gamma^\infty(f^*(TY))$  as in Example B.17, and one can show that  $\mathcal{T}_f Y$  is canonically isomorphic to the sheaf of smooth sections of the vector bundle  $f^*(TY) \rightarrow X$ , so that  $\mathcal{T}X$  is canonically isomorphic to the sheaf of smooth sections of  $TX \rightarrow X$ .

(b) When  $\dot{\mathbf{Man}}$  is one of the categories of manifolds with corners from Chapter 2:

$$\mathbf{Man}_{\text{in}}^{\text{c}}, \mathbf{Man}_{\text{st,in}}^{\text{c}}, \mathbf{Man}_{\text{in}}^{\text{gc}}, \mathbf{Man}_{\text{in}}^{\text{ac}}, \mathbf{Man}_{\text{st,in}}^{\text{ac}}, \mathbf{Man}_{\text{in}}^{\text{c,ac}}, \mathbf{Man}_{\text{st,in}}^{\text{c,ac}},$$

as in Example 3.8(ii), one can show that  $\mathcal{T}_f Y$  is the sheaf of smooth sections of the vector bundle  $f^*({}^bTY) \rightarrow X$ , so that  $\mathcal{T}X$  is canonically isomorphic to the sheaf of smooth sections of the b-tangent bundle  ${}^bTX \rightarrow X$ .

(c) When  $\dot{\mathbf{Man}}$  is one of the categories of manifolds with corners from Chapter 2:

$$\mathbf{Man}^{\text{c}}, \mathbf{Man}_{\text{st}}^{\text{c}}, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}_{\text{st}}^{\text{ac}}, \mathbf{Man}^{\text{c,ac}}, \mathbf{Man}_{\text{st}}^{\text{c,ac}},$$

as in Example 3.8(ii), it turns out that  $\mathcal{T}_f Y$  is the sheaf of sections of the vector bundle of mixed rank  $C(f)^*({}^bTC(Y))|_{C_0(X)} \rightarrow X$ , using the corner functor  $C(f) : C(X) \rightarrow C(Y)$  and the identification  $X \cong C_0(X)$  from §2.2. If  $f$  is interior this reduces to  $f^*({}^bTY) \rightarrow X$  as in (b).

(d) When  $\dot{\mathbf{Man}} = \mathbf{Man}_{\text{we}}^{\text{c}}$  from §2.1, as in Example 3.8(ii), and  $f : X \rightarrow Y$  in  $\mathbf{Man}_{\text{we}}^{\text{c}}$  is weakly smooth but not smooth, in general  $\mathcal{T}_f Y$  is not even locally the sheaf of sections of a vector bundle on  $X$ .

### B.4.6 Acting on sheaves $\mathcal{T}_f Y$ with morphisms in $\mathbf{Man}$

We now lift the material of §B.4.4 from global sections  $\Gamma(\mathcal{T}_f Y)$  to sheaves  $\mathcal{T}_f Y$ .

**Definition B.27.** Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}$ . Define a morphism  $\mathcal{T}g : \mathcal{T}_f Y \rightarrow \mathcal{T}_{g \circ f} Z$  of sheaves of  $\mathcal{O}_X$ -modules on  $X_{\text{top}}$  by, for each open submanifold  $\chi' : X' \hookrightarrow X$  in  $\mathbf{Man}$ ,

$$\mathcal{T}g(X'_{\text{top}}) = \Gamma(\mathcal{T}g) : \mathcal{T}_f Y(X'_{\text{top}}) = \Gamma(\mathcal{T}_{f \circ \chi'} Y) \rightarrow \mathcal{T}_{g \circ f} Z(X'_{\text{top}}) = \Gamma(\mathcal{T}_{g \circ f \circ \chi'} Z).$$

Using (B.14) we see that  $\mathcal{T}g$  is a sheaf morphism.

On  $Y_{\text{top}}$  we have  $\mathcal{T}_g Z$ , a sheaf of  $\mathcal{O}_Y$ -modules, and  $(f_{\text{top}})_*(\mathcal{T}_{g \circ f} Z)$ , a sheaf of  $(f_{\text{top}})_*(\mathcal{O}_X)$ -modules. As in §B.1.3 we have a morphism  $f_{\sharp} : \mathcal{O}_Y \rightarrow (f_{\text{top}})_*(\mathcal{O}_X)$  of sheaves of  $\mathbb{R}$ -algebras or  $C^\infty$ -rings on  $Y_{\text{top}}$ . We will define a sheaf morphism  $f_b : \mathcal{T}_g Z \rightarrow (f_{\text{top}})_*(\mathcal{T}_{g \circ f} Z)$  on  $Y_{\text{top}}$  which is a module morphism under  $f_{\sharp}$ .

Let  $\xi' : Y' \hookrightarrow Y$  be an open submanifold in  $\mathbf{Man}$ , and let  $\chi' : X' \hookrightarrow X$  be the open submanifold with  $X'_{\text{top}} = f_{\text{top}}^{-1}(Y'_{\text{top}}) \subseteq X_{\text{top}}$ . Then Assumption 3.2(d) gives a unique  $f' : X' \rightarrow Y'$  with  $\xi' \circ f' = f \circ \chi'$ . Define

$$\begin{aligned} f_b(Y'_{\text{top}}) &= f'^* : \mathcal{T}_g Z(Y'_{\text{top}}) = \Gamma(\mathcal{T}_{g \circ \xi'} Z) \longrightarrow (f_{\text{top}})_*(\mathcal{T}_{g \circ f} Z)(Y'_{\text{top}}) \\ &= \mathcal{T}_{g \circ f} Z(X'_{\text{top}}) = \Gamma(\mathcal{T}_{g \circ f \circ \chi'} Z) = \Gamma(\mathcal{T}_{g \circ \xi' \circ f'} Z). \end{aligned}$$

Using (B.14) we can prove that  $f_b$  is a sheaf morphism. The module morphism property for  $f_b$  follows from the corresponding property for  $f'^*$ .

Let  $f^b : f_{\text{top}}^{-1}(\mathcal{T}_g Z) \rightarrow \mathcal{T}_{g \circ f} Z$  on  $X_{\text{top}}$  be adjoint to  $f_b : \mathcal{T}_g Z \rightarrow (f_{\text{top}})_*(\mathcal{T}_{g \circ f} Z)$  under (A.18). Then  $f_{\text{top}}^{-1}(\mathcal{T}_g Z)$  is an  $f_{\text{top}}^{-1}(\mathcal{O}_Y)$ -module, and  $\mathcal{T}_{g \circ f} Z$  an  $\mathcal{O}_X$ -module, and  $f^b$  is a module morphism under  $f_{\sharp} : f_{\text{top}}^{-1}(\mathcal{O}_Y) \rightarrow \mathcal{O}_X$ .

If  $e : W \rightarrow X$  is another morphism in  $\mathbf{Man}$ , using (B.14) we can prove that

$$\begin{aligned} \mathcal{T}(g \circ f) &= \mathcal{T}g \circ \mathcal{T}f : \mathcal{T}_e X \longrightarrow \mathcal{T}_{g \circ f \circ e} Z, \\ (f \circ e)_b &= (f_{\text{top}})_*(e_b) \circ f_b : \mathcal{T}_g Z \longrightarrow ((f \circ e)_{\text{top}})_*(\mathcal{T}_{g \circ f \circ e} Z), \\ (e_{\text{top}})_*(\mathcal{T}g) \circ e_b &= e_b \circ \mathcal{T}g : \mathcal{T}_f Y \longrightarrow (e_{\text{top}})_*(\mathcal{T}_{g \circ f \circ e} Z). \end{aligned}$$

Using the adjoint property for  $f_b, f^b$  above, the last two equations imply that

$$\begin{aligned} (f \circ e)^b &= e^b \circ e_{\text{top}}^{-1}(f^b) : (f \circ e)_{\text{top}}^{-1}(\mathcal{T}_g Z) \longrightarrow \mathcal{T}_{g \circ f \circ e} Z, \\ \mathcal{T}g \circ e^b &= e^b \circ e_{\text{top}}^{-1}(\mathcal{T}g) : e_{\text{top}}^{-1}(\mathcal{T}_f Y) \longrightarrow \mathcal{T}_{g \circ f \circ e} Z. \end{aligned}$$

Lemma B.23 implies:

**Lemma B.28.** *Suppose  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are morphisms in  $\mathbf{Man}$ , with  $g : Y \hookrightarrow Z$  an open submanifold. Then  $\mathcal{T}g : \mathcal{T}_f Y \rightarrow \mathcal{T}_{g \circ f} Z$  is an isomorphism of  $\mathcal{O}_X$ -modules.*

#### B.4.7 A pairing $\mu_X : \mathcal{T}X \times \mathcal{T}^*X \rightarrow \mathcal{O}_X$

Let  $X \in \mathbf{Man}$ . In §B.3.1 we defined the cotangent sheaf  $\mathcal{T}^*X$ , and in §B.4.5 the tangent sheaf  $\mathcal{T}X$ , both  $\mathcal{O}_X$ -modules on  $X_{\text{top}}$ . Note that in general neither is dual to the other. For example, when  $\mathbf{Man} = \mathbf{Man}^c$ , as in Example B.12(b)  $\mathcal{T}^*X$  is the sheaf of sections of the cotangent bundle  $T^*X \rightarrow X$ , and as in Example B.26(b),(c)  $\mathcal{T}X$  is the sheaf of sections of the b-tangent bundle  ${}^bTX \rightarrow X$ , but  $T^*X, {}^bTX$  are not dual vector bundles if  $\partial X \neq \emptyset$ . We defined  $\mathcal{T}^*X$  using morphisms  $X \rightarrow \mathbb{R}$  in  $\mathbf{Man}$ , and  $\mathcal{T}X$  using morphisms  $X \times \mathbb{R} \rightarrow X$  in  $\mathbf{Man}$ , so  $\mathcal{T}X$  and  $\mathcal{T}^*X$  depend on different data in  $\mathbf{Man}$ .

We will define an  $\mathcal{O}_X$ -bilinear sheaf pairing  $\mu_X : \mathcal{T}X \times \mathcal{T}^*X \rightarrow \mathcal{O}_X$  on  $X_{\text{top}}$ , thought of as the pairing between vector fields and 1-forms on  $X$ . More generally, if  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man}$  we will define bilinear pairings  $\mu_f : (f_{\text{top}})_*(\mathcal{T}_f Y) \times \mathcal{T}^*Y \rightarrow (f_{\text{top}})_*(\mathcal{O}_X)$  on  $Y_{\text{top}}$ , and  $\mu^f : \mathcal{T}_f Y \times f_{\text{top}}^{-1}(\mathcal{T}^*Y) \rightarrow \mathcal{O}_X$  on  $X_{\text{top}}$ .

**Definition B.29.** Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ . Suppose  $j : V \hookrightarrow Y$  is an open submanifold in  $\mathbf{Man}$ , and let  $i : U \hookrightarrow X$  be the open submanifold with  $U_{\text{top}} = f_{\text{top}}^{-1}(V_{\text{top}}) \subseteq X_{\text{top}}$ . Then Assumption 3.2(d) gives a unique morphism  $f' : U \rightarrow V$  with  $j \circ f' = f \circ i : U \rightarrow Y$ .

From §B.1.3, §B.3.1 and §B.4.5 we have

$$\begin{aligned} (f_{\text{top}})_*(\mathcal{O}_X)(V_{\text{top}}) &= \mathcal{O}_X(U_{\text{top}}) = C^\infty(U), \quad \mathcal{P}\mathcal{T}^*Y(V_{\text{top}}) = \Omega_{C^\infty(V)}, \\ (f_{\text{top}})_*(\mathcal{T}_f Y)(V_{\text{top}}) &= \mathcal{T}_f Y(U_{\text{top}}) = \Gamma(\mathcal{T}_{f \circ i} Y) = \Gamma(\mathcal{T}_{j \circ f'} Y) \cong \Gamma(\mathcal{T}_{f'} V), \end{aligned} \quad (\text{B.20})$$

where for the last part  $\Gamma(\mathcal{T}j) : \Gamma(\mathcal{T}_{f'} V) \rightarrow \Gamma(\mathcal{T}_{j \circ f'} Y)$  is an isomorphism by Lemma B.23. Identify  $(f_{\text{top}})_*(\mathcal{T}_f Y)(V_{\text{top}}) = \Gamma(\mathcal{T}_{f'} V)$  as in (B.20).

If  $\alpha \in (f_{\text{top}})_*(\mathcal{T}_f Y)(V_{\text{top}}) = \Gamma(\mathcal{T}_{f'} V)$  then §B.4.3 defines a relative  $C^\infty$ -derivation  $\Delta_\alpha : C^\infty(V) \rightarrow C^\infty(U)$  over  $f' : U \hookrightarrow V$ , satisfying (B.12). Regard  $C^\infty(V)$  as a module over  $C^\infty(U)$  using  $f'^* : C^\infty(V) \rightarrow C^\infty(U)$ . Then (B.12) implies that  $\Delta_\alpha$  is a  $C^\infty$ -derivation as in (B.2), so the universal property of  $\Omega_{C^\infty(V)}$  in Definition B.10 gives a unique  $C^\infty(V)$ -module morphism  $\Gamma_\alpha : \Omega_{C^\infty(V)} \rightarrow C^\infty(U)$  with  $\Delta_\alpha = \Gamma_\alpha \circ d_{C^\infty(V)}$ . Define

$$\begin{aligned} \mathcal{P}\mu_f(V_{\text{top}}) &: (f_{\text{top}})_*(\mathcal{T}_f Y)(V_{\text{top}}) \times \mathcal{P}\mathcal{T}^*Y(V_{\text{top}}) \rightarrow (f_{\text{top}})_*(\mathcal{O}_X)(V_{\text{top}}), \\ \mathcal{P}\mu_f(U_{\text{top}}) &: (\alpha, \beta) \mapsto \Gamma_\alpha(\beta). \end{aligned}$$

Then  $\mathcal{P}\mu_f(U_{\text{top}})$  is linear over  $(f_{\text{top}})_*(\mathcal{O}_X)(V_{\text{top}}) = C^\infty(U)$  in  $\alpha$ , since  $\Delta_\alpha$  is  $C^\infty(U)$ -linear in  $\alpha$  by Proposition B.20(c),(d), and linear over  $\mathcal{O}_Y(V_{\text{top}}) = C^\infty(V)$  in  $\beta$ , via  $f'_\#(V_{\text{top}})$  in (B.1).

It is easy to check that these maps  $\mathcal{P}\mu_f(U_{\text{top}})$  are compatible with restriction morphisms  $\rho_{V_{\text{top}}W_{\text{top}}}$  for all open  $W_{\text{top}} \subseteq V_{\text{top}} \subseteq Y_{\text{top}}$ . Thus, they define a bilinear pairing of presheaves  $\mathcal{P}\mu_f : (f_{\text{top}})_*(\mathcal{T}_f Y) \times \mathcal{P}\mathcal{T}^*Y \rightarrow (f_{\text{top}})_*(\mathcal{O}_X)$ . So passing to the sheafification yields a bilinear pairing of sheaves

$$\mu_f : (f_{\text{top}})_*(\mathcal{T}_f Y) \times \mathcal{T}^*Y \longrightarrow (f_{\text{top}})_*(\mathcal{O}_X).$$

Using the adjoint property of  $(f_{\text{top}})_*$  and  $f_{\text{top}}^{-1}$  as in (A.18), we can show that  $\mu_f$  corresponds to a unique pairing

$$\mu^f : \mathcal{T}_f Y \times f_{\text{top}}^{-1}(\mathcal{T}^* Y) \longrightarrow \mathcal{O}_X.$$

Here  $\mu^f(\alpha, \beta)$  is  $\mathcal{O}_X$ -linear in  $\alpha$ , but  $f_{\text{top}}^{-1}(\mathcal{O}_Y)$ -linear in  $\beta$ , using  $f^\# : f_{\text{top}}^{-1}(\mathcal{O}_Y) \rightarrow \mathcal{O}_X$  from §B.1.3. To make  $\mu^f$   $\mathcal{O}_X$ -bilinear, we extend it to

$$\mu^f : \mathcal{T}_f Y \times (f_{\text{top}}^{-1}(\mathcal{T}^* Y) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X) \longrightarrow \mathcal{O}_X,$$

or equivalently, to a morphism of  $\mathcal{O}_X$ -modules

$$\mu_*^f : \mathcal{T}_f Y \otimes_{\mathcal{O}_X} (f_{\text{top}}^{-1}(\mathcal{T}^* Y) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X) \longrightarrow \mathcal{O}_X. \quad (\text{B.21})$$

When  $X = Y$  and  $f = \text{id}_X$ , both  $\mu^f, \mu_f$  become an  $\mathcal{O}_X$ -bilinear pairing

$$\mu_X : \mathcal{T} X \times \mathcal{T}^* X \longrightarrow \mathcal{O}_X.$$

#### B.4.8 Morphisms $E \rightarrow \mathcal{T}_f Y, \mathcal{T}_f Y \rightarrow F$ for vector bundles $E, F \rightarrow X$

**Definition B.30.** Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ , and  $E, F \rightarrow X$  be vector bundles on  $X$ . Then §B.2.2 defines the  $\mathcal{O}_X$ -modules  $\mathcal{E}, \mathcal{F}$  of sections of  $E, F$ , and §B.4.5 defines the  $\mathcal{O}_X$ -module  $\mathcal{T}_f Y$ . Define a *morphism*  $\theta : E \rightarrow \mathcal{T}_f Y$  to be an  $\mathcal{O}_X$ -module morphism  $\theta : \mathcal{E} \rightarrow \mathcal{T}_f Y$ , and a *morphism*  $\phi : \mathcal{T}_f Y \rightarrow F$  to be an  $\mathcal{O}_X$ -module morphism  $\phi : \mathcal{T}_f Y \rightarrow \mathcal{F}$ . That is, in our notation we will not distinguish between the vector bundles  $E, F$  and their sheaves of sections  $\mathcal{E}, \mathcal{F}$ .

By *composition* of such morphisms with each other, with morphisms of vector bundles, and with the  $\mathcal{O}_X$ -module morphisms in §B.4.6, we mean composition of  $\mathcal{O}_X$ -module morphisms, but identifying vector bundle morphisms  $\text{Hom}(E, F)$  with  $\mathcal{O}_X$ -module morphisms  $\text{Hom}_{\mathcal{O}_X\text{-mod}}(\mathcal{E}, \mathcal{F})$  as in §B.2.2. For example:

- (a) If  $\theta : E \rightarrow \mathcal{T}_f Y$  and  $\phi : \mathcal{T}_f Y \rightarrow F$  are as above then  $\phi \circ \theta : E \rightarrow F$  is the honest vector bundle morphism corresponding to  $\phi \circ \theta : \mathcal{E} \rightarrow \mathcal{F}$ .
- (b) If  $\theta : E \rightarrow \mathcal{T}_f Y$  is as above and  $\lambda : D \rightarrow E$  is a vector bundle morphism as above we get a morphism  $\theta \circ \lambda : D \rightarrow \mathcal{T}_f Y$ .
- (c) If  $\theta : E \rightarrow \mathcal{T}_f Y$  is as above,  $g : Y \rightarrow Z$  is a morphism in  $\mathbf{Man}$ , and  $\mathcal{T}g : \mathcal{T}_f Y \rightarrow \mathcal{T}_{g \circ f} Z$  is as in §B.4.6, we get a morphism  $\mathcal{T}g \circ \theta : E \rightarrow \mathcal{T}_{g \circ f} Z$ .

**Example B.31.** When  $\mathbf{Man} = \mathbf{Man}$ , morphisms  $\theta : E \rightarrow \mathcal{T}_f Y, \phi : \mathcal{T}_f Y \rightarrow F$  above are in natural 1-1 correspondence with vector bundle morphisms  $\theta' : E \rightarrow f^*(TY), \phi' : f^*(TY) \rightarrow F$  in the usual sense of differential geometry.

In Definition B.16 we wrote elements  $\alpha$  of  $\Gamma(\mathcal{T}_f Y)$  in terms of diagrams (B.5) in  $\mathbf{Man}$ . We will now show that any morphism  $\theta : E \rightarrow \mathcal{T}_f Y$  may be written in terms of a similar diagram.

**Definition B.32.** Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ , and  $\pi : E \rightarrow X$  be a vector bundle. Generalizing (B.5), consider commutative diagrams in  $\mathbf{Man}$ :

$$\begin{array}{ccccc}
 & & X & & \\
 & \nearrow 0_E & \downarrow l & \searrow f & \\
 E & \xleftarrow{j} & V & \xrightarrow{v} & Y,
 \end{array} \tag{B.22}$$

where  $0_E : X \rightarrow E$  is the zero section morphism as in §B.2.1, and  $j : V \hookrightarrow E$  is an open submanifold with  $0_{E,\text{top}}(X_{\text{top}}) \subseteq V_{\text{top}} \subseteq E_{\text{top}}$ , and unique  $l : X \rightarrow V$  with  $j \circ l = 0_E$  exists by Assumption 3.2(d), and  $v : V \rightarrow Y$  is a morphism in  $\mathbf{Man}$  with  $v \circ l = f$ . For brevity we write such a diagram as the pair  $(V, v)$ .

Given such a pair  $(V, v)$  we will define a morphism  $\theta_{V,v} : E \rightarrow \mathcal{T}_f Y$ , in the sense of Definition B.30. Write  $\mathcal{E}$  for the  $\mathcal{O}_X$ -module of sections of  $E$ . Let  $\chi' : X' \hookrightarrow X$  be an open submanifold in  $\mathbf{Man}$ , and set  $E' = \chi'^*(E) = E|_{X'}$ , so that  $k : E' \hookrightarrow E$  is open in  $\mathbf{Man}$ . We must define a  $C^\infty(X')$ -module morphism

$$\theta_{V,v}(X'_{\text{top}}) : \mathcal{E}(X'_{\text{top}}) = \Gamma^\infty(E') \longrightarrow \mathcal{T}_f Y(X'_{\text{top}}) = \Gamma(\mathcal{T}_{f \circ \chi'} Y).$$

Suppose  $e' \in \Gamma^\infty(E')$ , so that  $e' : X' \rightarrow E'$  with  $\pi_{E'} \circ e' = \text{id}_{X'}$ . Then there is a unique morphism  $\tilde{e}' : X' \times \mathbb{R} \rightarrow E'$  in  $\mathbf{Man}$  with  $\tilde{e}'_{\text{top}}(x, t) = t \cdot e'_{\text{top}}(x) \in E'_{\text{top}}$  for all  $x \in X'_{\text{top}}$  and  $t \in \mathbb{R}$ , where  $t \cdot e'_{\text{top}}(x)$  multiplies  $e'_{\text{top}}(x)$  in the vector space  $E'_x \subseteq E'_{\text{top}}$  by  $t \in \mathbb{R}$ . Let  $i' : U' \hookrightarrow X' \times \mathbb{R}$  be the open submanifold with  $U'_{\text{top}} = \tilde{e}'_{\text{top}}^{-1}(V_{\text{top}})$ . Consider the commutative diagram in  $\mathbf{Man}$ :

$$\begin{array}{ccccccc}
 & & X' & \xrightarrow{\chi'} & X & \xrightarrow{f} & Y \\
 & \nwarrow (\text{id}_{X'}, 0) & \downarrow l' & \searrow \star & \downarrow l & \searrow v & \\
 X' \times \mathbb{R} & \xleftarrow{\tilde{e}'} & U' & \xrightarrow{u'} & V & & \\
 & \searrow \tilde{e}' & \downarrow 0_{E'} & \searrow i' & \downarrow 0_E & \searrow j & \\
 & & E' & \xrightarrow{k} & E & & 
 \end{array}$$

where morphisms ' $\hookrightarrow$ ' are open submanifolds, and morphisms ' $\star$ ' exist by Assumption 3.2(d). Then  $U', i', l', u' = v \circ m'$  are a diagram (B.5) for  $f \circ \chi' : X' \rightarrow Y$ , so  $[U', u'] \in \Gamma(\mathcal{T}_{f \circ \chi'} Y)$  by Definition B.16. Define  $\theta_{V,v}(X'_{\text{top}})(e') = [U', u']$ .

It is now straightforward to show using §B.4.2 and §B.4.5 that  $\theta_{V,v}(X'_{\text{top}})$  is a  $C^\infty(X')$ -module morphism, and that the maps  $\theta_{V,v}(X'_{\text{top}}), \theta_{V,v}(X''_{\text{top}})$  for open  $X''_{\text{top}} \subseteq X'_{\text{top}} \subseteq X_{\text{top}}$  are compatible with restriction morphisms  $\rho_{X'_{\text{top}}, X''_{\text{top}}}$ , so that  $\theta_{V,v} : \mathcal{E} \rightarrow \mathcal{T}_f Y$  is an  $\mathcal{O}_X$ -module morphism.

**Proposition B.33.** Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ , and  $\pi : E \rightarrow X$  be a vector bundle. Then every morphism  $\theta : E \rightarrow \mathcal{T}_f Y$  in Definition B.30 is of the form  $\theta = \theta_{V,v}$  in Definition B.32 for some diagram (B.22).

*Proof.* Let  $X, Y, f, E, \theta$  be as in the proposition. Write  $r$  for the rank of  $E$  and  $\mathcal{E}$  for the  $\mathcal{O}_X$ -module of sections of  $E$ , so that  $\theta : \mathcal{E} \rightarrow \mathcal{T}_f Y$  is an  $\mathcal{O}_X$ -module morphism. Choose an open cover  $\{\chi_a : X'_a \hookrightarrow X\}$  such that  $E_a := E|_{X'_a} = \chi_a^*(E)$

is a trivial vector bundle over  $X'_a$  for each  $a \in A$ , and choose an isomorphism  $\Psi_a : E_a \rightarrow X'_a \times \mathbb{R}^r$  with the trivial vector bundle  $X'_a \times \mathbb{R}^r \rightarrow X'_a$ . Write  $e_a^1, \dots, e_a^r$  for the basis of sections of  $E_a$  identified by  $\Psi_a$  with the canonical basis of sections of  $X'_a \times \mathbb{R}^r$ . Then  $e_a^k \in \mathcal{E}(X'_{a,\text{top}}) = \Gamma^\infty(E|_{X'_a})$ , so  $\theta(X'_{a,\text{top}})(e_a^k) \in \mathcal{T}_f Y(X'_{a,\text{top}}) = \Gamma(\mathcal{T}_{f \circ \chi_a} Y)$ . Choose a representative  $(U_a^k, u_a^k)$  for  $\theta(X'_{a,\text{top}})(e_a^k) = [U_a^k, u_a^k] \in \Gamma(\mathcal{T}_{f \circ \chi_a} Y)$  for all  $a \in A$  and  $k = 1, \dots, r$ , as in §B.4.1, so that  $U_a^k, u_a^k$  fit into a commutative diagram (B.5):

$$\begin{array}{ccccc} & & X'_a & & \\ & \swarrow^{(\text{id}_{X'_a}, 0)} & \downarrow l_a^k & \searrow^{f \circ \chi_a} & \\ X'_a \times \mathbb{R} & \xleftarrow{i_a^k} & U_a^k & \xrightarrow{u_a^k} & Y. \end{array}$$

Apply Assumption 3.7(a) to construct a commutative diagram

$$\begin{array}{ccccc} & & X'_a & & \\ & \swarrow^{(\text{id}_{X'_a}, 0)} & \downarrow m_a & \searrow^{f \circ \chi_a} & \\ E|_{X'_a} \cong X'_a \times \mathbb{R}^r & \xleftarrow{j_a} & V_a & \xrightarrow{v_a} & Y, \end{array}$$

such that  $j_a : V_a \hookrightarrow X'_a \times \mathbb{R}^r$  is open, and if  $(x, (0, \dots, 0, s_k, 0, \dots, 0)) \in V_{a,\text{top}}$  with  $s_k$  the  $k^{\text{th}}$  coordinate in  $\mathbb{R}^r$  then  $(x, s_k) \in U_{a,\text{top}}^k$  and  $u_{a,\text{top}}^k(x, s_k) = v_{a,\text{top}}(x, (0, \dots, 0, s_k, 0, \dots, 0))$ . Actually we apply Assumption 3.7(a)  $2^r - r - 1$  times to choose  $v_{a,\text{top}}(x, (s_1, \dots, s_r))$  with subsets of the  $s_1, \dots, s_r$  zero.

The next part of the proof follows that of part (v) of the sheaf property of  $\mathcal{T}_f Y$  in Proposition B.25. Let  $S_A$  be the set of all finite, nonempty subsets  $B \subseteq A$ . For each  $B \in S_A$  write  $\chi_B : X'_B \hookrightarrow X$  for the open submanifold with  $X'_{B,\text{top}} = \bigcap_{a \in B} X'_{a,\text{top}}$ . When  $B = \{a\}$  we have  $X'_{\{a\}} = X'_a$ ,  $\chi_{\{a\}} = \chi_a$ . If  $C \subseteq B$  lie in  $S_A$  then there is a unique  $\xi_{BC} : X'_B \hookrightarrow X'_C$  with  $\chi_B = \chi_C \circ \xi_{BC}$  by Assumption 3.2(d).

By the same proof as in the proof of Proposition B.25, using induction on  $|B|$  and Assumption 3.7(a), for each  $B \in S_A$  we choose an open submanifold  $k_B : W_B \hookrightarrow \bigoplus_{b \in B} E|_{X'_b} \cong X'_B \times \prod_{b \in B} \mathbb{R}^r$  and a morphism  $w_B : W_B \rightarrow Y$  with:

- (a)  $X'_{B,\text{top}} \times \{(0, \dots, 0)\} \subseteq W_{B,\text{top}}$  for all  $B \in S_A$ .
- (b) For  $a \in A$  we have  $W_{\{a\}} = V_a \hookrightarrow E|_{X'_a} \cong X'_{\{a\}} \times \mathbb{R}^r$  and  $w_{\{a\}} = v_a$ .
- (c) If  $C \subsetneq B$  lie in  $S_A$  and  $(x, (\mathbf{s}_a)_{a \in C} \amalg (0)_{a \in B \setminus C}) \in W_{B,\text{top}}$  then  $(x, (\mathbf{s}_a)_{a \in C})$  lies in  $W_{C,\text{top}}$  with  $w_{C,\text{top}}(x, (\mathbf{s}_a)_{a \in C}) = w_{B,\text{top}}(x, (\mathbf{s}_a)_{a \in C} \amalg (0)_{a \in B \setminus C})$ .

Now apply Proposition B.7 to choose a partition of unity  $\{\eta_a : a \in A\}$  on  $X'$  subordinate to the open cover  $\{X'_{a,\text{top}} : a \in A\}$ . Choose an open submanifold  $j : V \hookrightarrow E$  such that  $0_{E,\text{top}}(X_{\text{top}}) \subseteq V_{\text{top}}$  and if  $e \in V_{\text{top}} \subseteq E_{\text{top}}$  with  $\pi_{\text{top}}(e) = x \in X_{\text{top}}$  and  $B = \{a \in A : x \in \text{supp } \eta_{a,\text{top}}\}$  then  $(x, (\eta_{a,\text{top}}(x) \pi_{\mathbb{R}^r} \circ \Psi_{a,\text{top}}(e))_{a \in B}) \in W_{B,\text{top}}$ . By (a) above and local finiteness of  $\{\eta_a : a \in A\}$ , this holds for any small enough open neighbourhood of  $0_{E,\text{top}}(X_{\text{top}})$  in  $E$ .

As for the construction of  $u : U \rightarrow Y$  satisfying (B.18) in the proof of Proposition B.25, there is a unique morphism  $v : V \rightarrow Y$  such that for all  $e \in V_{\text{top}}$  with  $\pi_{\text{top}}(e) = x \in X_{\text{top}}$  and  $B = \{a \in A : x \in \text{supp } \eta_{a,\text{top}}\}$  we have

$$v_{\text{top}}(e) = w_{B,\text{top}}(x, (\eta_{a,\text{top}}(x) \cdot \pi_{\mathbb{R}^r} \circ \Psi_{a,\text{top}}(e))_{a \in B}). \quad (\text{B.23})$$

Then  $j : V \hookrightarrow E$  and  $v : V \rightarrow Y$  fit into a diagram (B.22), and so give a morphism  $\theta_{V,v} : E \rightarrow \mathcal{T}_f Y$  by Definition B.32. We will show that  $\theta_{V,v} = \theta$ .

Let  $\tilde{x} \in X_{\text{top}}$ , and set  $B = \{b \in A : \tilde{x} \in \text{supp } \eta_{b,\text{top}}\}$  in  $S_A$ . Choose an open neighbourhood  $R \hookrightarrow X$  of  $\tilde{x}$  in  $X$  such that  $R_{\text{top}} \subseteq X'_{b,\text{top}}$  for all  $b \in B$ , and  $R_{\text{top}} \cap \text{supp } \eta_{c,\text{top}} = \emptyset$  for all  $c \in A \setminus B$ . This is possible as  $\text{supp } \eta_{b,\text{top}}$  is contained in  $X'_{b,\text{top}}$  and closed in  $X_{\text{top}}$ , and  $\{\eta_a : a \in A\}$  is locally finite. Let  $e \in \Gamma^\infty(E|_R)$ . Then

$$\begin{aligned} \theta_{V,v}(R_{\text{top}})(e) &= \sum_{a \in B} \eta_a|_R \cdot \theta_{V_a, v_a}(R_{\text{top}})(\Psi_a|_R(e)) = \sum_{a \in B} \eta_a|_R \cdot \theta(R_{\text{top}})(e) \\ &= 1 \cdot \theta(R_{\text{top}})(e) = \theta(R_{\text{top}})(e). \end{aligned} \quad (\text{B.24})$$

Here the first step follows from comparing the definition of  $\theta_{V,v}$ , equation (B.23), part (b) above, and the definitions of addition and multiplication by functions in  $\Gamma(\mathcal{T}_f|_R Y)$  in §B.4.2. The second holds by definition of  $(V_a, v_a)$  above in terms of  $(U_a^k, u_a^k)$ , where  $\theta(X'_{a,\text{top}})(e_a^k) = [U_a^k, u_a^k]$ , and  $e_a^1, \dots, e_a^r$  are mapped by  $\Psi_a$  to the canonical basis of sections of  $X'_a \times \mathbb{R}^r \rightarrow X'_a$ . The third holds as  $\sum_{b \in B} \eta_b$  is 1 on  $R$  since  $\{\eta_a : a \in A\}$  is a partition of unity with  $R_{\text{top}} \cap \text{supp } \eta_{c,\text{top}} = \emptyset$  for all  $c \in A \setminus B$ .

Equation (B.24) shows that for any  $\tilde{x} \in X_{\text{top}}$  and any sufficiently small open neighbourhood  $R_{\text{top}}$  of  $\tilde{x}$  in  $X_{\text{top}}$  we have  $\theta_{V,v}(R_{\text{top}}) = \theta(R_{\text{top}}) : \mathcal{E}(R_{\text{top}}) \rightarrow \mathcal{T}_f Y(R_{\text{top}})$ . Since  $\theta_{V,v}, \theta$  are sheaf morphisms, this implies that  $\theta_{V,v} = \theta$ .  $\square$

#### B.4.9 Notation for ‘pullbacks’ $f^*$ by morphisms $f : X \rightarrow Y$

We will use the following notation for ‘pullbacks’  $f^*$  by morphisms  $f : X \rightarrow Y$ .

**Definition B.34.** Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ , and  $E \rightarrow Y$  be a vector bundle on  $Y$ , and  $\mathcal{E}$  the  $\mathcal{O}_Y$ -module of sections of  $E$  from §B.2.2. Then we can form the sheaf pullback  $f_{\text{top}}^{-1}(\mathcal{E})$  as in §A.5, which is a sheaf of modules over  $f_{\text{top}}^{-1}(\mathcal{O}_Y)$  on  $X_{\text{top}}$ . In §B.1.3 we defined a morphism  $f^\sharp : f_{\text{top}}^{-1}(\mathcal{O}_Y) \rightarrow \mathcal{O}_X$  of sheaves of  $\mathbb{R}$ -algebras or  $C^\infty$ -rings on  $X_{\text{top}}$ . Thus we may form the  $\mathcal{O}_X$ -module  $f_{\text{top}}^{-1}(\mathcal{E}) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X$  using  $f^\sharp$ .

We can also form the pullback vector bundle  $f^*(E) \rightarrow X$  as in §B.2.1. The corresponding  $\mathcal{O}_X$ -module is canonically isomorphic to  $f_{\text{top}}^{-1}(\mathcal{E}) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X$ , and we will identify it with  $f_{\text{top}}^{-1}(\mathcal{E}) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X$ , and write it  $f^*(\mathcal{E})$ .

Let  $F \rightarrow Y$  be another vector bundle, and  $\theta : E \rightarrow F$  a vector bundle morphism, and  $\tilde{\theta} : \mathcal{E} \rightarrow \mathcal{F}$  the corresponding  $\mathcal{O}_Y$ -module morphism. Then we



may form the  $\mathcal{O}_X$ -module morphism

$$\begin{aligned} f^*(\tilde{\theta}) &:= f_{\text{top}}^{-1}(\tilde{\theta}) \otimes \text{id}_{\mathcal{O}_X} : f^*(\mathcal{E}) = f_{\text{top}}^{-1}(\mathcal{E}) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X \longrightarrow \\ & f^*(\mathcal{F}) = f_{\text{top}}^{-1}(\mathcal{F}) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X. \end{aligned}$$

This is the  $\mathcal{O}_X$ -module morphism corresponding to the vector bundle morphism  $f^*(\theta) : f^*(E) \rightarrow f^*(F)$  on  $X$ , as in §B.2.2.

Now let  $g : Y \rightarrow Z$  be another morphism in  $\mathbf{Man}$ , so we have an  $\mathcal{O}_Y$ -module  $\mathcal{T}_g Z$  and an  $\mathcal{O}_X$ -module  $\mathcal{T}_{g \circ f} Z$ . We will often treat  $\mathcal{T}_{g \circ f} Z$  as if it were the pullback  $f^*(\mathcal{T}_g Z)$ . This is an abuse of notation: for  $f^b$  as in §B.4.6 and using  $f^\sharp : f_{\text{top}}^{-1}(\mathcal{O}_Y) \rightarrow \mathcal{O}_X$ , we have an  $\mathcal{O}_X$ -module morphism

$$f^b \otimes \text{id}_{\mathcal{O}_X} : f_{\text{top}}^{-1}(\mathcal{T}_g Z) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X \longrightarrow \mathcal{T}_{g \circ f} Z \otimes_{\mathcal{O}_X} \mathcal{O}_X = \mathcal{T}_{g \circ f} Z. \quad (\text{B.25})$$

It would be more consistent to write  $f^*(\mathcal{T}_g Z) = f_{\text{top}}^{-1}(\mathcal{T}_g Z) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X$  (though we will not), but then  $f^*(\mathcal{T}_g Z)$  and  $\mathcal{T}_{g \circ f} Z$  would be different, as (B.25) need not be an isomorphism for general  $\mathbf{Man}$ .

Suppose  $E, \mathcal{E}$  are as above, and  $\theta : E \rightarrow \mathcal{T}_g Z$  is a morphism (that is,  $\theta : \mathcal{E} \rightarrow \mathcal{T}_g Z$  is an  $\mathcal{O}_Y$ -module morphism). Define a morphism  $f^*(\theta) : f^*(E) \rightarrow \mathcal{T}_{g \circ f} Z$  by the commutative diagram of  $\mathcal{O}_X$ -modules

$$\begin{array}{ccc} f_{\text{top}}^{-1}(\mathcal{E}) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X & \xrightarrow{f_{\text{top}}^{-1}(\theta) \otimes \text{id}_{\mathcal{O}_X}} & f_{\text{top}}^{-1}(\mathcal{T}_g Z) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X \\ & \searrow f^*(\theta) & \downarrow f^b \otimes \text{id}_{\mathcal{O}_X} \\ & & \mathcal{T}_{g \circ f} Z \otimes_{\mathcal{O}_X} \mathcal{O}_X = \mathcal{T}_{g \circ f} Z. \end{array} \quad (\text{B.26})$$

Here  $f_{\text{top}}^{-1}(\mathcal{E}) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X$  is the  $\mathcal{O}_X$ -module corresponding to the vector bundle  $f^*(E) \rightarrow X$ , as above. Using this notation  $f^*(\theta)$  we will avoid using the morphisms  $f^b$  in Chapters 4–6.

Note that if  $\phi : \mathcal{T}_g Z \rightarrow F$  is a morphism, we cannot define a pullback  $f^*(\phi) : \mathcal{T}_{g \circ f} Z \rightarrow f^*(F)$ , because the morphism (B.25) goes the wrong way.

**Definition B.35.** Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ , and  $F \rightarrow Y$  be a vector bundle, and  $t \in \Gamma^\infty(F)$ . Suppose  $\nabla$  is a connection on  $F$ , as in §B.3.2. Writing  $\mathcal{F}$  for the  $\mathcal{O}_Y$ -module corresponding to  $F$ , we have  $t \in \Gamma(\mathcal{F})$ , so that  $\nabla t \in \Gamma(\mathcal{F} \otimes_{\mathcal{O}_Y} \mathcal{T}^* Y)$ . Define a morphism  $f^*(\nabla t) : \mathcal{T}_f Y \rightarrow f^*(F)$ , in the sense of §B.4.8, by the commutative diagram of  $\mathcal{O}_X$ -modules

$$\begin{array}{ccc} \mathcal{T}_f Y & \xrightarrow{\otimes_{f_{\text{top}}^{-1}(\nabla t)}} & \mathcal{T}_f Y \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} (f_{\text{top}}^{-1}(\mathcal{F} \otimes_{\mathcal{O}_Y} \mathcal{T}^* Y)) \\ \downarrow f^*(\nabla t) & & \cong \downarrow \\ f_{\text{top}}^{-1}(\mathcal{F}) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X & \xleftarrow{\text{id} \otimes \mu_*^f} & (f_{\text{top}}^{-1}(\mathcal{F}) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} (\mathcal{T}_f Y \otimes_{\mathcal{O}_X} (f_{\text{top}}^{-1}(\mathcal{T}^* Y) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X))), \end{array} \quad (\text{B.27})$$

where  $\mu_*^f$  is as in (B.21), and  $f_{\text{top}}^{-1}(\mathcal{F}) \otimes_{f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_X$  is the  $\mathcal{O}_X$ -module corresponding to  $f^*(F) \rightarrow X$ , as in Definition B.34.

## B.5 The $O(s)$ and $O(s^2)$ notation

When  $X \in \dot{\mathbf{Man}}$ , and  $E \rightarrow X$  is a vector bundle, and  $s \in \Gamma^\infty(E)$ , we now define several related uses of the notation ‘ $O(s)$ ’ and ‘ $O(s^2)$ ’. This will be important in defining the (2-)categories of (m- and  $\mu$ -)Kuranishi neighbourhoods in Chapters 4–6.

**Definition B.36.** Let  $X$  be an object in  $\dot{\mathbf{Man}}$ , and  $E \rightarrow X$  be a vector bundle, and  $s \in \Gamma^\infty(E)$  be a section. Then:

- (i) If  $F \rightarrow X$  is a vector bundle and  $t_1, t_2 \in \Gamma^\infty(F)$ , we write  $t_2 = t_1 + O(s)$  if there exists a morphism  $\alpha : E \rightarrow F$  such that  $t_2 = t_1 + \alpha \circ s$  in  $\Gamma^\infty(F)$ .

Similarly, we write  $t_2 = t_1 + O(s^2)$  if there exists  $\beta : E \otimes E \rightarrow F$  such that  $t_2 = t_1 + \beta \circ (s \otimes s)$  in  $\Gamma^\infty(F)$ . This implies that  $t_2 = t_1 + O(s)$ .

We can also apply this  $O(s), O(s^2)$  notation to morphisms of vector bundles  $\theta_1, \theta_2 : F \rightarrow G$ , by regarding  $\theta_1, \theta_2$  as sections of  $F^* \otimes G$ .

- (ii) If  $F \rightarrow X$  is a vector bundle,  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$ , and  $\Lambda_1, \Lambda_2 : F \rightarrow \mathcal{T}_f Y$  are morphisms as in §B.4.8, we write  $\Lambda_2 = \Lambda_1 + O(s)$  if there exist open submanifolds  $i : U \hookrightarrow X$  and  $j : V \hookrightarrow E$  with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$  and  $0_{E, \text{top}}(U_{\text{top}}), s_{\text{top}}(U_{\text{top}}) \subseteq V_{\text{top}}$ , so that we have a commutative diagram in  $\dot{\mathbf{Man}}$ :

$$\begin{array}{ccccc}
 U & \xrightarrow{\quad} & V & \xleftarrow{\quad} & U \\
 \downarrow i & & \downarrow j & & \downarrow i \\
 X & \xrightarrow{0_E} & E & \xleftarrow{s} & X \\
 & \searrow \text{id}_X & \downarrow \pi & \swarrow \text{id}_X & \\
 & & X & & 
 \end{array} \tag{B.28}$$

where the morphisms  $k_1, k_2$  exist by Assumption 3.2(d). Also there should exist a morphism  $M : \pi^*(F)|_V \rightarrow \mathcal{T}_{f \circ \pi} Y|_V$  with  $k_1^*(M) = \Lambda_1|_U$  and  $k_2^*(M) = \Lambda_2|_U$  in morphisms  $F|_U \rightarrow \mathcal{T}_f Y|_U$ , where

$$k_a^*(M) : k_a^* \circ \pi^*(F) = F|_U \longrightarrow \mathcal{T}_{f \circ \pi \circ k_a} Y = \mathcal{T}_f Y|_U$$

for  $a = 1, 2$  are as in §B.4.8.

- (iii) If  $f, g : X \rightarrow Y$  are morphisms in  $\dot{\mathbf{Man}}$ , we write  $g = f + O(s)$  if there is a diagram (B.28) as in (ii) with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$ , and a morphism  $v : V \rightarrow Y$  in  $\dot{\mathbf{Man}}$  with  $v \circ k_1 = f|_U$  and  $v \circ k_2 = g|_U$  in morphisms  $U \rightarrow Y$  in  $\dot{\mathbf{Man}}$ .

- (iv) Let  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  be as in (iii), and  $F \rightarrow X, G \rightarrow Y$  be vector bundles, and  $\theta_1 : F \rightarrow f^*(G), \theta_2 : F \rightarrow g^*(G)$  be morphisms. We wish to compare  $\theta_1, \theta_2$ , though they map to *different* vector bundles.

We write  $\theta_2 = \theta_1 + O(s)$  if there is a diagram (B.28) with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$  and a morphism  $v : V \rightarrow Y$  with  $v \circ k_1 = f|_U$  and  $v \circ k_2 = g|_U$  as in (iii), and a morphism  $\phi : \pi^*(F)|_V \rightarrow v^*(G)$  with  $k_1^*(\phi) = \theta_1|_U$  and  $k_2^*(\phi) = \theta_2|_U$ , where  $k_1^*(\phi), k_2^*(\phi)$  are as in §B.2.1.

- (v) Let  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  be as in (iii), and  $F \rightarrow X$  be a vector bundle, and  $\Lambda_1 : F \rightarrow \mathcal{T}_f Y, \Lambda_2 : F \rightarrow \mathcal{T}_g Y$  be morphisms, as in §B.4.8. We wish to compare  $\Lambda_1, \Lambda_2$ , though they map to *different* sheaves.

We write  $\Lambda_2 = \Lambda_1 + O(s)$  if there is a diagram (B.28) with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$  and a morphism  $v : V \rightarrow Y$  with  $v \circ k_1 = f|_U$  and  $v \circ k_2 = g|_U$  as in (iii), and a morphism  $M : \pi^*(F)|_V \rightarrow \mathcal{T}_v Y$  with  $k_1^*(M) = \Lambda_1|_U$  and  $k_2^*(M) = \Lambda_2|_U$ , where  $k_1^*(M), k_2^*(M)$  are as in §B.4.8.

- (vi) Suppose  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man}$ , and  $F \rightarrow X, G \rightarrow Y$  are vector bundles, and  $t \in \Gamma^\infty(G)$  with  $f^*(t) = O(s)$  in the sense of (i), and  $\Lambda : F \rightarrow \mathcal{T}_f Y$  is a morphism, as in §B.4.8, and  $\theta : F \rightarrow f^*(G)$  is a vector bundle morphism, as in §B.2.1. We write  $\theta = f^*(dt) \circ \Lambda + O(s)$  if whenever  $\nabla$  is a connection on  $G$  we have  $\theta = f^*(\nabla t) \circ \Lambda + O(s)$  in the sense of (i), where  $f^*(\nabla t) : \mathcal{T}_f Y \rightarrow f^*(G)$  is as in §B.4.9, so that  $f^*(\nabla t) \circ \Lambda : F \rightarrow f^*(G)$  is a vector bundle morphism as in §B.4.8.

Note that there exists a connection  $\nabla$  on  $G$  by Proposition B.14(a). If  $\nabla, \nabla'$  are two such connections then  $\nabla' = \nabla + \Gamma$  for  $\Gamma : \mathcal{G} \rightarrow \mathcal{G} \otimes_{\mathcal{O}_Y} \mathcal{T}^* Y$  an  $\mathcal{O}_Y$ -module morphism, by Proposition B.14(b). Then

$$f^*(\nabla' t) \circ \Lambda = f^*(\nabla t) \circ \Lambda + [f_{\text{top}}^{-1}(\Gamma) \circ \Lambda] \cdot f^*(t),$$

where  $f_{\text{top}}^{-1}(\Gamma) \circ \Lambda \in \Gamma^\infty(F^* \otimes f^*(G) \otimes f^*(G^*))$  is a natural section. Thus  $f^*(\nabla' t) \circ \Lambda = f^*(\nabla t) \circ \Lambda + O(s)$ , since  $t = O(s)$ . Hence the condition  $\theta = f^*(\nabla t) \circ \Lambda + O(s)$  is independent of the choice of connection  $\nabla$  on  $G$ .

Note also that the ‘ $f^*(dt)$ ’ in  $\theta = f^*(dt) \circ \Lambda + O(s)$  is just notation, intended to suggest this independence of the choice of  $\nabla$ .

- (vii) Let  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  be as in (iii), and  $\Lambda : E \rightarrow \mathcal{T}_f Y$  be a morphism in the sense of §B.4.8. We write  $g = f + \Lambda \circ s + O(s^2)$  if there exists a commutative diagram in  $\mathbf{Man}$

$$\begin{array}{ccccc}
 & & Y & & \\
 & f \nearrow & \uparrow v & \nwarrow g & \\
 U & \xrightarrow{\quad} & V & \xleftarrow{\quad} & U \\
 \downarrow i & \searrow k_1 & \downarrow j & \swarrow k_2 & \downarrow i \\
 X & \xrightarrow{0_E} & E & \xleftarrow{s} & X,
 \end{array} \tag{B.29}$$

with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$ , where morphisms  $i, j$  are open submanifolds, and morphisms  $k_1, k_2$  exist by Assumption 3.2(d), and  $\Lambda|_U = \theta_{V,v}$  as a morphism  $E|_U \rightarrow \mathcal{T}_f Y|_U$ , in the notation of §B.4.8.

Theorem 3.17, proved in §B.9, gives a long list of properties of the  $O(s)$ ,  $O(s^2)$  notation that we need for our theories of (m- and  $\mu$ -)Kuranishi spaces.

**Remark B.37.** (a) When  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}$ , and to some extent for general  $\dot{\mathbf{M}}\mathbf{an}$ , we can interpret the  $O(s)$  and  $O(s^2)$  conditions in Definition B.36 in terms of  $C^\infty$ -algebraic geometry, as in §B.1.2 and [56, 65]. As in Proposition B.5 we can make  $X \in \dot{\mathbf{M}}\mathbf{an}$  into a  $C^\infty$ -scheme  $\underline{X} = (X_{\text{top}}, \mathcal{O}_X)$ . Given a vector bundle  $E \rightarrow X$  and  $s \in \Gamma^\infty(E)$ , we have closed  $C^\infty$ -subschemes  $\underline{S}_1 \subseteq \underline{S}_2 \subseteq \underline{X}$ , where  $\underline{S}_1$  is defined by  $s = 0$ , and  $\underline{S}_2$  by  $s \otimes s = 0$ .

The rough idea is that an equation on  $X$  holds up to  $O(s)$  if when translated into  $C^\infty$ -scheme language, the restriction of the equation to  $\underline{S}_1 \subseteq \underline{X}$  holds exactly, and it holds up to  $O(s^2)$  if its restriction to  $\underline{S}_2 \subseteq \underline{X}$  holds exactly. For example,  $t_2 = t_1 + O(s) \Leftrightarrow t_2|_{\underline{S}_1} = t_1|_{\underline{S}_1}$  and  $t_2 = t_1 + O(s^2) \Leftrightarrow t_2|_{\underline{S}_2} = t_1|_{\underline{S}_2}$  in Definition B.36(i), for general  $\dot{\mathbf{M}}\mathbf{an}$ .

Also morphisms  $f, g : X \rightarrow Y$  in  $\dot{\mathbf{M}}\mathbf{an}$  translate to  $C^\infty$ -scheme morphisms  $\underline{f}, \underline{g} : \underline{X} \rightarrow \underline{Y}$ . Then  $g = f + O(s)$  implies that  $\underline{g}|_{\underline{S}_1} = \underline{f}|_{\underline{S}_1}$  for general  $\dot{\mathbf{M}}\mathbf{an}$ , and when  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}$  the two are equivalent. If we think of the  $O(s)$ ,  $O(s^2)$  conditions as restriction to  $\underline{S}_1, \underline{S}_2$  then much of Theorem 3.17 becomes obvious.

(b) In Definition B.36(i), we could instead have defined  $t_2 = t_1 + O(s)$  in the style of (ii), using a diagram (B.28). One can prove using Assumption 3.5 that this would give an equivalent notion of when  $t_2 = t_1 + O(s)$ , and we implicitly show this in the second part of the proof of Theorem 3.17(f) in §B.9.

(c) We explain Definition B.36(vii). We have  $\Lambda \circ s \in \Gamma(\mathcal{T}_f Y)$ , where as in §B.4.1 elements of  $\Gamma(\mathcal{T}_f Y)$  are defined using infinitesimal deformations of  $f$  amongst morphisms  $X \rightarrow Y$  in  $\dot{\mathbf{M}}\mathbf{an}$ . The equation ' $g = f + \Lambda \circ s + O(s^2)$ ' means that  $g = f + O(s)$ , so that  $g$  is a small deformation of  $f$  near  $s_{\text{top}}^{-1}(0) \subseteq X_{\text{top}}$ , and to leading order near  $s_{\text{top}}^{-1}(0)$  is the infinitesimal deformation  $\Lambda \circ s$  of  $f$ .

We could have generalized Definition B.36(vii) to define ' $g = f + v + O(s^2)$ ' for any  $v \in \Gamma(\mathcal{T}_f Y)$  with  $v = O(s)$ . It is not important that  $v = \Lambda \circ s$  for some  $\Lambda : E \rightarrow \mathcal{T}_f Y$ , but we will only use the case  $v = \Lambda \circ s$ .

## B.6 Discrete properties of morphisms in $\dot{\mathbf{M}}\mathbf{an}$

Here is a condition for classes of morphisms in  $\dot{\mathbf{M}}\mathbf{an}$  to lift nicely to classes of (1-)morphisms in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ ,  $\mu\dot{\mathbf{K}}\mathbf{ur}$ ,  $\dot{\mathbf{K}}\mathbf{ur}$  in Chapters 4–6.

**Definition B.38.** Let  $\mathbf{P}$  be a property of morphisms in  $\dot{\mathbf{M}}\mathbf{an}$ , so that for any morphism  $f : X \rightarrow Y$  in  $\dot{\mathbf{M}}\mathbf{an}$ , either  $f$  is  $\mathbf{P}$ , or  $f$  is not  $\mathbf{P}$ . For example, if  $\dot{\mathbf{M}}\mathbf{an}$  is  $\mathbf{Man}^c$  from §2.1, then  $\mathbf{P}$  could be interior, or b-normal.

We call  $\mathbf{P}$  a *discrete* property of morphisms in  $\dot{\mathbf{M}}\mathbf{an}$  if:

- (i) All diffeomorphisms  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  are  $\mathbf{P}$ .
- (ii) All open submanifolds  $i : U \hookrightarrow X$  in  $\dot{\mathbf{Man}}$  are  $\mathbf{P}$ .
- (iii) If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  in  $\dot{\mathbf{Man}}$  are  $\mathbf{P}$  then  $g \circ f : X \rightarrow Z$  is  $\mathbf{P}$ .
- (iv) For a morphism  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  to be  $\mathbf{P}$  is a *local property on  $X$* , in the sense that if we can cover  $X$  by open submanifolds  $i : U \hookrightarrow X$  such that  $f \circ i : U \rightarrow Y$  is  $\mathbf{P}$ , then  $f$  is  $\mathbf{P}$ .

Some notation: if  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  and  $S \subseteq X_{\text{top}}$  then we say that  $f$  is  $\mathbf{P}$  near  $S$  if there exists an open submanifold  $i : U \hookrightarrow X$  such that  $S \subseteq U_{\text{top}} \subseteq X_{\text{top}}$  and  $f \circ i : U \rightarrow Y$  is  $\mathbf{P}$ . This is a well behaved notion as  $\mathbf{P}$  is a local property, e.g.  $f$  is  $\mathbf{P}$  if and only if  $f$  is  $\mathbf{P}$  near each  $x \in X_{\text{top}}$ .

- (v) All morphisms in  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$  are  $\mathbf{P}$ .
- (vi) Suppose  $f : X \times \mathbb{R} \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$ . If  $f$  is  $\mathbf{P}$  near  $X_{\text{top}} \times \{0\}$  in  $X_{\text{top}} \times \mathbb{R}$ , then  $f$  is  $\mathbf{P}$ .
- (vii) Suppose  $E \rightarrow X$  is a vector bundle in  $\dot{\mathbf{Man}}$ , and  $s \in \Gamma^\infty(E)$ , so that  $s_{\text{top}}^{-1}(0) \subseteq X_{\text{top}}$ , and  $f, g : X \rightarrow Y$  are morphisms in  $\dot{\mathbf{Man}}$  with  $g = f + O(s)$  in the sense of Definition B.36(iii). Then  $f$  is  $\mathbf{P}$  near  $s_{\text{top}}^{-1}(0)$  if and only if  $g$  is  $\mathbf{P}$  near  $s_{\text{top}}^{-1}(0)$ .
- (viii) Suppose we are given a diagram in  $\dot{\mathbf{Man}}$ :

$$\begin{array}{ccccc}
 U' \hookrightarrow & \xrightarrow{\quad} & U \hookrightarrow & \xrightarrow{\quad} & X \\
 \downarrow f' & \nearrow i' & \downarrow f & \nearrow i & \\
 V' \hookrightarrow & \xrightarrow{\quad} & V \hookrightarrow & \xrightarrow{\quad} & Y \\
 \uparrow g' & \searrow j' & \uparrow g & \searrow j & \\
 & & & & 
 \end{array}$$

where  $i, i', j, j'$  are open submanifolds in  $\dot{\mathbf{Man}}$ , and  $f \circ i' = j \circ f' : U' \rightarrow Y$ ,  $g \circ j' = i \circ g' : V' \rightarrow X$ , and we are given points  $x \in U'_{\text{top}} \subseteq U_{\text{top}} \subseteq X_{\text{top}}$  and  $y \in V'_{\text{top}} \subseteq V_{\text{top}} \subseteq Y_{\text{top}}$  such that  $f_{\text{top}}(x) = y$  and  $g_{\text{top}}(y) = x$ . Suppose too that there are vector bundles  $E \rightarrow U'$  and  $F \rightarrow V'$  and sections  $s \in \Gamma^\infty(E)$ ,  $t \in \Gamma^\infty(F)$  with  $s(x) = t(y) = 0$ , such that  $g \circ f' = i \circ i' + O(s)$  on  $U'$  and  $f \circ g' = j \circ j' + O(t)$  on  $V'$  in the sense of Definition B.36(iii). Then  $f, f'$  are  $\mathbf{P}$  near  $x$ , and  $g, g'$  are  $\mathbf{P}$  near  $y$ .

**Example B.39.** (a) When  $\dot{\mathbf{Man}}$  is  $\mathbf{Man}^c$  from §2.1, the following properties of morphisms in  $\mathbf{Man}^c$  are discrete: interior, b-normal, strongly smooth, simple.

(b) When  $\dot{\mathbf{Man}}$  is  $\mathbf{Man}^{gc}$  from §2.4.1, the following properties of morphisms in  $\mathbf{Man}^{gc}$  are discrete: interior, b-normal, simple.

(c) When  $\dot{\mathbf{Man}}$  is  $\mathbf{Man}^{ac}$  or  $\mathbf{Man}^{c,ac}$  from §2.4.2, the following properties of morphisms in  $\dot{\mathbf{Man}}$  are discrete: interior, b-normal, strongly a-smooth, simple.

## B.7 Comparing different categories $\dot{\mathbf{Man}}$

To each category  $\dot{\mathbf{Man}}$  satisfying Assumptions 3.1–3.7, in Chapters 4–6 we will associate (2-)categories  $\mathbf{m}\dot{\mathbf{Kur}}, \mu\dot{\mathbf{Kur}}, \dot{\mathbf{Kur}}$  of (m- and  $\mu$ -)Kuranishi spaces. As in §3.2 there are many examples of such  $\dot{\mathbf{Man}}$ , such as  $\dot{\mathbf{Man}} = \mathbf{Man}$  or  $\mathbf{Man}^c$ , and many functors between them, such as the inclusion  $\mathbf{Man} \hookrightarrow \mathbf{Man}^c$ .

Here is an important condition on functors between such categories  $\dot{\mathbf{Man}}$ :

**Condition B.40.** Let  $\dot{\mathbf{Man}}, \ddot{\mathbf{Man}}$  satisfy Assumptions 3.1–3.7, and  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} : \dot{\mathbf{Man}} \rightarrow \ddot{\mathbf{Man}}$  be a functor in the commutative diagram

$$\begin{array}{ccccc}
 & & \dot{\mathbf{Man}} & & \\
 & \subset & \nearrow & F_{\dot{\mathbf{Man}}}^{\mathbf{Top}} & \\
 \mathbf{Man} & & & & \mathbf{Top}, \\
 & \subset & \searrow & F_{\ddot{\mathbf{Man}}}^{\mathbf{Top}} & \\
 & & \ddot{\mathbf{Man}} & & \\
 & & \downarrow F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} & & 
 \end{array} \quad (\text{B.30})$$

where the functors  $F_{\dot{\mathbf{Man}}}^{\mathbf{Top}}, F_{\ddot{\mathbf{Man}}}^{\mathbf{Top}}$  are as in Assumption 3.2, and the inclusions  $\mathbf{Man} \hookrightarrow \dot{\mathbf{Man}}, \ddot{\mathbf{Man}}$  as in Assumption 3.4. We require  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$  to take products, disjoint unions, and open submanifolds in  $\dot{\mathbf{Man}}$  to products, disjoint unions, and open submanifolds in  $\ddot{\mathbf{Man}}$ , and to preserve dimensions.

Note that  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$  must be faithful (injective on morphisms), as  $F_{\dot{\mathbf{Man}}}^{\mathbf{Top}}$  is.

Figure 3.1 on page I-47 gives a diagram of functors from Chapter 2 satisfying Condition B.40. In Chapters 4–6, when Condition B.40 holds, we will define natural (2)-functors

$$F_{\mathbf{m}\dot{\mathbf{Kur}}}^{\mathbf{m}\ddot{\mathbf{Kur}}} : \mathbf{m}\dot{\mathbf{Kur}} \longrightarrow \mathbf{m}\ddot{\mathbf{Kur}}, \quad F_{\mu\dot{\mathbf{Kur}}}^{\mu\ddot{\mathbf{Kur}}} : \mu\dot{\mathbf{Kur}} \longrightarrow \mu\ddot{\mathbf{Kur}}, \quad F_{\dot{\mathbf{Kur}}}^{\ddot{\mathbf{Kur}}} : \dot{\mathbf{Kur}} \longrightarrow \ddot{\mathbf{Kur}}$$

between the (2-)categories  $\mathbf{m}\dot{\mathbf{Kur}}, \mu\dot{\mathbf{Kur}}, \dot{\mathbf{Kur}}$  and  $\mathbf{m}\ddot{\mathbf{Kur}}, \mu\ddot{\mathbf{Kur}}, \ddot{\mathbf{Kur}}$  associated to  $\dot{\mathbf{Man}}$  and  $\ddot{\mathbf{Man}}$ . To do this, we must relate the material of §B.1–§B.5 on differential geometry and the  $O(s), O(s^2)$  notation in  $\dot{\mathbf{Man}}$  and in  $\ddot{\mathbf{Man}}$ .

**Definition B.41.** Let Condition B.40 hold. We will use accents ‘ $\dot{\phantom{x}}$ ’ and ‘ $\ddot{\phantom{x}}$ ’ to denote objects associated to  $\dot{\mathbf{Man}}$  and  $\ddot{\mathbf{Man}}$ , respectively. When something is independent of  $\dot{\mathbf{Man}}$  or  $\ddot{\mathbf{Man}}$  we omit the accent, so for instance we write  $X_{\text{top}}$  for the underlying topological space of  $\dot{X} \in \dot{\mathbf{Man}}$ .

Let  $\dot{X}$  be an object in  $\dot{\mathbf{Man}}$ , and set  $\ddot{X} = F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}(\dot{X})$ . Then all the material of §B.1–§B.5 on  $\dot{X}$  in  $\dot{\mathbf{Man}}$  maps to corresponding material on  $\ddot{X}$  in  $\ddot{\mathbf{Man}}$  in a straightforward way. Where relevant we use  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$  to denote the functors transforming structures on  $\dot{X}$  to structures on  $\ddot{X}$ . In more detail:

- (a) The commutative  $\mathbb{R}$ -algebra  $C^\infty(\dot{X})$  in §B.1.1 is the set of morphisms  $a : \dot{X} \rightarrow \mathbb{R}$  in  $\dot{\mathbf{Man}}$ . Applying  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$  gives a map

$$F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} : C^\infty(\dot{X}) \rightarrow C^\infty(\ddot{X}). \quad (\text{B.31})$$

This is injective, as  $F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}}$  is faithful, and an  $\mathbb{R}$ -algebra morphism, and a  $C^\infty$ -ring morphism for the  $C^\infty$ -ring structures in §B.1.2.

- (b) Section B.1.3 defines the structure sheaves  $\mathcal{O}_{\dot{X}}$  on  $X_{\text{top}}$  for  $\dot{X} \in \mathring{\mathbf{Man}}$ , and  $\mathcal{O}_{\ddot{X}}$  on  $X_{\text{top}}$  for  $\ddot{X} \in \mathring{\mathbf{Man}}$ . There is a natural morphism  $F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}} : \mathcal{O}_{\dot{X}} \rightarrow \mathcal{O}_{\ddot{X}}$  of sheaves of  $\mathbb{R}$ -algebras or  $C^\infty$ -rings on  $X_{\text{top}}$ , such that if  $i : \dot{U} \hookrightarrow \dot{X}$  is an open submanifold in  $\mathring{\mathbf{Man}}$  then

$$F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}}(U_{\text{top}}) : \mathcal{O}_{\dot{X}}(U_{\text{top}}) = C^\infty(\dot{U}) \longrightarrow \mathcal{O}_{\ddot{X}}(U_{\text{top}}) = C^\infty(\ddot{U})$$

is the morphism (B.31) for  $\dot{U}$ .

- (c) In §B.1.3–§B.2.2,  $F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}}$  takes partitions of unity, vector bundles, sections, and  $\mathcal{O}_{\dot{X}}$ -modules of sections of vector bundles in  $\mathring{\mathbf{Man}}$ , to their analogues in  $\mathring{\mathbf{Man}}$ , in the obvious way.
- (d) In §B.3.1, we define the cotangent sheaf  $\mathcal{T}^*\dot{X}$  as the sheafification of  $\mathcal{PT}^*\dot{X}$ , where if  $i : \dot{U} \hookrightarrow \dot{X}$  is open in  $\mathring{\mathbf{Man}}$  then  $\mathcal{PT}^*\dot{X}(U_{\text{top}}) = \Omega_{C^\infty(\dot{U})}$ .

Since  $F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}} : C^\infty(\dot{U}) \rightarrow C^\infty(\ddot{U})$  in (a) is a  $C^\infty$ -ring morphism, Definition B.10 gives a module morphism

$$\mathcal{PF}_{\mathbf{Man}}^{\mathring{\mathbf{Man}}}(U_{\text{top}}) := \Omega_{F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}}} : \mathcal{PT}^*\dot{X}(U_{\text{top}}) = \Omega_{C^\infty(\dot{U})} \rightarrow \mathcal{PT}^*\ddot{X}(U_{\text{top}}) = \Omega_{C^\infty(\ddot{U})}.$$

These define a morphism  $\mathcal{PF}_{\mathbf{Man}}^{\mathring{\mathbf{Man}}} : \mathcal{PT}^*\dot{X} \rightarrow \mathcal{PT}^*\ddot{X}$  of presheaves on  $X_{\text{top}}$ . Sheafifying gives a morphism  $F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}} : \mathcal{T}^*\dot{X} \rightarrow \mathcal{T}^*\ddot{X}$  of sheaves on  $X_{\text{top}}$ , which is a module morphism under  $F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}} : \mathcal{O}_{\dot{X}} \rightarrow \mathcal{O}_{\ddot{X}}$  from (b).

- (e) Let  $\dot{E} \rightarrow \dot{X}$  be a vector bundle, and  $\dot{\mathcal{E}}$  the  $\mathcal{O}_{\dot{X}}$ -module of sections of  $\dot{E}$  from §B.2.2, and  $\dot{\nabla} : \dot{\mathcal{E}} \rightarrow \dot{\mathcal{E}} \otimes_{\mathcal{O}_{\dot{X}}} \mathcal{T}^*\dot{X}$  be a connection on  $\dot{E}$ , as in §B.3.2. Then one can show there is a unique connection  $\ddot{\nabla}$  on  $\ddot{E}$  such that the following diagram of morphisms on sheaves on  $X_{\text{top}}$  commutes:

$$\begin{array}{ccc} \dot{\mathcal{E}} & \xrightarrow{\quad \dot{\nabla} \quad} & \dot{\mathcal{E}} \otimes_{\mathcal{O}_{\dot{X}}} \mathcal{T}^*\dot{X} \\ \downarrow F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}} \text{ from (c)} & & \downarrow F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}} \text{ from (b),(c),(d)} \\ \ddot{\mathcal{E}} & \xrightarrow{\quad \ddot{\nabla} \quad} & \ddot{\mathcal{E}} \otimes_{\mathcal{O}_{\ddot{X}}} \mathcal{T}^*\ddot{X}. \end{array}$$

- (f) Let  $\dot{f} : \dot{X} \rightarrow \dot{Y}$  be a morphism in  $\mathring{\mathbf{Man}}$ , and  $\ddot{f} : \ddot{X} \rightarrow \ddot{Y}$  its image in  $\mathring{\mathbf{Man}}$  under  $F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}}$ . Then §B.4.1–§B.4.2 define a  $C^\infty(\dot{X})$ -module  $\Gamma(\mathcal{T}_{\dot{f}}\dot{Y})$ . There is an obvious map

$$F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}} : \Gamma(\mathcal{T}_{\dot{f}}\dot{Y}) \longrightarrow \Gamma(\mathcal{T}_{\ddot{f}}\ddot{Y}), \quad F_{\mathbf{Man}}^{\mathring{\mathbf{Man}}} : [\dot{U}, \dot{u}] \longmapsto [\ddot{U}, \ddot{u}]. \quad (\text{B.32})$$

To see this is well defined, note that in Definition B.16, if  $(\dot{U}, \dot{u}) \approx (\dot{U}', \dot{u}')$  in  $\mathring{\mathbf{Man}}$  then  $(\ddot{U}, \ddot{u}) \approx (\ddot{U}', \ddot{u}')$  in  $\mathring{\mathbf{Man}}$ , as  $j, \dot{V}, \dot{v}$  in  $\mathring{\mathbf{Man}}$  satisfying (B.6) map to  $\ddot{j}, \ddot{V}, \ddot{v}$  in  $\mathring{\mathbf{Man}}$  satisfying (B.6), so  $[\ddot{U}, \ddot{u}]$  in (B.32) depends only on the equivalence class  $[\dot{U}, \dot{u}]$ .

Equation (B.32) is a module morphism under (B.31).

- (g) Section B.4.5 defines the sheaves of  $\mathcal{O}_{\dot{X}}$ -modules  $\mathcal{T}\dot{X}$  and  $\mathcal{T}_f\dot{Y}$ . Using (B.32) we define sheaf morphisms  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} : \mathcal{T}\dot{X} \rightarrow \mathcal{T}\ddot{X}$  and  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} : \mathcal{T}_f\dot{Y} \rightarrow \mathcal{T}_f\ddot{Y}$  which are module morphisms over  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} : \mathcal{O}_{\dot{X}} \rightarrow \mathcal{O}_{\ddot{X}}$  from (b).
- (h) In §B.4.6–§B.4.9,  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$  is compatible with the definitions and operations in the obvious way.
- (i) In §B.5,  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$  maps all the  $O(\dot{s})$  and  $O(\dot{s}^2)$  conditions in  $\dot{\mathbf{Man}}$  from Definition B.36(i)–(vii) to the corresponding  $O(\ddot{s})$  and  $O(\ddot{s}^2)$  conditions in  $\ddot{\mathbf{Man}}$ , in the obvious way.

**Remark B.42.** The definitions of §B.1–§B.5 have been carefully designed so that material for  $\dot{\mathbf{Man}}$  all transforms functorially to  $\ddot{\mathbf{Man}}$  under  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$  without problems, as in Definition B.41. It would have been easy, and more obvious, to write down definitions which lack this functorial behaviour.

Here is an example of this. Let  $f : \dot{X} \rightarrow \dot{Y}$  be a morphism in  $\dot{\mathbf{Man}}$ . In §B.4.3 we discussed relative  $(C^\infty\text{-})$ derivations  $\dot{\Delta} : C^\infty(\dot{Y}) \rightarrow C^\infty(\dot{X})$ . These are a natural notion of vector field over  $f$ , and we could have defined  $\Gamma(\mathcal{T}_f\dot{Y})$  in §B.4.1 as a  $C^\infty(\dot{X})$ -module of such derivations. However, in the diagram

$$\begin{array}{ccc}
 C^\infty(\dot{Y}) & \xrightarrow{\quad \dot{\Delta} \quad} & C^\infty(\dot{X}) \\
 \downarrow F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} & & F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} \downarrow \\
 C^\infty(\ddot{Y}) & \xrightarrow{\quad \ddot{\Delta} \quad} & C^\infty(\ddot{X}),
 \end{array}$$

it is unclear whether a relative  $(C^\infty\text{-})$ derivation  $\ddot{\Delta}$  must exist, or if it is unique. So defining  $\mathcal{T}_f\dot{Y}$  using  $(C^\infty\text{-})$ derivations would not be functorial under  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$ .

For an inclusion of subcategories  $\dot{\mathbf{Man}} \subseteq \ddot{\mathbf{Man}}$  we can say more:

**Proposition B.43.** *Suppose  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}} : \dot{\mathbf{Man}} \hookrightarrow \ddot{\mathbf{Man}}$  is an inclusion of subcategories satisfying Condition B.40, and either:*

- (a) *All objects of  $\ddot{\mathbf{Man}}$  are objects of  $\dot{\mathbf{Man}}$ , and all morphisms  $f : X \rightarrow \mathbb{R}$  in  $\ddot{\mathbf{Man}}$  are morphisms in  $\dot{\mathbf{Man}}$ , and for a morphism  $f : X \rightarrow Y$  in  $\ddot{\mathbf{Man}}$  to lie in  $\dot{\mathbf{Man}}$  is a **discrete** condition, as in Definition B.38; or*
- (b)  *$\dot{\mathbf{Man}}$  is a full subcategory of  $\ddot{\mathbf{Man}}$  closed under isomorphisms in  $\ddot{\mathbf{Man}}$ .*

*Then all the material of §B.1–§B.5 for  $\dot{\mathbf{Man}}$  is exactly the same if computed in  $\dot{\mathbf{Man}}$  or  $\ddot{\mathbf{Man}}$ , and all the morphisms  $F_{\dot{\mathbf{Man}}}^{\ddot{\mathbf{Man}}}$  in Definition B.41 are the identity maps. For example, if  $f : X \rightarrow Y$  lies in  $\dot{\mathbf{Man}} \subseteq \ddot{\mathbf{Man}}$  then the relative tangent sheaves  $(\mathcal{T}_f Y)_{\dot{\mathbf{Man}}}, (\mathcal{T}_f Y)_{\ddot{\mathbf{Man}}}$  on  $X_{\text{top}}$  from §B.4 computed in  $\dot{\mathbf{Man}}$  and  $\ddot{\mathbf{Man}}$  are not just canonically isomorphic, but actually the same sheaf.*

*Proof.* Suppose we start with an object  $X$  in  $\dot{\mathbf{Man}}$ , or a morphism  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$ , and then construct differential-geometric data in §B.1–§B.5 such as



$C^\infty(X), \mathcal{O}_X, \mathcal{T}^*X, \mathcal{T}X$  or  $\mathcal{T}_fY$ , either in  $\mathbf{Man}$ , or in  $\check{\mathbf{Man}}$ . The point of the proof is that when we do this in  $\check{\mathbf{Man}}$ , the constructions only ever involve objects and morphisms in  $\mathbf{Man} \subseteq \check{\mathbf{Man}}$ , so that the data  $C^\infty(X), \mathcal{O}_X, \dots, \mathcal{T}_fY$  are the same when computed in  $\mathbf{Man}$  or  $\check{\mathbf{Man}}$ .

Mostly this is straightforward to check, and we leave this to the reader. For example, for  $X \in \mathbf{Man}$  the  $C^\infty$ -rings  $C^\infty(X)_{\mathbf{Man}}, C^\infty(X)_{\check{\mathbf{Man}}}$  are the sets of morphisms  $f : X \rightarrow \mathbb{R}$  in  $\mathbf{Man}$  and in  $\check{\mathbf{Man}}$ . In case (a) these coincide by assumption, and in case (b) they coincide as  $\mathbf{Man} \subseteq \check{\mathbf{Man}}$  is full. Then  $\mathcal{O}_X, \mathcal{T}^*X$  are the same in  $\mathbf{Man}$  and  $\check{\mathbf{Man}}$  as they are constructed from  $C^\infty$ -rings  $C^\infty(U)$  for open  $i : U \hookrightarrow X$ , which are the same in  $\mathbf{Man}$  and  $\check{\mathbf{Man}}$ .

We explain one subtle point concerning  $\mathcal{T}_fY$ . Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}$ , and consider the definition of  $\Gamma(\mathcal{T}_fY)$  in Definition B.16 in  $\mathbf{Man}$  and  $\check{\mathbf{Man}}$ . In case (a), for a diagram (B.5) in  $\check{\mathbf{Man}}$ , it is clear that the data  $X, Y, X \times \mathbb{R}, f, i, (\text{id}_X, 0)$  lie in  $\mathbf{Man} \subseteq \check{\mathbf{Man}}$ , but it is not obvious that  $u : U \rightarrow Y$  lies in  $\mathbf{Man}$ . However, we can prove this using Definition B.38.

Taking  $E = U \times \mathbb{R} \rightarrow U$  to be the trivial line bundle and defining  $s \in \Gamma^\infty(E)$  by  $s(x, t) = ((x, t), t)$ , we see from (B.5) that  $u = f \circ \pi_X + O(s)$  in morphisms  $U \rightarrow Y$  in  $\check{\mathbf{Man}}$ . But  $f \circ \pi_X$  lies in  $\mathbf{Man}$ , so  $u$  lies in  $\mathbf{Man}$  near  $X_{\text{top}} \times \{0\}$  in  $U_{\text{top}}$  by Definition B.38(vii). Then using Definition B.38(i)–(iv),(vi) and the assumption in Definition B.16 that  $U_{\text{top}}$  can be written as a union of subsets  $X'_{\text{top}} \times (-\epsilon, \epsilon)$  in  $X_{\text{top}} \times \mathbb{R}$  for  $X'_{\text{top}} \subseteq X_{\text{top}}$  open and  $\epsilon > 0$ , we can deduce that  $u : U \rightarrow Y$  lies in  $\mathbf{Man}$ , so (B.5) is a diagram in  $\mathbf{Man} \subseteq \check{\mathbf{Man}}$ . Similarly, for  $j : V \hookrightarrow X \times \mathbb{R}^2, v : V \rightarrow Y$  in  $\check{\mathbf{Man}}$  satisfying (B.6) used to define the equivalence relation  $\approx$  on pairs  $(U, u)$ , making  $V$  smaller we can suppose that  $V_{\text{top}} = X'_{\text{top}} \times (-\epsilon, \epsilon)^2$  for  $\tilde{x} \in X'_{\text{top}}$ , and then  $V, j, v$  lie in  $\mathbf{Man} \subseteq \check{\mathbf{Man}}$ , so that  $\Gamma(\mathcal{T}_fY)_{\mathbf{Man}} = \Gamma(\mathcal{T}_fY)_{\check{\mathbf{Man}}}$ .  $\square$

## B.8 Differential geometry in $\mathbf{Man}^c$

Suppose  $\mathbf{Man}^c$  satisfies Assumption 3.22 in §3.4. Then  $\check{\mathbf{Man}}^c$  satisfies Assumptions 3.1–3.7, so §B.1–§B.5 applies in  $\check{\mathbf{Man}}^c$ . Section B.8.1 introduces new material for the corners case, such as morphisms  $I_X^\circ : \Pi_k^{-1}(\mathcal{T}X) \rightarrow \mathcal{T}C_k(X)$  analogous to those in (2.13). Section B.8.2 compares differential geometry in two categories  $\mathbf{Man}^c, \check{\mathbf{Man}}^c$ , as in §B.7.

### B.8.1 Action of the corner functor on tangent sheaves

In §4.6, for an m-Kuranishi space with corners  $\mathbf{X}$  in  $\mathbf{mKur}^c$  we define the boundary  $\partial\mathbf{X}$  and  $k$ -corners  $C_k(\partial\mathbf{X})$ , and we define the corner 2-functor  $C : \mathbf{mKur}^c \rightarrow \mathbf{mKur}^c$ . To do this, for a manifold with corners  $X$  in  $\mathbf{Man}^c$  with  $k$ -corner morphism  $\Pi_k : C_k(X) \rightarrow X$  as in Assumption 3.22(d), we must lift differential geometry on  $X$  to differential geometry on  $C_k(X)$ .

Much of this follows by applying pullbacks in §B.1–§B.5 to  $\Pi_k$ . But we need one extra structure relating (relative) tangent sheaves on  $X$  and  $C_k(X)$ .

**Definition B.44.** Suppose  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man}^c$ , so that  $C(f) : C(X) \rightarrow C(Y)$  and  $\Pi : C(X) \rightarrow X$  are morphisms in  $\check{\mathbf{Man}}^c$ . Then §B.4.5 defines the relative tangent sheaves  $\mathcal{T}_f Y$  on  $X_{\text{top}}$  and  $\mathcal{T}_{C(f)} C(Y)$  on  $C(X)_{\text{top}} = \coprod_{k \geq 0} C_k(X)_{\text{top}}$ , extending from  $\mathbf{Man}^c$  to  $\check{\mathbf{Man}}^c$  in the obvious way.

We will define a morphism of sheaves on  $C(X)_{\text{top}}$ :

$$I_f^\diamond : \Pi_{\text{top}}^{-1}(\mathcal{T}_f Y) \longrightarrow \mathcal{T}_{C(f)} C(Y), \quad (\text{B.33})$$

which is a module morphism under  $\Pi^\sharp : \Pi_{\text{top}}^{-1}(\mathcal{O}_X) \rightarrow \mathcal{O}_{C(X)}$  from §B.1.3, where  $\Pi_{\text{top}}^{-1}(\mathcal{T}_f Y), \mathcal{T}_{C(f)} C(Y)$  are modules over  $\Pi_{\text{top}}^{-1}(\mathcal{O}_X), \mathcal{O}_{C(X)}$  respectively, as in §B.4.5. This does not follow from our previous constructions for  $C(f), \Pi$ , it is a new feature for manifolds with corners  $\mathbf{Man}^c$ .

First we define an  $\mathbb{R}$ -linear map

$$\Gamma(I_{f,\diamond}) : \Gamma(\mathcal{T}_f Y) \longrightarrow \Gamma(\mathcal{T}_{C(f)} C(Y)). \quad (\text{B.34})$$

Recall from §B.4.1 that  $\Gamma(\mathcal{T}_f Y)$  is the set of  $\approx$ -equivalence classes  $[U, u]$  of diagrams (B.5) in  $\mathbf{Man}^c$ , where  $\approx$  is defined using  $j : V \hookrightarrow X \times \mathbb{R}^2, v : V \rightarrow Y$  in  $\mathbf{Man}^c$  satisfying (B.6). We have canonical isomorphisms

$$C(X \times \mathbb{R}) \cong C(X) \times C(\mathbb{R}) = C(X) \times C_0(\mathbb{R}) \cong C(X) \times \mathbb{R}, \quad (\text{B.35})$$

where the first step comes from Assumption 3.22(h), the second from Assumption 3.22(e), and the third from  $\Pi_0 : C_0(\mathbb{R}) \rightarrow \mathbb{R}$  an isomorphism in Assumption 3.22(d). Applying the corner functor  $C : \mathbf{Man}^c \rightarrow \check{\mathbf{Man}}^c$  to (B.5) and making the identification (B.35) gives a commutative diagram in  $\check{\mathbf{Man}}^c$

$$\begin{array}{ccccc} & & C(X) & & \\ & \swarrow^{(\text{id}_{C(X)}, 0)} & \downarrow^{C(i)} & \searrow^{C(f)} & \\ C(X) \times \mathbb{R} & \xleftarrow{C(i)} & C(U) & \xrightarrow{C(u)} & C(Y), \end{array}$$

which is a diagram (B.5) for  $C(f)$ . Hence  $[C(U), C(u)] \in \Gamma(\mathcal{T}_{C(f)} C(Y))$ . Similarly, applying  $C$  to  $j : V \hookrightarrow X \times \mathbb{R}^2, v : V \rightarrow Y$  satisfying (B.6) shows that if  $(U, u) \approx (U', u')$  then  $(C(U), C(u)) \approx (C(U'), C(u'))$ , so the  $\approx$ -equivalence class  $[C(U), C(u)]$  depends only on  $[U, u]$ . Define  $\Gamma(I_{f,\diamond})$  in (B.34) by

$$\Gamma(I_{f,\diamond}) : [U, u] \longmapsto [C(U), C(u)].$$

Now  $\Gamma(\mathcal{T}_f Y)$  is a module over  $C^\infty(X)$  as in §B.4.2, and  $\Gamma(\mathcal{T}_{C(f)} C(Y))$  a module over  $C^\infty(C(X))$ , and §B.1.1 defines a morphism  $\Pi^* : C^\infty(X) \rightarrow C^\infty(C(X))$ . If  $a \in C^\infty(X)$ , so that  $a : X \rightarrow \mathbb{R}$  is a morphism in  $\mathbf{Man}^c$ , then Assumption 3.22(g) implies that

$$\Pi^*(a) = a \circ \Pi = \Pi_0 \circ C(a) : C(X) \longrightarrow \mathbb{R} \quad \text{in } \check{\mathbf{Man}}^c,$$

where  $\Pi_0 : C_0(\mathbb{R}) \xrightarrow{\cong} \mathbb{R}$  is used in the identification (B.35). Using this we can easily show that (B.34) is a module morphism under  $\Pi^* : C^\infty(X) \rightarrow C^\infty(C(X))$ .

Suppose  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are morphisms in  $\mathbf{Man}^c$ . Then §B.4.4 defines morphisms  $\Gamma(\mathcal{T}g) : \Gamma(\mathcal{T}_f Y) \rightarrow \Gamma(\mathcal{T}_{g \circ f} Z)$  and  $f^* : \Gamma(\mathcal{T}_g Z) \rightarrow \Gamma(\mathcal{T}_{g \circ f} Z)$ , and similarly for  $\Gamma(\mathcal{T}\Pi), \Gamma(\mathcal{T}C(g))$  and  $\Pi^*, C(f)^*$ . By applying the corner functor  $C$  to the definitions we see that the following diagrams commute:

$$\begin{array}{ccc} \Gamma(\mathcal{T}_f Y) & \xrightarrow{\Gamma(I_{f,\diamond})} & \Gamma(\mathcal{T}_{C(f)} C(Y)) \\ \downarrow \Pi^* & & \Gamma(\mathcal{T}\Pi) \downarrow \\ \Gamma(\mathcal{T}_{f \circ \Pi} Y) & \xlongequal{\quad\quad\quad} & \Gamma(\mathcal{T}_{\Pi \circ C(f)} Y), \end{array} \quad (\text{B.36})$$

$$\begin{array}{ccc} \Gamma(\mathcal{T}_f Y) & \xrightarrow{\Gamma(I_{f,\diamond})} & \Gamma(\mathcal{T}_{C(f)} C(Y)) \\ \downarrow \Gamma(\mathcal{T}g) & & \Gamma(\mathcal{T}C(g)) \downarrow \\ \Gamma(\mathcal{T}_{g \circ f} Z) & \xrightarrow{\Gamma(I_{g \circ f, \diamond})} & \Gamma(\mathcal{T}_{C(g \circ f)} C(Z)) = \Gamma(\mathcal{T}_{C(g) \circ C(f)} C(Z)), \end{array} \quad (\text{B.37})$$

$$\begin{array}{ccc} \Gamma(\mathcal{T}_g Z) & \xrightarrow{\Gamma(I_{g,\diamond})} & \Gamma(\mathcal{T}_{C(g)} C(Z)) \\ \downarrow f^* & & C(f)^* \downarrow \\ \Gamma(\mathcal{T}_{g \circ f} Z) & \xrightarrow{\Gamma(I_{g \circ f, \diamond})} & \Gamma(\mathcal{T}_{C(g \circ f)} C(Z)) = \Gamma(\mathcal{T}_{C(g) \circ C(f)} C(Z)). \end{array} \quad (\text{B.38})$$

Let  $i : X' \hookrightarrow X$  be an open submanifold in  $\mathbf{Man}^c$ , so that  $C(i) : C(X') \hookrightarrow C(X)$  is an open submanifold in  $\mathbf{Man}^c$  by Assumption 3.22(j). Define

$$\begin{aligned} I_{f,\diamond}(X'_{\text{top}}) &= \Gamma(I_{f \circ i, \diamond}) : (\mathcal{T}_f Y)(X'_{\text{top}}) = \Gamma(\mathcal{T}_{f \circ i} Y) \\ &\rightarrow (\Pi_{\text{top}})_*(\mathcal{T}_{C(f)} C(Y))(X'_{\text{top}}) = \mathcal{T}_{C(f)} C(Y)(\Pi_{\text{top}}^{-1}(X'_{\text{top}})) \\ &= \mathcal{T}_{C(f)} C(Y)(C(X')_{\text{top}}) = \Gamma(\mathcal{T}_{C(f) \circ C(i)} C(Y)) = \Gamma(\mathcal{T}_{C(f \circ i)} C(Y)). \end{aligned}$$

We claim that these  $I_{f,\diamond}(X'_{\text{top}}) : (\mathcal{T}_f Y)(X'_{\text{top}}) \rightarrow (\Pi_{\text{top}})_*(\mathcal{T}_{C(f)} C(Y))(X'_{\text{top}})$  for all open  $X'_{\text{top}} \subseteq X_{\text{top}}$  define a sheaf morphism

$$I_{f,\diamond} : \mathcal{T}_f Y \longrightarrow (\Pi_{\text{top}})_*(\mathcal{T}_{C(f)} C(Y)) \quad (\text{B.39})$$

on  $X_{\text{top}}$ , as in §A.5. To prove this let  $X''_{\text{top}} \subseteq X'_{\text{top}} \subseteq X_{\text{top}}$  be open, corresponding to open submanifolds  $i : X' \hookrightarrow X$ ,  $j : X'' \hookrightarrow X'$ , and use (B.38) with  $j, f \circ i$  in place of  $f, g$  to show that  $I_{f,\diamond}(X''_{\text{top}}) \circ \rho_{X'_{\text{top}}, X''_{\text{top}}} = \rho_{X'_{\text{top}}, X''_{\text{top}}} \circ I_{f,\diamond}(X'_{\text{top}})$ . Here  $\mathcal{T}_f Y, (\Pi_{\text{top}})_*(\mathcal{T}_{C(f)} C(Y))$  are modules over  $\mathcal{O}_X, (\Pi_{\text{top}})_*(\mathcal{O}_{C(X)})$ . As (B.34) is a module morphism under  $\Pi^* : C^\infty(X) \rightarrow C^\infty(C(X))$ , we see that  $I_{f,\diamond}$  in (B.39) is a module morphism under  $\Pi^\sharp : \mathcal{O}_X \rightarrow (\Pi_{\text{top}})_*(\mathcal{O}_{C(X)})$  from §B.1.3.

Write  $I_f^\diamond$  in (B.33) for the sheaf morphism on  $C(X)_{\text{top}}$  adjoint to  $I_{f,\diamond}$  under (A.18). Since  $I_{f,\diamond}$  is a module morphism under  $\Pi^\sharp : \mathcal{O}_X \rightarrow (\Pi_{\text{top}})_*(\mathcal{O}_{C(X)})$ , and  $\Pi^\sharp : \Pi_{\text{top}}^{-1}(\mathcal{O}_X) \rightarrow \mathcal{O}_{C(X)}$  is adjoint to  $\Pi^\sharp$  under (A.18) as in §B.1.3, we see that  $I_f^\diamond$  is a module morphism under  $\Pi^\sharp$ .

If  $f$  is simple, so that  $C(f) : C(X) \rightarrow C(Y)$  maps  $C_k(X) \rightarrow C_k(Y)$  for  $k \geq 0$  by Assumption 3.22(i), then  $I_f^\diamond$  restricts to  $I_f^\diamond : \Pi_{k,\text{top}}^{-1}(\mathcal{T}_f Y) \rightarrow \mathcal{T}_{C_k(f)} C_k(Y)$  for each  $k$ . When  $f = \text{id}_X$ , which is simple, with  $\mathcal{T}X = \mathcal{T}_{\text{id}_X} X$ , we write  $I_{\text{id}_X}^\diamond$  as  $I_X^\diamond : \Pi_{k,\text{top}}^{-1}(\mathcal{T}X) \rightarrow \mathcal{T}C_k(X)$ . This is an analogue of  $I_X^\diamond : \Pi_k^*({}^b T X) \rightarrow {}^b T(C_k(X))$  in (2.13) for ordinary manifolds with corners  $\mathbf{Man}^c$ .

Suppose  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are morphisms in  $\mathbf{Man}^c$ . Then by using (B.36)–(B.38) for all open subsets  $X'_{\text{top}} \subseteq X_{\text{top}}$ ,  $Y'_{\text{top}} \subseteq Y_{\text{top}}$ , we can show that the following diagrams of sheaves on  $X_{\text{top}}$  and  $Y_{\text{top}}$  commute:

$$\begin{array}{ccc}
\mathcal{T}_f Y & \xrightarrow{I_{f,\diamond}} & (\Pi_{\text{top}})_*(\mathcal{T}_{C(f)} C(Y)) \\
\downarrow \Pi_b & & (\Pi_{\text{top}})_*(\mathcal{T}\Pi) \downarrow \\
(\Pi_{\text{top}})_*(\mathcal{T}_{f \circ \Pi} Y) & \xlongequal{\quad\quad\quad} & (\Pi_{\text{top}})_*(\mathcal{T}_{\Pi \circ C(f)} Y), \\
\mathcal{T}_f Y & \xrightarrow{I_{f,\diamond}} & (\Pi_{\text{top}})_*(\mathcal{T}_{C(f)} C(Y)) \\
\downarrow \mathcal{T}g & & \mathcal{T}C(g) \downarrow \\
\mathcal{T}_{g \circ f} Z & \xrightarrow{I_{g \circ f, \diamond}} & (\Pi_{\text{top}})_*(\mathcal{T}_{C(g \circ f)} C(Z)) = (\Pi_{\text{top}})_*(\mathcal{T}_{C(g) \circ C(f)} C(Z)), \\
\mathcal{T}_g Z & \xrightarrow{I_{g,\diamond}} & (\Pi_{\text{top}})_*(\mathcal{T}_{C(g)} C(Z)) \\
\downarrow f_b & & (\Pi_{\text{top}})_*(C(f)_b) \downarrow \\
(f_{\text{top}})_*(\mathcal{T}_{g \circ f} Z) & \xrightarrow{(f_{\text{top}})_*(I_{g \circ f, \diamond})} & (f_{\text{top}})_* \circ (\Pi_{\text{top}})_*(\mathcal{T}_{C(g \circ f)} C(Z)) = \\
& & (\Pi_{\text{top}})_* \circ (f_{\text{top}})_*(\mathcal{T}_{C(g) \circ C(f)} C(Z)),
\end{array}$$

where  $f_b, \mathcal{T}g$  are as in §B.4.6. Then using the adjoint property of  $I_{f,\diamond}, f_b$  and  $I_f^\diamond, f^b$  we deduce that the following diagrams of sheaves on  $C(X)_{\text{top}}$  commute:

$$\begin{array}{ccc}
\Pi_{\text{top}}^{-1}(\mathcal{T}_f Y) & \xrightarrow{I_f^\diamond} & \mathcal{T}_{C(f)} C(Y) \\
\downarrow \Pi^b & & \mathcal{T}\Pi \downarrow \\
\mathcal{T}_{f \circ \Pi} Y & \xlongequal{\quad\quad\quad} & \mathcal{T}_{\Pi \circ C(f)} Y
\end{array} \quad (\text{B.40})$$

$$\begin{array}{ccc}
\Pi_{\text{top}}^{-1}(\mathcal{T}_f Y) & \xrightarrow{I_f^\diamond} & \mathcal{T}_{C(f)} C(Y) \\
\downarrow \Pi_{\text{top}}^{-1}(\mathcal{T}g) & & \mathcal{T}C(g) \downarrow \\
\Pi_{\text{top}}^{-1}(\mathcal{T}_{g \circ f} Z) & \xrightarrow{I_{g \circ f}^\diamond} & \mathcal{T}_{C(g \circ f)} C(Z) = \mathcal{T}_{C(g) \circ C(f)} C(Z),
\end{array} \quad (\text{B.41})$$

$$\begin{array}{ccc}
\Pi_{\text{top}}^{-1} \circ f_{\text{top}}^{-1}(\mathcal{T}_g Z) = \\
C(f)_{\text{top}}^{-1} \circ \Pi_{\text{top}}^{-1}(\mathcal{T}_g Z) & \xrightarrow{C(f)_{\text{top}}^{-1}(I_g^\diamond)} & C(f)_{\text{top}}^{-1}(\mathcal{T}_{C(g)} C(Z)) \\
\downarrow \Pi_{\text{top}}^{-1}(f^b) & & C(f)^b \downarrow \\
\Pi_{\text{top}}^{-1}(\mathcal{T}_{g \circ f} Z) & \xrightarrow{I_{g \circ f}^\diamond} & \mathcal{T}_{C(g \circ f)} C(Z) = \mathcal{T}_{C(g) \circ C(f)} C(Z).
\end{array} \quad (\text{B.42})$$

We use these  $I_f^\diamond$  to pull back morphisms  $E \rightarrow \mathcal{T}_f Y$  by  $\Pi : C(X) \rightarrow X$ .

**Definition B.45.** Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}^c$ , and  $E \rightarrow X$  be a vector bundle on  $X$ , and  $\theta : E \rightarrow \mathcal{T}_f Y$  be a morphism on  $X$  in the sense of §B.4.8, so that  $\theta : \mathcal{E} \rightarrow \mathcal{T}_f Y$  is an  $\mathcal{O}_X$ -module morphism, where  $\mathcal{E}$  is the  $\mathcal{O}_X$ -module of sections of  $E$  as in §B.2.2.

Then we have a morphism  $C(f) : C(X) \rightarrow C(Y)$  in  $\check{\mathbf{Man}}^c$ , and pulling back by  $\Pi : C(X) \rightarrow X$  gives a vector bundle  $\Pi^*(E) \rightarrow C(X)$ . Define a morphism  $\Pi^\circ(\theta) : \Pi^*(E) \rightarrow \mathcal{T}_{C(f)}C(Y)$  on  $C(X)$  by the commutative diagram

$$\begin{array}{ccc}
\Pi^*(\mathcal{E}) & \xlongequal{\hspace{10em}} & \Pi_{\text{top}}^{-1}(\mathcal{E}) \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)} \\
\downarrow \Pi^\circ(\theta) & & \downarrow \Pi_{\text{top}}^{-1}(\theta) \otimes \text{id}_{\mathcal{O}_{C(X)}} \\
\mathcal{T}_{C(f)}C(Y) & & \mathcal{O}_{C(X)} \\
\parallel & \xleftarrow{I_f^\circ \otimes \text{id}_{\mathcal{O}_{C(X)}}} & \Pi_{\text{top}}^{-1}(\mathcal{T}_f Y) \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)}
\end{array} \quad (\text{B.43})$$

where  $\Pi^*(\mathcal{E})$  is the  $\mathcal{O}_{C(X)}$ -module of sections of  $\Pi^*(E) \rightarrow C(X)$ , and the bottom morphism in (B.43) is formed using the morphism  $\Pi^\sharp : \Pi^{-1}(\mathcal{O}_X) \rightarrow \mathcal{O}_{C(X)}$  from §B.1.3, and is well defined as  $I_f^\circ$  is a module morphism over  $\Pi^\sharp$ .

In Definition B.32, given a diagram (B.22) involving  $v : V \rightarrow Y$  for open  $V \hookrightarrow E$  with  $0_{E, \text{top}}(X_{\text{top}}) \subseteq V_{\text{top}} \subseteq E_{\text{top}}$ , we defined a morphism  $\theta_{V,v} : E \rightarrow \mathcal{T}_f Y$ , and Proposition B.33 showed that every morphism  $\theta : E \rightarrow \mathcal{T}_f Y$  is of the form  $\theta = \theta_{V,v}$  for some diagram (B.22). We can use this to interpret  $\Pi^\circ(\theta)$ : applying  $C : \check{\mathbf{Man}}^c \rightarrow \mathbf{Man}^c$  to (B.22) gives a diagram (B.22) for  $C(f) : C(X) \rightarrow C(Y)$  and  $\Pi^*(E) \rightarrow C(X)$  in place of  $f, E$ . Hence  $\theta_{C(V), C(v)}$  is a morphism  $\Pi^*(E) \rightarrow \mathcal{T}_{C(f)}C(Y)$ , and it is easy to see that

$$\Pi^\circ(\theta_{V,v}) = \theta_{C(V), C(v)}. \quad (\text{B.44})$$

We think of  $\Pi^\circ(\theta)$  as a kind of pullback of  $\theta$  by  $\Pi : C(X) \rightarrow X$ .

We write the restriction  $\Pi^\circ(\theta)|_{C_k(X)}$  for  $k = 0, 1, \dots$  as  $\Pi_k^\circ(\theta)$ . Thus if  $f : X \rightarrow Y$  is simple, so that  $C(f)$  maps  $C_k(X) \rightarrow C_k(Y)$  by Assumption 3.22(i), we have morphisms  $\Pi_k^\circ(\theta) : \Pi_k^*(E) \rightarrow \mathcal{T}_{C_k(f)}C_k(Y)$  for  $k = 0, 1, \dots$

**Example B.46.** Take  $\check{\mathbf{Man}}^c = \mathbf{Man}^c$ , and let  $f : X \rightarrow Y$  be an interior map in  $\mathbf{Man}^c$ , and  $E \rightarrow X$  be a vector bundle. Then  $\mathcal{T}_f Y$  is the sheaf of sections of  $f^*({}^bTY) \rightarrow X$ , as in Example B.26(b),(c), so morphisms  $\theta : E \rightarrow \mathcal{T}_f Y$  correspond to vector bundle morphisms  $\hat{\theta} : E \rightarrow f^*({}^bTY)$  on  $X$ . Then  $\Pi^\circ(\theta)$  corresponds to the composition of vector bundle morphisms on  $C(X)$ :

$$\Pi^*(E) \xrightarrow{\Pi^*(\hat{\theta})} \Pi^* \circ f^*({}^bTY) = C(f)^* \circ \Pi^*({}^bTY) \xrightarrow{C(f)^*(I_Y^\circ)} C(f)^*({}^bTC(Y)),$$

where  $I_Y^\circ : \Pi^*({}^bTY) \rightarrow {}^bTC(Y)$  is as in (2.13).

Here are some properties of the morphisms  $\Pi^\circ(\theta)$ :

**Theorem B.47. (a)** *Let  $f : X \rightarrow Y$  be a morphism in  $\check{\mathbf{Man}}^c$ , and  $E \rightarrow X$  be a vector bundle, and  $\theta : E \rightarrow \mathcal{T}_f Y$  be a morphism, in the sense of §B.4.8. Then*

the following diagram of sheaves on  $C(X)_{\text{top}}$  commutes:

$$\begin{array}{ccc} \Pi^*(E) & \xrightarrow{\Pi^\circ(\theta)} & \mathcal{T}_{C(f)}C(Y) \\ \downarrow \Pi^*(\theta) & & \mathcal{T}\Pi \downarrow \\ \mathcal{T}_{f \circ \Pi}Y & \xlongequal{\quad\quad\quad} & \mathcal{T}_{\Pi \circ C(f)}Y, \end{array}$$

where  $\mathcal{T}\Pi$  and  $\Pi^*(\theta)$  are defined in §B.4.6 and §B.4.9.

(b) Let  $f : X \rightarrow Y$  be a morphism in  $\mathbf{Man}^c$ ,  $D, E \rightarrow X$  be vector bundles,  $\lambda : D \rightarrow E$  a vector bundle morphism, and  $\theta : E \rightarrow \mathcal{T}_f Y$  a morphism. Then

$$\Pi^\circ(\theta \circ \lambda) = \Pi^\circ(\theta) \circ \Pi^*(\lambda) : \Pi^*(D) \longrightarrow \mathcal{T}_{C(f)}C(Y).$$

(c) Let  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}^c$ , and  $E \rightarrow X$  be a vector bundle, and  $\theta : E \rightarrow \mathcal{T}_f Y$  be a morphism. Then the following diagram of sheaves on  $C(X)_{\text{top}}$  commutes:

$$\begin{array}{ccc} \Pi^*(E) & \xrightarrow{\Pi^\circ(\theta)} & \mathcal{T}_{C(f)}C(Y) \\ \downarrow \Pi^\circ(\mathcal{T}_{g \circ \theta}) & & \mathcal{T}_{C(g)} \downarrow \\ \mathcal{T}_{C(g \circ f)}C(Z) & \xlongequal{\quad\quad\quad} & \mathcal{T}_{C(g) \circ C(f)}C(Z). \end{array}$$

(d) Let  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}^c$ , and  $F \rightarrow Y$  be a vector bundle, and  $\phi : F \rightarrow \mathcal{T}_g Z$  be a morphism. Then

$$\begin{aligned} C(f)^*(\Pi^\circ(\phi)) &= \Pi^\circ(f^*(\phi)) : C(f)^* \circ \Pi^*(F) = \Pi^* \circ f^*(F) \\ &\longrightarrow \mathcal{T}_{C(g) \circ C(f)}C(Z) = \mathcal{T}_{C(g \circ f)}C(Z). \end{aligned}$$

*Proof.* Part (a) can be proved by combining equations (B.26), (B.40) and (B.43). Part (b) follows from the commutative diagram

$$\begin{array}{ccc} \Pi^*(\mathcal{D}) & \xlongequal{\quad\quad\quad} & \Pi_{\text{top}}^{-1}(\mathcal{D}) \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)} \\ \left( \begin{array}{c} \downarrow \Pi^*(\lambda) \\ \Pi^*(\mathcal{E}) \\ \downarrow \Pi^\circ(\theta) \\ \mathcal{T}_{C(f)}C(Y) \\ \parallel \\ \mathcal{T}_{C(f)}C(Y) \otimes_{\mathcal{O}_{C(X)}} \mathcal{O}_{C(X)} \end{array} \right) & \xlongequal{\quad\quad\quad} & \left( \begin{array}{c} \Pi_{\text{top}}^{-1}(\mathcal{E}) \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)} \\ \downarrow \Pi_{\text{top}}^{-1}(\lambda) \otimes \text{id}_{\mathcal{O}_{C(X)}} \\ \Pi_{\text{top}}^{-1}(\mathcal{E}) \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)} \\ \downarrow \Pi_{\text{top}}^{-1}(\theta) \otimes \text{id}_{\mathcal{O}_{C(X)}} \\ \Pi_{\text{top}}^{-1}(\mathcal{T}_f Y) \\ \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)}, \end{array} \right) \\ & & \left. \begin{array}{c} \downarrow \Pi_{\text{top}}^{-1}(\theta \circ \lambda) \\ \otimes \text{id}_{\mathcal{O}_{C(X)}} \end{array} \right) \end{array}$$

which combines equation (B.43) for  $\theta$  and for  $\theta \circ \lambda$ .

Part (c) follows from the commutative diagram

$$\begin{array}{ccc}
\Pi^*(\mathcal{E}) & \xlongequal{\quad} & \Pi_{\text{top}}^{-1}(\mathcal{E}) \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)} \\
\left. \begin{array}{c} \downarrow \Pi^\circ(\theta) \\ \Pi^\circ(\mathcal{T}_{g \circ \theta}) \end{array} \right\} & & \left. \begin{array}{c} \downarrow \Pi_{\text{top}}^{-1}(\theta) \otimes \text{id}_{\mathcal{O}_{C(X)}} \\ \Pi_{\text{top}}^{-1}(\mathcal{T}_{g \circ \theta}) \end{array} \right\} \\
\mathcal{T}_{C(f)} C(Y) \otimes_{\mathcal{O}_{C(X)}} \mathcal{O}_{C(X)} & \xleftarrow{I_f^\circ \otimes \text{id}_{\mathcal{O}_{C(X)}}} & \Pi_{\text{top}}^{-1}(\mathcal{T}_f Y) \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)} \\
\downarrow \mathcal{T}C(g) & & \downarrow \Pi_{\text{top}}^{-1}(\mathcal{T}g) \otimes \text{id}_{\mathcal{O}_{C(X)}} \\
\mathcal{T}_{C(g \circ f)} C(Z) \otimes_{\mathcal{O}_{C(X)}} \mathcal{O}_{C(X)} & \xleftarrow{I_{g \circ f}^\circ \otimes \text{id}_{\mathcal{O}_{C(X)}}} & \Pi_{\text{top}}^{-1}(\mathcal{T}_{g \circ f} Z) \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)},
\end{array}$$

which combines (B.43) for  $\theta$  and  $\mathcal{T}g \circ \theta$ , and (B.41) in the bottom square.

Part (d) follows from the commutative diagram

$$\begin{array}{ccc}
\Pi^* \circ f^*(\mathcal{F}) & \xlongequal{\quad} & \Pi_{\text{top}}^{-1} \circ f_{\text{top}}^{-1}(\mathcal{F}) \otimes_{\Pi_{\text{top}}^{-1} \circ f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_{C(X)} \\
\left. \begin{array}{c} \downarrow \Pi^\circ(\phi) \\ C(f)^*(\Pi^\circ(\phi)) \\ = \Pi^\circ(f^*(\phi)) \end{array} \right\} & & \left. \begin{array}{c} \downarrow \Pi_{\text{top}}^{-1} \circ f_{\text{top}}^{-1}(\phi) \otimes \text{id}_{\mathcal{O}_{C(X)}} \\ \Pi_{\text{top}}^{-1}(f^*(\phi)) \end{array} \right\} \\
C(f)_{\text{top}}^{-1}(\mathcal{T}_{C(g)} C(Z)) \otimes_{C(f)_{\text{top}}^{-1}(\mathcal{O}_{C(Y)})} \mathcal{O}_{C(X)} & \xleftarrow{C(f)_{\text{top}}^{-1}(I_g^\circ) \otimes \text{id}_{\mathcal{O}_{C(X)}}} & \Pi_{\text{top}}^{-1} \circ f_{\text{top}}^{-1}(\mathcal{T}_g Z) \otimes_{\Pi_{\text{top}}^{-1} \circ f_{\text{top}}^{-1}(\mathcal{O}_Y)} \mathcal{O}_{C(X)} \\
\downarrow C(f)^\flat \otimes \text{id}_{\mathcal{O}_{C(X)}} & & \downarrow \Pi_{\text{top}}^{-1}(f^\flat) \otimes \text{id}_{\mathcal{O}_{C(X)}} \\
\mathcal{T}_{C(g \circ f)} C(Z) \otimes_{\mathcal{O}_{C(X)}} \mathcal{O}_{C(X)} & \xleftarrow{I_{g \circ f}^\circ \otimes \text{id}_{\mathcal{O}_{C(X)}}} & \Pi_{\text{top}}^{-1}(\mathcal{T}_{g \circ f} Z) \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)},
\end{array}$$

which combines (B.43) for  $\phi$  and  $f^*(\phi)$ , and (B.26) for  $f^*(\phi)$  and  $C(f)^*(\Pi^\circ(\phi))$  in the right and left triangles, and (B.42) in the bottom square.  $\square$

We show that all the  $O(s)$  and  $O(s^2)$  notation of Definition B.36(i)–(vii) on  $X$  pulls back under  $\Pi : C(X) \rightarrow X$  to the corresponding  $O(\Pi(s))$  and  $O(\Pi(s)^2)$  notation on  $C(X)$ , using  $\Pi^\circ$  to pull back morphisms  $\Lambda : E \rightarrow \mathcal{T}_f Y$ .

**Theorem B.48.** *Let  $X$  be an object in  $\dot{\mathbf{M}}\text{an}^c$ , and  $E \rightarrow X$  be a vector bundle, and  $s \in \Gamma^\infty(E)$  be a section. Then:*

- (i) *Suppose  $F \rightarrow X$  is a vector bundle and  $t_1, t_2 \in \Gamma^\infty(F)$  with  $t_2 = t_1 + O(s)$  (or  $t_2 = t_1 + O(s^2)$ ) on  $X$  as in Definition B.36(i). Then  $\Pi^*(t_2) = \Pi^*(t_1) + O(\Pi^*(s))$  (or  $\Pi^*(t_2) = \Pi^*(t_1) + O(\Pi^*(s)^2)$ ) on  $C(X)$ .*
- (ii) *Suppose  $F \rightarrow X$  is a vector bundle,  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{M}}\text{an}^c$ , and  $\Lambda_1, \Lambda_2 : F \rightarrow \mathcal{T}_f Y$  are morphisms with  $\Lambda_2 = \Lambda_1 + O(s)$  on  $X$  as in Definition B.36(ii). Then Definition B.45 gives morphisms  $\Pi^\circ(\Lambda_1), \Pi^\circ(\Lambda_2) : \Pi^*(F) \rightarrow \mathcal{T}_{C(f)} C(Y)$  on  $C(X)$ , which satisfy  $\Pi^\circ(\Lambda_2) = \Pi^\circ(\Lambda_1) + O(\Pi^*(s))$  on  $C(X)$ .*

- (iii) Suppose  $f, g : X \rightarrow Y$  are morphisms in  $\mathbf{Man}^c$  with  $g = f + O(s)$  on  $X$  as in Definition B.36(iii). Then  $C(g) = C(f) + O(\Pi^*(s))$  on  $C(X)$ .
- (iv) Suppose  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  are in (iii), and  $F \rightarrow X, G \rightarrow Y$  are vector bundles, and  $\theta_1 : F \rightarrow f^*(G), \theta_2 : F \rightarrow g^*(G)$  are morphisms with  $\theta_2 = \theta_1 + O(s)$  on  $X$  as in Definition B.36(iv). Then  $\Pi^*(\theta_2) = \Pi^*(\theta_1) + O(\Pi^*(s))$  on  $C(X)$ .
- (v) Suppose  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  are in (iii), and  $F \rightarrow X$  is a vector bundle, and  $\Lambda_1 : F \rightarrow \mathcal{T}_f Y, \Lambda_2 : F \rightarrow \mathcal{T}_g Y$  are morphisms with  $\Lambda_2 = \Lambda_1 + O(s)$  on  $X$  as in Definition B.36(v). Then  $C(g) = C(f) + O(\Pi^*(s))$  on  $C(X)$  by (iii), and Definition B.45 gives morphisms  $\Pi^\circ(\Lambda_1) : \Pi^*(F) \rightarrow \mathcal{T}_{C(f)} C(Y), \Pi^\circ(\Lambda_2) : \Pi^*(F) \rightarrow \mathcal{T}_{C(g)} C(Y)$ , which satisfy  $\Pi^\circ(\Lambda_2) = \Pi^\circ(\Lambda_1) + O(\Pi^*(s))$  on  $C(X)$ .
- (vi) Suppose  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man}^c$ , and  $F \rightarrow X, G \rightarrow Y$  are vector bundles, and  $t \in \Gamma^\infty(G)$  with  $f^*(t) = O(s)$ , and  $\Lambda : F \rightarrow \mathcal{T}_f Y$  is a morphism, and  $\theta : F \rightarrow f^*(G)$  is a vector bundle morphism with  $\theta = f^*(dt) \circ \Lambda + O(s)$  on  $X$  as in Definition B.36(vi). Then  $\Pi^*(\theta) = C(f)^*(d\Pi^*(t)) \circ \Pi^\circ(\Lambda) + O(\Pi^*(s))$  on  $C(X)$ .
- (vii) Suppose  $f, g : X \rightarrow Y$  with  $g = f + O(s)$  are in (iii), and  $\Lambda : E \rightarrow \mathcal{T}_f Y$  is a morphism with  $g = f + \Lambda \circ s + O(s^2)$  on  $X$  as in Definition B.36(vii). Then  $C(g) = C(f) + \Pi^\circ(\Lambda) \circ \Pi^*(s) + O(\Pi^*(s)^2)$  on  $C(X)$ .

*Proof.* Part (i) is immediate on applying  $\Pi^*$  to Definition B.36(i).

For (ii), Definition B.36(ii) gives a diagram (B.28) with  $s_{\text{top}}^{-1}(0) \in U_{\text{top}}$  and  $M : \pi^*(F)|_V \rightarrow \mathcal{T}_{f \circ \pi} Y$  with  $k_1^*(M) = \Lambda_1|_U$  and  $k_2^*(M) = \Lambda_2|_U$ . Applying the corner functor  $C$  to (B.28) gives a diagram (B.28) for  $\Pi^*(F)$  and  $C(f) : C(X) \rightarrow C(Y)$ , with  $\Pi^*(s)_{\text{top}}^{-1}(0) \subseteq C(U)_{\text{top}}$ . We have

$$\Pi^\circ(M) : C(\pi)^* \circ \Pi^*(F)|_{C(V)} \longrightarrow \mathcal{T}_{C(f) \circ C(\pi)} C(Y),$$

and  $k_1^*(M) = \Lambda_1|_U, k_2^*(M) = \Lambda_2|_U$  and Theorem B.47(d) imply that

$$C(k_1)^* \circ \Pi^\circ(M) = \Pi^\circ(\Lambda_1)|_{C(U)} \quad \text{and} \quad C(k_2)^* \circ \Pi^\circ(M) = \Pi^\circ(\Lambda_2)|_{C(U)}.$$

Thus Definition B.36(ii) implies that  $\Pi^\circ(\Lambda_2) = \Pi^\circ(\Lambda_1) + O(\Pi^*(s))$ .

Parts (iii),(iv) are immediate on applying the corner functor  $C$  to Definition B.36(iii),(iv). Part (v) follows by a very similar argument to (ii).

For (vi), choose a connection  $\nabla$  on  $G \rightarrow Y$ , so that  $\theta = f^*(\nabla t) \circ \Lambda + O(s)$  as



in Definition B.36(i),(vi). Consider the diagram of sheaves on  $C(X)$ :

$$\begin{array}{ccccc}
& & \mathcal{T}_{C(f)}C(Y) & & \\
& \nearrow^{\Pi^\circ(\Lambda)} & \downarrow \tau\Pi & \searrow^{C(f)^*(\nabla^\Pi\Pi^*(t))} & \\
\Pi^*(F) = & & \mathcal{T}_{\Pi \circ C(f)}Y = & & (f \circ \Pi)^*(G) = \\
\Pi_{\text{top}}^{-1}(F) & \xrightarrow{\Pi^*(\Lambda)} & \mathcal{T}_{f \circ \Pi}Y & \xrightarrow{(f \circ \Pi)^*(\nabla t)} & \Pi_{\text{top}}^{-1}(f^*(G)) \\
\otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)} & & \otimes_{\mathcal{O}_{C(X)}} \mathcal{O}_{C(X)} & & \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)} \\
& \searrow^{\Pi_{\text{top}}^{-1}(\Lambda) \otimes \text{id}_{\mathcal{O}_{C(X)}}} & \uparrow^{\Pi^\flat \otimes \text{id}_{\mathcal{O}_{C(X)}}} & \nearrow^{\Pi_{\text{top}}^{-1}(f^*(\nabla t)) \otimes \text{id}_{\mathcal{O}_{C(X)}}} & \\
& & \Pi_{\text{top}}^{-1}(\mathcal{T}_f Y) \otimes_{\Pi_{\text{top}}^{-1}(\mathcal{O}_X)} \mathcal{O}_{C(X)} & & 
\end{array} \tag{B.45}$$

Here the top left triangle commutes by Theorem B.47(a), and the bottom left by (B.26). We can show using the ideas of §B.3–§B.4 that there is a natural pullback connection  $\nabla^\Pi = \Pi^*(\nabla)$  on  $\Pi^*(G) \rightarrow C(Y)$  such that the top right triangle of (B.45) commutes, for any  $t \in \Gamma^\infty(G)$ .

We can prove from the definition of  $\mu^f$  in §B.4.7 that the following commutes, as  $\Pi : C(X) \rightarrow X$ ,  $f : X \rightarrow Y$  are morphisms in  $\check{\mathbf{Man}}^c$ :

$$\begin{array}{ccc}
\Pi_{\text{top}}^{-1}(\mathcal{T}_f Y) \times \Pi_{\text{top}}^{-1} \circ f_{\text{top}}^{-1}(\mathcal{T}^* Y) & \xrightarrow{\Pi_{\text{top}}^{-1}(\mu^f)} & \Pi_{\text{top}}^{-1}(\mathcal{O}_X) \\
\downarrow \Pi^\flat \times \text{id} & & \Pi^\sharp \downarrow \\
\mathcal{T}_{f \circ \Pi} Y \times (f \circ \Pi)_{\text{top}}^{-1}(\mathcal{T}^* Y) & \xrightarrow{\mu^{f \circ \Pi}} & \mathcal{O}_{C(X)}.
\end{array} \tag{B.46}$$

Then comparing (B.27) for  $(f \circ \Pi)^*(\nabla t)$  with the pullback of (B.27) for  $f^*(\nabla t)$  by  $\Pi_{\text{top}}^{-1}$ , and using (B.46), we find the bottom right triangle in (B.45) commutes.

Therefore (B.45) commutes, so that

$$\begin{aligned}
C(f)^*(\nabla^\Pi\Pi^*(t)) \circ \Pi^\circ(\Lambda) &= \Pi_{\text{top}}^{-1}(f^*(\nabla t)) \otimes \text{id}_{\mathcal{O}_{C(X)}} \circ \Pi_{\text{top}}^{-1}(\Lambda) \otimes \text{id}_{\mathcal{O}_{C(X)}} \\
&= \Pi^*(f^*(\nabla t) \circ \Lambda).
\end{aligned}$$

Since  $\theta = f^*(\nabla t) \circ \Lambda + O(s)$  we have  $\Pi^*(\theta) = \Pi^*(f^*(\nabla t) \circ \Lambda) + O(\Pi^*(s))$  by part (i), so  $\Pi^*(\theta) = C(f)^*(\nabla^\Pi\Pi^*(t)) \circ \Pi^\circ(\Lambda) + O(\Pi^*(s))$ , proving part (vi).

For (vii), Definition B.36(vii) gives a diagram (B.29) with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$  and  $\Lambda|_U = \theta_{V,v}$ . Applying the corner functor  $C$  to (B.29) gives a diagram (B.29) for  $C(f), C(g) : C(X) \rightarrow C(Y)$ , with  $\Pi^*(s)_{\text{top}}^{-1}(0) \subseteq C(U)_{\text{top}}$ , and (B.44) yields

$$\Pi^\circ(\Lambda)|_{C(U)} = \Pi^\circ(\Lambda|_U) = \Pi^\circ(\theta_{V,v}) = \theta_{C(V),C(v)}.$$

Thus Definition B.36(vii) gives  $C(g) = C(f) + \Pi^\circ(\Lambda) \circ \Pi^*(s) + O(\Pi^*(s)^2)$ .  $\square$

## B.8.2 Comparing different categories $\check{\mathbf{Man}}^c$

Condition B.40 in §B.7 gave a way to compare two categories  $\check{\mathbf{Man}}$ ,  $\check{\mathbf{Man}}$  satisfying Assumptions 3.1–3.7. Here is the corners analogue. Figure 3.2 on page I-53 gives a diagram of functors from Chapter 2 satisfying Condition B.49.

**Condition B.49.** Let  $\dot{\mathbf{Man}}^c, \ddot{\mathbf{Man}}^c$  satisfy Assumption 3.22, and  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c} : \dot{\mathbf{Man}}^c \rightarrow \ddot{\mathbf{Man}}^c$  be a functor in the commutative diagram, as in (B.30):

$$\begin{array}{ccccc}
 & & \dot{\mathbf{Man}}^c & \xrightarrow{F_{\dot{\mathbf{Man}}^c}^{\text{Top}}} & \mathbf{Top} \\
 \mathbf{Man} & \xrightarrow{\subset} & \downarrow F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c} & \searrow & \\
 & \xrightarrow{\subset} & \ddot{\mathbf{Man}}^c & \xrightarrow{F_{\ddot{\mathbf{Man}}^c}^{\text{Top}}} & \mathbf{Top}
 \end{array}$$

We also require:

- (i)  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}$  should take products, disjoint unions, open submanifolds, and simple maps in  $\dot{\mathbf{Man}}^c$  to products, disjoint unions, open submanifolds, and simple maps in  $\ddot{\mathbf{Man}}^c$ , and preserve dimensions.
- (ii) There are canonical isomorphisms  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}(C_k(X)) \cong C_k(F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}(X))$  for all  $X$  in  $\dot{\mathbf{Man}}^c$  and  $k \geq 0$ , so  $k = 1$  gives  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}(\partial X) \cong \partial(F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}(X))$ .

These isomorphisms commute with the projections  $\Pi : C_k(X) \rightarrow X$  and  $I_{k,l} : C_k(C_l(X)) \rightarrow C_{k+l}(X)$  in  $\dot{\mathbf{Man}}^c$  and  $\ddot{\mathbf{Man}}^c$ , and induce a natural isomorphism  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c} \circ C \cong C \circ F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}$  of functors  $\dot{\mathbf{Man}}^c \rightarrow \ddot{\mathbf{Man}}^c$ .

**Remark B.50.** Condition B.49 implies that  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c} : \dot{\mathbf{Man}}^c \rightarrow \ddot{\mathbf{Man}}^c$  satisfies Condition B.40. Thus §B.7 applies, so that all the material of §B.1–§B.5 in  $\dot{\mathbf{Man}}^c$  maps functorially to its analogue in  $\ddot{\mathbf{Man}}^c$ .

Because  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}$  is compatible with the corner functors for  $\dot{\mathbf{Man}}^c, \ddot{\mathbf{Man}}^c$  by Condition B.49(ii), these functorial maps from geometry in  $\dot{\mathbf{Man}}^c$  to geometry in  $\ddot{\mathbf{Man}}^c$  are also compatible with the material of §B.8.1. In more detail:

- (a) Use the notation of Definition B.41, so that accents ‘ $\dot{\cdot}$ ’ and ‘ $\ddot{\cdot}$ ’ denote objects associated to  $\dot{\mathbf{Man}}^c$  and  $\ddot{\mathbf{Man}}^c$ , respectively.

Suppose  $\dot{f} : \dot{X} \rightarrow \dot{Y}$  is a morphism in  $\dot{\mathbf{Man}}^c$ , so that  $C(\dot{f}) : C(\dot{X}) \rightarrow C(\dot{Y})$  and  $\dot{\Pi} : C(\dot{X}) \rightarrow \dot{X}$  are morphisms in  $\dot{\mathbf{Man}}^c$ . We have relative tangent sheaves  $\mathcal{T}_{\dot{f}}\dot{Y}$  on  $X_{\text{top}}$  and  $\mathcal{T}_{C(\dot{f})}C(\dot{Y})$  on  $C(X)_{\text{top}}$ , defined using differential geometry in  $\dot{\mathbf{Man}}^c$ , and Definition B.44 defines a morphism  $I_{\dot{f}}^\diamond : \Pi_{\text{top}}^{-1}(\mathcal{T}_{\dot{f}}\dot{Y}) \rightarrow \mathcal{T}_{C(\dot{f})}C(\dot{Y})$  of sheaves on  $C(X)_{\text{top}}$ .

Write  $\ddot{f} : \ddot{X} \rightarrow \ddot{Y}$  for the image of  $\dot{f} : \dot{X} \rightarrow \dot{Y}$  in  $\ddot{\mathbf{Man}}^c$ . Then we have sheaves  $\mathcal{T}_{\ddot{f}}\ddot{Y}$  on  $X_{\text{top}}$  and  $\mathcal{T}_{C(\ddot{f})}C(\ddot{Y})$  on  $C(X)_{\text{top}}$  and a morphism  $I_{\ddot{f}}^\diamond : \Pi_{\text{top}}^{-1}(\mathcal{T}_{\ddot{f}}\ddot{Y}) \rightarrow \mathcal{T}_{C(\ddot{f})}C(\ddot{Y})$ , defined using differential geometry in  $\ddot{\mathbf{Man}}^c$ .

Definition B.41(g) gives sheaf morphisms  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c} : \mathcal{T}_{\dot{f}}\dot{Y} \rightarrow \mathcal{T}_{\ddot{f}}\ddot{Y}$  and  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c} : \mathcal{T}_{C(\dot{f})}C(\dot{Y}) \rightarrow \mathcal{T}_{C(\ddot{f})}C(\ddot{Y})$ . Applying  $F_{\dot{\mathbf{Man}}^c}^{\ddot{\mathbf{Man}}^c}$  throughout Definition B.44

and using Condition B.49(ii), we see the following commutes:

$$\begin{array}{ccc} \Pi_{\text{top}}^{-1}(\mathcal{T}_{\dot{f}}\dot{Y}) & \xrightarrow{I_{\dot{f}}^{\circ}} & \mathcal{T}_{C(\dot{f})}C(\dot{Y}) \\ \downarrow \Pi_{\text{top}}^{-1}(F_{\dot{\mathbf{Man}}^c}^{\dot{\mathbf{Man}}^c}) & & F_{\dot{\mathbf{Man}}^c}^{\dot{\mathbf{Man}}^c} \downarrow \\ \Pi_{\text{top}}^{-1}(\mathcal{T}_{\dot{f}}\ddot{Y}) & \xrightarrow{I_{\dot{f}}^{\circ}} & \mathcal{T}_{C(\dot{f})}C(\ddot{Y}). \end{array}$$

- (b) In a similar way to (a), if  $\dot{f} : \dot{X} \rightarrow \dot{Y}$  is a morphism in  $\dot{\mathbf{Man}}^c$ , and  $\dot{E} \rightarrow \dot{X}$  is a vector bundle on  $\dot{X}$ , and  $\dot{\theta} : \dot{E} \rightarrow \mathcal{T}_{\dot{f}}\dot{Y}$  is a morphism, then the following diagram of sheaves on  $C(X)_{\text{top}}$  commutes:

$$\begin{array}{ccc} \dot{\Pi}^*(\dot{E}) & \xrightarrow{\dot{\Pi}^{\circ}(\dot{\theta})} & \mathcal{T}_{C(\dot{f})}C(\dot{Y}) \\ \downarrow F_{\dot{\mathbf{Man}}^c}^{\dot{\mathbf{Man}}^c} & & F_{\dot{\mathbf{Man}}^c}^{\dot{\mathbf{Man}}^c} \downarrow \\ \ddot{\Pi}^*(\ddot{E}) & \xrightarrow{\ddot{\Pi}^{\circ}(\ddot{\theta})} & \mathcal{T}_{C(\dot{f})}C(\ddot{Y}). \end{array}$$

## B.9 Proof of Theorem 3.17

We now prove Theorem 3.17(a)–(v). Though the theorem refers to the informal Definition 3.15, which summarizes Definition B.36, we use the precise notions from Definition B.36. Throughout this section, let  $X$  be an object in  $\dot{\mathbf{Man}}$ , and  $\pi : E \rightarrow X$  be a vector bundle, and  $s \in \Gamma^{\infty}(E)$  be a section.

### Proof of Theorem 3.17(a), parts (i),(vi)

Let  $F \rightarrow X$  be a vector bundle,  $t_1, t_2 \in \Gamma^{\infty}(F)$ , and  $\{X_a : a \in A\}$  be a family of open submanifolds in  $X$  with  $s_{\text{top}}^{-1}(0) \subseteq \bigcup_{a \in A} X_{a,\text{top}} \subseteq X_{\text{top}}$ , with  $t_2|_{X_a} = t_1|_{X_a} + O(s)$  on  $X_a$  for  $a \in A$ . We will show that  $t_2 = t_1 + O(s)$  on  $X$ .

Set  $X_{\infty} = X \setminus s^{-1}(0)$ , so that  $\{X_a : a \in A\} \amalg \{X_{\infty}\}$  is an open cover of  $X$ . Choose a subordinate partition of unity  $\{\eta_a : a \in A\} \amalg \{\eta_{\infty}\}$  on  $X$ , as in §B.1.4. As  $t_2|_{X_a} = t_1|_{X_a} + O(s)$  there exists  $\alpha_a : E|_{X_a} \rightarrow F|_{X_a}$  such that  $t_2|_{X_a} = t_1|_{X_a} + \alpha_a \circ s|_{X_a}$  in  $\Gamma^{\infty}(F|_{X_a})$  for  $a \in A$ , by Definition B.36(i). Since  $s \neq 0$  on  $X_{\infty} = X \setminus s^{-1}(0)$  there exists  $\epsilon \in \Gamma^{\infty}(E^*|_{X_{\infty}})$  with  $\epsilon \cdot (s|_{X_{\infty}}) = 1$ . Define  $\alpha : E \rightarrow F$  on  $X$  by  $\alpha = \sum_{a \in A} \eta_a \cdot \alpha_a + \eta_{\infty} \cdot (t_2 - t_1) \otimes \epsilon$ . It is easy to check that  $t_2 = t_1 + \alpha \circ s$ , so  $t_2 = t_1 + O(s)$  on  $X$ . Thus the ‘ $O(s)$ ’ condition in Definition B.36(i) is local on  $s_{\text{top}}^{-1}(0) \subseteq X_{\text{top}}$ , as we have to prove.

The same method shows the ‘ $O(s^2)$ ’ condition in Definition B.36(i) is local on  $s_{\text{top}}^{-1}(0)$ . Also Definition B.36(vi) is local on  $s_{\text{top}}^{-1}(0)$ , as it is defined using (i).

### Proof of Theorem 3.17(a), part (ii)

Let  $F \rightarrow X$  be a vector bundle,  $f : X \rightarrow Y$  be a morphism in  $\dot{\mathbf{Man}}$ , and  $\Lambda_1, \Lambda_2 : F \rightarrow \mathcal{T}_f Y$  be morphisms. Suppose  $\{X_a : a \in A\}$  is a family of open submanifolds

in  $X$  with  $s_{\text{top}}^{-1}(0) \subseteq \bigcup_{a \in A} X_{a,\text{top}} \subseteq X_{\text{top}}$ , with  $\Lambda_2|_{X_a} = \Lambda_1|_{X_a} + O(s)$  on  $X_a$  for  $a \in A$ . We will show that  $\Lambda_2 = \Lambda_1 + O(s)$  on  $X$ .

As  $\Lambda_2|_{X_a} = \Lambda_1|_{X_a} + O(s)$ , by Definition B.36(ii), for each  $a \in A$  there exists a commutative diagram (B.28) in  $\mathbf{Man}$ , with  $s_{\text{top}}^{-1}(0) \cap X_{a,\text{top}} \subseteq U_{a,\text{top}} \subseteq X_{a,\text{top}}$ :

$$\begin{array}{ccccc}
 U_a & \xrightarrow{\quad k_{1,a} \quad} & V_a & \xleftarrow{\quad k_{2,a} \quad} & U_a \\
 \downarrow & & \downarrow & & \downarrow \\
 X_a & \xrightarrow{\quad 0_E|_{X_a} \quad} & E & \xleftarrow{\quad s|_{X_a} \quad} & X_a \\
 & \searrow & \downarrow \pi & \swarrow & \\
 & & X & & 
 \end{array} \tag{B.47}$$

where morphisms ‘ $\hookrightarrow$ ’ are open submanifolds, and there is a morphism  $M_a : \pi^*(F)|_{V_a} \rightarrow \mathcal{T}_{f \circ \pi} Y|_{V_a}$  with  $k_{1,a}^*(M_a) = \Lambda_1|_{U_a}$  and  $k_{2,a}^*(M_a) = \Lambda_2|_{U_a}$ .

Let  $U \hookrightarrow X$  and  $V \hookrightarrow E$  be the open submanifolds with  $U_{\text{top}} = \bigcup_{a \in A} U_{a,\text{top}}$  and  $V_{\text{top}} = \bigcup_{a \in A} V_{a,\text{top}}$ . Then  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$ , since  $s_{\text{top}}^{-1}(0) \cap X_{a,\text{top}} \subseteq U_{a,\text{top}} \subseteq U_{\text{top}}$  for  $a \in A$  and  $s_{\text{top}}^{-1}(0) \subseteq \bigcup_{a \in A} X_{a,\text{top}}$ . By taking the union of (B.47) for  $a \in A$ , we see that  $U, V$  fit into a commutative diagram (B.28), including morphisms  $k_1, k_2 : U \rightarrow V$  with  $k_i|_{U_a} = k_{i,a}$  for  $i = 1, 2$  and  $a \in A$ .

Now  $\{V_a : a \in A\}$  is an open cover of  $V$ . Choose a subordinate partition of unity  $\{\eta_a : a \in A\}$  on  $V$ . Define a morphism  $M : \pi^*(F)|_V \rightarrow \mathcal{T}_{f \circ \pi} Y|_V$  on  $V$  by  $M = \sum_{a \in A} \eta_a \cdot M_a$ . Here  $\eta_a \cdot M_a$  is initially defined only on  $V_a \subseteq V$ , but extends smoothly by zero to all of  $V$  as  $\text{supp } \eta_a \subseteq V_a$ . For  $i = 1, 2$  we have

$$k_i^*(M) = k_i^* \left( \sum_{a \in A} \eta_a \cdot M_a \right) = \sum_{a \in A} k_i^*(\eta_a) \cdot k_{i,a}^*(M_a) = \sum_{a \in A} k_i^*(\eta_a) \cdot \Lambda_i|_{U_a} = \Lambda_i,$$

using  $k_{i,a}^*(M_a) = \Lambda_i|_{U_a}$  in the second step and  $\sum_a \eta_a = 1$  in the third. Thus (B.28) and  $M$  imply that  $\Lambda_2 = \Lambda_1 + O(s)$  on  $X$ , by Definition B.36(ii).

### Proof of Theorem 3.17(a), parts (iii),(iv),(v),(vii)

Let  $f, g : X \rightarrow Y$  be morphisms in  $\mathbf{Man}$ , and  $\{X_a : a \in A\}$  be a family of open submanifolds in  $X$  with  $s_{\text{top}}^{-1}(0) \subseteq \bigcup_{a \in A} X_{a,\text{top}}$ , such that  $g|_{X_a} = f|_{X_a} + O(s)$  on  $X_a$  for  $a \in A$ . We will show that  $g = f + O(s)$  on  $X$ .

By replacing each  $X_a$  by a subcover  $\{X_{ab} : b \in B_a\}$  of  $X_a$  with  $E|_{X_{ab}}$  trivial, we can suppose that  $E|_{X_a}$  is trivial for all  $a \in A$ , and choose a trivialization  $E|_{X_a} \cong X_a \times \mathbb{R}^r$ , where  $r = \text{rank } E$ .

Since  $g|_{X_a} = f|_{X_a} + O(s)$  on  $X_a$ , by Definition B.36(iii) there exist a commutative diagram (B.47) and a morphism  $v_a : V_a \rightarrow Y$  in  $\mathbf{Man}$  with  $v_a \circ k_{1,a} = f|_{U_a}$  and  $v_a \circ k_{2,a} = g|_{U_a}$  in morphisms  $U_a \rightarrow Y$ , for all  $a \in A$ .

The next part of the proof follows that of Propositions B.25 and B.33. Let  $S_A$  be the set of all finite, nonempty subsets  $B \subseteq A$ . For each  $B \in S_A$  write  $X_B \hookrightarrow X$  for the open submanifold with  $X_{B,\text{top}} = \bigcap_{a \in B} X_{a,\text{top}}$ . Let  $X' \hookrightarrow X$  be the open submanifold with  $X'_{\text{top}} = \bigcup_{a \in A} X_{a,\text{top}}$ .

As in the proof of Proposition B.33, using induction on  $|B|$  and Assumption 3.7(a), for each  $B \in S_A$  we choose an open submanifold  $k_B : W_B \hookrightarrow \bigoplus_{b \in B} E|_{X_b} \cong X_B \times \prod_{b \in B} \mathbb{R}^r$  and a morphism  $v_B : W_B \rightarrow Y$  such that:

- (a)  $s_{\text{top}}^{-1}(0) \times \{(0, \dots, 0)\} \subseteq W_{B, \text{top}}$  for all  $B \in S_A$ .
- (b) For  $a \in A$  we have  $W_{\{a\}} = V_a \hookrightarrow E|_{X'_a} = X'_{\{a\}} \times \mathbb{R}^r$  and  $v_{\{a\}} = v_a$ .
- (c) If  $x \in X_{\text{top}}$  and  $t_b \in \mathbb{R}$  for  $b \in B$  with  $\sum_{b \in B} t_b = 1$  and  $(x, (t_b \cdot s_{\text{top}}(x))_{b \in B}) \in W_{B, \text{top}}$  then  $v_{B, \text{top}}(x, (t_b \cdot s_{\text{top}}(x))_{b \in B}) = g_{\text{top}}(x)$ .
- (d) If  $C \subsetneq B$  lie in  $S_A$  and  $(x, (\mathbf{e}_a)_{a \in C} \Pi(0)_{a \in B \setminus C}) \in W_{B, \text{top}}$  then  $(x, (\mathbf{e}_a)_{a \in C})$  lies in  $W_{C, \text{top}}$  with  $v_{C, \text{top}}(x, (\mathbf{e}_a)_{a \in C}) = v_{B, \text{top}}(x, (\mathbf{e}_a)_{a \in C} \Pi(0)_{a \in B \setminus C})$ .

Here to prove part (c), which does not occur in the proof of Proposition B.33, we use  $v_a \circ k_{2,a} = g|_{U_a}$  for  $k_{2,a}$  as in (B.47) in the first step when  $B = \{a\}$ , and Assumption 3.7(b) in the inductive step.

Now apply Proposition B.7 to choose a partition of unity  $\{\eta_a : a \in A\}$  on  $X'$  subordinate to the open cover  $\{X_{a, \text{top}} : a \in A\}$ . Choose an open submanifold  $j : V \hookrightarrow E$  such that  $0_{E, \text{top}}(s_{\text{top}}^{-1}(0)) \subseteq V_{\text{top}}$  and if  $e \in V_{\text{top}} \subseteq E_{\text{top}}$  with  $\pi_{\text{top}}(e) = x \in X_{\text{top}}$  and  $B = \{a \in A : x \in \text{supp } \eta_{a, \text{top}}\}$  then  $(x, (\eta_{a, \text{top}}(x) \cdot e)_{a \in B}) \in W_{B, \text{top}}$ . By (a) above and local finiteness of  $\{\eta_a : a \in A\}$ , this holds for any small enough open neighbourhood of  $0_{E, \text{top}}(s_{\text{top}}^{-1}(0))$  in  $E$ .

As in the proof of Proposition B.33, there is a unique morphism  $v : V \rightarrow Y$  in  $\mathbf{Man}$  such that for all  $e \in V_{\text{top}}$  with  $\pi_{\text{top}}(e) = x \in X_{\text{top}}$  and  $B = \{a \in A : x \in \text{supp } \eta_{a, \text{top}}\}$  we have

$$v_{\text{top}}(e) = v_{B, \text{top}}(x, (\eta_{a, \text{top}}(x) \cdot e)_{a \in B}). \quad (\text{B.48})$$

Let  $U \hookrightarrow X$  be the open submanifold with  $U_{\text{top}} = 0_{E, \text{top}}^{-1}(V_{\text{top}}) \cap s_{\text{top}}^{-1}(V_{\text{top}})$ . Then  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$ , as  $0_{E, \text{top}}(s_{\text{top}}^{-1}(0)) \subseteq V_{\text{top}}$ . Then by Assumption 3.2(d) there are morphisms  $k_1, k_2$  making a commutative diagram (B.28). For  $x \in U_{\text{top}}$  with  $B = \{a \in A : x \in \text{supp } \eta_{a, \text{top}}\}$  we have

$$\begin{aligned} (v \circ k_1)_{\text{top}}(x) &= v_{\text{top}} \circ 0_{E, \text{top}}(x) = v_{B, \text{top}}(x, (\eta_{a, \text{top}}(x) \cdot 0)_{a \in B}) \\ &= v_{B, \text{top}}(x, (0)_{a \in B}) = v_{\{b\}, \text{top}}(x, 0) = v_{b, \text{top}}(0_{E, \text{top}}(x)) = (f|_U)_{\text{top}}(x), \\ (v \circ k_2)_{\text{top}}(x) &= v_{\text{top}} \circ s_{\text{top}}(x) = v_{B, \text{top}}(x, (\eta_{a, \text{top}}(x) \cdot s_{\text{top}}(x))_{a \in B}) = (g|_U)_{\text{top}}(x), \end{aligned}$$

where for both equations we use (B.28) and (B.48), for the first we pick  $b \in B$  and use (b),(d) above with  $C = \{b\}$  and  $v_b \circ k_{1,b} = f|_{U_b}$ , and for the second we use (c) above. As this holds for all  $x \in U_{\text{top}}$  we have  $v \circ k_1 = f|_U$  and  $v \circ k_2 = g|_U$ . Thus  $g = f + O(s)$  on  $X$  by Definition B.36(iii). Hence the ‘ $O(s)$ ’ condition in Definition B.36(iii) is local on  $s_{\text{top}}^{-1}(0) \subseteq X_{\text{top}}$ .

We prove locality of parts (iv),(v),(vii) by extensions of the proof above. For (iv),(v) we start with  $\{X_a \hookrightarrow X : a \in A\}$  covering  $s_{\text{top}}^{-1}(0)$  in  $X$ , a diagram (B.47) and a morphism  $v_a : V_a \rightarrow Y$  in  $\mathbf{Man}$  with  $v_a \circ k_{1,a} = f|_{U_a}$  and  $v_a \circ k_{2,a} = g|_{U_a}$  for all  $a \in A$ , as above, together with morphisms  $\phi_a : \pi^*(F)|_{V_a} \rightarrow v_a^*(G)$  with  $k_{i,a}^*(\phi) = \theta_i|_{U_a}$  for  $a \in A$  and  $i = 1, 2$  in case (iv), and morphisms  $M_a : \pi^*(F)|_{V_a} \rightarrow \mathcal{T}_{v_a} Y$  with  $k_{i,a}^*(M_a) = \Lambda_i|_{U_a}$  for  $a \in A$  and  $i = 1, 2$  in case (v).

Then we construct  $V, v, U, k_1, k_2$  in a diagram (B.28) from the data  $X_a, U_a, V_a, v_a$  for  $a \in A$  by an inductive argument as above. At the same time we construct a morphism  $\phi : \pi^*(F)|_V \rightarrow v^*(G)$  with  $k_i^*(\phi) = \theta_i|_U$  for  $i = 1, 2$  in case

(iv), and a morphism  $M : \pi^*(F)|_V \rightarrow \mathcal{T}_v Y$  with  $k_i^*(M) = \Lambda_i|_U$  for  $i = 1, 2$  in case (v). We do this by gluing together the  $\phi_a$  (or the  $M_a$ ) to make  $\phi$  (or  $M$ ) using the partition of unity  $\{\eta_a : a \in A\}$ , in a very similar way to the construction of  $v$  above. Therefore (iv),(v) are local on  $s_{\text{top}}^{-1}(0) \subseteq X_{\text{top}}$ .

To prove locality of (vii), given  $\Lambda : E \rightarrow \mathcal{T}_f Y$  and  $\{X_a \hookrightarrow X : a \in A\}$  with  $g|_{X_a} = f|_{X_a} + \Lambda \circ s|_{X_a} + O(s^2)$  on  $X_a$  for  $a \in A$ , we follow the proof of (iii) above constructing  $V, v, U$  with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$  exactly, except that at the beginning we choose  $v_a : V_a \rightarrow Y$  with  $\Lambda|_{U_a} = \theta_{V_a, v_a}$  in the notation of §B.4.8, which is possible by Definition B.36(vii). The last part of the proof of Proposition B.33 then shows that  $\Lambda|_U = \theta_{V, v}$ , so  $g = f + \Lambda \circ s + O(s^2)$  on  $X$  by Definition B.36(vii), and (vii) is local on  $s_{\text{top}}^{-1}(0) \subseteq X_{\text{top}}$ .

### Proof of Theorem 3.17(b)

We will need the following lemma:

**Lemma B.51.** *In Definition B.36(iv),(v), the condition is independent of the choice of diagram (B.28) and morphism  $v : V \rightarrow Y$  satisfying (iii). That is, if (iv),(v) hold for one choice of (B.28),  $v$ , then they hold for all possible choices.*

*Proof.* Let Definition B.36(iv) hold for  $U, V, k_1, k_2$  as in (B.28) with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}} \subseteq X_{\text{top}}$  and  $v : V \rightarrow Y$  with  $v \circ k_1 = f|_U$ ,  $v \circ k_2 = g|_U$  and  $\phi : \pi^*(F)|_V \rightarrow v^*(G)$  with  $k_1^*(\phi) = \theta_1|_U$  and  $k_2^*(\phi) = \theta_2|_U$ . Suppose that we are given an alternative diagram (B.28) involving  $\tilde{U}, \tilde{V}, \tilde{k}_1, \tilde{k}_2$  with  $s_{\text{top}}^{-1}(0) \subseteq \tilde{U}_{\text{top}} \subseteq X_{\text{top}}$  and a morphism  $\tilde{v} : \tilde{V} \rightarrow Y$  with  $\tilde{v} \circ \tilde{k}_1 = f|_{\tilde{U}}$ ,  $\tilde{v} \circ \tilde{k}_2 = g|_{\tilde{U}}$ , as in (iii). We must construct a morphism  $\tilde{\phi} : \pi^*(F)|_{\tilde{V}} \rightarrow \tilde{v}^*(G)$  with  $\tilde{k}_1^*(\tilde{\phi}) = \theta_1|_{\tilde{U}}$  and  $\tilde{k}_2^*(\tilde{\phi}) = \theta_2|_{\tilde{U}}$ , so that (iv) also holds for the alternative choices (B.28) and  $\tilde{v}$ .

If we can prove such  $\tilde{\phi}$  exist near any point  $e \in \tilde{V}_{\text{top}}$ , then by taking an open cover of  $\tilde{V}$  on which choices of  $\tilde{\phi}$  exist, and combining them with a partition of unity, we see that such  $\tilde{\phi}$  exists globally on  $\tilde{V}$ . The conditions on  $\tilde{\phi}$  are only nontrivial near points  $e = 0_{E, \text{top}}(x') = s_{\text{top}}(x')$  in  $\tilde{V}_{\text{top}}$  for  $x' \in s_{\text{top}}^{-1}(0) \subseteq X_{\text{top}}$ . We restrict to the preimages  $U', V', \tilde{V}', \dots$  in  $U, V, \tilde{V}, \dots$  of an open neighbourhood  $X'$  of  $x'$  in  $X$  with  $E|_{X'}$  trivial, so that we may identify  $E|_{X'} \cong X' \times \mathbb{R}^n$ , and regard  $s|_{X'}$  as a morphism  $s' : X' \rightarrow \mathbb{R}^n$ .

Then we have open  $V', \tilde{V}' \hookrightarrow X' \times \mathbb{R}^n$  with  $s'_{\text{top}}{}^{-1}(0) \times \{0\} \subseteq V'_{\text{top}}, \tilde{V}'_{\text{top}}$  and morphisms  $v' : V' \rightarrow Y$ ,  $\tilde{v}' : \tilde{V}' \rightarrow Y$  with  $v'_{\text{top}}(x, 0) = f_{\text{top}}(x)$ ,  $v'_{\text{top}}(x, s'_{\text{top}}(x)) = g_{\text{top}}(x)$ ,  $\tilde{v}'_{\text{top}}(x, 0) = f_{\text{top}}(x)$  and  $\tilde{v}'_{\text{top}}(x, s'_{\text{top}}(x)) = g_{\text{top}}(x)$ , for all  $x \in X'_{\text{top}}$  with the left hand sides defined. Assumption 3.7(b) now shows that there exist open  $W' \hookrightarrow X' \times \mathbb{R}^n \times \mathbb{R}^n$  with  $s'_{\text{top}}{}^{-1}(0) \times \{(0, 0)\} \subseteq W'_{\text{top}}$  and a morphism  $w' : W' \rightarrow Y$  with  $w'_{\text{top}}(x, z, 0) = v'_{\text{top}}(x, z)$  and  $w'_{\text{top}}(x, 0, z) = \tilde{v}'_{\text{top}}(x, z)$  and  $w'_{\text{top}}(x, t \cdot s_{\text{top}}(x), (1-t) \cdot s_{\text{top}}(x)) = g_{\text{top}}(x)$  for all  $x \in X'_{\text{top}}$ ,  $z \in \mathbb{R}^n$  and  $t \in \mathbb{R}$  for which both sides are defined.

We now choose a morphism  $\psi : \pi_{X'}^*(F) \rightarrow w'^*(G)$  with  $\psi|_{(x, z, 0)} = \phi|_{(x, z)}$  for all  $(x, z) \in V'_{\text{top}}$  with  $(x, z, 0) \in W'_{\text{top}}$ , and  $\psi|_{(x, t \cdot s_{\text{top}}(x), (1-t) \cdot s_{\text{top}}(x))} = \theta_2(x)$  for all  $x \in X'_{\text{top}}$  and  $t \in \mathbb{R}$  with  $(x, t \cdot s_{\text{top}}(x), (1-t) \cdot s_{\text{top}}(x)) \in W'_{\text{top}}$ . These two conditions are consistent at points  $(x, s_{\text{top}}(x), 0)$  as  $k_2^*(\phi) = \theta_2|_U$ . They

prescribe  $\psi$  on cleanly-intersecting submanifolds of  $W'$ , so making  $W'$  smaller if necessary, we can use Assumption 3.7(a) to show such  $\psi$  exists.

Let  $\tilde{V}'' \hookrightarrow E|_{X'} \cong X' \times \mathbb{R}^n$  be the open submanifold with  $\tilde{V}''_{\text{top}} = \{(x, \mathbf{z}) : (x, 0, \mathbf{z}) \in W'_{\text{top}} \text{ and } (x, \mathbf{z}) \in \tilde{V}'_{\text{top}}\}$ , and  $\tilde{U}'' \hookrightarrow X'$  the open submanifold with  $\tilde{U}''_{\text{top}} = \{x \in \tilde{U}'_{\text{top}} : (x, 0) \in \tilde{V}''_{\text{top}} \text{ and } (x, s'_{\text{top}}(x)) \in \tilde{V}''_{\text{top}}\}$ . Let  $\tilde{l} : \tilde{V}'' \rightarrow W'$  be the morphism with  $\tilde{l}(x, \mathbf{z}) = (x, 0, \mathbf{z})$  from Assumption 3.2(d). Define  $\tilde{\phi}'' : \pi^*(F)|_{\tilde{V}''} \rightarrow \tilde{v}|_{\tilde{V}''}^*(G)$  by  $\tilde{\phi}'' = \tilde{l}^*(\psi)$ . Then  $x' \in \tilde{U}''_{\text{top}}$  and  $e = 0_{E, \text{top}}(x') \in \tilde{V}''_{\text{top}}$ , and  $\tilde{k}_1|_{\tilde{U}''}^*(\tilde{\phi}'') = \theta_1|_{\tilde{U}''}$  and  $\tilde{k}_2|_{\tilde{U}''}^*(\tilde{\phi}'') = \theta_2|_{\tilde{U}''}$ . Hence  $\tilde{\phi}''$  satisfying the required conditions exists near  $e$  in  $\tilde{V}''_{\text{top}}$  as required.

This proves the lemma for case (iv). The proof for (v) is similar, noting that we can use Assumption 3.7(a) and Proposition B.33 to show that morphisms  $M : \pi^*(F)|_V \rightarrow \mathcal{T}_v Y$  have the required extension properties at the point in the proof where we choose  $\psi$ .  $\square$

It is now more-or-less immediate from the definitions that the conditions of Definition B.36(i),(ii),(iv)–(vi) are  $C^\infty(X)$ -linear. Here for (iv),(v) we must fix a diagram (B.28) and morphism  $v : V \rightarrow Y$  satisfying (iii), and use these for all the different  $O(s)$  conditions to be combined. This is possible by Lemma B.51.

For example, in (iv) suppose we have morphisms  $\theta_1, \theta'_1 : F \rightarrow f^*(G)$  and  $\theta_2, \theta'_2 : F \rightarrow g^*(G)$  with  $\theta_2 = \theta_1 + O(s)$  and  $\theta'_2 = \theta'_1 + O(s)$ . Fix (B.28) and  $v : V \rightarrow Y$  as above, so that (iv) gives  $\phi, \phi' : \pi^*(F)|_V \rightarrow v^*(G)$  with  $k_i^*(\phi) = \theta_i|_U$  and  $k_i^*(\phi') = \theta'_i|_U$  for  $i = 1, 2$ . Then for  $a, b \in C^\infty(X)$ , considering

$$\pi|_V^*(a) \cdot \phi + \pi|_V^*(b) \cdot \phi' : \pi^*(F)|_V \longrightarrow v^*(G)$$

we see that  $a\theta_2 + b\theta'_2 = a\theta_1 + b\theta'_1 + O(s)$ , so (iv) is  $C^\infty(X)$ -linear.

### Proof of Theorem 3.17(c)

It is clear from the definitions that the  $O(s), O(s^2)$  conditions in Definition B.36(i) are equivalence relations. For (ii),(iii), reflexivity  $\Lambda_1 = \Lambda_1 + O(s)$ ,  $f = f + O(s)$  is easy (take  $U = X$ ,  $V = E$ ,  $M = 0$ ,  $v = f \circ \pi$ ), and symmetry  $\Lambda_2 = \Lambda_1 + O(s) \Rightarrow \Lambda_1 = \Lambda_2 + O(s)$ ,  $g = f + O(s) \Rightarrow f = g + O(s)$  is also easy (apply the involution of  $E$  mapping  $(x, e) \mapsto (x, s_{\text{top}}(x) - e)$  on points to  $V, M, v$ ). It remains to prove transitivity for (ii),(iii).

For (ii), let  $F \rightarrow X$  be a vector bundle,  $f : X \rightarrow Y$  a morphism, and  $\Lambda_1, \Lambda_2, \Lambda_3 : F \rightarrow \mathcal{T}_f Y$  morphisms with  $\Lambda_2 = \Lambda_1 + O(s)$  and  $\Lambda_3 = \Lambda_2 + O(s)$ . Then by Definition B.36(ii) there exist a diagram (B.28) including  $U, V, k_1, k_2$  with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$  and a morphism  $M : \pi^*(F)|_V \rightarrow \mathcal{T}_{f \circ \pi} Y|_V$  with  $k_1^*(M) = \Lambda_1|_U$  and  $k_2^*(M) = \Lambda_2|_U$ . Also, there exist (B.28) including  $\tilde{U}, \tilde{V}, \tilde{k}_1, \tilde{k}_2$  with  $s_{\text{top}}^{-1}(0) \subseteq \tilde{U}_{\text{top}}$  and a morphism  $\tilde{M} : \pi^*(F)|_{\tilde{V}} \rightarrow \mathcal{T}_{f \circ \pi} Y|_{\tilde{V}}$  with  $\tilde{k}_1^*(\tilde{M}) = \Lambda_2|_{\tilde{U}}$  and  $\tilde{k}_2^*(\tilde{M}) = \Lambda_3|_{\tilde{U}}$ . Then taking  $\check{U} = U \cap \tilde{U}$ ,  $\check{V} = V \cap \tilde{V}$ ,  $\check{k}_i = k_i|_{\check{U}} = \tilde{k}_i|_{\check{U}}$  for  $i = 1, 2$  and  $\check{M} = M|_{\check{U}} + \tilde{M}|_{\check{U}} - \pi^*(\Lambda_2)|_{\check{U}}$  we find that  $\check{k}_1^*(\check{M}) = \Lambda_1|_{\check{U}}$  and  $\check{k}_2^*(\check{M}) = \Lambda_3|_{\check{U}}$ , so  $\Lambda_3 = \Lambda_2 + O(s)$ , and (ii) is an equivalence relation.

For (iii), suppose  $f, g, h : X \rightarrow Y$  are morphisms in  $\mathbf{Man}$  with  $g = f + O(s)$  and  $h = g + O(s)$ . Then there exist a diagram (B.28) including  $U, V, k_1, k_2$  with

$s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$ , and a morphism  $v : V \rightarrow Y$  with  $v \circ k_1 = f|_U$  and  $v \circ k_2 = g|_U$ . Also, there exist a diagram (B.28) including  $\tilde{U}, \tilde{V}, \tilde{k}_1, \tilde{k}_2$  with  $s_{\text{top}}^{-1}(0) \subseteq \tilde{U}_{\text{top}}$  and a morphism  $\tilde{v} : \tilde{V} \rightarrow Y$  with  $\tilde{v} \circ \tilde{k}_1 = g|_{\tilde{U}}$  and  $\tilde{v} \circ \tilde{k}_2 = h|_{\tilde{U}}$ .

We will prove that  $h = f + O(s)$ . By Theorem 3.17(a), proved above, it is enough to show that  $h = f + O(s)$  near each point  $x'$  of  $s_{\text{top}}^{-1}(0) \subseteq X_{\text{top}}$ . We restrict to the preimages  $U', V', \tilde{U}', \tilde{V}', \dots$  in  $U, V, \tilde{U}, \tilde{V}, \dots$  of an open neighbourhood  $X'$  of  $x'$  in  $X$  with  $E|_{X'}$  trivial, so that we may identify  $E|_{X'} \cong X' \times \mathbb{R}^n$ , and regard  $s|_{X'}$  as a morphism  $s' : X' \rightarrow \mathbb{R}^n$ .

Then we have open  $V', \tilde{V}' \hookrightarrow X' \times \mathbb{R}^n$  with  $s'_{\text{top}}{}^{-1}(0) \times \{0\} \subseteq V'_{\text{top}}, \tilde{V}'_{\text{top}}$  and morphisms  $v' : V' \rightarrow Y, \tilde{v}' : \tilde{V}' \rightarrow Y$  with  $v'_{\text{top}}(x, 0) = f_{\text{top}}(x), v'_{\text{top}}(x, s'_{\text{top}}(x)) = g_{\text{top}}(x), \tilde{v}'_{\text{top}}(x, 0) = g_{\text{top}}(x)$  and  $\tilde{v}'_{\text{top}}(x, s'_{\text{top}}(x)) = h_{\text{top}}(x)$ , for all  $x \in X_{\text{top}}$  with the left hand sides defined. Assumption 3.7(a) now gives open  $W' \hookrightarrow X' \times \mathbb{R}^n \times \mathbb{R}^n$  with  $s'_{\text{top}}{}^{-1}(0) \times \{(0, 0)\} \subseteq W'_{\text{top}}$  and a morphism  $w' : W' \rightarrow Y$  with

$$w'_{\text{top}}(x, \mathbf{z}, 0) = v'_{\text{top}}(x, \mathbf{z} + s'_{\text{top}}(x)) \quad \text{and} \quad w'_{\text{top}}(x, 0, \mathbf{z}) = \tilde{v}'_{\text{top}}(x, \mathbf{z})$$

for all  $x \in X_{\text{top}}, \mathbf{z} \in \mathbb{R}^n$  for which both sides are defined. Here the  $\mathbf{z} + s'_{\text{top}}(x)$  means both equations prescribe  $w'_{\text{top}}(x, 0, 0) = g_{\text{top}}(x)$ , so they are consistent.

Now define  $\check{V} \hookrightarrow E|_{X'} \cong X' \times \mathbb{R}^n$  to be the open submanifold with  $\check{V}_{\text{top}} = \{(x, \mathbf{z}) : (x, \mathbf{z} - s'_{\text{top}}(x), \mathbf{z}) \in W'_{\text{top}}\}$ , and  $\check{U} \hookrightarrow X'$  to be the open submanifold with  $\check{U}_{\text{top}} = \{x : (x, 0) \in \check{V}_{\text{top}} \text{ and } (x, s'_{\text{top}}(x)) \in \check{V}_{\text{top}}\}$ , and  $\check{k}_1, \check{k}_2 : \check{U} \rightarrow \check{V}, \check{v} : \check{V} \rightarrow Y$  to be the morphisms with  $\check{k}_{1,\text{top}}(x) = (x, 0), \check{k}_{2,\text{top}}(x) = (x, s'_{\text{top}}(x))$  and  $\check{v}_{\text{top}}(x, \mathbf{z}) = w'_{\text{top}}(x, \mathbf{z} - s'_{\text{top}}(x), \mathbf{z})$ . Then

$$\begin{aligned} \check{v}_{\text{top}} \circ \check{k}_{1,\text{top}}(x) &= \check{v}_{\text{top}}(x, 0) = w'_{\text{top}}(x, -s'_{\text{top}}(x), 0) = v'_{\text{top}}(x, 0) = f_{\text{top}}(x), \\ \check{v}_{\text{top}} \circ \check{k}_{2,\text{top}}(x) &= \check{v}_{\text{top}}(x, s'_{\text{top}}(x)) = w'_{\text{top}}(x, 0, s'_{\text{top}}(x)) = \tilde{v}'_{\text{top}}(x, s'_{\text{top}}(x)) = h_{\text{top}}(x), \end{aligned}$$

so  $\check{v} \circ \check{k}_1 = f|_{\check{U}}$  and  $\check{v} \circ \check{k}_2 = h|_{\check{U}}$ , and  $h = f + O(s)$  on  $X'$ . Hence  $h = f + O(s)$  on  $X$  by Theorem 3.17(a), and (iii) is an equivalence relation.

### Proof of Theorem 3.17(d)

This is a straightforward combination of the proofs that (i),(ii) are equivalence relations and (iii) is an equivalence relation in the proof of Theorem 3.17(c) above, and we leave it as an exercise.

### Proof of Theorem 3.17(e), non $\Gamma$ -equivariant case

As in the theorem, let  $X_a \hookrightarrow X$  for  $a \in A$  be open submanifolds with  $s_{\text{top}}^{-1}(0) \subseteq \bigcup_{a \in A} X_{a,\text{top}}$ . Write  $X_{ab} \hookrightarrow X$  for the open submanifold with  $X_{ab,\text{top}} = X_{a,\text{top}} \cap X_{b,\text{top}}$  for  $a, b \in A$ . Suppose we are given morphisms  $f_a : X_a \rightarrow Y$  in  $\mathbf{Man}$  for all  $a \in A$  with  $f_a|_{X_{ab}} = f_b|_{X_{ab}} + O(s)$  on  $X_{ab}$  for all  $a, b \in A$ . We must construct an open submanifold  $X' \hookrightarrow X$  with  $s_{\text{top}}^{-1}(0) \subseteq X'_{\text{top}}$  and a morphism  $g : X' \rightarrow Y$  such that  $g|_{X' \cap X_a} = f_a|_{X' \cap X_a} + O(s)$  for all  $a \in A$ .



Since  $f_a|_{X_{ab}} = f_b|_{X_{ab}} + O(s)$  on  $X_{ab}$ , by Definition B.36(iii) there exists a diagram (B.28) including  $U_{ab}, V_{ab}, k_{1,ab}, k_{2,ab}$  with  $s_{\text{top}}^{-1}(0) \cap X_{ab,\text{top}} \subseteq U_{ab,\text{top}} \subseteq X_{ab,\text{top}}$  and a morphism  $v_{ab} : V_{ab} \rightarrow Y$  with  $v_{ab} \circ k_{1,ab} = f_a|_{U_{ab}}$  and  $v_{ab} \circ k_{2,ab} = f_b|_{U_{ab}}$ , for all  $a, b \in A$ . Making  $V_{ab}$  smaller, we can suppose that  $0_{E,\text{top}}(x) \in V_{ab,\text{top}}$  if and only if  $x \in U_{ab,\text{top}}$ , and  $s_{\text{top}}(x) \in V_{ab,\text{top}}$  if and only if  $x \in U_{ab,\text{top}}$ .

We will divide the proof into three steps:

- (A)  $A = \{1, 2\}$ .
- (B)  $A = \mathbb{N}$  and  $\{X_a : a \in \mathbb{N}\}$  is *locally finite*, i.e. each  $x$  in  $X_{\text{top}}$  has an open neighbourhood intersecting only finitely many  $X_{a,\text{top}}$ .
- (C) The general case.

We use the notation above in each step.

**Step (A).** Suppose  $A = \{1, 2\}$ . Let  $\dot{X} \hookrightarrow X$  be the open submanifold with  $\dot{X}_{\text{top}} = X_{1,\text{top}} \cup X_{2,\text{top}}$ . Then  $s_{\text{top}}^{-1}(0) \subseteq \bigcup_{a \in \{1,2\}} X_{a,\text{top}} = \dot{X}_{\text{top}}$ . Choose a partition of unity  $\{\eta_1, \eta_2\}$  on  $\dot{X}$  subordinate to the open cover  $\{X_1, X_2\}$ . Let  $X' \hookrightarrow \dot{X}$  be the open submanifold with

$$X'_{\text{top}} = (X_{1,\text{top}} \setminus \text{supp } \eta_2) \amalg (X_{2,\text{top}} \setminus \text{supp } \eta_1) \\ \amalg \{x \in \text{supp } \eta_1 \cap \text{supp } \eta_2 : (x, \eta_{2,\text{top}}(x) \cdot s_{\text{top}}(x)) \in V_{12,\text{top}}\}.$$

Then  $s_{\text{top}}^{-1}(0) \subseteq X'_{\text{top}}$ . By Assumption 3.3(a) there is a unique  $g : X' \rightarrow Y$  with

$$g_{\text{top}}(x) = \begin{cases} f_{1,\text{top}}(x), & x \in X_{1,\text{top}} \setminus \text{supp } \eta_2, \\ f_{2,\text{top}}(x), & x \in X_{2,\text{top}} \setminus \text{supp } \eta_1, \\ v_{12,\text{top}}(x, \eta_{2,\text{top}}(x) \cdot s_{\text{top}}(x)), & x \in X'_{\text{top}} \cap U_{12,\text{top}}. \end{cases}$$

This holds as the three possibilities for  $g$  are smooth maps on open subsets of  $X'$  covering  $X'$ , which agree on the overlaps, since  $v_{12,\text{top}}(x, 0) = f_{1,\text{top}}(x)$  and  $v_{12,\text{top}}(x, s_{\text{top}}(x)) = f_{2,\text{top}}(x)$ .

To show that  $g|_{X' \cap X_1} = f_1|_{X' \cap X_1} + O(s)$ , define  $V_1 \hookrightarrow E$ ,  $U_1 \hookrightarrow X$  to be the open submanifolds and  $v_1 : V_1 \rightarrow Y$ ,  $k_{1,1}, k_{2,1} : U_1 \rightarrow V_1$  the morphisms with

$$V_{1,\text{top}} = \pi_{\text{top}}^{-1}(X_{1,\text{top}} \setminus \text{supp } \eta_2) \\ \amalg \{(x, e) \in \pi_{\text{top}}^{-1}(\text{supp } \eta_2 \cap U_{12,\text{top}}) : (x, \eta_{2,\text{top}}(x) \cdot e) \in V_{12,\text{top}}\}, \\ U_{1,\text{top}} = \{x \in X'_{\text{top}} \cap X_{1,\text{top}} : (x, 0) \in V_{1,\text{top}} \text{ and } (x, s_{\text{top}}(x)) \in V_{1,\text{top}}\}, \\ v_{1,\text{top}}(x, e) = \begin{cases} f_{1,\text{top}}(x), & x \in X_{1,\text{top}} \setminus \text{supp } \eta_2, \\ v_{12,\text{top}}(x, \eta_{2,\text{top}}(x) \cdot e), & (x, e) \in V_{1,\text{top}} \cap \pi_{\text{top}}^{-1}(U_{12,\text{top}}), \end{cases} \\ k_{1,1,\text{top}}(x) = (x, 0), \quad \text{and} \quad k_{2,1,\text{top}}(x) = (x, s_{\text{top}}(x)).$$

Again, the two possibilities for  $v_1$  are smooth on an open cover of  $V_1$ , which agree on the overlap, since  $v_{12,\text{top}}(x, 0) = f_{1,\text{top}}(x)$ . Then  $U_1, V_1, k_{1,1}, k_{2,1}$  form

a diagram (B.28), and this and  $v_1$  show that  $g|_{X' \cap X_1} = f_1|_{X' \cap X_1} + O(s)$  by Definition B.36(iii). Similarly  $g|_{X' \cap X_2} = f_2|_{X' \cap X_2} + O(s)$ , proving step (A).

**Step (B).** Suppose  $A = \mathbb{N}$  and  $\{X_a : a \in \mathbb{N}\}$  is locally finite. By induction on  $m = 1, 2, \dots$  we will construct an open submanifold  $X'_m \hookrightarrow X$  and a morphism  $g_m : X'_m \rightarrow Y$  satisfying:

- (i)  $s_{\text{top}}^{-1}(0) \cap (\bigcup_{a=1}^m X_{a,\text{top}}) = s_{\text{top}}^{-1}(0) \cap X'_{m,\text{top}}$ .
- (ii)  $g_m|_{X'_m \cap X_a} = f_a|_{X'_m \cap X_a} + O(s)$  for  $a = 1, \dots, m$ .
- (iii) If  $m > 1$  and  $x \in X_{\text{top}} \setminus X_{m,\text{top}}$  then  $x \in X'_{m-1,\text{top}}$  if and only if  $x \in X'_{m,\text{top}}$ , and then  $g_{m-1,\text{top}}(x) = g_{m,\text{top}}(x)$ .

For the first step  $m = 1$  we put  $X'_1 = X_1$  and  $g_1 = f_1$ , and (i)–(iii) hold trivially. For the inductive step, suppose  $m \geq 1$  and we have constructed  $X'_1, g_1, \dots, X'_m, g_m$  satisfying (i)–(iii). For each  $a = 1, \dots, m$  we have

$$\begin{aligned} g_m|_{X'_m \cap X_a \cap X_{m+1}} &= f_a|_{X'_m \cap X_a \cap X_{m+1}} + O(s) \\ &= f_{m+1}|_{X'_m \cap X_a \cap X_{m+1}} + O(s), \end{aligned} \quad (\text{B.49})$$

using (ii) for  $g_m$  in the first step, and  $f_a|_{X_{a(m+1)}} = f_{m+1}|_{X_{a(m+1)}} + O(s)$  and Theorem 3.17(c) in the second. Now (i) implies that

$$s_{\text{top}}^{-1}(0) \cap (X'_m \cap X_{m+1})_{\text{top}} \subseteq \bigcup_{a=1}^m (X'_m \cap X_a \cap X_{m+1})_{\text{top}}.$$

Hence (B.49) and Theorem 3.17(a) imply that  $g_m|_{X'_m \cap X_{m+1}} = f_a|_{X'_m \cap X_{m+1}} + O(s)$  on  $X'_m \cap X_{m+1}$ .

We now apply step (A) to combine  $g_m : X'_m \rightarrow Y$  and  $f_{m+1} : X_{m+1} \rightarrow Y$ . This yields an open  $X'_{m+1} \hookrightarrow X$  and a morphism  $g_{m+1} : X'_{m+1} \rightarrow Y$  with

$$g_{m+1}|_{X'_{m+1} \cap X'_m} = g_m|_{X'_{m+1} \cap X'_m} + O(s), \quad (\text{B.50})$$

$$g_{m+1}|_{X'_{m+1} \cap X_{m+1}} = f_{m+1}|_{X'_{m+1} \cap X_{m+1}} + O(s). \quad (\text{B.51})$$

Parts (i),(iii) for  $X'_{m+1}, g_{m+1}$  are immediate from the construction. For (ii), the case  $a = m + 1$  for  $g_{m+1}$  is (B.51). For  $a = 1, \dots, m$  we have

$$g_{m+1}|_{X'_{m+1} \cap X'_m \cap X_a} = g_m|_{X'_{m+1} \cap X'_m \cap X_a} + O(s) = f_a|_{X'_{m+1} \cap X'_m \cap X_a} + O(s),$$

using (B.50), part (ii) for  $g_m$ , and Theorem 3.17(c) (proved above). Part (i) gives

$$s_{\text{top}}^{-1}(0) \cap (X'_{m+1} \cap X'_m \cap X_a)_{\text{top}} = s_{\text{top}}^{-1}(0) \cap (X'_{m+1} \cap X_a)_{\text{top}},$$

so Theorem 3.17(a) gives  $g_{m+1}|_{X'_{m+1} \cap X_a} = g_m|_{X'_{m+1} \cap X_a} + O(s)$ , proving (ii) for  $g_{m+1}$ . This completes the inductive step, so by induction we can choose  $X'_m, g_m$  satisfying (i)–(iii) for all  $m = 1, 2, \dots$ .

We now claim that there are a unique open submanifold  $X' \hookrightarrow X$  and morphism  $g : X' \rightarrow Y$  with the property that  $x \in X_{\text{top}}$  lies in  $X'_{\text{top}}$  if and only if  $x \in X'_{m,\text{top}}$  for all  $m \gg 0$  sufficiently large, and then  $g_{\text{top}}(x) = g_{m,\text{top}}(x)$  for all  $m \gg 0$  sufficiently large. To see this, write  $X'_{\text{top}}$  for the set of  $x \in X_{\text{top}}$  satisfying

this condition. Fix  $\tilde{x} \in X_{\text{top}}$ . Then local finiteness of  $\{X_a : a \in \mathbb{N}\}$  means that  $\tilde{x}$  has an open neighbourhood  $U \hookrightarrow X$  in  $X$  such that  $U_{\text{top}} \cap X_{m,\text{top}} = \emptyset$  for all  $m \geq N$ , for some  $N \gg 0$ .

Part (iii) implies that if  $m \geq N$  and  $x \in U_{\text{top}}$  then  $x \in X'_{m,\text{top}}$  if and only if  $x \in X'_{m+1,\text{top}}$ . Thus  $U_{\text{top}} \cap X'_{N,\text{top}} = U_{\text{top}} \cap X'_{N+1,\text{top}} = U_{\text{top}} \cap X'_{N+2,\text{top}} = \dots$ , so that  $U_{\text{top}} \cap X'_{\text{top}} = U_{\text{top}} \cap X'_{N,\text{top}}$ , which is open. Hence we can cover  $X_{\text{top}}$  by open  $U_{\text{top}} \subseteq X_{\text{top}}$  with  $U_{\text{top}} \cap X'_{\text{top}}$  open, so  $X'_{\text{top}}$  is open in  $X_{\text{top}}$ , and the open submanifold  $X' \hookrightarrow X$  is well defined.

For  $\tilde{x}, U, N$  as above, part (iii) also gives  $g_{m,\text{top}}(x) = g_{m+1,\text{top}}(x)$  for any  $x \in U_{\text{top}} \cap X'_{\text{top}}$  and  $m \geq N$ , so  $g_{N,\text{top}}(x) = g_{N+1,\text{top}}(x) = g_{N+2,\text{top}}(x) = \dots$ . Hence there is a unique map  $g_{\text{top}} : X'_{\text{top}} \rightarrow Y_{\text{top}}$  with  $g_{\text{top}}(x) = g_{m,\text{top}}(x)$  for all  $m \gg 0$  sufficiently large, where in  $U$  we have  $g_{\text{top}}|_{U_{\text{top}} \cap X'_{\text{top}}} = g_{N,\text{top}}|_{U_{\text{top}} \cap X'_{\text{top}}}$ . As  $g_N|_{U \cap X'} : U \cap X' \rightarrow Y$  is a morphism in  $\mathbf{Man}$ , and we can cover  $X'_{\text{top}}$  by such open  $(U \cap X')_{\text{top}}$ , Assumption 3.3(a) implies that there is a unique morphism  $g : X' \rightarrow Y$  in  $\mathbf{Man}$  with the prescribed  $g_{\text{top}}$ .

Let  $a \in \mathbb{N}$ . Then as above we can cover  $X' \cap X_a$  by open  $U \hookrightarrow X' \cap X_a$  such that  $U_{\text{top}} \subseteq X'_{m,\text{top}}$  and  $g|_U = g_m|_U$  for  $m \gg 0$ , so that  $m \geq a$ . Then  $g|_U = g_m|_U = f_a|_U + O(s)$  by (ii), so Theorem 3.17(a) implies that  $g|_{X' \cap X_a} = f_a|_{X' \cap X_a} + O(s)$ , as we want. This completes step (B).

**Step (C).** Now consider the general case, with  $\{X_a \hookrightarrow X : a \in A\}$  any open cover of  $X$ . Since  $X_{\text{top}}$  is Hausdorff, locally compact, and second countable by Assumption 3.2(b), it is also *paracompact* (i.e. every open cover has a locally finite refinement), and *Lindelöf* (i.e. every open cover has a countable subcover). So by paracompactness we can choose an open cover  $\{\hat{X}_b \hookrightarrow X : b \in B\}$  of  $X$  which is locally finite, such that for all  $b \in B$  there exists  $a_b \in A$  with  $X'_{b,\text{top}} \subseteq X_{a_b,\text{top}} \subseteq X_{\text{top}}$ . And by the Lindelöf property we can choose a countable subset  $C \subseteq B$  such that  $\{\hat{X}_c \hookrightarrow X : c \in C\}$  is still an open cover of  $X$ . Thus (adding extra empty  $\hat{X}_c$  if  $C$  is finite) we can take  $C = \mathbb{N}$ .

For each  $c \in \mathbb{N}$  set  $\hat{f}_c = f_{a_c}|_{\hat{X}_c} : \hat{X}_c \rightarrow Y$ . Then for all  $c, d \in \mathbb{N}$  we have  $\hat{f}_c|_{\hat{X}_{cd}} = \hat{f}_d|_{\hat{X}_{cd}} + O(s)$  since  $f_{a_c}|_{X_{a_c a_d}} = f_{a_d}|_{X_{a_c a_d}} + O(s)$ . Apply step (B) to  $\{\hat{X}_c \hookrightarrow X : c \in \mathbb{N}\}$  and the  $\hat{f}_c : \hat{X}_c \rightarrow Y$ . This gives an open submanifold  $X' \hookrightarrow X$  with  $s_{\text{top}}^{-1}(0) \subseteq X'_{\text{top}}$  and a morphism  $g : X' \rightarrow Y$  such that  $g|_{X' \cap \hat{X}_c} = \hat{f}_c|_{X' \cap \hat{X}_c} + O(s)$  for all  $c \in \mathbb{N}$ . Let  $a \in A$  and  $c \in \mathbb{N}$ . Then

$$g|_{X' \cap \hat{X}_c \cap X_a} = \hat{f}_c|_{X' \cap \hat{X}_c \cap X_a} + O(s) = f_{a_c}|_{X' \cap \hat{X}_c \cap X_a} + O(s) = f_a|_{X' \cap \hat{X}_c \cap X_a} + O(s),$$

using  $f_{a_c}|_{X_{a_c a}} = f_a|_{X_{a_c a}} + O(s)$  and Theorem 3.17(c) (proved above). As this holds for all  $c \in \mathbb{N}$  and the  $\hat{X}_c, c \in \mathbb{N}$  cover  $s_{\text{top}}^{-1}(0)$ , Theorem 3.17(a) implies that  $g|_{X' \cap X_a} = f_a|_{X' \cap X_a} + O(s)$ , as we want. This proves the first part of Theorem 3.17(e), without  $\Gamma$ -invariance/equivariance.

### Proof of Theorem 3.17(e), the $\Gamma$ -equivariant case

For the second part, we must show that if the initial data  $X, Y, X_a \hookrightarrow X, f_a : X_a \rightarrow Y$  is invariant/equivariant under a finite group  $\Gamma$ , then we can choose

$X', g$  to be invariant/equivariant under  $\Gamma$ . To do this we must go through the whole proof above checking that each step can be done  $\Gamma$ -equivariantly. Most of this is easy or automatic – for example, when we choose the partition of unity  $\{\eta_1, \eta_2\}$  in step (A), we can average  $\eta_1, \eta_2$  over the  $\Gamma$ -action to make them  $\Gamma$ -invariant. But there is one point that needs a nontrivial proof.

Suppose as above we have  $X, Y$ , open  $X_a \hookrightarrow X$  for  $a \in A$ , and morphisms  $f_a : X_a \rightarrow Y$  with  $f_a|_{X_{ab}} = f_b|_{X_{ab}} + O(s)$ , and  $\Gamma$  acts on  $X, Y$  preserving the  $X_a$ , and the  $f_a$  are  $\Gamma$ -equivariant. Then by Definition B.36(iii) there exists a diagram (B.28) including  $U_{ab}, V_{ab}, k_{1,ab}, k_{2,ab}$  with  $s_{\text{top}}^{-1}(0) \cap X_{ab, \text{top}} \subseteq U_{ab, \text{top}} \subseteq X_{ab, \text{top}}$  and a morphism  $v_{ab} : V_{ab} \rightarrow Y$  with  $v_{ab} \circ k_{1,ab} = f_a|_{U_{ab}}$  and  $v_{ab} \circ k_{2,ab} = f_b|_{U_{ab}}$ , and these  $U_{ab}, V_{ab}, v_{ab}$  were used in the proof of step (A).

We can choose  $U_{ab} \hookrightarrow X$  and  $V_{ab} \hookrightarrow E$  to be  $\Gamma$ -invariant by replacing them by  $\bigcap_{\gamma \in \Gamma} \gamma^{-1}(U_{ab})$  and  $\bigcap_{\gamma \in \Gamma} \gamma^{-1}(V_{ab})$ , and then  $k_{1,ab}, k_{2,ab}$  are automatically  $\Gamma$ -equivariant. However,  $v_{ab} : V_{ab} \rightarrow Y$  need not be  $\Gamma$ -equivariant.

We will show using Assumption 3.7(c) that given some choice of  $U_{ab}, V_{ab}, k_{1,ab}, k_{2,ab}, v_{ab}$  that may not be  $\Gamma$ -invariant/equivariant, we can construct alternative choices  $U'_{ab}, V'_{ab}, k'_{1,ab}, k'_{2,ab}, v'_{ab}$  which are  $\Gamma$ -invariant/equivariant.

First consider the case in which  $E|_{X_{ab}}$  is trivial, with a  $\Gamma$ -equivariant trivialization  $E|_{X_{ab}} \cong X_{ab} \times \mathbb{R}^n$ , in which  $\Gamma$  acts linearly on the left on  $\mathbb{R}^n$ . Write  $(\mathbb{R}^n)^{|\Gamma|}$  as  $\bigoplus_{\gamma \in \Gamma} \mathbb{R}^n$ , and elements of  $(\mathbb{R}^n)^{|\Gamma|}$  as  $(z_\gamma)_{\gamma \in \Gamma}$  for  $z_\gamma \in \mathbb{R}^n$ . Let  $\Gamma$  act linearly on  $(\mathbb{R}^n)^{|\Gamma|}$ , such that  $\delta \in \Gamma$  acts in the given way on each copy of  $\mathbb{R}^n$ , but also  $\delta$  permutes the indexing set  $\Gamma$  by right multiplication, so that

$$\delta : (z_\gamma)_{\gamma \in \Gamma} \mapsto (\delta \cdot z_{\gamma\delta})_{\gamma \in \Gamma},$$

which gives a left action of  $\Gamma$  on  $(\mathbb{R}^n)^{|\Gamma|}$ .

We will use Assumption 3.7(c) to choose a  $\Gamma$ -invariant open submanifold  $W_{ab} \hookrightarrow X_{ab} \times \bigoplus_{\gamma \in \Gamma} \mathbb{R}^n$  and a  $\Gamma$ -equivariant morphism  $w_{ab} : W_{ab} \rightarrow Y$  such that

- (i)  $(s_{\text{top}}^{-1}(0) \cap X_{ab, \text{top}}) \times \{(0)_{\gamma \in \Gamma}\} \subseteq W_{ab, \text{top}}$ .
- (ii) if  $(x, z) \in V_{ab, \text{top}}$  and  $\delta \in \Gamma$  with  $(x, (\delta \cdot z)_\delta \amalg (0)_{\gamma \in \Gamma \setminus \{\delta\}}) \in W_{ab, \text{top}}$  then  $w_{ab, \text{top}}(x, (\delta \cdot z)_\delta \amalg (0)_{\gamma \in \Gamma \setminus \{\delta\}}) = \delta \cdot w_{ab, \text{top}}(x, z)$ .
- (iii) If  $x \in X_{ab, \text{top}}$  and  $t_\gamma \in \mathbb{R}$  for  $\gamma \in \Gamma$  with  $\sum_{\gamma \in \Gamma} t_\gamma = 1$  and  $(x, (t_\gamma \cdot s_{\text{top}}(x))_{\gamma \in \Gamma}) \in W_{ab, \text{top}}$  then  $w_{ab, \text{top}}(x, (t_\gamma \cdot s_{\text{top}}(x))_{\gamma \in \Gamma}) = f_{b, \text{top}}(x)$ .

In fact we have to apply Assumption 3.7(c) finitely many times to choose  $w_{ab, \text{top}}(x, (z_\gamma)_{\gamma \in B} \amalg (0)_{\gamma \in \Gamma \setminus B})$  for all subsets  $\emptyset \neq B \subseteq \Gamma$ , by induction on increasing  $|B| = 1, 2, \dots, |\Gamma|$ , following the proof of Proposition B.25 closely. When  $B = \{\delta\}$  the values of  $w_{ab, \text{top}}$  are given by (ii). The condition that  $w_{ab}$  be  $\Gamma$ -equivariant means that the values of  $w_{ab, \text{top}}$  for  $B \subseteq \Gamma$  determine the values for  $B\delta$  for all  $\delta \in \Gamma$ , so we choose values of  $w_{ab, \text{top}}$  for one set  $B$  in each  $\Gamma$ -orbit of subsets  $B' \subseteq \Gamma$ . The values of  $w_{ab, \text{top}}$  for  $B$  must be chosen equivariant under  $\text{Stab}_\Gamma(B) = \{\delta \in \Gamma : B\delta = B\}$ , which is allowed by Assumption 3.7(c). Condition (iii) above comes from Assumption 3.7(b).

Now define  $V'_{ab} \hookrightarrow E$ ,  $U'_{ab} \hookrightarrow X$  to be the open submanifolds and  $v'_{ab} : V'_{ab} \rightarrow Y$ ,  $k'_{1,ab}, k'_{2,ab} : U'_{ab} \rightarrow V'_{ab}$  the morphisms defined on points by

$$\begin{aligned} V'_{ab, \text{top}} &= \left\{ (x, \mathbf{z}) \in (E|_{X_{ab}})_{\text{top}} : (x, \left(\frac{1}{|\Gamma|}\mathbf{z}\right)_{\gamma \in \Gamma}) \in W_{ab, \text{top}} \right\} \\ U'_{ab, \text{top}} &= \left\{ x \in X_{ab, \text{top}} : (x, 0) \in V'_{ab, \text{top}} \text{ and } (x, s_{\text{top}}(x)) \in V'_{ab, \text{top}} \right\}, \\ v'_{ab, \text{top}}(x, \mathbf{z}) &= w_{ab, \text{top}}(x, \left(\frac{1}{|\Gamma|}\mathbf{z}\right)_{\gamma \in \Gamma}), \\ k'_{1,ab, \text{top}}(x) &= (x, 0), \quad \text{and} \quad k'_{2,ab, \text{top}}(x) = (x, s_{\text{top}}(x)). \end{aligned}$$

Then we have  $s_{\text{top}}^{-1}(0) \cap X_{ab, \text{top}} \subseteq U'_{ab, \text{top}} \subseteq X_{ab, \text{top}}$  and  $v'_{ab} \circ k'_{1,ab} = f_a|_{U'_{ab}}$  and  $v'_{ab} \circ k'_{2,ab} = f_b|_{U'_{ab}}$ , as required to show that  $f_a|_{X_{ab}} = f_b|_{X_{ab}} + O(s)$ . Furthermore, as  $W_{ab}$  is  $\Gamma$ -invariant and  $w_{ab}$  is  $\Gamma$ -equivariant, we see that  $U'_{ab}, V'_{ab}$  are  $\Gamma$ -invariant and  $v'_{ab}, k'_{1,ab}, k'_{2,ab}$  are  $\Gamma$ -equivariant, as we want.

### Proof of Theorem 3.17(f)

Let  $f, g : X \rightarrow Y$  be morphisms in **Man** with  $g = f + O(s)$ , and  $F \rightarrow X, G \rightarrow Y$  be vector bundles, and  $\theta_1 : F \rightarrow f^*(G)$  be a morphism. We must show that there exists a morphism  $\theta_2 : F \rightarrow g^*(G)$  with  $\theta_2 = \theta_1 + O(s)$  as in Definition B.36(iv), and that such  $\theta_2$  are unique up to  $O(s)$  as in Definition B.36(i).

First suppose  $G \rightarrow Y$  is trivial, and choose a trivialization  $G \cong Y \times \mathbb{R}^k$ . Then  $f^*(G)$  and  $g^*(G)$  have induced trivializations  $f^*(G) \cong X \times \mathbb{R}^k \cong g^*(G)$ , giving an isomorphism  $f^*(G) \cong g^*(G)$ . Let  $\theta_2 : F \rightarrow g^*(G)$  be the morphism identified with  $\theta_1 : F \rightarrow f^*(G)$  by  $f^*(G) \cong g^*(G)$ . We claim that  $\theta_2 = \theta_1 + O(s)$ . To see this, let (B.28) and  $v : V \rightarrow Y$  be as in Definition B.36(iii) for  $g = f + O(s)$ , and let  $\phi : \pi^*(F)|_V \rightarrow v^*(G)$  be the morphism identified with  $\pi^*(\theta_1)|_V : \pi^*(F)|_V \rightarrow (f \circ \pi)^*(G)|_V$  by the isomorphisms  $v^*(G) \cong V \times \mathbb{R}^k \times (f \circ \pi)^*(G)|_V$ . Then  $k_1^*(\phi) = \theta_1|_U$  and  $k_2^*(\phi) = \theta_2|_U$ , so  $\theta_2 = \theta_1 + O(s)$  by Definition B.36(iv).

Let  $x \in s_{\text{top}}^{-1}(0) \subseteq X_{\text{top}}$ , with  $f_{\text{top}}(x) = g_{\text{top}}(x) = y \in Y_{\text{top}}$ . Choose an open neighbourhood  $Y^y \hookrightarrow Y$  of  $y$  in  $Y$  with  $G|_{Y^y}$  trivial. Let  $X^x \hookrightarrow X$  be the open submanifold with  $X^x_{\text{top}} = f_{\text{top}}^{-1}(Y^y) \cap g_{\text{top}}^{-1}(Y^y)$ , so that  $x \in X^x_{\text{top}}$ . Then we have morphisms  $f|_{X^x}, g|_{X^x} : X^x \rightarrow Y^y$  with  $g|_{X^x} = f|_{X^x} + O(s)$ , and we have  $\theta_1|_{X^x} : F|_{X^x} \rightarrow f|_{X^x}^*(G)$  with  $G|_{Y^y}$  trivial. Hence from above there exists  $\theta_2^x : F|_{X^x} \rightarrow g|_{X^x}^*(G)$  with  $\theta_2^x = \theta_1|_{X^x} + O(s)$ . Let  $X^\infty \hookrightarrow X$  be the open submanifold with  $X^\infty_{\text{top}} = X_{\text{top}} \setminus s_{\text{top}}^{-1}(0)$ . Set  $\theta_2^\infty = 0 : F|_{X^\infty} \rightarrow g|_{X^\infty}^*(G)$ . Then  $\theta_2^\infty = \theta_1|_{X^\infty} + O(s)$ , as  $s \neq 0$  on  $X^\infty$ .

Now  $\{X^x : x \in s_{\text{top}}^{-1}(0)\} \amalg \{X^\infty\}$  is an open cover of  $X$ . Choose a subordinate partition of unity  $\{\eta^x : x \in s_{\text{top}}^{-1}(0)\} \amalg \{\eta^\infty\}$  as in §B.1.4. Define  $\theta_2 : F \rightarrow g^*(G)$  by  $\theta_2 = \sum_{x \in s_{\text{top}}^{-1}(0)} \eta^x \cdot \theta_2^x + \eta^\infty \cdot \theta_2^\infty$ . Then using locality and  $C^\infty(X)$ -linearity in Theorem 3.17(a),(b) we see that  $\theta_2 = \theta_1 + O(s)$  on  $X$ , as we have to prove.

Now suppose we have morphisms  $\theta_2, \tilde{\theta}_2 : F \rightarrow g^*(G)$  with  $\theta_2 = \theta_1 + O(s)$  and  $\tilde{\theta}_2 = \theta_1 + O(s)$  as in Definition B.36(iv). We must show that  $\tilde{\theta}_2 = \theta_2 + O(s)$  as in Definition B.36(i). By Theorem 3.17(a) it is enough to prove this locally near each  $x \in s_{\text{top}}^{-1}(0)$ . So choose a small open neighbourhood  $X'$  of  $x$ . By Lemma B.51 we can use the same diagram (B.28) involving  $U, V, k_1, k_2$  and morphism  $v : V \rightarrow Y$

for verifying the conditions  $\theta_2|_{X'} = \theta_1|_{X'} + O(s)$  and  $\tilde{\theta}_2|_{X'} = \theta_1|_{X'} + O(s)$ . Thus by Definition B.36(iv) there exist morphisms  $\phi, \tilde{\phi} : \pi^*(F)|_V \rightarrow v^*(G)$  with  $k_1^*(\phi) = k_1^*(\tilde{\phi}) = \theta_1|_U$ ,  $k_2^*(\phi) = \theta_2|_U$  and  $k_2^*(\tilde{\phi}) = \tilde{\theta}_2|_U$ .

Making  $X', U', V'$  smaller we can suppose  $E|_{X'}, F|_{X'}, f^*(G)|_{X'}, g^*(G)|_{X'}, v^*(G)$  are trivial, and choose isomorphisms  $E|_{X'} \cong X' \times \mathbb{R}^n$ ,  $F|_{X'} \cong X' \times \mathbb{R}^r$ ,  $f^*(G)|_{X'} \cong X' \times \mathbb{R}^s \cong g^*(G)|_{X'}$ ,  $v^*(G) \cong V \times \mathbb{R}^s$  which are compatible with  $k_1^*(v^*(G)) = f^*(G)|_U$ ,  $k_2^*(v^*(G)) = g^*(G)|_U$  for  $U \subseteq X'$ . Then we can interpret  $s|_{X'}$  as a morphism  $s' = (s'_1, \dots, s'_n) : X' \rightarrow \mathbb{R}^n$  in **Man**, and  $\theta_1|_{X'}, \theta_2|_{X'}, \tilde{\theta}_2|_{X'}$  as  $\theta'_1, \theta'_2, \tilde{\theta}'_2 : X' \rightarrow (\mathbb{R}^r)^* \otimes \mathbb{R}^s$ , and  $\phi, \tilde{\phi}$  as  $\phi', \tilde{\phi}' : V \rightarrow (\mathbb{R}^r)^* \otimes \mathbb{R}^s$ .

We then have  $V \hookrightarrow E|_{X'} \cong X' \times \mathbb{R}^n$  open, so writing points of  $V_{\text{top}}$  as  $(x, \mathbf{z})$  for  $x \in X'_{\text{top}}$  and  $\mathbf{z} = (z_1, \dots, z_n) \in \mathbb{R}^n$ , for all  $x \in U_{\text{top}} \subseteq X'_{\text{top}}$  we have

$$\begin{aligned} \phi'_{\text{top}}(x, 0) &= \theta'_{1,\text{top}}(x), & \phi'_{\text{top}}(x, s_{\text{top}}(x)) &= \theta'_{2,\text{top}}(x), \\ \tilde{\phi}'_{\text{top}}(x, 0) &= \theta'_{1,\text{top}}(x), & \tilde{\phi}'_{\text{top}}(x, s_{\text{top}}(x)) &= \tilde{\theta}'_{2,\text{top}}(x). \end{aligned} \quad (\text{B.52})$$

Applying Assumption 3.5 to  $\tilde{\phi}' - \phi' : V \rightarrow (\mathbb{R}^r)^* \otimes \mathbb{R}^s$ , we see that there exist morphisms  $g_1, \dots, g_n : V \rightarrow (\mathbb{R}^r)^* \otimes \mathbb{R}^s$  with

$$\tilde{\phi}'_{\text{top}}(x, \mathbf{z}) - \phi'_{\text{top}}(x, \mathbf{z}) = \sum_{i=1}^n z_i \cdot g_{i,\text{top}}(x, \mathbf{z}). \quad (\text{B.53})$$

Define a vector bundle morphism  $\alpha : E|_U \rightarrow F^*|_U \otimes g^*(G)|_U$  on points by

$$\alpha|_x : (e_1, \dots, e_n) = \sum_{i=1}^n e_i \cdot g_{i,\text{top}}(x, s_{\text{top}}(x)),$$

for  $x \in U_{\text{top}} \subseteq X'_{\text{top}}$  and  $(e_1, \dots, e_n) \in E|_x \cong \mathbb{R}^n$ , using the chosen trivializations. Then (B.52)–(B.53) imply that  $\alpha \circ s = \tilde{\theta}_2|_U - \theta_2|_U$ , so  $\tilde{\theta}_2|_U = \theta_2|_U + O(s)$  on  $U$  as in Definition B.36(i). As  $x \in U_{\text{top}}$  and we can find such  $U$  for any  $x \in s_{\text{top}}^{-1}(0)$ , Theorem 3.17(a) implies that  $\tilde{\theta}_2 = \theta_2 + O(s)$ , as we have to prove.

### Proof of Theorem 3.17(g)

Let  $f, g : X \rightarrow Y$  be morphisms with  $g = f + O(s)$ , and  $F \rightarrow X$  be a vector bundle, and  $\Lambda_1 : F \rightarrow \mathcal{T}_f Y$  be a morphism. We want to construct a morphism  $\Lambda_2 : F \rightarrow \mathcal{T}_g Y$  with  $\Lambda_2 = \Lambda_1 + O(s)$  as in Definition B.36(v), and show that such  $\Lambda_2$  are unique up to  $O(s)$  as in Definition B.36(ii).

As  $g = f + O(s)$ , by Definition B.36(iii) there is a commutative diagram (B.28) involving  $U, V, k_1, k_2$  and a morphism  $v : V \rightarrow Y$  with  $v \circ k_1 = f|_U$  and  $v \circ k_2 = g|_U$ . By Proposition B.33 there exists a diagram (B.22)

$$\begin{array}{ccccc} & & X & & \\ & \swarrow 0_E & \downarrow l & \searrow f & \\ F & \xleftarrow{j} & W & \xrightarrow{w} & Y, \end{array}$$

such that  $\Lambda_1 = \theta_{W,w}$ . Let  $x \in s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}} \subseteq X_{\text{top}}$ , and choose an open neighbourhood  $X^x \hookrightarrow U$  of  $x$  in  $U$  such that  $E|_{X^x}, F|_{X^x}$  are trivial, and choose trivializations  $E|_{X^x} \cong X^x \times \mathbb{R}^n$ ,  $F|_{X^x} \cong X^x \times \mathbb{R}^r$ .

We now use Assumption 3.7(a) with  $k = 2$  to construct open  $Z \hookrightarrow X^x \times \mathbb{R}^n \times \mathbb{R}^r$  with  $X_{\text{top}}^x \times \{(0, 0)\} \subseteq Z_{\text{top}}$  and a morphism  $z : Z \rightarrow Y$  such that  $z_{\text{top}}(x', \mathbf{e}, 0) = v_{\text{top}}(x', \mathbf{e})$  and  $z_{\text{top}}(x', 0, \mathbf{f}) = w_{\text{top}}(x', \mathbf{f})$  for all  $x' \in X_{\text{top}}^x$ ,  $\mathbf{e} \in \mathbb{R}^n$  and  $\mathbf{f} \in \mathbb{R}^r$  for which both sides are defined. (Here to get  $\mathbb{R}^n \oplus \mathbb{R}^n$  rather than  $\mathbb{R}^n \oplus \mathbb{R}^r$ , as in Assumption 3.7(a), we replace both  $n, r$  by  $\max(n, r)$  and add an extra trivial factor of  $\mathbb{R}^{|n-r|}$  to  $E|_{X^x}$  or  $F|_{X^x}$ .)

Let  $V' \hookrightarrow V$  and  $U' \hookrightarrow X^x$  be the open submanifolds and  $k'_1, k'_2 : U' \rightarrow V'$  the morphisms with

$$\begin{aligned} V'_{\text{top}} &= \{(x', \mathbf{e}) : (x', \mathbf{e}, 0) \in Z_{\text{top}}, (x', \mathbf{e}) \in V_{\text{top}}\}, \\ U'_{\text{top}} &= \{x' : (x', 0) \in V'_{\text{top}}, (x', s_{\text{top}}(x')) \in V'_{\text{top}}\}, \\ k'_{1,\text{top}}(x') &= (x', 0), \quad k'_{2,\text{top}}(x') = (x', s_{\text{top}}(x')). \end{aligned}$$

Then  $x \in U'_{\text{top}}$ . Define  $M : \pi^*(F)|_{V'} \rightarrow \mathcal{T}_v Y|_{V'}$  by  $M = \theta_{Z,z}$ , in the notation of Definition B.32. Then  $z_{\text{top}}(x', 0, \mathbf{f}) = w_{\text{top}}(x', \mathbf{f})$  with  $\Lambda_1 = \theta_{W,w}$  and  $k'_{1,\text{top}}(x') = (x', 0)$  imply that  $k'_1{}^*(M) = \Lambda_1|_{U'}$ . Define  $\Lambda'_2 : F|_{U'} \rightarrow \mathcal{T}_g Y|_{U'}$  by  $\Lambda'_2 = k'_2{}^*(M)$ . Then Definition B.36(v) says that  $\Lambda'_2 = \Lambda_1|_{U'} + O(s)$  on  $U'$ .

This shows that we can construct  $\Lambda_2 : F \rightarrow \mathcal{T}_g Y$  with  $\Lambda_2 = \Lambda_1 + O(s)$  locally near each  $x$  in  $s_{\text{top}}^{-1}(0)$ . The proof can now be completed in a similar way to part (f).

### Proof of Theorem 3.17(h)

Let  $X, E, s, f, Y, F, G, t, \Lambda$  be as in Definition B.36(vi). By Proposition B.14(a) we may choose a connection  $\nabla$  on  $G$ . Then  $\theta = f^*(\nabla t) \circ \Lambda : F \rightarrow f^*(G)$  is a vector bundle morphism as in §B.4.8, with  $\theta = f^*(dt) \circ \Lambda + O(s)$ , so such  $\theta$  exist as we want. Uniqueness of  $\theta$  up to  $O(s)$  in the sense of Definition B.36(i) is immediate from Definition B.36(vi) and Theorem 3.17(a).

### Proof of Theorem 3.17(i)

Suppose  $f, g : X \rightarrow Y$  are morphisms with  $g = f + O(s)$ . Then by Definition B.36(iii) there exists a diagram (B.28) involving  $U, V, k_1, k_2$  with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}} \subseteq X_{\text{top}}$  and a morphism  $v : V \rightarrow Y$  with  $v \circ k_1 = f|_U$  and  $v \circ k_2 = g|_U$ . Then Definition B.32 gives  $\theta_{V,v} : E|_U \rightarrow \mathcal{T}_f Y|_U$  with  $g = f + \theta_{V,v} \circ s + O(s^2)$  on  $U$ . Let  $W \hookrightarrow X$  be the open submanifold with  $W_{\text{top}} = X_{\text{top}} \setminus s_{\text{top}}^{-1}(0)$ . Then  $\{U, W\}$  is an open cover of  $X$ . Choose a subordinate partition of unity  $\{\eta_U, \eta_W\}$  as in §B.1.4, and define  $\Lambda = \eta_U \cdot \theta_{V,v} : E \rightarrow \mathcal{T}_f Y$ . Then  $g = f + \Lambda \circ s + O(s^2)$  on  $X$ , since near  $s_{\text{top}}^{-1}(0)$  in  $X_{\text{top}}$  we have  $\Lambda = \theta_{V,v}$  with  $g = f + \theta_{V,v} \circ s + O(s^2)$ , and the condition is local near  $s_{\text{top}}^{-1}(0)$  by Theorem 3.17(a).

### Proof of Theorem 3.17(j)

Let  $f, g : X \rightarrow Y$  be morphisms in  $\mathbf{Man}$  with  $g = f + O(s)$ , and  $\Lambda, \tilde{\Lambda} : E \rightarrow \mathcal{T}_f Y$  be morphisms with  $g = f + \Lambda \circ s + O(s^2)$  as in Definition B.36(vii) and  $\tilde{\Lambda} = \Lambda + O(s)$

as in Definition B.36(ii). We must prove that  $g = f + \tilde{\Lambda} \circ s + O(s^2)$ . By Theorem 3.17(a) it is enough to prove this near each  $\tilde{x}$  in  $s^{-1}(0) \subseteq X$ .

So fix  $\tilde{x} \in s^{-1}(0)$ , and let  $\check{X}$  be a small open neighbourhood of  $x$  in  $X$  on which  $\check{E} = E|_{\check{X}}$  is trivial, and identify  $\check{E} \cong \check{X} \times \mathbb{R}^n$ . Write points of  $\check{E}_{\text{top}}$  as  $(x, z)$  for  $x \in \check{X}_{\text{top}}$  and  $z \in \mathbb{R}^n$ , and regard  $\check{s} = s|_{\check{X}}$  as a morphism  $\check{s} : \check{X} \rightarrow \mathbb{R}^n$ . By Definition B.36(vii) there is a commutative diagram

$$\begin{array}{ccccc}
& & Y & & \\
& \nearrow f & \uparrow v^1 & \nwarrow g & \\
U^1 & \xrightarrow{k_1} & V^1 & \xleftarrow{k_2} & U^1 \\
\downarrow \hookrightarrow & & \downarrow \hookrightarrow & & \downarrow \hookrightarrow \\
\check{X} & \xrightarrow{\text{id}_{\check{X}} \times 0} & \check{E} = \check{X} \times \mathbb{R}^n & \xleftarrow{\text{id}_{\check{X}} \times \check{s}} & \check{X},
\end{array} \tag{B.54}$$

with  $\check{s}_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}^1$  and  $\Lambda|_{U^1} = \theta_{V^1, v^1}$ , with morphisms ' $\hookrightarrow$ ' open submanifolds. By Definition B.36(ii) there is a commutative diagram

$$\begin{array}{ccccc}
U^2 & \xrightarrow{k_1^2} & V^2 & \xleftarrow{k_2^2} & U^2 \\
\downarrow \hookrightarrow & & \downarrow \hookrightarrow & & \downarrow \hookrightarrow \\
\check{X} & \xrightarrow{\text{id}_{\check{X}} \times 0} & \check{E} = \check{X} \times \mathbb{R}^n & \xleftarrow{\text{id}_{\check{X}} \times \check{s}} & \check{X},
\end{array}$$

with  $\check{s}_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}^2$  and a morphism  $M : \pi^*(\check{E})|_{V^2} \rightarrow \mathcal{T}_{f \circ \pi} Y|_{V^2}$  with  $k_1^{2*}(M) = \Lambda|_{U^2}$  and  $k_2^{2*}(M) = \tilde{\Lambda}|_{U^2}$ .

By Proposition B.33 there exists a diagram

$$\begin{array}{ccccc}
& & V^2 & & \\
& \swarrow 0_{\check{E}} & \downarrow & \searrow f \circ \pi \circ j' & \\
\pi^*(\check{E})|_{V^2} = V^2 \times \mathbb{R}^n & \xrightarrow{\quad} & W^1 & \xrightarrow{w^1} & Y,
\end{array} \tag{B.55}$$

with  $M = \theta_{W^1, w^1}$ . Define  $V^3, V^4 \hookrightarrow \check{E} = \check{X} \times \mathbb{R}^n$  to be the open submanifolds and  $v^3 : V^3 \rightarrow Y$ ,  $v^4 : V^4 \rightarrow Y$  the morphisms with

$$\begin{aligned}
V_{\text{top}}^3 &= \{(x, z) \in \check{X}_{\text{top}} \times \mathbb{R}^n : (x, 0, z) \in W_{\text{top}}^1\}, \\
V_{\text{top}}^4 &= \{(x, z) \in \check{X}_{\text{top}} \times \mathbb{R}^n : (x, \check{s}_{\text{top}}(x), z) \in W_{\text{top}}^1\}, \\
v_{\text{top}}^3(x, z) &= w_{\text{top}}^1(x, 0, z), \quad v_{\text{top}}^4(x, z) = w_{\text{top}}^1(x, \check{s}_{\text{top}}(x), z).
\end{aligned} \tag{B.56}$$

Then  $k_1^{2*}(M) = \Lambda|_{U^2}$  and  $k_2^{2*}(M) = \tilde{\Lambda}|_{U^2}$  give  $\Lambda|_{U^2} = \theta_{V^3, v^3}$  and  $\tilde{\Lambda}|_{U^2} = \theta_{V^4, v^4}$ .

Let  $U^3 \hookrightarrow \check{X}$  be the open submanifold with  $U_{\text{top}}^3 = U_{\text{top}}^1 \cap U_{\text{top}}^2$ . Then  $\theta_{V^1, v^1}|_{U^3} = \Lambda|_{U^3} = \theta_{V^3, v^3}|_{U^3}$ . Therefore, extending Definition B.16, and making  $\check{X}$  smaller if necessary, we can find an open submanifold  $W^2 \hookrightarrow \check{X} \times \mathbb{R}^n \times \mathbb{R}^n$  with  $U_{\text{top}}^3 \times \{(0, 0)\} \subseteq W_{\text{top}}^2$  and a morphism  $w^2 : W^2 \rightarrow Y$  with

$$\begin{aligned}
w_{\text{top}}^2(x, z_1, 0) &= v_{\text{top}}^1(x, z_1), & w_{\text{top}}^2(x, 0, z_2) &= v_{\text{top}}^3(x, z_2), \\
\text{and} \quad w_{\text{top}}^2(x, z_1, -z_1) &= f_{\text{top}}(x).
\end{aligned} \tag{B.57}$$



When  $n = 1$  the existence of  $W^2, w^2$  follows from  $\theta_{V^1, v^1}|_{U^3} = \theta_{V^3, v^3}|_{U^3}$  and Definition B.16, where  $w_{\text{top}}^2(x, \mathbf{z}_1, -\mathbf{z}_1) = f_{\text{top}}(x)$  in (B.57) corresponds to  $v_{\text{top}}(x, s, -s) = f_{\text{top}}(x)$  in (B.6). For  $n > 1$ , we split  $\theta_{V^1, v^1}|_{U^3}, \theta_{V^3, v^3}|_{U^3}$  into  $n$  components in  $\Gamma(\mathcal{T}_f Y|_{U^3})$ , each of which admits an extension to  $W_i^2 \hookrightarrow \check{X} \times \mathbb{R} \times \mathbb{R}$ ,  $w_i^2 : W_i^2 \rightarrow Y$  as in (B.57) for  $i = 1, \dots, n$ , and then we use Assumption 3.7(a) repeatedly to construct  $W^2, w^2$  in a similar way to the proof in Definition B.18 choosing  $w_{\text{top}}$  to satisfy (B.9).

Next we apply Assumption 3.7(a) with  $k = 3$  to choose open  $Z \hookrightarrow \check{X} \times (\mathbb{R}^n)^3$  with  $\check{s}_{\text{top}}^{-1}(0) \times \{(0, 0, 0)\} \subseteq Z_{\text{top}}$  and a morphism  $z : Z \rightarrow Y$  with

$$\begin{aligned} z_{\text{top}}(x, \mathbf{z}_1, \mathbf{z}_2, 0) &= f_{\text{top}}(x), & z_{\text{top}}(x, \mathbf{z}_1, 0, \mathbf{z}_3) &= w_{\text{top}}^1(x, \mathbf{z}_1, \mathbf{z}_3), \\ \text{and} & & z_{\text{top}}(x, 0, \mathbf{z}_2, \mathbf{z}_3) &= w_{\text{top}}^2(x, \mathbf{z}_2, \mathbf{z}_3 - \mathbf{z}_2). \end{aligned} \quad (\text{B.58})$$

Here pairs of equations in (B.58) give the same values on intersections

$$z_{\text{top}}(x, \mathbf{z}_1, 0, 0) = f_{\text{top}}(x), \quad z_{\text{top}}(x, 0, \mathbf{z}_2) = f_{\text{top}}(x), \quad z_{\text{top}}(x, 0, 0, \mathbf{z}_3) = v_{\text{top}}^3(x, \mathbf{z}_3),$$

by (B.54)–(B.57), so Assumption 3.7(a) applies.

Define  $U^4 \hookrightarrow \check{X}$ ,  $V^5 \hookrightarrow \check{X} \times \mathbb{R}^n$ ,  $W^3 \hookrightarrow \check{X} \times \mathbb{R}^n \times \mathbb{R}^n$  to be the open submanifolds and  $v^5 : V^5 \rightarrow Y$ ,  $w^3 : W^3 \rightarrow Y$  the morphisms with

$$\begin{aligned} U_{\text{top}}^4 &= \{x \in \check{X}_{\text{top}} : (x, s_{\text{top}}(x), 0, 0) \in Z_{\text{top}}, (x, 0, s_{\text{top}}(x), s_{\text{top}}(x)) \in Z_{\text{top}}\}, \\ V_{\text{top}}^5 &= \{(x, \mathbf{z}_1) \in \check{X}_{\text{top}} \times \mathbb{R}^n : (x, s_{\text{top}}(x) - \mathbf{z}_1, \mathbf{z}_1, \mathbf{z}_1) \in Z_{\text{top}}\}, \\ W_{\text{top}}^3 &= \{(x, \mathbf{z}_1, \mathbf{z}_2) \in \check{X}_{\text{top}} \times \mathbb{R}^n \times \mathbb{R}^n : (x, s_{\text{top}}(x) - \mathbf{z}_1, \mathbf{z}_1, \mathbf{z}_1 + \mathbf{z}_2) \in Z_{\text{top}}\}, \\ v_{\text{top}}^5(x, \mathbf{z}_1) &= z_{\text{top}}(x, s_{\text{top}}(x) - \mathbf{z}_1, \mathbf{z}_1, \mathbf{z}_1), \\ w_{\text{top}}^3(x, \mathbf{z}_1, \mathbf{z}_2) &= z_{\text{top}}(x, s_{\text{top}}(x) - \mathbf{z}_1, \mathbf{z}_1, \mathbf{z}_1 + \mathbf{z}_2). \end{aligned} \quad (\text{B.59})$$

Then  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}^4$ . From (B.56), (B.58) and (B.59) we see that

$$w_{\text{top}}^3(x, \mathbf{z}_1) = v_{\text{top}}^5(x, \mathbf{z}_1), \quad w_{\text{top}}^3(x, 0, \mathbf{z}_2) = v_{\text{top}}^4(x, \mathbf{z}_2), \quad w_{\text{top}}^3(x, \mathbf{z}_1, -\mathbf{z}_1) = f_{\text{top}}(x).$$

Hence combining Definitions B.16, B.32 shows that  $\theta_{V^5, v^5} = \theta_{V^4, v^4}|_{U^4}$ . Now

$$\begin{aligned} v_{\text{top}}^5(x, \check{s}_{\text{top}}(x)) &= z_{\text{top}}(x, 0, \check{s}_{\text{top}}(x), \check{s}_{\text{top}}(x)) = w_{\text{top}}^2(x, \check{s}_{\text{top}}(x), 0) \\ &= v_{\text{top}}^1(x, \check{s}_{\text{top}}(x)) = g_{\text{top}}(x) \end{aligned}$$

for  $x \in U_{\text{top}}^4$ , by (B.54), (B.57), (B.58), and (B.59). Thus Definition B.36(vii) with  $U^4, V^5, v^5$  in (B.29) shows that  $g|_{U^4} = f|_{U^4} + \theta_{V^5, v^5} \circ s + O(s^2)$ . But from above  $\tilde{\Lambda}|_{U^2} = \theta_{V^4, v^4}$  and  $\theta_{V^5, v^5} = \theta_{V^4, v^4}|_{U^4}$ . Therefore  $g|_{U^4} = f|_{U^4} + \tilde{\Lambda}|_{U^4} \circ s + O(s^2)$  on  $U^4$ . Since  $\check{x} \in U_{\text{top}}^4$  and this holds for all  $\check{x} \in s^{-1}(0)$ , Theorem 3.17(a) implies that  $g = f + \tilde{\Lambda} \circ s + O(s^2)$  on  $X$ , proving part (j).

### Proof of Theorem 3.17(k)

Let  $f, g : X \rightarrow Y$  be morphisms in  $\dot{\mathbf{Man}}$  with  $g = f + O(s)$ , and  $\Lambda : E \rightarrow \mathcal{T}_f Y$  be a morphism with  $g = f + \Lambda \circ s + O(s^2)$ . Theorem 3.17(g) gives  $\tilde{\Lambda} : F \rightarrow \mathcal{T}_g Y$

with  $\tilde{\Lambda} = \Lambda + O(s)$  as in Definition B.36(v), where  $\tilde{\Lambda}$  is unique up to  $O(s)$ . We must show that  $f = g + (-\tilde{\Lambda}) \circ s + O(s^2)$ .

By Definition B.36(vii) there is a commutative diagram (B.29) involving  $U, V, k_1, k_2, v$ , with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$  and  $\Lambda|_U = \theta_{V,v}$ . Define  $V', V'' \hookrightarrow E$  to be the open submanifolds and  $v' : V' \rightarrow Y, v'' : V'' \rightarrow Y$  the morphisms with

$$\begin{aligned} V'_{\text{top}} &= \{(x, e) \in E_{\text{top}} : (x, s_{\text{top}}(x) + e) \in V_{\text{top}}\}, \\ V''_{\text{top}} &= \{(x, e) \in E_{\text{top}} : (x, s_{\text{top}}(x) - e) \in V_{\text{top}}\}, \\ v'_{\text{top}}(x, e) &= v_{\text{top}}(x, s_{\text{top}}(x) + e), \quad v''_{\text{top}}(x, e) = v_{\text{top}}(x, s_{\text{top}}(x) - e). \end{aligned} \tag{B.60}$$

Then (B.29) implies that  $0_{E, \text{top}}(U_{\text{top}}) \subseteq V'_{\text{top}}, V''_{\text{top}}$  and  $v'_{\text{top}}(x, 0) = v''_{\text{top}}(x, 0) = g_{\text{top}}(x)$  for  $x \in U_{\text{top}}$ . Hence Definition B.32 defines morphisms

$$\theta_{V', v'} : E|_U \longrightarrow \mathcal{T}_g Y|_U, \quad \theta_{V'', v''} : E|_U \longrightarrow \mathcal{T}_g Y|_U.$$

Since (B.60) gives  $v''_{\text{top}}(x, e) = v'_{\text{top}}(x, -e)$  for all  $(x, e) \in V''_{\text{top}}$  we see from §B.4.2 that  $\theta_{V'', v''} = -\theta_{V', v'}$ . For  $x \in U_{\text{top}}$  we have  $v''_{\text{top}}(x, s_{\text{top}}(x)) = v_{\text{top}}(x, 0) = f_{\text{top}}(x)$  by (B.29) and (B.60). Hence  $f|_U = g|_U + \theta_{V'', v''} \circ s + O(s^2)$  on  $U$  by Definition B.36(vii).

Writing  $\pi : V \rightarrow X$  for the projection we have a vector bundle  $\pi^*(E) \rightarrow V$ . Write points of  $\pi^*(E)$  as  $(x, e_1, e_2)$  where  $\pi_{\text{top}} : \pi^*(E)_{\text{top}} \rightarrow V_{\text{top}}$  maps  $(x, e_1, e_2) \mapsto (x, e_1)$ . Define  $W \hookrightarrow \pi^*(E)$  to be the open submanifold and  $w : W \rightarrow Y$  the morphism with

$$\begin{aligned} W_{\text{top}} &= \{(x, e_1, e_2) \in \pi^*(E)_{\text{top}} : (x, e_1 + e_2) \in V_{\text{top}}\}, \\ w_{\text{top}}(x, e_1, e_2) &= v_{\text{top}}(x, e_1 + e_2). \end{aligned}$$

Since  $0_{\pi^*(E), \text{top}}(V_{\text{top}}) \subseteq W_{\text{top}}$  with  $w_{\text{top}}(x, e_1, 0) = v_{\text{top}}(x, e_1)$  for  $(x, e_1) \in V_{\text{top}}$ , Definition B.32 defines a morphism  $\theta_{W, w} : \pi^*(E) \rightarrow \mathcal{T}_v Y$ . As  $k_1(x) = (x, 0)$  and  $w_{\text{top}}(x, 0, e) = v_{\text{top}}(x, e)$  we have  $k_1^*(\theta_{W, w}) = \theta_{V, v}|_U$ . Since  $k_2(x) = (x, s_{\text{top}}(x))$  and  $w_{\text{top}}(x, s_{\text{top}}(x), e) = v'_{\text{top}}(x, e)$  we have  $k_2^*(\theta_{W, w}) = \theta_{V', v'}$ . Thus  $\theta_{V', v'} = \theta_{V, v}|_U + O(s)$  by Definition B.36(ii).

We now have morphisms  $\Lambda|_U, \theta_{V, v}|_U : E|_U \rightarrow \mathcal{T}_f Y|_U$  and  $\tilde{\Lambda}|_U, \theta_{V', v'} : E|_U \rightarrow \mathcal{T}_g Y|_U$  with  $\Lambda|_U = \theta_{V, v}|_U$  and  $\tilde{\Lambda}|_U = \Lambda|_U + O(s)$ ,  $\theta_{V', v'} = \theta_{V, v}|_U + O(s)$  as in Definition B.36(v). Thus uniqueness up to  $O(s)$  in Theorem 3.17(g) shows that  $\tilde{\Lambda}|_U = \theta_{V', v'} + O(s)$  as in Definition B.36(ii). Also  $\theta_{V'', v''} = -\theta_{V', v'}$ , so  $\theta_{V'', v''} = -\tilde{\Lambda}|_U + O(s)$ , and  $f|_U = g|_U + \theta_{V'', v''} \circ s + O(s^2)$ . Therefore Theorem 3.17(j) shows that  $f|_U = g|_U + (-\tilde{\Lambda}|_U) \circ s + O(s^2)$ . Since  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$ , Theorem 3.17(a) now yields  $f = g + (-\tilde{\Lambda}) \circ s + O(s^2)$ , as we have to prove.

### Proof of Theorem 3.17(1)

Let  $f, g, h : X \rightarrow Y$  be morphisms in  $\mathbf{Man}$  with  $g = f + O(s)$ ,  $h = g + O(s)$  and  $\Lambda_1 : E \rightarrow \mathcal{T}_f Y, \Lambda_2 : E \rightarrow \mathcal{T}_g Y$  be morphisms with  $g = f + \Lambda_1 \circ s + O(s^2)$  and  $h = g + \Lambda_2 \circ s + O(s^2)$ . Theorem 3.17(g) gives  $\tilde{\Lambda}_2 : E \rightarrow \mathcal{T}_f Y$  with

$\tilde{\Lambda}_2 = \Lambda_2 + O(s)$  as in Definition B.36(v), unique up to  $O(s)$ . We must show that  $h = f + (\Lambda_1 + \tilde{\Lambda}_2) \circ s + O(s^2)$ .

Suppose first that  $E \rightarrow X$  is trivial, and identify  $E \cong X \times \mathbb{R}^n$ . Write points of  $E_{\text{top}}$  as  $(x, \mathbf{z})$  for  $x \in X_{\text{top}}$  and  $\mathbf{z} \in \mathbb{R}^n$ , and regard  $s$  as a morphism  $X \rightarrow \mathbb{R}^n$ . By Definition B.36(vii) there are commutative diagrams

$$\begin{array}{ccccc}
 & & Y & & \\
 & f \nearrow & \uparrow v^1 & \nwarrow g & \\
 U^1 & \xrightarrow{\quad} & V^1 & \xleftarrow{\quad} & U^1 \\
 \downarrow \wr & \searrow k_1 & \downarrow \wr & \swarrow k_2 & \downarrow \wr \\
 X & \xrightarrow{\text{id}_X \times 0} & E = X \times \mathbb{R}^n & \xleftarrow{\text{id}_X \times s} & X,
 \end{array} \tag{B.61}$$

$$\begin{array}{ccccc}
 & & Y & & \\
 & g \nearrow & \uparrow v^2 & \nwarrow h & \\
 U^2 & \xrightarrow{\quad} & V^2 & \xleftarrow{\quad} & U^2 \\
 \downarrow \wr & \searrow k_1 & \downarrow \wr & \swarrow k_2 & \downarrow \wr \\
 X & \xrightarrow{\text{id}_X \times 0} & E = X \times \mathbb{R}^n & \xleftarrow{\text{id}_X \times s} & X,
 \end{array} \tag{B.62}$$

with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}^1, U_{\text{top}}^2$  and  $\Lambda_1|_{U^1} = \theta_{V^1, v^1}$ ,  $\Lambda_2|_{U^2} = \theta_{V^2, v^2}$ .

Apply Assumption 3.7(a) with  $k = 2$  to choose open  $W^1 \hookrightarrow X \times \mathbb{R}^n \times \mathbb{R}^n$  with  $s_{\text{top}}^{-1}(0) \times \{(0, 0)\} \subseteq W_{\text{top}}^1$  and a morphism  $w^1 : W^1 \rightarrow Y$  with

$$w_{\text{top}}^1(x, \mathbf{z}_1, 0) = v_{\text{top}}^1(x, \mathbf{z}_1 + s_{\text{top}}(x)), \quad w_{\text{top}}^1(x, 0, \mathbf{z}_2) = v_{\text{top}}^2(x, \mathbf{z}_2). \tag{B.63}$$

Both equations have  $w_{\text{top}}^1(x, 0, 0) = g_{\text{top}}(x)$  by (B.61)–(B.62), so Assumption 3.7(a) applies. Define open submanifolds  $U^3 \hookrightarrow X$ ,  $V^3, V^4, V^5 \hookrightarrow X \times \mathbb{R}^n$ ,  $W^2 \hookrightarrow X \times \mathbb{R}^n \times \mathbb{R}^n$  and morphisms  $v^3 : V^3 \rightarrow Y$ ,  $v^4 : V^4 \rightarrow Y$ ,  $v^5 : V^5 \rightarrow Y$ ,  $w^2 : W^2 \rightarrow Y$ ,  $k_1^3, k_2^3 : U^3 \rightarrow V^5$  with

$$\begin{aligned}
 U_{\text{top}}^3 &= \{(x \in U_{\text{top}}^1 \cap U_{\text{top}}^2 : (x, 0, 0), (x, -s_{\text{top}}(x), 0), (x, 0, s_{\text{top}}(x)) \in W_{\text{top}}^1\} \\
 V_{\text{top}}^3 &= \{(x, \mathbf{z}) \in X_{\text{top}} \times \mathbb{R}^n : (x, -s_{\text{top}}(x), \mathbf{z}) \in W_{\text{top}}^1\}, \\
 V_{\text{top}}^4 &= \{(x, \mathbf{z}) \in X_{\text{top}} \times \mathbb{R}^n : (x, \mathbf{z} - s_{\text{top}}(x), \mathbf{z}) \in W_{\text{top}}^1\}, \\
 V_{\text{top}}^5 &= \{(x, \mathbf{z}) \in X_{\text{top}} \times \mathbb{R}^n : (x, \mathbf{z} - s_{\text{top}}(x), 0) \in W_{\text{top}}^1\}, \\
 W_{\text{top}}^2 &= \{(x, \mathbf{z}_1, \mathbf{z}_2) \in X_{\text{top}} \times \mathbb{R}^n \times \mathbb{R}^n : (x, \mathbf{z}_1 - s_{\text{top}}(x), \mathbf{z}_2) \in W_{\text{top}}^1\}, \\
 v_{\text{top}}^3(x, \mathbf{z}) &= w_{\text{top}}^1(x, -s_{\text{top}}(x), \mathbf{z}), \quad v_{\text{top}}^4(x, \mathbf{z}) = w_{\text{top}}^1(x, \mathbf{z} - s_{\text{top}}(x), \mathbf{z}), \\
 v_{\text{top}}^5(x, \mathbf{z}) &= w_{\text{top}}^1(x, \mathbf{z} - s_{\text{top}}(x), 0), \quad w_{\text{top}}^2(x, \mathbf{z}_1, \mathbf{z}_2) = w_{\text{top}}^1(x, \mathbf{z}_1 - s_{\text{top}}(x), \mathbf{z}_2), \\
 k_{1, \text{top}}^3(x) &= (x, 0) \quad \text{and} \quad k_{2, \text{top}}^3(x) = (x, s_{\text{top}}(x)).
 \end{aligned} \tag{B.64}$$

Then (B.61)–(B.64) imply that

$$\begin{aligned}
 v_{\text{top}}^3(x, 0) &= v_{\text{top}}^4(x, 0) = w_{\text{top}}^2(x, 0, 0) = f_{\text{top}}(x), & w_{\text{top}}^2(x, \mathbf{z}_1, 0) &= v_{\text{top}}^1(x, \mathbf{z}_1), \\
 w_{\text{top}}^2(x, 0, \mathbf{z}_2) &= v_{\text{top}}^3(x, \mathbf{z}_2), & w_{\text{top}}^2(x, \mathbf{z}_1, \mathbf{z}_1) &= v_{\text{top}}^4(x, \mathbf{z}_1).
 \end{aligned}$$

The first equation shows there are morphisms  $\theta_{V^3,v^3}, \theta_{V^4,v^4} : E|_{U^3} \rightarrow \mathcal{T}_f Y|_{U^3}$ , and the last three equations and the definition of addition in  $\Gamma(\mathcal{T}_f Y)$  in §B.4.2 imply that  $\theta_{V^4,v^4} = \theta_{V^1,v^1}|_{U^3} + \theta_{V^3,v^3}$ . Also for  $x \in U_{\text{top}}^3$  we have

$$v_{\text{top}}^4(x, s_{\text{top}}(x)) = w_{\text{top}}^1(x, 0, s_{\text{top}}(x)) = v_{\text{top}}^2(x, s_{\text{top}}(x)) = h_{\text{top}}(x)$$

by (B.62)–(B.64). Thus  $h|_{U^3} = f|_{U^3} + \theta_{V^4,v^4} \circ s + O(s^2)$  by Definition B.36(vii).

Consider  $W^2$  as an open set in the vector bundle  $\pi : \pi^*(E) \rightarrow E$  acting on points by  $\pi_{\text{top}} : (x, \mathbf{z}_1, \mathbf{z}_2) \mapsto (z, \mathbf{z}_1)$ . Then we have a morphism  $\theta_{W^2,w^2} : \pi^*(E)|_{V^5} \rightarrow \mathcal{T}_{v^5} Y$ . Since  $k_{1,\text{top}}^3(x) = (x, 0)$  with  $w_{\text{top}}^2(x, 0, \mathbf{z}_2) = v_{\text{top}}^3(x, \mathbf{z}_2)$  we have  $k_{1,\text{top}}^{3*}(\theta_{W^2,w^2}) = \theta_{V^3,v^3}|_{U^3}$ , and as  $k_{2,\text{top}}^3(x) = (x, s_{\text{top}}(x))$  with  $w^2(x, s_{\text{top}}(x), \mathbf{z}_2) = v_{\text{top}}^2(x, \mathbf{z}_2)$  we have  $k_{2,\text{top}}^{3*}(\theta_{W^2,w^2}) = \theta_{V^2,v^2}|_{U^3}$ . Therefore  $\theta_{V^3,v^3} = \theta_{V^2,v^2}|_{U^3} + O(s)$  by Definition B.36(ii).

We now have  $\tilde{\Lambda}_2|_{U^3}, \theta_{V^3,v^3} : E|_{U^3} \rightarrow \mathcal{T}_f Y|_{U^3}$  and  $\Lambda_2|_{U^3}, \theta_{V^2,v^2}|_{U^3} : E|_{U^3} \rightarrow \mathcal{T}_g Y|_{U^3}$  with  $\Lambda_2|_{U^3} = \theta_{V^2,v^2}|_{U^3}$  and  $\tilde{\Lambda}_2|_{U^3} = \Lambda|_{U^3} + O(s)$ ,  $\theta_{V^3,v^3} = \theta_{V^2,v^2}|_{U^3} + O(s)$  as in Definition B.36(v). Thus uniqueness up to  $O(s)$  in Theorem 3.17(g) shows that  $\tilde{\Lambda}_2|_{U^3} = \theta_{V^3,v^3} + O(s)$  as in Definition B.36(ii). Also  $\Lambda_1|_{U^3} = \theta_{V^1,v^1}|_{U^3}$  and  $\theta_{V^4,v^4} = \theta_{V^1,v^1}|_{U^3} + \theta_{V^3,v^3}$  from above, so  $\theta_{V^4,v^4} = \Lambda_1|_{U^3} + \tilde{\Lambda}_2|_{U^3} + O(s)$ . But  $h|_{U^3} = f|_{U^3} + \theta_{V^4,v^4} \circ s + O(s^2)$ , so Theorem 3.17(j) shows that  $h|_{U^3} = f|_{U^3} + (\Lambda_1 + \tilde{\Lambda}_2)|_{U^3} \circ s + O(s^2)$ . Since  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}^3$ , Theorem 3.17(a) now yields  $h = f + (\Lambda_1 + \tilde{\Lambda}_2) \circ s + O(s^2)$ .

This proves Theorem 3.17(l) when  $E \rightarrow X$  is trivial. But  $h = f + (\Lambda_1 + \tilde{\Lambda}_2) \circ s + O(s^2)$  is a local condition by Theorem 3.17(a), so by restricting to an open cover of subsets of  $X$  on which  $E$  is trivial, part (l) follows.

### Proof of Theorem 3.17(m)

Let  $f, g : X \rightarrow Y$  be morphisms with  $g = f + O(s)$ , and  $\Lambda_1, \dots, \Lambda_k : E \rightarrow \mathcal{T}_f Y$  be morphisms with  $g = f + \Lambda_a \circ s + O(s^2)$  for  $a = 1, \dots, k$ , and  $\alpha_1, \dots, \alpha_k \in C^\infty(X)$  with  $\alpha_1 + \dots + \alpha_k = 1$ . We must show that  $g = f + (\alpha_1 \cdot \Lambda_1 + \dots + \alpha_k \cdot \Lambda_k) \circ s + O(s^2)$ .

Suppose first that  $E \rightarrow X$  is trivial, and identify  $E \cong X \times \mathbb{R}^n$ . Write points of  $E_{\text{top}}$  as  $(x, \mathbf{z})$  for  $x \in X_{\text{top}}$  and  $\mathbf{z} \in \mathbb{R}^n$ , and regard  $s$  as a morphism  $X \rightarrow \mathbb{R}^n$ . By Definition B.36(vii), for  $i = 1, \dots, k$  there are commutative diagrams

$$\begin{array}{ccccc}
 & & Y & & \\
 & \nearrow f & \uparrow v^i & \nwarrow g & \\
 U^i & \xrightarrow{\quad} & V^i & \xleftarrow{\quad} & U^i \\
 \downarrow \wr & \searrow k_1^i & \downarrow \wr & \swarrow k_2^i & \downarrow \wr \\
 X & \xrightarrow{\text{id}_X \times 0} & E = X \times \mathbb{R}^n & \xleftarrow{\text{id}_X \times s} & X,
 \end{array} \tag{B.65}$$

with  $s_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}^i$  and  $\Lambda_i|_{U^i} = \theta_{V^i,v^i}$  for  $i = 1, \dots, k$ .

Apply Assumption 3.7(b) to choose an open submanifold  $W \hookrightarrow X \times (\mathbb{R}^n)^k$  and a morphism  $w : W \rightarrow Y$  satisfying:

- (i)  $s_{\text{top}}^{-1}(0) \times \{(0, \dots, 0)\} \subseteq W_{\text{top}} \subseteq X_{\text{top}} \times (\mathbb{R}^n)^k$ .

- (ii) if  $(x, (0, \dots, 0, \mathbf{z}_i, 0, \dots, 0)) \in W_{\text{top}}$  with  $\mathbf{z}_i$  in the  $i^{\text{th}}$  copy of  $\mathbb{R}^n$  for  $i = 1, \dots, k$  then  $(x, \mathbf{z}_i) \in V_{\text{top}}^i$  and  $v_{\text{top}}^i(x, \mathbf{z}_i) = w_{\text{top}}(x, (0, \dots, 0, \mathbf{z}_i, 0, \dots, 0))$ .
- (iii) If  $x \in X_{\text{top}}$  and  $t_1, \dots, t_k \in \mathbb{R}$  with  $\sum_{i=1}^k t_i = 1$  and  $(x, (t_1 \cdot s_{\text{top}}(x), \dots, t_k \cdot s_{\text{top}}(x))) \in W_{\text{top}}$  then  $w_{\text{top}}(x, (t_1 \cdot s_{\text{top}}(x), \dots, t_k \cdot s_{\text{top}}(x))) = g_{\text{top}}(x)$ .

Actually we use Assumption 3.7(b) inductively  $2^k - k - 1$  times to choose  $w_{\text{top}}(x, (\mathbf{z}_1, \dots, \mathbf{z}_k))$  with subsets of the  $\mathbf{z}_1, \dots, \mathbf{z}_k$  zero, as for (a)–(d) in the proof of Theorem 3.17(a)(iii),(iv),(v),(vii) above.

Define open submanifolds  $U' \hookrightarrow X$ ,  $V' \hookrightarrow E = X \times \mathbb{R}^n$  and morphisms  $v' : V' \rightarrow Y$ ,  $k'_1, k'_2 : U' \rightarrow V'$  with

$$\begin{aligned} V'_{\text{top}} &= \{(x, \mathbf{z}) \in X_{\text{top}} \times \mathbb{R}^n : (x, (\alpha_{1,\text{top}}(x)\mathbf{z}, \dots, \alpha_{k,\text{top}}(x)\mathbf{z})) \in W_{\text{top}}\}, \\ U'_{\text{top}} &= \{x \in X_{\text{top}} : (x, 0) \in V'_{\text{top}}, (x, s_{\text{top}}(x)) \in V'_{\text{top}}\}, \\ v'_{\text{top}}(x, \mathbf{z}) &= w_{\text{top}}(x, (x, (\alpha_{1,\text{top}}(x)\mathbf{z}, \dots, \alpha_{k,\text{top}}(x)\mathbf{z}))), \\ k'_{1,\text{top}}(x) &= (x, 0) \quad \text{and} \quad k'_{2,\text{top}}(x) = (x, s_{\text{top}}(x)). \end{aligned} \tag{B.66}$$

Then  $v'_{\text{top}}(x, 0) = w_{\text{top}}(x, (0, \dots, 0)) = v_{\text{top}}^i(x, 0) = f_{\text{top}}(x)$  for all  $x \in U'_{\text{top}}$  by (B.65)–(B.66) and (ii), so Definition B.32 gives  $\theta_{V',v'} : E|_{U'} \rightarrow \mathcal{T}_f Y|_{U'}$ . Also

$$v'_{\text{top}}(x, s_{\text{top}}(x)) = w_{\text{top}}(x, (\alpha_{1,\text{top}}(x) \cdot s_{\text{top}}(x), \dots, \alpha_{k,\text{top}}(x) \cdot s_{\text{top}}(x))) = g_{\text{top}}(x)$$

for all  $x \in U'_{\text{top}}$  by (B.66), (iii) and  $\sum_{i=1}^k \alpha_i = 1$ , so  $g|_{U'} = f|_{U'} + \theta_{V',v'} \circ s + O(s^2)$  by Definition B.36(vii). But comparing the definitions of  $W, w$  in (i)–(iii) above and the  $C^\infty(X)$ -module structure on  $\Gamma(\mathcal{T}_f Y)$  in §B.4.2 we see that

$$\theta_{V',v'} = \sum_{i=1}^k \alpha_i \cdot \theta_{V^i, v^i}|_{U'} = \sum_{i=1}^k \alpha_i \cdot \Lambda_i|_{U'}.$$

Hence  $g|_{U'} = f|_{U'} + (\alpha_1 \cdot \Lambda_1 + \dots + \alpha_k \cdot \Lambda_k)|_{U'} \circ s + O(s^2)$ , so that  $g = f + (\alpha_1 \cdot \Lambda_1 + \dots + \alpha_k \cdot \Lambda_k) \circ s + O(s^2)$  by Theorem 3.17(a), as  $s_{\text{top}}^{-1}(0) \subseteq U'_{\text{top}}$ .

This proves Theorem 3.17(m) when  $E \rightarrow X$  is trivial. But  $g = f + (\alpha_1 \cdot \Lambda_1 + \dots + \alpha_k \cdot \Lambda_k) \circ s + O(s^2)$  is a local condition by Theorem 3.17(a), so by restricting to an open cover of subsets of  $X$  on which  $E$  is trivial, part (m) follows.

### Proofs of Theorem 3.17(n)–(v)

Theorem 3.17(n)–(v) all deal with pullbacks or pushforwards of the  $O(s), O(s^2)$  conditions in Definition B.36 along a morphism  $f : X \rightarrow Y$  or  $g : Y \rightarrow Z$ . Most of the proofs are pretty straightforward: we take a commutative diagram (etc.) that demonstrates the initial  $O(s)$  or  $O(s^2)$  condition, and pull back by  $f$  or compose with  $g$ , to get the commutative diagram (etc.) that demonstrates the final  $O(s)$  or  $O(s^2)$  condition. The most complex proof is for the second part of (p), so we explain this here, and leave the others as an exercise for the reader.

Suppose that  $f : X \rightarrow Y$  and  $g, h : Y \rightarrow Z$  are morphisms in  $\mathbf{Man}$ , and  $F \rightarrow Y$  is a vector bundle, and  $t \in \Gamma^\infty(F)$ , and  $\theta : E \rightarrow f^*(F)$  is a morphism with  $\theta \circ s = f^*(t) + O(s^2)$ , and  $\Lambda : F \rightarrow \mathcal{T}_g Z$  is a morphism with  $h = g + \Lambda \circ t + O(t^2)$ . We must show that  $h \circ f = g \circ f + [f^*(\Lambda) \circ \theta] \circ s + O(s^2)$ .

As  $\theta \circ s = f^*(t) + O(s^2)$ , by Definition B.36(i) there exists  $\beta : E \otimes E \rightarrow f^*(F)$  such that  $\theta \circ s = f^*(t) + \beta \circ (s \otimes s)$  in  $\Gamma^\infty(f^*(F))$ . Since  $h = g + \Lambda \circ t + O(t^2)$ , by Definition B.36(vii) there exists a commutative diagram in **Man**

$$\begin{array}{ccccc}
 & & Z & & \\
 & g \nearrow & \uparrow v & \nwarrow h & \\
 U & \xrightarrow{k_1} & V & \xleftarrow{k_2} & U \\
 \downarrow & & \downarrow & & \downarrow \\
 Y & \xrightarrow{0_F} & F & \xleftarrow{t} & Y,
 \end{array} \tag{B.67}$$

with  $t_{\text{top}}^{-1}(0) \subseteq U_{\text{top}}$ , and  $\Lambda|_U = \theta_{V,v}$ .

Define open submanifolds  $U' \hookrightarrow X$ ,  $V' \hookrightarrow E$  and morphisms  $v' : V' \rightarrow Z$ ,  $k'_1, k'_2 : U' \rightarrow V'$  with

$$\begin{aligned}
 V'_{\text{top}} &= \{(x, e) \in E_{\text{top}} : (f_{\text{top}}(x), \theta_{\text{top}}|_x(e) - \beta_{\text{top}}|_x(s_{\text{top}}(x) \otimes e)) \in V_{\text{top}}\}, \\
 U'_{\text{top}} &= \{x \in X_{\text{top}} : (x, 0) \in V'_{\text{top}}, (x, s_{\text{top}}(x)) \in V'_{\text{top}}\}, \\
 v'_{\text{top}}(x, e) &= v_{\text{top}}(f_{\text{top}}(x), \theta_{\text{top}}|_x(e) - \beta_{\text{top}}|_x(s_{\text{top}}(x) \otimes e)), \\
 k'_{1,\text{top}}(x) &= (x, 0) \quad \text{and} \quad k'_{2,\text{top}}(x) = (x, s_{\text{top}}(x)).
 \end{aligned} \tag{B.68}$$

Then  $s_{\text{top}}^{-1}(0) \subseteq U'_{\text{top}}$ , as  $f_{\text{top}}(s_{\text{top}}^{-1}(0)) \subseteq t_{\text{top}}^{-1}(0)$ , and for  $x \in U'_{\text{top}}$  we have

$$v'_{\text{top}}(x, 0) = v_{\text{top}}(f_{\text{top}}(x), 0) = g_{\text{top}} \circ f_{\text{top}}(x) = (g \circ f)_{\text{top}}(x)$$

by (B.67)–(B.68), so Definition B.32 gives  $\theta_{V',v'} : E|_{U'} \rightarrow \mathcal{T}_{g \circ f} Y|_{U'}$ . Also

$$\begin{aligned}
 v'_{\text{top}}(x, s_{\text{top}}(x)) &= v_{\text{top}}(f_{\text{top}}(x), \theta_{\text{top}}|_x(s_{\text{top}}(x)) - \beta_{\text{top}}|_x(s_{\text{top}}(x) \otimes s_{\text{top}}(x))) \\
 &= v_{\text{top}}(f_{\text{top}}(x), (\theta \circ s - \beta \cdot (s \otimes s))_{\text{top}}|_x) = v_{\text{top}}(f_{\text{top}}(x), (f^*(t))_{\text{top}}|_x) \\
 &= v_{\text{top}}(f_{\text{top}}(x), t_{\text{top}}(f_{\text{top}}(x))) = h_{\text{top}} \circ f_{\text{top}}(x) = (h \circ f)_{\text{top}}(x),
 \end{aligned}$$

for  $x \in U'_{\text{top}}$  by (B.67)–(B.68) and  $\theta \circ s = f^*(t) + \beta \circ (s \otimes s)$ , so  $h \circ f|_{U'} = g \circ f|_{U'} + \theta_{V',v'} \circ s + O(s^2)$  by Definition B.36(vii).

Now from the definition of pullbacks  $f^*(\theta)$  in §B.4.9 we deduce that

$$\theta_{V',v'} = f^*(\theta_{V,v}) \circ (\theta - \beta \cdot (s \otimes -))|_{U'}^*|_{U'} = f^*(\Lambda) \circ \theta|_{U'} - f^*(\Lambda) \circ [\beta \cdot (s \otimes -)]|_{U'},$$

as  $\Lambda|_U = \theta_{V,v}$ . Since the final term is linear in  $s$  we have  $f^*(\Lambda) \circ \theta|_{U'} = \theta_{V',v'} + O(s)$ . So  $h \circ f|_{U'} = g \circ f|_{U'} + \theta_{V',v'} \circ s + O(s^2)$  and Theorem 3.17(j) imply that  $h \circ f|_{U'} = g \circ f|_{U'} + f^*(\Lambda) \circ \theta|_{U'} \circ s + O(s^2)$ , and then Theorem 3.17(a) and  $s_{\text{top}}^{-1}(0) \subseteq U'_{\text{top}}$  give  $h \circ f = g \circ f + f^*(\Lambda) \circ \theta \circ s + O(s^2)$ . This proves the second part of Theorem 3.17(p).

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# Glossary of notation, all volumes

Page references are in the form volume-page number. So, for example, II-57 means page 57 of volume II.

- $\Gamma(\mathcal{E})$  global sections of a sheaf  $\mathcal{E}$ , I-230
- $\Gamma^\infty(E)$  vector space of smooth sections of a vector bundle  $E$ , I-10, I-238
- $\Omega_{\mathbf{X}} : K_{\partial\mathbf{X}} \rightarrow N_{\partial\mathbf{X}} \otimes i_{\mathbf{X}}^*(K_{\mathbf{X}})$  isomorphism of canonical line bundles on boundary of an (m- or  $\mu$ -)Kuranishi space  $\mathbf{X}$ , II-67, II-76
- $\Theta_{V,E,\Gamma,s,\psi} : (\det T^*V \otimes \det E)|_{s^{-1}(0)} \rightarrow \bar{\psi}^{-1}(K_{\mathbf{X}})$  isomorphism of line bundles from a Kuranishi neighbourhood  $(V, E, \Gamma, s, \psi)$  on a Kuranishi space  $\mathbf{X}$ , II-75
- $\Theta_{V,E,s,\psi} : (\det T^*V \otimes \det E)|_{s^{-1}(0)} \rightarrow \psi^{-1}(K_{\mathbf{X}})$  isomorphism of line bundles from an m-Kuranishi neighbourhood  $(V, E, s, \psi)$  on an m-Kuranishi space  $\mathbf{X}$ , II-62
- $\Upsilon_{\mathbf{X},\mathbf{Y},\mathbf{Z}} : K_{\mathbf{W}} \rightarrow e^*(K_{\mathbf{X}}) \otimes f^*(K_{\mathbf{Y}}) \otimes (g \circ e)^*(K_{\mathbf{Z}})^*$  isomorphism of canonical bundles on w-transverse fibre product of (m-)Kuranishi spaces, II-96
- $\alpha_{g,f,e} : (g \circ f) \circ e \Rightarrow g \circ (f \circ e)$  coherence 2-morphism in weak 2-category, I-224
- $\beta_f : f \circ \text{id}_X \Rightarrow f$  coherence 2-morphism in weak 2-category, I-224
- $\delta_w^{g,h} : T_z\mathbf{Z} \rightarrow O_w\mathbf{W}$  connecting morphism in w-transverse fibre product of (m-)Kuranishi spaces, II-92, II-116
- $\gamma_f : \text{id}_Y \circ f \Rightarrow f$  coherence 2-morphism in weak 2-category, I-224
- $\gamma_f : N_{\partial X} \rightarrow (\partial f)^*(N_{\partial Y})$  isomorphism of normal line bundles of manifolds with corners, II-11
- $\nabla$  connection on vector bundle  $E \rightarrow X$  in  $\mathbf{Man}$ , I-38, I-241
- $C(X)$  corners  $\coprod_{k=0}^{\dim X} C_k(X)$  of a manifold with corners  $X$ , I-8
- $C(\mathbf{X})$  corners  $\coprod_{k=0}^{\infty} C_k(\mathbf{X})$  of an (m or  $\mu$ -)Kuranishi space  $\mathbf{X}$ , I-91, I-124, I-161

- $C : \dot{\mathbf{K}}\mathbf{ur}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}^c$  corner 2-functor on Kuranishi spaces, I-161
- $C : \mathbf{Man}^c \rightarrow \check{\mathbf{M}}\mathbf{an}^c$  corner functor on manifolds with corners, I-9
- $C' : \mathbf{Man}^c \rightarrow \check{\mathbf{M}}\mathbf{an}^c$  second corner functor on manifolds with corners, I-9
- $C : \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  corner 2-functor on m-Kuranishi spaces, I-91
- $C : \mu\dot{\mathbf{K}}\mathbf{ur}^c \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}^c$  corner functor on  $\mu$ -Kuranishi spaces, I-124
- $C : \dot{\mathbf{O}}\mathbf{rb}^c \rightarrow \check{\mathbf{O}}\mathbf{rb}^c$  corner 2-functor on orbifolds with corners, I-178
- $C^\infty(X)$   $\mathbb{R}$ -algebra of smooth functions  $X \rightarrow \mathbb{R}$  for a manifold  $X$ , I-10, I-233
- $C_k(\mathbf{X})$   $k$ -corners of an (m- or  $\mu$ -)Kuranishi space  $\mathbf{X}$ , I-81, I-123, I-157
- $C_k(\mathfrak{X})$   $k$ -corners of an orbifold with corners  $\mathfrak{X}$ , I-178
- $C_k : \dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$   $k$ -corner 2-functor on Kuranishi spaces, I-161
- $C_k : \mathbf{Man}_{\text{si}}^c \rightarrow \check{\mathbf{M}}\mathbf{an}_{\text{si}}^c$   $k$ -corner functor on manifolds with corners, I-9
- $C_k : \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$   $k$ -corner 2-functor on m-Kuranishi spaces, I-91
- $C_k : \mu\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$   $k$ -corner functor on  $\mu$ -Kuranishi spaces, I-124
- $C_k : \dot{\mathbf{O}}\mathbf{rb}_{\text{si}}^c \rightarrow \check{\mathbf{O}}\mathbf{rb}_{\text{si}}^c$   $k$ -corner 2-functor on orbifolds with corners, I-178
- $C^{\text{op}}$  opposite category of category  $\mathcal{C}$ , I-221
- $C^\infty\mathbf{Rings}$  category of  $C^\infty$ -rings, I-234
- $C^\infty\mathbf{Sch}^{\text{aff}}$  category of affine  $C^\infty$ -schemes, I-37, I-236
- $\partial : \dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  boundary 2-functor on Kuranishi spaces, I-161
- $\partial : \mathbf{Man}_{\text{si}}^c \rightarrow \check{\mathbf{M}}\mathbf{an}_{\text{si}}^c$  boundary functor on manifolds with corners, I-9
- $\partial : \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  boundary 2-functor on m-Kuranishi spaces, I-91
- $\partial : \mu\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  boundary functor on  $\mu$ -Kuranishi spaces, I-124
- $\text{depth}_X x$  the codimension  $k$  of the corner stratum  $S^k(X)$  containing a point  $x$  in a manifold with corners  $X$ , I-6
- $\mathbf{DerMan}_{\text{BN}}$  Borisov and Noel's  $\infty$ -category of derived manifolds, I-103
- $\mathbf{DerMan}_{\text{Spi}}$  Spivak's  $\infty$ -category of derived manifolds, I-103
- $\det(E^\bullet)$  determinant of a complex of vector spaces or vector bundles, II-52
- $df : TX \rightarrow f^*(TY)$  derivative of a smooth map  $f : X \rightarrow Y$ , I-11
- ${}^bdf : {}^bTX \rightarrow f^*({}^bTY)$  b-derivative of a smooth map  $f : X \rightarrow Y$  of manifolds with corners, I-12

- dMan** 2-category of d-manifolds, a kind of derived manifold, I-103
- $\partial\mathbf{X}$  boundary of an (m- or  $\mu$ -)Kuranishi space  $\mathbf{X}$ , I-86, I-124, I-160, I-161
- $\partial\mathfrak{X}$  boundary of an orbifold with corners  $\mathfrak{X}$ , I-178
- $f_{\text{top}} : X_{\text{top}} \rightarrow Y_{\text{top}}$  underlying continuous map of morphism  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$ , I-31
- GKN** 2-category of global Kuranishi neighbourhoods over **Man**, I-142
- G $\dot{\mathbf{K}}$ N** 2-category of global Kuranishi neighbourhoods over  $\dot{\mathbf{Man}}$ , I-142
- GKN<sup>c</sup>** 2-category of global Kuranishi neighbourhoods over manifolds with corners **Man<sup>c</sup>**, I-142
- GmKN** 2-category of global m-Kuranishi neighbourhoods over **Man**, I-59
- Gm $\dot{\mathbf{K}}$ N** 2-category of global m-Kuranishi neighbourhoods over  $\dot{\mathbf{Man}}$ , I-58
- GmKN<sup>c</sup>** 2-category of global m-Kuranishi neighbourhoods over manifolds with corners **Man<sup>c</sup>**, I-59
- G $\mu$ KN** category of global  $\mu$ -Kuranishi neighbourhoods over **Man**, I-111
- G $\mu$  $\dot{\mathbf{K}}$ N** category of global  $\mu$ -Kuranishi neighbourhoods over  $\dot{\mathbf{Man}}$ , I-110
- G $\mu$ KN<sup>c</sup>** category of global  $\mu$ -Kuranishi neighbourhoods over manifolds with corners **Man<sup>c</sup>**, I-111
- $G_x f : G_x \mathbf{X} \rightarrow G_y \mathbf{Y}$  morphism of isotropy groups from 1-morphism  $f : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\dot{\mathbf{Kur}}$ , I-168
- $G_x \mathbf{X}$  isotropy group of a Kuranishi space  $\mathbf{X}$  at a point  $x \in \mathbf{X}$ , I-166
- $G_x \mathfrak{X}$  isotropy group of an orbifold  $\mathfrak{X}$  at a point  $x \in \mathfrak{X}$ , I-176
- $\text{Ho}(\mathcal{C})$  homotopy category of 2-category  $\mathcal{C}$ , I-226
- $I_f^\diamond : \Pi_{\text{top}}^{-1}(\mathcal{T}_f Y) \rightarrow \mathcal{T}_{C(f)} C(Y)$  morphism of tangent sheaves in  $\dot{\mathbf{Man}}^c$ , I-269
- $I_X^\diamond : \Pi_k^*({}^b T X) \rightarrow {}^b T(C_k(X))$  natural morphism of b-tangent bundles over a manifold with corners  $X$ , I-12
- $i_X : \partial\mathbf{X} \rightarrow \mathbf{X}$  natural (1-)morphism of boundary of an (m- or  $\mu$ -)Kuranishi space  $\mathbf{X}$ , I-86, I-124, I-160
- $I_X : {}^b T X \rightarrow T X$  natural morphism of (b-)tangent bundles of a manifold with corners  $X$ , I-11
- $K_f : f^*(K_Y) \rightarrow K_X$  isomorphism of canonical bundles from étale (1-)morphism of (m- or  $\mu$ -)Kuranishi spaces  $f : \mathbf{X} \rightarrow \mathbf{Y}$ , II-65



$\mathbf{KN}$	2-category of Kuranishi neighbourhoods over manifolds $\mathbf{Man}$ , I-142
$\dot{\mathbf{KN}}$	2-category of Kuranishi neighbourhoods over $\dot{\mathbf{Man}}$ , I-141
$\mathbf{KN}^c$	2-category of Kuranishi neighbourhoods over manifolds with corners $\mathbf{Man}^c$ , I-142
$\mathbf{KN}_S(X)$	2-category of Kuranishi neighbourhoods over $S \subseteq X$ in $\mathbf{Man}$ , I-142
$\dot{\mathbf{KN}}_S(X)$	2-category of Kuranishi neighbourhoods over $S \subseteq X$ in $\dot{\mathbf{Man}}$ , I-142
$\mathbf{KN}_S^c(X)$	2-category of Kuranishi neighbourhoods over $S \subseteq X$ in $\mathbf{Man}^c$ , I-142
$\mathbf{Kur}$	2-category of Kuranishi spaces over classical manifolds $\mathbf{Man}$ , I-153
$\dot{\mathbf{Kur}}$	2-category of Kuranishi spaces over $\dot{\mathbf{Man}}$ , I-151
$\dot{\mathbf{Kur}}_P$	2-category of Kuranishi spaces over $\dot{\mathbf{Man}}$ , and 1-morphisms with discrete property $P$ , I-154
$\dot{\mathbf{Kur}}_{\text{tr}G}$	2-subcategory of Kuranishi spaces in $\dot{\mathbf{Kur}}$ with all $G_x X = \{1\}$ , I-169
$\dot{\mathbf{Kur}}_{\text{tr}\Gamma}$	2-subcategory of Kuranishi spaces in $\dot{\mathbf{Kur}}$ with all $\Gamma_i = \{1\}$ , I-169
$\mathbf{Kur}^{\text{ac}}$	2-category of Kuranishi spaces with a-corners, I-153
$\mathbf{Kur}^c$	2-category of Kuranishi spaces with corners, I-153
$\dot{\mathbf{Kur}}^c$	2-category of Kuranishi spaces with corners over $\dot{\mathbf{Man}}^c$ of mixed dimension, I-161
$\dot{\mathbf{Kur}}_P^c$	2-category of Kuranishi spaces with corners over $\dot{\mathbf{Man}}^c$ of mixed dimension, and 1-morphisms which are $P$ , I-161
$\mathbf{Kur}_{\text{bn}}^c$	2-category of Kuranishi spaces with corners, and b-normal 1-morphisms, I-154
$\mathbf{Kur}_{\text{in}}^c$	2-category of Kuranishi spaces with corners, and interior 1-morphisms, I-154
$\mathbf{Kur}_{\text{si}}^c$	2-category of Kuranishi spaces with corners, and simple 1-morphisms, I-154
$\dot{\mathbf{Kur}}_{\text{si}}^c$	2-category of Kuranishi spaces with corners over $\dot{\mathbf{Man}}^c$ of mixed dimension, and simple 1-morphisms, I-161
$\mathbf{Kur}_{\text{st}}^c$	2-category of Kuranishi spaces with corners, and strongly smooth 1-morphisms, I-154
$\mathbf{Kur}_{\text{st, bn}}^c$	2-category of Kuranishi spaces with corners, and strongly smooth b-normal 1-morphisms, I-154
$\mathbf{Kur}_{\text{st, in}}^c$	2-category of Kuranishi spaces with corners, and strongly smooth interior 1-morphisms, I-154

$\mathbf{Kur}_{\text{we}}^{\text{c}}$	2-category of Kuranishi spaces with corners and weakly smooth 1-morphisms, I-153
$\dot{\mathbf{K}}\mathbf{ur}^{\text{c}}$	2-category of Kuranishi spaces with corners associated to $\dot{\mathbf{M}}\mathbf{an}^{\text{c}}$ , I-157
$\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^{\text{c}}$	2-category of Kuranishi spaces with corners associated to $\dot{\mathbf{M}}\mathbf{an}^{\text{c}}$ , and simple 1-morphisms, I-157
$\mathbf{Kur}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, I-153
$\mathbf{Kur}_{\text{bn}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and b-normal 1-morphisms, I-155
$\mathbf{Kur}_{\text{in}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and interior 1-morphisms, I-155
$\mathbf{Kur}_{\text{si}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and simple 1-morphisms, I-155
$\mathbf{Kur}_{\text{st}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and strongly a-smooth 1-morphisms, I-155
$\mathbf{Kur}_{\text{st,bn}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and strongly a-smooth b-normal 1-morphisms, I-155
$\mathbf{Kur}_{\text{st,in}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and strongly a-smooth interior 1-morphisms, I-155
$\mathbf{Kur}^{\text{gc}}$	2-category of Kuranishi spaces with g-corners, I-153
$\mathbf{Kur}_{\text{bn}}^{\text{gc}}$	2-category of Kuranishi spaces with g-corners, and b-normal 1-morphisms, I-155
$\mathbf{Kur}_{\text{in}}^{\text{gc}}$	2-category of Kuranishi spaces with g-corners, and interior 1-morphisms, I-155
$\mathbf{Kur}_{\text{si}}^{\text{gc}}$	2-category of Kuranishi spaces with g-corners, and simple 1-morphisms, I-155
$K_X$	canonical bundle of a ‘manifold’ $X$ in $\dot{\mathbf{M}}\mathbf{an}$ , II-10
$K_{\mathbf{X}}$	canonical bundle of an (m- or $\mu$ -)Kuranishi space $\mathbf{X}$ , II-62, II-74
${}^b K_{\mathbf{X}}$	b-canonical bundle of an (m- or $\mu$ -)Kuranishi space with corners $\mathbf{X}$ , II-66
$\mathbf{Man}$	category of classical manifolds, I-7
$\dot{\mathbf{M}}\mathbf{an}$	category of ‘manifolds’ satisfying Assumptions 3.1–3.7, I-31
$\ddot{\mathbf{M}}\mathbf{an}$	another category of ‘manifolds’ satisfying Assumptions 3.1–3.7, I-46
$\mathbf{Man}^{\text{ac}}$	category of manifolds with a-corners, I-18

- $\mathbf{Man}_{\mathbf{bn}}^{\mathbf{ac}}$  category of manifolds with a-corners and b-normal maps, I-18
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{ac}}$  category of manifolds with a-corners and interior maps, I-18
- $\mathbf{Man}_{\mathbf{st}}^{\mathbf{ac}}$  category of manifolds with a-corners and strongly a-smooth maps, I-18
- $\mathbf{Man}_{\mathbf{st},\mathbf{bn}}^{\mathbf{ac}}$  category of manifolds with a-corners and strongly a-smooth b-normal maps, I-18
- $\mathbf{Man}_{\mathbf{st},\mathbf{in}}^{\mathbf{ac}}$  category of manifolds with a-corners and strongly a-smooth interior maps, I-18
- $\mathbf{Man}^{\mathbf{b}}$  category of manifolds with boundary, I-7
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{b}}$  category of manifolds with boundary and interior maps, I-7
- $\mathbf{Man}_{\mathbf{si}}^{\mathbf{b}}$  category of manifolds with boundary and simple maps, I-7
- $\mathbf{Man}^{\mathbf{c}}$  category of manifolds with corners, I-5
- $\dot{\mathbf{M}}\mathbf{an}^{\mathbf{c}}$  category of ‘manifolds with corners’ satisfying Assumption 3.22, I-47
- $\check{\mathbf{M}}\mathbf{an}^{\mathbf{c}}$  category of ‘manifolds with corners’ of mixed dimension, I-48
- $\tilde{\mathbf{M}}\mathbf{an}^{\mathbf{c}}$  category of manifolds with corners of mixed dimension, I-8
- $\mathbf{Man}_{\mathbf{bn}}^{\mathbf{c}}$  category of manifolds with corners and b-normal maps, I-5
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{c}}$  category of manifolds with corners and interior maps, I-5
- $\check{\mathbf{M}}\mathbf{an}_{\mathbf{in}}^{\mathbf{c}}$  category of manifolds with corners of mixed dimension and interior maps, I-8
- $\mathbf{Man}_{\mathbf{si}}^{\mathbf{c}}$  category of manifolds with corners and simple maps, I-5
- $\dot{\mathbf{M}}\mathbf{an}_{\mathbf{si}}^{\mathbf{c}}$  category of ‘manifolds with corners’ of mixed dimension, and simple morphisms, I-48
- $\mathbf{Man}_{\mathbf{st}}^{\mathbf{c}}$  category of manifolds with corners and strongly smooth maps, I-5
- $\check{\mathbf{M}}\mathbf{an}_{\mathbf{st}}^{\mathbf{c}}$  category of manifolds with corners of mixed dimension and strongly smooth maps, I-8
- $\mathbf{Man}_{\mathbf{st},\mathbf{bn}}^{\mathbf{c}}$  category of manifolds with corners and strongly smooth b-normal maps, I-5
- $\mathbf{Man}_{\mathbf{st},\mathbf{in}}^{\mathbf{c}}$  category of manifolds with corners and strongly smooth interior maps, I-5
- $\mathbf{Man}_{\mathbf{we}}^{\mathbf{c}}$  category of manifolds with corners and weakly smooth maps, I-5
- $\mathbf{Man}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, I-18

- $\mathbf{Man}_{\mathbf{bn}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and b-normal maps, I-19
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and interior maps, I-18
- $\mathbf{Man}_{\mathbf{si}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and simple maps, I-19
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and strongly a-smooth maps, I-19
- $\mathbf{Man}_{\mathbf{st},\mathbf{bn}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and strongly a-smooth b-normal maps, I-19
- $\mathbf{Man}_{\mathbf{st},\mathbf{in}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and strongly a-smooth interior maps, I-19
- $\mathbf{Man}^{\mathbf{gc}}$  category of manifolds with g-corners, I-16
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{gc}}$  category of manifolds with g-corners and interior maps, I-16
- $\mathbf{mKN}$  2-category of m-Kuranishi neighbourhoods over manifolds  $\mathbf{Man}$ , I-59
- $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}$  2-category of m-Kuranishi neighbourhoods over  $\dot{\mathbf{M}}\mathbf{an}$ , I-58
- $\mathbf{mKN}^{\mathbf{c}}$  2-category of m-Kuranishi neighbourhoods over manifolds with corners  $\mathbf{Man}^{\mathbf{c}}$ , I-59
- $\mathbf{mKN}_S(X)$  2-category of m-Kuranishi neighbourhoods over  $S \subseteq X$  in  $\mathbf{Man}$ , I-59
- $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$  2-category of m-Kuranishi neighbourhoods over  $S \subseteq X$  in  $\dot{\mathbf{M}}\mathbf{an}$ , I-58
- $\mathbf{mKN}_S^{\mathbf{c}}(X)$  2-category of m-Kuranishi neighbourhoods over  $S \subseteq X$  in  $\mathbf{Man}^{\mathbf{c}}$ , I-59
- $\mathbf{mKur}$  2-category of m-Kuranishi spaces over classical manifolds  $\mathbf{Man}$ , I-72
- $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  2-category of m-Kuranishi spaces over  $\dot{\mathbf{M}}\mathbf{an}$ , I-72
- $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_P$  2-category of m-Kuranishi spaces over  $\dot{\mathbf{M}}\mathbf{an}$ , and 1-morphisms with discrete property  $P$ , I-78
- $\mathbf{mKur}^{\mathbf{ac}}$  2-category of m-Kuranishi spaces with a-corners, I-72
- $\mathbf{mKur}_{\mathbf{bn}}^{\mathbf{ac}}$  2-category of m-Kuranishi spaces with a-corners, and b-normal 1-morphisms, I-79
- $\mathbf{mKur}_{\mathbf{in}}^{\mathbf{ac}}$  2-category of m-Kuranishi spaces with a-corners, and interior 1-morphisms, I-79

- $\mathbf{mKur}_{\text{si}}^{\text{ac}}$  2-category of m-Kuranishi spaces with a-corners, and simple 1-morphisms, I-79
- $\mathbf{mKur}_{\text{st}}^{\text{ac}}$  2-category of m-Kuranishi spaces with a-corners, and strongly a-smooth 1-morphisms, I-79
- $\mathbf{mKur}_{\text{st,bn}}^{\text{ac}}$  2-category of m-Kuranishi spaces with a-corners, and strongly a-smooth b-normal 1-morphisms, I-79
- $\mathbf{mKur}_{\text{st,in}}^{\text{ac}}$  2-category of m-Kuranishi spaces with a-corners, and strongly a-smooth interior 1-morphisms, I-79
- $\mathbf{mKur}^{\text{b}}$  2-category of m-Kuranishi spaces with boundary, I-93
- $\mathbf{mKur}_{\text{in}}^{\text{b}}$  2-category of m-Kuranishi spaces with boundary, and interior 1-morphisms, I-93
- $\mathbf{mKur}_{\text{si}}^{\text{b}}$  2-category of m-Kuranishi spaces with boundary, and simple 1-morphisms, I-93
- $\mathbf{mKur}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, I-72
- $\mathbf{m\check{K}ur}^{\text{c}}$  2-category of m-Kuranishi spaces with corners over  $\mathbf{Man}^{\text{c}}$  of mixed dimension, I-87
- $\mathbf{m\check{K}ur}_{\mathcal{P}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners over  $\mathbf{Man}^{\text{c}}$  of mixed dimension, and 1-morphisms which are  $\mathcal{P}$ , I-91
- $\mathbf{mKur}_{\text{bn}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and b-normal 1-morphisms, I-78
- $\mathbf{mKur}_{\text{in}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and interior 1-morphisms, I-78
- $\mathbf{mKur}_{\text{si}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and simple 1-morphisms, I-78
- $\mathbf{m\check{K}ur}_{\text{si}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners over  $\mathbf{Man}^{\text{c}}$  of mixed dimension, and simple 1-morphisms, I-87
- $\mathbf{mKur}_{\text{st}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and strongly smooth 1-morphisms, I-78
- $\mathbf{mKur}_{\text{st,bn}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and strongly smooth b-normal 1-morphisms, I-78
- $\mathbf{mKur}_{\text{st,in}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and strongly smooth interior 1-morphisms, I-78
- $\mathbf{mKur}_{\text{we}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners and weakly smooth 1-morphisms, I-72

- $\mathbf{mKur}^c$  2-category of m-Kuranishi spaces with corners associated to  $\mathbf{Man}^c$ , I-81
- $\mathbf{mKur}^{c,ac}$  2-category of m-Kuranishi spaces with corners and a-corners, I-72
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- $N_{C_k(X)}$  normal bundle of  $k$ -corners  $C_k(X)$  in a manifold with corners  $X$ , I-12
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$T^*X$	cotangent bundle of a manifold $X$ , I-11
$\mathcal{T}X$	tangent sheaf of ‘manifold’ $X$ in $\mathbf{Man}$ , I-38, I-251
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# Kuranishi spaces and Symplectic Geometry

Volume II.  
Differential Geometry of  
(m-)Kuranishi spaces

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**Kuranishi spaces and Symplectic Geometry. Volume I.**  
**Basic theory of (m-)Kuranishi spaces**

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**Kuranishi spaces and Symplectic Geometry. Volume II.**  
**Differential Geometry of (m-)Kuranishi spaces**

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# Introduction to the series

## On the foundations of Symplectic Geometry

Several important areas of Symplectic Geometry involve ‘counting’ moduli spaces  $\mathcal{M}$  of  $J$ -holomorphic curves in a symplectic manifold  $(S, \omega)$  satisfying some conditions, where  $J$  is an almost complex structure on  $S$  compatible with  $\omega$ , and using the ‘numbers of curves’ to build some interesting theory, which is then shown to be independent of the choice of  $J$ . Areas of this type include Gromov–Witten theory [5, 30, 40, 46, 47, 51, 65, 67], Quantum Cohomology [46, 51], Lagrangian Floer cohomology [2, 12, 15, 20, 59, 70], Fukaya categories [9, 62, 64], Symplectic Field Theory [3, 7, 8], Contact Homology [6, 60], and Symplectic Cohomology [63].

Setting up the foundations of these areas, rigorously and in full generality, is a very long and difficult task, comparable to the work of Grothendieck and his school on the foundations of Algebraic Geometry, or the work of Lurie and Toën–Vezzosi on the foundations of Derived Algebraic Geometry. Any such foundational programme for Symplectic Geometry can be divided into five steps:

- (i) We must define a suitable class of geometric structures  $\mathcal{G}$  to put on the moduli spaces  $\bar{\mathcal{M}}$  of  $J$ -holomorphic curves we wish to ‘count’. This must satisfy both (ii) and (iii) below.
- (ii) Given a compact space  $X$  with geometric structure  $\mathcal{G}$  and an ‘orientation’, we must define a ‘virtual class’  $[[X]_{\text{virt}}]$  in some homology group, or a ‘virtual chain’  $[X]_{\text{virt}}$  in the chains of the homology theory, which ‘counts’  $X$ .  
Actually, usually one studies a compact, oriented  $\mathcal{G}$ -space  $X$  with a ‘smooth map’  $f : X \rightarrow Y$  to a manifold  $Y$ , and defines  $[[X]_{\text{virt}}]$  or  $[X]_{\text{virt}}$  in a suitable (co)homology theory of  $Y$ , such as singular homology or de Rham cohomology. These virtual classes/(co)chains must satisfy a package of properties, including a deformation-invariance property.
- (iii) We must prove that all the moduli spaces  $\bar{\mathcal{M}}$  of  $J$ -holomorphic curves that will be used in our theory have geometric structure  $\mathcal{G}$ , preferably in a natural way. Note that in order to make the moduli spaces  $\bar{\mathcal{M}}$  compact (necessary for existence of virtual classes/chains), we have to include *singular*  $J$ -holomorphic curves in  $\bar{\mathcal{M}}$ . This makes construction of the  $\mathcal{G}$ -structure on  $\bar{\mathcal{M}}$  significantly more difficult.

- (iv) We combine (i)–(iii) to study the situation in Symplectic Geometry we are interested in, e.g. to define Lagrangian Floer cohomology  $HF^*(L_1, L_2)$  for compact Lagrangians  $L_1, L_2$  in a compact symplectic manifold  $(S, \omega)$ .

To do this we choose an almost complex structure  $J$  on  $(S, \omega)$  and define a collection of moduli spaces  $\bar{\mathcal{M}}$  of  $J$ -holomorphic curves relevant to the problem. By (iii) these have structure  $\mathcal{G}$ , so by (ii) they have virtual classes/(co)chains  $[\bar{\mathcal{M}}]_{\text{virt}}$  in some (co)homology theory.

There will be geometric relationships between these moduli spaces – for instance, boundaries of moduli spaces may be written as sums of fibre products of other moduli spaces. By the package of properties in (ii), these geometric relationships should translate to algebraic relationships between the virtual classes/(co)chains, e.g. the boundaries of virtual cochains may be written as sums of cup products of other virtual cochains.

We use the virtual classes/(co)chains, and the algebraic identities they satisfy, and homological algebra, to build the theory we want – Quantum Cohomology, Lagrangian Floer Theory, and so on. We show the result is independent of the choice of almost complex structure  $J$  using the deformation-invariance properties of virtual classes/(co)chains.

- (v) We apply our new machine to do something interesting in Symplectic Geometry, e.g. prove the Arnold Conjecture.

Many authors have worked on programmes of this type, since the introduction of  $J$ -holomorphic curve techniques into Symplectic Geometry by Gromov [32] in 1985. Oversimplifying somewhat, we can divide these approaches into three main groups, according to their answer to (i) above:

- (A) (**Kuranishi-type spaces.**) In the work of Fukaya, Oh, Ohta and Ono [10–30], moduli spaces are given the structure of *Kuranishi spaces* (we will call their definition *FOOO Kuranishi spaces*).

Several other groups also work with Kuranishi-type spaces, including McDuff and Wehrheim [49, 50, 52–55], Pardon [60, 61], and the author in [42, 43] and this series.

- (B) (**Polyfolds.**) In the work of Hofer, Wysocki and Zehnder [34–41], moduli spaces are given the structure of *polyfolds*.

- (C) (**The rest of the world.**) One makes restrictive assumptions on the symplectic geometry – for instance, consider only noncompact, exact symplectic manifolds, and exact Lagrangians in them – takes  $J$  to be generic, and arranges that all the moduli spaces  $\bar{\mathcal{M}}$  we are interested in are smooth manifolds (or possibly ‘pseudomanifolds’, manifolds with singularities in codimension 2). Then we form virtual classes/chains as for fundamental classes of manifolds. A good example of this approach is Seidel’s construction [64] of Fukaya categories of Liouville domains.

We have not given complete references here, much important work is omitted.

Although Kuranishi-type spaces in (A), and polyfolds in (B), do exactly the same job, there is an important philosophical difference between them. Kuranishi spaces basically remember the minimal information needed to form virtual cycles/chains, and no more. Kuranishi spaces contain about the same amount of data as smooth manifolds, and include manifolds as examples.

In contrast, polyfolds remember the entire functional-analytic moduli problem, forgetting nothing. Any polyfold curve moduli space, even a moduli space of constant curves, is a hugely infinite-dimensional object, a vast amount of data.

Approach (C) makes one's life a lot simpler, but this comes at a cost. Firstly, one can only work in rather restricted situations, such as exact symplectic manifolds. And secondly, one must go through various contortions to ensure all the moduli spaces  $\bar{\mathcal{M}}$  are manifolds, such as using domain-dependent almost complex structures, which are unnecessary in approaches (A),(B).

## The aim and scope of the series, and its novel features

The aim of this series of books is to set up the foundations of these areas of Symplectic Geometry built using  $J$ -holomorphic curves following approach (A) above, using the author's own definition of Kuranishi space. We will do this starting from the beginning, rigorously, in detail, and as the author believes the subject ought to be done. The author hopes that in future, the series will provide a complete framework which symplectic geometers can refer to for theorems and proofs, and use large parts as a 'black box'.

The author currently plans four or more volumes, as follows:

- Volume I. **Basic theory of (m-)Kuranishi spaces.** Definitions of the category  $\mu\check{\mathbf{K}}\mathbf{ur}$  of  $\mu$ -Kuranishi spaces, and the 2-categories  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  of m-Kuranishi spaces and  $\check{\mathbf{K}}\mathbf{ur}$  of Kuranishi spaces, over a category of 'manifolds'  $\check{\mathbf{M}}\mathbf{an}$  such as classical manifolds  $\mathbf{M}\mathbf{an}$  or manifolds with corners  $\mathbf{M}\mathbf{an}^c$ . Boundaries, corners, and corner (2-)functors for (m- and  $\mu$ -)Kuranishi spaces with corners. Relation to similar structures in the literature, including Fukaya–Oh–Ohta–Ono's Kuranishi spaces, and Hofer–Wysocki–Zehnder's polyfolds. 'Kuranishi moduli problems', our approach to putting Kuranishi structures on moduli spaces, canonical up to equivalence.
- Volume II. **Differential Geometry of (m-)Kuranishi spaces.** Tangent and obstruction spaces for (m- and  $\mu$ -)Kuranishi spaces. Canonical bundles and orientations. (W-)transversality, (w-)submersions, and existence of w-transverse fibre products in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  and  $\check{\mathbf{K}}\mathbf{ur}$ . M-(co)homology of manifolds and orbifolds [44], virtual (co)chains and virtual (co)cycles for compact, oriented (m-)Kuranishi spaces in M-(co)homology. Orbifold strata of Kuranishi spaces. Bordism and cobordism for (m-)Kuranishi spaces.
- Volume III. **Kuranishi structures on moduli spaces of  $J$ -holomorphic curves.** For very many moduli spaces of  $J$ -holomorphic curves  $\bar{\mathcal{M}}$  of interest in Symplectic Geometry, including singular curves,

curves with Lagrangian boundary conditions, marked points, etc., we show that  $\overline{\mathcal{M}}$  can be made into a Kuranishi space  $\dot{\mathcal{M}}$ , uniquely up to equivalence in  $\dot{\mathbf{K}}\mathbf{ur}$ . We do this by a new method using 2-categories, similar to Grothendieck’s representable functor approach to moduli spaces in Algebraic Geometry. We do the same for many other classes of moduli problems for nonlinear elliptic p.d.e.s, including gauge theory moduli spaces. Natural relations between moduli spaces, such as maps  $F_i : \overline{\mathcal{M}}_{k+1} \rightarrow \overline{\mathcal{M}}_k$  forgetting a marked point, correspond to relations between the Kuranishi spaces, such as a 1-morphism  $F_i : \dot{\mathcal{M}}_{k+1} \rightarrow \dot{\mathcal{M}}_k$  in  $\dot{\mathbf{K}}\mathbf{ur}$ . We discuss orientations on Kuranishi moduli spaces.

Volumes IV– **Big theories in Symplectic Geometry.** To include Gromov–Witten invariants, Quantum Cohomology, Lagrangian Floer cohomology, and Fukaya categories.

For steps (i)–(v) above, (i)–(iii) will be tackled in volumes I–III respectively, and (iv)–(v) in volume IV onwards.

Readers familiar with the field will probably have noticed that our series sounds a lot like the work of Fukaya, Oh, Ohta and Ono [10–30], in particular, their 2009 two-volume book [15] on Lagrangian Floer cohomology. And it is very similar. On the large scale, and in a lot of the details, we have taken many ideas from Fukaya–Oh–Ohta–Ono, which the author acknowledges with thanks. Actually this is true of most foundational projects in this field: Fukaya, Oh, Ohta and Ono were the pioneers, and enormously creative, and subsequent authors have followed in their footsteps to a great extent.

However, there are features of our presentation that are genuinely new, and here we will highlight three:

- (a) The use of *Derived Differential Geometry* in our Kuranishi space theory.
- (b) The use of *M-(co)homology* to form virtual cycles and chains.
- (c) The use of ‘*Kuranishi moduli problems*’, similar to Grothendieck’s representable functor approach to moduli spaces in Algebraic Geometry, to prove moduli spaces of  $J$ -holomorphic curves have Kuranishi structures.

We discuss these in turn.

### (a) Derived Differential Geometry

Derived Algebraic Geometry, developed by Lurie [48] and Toën–Vezzosi [68, 69], is the study of ‘derived schemes’ and ‘derived stacks’, enhanced versions of classical schemes and stacks with a richer geometric structure. They were introduced to study moduli spaces in Algebraic Geometry. Roughly, a classical moduli space  $\mathcal{M}$  of objects  $E$  knows about the infinitesimal deformations of  $E$ , but not the obstructions to deformations. The corresponding derived moduli space  $\dot{\mathcal{M}}$  remembers the deformations, obstructions, and higher obstructions.

Derived Algebraic Geometry has a less well-known cousin, Derived Differential Geometry, the study of ‘derived’ versions of smooth manifolds. Probably the first

reference to Derived Differential Geometry is a short final paragraph in Lurie [48, §4.5]. Lurie’s ideas were developed further in 2008 by his student David Spivak [66], who defined an  $\infty$ -category  $\mathbf{DerMans}_{\mathbf{Spi}}$  of ‘derived manifolds’.

When I read Spivak’s thesis [66], armed with a good knowledge of Fukaya–Oh–Ohta–Ono’s Kuranishi space theory [15], I had a revelation:

**Kuranishi spaces are really derived smooth orbifolds.**

This should not be surprising, as derived schemes and Kuranishi spaces are both geometric structures designed to remember the obstructions in moduli problems.

This has important consequences for Symplectic Geometry: to understand Kuranishi spaces properly, we should use the insights and methods of Derived Algebraic Geometry. Fukaya–Oh–Ohta–Ono could not do this, as their Kuranishi spaces predate Derived Algebraic Geometry by several years. Since they lacked essential tools, their FOOO Kuranishi spaces are not really satisfactory as geometric spaces, though they are adequate for their applications. For example, they give no definition of morphism of FOOO Kuranishi spaces.

A very basic fact about Derived Algebraic Geometry is that it always happens in higher categories, usually  $\infty$ -categories. We have written our theory in terms of 2-categories, which are much simpler than  $\infty$ -categories. There are special features of our situation which mean that 2-categories are enough for our purposes. Firstly, the existence of partitions of unity in Differential Geometry means that structure sheaves are soft, and have no higher cohomology. Secondly, we are only interested in ‘quasi-smooth’ derived spaces, which have deformations and obstructions, but no higher obstructions. As we are studying Kuranishi spaces with deformations and obstructions – two levels of tangent directions – these spaces need to live in a higher category  $\mathcal{C}$  with at least two levels of morphism, 1- and 2-morphisms, so  $\mathcal{C}$  needs to be at least a 2-category.

Our Kuranishi spaces form a weak 2-category  $\mathbf{\dot{K}ur}$ . One can take the homotopy category  $\mathrm{Ho}(\mathbf{\dot{K}ur})$  to get an ordinary category, but this loses important information. For example:

- 1-morphisms  $f : X \rightarrow Y$  in  $\mathbf{\dot{K}ur}$  are a 2-sheaf (stack) on  $X$ , but morphisms  $[f] : X \rightarrow Y$  in  $\mathrm{Ho}(\mathbf{\dot{K}ur})$  are not a sheaf on  $X$ , they are not ‘local’. This is probably one reason why Fukaya et al. do not define morphisms for FOOO Kuranishi spaces, as higher category techniques would be needed.
- As in Chapter 11 of volume II, there is a good notion of (w-)transverse 1-morphisms  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  in  $\mathbf{\dot{K}ur}$ , and (w-)transverse fibre products  $X \times_{g,Z,h} Y$  exist in  $\mathbf{\dot{K}ur}$ , characterized by a universal property involving the 2-morphisms in  $\mathbf{\dot{K}ur}$ . In  $\mathrm{Ho}(\mathbf{\dot{K}ur})$  this universal property makes no sense, and (w-)transverse fibre products may not exist.

Derived Differential Geometry will be discussed in §4.8 of volume I.

**(b) M-(co)homology and virtual cycles**

In Fukaya–Oh–Ohta–Ono’s Lagrangian Floer theory [15], a lot of extra complexity and hard work is due to the fact that their homology theory for forming virtual

chains (singular homology) does not play nicely with FOOO Kuranishi spaces. For example, they deal with moduli spaces  $\overline{\mathcal{M}}_k(\alpha)$  of stable  $J$ -holomorphic discs  $\Sigma$  in  $(S, \omega)$  with boundary in a Lagrangian  $L$ , with homology class  $[\Sigma] = \alpha$  in  $H_2(S, L; \mathbb{Z})$ , and  $k$  boundary marked points. These satisfy boundary equations

$$\partial \overline{\mathcal{M}}_k(\alpha) \simeq \coprod_{\alpha=\beta+\gamma, k=i+j} \overline{\mathcal{M}}_{i+1}(\beta) \times_{\mathbf{ev}_{i+1}, L, \mathbf{ev}_{j+1}} \overline{\mathcal{M}}_{j+1}(\gamma).$$

One would like to choose virtual chains  $[\overline{\mathcal{M}}_k(\alpha)]_{\text{virt}}$  in homology satisfying

$$\partial[\overline{\mathcal{M}}_k(\alpha)]_{\text{virt}} = \sum_{\alpha=\beta+\gamma, k=i+j} [\overline{\mathcal{M}}_{i+1}(\beta)]_{\text{virt}} \bullet_L [\overline{\mathcal{M}}_{j+1}(\gamma)]_{\text{virt}},$$

where  $\bullet_L$  is a chain-level intersection product/cup product on the (co)homology of  $L$ . But singular homology has no chain-level intersection product.

In their later work [18, §12], [24], Fukaya et al. define virtual cochains in de Rham cohomology, which does have a cochain-level cup product. But there are disadvantages to this too, for example, one is forced to work in (co)homology over  $\mathbb{R}$ , rather than  $\mathbb{Z}$  or  $\mathbb{Q}$ .

As in Chapter 12 of volume II, the author [44] defined new (co)homology theories  $MH_*(X; R)$ ,  $MH^*(X; R)$  of manifolds and orbifolds  $X$ , called ‘M-homology’ and ‘M-cohomology’. They satisfy the Eilenberg–Steenrod axioms, and so are canonically isomorphic to usual (co)homology  $H_*(X; R)$ ,  $H^*(X; R)$ , e.g. singular homology  $H_*^{\text{si}}(X; R)$ . They are specially designed for forming virtual (co)chains for (m-)Kuranishi spaces, and have very good (co)chain-level properties.

In Chapter 13 of volume II we will explain how to form virtual (co)cycles and (co)chains for (m-)Kuranishi spaces in M-(co)homology. There is no need to perturb the (m-)Kuranishi space to do this. Our construction has a number of technical advantages over competing theories: we can make infinitely many compatible choices of virtual (co)chains, which can be made strictly compatible with relations between (m-)Kuranishi spaces, such as boundary formulae.

These technical advantages mean that applying our machinery to define some theory like Lagrangian Floer cohomology, Fukaya categories, or Symplectic Field Theory, will be significantly easier. Identities which only hold up to homotopy in the Fukaya–Oh–Ohta–Ono model, often hold on the nose in our version.

### (c) Kuranishi moduli problems

The usual approaches to moduli spaces in Differential Geometry, and in Algebraic Geometry, are very different. In Differential Geometry, one defines a moduli space (e.g. of  $J$ -holomorphic curves, or instantons on a 4-manifold), initially as a set  $\mathcal{M}$  of isomorphism classes of the objects of interest, and then adds extra structure: first a topology, and then an atlas of charts on  $\mathcal{M}$  making the moduli space into a manifold or Kuranishi-type space. The individual charts are defined by writing the p.d.e. as a nonlinear Fredholm operator between Sobolev or Hölder spaces, and using the Implicit Function Theorem for Banach spaces.

In Algebraic Geometry, following Grothendieck, one begins by defining a functor  $F$  called the *moduli functor*, which encodes the behaviour of families of objects in the moduli problem. This might be of the form  $F : (\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}} \rightarrow \mathbf{Sets}$

(to define a moduli  $\mathbb{C}$ -scheme) or  $F : (\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}} \rightarrow \mathbf{Groupoids}$  (to define a moduli  $\mathbb{C}$ -stack), where  $\mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$ ,  $\mathbf{Sets}$ ,  $\mathbf{Groupoids}$  are the categories of affine  $\mathbb{C}$ -schemes, and sets, and groupoids, and  $(\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}}$  is the opposite category of  $\mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$ . Here if  $S$  is an affine  $\mathbb{C}$ -scheme then  $F(S)$  is the set or groupoid of families of objects in the moduli problem over the base  $\mathbb{C}$ -scheme  $S$ .

We say that the moduli functor  $F$  is *representable* if there exists a  $\mathbb{C}$ -scheme  $\mathcal{M}$  such that  $F$  is naturally isomorphic to  $\text{Hom}(-, \mathcal{M}) : (\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}} \rightarrow \mathbf{Sets}$ , or an Artin  $\mathbb{C}$ -stack  $\mathcal{M}$  such that  $F$  is naturally equivalent to  $\mathbf{Hom}(-, \mathcal{M}) : (\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}} \rightarrow \mathbf{Groupoids}$ . Then  $\mathcal{M}$  is unique up to canonical isomorphism or canonical equivalence, and is called the *moduli scheme* or *moduli stack*.

As in Gomez [31, §2.1–§2.2], there are two equivalent ways to encode stacks, or moduli problems, as functors: either as a functor  $F : (\mathbf{Sch}_{\mathbb{C}}^{\text{aff}})^{\text{op}} \rightarrow \mathbf{Groupoids}$  as above, or as a *category fibred in groupoids*  $G : \mathcal{C} \rightarrow \mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$ , that is, a category  $\mathcal{C}$  with a functor  $G$  to  $\mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$  satisfying some lifting properties of morphisms in  $\mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$  to morphisms in  $\mathcal{C}$ .

We introduce a new approach to constructing Kuranishi structures on Differential-Geometric moduli problems, including moduli of  $J$ -holomorphic curves, which is a 2-categorical analogue of the ‘category fibred in groupoids’ version of moduli functors in Algebraic Geometry. Our analogue of  $\mathbf{Sch}_{\mathbb{C}}^{\text{aff}}$  is the 2-category  $\mathbf{G\ddot{K}N}$  of *global Kuranishi neighbourhoods*  $(V, E, \Gamma, s)$ , which are basically Kuranishi spaces  $\mathbf{X}$  covered by a single chart  $(V, E, \Gamma, s, \psi)$ .

We define a *Kuranishi moduli problem (KMP)* to be a 2-functor  $F : \mathcal{C} \rightarrow \mathbf{G\ddot{K}N}$  satisfying some lifting properties, where  $\mathcal{C}$  is a 2-category. For example, if  $\mathcal{M} \in \mathbf{K\ddot{u}r}$  is a Kuranishi space we can define a 2-category  $\mathcal{C}_{\mathcal{M}}$  with objects  $((V, E, \Gamma, s), \mathbf{f})$  for  $(V, E, \Gamma, s) \in \mathbf{G\ddot{K}N}$  and  $\mathbf{f} : (s^{-1}(0)/\Gamma, (V, E, \Gamma, s, \text{id}_{s^{-1}(0)/\Gamma})) \rightarrow \mathcal{M}$  a 1-morphism, and a 2-functor  $F_{\mathcal{M}} : \mathcal{C}_{\mathcal{M}} \rightarrow \mathbf{G\ddot{K}N}$  acting by  $F_{\mathcal{M}} : ((V, E, \Gamma, s), \mathbf{f}) \mapsto (V, E, \Gamma, s)$  on objects. A KMP  $F : \mathcal{C} \rightarrow \mathbf{G\ddot{K}N}$  is called *representable* if it is equivalent in a certain sense to  $F_{\mathcal{M}} : \mathcal{C}_{\mathcal{M}} \rightarrow \mathbf{G\ddot{K}N}$  for some  $\mathcal{M}$  in  $\mathbf{K\ddot{u}r}$ , which is unique up to equivalence. Then Kuranishi moduli problems form a 2-category  $\mathbf{K\ddot{M}P}$ , and the full 2-subcategory  $\mathbf{K\ddot{M}P}^{\text{re}}$  of representable KMP’s is equivalent to  $\mathbf{K\ddot{u}r}$ .

To construct a Kuranishi structure on some moduli space  $\mathcal{M}$ , e.g. a moduli space of  $J$ -holomorphic curves in some  $(S, \omega)$ , we carry out three steps:

- (1) Define a 2-category  $\mathcal{C}$  and 2-functor  $F : \mathcal{C} \rightarrow \mathbf{G\ddot{K}N}$ , where objects  $A$  in  $\mathcal{C}$  with  $F(A) = (V, E, \Gamma, s)$  correspond to families of objects in the moduli problem over the base Kuranishi neighbourhood  $(V, E, \Gamma, s)$ .
- (2) Prove that  $F : \mathcal{C} \rightarrow \mathbf{G\ddot{K}N}$  is a Kuranishi moduli problem.
- (3) Prove that  $F : \mathcal{C} \rightarrow \mathbf{G\ddot{K}N}$  is representable.

Here step (1) is usually fairly brief — far shorter than constructions of curve moduli spaces in [15, 30, 40], for instance. Step (2) is also short and uses standard arguments. The major effort is in (3). Step (3) has two parts: firstly we must show that a topological space  $\mathcal{M}$  naturally associated to the KMP is Hausdorff and second countable (often we can quote this from the literature), and secondly



we must prove that every point of  $\mathcal{M}$  admits a Kuranishi neighbourhood with a certain universal property.

We compare our approach to moduli problems with other current approaches, such as those of Fukaya–Oh–Ohta–Ono or Hofer–Wysocki–Zehnder:

- Rival approaches are basically very long ad hoc constructions, the effort is in the definition itself. In our approach we have a short-ish definition, followed by a theorem (representability of the KMP) with a long proof.
- Rival approaches may involve making many arbitrary choices to construct the moduli space. In our approach the definition of the KMP is natural, with no arbitrary choices. If the KMP is representable, the corresponding Kuranishi space  $\mathcal{M}$  is unique up to canonical equivalence in  $\mathbf{Kur}$ .
- In our approach, morphisms between moduli spaces, e.g. forgetting a marked point, are usually easy and require almost no work to construct.

Kuranishi moduli problems are introduced in Chapter 8 of volume I, and volume III is dedicated to constructing Kuranishi structures on moduli spaces using the KMP method.

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## Chapter 9

# Introduction to volume II

In volume I of this series, given a category  $\mathbf{Man}$  of ‘manifolds’ satisfying some assumptions, such as classical manifolds  $\mathbf{Man}$  or manifolds with corners  $\mathbf{Man}^c$ , we defined a corresponding category  $\mu\mathbf{Kur}$  of ‘ $\mu$ -Kuranishi spaces’, and 2-categories  $\mathbf{mKur}$  of ‘m-Kuranishi spaces’ and  $\mathbf{Kur}$  of ‘Kuranishi spaces’.

In this volume II, we study the differential geometry of these (m- and  $\mu$ -) Kuranishi spaces, covering topics including tangent spaces  $T_x\mathbf{X}$  and obstruction spaces  $O_x\mathbf{X}$ , canonical bundles  $K_{\mathbf{X}}$  and orientations, (w-)submersions and (w-)transverse fibre products  $\mathbf{X} \times_{g,Z,h} \mathbf{Y}$  in  $\mathbf{mKur}$  and  $\mathbf{Kur}$ , virtual chains and virtual cycles for compact, oriented (m-)Kuranishi spaces, orbifold strata of Kuranishi spaces, and (co)bordism of (m-)Kuranishi spaces.

We will be constantly referring to volume I. As it would take many pages to summarize the previous material we need, we have not tried to make this volume independent of volume I. So most readers will need a copy of volume I on hand to make sense of this book, unless they already know volume I well. The chapter numbering in this volume continues on from volume I, so all references to Chapters 1–8 and Appendices A, B are to volume I.

Chapter 10 defines and studies *tangent spaces*  $T_x\mathbf{X}$  and *obstruction spaces*  $O_x\mathbf{X}$  for ( $\mu$ - or m-)Kuranishi spaces  $\mathbf{X}$  in  $\mathbf{mKur}$ ,  $\mu\mathbf{Kur}$ ,  $\mathbf{Kur}$ . These come from a suitable notion of tangent space  $T_xX$  in  $\mathbf{Man}$ , where for categories of manifolds with corners  $\mathbf{Man}^c, \dots$  there may be several versions  $T_xX, {}^bT_xX, \tilde{T}_xX$ , yielding different notions  $T_x\mathbf{X}, {}^bT_x\mathbf{X}, \tilde{T}_x\mathbf{X}, O_x\mathbf{X}, {}^bO_x\mathbf{X}, \tilde{O}_x\mathbf{X}$  in  $\mathbf{mKur}, \mu\mathbf{Kur}, \mathbf{Kur}$ . We also discuss applications, including orientations on ( $\mu$ - and m-)Kuranishi spaces. Tangent and obstruction spaces are functorial under (1-)morphisms in  $\mathbf{mKur}, \mu\mathbf{Kur}, \mathbf{Kur}$ , and are useful for stating conditions on 1-morphisms. For example, a 1-morphism  $f : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}$  is étale (a local equivalence) if and only if  $T_x f : T_x\mathbf{X} \rightarrow T_y\mathbf{Y}$  and  $O_x f : O_x\mathbf{X} \rightarrow O_y\mathbf{Y}$  are isomorphisms for all  $x \in \mathbf{X}$  with  $f(x) = y$  in  $\mathbf{Y}$ .

Chapter 11 studies transverse fibre products and submersions in  $\mathbf{mKur}$  and  $\mathbf{Kur}$ . Given suitable notions of when morphisms  $g : X \rightarrow Z, h : Y \rightarrow Z$  in  $\mathbf{Man}$  are *transverse*, so that a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}$  with  $\dim W = \dim X + \dim Y - \dim Z$ , or when  $g : X \rightarrow Z$  is a *submersion*,

so that  $g, h$  are transverse for any  $h : Y \rightarrow Z$ , we define notions of when 1-morphisms  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  in  $\mathbf{m}\mathbf{Kur}$  or  $\mathbf{Kur}$  are *w-transverse*, so that a 2-category fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{m}\mathbf{Kur}$  or  $\mathbf{Kur}$  with  $\text{vdim } W = \text{vdim } X + \text{vdim } Y - \text{vdim } Z$ , or when  $g : X \rightarrow Z$  is a *w-submersion*, so that  $g, h$  are w-transverse for any  $h : Y \rightarrow Z$ .

For example, in Kuranishi spaces  $\mathbf{Kur}$  over classical manifolds, 1-morphisms  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are w-transverse if

$$O_x g \oplus O_y Y : O_x X \oplus O_y Y \longrightarrow O_z Z$$

is surjective for all  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ , and then a fibre product  $X \times_{g,Z,h} Y$  exists in  $\mathbf{Kur}$ . This is automatic if  $Z$  is a manifold or orbifold, so that  $O_z Z = 0$  for all  $z \in Z$ . Such fibre products will be important in applications in symplectic geometry.

In general, w-transverse fibre products do *not* exist in categories of  $\mu$ -Kuranishi spaces  $\mu\mathbf{Kur}$ , nor in the homotopy categories  $\text{Ho}(\mathbf{m}\mathbf{Kur})$ ,  $\text{Ho}(\mathbf{Kur})$ . The 2-category structure on  $\mathbf{m}\mathbf{Kur}$  and  $\mathbf{Kur}$  is essential for forming fibre products, as the universal property of such fibre products involves 2-morphisms. This is characteristic of ‘derived’ fibre products, and is an important reason for working in a 2-category or  $\infty$ -category when doing derived geometry.

Chapters 12–15 are not written yet, but will discuss virtual classes/chains for (m-)Kuranishi spaces using the author’s theory of M-(co)homology [44], orbifold strata for Kuranishi spaces, and (co)bordism for (m-)Kuranishi spaces.

## Chapter 10

# Tangent and obstruction spaces

If  $X$  is a classical manifold then each  $x \in X$  has a tangent space  $T_x X$ , and if  $f : X \rightarrow Y$  is a smooth map there are functorial tangent maps  $T_x f : T_x X \rightarrow T_y Y$  for  $x \in X$  with  $f(x) = y \in Y$ . For manifolds with corners  $\mathbf{Man}^c, \mathbf{Man}^{gc}, \dots$  there are (at least) two notions of tangent space  $T_x X, {}^b T_x X$ , as in §2.3.

For (m- or  $\mu$ -)Kuranishi spaces  $\mathbf{X}$ , it turns out to be natural to define functorial *tangent spaces*  $T_x \mathbf{X}$  and *obstruction spaces*  $O_x \mathbf{X}$  for  $x \in \mathbf{X}$ . This chapter studies tangent and obstruction spaces, and applies them in several ways, for instance to define *orientations* on (m- or  $\mu$ -)Kuranishi spaces  $\mathbf{X}$ .

### 10.1 Optional assumptions on tangent spaces

Suppose for the whole of this section that  $\dot{\mathbf{Man}}$  satisfies Assumptions 3.1–3.7. We now give optional assumptions on tangent spaces in  $\dot{\mathbf{Man}}$ .

#### 10.1.1 Tangent spaces

We ask that our ‘manifolds’  $X$  have a notion of ‘tangent space’  $T_x X$  satisfying many of the properties one expects. Note that we do *not* require  $\dim T_x X = \dim X$ , or that tangent spaces are the fibres of a vector bundle  $TX \rightarrow X$ , which are both false in some examples.

**Assumption 10.1. (Tangent spaces.)** (a) We are given a discrete property  $\mathbf{A}$  of morphisms in  $\dot{\mathbf{Man}}$ , in the sense of Definition 3.18, which may be trivial (i.e. all morphisms in  $\dot{\mathbf{Man}}$  may be  $\mathbf{A}$ ), and should satisfy:

- (i) If  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$  with  $Y \in \mathbf{Man}$ , then  $f$  is  $\mathbf{A}$ .
- (ii) If  $f : W \rightarrow Y, g : X \rightarrow Y, h : X \rightarrow Z$  are  $\mathbf{A}$  morphisms in  $\dot{\mathbf{Man}}$  then the product  $f \times h : W \times X \rightarrow Y \times Z$  and direct product  $(g, h) : X \rightarrow Y \times Z$  from Assumption 3.1(e) are also  $\mathbf{A}$ .

Projections  $\pi_X : X \times Y \rightarrow X, \pi_Y : X \times Y \rightarrow Y$  from products are  $\mathbf{A}$ .

(b) For all  $X \in \dot{\mathbf{Man}}$  and  $x \in X$ , we are given a real vector space  $T_x X$  called the *tangent space of  $X$  at  $x$* . For all  $\mathbf{A}$  morphisms  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  and all  $x \in X$  with  $f(x) = y$  in  $Y$ , we are given a linear map  $T_x f : T_x X \rightarrow T_y Y$  called the *tangent map*. The dual vector space  $T_x^* X$  of  $T_x X$  is the *cotangent space*, and the dual linear map  $T_x^* f : T_y^* Y \rightarrow T_x^* X$  of  $T_x f$  is the *cotangent map*. If  $g : Y \rightarrow Z$  is another  $\mathbf{A}$  morphism and  $g(y) = z \in Z$  then  $T_x(g \circ f) = T_y g \circ T_x f : T_x X \rightarrow T_z Z$ . We have  $T_x \text{id}_X = \text{id}_{T_x X} : T_x X \rightarrow T_x X$ .

(c) For all  $X, Y \in \dot{\mathbf{Man}}$  and  $x \in X, y \in Y$  the morphism

$$T_{(x,y)} \pi_X \oplus T_{(x,y)} \pi_Y : T_{(x,y)}(X \times Y) \longrightarrow T_x X \oplus T_y Y \quad (10.1)$$

is an isomorphism, where  $\pi_X, \pi_Y$  are  $\mathbf{A}$  by (a)(ii).

(d) If  $i : U \hookrightarrow X$  is an open submanifold in  $\dot{\mathbf{Man}}$  then  $T_x i : T_x U \rightarrow T_x X$  is an isomorphism for all  $x \in U \subseteq X$ , so we may identify  $T_x U$  with  $T_x X$ .

(e) If  $X \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}$  is a classical manifold and  $x \in X$  then  $T_x X$  is (canonically isomorphic to) the usual tangent space  $T_x X$  of manifolds in differential geometry. If  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}$ , so that  $f$  is  $\mathbf{A}$  by (a)(i), and  $x \in X$  with  $f(x) = y \in Y$ , then  $T_x f : T_x X \rightarrow T_y Y$  is the usual derivative of  $f$  at  $x$  in differential geometry.

**Example 10.2.** (i) If  $\dot{\mathbf{Man}} = \mathbf{Man}$  then  $\mathbf{A}$  must be trivial (i.e. all morphisms in  $\mathbf{Man}$  are  $\mathbf{A}$ ) by Assumption 10.1(a)(i), and  $T_x X, T_x f$  must be as usual in differential geometry by Assumption 10.1(e), and then Assumption 10.1 holds.

(ii) Let  $\dot{\mathbf{Man}}$  be  $\mathbf{Man}^c$  or  $\mathbf{Man}_{\text{we}}^c$  from Chapter 2, and let  $\mathbf{A}$  be trivial. Then as in §2.3, each  $X \in \dot{\mathbf{Man}}$  has tangent spaces  $T_x X$  for all  $x \in X$  and tangent maps  $T_x f : T_x X \rightarrow T_y Y$  for all morphisms  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  and  $x \in X$  with  $f(x) = y \in Y$ , which satisfy Assumption 10.1.

(iii) Let  $\dot{\mathbf{Man}}$  be one of  $\mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{c,\text{ac}}$  from Chapter 2, and let  $\mathbf{A}$  be *interior* maps in this category. Then as in §2.3–§2.4, each  $X \in \dot{\mathbf{Man}}$  has b-tangent spaces  ${}^b T_x X$  for all  $x \in X$ , and each interior morphism  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  has b-tangent maps  ${}^b T_x f : {}^b T_x X \rightarrow {}^b T_y Y$  for all  $x \in X$  with  $f(x) = y \in Y$ , which satisfy Assumption 10.1.

(iv) Let  $\dot{\mathbf{Man}}$  be one of  $\mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{c,\text{ac}}$ , and let  $\mathbf{A}$  be trivial. Then as in §2.2, each  $X \in \dot{\mathbf{Man}}$  with  $\dim X = m$  has a depth stratification  $X = \coprod_{k=0}^m S^k(X)$  with  $S^k(X)$  a classical manifold of dimension  $m - k$ , and any morphism  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  preserves depth stratifications. (The latter does not hold for  $\mathbf{Man}_{\text{we}}^c$ , which we exclude).

For each  $x \in S^k(X) \subseteq X$ , define  $\tilde{T}_x X = T_x S^k(X)$ . We call this the *stratum tangent space* of  $X$  at  $x$ . If  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$  and  $x \in S^k(X) \subseteq X$  with  $f(x) = y \in S^l(Y) \subseteq Y$  then near  $f|_{S^k(X)}$  is a smooth map of classical manifolds  $S^k(X) \rightarrow S^l(Y)$  near  $x$ . Define

$$\tilde{T}_x f = T_x(f|_{S^k(X)}) : \tilde{T}_x X = T_x S^k(X) \longrightarrow \tilde{T}_y Y = T_y S^l(Y).$$

Then these  $\mathbf{A}, \tilde{T}_x X, \tilde{T}_x f$  satisfy Assumption 10.1.

(v) Let  $\dot{\mathbf{Man}}$  satisfy Assumptions 3.1–3.7, and let  $\mathbf{A}$  be trivial. Then as in §3.3.1(c) and §B.1.3, we define a functor  $F_{\dot{\mathbf{Man}}}^{\mathbf{C}^\infty\mathbf{Sch}} : \dot{\mathbf{Man}} \rightarrow \mathbf{C}^\infty\mathbf{Sch}^{\mathbf{aff}}$  to the category of affine  $C^\infty$ -schemes. Now  $C^\infty$ -schemes  $\underline{X} = (X, \mathcal{O}_X)$  have a functorial notion of tangent space  $T_x \underline{X}$  for  $x \in X$ , given by  $T_x \underline{X} = (\Omega_{\underline{X},x} \otimes_{\mathcal{O}_{X,x}} \mathbb{R})^*$ , where  $\Omega_{\underline{X}}$  is the cotangent sheaf of  $\underline{X}$  from [45, §5.6] (which we used in §B.4 to define  $\overline{\mathcal{T}}^*(X)$ ), and  $\Omega_{\underline{X},x}, \mathcal{O}_{X,x}$  are the stalks of  $\Omega_{\underline{X}}, \mathcal{O}_X$  at  $x$ .

Thus, for any  $\dot{\mathbf{Man}}$  we can define  $T_x^{C^\infty} \underline{X}, T_x^{C^\infty} f$  satisfying Assumption 10.1 by applying  $F_{\dot{\mathbf{Man}}}^{\mathbf{C}^\infty\mathbf{Sch}} : \dot{\mathbf{Man}} \rightarrow \mathbf{C}^\infty\mathbf{Sch}^{\mathbf{aff}}$  and taking tangent spaces of  $C^\infty$ -schemes. The result is canonically isomorphic to the tangent spaces  $T_x X$  in (i),(ii) in those cases, but not isomorphic to  ${}^b T_x X, \tilde{T}_x X$  in (iii),(iv).

Note that  $\mathbf{Man}^c$  has three different tangent spaces satisfying Assumption 10.1 in (ii)–(iv). Here is a way to compare different notions of tangent space:

**Definition 10.3.** Suppose we are given two notions of tangent space  $T_x X, T_x f$  for  $f$  with discrete property  $\mathbf{A}$ , and  $T'_x X, T'_x f$  with discrete property  $\mathbf{A}'$ , both satisfying Assumption 10.1 in  $\dot{\mathbf{Man}}$ . A *natural transformation*  $I : T \Rightarrow T'$  assigns a linear map  $I_x X : T_x X \rightarrow T'_x X$  for all  $X \in \dot{\mathbf{Man}}$  and  $x \in X$ , such that:

- (i) If  $f : X \rightarrow Y$  is a morphism in  $\dot{\mathbf{Man}}$  which is both  $\mathbf{A}$  and  $\mathbf{A}'$ , and  $x \in X$  with  $f(x) = y \in Y$ , the following diagram commutes:

$$\begin{array}{ccc} T_x X & \xrightarrow{\quad T_x f \quad} & T_y Y \\ \downarrow I_x X & & I_y Y \downarrow \\ T'_x X & \xrightarrow{\quad T'_x f \quad} & T'_y Y. \end{array}$$

- (ii) If  $X \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}$ , so that  $T_x X, T'_x X$  are both the usual tangent space  $T_x X$  by Assumption 10.1(e), then  $I_x X = \text{id}_{T_x X}$ .

**Example 10.4.** (a) Let  $\dot{\mathbf{Man}} = \mathbf{Man}^c$ . Then Example 10.2(ii),(iii) define tangent spaces  $T_x X$  with  $\mathbf{A}$  trivial, and  ${}^b T_x X$  with  $\mathbf{A}$  interior, satisfying Assumption 10.1. As in (2.10) in §2.3, there are natural maps  $I_x X : {}^b T_x X \rightarrow T_x X$  satisfying Definition 10.3.

(b) When  $\dot{\mathbf{Man}} = \mathbf{Man}^c$  there are injective maps  $\iota_x X : \tilde{T}_x X \rightarrow T_x X$  in Example 10.2(ii),(iv), the inclusions  $T_x S^k(X) \hookrightarrow T_x X$ , satisfying Definition 10.3.

(c) Let  $\dot{\mathbf{Man}}$  be one of  $\mathbf{Man}^c, \mathbf{Man}^{\text{sc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{\text{c.ac}}$ . Then there are natural surjective maps  $\Pi_x X : {}^b T_x X \rightarrow \tilde{T}_x X$  in Example 10.2(iii),(iv) satisfying Definition 10.3.

We can also add a further assumption on dimensions of tangent spaces:

**Assumption 10.5.** Assumption 10.1 holds, and  $T_x X$  is finite-dimensional with  $\dim T_x X = \dim X$  for all  $X \in \dot{\mathbf{Man}}$  and  $x \in X$ .

This holds for Example 10.2(i)–(iii), but not for Example 10.2(iv)–(v).

To use Assumption 10.1, we will need the following notation:

**Definition 10.6.** Let Assumption 10.1 hold for  $\mathbf{Man}$ , with discrete property  $\mathbf{A}$  and data  $T_x X, T_x f$ . Suppose  $\pi : E \rightarrow X$  is a vector bundle in  $\mathbf{Man}$ , and  $s \in \Gamma^\infty(E)$  be a section, and  $x \in s^{-1}(0) \subseteq X$ . We will define a linear map  $d_x s : T_x X \rightarrow E|_x$ , where  $E|_x$  is the fibre of  $E$  at  $x$ , which we think of as the derivative of  $s$  at  $x$ .

The section  $s$ , and the zero section  $0_E$ , are both morphisms  $X \rightarrow E$  in  $\mathbf{Man}$ , with  $s(x) = 0_E(x)$  as  $x \in s^{-1}(0)$ . Write  $e = s(x) = 0_E(x)$ . Then  $\pi(e) = x$ . Using Assumption 10.1(a) and Definition 3.18(iv) we can show that  $s, 0_E, \pi$  are all  $\mathbf{A}$ . Hence Assumption 10.1 gives linear maps

$$T_x s : T_x X \longrightarrow T_e E, \quad T_x 0_E : T_x X \longrightarrow T_e E, \quad T_e \pi : T_e E \longrightarrow T_x X,$$

with  $T_e \pi \circ T_x s = T_e \pi \circ T_x 0_E = \text{id}_{T_x X}$  as  $\pi \circ s = \pi \circ 0_E = \text{id}_X$ . By definition of vector bundles, there is an open neighbourhood  $U$  of  $x$  in  $X$  on which  $E$  is trivial, so  $E|_U \cong U \times \mathbb{R}^k$  identifying  $\pi|_U : E|_U \rightarrow U$  with  $\pi_{\mathbb{R}^k} : U \times \mathbb{R}^k \rightarrow \mathbb{R}^k$ . Thus from Assumption 10.1(c)–(e) we get a natural isomorphism

$$T_e E \cong T_x X \oplus \mathbb{R}^k \cong T_x X \oplus E|_x, \quad (10.2)$$

identifying  $T_e \pi : T_e E \rightarrow T_x X$  with  $\text{id}_{T_x X} \oplus 0 : T_x X \oplus E|_x \rightarrow T_x X$ , and  $T_x 0_E : T_x X \rightarrow T_e E$  with  $\text{id}_{T_x X} \oplus 0 : T_x X \rightarrow T_x X \oplus E|_x$ . Write  $d_x s : T_x X \rightarrow E|_x$  for the composition of  $T_x s : T_x X \rightarrow T_e E$  with the projection  $T_e E \rightarrow E|_x$  from (10.2). When  $\mathbf{Man} = \mathbf{Man}$ , this  $d_x s : T_x X \rightarrow E|_x$  is  $\nabla s|_x : T_x X \rightarrow E|_x$  for any connection  $\nabla$  on  $E$ , and is independent of the choice of  $\nabla$ , as  $s(x) = 0$ .

### 10.1.2 Tangent spaces and differential geometry in $\mathbf{Man}$

Suppose throughout this section that  $\mathbf{Man}$  satisfies Assumptions 3.1–3.7 and Assumption 10.1, so that we are given a discrete property  $\mathbf{A}$  of morphisms in  $\mathbf{Man}$ , and ‘manifolds’  $V$  in  $\mathbf{Man}$  have tangent spaces  $T_x X$  for  $x \in X$ , and  $\mathbf{A}$  morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}$  have functorial tangent maps  $T_x f : T_x X \rightarrow T_y Y$  for all  $x \in X$  with  $f(x) = y \in Y$ . We will relate tangent spaces  $T_x X$  to (relative) tangent sheaves  $\mathcal{T}X, \mathcal{T}_f Y$  from §3.3.4 and §B.4.

**Definition 10.7.** Let  $f : X \rightarrow Y$  be an  $\mathbf{A}$  morphism in  $\mathbf{Man}$ , and  $\alpha \in \Gamma(\mathcal{T}_f Y)$ , and  $x \in X$  with  $f(x) = y \in Y$ . We will define an element  $\alpha|_x$  in  $T_y Y$ .

By Definition B.16 we have  $\alpha = [U, u]$  for  $i : U \hookrightarrow X \times \mathbb{R}$  and  $u : U \rightarrow Y$  in a diagram (B.5), with  $u(x, 0) = y$ . Using Definition B.38(iii),(viii) and that  $f$  is  $\mathbf{A}$  we can show that  $u$  is  $\mathbf{A}$  near  $X \times \{0\}$ . Thus we have linear maps

$$T_x X \oplus \mathbb{R} \xrightarrow{\cong} T_{(x,0)}(X \times \mathbb{R}) \xrightarrow{\cong}^{(T_{(x,0)}i)^{-1}} T_{(x,0)}U \xrightarrow{T_{(x,0)}u} T_y Y, \quad (10.3)$$

where the first two isomorphisms come from Assumption 10.1(c),(d),(e). Define  $\alpha|_x$  to be the image of  $(0, 1) \in T_x X \oplus \mathbb{R}$  under the composition of (10.3).

To show this is well defined, suppose also that  $\alpha = [U', u']$  for  $U', u'$  in a diagram (B.5). Then  $(U, u) \approx (U', u')$  in the notation of Definition B.16, so

there exist open  $j : V \hookrightarrow X \times \mathbb{R}^2$  and a morphism  $v : V \rightarrow Y$  satisfying (B.6) with  $\tilde{x} = x$ . As for  $u$  we find that  $v$  is  $\mathbf{A}$  near  $(x, 0, 0)$ , so as for (10.3) we have

$$T_x X \oplus \mathbb{R} \oplus \mathbb{R} \xrightarrow{\cong} T_{(x,0,0)}(X \times \mathbb{R}^2) \xrightarrow[\cong]{(T_{(x,0,0)}j)^{-1}} T_{(x,0,0)}V \xrightarrow{T_{(x,0,0)}v} T_y Y.$$

The equations of (B.6) imply that

$$\begin{aligned} T_{(x,0,0)}v(w, s, 0) &= T_{(x,0)}u(w, s), & T_{(x,0,0)}v(w, 0, s') &= (T_{(x,0)}u')(w, s'), \\ \text{and } T_{(x,0,0)}v(0, s, -s) &= 0, \end{aligned}$$

for  $w \in T_x X$  and  $s, s' \in \mathbb{R}$ . Hence  $T_{(x,0)}u(0, 1) = T_{(x,0)}u'(0, 1)$  by linearity of  $T_{(x,0,0)}v$ , so  $\alpha|_x$  is independent of the choice of representative  $(U, u)$  for  $\alpha$ , and is well defined.

From the definition of the  $C^\infty(X)$ -module structure on  $\Gamma(\mathcal{T}_f Y)$  in §B.4.2, we see that  $\alpha \mapsto \alpha|_x$  is  $\mathbb{R}$ -linear, and satisfies  $(a \cdot \alpha)|_x = a(x) \cdot (\alpha|_x)$  for all  $a \in C^\infty(X)$  and  $\alpha \in \Gamma(\mathcal{T}_f Y)$ .

Now let  $E \rightarrow X$  be a vector bundle, and  $\theta : E \rightarrow \mathcal{T}_f Y$  be a morphism in the sense of §B.4.8. Then we have a map  $\Gamma^\infty(E) \rightarrow \Gamma(\mathcal{T}_f Y)$  taking  $e \mapsto (\theta \circ e)|_x$  for all  $e \in \Gamma^\infty(E)$ , so that  $\theta \circ e \in \Gamma(\mathcal{T}_f Y)$ . As this is  $\mathbb{R}$ -linear and satisfies  $(\theta \circ (a \cdot e))|_x = a(x) \cdot (\theta \circ e)|_x$  for  $a \in C^\infty(X)$  and  $e \in \Gamma^\infty(E)$ , the map  $e \mapsto (\theta \circ e)|_x$  factors via  $e|_x \in E|_x$ . That is, there is a unique linear map  $\theta|_x : E|_x \rightarrow T_y Y$  with  $(\theta \circ e)|_x = \theta|_x(e|_x)$  for all  $e \in \Gamma^\infty(E)$ .

Suppose  $\theta : E \rightarrow \mathcal{T}_f Y$  is of the form  $\theta_{V,v}$  in the notation of Definition B.32 for some open  $j : V \hookrightarrow E$  and  $v : V \rightarrow Y$  in a diagram (B.22). Then  $v$  is  $\mathbf{A}$  near  $(x, 0)$  in  $V$ , and as for (10.3) we have linear maps

$$T_x X \oplus E|_x \xrightarrow{\cong} T_{(x,0)}E \xrightarrow[\cong]{(T_{(x,0)}j)^{-1}} T_{(x,0)}V \xrightarrow{T_{(x,0)}v} T_y Y, \quad (10.4)$$

and we can show that  $\theta|_x(e)$  is the image of  $(0, e)$  under (10.4) for each  $e \in E|_x$ .

In the case when  $\mathbf{\dot{M}an} = \mathbf{Man}$  and  $T_x X$  is the ordinary tangent space,  $\mathcal{T}_f Y$  is the sheaf of sections of  $f^*(TY)$ , so  $\theta : E \rightarrow f^*(TY)$  is a vector bundle morphism on  $X$ , and  $\theta|_x : E|_x \rightarrow f^*(TY)|_x = T_y Y$  is just the fibre of the morphism at  $x$ .

The next proposition can be deduced from the definitions in a fairly straightforward way, using functoriality of tangent maps in Assumption 10.1(b), and writing  $\theta$  using either (10.3) or (10.4). For example, in (a), if  $\theta = \theta_{V,v}$  then  $\mathcal{T}g \circ \theta = \theta_{V, g \circ v}$ , and (a) follows from (10.4) and  $T_{(x,0)}(g \circ v) = T_y g \circ T_{(x,0)}v$ .

**Proposition 10.8.** (a) *Suppose  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  are  $\mathbf{A}$  morphisms in  $\mathbf{\dot{M}an}$ , and  $E \rightarrow X$  is a vector bundle, and  $\theta : E \rightarrow \mathcal{T}_f Y$  is a morphism, so that  $\mathcal{T}g \circ \theta : E \rightarrow \mathcal{T}_{g \circ f} Z$  is a morphism as in §3.3.4(c),(d) and §B.4.6, §B.4.8. Then for all  $x \in X$  with  $f(x) = y \in Y$  and  $g(y) = z \in Z$ , we have*

$$T_y g \circ \theta|_x = (\mathcal{T}g \circ \theta)|_x : E|_x \longrightarrow T_z Z. \quad (10.5)$$

(b) *Suppose  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  are  $\mathbf{A}$  morphisms in  $\mathbf{\dot{M}an}$ , and  $F \rightarrow Y$  is a vector bundle, and  $\theta : F \rightarrow \mathcal{T}_g Z$  is a morphism on  $Y$ , so that we have*



a morphism  $f^*(\theta) : f^*(F) \rightarrow \mathcal{T}_{g \circ f} Z$  as in §3.3.4(g) and §B.4.9. Then for all  $x \in X$  with  $f(x) = y \in Y$  and  $g(y) = z \in Z$ , we have

$$f^*(\theta)|_x = \theta|_y : f^*(F)|_x = F|_y \longrightarrow T_z Z. \quad (10.6)$$

(c) Suppose  $f : X \rightarrow Y$  is an  $\mathbf{A}$  morphism in  $\dot{\mathbf{M}}\mathbf{an}$ , and  $E, F \rightarrow X, G \rightarrow Y$  are vector bundles, and  $s \in \Gamma^\infty(E), t \in \Gamma^\infty(G)$  with  $f^*(t) = O(s)$ , and  $\Lambda : F \rightarrow \mathcal{T}_f Y$  is a morphism, and  $\theta : F \rightarrow f^*(G)$  is a vector bundle morphism with  $\theta = f^*(dt) \circ \Lambda + O(s)$  in the sense of Definitions 3.15(vi) and B.36(vi). Then for each  $x \in X$  with  $s(x) = 0$  and  $f(x) = y \in Y$ , we have

$$\theta|_x = d_y t \circ \Lambda|_x : E|_x \longrightarrow F|_y, \quad (10.7)$$

where  $d_y t$  is as in Definition 10.6.

(d) Suppose  $f, g : X \rightarrow Y$  are  $\mathbf{A}$  morphisms in  $\dot{\mathbf{M}}\mathbf{an}$ , and  $E \rightarrow X$  is a vector bundle, and  $s \in \Gamma^\infty(E)$ , and  $\Lambda : E \rightarrow \mathcal{T}_f Y$  be a morphism with  $g = f + \Lambda \circ s + O(s^2)$  as in Definitions 3.15(vii) and B.36(vii). Then for each  $x \in X$  with  $s(x) = 0$ , so that  $f(x) = g(x) = y \in Y$ , we have

$$T_x g = T_x f + \Lambda|_x \circ d_x s : T_x X \longrightarrow T_y Y. \quad (10.8)$$

### 10.1.3 Assumptions on $f : X \rightarrow \mathbb{R}^n$ , and on local diffeomorphisms

Supposing Assumption 10.1 holds, we give some more assumptions on  $\dot{\mathbf{M}}\mathbf{an}$ , expressed in terms of tangent spaces  $T_x X$ . They will be used in §10.4–§10.5.

**Assumption 10.9.** Let Assumption 10.1 hold for  $\dot{\mathbf{M}}\mathbf{an}$ , giving notions of tangent space  $T_x X$  and tangent maps  $T_x f : T_x X \rightarrow T_y Y$  for  $f : X \rightarrow Y$  in  $\dot{\mathbf{M}}\mathbf{an}$  satisfying a discrete property  $\mathbf{A}$ .

Suppose  $f : X \rightarrow \mathbb{R}^n$  is a morphism in  $\dot{\mathbf{M}}\mathbf{an}$ , so that  $f$  is  $\mathbf{A}$  by Assumption 10.1(a)(i), and  $x \in X$  such that  $f(x) = 0$  and  $T_x f : T_x X \rightarrow T_0 \mathbb{R}^n = \mathbb{R}^n$  is surjective. Then there exists a commutative diagram in  $\dot{\mathbf{M}}\mathbf{an}$ :

$$\begin{array}{ccccc} x \in U & \xrightarrow[k]{\cong} & V \times W & \xrightarrow{\pi_W} & W \ni 0 \\ \downarrow i & & & & \downarrow j \\ X & \xrightarrow{f} & & & \mathbb{R}^n, \end{array} \quad (10.9)$$

where  $i : U \hookrightarrow X, j : W \hookrightarrow \mathbb{R}^n$  are open submanifolds in  $\dot{\mathbf{M}}\mathbf{an}$  with  $x \in U \subseteq X$  and  $0 \in W \subseteq \mathbb{R}^n$ , and  $V$  is an object in  $\dot{\mathbf{M}}\mathbf{an}$  with  $\dim V = \dim X - n$ , and  $k : U \rightarrow V \times W$  is a diffeomorphism in  $\dot{\mathbf{M}}\mathbf{an}$ .

Suppose further that a finite group  $\Gamma$  acts on  $X$  fixing  $x \in X$ , and  $\Gamma$  acts linearly on  $\mathbb{R}^n$ , and  $f : X \rightarrow \mathbb{R}^n$  is  $\Gamma$ -equivariant. Then we can choose  $U, W$  to be  $\Gamma$ -invariant, and  $V$  to have a  $\Gamma$ -action making (10.9)  $\Gamma$ -equivariant.

**Example 10.10.** (a) Assumption 10.9 holds for Example 10.2(i),(iii),(iv).

(b) As in Example 10.2(ii), let  $\dot{\mathbf{Man}}$  be  $\mathbf{Man}^c$  or  $\mathbf{Man}_{\text{we}}^c$ , and  $\mathbf{A}$  be trivial, and  $T_x X, T_x f$  be as in §2.3. Then Assumption 10.9 *does not hold*. For example, let  $f : X \rightarrow Y$  be the inclusion map  $i : [0, \infty) \hookrightarrow \mathbb{R}$ , and  $x = 0 \in [0, \infty)$ . Then  $T_0 i : T_0[0, \infty) \rightarrow T_0\mathbb{R}$  is surjective, but no diagram (10.9) exists in  $\dot{\mathbf{Man}}$ .

**Assumption 10.11.** Let Assumption 10.1 hold for  $\dot{\mathbf{Man}}$ , giving notions of tangent space  $T_x X$  and tangent maps  $T_x f : T_x X \rightarrow T_y Y$  for  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$  satisfying a discrete property  $\mathbf{A}$ . We should be given another discrete property  $\mathbf{B}$  of morphisms in  $\dot{\mathbf{Man}}$ , such that  $\mathbf{B}$  implies  $\mathbf{A}$ .

Suppose  $f : X \rightarrow Y$  is a  $\mathbf{B}$  morphism in  $\dot{\mathbf{Man}}$ , and  $x \in X$  with  $f(x) = y$ , and  $T_x f : T_x X \rightarrow T_y Y$  is an isomorphism. Then there should exist open submanifolds  $i : U \hookrightarrow X$  and  $j : V \hookrightarrow Y$  in  $\dot{\mathbf{Man}}$  with  $x \in U$  and  $V = f(U) \subseteq Y$ , so that there is a unique  $f' : U \rightarrow V$  in  $\dot{\mathbf{Man}}$  with  $f \circ i = j \circ f'$  by Assumption 3.2(d), and  $f' : U \rightarrow V$  should be a diffeomorphism in  $\dot{\mathbf{Man}}$ .

**Example 10.12.** (i) Let  $\dot{\mathbf{Man}} = \mathbf{Man}$ , and  $\mathbf{A}$  be trivial, and  $T_x X, T_x f$  be as usual in differential geometry, so that Assumption 10.1 holds as in Example 10.2(i). Take  $\mathbf{B}$  to be trivial. Then Assumption 10.11 holds.

(ii) Let  $\dot{\mathbf{Man}} = \mathbf{Man}^c$  from Chapter 2, and  $\mathbf{A}$  be trivial, and  $T_x X, T_x f$  be as in §2.3, so that Assumption 10.1 holds as in Example 10.2(ii). Take  $\mathbf{B}$  to be simple morphisms. Then Assumption 10.11 holds. That is, if  $f : X \rightarrow Y$  is a simple morphism in  $\mathbf{Man}^c$  and  $T_x f : T_x X \rightarrow T_y Y$  is an isomorphism then  $f$  is a local diffeomorphism in  $\mathbf{Man}^c$  near  $x \in X$  and  $y \in Y$ .

Note that we do not allow  $\dot{\mathbf{Man}} = \mathbf{Man}_{\text{we}}^c$  in this example, although Example 10.2(ii) includes  $\mathbf{Man}_{\text{we}}^c$ . One can show that the only discrete property  $\mathbf{B}$  of morphisms in  $\mathbf{Man}_{\text{we}}^c$  is  $\mathbf{B}$  trivial, and Assumption 10.11 does not hold.

(iii) Let  $\dot{\mathbf{Man}}$  be one of  $\mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{\text{c,ac}}$  from Chapter 2, and  $\mathbf{A}$  be interior maps, and consider b-tangent spaces  ${}^b T_x X$  and b-tangent maps  ${}^b T_x f : {}^b T_x X \rightarrow {}^b T_y Y$  for interior  $f$  in  $\dot{\mathbf{Man}}$  as in §2.3–§2.4, so that Assumption 10.1 holds as in Example 10.2(iii). Take  $\mathbf{B}$  to be simple morphisms. Then  $\mathbf{B}$  implies  $\mathbf{A}$ , as simple morphisms are interior, and Assumption 10.11 holds.

(iv) Let  $\dot{\mathbf{Man}}$  be one of  $\mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{\text{c,ac}}$  from Chapter 2, and  $\mathbf{A}$  be trivial, and consider stratum tangent spaces  $\tilde{T}_x X$  and stratum tangent maps  $\tilde{T}_x f : \tilde{T}_x X \rightarrow \tilde{T}_y Y$  as in Example 10.2(iv), so that Assumption 10.1 holds. Take  $\mathbf{B}$  to be simple morphisms. Then Assumption 10.11 holds.

#### 10.1.4 Assumptions on tangent bundles, and orientations

In the next assumption we suppose that tangent spaces  $T_x X$  in Assumption 10.1 are the fibres of a vector bundle  $TX \rightarrow X$ .

**Assumption 10.13. (Tangent vector bundles.)** (a) Let Assumption 10.1 hold for  $\dot{\mathbf{Man}}$ , with tangent spaces  $T_x X$  and discrete property  $\mathbf{A}$ . For each  $X \in \dot{\mathbf{Man}}$  there is a natural vector bundle  $\pi : TX \rightarrow X$  called the *tangent bundle*, of rank  $\dim X$ , whose fibre at each  $x \in X$  is the tangent space  $T_x X$ .

The dual vector bundle of  $TX$  is called the *cotangent bundle*  $T^*X \rightarrow X$ , with fibres the cotangent spaces  $T_x^*X$ .

(b) If  $f : X \rightarrow Y$  is an  $\mathbf{A}$  morphism in  $\dot{\mathbf{M}}\mathbf{an}$  there is a natural vector bundle morphism  $Tf : TX \rightarrow f^*(TY)$  on  $X$ , such that if  $x \in X$  with  $f(x) = y$  in  $Y$  then the fibre  $Tf|_x$  of  $Tf$  at  $x$  is the tangent map  $T_x f : T_x X \rightarrow T_y Y$ .

The dual morphism is written  $T^*f : f^*(T^*Y) \rightarrow T^*X$ .

Using part (b) and §10.1.2 we can show that if  $f : X \rightarrow Y$  is an  $\mathbf{A}$  morphism in  $\dot{\mathbf{M}}\mathbf{an}$ , and  $E \rightarrow X$  is a vector bundle, and  $\theta : E \rightarrow \mathcal{T}_f Y$  is a morphism, then there is a vector bundle morphism  $\tilde{\theta} : E \rightarrow f^*(TY)$  on  $X$  whose fibre at  $x \in X$  with  $f(x) = y$  in  $Y$  is  $\tilde{\theta}|_x = \theta|_x : E|_x \rightarrow T_y Y$  from Definition 10.7.

**Example 10.14.** As in Chapter 2, Assumption 10.13 holds for tangent spaces  $T_x X$  in  $\mathbf{Man}$ ,  $\mathbf{Man}^c$  and  $\mathbf{Man}_{\text{we}}^c$  from Example 10.2(i),(ii), and for b-tangent spaces  ${}^b T_x X$  in  $\mathbf{Man}^c$ ,  $\mathbf{Man}^{\text{gc}}$ ,  $\mathbf{Man}^{\text{ac}}$ ,  $\mathbf{Man}^{c,\text{ac}}$  from Example 10.2(iii). But it fails for stratum tangent spaces  $\tilde{T}_x X$  in  $\mathbf{Man}^c, \dots, \mathbf{Man}^{c,\text{ac}}$  from Example 10.2(iv).

In §2.6 we discussed orientations on objects  $X$  in  $\mathbf{Man}, \mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{c,\text{ac}}$ , using the vector bundles  $T^*X \rightarrow X$  or  ${}^b T^*X \rightarrow X$ . Under Assumption 10.13 we can make the same definitions in  $\dot{\mathbf{M}}\mathbf{an}$ .

**Definition 10.15.** Let Assumption 10.13 hold for  $\dot{\mathbf{M}}\mathbf{an}$ . An *orientation*  $o_X$  on an object  $X$  in  $\dot{\mathbf{M}}\mathbf{an}$  is an equivalence class  $[\omega]$  of top-degree forms  $\omega$  in  $\Gamma^\infty(\Lambda^{\dim X} T^*X)$  with  $\omega|_x \neq 0$  for all  $x \in X$ , where two such  $\omega, \omega'$  are equivalent if  $\omega' = K \cdot \omega$  for  $K : X \rightarrow (0, \infty)$  smooth. The *opposite orientation* is  $-o_X = [-\omega]$ . Then we call  $(X, o_X)$  an *oriented manifold*. Usually we just refer to  $X$  as an oriented manifold, and then we write  $-X$  for  $X$  with the opposite orientation.

We will call the real line bundle  $\Lambda^{\dim X} T^*X \rightarrow X$  the *canonical bundle*  $K_X$  of  $X$ . Then an orientation on  $X$  is an orientation on the fibres of  $K_X$ .

If  $x \in X$  and  $(v_1, \dots, v_m)$  is a basis for  $T_x X$ , then we call  $(v_1, \dots, v_m)$  *oriented* if  $\omega|_x \cdot v_1 \wedge \dots \wedge v_m > 0$ , and *anti-oriented* otherwise.

Let  $f : X \rightarrow Y$  be a morphism in  $\dot{\mathbf{M}}\mathbf{an}$ . A *coorientation*  $c_f$  on  $f$  is an orientation on the fibres of the line bundle  $K_X \otimes f^*(K_Y^*)$  over  $X$ . That is,  $c_f$  is an equivalence class  $[\gamma]$  of nonvanishing sections  $\gamma \in \Gamma^\infty(K_X \otimes f^*(K_Y^*))$ , where two such  $\gamma, \gamma'$  are equivalent if  $\gamma' = K \cdot \gamma$  for  $K : X \rightarrow (0, \infty)$  smooth. The *opposite coorientation* is  $-c_f = [-\gamma]$ . If  $Y$  is oriented then coorientations on  $f$  are equivalent to orientations on  $X$ . Orientations on  $X$  are equivalent to coorientations on  $\pi : X \rightarrow *$ , for  $*$  the point in  $\dot{\mathbf{M}}\mathbf{an}$ .

The reason we need Assumption 10.13 to define orientations, is that the vector bundle structure on  $TX \rightarrow X$  gives us a notion of when orientations on  $T_x X$  vary continuously with  $x \in X$ , which does not follow from Assumption 10.1 alone. We will use Convention 2.39 in  $\dot{\mathbf{M}}\mathbf{an}$  whenever it makes sense.

Here is an extension of Assumption 10.13 to manifolds with corners:

**Assumption 10.16.** Let Assumption 3.22 hold for  $\dot{\mathbf{M}}\mathbf{an}^c$ . Suppose Assumptions 10.1 and 10.13 hold for  $\dot{\mathbf{M}}\mathbf{an}^c$ , so that from Assumption 10.1 we have a

discrete property **A** of morphisms in  $\dot{\mathbf{Man}}^c$ , and tangent spaces  $T_x X$  for objects  $X$  in  $\dot{\mathbf{Man}}^c$  which are fibres of the tangent bundle  $TX \rightarrow X$ , and tangent maps  $T_x f : T_x X \rightarrow T_y Y$  for **A** morphisms  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}^c$ , which are fibres of the vector bundle morphism  $Tf : TX \rightarrow f^*(TY)$ .

Assumption 3.22 includes a discrete property of morphisms in  $\dot{\mathbf{Man}}^c$  called *simple maps*. We require that all simple maps are **A**.

We require that either **(a)** or **(b)** holds for  $\dot{\mathbf{Man}}^c$ , where:

- (a)** For each  $X$  in  $\dot{\mathbf{Man}}^c$ , so that by Assumption 10.1(d) we have the boundary  $\partial X$  with morphism  $i_X : \partial X \rightarrow X$ , we are given a canonical exact sequence of vector bundles on  $\partial X$ :

$$0 \longrightarrow N_{\partial X} \xrightarrow{\alpha_X} i_X^*(TX) \xrightarrow{\beta_X} T(\partial X) \longrightarrow 0, \quad (10.10)$$

where  $N_{\partial X}$  is a line bundle (rank 1 vector bundle) on  $\partial X$ , and there is natural orientation on the fibres of  $N_{\partial X}$ . If  $f : X \rightarrow Y$  is simple in  $\dot{\mathbf{Man}}^c$ , so that we have  $\partial f : \partial X \rightarrow \partial Y$  with  $i_Y \circ \partial f = f \circ i_X$  by Assumption 10.1(g),(i), then the following commutes:

$$\begin{array}{ccccccc} 0 & \longrightarrow & N_{\partial X} & \xrightarrow{\alpha_X} & i_X^*(TX) & \xrightarrow{\beta_X} & T(\partial X) \longrightarrow 0 \\ & & \downarrow \gamma_f & & \downarrow i_X^*(Tf) & & \downarrow T(\partial f) \\ & & (\partial f)^*(\alpha_Y) & & i_X^*(f^*(TY)) & \xrightarrow{(\partial f)^*(\beta_Y)} & (\partial f)^*(T(\partial Y)) \\ 0 & \longrightarrow & (\partial f)^*(N_{\partial Y}) & \longrightarrow & = (\partial f)^*(i_Y^*(TY)) & \longrightarrow & (\partial f)^*(T(\partial Y)) \rightarrow 0. \end{array} \quad (10.11)$$

Here a unique  $\gamma_f$  making (10.11) commute exists by exactness, and we require that  $\gamma_f$  should be an orientation-preserving isomorphism.

If  $g : X \rightarrow Z$  is a morphism in  $\dot{\mathbf{Man}}^c$  with  $Z \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}^c$ , so that  $g$  and  $g \circ i_X : \partial X \rightarrow Z$  are **A** by Assumption 10.1(a)(i) and  $Tg, T(g \circ i_X)$  are defined by Assumption 10.11(b), we have

$$i_X^*(Tg) = T(g \circ i_X) \circ \beta_X : i_X^*(TX) \longrightarrow (g \circ i_X)^*(TZ). \quad (10.12)$$

- (b)** For each  $X$  in  $\dot{\mathbf{Man}}^c$  we have an exact sequence of vector bundles on  $\partial X$ :

$$0 \longrightarrow T(\partial X) \xrightarrow{\alpha_X} i_X^*(TX) \xrightarrow{\beta_X} N_{\partial X} \longrightarrow 0, \quad (10.13)$$

where  $N_{\partial X}$  is a line bundle on  $\partial X$ , with a natural orientation on its fibres.

If  $f : X \rightarrow Y$  is simple in  $\dot{\mathbf{Man}}^c$ , then the following commutes:

$$\begin{array}{ccccccc} 0 & \longrightarrow & T(\partial X) & \xrightarrow{\alpha_X} & i_X^*(TX) & \xrightarrow{\beta_X} & N_{\partial X} \longrightarrow 0 \\ & & \downarrow T(\partial f) & & \downarrow i_X^*(Tf) & & \downarrow \gamma_f \\ & & (\partial f)^*(\alpha_Y) & & i_X^*(f^*(TY)) & \xrightarrow{(\partial f)^*(\beta_Y)} & (\partial f)^*(N_{\partial Y}) \\ 0 & \longrightarrow & (\partial f)^*(T(\partial Y)) & \longrightarrow & = (\partial f)^*(i_Y^*(TY)) & \longrightarrow & (\partial f)^*(N_{\partial Y}) \rightarrow 0. \end{array} \quad (10.14)$$

Here a unique  $\gamma_f$  making (10.14) commute exists by exactness, and we require that  $\gamma_f$  should be an orientation-preserving isomorphism.

If  $g : X \rightarrow Z$  is a morphism in  $\mathbf{Man}^c$  with  $Z \in \mathbf{Man} \subseteq \mathbf{Man}^c$ , then  $g, g \circ i_X$  are  $\mathbf{A}$ , and in a similar way to (10.15) we have

$$T(g \circ i_X) = i_X^*(Tg) \circ \alpha_X : T(\partial X) \longrightarrow (g \circ i_X)^*(TZ). \quad (10.15)$$

In both cases we interpret  $N_{\partial X}$  as the normal bundle of  $\partial X$  in  $X$ . Our convention is that  $N_{\partial X}$  should be oriented by *outward-pointing* vectors.

**Example 10.17.** (i) Let  $\mathbf{Man}^c$  be  $\mathbf{Man}^c, \mathbf{Man}^{\text{sc}}, \mathbf{Man}^{\text{ac}}$  or  $\mathbf{Man}^{c,\text{ac}}$  from Chapter 2, and  $\mathbf{A}$  be interior maps, and use b-tangent spaces  ${}^bT_x X$  and the b-tangent bundle  ${}^bTX$  from §2.3. Then Assumption 10.16(a) holds, where (10.10) is equation (2.14) for  $\mathbf{Man}^c$  and  $\mathbf{Man}^{\text{sc}}$  (when  ${}^bN_{\partial X} = \mathcal{O}_{\partial X}$  is naturally trivial), and (2.19) for  $\mathbf{Man}^{\text{ac}}$  and  $\mathbf{Man}^{c,\text{ac}}$  (when  ${}^bN_{\partial X}$  is not naturally trivial).

(ii) Let  $\mathbf{Man}^c$  be  $\mathbf{Man}^c$  from §2.1, and  $\mathbf{A}$  be trivial, and use ordinary tangent spaces  $T_x X$  and the tangent bundle  $TX$  from §2.3. Then Assumption 10.16(b) holds, where (10.13) is equation (2.12).

As in Convention 2.39(c), from an orientation on a manifold with corners  $X$  in  $\mathbf{Man}^c$ , we can define an orientation on  $\partial X$ .

**Definition 10.18.** Work in the situation of Assumption 10.16, and let  $X \in \mathbf{Man}^c$  with  $\dim X = n$ . In both cases (a),(b) we will define an isomorphism

$$\Omega_X : \Lambda^{n-1}T^*(\partial X) \longrightarrow N_{\partial X} \otimes i_X^*(\Lambda^n T^*X) \quad (10.16)$$

of line bundles on  $\partial X$ . In case (a), so that we have an exact sequence (10.10), if  $U \subseteq \partial X$  is an open subset on which  $T(\partial X), i_X^*(TX), N_{\partial X}$  are trivial, and  $(c_1), (d_1, \dots, d_n)$ , and  $(e_2, \dots, e_n)$  are bases of sections of  $N_{\partial X}|_U, i_X^*(TX)|_U, T(\partial X)|_U$  respectively with  $\alpha_X(c_1) = d_1$  and  $\beta_X(d_i) = e_i$  for  $i = 2, \dots, n$ , and  $(\delta_1, \dots, \delta_n), (\epsilon_2, \dots, \epsilon_n)$  are the bases of sections of  $i_X^*(T^*X)|_U, T^*(\partial X)|_U$  dual to  $(d_1, \dots, d_n), (e_2, \dots, e_n)$ , then we define  $\Omega_X|_U$  by

$$\Omega_X|_U : \epsilon_2 \wedge \dots \wedge \epsilon_n \longmapsto c_1 \otimes (\delta_1 \wedge \dots \wedge \delta_n). \quad (10.17)$$

It is easy to show that  $\Omega_X|_U$  is independent of the choice of bases, and that such  $\Omega_X|_U$  glue over open subsets  $U \subseteq X$  covering  $X$  to give a unique global isomorphism  $\Omega_X$  in (10.16).

In case (b), so that we instead have an exact sequence (10.13), we again define  $\Omega_X|_U$  using bases  $(c_1), \dots, (\epsilon_2, \dots, \epsilon_n)$ , as above, but now we instead require that  $\alpha_X(e_i) = d_i$  for  $i = 2, \dots, n$  and  $\beta_X(d_1) = c_1$ .

If  $X$  is oriented, then we have an orientation on the fibres of  $\Lambda^n T^*X \rightarrow X$ , and thus on the fibres of  $i_X^*(\Lambda^n T^*X) \rightarrow \partial X$ . But by Assumption 10.16(a),(b), we have an orientation on the fibres of  $N_{\partial X} \rightarrow \partial X$ . Tensoring these orientations together and pulling back by  $\Omega_X$  in (10.16) gives an orientation on the fibres of  $\Lambda^{n-1}T^*(\partial X) \rightarrow \partial X$ , that is, an orientation on the manifold with corners  $\partial X$ .

Note that defining this orientation on  $\partial X$  involves an *orientation convention*, as in Convention 2.39, which in this case is the choice of how to write (10.17), together with the choice to orient  $N_{\partial X}$  by outward-pointing vectors.

If  $X$  is oriented then by induction  $\partial^k X$  is oriented for  $k = 0, \dots, \dim X$ .

### 10.1.5 Quasi-tangent spaces

In Definition 2.16, for a manifold with corners  $X$  and  $x \in X$  we defined stratum (b-)normal spaces  $\tilde{N}_x X$ ,  ${}^b\tilde{N}_x X$  and a commutative monoid  $\tilde{M}_x X \subseteq {}^b\tilde{N}_x X$ , which are functorial under (interior) morphisms in  $\mathbf{Man}^c$ . In §2.4.1 the  ${}^b\tilde{N}_x X$ ,  $\tilde{M}_x X$  are extended to manifolds with g-corners. We call these *quasi-tangent spaces*, as they behave rather like tangent spaces. Here is an assumption that will enable us to extend quasi-tangent spaces to (m- and  $\mu$ -)Kuranishi spaces in §10.3.

**Assumption 10.19. (Quasi-tangent spaces.)** (a) We are given a category  $\mathcal{Q}$  of some algebraic or geometric objects, which quasi-tangent spaces will take values in. Some examples of categories  $\mathcal{Q}$  we are interested in are:

- (i) Finite-dimensional real vector spaces  $V$  and linear maps  $\lambda : V \rightarrow V'$ .
- (ii) Monoids  $M$  with  $M \cong \mathbb{N}^k$  for  $k \geq 0$ , and monoid morphisms  $\mu : M \rightarrow M'$ .
- (iii) Toric monoids  $M$ , and monoid morphisms  $\mu : M \rightarrow M'$ .

We require that  $\mathcal{Q}$  should have a terminal object, which we write as  $0$ . Products  $Q_1 \times Q_2$  of objects  $Q_1, Q_2$  in  $\mathcal{Q}$  (that is, fibre products  $Q_1 \times_0 Q_2$ ) exist in  $\mathcal{Q}$ , with the usual universal property. We require that if  $\{Q_i : i \in I\}$  is a set of objects in  $\mathcal{Q}$ , and  $q_{ij} : Q_i \rightarrow Q_j$  are isomorphisms in  $\mathcal{Q}$  for all  $i, j \in I$  such that  $q_{ik} = q_{jk} \circ q_{ij}$  for all  $i, j, k \in I$ , then there should exist a natural object  $Q = [\coprod_{i \in I} Q_i] / \sim$  in  $\mathcal{Q}$  with canonical isomorphisms  $q_i : Q \rightarrow Q_i$  for  $i \in I$  such that  $q_j = q_{ij} \circ q_i$  for all  $i, j \in I$ . We think of  $Q$  as the quotient of the disjoint union  $\coprod_{i \in I} Q_i$  (which may not be an object of  $\mathcal{Q}$ ) by the equivalence relation  $\sim$  induced by the  $q_{ij}$ .

(b) We are given a discrete property  $\mathcal{C}$  of morphisms in  $\mathbf{Man}$ , in the sense of Definition 3.18, which may be trivial (i.e. all morphisms in  $\mathbf{Man}$  may be  $\mathcal{C}$ ), and should satisfy:

- (i) If  $f : X \rightarrow Y$  is a morphism in  $\mathbf{Man}$  with  $Y \in \mathbf{Man}$ , then  $f$  is  $\mathcal{C}$ .
- (ii) If  $f : W \rightarrow Y$ ,  $g : X \rightarrow Y$ ,  $h : X \rightarrow Z$  are  $\mathcal{C}$  morphisms in  $\mathbf{Man}$  then the product  $f \times h : W \times X \rightarrow Y \times Z$  and direct product  $(g, h) : X \rightarrow Y \times Z$  from Assumption 3.1(e) are also  $\mathcal{C}$ .

Projections  $\pi_X : X \times Y \rightarrow X$ ,  $\pi_Y : X \times Y \rightarrow Y$  from products are  $\mathcal{C}$ .

(c) For all  $X \in \mathbf{Man}$  and  $x \in X$ , we are given an object  $Q_x X$  in  $\mathcal{Q}$  called the *quasi-tangent space* of  $X$  at  $x$ . For all  $\mathcal{C}$  morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}$  and all  $x \in X$  with  $f(x) = y$  in  $Y$ , we are given a morphism  $Q_x f : Q_x X \rightarrow Q_y Y$  in  $\mathcal{Q}$  called the *quasi-tangent map*. These satisfy:

(i) If  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  are  $\mathbf{C}$  morphisms in  $\dot{\mathbf{Man}}$  and  $x \in X$  with  $f(x) = y$  in  $Y$  and  $g(y) = z$  in  $Z$  then  $Q_x(g \circ f) = Q_y g \circ Q_x f : Q_x X \rightarrow Q_z Z$ . Also  $Q_x \text{id}_X = \text{id}_{Q_x X} : Q_x X \rightarrow Q_x X$ .

(ii) For all  $X, Y \in \dot{\mathbf{Man}}$  and  $x \in X, y \in Y$  the morphism

$$(Q_{(x,y)} \pi_X, Q_{(x,y)} \pi_Y) : Q_{(x,y)}(X \times Y) \longrightarrow Q_x X \times Q_y Y \quad (10.18)$$

is an isomorphism in  $\mathcal{Q}$ , where  $\pi_X, \pi_Y$  are  $\mathbf{C}$  by (b)(ii).

(iii) If  $i : U \hookrightarrow X$  is an open submanifold in  $\dot{\mathbf{Man}}$  then  $Q_x i : Q_x U \rightarrow Q_x X$  is an isomorphism for all  $x \in U \subseteq X$ , so we may identify  $Q_x U$  with  $Q_x X$ .

(iv) If  $X \in \mathbf{Man} \subseteq \dot{\mathbf{Man}}$  is a classical manifold and  $x \in X$  then  $Q_x X = 0$ .

(v) Let  $X, Y$  be objects of  $\dot{\mathbf{Man}}$ , and  $E \rightarrow X$  a vector bundle, and  $s \in \Gamma^\infty(E)$  a section, and  $f, g : X \rightarrow Y$  be  $\mathbf{C}$  morphisms in  $\dot{\mathbf{Man}}$  with  $g = f + O(s)$  as in Definition 3.15(iii). Suppose  $x \in s^{-1}(0) \subseteq X$ , so that  $f(x) = g(x) = y \in Y$ . Then  $Q_x f = Q_x g : Q_x X \rightarrow Q_y Y$ .

**Example 10.20.** (a) Take  $\dot{\mathbf{Man}}$  to be  $\mathbf{Man}^c$  from §2.1, and  $\mathbf{C}$  to be trivial (i.e. all morphisms in  $\mathbf{Man}^c$  are  $\mathbf{C}$ ), and  $\mathcal{Q}$  to be the category of finite-dimensional real vector spaces. Definition 2.16 defines the stratum normal space  $\tilde{N}_x X$ , an object in  $\mathcal{Q}$ , for all  $X \in \mathbf{Man}^c$  and  $x \in X$ , and a linear map  $\tilde{N}_x f : \tilde{N}_x X \rightarrow \tilde{N}_y Y$ , a morphism in  $\mathcal{Q}$ , for all morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}^c$  and  $x \in X$  with  $f(x) = y \in Y$ . These satisfy Assumption 10.19.

(b) Take  $\dot{\mathbf{Man}}$  to be  $\mathbf{Man}^c$  from §2.1, and  $\mathbf{C}$  to be interior morphisms, and  $\mathcal{Q}$  to be the category of finite-dimensional real vector spaces. Definition 2.16 defines the stratum b-normal space  ${}^b\tilde{N}_x X$ , an object in  $\mathcal{Q}$ , for all  $X \in \mathbf{Man}^c$  and  $x \in X$ , and a morphism  ${}^b\tilde{N}_x f : {}^b\tilde{N}_x X \rightarrow {}^b\tilde{N}_y Y$  in  $\mathcal{Q}$ , for all interior morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}^c$  and  $x \in X$  with  $f(x) = y \in Y$ . These satisfy Assumption 10.19.

(c) Take  $\dot{\mathbf{Man}}$  to be  $\mathbf{Man}^c$  from §2.1, and  $\mathbf{C}$  to be interior morphisms, and  $\mathcal{Q}$  to be the category of commutative monoids  $M$  with  $M \cong \mathbb{N}^k$  for some  $k \geq 0$ . Definition 2.16 defines an object  $\tilde{M}_x X$  in  $\mathcal{Q}$  for all  $X \in \mathbf{Man}^c$  and  $x \in X$ , and a morphism  $\tilde{M}_x f : \tilde{M}_x X \rightarrow \tilde{M}_y Y$  in  $\mathcal{Q}$ , for all interior morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}^c$  and  $x \in X$  with  $f(x) = y \in Y$ . These satisfy Assumption 10.19.

(d) Take  $\dot{\mathbf{Man}}$  to be  $\mathbf{Man}^{\text{sc}}$  from §2.4.1, and  $\mathbf{C}$  to be interior morphisms, and  $\mathcal{Q}$  to be the category of finite-dimensional real vector spaces. As in §2.4.1, the  ${}^b\tilde{N}_x X$  and  ${}^b\tilde{N}_x f : {}^b\tilde{N}_x X \rightarrow {}^b\tilde{N}_y Y$  in (b) are also defined for  $X, Y \in \mathbf{Man}^{\text{sc}}$ . These satisfy Assumption 10.19.

(e) Take  $\dot{\mathbf{Man}}$  to be  $\mathbf{Man}^{\text{sc}}$  from §2.4.1, and  $\mathbf{C}$  to be interior morphisms, and  $\mathcal{Q}$  to be the category of toric commutative monoids  $M$ . As in §2.4.1, the  $\tilde{M}_x X$  and  $\tilde{M}_x f : \tilde{M}_x X \rightarrow \tilde{M}_y Y$  in (c) are also defined for  $X, Y \in \mathbf{Man}^{\text{sc}}$ , though now  $\tilde{M}_x X$  may be general toric monoids. These satisfy Assumption 10.19.

## 10.2 The definition of tangent and obstruction spaces

In this section we suppose  $\dot{\mathbf{Man}}$  satisfies Assumption 10.1 in §10.1.1 throughout, so that we are given a discrete property  $\mathbf{A}$  (possibly trivial) of morphisms in  $\dot{\mathbf{Man}}$ , and ‘manifolds’  $V$  in  $\dot{\mathbf{Man}}$  have tangent spaces  $T_v V$  for  $v \in V$ , and  $\mathbf{A}$  morphisms  $f : V \rightarrow W$  in  $\dot{\mathbf{Man}}$  have functorial tangent maps  $T_v f : T_v V \rightarrow T_v W$  for all  $v \in V$  with  $f(v) = w \in W$ . For each (m- or  $\mu$ -)Kuranishi space  $\mathbf{X}$  we will define a *tangent space*  $T_x \mathbf{X}$  and *obstruction space*  $O_x \mathbf{X}$  for  $x \in \mathbf{X}$ , which behave functorially under  $\mathbf{A}$  (1-)morphisms  $f : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}$ ,  $\mu\mathbf{Kur}$ , or  $\mathbf{Kur}$ .

If we also suppose Assumption 10.5, which says that  $\dim T_v V = \dim V$ , then these satisfy  $\dim T_x \mathbf{X} - \dim O_x \mathbf{X} = \text{vdim } \mathbf{X}$ .

### 10.2.1 Tangent and obstruction spaces for m-Kuranishi spaces

We define tangent and obstruction spaces  $T_x \mathbf{X}, O_x \mathbf{X}$  for m-Kuranishi spaces.

**Definition 10.21.** Let  $\mathbf{X} = (X, \mathcal{K})$  be an m-Kuranishi space, with  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$  and  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$ ,  $\Lambda_{ijk} = [\hat{V}_{ijk}, \hat{\lambda}_{ijk}]$  for all  $i, j, k \in I$ , as in Definition 4.14, and let  $x \in \mathbf{X}$ .

For each  $i \in I$  with  $x \in \text{Im } \psi_i$ , set  $v_i = \psi_i^{-1}(x)$ , and define real vector spaces  $K_i^x, C_i^x$  by the exact sequence

$$0 \longrightarrow K_i^x \longrightarrow T_{v_i} V_i \xrightarrow{d_{v_i} s_i} E_i|_{v_i} \longrightarrow C_i^x \longrightarrow 0, \quad (10.19)$$

where  $d_{v_i} s_i$  is as in Definition 10.6, so that  $K_i^x, C_i^x$  are the kernel and cokernel of  $d_{v_i} s_i$ . If Assumption 10.5 holds then Definition 4.14(b) gives

$$\dim K_i^x - \dim C_i^x = \dim T_{v_i} V_i - \dim E_i|_{v_i} = \dim V_i - \text{rank } E_i = \text{vdim } \mathbf{X}. \quad (10.20)$$

For  $i, j \in I$  with  $x \in \text{Im } \psi_i \cap \text{Im } \psi_j$  we have  $v_i \in V_{ij} \subseteq V_i$  with  $\phi_{ij}(v_i) = v_j$  in  $V_j$ . Proposition 4.34(d) and Definition 4.33 imply that  $\phi_{ij}$  is  $\mathbf{A}$  near  $v_i$ , so  $T_{v_i} \phi_{ij} : T_{v_i} V_i \rightarrow T_{v_j} V_j$  is defined. Thus we may form a diagram with exact rows:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & K_i^x & \longrightarrow & T_{v_i} V_i & \xrightarrow{d_{v_i} s_i} & E_i|_{v_i} & \longrightarrow & C_i^x & \longrightarrow & 0 \\ & & \downarrow \kappa_{\Phi_{ij}}^x & & \downarrow T_{v_i} \phi_{ij} & & \downarrow \hat{\phi}_{ij}|_{v_i} & & \downarrow \gamma_{\Phi_{ij}}^x & & \\ 0 & \longrightarrow & K_j^x & \longrightarrow & T_{v_j} V_j & \xrightarrow{d_{v_j} s_j} & E_j|_{v_j} & \longrightarrow & C_j^x & \longrightarrow & 0. \end{array} \quad (10.21)$$

By differentiating Definition 4.2(d) at  $v_i$  we see the central square of (10.21) commutes, so by exactness there are unique linear  $\kappa_{\Phi_{ij}}^x, \gamma_{\Phi_{ij}}^x$  making (10.21) commute.



If  $i, j, k \in I$  with  $x \in \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$  then we have a diagram

$$\begin{array}{ccccccccc}
0 & \longrightarrow & K_i^x & \longrightarrow & T_{v_i} V_i & \xrightarrow{d_{v_i} s_i} & E_i|_{v_i} & \longrightarrow & C_i^x & \longrightarrow & 0 \\
& & \downarrow \kappa_{\Phi_{ik}}^x & & \downarrow T_{v_i} \phi_{ik} & & \downarrow \hat{\phi}_{ij}|_{v_i} & & \downarrow \gamma_{\Phi_{ij}}^x & & \downarrow \gamma_{\Phi_{ik}}^x \\
0 & \longrightarrow & K_j^x & \longrightarrow & T_{v_j} V_j & \xrightarrow{d_{v_j} s_j} & E_j|_{v_j} & \longrightarrow & C_j^x & \longrightarrow & 0 \\
& & \downarrow \kappa_{\Phi_{jk}}^x & & \downarrow T_{v_j} \phi_{jk} & & \downarrow \hat{\phi}_{jk}|_{v_j} & & \downarrow \gamma_{\Phi_{jk}}^x & & \\
0 & \longrightarrow & K_k^x & \longrightarrow & T_{v_k} V_k & \xrightarrow{d_{v_k} s_k} & E_k|_{v_k} & \longrightarrow & C_k^x & \longrightarrow & 0,
\end{array} \quad (10.22)$$

which combines (10.21) for  $i, j$  and  $j, k$  and  $i, k$ . Note that (10.22) may not commute: we can have  $\phi_{ik} \neq \phi_{jk} \circ \phi_{ij}$  and  $\hat{\phi}_{ik} \neq \phi_{ij}^*(\hat{\phi}_{jk}) \circ \hat{\phi}_{ij}$  near  $v_i$  in  $V_i$ , allowing

$$T_{v_i} \phi_{ik} \neq T_{v_j} \phi_{jk} \circ T_{v_i} \phi_{ij} \quad \text{and} \quad \hat{\phi}_{ik}|_{v_i} \neq \hat{\phi}_{jk}|_{v_j} \circ \hat{\phi}_{ij}|_{v_i}.$$

The 2-morphism  $\Lambda_{ijk} = [\hat{V}_{ijk}, \hat{\lambda}_{ijk}] : \Phi_{jk} \circ \Phi_{ij} \Rightarrow \Phi_{ik}$  includes a morphism  $\hat{\lambda}_{ijk} : E_i|_{\hat{V}_{ijk}} \rightarrow \mathcal{T}_{\phi_{jk} \circ \phi_{ij}} V_k|_{\hat{V}_{ijk}}$ , where  $v_i \in \hat{V}_{ijk} \subseteq V_i$ . Thus as in §10.1.2, we have a linear map  $\hat{\lambda}_{ijk}|_{v_i} : E_i|_{v_i} \rightarrow T_{v_k} V_k$ , the arrow ‘ $\dashrightarrow$ ’ in (10.22). Applying (10.7)–(10.8) to equation (4.1) for  $\Lambda_{ijk}$  at  $v_i$  yields

$$\begin{aligned}
T_{v_i} \phi_{ik} &= T_{v_j} \phi_{jk} \circ T_{v_i} \phi_{ij} + \hat{\lambda}_{ijk}|_{v_i} \circ d_{v_i} s_i : T_{v_i} V_i \longrightarrow T_{v_k} V_k, \\
\hat{\phi}_{ik}|_{v_i} &= \hat{\phi}_{jk}|_{v_j} \circ \hat{\phi}_{ij}|_{v_i} + d_{v_k} s_k \circ \hat{\lambda}_{ijk}|_{v_i} : E_i|_{v_i} \longrightarrow E_k|_{v_k}.
\end{aligned} \quad (10.23)$$

Comparing (10.22) and (10.23) and using exactness in the rows of (10.22), we deduce that

$$\kappa_{\Phi_{ik}}^x = \kappa_{\Phi_{jk}}^x \circ \kappa_{\Phi_{ij}}^x \quad \text{and} \quad \gamma_{\Phi_{ik}}^x = \gamma_{\Phi_{jk}}^x \circ \gamma_{\Phi_{ij}}^x. \quad (10.24)$$

When  $k = i$  we have  $\Phi_{ii} = \text{id}_{(V_i, E_i, s_i, \psi_i)}$  by Definition 4.14(f), so  $\kappa_{\Phi_{ii}}^x = \text{id}_{K_i^x}$ ,  $\gamma_{\Phi_{ii}}^x = \text{id}_{C_i^x}$ , and from (10.24) we see that  $\kappa_{\Phi_{ij}}^x, \gamma_{\Phi_{ij}}^x$  are isomorphisms, with inverses  $\kappa_{\Phi_{ji}}^x, \gamma_{\Phi_{ji}}^x$ .

Define the *tangent space*  $T_x \mathbf{X}$  and *obstruction space*  $O_x \mathbf{X}$  of  $\mathbf{X}$  at  $x$  by

$$T_x \mathbf{X} = \coprod_{i \in I: x \in \text{Im } \psi_i} K_i^x / \approx \quad \text{and} \quad O_x \mathbf{X} = \coprod_{i \in I: x \in \text{Im } \psi_i} C_i^x / \asymp, \quad (10.25)$$

where  $\approx$  is the equivalence relation  $k_i \approx k_j$  if  $k_i \in K_i^x$  and  $k_j \in K_j^x$  with  $\kappa_{\Phi_{ij}}^x(k_i) = k_j$ , and  $\asymp$  the equivalence relation  $c_i \asymp c_j$  if  $c_i \in C_i^x$  and  $c_j \in C_j^x$  with  $\gamma_{\Phi_{ij}}^x(c_i) = c_j$ . Here (10.24) and  $\kappa_{\Phi_{ij}}^x, \gamma_{\Phi_{ij}}^x$  isomorphisms with  $\kappa_{\Phi_{ii}}^x = \text{id}$ ,  $\gamma_{\Phi_{ii}}^x = \text{id}$  imply that  $\approx, \asymp$  are equivalence relations. Then  $T_x \mathbf{X}, O_x \mathbf{X}$  are real vector spaces with canonical isomorphisms  $T_x \mathbf{X} \cong K_i^x$  and  $O_x \mathbf{X} \cong C_i^x$  for each  $i \in I$  with  $x \in \text{Im } \psi_i$ ; the work above is just to make the definition of  $T_x \mathbf{X}, O_x \mathbf{X}$  independent of the choice of  $i$ .

If Assumption 10.5 holds then (10.20) gives

$$\dim T_x \mathbf{X} - \dim O_x \mathbf{X} = \text{vdim } \mathbf{X}. \quad (10.26)$$

The dual vector spaces of  $T_x \mathbf{X}, O_x \mathbf{X}$  will be called the *cotangent space*, written  $T_x^* \mathbf{X}$ , and the *coobstruction space*, written  $O_x^* \mathbf{X}$ .

By (10.19), for any  $i \in I$  with  $x \in \text{Im } \psi_i$  we have a canonical exact sequence

$$0 \longrightarrow T_x \mathbf{X} \longrightarrow T_{v_i} V_i \xrightarrow{d_{v_i} s_i} E_i|_{v_i} \longrightarrow O_x \mathbf{X} \longrightarrow 0. \quad (10.27)$$

More generally, the argument above shows that if  $(V_a, E_a, s_a, \psi_a)$  is any m-Kuranishi neighbourhood on  $\mathbf{X}$  in the sense of §4.7 with  $x \in \text{Im } \psi_a$ , we have a canonical exact sequence analogous to (10.27).

Now let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism of m-Kuranishi spaces which is  $\mathbf{A}$  in the sense of §4.5, with notation (4.6), (4.7), (4.9), and let  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ , so we have  $T_x \mathbf{X}, O_x \mathbf{X}, T_y \mathbf{Y}, O_y \mathbf{Y}$ . Suppose  $i \in I$  with  $x \in \text{Im } \chi_i$  and  $j \in J$  with  $y \in \text{Im } \psi_j$ , so we have a morphism  $\mathbf{f}_{ij} = (U_{ij}, f_{ij}, \hat{f}_{ij})$  in  $\mathbf{f}$ , where  $f_{ij}$  is  $\mathbf{A}$  near  $\chi_i^{-1}(\text{Im } \psi_j)$  by Definitions 4.33 and 4.35. As for (10.21), consider the diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_x \mathbf{X} & \longrightarrow & T_{u_i} U_i & \xrightarrow{d_{u_i} r_i} & D_i|_{u_i} \longrightarrow O_x \mathbf{X} \longrightarrow 0 \\ & & \downarrow T_x \mathbf{f} & & \downarrow T_{u_i} f_{ij} & & \downarrow \hat{f}_{ij}|_{u_i} \downarrow O_x \mathbf{f} \\ 0 & \longrightarrow & T_y \mathbf{Y} & \longrightarrow & T_{v_j} V_j & \xrightarrow{d_{v_j} s_j} & E_j|_{v_j} \longrightarrow O_y \mathbf{Y} \longrightarrow 0, \end{array} \quad (10.28)$$

where the rows are (10.27) for  $\mathbf{X}, x, i$  and  $\mathbf{Y}, y, j$  and so are exact. As for (10.21) the central square commutes, so there are unique linear maps  $T_x \mathbf{f} : T_x \mathbf{X} \rightarrow T_y \mathbf{Y}$  and  $O_x \mathbf{f} : O_x \mathbf{X} \rightarrow O_y \mathbf{Y}$  making (10.28) commute. A similar argument to the proof of (10.24) above shows that these  $T_x \mathbf{f}, O_x \mathbf{f}$  are independent of the choices of  $i \in I$  and  $j \in J$ , and so are well defined.

If  $(U_a, D_a, r_a, \chi_a)$  and  $(V_b, E_b, s_b, \psi_b)$  are any m-Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$  respectively in the sense of §4.7 with  $x \in \text{Im } \psi_a, y \in \text{Im } \psi_b$ , and  $\mathbf{f}_{ab} = (U_{ab}, f_{ab}, \hat{f}_{ab})$  is the 1-morphism of m-Kuranishi neighbourhoods over  $\mathbf{f}$  given by Theorem 4.56(b), then setting  $u_a = \chi_a^{-1}(x), v_b = \psi_b^{-1}(y)$ , the argument of (10.28) shows that the following commutes, with exact rows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_x \mathbf{X} & \longrightarrow & T_{u_a} U_a & \xrightarrow{d_{u_a} r_a} & D_a|_{u_a} \longrightarrow O_x \mathbf{X} \longrightarrow 0 \\ & & \downarrow T_x \mathbf{f} & & \downarrow T_{u_a} f_{ab} & & \downarrow \hat{f}_{ab}|_{u_a} \downarrow O_x \mathbf{f} \\ 0 & \longrightarrow & T_y \mathbf{Y} & \longrightarrow & T_{v_b} V_b & \xrightarrow{d_{v_b} s_b} & E_b|_{v_b} \longrightarrow O_y \mathbf{Y} \longrightarrow 0. \end{array} \quad (10.29)$$

Suppose  $\mathbf{e} : \mathbf{X} \rightarrow \mathbf{Y}$  is another 1-morphism of m-Kuranishi spaces, and  $\boldsymbol{\eta} = (\boldsymbol{\eta}_{ij}, i \in I, j \in J) : \mathbf{e} \Rightarrow \mathbf{f}$  is a 2-morphism, so that  $\mathbf{e}$  is  $\mathbf{A}$  by Proposition 4.36(a). Then for  $x, y, i, j$  as above, consider the diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_x \mathbf{X} & \longrightarrow & T_{u_i} U_i & \xrightarrow{d_{u_i} r_i} & D_i|_{u_i} \longrightarrow O_x \mathbf{X} \longrightarrow 0 \\ & & \downarrow T_x \mathbf{e} \downarrow T_x \mathbf{f} & & \downarrow T_{u_i} \mathbf{e}_{ij} \downarrow T_{u_i} f_{ij} & & \downarrow \hat{\boldsymbol{\eta}}_{ij}|_{v_i} \downarrow \hat{f}_{ij}|_{u_i} \downarrow O_x \mathbf{e} \downarrow O_x \mathbf{f} \\ 0 & \longrightarrow & T_y \mathbf{Y} & \longrightarrow & T_{v_j} V_j & \xrightarrow{d_{v_j} s_j} & E_j|_{v_j} \longrightarrow O_y \mathbf{Y} \longrightarrow 0. \end{array} \quad (10.30)$$

As for (10.23), applying (10.7)–(10.8) to (4.1) for  $\boldsymbol{\eta}_{ij} = [\hat{V}_{ij}, \hat{\boldsymbol{\eta}}_{ij}]$  at  $v_i$  yields

$$\begin{aligned} T_{u_i} f_{ij} &= T_{u_i} \mathbf{e}_{ij} + \hat{\boldsymbol{\eta}}_{ij}|_{v_i} \circ d_{v_i} s_i : T_{v_i} V_i \longrightarrow T_{v_j} V_j, \\ \hat{f}_{ij}|_{u_i} &= \hat{\mathbf{e}}_{ij}|_{u_i} + d_{v_j} s_j \circ \hat{\boldsymbol{\eta}}_{ij}|_{v_i} : E_i|_{v_i} \longrightarrow E_j|_{v_j}. \end{aligned} \quad (10.31)$$

As for (10.24), combining (10.30) and (10.31) yields

$$T_x e = T_x \mathbf{f} \quad \text{and} \quad O_x e = O_x \mathbf{f}. \quad (10.32)$$

Thus, the maps  $T_x \mathbf{f}, O_x \mathbf{f}$  depend only on the  $\mathbf{A}$  morphism  $[\mathbf{f}] : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\text{Ho}(\mathbf{m}\dot{\mathbf{K}}\mathbf{ur})$ , and on  $x \in \mathbf{X}$ .

Now suppose  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  is another  $\mathbf{A}$  1-morphism of m-Kuranishi spaces and  $\mathbf{g}(y) = z \in \mathbf{Z}$ . In a similar way to (10.22), considering the diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & T_x \mathbf{X} & \longrightarrow & T_{u_i} U_i & \xrightarrow{d_{u_i} r_i} & D_i|_{u_i} & \longrightarrow & O_x \mathbf{X} & \longrightarrow & 0 \\ T_x(\mathbf{g} \circ \mathbf{f}) & \downarrow & \downarrow T_x \mathbf{f} & & \downarrow T_{u_i} f_{ij} & \searrow \hat{\theta}_{ijk}^{\mathbf{g}, \mathbf{f}}|_{v_i} & \downarrow \hat{f}_{ij}|_{u_i} & & \downarrow (g \circ f)_{ik}|_{u_i} & \downarrow O_x \mathbf{f} & \downarrow O_x(\mathbf{g} \circ \mathbf{f}) \\ 0 & \longrightarrow & T_y \mathbf{Y} & \longrightarrow & T_{v_j} V_k & \xrightarrow{d_{v_j} s_j} & E_j|_{v_j} & \longrightarrow & O_y \mathbf{Y} & \longrightarrow & 0 \\ & & \downarrow T_y \mathbf{g} & & \downarrow T_{v_j} g_{jk} & & \downarrow \hat{g}_{jk}|_{v_j} & & \downarrow O_y \mathbf{g} & & \\ 0 & \longrightarrow & T_z \mathbf{Z} & \longrightarrow & T_{w_k} W_k & \xrightarrow{d_{w_k} t_k} & F_k|_{v_k} & \longrightarrow & O_z \mathbf{Z} & \longrightarrow & 0 \end{array}$$

applying (10.7)–(10.8) to (4.1) for  $\Theta_{ijk}^{\mathbf{g}, \mathbf{f}} = [\hat{V}_{ijk}^{\mathbf{g}, \mathbf{f}}, \hat{\theta}_{ijk}^{\mathbf{g}, \mathbf{f}}]$  in (4.24), we show that

$$\begin{aligned} T_x(\mathbf{g} \circ \mathbf{f}) &= T_y \mathbf{g} \circ T_x \mathbf{f} : T_x \mathbf{X} \longrightarrow T_z \mathbf{Z}, \\ O_x(\mathbf{g} \circ \mathbf{f}) &= O_y \mathbf{g} \circ O_x \mathbf{f} : O_x \mathbf{X} \longrightarrow O_z \mathbf{Z}. \end{aligned} \quad (10.33)$$

Also

$$\begin{aligned} T_x \mathbf{id}_{\mathbf{X}} &= \text{id}_{T_x \mathbf{X}} : T_x \mathbf{X} \longrightarrow T_x \mathbf{X}, \\ O_x \mathbf{id}_{\mathbf{X}} &= \text{id}_{O_x \mathbf{X}} : O_x \mathbf{X} \longrightarrow O_x \mathbf{X}. \end{aligned} \quad (10.34)$$

So tangent and obstruction spaces are functorial on the 2-category  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathbf{A}}$ .

**Example 10.22.** Let  $\mathbf{X}, \mathbf{Y}$  be m-Kuranishi spaces, so that Example 4.31 defines the product m-Kuranishi space  $\mathbf{X} \times \mathbf{Y}$ . In Definition 10.21, using Assumption 10.1(c) it is easy to see that for all  $(x, y) \in \mathbf{X} \times \mathbf{Y}$  we have canonical isomorphisms

$$T_{(x,y)}(\mathbf{X} \times \mathbf{Y}) \cong T_x \mathbf{X} \oplus T_y \mathbf{Y}, \quad O_{(x,y)}(\mathbf{X} \times \mathbf{Y}) \cong O_x \mathbf{X} \oplus O_y \mathbf{Y}. \quad (10.35)$$

**Lemma 10.23.** *In Definition 10.21 suppose  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is an equivalence in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ , so that  $\mathbf{f}$  is  $\mathbf{A}$  by Proposition 4.36(c). Then  $T_x \mathbf{f} : T_x \mathbf{X} \rightarrow T_y \mathbf{Y}$  and  $O_x \mathbf{f} : O_x \mathbf{X} \rightarrow O_y \mathbf{Y}$  are isomorphisms for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ .*

*Proof.* As  $\mathbf{f}$  is an equivalence there exist an equivalence  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{X}$  and 2-morphisms  $\boldsymbol{\eta} : \mathbf{g} \circ \mathbf{f} \Rightarrow \mathbf{id}_{\mathbf{X}}$  and  $\boldsymbol{\zeta} : \mathbf{f} \circ \mathbf{g} \Rightarrow \mathbf{id}_{\mathbf{Y}}$ . If  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$  then  $\mathbf{g}(y) = x$ . From (10.33), and (10.32) for  $\boldsymbol{\eta}$ , and (10.34), we see that

$$\begin{aligned} T_y \mathbf{g} \circ T_x \mathbf{f} &= T_x(\mathbf{g} \circ \mathbf{f}) = T_x \mathbf{id}_{\mathbf{X}} = \text{id}_{T_x \mathbf{X}}, \\ O_y \mathbf{g} \circ O_x \mathbf{f} &= O_x(\mathbf{g} \circ \mathbf{f}) = O_x \mathbf{id}_{\mathbf{X}} = \text{id}_{O_x \mathbf{X}}. \end{aligned}$$

Similarly  $T_x \mathbf{f} \circ T_y \mathbf{g} = \text{id}_{T_y \mathbf{Y}}$  and  $O_x \mathbf{f} \circ O_y \mathbf{g} = \text{id}_{O_y \mathbf{Y}}$ . Thus  $T_y \mathbf{g}, O_y \mathbf{g}$  are inverses for  $T_x \mathbf{f}, O_x \mathbf{f}$ , and  $T_x \mathbf{f}, O_x \mathbf{f}$  are isomorphisms.  $\square$

**Remark 10.24.** (a) Even when  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}$ , in contrast to classical manifolds,  $\dim T_x \mathbf{X}, \dim O_x \mathbf{X}$  may not be locally constant functions of  $x \in \mathbf{X}$ , but only upper semicontinuous, so  $T_x \mathbf{X}, O_x \mathbf{X}$  are not fibres of vector bundles on  $\mathbf{X}$ .

(b) In applications, tangent and obstruction spaces will often have the following interpretation. Suppose an m-Kuranishi space  $\mathbf{X}$  is the moduli space of solutions of a nonlinear elliptic equation on a compact manifold, written as  $\mathbf{X} \cong \Phi^{-1}(0)$  for  $\Phi : \mathcal{V} \rightarrow \mathcal{E}$  a Fredholm section of a Banach vector bundle  $\mathcal{E} \rightarrow \mathcal{V}$  over a Banach manifold  $\mathcal{V}$ . Then  $d_x \Phi : T_x \mathcal{V} \rightarrow \mathcal{E}_x$  is a linear Fredholm map of Banach spaces for  $x \in \mathbf{X}$ , and  $T_x \mathbf{X} \cong \text{Ker}(d_x \Phi)$ ,  $O_x \mathbf{X} \cong \text{Coker}(d_x \Phi)$ , so that  $\dim T_x \mathbf{X} - \dim O_x \mathbf{X} = \text{vdim } \mathbf{X}$  is the Fredholm index  $\text{ind}(d_x \Phi)$ .

Combining Definition 10.21 and Example 10.2 yields:

**Example 10.25.** (i) In the 2-categories  $\mathbf{mKur}, \mathbf{mKur}^c, \mathbf{mKur}_{\text{we}}^c$  from (4.37), we have notions of *tangent space*  $T_x \mathbf{X}$  and *obstruction space*  $O_x \mathbf{X}$  satisfying  $\dim T_x \mathbf{X} - \dim O_x \mathbf{X} = \text{vdim } \mathbf{X}$ , based on the usual notion of tangent spaces  $T_x X$  when  $\dot{\mathbf{M}}\mathbf{an}$  is  $\mathbf{Man}, \mathbf{Man}^c$  or  $\mathbf{Man}_{\text{we}}^c$ . For any 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}, \mathbf{mKur}^c, \mathbf{mKur}_{\text{we}}^c$  we have functorial *tangent maps*  $T_x \mathbf{f} : T_x \mathbf{X} \rightarrow T_y \mathbf{Y}$  and *obstruction maps*  $O_x \mathbf{f} : O_x \mathbf{X} \rightarrow O_y \mathbf{Y}$  for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ .

(ii) In the 2-categories  $\mathbf{mKur}^c, \mathbf{mKur}^{\text{gc}}, \mathbf{mKur}^{\text{ac}}, \mathbf{mKur}^{c,\text{ac}}$  from (4.37), we have notions of *b-tangent space*  ${}^b T_x \mathbf{X}$  and *b-obstruction space*  ${}^b O_x \mathbf{X}$  satisfying  $\dim {}^b T_x \mathbf{X} - \dim {}^b O_x \mathbf{X} = \text{vdim } \mathbf{X}$ , based on b-tangent spaces  ${}^b T_x X$  from §2.3–§2.4 for the categories  $\mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{c,\text{ac}}$ . For any interior 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}^c, \dots, \mathbf{mKur}^{c,\text{ac}}$  we have functorial *b-tangent maps*  ${}^b T_x \mathbf{f} : {}^b T_x \mathbf{X} \rightarrow {}^b T_y \mathbf{Y}$  and *b-obstruction maps*  ${}^b O_x \mathbf{f} : {}^b O_x \mathbf{X} \rightarrow {}^b O_y \mathbf{Y}$  for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . Since  ${}^b T_x \mathbf{f}, {}^b O_x \mathbf{f}$  are defined only for interior 1-morphisms  $\mathbf{f}$ , it is better to think of b-tangent and b-obstruction spaces  ${}^b T_x \mathbf{X}, {}^b O_x \mathbf{X}$  as attached to the 2-subcategories  $\mathbf{mKur}_{\text{in}}^c, \mathbf{mKur}_{\text{in}}^{\text{gc}}, \mathbf{mKur}_{\text{in}}^{\text{ac}}, \mathbf{mKur}_{\text{in}}^{c,\text{ac}}$  from Definition 4.37.

(iii) In the 2-categories  $\mathbf{mKur}^c, \mathbf{mKur}^{\text{gc}}, \mathbf{mKur}^{\text{ac}}, \mathbf{mKur}^{c,\text{ac}}$  from (4.37), we have notions of *stratum tangent space*  $\tilde{T}_x \mathbf{X}$  and *stratum obstruction space*  $\tilde{O}_x \mathbf{X}$ , based on stratum tangent spaces  $\tilde{T}_x X$  from Example 10.2(iv) for the categories  $\mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{c,\text{ac}}$ . They satisfy  $\dim \tilde{T}_x \mathbf{X} - \dim \tilde{O}_x \mathbf{X} \leq \text{vdim } \mathbf{X}$ , but equality may not hold.

For any 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}^c, \mathbf{mKur}^{\text{gc}}, \mathbf{mKur}^{\text{ac}}, \mathbf{mKur}^{c,\text{ac}}$  we have functorial *stratum tangent maps*  $\tilde{T}_x \mathbf{f} : \tilde{T}_x \mathbf{X} \rightarrow \tilde{T}_y \mathbf{Y}$  and *stratum obstruction maps*  $\tilde{O}_x \mathbf{f} : \tilde{O}_x \mathbf{X} \rightarrow \tilde{O}_y \mathbf{Y}$  for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ .

(iv) For any  $\dot{\mathbf{M}}\mathbf{an}$  satisfying Assumptions 3.1–3.7, the corresponding 2-category of m-Kuranishi spaces  $\mathbf{mKur}$  has notions of  *$C^\infty$ -tangent space*  $T_x^{C^\infty} \mathbf{X}$  and  *$C^\infty$ -obstruction space*  $O_x^{C^\infty} \mathbf{X}$ , functorial for all 1-morphisms in  $\mathbf{mKur}$ , based on tangent spaces of  $C^\infty$ -schemes as in Example 10.2(v). They are canonically isomorphic to  $T_x \mathbf{X}, O_x \mathbf{X}$  in (i) in those cases.

**Definition 10.26.** Suppose we are given two notions of tangent space  $T_x X, T_x f$  with discrete property  $\mathbf{A}$ , and  $T'_x X, T'_x f$  with discrete property  $\mathbf{A}'$ , in  $\dot{\mathbf{M}}\mathbf{an}$  satisfying Assumption 10.1, and a natural transformation  $I : T \Rightarrow T'$ , as in

Definition 10.3. Then for each m-Kuranishi space  $\mathbf{X}$  in  $\mathbf{mKur}$  and  $x \in \mathbf{X}$ , Definition 10.21 defines  $T_x \mathbf{X}, O_x \mathbf{X}$  and  $T'_x \mathbf{X}, O'_x \mathbf{X}$ . Consider the diagram

$$\begin{array}{ccccccc}
0 & \longrightarrow & T_x \mathbf{X} & \longrightarrow & T_{v_i} V_i & \xrightarrow{\quad} & E_i|_{v_i} \longrightarrow O_x \mathbf{X} \longrightarrow 0 \\
& & \downarrow I_x^T \mathbf{X} & & \downarrow I_{v_i} V_i & & \downarrow \text{id} \\
0 & \longrightarrow & T'_x \mathbf{X} & \longrightarrow & T'_{v_i} V_i & \xrightarrow{\quad} & E_i|_{v_i} \longrightarrow O'_x \mathbf{X} \longrightarrow 0
\end{array} \quad (10.36)$$

where the rows are (10.27) for  $T, T'$ , and are exact. Using Definitions 10.3 and 10.6 we can show that the central square of (10.36) commutes, so that by exactness there are unique linear maps  $I_x^T \mathbf{X} : T_x \mathbf{X} \rightarrow T'_x \mathbf{X}$  and  $I_x^O \mathbf{X} : O_x \mathbf{X} \rightarrow O'_x \mathbf{X}$  making (10.36) commute. One can show that these are independent of the choice of  $i \in I$  as for (10.28).

Note that  $I_x^O \mathbf{X}$  is always surjective. If  $I_{v_i} V_i$  is injective then  $I_x^T \mathbf{X}$  is injective. If  $I_{v_i} V_i$  is surjective then  $I_x^T \mathbf{X}$  is surjective and  $I_x^O \mathbf{X}$  is an isomorphism.

Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism of m-Kuranishi spaces which is both  $\mathbf{A}$  and  $\mathbf{A}'$ , with notation (4.6), (4.7), (4.9), let  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ , and consider the diagram

$$\begin{array}{ccccccc}
0 & \longrightarrow & T_x \mathbf{X} & \longrightarrow & T_{u_i} U_i & \xrightarrow{\quad} & D_i|_{u_i} \longrightarrow O_x \mathbf{X} \longrightarrow 0 \\
& & \downarrow T_x \mathbf{f} & & \downarrow T_{u_i} f_{ij} & & \downarrow \text{id} \\
0 & \longrightarrow & T'_x \mathbf{X} & \longrightarrow & T'_{u_i} U_i & \xrightarrow{\quad} & D_i|_{u_i} \longrightarrow O'_x \mathbf{X} \longrightarrow 0 \\
& & \downarrow I_x^T \mathbf{X} & & \downarrow I_{u_i} U_i & & \downarrow \text{id} \\
0 & \longrightarrow & T_y \mathbf{Y} & \longrightarrow & T_{v_j} V_j & \xrightarrow{\quad} & E_j|_{v_j} \longrightarrow O_y \mathbf{Y} \longrightarrow 0 \\
& & \downarrow T_y \mathbf{f} & & \downarrow T_{v_j} f_j & & \downarrow \text{id} \\
0 & \longrightarrow & T'_y \mathbf{Y} & \longrightarrow & T'_{v_j} V_j & \xrightarrow{\quad} & E_j|_{v_j} \longrightarrow O'_y \mathbf{Y} \longrightarrow 0
\end{array}$$

This combines (10.28) for  $T, T'$ , and (10.36) for  $\mathbf{X}, x$  and  $\mathbf{Y}, y$ . As the central cube commutes, by exactness the outer squares commute. That is, we have

$$I_y^T \mathbf{Y} \circ T_x \mathbf{f} = T'_x \mathbf{f} \circ I_x^T \mathbf{X} \quad \text{and} \quad I_y^O \mathbf{Y} \circ O_x \mathbf{f} = O'_x \mathbf{f} \circ I_x^O \mathbf{X}, \quad (10.37)$$

so the linear maps  $I_x^T \mathbf{X}, I_x^O \mathbf{X}$  form natural transformations  $I^T : T \Rightarrow T', I^O : O \Rightarrow O'$  in  $\mathbf{Kur}$ .

Combining Definition 10.26 and Examples 10.4 and 10.25 yields:

**Example 10.27.** (a) For  $\mathbf{X}$  in  $\mathbf{mKur}^c$  we have natural linear maps  $I_x^T \mathbf{X} : {}^b T_x \mathbf{X} \rightarrow T_x \mathbf{X}$  and  $I_x^O \mathbf{X} : {}^b O_x \mathbf{X} \rightarrow O_x \mathbf{X}$ , for  $T_x \mathbf{X}, O_x \mathbf{X}, {}^b T_x \mathbf{X}, {}^b O_x \mathbf{X}$  as in Example 10.25(i),(ii), where  $I_x^O \mathbf{X}$  is always surjective.

(b) For  $\mathbf{X}$  in  $\mathbf{mKur}^c$  we have natural linear maps  $\iota_x^T \mathbf{X} : \tilde{T}_x \mathbf{X} \rightarrow T_x \mathbf{X}$  and  $\iota_x^O \mathbf{X} : \tilde{O}_x \mathbf{X} \rightarrow O_x \mathbf{X}$ , for  $T_x \mathbf{X}, O_x \mathbf{X}, \tilde{T}_x \mathbf{X}, \tilde{O}_x \mathbf{X}$  as in Example 10.25(i),(iii), where  $\iota_x^T \mathbf{X}$  is always injective and  $\iota_x^O \mathbf{X}$  is surjective.

(c) For  $\mathbf{X}$  in any of  $\mathbf{mKur}^c, \mathbf{mKur}^{gc}, \mathbf{mKur}^{ac}, \mathbf{mKur}^{c,ac}$ , there are natural linear maps  $\Pi_x^T \mathbf{X} : {}^b T_x \mathbf{X} \rightarrow \tilde{T}_x \mathbf{X}$  and  $\Pi_x^O \mathbf{X} : {}^b O_x \mathbf{X} \rightarrow \tilde{O}_x \mathbf{X}$ , for  ${}^b T_x \mathbf{X}, {}^b O_x \mathbf{X}, \tilde{T}_x \mathbf{X}, \tilde{O}_x \mathbf{X}$  as in Example 10.25(ii),(iii), where  $\Pi_x^T \mathbf{X}$  is always surjective and  $\Pi_x^O \mathbf{X}$  is an isomorphism.

### 10.2.2 Tangent and obstruction spaces for $\mu$ -Kuranishi spaces

For  $\mu$ -Kuranishi spaces in Chapter 5, by essentially exactly the same arguments as in §10.2.1, if  $\mathbf{Man}$  satisfies Assumption 10.1 with discrete property  $\mathbf{A}$  then:

- (a) For each  $\mu$ -Kuranishi space  $\mathbf{X}$  in  $\mu\mathbf{Kur}$  and  $x \in \mathbf{X}$  we can define the *tangent space*  $T_x\mathbf{X}$  and *obstruction space*  $O_x\mathbf{X}$ , both real vector spaces.
- (b) If Assumption 10.5 holds then  $\dim T_x\mathbf{X} - \dim O_x\mathbf{X} = \text{vdim } \mathbf{X}$ .
- (c) For each  $\mathbf{A}$  morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mu\mathbf{Kur}$  and  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$  we can define linear maps  $T_x\mathbf{f} : T_x\mathbf{X} \rightarrow T_y\mathbf{Y}$  and  $O_x\mathbf{f} : O_x\mathbf{X} \rightarrow O_y\mathbf{Y}$ . These are functorial, that is, (10.33)–(10.34) hold.
- (d) The analogues of Lemma 10.23, Examples 10.25, 10.27, Definition 10.26 hold.

### 10.2.3 Tangent and obstruction spaces for Kuranishi spaces

In §6.5, for a Kuranishi space  $\mathbf{X}$  in  $\mathbf{Kur}$  and  $x \in \mathbf{X}$  we defined a finite group  $G_x\mathbf{X}$  called the *isotropy group*. It depends on arbitrary choices, and is natural up to isomorphism, but not up to canonical isomorphism.

Supposing Assumption 10.1 with discrete property  $\mathbf{A}$ , in §10.2.1, for an m-Kuranishi space  $\mathbf{X}$ , we defined a tangent space  $T_x\mathbf{X}$  and an obstruction space  $O_x\mathbf{X}$  for each  $x \in \mathbf{X}$ , which were unique up to canonical isomorphism and behaved functorially under  $\mathbf{A}$  1-morphisms and 2-morphisms of m-Kuranishi spaces. To define tangent and obstruction spaces for Kuranishi spaces, we must combine these two stories:

**Definition 10.28.** Let  $\mathbf{X} = (X, \mathcal{K})$  be a Kuranishi space, with  $\mathcal{K} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ , and let  $x \in \mathbf{X}$ .

In Definition 6.49 we defined the isotropy group  $G_x\mathbf{X}$  by choosing  $i \in I$  with  $x \in \text{Im } \psi_i$  and  $v_i \in s_i^{-1}(0) \subseteq V_i$  with  $\psi_i(v_i) = x$ , and setting  $G_x\mathbf{X} = \text{Stab}_{\Gamma_i}(v_i)$  as in (6.40). For these  $i, v_i$ , define the *tangent space*  $T_x\mathbf{X}$  and *obstruction space*  $O_x\mathbf{X}$  to be the kernel and cokernel of  $d_{v_i}s_i$ , where  $d_{v_i}s_i$  is as in Definition 10.6, so that as in (10.27) we have an exact sequence

$$0 \longrightarrow T_x\mathbf{X} \longrightarrow T_{v_i}V_i \xrightarrow{d_{v_i}s_i} E_i|_{v_i} \longrightarrow O_x\mathbf{X} \longrightarrow 0. \quad (10.38)$$

The actions of  $\Gamma_i$  on  $V_i, E_i$  induce linear actions of  $G_x\mathbf{X}$  on  $T_x\mathbf{X}, O_x\mathbf{X}$ , by the commutative diagram for each  $\gamma \in G_x\mathbf{X}$ :

$$\begin{array}{ccccccccc} 0 & \longrightarrow & T_x\mathbf{X} & \longrightarrow & T_{v_i}V_i & \xrightarrow{d_{v_i}s_i} & E_i|_{v_i} & \longrightarrow & O_x\mathbf{X} & \longrightarrow & 0 \\ & & \gamma \cdot \downarrow & & T_{v_i}(\gamma \cdot) \downarrow & & \downarrow \gamma & & \downarrow \gamma & & \\ 0 & \longrightarrow & T_x\mathbf{X} & \longrightarrow & T_{v_i}V_i & \xrightarrow{d_{v_i}s_i} & E_i|_{v_i} & \longrightarrow & O_x\mathbf{X} & \longrightarrow & 0. \end{array}$$

This makes  $T_x\mathbf{X}, O_x\mathbf{X}$  into representations of  $G_x\mathbf{X}$ . The dual vector spaces of  $T_x\mathbf{X}, O_x\mathbf{X}$  are the *cotangent space*  $T_x^*\mathbf{X}$  and the *coobstruction space*  $O_x^*\mathbf{X}$ .

If Assumption 10.5 holds then (10.38) implies that

$$\dim T_x \mathbf{X} - \dim O_x \mathbf{X} = \text{vdim } \mathbf{X}. \quad (10.39)$$

Generalizing the discussion of Definition 6.49 on how  $G_x \mathbf{X}$  depends on the choice of  $i, v_i$ , we can show that if  $(G_x \mathbf{X}, T_x \mathbf{X}, O_x \mathbf{X})$  come from  $i, v_i$ , and  $(G'_x \mathbf{X}, T'_x \mathbf{X}, O'_x \mathbf{X})$  come from alternative choices  $j, v_j$ , then by picking a point  $p$  in  $S_x$  in (6.41), we can define an isomorphism of triples

$$(I_x^G, I_x^T, I_x^O) : (G_x \mathbf{X}, T_x \mathbf{X}, O_x \mathbf{X}) \longrightarrow (G'_x \mathbf{X}, T'_x \mathbf{X}, O'_x \mathbf{X}).$$

If we instead picked  $\tilde{p} \in S_x$  giving  $(\tilde{I}_x^G, \tilde{I}_x^T, \tilde{I}_x^O)$ , then there is a unique  $\delta \in G'_x \mathbf{X}$  with  $\delta \cdot p = \tilde{p}$ , and we can show that  $\tilde{I}_x^G(\gamma) = \delta I_x^G(\gamma) \delta^{-1}$ ,  $\tilde{I}_x^T(v) = \delta \cdot I_x^T(v)$  and  $\tilde{I}_x^O(w) = \delta \cdot I_x^O(w)$  for all  $\gamma \in G_x \mathbf{X}$ ,  $v \in T_x \mathbf{X}$ , and  $w \in O_x \mathbf{X}$ . Such isomorphisms of triples behave as expected under compositions.

Now let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be an  $\mathbf{A}$  1-morphism in  $\mathbf{Kur}$ , with notation (6.15), (6.16), (6.18), and let  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . As above we define  $G_x \mathbf{X}, T_x \mathbf{X}, O_x \mathbf{X}$  using  $i \in I$  and  $u_i \in U_i$  with  $\bar{\chi}_i(u_i) = x$ , and  $G_y \mathbf{Y}, T_y \mathbf{Y}, O_y \mathbf{Y}$  using  $j \in J$  and  $v_j \in V_j$  with  $\bar{\psi}_j(v_j) = y$ . By picking  $p \in S_{x, \mathbf{f}}$  in (6.44), Definition 6.51 defines a group morphism  $G_x \mathbf{f} : G_x \mathbf{X} \rightarrow G_y \mathbf{Y}$ . As for (10.28), using the same  $p$ , define  $T_x \mathbf{f} : T_x \mathbf{X} \rightarrow T_y \mathbf{Y}$ ,  $O_x \mathbf{f} : O_x \mathbf{X} \rightarrow O_y \mathbf{Y}$  by the commutative diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & T_x \mathbf{X} & \longrightarrow & T_{u_i} U_i & \xrightarrow{\quad d_{u_i} r_i \quad} & D_i|_{u_i} & \longrightarrow & O_x \mathbf{X} & \longrightarrow & 0 \\ & & \downarrow T_x \mathbf{f} & & \downarrow T_p f_{ij} \circ (T_p \pi_{ij})^{-1} & & \downarrow \hat{f}_{ij}|_p & & \downarrow O_x \mathbf{f} & & \\ 0 & \longrightarrow & T_y \mathbf{Y} & \longrightarrow & T_{v_j} V_j & \xrightarrow{\quad d_{v_j} s_j \quad} & E_j|_{v_j} & \longrightarrow & O_y \mathbf{Y} & \longrightarrow & 0. \end{array}$$

Then  $T_x \mathbf{f}, O_x \mathbf{f}$  are  $G_x \mathbf{f}$ -equivariant linear maps.

Generalizing Definition 6.51, if  $\tilde{p} \in S_{x, \mathbf{f}}$  is an alternative choice yielding  $\tilde{G}_x \mathbf{f}, \tilde{T}_x \mathbf{f}, \tilde{O}_x \mathbf{f}$ , there is a unique  $\delta \in G_y \mathbf{Y}$  with  $\delta \cdot p = \tilde{p}$ , and then  $\tilde{G}_x \mathbf{f}(\gamma) = \delta(G_x \mathbf{f}(\gamma))\delta^{-1}$ ,  $\tilde{T}_x \mathbf{f}(v) = \delta \cdot T_x \mathbf{f}(v)$ ,  $\tilde{O}_x \mathbf{f}(w) = \delta \cdot O_x \mathbf{f}(w)$  for all  $\gamma \in G_x \mathbf{X}$ ,  $v \in T_x \mathbf{X}$ , and  $w \in O_x \mathbf{X}$ . That is, the triple  $(G_x \mathbf{f}, T_x \mathbf{f}, O_x \mathbf{f})$  is canonical up to conjugation by an element of  $G_y \mathbf{Y}$ .

Continuing with the same notation, suppose  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Y}$  is another 1-morphism and  $\boldsymbol{\eta} : \mathbf{f} \Rightarrow \mathbf{g}$  a 2-morphism in  $\mathbf{Kur}$ . Then  $\mathbf{g}$  is  $\mathbf{A}$  by Proposition 6.34(a), so as above we define  $G_x \mathbf{g}, T_x \mathbf{g}, O_x \mathbf{g}$  by choosing  $q \in S_{x, \mathbf{g}}$ . As in Definition 6.51, if  $\boldsymbol{\eta}_{ij}$  in  $\boldsymbol{\eta}$  is represented by  $(\hat{P}_{ij}, \eta_{ij}, \hat{\eta}_{ij})$ , there is a unique element  $G_x \boldsymbol{\eta} \in G_y \mathbf{Y}$  with  $G_x \boldsymbol{\eta} \cdot \eta_{ij}(p) = q$ . One can now check that

$$\begin{aligned} G_x \mathbf{g}(\gamma) &= (G_x \boldsymbol{\eta})(G_x \mathbf{f}(\gamma))(G_x \boldsymbol{\eta})^{-1}, & T_x \mathbf{g}(v) &= G_x \boldsymbol{\eta} \cdot T_x \mathbf{f}(v), & \text{and} \\ O_x \mathbf{g}(w) &= G_x \boldsymbol{\eta} \cdot O_x \mathbf{f}(w) & \text{for all } \gamma \in G_x \mathbf{X}, v \in T_x \mathbf{X}, \text{ and } w \in O_x \mathbf{X}. \end{aligned}$$

That is,  $(G_x \mathbf{g}, T_x \mathbf{g}, O_x \mathbf{g})$  is conjugate to  $(G_x \mathbf{f}, T_x \mathbf{f}, O_x \mathbf{f})$  under  $G_x \boldsymbol{\eta} \in G_y \mathbf{Y}$ , the same indeterminacy as in the definition of  $(G_x \mathbf{f}, T_x \mathbf{f}, O_x \mathbf{f})$ .

Suppose instead that  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  is another  $\mathbf{A}$  1-morphism of Kuranishi spaces and  $\mathbf{g}(y) = z \in \mathbf{Z}$ . Then as in Definition 6.51 there is a canonical element

$G_{x,g,f} \in G_z \mathbf{Z}$  such that for all  $\gamma \in G_x \mathbf{X}$ ,  $v \in T_x \mathbf{X}$ ,  $w \in O_x \mathbf{X}$  we have

$$\begin{aligned} G_x(\mathbf{g} \circ \mathbf{f})(\gamma) &= (G_{x,g,f})((G_y \mathbf{g} \circ G_x \mathbf{f})(\gamma))(G_{x,g,f})^{-1}, \\ T_x(\mathbf{g} \circ \mathbf{f})(v) &= G_{x,g,f} \cdot (T_y \mathbf{g} \circ T_x \mathbf{f})(v), \\ O_x(\mathbf{g} \circ \mathbf{f})(w) &= G_{x,g,f} \cdot (O_y \mathbf{g} \circ O_x \mathbf{f})(w). \end{aligned}$$

That is,  $(G_x(\mathbf{g} \circ \mathbf{f}), T_x(\mathbf{g} \circ \mathbf{f}), O_x(\mathbf{g} \circ \mathbf{f}))$  is conjugate to  $(G_y \mathbf{g}, T_y \mathbf{g}, O_y \mathbf{g}) \circ (G_x \mathbf{f}, T_x \mathbf{f}, O_x \mathbf{f})$  under  $G_{x,g,f} \in G_z \mathbf{Z}$ .

**Remark 10.29.** The definitions of  $G_x \mathbf{X}, T_x \mathbf{X}, O_x \mathbf{X}, G_x \mathbf{f}, T_x \mathbf{f}, O_x \mathbf{f}$  above depend on arbitrary choices. We could use the Axiom of (Global) Choice as in Remark 4.21 to choose particular values for  $G_x \mathbf{X}, \dots, O_x \mathbf{f}$  for all  $\mathbf{X}, x, \mathbf{f}$ . But this is not really necessary, we can just bear the non-uniqueness in mind when working with them. All the definitions we make using  $G_x \mathbf{X}, \dots, O_x \mathbf{f}$  will be independent of the arbitrary choices in Definition 10.28.

The analogues of Lemma 10.23, Examples 10.25 and 10.27, and Definition 10.26 hold for our 2-categories of Kuranishi spaces.

### 10.3 Quasi-tangent spaces

In this section we suppose  $\dot{\mathbf{Man}}$  satisfies Assumption 10.19 in §10.1.5 throughout, so that we are given a discrete property  $\mathcal{C}$  (possibly trivial) of morphisms in  $\dot{\mathbf{Man}}$ , and ‘manifolds’  $V$  in  $\dot{\mathbf{Man}}$  have quasi-tangent spaces  $Q_v V$  for  $v \in V$ , which are objects in a category  $\mathcal{Q}$ , and  $\mathcal{C}$  morphisms  $f : V \rightarrow W$  in  $\dot{\mathbf{Man}}$  have functorial quasi-tangent maps  $Q_v f : Q_v V \rightarrow Q_w W$  for all  $v \in V$  with  $f(v) = w \in W$ , which are morphisms in  $\mathcal{Q}$ .

For each (m- or  $\mu$ -)Kuranishi space  $\mathbf{X}$  we will define a *quasi-tangent space*  $Q_x \mathbf{X}$  for  $x \in \mathbf{X}$ , with functorial morphisms  $Q_x \mathbf{f} : Q_x \mathbf{X} \rightarrow Q_y \mathbf{Y}$  under  $\mathcal{C}$  (1-)morphisms  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}, \mu\mathbf{Kur}$ , or  $\mathbf{Kur}$ . Unlike  $T_x \mathbf{X}, O_x \mathbf{X}$  in §10.2, there is no ‘obstruction’ version of  $Q_x \mathbf{X}$ . These  $Q_x \mathbf{X}, Q_x \mathbf{f}$  are useful for imposing conditions on objects and (1-)morphisms in  $\mathbf{mKur}, \mu\mathbf{Kur}$ , and  $\mathbf{Kur}$ , for instance in defining (w-)transversality and (w-)submersions in Chapter 11.

#### 10.3.1 Quasi-tangent spaces for m-Kuranishi spaces

Here is the analogue of Definition 10.21 for quasi-tangent spaces:

**Definition 10.30.** Let  $\mathbf{X} = (X, \mathcal{K})$  be an m-Kuranishi space, with  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$  and  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$ ,  $\Lambda_{ijk} = [\hat{V}_{ijk}, \hat{\lambda}_{ijk}]$  for all  $i, j, k \in I$ , as in Definition 4.14, and let  $x \in \mathbf{X}$ .

For each  $i \in I$  with  $x \in \text{Im } \psi_i$ , set  $v_i = \psi_i^{-1}(x)$  in  $s_i^{-1}(0) \subseteq V_i$ , so that we have an object  $Q_{v_i} V_i$  in  $\mathcal{Q}$  by Assumption 10.19(c). For  $i, j \in I$  with  $x \in \text{Im } \psi_i \cap \text{Im } \psi_j$  we have  $v_i \in V_{ij} \subseteq V_i$  with  $\phi_{ij} = v_j \in V_j$ . Proposition 4.34(d) and Definition 4.33 imply that  $\phi_{ij}$  is  $\mathcal{C}$  near  $v_i$ , so  $Q_{v_i} \phi_{ij} : Q_{v_i} V_i \rightarrow Q_{v_j} V_j$  is defined. When  $j = i$  we have  $\phi_{ii} = \text{id}_{V_i}$ , so  $Q_{v_i} \phi_{ii} = \text{id}_{Q_{v_i} V_i}$ .



If  $i, j, k \in I$  with  $x \in \text{Im } \psi_i \cap \text{Im } \psi_j \cap \text{Im } \psi_k$ , Definition 4.3(b) for  $\Lambda_{ijk} : \Phi_{jk} \circ \Phi_{ij} \Rightarrow \Phi_{ik}$  implies that  $\phi_{ik} = \phi_{jk} \circ \phi_{ij} + O(s_i)$  near  $v_i$ , so

$$Q_{v_i} \phi_{ik} = Q_{v_j} \phi_{jk} \circ Q_{v_i} \phi_{ij} : Q_{v_i} V_i \longrightarrow Q_{v_j} V_j$$

by Assumption 10.19(c)(i),(v). Putting  $k = i$  gives  $Q_{v_j} \phi_{ji} \circ Q_{v_i} \phi_{ij} = \text{id}_{Q_{v_i} V_i}$ , and similarly  $Q_{v_i} \phi_{ij} \circ Q_{v_j} \phi_{ji} = \text{id}_{Q_{v_j} V_j}$ , so  $Q_{v_i} \phi_{ij}$  is an isomorphism. Hence by Assumption 10.19(a), we may define a natural object  $Q_x \mathbf{X}$  in  $\mathcal{Q}$  by

$$Q_x \mathbf{X} = [\coprod_{i \in I: x \in \text{Im } \psi_i} Q_{v_i} V_i] / \sim, \quad (10.40)$$

as in (10.25), where the equivalence relation  $\sim$  is induced by the isomorphisms  $Q_{v_i} \phi_{ij} : Q_{v_i} V_i \rightarrow Q_{v_j} V_j$ , and there are canonical isomorphisms  $Q_{x,i} : Q_x \mathbf{X} \rightarrow Q_{v_i} V_i$  in  $\mathcal{Q}$  with  $Q_{x,j} = Q_{v_i} \phi_{ij} \circ Q_{x,i}$  for all  $i, j \in I$  with  $x \in \text{Im } \psi_i \cap \text{Im } \psi_j$ . We call  $Q_x \mathbf{X}$  the *quasi-tangent space* of  $X$  at  $x$ .

More generally, if  $(V_a, E_a, s_a, \psi_a)$ ,  $\Phi_{ai}, i \in I$ ,  $\Lambda_{aij}, i, j \in I$  is any m-Kuranishi neighbourhood on  $\mathbf{X}$  in the sense of §4.7 with  $x \in \text{Im } \psi_a$ , and  $v_a = \psi_a^{-1}(x)$ , there is a canonical isomorphism  $Q_{x,a} : Q_x \mathbf{X} \rightarrow Q_{v_a} V_a$  with  $Q_{x,i} = Q_{v_a} \phi_{ai} \circ Q_{x,a}$  for all  $i \in I$  with  $x \in \text{Im } \psi_i$ .

Now let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism of m-Kuranishi spaces which is  $\mathbf{C}$  in the sense of §4.5, with notation (4.6), (4.7), (4.9), and let  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ , so we have objects  $Q_x \mathbf{X}, Q_y \mathbf{Y}$  in  $\mathcal{Q}$ . We claim that there is a unique morphism  $Q_x \mathbf{f} : Q_x \mathbf{X} \rightarrow Q_y \mathbf{Y}$  in  $\mathcal{Q}$ , called the *quasi-tangent map*, such that the following diagram commutes:

$$\begin{array}{ccc} Q_x \mathbf{X} & \xrightarrow{Q_x \mathbf{f}} & Q_y \mathbf{Y} \\ Q_{x,i} \downarrow \cong & & Q_{y,j} \downarrow \cong \\ Q_{u_i} U_i & \xrightarrow{Q_{u_i} f_{ij}} & Q_{v_j} V_j \end{array} \quad (10.41)$$

whenever  $i \in I$  with  $x \in \text{Im } \chi_i$  and  $u_i = \chi_i^{-1}(x)$ , and  $j \in J$  with  $y \in \text{Im } \psi_j$  and  $v_j = \psi_j^{-1}(y)$ . To see this, note that for fixed  $i, j$  there is a unique  $Q_x \mathbf{f}$  making (10.41) commute. To show this  $Q_x \mathbf{f}$  is independent of  $i, j$ , let  $i'$  be an alternative choice for  $i$ . From Definition 4.3(b) applied to the 2-morphism  $\mathbf{F}_{ii'}^j : \mathbf{f}_{i'j} \circ \tau_{ii'} \Rightarrow \mathbf{f}_{ij}$  in Definition 4.17(c), we see that  $f_{i'j} \circ \tau_{ii'} = f_{ij} + O(r_i)$  near  $u_i$  in  $U_i$ , so  $Q_{u_i'} f_{i'j} \circ Q_{u_i} \tau_{ii'} = Q_{u_i} f_{ij}$  by Assumption 10.19(c)(i),(v). Together with  $Q_{x,i'} = Q_{u_i} \tau_{ii'} \circ Q_{x,i}$ , this implies that  $Q_x \mathbf{f}$  is unchanged by replacing  $i$  by  $i'$  in (10.41). Similarly, using  $\mathbf{F}_i^{jj'} : \Upsilon_{jj'} \circ \mathbf{f}_{ij} \Rightarrow \mathbf{f}_{ij'}$  in Definition 4.17(d) we can show that  $Q_x \mathbf{f}$  is unchanged by replacing  $j$  by an alternative choice  $j'$ .

More generally, if  $(U_a, D_a, r_a, \chi_a)$ ,  $(V_b, E_b, s_b, \psi_b)$  are m-Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$  with  $x \in \text{Im } \chi_a$ ,  $y \in \text{Im } \psi_b$ , and  $\mathbf{f}_{ab} = (U_{ab}, f_{ab}, \hat{f}_{ab}) : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  is a 1-morphism over  $(S, \mathbf{f})$  for open  $x \in S \subseteq \text{Im } \chi_a \cap \mathbf{f}^{-1}(\text{Im } \psi_b)$  as in Theorem 4.56(b), then the following commutes:

$$\begin{array}{ccc} Q_x \mathbf{X} & \xrightarrow{Q_x \mathbf{f}} & Q_y \mathbf{Y} \\ Q_{x,a} \downarrow \cong & & Q_{y,b} \downarrow \cong \\ Q_{u_a} U_a & \xrightarrow{Q_{u_a} f_{ab}} & Q_{v_b} V_b. \end{array} \quad (10.42)$$

Suppose  $e : \mathbf{X} \rightarrow \mathbf{Y}$  is another 1-morphism of m-Kuranishi spaces, and  $\eta = (\eta_{ij}, i \in I, j \in J) : e \Rightarrow f$  is a 2-morphism, so that  $e$  is  $\mathbf{C}$  by Proposition 4.36(a). Then for  $x, y, i, j, u_i, v_j$  as above, Definition 4.3(b) applied to the 2-morphism  $\eta_{ij} : e_{ij} \Rightarrow f_{ij}$  shows that  $f_{ij} = e_{ij} + O(r_i)$  near  $u_i$  in  $U_i$ , so  $Q_{u_i} f_{ij} = Q_{u_i} e_{ij}$  by Assumption 10.19(c)(v). Thus comparing (10.41) for  $e, f$  shows that  $Q_x e = Q_x f$ . Hence the morphisms  $Q_x f$  depend only on the  $\mathbf{C}$  morphism  $[f] : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\text{Ho}(\mathbf{mK\!ur})$ , and on  $x \in \mathbf{X}$ .

Now suppose  $g : \mathbf{Y} \rightarrow \mathbf{Z}$  is another  $\mathbf{C}$  1-morphism of m-Kuranishi spaces and  $g(y) = z \in \mathbf{Z}$  with notation (4.7)–(4.9), let  $i \in I, j \in J, k \in K$  with  $x \in \text{Im } \chi_i, y \in \text{Im } \psi_j, z \in \text{Im } \omega_k$ , and set  $u_i = \chi_i^{-1}(x), v_j = \psi_j^{-1}(y)$  and  $w_k = \omega_k^{-1}(z)$ . Then  $g \circ f : \mathbf{X} \rightarrow \mathbf{Z}$  is  $\mathbf{C}$ , and Definition 4.20 gives a 2-morphism  $\Theta_{ijk}^{g \circ f} : g_{jk} \circ f_{ij} \Rightarrow (g \circ f)_{ik}$ . Therefore  $(g \circ f)_{ik} = g_{jk} \circ f_{ij} + O(r_i)$  near  $u_i$ , so Assumption 10.19(c)(i),(v) gives

$$Q_{u_i}(g \circ f)_{ik} = Q_{v_j} g_{jk} \circ Q_{u_i} f_{ij} : Q_{u_i} V_i \longrightarrow Q_{w_k} W_k.$$

Combining this with (10.41) for  $f, g$  and  $g \circ f$  yields

$$Q_x(g \circ f) = Q_y g \circ Q_x f. \quad (10.43)$$

Also the definition of  $\text{id}_{\mathbf{X}}$  yields

$$Q_x \text{id}_{\mathbf{X}} = \text{id}_{Q_x \mathbf{X}} : Q_x \mathbf{X} \rightarrow Q_x \mathbf{X}. \quad (10.44)$$

So quasi-tangent spaces are functorial on the 2-category  $\mathbf{mK\!ur}_{\mathbf{C}}$ .

As for Lemma 10.23, we can prove:

**Lemma 10.31.** *In Definition 10.30 suppose  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is an equivalence in  $\mathbf{mK\!ur}$ , so that  $f$  is  $\mathbf{C}$  by Proposition 4.36(c). Then  $Q_x f : Q_x \mathbf{X} \rightarrow Q_y \mathbf{Y}$  is an isomorphism in  $\mathcal{Q}$  for all  $x \in \mathbf{X}$  with  $f(x) = y$  in  $\mathbf{Y}$ .*

Combining Definition 10.30 and Example 10.20 yields:

**Example 10.32. (a)** In the 2-category  $\mathbf{mK\!ur}^c$  from (4.37), we have *stratum normal spaces*  $\tilde{N}_x \mathbf{X}$  for all  $\mathbf{X} \in \mathbf{mK\!ur}^c$  and  $x \in \mathbf{X}$ , which are finite-dimensional real vector spaces, based on  $\tilde{N}_v V$  in Definition 2.16 when  $V \in \mathbf{Man}^c$  and  $v \in V$ . For any 1-morphism  $f : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mK\!ur}^c$  we have functorial linear maps  $\tilde{N}_x f : \tilde{N}_x \mathbf{X} \rightarrow \tilde{N}_y \mathbf{Y}$  for all  $x \in \mathbf{X}$  with  $f(x) = y$  in  $\mathbf{Y}$ .

**(b)** In the 2-category  $\mathbf{mK\!ur}^c$ , we have *stratum b-normal spaces*  ${}^b \tilde{N}_x \mathbf{X}$  for all  $\mathbf{X}$  in  $\mathbf{mK\!ur}^c$  and  $x \in \mathbf{X}$ , which are finite-dimensional real vector spaces, based on  ${}^b \tilde{N}_v V$  in Definition 2.16 when  $V \in \mathbf{Man}^c$  and  $v \in V$ . For any interior 1-morphism  $f : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mK\!ur}^c$  we have functorial linear maps  ${}^b \tilde{N}_x f : {}^b \tilde{N}_x \mathbf{X} \rightarrow {}^b \tilde{N}_y \mathbf{Y}$  for all  $x$  in  $\mathbf{X}$  with  $f(x) = y$  in  $\mathbf{Y}$ . We have  $\dim \tilde{N}_x \mathbf{X} = \dim {}^b \tilde{N}_x \mathbf{X}$  for all  $x, \mathbf{X}$ , since  $\dim \tilde{N}_v V = \dim {}^b \tilde{N}_v V$  for all  $V \in \mathbf{Man}^c$  and  $v \in V$ . But in general there are no canonical isomorphisms  $\tilde{N}_x \mathbf{X} \cong {}^b \tilde{N}_x \mathbf{X}$ .

**(c)** In the 2-category  $\mathbf{mK\!ur}^c$ , we have a commutative monoid  $\tilde{M}_x \mathbf{X}$  for all  $\mathbf{X}$  in  $\mathbf{mK\!ur}^c$  and  $x \in \mathbf{X}$ , with  $\tilde{M}_x \mathbf{X} \cong \mathbb{N}^k$  for some  $k \geq 0$ , based on  $\tilde{M}_v V$  in Definition

2.16 when  $V \in \mathbf{Man}^c$  and  $v \in V$ . For any interior 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}^c$  we have functorial monoid morphisms  $\tilde{M}_x \mathbf{f} : \tilde{M}_x \mathbf{X} \rightarrow \tilde{M}_y \mathbf{Y}$  for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ .

We have canonical isomorphisms  ${}^b \tilde{N}_x \mathbf{X} \cong \tilde{M}_x \mathbf{X} \otimes_{\mathbb{N}} \mathbb{R}$  for all  $x, \mathbf{X}$ , as there are canonical isomorphisms  ${}^b \tilde{N}_v V \cong \tilde{M}_v V \otimes_{\mathbb{N}} \mathbb{R}$ , and these isomorphisms identify  ${}^b \tilde{N}_x \mathbf{f} : {}^b \tilde{N}_x \mathbf{X} \rightarrow {}^b \tilde{N}_y \mathbf{Y}$  with  $\tilde{M}_x \mathbf{f} \otimes \text{id}_{\mathbb{R}} : \tilde{M}_x \mathbf{X} \otimes_{\mathbb{N}} \mathbb{R} \rightarrow \tilde{M}_y \mathbf{Y} \otimes_{\mathbb{N}} \mathbb{R}$ .

(d) In the 2-category  $\mathbf{mKur}^{\text{sc}}$  from (4.37), we have *stratum b-normal spaces*  ${}^b \tilde{N}_x \mathbf{X}$  for all  $\mathbf{X}$  in  $\mathbf{mKur}^{\text{sc}}$  and  $x \in \mathbf{X}$ , based on  ${}^b \tilde{N}_v V$  in §2.4.1 when  $V \in \mathbf{Man}^{\text{sc}}$  and  $v \in V$ . For any interior 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}^{\text{sc}}$  we have functorial linear maps  ${}^b \tilde{N}_x \mathbf{f} : {}^b \tilde{N}_x \mathbf{X} \rightarrow {}^b \tilde{N}_y \mathbf{Y}$  for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . On  $\mathbf{mKur}^c \subset \mathbf{mKur}^{\text{sc}}$  these agree with those in (b).

(e) In the 2-category  $\mathbf{mKur}^{\text{sc}}$ , we have a toric commutative monoid  $\tilde{M}_x \mathbf{X}$  for all  $\mathbf{X}$  in  $\mathbf{mKur}^{\text{sc}}$  and  $x \in \mathbf{X}$ , based on  $\tilde{M}_v V$  in §2.4.1 when  $V \in \mathbf{Man}^{\text{sc}}$  and  $v \in V$ . For any interior 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}^{\text{sc}}$  we have functorial monoid morphisms  $\tilde{M}_x \mathbf{f} : \tilde{M}_x \mathbf{X} \rightarrow \tilde{M}_y \mathbf{Y}$  for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . On  $\mathbf{mKur}^c \subset \mathbf{mKur}^{\text{sc}}$  these agree with those in (c).

We have canonical isomorphisms  ${}^b \tilde{N}_x \mathbf{X} \cong \tilde{M}_x \mathbf{X} \otimes_{\mathbb{N}} \mathbb{R}$  for all  $x, \mathbf{X}$ , which identify  ${}^b \tilde{N}_x \mathbf{f} : {}^b \tilde{N}_x \mathbf{X} \rightarrow {}^b \tilde{N}_y \mathbf{Y}$  with  $\tilde{M}_x \mathbf{f} \otimes \text{id}_{\mathbb{R}} : \tilde{M}_x \mathbf{X} \otimes_{\mathbb{N}} \mathbb{R} \rightarrow \tilde{M}_y \mathbf{Y} \otimes_{\mathbb{N}} \mathbb{R}$ .

Quasi-tangent spaces are useful for stating conditions on objects and 1-morphisms in  $\mathbf{mKur}$ . For example:

- An object  $\mathbf{X}$  in  $\mathbf{mKur}^{\text{sc}}$  lies in  $\mathbf{mKur}^c \subset \mathbf{mKur}^{\text{sc}}$  if and only if  $\tilde{M}_x \mathbf{X} \cong \mathbb{N}^k$  for all  $x \in \mathbf{X}$ , for  $k \geq 0$  depending on  $x$ .
- An interior 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}^c$  or  $\mathbf{mKur}^{\text{sc}}$  is simple if and only if  $\tilde{M}_x \mathbf{f}$  is an isomorphism for all  $x \in \mathbf{X}$ .
- An interior 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}^c$  or  $\mathbf{mKur}^{\text{sc}}$  is b-normal if and only if  ${}^b \tilde{N}_x \mathbf{f}$  is surjective for all  $x \in \mathbf{X}$ .

**Example 10.33.** Let  $\mathbf{X}$  be an object in  $\mathbf{mKur}^c$ , and  $x \in \mathbf{X}$ . Using the notation of Definitions 10.21 and 10.30, choose  $i \in I$  with  $x \in \text{Im } \psi_i$ , set  $v_i = \psi_i^{-1}(x)$  in  $s_i^{-1}(0) \subseteq V_i$ , and consider the commutative diagram

$$\begin{array}{ccccccccccc}
& & & 0 & & 0 & & 0 & & 0 & & \\
& & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
\cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & \tilde{T}_{v_i} V_i & \xrightarrow{\tilde{d}_{v_i} r_i} & E_i|_{v_i} & \xrightarrow{0} & 0 & \xrightarrow{0} & \cdots \\
& & & \downarrow 0 & & \downarrow \iota_{v_i} V_i & & \text{id} \downarrow & & \downarrow 0 & & \\
\cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & T_{v_i} V_i & \xrightarrow{d_{v_i} r_i} & E_i|_{v_i} & \xrightarrow{0} & 0 & \xrightarrow{0} & \cdots \\
& & & \downarrow 0 & & \downarrow \pi_{v_i} V_i & & \downarrow & & \downarrow 0 & & \\
\cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & \tilde{N}_{v_i} V_i & \xrightarrow{0} & 0 & \xrightarrow{0} & 0 & \xrightarrow{0} & \cdots \\
& & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
& & & 0 & & 0 & & 0 & & 0 & & 
\end{array} \tag{10.45}$$

Here  $T_{v_i} V_i, \tilde{T}_{v_i} V_i$  are as in Example 10.2(ii),(iv), and  $\iota_{v_i} V_i$  is as in Example 10.4(b). The second column is (2.15) for  $V_i, v_i$ , which is exact, and the other

columns are clearly exact. The rows of (10.45) are complexes. By equations (10.27), (10.40) and Examples 10.25(i),(iii) and 10.32(a), the first row has cohomology groups  $\tilde{T}_x \mathbf{X}, \tilde{O}_x \mathbf{X}$ , the second row  $T_x \mathbf{X}, O_x \mathbf{X}$ , and the third row  $\tilde{N}_x \mathbf{X}, 0$ .

Identifying (10.45) with equation (10.89), a standard piece of algebraic topology explained in Definition 10.69 below gives an exact sequence (10.90):

$$0 \longrightarrow \tilde{T}_x \mathbf{X} \xrightarrow{\iota_x^T \mathbf{X}} T_x \mathbf{X} \xrightarrow{\pi_x \mathbf{X}} \tilde{N}_x \mathbf{X} \xrightarrow{\delta_x \mathbf{X}} \tilde{O}_x \mathbf{X} \xrightarrow{\iota_x^O \mathbf{X}} O_x \mathbf{X} \longrightarrow 0. \quad (10.46)$$

Here  $\iota_x^T \mathbf{X}, \iota_x^O \mathbf{X}$  are as in Example 10.27(b), and  $\pi_x \mathbf{X}, \delta_x \mathbf{X}$  are natural linear maps, with  $\delta_x \mathbf{X}$  a ‘connecting morphism’. One can show as in Definitions 10.21 and 10.30 that  $\pi_x \mathbf{X}, \delta_x \mathbf{X}$  are independent of the choice of  $i \in I$ .

Now let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism in  $\mathbf{mKur}^c$ , and  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . Then using equations (2.16), (10.28), (10.37), and (10.41), we can show that the following commutes, where  $T_x \mathbf{f}, O_x \mathbf{f}, \tilde{T}_x \mathbf{f}, \tilde{O}_x \mathbf{f}$  are as in Example 10.25(i),(iii) and  $\tilde{N}_x \mathbf{f}$  as in Example 10.32(a), and the rows are (10.46):

$$\begin{array}{ccccccccccc} 0 & \longrightarrow & \tilde{T}_x \mathbf{X} & \xrightarrow{\iota_x^T \mathbf{X}} & T_x \mathbf{X} & \xrightarrow{\pi_x \mathbf{X}} & \tilde{N}_x \mathbf{X} & \xrightarrow{\delta_x \mathbf{X}} & \tilde{O}_x \mathbf{X} & \xrightarrow{\iota_x^O \mathbf{X}} & O_x \mathbf{X} & \longrightarrow & 0 \\ & & \tilde{T}_x \mathbf{f} \downarrow & & T_x \mathbf{f} \downarrow & & \tilde{N}_x \mathbf{f} \downarrow & & \tilde{O}_x \mathbf{f} \downarrow & & O_x \mathbf{f} \downarrow & & \\ 0 & \longrightarrow & \tilde{T}_y \mathbf{Y} & \xrightarrow{\iota_y^T \mathbf{Y}} & T_y \mathbf{Y} & \xrightarrow{\pi_y \mathbf{Y}} & \tilde{N}_y \mathbf{Y} & \xrightarrow{\delta_y \mathbf{Y}} & \tilde{O}_y \mathbf{Y} & \xrightarrow{\iota_y^O \mathbf{Y}} & O_y \mathbf{Y} & \longrightarrow & 0. \end{array} \quad (10.47)$$

**Example 10.34.** Let  $\mathbf{X}$  lie in  $\mathbf{mKur}^c, \mathbf{mKur}^{gc}, \mathbf{mKur}^{ac}$  or  $\mathbf{mKur}^{c,ac}$ , and  $x \in \mathbf{X}$ . Then by a similar but simpler proof to Example 10.33 using (2.17) instead of (2.15), we find there is a natural exact sequence

$$0 \longrightarrow {}^b \tilde{N}_x \mathbf{X} \xrightarrow{{}^b \iota_x \mathbf{X}} {}^b T_x \mathbf{X} \xrightarrow{\Pi_x^T \mathbf{X}} \tilde{T}_x \mathbf{X} \longrightarrow 0, \quad (10.48)$$

where  ${}^b T_x \mathbf{X}, \tilde{T}_x \mathbf{X}$  are as in Example 10.25(ii),(iii), and  $\Pi_x^T \mathbf{X}$  as in Example 10.27(c), and  ${}^b \tilde{N}_x \mathbf{X}$  as in Example 10.32(b). If  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is a 1-morphism in  $\mathbf{mKur}^c, \mathbf{mKur}^{gc}, \mathbf{mKur}^{ac}$  or  $\mathbf{mKur}^{c,ac}$ , and  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$  then as for (10.47) we have a commuting diagram

$$\begin{array}{ccccccccccc} 0 & \longrightarrow & {}^b \tilde{N}_x \mathbf{X} & \xrightarrow{{}^b \iota_x \mathbf{X}} & {}^b T_x \mathbf{X} & \xrightarrow{\Pi_x^T \mathbf{X}} & \tilde{T}_x \mathbf{X} & \longrightarrow & 0 \\ & & {}^b \tilde{N}_x \mathbf{f} \downarrow & & {}^b T_x \mathbf{f} \downarrow & & \tilde{T}_x \mathbf{f} \downarrow & & \\ 0 & \longrightarrow & {}^b \tilde{N}_y \mathbf{Y} & \xrightarrow{{}^b \iota_y \mathbf{Y}} & {}^b T_y \mathbf{Y} & \xrightarrow{\Pi_y^T \mathbf{Y}} & \tilde{T}_y \mathbf{Y} & \longrightarrow & 0. \end{array} \quad (10.49)$$

### 10.3.2 Quasi-tangent spaces for $\mu$ -Kuranishi spaces

For  $\mu$ -Kuranishi spaces in Chapter 5, by essentially exactly the same arguments as in §10.3.1, if  $\mathbf{Man}$  satisfies Assumption 10.19 then:

- (a) For each  $\mu$ -Kuranishi space  $\mathbf{X}$  in  $\mu\mathbf{K}\mathbf{ur}$  and  $x \in \mathbf{X}$  we can define the *quasi-tangent space*  $Q_x\mathbf{X}$ , an object in  $\mathcal{Q}$ .
- (b) For each  $\mathbf{C}$  morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mu\mathbf{K}\mathbf{ur}$  and  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$  we can define a morphism  $Q_x\mathbf{f} : Q_x\mathbf{X} \rightarrow Q_y\mathbf{Y}$  in  $\mathcal{Q}$ . These are functorial, that is, (10.43)–(10.44) hold.
- (c) The analogues of Lemma 10.31 and Examples 10.32–10.34 hold.

### 10.3.3 Quasi-tangent spaces for Kuranishi spaces

For quasi-tangent spaces of Kuranishi spaces, we combine the ideas of §10.3.1 and §10.2.3 in a straightforward way. The main points are these:

- (a) Let  $\mathbf{X} = (X, \mathcal{K})$  be a Kuranishi space, with  $\mathcal{K} = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ , and let  $x \in \mathbf{X}$ . In Definition 6.49 we defined the isotropy group  $G_x\mathbf{X}$  by choosing  $i \in I$  with  $x \in \text{Im } \psi_i$  and  $v_i \in s_i^{-1}(0) \subseteq V_i$  with  $\bar{\psi}_i(v_i) = x$ , and setting  $G_x\mathbf{X} = \text{Stab}_{\Gamma_i}(v_i)$  as in (6.40). For these  $i, v_i$ , we define the *quasi-tangent space*  $Q_x\mathbf{X}$  in  $\mathcal{Q}$  to be  $Q_{v_i}V_i$ .
- (b) There is a natural action of  $G_x\mathbf{X}$  on  $Q_x\mathbf{X}$  by isomorphisms in  $\mathcal{Q}$ .
- (c)  $Q_x\mathbf{X}$  is independent of choices up to isomorphism in  $\mathcal{Q}$ , but not up to canonical isomorphism. Given two choices  $Q_x\mathbf{X}, Q'_x\mathbf{X}$ , the isomorphism  $Q_x\mathbf{X} \rightarrow Q'_x\mathbf{X}$  is natural only up to the action of  $G_x\mathbf{X}$  on  $Q'_x\mathbf{X}$ .
- (d) Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a  $\mathbf{C}$  1-morphism in  $\mathbf{K}\mathbf{ur}$ , with notation (6.15), (6.16), (6.18), and let  $x \in \mathbf{X}$  with  $y \in \mathbf{Y}$ . By picking  $p \in S_{x,\mathbf{f}}$  in (6.44), Definition 6.51 defines a group morphism  $G_x\mathbf{f} : G_x\mathbf{X} \rightarrow G_y\mathbf{Y}$ . Using the same  $p$ , define a morphism  $Q_x\mathbf{f} : Q_x\mathbf{X} \rightarrow Q_y\mathbf{Y}$  in  $\mathcal{Q}$  by the commutative diagram

$$\begin{array}{ccc}
Q_x\mathbf{X} & \xrightarrow{\quad Q_x\mathbf{f} \quad} & Q_y\mathbf{Y} \\
\parallel & & \parallel \\
Q_{u_i}U_i & \xleftarrow[\cong]{Q_p\pi_{ij}} Q_pP_{ij} \xrightarrow{Q_p f_{ij}} & Q_{v_j}V_j,
\end{array}$$

where  $Q_p\pi_{ij}$  is invertible as  $\pi_{ij}$  is étale. Then  $Q_x\mathbf{f}$  is  $G_x\mathbf{f}$ -equivariant. It depends on the choice of  $p$  up to the action of  $G_y\mathbf{Y}$  on  $Q_y\mathbf{Y}$ .

- (e) Continuing from (d), suppose  $\mathbf{e} : \mathbf{X} \rightarrow \mathbf{Y}$  is another 1-morphism and  $\eta : \mathbf{e} \Rightarrow \mathbf{f}$  a 2-morphism in  $\mathbf{K}\mathbf{ur}$ . Then  $\mathbf{e}$  is  $\mathbf{C}$  by Proposition 6.34(a). Definition 6.51 gives  $G_x\eta \in G_y\mathbf{Y}$ , and we have  $Q_x\mathbf{f} = G_x\eta \cdot Q_x\mathbf{e}$ .
- (f) Continuing from (d), suppose  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{Z}$  is another  $\mathbf{C}$  1-morphism and  $\mathbf{g}(y) = z \in \mathbf{Z}$ . Then Definition 6.51 gives  $G_{x,\mathbf{g},\mathbf{f}} \in G_z\mathbf{Z}$ , and we have

$$Q_x(\mathbf{g} \circ \mathbf{f}) = G_{x,\mathbf{g},\mathbf{f}} \cdot (Q_y\mathbf{g} \circ Q_x\mathbf{f}).$$

- (f) The analogues of Lemma 10.31 and Examples 10.32–10.34 hold.

We leave the details to the reader.

## 10.4 Minimal (m-, $\mu$ -)Kuranishi neighbourhoods at $x \in \mathbf{X}$

In this section we suppose  $\mathbf{Man}$  satisfies Assumptions 10.1 and 10.9 in §10.1 throughout, so that we are given a discrete property  $\mathbf{A}$  (possibly trivial) of morphisms in  $\mathbf{Man}$ , and ‘manifolds’  $V$  in  $\mathbf{Man}$  have tangent spaces  $T_v V$  for  $v \in V$ , and  $\mathbf{A}$  morphisms  $f : V \rightarrow W$  in  $\mathbf{Man}$  have functorial tangent maps  $T_v f : T_v V \rightarrow T_w W$  for all  $v \in V$  with  $f(v) = w \in W$ . For some results we also suppose Assumption 10.11.

We will use Assumption 10.9 to prove that if  $\mathbf{X}$  is an m-Kuranishi space and  $x \in X$  then we can find an m-Kuranishi neighbourhood  $(V, E, s, \psi)$  on  $\mathbf{X}$  such that  $x \in \text{Im } \psi$  which is *minimal at  $x$*  in the sense that  $d_{\psi^{-1}(x)} s = 0$ . Then we will use Assumption 10.11 to show that if  $(V', E', s', \psi')$  is another m-Kuranishi neighbourhood on  $\mathbf{X}$  with  $x \in \text{Im } \psi'$  then  $(V', E', s', \psi')$  is locally isomorphic to  $(V, E, s, \psi)$  near  $x$  if  $(V', E', s', \psi')$  is minimal at  $x$ , and in general  $(V', E', s', \psi')$  is locally isomorphic to  $(V \times \mathbb{R}^n, \pi^*(E) \oplus \mathbb{R}^n, \pi^*(s) \oplus \text{id}_{\mathbb{R}^n}, \psi \circ \pi_V)$  near  $x$ .

We also generalize the results to  $\mu$ -Kuranishi spaces, and to Kuranishi spaces, where a Kuranishi neighbourhood  $(V, E, \Gamma, s, \psi)$  on a Kuranishi space  $\mathbf{X}$  is *minimal at  $x$*  if  $x \in \text{Im } \psi$ , and  $\Gamma \cong G_x \mathbf{X}$ , so that  $\bar{\psi}^{-1}(x)$  is a single point  $v$  in  $V$  fixed by  $\Gamma$ , and  $d_v s = 0$ .

### 10.4.1 Minimal m-Kuranishi neighbourhoods at $x \in \mathbf{X}$

**Definition 10.35.** Let  $X$  be a topological space, and  $(V, E, s, \psi)$  be an m-Kuranishi neighbourhood on  $X$  in the sense of §4.1, and  $x \in \text{Im } \psi \subseteq X$ . Set  $v = \psi^{-1}(x) \in s^{-1}(0) \subseteq V$ . Then Definition 10.6 defines a linear map of real vector spaces  $d_v s : T_v V \rightarrow E|_v$ , the derivative of  $s$  at  $v$ , for  $T_v V$  as in Assumption 10.1(b). We say that  $(V, E, s, \psi)$  is *minimal at  $x$*  if  $d_v s = 0$ .

Similarly, let  $\mathbf{X} = (X, \mathcal{K})$  be an m-Kuranishi space in  $\mathbf{mKur}$ , and  $(V, E, s, \psi)$  be an m-Kuranishi neighbourhood on  $\mathbf{X}$  in the sense of §4.7, and  $x \in \text{Im } \psi \subseteq X$  with  $v = \psi^{-1}(x)$ . Again we say that  $(V, E, s, \psi)$  is *minimal at  $x$*  if  $d_v s = 0$ .

If  $(V, E, s, \psi)$  is an m-Kuranishi neighbourhood on  $\mathbf{X}$  and  $x \in \text{Im } \psi$  with  $v = \psi^{-1}(x)$  then as in (10.27) we have an exact sequence

$$0 \longrightarrow T_x \mathbf{X} \longrightarrow T_v V \xrightarrow{d_v s} E|_v \longrightarrow O_x \mathbf{X} \longrightarrow 0.$$

Also  $\text{vdim } \mathbf{X} = \dim V - \text{rank } E$ . From these we easily deduce:

**Lemma 10.36.** *Let  $(V, E, s, \psi)$  be an m-Kuranishi neighbourhood on an m-Kuranishi space  $\mathbf{X}$  in  $\mathbf{mKur}$ , and  $x \in \text{Im } \psi$  with  $v = \psi^{-1}(x) \in V$ . Then*

$$\text{rank } E \geq \dim O_x \mathbf{X} \quad \text{and} \quad \dim V \geq \text{vdim } \mathbf{X} + \dim O_x \mathbf{X}, \quad (10.50)$$

and  $(V, E, s, \psi)$  is *minimal at  $x$*  if and only if equality holds in (10.50).

If  $(V, E, s, \psi)$  is *minimal at  $x$*  there are natural isomorphisms  $T_x \mathbf{X} \cong T_v V$  and  $O_x \mathbf{X} \cong E|_v$ .

We will be considering the question ‘how many different m-Kuranishi neighbourhoods are there near  $x$  on an m-Kuranishi space  $\mathbf{X}$ ?’. To answer this we need a notion of when two m-Kuranishi neighbourhoods on  $\mathbf{X}$  are ‘the same’, which we call *strict isomorphism*.

**Definition 10.37.** Let  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be m-Kuranishi neighbourhoods on a topological space  $X$ . A *strict isomorphism*  $(\phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  satisfies:

- (a)  $\phi_{ij} : V_i \rightarrow V_j$  is a diffeomorphism in  $\mathbf{Man}$ .
- (b)  $\hat{\phi}_{ij} : E_i \rightarrow \phi_{ij}^*(E_j)$  is an isomorphism of vector bundles on  $V_i$ .
- (c)  $\hat{\phi}_{ij}(s_i) = \phi_{ij}^*(s_j)$  in  $\Gamma^\infty(\phi_{ij}^*(E_j))$ .
- (d)  $\psi_i = \psi_j \circ \phi_{ij}|_{s_i^{-1}(0)} : s_i^{-1}(0) \rightarrow X$ , where  $\phi_{ij}(s_i^{-1}(0)) = s_j^{-1}(0)$  by (a)–(c).

Then  $\Phi_{ij} = (V_i, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  is a coordinate change over  $\text{Im } \psi_i = \text{Im } \psi_j$ .

If instead  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  are m-Kuranishi neighbourhoods on an m-Kuranishi space  $\mathbf{X}$ , we define strict isomorphisms as above, except that we also require  $\Phi_{ij}$  to be one of the possible choices in Theorem 4.56(a).

We call m-Kuranishi neighbourhoods  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  on  $X$  or  $\mathbf{X}$  *strictly isomorphic near*  $S \subseteq \text{Im } \psi_i \cap \text{Im } \psi_j \subseteq X$  if there exist open neighbourhoods  $U_i$  of  $\psi_i^{-1}(S)$  in  $V_i$  and  $U_j$  of  $\psi_j^{-1}(S)$  in  $V_j$  and a strict isomorphism

$$(\phi_{ij}, \hat{\phi}_{ij}) : (U_i, E_i|_{U_i}, s_i|_{U_i}, \psi_i|_{U_i}) \longrightarrow (U_j, E_j|_{U_j}, s_j|_{U_j}, \psi_j|_{U_j}).$$

Given an m-Kuranishi neighbourhood  $(V, E, s, \psi)$  on  $X$ , we will construct a family  $(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  for  $n \in \mathbb{N}$  with  $V_{(n)} = V \times \mathbb{R}^n$ .

**Definition 10.38.** Let  $(V, E, s, \psi)$  be an m-Kuranishi neighbourhood on a topological space  $X$ , and let  $n = 0, 1, \dots$ . Define an m-Kuranishi neighbourhood  $(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  on  $X$  by

$$(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)}) = (V \times \mathbb{R}^n, \pi_V^*(E) \oplus \mathbb{R}^n, \pi_V^*(s) \oplus \text{id}_{\mathbb{R}^n}, \psi \circ \pi_V|_{s_{(n)}^{-1}(0)}).$$

In more detail, writing  $\pi_V : V_{(n)} = V \times \mathbb{R}^n \rightarrow V$  for the projection, we define  $E_{(n)} \rightarrow V_{(n)}$  to be the direct sum of  $\pi_V^*(E)$  and the trivial vector bundle  $\mathbb{R}^n$ , so that  $E_{(n)} = E \times \mathbb{R}^n \times \mathbb{R}^n$  as a manifold, and  $\text{rank } E_{(n)} = \text{rank } E + n$ , so that

$$\dim V_{(n)} - \text{rank } E_{(n)} = (\dim V + n) - (\text{rank } E + n) = \dim V - \text{rank } E.$$

Writing points of  $E$  as  $(v, e)$  for  $v \in V$  and  $e \in E|_v$ , and  $s \in \Gamma^\infty(E)$  as mapping  $v \mapsto (v, s(v))$  for  $s(v) \in E|_v$ , we may write points of  $E_{(n)}$  as  $(v, \mathbf{y}, e, \mathbf{z})$  for  $v \in V$ ,  $e \in E|_v$  and  $\mathbf{y}, \mathbf{z} \in \mathbb{R}^n$ , where  $\pi : E_{(n)} \rightarrow V_{(n)}$  maps  $\pi : (v, \mathbf{y}, e, \mathbf{z}) \mapsto (v, \mathbf{y})$ . Then  $s_{(n)}$  maps  $s_{(n)} : (v, \mathbf{y}) \mapsto (v, \mathbf{y}, s(v), \mathbf{y})$ . That is, the  $\mathbb{R}^n$ -component of  $s_{(n)}$  in  $E_{(n)} = \pi_V^*(E) \oplus \mathbb{R}^n$  maps  $(v, \mathbf{y}) \mapsto \mathbf{y} = \text{id}_{\mathbb{R}^n}(\mathbf{y})$ , so we write  $s_{(n)} = \pi_V^*(s) \oplus \text{id}_{\mathbb{R}^n}$ .

Then  $s_{(n)}^{-1}(0) = \{(v, 0) : v \in s^{-1}(0)\} = s^{-1}(0) \times \{0\}$ . Thus  $\psi_{(n)} = \psi \circ \pi_V$  maps  $(v, 0) \mapsto \psi(v)$ , and is a homeomorphism with  $\text{Im } \psi_{(n)} = \text{Im } \psi \subseteq X$ .

Define open submanifolds  $V_{*(n)} \hookrightarrow V$ ,  $V_{(n)*} \hookrightarrow V_{(n)}$  by  $V_{*(n)} = V$  and  $V_{(n)*} = V_{(n)}$ , and morphisms  $\phi_{*(n)} : V_{*(n)} \rightarrow V_{(n)}$ ,  $\phi_{(n)*} : V_{(n)*} \rightarrow V$  by  $\phi_{*(n)} = \text{id}_V \times 0 : V_{*(n)} = V \rightarrow V_{(n)} = V \times \mathbb{R}^n$  and  $\phi_{(n)*} = \pi_V : V_{(n)*} = V \times \mathbb{R}^n \rightarrow V$ . Define vector bundle morphisms  $\hat{\phi}_{*(n)} : E|_{V_{*(n)}} \rightarrow \phi_{*(n)}^*(E_{(n)})$ ,  $\hat{\phi}_{(n)*} : E_{(n)}|_{V_{(n)*}} \rightarrow \phi_{(n)*}^*(E)$  by the commutative diagrams

$$\begin{array}{ccc} E|_{V_{*(n)}} & \xrightarrow{\hat{\phi}_{*(n)}} & \phi_{*(n)}^*(E_{(n)}) & & E_{(n)}|_{V_{(n)*}} & \xrightarrow{\hat{\phi}_{(n)*}} & \phi_{(n)*}^*(E) \\ \parallel & & \parallel & & \parallel & & \parallel \\ E & & (\text{id}_V \times 0)^*(\pi_V^*(E) \oplus \mathbb{R}^n) & & E_{(n)} & & \pi_V^*(E) \\ \downarrow \text{id}_E \oplus 0 & & \parallel & & \parallel & & \parallel \\ E \oplus \mathbb{R}^n & = & (\text{id}_V \times 0)^* \circ \pi_V^*(E) \oplus \mathbb{R}^n, & & \pi_V^*(E) \oplus \mathbb{R}^n & \xrightarrow{\text{id}_{\pi_V^*(E)} \oplus 0} & \pi_V^*(E). \end{array}$$

Then  $\Phi_{*(n)} = (V_{*(n)}, \phi_{*(n)}, \hat{\phi}_{*(n)})$ ,  $\Phi_{(n)*} = (V_{(n)*}, \phi_{(n)*}, \hat{\phi}_{(n)*})$  are 1-morphisms of m-Kuranishi neighbourhoods  $\Phi_{*(n)} : (V, E, s, \psi) \rightarrow (V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  and  $\Phi_{(n)*} : (V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)}) \rightarrow (V, E, s, \psi)$  on  $X$  over  $S = \text{Im } \psi = \text{Im } \psi_{(n)}$ .

Now  $\phi_{*(n)} \circ \phi_{(n)*} = \text{id}_V \times 0 : V \times \mathbb{R}^n \rightarrow V \times \mathbb{R}^n$ . Thus we have isomorphisms

$$\mathcal{T}_{\phi_{*(n)} \circ \phi_{(n)*}} V_{(n)} = \mathcal{T}_{\text{id}_V \times 0} (V \times \mathbb{R}^n) \cong \mathcal{T}_{\pi_V} V \oplus \mathcal{T}_0 \mathbb{R}^n \cong \mathcal{T}_{\pi_V} V \oplus \mathcal{O}_{V_{(n)}} \otimes \mathbb{R}^n.$$

Also  $E_{(n)}|_{V_{(n)}} = \pi_V^*(E) \oplus \mathbb{R}^n$ , so the sheaf of sections of  $E_{(n)}|_{V_{(n)}}$  is isomorphic to  $\pi_V^*(\mathcal{E}) \oplus \mathcal{O}_{V_{(n)}} \otimes_{\mathbb{R}} \mathbb{R}^n$ , where  $\mathcal{E}$  is the sheaf of sections of  $E$ . Define  $\hat{\lambda} : E_{(n)}|_{V_{(n)}} \rightarrow \mathcal{T}_{\phi_{*(n)} \circ \phi_{(n)*}} V_{(n)}$  to be the  $\mathcal{O}_{V_{(n)}}$ -module morphism identified under these isomorphisms with

$$\begin{pmatrix} 0 & 0 \\ 0 & \text{id} \end{pmatrix} : \pi_V^*(\mathcal{E}) \oplus \mathcal{O}_{V_{(n)}} \otimes_{\mathbb{R}} \mathbb{R}^n \longrightarrow \mathcal{T}_{\pi_V} V \oplus \mathcal{O}_{V_{(n)}} \otimes_{\mathbb{R}} \mathbb{R}^n.$$

We claim that  $\Lambda = [V_{(n)}, \hat{\lambda}] : \Phi_{*(n)} \circ \Phi_{(n)*} \Rightarrow \text{id}_{(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})}$  is a 2-morphism of m-Kuranishi neighbourhoods over  $\text{Im } \psi = \text{Im } \psi_{(n)}$ . By Definition 4.3 we must show that

$$\begin{aligned} \text{id}_V \times \text{id}_{\mathbb{R}^n} &= \text{id}_V \times 0 + \hat{\lambda} \circ s_{(n)} + O(s_{(n)}^2), \\ \begin{pmatrix} \text{id}_{\pi^*(E)} & 0 \\ 0 & \text{id}_{\mathbb{R}^n} \end{pmatrix} &= \begin{pmatrix} \text{id}_{\pi^*(E)} & \\ & 0 \end{pmatrix} \begin{pmatrix} \text{id}_{\pi^*(E)} & 0 \\ 0 & \text{id}_{\mathbb{R}^n} \end{pmatrix} \\ &+ (\text{id}_V \times 0)^*(\text{d}s_{(n)}) \circ \begin{pmatrix} 0 & 0 \\ 0 & \text{id}_{\mathbb{R}^n} \end{pmatrix} + O(s_{(n)}). \end{aligned} \tag{10.51}$$

To prove these we must use the formal definitions in §B.3–§B.5. Define  $w : E_{(n)} \rightarrow V_{(n)}$  to act by  $w : (v, \mathbf{y}, e, \mathbf{z}) \mapsto (v, \mathbf{z})$  on points. Then  $\hat{\lambda} = \theta_{E_{(n)}, w}$  in the notation of Definition B.32. Since

$$\begin{aligned} w \circ 0_{E_{(n)}}(v, \mathbf{y}) &= w(v, \mathbf{y}, 0, 0) = (v, 0) = (\text{id}_V \times 0)(v, \mathbf{y}), \\ w \circ s_{(n)}(v, \mathbf{y}) &= w(v, \mathbf{y}, s(v), \mathbf{y}) = (v, \mathbf{y}) = (\text{id}_V \times \text{id}_{\mathbb{R}^n})(v, \mathbf{y}), \end{aligned}$$



Definition B.36(vii) implies the first equation of (10.51). Choose a connection  $\nabla$  on  $E_{(n)} = \pi_V^*(E) \oplus \mathbb{R}^n$ , in the sense of §B.3.2, which is the sum of a connection on  $\pi_V^*(E)$  and the trivial connection on the trivial vector bundle  $\mathbb{R}^n$ . Then

$$(\text{id}_V \times 0)^*(\nabla s_{(n)}) = \begin{pmatrix} \nabla_V s & \nabla_{\mathbb{R}^n} s \\ 0 & \text{id} \end{pmatrix} : \mathcal{O}_{V_{(n)}} \otimes_{\mathbb{R}} \mathbb{R}^n \longrightarrow \pi_V^*(\mathcal{E}) \oplus \mathcal{O}_{V_{(n)}} \otimes_{\mathbb{R}} \mathbb{R}^n.$$

The second equation of (10.51) then follows from Definition B.36(vi) and matrix multiplication. Hence  $\Lambda : \Phi_{*(n)} \circ \Phi_{(n)*} \Rightarrow \text{id}_{(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})}$  is a 2-morphism over  $\text{Im } \psi$ . From the definitions we see that  $\Phi_{(n)*} \circ \Phi_{*(n)} = \text{id}_{(V, E, s, \psi)}$ , so  $\text{id}_{\text{id}_{(V, E, s, \psi)}} : \Phi_{(n)*} \circ \Phi_{*(n)} \Rightarrow \text{id}_{(V, E, s, \psi)}$  is a 2-morphism over  $\text{Im } \psi$ . Therefore  $\Phi_{*(n)}$  and  $\Phi_{(n)*}$  are equivalences in the 2-category  $\mathbf{m\check{K}N}_{\text{Im } \psi}(X)$ , and are coordinate changes over  $\text{Im } \psi = \text{Im } \psi_{(n)}$  by Definition 4.10.

Now let  $(V, E, s, \psi)$  be an m-Kuranishi neighbourhood on an m-Kuranishi space  $\mathbf{X}$  in  $\mathbf{m\check{K}ur}$ , as in §4.7, with implicit extra data  $\Phi_{*i}, i \in I, \Lambda_{*ij}, i, j \in I$ , using the notation of Definition 4.49. For  $n \geq 0$  and  $i, j \in I$  define

$$\begin{aligned} \Phi_{(n)i} &= \Phi_{*i} \circ \Phi_{(n)*} : (V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)}) \longrightarrow (V_i, E_i, s_i, \psi_i), \\ \Lambda_{(n)ij} &= \Lambda_{*ij} * \text{id}_{\Phi_{(n)*}} : \Phi_{ij} \circ \Phi_{(n)i} \Longrightarrow \Phi_{(n)j}. \end{aligned}$$

Then as  $\Phi_{(n)*}$  is a coordinate change we see that  $(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  is also an m-Kuranishi neighbourhood on  $\mathbf{X}$ , with extra data  $\Phi_{(n)i}, i \in I, \Lambda_{(n)ij}, i, j \in I$ . Furthermore, it is easy to see that  $\Phi_{*(n)} : (V, E, s, \psi) \rightarrow (V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  and  $\Phi_{(n)*} : (V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)}) \rightarrow (V, E, s, \psi)$  are coordinate changes on  $\mathbf{X}$  in the sense of Definition 4.51.

The next two propositions prove minimal m-Kuranishi neighbourhoods exist.

**Proposition 10.39.** *Suppose  $(V_i, E_i, s_i, \psi_i)$  is an m-Kuranishi neighbourhood on a topological space  $X$ , and  $x \in \text{Im } \psi_i \subseteq X$ . Then there exists an m-Kuranishi neighbourhood  $(V, E, s, \psi)$  on  $X$  which is minimal at  $x$ , with  $\text{Im } \psi \subseteq \text{Im } \psi_i \subseteq X$ , and a coordinate change  $\Phi_{*i} : (V, E, s, \psi) \rightarrow (V_i, E_i, s_i, \psi_i)$  over  $S = \text{Im } \psi$ .*

*Furthermore,  $(V_i, E_i, s_i, \psi_i)$  is strictly isomorphic to  $(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  near  $S$  in the sense of Definition 10.37, where  $n = \dim V_i - \dim V \geq 0$  and  $(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  is constructed from  $(V, E, s, \psi)$  as in Definition 10.38, and this strict isomorphism locally identifies  $\Phi_{*i} : (V, E, s, \psi) \rightarrow (V_i, E_i, s_i, \psi_i)$  with  $\Phi_{*(n)} : (V, E, s, \psi) \rightarrow (V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  in Definition 10.38 near  $S$ .*

*Proof.* Let  $v_i = \psi_i^{-1}(x) \in s_i^{-1}(0) \subseteq V_i$ . Then Definition 10.6 gives a linear map  $d_{v_i} s_i : T_{v_i} V_i \rightarrow E_i|_{v_i}$ . Define  $n$  to be the dimension of the image of  $d_{v_i} s_i$  and  $m = \text{rank } E_i - n$ , so that we may choose an isomorphism  $E_i|_{v_i} \cong \mathbb{R}^m \oplus \mathbb{R}^n$  with  $\text{Im } d_{v_i} s_i \cong \{0\} \oplus \mathbb{R}^n$ . Choose an open neighbourhood  $V'_i$  of  $v_i$  in  $V_i$  with  $E_i|_{V'_i}$  trivial, and choose a trivialization  $E_i|_{V'_i} \cong V'_i \times (\mathbb{R}^m \oplus \mathbb{R}^n)$  which restricts to the chosen isomorphism  $E_i|_{v_i} \cong \mathbb{R}^m \oplus \mathbb{R}^n$  at  $v_i$ . Then we may identify  $s_i|_{V'_i}$  with  $s_1 \oplus s_2$ , where  $s_1 : V'_i \rightarrow \mathbb{R}^m, s_2 : V'_i \rightarrow \mathbb{R}^n$  are morphisms in  $\mathbf{Man}$ , and  $d_{v_i} s_i : T_{v_i} V_i \rightarrow E_i|_{v_i} \cong \mathbb{R}^m \oplus \mathbb{R}^n$  is identified with  $T_{v_i} s_1 \oplus T_{v_i} s_2 : T_{v_i} V_i \rightarrow \mathbb{R}^m \oplus \mathbb{R}^n$ . Hence  $T_{v_i} s_1 = 0 : T_{v_i} V_i \rightarrow \mathbb{R}^m$ , and  $T_{v_i} s_2 : T_{v_i} V_i \rightarrow \mathbb{R}^n$  is surjective.

Apply Assumption 10.9 to  $s_2 : V'_i \rightarrow \mathbb{R}^n$  at  $v_i \in V'_i$ , noting that  $s_2$  is **A** by Assumption 10.1(a)(i). This gives open neighbourhoods  $U$  of  $v_i$  in  $V'_i$  and  $W$  of  $0$  in  $\mathbb{R}^n$ , an object  $V$  in **Man** with  $\dim V = \dim V'_i - n$ , and a diffeomorphism  $\chi : U \rightarrow V \times W$  identifying  $s_2|_U : U \rightarrow \mathbb{R}^n$  with  $\pi_W : V \times W \rightarrow W \subseteq \mathbb{R}^n$ .

We now have morphisms  $s_1 \circ \chi^{-1} : V \times W \rightarrow \mathbb{R}^m$  and  $s_2 \circ \chi^{-1} : V \times W \rightarrow \mathbb{R}^n$ , where  $0 \in W \subseteq \mathbb{R}^n$  is open, and  $s_2 \circ \chi^{-1}$  maps  $(v, \mathbf{w}) \mapsto \mathbf{w}$  for  $v \in V$  and  $\mathbf{w} = (w_1, \dots, w_n) \in W$ , since  $\chi$  identifies  $s_2|_U$  with  $\pi_W$ . Apply Assumption 3.5 to construct morphisms  $g_j : V \times W \rightarrow \mathbb{R}^m$  for  $j = 1, \dots, n$  such that

$$s_1 \circ \chi^{-1}(v, (w_1, \dots, w_n)) = s_1 \circ \chi^{-1}(v, (0, \dots, 0)) + \sum_{j=1}^n w_j \cdot g_j(v, (w_1, \dots, w_n))$$

for all  $v \in V$  and  $\mathbf{w} \in W$ . Here  $T_{v_i} s_1 = 0$  gives  $g_j \circ \chi(v_i) = 0$  for  $j = 1, \dots, n$ . Now we change the trivialization  $E_i|_U \cong U \times (\mathbb{R}^m \oplus \mathbb{R}^n)$  by composing with the vector bundle isomorphism  $U \times (\mathbb{R}^m \oplus \mathbb{R}^n) \rightarrow U \times (\mathbb{R}^m \oplus \mathbb{R}^n)$  acting by

$$(u, \mathbf{y}, \mathbf{z}) \mapsto (u, \mathbf{y} - z_1 \cdot g_1 \circ \chi(u) - \dots + z_n \cdot g_n \circ \chi(u), \mathbf{z}).$$

By definition of  $g_1, \dots, g_n$ , at the point  $u = \chi^{-1}(v, \mathbf{w})$  in  $U$ , this maps

$$s_1(u) \oplus s_2(u) = (s_1 \circ \chi^{-1})(v, \mathbf{w}) \oplus \mathbf{w} \mapsto (s_1 \circ \chi^{-1})(v, 0) \oplus \mathbf{w}.$$

That is, changing  $s_1, s_2$  along with the choice of trivialization, the effect is to leave  $s_2$  unchanged, with  $s_2 \circ \chi^{-1}(v, \mathbf{w}) = \mathbf{w}$ , but to replace  $s_1 \circ \chi^{-1}(v, \mathbf{w})$  by  $s_1 \circ \chi^{-1}(v, 0)$ , so that now  $s_1 \circ \chi^{-1}(v, \mathbf{w})$  is independent of  $\mathbf{w}$ . As  $g_j \circ \chi(v_i) = 0$ , this replacement preserves the condition  $d_{v_i} s_1 = 0$ . Write  $\hat{\chi} : E_i|_U \rightarrow U \times (\mathbb{R}^m \oplus \mathbb{R}^n)$  for the new choice of trivialization.

Define  $\pi : E \rightarrow V$  to be the trivial vector bundle  $\pi_V : V \times \mathbb{R}^m \rightarrow V$ , and define a section  $s \in \Gamma^\infty(E)$ , as a morphism  $s : V \rightarrow E$ , to be the composition

$$V \xrightarrow{(\text{id}_V, 0)} V \times W \xrightarrow{(\pi_V, \chi^{-1})} V \times U \xrightarrow{\text{id}_V \times s_1|_U} V \times \mathbb{R}^m \xlongequal{\quad} E.$$

Observe that the diffeomorphism  $\chi : U \rightarrow V \times W$  identifies  $U \cap s_i^{-1}(0)$  with  $(s_1 \circ \chi^{-1})^{-1}(0) \cap (s_2 \circ \chi^{-1})^{-1}(0) = (s_1 \circ \chi^{-1})^{-1}(0) \cap (V \times \{0\}) = s^{-1}(0) \times \{0\}$ .

Hence defining  $\psi : s^{-1}(0) \rightarrow X$  by  $\psi = \psi_i \circ \chi^{-1} \circ (\text{id}_{s^{-1}(0)}, 0)$ , we see that  $\psi$  is a homeomorphism from  $s^{-1}(0)$  to the open neighbourhood  $\psi_i(U \cap s_i^{-1}(0))$  of  $x$  in  $\text{Im } \psi_i$ . Therefore  $(V, E, s, \psi)$  is an m-Kuranishi neighbourhood on  $X$ , with  $x \in \text{Im } \psi \subseteq \text{Im } \psi_i$ . Also writing  $v = \psi^{-1}(x) \in V$ , then  $\chi(v_i) = (v, 0)$ , so  $d_v : T_v V \rightarrow E|_v$  is identified with the restriction of  $T_{v_i} s_1 : T_{v_i} V_i \rightarrow \mathbb{R}^m$  to the subspace  $T_v(\chi^{-1})[T_v V \oplus 0] \subseteq T_{v_i} V_i$ . But  $T_{v_i} s_1 = 0$ , so  $d_v s = 0$ , and  $(V, E, s, \psi)$  is minimal at  $x$ , as we have to prove.

Define a morphism  $\phi_{*i} : V \rightarrow V_i$  and a vector bundle morphism  $\hat{\phi}_{*i} : E \rightarrow \phi_{*i}^*(E_i)$  by the commutative diagrams

$$\begin{array}{ccc} V & \xrightarrow{\quad \phi_{*i} \quad} & V_i \\ \downarrow \text{id}_V \times 0 & & \uparrow \\ V \times W & \xrightarrow{\quad \chi^{-1} \quad} & U, \end{array} \quad \begin{array}{ccc} E & \xrightarrow{\quad \hat{\phi}_{*i} \quad} & \phi_{*i}^*(E_i) \\ \parallel & & \cong \uparrow \\ V \times \mathbb{R}^m & \xrightarrow{\text{id}_V \times \mathbb{R}^m \times 0} & V \times \mathbb{R}^m \times \mathbb{R}^n. \end{array}$$

Then  $\Phi_{*i} = (V, \phi_{*i}, \hat{\phi}_{*i})$  is a 1-morphism of m-Kuranishi neighbourhoods  $\Phi_{*i} : (V, E, s, \psi) \rightarrow (V_i, E_i, s_i, \psi_i)$  over  $S = \text{Im } \psi$ , where Definition 4.2(d) holds as  $\hat{\phi}_{*i}(s|_{V_{*i}}) = \phi_{*i}^*(s_i)$ .

As  $U \subseteq V_i$  is open,  $(U, E_i|_U, s_i|_U, \psi_i|_U)$  is an m-Kuranishi neighbourhood on  $X$ . Also Definition 10.38 constructs  $(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  from  $(V, E, s, \psi)$ ,  $n$  with  $V_{(n)} = V \times \mathbb{R}^n$ , so  $V \times W \subseteq V_{(n)}$  is open, and we have an m-Kuranishi neighbourhood  $(V \times W, E_{(n)}|_{V \times W}, s_{(n)}|_{V \times W}, \psi_{(n)}|_{V \times W})$  on  $X$ . From above we have isomorphisms  $\chi : U \rightarrow V \times W$  and  $\hat{\chi} : E_i|_U \rightarrow U \times \mathbb{R}^m \times \mathbb{R}^n = \chi^*(E_{(n)})$ , since  $E_{(n)} = V \times W \times \mathbb{R}^m \times \mathbb{R}^n$ . We claim that

$$(\chi, \hat{\chi}) : (U, E_i|_U, s_i|_U, \psi_i|_U) \longrightarrow (V \times W, E_{(n)}|_{V \times W}, s_{(n)}|_{V \times W}, \psi_{(n)}|_{V \times W})$$

is a strict isomorphism. Here Definition 10.37(a),(b),(d) are immediate from the definitions, and (c) follows from  $s_1 \circ \chi^{-1}(v, \mathbf{w}) = s_1 \circ \chi^{-1}(v, 0) = s(v)$  and  $s_2 \circ \chi^{-1}(v, \mathbf{w}) = \mathbf{w} = \text{id}_{\mathbb{R}^n}(\mathbf{w})$  above, and the definition of  $s_{(n)}$ . Thus  $(V_i, E_i, s_i, \psi_i)$  is strictly isomorphic to  $(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  near  $S = \text{Im } \psi$ .

From the definitions we see that  $\phi_{*(n)} = \chi \circ \phi_{*i}$  and  $\hat{\phi}_{*(n)} = \hat{\chi} \circ \hat{\phi}_{*i}$ , so  $(\chi, \hat{\chi})$  locally identifies  $\Phi_{*i}$  with  $\Phi_{*(n)}$ . By Definition 10.38,  $\Phi_{*(n)}$  is a coordinate change, so  $\Phi_{*i}$  is also a coordinate change. This completes the proof.  $\square$

**Proposition 10.40.** *Suppose  $\mathbf{X}$  is an m-Kuranishi space in  $\mathbf{m}\hat{\mathbf{K}}\mathbf{ur}$  and  $x \in \mathbf{X}$ . Then there exists an m-Kuranishi neighbourhood  $(V, E, s, \psi)$  on  $\mathbf{X}$ , in the sense of §4.7, which is minimal at  $x$ .*

*Proof.* Write  $\mathbf{X} = (X, \mathcal{K})$  with  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ . Then there exists  $h \in I$  with  $x \in \text{Im } \psi_h$ . Proposition 10.39 constructs an m-Kuranishi neighbourhood  $(V, E, s, \psi)$  on the topological space  $X$  minimal at  $x$  with  $x \in \text{Im } \psi \subseteq \text{Im } \psi_h \subseteq X$  and a coordinate change  $\Phi'_{*h} : (V, E, s, \psi) \rightarrow (V_h, E_h, s_h, \psi_h)$ . For all  $i \in I$  set  $\Phi_{*i} = \Phi_{hi} \circ \Phi'_{*h} : (V, E, s, \psi) \rightarrow (V_i, E_i, s_i, \psi_i)$ , and for all  $i, j \in I$  define

$$\Lambda_{*ij} = \Lambda_{hij} * \text{id}_{\Phi'_{*h}} : \Phi_{ij} \circ \Phi_{*i} = \Phi_{ij} \circ \Phi_{hi} \circ \Phi'_{*h} \implies \Phi_{hj} \circ \Phi'_{*h} = \Phi_{*j}.$$

Then  $(V, E, s, \psi)$  plus the data  $\Phi_{*i}, \Lambda_{*ij}$  is an m-Kuranishi neighbourhood on the m-Kuranishi space  $\mathbf{X}$  in the sense of Definition 4.49, since applying  $- * \text{id}_{\Phi'_{*h}}$  to (4.4) for  $\mathcal{K}$  implies (4.57) for the  $\Phi_{*i}, \Lambda_{*ij}$ .  $\square$

**Remark 10.41.** Definition 10.35 involves a choice of notion of tangent space  $T_v V$  for  $V$  in  $\mathbf{Man}$  in Assumption 10.1. As in Example 10.2, one category  $\mathbf{Man}$  can admit several different notions of tangent space, for example if  $\mathbf{Man}$  is  $\mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}$  or  $\mathbf{Man}^{c, \text{ac}}$  then both b-tangent spaces  ${}^b T_v V$  and stratum tangent spaces  $\tilde{T}_v V$  satisfy Assumptions 10.1 and 10.9.

Combining Lemma 10.36 and Proposition 10.40 we see that an m-Kuranishi neighbourhood  $(V, E, s, \psi)$  on  $\mathbf{X}$  with  $x \in \text{Im } \psi$  is minimal at  $x$  if and only if  $\dim V \leq \dim V'$  for all m-Kuranishi neighbourhoods  $(V', E', s', \psi')$  on  $\mathbf{X}$  with  $x \in \text{Im } \psi'$ . This characterization does not involve tangent spaces. Thus, whether or not  $(V, E, s, \psi)$  is minimal at  $x$  is *independent of the notion of tangent space*  ${}^b T_v V, \tilde{T}_v V, \dots$  used to define minimality, as long as there exists at least one notion of tangent space for  $\mathbf{Man}$  satisfying Assumptions 10.1 and 10.9.

### 10.4.2 Isomorphism of minimal m-Kuranishi neighbourhoods

In this section we also suppose Assumption 10.11, which was not needed in §10.4.1. We show that any two m-Kuranishi neighbourhoods minimal at  $x \in X$  are strictly isomorphic near  $x$ , in the sense of Definition 10.37.

**Proposition 10.42.** *Let  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  be m-Kuranishi neighbourhoods on  $X$  which are both minimal at  $x \in \text{Im } \psi_i \cap \text{Im } \psi_j \subseteq X$ , and  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be a coordinate change over  $x \in S \subseteq \text{Im } \psi_i \cap \text{Im } \psi_j$ . Then there exist open neighbourhoods  $U_i$  of  $v_i = \psi_i^{-1}(x)$  in  $V_{ij} \subseteq V_i$  and  $U_j$  of  $v_j = \psi_j^{-1}(x)$  in  $V_j$  such that  $\phi_{ij}|_{U_i} : U_i \rightarrow U_j$  is a diffeomorphism, and  $\hat{\phi}_{ij}|_{U_i} : E_i|_{U_i} \rightarrow \phi_{ij}^*(E_j)|_{U_i}$  is an isomorphism.*

Furthermore there exists an isomorphism  $\hat{\phi}'_{ij} : E_i|_{U_i} \rightarrow \phi_{ij}^*(E_j)|_{U_i}$  with  $\hat{\phi}'_{ij} = \hat{\phi}_{ij}|_{U_i} + O(s_i)$  and  $\hat{\phi}'_{ij}(s_i|_{U_i}) = \phi_{ij}^*(s_j)|_{U_i}$ , so that

$$(\phi_{ij}|_{U_i}, \hat{\phi}'_{ij}) : (U_i, E_i|_{U_i}, s_i|_{U_i}, \psi_i|_{U_i}) \longrightarrow (U_j, E_j|_{U_j}, s_j|_{U_j}, \psi_j|_{U_j})$$

is a strict isomorphism of m-Kuranishi neighbourhoods over  $T = \psi_i(U_i \cap s_i^{-1}(0))$ . Also  $[U_i, 0] : \Phi_{ij} \Rightarrow \Phi'_{ij} = (U_i, \phi_{ij}|_{U_i}, \hat{\phi}'_{ij})$  is a 2-morphism over  $T$ .

*Proof.* As in Definition 10.21 we have a commutative diagram (10.21) with exact rows, where  $\kappa_{\Phi_{ij}}^x, \gamma_{\Phi_{ij}}^x$  are isomorphisms as  $\Phi_{ij}$  is a coordinate change. But  $d_{v_i} s_i = d_{v_j} s_j = 0$  as  $(V_i, E_i, s_i, \psi_i), (V_j, E_j, s_j, \psi_j)$  are minimal at  $x$ . Hence (10.21) implies that  $T_{v_i} \phi_{ij} : T_{v_i} V_i \rightarrow T_{v_j} V_j$  and  $\hat{\phi}_{ij}|_{v_i} : E_i|_{v_i} \rightarrow E_j|_{v_j}$  are both isomorphisms. Also  $\phi_{ij}$  is  $\mathbf{B}$  near  $v_i$  by Proposition 4.34(d), for  $\mathbf{B}$  the discrete property in Assumption 10.11. Hence as  $T_{v_i} \phi_{ij}$  is an isomorphism, by Assumption 10.11 there exist open neighbourhoods  $U_i$  of  $v_i$  in  $V_{ij}$  and  $U_j$  of  $v_j$  in  $V_j$  such that  $\phi_{ij}|_{U_i} : U_i \rightarrow U_j$  is a diffeomorphism in  $\mathbf{Man}$ . Since  $\hat{\phi}_{ij}|_{v_i} : E_i|_{v_i} \rightarrow E_j|_{v_j}$  is an isomorphism,  $\hat{\phi}_{ij}$  is an isomorphism near  $v_i$ , so making  $U_i, U_j$  smaller we can suppose  $\hat{\phi}_{ij}|_{U_i} : E_i|_{U_i} \rightarrow \phi_{ij}^*(E_j)|_{U_i}$  is an isomorphism.

We have  $\hat{\phi}_{ij}(s_i|_{U_i}) = \phi_{ij}^*(s_j)|_{U_i} + O(s_i^2)$  by Definition 4.2(d), so by Definition 3.15(i) there exists  $\alpha \in \Gamma^\infty(E_i^* \otimes E_i^* \otimes \phi_{ij}^*(E_j)|_{U_i})$  such that

$$\hat{\phi}_{ij}(s_i|_{U_i}) = \phi_{ij}^*(s_j)|_{U_i} + \alpha \cdot (s_i|_{U_i} \otimes s_i|_{U_i}).$$

Define a vector bundle morphism  $\hat{\phi}'_{ij} : E_i|_{U_i} \rightarrow \phi_{ij}^*(E_j)|_{U_i}$  by

$$\hat{\phi}'_{ij}(e_i) = \hat{\phi}_{ij}|_{U_i}(e_i) - \alpha \cdot (e_i \otimes s_i|_{U_i})$$

for  $e_i \in \Gamma^\infty(E_i|_{U_i})$ . Clearly we have  $\hat{\phi}'_{ij} = \hat{\phi}_{ij}|_{U_i} + O(s_i)$  and  $\hat{\phi}'_{ij}(s_i|_{U_i}) = \phi_{ij}^*(s_j)|_{U_i}$ , as in the proposition. Also  $\hat{\phi}'_{ij}|_{v_i} = \hat{\phi}_{ij}|_{v_i}$  as  $s_i|_{v_i} = 0$ , and  $\hat{\phi}_{ij}|_{v_i}$  is an isomorphism, so  $\hat{\phi}'_{ij}$  is an isomorphism near  $v_i$ , and making  $U_i, U_j$  smaller we can suppose  $\hat{\phi}'_{ij}$  is an isomorphism. The rest of the proposition is immediate.  $\square$

Combining Proposition 10.42 with the material of §4.7 yields:

**Proposition 10.43.** *Let  $\mathbf{X}$  be an  $m$ -Kuranishi space and  $(V_a, E_a, s_a, \psi_a), (V_b, E_b, s_b, \psi_b)$  be  $m$ -Kuranishi neighbourhoods on  $\mathbf{X}$  in the sense of §4.7 which are minimal at  $x \in \mathbf{X}$  (these exist for any  $x \in \mathbf{X}$  by Proposition 10.40). Theorem 4.56(a) gives a coordinate change  $\Phi_{ab} = (V_{ab}, \phi_{ab}, \hat{\phi}_{ab}) : (V_a, E_a, s_a, \psi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  on  $\text{Im } \psi_a \cap \text{Im } \psi_b$ , canonical up to 2-isomorphism.*

*Then for small open neighbourhoods  $U_a$  of  $\psi_a^{-1}(x)$  in  $V_{ab} \subseteq V_a$  and  $U_b$  of  $\psi_b^{-1}(x)$  in  $V_b$ , we may choose  $\Phi_{ab}$  such that*

$$(\phi_{ab}|_{U_a}, \hat{\phi}_{ab}|_{U_a}) : (U_a, E_a|_{U_a}, s_a|_{U_a}, \psi_a|_{U_a}) \longrightarrow (U_b, E_b|_{U_b}, s_b|_{U_b}, \psi_b|_{U_b})$$

*is a strict isomorphism of  $m$ -Kuranishi neighbourhoods on  $\mathbf{X}$ .*

$m$ -Kuranishi neighbourhoods  $(V_a, E_a, s_a, \psi_a)$  on  $\mathbf{X}$  are classified up to strict isomorphism near  $x$  by  $n = \dim V_a - \text{vdim } \mathbf{X} - \dim O_x \mathbf{X} \in \mathbb{N}$ .

**Theorem 10.44.** *Let  $\mathbf{X}$  be an  $m$ -Kuranishi space in  $\mathbf{mKur}$ , and  $x \in \mathbf{X}$ , and  $(V, E, s, \psi)$  be an  $m$ -Kuranishi neighbourhood on  $\mathbf{X}$  minimal at  $x \in \mathbf{X}$ , which exists by Proposition 10.40. Suppose  $(V_a, E_a, s_a, \psi_a)$  is any other  $m$ -Kuranishi neighbourhood on  $\mathbf{X}$  with  $x \in \text{Im } \psi_a$ . Then  $(V_a, E_a, s_a, \psi_a)$  is strictly isomorphic to  $(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  near  $x$  in the sense of Definition 10.37, where*

$$n = \dim V_a - \dim V = \dim V_a - \text{vdim } \mathbf{X} - \dim O_x \mathbf{X} \geq 0, \quad (10.52)$$

*and  $(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  is the  $m$ -Kuranishi neighbourhood on  $\mathbf{X}$  constructed from  $(V, E, s, \psi)$ ,  $n$  in Definition 10.38.*

*Proof.* Let  $\mathbf{X}, x, (V, E, s, \psi), (V_a, E_a, s_a, \psi_a)$  be as in the theorem. Starting from  $(V_a, E_a, s_a, \psi_a)$ , Propositions 10.39 and 10.40 construct an  $m$ -Kuranishi neighbourhood  $(V', E', s', \psi')$  on  $X$  or  $\mathbf{X}$  which is minimal at  $x$ , such that  $(V'_{(n)}, E'_{(n)}, s'_{(n)}, \psi'_{(n)})$  is strictly isomorphic to  $(V_a, E_a, s_a, \psi_a)$  near  $x$ , by a strict isomorphism  $\Psi$  say, for  $(V'_{(n)}, E'_{(n)}, s'_{(n)}, \psi'_{(n)})$  constructed from  $(V', E', s', \psi')$  and  $n = \dim V_a - \dim V' \geq 0$  in Definition 10.38. Then Proposition 10.43 shows that  $(V, E, s, \psi), (V', E', s', \psi')$  are strictly isomorphic near  $x$ , by a strict isomorphism  $\Xi$  say, so  $\dim V = \dim V'$ , and (10.52) follows from (10.50).

Now consider the following diagram of coordinate changes of  $m$ -Kuranishi neighbourhoods on  $\mathbf{X}$ , defined near  $x$ , in the sense of Definition 4.51:

$$\begin{array}{ccccc} (V, E, s, \psi) & \xleftarrow{\Phi_{(n)*}} & (V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)}) & \xrightarrow{\Psi \circ \Xi_{(n)}} & (V_a, E_a, s_a, \psi_a) \\ \cong \downarrow \Xi & & \Phi'_{*(n)} \circ \Xi \circ \Phi_{(n)*} \downarrow \Rightarrow \cong \downarrow \Xi_{(n)} & & \\ (V', E', s', \psi') & \xrightarrow{\Phi'_{*(n)}} & (V'_{(n)}, E'_{(n)}, s'_{(n)}, \psi'_{(n)}) & \xrightarrow[\cong]{\Psi} & (V_a, E_a, s_a, \psi_a). \end{array}$$

Here arrows marked ' $\cong$ ' are strict isomorphisms. The arrows ' $\rightarrow$ ' exist from above and by Definition 10.38. Thus  $\Phi'_{*(n)} \circ \Xi \circ \Phi_{(n)*}$  exists as a coordinate change on  $\mathbf{X}$ , by composition of coordinate changes in Definition 4.51.

Clearly  $\Xi$  induces a strict isomorphism  $\Xi_{(n)} : (V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)}) \rightarrow (V'_{(n)}, E'_{(n)}, s'_{(n)}, \psi'_{(n)})$  near  $x$ , initially just as a coordinate change on  $X$ , not on

$\mathbf{X}$ . However, there is a 2-morphism  $\Phi'_{*(n)} \circ \Xi \circ \Phi_{(n)*} \Rightarrow \Xi_{(n)}$ , constructed as for  $\Lambda : \Phi_{*(n)} \circ \Phi_{(n)*} \Rightarrow \text{id}_{(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})}$  in Definition 10.38. Therefore  $\Xi_{(n)}$  is a coordinate change on  $\mathbf{X}$ , as  $\Phi'_{*(n)} \circ \Xi \circ \Phi_{(n)*}$  is. Thus  $\Psi \circ \Xi_{(n)} : (V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)}) \rightarrow (V_a, E_a, s_a, \psi_a)$  is a strict isomorphism of m-Kuranishi neighbourhoods on  $\mathbf{X}$  near  $x$ , as required.  $\square$

As in Example 4.30, we say that an m-Kuranishi space  $\mathbf{X}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  is a manifold if  $\mathbf{X} \simeq F_{\mathbf{Man}}^{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}(\tilde{X})$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  for some  $\tilde{X} \in \mathbf{Man}$ . We use Proposition 10.40 to give a criterion for this.

**Theorem 10.45.** *An m-Kuranishi space  $\mathbf{X}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  is a manifold, in the sense of Example 4.30, if and only if  $O_x\mathbf{X} = 0$  for all  $x \in \mathbf{X}$ .*

*Proof.* The ‘only if’ part is obvious. For the ‘if’ part, suppose  $\mathbf{X} = (X, \mathcal{K})$  lies in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  with  $O_x\mathbf{X} = 0$  for all  $x \in X$ . By Proposition 10.40, for each  $x \in X$  we can choose an m-Kuranishi neighbourhood  $(V_x, E_x, s_x, \psi_x)$  on  $\mathbf{X}$ , as in §4.7, such that  $x \in \text{Im } \psi_x$  and  $(V_x, E_x, s_x, \psi_x)$  is minimal at  $x$ . But then  $\text{rank } E_x = \dim O_x\mathbf{X} = 0$  by Lemma 10.36, so  $E_x = s_x = 0$ . As the  $\{\text{Im } \psi_x : x \in X\}$  cover  $\mathbf{X}$ , Theorem 4.58 constructs  $\mathbf{X}' = (X, \mathcal{K}')$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  with  $\mathcal{K}' = (X, (V_x, E_x, s_x, \psi_x)_{x \in X}, \Phi_{xy}, x, y \in X, \Lambda_{xyz}, x, y, z \in X)$  and  $\mathbf{X} \simeq \mathbf{X}'$ .

Since  $E_x = s_x = 0$  for all  $x \in X$ , following the proof of Proposition 6.63 we can construct an object  $\tilde{X}$  in  $\mathbf{Man}$  with topological space  $\tilde{X} = X$  such that  $F_{\mathbf{Man}}^{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}(\tilde{X}) \simeq \mathbf{X}'$ , so that  $F_{\mathbf{Man}}^{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}(\tilde{X}) \simeq \mathbf{X}$ , and  $\mathbf{X}$  is a manifold.  $\square$

All the results of §10.4.1–§10.4.2 apply in any 2-category  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  constructed from a category  $\mathbf{Man}$  satisfying Assumptions 3.1–3.7, 10.1, 10.9 and 10.11. By Examples 10.2, 10.10 and 10.12 and Definition 4.29, this includes the 2-categories

$$\mathbf{mKur}, \mathbf{mKur}^c, \mathbf{mKur}^{\text{gc}}, \mathbf{mKur}^{\text{ac}}, \mathbf{mKur}^{c,\text{ac}}. \quad (10.53)$$

### 10.4.3 Extension to $\mu$ -Kuranishi spaces

All of §10.4.1–§10.4.2 extends essentially immediately to  $\mu$ -Kuranishi spaces. As in §5.2,  $\mu$ -Kuranishi neighbourhoods are the same as m-Kuranishi neighbourhoods, and we call a  $\mu$ -Kuranishi neighbourhood  $(V, E, s, \psi)$  on a topological space  $X$  (or on a  $\mu$ -Kuranishi space  $\mathbf{X}$ ) *minimal at*  $x \in X$  if it is minimal at  $x$  as an m-Kuranishi neighbourhood. We leave the details to the reader.

### 10.4.4 Extension to Kuranishi spaces

Next we extend §10.4.1–§10.4.2 from m-Kuranishi spaces to Kuranishi spaces, by including finite groups  $\Gamma$  and isotropy groups  $G_x\mathbf{X}$  throughout.

Here are the analogues of Definitions 10.35, 10.37 and 10.38.

**Definition 10.46.** Let  $(V, E, \Gamma, s, \psi)$  be a Kuranishi neighbourhood on a topological space  $X$  as in §6.1, and  $x \in \text{Im } \psi$ . We call  $(V, E, \Gamma, s, \psi)$  *minimal at*  $x$  if

- (a)  $\bar{\psi}^{-1}(x)$  is a single point  $\{v\}$  in  $V$ , and
- (b)  $d_v s = 0$ , where  $v$  is as in (a) and  $d_v s : T_v V \rightarrow E|_v$  as in Definition 10.6.

Here  $\bar{\psi}^{-1}(x)$  is a  $\Gamma$ -orbit in  $s^{-1}(0) \subseteq V$ , so (a) implies that  $v$  is fixed by  $\Gamma$ .

Similarly, let  $\mathbf{X} = (X, \mathcal{K})$  be a Kuranishi space in  $\mathbf{Kur}$ , and  $(V, E, \Gamma, s, \psi)$  be a Kuranishi neighbourhood on  $\mathbf{X}$  in the sense of §6.4, and  $x \in \text{Im } \psi \subseteq X$  with  $v = \bar{\psi}^{-1}(x)$ . Again we call  $(V, E, \Gamma, s, \psi)$  *minimal at  $x$*  if (a),(b) hold. Then (a) implies that  $G_x \mathbf{X} \cong \Gamma$ , for  $G_x \mathbf{X}$  the isotropy group of  $\mathbf{X}$  from §6.5.

**Definition 10.47.** Let  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be a coordinate change of Kuranishi neighbourhoods on a topological space  $X$ . A *strict isomorphism*  $(\sigma_{ij}, \varphi_{ij}, \hat{\varphi}_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  satisfies:

- (a)  $\sigma_{ij} : \Gamma_i \rightarrow \Gamma_j$  is an isomorphism of finite groups.
- (b)  $\varphi_{ij} : V_i \rightarrow V_j$  is a  $\sigma_{ij}$ -equivariant diffeomorphism in  $\mathbf{Man}$ .
- (c)  $\hat{\varphi}_{ij} : E_i \rightarrow \phi_{ij}^*(E_j)$  is a  $\sigma_{ij}$ -equivariant vector bundle isomorphism on  $V_i$ .
- (d)  $\hat{\varphi}_{ij}(s_i) = \varphi_{ij}^*(s_j)$  in  $\Gamma^\infty(\varphi_{ij}^*(E_j))$ .
- (e)  $\bar{\psi}_i = \bar{\psi}_j \circ \varphi_{ij}|_{s_i^{-1}(0)} : s_i^{-1}(0) \rightarrow X$ , where  $\varphi_{ij}(s_i^{-1}(0)) = s_j^{-1}(0)$  by (b)–(d).

Given a strict isomorphism  $(\sigma_{ij}, \varphi_{ij}, \hat{\varphi}_{ij})$ , we will define a coordinate change  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  over  $\text{Im } \psi_i = \text{Im } \psi_j$ . Set  $P_{ij} = V_i \times \Gamma_j$ , where  $\Gamma_i \times \Gamma_j$  acts on  $P_{ij}$  by  $(\gamma_i, \gamma_j) : (v_i, \delta_j) \mapsto (\gamma_i \cdot v_i, \gamma_j \delta_j \sigma_{ij}(\gamma_i)^{-1})$ . Define  $\pi_{ij} : P_{ij} \rightarrow V_i$  by  $\pi_{ij} : (v_i, \delta_j) \mapsto v_i$  and  $\phi_{ij} : P_{ij} \rightarrow V_j$  by  $\phi_{ij} : (v_i, \delta_j) \mapsto \delta_j \cdot \varphi_{ij}(v_i)$ . Then  $\pi_{ij}$  is  $\Gamma_i$ -equivariant and  $\Gamma_j$ -invariant, and is a  $\Gamma_j$ -principal bundle, and  $\phi_{ij}$  is  $\Gamma_i$ -invariant and  $\Gamma_j$ -equivariant.

At  $(v_i, \delta_j) \in P_{ij}$ , the morphism  $\hat{\phi}_{ij} : \pi_{ij}^*(E_i) \rightarrow \phi_{ij}^*(E_j)$  must map  $E_i|_{v_i} \rightarrow E_j|_{\delta_j \cdot \varphi_{ij}(v_i)}$ . Let  $\hat{\phi}_{ij}|_{(v_i, \delta_j)}$  be the composition of  $\hat{\varphi}_{ij}|_{v_i} : E_i|_{v_i} \rightarrow E_j|_{\varphi_{ij}(v_i)}$  with the action of  $\delta_j : E_j|_{\varphi_{ij}(v_i)} \rightarrow E_j|_{\delta_j \cdot \varphi_{ij}(v_i)}$ . This defines  $\hat{\phi}_{ij}$ . It is now easy to show that  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  is a 1-morphism  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  over  $\text{Im } \psi_i$ . Using the inverse of  $(\sigma_{ij}, \varphi_{ij}, \hat{\varphi}_{ij})$  we construct a quasi-inverse  $\Phi_{ji}$  for  $\Phi_{ij}$  in the same way, so that  $\Phi_{ij}$  is a coordinate change.

If instead  $(V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)$  are Kuranishi neighbourhoods on a Kuranishi space  $\mathbf{X}$ , we define strict isomorphisms as above, except that we also require  $\Phi_{ij}$  above to be one of the possible choices in Theorem 6.45(a).

We call Kuranishi neighbourhoods  $(V_i, E_i, \Gamma_i, s_i, \psi_i), (V_j, E_j, \Gamma_j, s_j, \psi_j)$  on  $X$  or  $\mathbf{X}$  *strictly isomorphic near  $S \subseteq \text{Im } \psi_i \cap \text{Im } \psi_j \subseteq X$*  if there exist  $\Gamma_i$ - and  $\Gamma_j$ -invariant open neighbourhoods  $U_i$  of  $\bar{\psi}_i^{-1}(S)$  in  $V_i$  and  $U_j$  of  $\bar{\psi}_j^{-1}(S)$  in  $V_j$ , and a strict isomorphism

$$(\sigma_{ij}, \varphi_{ij}, \hat{\varphi}_{ij}) : (U_i, E_i|_{U_i}, \Gamma_i, s_i|_{U_i}, \psi_i|_{U_i}) \longrightarrow (U_j, E_j|_{U_j}, \Gamma_j, s_j|_{U_j}, \psi_j|_{U_j}).$$

**Definition 10.48.** Let  $(V, E, \Gamma, s, \psi)$  be a Kuranishi neighbourhood on a topological space  $X$ . Suppose we are given a finite group  $\Delta$ , an injective morphism

$\iota : \Gamma \hookrightarrow \Delta$ , and a representation  $\rho$  of  $\Gamma$  on  $\mathbb{R}^n$  for some  $n = 0, 1, \dots$ . We will define a Kuranishi neighbourhood  $(V_{(n),\rho}^{\Delta,\iota}, E_{(n),\rho}^{\Delta,\iota}, \Delta, s_{(n),\rho}^{\Delta,\iota}, \psi_{(n),\rho}^{\Delta,\iota})$  on  $X$ .

Define  $V_{(n),\rho}^{\Delta,\iota} = (V \times \mathbb{R}^n \times \Delta)/\Gamma$ , where  $\Gamma$  acts on  $V \times \mathbb{R}^n \times \Delta$  by

$$\gamma : (v, \mathbf{y}, \delta) \mapsto (\gamma \cdot v, \rho(\gamma)\mathbf{y}, \delta \cdot \iota(\gamma)^{-1}).$$

As the  $\Gamma$ -action is free and  $\Gamma$  is finite we can show using Assumptions 3.2(e) and 3.3(b) that the quotient  $(V \times \mathbb{R}^n \times \Delta)/\Gamma$  exists in **Man**. Let  $\Delta$  act on  $V_{(n),\rho}^{\Delta,\iota}$  by

$$\delta' : (v, \mathbf{y}, \delta)\Gamma \mapsto (v, \mathbf{y}, \delta' \cdot \delta)\Gamma.$$

Define  $E_{(n),\rho}^{\Delta,\iota} = (E \times \mathbb{R}^n \times \mathbb{R}^n \times \Delta)/\Gamma$ , where  $\Gamma$  acts on  $E \times \mathbb{R}^n \times \mathbb{R}^n \times \Delta$  by

$$\gamma : ((v, e), \mathbf{y}, \mathbf{z}, \delta) \mapsto (\gamma \cdot (v, e), \rho(\gamma)\mathbf{y}, \rho(\gamma)\mathbf{z}, \delta \cdot \iota(\gamma)^{-1}).$$

Here we write points of  $E$  as  $(v, e)$  for  $v \in V$  and  $e \in E|_v$ . The projection  $\pi : E_{(n),\rho}^{\Delta,\iota} \rightarrow V_{(n),\rho}^{\Delta,\iota}$  making  $E_{(n),\rho}^{\Delta,\iota}$  into a vector bundle acts by

$$\pi : ((v, e), \mathbf{y}, \mathbf{z}, \delta)\Gamma \mapsto (v, \mathbf{y}, \delta)\Gamma,$$

so that the fibre  $E_{(n),\rho}^{\Delta,\iota}|_{(v,\mathbf{y},\delta)}$  is  $E|_v \oplus \mathbb{R}^n \ni (e, \mathbf{z})$ . Let  $\Delta$  act on  $E_{(n),\rho}^{\Delta,\iota}$  by

$$\delta' : ((v, e), \mathbf{y}, \mathbf{z}, \delta)\Gamma \mapsto ((v, e), \mathbf{y}, \mathbf{z}, \delta' \cdot \delta)\Gamma.$$

Then  $\pi$  is  $\Delta$ -equivariant. Define  $s_{(n),\rho}^{\Delta,\iota} : V_{(n),\rho}^{\Delta,\iota} \rightarrow E_{(n),\rho}^{\Delta,\iota}$  by

$$s_{(n),\rho}^{\Delta,\iota} : (v, \mathbf{y}, \delta)\Gamma \mapsto ((v, s(v)), \mathbf{y}, \mathbf{y}, \delta)\Gamma,$$

where we write the action of  $s : V \rightarrow E$  on points as  $s : v \mapsto (v, s(v))$ . Then  $s_{(n),\rho}^{\Delta,\iota} \in \Gamma^\infty(E_{(n),\rho}^{\Delta,\iota})$  is  $\Delta$ -equivariant. We have

$$(s_{(n),\rho}^{\Delta,\iota})^{-1}(0) = \{(v, \mathbf{y}, \delta)\Gamma \in V_{(n),\rho}^{\Delta,\iota} : s(v) = \mathbf{y} = 0\} = (s^{-1}(0) \times \{0\} \times \Delta)/\Gamma.$$

Hence we have a homeomorphism

$$I : (s_{(n),\rho}^{\Delta,\iota})^{-1}(0)/\Delta = [(s^{-1}(0) \times \{0\} \times \Delta)/\Gamma]/\Delta \longrightarrow s^{-1}(0)/\Gamma$$

mapping  $I : [(v, 0, \delta)\Gamma]\Delta \mapsto v\Gamma$ . Define  $\psi_{(n),\rho}^{\Delta,\iota} = \psi \circ I : (s_{(n),\rho}^{\Delta,\iota})^{-1}(0)/\Delta \rightarrow X$ .

Then  $\psi_{(n),\rho}^{\Delta,\iota}$  is a homeomorphism with the open set  $\text{Im } \psi_{(n),\rho}^{\Delta,\iota} = \text{Im } \psi \subseteq X$ . Thus  $(V_{(n),\rho}^{\Delta,\iota}, E_{(n),\rho}^{\Delta,\iota}, \Delta, s_{(n),\rho}^{\Delta,\iota}, \psi_{(n),\rho}^{\Delta,\iota})$  is a Kuranishi neighbourhood on  $X$ .

Define a 1-morphism of Kuranishi neighbourhoods on  $X$  over  $\text{Im } \psi$

$$\Phi_{*(n)} = (P_{*(n)}, \pi_{*(n)}, \phi_{*(n)}, \hat{\phi}_{*(n)}) : (V, E, \Gamma, s, \psi) \longrightarrow (V_{(n),\rho}^{\Delta,\iota}, \dots, \psi_{(n),\rho}^{\Delta,\iota})$$



by  $P_{*(n)} = V \times \Delta$  with  $\Gamma \times \Delta$ -action  $(\gamma, \delta') : (v, \delta) \mapsto (\gamma \cdot v, \delta' \cdot \delta \cdot \iota(\gamma)^{-1})$ , and morphisms  $\pi_{*(n)} : P_{*(n)} \rightarrow V$ ,  $\phi_{*(n)} : P_{*(n)} \rightarrow V_{(n),\rho}^{\Delta,\iota}$ ,  $\hat{\phi}_{*(n)} : \pi_{*(n)}^*(E) \rightarrow \phi_{*(n)}^*(E_{(n),\rho}^{\Delta,\iota})$  acting by

$$\begin{aligned}\pi_{*(n)} : (v, \delta) &\longmapsto v, & \phi_{*(n)} : (v, \delta) &\longmapsto (v, 0, \delta)\Gamma, \\ \hat{\phi}_{*(n)} : ((v, \delta), e) &\longmapsto ((v, \delta), (e, 0)).\end{aligned}$$

It is easy to check Definition 6.2 holds. Similarly define a 1-morphism

$$\Phi_{(n)*} = (P_{(n)*}, \pi_{(n)*}, \phi_{(n)*}, \hat{\phi}_{(n)*}) : (V_{(n),\rho}^{\Delta,\iota}, \dots, \psi_{(n),\rho}^{\Delta,\iota}) \longrightarrow (V, E, \Gamma, s, \psi)$$

by  $P_{(n)*} = V \times \mathbb{R}^n \times \Delta$  with  $\Delta \times \Gamma$ -action

$$(\delta', \gamma) : (v, \mathbf{y}, \delta) \longmapsto (\gamma \cdot v, \rho(\gamma)\mathbf{y}, \delta' \cdot \delta \cdot \iota(\gamma)^{-1}),$$

and  $\pi_{(n)*} : P_{(n)*} \rightarrow V_{(n),\rho}^{\Delta,\iota}$ ,  $\phi_{(n)*} : P_{(n)*} \rightarrow V$ ,  $\hat{\phi}_{(n)*} : \pi_{(n)*}^*(E_{(n),\rho}^{\Delta,\iota}) \rightarrow \phi_{(n)*}^*(E)$  acting by

$$\begin{aligned}\pi_{(n)*} : (v, \mathbf{y}, \delta) &\longmapsto (v, \mathbf{y}, \delta)\Gamma, & \phi_{(n)*} : (v, \mathbf{y}, \delta) &\longmapsto v, \\ \hat{\phi}_{(n)*} : ((v, \mathbf{y}, \delta), (e, \mathbf{z})) &\longmapsto ((v, \mathbf{y}, \delta), e).\end{aligned}$$

As in Definition 10.38 but with extra contributions from finite groups  $\Gamma, \Delta$ , we can define explicit 2-morphisms  $K : \Phi_{(n)*} \circ \Phi_{*(n)} \Rightarrow \text{id}_{(V,E,\Gamma,s,\psi)}$  and  $\Lambda : \Phi_{*(n)} \circ \Phi_{(n)*} \Rightarrow \text{id}_{(V_{(n),\rho}^{\Delta,\iota}, \dots, \psi_{(n),\rho}^{\Delta,\iota})}$  over  $\text{Im } \psi$ , and we leave these as an exercise. Then  $K, \Lambda$  imply that  $\Phi_{*(n)}, \Phi_{(n)*}$  are coordinate changes over  $\text{Im } \psi$ .

Here is the analogue of Proposition 10.39:

**Proposition 10.49.** *Suppose  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  is a Kuranishi neighbourhood on a topological space  $X$ , and  $x \in \text{Im } \psi_i \subseteq X$ . Then there exists a Kuranishi neighbourhood  $(V, E, \Gamma, s, \psi)$  on  $X$  which is minimal at  $x$  as in Definition 10.46, with  $\text{Im } \psi \subseteq \text{Im } \psi_i \subseteq X$  and  $\Gamma \subseteq \Gamma_i$  a subgroup, and a coordinate change  $\Phi_{*i} : (V, E, \Gamma, s, \psi) \rightarrow (V_i, E_i, \Gamma_i, s_i, \psi_i)$  over  $S = \text{Im } \psi$ .*

*Furthermore,  $(V_i, E_i, \Gamma_i, s_i, \psi_i)$  is strictly isomorphic to  $(V_{(n),\rho}^{\Gamma_i,\iota}, E_{(n),\rho}^{\Gamma_i,\iota}, \Gamma_i, s_{(n),\rho}^{\Gamma_i,\iota}, \psi_{(n),\rho}^{\Gamma_i,\iota})$  near  $S$  as in Definition 10.47, where  $n = \dim V_i - \dim V \geq 0$  and  $(V_{(n),\rho}^{\Gamma_i,\iota}, \dots, \psi_{(n),\rho}^{\Gamma_i,\iota})$  is constructed from  $(V, E, \Gamma, s, \psi)$  as in Definition 10.48 using the inclusion  $\iota : \Gamma \hookrightarrow \Gamma_i$  and some representation  $\rho$  of  $\Gamma$  on  $\mathbb{R}^n$ , and this strict isomorphism locally identifies  $\Phi_{*i} : (V, E, \Gamma, s, \psi) \rightarrow (V_i, E_i, \Gamma_i, s_i, \psi_i)$  with  $\Phi_{*(n)} : (V, E, \Gamma, s, \psi) \rightarrow (V_{(n),\rho}^{\Gamma_i,\iota}, \dots, \psi_{(n),\rho}^{\Gamma_i,\iota})$  in Definition 10.48 near  $S$ .*

*Proof.* Pick  $v_i \in \bar{\psi}_i^{-1}(x) \subseteq s_i^{-1}(0) \subseteq V_i$ , and define  $\Gamma = \text{Stab}_{\Gamma_i}(v_i) = \{\gamma \in \Gamma_i : \gamma(v_i) = v_i\}$ , as a subgroup of  $\Gamma_i$  with inclusion  $\iota : \Gamma \hookrightarrow \Gamma_i$ . Then  $\Gamma v_i = \bar{\psi}_i^{-1}(x)$  is  $|\Gamma_i|/|\Gamma|$  points in  $V_i$ . Definition 10.6 gives a linear map  $d_{v_i} s_i : T_{v_i} V_i \rightarrow E_i|_{v_i}$ . Here  $\Gamma$  acts linearly on  $T_{v_i} V_i, E_i|_{v_i}$ , and  $d_{v_i} s_i$  is  $\Gamma$ -equivariant. Define  $n$  to be the dimension of the image of  $d_{v_i} s_i$  and  $m = \text{rank } E_i - n$ , so that we may choose

a  $\Gamma$ -equivariant isomorphism  $E_i|_{v_i} \cong \mathbb{R}^m \oplus \mathbb{R}^n$  with  $\text{Im } d_{v_i} s_i \cong \{0\} \oplus \mathbb{R}^n$ . Write  $\rho$  for the corresponding representation of  $\Gamma$  on  $\mathbb{R}^n$ .

Choose a  $\Gamma$ -invariant open neighbourhood  $V'_i$  of  $v_i$  in  $V_i$  with  $E_i|_{V'_i}$  trivial, such that  $(\delta \cdot V'_i) \cap V_i = \emptyset$  for all  $\delta \in \Gamma_i \setminus \Gamma$ . Choose a  $\Gamma$ -equivariant trivialization  $E_i|_{V'_i} \cong V'_i \times (\mathbb{R}^m \oplus \mathbb{R}^n)$  which restricts to the chosen isomorphism  $E_i|_{v_i} \cong \mathbb{R}^m \oplus \mathbb{R}^n$  at  $v_i$ . Then we may identify  $s_i|_{V'_i}$  with  $s_1 \oplus s_2$ , where  $s_1 : V'_i \rightarrow \mathbb{R}^m$ ,  $s_2 : V'_i \rightarrow \mathbb{R}^n$  are  $\Gamma$ -equivariant morphisms in  $\mathbf{Man}$ , and  $d_{v_i} s_i : T_{v_i} V_i \rightarrow E_i|_{v_i} \cong \mathbb{R}^m \oplus \mathbb{R}^n$  is identified with  $T_{v_i} s_1 \oplus T_{v_i} s_2 : T_{v_i} V_i \rightarrow \mathbb{R}^m \oplus \mathbb{R}^n$ . Hence  $T_{v_i} s_1 = 0 : T_{v_i} V_i \rightarrow \mathbb{R}^m$ , and  $T_{v_i} s_2 : T_{v_i} V_i \rightarrow \mathbb{R}^n$  is surjective.

We now follow the proof of Proposition 10.39 to construct  $v_i \in U \subseteq V'_i$ ,  $\chi : U \xrightarrow{\cong} V \times W$ ,  $\hat{\chi} : E_i|_U \rightarrow U \times (\mathbb{R}^m \oplus \mathbb{R}^n)$ ,  $\pi : E \rightarrow V$ ,  $s : V \rightarrow E$ , and  $v \in V$  with  $\chi(v_i) = (v, 0)$  and  $s(v) = d_v s = 0$ , but making everything  $\Gamma$ -invariant/equivariant, noting that Assumption 10.9 includes  $\Gamma$ -equivariance, and  $(g_1, \dots, g_n)$  can be made  $\Gamma$ -equivariant by averaging over the  $\Gamma$ -action. Define  $\psi : s^{-1}(0)/\Gamma \rightarrow X$  by the commutative diagram

$$\begin{array}{ccccc} s^{-1}(0)/\Gamma & \xrightarrow{(\text{id}_{s^{-1}(0)}, 0)/\Gamma} & [s^{-1}(0) \times \{0\}]/\Gamma & \xrightarrow{\chi|_{U \cap s^{-1}(0)}/\Gamma} & (U \cap s^{-1}(0))/\Gamma \\ \downarrow \psi & & & & \downarrow u \mapsto u \Gamma_i \\ X & \xleftarrow{\psi_i} & & & s^{-1}(0)/\Gamma_i. \end{array}$$

Here each arrow is a homeomorphism with an open subset, the top right as  $\chi : U \rightarrow V \times W$  identifies  $U \cap s_i^{-1}(0)$  with  $s^{-1}(0) \times \{0\}$  and is  $\Gamma$ -equivariant, the right hand as  $U$  is  $\Gamma$ -invariant and  $(\delta \cdot U) \cap U = \emptyset$  for  $\delta \in \Gamma_i \setminus \Gamma$ , and the bottom by Definition 6.1(e). Thus  $(V, E, \Gamma, s, \psi)$  is a Kuranishi neighbourhood on  $X$  with  $x \in \text{Im } \psi \subseteq \text{Im } \psi_i \subseteq X$ , and is minimal at  $x$  as in Definition 10.46. The rest of the proof is a straightforward generalization of that of Proposition 10.39.  $\square$

The next three results need Assumption 10.11. By modifying the proofs of Propositions 10.40, 10.42 and 10.43 and Theorems 10.44 and 10.45 to include finite groups, we can show:

**Proposition 10.50.** *Suppose  $\mathbf{X}$  is a Kuranishi space in  $\mathbf{Kur}$  and  $x \in \mathbf{X}$ . Then there exists a Kuranishi neighbourhood  $(V, E, \Gamma, s, \psi)$  on  $\mathbf{X}$ , as in §6.4, which is minimal at  $x$  as in Definition 10.46, with  $\Gamma \cong G_x \mathbf{X}$ . Any two Kuranishi neighbourhoods on  $\mathbf{X}$  minimal at  $x$  are strictly isomorphic near  $x$ .*

**Theorem 10.51.** *Let  $\mathbf{X}$  be a Kuranishi space in  $\mathbf{Kur}$ , and  $x \in \mathbf{X}$ , and  $(V, E, \Gamma, s, \psi)$  be a Kuranishi neighbourhood on  $\mathbf{X}$  minimal at  $x \in \mathbf{X}$ , which exists by Proposition 10.50. Suppose  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  is any other Kuranishi neighbourhood on  $\mathbf{X}$  with  $x \in \text{Im } \psi_a$ . Then  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  is strictly isomorphic to  $(V_{(n), \rho}^{\Gamma_a, \iota}, E_{(n), \rho}^{\Gamma_a, \iota}, \Gamma_a, s_{(n), \rho}^{\Gamma_a, \iota}, \psi_{(n), \rho}^{\Gamma_a, \iota})}$  near  $x$  as in Definition 10.47, where*

$$n = \dim V_a - \dim V = \dim V_a - \text{vdim } \mathbf{X} - \dim O_x \mathbf{X} \geq 0,$$

and  $(V_{(n), \rho}^{\Gamma_a, \iota}, \dots, \psi_{(n), \rho}^{\Gamma_a, \iota})$  is the Kuranishi neighbourhood on  $\mathbf{X}$  constructed in Definition 10.48 from  $(V, E, \Gamma, s, \psi)$ ,  $n$ , an injective morphism  $\iota : \Gamma \hookrightarrow \Gamma_a$ , and some representation  $\rho$  of  $\Gamma$  on  $\mathbb{R}^n$ .

**Theorem 10.52.** *A Kuranishi space  $\mathbf{X}$  in  $\mathbf{K}\mathbf{ur}$  is an orbifold, in the sense of Proposition 6.64, if and only if  $O_x\mathbf{X} = 0$  for all  $x \in \mathbf{X}$ .*

The proof of Theorem 10.52 is simpler than that of Theorem 10.45, as we only need the analogue of the first part of the proof showing that  $\mathbf{X} \simeq \mathbf{X}' = (X, \mathcal{K}')$  in  $\mathbf{K}\mathbf{ur}$  for  $\mathcal{K}' = (I, (V_i, E_i, \Gamma_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$  a Kuranishi structure with  $E_i = s_i = 0$  for all  $i \in I$ . As for (10.53), the results of §10.4.4 above apply in the 2-categories

$$\mathbf{K}\mathbf{ur}, \mathbf{K}\mathbf{ur}^c, \mathbf{K}\mathbf{ur}^{\text{gc}}, \mathbf{K}\mathbf{ur}^{\text{ac}}, \mathbf{K}\mathbf{ur}^{c,\text{ac}}.$$

## 10.5 Conditions for étale (1-)morphisms, equivalences, and coordinate changes

A (1-)morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{m}\mathbf{K}\mathbf{ur}, \mu\mathbf{K}\mathbf{ur}, \mathbf{K}\mathbf{ur}$  is called *étale* if it is locally an equivalence/isomorphism. We now prove necessary and sufficient conditions for (1-)morphisms  $\mathbf{f}$  to be étale, and to be equivalences/isomorphisms, and for a (1-)morphism of (m- or  $\mu$ -)Kuranishi neighbourhoods to be a coordinate change.

We suppose only that the category  $\mathbf{Man}$  used to define  $\mathbf{m}\mathbf{K}\mathbf{ur}, \mu\mathbf{K}\mathbf{ur}, \mathbf{K}\mathbf{ur}$  satisfies Assumptions 3.1–3.7, and specify additional assumptions as needed.

### 10.5.1 Étale 1-morphisms, equivalences, and coordinate changes in $\mathbf{m}\mathbf{K}\mathbf{ur}$

**Definition 10.53.** Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism in  $\mathbf{m}\mathbf{K}\mathbf{ur}$ . We call  $\mathbf{f}$  *étale* if it is a local equivalence. That is,  $\mathbf{f}$  is étale if for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$  there exist open neighbourhoods  $\mathbf{X}'$  of  $x$  in  $\mathbf{X}$  and  $\mathbf{Y}'$  of  $y$  in  $\mathbf{Y}$  such that  $\mathbf{f}(\mathbf{X}') \subseteq \mathbf{Y}'$ , and  $\mathbf{f}|_{\mathbf{X}'} : \mathbf{X}' \rightarrow \mathbf{Y}'$  is an equivalence in  $\mathbf{m}\mathbf{K}\mathbf{ur}$ .

**Theorem 10.54.** *A 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{m}\mathbf{K}\mathbf{ur}$  is an equivalence if and only if  $\mathbf{f}$  is étale and the underlying continuous map  $f : X \rightarrow Y$  is a bijection.*

*Proof.* For the ‘only if’ part, let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be an equivalence. Then  $\mathbf{f}$  is étale, as we can take  $\mathbf{X}' = \mathbf{X}, \mathbf{Y}' = \mathbf{Y}$  in Definition 10.53, and  $\mathbf{f}$  has a quasi-inverse  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{X}$  with  $g = f^{-1} : Y \rightarrow X$ , so that  $f : X \rightarrow Y$  is a bijection.

For the ‘if’ part, suppose  $\mathbf{f}$  is étale and  $f : X \rightarrow Y$  is a bijection, and write  $g = f^{-1} : Y \rightarrow X$  for the inverse map. As  $\mathbf{f}$  is étale we can cover  $\mathbf{X}, \mathbf{Y}$  by open  $\mathbf{X}', \mathbf{Y}'$  such that  $\mathbf{f}|_{\mathbf{X}'} : \mathbf{X}' \rightarrow \mathbf{Y}'$  is an equivalence, and then  $g|_{\mathbf{Y}'} : \mathbf{Y}' \rightarrow \mathbf{X}'$  is continuous. Thus  $g$  is continuous, and  $f, g$  are homeomorphisms.

Use notation (4.6), (4.7), (4.9) for  $\mathbf{X}, \mathbf{Y}, \mathbf{f}$ . Then for all  $i \in I$  and  $j \in J$  we have a 1-morphism  $\mathbf{f}_{ij} : (U_i, D_i, r_i, \chi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  over  $(S, f)$  for  $S = \text{Im } \chi_i \cap f^{-1}(\text{Im } \psi_j)$ . Identifying  $X, Y$  using  $f$ , consider  $\mathbf{f}_{ij}$  as a 1-morphism of m-Kuranishi neighbourhoods on  $X$  over  $S$ . Then  $\mathbf{f}$  being étale means that  $\mathbf{f}_{ij}$  is locally a coordinate change (i.e. locally an equivalence over  $\text{id}_X$ ).

Theorem 4.13 says  $\mathcal{E}qu((U_i, D_i, r_i, \chi_i), (V_j, E_j, s_j, \psi_j))$  is a stack over  $S$ , so  $\mathbf{f}_{ij}$  locally a coordinate change implies it is globally a coordinate change. Hence there exist a 1-morphism  $\mathbf{g}_{ji} : (V_j, E_j, s_j, \psi_j) \rightarrow (U_i, D_i, r_i, \chi_i)$  and 2-morphisms  $\mathbf{u}_{ij} : \mathbf{g}_{ji} \circ \mathbf{f}_{ij} \Rightarrow \text{id}_{(U_i, D_i, r_i, \chi_i)}$ ,  $\mathbf{\kappa}_{ji} : \mathbf{f}_{ij} \circ \mathbf{g}_{ji} \Rightarrow \text{id}_{(V_j, E_j, s_j, \psi_j)}$  over  $S$ . By Proposition A.5 we choose these to satisfy  $\mathbf{\kappa}_{ji} * \text{id}_{\mathbf{f}_{ij}} = \text{id}_{\mathbf{f}_{ij}} * \mathbf{u}_{ij}$  and  $\mathbf{u}_{ij} * \text{id}_{\mathbf{g}_{ji}} = \text{id}_{\mathbf{g}_{ji}} * \mathbf{\kappa}_{ji}$ . No longer identifying  $X, Y$ , we consider  $\mathbf{g}_{ji}$  a 1-morphism over  $(T, g)$  for  $T = \text{Im } \psi_j \cap g^{-1}(\text{Im } \chi_i)$ , and  $\mathbf{u}_{ij}, \mathbf{\kappa}_{ji}$  as 2-morphisms over  $S, T$ .

For all  $j, j' \in J$  and  $i, i' \in I$ , define 2-morphisms  $\mathbf{G}_{jj'}^i : \mathbf{g}_{j'i} \circ \Upsilon_{jj'} \Rightarrow \mathbf{g}_{ji}$ ,  $\mathbf{G}_j^{ii'} : \text{T}_{ii'} \circ \mathbf{g}_{ji} \Rightarrow \mathbf{g}_{j'i}$  by the commutative diagrams

$$\begin{array}{ccc} \mathbf{g}_{j'i} \circ \Upsilon_{jj'} & \xlongequal{\quad} & \mathbf{g}_{j'i} \circ \Upsilon_{jj'} \circ \text{id}_{(V_j, E_j, s_j, \psi_j)} \xrightarrow{\quad} \mathbf{g}_{j'i} \circ \Upsilon_{jj'} \circ \mathbf{f}_{ij} \circ \mathbf{g}_{ji} \\ \downarrow \mathbf{G}_{jj'}^i & & \downarrow \text{id}_{\mathbf{g}_{j'i} \circ \Upsilon_{jj'}} * \mathbf{\kappa}_{ji}^{-1} \quad \text{id}_{\mathbf{g}_{j'i}} * \mathbf{F}_{ij}^{jj'} * \text{id}_{\mathbf{g}_{ji}} \\ \mathbf{g}_{ji} & \xlongequal{\quad} & \text{id}_{(U_i, D_i, r_i, \chi_i)} \circ \mathbf{g}_{ji} \xleftarrow{\quad} \mathbf{g}_{j'i} \circ \mathbf{f}_{ij'} \circ \mathbf{g}_{ji} \end{array} \quad (10.54)$$

$$\begin{array}{ccc} \text{T}_{ii'} \circ \mathbf{g}_{ji} & \xlongequal{\quad} & \text{id}_{(U_i, D_i, r_i, \chi_i)} \circ \text{T}_{ii'} \circ \mathbf{g}_{ji} \xrightarrow{\quad} \mathbf{g}_{j'i} \circ \mathbf{f}_{ij'} \circ \text{T}_{ii'} \circ \mathbf{g}_{ji} \\ \downarrow \mathbf{G}_j^{ii'} & & \downarrow \mathbf{u}_{ij'}^{-1} * \text{id}_{\text{T}_{ii'} \circ \mathbf{g}_{ji}} \quad \text{id}_{\mathbf{g}_{j'i}} * \mathbf{F}_{ij'}^{jj'} * \text{id}_{\mathbf{g}_{ji}} \\ \mathbf{g}_{j'i} & \xlongequal{\quad} & \mathbf{g}_{j'i} \circ \text{id}_{(V_j, E_j, s_j, \psi_j)} \xleftarrow{\quad} \mathbf{g}_{j'i} \circ \mathbf{f}_{ij} \circ \mathbf{g}_{ji} \end{array} \quad (10.55)$$

We now claim that as in (4.9),

$$\mathbf{g} = (g, \mathbf{g}_{ji}, j \in J, i \in I, \mathbf{G}_{jj'}^i, i, i' \in I, \mathbf{G}_j^{ii'}, i, i' \in I)$$

is a 1-morphism  $\mathbf{g} : \mathbf{Y} \rightarrow \mathbf{X}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ . Definition 4.17(a)–(d) for  $\mathbf{g}$  are immediate. Part (e) follows from (10.54)–(10.55) and (e) for  $\mathbf{f}$  and  $\mathbf{u}_{ij} * \text{id}_{\mathbf{g}_{ji}} = \text{id}_{\mathbf{g}_{ji}} * \mathbf{\kappa}_{ji}$ . To prove (f), let  $i \in I$  and  $j, j', j'' \in J$ , and consider Figure 10.1. The small rectangle near the bottom commutes by Definition 4.17(h) for  $\mathbf{f}$ , the two parallel arrows on the right are equal as  $\mathbf{\kappa}_{j'i} * \text{id}_{\mathbf{f}_{ij'}} = \text{id}_{\mathbf{f}_{ij'}} * \mathbf{u}_{ij'}$ , three quadrilaterals commute by (10.54), and the rest of the diagram commutes by properties of 2-categories. Hence Figure 10.1 commutes, and the outside rectangle proves part (f) for  $\mathbf{g}$ . We can prove (g),(h) in a similar way. Thus  $\mathbf{g}$  is a 1-morphism.

We claim that there are 2-morphisms  $\boldsymbol{\eta} = (\boldsymbol{\eta}_{ii'}, i, i' \in I) : \mathbf{g} \circ \mathbf{f} \Rightarrow \text{id}_{\mathbf{X}}$  and  $\boldsymbol{\zeta} = (\boldsymbol{\zeta}_{jj'}, j, j' \in J) : \mathbf{f} \circ \mathbf{g} \Rightarrow \text{id}_{\mathbf{Y}}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , which are characterized uniquely by the property that for all  $i, i' \in I$  and  $j, j' \in J$ , the following commute

$$\begin{array}{ccc} \mathbf{g}_{j'i} \circ \mathbf{f}_{ij} \circ \text{T}_{ii'} & \xrightarrow{\quad} & \mathbf{g}_{j'i} \circ \mathbf{f}_{ij} \xrightarrow{\quad} (\mathbf{g} \circ \mathbf{f})_{ii'} \\ \downarrow \mathbf{u}_{ij} * \text{id}_{\text{T}_{ii'}} & & \downarrow \text{id}_{\mathbf{g}_{j'i}} * \mathbf{F}_{ij}^{jj'} \quad \boldsymbol{\eta}_{ii'} \\ \text{id}_{(U_{i'}, D_{i'}, r_{i'}, \chi_{i'})} \circ \text{T}_{ii'} & \xlongequal{\quad} & \text{T}_{ii'} \xlongequal{\quad} (\text{id}_{\mathbf{X}})_{ii'} \end{array} \quad (10.56)$$

$$\begin{array}{ccc} \mathbf{f}_{ij'} \circ \mathbf{g}_{ji} \circ \Upsilon_{jj'} & \xrightarrow{\quad} & \mathbf{f}_{ij'} \circ \mathbf{g}_{ji} \xrightarrow{\quad} (\mathbf{f} \circ \mathbf{g})_{jj'} \\ \downarrow \mathbf{\kappa}_{ji} * \text{id}_{\Upsilon_{jj'}} & & \downarrow \text{id}_{\mathbf{f}_{ij'}} * \mathbf{G}_{jj'}^i \quad \boldsymbol{\zeta}_{jj'} \\ \text{id}_{(V_{j'}, E_{j'}, s_{j'}, \psi_{j'})} \circ \Upsilon_{jj'} & \xlongequal{\quad} & \Upsilon_{jj'} \xlongequal{\quad} (\text{id}_{\mathbf{Y}})_{jj'} \end{array} \quad (10.57)$$

Figure 10.1: Proof of Definition 4.17(f) for  $g$

where  $\Theta_{ij'i'}^{g,f}$ ,  $\Theta_{jj'j''}^{f,g}$  are as in Definition 4.20 for  $g \circ f$ ,  $f \circ g$  in  $\mathbf{m\check{K}ur}$ , and (10.56), (10.57) are in 2-morphisms of m-Kuranishi neighbourhoods over  $S = \text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j) \subseteq X$  and  $T = \text{Im } \psi_j \cap \text{Im } \psi_{j'} \cap g^{-1}(\text{Im } \chi_i) \subseteq Y$ .

To prove this for  $\eta$ , first for  $i, i' \in I$  and  $j, j' \in J$  we show that (10.56) for  $i, i', j$  and for  $i, i', j'$  determine the same 2-morphism  $\eta_{ii'}$  on  $\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'})$ . Thus, as the  $\text{Im } \chi_i \cap \text{Im } \chi_{i'} \cap f^{-1}(\text{Im } \psi_j)$  for  $j \in J$  cover  $\text{Im } \chi_i \cap \text{Im } \chi_{i'}$ , by the sheaf property of 2-morphisms in Theorem 4.13 there is a unique 2-morphism  $\eta_{ii'}$  over  $\text{Im } \chi_i \cap \text{Im } \chi_{i'}$  such that (10.56) commutes for all  $j \in J$ . Then we fix  $j \in J$ , and show these  $\eta_{ii'}$  satisfy the restrictions of Definition 4.18(a),(b) to the intersections of their domains with  $f^{-1}(\text{Im } \psi_j)$  using (10.54)–(10.56) and properties of the  $\Theta_{ij'i'}^{g,f}$  in Proposition 4.19. As  $f^{-1}(\text{Im } \psi_j)$  for  $j \in J$  cover  $X$ , by the sheaf property of 2-morphisms this implies Definition 4.18(a),(b) for the  $\eta_{ii'}$ , and  $\eta : g \circ f \Rightarrow \text{id}_X$  is a 2-morphism in  $\mathbf{m\check{K}ur}$ . The proof for  $\zeta$  is the same. Hence  $f$  is an equivalence in  $\mathbf{m\check{K}ur}$ , as we have to prove.  $\square$

Here is a necessary and sufficient condition for 1-morphisms in  $\mathbf{m\check{K}ur}$  to be étale. Combining it with Theorem 10.54 gives a necessary and sufficient condition for 1-morphisms to be equivalences.

**Theorem 10.55.** *Suppose the category  $\mathbf{Man}$  used to define  $\mathbf{m\check{K}ur}$  satisfies*

Assumptions 3.1–3.7, 10.1, 10.9 and 10.11, with tangent spaces written  $T_u U$  for  $U \in \mathbf{Man}$ , and discrete properties  $\mathbf{A}, \mathbf{B}$ , where if  $f : U \rightarrow V$  in  $\mathbf{Man}$  is  $\mathbf{A}$  then tangent maps  $T_u f : T_u U \rightarrow T_u V$  are defined, and if  $f$  is  $\mathbf{B}$  (which implies  $\mathbf{A}$ ) and  $T_u f$  is an isomorphism then  $f$  is a local diffeomorphism near  $u$ .

Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism in  $\mathbf{mKur}$ . Then  $\mathbf{f}$  is étale if and only if  $\mathbf{f}$  is  $\mathbf{B}$  and the linear maps  $T_x \mathbf{f} : T_x \mathbf{X} \rightarrow T_y \mathbf{Y}$ ,  $O_x \mathbf{f} : O_x \mathbf{X} \rightarrow O_y \mathbf{Y}$  from §10.2.1 are both isomorphisms for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ .

The ‘only if’ part does not require Assumptions 10.9 and 10.11.

*Proof.* For the ‘only if’ part, suppose  $\mathbf{f}$  is étale. Then for each  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$  there are open neighbourhoods  $\mathbf{X}', \mathbf{Y}'$  of  $x, y$  in  $\mathbf{X}, \mathbf{Y}$  with  $\mathbf{f}|_{\mathbf{X}'} : \mathbf{X}' \rightarrow \mathbf{Y}'$  an equivalence. Thus  $\mathbf{f}|_{\mathbf{X}'}$  is  $\mathbf{A}$  and  $\mathbf{B}$  by Proposition 4.36(c), and  $T_x \mathbf{f}, O_x \mathbf{f}$  are isomorphisms by Lemma 10.23. As such  $\mathbf{X}'$  cover  $\mathbf{X}$ , we see that  $\mathbf{f}$  is locally  $\mathbf{B}$ , so it is  $\mathbf{B}$  as this is a local condition by Definition 3.18(iv).

For the ‘if’ part, suppose  $\mathbf{f}$  is  $\mathbf{B}$  (which implies  $\mathbf{f}$  is  $\mathbf{A}$ ), and  $T_x \mathbf{f}, O_x \mathbf{f}$  are isomorphisms for all  $x \in \mathbf{X}$ . Let  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . By Proposition 10.40 we can choose m-Kuranishi neighbourhoods  $(U_a, D_a, r_a, \chi_a), (V_b, E_b, s_b, \psi_b)$  on  $\mathbf{X}, \mathbf{Y}$ , as in §4.7, which are minimal at  $x \in \text{Im } \chi_a$  and  $y \in \text{Im } \psi_b$ , as in §10.4.1. Making  $U_a$  smaller if necessary we can take  $f(\text{Im } \chi_a) \subseteq \text{Im } \psi_b$ . Theorem 4.56(b) now gives a 1-morphism  $\mathbf{f}_{ab} = (U_{ab}, f_{ab}, \hat{f}_{ab}) : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  of m-Kuranishi neighbourhoods over  $(\text{Im } \chi_a, \mathbf{f})$  on  $\mathbf{X}, \mathbf{Y}$ , as in Definition 4.54.

Definition 4.2(d) says that  $\hat{f}_{ab}(r_a) = f_{ab}^*(s_b) + O(r_a^2)$ . By the argument in the proof of Proposition 10.42 we can choose  $\hat{f}'_{ab} : D_a \rightarrow f_{ab}^*(E_b)$  with  $\hat{f}'_{ab} = \hat{f}_{ab} + O(r_a)$  and  $\hat{f}'_{ab}(r_a) = f_{ab}^*(s_b)$ . Then replacing  $\hat{f}_{ab}$  by  $\hat{f}'_{ab}$ , which is allowed in Theorem 4.56(b) as it does not change  $\mathbf{f}_{ab}$  up to 2-isomorphism, we can suppose that  $\hat{f}_{ab}(r_a) = f_{ab}^*(s_b)$ .

Write  $u_a = \chi_a^{-1}(x)$ ,  $v_b = \psi_b^{-1}(y)$ . Then (10.29) gives a commutative diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & T_x \mathbf{X} & \xrightarrow{\cong} & T_{u_a} U_a & \xrightarrow{d_{u_a} r_a = 0} & D_a|_{u_a} & \xrightarrow{\cong} & O_x \mathbf{X} & \longrightarrow & 0 \\ & & \cong \downarrow T_x \mathbf{f} & & \downarrow T_{u_a} f_{ab} & & \downarrow \hat{f}_{ab}|_{u_a} & & \cong \downarrow O_x \mathbf{f} & & \\ 0 & \longrightarrow & T_y \mathbf{Y} & \xrightarrow{\cong} & T_{v_b} V_b & \xrightarrow{d_{v_b} s_b = 0} & E_b|_{v_b} & \xrightarrow{\cong} & O_y \mathbf{Y} & \longrightarrow & 0, \end{array}$$

with exact rows. By assumption  $T_x \mathbf{f}, O_x \mathbf{f}$  are isomorphisms, and  $d_{u_a} r_a = d_{v_b} s_b = 0$  as  $(U_a, D_a, r_a, \chi_a), (V_b, E_b, s_b, \psi_b)$  are minimal at  $x, y$ , so the maps  $T_x \mathbf{X} \rightarrow T_{u_a} U_a$ ,  $D_a|_{u_a} \rightarrow O_x \mathbf{X}$ ,  $T_y \mathbf{Y} \rightarrow T_{v_b} V_b$ ,  $E_b|_{v_b} \rightarrow O_y \mathbf{Y}$  are isomorphisms. Hence  $T_{u_a} f_{ab} : T_{u_a} U_a \rightarrow T_{v_b} V_b$  and  $\hat{f}_{ab}|_{u_a} : D_a|_{u_a} \rightarrow E_b|_{v_b}$  are isomorphisms.

As  $\mathbf{f}$  is  $\mathbf{B}$ ,  $\mathbf{f}_{ab}$  is  $\mathbf{B}$ , and  $f_{ab}$  is  $\mathbf{B}$  near  $u_a$ . Since  $T_{u_a} f_{ab} : T_{u_a} U_a \rightarrow T_{v_b} V_b$  is an isomorphism, Assumption 10.11 says that  $f_{ab}$  is a local diffeomorphism near  $u_a$ , so making  $U_a, U_{ab}, V_b$  smaller we can suppose  $U_{ab} = U_a$  and  $f_{ab} : U_a \rightarrow V_b$  is a diffeomorphism in  $\mathbf{Man}$ . Also  $\hat{f}_{ab}|_{u_a} : D_a|_{u_a} \rightarrow E_b|_{v_b}$  an isomorphism implies that  $\hat{f}_{ab} : D_a \rightarrow f_{ab}^*(E_b)$  is an isomorphism near  $u_a$ , so making  $U_a, U_{ab}, V_b$  smaller again we can suppose  $\hat{f}_{ab}$  is an isomorphism.

Thus, we have a 1-morphism  $\mathbf{f}_{ab} = (U_a, f_{ab}, \hat{f}_{ab}) : (U_a, D_a, r_a, \chi_a) \rightarrow (V_b, E_b, s_b, \psi_b)$  over  $(\text{Im } \chi_a, \mathbf{f})$  such that  $f_{ab} : U_a \rightarrow V_b$  is a diffeomorphism and  $\hat{f}_{ab} : D_a \rightarrow f_{ab}^*(E_b)$  is an isomorphism with  $\hat{f}_{ab}(r_a) = f_{ab}^*(s_b)$ . Let  $\mathbf{X}' \subseteq \mathbf{X}$ ,

$Y' \subseteq Y$  be the open neighbourhoods with topological spaces  $X' = \text{Im } \chi_a \subseteq X$ ,  $Y' = \text{Im } \psi_b \subseteq Y$ . Then  $f|_{X'} : X' \rightarrow Y'$  is a homeomorphism, as  $f_{ab}|_{r_a^{-1}(0)} : r_a^{-1}(0) \rightarrow s_b^{-1}(0)$  is, so we can define  $g = f|_{X'}^{-1} : Y' \rightarrow X'$ , and then

$$\mathbf{g}_{ba} = (V_b, f_{ab}^{-1}, (f_{ab}^{-1})^*(\hat{f}_{ab}^{-1})) : (V_b, E_b, s_b, \psi_b) \longrightarrow (U_a, D_a, r_a, \chi_a)$$

is a 1-morphism of m-Kuranishi neighbourhoods over  $(g, \text{Im } \psi_b)$  which is a strict inverse for  $\mathbf{f}_{ab}$ , that is,  $\mathbf{g}_{ba} \circ \mathbf{f}_{ab} = \text{id}_{(U_a, D_a, r_a, \chi_a)}$ ,  $\mathbf{f}_{ab} \circ \mathbf{g}_{ba} = \text{id}_{(V_b, E_b, s_b, \psi_b)}$ . Clearly this implies that  $\mathbf{f}|_{X'} : X' \rightarrow Y'$  is an equivalence in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ . As we can find such open  $x \in X' \subseteq X$ ,  $y \in Y' \subseteq Y$  for all  $x \in X$  with  $\mathbf{f}(x) = y$  in  $Y$ , we see that  $\mathbf{f}$  is étale, as we have to prove.  $\square$

We apply Theorems 10.54–10.55 to our examples of 2-categories  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ :

**Theorem 10.56.** (a) *Work in the 2-category of m-Kuranishi spaces  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  constructed from  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}$ , using ordinary tangent spaces  $T_v V$  for  $V \in \mathbf{Man}$ . Then a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  is étale if and only if  $T_x \mathbf{f} : T_x \mathbf{X} \rightarrow T_x \mathbf{Y}$ ,  $O_x \mathbf{f} : O_x \mathbf{X} \rightarrow O_x \mathbf{Y}$  are isomorphisms for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . If this holds then  $\mathbf{f}$  is an equivalence if and only if  $f : X \rightarrow Y$  is a bijection.*

(b) *Work in the 2-category  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  constructed from  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}^c$ , using ordinary tangent spaces  $T_v V$  for  $V \in \mathbf{Man}^c$ . Then a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  is étale if and only if  $\mathbf{f}$  is simple and  $T_x \mathbf{f} : T_x \mathbf{X} \rightarrow T_x \mathbf{Y}$ ,  $O_x \mathbf{f} : O_x \mathbf{X} \rightarrow O_x \mathbf{Y}$  are isomorphisms for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . If this holds then  $\mathbf{f}$  is an equivalence if and only if  $f : X \rightarrow Y$  is a bijection.*

(c) *Work in one of  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur} = \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c, \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^{\text{gc}}, \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^{\text{ac}}$  or  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^{\text{c,ac}}$  constructed from  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}$  or  $\mathbf{Man}^{\text{c,ac}}$ , using b-tangent spaces  ${}^b T_v V$  for  $V \in \dot{\mathbf{M}}\mathbf{an}$ , as in §2.3. Then a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  is étale if and only if  $\mathbf{f}$  is simple and  ${}^b T_x \mathbf{f} : {}^b T_x \mathbf{X} \rightarrow {}^b T_x \mathbf{Y}$ ,  ${}^b O_x \mathbf{f} : {}^b O_x \mathbf{X} \rightarrow {}^b O_x \mathbf{Y}$  are isomorphisms for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . If this holds then  $\mathbf{f}$  is an equivalence if and only if  $f : X \rightarrow Y$  is a bijection.*

(d) *Work in one of  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur} = \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c, \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^{\text{gc}}, \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^{\text{ac}}$  or  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^{\text{c,ac}}$  constructed from  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}$  or  $\mathbf{Man}^{\text{c,ac}}$ , using stratum tangent spaces  $\tilde{T}_v V$  for  $V \in \dot{\mathbf{M}}\mathbf{an}$ , as in Example 10.2(iv). Then a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  is étale if and only if  $\mathbf{f}$  is simple and  $\tilde{T}_x \mathbf{f} : \tilde{T}_x \mathbf{X} \rightarrow \tilde{T}_x \mathbf{Y}$ ,  $\tilde{O}_x \mathbf{f} : \tilde{O}_x \mathbf{X} \rightarrow \tilde{O}_x \mathbf{Y}$  are isomorphisms for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . If this holds then  $\mathbf{f}$  is an equivalence if and only if  $f : X \rightarrow Y$  is a bijection.*

*Proof.* Parts (a),(c),(d) follow from Theorems 10.54–10.55 and Examples 10.2, 10.10 and 10.12. Part (b) does *not* follow directly from Theorems 10.54–10.55, since as in Example 10.10(b), Assumption 10.9 fails in  $\dot{\mathbf{M}}\mathbf{an}^c$  for ordinary tangent spaces  $T_v V$ . Instead, we deduce (b) indirectly from (d). Suppose  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is simple and  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . Then  $\tilde{N}_x \mathbf{f} : \tilde{N}_x \mathbf{X} \rightarrow \tilde{N}_y \mathbf{Y}$  from Example 10.32(a) is an isomorphism as  $\mathbf{f}$  is simple, so from equation (10.47) of Example 10.33 with exact rows we see that  $T_x \mathbf{f}, O_x \mathbf{f}$  are isomorphisms if and only if  $\tilde{T}_x \mathbf{f}, \tilde{O}_x \mathbf{f}$  are isomorphisms, and thus (b) follows from (d).  $\square$

Here is a criterion for when a 1-morphism of  $m$ -Kuranishi neighbourhoods is a coordinate change.

**Theorem 10.57.** *Suppose  $\mathbf{Man}$  satisfies Assumptions 3.1–3.7, 10.1, 10.9 and 10.11, with tangent spaces  $T_v V$  for  $V \in \mathbf{Man}$ , and discrete properties  $\mathbf{A}, \mathbf{B}$ .*

*Let  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be a 1-morphism of  $m$ -Kuranishi neighbourhoods in  $\mathbf{Man}$  on a topological space  $X$  over an open  $S \subseteq X$ , as in §4.1, and suppose  $\Phi_{ij}$  is  $\mathbf{B}$ . Let  $x \in S$ , and set  $v_i = \psi_i^{-1}(x) \in V_i$  and  $v_j = \psi_j^{-1}(x) \in V_j$ . Consider the sequence of real vector spaces:*

$$0 \longrightarrow T_{v_i} V_i \xrightarrow{d_{v_i} s_i|_{v_i} \oplus T_{v_i} \phi_{ij}} E_i|_{v_i} \oplus T_{v_j} V_j \xrightarrow{-\hat{\phi}_{ij}|_{v_i} \oplus d_{v_j} s_j} E_j|_{v_j} \longrightarrow 0. \quad (10.58)$$

*Here  $d_{v_i} s_i, d_{v_j} s_j$  are as in Definition 10.6, and differentiating Definition 4.2(d) at  $v_i$  implies that (10.58) is a complex. Then  $\Phi_{ij}$  is a coordinate change over  $S$  in the sense of Definition 4.10 if and only if (10.58) is exact for all  $x \in S$ .*

*The ‘only if’ part does not require Assumptions 10.9 and 10.11.*

*Proof.* We can regard  $\Phi_{ij}$  as a 1-morphism  $\Phi'_{ij} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}$  between  $m$ -Kuranishi spaces  $\mathbf{X}, \mathbf{Y}$  with only one  $m$ -Kuranishi neighbourhood, where the underlying continuous map of  $\Phi'_{ij}$  is  $\text{id}_S : S \rightarrow S$ . Then  $\Phi_{ij}$  is a coordinate change if and only if  $\Phi'_{ij}$  is an equivalence in  $\mathbf{mKur}$ , which holds if and only if  $\Phi'_{ij}$  is étale by Theorem 10.54, as  $\text{id}_S : S \rightarrow S$  is a bijection.

Let  $x \in S$ , and set  $v_i = \psi_i^{-1}(x) \in V_i$  and  $v_j = \psi_j^{-1}(x) \in V_j$ . As in (10.28) we have a commutative diagram with exact rows

$$\begin{array}{ccccccccc} 0 & \longrightarrow & T_x \mathbf{X} & \longrightarrow & T_{v_i} V_i & \xrightarrow{\quad} & E_i|_{v_i} & \longrightarrow & O_x \mathbf{X} & \longrightarrow & 0 \\ & & \downarrow T_x \Phi'_{ij} & & \downarrow T_{v_i} \phi_{ij} & & \hat{\phi}_{ij}|_{v_i} \downarrow & & O_x \Phi'_{ij} \downarrow & & \\ 0 & \longrightarrow & T_x \mathbf{Y} & \longrightarrow & T_{v_j} V_j & \xrightarrow{\quad} & E_j|_{v_j} & \longrightarrow & O_x \mathbf{Y} & \longrightarrow & 0 \end{array}$$

By elementary linear algebra we can show that (10.58) is exact if and only if  $T_x \Phi'_{ij}$  and  $O_x \Phi'_{ij}$  are isomorphisms. Thus (10.58) is exact for all  $x \in S$  if and only if  $T_x \Phi'_{ij}, O_x \Phi'_{ij}$  are isomorphisms for all  $x \in S$ , if and only if  $\Phi'_{ij}$  is étale by Theorem 10.55, if and only if  $\Phi_{ij}$  is a coordinate change.  $\square$

We apply Theorem 10.57 to our examples of 2-categories  $\mathbf{mKur}$ . Here as for Theorem 10.56, parts (a),(c),(d) follow from Theorem 10.57 and Examples 10.2, 10.10 and 10.12, and (b) can be deduced indirectly from (d), equation (10.47) of Example 10.33, and the proof of Theorem 10.57.

**Theorem 10.58.** *Working in a category  $\mathbf{Man}$  which we specify in (a)–(d) below, let  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  be a 1-morphism of  $m$ -Kuranishi neighbourhoods on a topological space  $X$  over an open  $S \subseteq X$ , and for each  $x \in S$ , set  $v_i = \psi_i^{-1}(x) \in V_i$  and  $v_j = \psi_j^{-1}(x) \in V_j$ . Then:*

(a) *If  $\mathbf{Man} = \mathbf{Man}$  then  $\Phi_{ij}$  is a coordinate change over  $S$  if and only if the following complex is exact for all  $x \in S$ :*

$$0 \longrightarrow T_{v_i} V_i \xrightarrow{d_{v_i} s_i|_{v_i} \oplus T_{v_i} \phi_{ij}} E_i|_{v_i} \oplus T_{v_j} V_j \xrightarrow{-\hat{\phi}_{ij}|_{v_i} \oplus d_{v_j} s_j} E_j|_{v_j} \longrightarrow 0. \quad (10.59)$$



(b) If  $\mathring{\mathbf{Man}} = \mathbf{Man}^c$  then  $\Phi_{ij}$  is a coordinate change over  $S$  if and only if  $\phi_{ij}$  is simple near  $\psi_i^{-1}(S)$  and (10.59) is exact for all  $x \in S$ .

(c) If  $\mathring{\mathbf{Man}}$  is one of  $\mathbf{Man}^c$ ,  $\mathbf{Man}^{gc}$ ,  $\mathbf{Man}^{ac}$  or  $\mathbf{Man}^{c,ac}$  then  $\Phi_{ij}$  is a coordinate change over  $S$  if and only if  $\phi_{ij}$  is simple near  $\psi_i^{-1}(S)$  and using  $b$ -tangent spaces from §2.3, the following is exact for all  $x \in S$ :

$$0 \longrightarrow {}^bT_{v_i} V_i \xrightarrow{{}^b d_{v_i} s_i|_{v_i} \oplus {}^b T_{v_i} \phi_{ij}} E_i|_{v_i} \oplus {}^b T_{v_j} V_j \xrightarrow{-\hat{\phi}_{ij}|_{v_i} \oplus {}^b d_{v_j} s_j} E_j|_{v_j} \longrightarrow 0.$$

(d) If  $\mathring{\mathbf{Man}}$  is one of  $\mathbf{Man}^c$ ,  $\mathbf{Man}^{gc}$ ,  $\mathbf{Man}^{ac}$  or  $\mathbf{Man}^{c,ac}$  then  $\Phi_{ij}$  is a coordinate change over  $S$  if and only if  $\phi_{ij}$  is simple near  $\psi_i^{-1}(S)$  and using stratum tangent spaces  $\tilde{T}_v V$  from Example 10.2(iv), the following is exact for all  $x \in S$ :

$$0 \longrightarrow \tilde{T}_{v_i} V_i \xrightarrow{\tilde{d}_{v_i} s_i|_{v_i} \oplus \tilde{T}_{v_i} \phi_{ij}} E_i|_{v_i} \oplus \tilde{T}_{v_j} V_j \xrightarrow{-\hat{\phi}_{ij}|_{v_i} \oplus \tilde{d}_{v_j} s_j} E_j|_{v_j} \longrightarrow 0.$$

### 10.5.2 Étale morphisms, isomorphisms, and coordinate changes in $\mu\mathring{\mathbf{Kur}}$

All the material of §10.5.1 has analogues for  $\mu$ -Kuranishi spaces  $\mu\mathring{\mathbf{Kur}}$  from Chapter 5. As  $\mu\mathring{\mathbf{Kur}}$  is an ordinary category, we replace equivalences in  $\mathbf{m}\mathring{\mathbf{Kur}}$  in §10.5.1 by isomorphisms in  $\mu\mathring{\mathbf{Kur}}$ . So we define a morphism  $f : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mu\mathring{\mathbf{Kur}}$  to be *étale* if it is a local isomorphism, that is, if for all  $x \in \mathbf{X}$  with  $f(x) = y$  in  $\mathbf{Y}$  there exist open neighbourhoods  $\mathbf{X}'$  of  $x$  in  $\mathbf{X}$  and  $\mathbf{Y}'$  of  $y$  in  $\mathbf{Y}$  such that  $f(\mathbf{X}') \subseteq \mathbf{Y}'$ , and  $f|_{\mathbf{X}'} : \mathbf{X}' \rightarrow \mathbf{Y}'$  is an isomorphism in  $\mu\mathring{\mathbf{Kur}}$ .

The analogue of Theorem 10.54 for  $\mu\mathring{\mathbf{Kur}}$  is much easier than the  $\mathbf{m}\mathring{\mathbf{Kur}}$  case in §10.5.1: it is a more-or-less immediate consequence of the sheaf property Theorem 5.10. The analogues of Theorems 10.55–10.58 have essentially the same proofs. We leave the details to the reader.

### 10.5.3 Étale 1-morphisms, equivalences, and coordinate changes in $\mathring{\mathbf{Kur}}$

We now extend the material of §10.5.1 to Kuranishi spaces  $\mathring{\mathbf{Kur}}$  from Chapter 6. Our analogue of Definition 10.53 for Kuranishi spaces is just the same:

**Definition 10.59.** Let  $f : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism in  $\mathring{\mathbf{Kur}}$ . We call  $f$  *étale* if it is a local equivalence. That is,  $f$  is étale if for all  $x \in \mathbf{X}$  with  $f(x) = y$  in  $\mathbf{Y}$  there exist open neighbourhoods  $\mathbf{X}'$  of  $x$  in  $\mathbf{X}$  and  $\mathbf{Y}'$  of  $y$  in  $\mathbf{Y}$  such that  $f(\mathbf{X}') \subseteq \mathbf{Y}'$ , and  $f|_{\mathbf{X}'} : \mathbf{X}' \rightarrow \mathbf{Y}'$  is an equivalence in  $\mathring{\mathbf{Kur}}$ .

If  $f : \mathbf{X} \rightarrow \mathbf{Y}$  is étale and  $x \in \mathbf{X}$  with  $f(x) = y$  in  $\mathbf{Y}$  then  $G_x f : G_x \mathbf{X} \rightarrow G_y \mathbf{Y}$  from §6.5 is an isomorphism, since this holds for equivalences in  $\mathring{\mathbf{Kur}}$ .

**Remark 10.60.** Our definition of étale is stronger than the usual definition of étale 1-morphisms of stacks in algebraic geometry, in which a 1-morphism

$f : X \rightarrow Y$  is étale if it is representable and a local isomorphism *in the étale topology*, rather than the Zariski topology. With the algebro-geometric definition, which we do not use,  $G_x \mathbf{f} : G_x \mathbf{X} \rightarrow G_y \mathbf{Y}$  need only be injective, not an isomorphism.

Here is the analogue of Theorem 10.54. It is proved in the same way, except that we ought to work in weak 2-categories rather than strict 2-categories, so in expressions like  $\mathbf{g}_{j'i} \circ \mathbf{f}_{ij'} \circ \mathbf{g}_{ji}$  we have to insert brackets  $(\mathbf{g}_{j'i} \circ \mathbf{f}_{ij'}) \circ \mathbf{g}_{ji}$ , and insert extra 2-morphisms  $\alpha_{*,**}, \beta_*, \gamma_*$  from §6.1, which makes diagrams like Figure 10.1 grow unreasonably large. Since any weak 2-category can be strictified as in §A.3, the strict 2-category proof is guaranteed to extend.

**Theorem 10.61.** *A 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\dot{\mathbf{K}}\mathbf{ur}$  is an equivalence if and only if  $\mathbf{f}$  is étale and the underlying continuous map  $f : X \rightarrow Y$  is a bijection.*

Here is the analogue of Theorem 10.55. Its proof is a straightforward modification of that in §10.5.1 to include finite groups. We use Proposition 10.50 and Theorem 6.45(b) in place of Proposition 10.40 and Theorem 4.56(b) to obtain the 1-morphism  $\mathbf{f}_{ab} : (U_a, D_a, B_a, r_a, \chi_a) \rightarrow (V_b, E_b, \Gamma_b, s_b, \psi_b)$  over  $(\text{Im } \chi_a, \mathbf{f})$ . As  $(U_a, D_a, B_a, r_a, \chi_a), (V_b, E_b, \Gamma_b, s_b, \psi_b)$  are minimal at  $x, y$  we have  $B_a \cong G_x \mathbf{X}$ ,  $\Gamma_b \cong G_y \mathbf{Y}$ , so  $G_x \mathbf{f} : G_x \mathbf{X} \rightarrow G_y \mathbf{Y}$  an isomorphism implies that  $B_a \cong \Gamma_b$ , which is used in the proof that we can modify  $\mathbf{f}_{ab}$  to a strict isomorphism of Kuranishi neighbourhoods.

**Theorem 10.62.** *Suppose the category  $\dot{\mathbf{M}}\mathbf{an}$  used to define  $\dot{\mathbf{K}}\mathbf{ur}$  satisfies Assumptions 3.1–3.7, 10.1, 10.9 and 10.11, with tangent spaces written  $T_u U$  for  $U \in \dot{\mathbf{M}}\mathbf{an}$ , and discrete properties  $\mathbf{A}, \mathbf{B}$ , where if  $f : U \rightarrow V$  in  $\dot{\mathbf{M}}\mathbf{an}$  is  $\mathbf{A}$  then tangent maps  $T_u f : T_u U \rightarrow T_v V$  are defined, and if  $f$  is  $\mathbf{B}$  (which implies  $\mathbf{A}$ ) and  $T_u f$  is an isomorphism then  $f$  is a local diffeomorphism near  $u$ .*

*Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism in  $\dot{\mathbf{K}}\mathbf{ur}$ . Then  $\mathbf{f}$  is étale if and only if  $\mathbf{f}$  is  $\mathbf{B}$  and  $G_x \mathbf{f} : G_x \mathbf{X} \rightarrow G_y \mathbf{Y}$ ,  $T_x \mathbf{f} : T_x \mathbf{X} \rightarrow T_y \mathbf{Y}$ ,  $O_x \mathbf{f} : O_x \mathbf{X} \rightarrow O_y \mathbf{Y}$  from §6.5 and §10.2.3 are isomorphisms for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ .*

*The ‘only if’ part does not require Assumptions 10.9 and 10.11.*

Here are the analogues of Theorem 10.56–10.58, all three proved in the same way, but using Theorems 10.61–10.62 in place of Theorems 10.54–10.55.

**Theorem 10.63. (a)** *Work in the 2-category of Kuranishi spaces  $\mathbf{Kur}$  constructed from  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}$ , using ordinary tangent spaces  $T_v V$  for  $V \in \mathbf{Man}$ . Then a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{Kur}$  is étale if and only if  $G_x \mathbf{f} : G_x \mathbf{X} \rightarrow G_y \mathbf{Y}$ ,  $T_x \mathbf{f} : T_x \mathbf{X} \rightarrow T_y \mathbf{Y}$ ,  $O_x \mathbf{f} : O_x \mathbf{X} \rightarrow O_y \mathbf{Y}$  are isomorphisms for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . If this holds then  $\mathbf{f}$  is an equivalence if and only if  $f : X \rightarrow Y$  is a bijection.*

**(b)** *Work in the 2-category  $\mathbf{Kur}^c$  constructed from  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}^c$ , using ordinary tangent spaces  $T_v V$  for  $V \in \mathbf{Man}^c$ . Then a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\mathbf{Kur}^c$  is étale if and only if  $\mathbf{f}$  is simple and  $G_x \mathbf{f} : G_x \mathbf{X} \rightarrow G_y \mathbf{Y}$ ,  $T_x \mathbf{f} : T_x \mathbf{X} \rightarrow T_y \mathbf{Y}$ ,  $O_x \mathbf{f} : O_x \mathbf{X} \rightarrow O_y \mathbf{Y}$  are isomorphisms for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . If this holds then  $\mathbf{f}$  is an equivalence if and only if  $f : X \rightarrow Y$  is a bijection.*

(c) Work in one of  $\dot{\mathbf{K}}\mathbf{ur} = \mathbf{Kur}^c, \mathbf{Kur}^{gc}, \mathbf{Kur}^{ac}$  or  $\mathbf{Kur}^{c,ac}$  constructed from  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}^c, \mathbf{Man}^{gc}, \mathbf{Man}^{ac}$  or  $\mathbf{Man}^{c,ac}$ , using  $b$ -tangent spaces  ${}^bT_vV$  for  $V \in \dot{\mathbf{M}}\mathbf{an}$ , as in §2.3. Then a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\dot{\mathbf{K}}\mathbf{ur}$  is étale if and only if  $\mathbf{f}$  is simple and  $G_x\mathbf{f} : G_x\mathbf{X} \rightarrow G_y\mathbf{Y}$ ,  ${}^bT_x\mathbf{f} : {}^bT_x\mathbf{X} \rightarrow {}^bT_y\mathbf{Y}$ ,  ${}^bO_x\mathbf{f} : {}^bO_x\mathbf{X} \rightarrow {}^bO_y\mathbf{Y}$  are isomorphisms for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . If this holds then  $\mathbf{f}$  is an equivalence if and only if  $f : X \rightarrow Y$  is a bijection.

(d) Work in one of  $\dot{\mathbf{K}}\mathbf{ur} = \mathbf{Kur}^c, \mathbf{Kur}^{gc}, \mathbf{Kur}^{ac}$  or  $\mathbf{Kur}^{c,ac}$  constructed from  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}^c, \mathbf{Man}^{gc}, \mathbf{Man}^{ac}$  or  $\mathbf{Man}^{c,ac}$ , using stratum tangent spaces  $\tilde{T}_vV$  for  $V \in \dot{\mathbf{M}}\mathbf{an}$ , as in Example 10.2(iv). Then a 1-morphism  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\dot{\mathbf{K}}\mathbf{ur}$  is étale if and only if  $\mathbf{f}$  is simple and  $G_x\mathbf{f} : G_x\mathbf{X} \rightarrow G_y\mathbf{Y}$ ,  $\tilde{T}_x\mathbf{f} : \tilde{T}_x\mathbf{X} \rightarrow \tilde{T}_y\mathbf{Y}$ ,  $\tilde{O}_x\mathbf{f} : \tilde{O}_x\mathbf{X} \rightarrow \tilde{O}_y\mathbf{Y}$  are isomorphisms for all  $x \in \mathbf{X}$  with  $\mathbf{f}(x) = y$  in  $\mathbf{Y}$ . If this holds then  $\mathbf{f}$  is an equivalence if and only if  $f : X \rightarrow Y$  is a bijection.

**Theorem 10.64.** Suppose  $\dot{\mathbf{M}}\mathbf{an}$  satisfies Assumptions 3.1–3.7, 10.1, 10.9 and 10.11, with tangent spaces  $T_vV$  for  $V \in \dot{\mathbf{M}}\mathbf{an}$ , and discrete properties  $\mathbf{A}, \mathbf{B}$ .

Let  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be a 1-morphism of Kuranishi neighbourhoods over  $S \subseteq X$ , as in §6.1, and suppose  $\Phi_{ij}$  is  $\mathbf{B}$ . Let  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S)) \subseteq P_{ij}$ , and set  $v_i = \pi_{ij}(p) \in V_i$  and  $v_j = \phi_{ij}(p) \in V_j$ . As in (10.58), consider the sequence of real vector spaces:

$$0 \rightarrow T_{v_i}V_i \xrightarrow{d_{v_i} s_i \oplus (T_p \phi_{ij} \circ (T_p \pi_{ij})^{-1})} E_i|_{v_i} \oplus T_{v_j}V_j \xrightarrow{-\hat{\phi}_{ij}|_p \oplus d_{v_j} s_j} E_j|_{v_j} \rightarrow 0. \quad (10.60)$$

Here  $T_p \pi_{ij} : T_p P_{ij} \rightarrow T_{v_i}V_i$  is invertible as  $\pi_{ij}$  is étale. Differentiating Definition 6.2(e) at  $p$  implies that (10.60) is a complex. Also consider the morphism of finite groups

$$\begin{aligned} \rho_p : \{(\gamma_i, \gamma_j) \in \Gamma_i \times \Gamma_j : (\gamma_i, \gamma_j) \cdot p = p\} &\longrightarrow \{\gamma_j \in \Gamma_j : \gamma_j \cdot v_j = v_j\}, \\ \rho_p : (\gamma_i, \gamma_j) &\longmapsto \gamma_j. \end{aligned} \quad (10.61)$$

Then  $\Phi_{ij}$  is a coordinate change over  $S$ , in the sense of Definition 6.11, if and only if (10.60) is exact and (10.61) is an isomorphism for all  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ .

The ‘only if’ part does not require Assumptions 10.9 and 10.11.

**Theorem 10.65.** Working in a category  $\dot{\mathbf{M}}\mathbf{an}$  which we specify in (a)–(d) below, let  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij}) : (V_i, E_i, \Gamma_i, s_i, \psi_i) \rightarrow (V_j, E_j, \Gamma_j, s_j, \psi_j)$  be a 1-morphism of Kuranishi neighbourhoods on a topological space  $X$  over an open subset  $S \subseteq X$ . Let  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S)) \subseteq P_{ij}$ , set  $v_i = \pi_{ij}(p) \in V_i$  and  $v_j = \phi_{ij}(p) \in V_j$ , and consider the morphism of finite groups

$$\begin{aligned} \rho_p : \{(\gamma_i, \gamma_j) \in \Gamma_i \times \Gamma_j : (\gamma_i, \gamma_j) \cdot p = p\} &\longrightarrow \{\gamma_j \in \Gamma_j : \gamma_j \cdot v_j = v_j\}, \\ \rho_p : (\gamma_i, \gamma_j) &\longmapsto \gamma_j. \end{aligned} \quad (10.62)$$

Then:

(a) If  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}$  then  $\Phi_{ij}$  is a coordinate change over  $S$  if and only if for all  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , equation (10.62) is an isomorphism, and the following is exact:

$$0 \rightarrow T_{v_i}V_i \xrightarrow{d_{v_i} s_i \oplus (T_p \phi_{ij} \circ (T_p \pi_{ij})^{-1})} E_i|_{v_i} \oplus T_{v_j}V_j \xrightarrow{-\hat{\phi}_{ij}|_p \oplus d_{v_j} s_j} E_j|_{v_j} \rightarrow 0. \quad (10.63)$$

(b) If  $\mathbf{Man} = \mathbf{Man}^c$  then  $\Phi_{ij}$  is a coordinate change over  $S$  if and only if  $\phi_{ij}$  is simple near  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , and for all  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , equation (10.62) is an isomorphism and (10.63) is exact.

(c) If  $\mathbf{Man}$  is one of  $\mathbf{Man}^c$ ,  $\mathbf{Man}^{gc}$ ,  $\mathbf{Man}^{ac}$  or  $\mathbf{Man}^{c,ac}$  then  $\Phi_{ij}$  is a coordinate change over  $S$  if and only if  $\phi_{ij}$  is simple near  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , and using  $b$ -tangent spaces from §2.3, for all  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , equation (10.62) is an isomorphism and the following is exact:

$$0 \longrightarrow {}^bT_{v_i}V_i \xrightarrow{{}^b d_{v_i} s_i \oplus ({}^b T_p \phi_{ij} \circ ({}^b T_p \pi_{ij})^{-1})} E_i|_{v_i} \oplus {}^b T_{v_j}V_j \xrightarrow{-\hat{\phi}_{ij}|_p \oplus {}^b d_{v_j} s_j} E_j|_{v_j} \longrightarrow 0.$$

(d) If  $\mathbf{Man}$  is one of  $\mathbf{Man}^c$ ,  $\mathbf{Man}^{gc}$ ,  $\mathbf{Man}^{ac}$  or  $\mathbf{Man}^{c,ac}$  then  $\Phi_{ij}$  is a coordinate change over  $S$  if and only if  $\phi_{ij}$  is simple near  $\pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , and using stratum tangent spaces  $\tilde{T}_v V$  from Example 10.2(iv), for all  $p \in \pi_{ij}^{-1}(\bar{\psi}_i^{-1}(S))$ , equation (10.62) is an isomorphism and the following is exact:

$$0 \longrightarrow \tilde{T}_{v_i}V_i \xrightarrow{\tilde{d}_{v_i} s_i \oplus (\tilde{T}_p \phi_{ij} \circ (\tilde{T}_p \pi_{ij})^{-1})} E_i|_{v_i} \oplus \tilde{T}_{v_j}V_j \xrightarrow{-\hat{\phi}_{ij}|_p \oplus \tilde{d}_{v_j} s_j} E_j|_{v_j} \longrightarrow 0.$$

Theorem 10.65(a)–(c) was quoted as Theorem 6.12 in volume I, and applied in Chapter 7 of volume I to show that FOOO coordinate changes and MW coordinate changes correspond to coordinate changes of Kuranishi neighbourhoods in our sense. This was important in the proofs in §7.5 that the geometric structures of Fukaya, Oh, Ohta and Ono [10–30], McDuff and Wehrheim [49, 50, 52–55], Yang [71–73], and Hofer, Wysocki and Zehnder [34–41], can all be mapped to our Kuranishi spaces.

## 10.6 Determinants of complexes

We now explain some homological algebra that will be needed in §10.7 to define canonical line bundles and orientations of (m-)Kuranishi spaces.

If  $E$  is a finite-dimensional real vector space the *determinant* is  $\det E = \Lambda^{\dim E} E$ , so that  $\det E \cong \mathbb{R}$ , and if  $F$  is another vector space with  $\dim E = \dim F$  and  $\alpha : E \rightarrow F$  is a linear map, we write  $\det \alpha = \Lambda^{\dim E} \alpha : \det E \rightarrow \det F$ . When  $E = \mathbb{R}^n$  then  $\det \alpha : \mathbb{R} \rightarrow \mathbb{R}$  is multiplication by the usual determinant of  $\alpha$  as an  $n \times n$  matrix. More generally, if  $E \rightarrow X$  is a real vector bundle over a space  $X$  we write  $\det E = \Lambda^{\text{rank } E} E$ , so that  $\det E \rightarrow X$  is a real line bundle.

Our aim is to extend determinants  $\det(E^\bullet)$  to finite-dimensional complexes  $E^\bullet = (\dots \rightarrow E^k \xrightarrow{d^k} E^{k+1} \rightarrow \dots)$  of vector spaces or vector bundles, and to relate  $\det(E^\bullet)$  to  $\det(H^*(E^\bullet))$ . In §10.7, if  $(V, E, s, \psi)$  is an m-Kuranishi neighbourhood we will apply this to the complex  $TV|_{s^{-1}(0)} \xrightarrow{ds} E|_{s^{-1}(0)}$ . Most of our results will only be used for length 2 complexes, but we prove the general case anyway. The subject involves many sign computations. Some of our orientation conventions — how to define orientations on (m-)Kuranishi spaces  $\mathbf{X}$ ,  $\mathbf{Y}$ ,  $\mathbf{Z}$ , and on products  $\mathbf{X} \times \mathbf{Y}$  and fibre products  $\mathbf{X} \times_{\mathbf{Z}} \mathbf{Y}$  — are implicit in the choices of signs in equations such as (10.66), (10.69), and (10.93).

### 10.6.1 Determinants of complexes, and of their cohomology

If  $E^\bullet = (E^*, d)$  is a bounded complex of finite-dimensional real vector spaces, we can form its *determinant*  $\det(E^\bullet) = \bigotimes_{k \in \mathbb{Z}} (\Lambda^{\dim E^k} E^k)^{(-1)^k}$ , a 1-dimensional real vector space. We now define an isomorphism  $\Theta_{E^\bullet}$  between  $\det(E^\bullet)$  and the determinant  $\det(H^*(E^\bullet))$  of the cohomology of  $E^\bullet$ .

**Definition 10.66.** If  $E$  is a finite-dimensional real vector space we write  $\det E = \Lambda^{\dim E} E$  for its top exterior power, so that  $\det E$  is a 1-dimensional real vector space, with  $\det E = \mathbb{R}$  if  $E = 0$ , and we write  $(\det E)^{-1}$  for the dual vector space  $(\det E)^*$ . We also use the same notation if  $E \rightarrow X$  is a vector bundle over some space  $X$ , so that  $\det E = \Lambda^{\text{rank } E} E$  is a real line bundle on  $X$ .

Suppose we are given a complex  $E^\bullet$  of real vector spaces

$$\dots \xrightarrow{d^{k-2}} E^{k-1} \xrightarrow{d^{k-1}} E^k \xrightarrow{d^k} E^{k+1} \xrightarrow{d^{k+1}} E^{k+2} \xrightarrow{d^{k+2}} \dots, \quad (10.64)$$

for  $k \in \mathbb{Z}$ , with  $d^{k+1} \circ d^k = 0$ , where the  $E^k$  should be finite-dimensional with  $E^k = 0$  for  $|k| \gg 0$ , say  $E^k = 0$  unless  $a \leq k \leq b$  for  $a \leq b \in \mathbb{Z}$ . Write  $H^k(E^\bullet)$  for the  $k^{\text{th}}$  cohomology group of  $E^\bullet$ , so that  $H^k(E^\bullet) = \text{Ker } d^k / \text{Im } d^{k-1}$  for  $k \in \mathbb{Z}$ . We will define an isomorphism

$$\Theta_{E^\bullet} : \bigotimes_{k=a}^b (\det E^k)^{(-1)^k} \longrightarrow \bigotimes_{k=a}^b (\det H^k(E^\bullet))^{(-1)^k}. \quad (10.65)$$

If  $k < a$  or  $k > b$  we have  $E^k = H^k(E^\bullet) = 0$  and  $\det E^k = \det H^k(E^\bullet) = \mathbb{R}$ , and such terms do not change the tensor products in (10.65), so the left and right hand sides are independent of the choice of  $a, b$  with  $E^k = 0$  unless  $a \leq k \leq b$ .

For each  $k \in \mathbb{Z}$  define  $m^k = \dim H^k(E^\bullet)$  and  $n^k = \dim \text{Im } d^k$ , so that  $\dim E^k = n^{k-1} + m^k + n^k$ . By induction on increasing  $k$ , choose bases  $u_1^k, \dots, u_{n^{k-1}}^k, v_1^k, \dots, v_{m^k}^k, w_1^k, \dots, w_{n^k}^k$  for  $E^k$  for each  $k \in \mathbb{Z}$ , such that  $u_1^k, \dots, u_{n^{k-1}}^k$  is a basis for  $\text{Im } d^{k-1} \subseteq E^k$ , and  $u_1^k, \dots, u_{n^{k-1}}^k, v_1^k, \dots, v_{m^k}^k$  is a basis for  $\text{Ker } d^k \subseteq E^k$ , which forces  $d^k u_i^k = d^k v_j^k = 0$  for all  $i, j$ , and  $d^k w_i^k = u_i^{k+1}$  for  $i = 1, \dots, n^k$ . Then  $[v_1^k], \dots, [v_{m^k}^k]$  is a basis for  $H^k(E^\bullet)$ , where  $[v_i^k]$  means  $v_i^k + \text{Im } d^{k-1}$ .

Define  $\Theta_{E^\bullet}$  to be the unique isomorphism in (10.65) such that

$$\Theta_{E^\bullet} : \bigotimes_{k=a}^b (u_1^k \wedge \dots \wedge u_{n^{k-1}}^k \wedge v_1^k \wedge \dots \wedge v_{m^k}^k \wedge w_1^k \wedge \dots \wedge w_{n^k}^k)^{(-1)^k} \longmapsto \prod_{k=a}^b (-1)^{n^k(n^k+1)/2} \cdot \bigotimes_{k=a}^b ([v_1^k] \wedge \dots \wedge [v_{m^k}^k])^{(-1)^k}. \quad (10.66)$$

To show that this is independent of the choice of  $u_i^k, v_i^k, w_i^k$ , suppose  $\tilde{u}_i^k, \tilde{v}_i^k, \tilde{w}_i^k$  are alternative choices. Then the two bases for  $E^k$  are related by a matrix

$$\begin{pmatrix} (\tilde{u}_i^k)_{i=1}^{n^{k-1}} \\ (\tilde{v}_i^k)_{i=1}^{m^k} \\ (\tilde{w}_i^k)_{i=1}^{n^k} \end{pmatrix} = \begin{pmatrix} A^k & 0 & 0 \\ * & B^k & 0 \\ * & * & C^k \end{pmatrix} \begin{pmatrix} (u_i^k)_{i=1}^{n^{k-1}} \\ (v_i^k)_{i=1}^{m^k} \\ (w_i^k)_{i=1}^{n^k} \end{pmatrix}$$

Here  $A^k, B^k, C^k$  are  $n^{k-1} \times n^{k-1}$  and  $m^k \times m^k$  and  $n^k \times n^k$  real matrices, respectively, and the matrix has this lower triangular form as

$$\begin{aligned} \langle \tilde{u}_1^k, \dots, \tilde{u}_{n^{k-1}}^k \rangle &= \text{Im } d^{k-1} = \langle u_1^k, \dots, u_{n^{k-1}}^k \rangle \quad \text{and} \\ \langle \tilde{u}_1^k, \dots, \tilde{u}_{n^{k-1}}^k, \tilde{v}_1^k, \dots, \tilde{v}_{m^k}^k \rangle &= \text{Ker } d^k = \langle u_1^k, \dots, u_{n^{k-1}}^k, v_1^k, \dots, v_{m^k}^k \rangle. \end{aligned}$$

Also the two bases for  $H^k(E^\bullet)$  are related by the matrix

$$([\tilde{v}_i^k]_{i=1}^{m^k}) = B^k([v_i^k]_{i=1}^{m^k}).$$

Thus we see that

$$\begin{aligned} &\tilde{u}_1^k \wedge \dots \wedge \tilde{u}_{n^{k-1}}^k \wedge \tilde{v}_1^k \wedge \dots \wedge \tilde{v}_{m^k}^k \wedge \tilde{w}_1^k \wedge \dots \wedge \tilde{w}_{n^k}^k \\ &= \det(A^k) \det(B^k) \det(C^k) \cdot u_1^k \wedge \dots \wedge u_{n^{k-1}}^k \wedge v_1^k \wedge \dots \wedge v_{m^k}^k \wedge w_1^k \wedge \dots \wedge w_{n^k}^k, \\ &[\tilde{v}_1^k] \wedge \dots \wedge [\tilde{v}_{m^k}^k] = \det(B^k) \cdot [v_1^k] \wedge \dots \wedge [v_{m^k}^k]. \end{aligned}$$

Hence, if we change from the basis  $u_1^k, \dots, w_{n^k}^k$  of  $E^k$  to the basis  $\tilde{u}_1^k, \dots, \tilde{w}_{n^k}^k$  for all  $k$ , then the left hand side of (10.66) is multiplied by the factor

$$\prod_{k=a}^b (\det(A^k) \det(B^k) \det(C^k))^{(-1)^k}, \quad (10.67)$$

but the right hand side of (10.66) is multiplied by the apparently different factor

$$\prod_{k=a}^b (\det(B^k))^{(-1)^k}. \quad (10.68)$$

However, as  $d^k w_i^k = u_i^{k+1}$ ,  $d^k \tilde{w}_i^k = \tilde{u}_i^{k+1}$  we see that  $C^k = A^{k+1}$ , so that  $\det(C^k) = \det(A^{k+1})$ , and also  $\det(A^a) = 1$  as  $n^{a-1} = 0$  and  $\det(C^b) = 1$  as  $n^b = 0$ . Therefore (10.67) and (10.68) are equal, so (10.66) is independent of the choice of bases  $u_1^k, \dots, w_{n^k}^k$  of  $E^k$ , and  $\Theta_{E^\bullet}$  is well defined.

Suppose now that  $E^\bullet$  in (10.64) is exact. Then  $m^k = 0$  for all  $k$ , so as above we choose bases  $u_1^k, \dots, u_{n^{k-1}}^k, w_1^k, \dots, w_{n^k}^k$  for  $E^k$  for each  $k \in \mathbb{Z}$  with  $d^k u_i^k = 0$  and  $d^k w_i^k = u_i^{k+1}$  for all  $i, k$ . Define

$$\Psi_{E^\bullet} = \bigotimes_{k=a}^b (u_1^k \wedge \dots \wedge u_{n^{k-1}}^k \wedge w_1^k \wedge \dots \wedge w_{n^k}^k)^{(-1)^k} \in \bigotimes_{k=a}^b (\det E^k)^{(-1)^k}. \quad (10.69)$$

This is independent of choices as above.

### 10.6.2 A continuity property of the isomorphisms $\Theta_{E^\bullet}$

We now prove a continuity property for the isomorphisms  $\Theta_{E^\bullet}$  in §10.6.1. It will be used in §10.7.1 to define canonical line bundles  $K_{\mathbf{X}}$  of m-Kuranishi spaces  $\mathbf{X}$ . Here (10.72) determines  $\Xi_{\theta^\bullet}|_x$  for  $x \in X$ . The point is that these  $\Xi_{\theta^\bullet}|_x$  depend continuously on  $x \in X$ , and so form an isomorphism of topological line bundles  $\Xi_{\theta^\bullet}$  in (10.71). The sign  $\prod_k (-1)^{n^k(n^k+1)/2}$  in (10.66) is needed to ensure this.

**Proposition 10.67.** *Suppose that  $X$  is a topological space, and we are given a commutative diagram of topological vector bundles and their morphisms on  $X$ :*

$$\begin{array}{ccccccccccc} \cdots & \longrightarrow & E^{k-1} & \xrightarrow{\quad} & E^k & \xrightarrow{\quad} & E^{k+1} & \xrightarrow{\quad} & E^{k+2} & \longrightarrow & \cdots \\ & & \downarrow \theta^{k-1} & & \downarrow \theta^k & & \downarrow \theta^{k+1} & & \downarrow \theta^{k+2} & & \\ \cdots & \xrightarrow{\quad} & \check{E}^{k-1} & \xrightarrow{\quad} & \check{E}^k & \xrightarrow{\quad} & \check{E}^{k+1} & \xrightarrow{\quad} & \check{E}^{k+2} & \longrightarrow & \cdots \end{array} \quad (10.70)$$

such that  $d^{k+1} \circ d^k = \check{d}^{k+1} \circ \check{d}^k = 0$  for all  $k \in \mathbb{Z}$ , and  $E^k = \check{E}^k = 0$  unless  $a \leq k \leq b$  for  $a \leq b$  in  $\mathbb{Z}$ . That is,  $E^\bullet, \check{E}^\bullet$  are bounded complexes of topological vector bundles on  $X$ , and  $\theta^\bullet : E^\bullet \rightarrow \check{E}^\bullet$  is a morphism of complexes.

For each  $x \in X$  we have a morphism  $\theta^\bullet|_x : E^\bullet|_x \rightarrow \check{E}^\bullet|_x$  of complexes of  $\mathbb{R}$ -vector spaces, which induces morphisms  $H^k(\theta^\bullet|_x) : H^k(E^\bullet|_x) \rightarrow H^k(\check{E}^\bullet|_x)$  on cohomology. Suppose  $H^k(\theta^\bullet|_x)$  is an isomorphism for all  $x \in X$  and  $k \in \mathbb{Z}$ . Then there exists a unique isomorphism of topological line bundles on  $X$ :

$$\Xi_{\theta^\bullet} : \bigotimes_{k=a}^b (\det E^k)^{(-1)^k} \longrightarrow \bigotimes_{k=a}^b (\det \check{E}^k)^{(-1)^k} \quad (10.71)$$

such that for each  $x \in X$ , the following diagram of isomorphisms commutes

$$\begin{array}{ccc} \bigotimes_{k=a}^b (\det E^k)^{(-1)^k}|_x & \xrightarrow{\quad \Xi_{\theta^\bullet}|_x \quad} & \bigotimes_{k=a}^b (\det \check{E}^k)^{(-1)^k}|_x \\ \downarrow \Theta_{E^\bullet}|_x & \bigotimes_{k=a}^b (\det H^k(\theta^\bullet|_x))^{(-1)^k} & \Theta_{\check{E}^\bullet}|_x \downarrow \\ \bigotimes_{k=a}^b (\det H^k(E^\bullet|_x))^{(-1)^k} & \xrightarrow{\quad} & \bigotimes_{k=a}^b (\det H^k(\check{E}^\bullet|_x))^{(-1)^k} \end{array} \quad (10.72)$$

where  $\Theta_{E^\bullet}|_x, \Theta_{\check{E}^\bullet}|_x$  are as in Definition 10.66.

*Proof.* Fix  $\tilde{x} \in X$ , and set  $\tilde{m}^k = \dim H^k(E^\bullet|_{\tilde{x}}) = \dim H^k(\check{E}^\bullet|_{\tilde{x}})$ , and  $\tilde{n}^k = \dim \text{Im } d^k|_{\tilde{x}}$ , and  $\check{\tilde{n}}^k = \dim \text{Im } \check{d}^k|_{\tilde{x}}$ . As in Definition 10.66, choose bases  $\tilde{u}_1^k, \dots, \tilde{u}_{\tilde{n}^k-1}^k, \tilde{v}_1^k, \dots, \tilde{v}_{\tilde{m}^k}^k, \tilde{w}_1^k, \dots, \tilde{w}_{\tilde{n}^k}^k$  for  $E^k|_{\tilde{x}}$  and  $\check{\tilde{u}}_1^k, \dots, \check{\tilde{u}}_{\check{\tilde{n}}^k-1}^k, \check{\tilde{v}}_1^k, \dots, \check{\tilde{v}}_{\check{\tilde{m}}^k}^k, \check{\tilde{w}}_1^k, \dots, \check{\tilde{w}}_{\check{\tilde{n}}^k}^k$  for  $\check{E}^k|_{\tilde{x}}$ , such that  $d^k \tilde{u}_i^k = d^k \tilde{v}_i^k = 0$ ,  $d^k \tilde{w}_i^k = \tilde{u}_i^{k+1}$ ,  $\check{d}^k \check{\tilde{u}}_i^k = \check{d}^k \check{\tilde{v}}_i^k = 0$ , and  $\check{d}^k \check{\tilde{w}}_i^k = \check{\tilde{u}}_i^{k+1}$  for all  $i, k$ . As  $[\tilde{v}_1^k], \dots, [\tilde{v}_{\tilde{m}^k}^k]$  is a basis for  $H^k(E^\bullet|_{\tilde{x}})$ , and  $[\check{\tilde{v}}_1^k], \dots, [\check{\tilde{v}}_{\check{\tilde{m}}^k}^k]$  is a basis for  $H^k(\check{E}^\bullet|_{\tilde{x}})$ , and  $H^k(\theta^\bullet|_{\tilde{x}}) : H^k(E^\bullet|_{\tilde{x}}) \rightarrow H^k(\check{E}^\bullet|_{\tilde{x}})$  is an isomorphism, we can also choose the  $\check{\tilde{v}}_i^k, \check{\tilde{v}}_i^k$  with  $\theta^k|_{\tilde{x}}(\check{\tilde{v}}_i^k) = \check{\tilde{v}}_i^k$  for all  $i, k$ .

Now let  $\tilde{X}$  be a small open neighbourhood of  $\tilde{x}$  in  $X$  on which the  $E^k, \check{E}^k$  are trivial for all  $k$ , and choose bases of sections  $e_1^k, \dots, e_{\tilde{n}^k-1}^k, f_1^k, \dots, f_{\tilde{m}^k}^k, g_1^k, \dots, g_{\tilde{n}^k}^k$  for  $E^k|_{\tilde{X}}$  and  $\check{e}_1^k, \dots, \check{e}_{\check{\tilde{n}}^k-1}^k, \check{f}_1^k, \dots, \check{f}_{\check{\tilde{m}}^k}^k, \check{g}_1^k, \dots, \check{g}_{\check{\tilde{n}}^k}^k$  for  $\check{E}^k|_{\tilde{X}}$ , such that  $e_i^k|_{\tilde{x}} = \tilde{u}_i^k$ ,  $f_i^k|_{\tilde{x}} = \tilde{v}_i^k$ ,  $g_i^k|_{\tilde{x}} = \tilde{w}_i^k$ ,  $\check{e}_i^k|_{\tilde{x}} = \check{\tilde{u}}_i^k$ ,  $\check{f}_i^k|_{\tilde{x}} = \check{\tilde{v}}_i^k$ , and  $\check{g}_i^k|_{\tilde{x}} = \check{\tilde{w}}_i^k$ . Making  $\tilde{X}$  smaller if necessary we can do this such that  $d^k g_i^k = e_i^{k+1}$  and  $\check{d}^k \check{g}_i^k = \check{e}_i^{k+1}$  for all  $i, k$ , as these hold for  $\tilde{u}_i^k, \dots, \tilde{w}_i^k$ . Then  $d^k e_i^k = \check{d}^k \check{e}_i^k = 0$ . Write

$$d^k f_i^k = \sum_{j=1}^{\tilde{n}^k} A_{ij}^{k+1} e_j^{k+1} + \sum_{j=1}^{\tilde{m}^{k+1}} B_{ij}^{k+1} f_j^{k+1} + \sum_{j=1}^{\tilde{n}^{k+1}} C_{ij}^{k+1} g_j^{k+1},$$

for  $A_{ij}^{k+1}, B_{ij}^{k+1}, C_{ij}^{k+1} : \tilde{X} \rightarrow \mathbb{R}$  continuous and zero at  $x$ . Replacing  $f_i^k$  by  $f_i^k - \sum_{i=1}^{\tilde{n}^k} A_{ij}^{k+1} g_j^k$  we can make  $A_{ij}^{k+1} = 0$  for all  $i, j, k$ . But then we have

$$0 = d^{k+1} d^k f_i^k = \sum_{j=1}^{\tilde{m}^{k+1}} B_{ij}^{k+1} \left( \sum_{l=1}^{\tilde{m}^{k+2}} B_{jl}^{k+2} f_l^{k+2} + \sum_{l=1}^{\tilde{n}^{k+2}} C_{jl}^{k+2} g_l^{k+2} \right) + \sum_{j=1}^{\tilde{n}^{k+1}} C_{ij}^{k+1} e_j^{k+1},$$

so that  $C_{ij}^{k+1} = 0$  for all  $i, j, k$ . Thus we have

$$d^k e_i^k = 0, \quad d^k f_i^k = \sum_{j=1}^{\tilde{m}^{k+1}} B_{ij}^{k+1} f_j^{k+1}, \quad d^k g_i^k = e_i^{k+1}. \quad (10.73)$$

Replace  $\check{f}_i^k$  by  $\theta^k(f_i^k)$  for  $i = 1, \dots, \tilde{m}^k$ . Making  $\tilde{X}$  smaller we can still suppose  $\check{e}_1^k, \dots, \check{e}_{\tilde{n}^k-1}^k, \check{f}_1^k, \dots, \check{f}_{\tilde{m}^k}^k, \check{g}_1^k, \dots, \check{g}_{\tilde{n}^k}^k$  is a basis of sections for  $\check{E}^k|_{\tilde{X}}$ , since this holds at  $x$ , and as  $\check{d}^k \circ \theta^k = \theta^{k+1} \circ d^k$  we have

$$\check{d}^k \check{e}_i^k = 0, \quad \check{d}^k \check{f}_i^k = \sum_{j=1}^{\tilde{m}^{k+1}} B_{ij}^{k+1} \check{f}_j^{k+1}, \quad \check{d}^k \check{g}_i^k = \check{e}_i^{k+1}. \quad (10.74)$$

Now define an isomorphism of topological line bundles on  $\tilde{X}$

$$\begin{aligned} \Xi_{\theta^\bullet}|_{\tilde{X}} : \bigotimes_{k=a}^b (\det E^k)^{(-1)^k} |_{\tilde{X}} &\longrightarrow \bigotimes_{k=a}^b (\det \check{E}^k)^{(-1)^k} |_{\tilde{X}} \quad \text{by} \\ \Xi_{\theta^\bullet}|_{\tilde{X}} : \bigotimes_{k=a}^b (e_1^k \wedge \dots \wedge e_{\tilde{n}^k-1}^k \wedge f_1^k \wedge \dots \wedge f_{\tilde{m}^k}^k \wedge g_1^k \wedge \dots \wedge g_{\tilde{n}^k}^k)^{(-1)^k} &\longmapsto \\ \prod_{k=a}^b (-1)^{\tilde{n}^k(\tilde{n}^k+1)/2 + \tilde{n}^k(\tilde{m}^k+1)/2} \cdot & \\ \bigotimes_{k=a}^b (\check{e}_1^k \wedge \dots \wedge \check{e}_{\tilde{n}^k-1}^k \wedge \check{f}_1^k \wedge \dots \wedge \check{f}_{\tilde{m}^k}^k \wedge \check{g}_1^k \wedge \dots \wedge \check{g}_{\tilde{n}^k}^k)^{(-1)^k} &. \end{aligned} \quad (10.75)$$

We claim that (10.72) commutes for  $\Xi_{\theta^\bullet}|_{\tilde{X}}$  for all  $x \in \tilde{X}$ . To prove this, write

$$\begin{aligned} E^k|_x &= \langle e_1^k|_x, \dots, e_{\tilde{n}^k-1}^k|_x, f_1^k|_x, \dots, f_{\tilde{m}^k}^k|_x, g_1^k|_x, \dots, g_{\tilde{n}^k}^k|_x \rangle_{\mathbb{R}}, \\ \check{E}^k|_x &= \langle \check{e}_1^k|_x, \dots, \check{e}_{\tilde{n}^k-1}^k|_x, \check{f}_1^k|_x, \dots, \check{f}_{\tilde{m}^k}^k|_x, \check{g}_1^k|_x, \dots, \check{g}_{\tilde{n}^k}^k|_x \rangle_{\mathbb{R}}, \end{aligned}$$

and write  $d^k|_x : E^k|_x \rightarrow E^{k+1}|_x$  and  $\check{d}^k|_x : \check{E}^k|_x \rightarrow \check{E}^{k+1}|_x$  using (10.73)–(10.74). To define  $\Theta_{E^\bullet}|_x$  in Definition 10.66 we choose bases  $u_1^k, \dots, u_{\tilde{n}^k-1}^k, v_1^k, \dots, v_{\tilde{m}^k}^k, w_1^k, \dots, w_{\tilde{n}^k}^k$  for  $E^k|_x$ , where  $n^k = \dim \text{Im } d^k|_x$ . Since  $d^k|_x g_i^k|_x = e_i^{k+1}|_x$  for  $i = 1, \dots, \tilde{n}^k$  we see that  $n^k \geq \tilde{n}^k$ , say  $n^k = \tilde{n}^k + p^k$  for  $p^k \geq 0$ . Then  $\tilde{m}^k = p^{k-1} + m^k + p^k$ , since  $n^{k-1} + m^k + n^k = \text{rank } E^k = \tilde{n}^{k-1} + \tilde{m}^k + \tilde{n}^k$ . We can also write  $p^k = \text{rank}(B_{ij}^{k+1}|_x)_{i=1, \dots, \tilde{m}^k}^{j=1, \dots, \tilde{m}^{k+1}}$ . We choose the bases such that

$$\begin{aligned} u_1^k, \dots, u_{p^{k-1}}^k &\in \langle f_1^k|_x, \dots, f_{\tilde{m}^k}^k|_x \rangle_{\mathbb{R}}, \quad u_{p^{k-1}+i}^k = e_i^k|_x, \quad i = 1, \dots, \tilde{n}^k-1, \\ v_1^k, \dots, v_{\tilde{m}^k}^k &\in \langle f_1^k|_x, \dots, f_{\tilde{m}^k}^k|_x \rangle_{\mathbb{R}}, \\ w_1^k, \dots, w_{p^k}^k &\in \langle f_1^k|_x, \dots, f_{\tilde{m}^k}^k|_x \rangle_{\mathbb{R}}, \quad w_{p^k+i}^k = g_i^k|_x, \quad i = 1, \dots, \tilde{n}^k. \end{aligned} \quad (10.76)$$

This is possible by (10.73). Let us write

$$u_1^k \wedge \dots \wedge u_{p^{k-1}}^k \wedge v_1^k \wedge \dots \wedge v_{\tilde{m}^k}^k \wedge w_1^k \wedge \dots \wedge w_{p^k}^k = A^k \cdot f_1^k|_x \wedge \dots \wedge f_{\tilde{m}^k}^k|_x \quad (10.77)$$

for  $A^k \in \mathbb{R} \setminus \{0\}$ , which holds as  $u_1^k, \dots, u_{p^{k-1}}^k, v_1^k, \dots, v_{\tilde{m}^k}^k, w_1^k, \dots, w_{p^k}^k$  is a basis for  $\langle f_1^k|_x, \dots, f_{\tilde{m}^k}^k|_x \rangle_{\mathbb{R}}$ . Combining (10.76) and (10.77) gives

$$\begin{aligned} u_1^k \wedge \dots \wedge u_{\tilde{n}^k-1}^k \wedge v_1^k \wedge \dots \wedge v_{\tilde{m}^k}^k \wedge w_1^k \wedge \dots \wedge w_{\tilde{n}^k}^k & \\ = (-1)^{p^{k-1}\tilde{n}^k-1} A^k \cdot e_1^k|_x \wedge \dots \wedge e_{\tilde{n}^k-1}^k|_x \wedge f_1^k|_x \wedge \dots \wedge f_{\tilde{m}^k}^k|_x \wedge g_1^k|_x \wedge \dots \wedge g_{\tilde{n}^k}^k|_x. & \end{aligned} \quad (10.78)$$



Similarly, to define  $\Theta_{\tilde{E}\bullet|x}$  in Definition 10.66, we choose bases  $\check{u}_1^k, \dots, \check{u}_{\check{n}^k-1}^k, \check{v}_1^k, \dots, \check{v}_{m^k}^k, \check{w}_1^k, \dots, \check{w}_{\check{n}^k}^k$  for  $\tilde{E}^k|x$ , where  $\check{n}^k = \check{n}^k + p^k$ , by

$$\begin{aligned} \check{u}_i^k &= \theta^k(u_i^k), \quad i = 1, \dots, p^{k-1}, & \check{u}_{p^{k-1}+i}^k &= \check{e}_i^k|x, \quad i = 1, \dots, \check{n}^k-1, \\ \check{v}_i^k &= \theta^k(v_i^k), \quad i = 1, \dots, m^k, \\ \check{w}_i^k &= \theta^k(w_i^k), \quad i = 1, \dots, p^k, & \check{w}_{p^k+i}^k &= \check{g}_i^k|x, \quad i = 1, \dots, \check{n}^k. \end{aligned} \quad (10.79)$$

This is possible by (10.73), (10.74), (10.76), (10.79) and  $\check{f}_i^k = \theta^k(f_i^k)$ . Applying  $\theta^k$  to (10.77) yields

$$\check{u}_1^k \wedge \dots \wedge \check{u}_{p^{k-1}}^k \wedge \check{v}_1^k \wedge \dots \wedge \check{v}_{m^k}^k \wedge \check{w}_1^k \wedge \dots \wedge \check{w}_{p^k}^k = A^k \cdot \check{f}_1^k|x \wedge \dots \wedge \check{f}_{m^k}^k|x. \quad (10.80)$$

Combining (10.79) and (10.80) then gives

$$\begin{aligned} &\check{u}_1^k \wedge \dots \wedge \check{u}_{\check{n}^k-1}^k \wedge \check{v}_1^k \wedge \dots \wedge \check{v}_{m^k}^k \wedge \check{w}_1^k \wedge \dots \wedge \check{w}_{\check{n}^k}^k \\ &= (-1)^{p^{k-1}\check{n}^k-1} A^k \cdot \check{e}_1^k|x \wedge \dots \wedge \check{e}_{\check{n}^k-1}^k|x \wedge \check{f}_1^k|x \wedge \dots \wedge \check{f}_{m^k}^k|x \wedge \check{g}_1^k|x \wedge \dots \wedge \check{g}_{\check{n}^k}^k|x. \end{aligned} \quad (10.81)$$

To prove (10.72) commutes at  $x \in \tilde{X}$ , consider the diagram

$$\begin{array}{ccc} \prod_{k=a}^b (-1)^{n^k(n^k+1)/2} \cdot \bigotimes_{k=a}^b (u_1^k \wedge \dots \wedge u_{n^k-1}^k \wedge v_1^k \wedge \dots \wedge v_{m^k}^k \wedge w_1^k \wedge \dots \wedge w_{n^k}^k)^{(-1)^k} & \xrightarrow{\Xi_{\theta^\bullet|x}} & \prod_{k=a}^b (-1)^{\check{n}^k(\check{n}^k+1)/2} \cdot \bigotimes_{k=a}^b (\check{u}_1^k \wedge \dots \wedge \check{u}_{\check{n}^k-1}^k \wedge \check{v}_1^k \wedge \dots \wedge \check{v}_{m^k}^k \wedge \check{w}_1^k \wedge \dots \wedge \check{w}_{\check{n}^k}^k)^{(-1)^k} \\ = \prod_{k=a}^b (-1)^{n^k(n^k+1)/2} \cdot \prod_{k=a}^b (-1)^{p^k \check{n}^k} A^k & & = \prod_{k=a}^b (-1)^{\check{n}^k(\check{n}^k+1)/2} \cdot \prod_{k=a}^b (-1)^{p^k \check{n}^k} A^k \\ \bigotimes_{k=a}^b (e_1^k|x \wedge \dots \wedge e_{n^k-1}^k|x \wedge \check{f}_1^k|x \wedge \dots \wedge \check{f}_{m^k}^k|x \wedge \check{g}_1^k|x \wedge \dots \wedge \check{g}_{n^k}^k|x)^{(-1)^k} & & \bigotimes_{k=a}^b (\check{e}_1^k|x \wedge \dots \wedge \check{e}_{\check{n}^k-1}^k|x \wedge \check{f}_1^k|x \wedge \dots \wedge \check{f}_{m^k}^k|x \wedge \check{g}_1^k|x \wedge \dots \wedge \check{g}_{\check{n}^k}^k|x)^{(-1)^k} \\ \downarrow \Theta_{E^\bullet|x} & & \downarrow \Theta_{\tilde{E}\bullet|x} \\ \bigotimes_{k=a}^b ([v_1^k] \wedge \dots \wedge [v_{m^k}^k])^{(-1)^k} & \xrightarrow{\bigotimes_{k=a}^b (\det H^k(\theta^\bullet|x))^{(-1)^k}} & \bigotimes_{k=a}^b ([\check{v}_1^k] \wedge \dots \wedge [\check{v}_{m^k}^k])^{(-1)^k}. \end{array} \quad (10.82)$$

Here the alternative expressions on the top left and top right come from (10.78) and (10.81). The left and right maps are  $\Theta_{E^\bullet|x}, \Theta_{\tilde{E}\bullet|x}$  by (10.66), and the bottom map is  $\bigotimes_k (\det H^k(\theta^\bullet|x))^{(-1)^k}$  as  $\theta^k(v_i^k) = \check{v}_i^k$ . To see that the top map is  $\Xi_{\theta^\bullet|x}$  we use (10.75) and the sign identity

$$\begin{aligned} &\prod_{k=a}^b (-1)^{n^k(n^k+1)/2} \cdot \prod_{k=a}^b (-1)^{p^k \check{n}^k} = \\ &\prod_{k=a}^b (-1)^{\check{n}^k(\check{n}^k+1)/2} \cdot \prod_{k=a}^b (-1)^{p^k \check{n}^k} \cdot \prod_{k=a}^b (-1)^{\check{n}^k(\check{n}^k+1)/2 + \check{n}^k(\check{n}^k+1)/2}, \end{aligned}$$

which holds as  $n^k = \check{n}^k + p^k$  and  $\check{n}^k = \check{n}^k + p^k$ .

Equation (10.82) shows that (10.72) commutes for all  $x \in \tilde{X}$  for the isomorphism  $\Xi_{\theta^\bullet|\tilde{X}}$  defined in (10.75). We can cover  $X$  by such open  $\tilde{X} \subseteq X$ . Also (10.72) determines  $\Xi_{\theta^\bullet|\tilde{X}}$  at each  $x \in \tilde{X}$ , and so determines  $\Xi_{\theta^\bullet|X}$ . Thus two

such isomorphisms  $\Xi_{\theta^\bullet}|_{\tilde{X}}, \Xi_{\theta^\bullet}|_{\tilde{X}'}$  on open  $\tilde{X}, \tilde{X}' \subseteq X$  must agree on the overlap  $\tilde{X} \cap \tilde{X}'$ . Hence these  $\Xi_{\theta^\bullet}|_{\tilde{X}}$  glue to give a unique global isomorphism  $\Xi_{\theta^\bullet}$  as in (10.71) such that (10.72) commutes for all  $x \in X$ , as we have to prove.  $\square$

The proof of Proposition 10.67 also works if  $X$  is an object in  $\mathbf{Man}$ , or some other kind of space, and (10.70)–(10.71) are diagrams in an appropriate category of vector bundles on  $X$ . We chose to use topological spaces and topological vector bundles as they are sufficient to define orientations in §10.7.

### 10.6.3 Determinants of direct sums of complexes

The next proposition will be used in §10.7 to define orientations of products  $X \times Y$  of oriented (m-)Kuranishi spaces  $X, Y$ .

**Proposition 10.68.** *Suppose  $E^\bullet, F^\bullet$  are complexes of finite-dimensional real vector spaces with  $E^k = F^k = 0$  unless  $a \leq k \leq b$  for  $a \leq b \in \mathbb{Z}$ . Then we have a complex  $E^\bullet \oplus F^\bullet$  given by*

$$\cdots \longrightarrow \begin{array}{c} E^{k-1} \oplus \\ F^{k-1} \end{array} \xrightarrow{\begin{pmatrix} d^{k-1} & 0 \\ 0 & d^{k-1} \end{pmatrix}} \begin{array}{c} E^k \oplus \\ F^k \end{array} \xrightarrow{\begin{pmatrix} d^k & 0 \\ 0 & d^k \end{pmatrix}} \begin{array}{c} E^{k+1} \oplus \\ F^{k+1} \end{array} \longrightarrow \cdots \quad (10.83)$$

Definition 10.66 defines isomorphisms

$$\begin{aligned} \Theta_{E^\bullet} &: \bigotimes_{k=a}^b (\det E^k)^{(-1)^k} \longrightarrow \bigotimes_{k=a}^b (\det H^k(E^\bullet))^{(-1)^k}, \\ \Theta_{F^\bullet} &: \bigotimes_{k=a}^b (\det F^k)^{(-1)^k} \longrightarrow \bigotimes_{k=a}^b (\det H^k(F^\bullet))^{(-1)^k}, \\ \Theta_{E^\bullet \oplus F^\bullet} &: \bigotimes_{k=a}^b (\det(E^k \oplus F^k))^{(-1)^k} \longrightarrow \bigotimes_{k=a}^b (\det(H^k(E^\bullet) \oplus H^k(F^\bullet)))^{(-1)^k}. \end{aligned}$$

Define isomorphisms  $I_{E^k, F^k} : \det(E^k \oplus F^k) \rightarrow \det E^k \otimes \det F^k$  such that if  $e_1^k, \dots, e_{M^k}^k$  and  $f_1^k, \dots, f_{N^k}^k$  are bases for  $E^k, F^k$  then

$$I_{E^k, F^k} : e_1^k \wedge \cdots \wedge e_{M^k}^k \wedge f_1^k \wedge \cdots \wedge f_{N^k}^k \longrightarrow (e_1^k \wedge \cdots \wedge e_{M^k}^k) \otimes (f_1^k \wedge \cdots \wedge f_{N^k}^k), \quad (10.84)$$

and similarly define  $I_{H^k(E^\bullet), H^k(F^\bullet)}$ . Then the following commutes:

$$\begin{array}{ccc} \bigotimes_{k=a}^b (\det(E^k \oplus F^k))^{(-1)^k} & \xrightarrow{\Theta_{E^\bullet \oplus F^\bullet}} & \bigotimes_{k=a}^b (\det(H^k(E^\bullet) \oplus H^k(F^\bullet)))^{(-1)^k} \\ \downarrow \prod_{a \leq l < k \leq b} (-1)^{\dim E^k \dim F^l} & & \downarrow \prod_{a \leq l < k \leq b} (-1)^{\dim H^k(E^\bullet) \dim H^l(F^\bullet)} \\ \bigotimes_{k=a}^b (I_{E^k, F^k})^{(-1)^k} & & \bigotimes_{k=a}^b (I_{H^k(E^\bullet), H^k(F^\bullet)})^{(-1)^k} \\ \downarrow & & \downarrow \\ \bigotimes_{k=a}^b (\det E^k)^{(-1)^k} \otimes \bigotimes_{k=a}^b (\det F^k)^{(-1)^k} & \xrightarrow{\Theta_{E^\bullet} \otimes \Theta_{F^\bullet}} & \bigotimes_{k=a}^b (\det H^k(E^\bullet))^{(-1)^k} \otimes \bigotimes_{k=a}^b (\det H^k(F^\bullet))^{(-1)^k} \end{array} \quad (10.85)$$

*Proof.* As in Definition 10.66, choose bases  $u_1^k, \dots, u_{n^{k-1}}^k, v_1^k, \dots, v_{m^k}^k, w_1^k, \dots, w_{n^k}^k$  for  $E^k$  for each  $k \in \mathbb{Z}$ , such that  $d^k u_i^k = d^k v_i^k = 0$  and  $d^k w_i^k = u_i^{k+1}$  for all  $i, k$ . And choose bases  $\check{u}_1^k, \dots, \check{u}_{\check{n}^{k-1}}^k, \check{v}_1^k, \dots, \check{v}_{\check{m}^k}^k, \check{w}_1^k, \dots, \check{w}_{\check{n}^k}^k$  for  $F^k$  such that  $d^k \check{u}_i^k = d^k \check{v}_i^k = 0$  and  $d^k \check{w}_i^k = \check{u}_i^{k+1}$  for all  $i, k$ . Then (10.66) gives

$$\Theta_{E^\bullet} : \bigotimes_{k=a}^b (u_1^k \wedge \dots \wedge u_{n^{k-1}}^k \wedge v_1^k \wedge \dots \wedge v_{m^k}^k \wedge w_1^k \wedge \dots \wedge w_{n^k}^k)^{(-1)^k} \mapsto \prod_{k=a}^b (-1)^{n^k(n^k+1)/2} \cdot \bigotimes_{k=a}^b ([v_1^k] \wedge \dots \wedge [v_{m^k}^k])^{(-1)^k}, \quad (10.86)$$

$$\Theta_{F^\bullet} : \bigotimes_{k=a}^b (\check{u}_1^k \wedge \dots \wedge \check{u}_{\check{n}^{k-1}}^k \wedge \check{v}_1^k \wedge \dots \wedge \check{v}_{\check{m}^k}^k \wedge \check{w}_1^k \wedge \dots \wedge \check{w}_{\check{n}^k}^k)^{(-1)^k} \mapsto \prod_{k=a}^b (-1)^{\check{n}^k(\check{n}^k+1)/2} \cdot \bigotimes_{k=a}^b ([\check{v}_1^k] \wedge \dots \wedge [\check{v}_{\check{m}^k}^k])^{(-1)^k}, \quad (10.87)$$

$$\Theta_{E^\bullet \oplus F^\bullet} : \bigotimes_{k=a}^b (u_1^k \wedge \dots \wedge u_{n^{k-1}}^k \wedge \check{u}_1^k \wedge \dots \wedge \check{u}_{\check{n}^{k-1}}^k \wedge v_1^k \wedge \dots \wedge v_{m^k}^k \wedge \check{v}_1^k \wedge \dots \wedge \check{v}_{\check{m}^k}^k \wedge w_1^k \wedge \dots \wedge w_{n^k}^k \wedge \check{w}_1^k \wedge \dots \wedge \check{w}_{\check{n}^k}^k)^{(-1)^k} \mapsto \prod_{k=a}^b (-1)^{(n^k + \check{n}^k)(n^k + \check{n}^k + 1)/2} \cdot \bigotimes_{k=a}^b ([v_1^k] \wedge \dots \wedge [v_{m^k}^k] \wedge [\check{v}_1^k] \wedge \dots \wedge [\check{v}_{\check{m}^k}^k])^{(-1)^k}. \quad (10.88)$$

Equation (10.85) now follows from (10.84) and (10.86)–(10.88) by a computation with signs, where we use

$$\begin{aligned} & u_1^k \wedge \dots \wedge u_{n^{k-1}}^k \wedge v_1^k \wedge \dots \wedge v_{m^k}^k \wedge w_1^k \wedge \dots \wedge w_{n^k}^k \wedge \check{u}_1^k \wedge \dots \wedge \check{u}_{\check{n}^{k-1}}^k \wedge \check{v}_1^k \wedge \dots \\ & \wedge \check{v}_{\check{m}^k}^k \wedge \check{w}_1^k \wedge \dots \wedge \check{w}_{\check{n}^k}^k = (-1)^{n^k \check{n}^k + m^k \check{n}^{k-1} + \check{m}^k n^k + n^k \check{n}^{k-1}} \cdot u_1^k \wedge \dots \wedge u_{n^{k-1}}^k \\ & \wedge \check{u}_1^k \wedge \dots \wedge \check{u}_{\check{n}^{k-1}}^k \wedge v_1^k \wedge \dots \wedge v_{m^k}^k \wedge \check{v}_1^k \wedge \dots \wedge \check{v}_{\check{m}^k}^k \wedge w_1^k \wedge \dots \wedge w_{n^k}^k \wedge \check{w}_1^k \wedge \dots \wedge \check{w}_{\check{n}^k}^k \end{aligned}$$

to compare the left hand sides of (10.84) and (10.88).  $\square$

#### 10.6.4 Determinants of short exact sequences of complexes

The next definition and proposition will be important in studying orientations on w-transverse fibre products in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  or  $\check{\mathbf{K}}\mathbf{ur}$  in Chapter 11. The definition is standard in (co)homology theory, as in Bredon [4, §IV.5] or Hatcher [33, §2.1].

**Definition 10.69.** Consider a commutative diagram of real vector spaces:

$$\begin{array}{ccccccccc} & & 0 & & 0 & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\ \dots & \xrightarrow{d^{k-2}} & E^{k-1} & \xrightarrow{d^{k-1}} & E^k & \xrightarrow{d^k} & E^{k+1} & \xrightarrow{d^{k+1}} & E^{k+2} & \xrightarrow{d^{k+2}} & \dots \\ & & \downarrow \theta^{k-1} & & \downarrow \theta^k & & \downarrow \theta^{k+1} & & \downarrow \theta^{k+2} & & \\ \dots & \xrightarrow{d^{k-2}} & F^{k-1} & \xrightarrow{d^{k-1}} & F^k & \xrightarrow{d^k} & F^{k+1} & \xrightarrow{d^{k+1}} & F^{k+2} & \xrightarrow{d^{k+2}} & \dots \\ & & \downarrow \psi^{k-1} & & \downarrow \psi^k & & \downarrow \psi^{k+1} & & \downarrow \psi^{k+2} & & \\ \dots & \xrightarrow{d^{k-2}} & G^{k-1} & \xrightarrow{d^{k-1}} & G^k & \xrightarrow{d^k} & G^{k+1} & \xrightarrow{d^{k+1}} & G^{k+2} & \xrightarrow{d^{k+2}} & \dots \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & 0 & & 0 & & \end{array} \quad (10.89)$$

whose rows  $E^\bullet, F^\bullet, G^\bullet$  are complexes, and whose columns are exact. Then  $\theta^\bullet : E^\bullet \rightarrow F^\bullet$ ,  $\psi^\bullet : F^\bullet \rightarrow G^\bullet$  are morphisms of complexes, and induce morphisms  $H^k(\theta^\bullet) : H^k(E^\bullet) \rightarrow H^k(F^\bullet)$ ,  $H^k(\psi^\bullet) : H^k(F^\bullet) \rightarrow H^k(G^\bullet)$  on cohomology.

We will define *connecting morphisms*  $\delta_{\theta^\bullet, \psi^\bullet}^k : H^k(G^\bullet) \rightarrow H^{k+1}(E^\bullet)$ . Let  $\gamma \in H^k(G^\bullet)$ , and write  $\gamma = [g] = g + \text{Im } d^{k-1}$  for  $g \in G^k$  with  $d^k(g) = 0$ . Then  $g = \psi^k(f)$  for some  $f \in F^k$ , by exactness of columns in (10.89), so  $d^k(f) \in F^{k+1}$ . We have

$$\psi^{k+1}(d^k f) = d^k \circ \psi^k(f) = d^k(g) = 0,$$

so  $d^k f = \theta^{k+1}(e)$  for some  $e \in E^{k+1}$  by exactness of columns in (10.89). Then

$$\theta^{k+2} \circ d^{k+1}(e) = d^{k+1} \circ \theta^{k+1}(e) = d^{k+1} \circ d^k f = 0,$$

so  $d^{k+1}(e) = 0$  as  $\theta^{k+2}$  is injective by exactness of columns in (10.89). Hence  $[e] \in H^{k+1}(E^\bullet)$ . Define  $\delta_{\theta^\bullet, \psi^\bullet}^k(\gamma) = [e]$ . A well known proof that can be found in Bredon [4, Th. IV.5.6] or Hatcher [33, Th. 2.16] shows that  $\delta_{\theta^\bullet, \psi^\bullet}$  is well defined and linear, and the following sequence is exact

$$\dots \rightarrow H^k(E^\bullet) \xrightarrow{H^k(\theta^\bullet)} H^k(F^\bullet) \xrightarrow{H^k(\psi^\bullet)} H^k(G^\bullet) \xrightarrow{\delta_{\theta^\bullet, \psi^\bullet}^k} H^{k+1}(E^\bullet) \rightarrow \dots \quad (10.90)$$

In the next proposition, note the similarity between the signs in (10.85) and (10.93). We can regard Proposition 10.68 as a special case of Proposition 10.70, with  $0 \rightarrow E^\bullet \xrightarrow{\text{id} \oplus 0} E^\bullet \oplus F^\bullet \xrightarrow{0 \oplus \text{id}} F^\bullet \rightarrow 0$  in place of equation (10.89).

**Proposition 10.70.** *Work in the situation of Definition 10.69, and suppose that  $E^k, F^k, G^k$  are finite-dimensional, and zero unless  $a \leq k \leq b$ . Then Definition 10.66 defines isomorphisms*

$$\begin{aligned} \Theta_{E^\bullet} &: \bigotimes_{k=a}^b (\det E^k)^{(-1)^k} \longrightarrow \bigotimes_{k=a}^b (\det H^k(E^\bullet))^{(-1)^k}, \\ \Theta_{F^\bullet} &: \bigotimes_{k=a}^b (\det F^k)^{(-1)^k} \longrightarrow \bigotimes_{k=a}^b (\det H^k(F^\bullet))^{(-1)^k}, \\ \Theta_{G^\bullet} &: \bigotimes_{k=a}^b (\det G^k)^{(-1)^k} \longrightarrow \bigotimes_{k=a}^b (\det H^k(G^\bullet))^{(-1)^k}. \end{aligned} \quad (10.91)$$

Consider (10.90) as an exact complex  $A^\bullet$  with  $A^0 = H^0(E^\bullet)$ , and consider the  $k^{\text{th}}$  column of (10.89) as an exact complex  $B_k^\bullet$  with  $B_k^0 = E^k$ . Then (10.69) defines nonzero elements

$$\begin{aligned} \Psi_{A^\bullet} &\in \bigotimes_{k=a}^b (\det H^k(E^\bullet))^{(-1)^k} \otimes \bigotimes_{k=a}^b (\det H^k(F^\bullet))^{(-1)^{k+1}} \\ &\quad \otimes \bigotimes_{k=a}^b (\det H^k(G^\bullet))^{(-1)^k}, \\ \Psi_{B_k^\bullet} &\in (\det E^k) \otimes (\det F^k)^{-1} \otimes (\det G^k). \end{aligned} \quad (10.92)$$

Then combining (10.91)–(10.92), we have

$$\begin{aligned} \prod_{a \leq l < k \leq b} (-1)^{\dim E^k \dim G^l} \cdot (\Theta_{E^\bullet} \otimes \Theta_{F^\bullet}^{-1} \otimes \Theta_{G^\bullet}) \left( \bigotimes_{k=a}^b (\Psi_{B_k^\bullet})^{(-1)^k} \right) \\ = \prod_{a \leq l < k \leq b} (-1)^{\dim H^k(E^\bullet) \dim H^l(G^\bullet)} \cdot \Psi_{A^\bullet}. \end{aligned} \quad (10.93)$$

*Proof.* For  $k \in \mathbb{Z}$ , define

$$\begin{aligned} l^k &= \dim(\operatorname{Im} H^k(\theta^\bullet)), \quad m^k = \dim(\operatorname{Im} H^k(\psi^\bullet)), \quad n^k = \dim(\operatorname{Im} \delta_{\theta^\bullet, \psi^\bullet}^k), \\ p^k &= \dim(\operatorname{Im}(d^k : E^k \rightarrow E^{k+1})), \quad q^k = \dim(\operatorname{Im}(d^k : G^k \rightarrow G^{k+1})). \end{aligned}$$

Then from (10.89) we deduce that

$$\begin{aligned} \dim E^k &= p^{k-1} + n^{k-1} + l^k + p^k, \\ \dim F^k &= p^{k-1} + n^{k-1} + q^{k-1} + l^k + m^k + p^k + n^k + q^k, \\ \dim G^k &= q^{k-1} + m^k + n^k + q^k, \quad \dim H^k(E^\bullet) = n^{k-1} + l^k, \\ \dim H^k(F^\bullet) &= l^k + m^k, \quad \text{and} \quad \dim H^k(G^\bullet) = m^k + n^k. \end{aligned} \tag{10.94}$$

For each  $k \in \mathbb{Z}$ , choose bases

$$\begin{aligned} &c_1^k, \dots, c_{p^{k-1}}^k, b_1^k, \dots, b_{n^{k-1}}^k, a_1^k, \dots, a_{l^k}^k, d_1^k, \dots, d_{p^k}^k \text{ for } E^k, \\ &\bar{c}_1^k, \dots, \bar{c}_{p^{k-1}}^k, \bar{b}_1^k, \dots, \bar{b}_{n^{k-1}}^k, g_1^k, \dots, g_{q^{k-1}}^k, \bar{a}_1^k, \dots, \bar{a}_{l^k}^k, \\ &e_1^k, \dots, e_{m^k}^k, \bar{d}_1^k, \dots, \bar{d}_{p^k}^k, f_1^k, \dots, f_{n^k}^k, h_1^k, \dots, h_{q^k}^k \text{ for } F^k, \\ &\bar{g}_1^k, \dots, \bar{g}_{q^{k-1}}^k, \bar{e}_1^k, \dots, \bar{e}_{m^k}^k, \bar{f}_1^k, \dots, \bar{f}_{n^k}^k, \bar{h}_1^k, \dots, \bar{h}_{q^k}^k \text{ for } G^k, \end{aligned}$$

such that  $d^k$  in  $E^\bullet, F^\bullet, G^\bullet$  are given by

$$\begin{aligned} d^k(a_i^k) &= 0, & d^k(b_i^k) &= 0, & d^k(c_i^k) &= 0, & d^k(d_i^k) &= c_i^{k+1}, \\ d^k(\bar{a}_i^k) &= 0, & d^k(e_i^k) &= 0, & d^k(\bar{b}_i^k) &= 0, & d^k(f_i^k) &= \bar{b}_i^{k+1}, \\ d^k(\bar{c}_i^k) &= 0, & d^k(\bar{d}_i^k) &= \bar{c}_i^{k+1}, & d^k(g_i^k) &= 0, & d^k(h_i^k) &= g_i^{k+1}, \\ d^k(\bar{e}_i^k) &= 0, & d^k(f_i^k) &= 0, & d^k(\bar{g}_i^k) &= 0, & d^k(\bar{h}_i^k) &= \bar{g}_i^{k+1}, \end{aligned}$$

and  $\theta^k, \psi^k$  in (10.89) are given by

$$\begin{aligned} \theta^k(a_i^k) &= \bar{a}_i^k, & \theta^k(b_i^k) &= \bar{b}_i^k, & \theta^k(c_i^k) &= \bar{c}_i^k, & \theta^k(d_i^k) &= \bar{d}_i^k, \\ \psi^k(\bar{a}_i^k) &= 0, & \psi^k(e_i^k) &= \bar{e}_i^k, & \psi^k(\bar{b}_i^k) &= 0, & \psi^k(f_i^k) &= \bar{f}_i^k, \\ \psi^k(\bar{c}_i^k) &= 0, & \psi^k(\bar{d}_i^k) &= 0, & \psi^k(g_i^k) &= \bar{g}_i^k, & \psi^k(h_i^k) &= \bar{h}_i^k. \end{aligned}$$

Then we have bases

$$\begin{aligned} &[b_1^k], \dots, [b_{n^{k-1}}^k], [a_1^k], \dots, [a_{l^k}^k] && \text{for } H^k(E^\bullet), \\ &[\bar{a}_1^k], \dots, [\bar{a}_{l^k}^k], [e_1^k], \dots, [e_{m^k}^k] && \text{for } H^k(F^\bullet), \\ &[\bar{e}_1^k], \dots, [\bar{e}_{m^k}^k], [\bar{f}_1^k], \dots, [\bar{f}_{n^k}^k] && \text{for } H^k(G^\bullet), \end{aligned}$$

where  $H^k(\theta^\bullet), H^k(\psi^\bullet), \delta_{\theta^\bullet, \psi^\bullet}^k$  in (10.90) act by

$$\begin{aligned} H^k(\theta^\bullet) : [a_i^k] &\longmapsto [\bar{a}_i^k], & H^k(\theta^\bullet) : [b_i^k] &\longmapsto 0, & H^k(\psi^\bullet) : [\bar{a}_i^k] &\longmapsto 0, \\ H^k(\psi^\bullet) : [e_i^k] &\longmapsto [\bar{e}_i^k], & \delta_{\theta^\bullet, \psi^\bullet}^k : [\bar{e}_1^k] &\longmapsto 0, & \delta_{\theta^\bullet, \psi^\bullet}^k : [\bar{f}_i^k] &\longmapsto [b_i^{k+1}]. \end{aligned}$$

Definition 10.66 now implies that

$$\begin{aligned} \Psi_{A^\bullet} &= \bigotimes_{k=a}^b ([b_1^k] \wedge \cdots \wedge [b_{n^{k-1}}^k] \wedge [a_1^k] \wedge \cdots \wedge [a_{l^k}^k])^{(-1)^k} \\ &\quad \otimes \bigotimes_{k=a}^b ([\bar{a}_1^k] \wedge \cdots \wedge [\bar{a}_{l^k}^k] \wedge [e_1^k] \wedge \cdots \wedge [e_{m^k}^k])^{(-1)^{k+1}} \\ &\quad \otimes \bigotimes_{k=a}^b ([\bar{e}_1^k] \wedge \cdots \wedge [\bar{e}_{m^k}^k] \wedge [\bar{f}_1^k] \wedge \cdots \wedge [\bar{f}_{n^k}^k])^{(-1)^k}, \end{aligned} \quad (10.95)$$

$$\begin{aligned} \Psi_{B_k^\bullet} &= (-1)^{q^{k-1}l^k + q^{k-1}p^k + m^k p^k} \cdot \\ &\quad (c_1^k \wedge \cdots \wedge c_{p^{k-1}}^k \wedge b_1^k \wedge \cdots \wedge b_{n^{k-1}}^k \wedge a_1^k \wedge \cdots \wedge a_{l^k}^k \wedge d_1^k \wedge \cdots \wedge d_{p^k}^k) \\ &\quad \otimes (\bar{c}_1^k \wedge \cdots \wedge \bar{c}_{p^{k-1}}^k \wedge \bar{b}_1^k \wedge \cdots \wedge \bar{b}_{n^{k-1}}^k \wedge g_1^k \wedge \cdots \wedge g_{q^{k-1}}^k \wedge \bar{a}_1^k \wedge \cdots \wedge \bar{a}_{l^k}^k \\ &\quad \wedge e_1^k \wedge \cdots \wedge e_{m^k}^k \wedge \bar{d}_1^k \wedge \cdots \wedge \bar{d}_{p^k}^k \wedge f_1^k \wedge \cdots \wedge f_{n^k}^k \wedge h_1^k \wedge \cdots \wedge h_{q^k}^k)^{-1} \\ &\quad \otimes (\bar{g}_1^k \wedge \cdots \wedge \bar{g}_{q^{k-1}}^k \wedge \bar{c}_1^k \wedge \cdots \wedge \bar{c}_{m^k}^k \wedge \bar{f}_1^k \wedge \cdots \wedge \bar{f}_{n^k}^k \wedge \bar{h}_1^k \wedge \cdots \wedge \bar{h}_{q^k}^k), \end{aligned} \quad (10.96)$$

$$\begin{aligned} \Theta_{E^\bullet} &: \bigotimes_{k=a}^b (c_1^k \wedge \cdots \wedge c_{p^{k-1}}^k \wedge b_1^k \wedge \cdots \wedge b_{n^{k-1}}^k \wedge a_1^k \wedge \cdots \wedge a_{l^k}^k \wedge d_1^k \wedge \cdots \wedge d_{p^k}^k)^{(-1)^k} \\ &\longmapsto \prod_{k=a}^b (-1)^{p^k(p^k+1)/2} \cdot \bigotimes_{k=a}^b ([b_1^k] \wedge \cdots \wedge [b_{n^{k-1}}^k] \wedge [a_1^k] \wedge \cdots \wedge [a_{l^k}^k])^{(-1)^k}, \end{aligned} \quad (10.97)$$

$$\begin{aligned} \Theta_{F^\bullet} &: \bigotimes_{k=a}^b (\bar{c}_1^k \wedge \cdots \wedge \bar{c}_{p^{k-1}}^k \wedge \bar{b}_1^k \wedge \cdots \wedge \bar{b}_{n^{k-1}}^k \wedge g_1^k \wedge \cdots \wedge g_{q^{k-1}}^k \wedge \bar{a}_1^k \wedge \cdots \wedge \bar{a}_{l^k}^k \\ &\quad \wedge e_1^k \wedge \cdots \wedge e_{m^k}^k \wedge \bar{d}_1^k \wedge \cdots \wedge \bar{d}_{p^k}^k \wedge f_1^k \wedge \cdots \wedge f_{n^k}^k \wedge h_1^k \wedge \cdots \wedge h_{q^k}^k)^{(-1)^k} \\ &\longmapsto \prod_{k=a}^b (-1)^{(p^k+n^k+q^k) \cdot (p^k+n^k+q^k+1)/2} \cdot \bigotimes_{k=a}^b ([\bar{a}_1^k] \wedge \cdots \wedge [\bar{a}_{l^k}^k] \wedge [e_1^k] \wedge \cdots \wedge [e_{m^k}^k])^{(-1)^k}, \end{aligned} \quad (10.98)$$

$$\begin{aligned} \Theta_{G^\bullet} &: \bigotimes_{k=a}^b (\bar{g}_1^k \wedge \cdots \wedge \bar{g}_{q^{k-1}}^k \wedge \bar{c}_1^k \wedge \cdots \wedge \bar{c}_{m^k}^k \wedge \bar{f}_1^k \wedge \cdots \wedge \bar{f}_{n^k}^k \wedge \bar{h}_1^k \wedge \cdots \wedge \bar{h}_{q^k}^k)^{(-1)^k} \\ &\longmapsto \prod_{k=a}^b (-1)^{q^k(q^k+1)/2} \cdot \bigotimes_{k=a}^b ([\bar{e}_1^k] \wedge \cdots \wedge [\bar{e}_{m^k}^k] \wedge [\bar{f}_1^k] \wedge \cdots \wedge [\bar{f}_{n^k}^k])^{(-1)^k}. \end{aligned} \quad (10.99)$$

Here the sign in (10.96) is because, compared to the definition of  $\Psi_{B_k^\bullet}$  in (10.69), we have reordered the basis elements for compatibility with (10.98). Equation (10.93) now follows from (10.94)–(10.99), after a computation with signs.  $\square$

## 10.7 Canonical line bundles and orientations

In this section we suppose throughout that  $\mathbf{Man}$  satisfies Assumptions 3.1–3.7, 10.1 and 10.13, so that objects  $X$  in  $\mathbf{Man}$  have functorial tangent spaces  $T_x X$  which are fibres of a tangent bundle  $TX \rightarrow X$  of rank  $\dim X$ . The dual vector bundle is the cotangent bundle  $T^*X \rightarrow X$ . As in Definitions 2.38 and 10.15, its top exterior power  $\Lambda^{\dim X} T^*X$  is the canonical bundle  $K_X$  of  $X$ , a real line bundle on  $X$ , and an orientation on  $X$  is an orientation on the fibres of  $K_X$ .

Our goal is to generalize this to (m- and  $\mu$ -)Kuranishi spaces  $\mathbf{X}$ . In §10.7.1, for an m-Kuranishi space  $\mathbf{X} = (X, \mathcal{K})$  in  $\mathbf{mKur}$ , we will define a topological

real line bundle  $K_{\mathbf{X}} \rightarrow X$ , the *canonical bundle*, whose fibre at  $x \in X$  is

$$K_{\mathbf{X}}|_x = \Lambda^{\dim T_x^* \mathbf{X}} T_x^* \mathbf{X} \otimes \Lambda^{\dim O_x \mathbf{X}} O_x \mathbf{X},$$

for  $T_x \mathbf{X}, O_x \mathbf{X}$  as in §10.2.1, using the material on determinants of complexes in §10.6. Then in §10.7.2 we define an orientation on  $\mathbf{X}$  to be an orientation on the fibres of  $K_{\mathbf{X}}$ . Section 10.7.3 shows that if  $\mathbf{X}$  is an oriented m-Kuranishi space with corners in  $\mathbf{mKur}^c$ , then there is a natural orientation on  $\partial \mathbf{X}$ , and hence on  $\partial^k \mathbf{X}$  for  $k = 1, 2, \dots$ . Sections 10.7.5–10.7.6 extend all this to  $\mu$ -Kuranishi spaces and Kuranishi spaces.

The material of this section was inspired by Fukaya–Oh–Ohta–Ono’s definition of orientations on FOOO Kuranishi spaces, as in Definition 7.8 and [15, Def. A1.17], [21, Def.s 3.1, 3.3, 3.5, & 3.10], and [30, Def. 5.8].

### 10.7.1 Canonical bundles of m-Kuranishi spaces

We now construct the *canonical bundle*  $K_{\mathbf{X}} \rightarrow X$  of an m-Kuranishi space  $\mathbf{X}$  in  $\mathbf{mKur}$ . Recall that we suppose  $\mathbf{mKur}$  is constructed using  $\mathbf{Man}$  satisfying Assumptions 10.1 and 10.13, so that objects  $V \in \mathbf{Man}$  have tangent spaces  $T_v V$  which are the fibres of the tangent bundle  $TV \rightarrow V$  with rank  $\dim V$ , and as in §10.2.1,  $\mathbf{X}$  has tangent and obstruction spaces  $T_x \mathbf{X}, O_x \mathbf{X}$  for  $x \in \mathbf{X}$ .

**Theorem 10.71.** *Let  $\mathbf{X} = (X, \mathcal{K})$  be an m-Kuranishi space in  $\mathbf{mKur}$ . Then there is a natural topological line bundle  $\pi : K_{\mathbf{X}} \rightarrow X$  called the *canonical bundle* of  $\mathbf{X}$ , with fibres*

$$K_{\mathbf{X}}|_x = \det T_x^* \mathbf{X} \otimes \det O_x \mathbf{X} \quad (10.100)$$

for each  $x \in X$ , for  $T_x \mathbf{X}, O_x \mathbf{X}$  as in §10.2.1, with the property that if  $(V, E, s, \psi)$  is an m-Kuranishi neighbourhood on  $\mathbf{X}$  in the sense of §4.7, then there is an isomorphism of topological real line bundles on  $s^{-1}(0) \subseteq V$

$$\Theta_{V,E,s,\psi} : (\det T^* V \otimes \det E)|_{s^{-1}(0)} \longrightarrow \psi^{-1}(K_{\mathbf{X}}), \quad (10.101)$$

such that if  $v \in s^{-1}(0) \subseteq V$  with  $\psi(v) = x \in X$ , so that as in (10.27) we have an exact sequence

$$0 \longrightarrow T_x \mathbf{X} \xrightarrow{\iota_x} T_v V \xrightarrow{d_v s} E|_v \xrightarrow{\pi_x} O_x \mathbf{X} \longrightarrow 0, \quad (10.102)$$

and if  $(c_1, \dots, c_l), (d_1, \dots, d_{l+m}), (e_1, \dots, e_{m+n}), (f_1, \dots, f_n)$  are bases for  $T_x \mathbf{X}, T_v V, E|_v, O_x \mathbf{X}$  respectively with  $\iota_x(c_i) = d_i, i = 1, \dots, l$  and  $d_v s(d_{l+j}) = e_j, j = 1, \dots, m$  and  $\pi_x(e_{m+k}) = f_k, k = 1, \dots, n$ , and  $(\gamma_1, \dots, \gamma_l), (\delta_1, \dots, \delta_{l+m})$  are dual bases to  $(c_1, \dots, c_l), (d_1, \dots, d_{l+m})$  for  $T_x^* \mathbf{X}, T_v^* V$ , then

$$\begin{aligned} \Theta_{V,E,s,\psi}|_v : \det T_v^* V \otimes \det E|_v &\longrightarrow \det T_x^* \mathbf{X} \otimes \det O_x \mathbf{X} \quad \text{maps} \\ \Theta_{V,E,s,\psi}|_v : (\delta_1 \wedge \dots \wedge \delta_{l+m}) \otimes (e_1 \wedge \dots \wedge e_{m+n}) &\longmapsto \\ &(-1)^{m(m+1)/2} \cdot (\gamma_1 \wedge \dots \wedge \gamma_l) \otimes (f_1 \wedge \dots \wedge f_n). \end{aligned} \quad (10.103)$$

*Proof.* Just as a set, define  $K_{\mathbf{X}}$  to be the disjoint union

$$K_{\mathbf{X}} = \coprod_{x \in X} (\det T_x^* \mathbf{X} \otimes \det O_x \mathbf{X}),$$

and define  $\pi : K_{\mathbf{X}} \rightarrow X$  to map  $\pi : \det T_x^* \mathbf{X} \otimes \det O_x \mathbf{X} \mapsto x$ , so that  $K_{\mathbf{X}}|_x = \pi^{-1}(x)$  is as in (10.100) for  $x \in X$ . Define the structure of a 1-dimensional real vector space on  $K_{\mathbf{X}}|_x$  for each  $x \in X$  to be that coming from the right hand side of (10.100). To make  $K_{\mathbf{X}}$  into a topological real line bundle, it remains to define a topology on the set  $K_{\mathbf{X}}$ , such that  $\pi : K_{\mathbf{X}} \rightarrow X$  is a continuous map, and the usual local triviality condition for vector bundles holds.

Suppose  $(V, E, s, \psi)$  is an m-Kuranishi neighbourhood on  $\mathbf{X}$ . Consider the following complex  $F^\bullet$  of topological real vector bundles on  $s^{-1}(0) \subseteq V$ :

$$\begin{array}{ccccccccccc} \cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & 0 & \xrightarrow{0} & TV|_{s^{-1}(0)} & \xrightarrow{ds} & E|_{s^{-1}(0)} & \xrightarrow{0} & 0 & \xrightarrow{0} & 0 & \xrightarrow{0} & \cdots \\ \text{degree} & & -3 & & -2 & & -1 & & 0 & & 1 & & 2 & & \end{array},$$

where  $TV|_{s^{-1}(0)}$  is in degree  $-1$  and  $E|_{s^{-1}(0)}$  in degree  $0$ , and  $ds$  is given by  $ds|_v = d_v s$  for each  $v \in s^{-1}(0)$ , where  $d_v s$  is as in Definition 10.6. One can show that  $d_v s$  depends continuously on  $v$ , so that  $ds$  is a morphism of topological vector bundles.

Equation (10.102) shows that if  $v \in s^{-1}(0)$  with  $\psi(v) = x \in X$  then the cohomology of  $F^\bullet|_v$  is  $T_x \mathbf{X}$  in degree  $-1$ , and  $O_x \mathbf{X}$  in degree  $0$ , and  $0$  otherwise. Thus Definition 10.66 defines an isomorphism

$$\Theta_{F^\bullet|_v} : (\det T_v V)^{-1} \otimes (\det E|_v) \longrightarrow (\det T_x \mathbf{X})^{-1} \otimes (\det O_x \mathbf{X}).$$

Identifying  $(\det T_v V)^{-1} = \det T_v^* V$  and  $(\det T_x \mathbf{X})^{-1} = \det T_x^* \mathbf{X}$  and expanding Definition 10.66, we see that this  $\Theta_{F^\bullet|_v}$  is exactly the map  $\Theta_{V,E,s,\psi|_v}$  defined in (10.103). Thus, Definition 10.66 shows that  $\Theta_{V,E,s,\psi|_v}$  is independent of choices of bases  $(c_1, \dots, c_l), \dots, (f_1, \dots, f_n)$ .

Therefore we can define  $\Theta_{V,E,s,\psi}$  in (10.101), just as a map of sets without yet considering topological line bundle structures, by taking  $\Theta_{V,E,s,\psi|_v}$  for each  $v \in s^{-1}(0)$  to be as in (10.103) for any choice of bases  $(c_1, \dots, c_l), \dots, (f_1, \dots, f_n)$ . As  $\psi : s^{-1}(0) \rightarrow \text{Im } \psi$  is a homeomorphism, we can pushforward by  $\psi$  to obtain

$$\begin{aligned} \psi_*(\Theta_{V,E,s,\psi}) : \psi_*((\det T^* V \otimes \det E)|_{s^{-1}(0)}) &\longrightarrow \\ K_{\mathbf{X}}|_{\text{Im } \psi} = \pi^{-1}(\text{Im } \psi) &\subseteq K_{\mathbf{X}}, \end{aligned} \quad (10.104)$$

which maps by  $\Theta_{V,E,s,\psi|_v}$  over  $x \in \text{Im } \psi$  with  $v = \psi^{-1}(x)$ .

Now (10.104) is a bijection, with the left hand side a topological line bundle over  $\text{Im } \psi \subseteq X$ . Hence there is a unique topology on  $K_{\mathbf{X}}|_{\text{Im } \psi} = \pi^{-1}(\text{Im } \psi) \subseteq K_{\mathbf{X}}$  making  $K_{\mathbf{X}}|_{\text{Im } \psi} \rightarrow \text{Im } \psi$  into a topological line bundle, such that (10.104) is an isomorphism of topological line bundles over  $\text{Im } \psi$ .

Let  $(V', E', s', \psi')$  be another m-Kuranishi neighbourhood on  $\mathbf{X}$ , giving

$$\begin{aligned} \psi'_*(\Theta_{V',E',s',\psi'}) : \psi'_*((\det T^* V' \otimes \det E')|_{s'^{-1}(0)}) &\longrightarrow \\ K_{\mathbf{X}}|_{\text{Im } \psi'} = \pi^{-1}(\text{Im } \psi') &\subseteq K_{\mathbf{X}}. \end{aligned} \quad (10.105)$$



So we have topologies on  $K_{\mathbf{X}}|_{\text{Im } \psi}$  and  $K_{\mathbf{X}}|_{\text{Im } \psi'}$  making (10.104)–(10.105) into isomorphisms of topological line bundles. We claim that these topologies agree on  $K_{\mathbf{X}}|_{\text{Im } \psi \cap \text{Im } \psi'}$ . To prove this, note that Theorem 4.56(a) gives a coordinate change  $\Phi = (\tilde{V}, \phi, \hat{\phi}) : (V, E, s, \psi) \rightarrow (V', E', s', \psi')$  over  $\text{Im } \psi \cap \text{Im } \psi'$  on  $\mathbf{X}$ , and consider the commutative diagram of topological vector bundles on  $\tilde{V} \cap s^{-1}(0)$ :

$$\begin{array}{ccccccc}
\cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & TV|_{\tilde{V} \cap s^{-1}(0)} & \xrightarrow{ds} & E|_{\tilde{V} \cap s^{-1}(0)} & \xrightarrow{0} & 0 & \xrightarrow{0} & \cdots \\
& & & & \downarrow T\phi|_{\tilde{V} \cap s^{-1}(0)} & & \downarrow \hat{\phi}|_{\tilde{V} \cap s^{-1}(0)} & & & & \\
\cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & \phi^*(TV')|_{\tilde{V} \cap s^{-1}(0)} & \xrightarrow{\phi^*(ds')} & \phi^*(E')|_{\tilde{V} \cap s^{-1}(0)} & \xrightarrow{0} & 0 & \xrightarrow{0} & \cdots, \\
\text{degree} & & -2 & & -1 & & 0 & & 1 & & 
\end{array} \tag{10.106}$$

where  $T\phi|_{\tilde{V} \cap s^{-1}(0)}$  is defined by Assumption 10.13(b) since  $\phi : \tilde{V} \rightarrow V'$  is  $\mathbf{A}$  near  $\tilde{V} \cap s^{-1}(0)$  by Proposition 4.34(d).

As in (10.70), regard the rows of (10.106) as complexes  $F^\bullet, F'^\bullet$  of topological vector bundles, and the columns as a morphism of complexes  $\theta^\bullet : F^\bullet \rightarrow F'^\bullet$ . If  $v \in \tilde{V} \cap s^{-1}(0)$  with  $\phi(v) = v' \in s'^{-1}(0)$  and  $\psi(v) = \psi'(v') = x \in \text{Im } \psi \cap \text{Im } \psi'$ , then Definition 10.21 shows that  $\theta^\bullet$  induces isomorphisms on cohomology groups of  $F^\bullet, F'^\bullet$ , and furthermore, under the identification of the cohomologies of  $F^\bullet, F'^\bullet$  with  $T_x \mathbf{X}$  in degree  $-1$  and  $O_x \mathbf{X}$  in degree  $0$ , these isomorphisms are the identity maps on  $T_x \mathbf{X}, O_x \mathbf{X}$ . Thus, Proposition 10.67 gives an isomorphism of topological line bundles on  $\tilde{V} \cap s^{-1}(0)$ :

$$\Xi_{\theta^\bullet} : (\det T^*V \otimes \det E)|_{\tilde{V} \cap s^{-1}(0)} \longrightarrow \phi^*(\det T^*V' \otimes \det E')|_{\tilde{V} \cap s^{-1}(0)},$$

such that for all  $v, v', x$  as above, the following diagram (10.72) commutes

$$\begin{array}{ccc}
\det T_v^*V \otimes \det E|_v & \xrightarrow{\Xi_{\theta^\bullet}|_v} & \det T_{v'}^*V' \otimes \det E'|_{v'} \\
\downarrow \Theta_{V,E,s,\psi}|_v & & \Theta_{V',E',s',\psi'}|_{v'} \downarrow \\
(\det T_x \mathbf{X})^{-1} \otimes (\det O_x \mathbf{X}) & \xlongequal{\quad} & (\det T_x \mathbf{X})^{-1} \otimes (\det O_x \mathbf{X}),
\end{array} \tag{10.107}$$

using the identifications of  $\Theta_{F^\bullet}|_v, \Theta_{F'^\bullet}|_{v'}$  with  $\Theta_{V,E,s,\psi}|_v, \Theta_{V',E',s',\psi'}|_{v'}$  above.

Now  $\psi_*(\Xi_{\theta^\bullet})$  is an isomorphism on  $\text{Im } \psi \cap \text{Im } \psi'$  between the line bundles on the left hand sides of (10.104)–(10.105), and (10.107) for each  $x \in \text{Im } \psi \cap \text{Im } \psi'$  shows that  $\psi_*(\Xi_{\theta^\bullet})$  is compatible with (10.104)–(10.105). Thus, the topologies on  $K_{\mathbf{X}}|_{\text{Im } \psi}$  and  $K_{\mathbf{X}}|_{\text{Im } \psi'}$  from (10.104) and (10.105) agree on  $K_{\mathbf{X}}|_{\text{Im } \psi \cap \text{Im } \psi'}$ , proving the claim.

Choose a family of m-Kuranishi neighbourhoods  $\{(V_i, E_i, s_i, \psi_i) : i \in I\}$  on  $\mathbf{X}$  with  $X = \bigcup_{i \in I} \text{Im } \psi_i$  (for instance, those in the m-Kuranishi structure  $\mathcal{K}$  on  $\mathbf{X} = (X, \mathcal{K})$ ). Then we have topologies on  $K_{\mathbf{X}}|_{\text{Im } \psi_i}$  for all  $i \in I$  which agree on overlaps  $K_{\mathbf{X}}|_{\text{Im } \psi_i \cap \text{Im } \psi_j}$  for all  $i, j \in I$ , so they glue to give a global topology on  $K_{\mathbf{X}}$ , which makes  $\pi : K_{\mathbf{X}} \rightarrow X$  into a topological real line bundle. The compatibility between  $K_{\mathbf{X}}|_{\text{Im } \psi}$  and  $K_{\mathbf{X}}|_{\text{Im } \psi'}$  on  $\text{Im } \psi \cap \text{Im } \psi'$  above implies that this topology on  $K_{\mathbf{X}}$  is independent of choices.

If  $(V, E, s, \psi)$  is any m-Kuranishi neighbourhood on  $\mathbf{X}$ , then by including  $(V, E, s, \psi)$  in the family  $\{(V_i, E_i, s_i, \psi_i) : i \in I\}$ , by construction there is an isomorphism  $\Theta_{V,E,s,\psi}$  in (10.101) with the properties required.  $\square$

**Example 10.72.** Using the notation of Example 4.30, let  $X \in \mathbf{Man}$ , and let  $\mathbf{X} = F_{\mathbf{Man}}^{\mathbf{mKur}}(X)$  be the corresponding m-Kuranishi space, so that  $\mathbf{X}$  is covered by a single m-Kuranishi neighbourhood  $(X, 0, 0, \text{id}_X)$ . Then  $K_{\mathbf{X}}$  is canonically isomorphic to  $K_X = \det T^*X \rightarrow X$ , considered as a topological line bundle.

Canonical line bundles are functorial under étale 1-morphisms:

**Proposition 10.73.** *Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be an étale 1-morphism in  $\mathbf{mKur}$  as in §10.5.1 (for example,  $\mathbf{f}$  could be an equivalence), so that Theorem 10.71 defines canonical bundles  $K_{\mathbf{X}} \rightarrow X$ ,  $K_{\mathbf{Y}} \rightarrow Y$ . Then there is a natural isomorphism*

$$K_{\mathbf{f}} : f^*(K_{\mathbf{Y}}) \longrightarrow K_{\mathbf{X}} \quad (10.108)$$

of topological line bundles on  $X$ , such that for all  $x \in X$  with  $\mathbf{f}(x) = y$  in  $Y$

$$\begin{aligned} K_{\mathbf{f}}|_x &= (\det T_x^* \mathbf{f}) \otimes (\det O_x \mathbf{f})^{-1} : \\ \det T_y^* \mathbf{Y} \otimes \det O_y \mathbf{Y} &\longrightarrow \det T_x^* \mathbf{X} \otimes \det O_x \mathbf{X}, \end{aligned} \quad (10.109)$$

where  $T_x \mathbf{f} : T_x \mathbf{X} \rightarrow T_y \mathbf{Y}$ ,  $O_x \mathbf{f} : O_x \mathbf{X} \rightarrow O_y \mathbf{Y}$  are as in §10.2.1 and are isomorphisms by Theorem 10.55, and  $T_x^* \mathbf{f} : T_y^* \mathbf{Y} \rightarrow T_x^* \mathbf{X}$  is dual to  $T_x \mathbf{f}$ .

*Proof.* As a map of sets,  $K_{\mathbf{f}}$  in (10.108) is determined uniquely by (10.109), and (10.109) is an isomorphism on the fibres at each  $x \in X$ . Thus, we need only show that this map  $K_{\mathbf{f}}$  is continuous. Let  $x \in X$  with  $\mathbf{f}(x) = y$  in  $Y$ , and choose m-Kuranishi neighbourhoods  $(U_a, D_a, r_a, \chi_a)$ ,  $(V_b, E_b, s_b, \psi_b)$  on  $\mathbf{X}, \mathbf{Y}$  respectively with  $x \in \text{Im } \chi$  and  $y \in \text{Im } \psi$ . Then Theorem 4.56(b) gives a 1-morphism  $\mathbf{f}_{ab} = (U_{ab}, f_{ab}, \hat{f}_{ab}) : (U_a, D_a, r_a, \chi_a), (V_b, E_b, s_b, \psi_b)$  over  $(\text{Im } \chi_a \cap f^{-1}(\text{Im } \psi_b), \mathbf{f})$ .

By the argument in the proof of Theorem 10.71, but replacing (10.106) by

$$\begin{array}{ccccccc} \cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & TU_a|_{U_{ab} \cap r_a^{-1}(0)} & \xrightarrow{\text{dr}_a} & D_a|_{U_{ab} \cap r_a^{-1}(0)} & \xrightarrow{0} & 0 & \xrightarrow{0} & \cdots \\ & & & & \downarrow Tf_{ab}|_{U_{ab} \cap r_a^{-1}(0)} & & \downarrow \hat{f}_{ab}|_{U_{ab} \cap r_a^{-1}(0)} & & & & \\ \cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & f_{ab}^*(TV_b)|_{U_{ab} \cap r_a^{-1}(0)} & \xrightarrow{f_{ab}^*(\text{ds}_b)} & f_{ab}^*(E_b)|_{U_{ab} \cap r_a^{-1}(0)} & \xrightarrow{0} & 0 & \xrightarrow{0} & \cdots, \\ \text{degree} & & -2 & & -1 & & 0 & & 1 & & \end{array}$$

and noting that  $T_x \mathbf{f}, O_x \mathbf{f}$  are isomorphisms, we obtain an isomorphism of topological line bundles on  $U_{ab} \cap r_a^{-1}(0)$ :

$$\Xi_{\theta^\bullet} : (\det T^*U_{ab} \otimes \det D_a)|_{U_{ab} \cap r_a^{-1}(0)} \longrightarrow f_{ab}^*(\det T^*V_b \otimes \det E_b)|_{\dots},$$

such that for all  $u \in U_{ab} \cap r_a^{-1}(0)$  with  $\chi_a(u) = x$  in  $\mathbf{X}$ ,  $f_{ab}(u) = v \in V_b$  and  $\mathbf{f}(x) = \psi_b(v) = y$  in  $\mathbf{Y}$  as above, as in (10.72) and (10.107) the following commutes:

$$\begin{array}{ccc} \det T_u^*U_{ab} \otimes \det D_a|_u & \xrightarrow{\Xi_{\theta^\bullet}|_u} & \det T_v^*V_b \otimes \det E_b|_v \\ \downarrow \Theta_{U_a, D_a, r_a, \chi_a}|_u & & \Theta_{V_b, E_b, s_b, \psi_b}|_v \\ (\det T_x \mathbf{X})^{-1} \otimes (\det O_x \mathbf{X}) & \xleftarrow{\chi_a^*(K_{\mathbf{f}})|_u = K_{\mathbf{f}}|_x \text{ in (10.109)}} & (\det T_y \mathbf{Y})^{-1} \otimes (\det O_y \mathbf{Y}). \end{array} \quad (10.110)$$

As the top, left and right morphisms of (10.110) are restrictions to  $u$  of isomorphisms of topological line bundles  $\Xi_{\theta\bullet}, \Theta_{U_a, D_a, r_a, \chi_a}, \Theta_{V_b, E_b, s_b, \psi_b}$ , it follows that  $\chi_a^*(K_{\mathbf{f}})$  is an isomorphism of topological line bundles over  $U_{ab} \cap r_a^{-1}(0)$ , so that  $K_{\mathbf{f}}$  is an isomorphism (and in particular is continuous) over  $\text{Im } \chi_a \cap f^{-1}(\text{Im } \psi_b) \subseteq X$ . Since we can cover  $X$  by such open  $\text{Im } \chi_a \cap f^{-1}(\text{Im } \psi_b)$ , this shows  $K_{\mathbf{f}}$  in (10.108) is an isomorphism of topological line bundles.  $\square$

By Examples 10.2 and 10.14, the results above apply when  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  is one of

$$\mathbf{mKur}, \mathbf{mKur}^c, \mathbf{mKur}_{\text{we}}^c, \quad (10.111)$$

with  $T_x\mathbf{X}, O_x\mathbf{X}$  and  $K_{\mathbf{X}}$  defined using ordinary tangent spaces  $T_vV$  in  $\mathbf{Man}$ ,  $\mathbf{Man}^c, \mathbf{Man}_{\text{we}}^c$ , and also when  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  is one of

$$\mathbf{mKur}^c, \mathbf{mKur}^{\text{gc}}, \mathbf{mKur}^{\text{ac}}, \mathbf{mKur}^{c,\text{ac}}, \quad (10.112)$$

with  ${}^bT_x\mathbf{X}, {}^bO_x\mathbf{X}, {}^bK_{\mathbf{X}}$  (using the obvious notation) defined using b-tangent spaces  ${}^bT_vV$  in  $\mathbf{Man}^c, \mathbf{Man}^{\text{gc}}, \mathbf{Man}^{\text{ac}}, \mathbf{Man}^{c,\text{ac}}$ . Note that in  $\mathbf{mKur}^c$  we have *two different notions of canonical bundle*  $K_{\mathbf{X}}, {}^bK_{\mathbf{X}}$ , defined using ordinary tangent bundles  $TV \rightarrow V$  and b-tangent bundles  ${}^bTV \rightarrow V$  in  $\mathbf{Man}^c$ . We will see in §10.7.2 that these yield equivalent notions of orientation on  $\mathbf{X}$  in  $\mathbf{mKur}^c$ .

## 10.7.2 Orientations on m-Kuranishi spaces

**Definition 10.74.** Let  $\mathbf{X} = (X, \mathcal{K})$  be an m-Kuranishi space in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ , so that Theorem 10.71 defines the canonical bundle  $\pi : K_{\mathbf{X}} \rightarrow X$ . An *orientation*  $o_{\mathbf{X}}$  on  $\mathbf{X}$  is an orientation on the fibres of  $K_{\mathbf{X}}$ .

That is, as in Definitions 2.38 and 10.15, an orientation  $o_{\mathbf{X}}$  on  $\mathbf{X}$  is an equivalence class  $[\omega]$  of continuous sections  $\omega \in \Gamma^0(K_{\mathbf{X}})$  with  $\omega|_x \neq 0$  for all  $x \in X$ , where two such  $\omega, \omega'$  are equivalent if  $\omega' = K \cdot \omega$  for  $K : X \rightarrow (0, \infty)$  continuous. The *opposite orientation* is  $-o_{\mathbf{X}} = [-\omega]$ .

Then we call  $(\mathbf{X}, o_{\mathbf{X}})$  an *oriented m-Kuranishi space*. Usually we suppress the orientation  $o_{\mathbf{X}}$ , and just refer to  $\mathbf{X}$  as an oriented m-Kuranishi space, and then we write  $-\mathbf{X}$  for  $\mathbf{X}$  with the opposite orientation.

Proposition 10.73 implies that if  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is an étale 1-morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$  then orientations  $o_{\mathbf{Y}}$  on  $\mathbf{Y}$  pull back to orientations  $o_{\mathbf{X}} = \mathbf{f}^*(o_{\mathbf{Y}})$  on  $\mathbf{X}$ , where if  $o_{\mathbf{Y}} = [\omega]$  then  $o_{\mathbf{X}} = [K_{\mathbf{f}} \circ \mathbf{f}^*(\omega)]$ . If  $\mathbf{f}$  is an equivalence, this defines a natural 1-1 correspondence between orientations on  $\mathbf{X}$  and orientations on  $\mathbf{Y}$ .

Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ . A *coorientation*  $c_{\mathbf{f}}$  on  $\mathbf{f}$  is an orientation on the fibres of the line bundle  $K_{\mathbf{X}} \otimes \mathbf{f}^*(K_{\mathbf{Y}}^*)$  over  $X$ . That is,  $c_{\mathbf{f}}$  is an equivalence class  $[\gamma]$  of  $\gamma \in \Gamma^0(K_{\mathbf{X}} \otimes \mathbf{f}^*(K_{\mathbf{Y}}^*))$  with  $\gamma|_x \neq 0$  for all  $x \in X$ , where two such  $\gamma, \gamma'$  are equivalent if  $\gamma' = K \cdot \gamma$  for  $K : X \rightarrow (0, \infty)$  continuous. The *opposite coorientation* is  $-c_{\mathbf{f}} = [-\gamma]$ . If  $\mathbf{Y}$  is oriented then coorientations on  $\mathbf{f}$  are equivalent to orientations on  $\mathbf{X}$ . Orientations on  $\mathbf{X}$  are equivalent to coorientations on  $\pi : \mathbf{X} \rightarrow *$ , for  $*$  the point in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ .

**Remark 10.75.** There are several equivalent ways to define orientations on m-Kuranishi spaces  $\mathbf{X} = (X, \mathcal{K})$  without first defining the canonical bundle  $K_{\mathbf{X}}$ .

Writing  $\mathcal{K} = (I, (V_i, E_i, s_i, \psi_i)_{i \in I}, \Phi_{ij}, i, j \in I, \Lambda_{ijk}, i, j, k \in I)$ , an orientation on  $\mathbf{X}$  is equivalent to the data of an orientation on the manifold  $E_i$  in  $\dot{\mathbf{Man}}$  near  $0_{E_i}(s_i^{-1}(0)) \subseteq E_i$ , such that all the coordinate changes  $\Phi_{ij} : (V_i, E_i, s_i, \psi_i) \rightarrow (V_j, E_j, s_j, \psi_j)$  are ‘orientation-preserving’ in a suitable sense.

The purpose of Definition 10.66 and Proposition 10.67 is to give us a good notion of when  $\Phi_{ij}$  is orientation-preserving in the proof of Theorem 10.71. We do this using tangent spaces and tangent bundles, and implicitly we use the exact sequence (10.59) to compare orientations on  $(V_i, E_i, s_i, \psi_i)$  and  $(V_j, E_j, s_j, \psi_j)$ .

It should still be possible to define orientations in  $\mathbf{m}\dot{\mathbf{Kur}}$  when the category  $\dot{\mathbf{Man}}$  does not have tangent bundles  $TV \rightarrow V$ , but does have a well-behaved notion of orientation. To do this we would need an alternative way to define when  $\Phi_{ij}$  is ‘orientation-preserving’, not involving tangent bundles.

As for (10.111)–(10.112), Definition 10.74 defines orientations on m-Kuranishi spaces  $\mathbf{X}$  in the 2-categories  $\mathbf{mKur}$ ,  $\mathbf{mKur}^c$ ,  $\mathbf{mKur}_{\text{we}}^c$ , with  $K_{\mathbf{X}}$  defined using tangent bundles  $TV \rightarrow V$ , and on  $\mathbf{X}$  in the 2-categories  $\mathbf{mKur}^c$ ,  $\mathbf{mKur}^{\text{sc}}$ ,  $\mathbf{mKur}^{\text{ac}}$ ,  $\mathbf{mKur}^{c,\text{ac}}$ , with  ${}^bK_{\mathbf{X}}$  defined using b-tangent bundles  ${}^bTV \rightarrow V$ .

For  $\mathbf{X} = (X, \mathcal{K})$  in  $\mathbf{mKur}^c$ , we have two canonical bundles  $K_{\mathbf{X}}$  and  ${}^bK_{\mathbf{X}}$ , which are generally not canonically isomorphic. However, the notions of orientation on  $\mathbf{X}$  defined using  $K_{\mathbf{X}}$  and  ${}^bK_{\mathbf{X}}$  are equivalent. This is because, as in §2.6, the notions of orientation on  $E_i \in \mathbf{Man}^c$  defined using  $TE_i$  and  ${}^bTE_i$  are equivalent, and as in Remark 10.75 an orientation on  $\mathbf{X}$  is equivalent to local orientations on  $E_i$  in m-Kuranishi neighbourhoods  $(V_i, E_i, s_i, \psi_i)$  in  $\mathcal{K}$ .

**Example 10.76.** Using the notation of Example 4.30, let  $X \in \dot{\mathbf{Man}}$ , and let  $\mathbf{X} = F_{\mathbf{Man}}^{\mathbf{mKur}}(X)$  be the corresponding m-Kuranishi space. Then combining Example 10.72 and Definitions 10.15 and 10.74 shows that orientations on  $X$  in  $\dot{\mathbf{Man}}$ , and on  $\mathbf{X}$  in  $\mathbf{mKur}$ , are equivalent.

### 10.7.3 Orienting boundaries of m-Kuranishi spaces with corners

Now suppose  $\dot{\mathbf{Man}}^c$  satisfies Assumptions 3.22 and 10.16, so that as in §4.6 we have a 2-category  $\mathbf{m}\dot{\mathbf{Kur}}^c$  of m-Kuranishi spaces with corners  $\mathbf{X}$  which have boundaries  $\partial\mathbf{X}$  and 1-morphisms  $i_{\mathbf{X}} : \partial\mathbf{X} \rightarrow \mathbf{X}$  as in §4.6.1. Also  $\dot{\mathbf{Man}}^c$  satisfies Assumptions 10.1 and 10.13 by Assumption 10.16, so Theorem 10.71 defines canonical bundles  $K_{\mathbf{X}} \rightarrow X$  and  $K_{\partial\mathbf{X}} \rightarrow \partial X$ . Our next theorem relates these. One should compare  $\Omega_{\mathbf{X}}$  in (10.113) with  $\Omega_X$  in (10.16) for  $X \in \dot{\mathbf{Man}}^c$ .

**Theorem 10.77.** *Let  $\dot{\mathbf{Man}}^c$  satisfy Assumptions 3.22 and 10.16, and suppose  $\mathbf{X}$  is an m-Kuranishi space with corners in  $\mathbf{m}\dot{\mathbf{Kur}}^c$ . Then there is a natural isomorphism of topological line bundles on  $\partial X$*

$$\Omega_{\mathbf{X}} : K_{\partial\mathbf{X}} \longrightarrow N_{\partial\mathbf{X}} \otimes i_{\mathbf{X}}^*(K_{\mathbf{X}}), \quad (10.113)$$

where  $N_{\partial\mathbf{X}}$  is a line bundle on  $\partial X$ , with a natural orientation on its fibres.

Suppose that  $(V_a, E_a, s_a, \psi_a)$  is an  $m$ -Kuranishi neighbourhood on  $\mathbf{X}$ , as in §4.7.1, with  $\dim V_a = m_a$  and  $\text{rank } E_a = n_a$ . Then §4.7.3 defines an  $m$ -Kuranishi neighbourhood  $(V_{(1,a)}, E_{(1,a)}, s_{(1,a)}, \psi_{(1,a)})$  on  $\partial\mathbf{X}$  with  $V_{(1,a)} = \partial V_a$ ,  $E_{(1,a)} = i_{V_a}^*(E_a)$ , and  $s_{(1,a)} = i_{V_a}^*(s_a)$ . Also Assumption 10.16 gives a (smooth) line bundle  $N_{\partial V_a} \rightarrow \partial V_a$ , with an orientation on its fibres. Then there is a natural isomorphism of topological line bundles on  $s_{(1,a)}^{-1}(0) \subseteq \partial V_a$

$$\Phi_{V_a, E_a, s_a, \psi_a} : N_{\partial V_a}|_{s_{(1,a)}^{-1}(0)} \longrightarrow \psi_{(1,a)}^{-1}(N_{\partial\mathbf{X}}), \quad (10.114)$$

which identifies the orientations on the fibres, such that the following commutes:

$$\begin{array}{ccc} (\det T^*(\partial V_a) \otimes \det i_{V_a}^*(E_a))|_{s_{(1,a)}^{-1}(0)} & \xrightarrow{\Omega_{V_a} \otimes \text{id}_{\det i_{V_a}^*(E_a)}|_{\dots}} & N_{\partial V_a} \otimes i_{V_a}^*(\det T^*V_a \otimes \det E_a)|_{s_{(1,a)}^{-1}(0)} \\ \downarrow \Theta_{V_{(1,a)}, E_{(1,a)}, s_{(1,a)}, \psi_{(1,a)}} & & \downarrow \Theta_{V_a, E_a, s_a, \psi_a} \\ \psi_{(1,a)}^{-1}(K_{\partial\mathbf{X}}) & \xrightarrow{\Omega_{\mathbf{X}}} & \psi_{(1,a)}^{-1}(N_{\partial\mathbf{X}} \otimes i_{\mathbf{X}}^*(K_{\mathbf{X}})), \end{array} \quad (10.115)$$

where  $\Omega_{V_a}$  is as in (10.16), and  $\Theta_{V_a, E_a, s_a, \psi_a}, \Theta_{V_{(1,a)}, E_{(1,a)}, s_{(1,a)}, \psi_{(1,a)}}$  are as in (10.101), and  $\Omega_{\mathbf{X}}$  is as in (10.113), and  $\Phi_{V_a, E_a, s_a, \psi_a}$  is as in (10.114).

*Proof.* Most of the theorem holds trivially, by definition. Define a topological line bundle  $N_{\partial\mathbf{X}} \rightarrow \partial\mathbf{X}$  by  $N_{\partial\mathbf{X}} = K_{\partial\mathbf{X}} \otimes (i_{\mathbf{X}}^*(K_{\mathbf{X}}))^*$ , where  $(i_{\mathbf{X}}^*(K_{\mathbf{X}}))^*$  is the dual line bundle to  $i_{\mathbf{X}}^*(K_{\mathbf{X}})$ , and define  $\Omega_{\mathbf{X}}$  in (10.113) to be the inverse of

$$N_{\partial\mathbf{X}} \otimes i_{\mathbf{X}}^*(K_{\mathbf{X}}) \cong K_{\partial\mathbf{X}} \otimes (i_{\mathbf{X}}^*(K_{\mathbf{X}}))^* \otimes i_{\mathbf{X}}^*(K_{\mathbf{X}}) \xrightarrow{\text{id} \otimes \text{dual pairing}} K_{\partial\mathbf{X}}.$$

For the second part, since (10.115) is a diagram of isomorphisms of topological line bundles on  $s_{(1,a)}^{-1}(0)$  with  $\Phi_{V_a, E_a, s_a, \psi_a}$  the only undefined term, we define  $\Phi_{V_a, E_a, s_a, \psi_a}$  to be the unique isomorphism in (10.114) such that (10.115) commutes.

We must construct an orientation on the fibres of  $N_{\partial\mathbf{X}}$  such that (10.114) is orientation-preserving for all  $m$ -Kuranishi neighbourhoods  $(V_a, E_a, s_a, \psi_a)$  on  $\mathbf{X}$ . Since  $\psi_{(1,a)} : s_{(1,a)}^{-1}(0) \rightarrow \text{Im } \psi_{(1,a)}$  is a homeomorphism, there is a unique orientation on  $N_{\partial\mathbf{X}}|_{\text{Im } \psi_{(1,a)}}$  such that (10.114) is orientation-preserving. We will prove that for any two such  $(V_a, E_a, s_a, \psi_a), (V_b, E_b, s_b, \psi_b)$  on  $\mathbf{X}$  we have

$$\begin{aligned} \Phi_{V_a, E_a, s_a, \psi_a}|_{V_{(1,a)}(1,b) \cap s_{(1,a)}^{-1}(0)} &= \partial\phi_{ab}|_{\dots}(\Phi_{V_b, E_b, s_b, \psi_b}) \circ \gamma_{\phi_{ab}}|_{\dots} : \\ N_{\partial V_a}|_{V_{(1,a)}(1,b) \cap s_{(1,a)}^{-1}(0)} &\longrightarrow \psi_{(1,a)}^{-1}(N_{\partial\mathbf{X}})|_{V_{(1,a)}(1,b) \cap s_{(1,a)}^{-1}(0)}, \end{aligned} \quad (10.116)$$

where  $\gamma_{\phi_{ab}} : N_{V_{ab}} \rightarrow \phi_{ab}^*(N_{V_b})$  is as in (10.11) or (10.14). As  $\gamma_{\phi_{ab}}$  is orientation preserving by Assumption 10.16, equation (10.116) implies that the orientations on  $N_{\partial\mathbf{X}}|_{\text{Im } \psi_{(1,a)}}$  and  $N_{\partial\mathbf{X}}|_{\text{Im } \psi_{(1,b)}}$  agree on  $\text{Im } \psi_{(1,a)} \cap \text{Im } \psi_{(1,b)}$ . Because we can cover  $\partial\mathbf{X}$  by such open  $\text{Im } \psi_{(1,a)} \subseteq \partial\mathbf{X}$ , there is a unique orientation on the fibres of  $N_{\partial\mathbf{X}}$  with (10.114) orientation-preserving for all  $(V_a, E_a, s_a, \psi_a)$ .

It remains to prove (10.116). Definition 4.60 constructs m-Kuranishi neighbourhoods  $(V_{(1,a)}, E_{(1,a)}, s_{(1,a)}, \psi_{(1,a)})$ ,  $(V_{(1,b)}, E_{(1,b)}, s_{(1,b)}, \psi_{(1,b)})$  on  $\partial \mathbf{X}$  from  $(V_a, E_a, s_a, \psi_a)$ ,  $(V_b, E_b, s_b, \psi_b)$ . Theorem 4.56(a) gives a coordinate change

$$\Phi_{ab} = (V_{ab}, \phi_{ab}, \hat{\phi}_{ab}) : (V_a, E_a s_a, \psi_a) \longrightarrow (V_b, E_b, s_b, \psi_b)$$

over  $\text{Im } \psi_a \cap \text{Im } \psi_b$  on  $\mathbf{X}$ . By Proposition 4.34(d), making  $V_{ab}$  smaller we can suppose  $\phi_{ab} : V_{ab} \rightarrow V_b$  is simple, so  $\partial \phi_{ab}$  is defined. Definition 4.61 constructs a coordinate change over  $\text{Im } \psi_{(1,a)} \cap \text{Im } \psi_{(1,b)}$  on  $\partial \mathbf{X}$

$$\begin{aligned} \Phi_{(1,a)(1,b)} &= (V_{(1,a)(1,b)}, \phi_{(1,a)(1,b)}, \hat{\phi}_{(1,a)(1,b)}) : (V_{(1,a)}, E_{(1,a)}, s_{(1,a)}, \psi_{(1,a)}) \\ &\longrightarrow (V_{(1,b)}, E_{(1,b)}, s_{(1,b)}, \psi_{(1,b)}), \end{aligned}$$

with  $V_{(1,a)(1,b)} = \partial V_{ab}$ ,  $\phi_{(1,a)(1,b)} = \partial \phi_{ab}$ , and  $\hat{\phi}_{(1,a)(1,b)} = i_{V_{ab}}^*(\hat{\phi}_{ab})$ .

Suppose Assumption 10.16(a) holds for  $\mathbf{Man}^c$ . Then by (10.11) we have a commutative diagram of vector bundles on  $\partial V_{ab} \subseteq \partial V_a$ :

$$\begin{array}{ccccccc} 0 & \longrightarrow & N_{\partial V_{ab}} & \xrightarrow{\alpha_{V_{ab}}} & i_{V_{ab}}^*(TV_{ab}) & \xrightarrow{\beta_{V_{ab}}} & T(\partial V_{ab}) \longrightarrow 0 \\ & & \downarrow \gamma_{\phi_{ab}} & & \downarrow i_{V_{ab}}^*(T\phi_{ab}) & & \downarrow T(\partial \phi_{ab}) \\ & & (\partial \phi_{ab})^*(\alpha_{V_b}) & & i_{V_{ab}}^*(\phi_{ab}^*(TV_b)) & & (\partial \phi_{ab})^*(T(\partial V_b)) \\ 0 & \longrightarrow & (\partial \phi_{ab})^*(N_{\partial V_b}) & \longrightarrow & = (\partial \phi_{ab})^*(i_{V_b}^*(TV_b)) & \longrightarrow & (\partial \phi_{ab})^*(T(\partial V_b)) \longrightarrow 0. \end{array} \quad (10.117)$$

Let  $v'_a \in V_{(1,a)(1,b)} \cap s_{(1,a)}^{-1}(0) \subseteq \partial V_{ab} \subseteq \partial V_a$ , and set  $v_a = i_{V_a}(v'_a)$  in  $V_{ab} \cap s_a^{-1}(0) \subseteq V_{ab} \subseteq V_a$ , and  $v'_b = \partial \phi_{ab}(v'_a)$  in  $V_{(1,b)} \cap s_{(1,b)}^{-1}(0) \subseteq \partial V_b$ , and  $v_b = i_{V_b}(v'_b) = \phi_{ab}(v_a)$  in  $s_b^{-1}(0) \subseteq V_b$ , and  $x' = \psi_{(1,a)}(v'_a) = \psi_{(1,b)}(v'_b)$  in  $\partial \mathbf{X}$ , and  $x = \psi_a(v_a) = \psi_b(v_b) = i_{\mathbf{X}}(x')$  in  $\mathbf{X}$ . Set  $m_a = \dim V_a$ ,  $n_a = \text{rank } E_a$ ,  $m_b = \dim V_b$ ,  $n_b = \text{rank } E_b$ ,  $m = \dim T_x \mathbf{X}$  and  $n = \dim O_x \mathbf{X}$ . Then  $m_a - n_a = m_b - n_b = m - n = \text{vdim } \mathbf{X}$ , so we have  $m_a = m + p_a$ ,  $n_a = n + p_a$ ,  $m_b = m + p_b$ ,  $n_b = n + p_b$  for  $p_a, p_b \geq 0$ .

As in (10.21) and (10.102) we have commutative diagrams

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_x \mathbf{X} & \xrightarrow{l_x^a} & T_{v_a} V_a & \xrightarrow{d_{v_a} s_a} & E_a|_{v_a} \xrightarrow{\pi_x^a} O_x \mathbf{X} \longrightarrow 0 \\ & & \parallel & & \downarrow T_{v_a} \phi_{ab} & & \downarrow \hat{\phi}_{ab}|_{v_a} \\ 0 & \longrightarrow & T_x \mathbf{X} & \xrightarrow{l_x^b} & T_{v_b} V_b & \xrightarrow{d_{v_b} s_b} & E_b|_{v_b} \xrightarrow{\pi_x^b} O_x \mathbf{X} \longrightarrow 0, \end{array} \quad (10.118)$$

$$\begin{array}{ccccccc} 0 & \succ & T_{x'}(\partial \mathbf{X}) & \xrightarrow{l_{x'}^a} & T_{v'_a}(\partial V_a) & \xrightarrow{d_{v'_a} s_{(1,a)}} & E_a|_{v_a} \xrightarrow{\pi_{x'}^a} O_{x'}(\partial \mathbf{X}) \succ 0 \\ & & \parallel & & \downarrow T_{v'_a}(\partial \phi_{ab}) & & \downarrow \hat{\phi}_{ab}|_{v_a} \\ 0 & \succ & T_{x'}(\partial \mathbf{X}) & \xrightarrow{l_{x'}^b} & T_{v'_b}(\partial V_b) & \xrightarrow{d_{v'_b} s_{(1,b)}} & E_b|_{v_b} \xrightarrow{\pi_{x'}^b} O_{x'}(\partial \mathbf{X}) \succ 0, \end{array} \quad (10.119)$$

with exact rows. Choose bases  $(c_1, \dots, c_m)$ ,  $(d_1^a, \dots, d_{m+p_a}^a)$ ,  $(d_1^b, \dots, d_{m+p_b}^b)$ ,  $(e_1^a, \dots, e_{p_a+n}^a)$ ,  $(e_1^b, \dots, e_{p_b+n}^b)$ ,  $(f_1, \dots, f_n)$  for  $T_x \mathbf{X}$ ,  $T_{v_a} V_a$ ,  $E_a|_{v_a}$ ,  $T_{v_b} V_b$ ,  $E_b|_{v_b}$ ,  $O_x \mathbf{X}$  respectively with

$$\begin{aligned} l_x^a(c_i) &= d_i^a, \quad l_x^b(c_i) = d_i^b, \quad i = 1, \dots, m, \quad d_{v_a} s_a(d_{m+j}^a) = e_j^a, \quad j = 1, \dots, p_a, \\ d_{v_b} s_b(d_{m+j}^b) &= e_j^b, \quad j = 1, \dots, p_b, \quad \pi_x^a(e_{p_a+k}^a) = \pi_x^b(e_{p_b+k}^b) = f_k, \quad k = 1, \dots, n. \end{aligned} \quad (10.120)$$

Let  $(\gamma_1, \dots, \gamma_m), (\delta_1^a, \dots, \delta_{m+p_a}^a), (\delta_1^b, \dots, \delta_{m+p_b}^b)$  be the dual bases to  $(c_1, \dots, c_m), (d_1^a, \dots, d_{m+p_a}^a), (d_1^b, \dots, d_{m+p_b}^b)$ . Then Theorem 10.71 gives

$$\Theta_{V_a, E_a, s_a, \psi_a}|_{v_a} : (\delta_1^a \wedge \dots \wedge \delta_{m+p_a}^a) \otimes (e_1^a \wedge \dots \wedge e_{p_a+n}^a) \mapsto (-1)^{p_a(p_a+1)/2} \cdot (\gamma_1 \wedge \dots \wedge \gamma_m) \otimes (f_1 \wedge \dots \wedge f_n), \quad (10.121)$$

$$\Theta_{V_b, E_b, s_b, \psi_b}|_{v_b} : (\delta_1^b \wedge \dots \wedge \delta_{m+p_b}^b) \otimes (e_1^b \wedge \dots \wedge e_{p_b+n}^b) \mapsto (-1)^{p_b(p_b+1)/2} \cdot (\gamma_1 \wedge \dots \wedge \gamma_m) \otimes (f_1 \wedge \dots \wedge f_n). \quad (10.122)$$

Now from (10.12) in Assumption 10.16(a) we can show that

$$d_{v_a} s_a = d_{v'_a} s_{(1,a)} \circ \beta_{V_{ab}}|_{v'_a} : T_{v_a} V_a \longrightarrow E_a|_{v_a}.$$

Exactness of the top line of (10.117) implies that

$$\begin{aligned} \text{Im}(d_{v'_a} s_{(1,a)}) &= \text{Im}(d_{v_a} s_a) = \langle e_1^a, \dots, e_{p_a}^a \rangle_{\mathbb{R}}, \\ \mathbb{R} \cong \text{Im}(\alpha_{V_{ab}}|_{v'_a}) &\subseteq \text{Ker}(d_{v_a} s_a) = \langle d_1^a, \dots, d_m^a \rangle_{\mathbb{R}}. \end{aligned}$$

Choose  $(d_1^a, \dots, d_{m+p_a}^a)$  with  $\text{Im}(\alpha_{V_{ab}}|_{v'_a}) = \langle d_1^a \rangle_{\mathbb{R}}$ . From (10.118) and  $l_x^a(c_i) = d_i^a, l_x^b(c_i) = d_i^b$  we see that  $T_{v_a} \phi_{ab}(d_i^a) = d_i^b$  for  $i = 1, \dots, m$ , so from (10.117) we deduce that  $\text{Im}(\alpha_{V_b}|_{v'_a}) = \langle d_1^b \rangle_{\mathbb{R}}$ . Thus there are unique  $g_1^a \in N_{\partial V_{ab}}|_{v'_a}$  and  $g_1^b \in N_{\partial V_b}|_{v'_a}$  with  $\alpha_{V_{ab}}|_{v'_a}(g_1^a) = d_1^a, \alpha_{V_b}|_{v'_a}(g_1^b) = d_1^b$ , and then  $\gamma_{\phi_{ab}}|_{v'_a}(g_1^a) = g_2^a$ . Set  $d_i^a = \beta_{V_{ab}}|_{v'_a}(d_i^a)$  for  $i = 2, \dots, m+p_a$  and  $d_i^b = \beta_{V_b}|_{v'_a}(d_i^b)$  for  $i = 2, \dots, m+p_b$ . Then  $(d_2^a, \dots, d_{m+p_a}^a), (d_2^b, \dots, d_{m+p_b}^b)$  are bases for  $T_{v'_a}(\partial V_a), T_{v'_a}(\partial V_b)$ , by exactness in the rows of (10.117). Let  $(\delta_2^a, \dots, \delta_{m+p_a}^a), (\delta_2^b, \dots, \delta_{m+p_b}^b)$  be the dual bases for  $T_{v'_a}^*(\partial V_a), T_{v'_a}^*(\partial V_b)$ . Then Definition 10.18 gives

$$\Omega_{V_a}|_{v'_a} : \delta_2^a \wedge \dots \wedge \delta_{m+p_a}^a \mapsto g_1^a \otimes (\delta_1^a \wedge \dots \wedge \delta_{m+p_a}^a), \quad (10.123)$$

$$\Omega_{V_b}|_{v'_a} : \delta_2^b \wedge \dots \wedge \delta_{m+p_b}^b \mapsto g_1^b \otimes (\delta_1^b \wedge \dots \wedge \delta_{m+p_b}^b). \quad (10.124)$$

Using (10.118)–(10.120) we see there are unique bases  $(c'_2, \dots, c'_m), (f'_1, \dots, f'_n)$  for  $T_{x'}(\partial \mathbf{X}), O_{x'}(\partial \mathbf{X})$  such that

$$\begin{aligned} l_{x'}^a(c'_i) &= d_i^a, \quad l_{x'}^b(c'_i) = d_i^b, \quad i = 2, \dots, m, \\ \pi_{x'}^a(e_{p_a+k}^a) &= f'_k, \quad \pi_{x'}^b(e_{p_b+k}^b) = f'_k, \quad k = 1, \dots, n. \end{aligned}$$

Let  $(\gamma'_2, \dots, \gamma'_m)$  be the dual basis to  $(c'_2, \dots, c'_m)$  for  $T_{x'}^*(\partial \mathbf{X})$ . Then as for (10.121)–(10.122), Theorem 10.71 gives

$$\Theta_{V_{(1,a)}, E_{(1,a)}, s_{(1,a)}, \psi_{(1,a)}}|_{v'_a} : (\delta_2^a \wedge \dots \wedge \delta_{m+p_a}^a) \otimes (e_1^a \wedge \dots \wedge e_{p_a+n}^a) \mapsto (-1)^{p_a(p_a+1)/2} \cdot (\gamma'_2 \wedge \dots \wedge \gamma'_m) \otimes (f'_1 \wedge \dots \wedge f'_n), \quad (10.125)$$

$$\Theta_{V_{(1,b)}, E_{(1,b)}, s_{(1,b)}, \psi_{(1,b)}}|_{v'_a} : (\delta_2^b \wedge \dots \wedge \delta_{m+p_b}^b) \otimes (e_1^b \wedge \dots \wedge e_{p_b+n}^b) \mapsto (-1)^{p_b(p_b+1)/2} \cdot (\gamma'_2 \wedge \dots \wedge \gamma'_m) \otimes (f'_1 \wedge \dots \wedge f'_n). \quad (10.126)$$

From (10.115) and (10.121)–(10.126) we see that

$$\begin{aligned} \Phi_{V_a, E_a, s_a, \psi_a} |_{v'_a}(g_1^a) &= \Phi_{V_b, E_b, s_b, \psi_b} |_{v'_b}(g_1^b) = \\ &= ((\gamma_1 \wedge \cdots \wedge \gamma_m) \otimes (f_1 \wedge \cdots \wedge f_n)) \otimes ((\gamma'_2 \wedge \cdots \wedge \gamma'_m) \otimes (f'_1 \wedge \cdots \wedge f'_n))^{-1}. \end{aligned}$$

This and  $\gamma_{\phi_{ab}} |_{v'_a}(g_1^a) = g_1^b$  imply the restriction of (10.116) to  $v'_a$ , for any  $v'_a$ . Therefore (10.116) holds when  $\mathbf{Man}^c$  satisfies Assumption 10.16(a). The proof for Assumption 10.16(b) is very similar, and we leave it to the reader.  $\square$

**Example 10.78.** Work in the 2-category  $\mathbf{mKur}^c$  or  $\mathbf{mKur}^{gc}$  of m-Kuranishi spaces with corners  $\mathbf{X}$  defined using  $\mathbf{Man}^c = \mathbf{Man}^c$  or  $\mathbf{Man}^{gc}$  from Chapter 2, with (b-)canonical bundles  ${}^bK_{\mathbf{X}}$  defined using b-tangent bundles  ${}^bTV \rightarrow V$  from §2.3 for  $V$  in  $\mathbf{Man}^c$  or  $\mathbf{Man}^{gc}$ . Then as in (2.14) and Example 10.17(i), the normal bundle  $N_{\partial\mathbf{X}}$  in (10.10) of Assumption 10.16(a) is naturally trivial,  $N_{\partial\mathbf{X}} = \mathcal{O}_{\partial\mathbf{X}}$ .

Thus, if  $\mathbf{X}$  lies in  $\mathbf{mKur}^c$  or  $\mathbf{mKur}^{gc}$  then (10.114) in Theorem 10.77 implies that  $N_{\partial\mathbf{X}}$  is naturally trivial on  $\text{Im } \psi_{(1,a)}$ . As  $\gamma_{\phi_{ab}}$  in (10.117) respects the trivializations, they glue to a global natural trivialization  $N_{\partial\mathbf{X}} \cong \mathcal{O}_{\partial\mathbf{X}}$ . Hence for  $\mathbf{X}$  in  $\mathbf{mKur}^c$  or  $\mathbf{mKur}^{gc}$ , we can replace (10.113) by a canonical isomorphism

$${}^b\Omega_{\mathbf{X}} : {}^bK_{\partial\mathbf{X}} \longrightarrow i_{\mathbf{X}}^*({}^bK_{\mathbf{X}}). \quad (10.127)$$

Here is the analogue of Definition 10.18:

**Definition 10.79.** Let  $\mathbf{Man}^c$  satisfy Assumptions 3.22 and 10.16, and suppose  $(\mathbf{X}, o_{\mathbf{X}})$  is an oriented m-Kuranishi space with corners in  $\mathbf{mKur}^c$ , as in §10.7.2. Then  $o_{\mathbf{X}}$  is an orientation on the fibres of  $K_{\mathbf{X}} \rightarrow X$ , so  $i_{\mathbf{X}}^*(o_{\mathbf{X}})$  is an orientation on the fibres of  $i_{\mathbf{X}}^*(K_{\mathbf{X}}) \rightarrow \partial X$ . Theorem 10.77 gives a line bundle  $N_{\partial\mathbf{X}} \rightarrow \partial X$  with an orientation  $\nu_{\mathbf{X}}$  on its fibres, and an isomorphism  $\Omega_{\mathbf{X}} : K_{\partial\mathbf{X}} \rightarrow N_{\partial\mathbf{X}} \otimes i_{\mathbf{X}}^*(K_{\mathbf{X}})$ . Thus there is a unique orientation  $o_{\partial\mathbf{X}}$  on the fibres of  $K_{\partial\mathbf{X}} \rightarrow \partial X$  identified by  $\Omega_{\mathbf{X}}$  with  $\nu_{\mathbf{X}} \otimes i_{\mathbf{X}}^*(o_{\mathbf{X}})$ , and  $o_{\partial\mathbf{X}}$  is an orientation on  $\partial\mathbf{X}$ .

In this way, if  $\mathbf{X}$  is an oriented m-Kuranishi space with corners, then  $\partial\mathbf{X}$  is oriented, and by induction  $\partial^k\mathbf{X}$  is oriented for all  $k = 0, 1, \dots$ . As for manifolds with corners in §2.6, the  $k$ -corners  $C_k(\mathbf{X})$  for  $k \geq 2$  need not be orientable.

#### 10.7.4 Canonical bundles, orientations for products in $\mathbf{mKur}$

Products  $\mathbf{X} \times \mathbf{Y}$  of m-Kuranishi spaces  $\mathbf{X}, \mathbf{Y}$  were defined in Example 4.31. If  $\mathbf{X}, \mathbf{Y}$  are oriented, the next theorem defines an orientation on  $\mathbf{X} \times \mathbf{Y}$ .

**Theorem 10.80.** *Let  $\mathbf{X}, \mathbf{Y}$  be m-Kuranishi spaces in  $\mathbf{mKur}$ , so that Example 4.31 defines the product  $\mathbf{X} \times \mathbf{Y}$  in  $\mathbf{mKur}$  with projections  $\pi_{\mathbf{X}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{X}$ ,  $\pi_{\mathbf{Y}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{Y}$ , and Theorem 10.71 defines the canonical bundles  $K_{\mathbf{X}}, K_{\mathbf{Y}}, K_{\mathbf{X} \times \mathbf{Y}}$  of  $\mathbf{X}, \mathbf{Y}, \mathbf{X} \times \mathbf{Y}$ . There is a unique isomorphism of topological line bundles on  $\mathbf{X} \times \mathbf{Y}$ :*

$$\Upsilon_{\mathbf{X}, \mathbf{Y}} : K_{\mathbf{X} \times \mathbf{Y}} \longrightarrow \pi_{\mathbf{X}}^*(K_{\mathbf{X}}) \otimes \pi_{\mathbf{Y}}^*(K_{\mathbf{Y}}), \quad (10.128)$$



such that if  $x \in \mathbf{X}$ ,  $y \in \mathbf{Y}$  and we identify  $T_{(x,y)}^*(\mathbf{X} \times \mathbf{Y}) = T_x^*\mathbf{X} \oplus T_y^*\mathbf{Y}$ ,  $O_{(x,y)}(\mathbf{X} \times \mathbf{Y}) \cong O_x\mathbf{X} \oplus O_y\mathbf{Y}$  as in (10.35), and define isomorphisms

$$\begin{aligned} I_{T_x^*\mathbf{X}, T_y^*\mathbf{Y}} &: \det T_{(x,y)}^*(\mathbf{X} \times \mathbf{Y}) \longrightarrow \det(T_x^*\mathbf{X}) \otimes \det(T_y^*\mathbf{Y}), \\ I_{O_x\mathbf{X}, O_y\mathbf{Y}} &: \det O_{(x,y)}(\mathbf{X} \times \mathbf{Y}) \longrightarrow \det(O_x\mathbf{X}) \otimes \det(O_y\mathbf{Y}) \end{aligned}$$

as in (10.84), then

$$\Upsilon_{\mathbf{X}, \mathbf{Y}}|_{(x,y)} = (-1)^{\dim O_x\mathbf{X} \dim T_y\mathbf{Y}} \cdot I_{T_x^*\mathbf{X}, T_y^*\mathbf{Y}} \otimes I_{O_x\mathbf{X}, O_y\mathbf{Y}}. \quad (10.129)$$

Hence if  $\mathbf{X}, \mathbf{Y}$  are oriented there is a unique orientation on  $\mathbf{X} \times \mathbf{Y}$ , called the **product orientation**, such that (10.128) is orientation-preserving.

*Proof.* Equation (10.129) defines an isomorphism  $\Upsilon_{\mathbf{X}, \mathbf{Y}}|_{(x,y)} : K_{\mathbf{X} \times \mathbf{Y}}|_{(x,y)} \rightarrow \pi_{\mathbf{X}}^*(K_{\mathbf{X}}) \otimes \pi_{\mathbf{Y}}^*(K_{\mathbf{Y}})|_{(x,y)}$  for each  $(x, y) \in X \times Y$ . Thus there is a unique map of sets  $\Upsilon_{\mathbf{X}, \mathbf{Y}}$  in (10.128) which satisfies (10.129) for all  $(x, y) \in X \times Y$ . We must show that this map  $\Upsilon_{\mathbf{X}, \mathbf{Y}}$  is an isomorphism of topological line bundles. It is sufficient to do this locally near each  $(x, y)$  in  $X \times Y$ .

Fix  $(x, y) \in X \times Y$ , and let  $(U_a, D_a, r_a, \chi_a), (V_b, E_b, s_b, \psi_b)$  be m-Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$  with  $x \in \text{Im } \chi_a \subseteq X$ ,  $y \in \text{Im } \psi_b \subseteq Y$ . Then as in Example 4.53 we have an m-Kuranishi neighbourhood

$$(U_a \times V_b, \pi_{U_a}^*(D_a) \oplus \pi_{V_b}^*(E_b), \pi_{U_a}^*(r_a) \oplus \pi_{V_b}^*(s_b), \chi_a \times \psi_b)$$

on  $\mathbf{X} \times \mathbf{Y}$ , with  $(x, y) \in \text{Im}(\chi_a \times \psi_b)$ . Let  $u = \chi_a^{-1}(x) \in r_a^{-1}(0) \subseteq U_a$ ,  $v = \psi_b^{-1}(y) \in s_b^{-1}(0) \subseteq V_b$ , so that as in Definition 10.6 we have linear maps  $d_u r_a : T_u U_a \rightarrow D_a|_u$  and  $d_v s_b : T_v V_b \rightarrow E_b|_v$ .

As in the proof of Theorem 10.71, write  $F^\bullet, G^\bullet$  for the complexes

$$\begin{aligned} \cdots \xrightarrow{\text{degree } -3} 0 \xrightarrow{-2} 0 \xrightarrow{-1} T_u U_a \xrightarrow{d_u r_a} D_a|_u \xrightarrow{0} 0 \xrightarrow{1} 0 \xrightarrow{2} 0 \xrightarrow{\cdots}, \\ \cdots \xrightarrow{\text{degree } -3} 0 \xrightarrow{-2} 0 \xrightarrow{-1} T_v V_b \xrightarrow{d_v s_b} E_b|_v \xrightarrow{0} 0 \xrightarrow{1} 0 \xrightarrow{2} 0 \xrightarrow{\cdots}. \end{aligned}$$

Then Proposition 10.68 shows that the following commutes:

$$\begin{array}{ccc} (\det(T_u U_a \oplus T_v V_b))^{-1} & \xrightarrow{\Theta_{F^\bullet \oplus G^\bullet}} & K_{\mathbf{X} \times \mathbf{Y}}|_{(x,y)} \\ \otimes \det(D_a|_u \oplus E_b|_v) & & \downarrow \\ \downarrow \begin{array}{l} (-1)^{\text{rank } D_a \dim V_b} \\ I_{T_u^* U_a, T_v^* V_b} \otimes I_{D_a|_u, E_b|_v} \end{array} & \Upsilon_{\mathbf{X}, \mathbf{Y}}|_{(x,y)} = (-1)^{\dim O_x \mathbf{X} \dim T_y \mathbf{Y}} \cdot I_{T_x^* \mathbf{X}, T_y^* \mathbf{Y}} \otimes I_{O_x \mathbf{X}, O_y \mathbf{Y}} & (10.130) \\ ((\det T_u U_a)^{-1} \otimes \det D_a|_u) & \xrightarrow{\Theta_{F^\bullet} \otimes \Theta_{G^\bullet}} & K_{\mathbf{X}}|_x \otimes K_{\mathbf{Y}}|_y \\ \otimes ((\det T_v V_b)^{-1} \otimes \det E_b|_v) & & \downarrow \end{array}$$

Now (10.130) is the fibre at  $(x, y) \in r_a^{-1}(0) \times s_b^{-1}(0)$  of the commutative diagram of topological line bundles on  $r_a^{-1}(0) \times s_b^{-1}(0) \subseteq U_a \times V_b$ :

$$\begin{array}{ccc}
\det(T^*(U_a \times V_b) \otimes \det((\pi_{U_a}^*(D_a) \oplus \pi_{V_b}^*(E_b))))|_{r_a^{-1}(0) \times s_b^{-1}(0)} & \xrightarrow{\Theta_{U_a \times V_b, \dots, \chi_a \times \psi_b}} & (\chi_a \times \psi_b)^{-1}(K_{\mathbf{X} \times \mathbf{Y}}) \\
\downarrow \begin{array}{l} (-1)^{\text{rank } D_a \dim V_b} \\ I_{T^*U_a, T^*V_b} \otimes I_{D_a, E_b} \end{array} & & \downarrow (\chi_a \times \psi_b)^{-1}(\Upsilon_{\mathbf{X}, \mathbf{Y}}) \\
\pi_{r_a^{-1}(0)}^*(\det T^*U_a \otimes \det D_a) \otimes \pi_{s_b^{-1}(0)}^*(\det T^*V_a \otimes \det E_b) & \xrightarrow{\begin{array}{l} \pi_{r_a^{-1}(0)}^*(\Theta_{U_a, D_a, r_a, \chi_a}) \\ \otimes \pi_{s_b^{-1}(0)}^*(\Theta_{V_b, E_b, s_b, \psi_b}) \end{array}} & (\chi_a \circ \pi_{r_a^{-1}(0)})^*(K_{\mathbf{X}}) \\
& & \otimes (\psi_b \circ \pi_{s_b^{-1}(0)})^*(K_{\mathbf{Y}}),
\end{array} \quad (10.131)$$

where  $\Theta_{U_a, D_a, r_a, \chi_a}$ ,  $\Theta_{V_b, E_b, s_b, \psi_b}$  and  $\Theta_{U_a \times V_b, \dots, \chi_a \times \psi_b}$  are as in Theorem 10.71.

The top, bottom and left morphisms in (10.131) are isomorphisms of topological line bundles on  $r_a^{-1}(0) \times s_b^{-1}(0)$ . Hence the right hand morphism is an isomorphism, so  $\Upsilon_{\mathbf{X}, \mathbf{Y}}$  is an isomorphism on the open subset  $\text{Im}(\chi_a \times \psi_b) \subseteq X \times Y$ , as  $\chi_a \times \psi_b : r_a^{-1}(0) \times s_b^{-1}(0) \rightarrow \text{Im}(\chi_a \times \psi_b)$  is a homeomorphism. Since we can cover  $X \times Y$  by such open subsets  $\text{Im}(\chi_a \times \psi_b)$ , we see that  $\Upsilon_{\mathbf{X}, \mathbf{Y}}$  is an isomorphism of topological line bundles, as we have to prove.  $\square$

The morphism  $\Upsilon_{\mathbf{X}, \mathbf{Y}}$  in (10.128), and hence the orientation on  $\mathbf{X} \times \mathbf{Y}$  above, depend on our choice of *orientation conventions*, as in Convention 2.39, including various sign choices in §10.6–§10.7 and in (10.129). Different orientation conventions would change  $\Upsilon_{\mathbf{X}, \mathbf{Y}}$  and the orientation on  $\mathbf{X} \times \mathbf{Y}$  by a sign depending on  $\text{vdim } \mathbf{X}$ ,  $\text{vdim } \mathbf{Y}$ . If  $\mathbf{X}, \mathbf{Y}$  are manifolds then the orientation on  $\mathbf{X} \times \mathbf{Y}$  agrees with that in Convention 2.39(a).

**Proposition 10.81.** *Suppose  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are oriented  $m$ -Kuranishi spaces. As in Example 4.31, products of  $m$ -Kuranishi spaces are commutative and associative up to canonical 1-isomorphism. When we include orientations, (4.38) becomes*

$$\mathbf{X} \times \mathbf{Y} \cong (-1)^{\text{vdim } \mathbf{X} \text{ vdim } \mathbf{Y}} \mathbf{Y} \times \mathbf{X}, \quad (\mathbf{X} \times \mathbf{Y}) \times \mathbf{Z} \cong \mathbf{X} \times (\mathbf{Y} \times \mathbf{Z}). \quad (10.132)$$

*Proof.* Let  $x \in \mathbf{X}$  and  $y \in \mathbf{Y}$ , and consider the noncommutative diagram

$$\begin{array}{ccc}
K_{\mathbf{X} \times \mathbf{Y}}|_{(x, y)} & \xrightarrow{\quad \quad \quad} & K_{\mathbf{X}}|_x \otimes K_{\mathbf{Y}}|_y \\
\downarrow \cong & \begin{array}{c} \Upsilon_{\mathbf{X}, \mathbf{Y}}|_{(x, y)} = (-1)^{\dim O_x \mathbf{X} \dim T_y \mathbf{Y}} \cdot I_{T_x^* \mathbf{X}, T_y^* \mathbf{Y}} \otimes I_{O_x \mathbf{X}, O_y \mathbf{Y}} \\ \\ \Upsilon_{\mathbf{Y}, \mathbf{X}}|_{(y, x)} = (-1)^{\dim O_y \mathbf{Y} \dim T_x \mathbf{X}} \cdot I_{T_y^* \mathbf{Y}, T_x^* \mathbf{X}} \otimes I_{O_y \mathbf{Y}, O_x \mathbf{X}} \\ \cong (-1)^{\dim O_y \mathbf{Y} \dim T_x \mathbf{X} + \dim T_x \mathbf{X} \dim T_y \mathbf{Y} + \dim O_x \mathbf{X} \dim O_y \mathbf{Y}} \\ I_{T_x^* \mathbf{X}, T_y^* \mathbf{Y}} \otimes I_{O_x \mathbf{X}, O_y \mathbf{Y}} \end{array} & \downarrow \cong \\
K_{\mathbf{Y} \times \mathbf{X}}|_{(y, x)} & \xrightarrow{\quad \quad \quad} & K_{\mathbf{Y}}|_y \otimes K_{\mathbf{X}}|_x.
\end{array} \quad (10.133)$$

Here the columns are the natural isomorphisms, and for the bottom morphism we use the fact that under the natural isomorphisms we have  $I_{T_y^* \mathbf{Y}, T_x^* \mathbf{X}} \cong$

$(-1)^{\dim T_x \mathbf{X} \dim T_y \mathbf{Y}} I_{T_x^* \mathbf{X}, T_y^* \mathbf{Y}}$  and  $I_{O_y \mathbf{Y}, O_x \mathbf{X}} \cong (-1)^{\dim O_x \mathbf{X} \dim O_y \mathbf{Y}} I_{O_x \mathbf{X}, O_y \mathbf{Y}}$ . Thus, (10.133) fails to commute by an overall factor of

$$\begin{aligned} & (-1)^{\dim O_x \mathbf{X} \dim T_y \mathbf{Y}} \cdot (-1)^{\dim O_y \mathbf{Y} \dim T_x \mathbf{X} + \dim T_x \mathbf{X} \dim T_y \mathbf{Y} + \dim O_x \mathbf{X} \dim O_y \mathbf{Y}} \\ & = (-1)^{\text{vdim } \mathbf{X} \text{ vdim } \mathbf{Y}}, \end{aligned}$$

since  $\text{vdim } \mathbf{X} = \dim T_x \mathbf{X} - \dim O_x \mathbf{X}$  and  $\text{vdim } \mathbf{Y} = \dim T_y \mathbf{Y} - \dim O_y \mathbf{Y}$  by (10.26). As this holds for all  $(x, y) \in \mathbf{X} \times \mathbf{Y}$ , the first equation of (10.132) follows, since  $\Upsilon_{\mathbf{X}, \mathbf{Y}}$  and  $\Upsilon_{\mathbf{Y}, \mathbf{X}}$  are used to define the orientations on  $\mathbf{X} \times \mathbf{Y}$  and  $\mathbf{Y} \times \mathbf{X}$ . The second equation is easier, as the analogue of (10.133) does commute.  $\square$

### 10.7.5 Canonical bundles, orientations on $\mu$ -Kuranishi spaces

All the material of §10.7.1–§10.7.4 extends immediately to  $\mu$ -Kuranishi spaces in Chapter 5, with no significant changes.

### 10.7.6 Canonical bundles, orientations on Kuranishi spaces

To extend §10.7.1–§10.7.4 to Kuranishi spaces in Chapter 6, there is one new issue. For a general Kuranishi space  $\mathbf{X}$  in  $\dot{\mathbf{K}}\mathbf{ur}$ , the naïve analogue of Theorem 10.71 is false, in that we may not be able to define a topological line bundle  $\pi : K_{\mathbf{X}} \rightarrow X$  over  $X$  considered just as a topological space.

Really we should make  $X$  into a *Deligne–Mumford topological stack* (a kind of orbifold in topological spaces), as in Noohi [58], and then  $\pi : K_{\mathbf{X}} \rightarrow X$  should be a line bundle in the sense of stacks or orbifolds. That is,  $X$  has finite isotropy groups  $G_x X$  for  $x \in X$  as in §6.5, which may act nontrivially on the fibres  $K_{\mathbf{X}}|_x$ . The only possible nontrivial action is via  $\{\pm 1\}$  acting on  $\mathbb{R}$ . Thus, as topological spaces, the fibres of  $\pi : K_{\mathbf{X}} \rightarrow X$  may be either  $\mathbb{R}$  or  $\mathbb{R}/\{\pm 1\}$ .

However, orientations on  $\mathbf{X}$  only exist if  $G_x X$  acts trivially on  $K_{\mathbf{X}}|_x$  for each  $x \in X$ , and then  $K_{\mathbf{X}}$  does exist as a topological line bundle on  $X$  as a topological space. So we will restrict to this case, and not bother with topological stacks.

**Definition 10.82.** Let  $\mathbf{X}$  be a Kuranishi space in  $\dot{\mathbf{K}}\mathbf{ur}$ . Then as in §10.2.3, for each  $x \in \mathbf{X}$  we have the isotropy group  $G_x \mathbf{X}$ , which acts linearly on the tangent and obstruction spaces  $T_x \mathbf{X}, O_x \mathbf{X}$ . We call  $\mathbf{X}$  *locally orientable* if the induced action of  $G_x \mathbf{X}$  on  $\det T_x^* \mathbf{X} \otimes \det O_x \mathbf{X}$  is trivial for all  $x \in \mathbf{X}$ .

Here is the analogue of Theorem 10.71:

**Theorem 10.83.** *Let  $\mathbf{X} = (X, \mathcal{K})$  be a locally orientable Kuranishi space in  $\dot{\mathbf{K}}\mathbf{ur}$ . Then there is a natural topological line bundle  $\pi : K_{\mathbf{X}} \rightarrow X$  called the **canonical bundle** of  $\mathbf{X}$ , with fibres for each  $x \in X$  given by*

$$K_{\mathbf{X}}|_x = \det T_x^* \mathbf{X} \otimes \det O_x \mathbf{X}$$

for  $T_x\mathbf{X}, O_x\mathbf{X}$  as in §10.2.3, with the property that if  $(V, E, \Gamma, s, \psi)$  is a Kuranishi neighbourhood on  $\mathbf{X}$  in the sense of §6.4, then there is an isomorphism of topological real line bundles on  $s^{-1}(0) \subseteq V$

$$\Theta_{V,E,\Gamma,s,\psi} : (\det T^*V \otimes \det E)|_{s^{-1}(0)} \longrightarrow \bar{\psi}^{-1}(K_{\mathbf{X}}), \quad (10.134)$$

such that if  $v \in s^{-1}(0) \subseteq V$  with  $\bar{\psi}(v) = x \in X$ , so that as in (10.38) we have an exact sequence

$$0 \longrightarrow T_x\mathbf{X} \xrightarrow{\iota_x} T_vV \xrightarrow{d_vs} E|_v \xrightarrow{\pi_x} O_x\mathbf{X} \longrightarrow 0,$$

and if  $(c_1, \dots, c_l), (d_1, \dots, d_{l+m}), (e_1, \dots, e_{m+n}), (f_1, \dots, f_n)$  are bases for  $T_x\mathbf{X}, T_vV, E|_v, O_x\mathbf{X}$  respectively with  $\iota_x(c_i) = d_i, i = 1, \dots, l$  and  $d_vs(d_{l+j}) = e_j, j = 1, \dots, m$  and  $\pi_x(e_{m+k}) = f_k, k = 1, \dots, n$ , and  $(\gamma_1, \dots, \gamma_l), (\delta_1, \dots, \delta_{l+m})$  are dual bases to  $(c_1, \dots, c_l), (d_1, \dots, d_{l+m})$  for  $T_x^*\mathbf{X}, T_v^*V$ , then

$$\begin{aligned} \Theta_{V,E,\Gamma,s,\psi}|_v : \det T_v^*V \otimes \det E|_v &\longrightarrow \det T_x^*\mathbf{X} \otimes \det O_x\mathbf{X} \quad \text{maps} \\ \Theta_{V,E,\Gamma,s,\psi}|_v : (\delta_1 \wedge \dots \wedge \delta_{l+m}) \otimes (e_1 \wedge \dots \wedge e_{m+n}) &\longmapsto \\ &(-1)^{m(m+1)/2} \cdot (\gamma_1 \wedge \dots \wedge \gamma_l) \otimes (f_1 \wedge \dots \wedge f_n). \end{aligned}$$

*Proof.* The proof is similar to that of Theorem 10.71, with one additional step: in the m-Kuranishi case, we make (10.104) by pushing  $\Theta_{V,E,s,\psi}$  in (10.101) forward by the homeomorphism  $\psi : s^{-1}(0) \rightarrow \text{Im } \psi$ . In the Kuranishi case, we have a  $\Gamma$ -equivariant  $\Theta_{V,E,\Gamma,s,\psi}$  in (10.134) on  $s^{-1}(0)$ . Because of the locally orientable condition on  $\mathbf{X}$ , this pushes forward along the projection  $s^{-1}(0) \rightarrow s^{-1}(0)/\Gamma$  to an isomorphism of topological line bundles on  $s^{-1}(0)/\Gamma$ , and this then pushes forward along the homeomorphism  $\psi : s^{-1}(0)/\Gamma \rightarrow \text{Im } \psi$  to give an analogue of (10.104). Also the analogue of (10.106) should take place on  $\pi^{-1}(s^{-1}(0)) \subseteq P$  for  $\Phi = (P, \pi, \phi, \hat{\phi})$ . We leave the details to the reader.  $\square$

The analogue of Proposition 10.73 holds for étale  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  between locally orientable Kuranishi spaces  $\mathbf{X}, \mathbf{Y}$ . Here is the analogue of Definition 10.74:

**Definition 10.84.** Let  $\mathbf{X} = (X, \mathcal{K})$  be a locally orientable Kuranishi space in  $\mathbf{K}\mathbf{ur}$ , so that Theorem 10.83 defines the canonical bundle  $\pi : K_{\mathbf{X}} \rightarrow X$ . An *orientation*  $o_{\mathbf{X}}$  on  $\mathbf{X}$  is an orientation on the fibres of  $K_{\mathbf{X}}$ . That is,  $o_{\mathbf{X}}$  is an equivalence class  $[\omega]$  of continuous sections  $\omega \in \Gamma^0(K_{\mathbf{X}})$  with  $\omega|_x \neq 0$  for all  $x \in X$ , where two such  $\omega, \omega'$  are equivalent if  $\omega' = K \cdot \omega$  for  $K : X \rightarrow (0, \infty)$  continuous. The *opposite orientation* is  $-o_{\mathbf{X}} = [-\omega]$ . Then we call  $(\mathbf{X}, o_{\mathbf{X}})$  an *oriented Kuranishi space*. Usually we suppress  $o_{\mathbf{X}}$ , and just call  $\mathbf{X}$  an oriented Kuranishi space, and then we write  $-\mathbf{X}$  for  $\mathbf{X}$  with the opposite orientation.

By the analogue of Proposition 10.73, if  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  is an étale 1-morphism in  $\mathbf{K}\mathbf{ur}$  for  $\mathbf{X}, \mathbf{Y}$  locally orientable then orientations  $o_{\mathbf{Y}}$  on  $\mathbf{Y}$  pull back to orientations  $o_{\mathbf{X}} = \mathbf{f}^*(o_{\mathbf{Y}})$  on  $\mathbf{X}$ . If  $\mathbf{f}$  is an equivalence, this defines a natural 1-1 correspondence between orientations on  $\mathbf{X}$  and orientations on  $\mathbf{Y}$ .

Let  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$  be a 1-morphism in  $\mathbf{K}\mathbf{ur}$ , with  $\mathbf{X}, \mathbf{Y}$  locally orientable. A *coorientation*  $c_{\mathbf{f}}$  on  $\mathbf{f}$  is an orientation on the fibres of the line bundle  $K_{\mathbf{X}} \otimes$

$f^*(K_{\mathbf{Y}}^*)$  over  $X$ . That is,  $c_{\mathbf{f}}$  is an equivalence class  $[\gamma]$  of  $\gamma \in \Gamma^0(K_{\mathbf{X}} \otimes f^*(K_{\mathbf{Y}}^*))$  with  $\gamma|_x \neq 0$  for all  $x \in X$ , where two such  $\gamma, \gamma'$  are equivalent if  $\gamma' = K \cdot \gamma$  for  $K : X \rightarrow (0, \infty)$  continuous. The *opposite coorientation* is  $-c_{\mathbf{f}} = [-\gamma]$ . If  $\mathbf{Y}$  is oriented then coorientations on  $\mathbf{f}$  are equivalent to orientations on  $\mathbf{X}$ . Orientations on  $\mathbf{X}$  are equivalent to coorientations on  $\pi : \mathbf{X} \rightarrow *$ , for  $*$  the point in  $\mathbf{K}\mathbf{ur}$ .

The weak 2-functor  $F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mathbf{K}\mathbf{ur}} : \mathbf{m}\mathbf{K}\mathbf{ur} \hookrightarrow \mathbf{K}\mathbf{ur}$  from §6.2.4 identifies canonical bundles and orientations on an  $\mathbf{m}$ -Kuranishi space  $\mathbf{X}$  from §10.7.1–§10.7.2 with canonical bundles and orientations on the Kuranishi space  $\mathbf{X}' = F_{\mathbf{m}\mathbf{K}\mathbf{ur}}^{\mathbf{K}\mathbf{ur}}(\mathbf{X})$ , which is automatically locally orientable as  $G_x \mathbf{X}' = \{1\}$  for all  $x \in \mathbf{X}'$ .

Here are the analogues of Theorem 10.77 and Definition 10.79:

**Theorem 10.85.** *Let  $\mathbf{Man}^c$  satisfy Assumptions 3.22 and 10.16, and let  $\mathbf{X}$  be a locally orientable Kuranishi space with corners in  $\mathbf{K}\mathbf{ur}^c$ . Then  $\partial\mathbf{X}$  is locally orientable, and there is a natural isomorphism of topological line bundles on  $\partial\mathbf{X}$*

$$\Omega_{\mathbf{X}} : K_{\partial\mathbf{X}} \longrightarrow N_{\partial\mathbf{X}} \otimes i_{\mathbf{X}}^*(K_{\mathbf{X}}), \quad (10.135)$$

where  $N_{\partial\mathbf{X}}$  is a line bundle on  $\partial\mathbf{X}$ , with a natural orientation on its fibres.

Suppose that  $(V_a, E_a, \Gamma_a, s_a, \psi_a)$  is a Kuranishi neighbourhood on  $\mathbf{X}$ , as in §6.4, with  $\dim V_a = m_a$  and  $\text{rank } E_a = n_a$ . Then as in §6.4 we have a Kuranishi neighbourhood  $(V_{(1,a)}, E_{(1,a)}, \Gamma_{(1,a)}, s_{(1,a)}, \psi_{(1,a)})$  on  $\partial\mathbf{X}$  with  $V_{(1,a)} = \partial V_a$ ,  $E_{(1,a)} = i_{V_a}^*(E_a)$ ,  $\Gamma_{(1,a)} = \Gamma_a$ , and  $s_{(1,a)} = i_{V_a}^*(s_a)$ . Also Assumption 10.16 gives a (smooth) line bundle  $N_{\partial V_a} \rightarrow \partial V_a$ , with an orientation on its fibres. Then there is a natural isomorphism of topological line bundles on  $s_{(1,a)}^{-1}(0) \subseteq \partial V_a$

$$\Phi_{V_a, E_a, \Gamma_a, s_a, \psi_a} : N_{\partial V_a}|_{s_{(1,a)}^{-1}(0)} \longrightarrow \bar{\psi}_{(1,a)}^{-1}(N_{\partial\mathbf{X}}), \quad (10.136)$$

which identifies the orientations on the fibres, such that the following commutes:

$$\begin{array}{ccc} (\det T^* \partial V_a \otimes \det i_{V_a}^*(E_a))|_{s_{(1,a)}^{-1}(0)} & \xrightarrow{\Omega_{V_a} \otimes \text{id}_{\det i_{V_a}^*(E_a)}|_{\dots}} & N_{\partial V_a} \otimes i_{V_a}^*(\det T^* V_a \otimes \det E_a)|_{s_{(1,a)}^{-1}(0)} \\ \downarrow \Theta_{V_{(1,a)}, E_{(1,a)}, s_{(1,a)}, \psi_{(1,a)}} & & \downarrow \Phi_{V_a, E_a, s_a, \psi_a} \otimes i_{V_a}^*(\Theta_{V_a, E_a, s_a, \psi_a}) \\ \bar{\psi}_{(1,a)}^{-1}(K_{\partial\mathbf{X}}) & \xrightarrow{\Omega_{\mathbf{X}}} & \bar{\psi}_{(1,a)}^{-1}(N_{\partial\mathbf{X}} \otimes i_{\mathbf{X}}^*(K_{\mathbf{X}})), \end{array}$$

where  $\Omega_{V_a}$  is as in (10.16), and  $\Theta_{V_a, E_a, \Gamma_a, s_a, \psi_a}, \Theta_{V_{(1,a)}, E_{(1,a)}, \Gamma_{(1,a)}, s_{(1,a)}, \psi_{(1,a)}}$  as in (10.134), and  $\Omega_{\mathbf{X}}$  as in (10.135), and  $\Phi_{V_a, E_a, \Gamma_a, s_a, \psi_a}$  as in (10.136).

*Proof.* The proof is similar to that of Theorem 10.77, but with a few extra steps. Firstly, if in the situation of the theorem we have  $v'_a \in s_{(1,a)}^{-1}(0)$  with  $\bar{\psi}_{(1,a)}(v'_a) = x' \in \partial\mathbf{X}$  and  $v_a = i_{V_a}(v'_a) \in s_a^{-1}(0)$  and  $i_{\mathbf{X}}(x') = \bar{\psi}_a(v_a) = x$  in  $\mathbf{X}$ , then as in the proof of Theorem 10.77 we can construct an isomorphism

$$\det T_{x'}^*(\partial\mathbf{X}) \otimes \det O_{x'}(\partial\mathbf{X}) \cong N_{\partial V_a}|_{v'_a} \otimes \det T_x^* \mathbf{X} \otimes \det O_x \mathbf{X},$$

which is equivariant under  $G_{x'}(\partial\mathbf{X}) \cong \text{Stab}_{\Gamma_{(1,a)}}(v'_a) \subseteq \text{Stab}_{\Gamma_a}(v_a) \cong G_x\mathbf{X}$ . But  $\text{Stab}_{\Gamma_{(1,a)}}(v'_a)$  acts trivially on  $N_{\partial V_a}|_{v'_a}$ , as the action is defined using the  $\gamma_f$  in Assumption 10.16 which are orientation-preserving, and  $G_x\mathbf{X}$  acts trivially on  $\det T_x^*\mathbf{X} \otimes \det O_x\mathbf{X}$  as  $\mathbf{X}$  is locally orientable. Hence  $G_{x'}(\partial\mathbf{X})$  acts trivially on  $\det T_{x'}^*(\partial\mathbf{X}) \otimes \det O_{x'}(\partial\mathbf{X})$ , so  $\partial\mathbf{X}$  is locally orientable, as we have to prove.

Secondly, as the natural action of  $\Gamma_{(1,a)}$  on  $N_{\partial V_a}$  preserves orientations on the fibres, we can use  $\Phi_{V_a, E_a, \Gamma_a, s_a, \psi_a}$  in (10.136) to induce a unique orientation on  $N_{\partial\mathbf{X}}|_{\text{Im } \psi_{(1,a)}}$ , as the orientation on  $N_{\partial V_a}|_{s_{(1,a)}^{-1}(0)}$  descends through the quotient  $s_{(1,a)}^{-1}(0) \rightarrow s_{(1,a)}^{-1}(0)/\Gamma_{(1,a)}$ . We leave the details to the reader.  $\square$

As in Example 10.78, working in  $\mathbf{Kur}^c$  or  $\mathbf{Kur}^{\text{gc}}$  with b-canonical bundles  ${}^bK_{\mathbf{X}}$  in Theorem 10.85 defined using b-tangent bundles  ${}^bTV \rightarrow V$  in  $\mathbf{Man}^c$  or  $\mathbf{Man}^{\text{gc}}$ , the normal bundle  $N_{\partial\mathbf{X}}$  in Theorem 10.85 is canonically trivial,  $N_{\partial\mathbf{X}} \cong \mathcal{O}_{\partial X}$ , so we can replace (10.135) by (10.127).

**Definition 10.86.** Let  $\mathbf{Man}^c$  satisfy Assumptions 3.22 and 10.16, and suppose  $(\mathbf{X}, o_{\mathbf{X}})$  is an oriented Kuranishi space with corners in  $\mathbf{Kur}^c$ . Then  $\mathbf{X}$  is locally orientable by Definition 10.84 with canonical bundle  $K_{\mathbf{X}} \rightarrow X$  from Theorem 10.83, and  $o_{\mathbf{X}}$  is an orientation on the fibres of  $K_{\mathbf{X}} \rightarrow X$ . Theorem 10.85 shows that  $\partial\mathbf{X}$  is locally orientable in  $\mathbf{Kur}^c$ , so that  $K_{\partial\mathbf{X}} \rightarrow \partial X$  is defined, and gives a line bundle  $N_{\partial\mathbf{X}} \rightarrow \partial X$  with an orientation  $\nu_{\mathbf{X}}$  on its fibres, and an isomorphism  $\Omega_{\mathbf{X}} : K_{\partial\mathbf{X}} \rightarrow N_{\partial\mathbf{X}} \otimes i_{\mathbf{X}}^*(K_{\mathbf{X}})$ . Hence there is a unique orientation  $o_{\partial\mathbf{X}}$  on the fibres of  $K_{\partial\mathbf{X}} \rightarrow \partial X$  identified by  $\Omega_{\mathbf{X}}$  with  $\nu_{\mathbf{X}} \otimes i_{\mathbf{X}}^*(o_{\mathbf{X}})$ , and  $o_{\partial\mathbf{X}}$  is an orientation on  $\partial\mathbf{X}$ . Thus, if  $\mathbf{X}$  is an oriented Kuranishi space with corners, then  $\partial^k\mathbf{X}$  is naturally oriented for all  $k = 0, 1, \dots$

The analogues of Theorem 10.80 and Proposition 10.81 hold for products  $\mathbf{X} \times \mathbf{Y}$  of Kuranishi spaces  $\mathbf{X} \times \mathbf{Y}$  defined as in Example 6.28, where we require  $\mathbf{X}, \mathbf{Y}$  to be locally orientable, and then  $\mathbf{X} \times \mathbf{Y}$  is also locally orientable, so that  $K_{\mathbf{X}}, K_{\mathbf{Y}}, K_{\mathbf{X} \times \mathbf{Y}}$  exist. The proofs combine those of Theorems 10.80 and 10.83 and Proposition 10.81.

## Chapter 11

# Transverse fibre products and submersions

In the category of classical manifolds  $\mathbf{Man}$ , morphisms  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  are *transverse* if whenever  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z \in Z$ , then

$$T_x g \oplus T_y h : T_x X \oplus T_y Y \longrightarrow T_z Z$$

is surjective. If  $g, h$  are transverse then a fibre product  $W = X \times_{g, Z, h} Y$  exists in the category  $\mathbf{Man}$ , as defined in §A.1, with  $\dim W = \dim X + \dim Y - \dim Z$ , in a Cartesian square in  $\mathbf{Man}$ :

$$\begin{array}{ccc} W & \xrightarrow{\quad} & Y \\ \downarrow e & \begin{array}{c} f \\ g \end{array} & \downarrow h \\ X & \xrightarrow{\quad} & Z. \end{array}$$

Also  $g : X \rightarrow Z$  is a *submersion* if  $T_x g : T_x X \rightarrow T_x Z$  is surjective for all  $x \in X$  with  $g(x) = z \in Z$ . If  $g$  is a submersion then  $g, h$  are transverse for any morphism  $h : Y \rightarrow Z$  in  $\mathbf{Man}$ . Generalizations of all this to various categories  $\mathbf{Man}^c$ ,  $\mathbf{Man}_{\text{in}}^c$ ,  $\mathbf{Man}^{\text{gc}}$ , ... of manifolds with (g-)corners were discussed in §2.5.

This chapter studies transversality, fibre products, and submersions for m-Kuranishi spaces and Kuranishi spaces. By ‘fibre products’ we mean *2-category fibre products* in  $\mathbf{m}\mathbf{Kur}$  and  $\mathbf{Kur}$  (or more generally in certain 2-subcategories  $\mathbf{m}\mathbf{Kur}_D \subseteq \mathbf{m}\mathbf{Kur}$  and  $\mathbf{Kur}_D \subseteq \mathbf{Kur}$ ), as defined in §A.4, which satisfy a complicated universal property involving 2-morphisms. Readers are advised to familiarize themselves with fibre products in both ordinary categories in §A.1, and in 2-categories in §A.4, before continuing.

As we explain in §11.4, these ideas do *not* extend nicely to the ordinary category of  $\mu$ -Kuranishi spaces  $\mu\mathbf{Kur} \simeq \text{Ho}(\mathbf{m}\mathbf{Kur})$ . The 2-category structure on  $\mathbf{m}\mathbf{Kur}$  is essential for defining well-behaved transverse fibre products, and the universal property in  $\mathbf{m}\mathbf{Kur}$  does not descend to  $\text{Ho}(\mathbf{m}\mathbf{Kur})$ . We can still define a kind of ‘transverse fibre product’ in  $\mu\mathbf{Kur}$ , but it is not a category-theoretic fibre product, and it is not characterized by a universal property in  $\mu\mathbf{Kur}$ .

Optional assumptions on transversality and submersions in categories  $\mathbf{Man}$ ,  $\mathbf{Man}^c$  are given in §11.1, extending those in Chapter 3. Section 11.2 discusses transverse fibre products in a general 2-category  $\mathbf{mKur}$ , and §11.3 works out these results in  $\mathbf{mKur}$ ,  $\mathbf{mKur}_{\text{st}}^c$ ,  $\mathbf{mKur}^{\text{gc}}$  and  $\mathbf{mKur}^c$ . Section 11.4 considers fibre products of  $\mu$ -Kuranishi spaces, and §11.5–§11.6 extend §11.2–§11.3 to Kuranishi spaces. Long proofs are postponed to §11.7–§11.11.

## 11.1 Optional assumptions on transverse fibre products

Suppose for the whole of this section that  $\mathbf{Man}$  satisfies Assumptions 3.1–3.7. We now give optional assumptions on transversality and submersions in  $\mathbf{Man}$ .

### 11.1.1 ‘Transverse morphisms’ and ‘submersions’ in $\mathbf{Man}$

Here is the basic assumption we will need to get a good notion of transverse fibre product in  $\mathbf{mKur}$ ,  $\mathbf{Kur}$  — part (b) will be essential in the proof of Theorem 11.17 in §11.2 on the existence of fibre products of w-transverse 1-morphisms of global m-Kuranishi neighbourhoods, which is the necessary local condition for existence of fibre products in  $\mathbf{mKur}$ . We write the assumption using choices of discrete properties  $\mathbf{D}$ ,  $\mathbf{E}$  to fit in with the results of §2.5.

**Assumption 11.1. (Transverse fibre products.)** (a) We are given discrete properties  $\mathbf{D}$ ,  $\mathbf{E}$  of morphisms in  $\mathbf{Man}$ , in the sense of Definition 3.18, where  $\mathbf{D}$  implies  $\mathbf{E}$ . We require that the projections  $\pi_X : X \times Y \rightarrow X$ ,  $\pi_Y : X \times Y \rightarrow Y$  are  $\mathbf{D}$  and  $\mathbf{E}$  for all  $X, Y \in \mathbf{Man}$ . We write  $\mathbf{Man}_{\mathbf{D}}$ ,  $\mathbf{Man}_{\mathbf{E}}$  for the subcategories of  $\mathbf{Man}$  with all objects, and only  $\mathbf{D}$  and  $\mathbf{E}$  morphisms.

(b) Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}_{\mathbf{D}}$ . We are given a notion of when  $g, h$  are *transverse*. This satisfies:

- (i) If  $g, h$  are transverse then a fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{Man}_{\mathbf{D}}$ , as in Definition A.3, with  $\dim W = \dim X + \dim Y - \dim Z$ , in a Cartesian square in  $\mathbf{Man}_{\mathbf{D}}$ , so that  $e, f, g, h$  are  $\mathbf{D}$  morphisms in  $\mathbf{Man}$ :

$$\begin{array}{ccc} W & \xrightarrow{\quad f \quad} & Y \\ \downarrow e & & \downarrow h \\ X & \xrightarrow{\quad g \quad} & Z. \end{array} \quad (11.1)$$

Furthermore, (11.1) is also Cartesian in  $\mathbf{Man}_{\mathbf{E}}$ .

- (ii) In the situation of (i), suppose  $c : V \rightarrow X$ ,  $d : V \rightarrow Y$  are morphisms in  $\mathbf{Man}_{\mathbf{E}}$ , and  $E \rightarrow V$  is a vector bundle, and  $s \in \Gamma^\infty(E)$  is a section, and  $K : E \rightarrow \mathcal{T}_{g \circ c} Z$  is a morphism, such that  $h \circ d = g \circ c + K \circ s + O(s^2)$  in the sense of Definition 3.15(vii). Then there exist an open neighbourhood  $V'$  of  $s^{-1}(0)$  in  $V$ , and a morphism  $b : V' \rightarrow W$  in  $\mathbf{Man}_{\mathbf{E}}$ , and morphisms  $\Lambda : E|_{V'} \rightarrow \mathcal{T}_{e \circ b} X$ ,  $M : E|_{V'} \rightarrow \mathcal{T}_{f \circ b} Y$  with

$$c|_{V'} = e \circ b + \Lambda \circ s + O(s^2), \quad d|_{V'} = f \circ b + M \circ s + O(s^2), \quad (11.2)$$



and if  $K' : E|_{V'} \rightarrow \mathcal{T}_{g \circ e \circ b} Z$  is a morphism with  $K|_{V'} = K' + O(s)$  in the sense of Definition 3.15(v), which exists and is unique up to  $O(s)$  by Theorem 3.17(g), as  $g \circ c|_{V'} = g \circ e \circ b + O(s)$  by (11.2), then

$$K' + \mathcal{T}g \circ \Lambda = \mathcal{T}h \circ M + O(s) \quad (11.3)$$

in the sense of Definition 3.15(ii), where  $\mathcal{T}g, \mathcal{T}h$  are as in §3.3.4(c).

(iii) In the situation of (ii), suppose  $\tilde{V}', \tilde{b}, \tilde{\Lambda}, \tilde{M}$  are alternative choices for  $V', b, \Lambda, M$ . Then there exists  $N : E|_{V' \cap \tilde{V}'} \rightarrow \mathcal{T}_{\tilde{b}} W|_{V' \cap \tilde{V}'}$  with

$$\tilde{b}|_{V' \cap \tilde{V}'} = b|_{V' \cap \tilde{V}'} + N \circ s + O(s^2), \quad (11.4)$$

and if  $\tilde{\Lambda}' : E|_{V' \cap \tilde{V}'} \rightarrow \mathcal{T}_{e \circ b} X|_{V' \cap \tilde{V}'}, \tilde{M}' : E|_{V' \cap \tilde{V}'} \rightarrow \mathcal{T}_{f \circ b} Y|_{V' \cap \tilde{V}'}$  are morphisms with  $\tilde{\Lambda}|_{V' \cap \tilde{V}'} = \tilde{\Lambda}' + O(s), \tilde{M}|_{V' \cap \tilde{V}'} = \tilde{M}' + O(s)$ , which exist and are unique up to  $O(s)$  by Theorem 3.17(g), as  $e \circ \tilde{b}|_{V' \cap \tilde{V}'} = e \circ b|_{V' \cap \tilde{V}'} + O(s)$  and  $f \circ \tilde{b}|_{V' \cap \tilde{V}'} = f \circ b|_{V' \cap \tilde{V}'} + O(s)$  by (11.4), then

$$\Lambda|_{V' \cap \tilde{V}'} = \tilde{\Lambda}' + \mathcal{T}e \circ N + O(s), \quad M|_{V' \cap \tilde{V}'} = \tilde{M}' + \mathcal{T}f \circ N + O(s). \quad (11.5)$$

If  $\tilde{N} : E|_{V' \cap \tilde{V}'} \rightarrow \mathcal{T}_{\tilde{b}} W|_{V' \cap \tilde{V}'}$  satisfies (11.4)–(11.5) then  $\tilde{N} = N + O(s)$ .

(c) Let  $g : X \rightarrow Z$  be a morphism in  $\dot{\mathbf{Man}}_{\mathcal{D}}$ . We are given a notion of when  $g$  is a *submersion*. If  $g$  is a submersion and  $h : Y \rightarrow Z$  is any morphism in  $\dot{\mathbf{Man}}_{\mathcal{D}}$ , then  $g, h$  are transverse.

In fact any category  $\dot{\mathbf{Man}}$  can be made to satisfy Assumption 11.1:

**Example 11.2.** Let  $\dot{\mathbf{Man}}$  be any category satisfying Assumptions 3.1–3.7, and let  $\mathcal{D}, \mathcal{E}$  be any discrete properties of morphisms in  $\dot{\mathbf{Man}}$  satisfying Assumption 11.1(a) (for instance,  $\mathcal{D}, \mathcal{E}$  could be trivial). Define morphisms  $g : X \rightarrow Z, h : Y \rightarrow Z$  in  $\dot{\mathbf{Man}}_{\mathcal{D}}$  to be *transverse* if they satisfy Assumption 11.1(b). Define a  $\mathcal{D}$  morphism  $g : X \rightarrow Z$  to be a *submersion* if it satisfies Assumption 11.1(c). Then Assumption 11.1 holds, just by definition.

Let  $X, Y$  be any objects of  $\dot{\mathbf{Man}}$ , and  $*$  be the point in  $\dot{\mathbf{Man}}$ , as in Assumption 3.1(c). Then the projections  $\pi : X \rightarrow *, \pi : Y \rightarrow *$  satisfy Assumption 11.1(b), and so are transverse. Here in (b)(i) we take  $W = X \times Y$ , and in (b)(ii) we take  $b = (c, d)$  and  $\Lambda = M = 0$ . We will use this in discussing products of m-Kuranishi spaces in §11.2.3.

### 11.1.2 More assumptions on transversality and submersions

We now give six optional assumptions on transverse morphisms and submersions, which will imply similar properties for (m-)Kuranishi spaces. For the first, in Remark 2.37 we discuss when fibre products in  $\mathbf{Man}, \mathbf{Man}_{\text{st}}^c, \dots$  are also fibre products on the level of topological spaces.

**Assumption 11.3. (Transverse fibre products are fibre products of topological spaces.)** Suppose that Assumption 11.1 holds for  $\dot{\mathbf{Man}}$ , and in addition, the functor  $F_{\dot{\mathbf{Man}}}^{\mathbf{Top}} : \dot{\mathbf{Man}} \rightarrow \mathbf{Top}$  from Assumption 3.2 maps transverse fibre products in  $\dot{\mathbf{Man}}$  to fibre products in  $\mathbf{Top}$ . That is, in the situation of Assumption 11.1(b)(i) we have a homeomorphism

$$(e, f) : W \longrightarrow \{(x, y) \in X \times Y : g(x) = h(y)\}.$$

**Assumption 11.4. (Properties of submersions.)** Suppose Assumption 11.1 holds for  $\dot{\mathbf{Man}}$ , and:

- (a) If (11.1) is a Cartesian square in  $\dot{\mathbf{Man}}_{\mathcal{D}}$  with  $g$  a submersion, then  $f$  is a submersion.
- (b) Products of submersions are submersions. That is, if  $g : W \rightarrow Y$  and  $h : X \rightarrow Z$  are submersions then  $g \times h : W \times X \rightarrow Y \times Z$  is a submersion.
- (c) The projection  $\pi_X : X \times Y \rightarrow X$  is a submersion for all  $X, Y \in \dot{\mathbf{Man}}$ .

**Assumption 11.5. (Tangent spaces of transverse fibre products.)** Let  $\dot{\mathbf{Man}}$  satisfy Assumption 10.1, with discrete property  $\mathbf{A}$  and tangent spaces  $T_x X$ , and Assumption 11.1, with discrete properties  $\mathbf{D}, \mathbf{E}$ . Suppose that  $\mathbf{D}$  implies  $\mathbf{A}$ , and whenever (11.1) is Cartesian in  $\dot{\mathbf{Man}}_{\mathcal{D}}$  with  $g, h$  transverse and  $w \in W$  with  $e(w) = x$  in  $X$ ,  $f(w) = y$  in  $Y$  and  $g(x) = h(y) = z$  in  $Z$ , the following is an exact sequence of real vector spaces:

$$0 \longrightarrow T_w W \xrightarrow{T_w e \oplus T_w f} T_x X \oplus T_y Y \xrightarrow{T_x g \oplus -T_y h} T_z Z \longrightarrow 0.$$

**Assumption 11.6. (Quasi-tangent spaces of transverse fibre products.)** Let  $\dot{\mathbf{Man}}$  satisfy Assumption 10.19, with discrete property  $\mathbf{C}$  and quasi-tangent spaces  $Q_x X$  in a category  $\mathcal{Q}$ , and Assumption 11.1, with discrete properties  $\mathbf{D}, \mathbf{E}$ . Suppose that  $\mathbf{D}$  implies  $\mathbf{C}$ , and whenever (11.1) is Cartesian in  $\dot{\mathbf{Man}}_{\mathcal{D}}$  with  $g, h$  transverse and  $w \in W$  with  $e(w) = x$  in  $X$ ,  $f(w) = y$  in  $Y$  and  $g(x) = h(y) = z$  in  $Z$ , the following is Cartesian in  $\mathcal{Q}$ :

$$\begin{array}{ccc} Q_w W & \longrightarrow & Q_y Y \\ \downarrow Q_w e & \quad Q_w f & \quad Q_y h \downarrow \\ Q_x X & \longrightarrow & Q_z Z. \end{array}$$

**Assumption 11.7. (Compatibility with the corner functor.)** Let  $\dot{\mathbf{Man}}^c$  satisfy Assumption 3.22 in §3.4, so that we have a corner functor  $C : \dot{\mathbf{Man}}^c \rightarrow \check{\mathbf{Man}}^c$ , and let Assumption 11.1 hold with  $\dot{\mathbf{Man}}^c$  in place of  $\dot{\mathbf{Man}}$ . Define transverse morphisms and submersions in  $\check{\mathbf{Man}}_{\mathcal{D}}^c$  in the obvious way: we call  $g : \coprod_{l \geq 0} X_l \rightarrow \coprod_{n \geq 0} Z_n$  and  $h : \coprod_{m \geq 0} Y_m \rightarrow \coprod_{n \geq 0} Z_n$  transverse in  $\check{\mathbf{Man}}_{\mathcal{D}}^c$

if  $g|_{\dots} : X_l \cap g^{-1}(Z_n) \rightarrow Z_n$  and  $h|_{\dots} : Y_m \cap h^{-1}(Z_n) \rightarrow Z_n$  are transverse in  $\mathbf{Man}_D^c$  for all  $l, m, n$ , and similarly for submersions.

Suppose that  $C$  maps  $\mathbf{Man}_D^c \rightarrow \check{\mathbf{Man}}_D^c$  and  $\mathbf{Man}_E^c \rightarrow \check{\mathbf{Man}}_E^c$ , and whenever (11.1) is a Cartesian square in  $\mathbf{Man}^c$  with  $g, h$  transverse, then the following is Cartesian in  $\check{\mathbf{Man}}_D^c$  and  $\check{\mathbf{Man}}_E^c$ , with  $C(g), C(h)$  transverse in  $\check{\mathbf{Man}}_D^c$ :

$$\begin{array}{ccc} C(W) & \xrightarrow{\quad C(f) \quad} & C(Y) \\ \downarrow C(e) & & C(h) \downarrow \\ C(X) & \xrightarrow{\quad C(g) \quad} & C(Z). \end{array}$$

Also, suppose that if  $g$  is a submersion then  $C(g)$  is a submersion.

The next assumption is only nontrivial if  $D \neq E$ .

**Assumption 11.8. (Fibre products with submersions in  $\mathbf{Man}_E$ .)** Suppose that Assumption 11.1 holds for  $\mathbf{Man}$ , and whenever  $g : X \rightarrow Z$  is a submersion in  $\mathbf{Man}_D$ , and  $h : Y \rightarrow Z$  is any morphism in  $\mathbf{Man}_E$  (not necessarily in  $\mathbf{Man}_D$ ), then a fibre product  $W = X \times_{g,Z,h} Y$  exists in  $\mathbf{Man}_E$ , with  $\dim W = \dim X + \dim Y - \dim Z$ , in a Cartesian square (11.1) in  $\mathbf{Man}_E$ , and Assumption 11.1(b)(ii),(iii) hold for  $g, h$ . If Assumptions 11.3, 11.4(a) or 11.7 hold, then they also hold for fibre products  $W = X \times_{g,Z,h} Y$  in  $\mathbf{Man}_E$  with  $g$  a submersion.

### 11.1.3 Characterizing transversality and submersions

The next assumption gives necessary and sufficient conditions for when morphisms  $g, h$  in  $\mathbf{Man}^c$  are transverse, or when  $g$  is a (strong) submersion, that extend nicely to (m-)Kuranishi spaces  $\mathbf{mKur}^c, \check{\mathbf{Kur}}^c$ . The statement is complicated to allow these conditions to depend on several different things — maps of tangent spaces  $T_x g, T_y h$ , of quasi-tangent spaces  $Q_x g, Q_y h$ , and the corner maps  $C(g), C(h)$  — since our examples in §2.5 depend on these.

We state it using  $\mathbf{Man}^c$  in §3.4, so our conditions can involve the corner functor  $C : \mathbf{Man}^c \rightarrow \check{\mathbf{Man}}^c$ . But as in Example 3.24(i), we can take  $\mathbf{Man}^c$  to be any category  $\mathbf{Man}$  satisfying Assumptions 3.1–3.7 with  $C_k(X) = \emptyset$  for all  $X \in \mathbf{Man}$  and  $k > 0$ , so the corners are not needed in all examples.

**Assumption 11.9.** Suppose  $\mathbf{Man}^c$  satisfies Assumption 3.22 in §3.4, so that we have a corner functor  $C : \mathbf{Man}^c \rightarrow \check{\mathbf{Man}}^c$ .

Suppose Assumption 10.1 holds for  $\mathbf{Man}^c$ , so we are given a discrete property  $\mathbf{A}$  of morphisms in  $\mathbf{Man}^c$ , and notions of *tangent space*  $T_x X$  for  $X$  in  $\mathbf{Man}^c$  and  $x \in X$ , and *tangent map*  $T_x f : T_x X \rightarrow T_y Y$  for  $\mathbf{A}$  morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}^c$  and  $x \in X$  with  $f(x) = y$  in  $Y$ .

Suppose Assumption 10.19 holds for  $\mathbf{Man}^c$ , so we are given a category  $\mathcal{Q}$ , a discrete property  $\mathbf{C}$  of morphisms in  $\mathbf{Man}^c$ , and notions of *quasi-tangent space*  $Q_x X$  in  $\mathcal{Q}$  for  $X$  in  $\mathbf{Man}^c$  and  $x \in X$ , and *quasi-tangent map*  $Q_x f : Q_x X \rightarrow Q_y Y$

in  $\mathcal{Q}$  for  $\mathbf{C}$  morphisms  $f : X \rightarrow Y$  in  $\mathbf{Man}^c$  and  $x \in X$  with  $f(x) = y$  in  $Y$ . These may be trivial, i.e.  $\mathcal{Q}$  could have one object and one morphism.

Suppose Assumption 11.1 holds for  $\mathbf{Man}^c$ , so we are given discrete properties  $\mathbf{D}, \mathbf{E}$  of morphisms in  $\mathbf{Man}^c$ , where  $\mathbf{D}$  implies  $\mathbf{E}$ , and notions of *transverse morphisms*  $g, h$  and *submersions*  $g$  in  $\mathbf{Man}_D^c$ . We require that  $\mathbf{D}$  implies  $\mathbf{A}$  and  $\mathbf{C}$ , and:

- (a) Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}_D^c$ . Then  $g, h$  are transverse if and only if for all  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ , the following linear map is surjective:

$$T_x g \oplus T_y h : T_x X \oplus T_y Y \longrightarrow T_z Z, \quad (11.6)$$

and an explicit condition (which may be trivial) holds, which we call ‘condition  $\mathbf{T}$ ’, involving only (i)–(ii) below:

- (i) Condition  $\mathbf{T}$  may involve the quasi-tangent maps  $Q_x g : Q_x X \rightarrow Q_z Z$  and  $Q_x h : Q_y Y \rightarrow Q_z Z$  in  $\mathcal{Q}$ .
- (ii) For all  $j, k, l \geq 0$ , condition  $\mathbf{T}$  may involve the family of triples  $(\mathbf{x}, \mathbf{y}, \mathbf{z})$  for  $\mathbf{x} \in C_j(X)$ ,  $\mathbf{y} \in C_k(Y)$  with  $\Pi_j(\mathbf{x}) = x$ ,  $\Pi_k(\mathbf{y}) = y$ , and  $C(g)\mathbf{x} = C(h)\mathbf{y} = \mathbf{z}$  in  $C_l(Z)$ .

Condition  $\mathbf{T}$  should only involve objects  $Q_x X, \dots$  in  $\mathcal{Q}$  up to isomorphism, and subsets  $\Pi_j^{-1}(x) \subseteq C_j(X), \dots$  up to bijection.

- (b) Taken together, the conditions in (a) are an *open condition* in  $x, y$ . That is, if both conditions hold for some  $x, y, z$ , then there are open neighbourhoods  $X'$  of  $x$  in  $X$  and  $Y'$  of  $y$  in  $Y$  such that both conditions also hold for all  $x' \in X'$  and  $y' \in Y'$  with  $g(x') = h(y') = z' \in Z$ .
- (c) Suppose  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  are morphisms in  $\mathbf{Man}_D^c$  and  $x \in X$ ,  $y \in Y$  with  $g(x) = h(y) = z \in Z$  are such that condition  $\mathbf{T}$  holds, though (11.6) need not be surjective. Then there exist open  $X' \hookrightarrow X \times \mathbb{R}^m$  and  $Y' \hookrightarrow Y \times \mathbb{R}^n$  for  $m, n \geq 0$  with  $(x, 0) \in X'$  and  $(y, 0) \in Y'$ , and transverse morphisms  $g' : X' \rightarrow Z$ ,  $h' : Y' \rightarrow Z$  with  $g'(\tilde{x}, 0) = g(\tilde{x})$ ,  $h'(\tilde{y}, 0) = h(\tilde{y})$  for all  $\tilde{x} \in X'$ ,  $\tilde{y} \in Y'$  with  $(\tilde{x}, 0) \in X'$  and  $(\tilde{y}, 0) \in Y'$ .
- (d) Let  $g : X \rightarrow Z$  be a morphism in  $\mathbf{Man}_D^c$ . Then  $g$  is a submersion if and only if for all  $x \in X$  with  $g(x) = z$  in  $Z$ , the following is surjective:

$$T_x g : T_x X \longrightarrow T_z Z, \quad (11.7)$$

and an explicit condition (which may be trivial) holds, which we call ‘condition  $\mathbf{S}$ ’, involving only (i)–(ii) below:

- (i) Condition  $\mathbf{S}$  may involve  $Q_x g : Q_x X \rightarrow Q_z Z$ .
- (ii) For all  $j, l \geq 0$ , condition  $\mathbf{S}$  may involve the family of pairs  $(\mathbf{x}, \mathbf{z})$  where  $\mathbf{x} \in C_j(X)$  with  $\Pi_j(\mathbf{x}) = x$  and  $C(g)\mathbf{x} = \mathbf{z}$  in  $C_l(Z)$ .

Condition  $\mathbf{S}$  should only involve objects  $Q_x X, \dots$  in  $\mathcal{Q}$  up to isomorphism, and subsets  $\Pi_j^{-1}(x) \subseteq C_j(X), \dots$  up to bijection.

- (e) The conditions in (d) together are an open condition in  $x \in X$ .
- (f) Suppose  $g : X \rightarrow Z$  is a morphism in  $\dot{\mathbf{Man}}_{\mathbf{D}}^{\mathbf{c}}$  and  $x \in X$  with  $g(x) = z$  in  $Z$  are such that condition  $\mathbf{S}$  holds, though (11.7) need not be surjective. Then there exist open  $X' \hookrightarrow X \times \mathbb{R}^m$  for  $m \geq 0$  with  $(x, 0) \in X'$  and a submersion  $g' : X' \rightarrow Z$  with  $g'(\tilde{x}, 0) = g(\tilde{x})$  for all  $\tilde{x} \in X$  with  $(\tilde{x}, 0) \in X'$ .
- (g) Suppose  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are morphisms in  $\dot{\mathbf{Man}}_{\mathbf{D}}^{\mathbf{c}}$  and  $x \in X$  with  $f(x) = y$  in  $Y$  and  $g(y) = z$  in  $Z$ . If condition  $\mathbf{S}$  holds for  $f$  at  $x, y$  and for  $g$  at  $y, z$ , then it holds for  $g \circ f$  at  $x, z$ .
- (h) Suppose  $g : X \rightarrow Z$  is a morphism in  $\dot{\mathbf{Man}}^{\mathbf{c}}$  with  $Z$  in  $\mathbf{Man} \subseteq \dot{\mathbf{Man}}^{\mathbf{c}}$ . Then  $g$  is  $\mathbf{D}$ , and condition  $\mathbf{S}$  in (d) holds for all  $x, z$ .

#### 11.1.4 Examples of categories satisfying the assumptions

Using the material of §2.5, we give several interesting examples in which Assumption 11.1 and various of Assumptions 11.3–11.9 hold:

**Example 11.10.** Take  $\dot{\mathbf{Man}}$  to be the category of classical manifolds  $\mathbf{Man}$ , and  $\mathbf{D}, \mathbf{E}$  to be trivial (i.e. all morphisms in  $\mathbf{Man}$  are  $\mathbf{D}$  and  $\mathbf{E}$ ). As in Definition 2.21 in §2.5.1, define morphisms  $g : X \rightarrow Z, h : Y \rightarrow Z$  in  $\mathbf{Man}$  to be *transverse* if whenever  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z \in Z$ , then

$$T_x g \oplus T_y h : T_x X \oplus T_y Y \longrightarrow T_z Z$$

is surjective. Define  $g : X \rightarrow Z$  to be a *submersion* if  $T_x g : T_x X \rightarrow T_z Z$  is surjective for all  $x \in X$  with  $g(x) = z \in Z$ . We claim that:

- Assumption 11.1 holds.
- Assumptions 11.3–11.5 hold.
- For Assumption 11.9, we take  $\mathbf{Man}$  to be a category  $\dot{\mathbf{Man}}^{\mathbf{c}}$  as in Example 3.24(i), with  $C_k(X) = \emptyset$  for all  $X \in \mathbf{Man}$  and  $k > 0$ . We take tangent spaces  $T_x X$  to be as usual, and quasi-tangent spaces  $Q_x X$  to be trivial, and conditions  $\mathbf{T}$  and  $\mathbf{S}$  are trivial. Then Assumption 11.9 holds.

Almost all the above is well known or obvious, but Assumption 11.1(b)(ii)–(iii) are new, so we prove them in Proposition 11.14 below.

**Example 11.11. (a)** Take  $\dot{\mathbf{Man}}$  to be  $\mathbf{Man}^{\mathbf{c}}$  from §2.1, and  $\mathbf{D}$  to be strongly smooth morphisms, and  $\mathbf{E}$  to be trivial, and define *s-transverse morphisms* and *s-submersions* in  $\mathbf{Man}_{\text{st}}^{\mathbf{c}}$  as in Definition 2.24 in §2.5.2. We claim that:

- Assumption 11.1 holds, where ‘transverse’ means s-transverse, and ‘submersions’ are s-submersions.
- Assumptions 11.3–11.4 hold.
- Assumption 11.5 holds for both ordinary tangent spaces  $T_x X$  and stratum tangent spaces  $\tilde{T}_x X$  in Example 10.2(ii),(iv).

- Assumption 11.6 holds for the stratum normal spaces  $\tilde{N}_x X$  in Definition 2.16, as in Example 10.20(a).
- Assumption 11.8 holds, by Theorem 2.25(d).
- For Assumption 11.9, we take  $\mathbf{Man}^c$  to be a category  $\dot{\mathbf{Man}}^c$  as in Example 3.24(a), with corner functor  $C : \mathbf{Man}^c \rightarrow \dot{\mathbf{Man}}^c$  as in Definition 2.9. We take tangent spaces to be stratum tangent spaces  $\tilde{T}_x X$ , and quasi-tangent spaces to be stratum normal spaces  $\tilde{N}_x X$ . Condition  $\mathbf{T}$  is that

$$\tilde{N}_x g \oplus \tilde{N}_y h : \tilde{N}_x X \oplus \tilde{N}_y Y \longrightarrow \tilde{N}_z Z \quad (11.8)$$

is surjective. Condition  $\mathbf{S}$  is that  $\tilde{N}_x g : \tilde{N}_x X \rightarrow \tilde{N}_z Z$  is surjective. Then Assumption 11.9 holds.

Most of the above follows from §2.5.2, but Assumption 11.1(b)(ii)–(iii) are new, and we prove them in Proposition 11.14 below.

(b) We can also modify part (a) as follows. In Assumption 11.1 we take transversality in  $\mathbf{Man}_{\text{st}}^c$  to be *t-transverse* morphisms in Definition 2.24. In Assumption 11.9, if  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are morphisms in  $\mathbf{Man}_{\text{st}}^c$  and  $x \in X$ ,  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ , then the new condition  $\mathbf{T}$  is that (11.8) is surjective, and for all  $\mathbf{x} \in C_j(X)$  and  $\mathbf{y} \in C_k(Y)$  with  $\Pi_j(\mathbf{x}) = x$ ,  $\Pi_k(\mathbf{y}) = y$ , and  $C(g)\mathbf{x} = C(h)\mathbf{y} = \mathbf{z}$  in  $C_l(Z)$ , we have  $j + k \geq l$ , and there is exactly one triple  $(\mathbf{x}, \mathbf{y}, \mathbf{z})$  with  $j + k = l$ .

Then Assumptions 11.1, 11.3–11.6 and 11.8–11.9 hold as in (a), and in addition, Assumption 11.7 holds for both corner functors  $C, C' : \mathbf{Man}^c \rightarrow \dot{\mathbf{Man}}^c$  in Definitions 2.9 and 2.11, by Theorem 2.25(b).

**Example 11.12.** (a) Take  $\dot{\mathbf{Man}}$  to be  $\mathbf{Man}^{\text{sc}}$  from §2.4.1, and  $\mathbf{D}, \mathbf{E}$  to be interior morphisms, and define *b-transverse morphisms* and *b-submersions* in  $\mathbf{Man}_{\text{in}}^{\text{sc}}$  as in Definition 2.27 in §2.5.3. We claim that:

- Assumption 11.1 holds, where ‘transverse’ means b-transverse, and ‘submersion’ means b-submersion.
- Assumption 11.3 does *not* hold, as Example 2.35 shows.
- Assumption 11.4 holds.
- Assumption 11.5 holds for b-tangent spaces  ${}^b T_x X$  in Example 10.2(iii).
- For Assumption 11.9, we take  $\mathbf{Man}^{\text{sc}}$  to be a category  $\dot{\mathbf{Man}}^c$  as in Example 3.24(h). We take tangent spaces to be b-tangent spaces  ${}^b T_x X$ , and quasi-tangent spaces to be trivial. Conditions  $\mathbf{T}$  and  $\mathbf{S}$  are both trivial. Then Assumption 11.9 holds.

Most of the above follows from §2.5.3, and we prove Assumption 11.1(b)(ii)–(iii) in Proposition 11.14.

(b) Take  $\dot{\mathbf{Man}}$  to be  $\mathbf{Man}^{\text{sc}}$  from §2.4.1, and  $\mathbf{D}$  to be interior morphisms in  $\mathbf{Man}^{\text{sc}}$ , and  $\mathbf{E}$  to be trivial, and define *c-transverse morphisms* and *b-fibrations* in  $\mathbf{Man}_{\text{in}}^{\text{sc}}$  as in Definition 2.27 in §2.5.3. Then as in (a) we find that:

- Assumption 11.1 holds, where ‘transverse’ means c-transverse, and ‘submersion’ means b-fibration.
- Assumptions 11.3–11.4 hold.
- Assumption 11.5 holds for b-tangent spaces  ${}^bT_xX$ .
- Assumption 11.7 holds for the corner functor  $C : \mathbf{Man}^{\mathbf{gc}} \rightarrow \check{\mathbf{Man}}^{\mathbf{gc}}$  in §2.4.1, by Theorem 2.28(b).
- For Assumption 11.9, we take  $\mathbf{Man}^{\mathbf{gc}}$  to be a category  $\dot{\mathbf{Man}}^{\mathbf{c}}$  as in Example 3.24(h), with corner functor  $C : \mathbf{Man}^{\mathbf{gc}} \rightarrow \check{\mathbf{Man}}^{\mathbf{gc}}$  as in §2.4.1. We take tangent spaces to be b-tangent spaces  ${}^bT_xX$ , and quasi-tangent spaces to be trivial.

If  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are morphisms in  $\mathbf{Man}_{\mathbf{in}}^{\mathbf{gc}}$  and  $x \in X$ ,  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ , condition  $\mathbf{T}$  is that for all  $\mathbf{x} \in C_j(X)$  and  $\mathbf{y} \in C_k(Y)$  with  $\Pi_j(\mathbf{x}) = x$ ,  $\Pi_k(\mathbf{y}) = y$ , and  $C(g)\mathbf{x} = C(h)\mathbf{y} = \mathbf{z}$  in  $C_l(Z)$ , we have either  $j + k > l$  or  $j = k = l = 0$ .

If  $g : X \rightarrow Z$  is a morphism in  $\mathbf{Man}_{\mathbf{in}}^{\mathbf{gc}}$  and  $x \in X$  with  $g(x) = z \in Z$ , condition  $\mathbf{S}$  is that for all  $\mathbf{x} \in C_j(X)$  with  $\Pi_j(\mathbf{x}) = x$  and  $C(g)\mathbf{x} = \mathbf{z}$  in  $C_l(Z)$ , we have  $j \geq l$ . Then Assumption 11.9 holds.

(c) We can also modify part (b) by instead taking ‘submersions’ to be *c-fibrations* in  $\mathbf{Man}_{\mathbf{in}}^{\mathbf{gc}}$ , as in Definition 2.27. In Assumption 11.9, if  $g : X \rightarrow Z$  is a morphism in  $\mathbf{Man}_{\mathbf{in}}^{\mathbf{gc}}$  and  $x \in X$  with  $g(x) = z \in Z$ , the new condition  $\mathbf{S}$  is that for all  $\mathbf{x} \in C_j(X)$  with  $\Pi_j(\mathbf{x}) = x$  and  $C(g)\mathbf{x} = \mathbf{z}$  in  $C_l(Z)$ , we have  $j \geq l$ , and for each such  $\mathbf{z}$  there is exactly one such  $\mathbf{x}$  with  $j = l$ .

Then Assumptions 11.1, 11.3–11.5, 11.7 and 11.9 hold as in (b), and in addition, Assumption 11.8 holds, by Theorem 2.28(e).

**Example 11.13.** (a) Take  $\dot{\mathbf{Man}}$  to be  $\mathbf{Man}^{\mathbf{c}}$  from §2.1, and  $\mathbf{D}, \mathbf{E}$  to be interior morphisms, and define *sb-transverse morphisms* and *s-submersions* in  $\mathbf{Man}_{\mathbf{in}}^{\mathbf{c}}$  by Definitions 2.24 and 2.31, as in §2.5.4. Then by restriction from  $\mathbf{Man}_{\mathbf{in}}^{\mathbf{gc}}$  in Example 11.12(a), we see that:

- Assumption 11.1 holds, where ‘transverse’ means sb-transverse, and ‘submersion’ means s-submersion.
- Assumption 11.3 does *not* hold, as Example 2.35 shows.
- Assumption 11.4 holds.
- Assumption 11.5 holds for b-tangent spaces  ${}^bT_xX$  in Example 10.2(iii).
- For Assumption 11.9, we take  $\mathbf{Man}^{\mathbf{c}}$  to be a category  $\dot{\mathbf{Man}}^{\mathbf{c}}$  as in Example 3.24(a). We take tangent spaces to be b-tangent spaces  ${}^bT_xX$ , and quasi-tangent spaces to be monoids  $\tilde{M}_xX$  as in Example 10.20(c). Condition  $\mathbf{T}$  is that  $\tilde{M}_xX \times_{\tilde{M}_xg, \tilde{M}_zZ, \tilde{M}_yh} \tilde{M}_yY \cong \mathbb{N}^n$  for  $n \geq 0$ , as in Definition 2.31. Condition  $\mathbf{S}$  is that the monoid morphism  $\tilde{M}_xg : \tilde{M}_xX \rightarrow \tilde{M}_zZ$  is isomorphic to a projection  $\mathbb{N}^{m+n} \rightarrow \mathbb{N}^n$ . Then Assumption 11.9 holds.

(b) Take  $\dot{\mathbf{Man}}$  to be  $\mathbf{Man}^c$  from §2.1, and  $\mathbf{D}$  to be interior morphisms in  $\mathbf{Man}^c$ , and  $\mathbf{E}$  to be trivial, and define *sc-transverse morphisms* and *s-submersions* in  $\mathbf{Man}_{\text{in}}^c$  by Definitions 2.24 and 2.31, as in §2.5.4. Then by Example 11.11(a) and restriction from  $\mathbf{Man}^{\text{sc}}$  in Example 11.12(b), we see that:

- Assumption 11.1 holds, where ‘transverse’ means sb-transverse, and ‘submersion’ means s-submersion.
- Assumptions 11.3–11.4 hold.
- Assumption 11.5 holds for b-tangent spaces  ${}^bT_xX$ .
- Assumption 11.6 holds for monoids  $\tilde{M}_xX$ .
- Assumption 11.7 holds for the corner functor  $C : \mathbf{Man}^c \rightarrow \tilde{\mathbf{Man}}^c$ .
- Assumption 11.8 holds.
- For Assumption 11.9, we take  $\mathbf{Man}^c$  to be a category  $\dot{\mathbf{Man}}^c$  as in Example 3.24(a), with corner functor  $C : \mathbf{Man}^c \rightarrow \tilde{\mathbf{Man}}^c$  as in §2.2. We take tangent spaces to be b-tangent spaces  ${}^bT_xX$ , and quasi-tangent spaces to be monoids  $\tilde{M}_xX$ . If  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are morphisms in  $\mathbf{Man}_{\text{in}}^c$  and  $x \in X$ ,  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ , condition  $\mathbf{T}$  is that  $\tilde{M}_xX \times_{\tilde{M}_xg, \tilde{M}_zZ, \tilde{M}_yh} \tilde{M}_yY \cong \mathbb{N}^n$  for  $n \geq 0$ , and for all  $\mathbf{x} \in C_j(X)$  and  $\mathbf{y} \in C_k(Y)$  with  $\Pi_j(\mathbf{x}) = x$ ,  $\Pi_k(\mathbf{y}) = y$ , and  $C(g)\mathbf{x} = C(h)\mathbf{y} = \mathbf{z}$  in  $C_l(Z)$ , we have either  $j + k > l$  or  $j = k = l = 0$ .

If  $g : X \rightarrow Z$  is a morphism in  $\mathbf{Man}_{\text{in}}^c$  and  $x \in X$  with  $g(x) = z \in Z$ , condition  $\mathbf{S}$  is that  $\tilde{M}_xg : \tilde{M}_xX \rightarrow \tilde{M}_zZ$  is isomorphic to a projection  $\mathbb{N}^{m+n} \rightarrow \mathbb{N}^n$ . Then Assumption 11.9 holds.

The next proposition will be proved in §11.7.

**Proposition 11.14.** *Examples 11.10–11.13 satisfy Assumption 11.1(b)(ii),(iii).*

## 11.2 Transverse fibre products and submersions in $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$

We suppose throughout this section that the category  $\dot{\mathbf{Man}}$  used to define  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  satisfies Assumptions 3.1–3.7 and 11.1, and will also specify additional assumptions as needed. Here Assumption 11.1 gives discrete properties  $\mathbf{D}$ ,  $\mathbf{E}$  of morphisms in  $\dot{\mathbf{Man}}$ , where  $\mathbf{D}$  implies  $\mathbf{E}$ , defining subcategories  $\dot{\mathbf{Man}}_{\mathbf{D}} \subseteq \dot{\mathbf{Man}}_{\mathbf{E}} \subseteq \dot{\mathbf{Man}}$  with all objects and only  $\mathbf{D}$ ,  $\mathbf{E}$  morphisms, and notions of when morphisms  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  in  $\dot{\mathbf{Man}}_{\mathbf{D}}$  are *transverse* (which implies that a fibre product  $X \times_{g,Z,h} Y$  exists in  $\dot{\mathbf{Man}}_{\mathbf{D}}$ , and is also a fibre product in  $\dot{\mathbf{Man}}_{\mathbf{E}}$ ), and when  $g : X \rightarrow Z$  is a *submersion* (which implies that if  $h : Y \rightarrow Z$  is another morphism in  $\dot{\mathbf{Man}}_{\mathbf{D}}$  then  $g, h$  are transverse).



### 11.2.1 Fibre products of global m-Kuranishi neighbourhoods

We generalize transversality and submersions to 1-morphisms of m-Kuranishi neighbourhoods. We give both weak versions, ‘w-transversality’ and ‘w-submersions’, and strong versions, ‘transversality’ and ‘submersions’.

**Definition 11.15.** Suppose  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  are continuous maps of topological spaces, and  $(U_l, D_l, r_l, \chi_l)$ ,  $(V_m, E_m, s_m, \psi_m)$ ,  $(W_n, F_n, t_n, \omega_n)$  are m-Kuranishi neighbourhoods on  $X, Y, Z$  with  $\text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n)$  and  $\text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$ , and

$$\begin{aligned} \mathbf{g}_{ln} &= (U_{ln}, g_{ln}, \hat{g}_{ln}) : (U_l, D_l, r_l, \chi_l) \longrightarrow (W_n, F_n, t_n, \omega_n), \\ \mathbf{h}_{mn} &= (V_{mn}, h_{mn}, \hat{h}_{mn}) : (V_m, E_m, s_m, \psi_m) \longrightarrow (W_n, F_n, t_n, \omega_n), \end{aligned}$$

are  $\mathbf{D}$  1-morphisms of m-Kuranishi neighbourhoods over  $(\text{Im } \chi_l, g)$ ,  $(\text{Im } \psi_m, h)$ .

We call  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  *weakly transverse*, or *w-transverse*, if there exist open neighbourhoods  $\check{U}_{ln}$  of  $r_l^{-1}(0)$  in  $U_{ln}$ , and  $\check{V}_{mn}$  of  $s_m^{-1}(0)$  in  $V_{mn}$ , such that:

- (i)  $g_{ln}|_{\check{U}_{ln}} : \check{U}_{ln} \rightarrow W_n$  and  $h_{mn}|_{\check{V}_{mn}} : \check{V}_{mn} \rightarrow W_n$  are  $\mathbf{D}$  morphisms in  $\mathbf{Man}$ , which are transverse in the sense of Assumption 11.1(b); and
- (ii)  $\hat{g}_{ln}|_u \oplus \hat{h}_{mn}|_v : D_l|_u \oplus E_m|_v \rightarrow F_n|_w$  is surjective for all  $u \in \check{U}_{ln}$  and  $v \in \check{V}_{mn}$  with  $g_{ln}(u) = h_{mn}(v) = w$  in  $W_n$ .

We call  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  *transverse* if they are w-transverse and in (ii)  $\hat{g}_{ln}|_u \oplus \hat{h}_{mn}|_v$  is an isomorphism for all  $u, v$ .

We call  $\mathbf{g}_{ln}$  a *weak submersion*, or a *w-submersion*, if there exists an open neighbourhood  $\check{U}_{ln}$  of  $r_l^{-1}(0)$  in  $U_{ln}$  such that:

- (iii)  $g_{ln}|_{\check{U}_{ln}} : \check{U}_{ln} \rightarrow W_n$  is a submersion in  $\mathbf{Man}_{\mathbf{D}}$ , as in Assumption 11.1(c).
- (iv)  $\hat{g}_{ln}|_u : D_l|_u \rightarrow F_n|_w$  is surjective for all  $u \in \check{U}_{ln}$  with  $g_{ln}(u) = w$  in  $W_n$ .

We call  $\mathbf{g}_{ln}$  a *submersion* if it is a w-submersion and in (iv)  $\hat{g}_{ln}|_u$  is an isomorphism for all  $u$ .

If  $\mathbf{g}_{ln}$  is a w-submersion then  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  are w-transverse for any  $\mathbf{D}$  1-morphism  $\mathbf{h}_{mn} : (V_m, E_m, s_m, \psi_m) \rightarrow (W_n, F_n, t_n, \omega_n)$  over  $(\text{Im } \psi_m, h)$ , by Assumption 11.1(c). Also if  $\mathbf{g}_{ln}$  is a submersion then  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  are transverse for any  $\mathbf{D}$  1-morphism  $\mathbf{h}_{mn} : (V_m, E_m, s_m, \psi_m) \rightarrow (W_n, F_n, t_n, \omega_n)$  over  $(\text{Im } \psi_m, h)$  for which  $E_m = 0$  is the zero vector bundle.

In Definition 4.8 we defined a strict 2-category  $\mathbf{Gm\check{K}N}$  of *global m-Kuranishi neighbourhoods*, where:

- Objects  $(V, E, s)$  in  $\mathbf{Gm\check{K}N}$  are a manifold  $V$  (object in  $\mathbf{Man}$ ), a vector bundle  $E \rightarrow V$  and a section  $s : V \rightarrow E$ . Then  $(V, E, s, \text{id}_{s^{-1}(0)})$  is an m-Kuranishi neighbourhood on the topological space  $s^{-1}(0) \subseteq V$ , as in §4.1. They have *virtual dimension*  $\text{vdim}(V, E, s) = \dim V - \text{rank } E$ .

- 1-morphisms  $\Phi_{ij} : (V_i, E_i, s_i) \rightarrow (V_j, E_j, s_j)$  in  $\mathbf{Gm\dot{K}N}$  are triples  $\Phi_{ij} = (V_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  satisfying Definition 4.2(a)–(d) with  $s_i^{-1}(0)$  in place of  $\psi_i^{-1}(S)$ . Then  $\Phi_{ij} : (V_i, E_i, s_i, \text{id}_{s_i^{-1}(0)}) \rightarrow (V_j, E_j, s_j, \text{id}_{s_j^{-1}(0)})$  is a 1-morphism of  $m$ -Kuranishi neighbourhoods over  $\phi_{ij}|_{s_i^{-1}(0)} : s_i^{-1}(0) \rightarrow s_j^{-1}(0)$ , as in §4.1.
- For 1-morphisms  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, s_i) \rightarrow (V_j, E_j, s_j)$ , a 2-morphism  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  in  $\mathbf{Gm\dot{K}N}$  is as in Definition 4.3, with  $s_i^{-1}(0)$  in place of  $\psi_i^{-1}(S)$ .

We write  $\mathbf{Gm\dot{K}N}_D \subseteq \mathbf{Gm\dot{K}N}$  for the 2-subcategory with 1-morphisms  $\Phi_{ij}$  which are  $D$ , in the sense of Definition 4.33.

We will prove that w-transverse fibre products exist in  $\mathbf{Gm\dot{K}N}_D$ :

**Definition 11.16.** Suppose we are given 1-morphisms in  $\mathbf{Gm\dot{K}N}_D$

$$g_{ln} : (U_l, D_l, r_l) \longrightarrow (W_n, F_n, t_n), \quad h_{mn} : (V_m, E_m, s_m) \longrightarrow (W_n, F_n, t_n),$$

which are w-transverse as in Definition 11.15. We will construct a fibre product

$$(T_k, C_k, q_k) = (U_l, D_l, r_l) \times_{g_{ln}, (W_n, F_n, t_n), h_{mn}} (V_m, E_m, s_m) \quad (11.9)$$

in both  $\mathbf{Gm\dot{K}N}_D$  and  $\mathbf{Gm\dot{K}N}_E$ .

Write  $g_{ln} = (U_{ln}, g_{ln}, \hat{g}_{ln})$  and  $h_{mn} = (V_{mn}, h_{mn}, \hat{h}_{mn})$ . Then  $\hat{g}_{ln}(r_l|_{U_{ln}}) = g_{ln}^*(t_n) + O(r_l^2)$  by Definition 4.2(d), so Definition 3.15(i) gives  $\epsilon : D_l \otimes D_l|_{U_{ln}} \rightarrow g_{ln}^*(F_n)$  with  $\hat{g}_{ln}(r_l|_{U_{ln}}) = g_{ln}^*(t_n) + \epsilon(r_l \otimes r_l|_{U_{ln}})$ . Define  $\hat{g}'_{ln} : D_l|_{U_{ln}} \rightarrow g_{ln}^*(F_n)$  by  $\hat{g}'_{ln}(d) = \hat{g}_{ln}(d) - \epsilon(d \otimes r_l|_{U_{ln}})$ . Replacing  $\hat{g}_{ln}$  by  $\hat{g}'_{ln}$ , which does not change  $g_{ln}$  up to 2-isomorphism as  $\hat{g}'_{ln} = \hat{g}_{ln} + O(r_l)$ , we suppose that  $\hat{g}_{ln}(r_l|_{U_{ln}}) = g_{ln}^*(t_n)$ , and similarly  $\hat{h}_{mn}(s_m|_{V_{mn}}) = h_{mn}^*(t_n)$ . Making  $\dot{U}_{ln}, \dot{V}_{mn}$  smaller, we may suppose Definition 11.15(ii) still holds for the new  $\hat{g}_{ln}, \hat{h}_{mn}$ .

For  $\dot{U}_{ln}, \dot{V}_{mn}$  as in Definition 11.15(i),(ii), define

$$T_k = \dot{U}_{ln} \times_{g_{ln}|_{\dot{U}_{ln}}, W_n, h_{mn}|_{\dot{V}_{mn}}} \dot{V}_{mn}$$

to be the transverse fibre product in  $\mathbf{Man}_D$  from Assumption 11.1(b), with projections  $e_{kl} : T_k \rightarrow \dot{U}_{ln} \subseteq U_l$  and  $f_{km} : T_k \rightarrow \dot{V}_{mn} \subseteq V_m$  in  $\mathbf{Man}_D$ . Then  $g_{ln} \circ e_{kl} = h_{mn} \circ f_{km}$  and

$$\dim T_k = \dim U_l + \dim V_m - \dim W_n. \quad (11.10)$$

We have a morphism of vector bundles on  $T_k$ :

$$e_{kl}^*(\hat{g}_{ln}) \oplus -f_{km}^*(\hat{h}_{mn}) : e_{kl}^*(D_l) \oplus f_{km}^*(E_m) \longrightarrow e_{kl}^*(g_{ln}^*(F_n)). \quad (11.11)$$

If  $t \in T_k$  with  $e_{kl}(t) = u \in \dot{U}_{ln}$  and  $f_{km}(t) = v \in \dot{V}_{mn}$  then  $g_{ln}(u) = h_{mn}(v) = w \in W_n$  and the fibre of (11.11) at  $t$  is  $\hat{g}_{ln}|_u \oplus -\hat{h}_{mn}|_v : D_l|_u \oplus E_m|_v \rightarrow F_n|_w$ . So Definition 11.15(ii) implies that (11.11) is surjective. Define  $C_k \rightarrow T_k$  to be the kernel of (11.11), as a vector subbundle of  $e_{kl}^*(D_l) \oplus f_{km}^*(E_m)$  with

$$\text{rank } C_k = \text{rank } D_l + \text{rank } E_m - \text{rank } F_n. \quad (11.12)$$

Define vector bundle morphisms  $\hat{e}_{kl} : C_k \rightarrow e_{kl}^*(D_l)$  and  $\hat{f}_{km} : C_k \rightarrow f_{km}^*(D_l)$  to be the compositions of the inclusion  $C_k \hookrightarrow e_{kl}^*(D_l) \oplus f_{km}^*(E_m)$  with the projections  $e_{kl}^*(D_l) \oplus f_{km}^*(E_m) \rightarrow e_{kl}^*(D_l)$  and  $e_{kl}^*(D_l) \oplus f_{km}^*(E_m) \rightarrow f_{km}^*(E_m)$ . As  $C_k$  is the kernel of (11.11), noting the sign of  $-f_{km}^*(\hat{h}_{mn})$  in (11.11), we have

$$e_{kl}^*(\hat{g}_{ln}) \circ \hat{e}_{kl} = f_{km}^*(\hat{h}_{mn}) \circ \hat{f}_{km} : C_k \longrightarrow e_{kl}^*(g_{ln}^*(F_n)) = f_{km}^*(h_{mn}^*(F_n)).$$

The section  $e_{kl}^*(r_l) \oplus f_{km}^*(s_m)$  of  $e_{kl}^*(D_l) \oplus f_{km}^*(E_m)$  over  $T_k$  satisfies

$$\begin{aligned} & (e_{kl}^*(\hat{g}_{ln}) \oplus -f_{km}^*(\hat{h}_{mn}))(e_{kl}^*(r_l) \oplus f_{km}^*(s_m)) \\ &= e_{kl}^*(\hat{g}_{ln}(r_l)) - f_{km}^*(\hat{h}_{mn}(s_m)) = e_{kl}^* \circ g_{ln}^*(t_n) - f_{km}^* \circ h_{mn}^*(t_n) = 0, \end{aligned}$$

as  $\hat{g}_{ln}(r_l|_{U_{ln}}) = g_{ln}^*(t_n)$  and  $\hat{h}_{mn}(s_m|_{V_{mn}}) = h_{mn}^*(t_n)$ . Thus  $e_{kl}^*(r_l) \oplus f_{km}^*(s_m)$  lies in the kernel of (11.11), so it is a section of  $C_k$ . Define  $q_k = e_{kl}^*(r_l) \oplus f_{km}^*(s_m)$  in  $\Gamma^\infty(C_k)$ . Then  $\hat{e}_{kl}(q_k) = e_{kl}^*(r_l)$  and  $\hat{f}_{km}(q_k) = f_{km}^*(s_m)$ .

Then  $(T_k, C_k, q_k)$  is an object in  $\mathbf{Gm\dot{K}N}_D$ . By (11.10) and (11.12) we have

$$\begin{aligned} \text{vdim}(T_k, C_k, q_k) &= \text{vdim}(U_l, D_l, r_l) + \text{vdim}(V_m, E_m, s_m) \\ &\quad - \text{vdim}(W_n, F_n, t_n). \end{aligned} \tag{11.13}$$

Set  $\mathbf{e}_{kl} = (T_k, e_{kl}, \hat{e}_{kl})$  and  $\mathbf{f}_{km} = (T_k, f_{km}, \hat{f}_{km})$ . Then  $\mathbf{e}_{kl} : (T_k, C_k, q_k) \rightarrow (U_l, D_l, r_l)$  and  $\mathbf{f}_{km} : (T_k, C_k, q_k) \rightarrow (V_m, E_m, s_m)$  are 1-morphisms in  $\mathbf{Gm\dot{K}N}_D$ . Since  $g_{ln} \circ e_{kl} = h_{mn} \circ f_{km}$  and  $e_{kl}^*(\hat{g}_{ln}) \circ \hat{e}_{kl} = f_{km}^*(\hat{h}_{mn}) \circ \hat{f}_{km}$  we see that  $\mathbf{g}_{ln} \circ \mathbf{e}_{kl} = \mathbf{h}_{mn} \circ \mathbf{f}_{km}$ . Hence we have a 2-commutative diagram in  $\mathbf{Gm\dot{K}N}_D$ :

$$\begin{array}{ccc} (T_k, C_k, q_k) & \xrightarrow{\quad \mathbf{f}_{km} \quad} & (V_m, E_m, s_m) \\ \downarrow \mathbf{e}_{kl} & \text{id}_{g_{ln} \circ e_{kl}} \quad \uparrow & \mathbf{h}_{mn} \downarrow \\ (U_l, D_l, r_l) & \xrightarrow{\quad \mathbf{g}_{ln} \quad} & (W_n, F_n, t_n). \end{array} \tag{11.14}$$

If  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  are transverse, not just w-transverse, then (11.11) is an isomorphism, not just surjective, so  $C_k$  is the zero vector bundle, as it is the kernel of (11.11). Thus  $(T_k, C_k, q_k) = (T_k, 0, 0)$  lies in the image of the obvious embedding  $\mathbf{Man}_D \hookrightarrow \mathbf{Gm\dot{K}N}_D$ .

The next theorem will be proved in §11.8.

**Theorem 11.17.** *In Definition 11.16, equation (11.14) is 2-Cartesian in both  $\mathbf{Gm\dot{K}N}_D$  and  $\mathbf{Gm\dot{K}N}_E$  in the sense of Definition A.11, so that  $(T_k, C_k, q_k)$  is a fibre product in the 2-categories  $\mathbf{Gm\dot{K}N}_D, \mathbf{Gm\dot{K}N}_E$ , as in (11.9).*

### 11.2.2 (W-)transversality and fibre products in $\mathbf{m\dot{K}ur}_D$

As in §4.5, for the discrete properties  $D, E$  of morphisms in  $\mathbf{Man}$ , we have a notion of when a 1-morphism  $\mathbf{f} : X \rightarrow Y$  in  $\mathbf{m\dot{K}ur}$  is  $D$  or  $E$ , and 2-subcategories  $\mathbf{m\dot{K}ur}_D \subseteq \mathbf{m\dot{K}ur}_E \subseteq \mathbf{m\dot{K}ur}$  with only  $D$  or  $E$  1-morphisms. We will define notions of (w-)transverse 1-morphisms and (w-)submersions in  $\mathbf{m\dot{K}ur}_D$ .

**Definition 11.18.** Let  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  be 1-morphisms in  $\mathbf{mK\ddot{u}r}_D$ . We call  $g, h$  or *w-transverse* (or *transverse*), if whenever  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ , there exist m-Kuranishi neighbourhoods  $(U_l, D_l, r_l, \chi_l)$ ,  $(V_m, E_m, s_m, \psi_m)$ ,  $(W_n, F_n, t_n, \omega_n)$  on  $X, Y, Z$  as in §4.7 with  $x \in \text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n)$ ,  $y \in \text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$  and  $z \in \text{Im } \omega_n$ , and 1-morphisms  $g_{ln} : (U_l, D_l, r_l, \chi_l) \rightarrow (W_n, F_n, t_n, \omega_n)$ ,  $h_{mn} : (V_m, E_m, s_m, \psi_m) \rightarrow (W_n, F_n, t_n, \omega_n)$  over  $(\text{Im } \chi_l, g)$  and  $(\text{Im } \psi_m, h)$ , as in Definition 4.54, such that  $g_{ln}, h_{mn}$  are w-transverse (or transverse, respectively), as in Definition 11.16.

We call  $g$  a *w-submersion* (or a *submersion*), if whenever  $x \in X$  with  $g(x) = z \in Z$ , there exist m-Kuranishi neighbourhoods  $(U_l, D_l, r_l, \chi_l)$ ,  $(W_n, F_n, t_n, \omega_n)$  on  $X, Z$  as in §4.7 with  $x \in \text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n)$ ,  $z \in \text{Im } \omega_n$ , and a 1-morphism  $g_{ln} : (U_l, D_l, r_l, \chi_l) \rightarrow (W_n, F_n, t_n, \omega_n)$  over  $(\text{Im } \chi_l, g)$ , as in Definition 4.54, such that  $g_{ln}$  is a w-submersion (or a submersion, respectively), as in Definition 11.16.

Suppose  $g : X \rightarrow Z$  is a w-submersion, and  $h : Y \rightarrow Z$  is any  $D$  1-morphism in  $\mathbf{mK\ddot{u}r}$ . Let  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ . As  $g$  is a w-submersion we can choose  $g_{ln} : (U_l, D_l, r_l, \chi_l) \rightarrow (W_n, F_n, t_n, \omega_n)$  with  $x \in \text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n)$ ,  $z \in \text{Im } \omega_n$ , and  $g_{ln}$  a w-submersion. Choose any m-Kuranishi neighbourhood  $(V_m, E_m, s_m, \psi_m)$  on  $Y$  with  $y \in \text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$ . Then Theorem 4.56(b) gives a  $D$  1-morphism  $h_{mn} : (V_m, E_m, s_m, \psi_m) \rightarrow (W_n, F_n, t_n, \omega_n)$  over  $(\text{Im } \psi_m, h)$ , and  $g_{ln}, h_{mn}$  are w-transverse as  $g_{ln}$  is a w-submersion. Hence  $g, h$  are w-transverse.

Similarly, suppose  $g : X \rightarrow Z$  is a submersion, and  $h : Y \rightarrow Z$  is a  $D$  1-morphism in  $\mathbf{mK\ddot{u}r}$  such that  $Y$  is a manifold as in Example 4.30, that is,  $Y \simeq F_{\text{Man}}^{\mathbf{mK\ddot{u}r}}(Y')$  for  $Y' \in \mathbf{Man}$ . Then for  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$  we can choose  $g_{ln}, h_{mn}$  as above with  $g_{ln}$  a submersion and  $E_m = 0$ , so that  $g_{ln}, h_{mn}$  are transverse. Hence  $g, h$  are transverse.

The next important theorem will be proved in §11.9:

**Theorem 11.19.** *Let  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  be w-transverse 1-morphisms in  $\mathbf{mK\ddot{u}r}_D$ . Then there exists a fibre product  $W = X_{g,Z,h}Y$  in  $\mathbf{mK\ddot{u}r}_D$ , as in §A.4, with  $\text{vdim } W = \text{vdim } X + \text{vdim } Y - \text{vdim } Z$ , in a 2-Cartesian square:*

$$\begin{array}{ccc} W & \xrightarrow{\quad f \quad} & Y \\ \downarrow e & \eta \uparrow & \downarrow h \\ X & \xrightarrow{\quad g \quad} & Z. \end{array} \quad (11.15)$$

Equation (11.15) is also 2-Cartesian in  $\mathbf{mK\ddot{u}r}_E$ , so  $W$  is also a fibre product  $X_{g,Z,h}Y$  in  $\mathbf{mK\ddot{u}r}_E$ . Furthermore:

(a) If  $g, h$  are transverse then  $W$  is a manifold, as in Example 4.30. In particular, if  $g$  is a submersion and  $Y$  is a manifold, then  $W$  is a manifold.

(b) Suppose  $(U_l, D_l, r_l, \chi_l)$ ,  $(V_m, E_m, s_m, \psi_m)$ ,  $(W_n, F_n, t_n, \omega_n)$  are m-Kuranishi neighbourhoods on  $X, Y, Z$ , as in §4.7, with  $\text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n)$  and  $\text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$ , and  $g_{ln} : (U_l, D_l, r_l, \chi_l) \rightarrow (W_n, F_n, t_n, \omega_n)$ ,  $h_{mn} : (V_m, E_m, s_m, \psi_m) \rightarrow (W_n, F_n, t_n, \omega_n)$  are 1-morphisms of m-Kuranishi neighbourhoods on

$\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  over  $(\text{Im } \chi_l, \mathbf{g})$  and  $(\text{Im } \psi_m, \mathbf{h})$ , as in §4.7, such that  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  are  $w$ -transverse, as in §11.2.1. Then there exist an  $m$ -Kuranishi neighbourhood  $(T_k, C_k, q_k, \varphi_k)$  on  $\mathbf{W}$  with  $\text{Im } \varphi_k = e^{-1}(\text{Im } \chi_l) \cap f^{-1}(\text{Im } \psi_m) \subseteq W$ , and 1-morphisms  $\mathbf{e}_{kl} : (T_k, C_k, q_k, \varphi_k) \rightarrow (U_l, D_l, r_l, \chi_l)$  over  $(\text{Im } \varphi_k, \mathbf{e})$  and  $\mathbf{f}_{km} : (T_k, C_k, q_k, \varphi_k) \rightarrow (V_m, E_m, s_m, \psi_m)$  over  $(\text{Im } \varphi_k, \mathbf{f})$  with  $\mathbf{g}_{ln} \circ \mathbf{e}_{kl} = \mathbf{h}_{mn} \circ \mathbf{f}_{km}$ , such that  $(T_k, C_k, q_k)$  and  $\mathbf{e}_{kl}, \mathbf{f}_{km}$  are constructed from  $(U_l, D_l, r_l), (V_m, E_m, s_m), (W_n, F_n, t_n)$  and  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  exactly as in Definition 11.16.

Also the unique 2-morphism  $\eta_{klmn} : \mathbf{g}_{ln} \circ \mathbf{e}_{kl} \Rightarrow \mathbf{h}_{mn} \circ \mathbf{f}_{km}$  over  $(\text{Im } \varphi_k, g \circ e)$  constructed from  $\eta : \mathbf{g} \circ e \Rightarrow \mathbf{h} \circ \mathbf{f}$  in Theorem 4.56(c) is the identity.

(c) If  $\dot{\mathbf{M}}\mathbf{an}$  satisfies Assumption 11.3 then we can choose the topological space  $W$  in  $\mathbf{W} = (W, \mathcal{H})$  to be  $W = \{(x, y) \in X \times Y : g(x) = h(y)\}$ , with  $e : W \rightarrow X$ ,  $f : W \rightarrow Y$  acting by  $e : (x, y) \mapsto x$  and  $f : (x, y) \mapsto y$ .

(d) If  $\dot{\mathbf{M}}\mathbf{an}$  satisfies Assumption 11.4(a) and (11.15) is a 2-Cartesian square in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathcal{D}}$  with  $\mathbf{g}$  a  $w$ -submersion (or a submersion) then  $\mathbf{f}$  is a  $w$ -submersion (or a submersion, respectively).

(e) If  $\dot{\mathbf{M}}\mathbf{an}$  satisfies Assumption 10.1, with tangent spaces  $T_x X$ , and satisfies Assumption 11.5, then using the notation of §10.2, whenever (11.15) is 2-Cartesian in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathcal{D}}$  with  $\mathbf{g}, \mathbf{h}$   $w$ -transverse and  $w \in \mathbf{W}$  with  $\mathbf{e}(w) = x$  in  $\mathbf{X}$ ,  $\mathbf{f}(w) = y$  in  $\mathbf{Y}$  and  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$ , the following is an exact sequence:

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_w \mathbf{W} & \xrightarrow{T_w \mathbf{e} \oplus T_w \mathbf{f}} & T_x \mathbf{X} \oplus T_y \mathbf{Y} & \xrightarrow{T_x \mathbf{g} \oplus T_y \mathbf{h}} & T_z \mathbf{Z} \\ & & & & & & \delta_w^{\mathbf{g}, \mathbf{h}} \downarrow \\ 0 & \longleftarrow & O_z \mathbf{Z} & \xleftarrow{O_x \mathbf{g} \oplus O_y \mathbf{h}} & O_x \mathbf{X} \oplus O_y \mathbf{Y} & \xleftarrow{O_w \mathbf{e} \oplus O_w \mathbf{f}} & O_w \mathbf{W}. \end{array} \quad (11.16)$$

Here  $\delta_w^{\mathbf{g}, \mathbf{h}} : T_z \mathbf{Z} \rightarrow O_w \mathbf{W}$  is a natural linear map defined as a connecting morphism, as in Definition 10.69.

(f) If  $\dot{\mathbf{M}}\mathbf{an}$  satisfies Assumption 10.19, with quasi-tangent spaces  $Q_x X$  in a category  $\mathcal{Q}$ , and satisfies Assumption 11.6, then whenever (11.15) is 2-Cartesian in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathcal{D}}$  with  $\mathbf{g}, \mathbf{h}$   $w$ -transverse and  $w \in \mathbf{W}$  with  $\mathbf{e}(w) = x$  in  $\mathbf{X}$ ,  $\mathbf{f}(w) = y$  in  $\mathbf{Y}$  and  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$ , the following is Cartesian in  $\mathcal{Q}$ :

$$\begin{array}{ccc} Q_w \mathbf{W} & \xrightarrow{\quad} & Q_y \mathbf{Y} \\ \downarrow Q_w \mathbf{e} & \quad Q_w \mathbf{f} & \quad Q_y \mathbf{h} \downarrow \\ Q_x \mathbf{X} & \xrightarrow{\quad} & Q_z \mathbf{Z}. \end{array} \quad (11.17)$$

(g) If  $\dot{\mathbf{M}}\mathbf{an}^c$  satisfies Assumption 3.22 in §3.4, so that we have a corner functor  $C : \dot{\mathbf{M}}\mathbf{an}^c \rightarrow \check{\mathbf{M}}\mathbf{an}^c$  which extends to  $C : \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  as in §4.6, and Assumption 11.1 holds for  $\dot{\mathbf{M}}\mathbf{an}^c$ , and Assumption 11.7 holds, then whenever (11.15) is 2-Cartesian in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathcal{D}}^c$  with  $\mathbf{g}, \mathbf{h}$   $w$ -transverse (or transverse), then the following is 2-Cartesian in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathcal{D}}^c$  and  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathcal{E}}^c$ , with  $C(\mathbf{g}), C(\mathbf{h})$   $w$ -transverse (or transverse, respectively):

$$\begin{array}{ccc} C(\mathbf{W}) & \xrightarrow{\quad} & C(\mathbf{Y}) \\ \downarrow C(\mathbf{e}) & \quad C(\mathbf{f}) \quad C(\eta) \uparrow & \quad C(\mathbf{h}) \downarrow \\ C(\mathbf{X}) & \xrightarrow{\quad} & C(\mathbf{Z}). \end{array} \quad (11.18)$$

Hence for  $i \geq 0$  we have

$$C_i(\mathbf{W}) \simeq \coprod_{\substack{j,k,l \geq 0: \\ i=j+k-l}} (C_j(\mathbf{X}) \cap C(\mathbf{g})^{-1}(C_l(\mathbf{Z}))) \times_{C(\mathbf{g}), C_l(\mathbf{Z}), C(\mathbf{h})} (C_k(\mathbf{Y}) \cap C(\mathbf{h})^{-1}(C_l(\mathbf{Z}))). \quad (11.19)$$

When  $i = 1$ , this computes the boundary  $\partial \mathbf{W}$ . In particular, if  $\partial \mathbf{Z} = \emptyset$ , so that  $C_l(\mathbf{Z}) = \emptyset$  for all  $l > 0$  by Assumption 3.22(f) with  $l = 1$ , we have

$$\partial \mathbf{W} \simeq (\partial \mathbf{X} \times_{\mathbf{g} \circ i_{\mathbf{X}}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}) \amalg (\mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h} \circ i_{\mathbf{Y}}} \partial \mathbf{Y}). \quad (11.20)$$

Also, if  $\mathbf{g}$  is a  $w$ -submersion (or a submersion), then  $C(\mathbf{g})$  is a  $w$ -submersion (or a submersion, respectively).

(h) If  $\dot{\mathbf{M}}\mathbf{an}$  satisfies Assumption 11.8, and  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  is a  $w$ -submersion in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathbf{D}}$ , and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  is any 1-morphism in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathbf{E}}$  (not necessarily in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathbf{D}}$ ), then a fibre product  $\mathbf{W} = \mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}$  exists in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathbf{E}}$ , with  $\dim \mathbf{W} = \dim \mathbf{X} + \dim \mathbf{Y} - \dim \mathbf{Z}$ , in a 2-Cartesian square (11.15) in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathbf{E}}$ . The analogues of (a)–(d) and (g) hold for these fibre products.

**Example 11.20.** Let  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  be transverse morphisms in  $\dot{\mathbf{M}}\mathbf{an}_{\mathbf{D}}$ , and let  $W = X \times_{g, Z, h} Y$  in  $\dot{\mathbf{M}}\mathbf{an}_{\mathbf{D}}$ , with projections  $e : W \rightarrow X$ ,  $f : W \rightarrow Y$ . Write  $\mathbf{W}, \mathbf{X}, \mathbf{Y}, \mathbf{Z}, \mathbf{e}, \mathbf{f}, \mathbf{g}, \mathbf{h}$  for the images of  $W, X, Y, Z, e, f, g, h$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  under the 2-functor  $F_{\mathbf{Man}}^{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}} : \dot{\mathbf{M}}\mathbf{an} \rightarrow \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  from Example 4.30.

Then we have  $m$ -Kuranishi neighbourhoods  $(W, 0, 0, \text{id}_W)$  on  $\mathbf{W}$ , as in §4.7, and similarly for  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$ . We have a 1-morphism  $(W, e, 0) : (W, 0, 0, \text{id}_W) \rightarrow (X, 0, 0, \text{id}_X)$  over  $(W, \mathbf{e})$ , as in §4.7, and similarly for  $\mathbf{f}, \mathbf{g}, \mathbf{h}$ .

These 1-morphisms  $(X, g, 0) : (X, 0, 0, \text{id}_X) \rightarrow (Z, 0, 0, \text{id}_Z)$  and  $(Y, h, 0) : (Y, 0, 0, \text{id}_Y) \rightarrow (Z, 0, 0, \text{id}_Z)$  are transverse as in Definition 11.15, where (i) holds as  $g, h$  are transverse in  $\dot{\mathbf{M}}\mathbf{an}_{\mathbf{D}}$ , and (ii) is trivial as  $D_l, E_m, F_n$  are zero. As these  $m$ -Kuranishi neighbourhoods cover  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$ , we see that  $\mathbf{g}, \mathbf{h}$  are transverse by Definition 11.18, so a fibre product  $\mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h}} \mathbf{Z}$  exists in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathbf{D}}$  by Theorem 11.19. We claim that this fibre product is  $\mathbf{W} = F_{\mathbf{Man}}^{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}(W)$ .

To see this, note that applying Definition 11.16 to the transverse  $(X, g, 0)$ ,  $(Y, h, 0)$  above yields  $(T_k, C_k, q_k, \varphi_k) = (W, 0, 0, \text{id}_W)$ , so  $(W, 0, 0, \text{id}_W)$  is an  $m$ -Kuranishi neighbourhood on  $\mathbf{X} \times_{\mathbf{Z}} \mathbf{Y}$  by Theorem 11.19(b), which covers  $\mathbf{X} \times_{\mathbf{Z}} \mathbf{Y}$ , and this forces  $\mathbf{W} \simeq \mathbf{X} \times_{\mathbf{Z}} \mathbf{Y}$ . Thus,  $F_{\mathbf{Man}}^{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}$  takes transverse fibre products in  $\dot{\mathbf{M}}\mathbf{an}_{\mathbf{D}}$  and  $\dot{\mathbf{M}}\mathbf{an}_{\mathbf{E}}$  to transverse fibre products in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathbf{D}}$  and  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathbf{E}}$ .

### 11.2.3 Products of $m$ -Kuranishi spaces

Let  $\dot{\mathbf{M}}\mathbf{an}$  be any category satisfying Assumptions 3.1–3.7. Apply Example 11.2 with  $\mathbf{D}, \mathbf{E}$  trivial to get notions of transverse morphisms and submersions in  $\dot{\mathbf{M}}\mathbf{an}$  satisfying Assumption 11.1. As in Example 11.2, for any  $X, Y \in \dot{\mathbf{M}}\mathbf{an}$  the projections  $\pi : X \rightarrow *$  and  $\pi : Y \rightarrow *$  are transverse in  $\dot{\mathbf{M}}\mathbf{an}$ .

From Definitions 11.15 and 11.18 we see that for any  $\mathbf{X}, \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  the projections  $\pi : \mathbf{X} \rightarrow *$ ,  $\pi : \mathbf{Y} \rightarrow *$  are  $w$ -transverse, so a fibre product  $\mathbf{X} \times_* \mathbf{Y}$

exists in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  by Theorem 11.19. Now a *product* in a category or 2-category is by definition a fibre product over the terminal object  $*$ . The fibre product property only determines  $\mathbf{X} \times_* \mathbf{Y}$  up to canonical equivalence in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ . But from Theorem 11.19(b) we see that we can take  $\mathbf{X} \times_* \mathbf{Y}$  and the 1-morphisms  $e : \mathbf{X} \times_* \mathbf{Y} \rightarrow \mathbf{X}$ ,  $f : \mathbf{X} \times_* \mathbf{Y} \rightarrow \mathbf{Y}$  to be the product  $\mathbf{X} \times \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  in Example 4.31 and the projections  $\pi_{\mathbf{X}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{X}$ ,  $\pi_{\mathbf{Y}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{Y}$ , which are uniquely defined.

This proves that the products  $\mathbf{X} \times \mathbf{Y}$  defined in Example 4.31 have the universal property of products in the 2-category  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ , that is, they are fibre products  $\mathbf{X} \times_* \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ . The existence of product m-Kuranishi neighbourhoods on  $\mathbf{X} \times \mathbf{Y}$  in Example 4.53 follows from Theorem 11.19(b) with  $W_n = *$ .

As in Example 4.31, if  $g : \mathbf{W} \rightarrow \mathbf{Y}$ ,  $h : \mathbf{X} \rightarrow \mathbf{Z}$  are 1-morphisms in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  then we have a product 1-morphism  $g \times h : \mathbf{W} \times \mathbf{X} \rightarrow \mathbf{Y} \times \mathbf{Z}$ . Given 1-morphisms of m-Kuranishi neighbourhoods on  $\mathbf{W}, \mathbf{X}, \mathbf{Y}, \mathbf{Z}$  over  $g, h$ , we can write down a product 1-morphism of m-Kuranishi neighbourhoods on  $\mathbf{W} \times \mathbf{X}, \mathbf{Y} \times \mathbf{Z}$  over  $g \times h$ . Using these and Theorem 11.19(d) it is easy to prove:

**Proposition 11.21.** *Let  $\dot{\mathbf{M}}\mathbf{an}$  satisfy Assumptions 11.1 and 11.4(b),(c). Then products of w-submersions (or submersions) in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  are w-submersions (or submersions, respectively). That is, if  $g : \mathbf{W} \rightarrow \mathbf{Y}$  and  $h : \mathbf{X} \rightarrow \mathbf{Z}$  are (w-)submersions in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ , then  $g \times h : \mathbf{W} \times \mathbf{X} \rightarrow \mathbf{Y} \times \mathbf{Z}$  is a (w-)submersion. Projections  $\pi_{\mathbf{X}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{X}$ ,  $\pi_{\mathbf{Y}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  are w-submersions.*

#### 11.2.4 Characterizing (w-)transversality and (w-)submersions

Assumption 11.9 in §11.1.3 gave necessary and sufficient conditions for morphisms  $g, h$  in  $\dot{\mathbf{M}}\mathbf{an}^c$  to be transverse, and for morphisms  $g$  to be submersions. The next theorem, proved in §11.10, extends these to conditions for 1-morphisms  $g, h$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$  to be (w-)transverse, and for 1-morphisms  $g$  to be (w-)submersions.

**Theorem 11.22.** *Let  $\dot{\mathbf{M}}\mathbf{an}^c$  satisfy Assumption 3.22, so that we have a corner functor  $C : \dot{\mathbf{M}}\mathbf{an}^c \rightarrow \dot{\mathbf{M}}\mathbf{an}^c$ , and suppose Assumption 11.9 holds for  $\dot{\mathbf{M}}\mathbf{an}^c$ . This requires that Assumption 10.1 holds, giving a notion of tangent spaces  $T_x X$  for  $X$  in  $\dot{\mathbf{M}}\mathbf{an}^c$ , and that Assumption 10.19 holds, giving a notion of quasi-tangent spaces  $Q_x X$  in a category  $\mathcal{Q}$  for  $X$  in  $\dot{\mathbf{M}}\mathbf{an}^c$ , and that Assumption 11.1 holds, giving discrete properties  $\mathbf{D}, \mathbf{E}$  of morphisms in  $\dot{\mathbf{M}}\mathbf{an}^c$  and notions of transverse morphisms  $g, h$  and submersions  $g$  in  $\dot{\mathbf{M}}\mathbf{an}_{\mathbf{D}}^c$ .*

As in §4.6, §10.2 and §10.3, we define a 2-category  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$ , with a corner 2-functor  $C : \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c \rightarrow \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$ , and notions of tangent, obstruction and quasi-tangent spaces  $T_x \mathbf{X}, O_x \mathbf{X}, Q_x \mathbf{X}$  for  $\mathbf{X}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c$ .

Now Assumption 11.9(a),(d) involve a ‘condition  $\mathbf{T}$ ’ on morphisms  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  in  $\dot{\mathbf{M}}\mathbf{an}_{\mathbf{D}}^c$  and points  $x \in X$ ,  $y \in Y$  with  $g(x) = h(y) = z \in Z$ , and a ‘condition  $\mathbf{S}$ ’ on morphisms  $g : X \rightarrow Z$  in  $\dot{\mathbf{M}}\mathbf{an}_{\mathbf{D}}^c$  and points  $x \in X$  with  $g(x) = z \in Z$ . These conditions depend on the corner morphisms  $C(g), C(h)$  and on quasi-tangent maps  $Q_x g, Q_y h$ . Observe that condition  $\mathbf{T}$  also makes sense for 1-morphisms  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathbf{D}}^c$  and  $x \in X$ ,  $y \in Y$

with  $g(x) = h(y) = z$  in  $\mathbf{Z}$ , and condition  $\mathbf{S}$  makes sense for 1-morphisms  $g : \mathbf{X} \rightarrow \mathbf{Z}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathbf{D}}^{\mathbf{c}}$  and  $x \in \mathbf{X}$  with  $g(x) = z \in \mathbf{Z}$ . Then:

- (a) Let  $g : \mathbf{X} \rightarrow \mathbf{Z}$ ,  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathbf{D}}^{\mathbf{c}}$ . Then  $g, h$  are  $w$ -transverse if and only if for all  $x \in \mathbf{X}$  and  $y \in \mathbf{Y}$  with  $g(x) = h(y) = z$  in  $\mathbf{Z}$ , condition  $\mathbf{T}$  holds for  $g, h, x, y, z$ , and the following is surjective:

$$O_x g \oplus O_y h : O_x \mathbf{X} \oplus O_y \mathbf{Y} \longrightarrow O_z \mathbf{Z}. \quad (11.21)$$

If Assumption 10.9 also holds for tangent spaces  $T_x X$  in  $\mathbf{M}\mathbf{an}^{\mathbf{c}}$  then  $g, h$  are transverse if and only if for all  $x \in \mathbf{X}$  and  $y \in \mathbf{Y}$  with  $g(x) = h(y) = z$  in  $\mathbf{Z}$ , condition  $\mathbf{T}$  holds for  $g, h, x, y, z$ , equation (11.21) is an isomorphism, and the following linear map is surjective:

$$T_x g \oplus T_y h : T_x \mathbf{X} \oplus T_y \mathbf{Y} \longrightarrow T_z \mathbf{Z}. \quad (11.22)$$

- (b) Let  $g : \mathbf{X} \rightarrow \mathbf{Z}$  be a 1-morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathbf{D}}^{\mathbf{c}}$ . Then  $g$  is a  $w$ -submersion if and only if for all  $x \in \mathbf{X}$  with  $g(x) = z$  in  $\mathbf{Z}$ , condition  $\mathbf{S}$  holds for  $g, x, z$ , and the following linear map is surjective:

$$O_x g : O_x \mathbf{X} \longrightarrow O_z \mathbf{Z}. \quad (11.23)$$

If Assumption 10.9 also holds then  $g$  is a submersion if and only if for all  $x \in \mathbf{X}$  with  $g(x) = z$  in  $\mathbf{Z}$ , condition  $\mathbf{S}$  holds for  $g, x, z$ , equation (11.23) is an isomorphism, and the following is surjective:

$$T_x g : T_x \mathbf{X} \longrightarrow T_z \mathbf{Z}.$$

Combining Assumption 11.9(g) and Theorem 11.22(b) gives:

**Corollary 11.23.** *Let  $\mathbf{M}\mathbf{an}^{\mathbf{c}}$  satisfy Assumptions 3.22 and 11.9. Then compositions of  $w$ -submersions in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^{\mathbf{c}}$  are  $w$ -submersions. If  $\mathbf{M}\mathbf{an}^{\mathbf{c}}$  also satisfies Assumption 10.9 then compositions of submersions in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^{\mathbf{c}}$  are submersions.*

Combining Assumption 11.9(h) and Theorems 11.19(a) and 11.22(b) yields:

**Corollary 11.24.** *Let  $\mathbf{M}\mathbf{an}^{\mathbf{c}}$  satisfy Assumptions 3.22 and 11.9, so that Assumption 11.1 holds with discrete properties  $\mathbf{D}, \mathbf{E}$ . Suppose that  $\mathbf{Z}$  is a classical manifold in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^{\mathbf{c}}$ , as in Example 4.30. Then any 1-morphism  $g : \mathbf{X} \rightarrow \mathbf{Z}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^{\mathbf{c}}$  is  $\mathbf{D}$  and a  $w$ -submersion. Hence any 1-morphisms  $g : \mathbf{X} \rightarrow \mathbf{Z}$ ,  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^{\mathbf{c}}$  are  $w$ -transverse, and a fibre product  $\mathbf{W} = \mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  exists in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathbf{D}}^{\mathbf{c}}$ , and is also a fibre product in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathbf{E}}^{\mathbf{c}}$ .*



### 11.2.5 Orientations on w-transverse fibre products in $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$

In this section we suppose throughout that  $\mathbf{Man}$  satisfies Assumptions 3.1–3.7, 10.1, 10.13, 11.1, and 11.5. Thus, objects  $X$  in  $\mathbf{Man}$  have tangent spaces  $T_x X$  which are fibres of a tangent bundle  $TX \rightarrow X$  of rank  $\dim X$ , and these are used to define canonical bundles  $K_X$  and orientations on m-Kuranishi spaces  $\mathbf{X}$  as in §10.7, and we can form w-transverse fibre products  $\mathbf{W} = \mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathcal{D}}$  as in Theorem 11.19.

Given orientations on  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$ , the next theorem defines an orientation on  $\mathbf{W}$ . It will be proved in §11.11. It is a generalization of Theorem 10.80 in §10.7.4 on orientations of products  $\mathbf{X} \times \mathbf{Y}$ , and reduces to this when  $\mathbf{Z} = *$ , in which case  $\Upsilon_{\mathbf{X}, \mathbf{Y}}$  in Theorem 10.80 coincides with  $\Upsilon_{\mathbf{X}, \mathbf{Y}, *}$  below.

**Theorem 11.25.** *Suppose  $g : \mathbf{X} \rightarrow \mathbf{Z}$ ,  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  are w-transverse 1-morphisms in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathcal{D}}$ , so that a fibre product  $\mathbf{W} = \mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  exists in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\mathcal{D}}$  by Theorem 11.19, in a 2-Cartesian square (11.15). Sections 10.7.1–10.7.2 define the canonical line bundles  $K_{\mathbf{W}}, K_{\mathbf{X}}, K_{\mathbf{Y}}, K_{\mathbf{Z}}$  of  $\mathbf{W}, \mathbf{X}, \mathbf{Y}, \mathbf{Z}$ , using tangent spaces and tangent bundles in  $\mathbf{Man}$  from Assumptions 10.1 and 10.13, and define orientations on  $\mathbf{W}, \dots, \mathbf{Z}$  to be orientations on the fibres of  $K_{\mathbf{W}}, \dots, K_{\mathbf{Z}}$ .*

*Then there is a unique isomorphism of topological line bundles on  $\mathbf{W}$ :*

$$\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}} : K_{\mathbf{W}} \longrightarrow e^*(K_{\mathbf{X}}) \otimes f^*(K_{\mathbf{Y}}) \otimes (g \circ e)^*(K_{\mathbf{Z}})^* \quad (11.24)$$

*with the following property. Let  $w \in \mathbf{W}$  with  $e(w) = x$  in  $\mathbf{X}$ ,  $f(w) = y$  in  $\mathbf{Y}$  and  $g(x) = h(y) = z$  in  $\mathbf{Z}$ . Then we can consider  $\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}|_w$  as a nonzero element*

$$\begin{aligned} \Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}|_w &\in (K_{\mathbf{W}}|_w)^* \otimes K_{\mathbf{X}}|_x \otimes K_{\mathbf{Y}}|_y \otimes (K_{\mathbf{Z}}|_z)^* \\ &\cong (\det T_w^* \mathbf{W} \otimes \det O_w \mathbf{W})^{-1} \otimes \det T_x^* \mathbf{X} \otimes \det O_x \mathbf{X} \\ &\quad \otimes \det T_y^* \mathbf{Y} \otimes \det O_y \mathbf{Y} \otimes (\det T_z^* \mathbf{Z} \otimes \det O_z \mathbf{Z})^{-1}. \end{aligned}$$

*By Theorem 11.19(e) we have an exact sequence*

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_w \mathbf{W} & \xrightarrow{T_w e \oplus T_w f} & T_x \mathbf{X} \oplus T_y \mathbf{Y} & \xrightarrow{T_x g \oplus T_y h} & T_z \mathbf{Z} \\ & & & & & & \delta_w^{g, h} \downarrow \\ 0 & \longleftarrow & O_z \mathbf{Z} & \xleftarrow{O_x g \oplus O_y h} & O_x \mathbf{X} \oplus O_y \mathbf{Y} & \xleftarrow{O_w e \oplus O_w f} & O_w \mathbf{W}. \end{array} \quad (11.25)$$

*Consider (11.25) as an exact complex  $A^\bullet$  with  $O_w \mathbf{W}$  in degree 0, so that (10.69) defines a nonzero element*

$$\begin{aligned} \Psi_{A^\bullet} &\in \det T_w^* \mathbf{W} \otimes (\det(T_x^* \mathbf{X} \oplus T_y^* \mathbf{Y}))^{-1} \otimes \det T_z^* \mathbf{Z} \\ &\quad \otimes \det O_w \mathbf{W} \otimes (\det(O_x \mathbf{X} \oplus O_y \mathbf{Y}))^{-1} \otimes \det O_z \mathbf{Z}. \end{aligned}$$

*Then defining  $I_{T_x^* \mathbf{X}, T_y^* \mathbf{Y}}, I_{O_x \mathbf{X}, O_y \mathbf{Y}}$  as in (10.84), we have*

$$\begin{aligned} &(I_{T_x^* \mathbf{X}, T_y^* \mathbf{Y}} \otimes I_{O_x \mathbf{X}, O_y \mathbf{Y}})(\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}|_w) \\ &= (-1)^{\dim O_w \mathbf{W} \dim T_z \mathbf{Z} + \dim O_x \mathbf{X} \dim T_y \mathbf{Y}} \cdot \Psi_{A^\bullet}^{-1}. \end{aligned} \quad (11.26)$$

Hence if  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are oriented there is a unique orientation on  $\mathbf{W}$ , called the **fibre product orientation**, such that (11.24) is orientation-preserving.

The morphism  $\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}$  in (11.24), and hence the orientation on  $\mathbf{W}$  above, depend on our choice of *orientation conventions*, as in Convention 2.39, including various sign choices in §10.6–§10.7 and in (11.26). Different orientation conventions would change  $\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}$  and the orientation on  $\mathbf{W}$  by a sign depending on  $\text{vdim } \mathbf{X}, \text{vdim } \mathbf{Y}, \text{vdim } \mathbf{Z}$ . If  $\mathbf{W}, \mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are manifolds then the orientation on  $\mathbf{W}$  agrees with that in Convention 2.39(b).

Fibre products have natural commutativity and associativity properties, up to canonical equivalence in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ . For instance, for  $w$ -transverse  $g : \mathbf{X} \rightarrow \mathbf{Z}$  and  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  there is a natural equivalence  $\mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y} \simeq \mathbf{Y} \times_{h, \mathbf{Z}, g} \mathbf{X}$ . When we lift these to (multiple) fibre products of oriented  $m$ -Kuranishi spaces, the orientations on each side differ by some sign depending on the virtual dimensions of the factors. The next proposition, the  $m$ -Kuranishi analogue of Proposition 2.40, is a generalization of Proposition 10.81, and may be proved using the same method. Parts (b),(c) are the analogue of results by Fukaya et al. [15, Lem. 8.2.3(2),(3)] for FOOO Kuranishi spaces.

**Proposition 11.26.** *Suppose  $\mathbf{V}, \dots, \mathbf{Z}$  are oriented  $m$ -Kuranishi spaces, and  $e, \dots, h$  are 1-morphisms, and all fibre products below are  $w$ -transverse. Then the following canonical equivalences hold, in oriented  $m$ -Kuranishi spaces:*

(a) For  $g : \mathbf{X} \rightarrow \mathbf{Z}$  and  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  we have

$$\mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y} \simeq (-1)^{(\text{vdim } \mathbf{X} - \text{vdim } \mathbf{Z})(\text{vdim } \mathbf{Y} - \text{vdim } \mathbf{Z})} \mathbf{Y} \times_{h, \mathbf{Z}, g} \mathbf{X}.$$

(b) For  $e : \mathbf{V} \rightarrow \mathbf{Y}$ ,  $f : \mathbf{W} \rightarrow \mathbf{Y}$ ,  $g : \mathbf{W} \rightarrow \mathbf{Z}$ , and  $h : \mathbf{X} \rightarrow \mathbf{Z}$  we have

$$\mathbf{V} \times_{e, \mathbf{Y}, f \circ \pi_{\mathbf{W}}} (\mathbf{W} \times_{g, \mathbf{Z}, h} \mathbf{X}) \simeq (\mathbf{V} \times_{e, \mathbf{Y}, f} \mathbf{W}) \times_{g \circ \pi_{\mathbf{W}}, \mathbf{Z}, h} \mathbf{X}.$$

(c) For  $e : \mathbf{V} \rightarrow \mathbf{Y}$ ,  $f : \mathbf{V} \rightarrow \mathbf{Z}$ ,  $g : \mathbf{W} \rightarrow \mathbf{Y}$ , and  $h : \mathbf{X} \rightarrow \mathbf{Z}$  we have

$$\begin{aligned} \mathbf{V} \times_{(e, f), \mathbf{Y} \times \mathbf{Z}, g \times h} (\mathbf{W} \times \mathbf{X}) &\simeq \\ (-1)^{\text{vdim } \mathbf{Z}(\text{vdim } \mathbf{Y} + \text{vdim } \mathbf{W})} (\mathbf{V} \times_{e, \mathbf{Y}, g} \mathbf{W}) &\times_{f \circ \pi_{\mathbf{V}}, \mathbf{Z}, h} \mathbf{X}. \end{aligned}$$

By the same method we can also prove the following, the analogue of Fukaya et al. [15, Lem. 8.2.3(1)] for FOOO Kuranishi spaces:

**Proposition 11.27.** *Suppose  $\check{\mathbf{M}}\mathbf{an}^c$  satisfies Assumptions 3.22, 10.1, 10.13, 10.16, 11.1, and 11.5. Let  $g : \mathbf{X} \rightarrow \mathbf{Z}$  and  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  be  $w$ -transverse 1-morphisms in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  with  $\partial \mathbf{Z} = \emptyset$ , so that a fibre product  $\mathbf{W} = \mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  exists in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_D^c$  by Theorem 11.19. Suppose  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are oriented, so that  $\mathbf{W}$  is oriented by Theorem 11.25, and  $\partial \mathbf{W}, \partial \mathbf{X}, \partial \mathbf{Y}, \partial \mathbf{Z}$  are oriented by Definition 10.79. Then as in (11.20) we have a canonical equivalence of oriented  $m$ -Kuranishi spaces:*

$$\partial \mathbf{W} \simeq (\partial \mathbf{X} \times_{g \circ i_{\mathbf{X}}, \mathbf{Z}, h} \mathbf{Y}) \amalg (-1)^{\text{vdim } \mathbf{X} + \text{vdim } \mathbf{Z}} (\mathbf{X} \times_{g, \mathbf{Z}, h \circ i_{\mathbf{Y}}} \partial \mathbf{Y}).$$

### 11.3 Fibre products in $\mathbf{mKur}$ , $\mathbf{mKur}_{\text{st}}^{\text{c}}$ , $\mathbf{mKur}^{\text{gc}}$ , $\mathbf{mKur}^{\text{c}}$

We now apply the results of §11.2 when  $\mathbf{Man}$  is  $\mathbf{Man}$ ,  $\mathbf{Man}_{\text{st}}^{\text{c}}$ ,  $\mathbf{Man}^{\text{gc}}$  and  $\mathbf{Man}^{\text{c}}$ , using the material of §2.5 on transversality and submersions in these categories, and Examples 11.10–11.13 in §11.1.4.

#### 11.3.1 Fibre products in $\mathbf{mKur}$

Take  $\mathbf{Man}$  to be the category of classical manifolds  $\mathbf{Man}$ , with corresponding 2-category of m-Kuranishi spaces  $\mathbf{mKur}$  as in Definition 4.29. We will use tangent spaces  $T_x \mathbf{X}$  for  $\mathbf{X}$  in  $\mathbf{mKur}$  defined using ordinary tangent spaces  $T_v V$  in  $\mathbf{Man}$ , as in Example 10.25(i).

Definition 2.21 in §2.5.1 defines transverse morphisms and submersions in  $\mathbf{Man}$ , as usual in differential geometry. As in Example 11.10, these satisfy Assumption 11.1 with  $D, E$  trivial, and Assumptions 11.3–11.5 and 11.9 also hold. So Definition 11.18 defines (w-)transverse 1-morphisms  $g : \mathbf{X} \rightarrow \mathbf{Z}$ ,  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  and (w-)submersions  $g : \mathbf{X} \rightarrow \mathbf{Z}$  in  $\mathbf{mKur}$ , in terms of the existence of covers of  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  by m-Kuranishi neighbourhoods on which we can represent  $g, h$  in a special form. The next theorem summarizes Theorems 11.19, 11.22 and 11.25, Proposition 11.21, and Corollaries 11.23 and 11.24 in this case.

**Theorem 11.28. (a)** *Let  $g : \mathbf{X} \rightarrow \mathbf{Z}$  and  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{mKur}$ . Then  $g, h$  are w-transverse if and only if for all  $x \in \mathbf{X}$  and  $y \in \mathbf{Y}$  with  $g(x) = h(y) = z$  in  $\mathbf{Z}$ , the following is surjective:*

$$O_x g \oplus O_y h : O_x \mathbf{X} \oplus O_y \mathbf{Y} \longrightarrow O_z \mathbf{Z}. \quad (11.27)$$

*This is automatic if  $\mathbf{Z}$  is a manifold. Also  $g, h$  are transverse if and only if for all  $x, y, z$ , equation (11.27) is an isomorphism, and the following is surjective:*

$$T_x g \oplus T_y h : T_x \mathbf{X} \oplus T_y \mathbf{Y} \longrightarrow T_z \mathbf{Z}.$$

**(b)** *If  $g : \mathbf{X} \rightarrow \mathbf{Z}$  and  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  are w-transverse in  $\mathbf{mKur}$  then a fibre product  $\mathbf{W} = \mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  exists in  $\mathbf{mKur}$ , in a 2-Cartesian square:*

$$\begin{array}{ccc} \mathbf{W} & \xrightarrow{\quad f \quad} & \mathbf{Y} \\ \downarrow e & \eta \uparrow & \downarrow h \\ \mathbf{X} & \xrightarrow{\quad g \quad} & \mathbf{Z}. \end{array} \quad (11.28)$$

*It has  $\text{vdim } \mathbf{W} = \text{vdim } \mathbf{X} + \text{vdim } \mathbf{Y} - \text{vdim } \mathbf{Z}$ , and topological space  $W = \{(x, y) \in X \times Y : g(x) = h(y)\}$ . If  $w \in \mathbf{W}$  with  $e(w) = x$  in  $\mathbf{X}$ ,  $f(w) = y$  in  $\mathbf{Y}$  and  $g(x) = h(y) = z$  in  $\mathbf{Z}$ , the following is an exact sequence:*

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_w \mathbf{W} & \xrightarrow{T_w e \oplus T_w f} & T_x \mathbf{X} \oplus T_y \mathbf{Y} & \xrightarrow{T_x g \oplus T_y h} & T_z \mathbf{Z} \\ & & & & & & \delta_w^{g, h} \downarrow \\ 0 & \longleftarrow & O_z \mathbf{Z} & \xleftarrow{O_x g \oplus O_y h} & O_x \mathbf{X} \oplus O_y \mathbf{Y} & \xleftarrow{O_w e \oplus O_w f} & O_w \mathbf{W}. \end{array} \quad (11.29)$$

If  $g, h$  are transverse then  $W$  is a manifold.

(c) In part (b), using the theory of canonical bundles and orientations from §10.7, there is a natural isomorphism of topological line bundles on  $W$ :

$$\Upsilon_{X,Y,Z} : K_W \longrightarrow e^*(K_X) \otimes f^*(K_Y) \otimes (g \circ e)^*(K_Z)^*. \quad (11.30)$$

Hence if  $X, Y, Z$  are oriented there is a unique orientation on  $W$ , called the **fibre product orientation**, such that (11.30) is orientation-preserving. Proposition 11.26 holds for these fibre product orientations.

(d) Let  $g : X \rightarrow Z$  be a 1-morphism in  $\mathbf{mKur}$ . Then  $g$  is a  $w$ -submersion if and only if  $O_x g : O_x X \rightarrow O_x Z$  is surjective for all  $x \in X$  with  $g(x) = z$  in  $Z$ . Also  $g$  is a submersion if and only if  $O_x g : O_x X \rightarrow O_x Z$  is an isomorphism and  $T_x g : T_x X \rightarrow T_x Z$  is surjective for all  $x, z$ .

(e) If  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are 1-morphisms in  $\mathbf{mKur}$  with  $g$  a  $w$ -submersion then  $g, h$  are  $w$ -transverse. If  $g$  is a submersion and  $Y$  is a manifold then  $g, h$  are transverse.

(f) If (11.28) is 2-Cartesian in  $\mathbf{mKur}$  with  $g$  a  $w$ -submersion (or a submersion) then  $f$  is a  $w$ -submersion (or a submersion).

(g) Compositions and products of ( $w$ -)submersions in  $\mathbf{mKur}$  are ( $w$ -)submersions. Projections  $\pi_X : X \times Y \rightarrow X$  in  $\mathbf{mKur}$  are  $w$ -submersions.

**Example 11.29.** Suppose  $W$  is an  $m$ -Kuranishi space covered by a single  $m$ -Kuranishi neighbourhood  $(V, E, s, \psi)$ . Then we can write  $W$  as a  $w$ -transverse fibre product  $W \simeq V \times_{s, E, 0} V$  of manifolds in  $\mathbf{mKur}$ , where  $s, 0 : V \rightarrow E$  are the images of the sections  $s, 0 : V \rightarrow E$  under  $F_{\mathbf{Man}}^{\mathbf{mKur}} : \mathbf{Man} \hookrightarrow \mathbf{mKur}$ .

**Example 11.30.** Let  $W \subseteq \mathbb{R}^n$  be any closed subset. By a lemma of Whitney's, we can write  $W$  as the zero set of a smooth function  $g : \mathbb{R}^n \rightarrow \mathbb{R}$ . Let  $g : \mathbb{R}^n \rightarrow \mathbb{R}$  and  $0 : * \rightarrow \mathbb{R}$  be the images of  $g : \mathbb{R}^n \rightarrow \mathbb{R}$  and  $0 : * \rightarrow \mathbb{R}$  under  $F_{\mathbf{Man}}^{\mathbf{mKur}} : \mathbf{Man} \hookrightarrow \mathbf{mKur}$ . Then  $g, 0$  are  $w$ -transverse, so  $W = \mathbb{R}^n \times_{g, \mathbb{R}, 0} *$  is an  $m$ -Kuranishi space in  $\mathbf{mKur}$ , with  $\text{vdim } W = n - 1$  and topological space  $W$ , by Theorem 11.28. This means that the topological spaces of  $m$ -Kuranishi spaces can be quite wild, fractals for example.

**Example 11.31.** Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be morphisms in  $\mathbf{Man}$ , and  $g : X \rightarrow Z, h : Y \rightarrow Z$  be their images under  $F_{\mathbf{Man}}^{\mathbf{mKur}}$ . Then  $g, h$  are  $w$ -transverse, so a fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{mKur}$  by Theorem 11.28. In Example 11.20 we showed that if  $g, h$  are transverse in  $\mathbf{Man}$ , so that a fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{Man}$ , then  $W \simeq F_{\mathbf{Man}}^{\mathbf{mKur}}(W)$ .

If  $g, h$  are not transverse then the morphism  $T_x g \oplus -T_y h : T_x X \oplus T_y Y \rightarrow T_x Z$  in (11.29) is not surjective for some  $w \in W$ , and then  $O_w W \neq 0$  by (11.29), so  $W$  is not a manifold. Hence, if a non-transverse fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{Man}$ , as in Example 2.23(ii)–(iv), then  $W \not\simeq F_{\mathbf{Man}}^{\mathbf{mKur}}(W)$ .

### 11.3.2 Fibre products in $\mathbf{mKur}_{\text{st}}^c$ and $\mathbf{mKur}^c$

In §2.5.2, working in the subcategory  $\mathbf{Man}_{\text{st}}^c \subset \mathbf{Man}^c$  from §2.1, we defined *s-transverse* and *t-transverse morphisms* and *s-submersions*. Example 11.11 explained how to fit these into the framework of Assumptions 11.1 and 11.3–11.9. The next theorem summarizes Theorems 11.19, 11.22 and 11.25, Proposition 11.21, and Corollaries 11.23 and 11.24 applied to Example 11.11. Equation (11.35) being exact is equivalent to (11.17) for the  $\tilde{N}_x \mathbf{X}$  being Cartesian in real vector spaces.

Here  $\mathbf{mKur}_{\text{st}}^c \subset \mathbf{mKur}^c$  are the 2-categories of m-Kuranishi spaces corresponding to  $\mathbf{Man}_{\text{st}}^c \subset \mathbf{Man}^c$  as in Definition 4.29, the corner 2-functors  $C, C' : \mathbf{mKur}_{\text{st}}^c \rightarrow \mathbf{mKur}_{\text{st}}^c$  and  $C, C' : \mathbf{mKur}^c \rightarrow \mathbf{mKur}^c$  are as in Example 4.45, (stratum) tangent spaces  $T_x \mathbf{X}, \tilde{T}_x \mathbf{X}$  are as in Example 10.25(i),(iii), and stratum normal spaces  $\tilde{N}_x \mathbf{X}$  are as in Example 10.32(a).

We use the notation *ws-transverse*, *wt-transverse*, and *ws-submersions* for the notions of w-transverse and w-submersion in  $\mathbf{mKur}_{\text{st}}^c$  corresponding to s- and t-transverse morphisms and s-submersions, and *s-transverse*, *t-transverse*, and *s-submersions* for the corresponding notions of transverse and submersion.

**Theorem 11.32.** (a) *Let  $g : \mathbf{X} \rightarrow \mathbf{Z}$  and  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{mKur}_{\text{st}}^c$ . Then  $g, h$  are ws-transverse if and only if for all  $x \in \mathbf{X}$  and  $y \in \mathbf{Y}$  with  $g(x) = h(y) = z$  in  $\mathbf{Z}$ , the following linear maps are surjective:*

$$\tilde{O}_x g \oplus \tilde{O}_y h : \tilde{O}_x \mathbf{X} \oplus \tilde{O}_y \mathbf{Y} \longrightarrow \tilde{O}_z \mathbf{Z}, \quad (11.31)$$

$$\tilde{N}_x g \oplus \tilde{N}_y h : \tilde{N}_x \mathbf{X} \oplus \tilde{N}_y \mathbf{Y} \longrightarrow \tilde{N}_z \mathbf{Z}. \quad (11.32)$$

*This is automatic if  $\mathbf{Z}$  is a classical manifold. Also  $g, h$  are s-transverse if and only if for all  $x, y, z$ , equation (11.31) is an isomorphism, and (11.32) and the following are surjective:*

$$\tilde{T}_x g \oplus \tilde{T}_y h : \tilde{T}_x \mathbf{X} \oplus \tilde{T}_y \mathbf{Y} \longrightarrow \tilde{T}_z \mathbf{Z}. \quad (11.33)$$

*Furthermore,  $g, h$  are wt-transverse (or t-transverse) if and only if they are ws-transverse (or s-transverse), and for all  $x, y, z$  as above, whenever  $\mathbf{x} \in C_j(\mathbf{X})$  and  $\mathbf{y} \in C_k(\mathbf{Y})$  with  $\Pi_j(\mathbf{x}) = x$ ,  $\Pi_k(\mathbf{y}) = y$ , and  $C(\mathbf{g})\mathbf{x} = C(\mathbf{h})\mathbf{y} = z$  in  $C_l(\mathbf{Z})$ , we have  $j + k \geq l$ , and there is exactly one triple  $(\mathbf{x}, \mathbf{y}, z)$  with  $j + k = l$ .*

(b) *If  $g : \mathbf{X} \rightarrow \mathbf{Z}$  and  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  are ws-transverse in  $\mathbf{mKur}_{\text{st}}^c$  then a fibre product  $\mathbf{W} = \mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  exists in  $\mathbf{mKur}_{\text{st}}^c$ , in a 2-Cartesian square:*

$$\begin{array}{ccc} \mathbf{W} & \xrightarrow{\quad f \quad} & \mathbf{Y} \\ \downarrow e & \eta \uparrow & \downarrow h \\ \mathbf{X} & \xrightarrow{\quad g \quad} & \mathbf{Z} \end{array} \quad (11.34)$$

*It has  $\text{vdim } \mathbf{W} = \text{vdim } \mathbf{X} + \text{vdim } \mathbf{Y} - \text{vdim } \mathbf{Z}$ , and topological space  $W = \{(x, y) \in X \times Y : g(x) = h(y)\}$ . Equation (11.34) is also 2-Cartesian in  $\mathbf{mKur}^c$ .*

If  $w \in \mathbf{W}$  with  $\mathbf{e}(w) = x$  in  $\mathbf{X}$ ,  $\mathbf{f}(w) = y$  in  $\mathbf{Y}$  and  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$ , the following sequences are exact:

$$\begin{array}{ccccccc}
0 & \longrightarrow & T_w \mathbf{W} & \xrightarrow{T_w \mathbf{e} \oplus T_w \mathbf{f}} & T_x \mathbf{X} \oplus T_y \mathbf{Y} & \xrightarrow{T_x \mathbf{g} \oplus T_y \mathbf{h}} & T_z \mathbf{Z} \\
& & & & & & \delta_w^{g,h} \downarrow \\
0 & \longleftarrow & O_z \mathbf{Z} & \xleftarrow{O_x \mathbf{g} \oplus O_y \mathbf{h}} & O_x \mathbf{X} \oplus O_y \mathbf{Y} & \xleftarrow{O_w \mathbf{e} \oplus O_w \mathbf{f}} & O_w \mathbf{W}, \\
& & & & & & \delta_w^{g,h} \downarrow \\
0 & \longrightarrow & \tilde{T}_w \mathbf{W} & \xrightarrow{\tilde{T}_w \mathbf{e} \oplus \tilde{T}_w \mathbf{f}} & \tilde{T}_x \mathbf{X} \oplus \tilde{T}_y \mathbf{Y} & \xrightarrow{\tilde{T}_x \mathbf{g} \oplus \tilde{T}_y \mathbf{h}} & \tilde{T}_z \mathbf{Z} \\
& & & & & & \delta_w^{g,h} \downarrow \\
0 & \longleftarrow & \tilde{O}_z \mathbf{Z} & \xleftarrow{\tilde{O}_x \mathbf{g} \oplus \tilde{O}_y \mathbf{h}} & \tilde{O}_x \mathbf{X} \oplus \tilde{O}_y \mathbf{Y} & \xleftarrow{\tilde{O}_w \mathbf{e} \oplus \tilde{O}_w \mathbf{f}} & \tilde{O}_w \mathbf{W}, \\
& & & & & & \\
0 & \longrightarrow & \tilde{N}_w \mathbf{W} & \xrightarrow{\tilde{N}_w \mathbf{e} \oplus \tilde{N}_w \mathbf{f}} & \tilde{N}_x \mathbf{X} \oplus \tilde{N}_y \mathbf{Y} & \xrightarrow{\tilde{N}_x \mathbf{g} \oplus \tilde{N}_y \mathbf{h}} & \tilde{N}_z \mathbf{Z} \longrightarrow 0. \quad (11.35)
\end{array}$$

If  $\mathbf{g}, \mathbf{h}$  are  $s$ -transverse then  $\mathbf{W}$  is a manifold.

(c) In part (b), if (11.34) is 2-Cartesian in  $\mathbf{mKur}_{\text{st}}^c$  with  $\mathbf{g}, \mathbf{h}$   $wt$ -transverse (or  $t$ -transverse), then the following is 2-Cartesian in  $\mathbf{m\check{K}ur}_{\text{st}}^c$  and  $\mathbf{m\check{K}ur}^c$ , with  $C(\mathbf{g}), C(\mathbf{h})$   $wt$ -transverse (or  $t$ -transverse, respectively):

$$\begin{array}{ccc}
C(\mathbf{W}) & \xrightarrow{\quad} & C(\mathbf{Y}) \\
\downarrow C(\mathbf{e}) & \begin{array}{c} C(\mathbf{f}) \\ C(\eta) \uparrow \end{array} & \downarrow C(\mathbf{h}) \\
C(\mathbf{X}) & \xrightarrow{\quad} & C(\mathbf{Z}).
\end{array}$$

Hence we have

$$C_i(\mathbf{W}) \simeq \coprod_{\substack{j,k,l \geq 0: \\ i=j+k-l}} (C_j(\mathbf{X}) \cap C(\mathbf{g})^{-1}(C_l(\mathbf{Z}))) \times_{C(\mathbf{g}), C_l(\mathbf{Z}), C(\mathbf{h})} (C_k(\mathbf{Y}) \cap C(\mathbf{h})^{-1}(C_l(\mathbf{Z})))$$

for  $i \geq 0$ . When  $i = 1$ , this computes the boundary  $\partial \mathbf{W}$ .

Also, if  $\mathbf{g}$  is a  $ws$ -submersion (or an  $s$ -submersion), then  $C(\mathbf{g})$  is a  $ws$ -submersion (or an  $s$ -submersion, respectively).

The analogue of the above also holds for  $C' : \mathbf{mKur}_{\text{st}}^c \rightarrow \mathbf{m\check{K}ur}_{\text{st}}^c$ .

(d) In part (b), using the theory of canonical bundles and orientations from §10.7, there is a natural isomorphism of topological line bundles on  $\mathbf{W}$ :

$$\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}} : K_{\mathbf{W}} \longrightarrow e^*(K_{\mathbf{X}}) \otimes f^*(K_{\mathbf{Y}}) \otimes (g \circ e)^*(K_{\mathbf{Z}})^*. \quad (11.36)$$

Hence if  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are oriented there is a unique orientation on  $\mathbf{W}$ , called the **fibre product orientation**, such that (11.36) is orientation-preserving. Propositions 11.26 and 11.27 hold for these fibre product orientations.

(e) Let  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  be a 1-morphism in  $\mathbf{mKur}_{\text{st}}^c$ . Then  $\mathbf{g}$  is a  $ws$ -submersion if and only if  $\tilde{O}_x \mathbf{g} : \tilde{O}_x \mathbf{X} \rightarrow \tilde{O}_z \mathbf{Z}$  and  $\tilde{N}_x \mathbf{g} : \tilde{N}_x \mathbf{X} \rightarrow \tilde{N}_z \mathbf{Z}$  are surjective for all  $x \in \mathbf{X}$  with  $\mathbf{g}(x) = z$  in  $\mathbf{Z}$ . Also  $\mathbf{g}$  is an  $s$ -submersion if and only if  $\tilde{O}_x \mathbf{g} : \tilde{O}_x \mathbf{X} \rightarrow \tilde{O}_z \mathbf{Z}$  is an isomorphism and  $\tilde{T}_x \mathbf{g} : \tilde{T}_x \mathbf{X} \rightarrow \tilde{T}_z \mathbf{Z}$ ,  $\tilde{N}_x \mathbf{g} : \tilde{N}_x \mathbf{X} \rightarrow \tilde{N}_z \mathbf{Z}$  are surjective for all  $x, z$ .

- (f) If  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are 1-morphisms in  $\mathbf{mKur}_{\text{st}}^c$  with  $g$  a ws-submersion then  $g, h$  are ws-transverse and wt-transverse. If  $g$  is an  $s$ -submersion and  $Y$  is a manifold then  $g, h$  are  $s$ -transverse and  $t$ -transverse.
- (g) If (11.34) is 2-Cartesian in  $\mathbf{mKur}_{\text{st}}^c$  with  $g$  a ws-submersion (or an  $s$ -submersion) then  $f$  is a ws-submersion (or an  $s$ -submersion).
- (h) Compositions and products of ws- or  $s$ -submersions in  $\mathbf{mKur}_{\text{st}}^c$  are ws- or  $s$ -submersions. Projections  $\pi_X : X \times Y \rightarrow X$  in  $\mathbf{mKur}_{\text{st}}^c$  are ws-submersions.
- (i) If  $g : X \rightarrow Z$  is a ws-submersion in  $\mathbf{mKur}_{\text{st}}^c$ , and  $h : Y \rightarrow Z$  is any 1-morphism in  $\mathbf{mKur}^c$  (not necessarily in  $\mathbf{mKur}_{\text{st}}^c$ ), then a fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{mKur}^c$ , with  $\dim W = \dim X + \dim Y - \dim Z$ , in a 2-Cartesian square (11.34) in  $\mathbf{mKur}^c$ . It has topological space  $W = \{(x, y) \in X \times Y : g(x) = h(y)\}$ . The analogues of (c), (g) hold for these fibre products. If  $g$  is an  $s$ -submersion and  $Y$  is a manifold then  $W$  is a manifold.

**Example 11.33.** Define  $X = Y = Z = [0, \infty)$  and  $Z' = \mathbb{R}$ , so that  $Z \subset Z'$  is open. Define strongly smooth maps  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$ ,  $g' : X \rightarrow Z'$  and  $h' : Y \rightarrow Z'$  by  $g(x) = g'(x) = x$ ,  $h(y) = h'(y) = y$ . Let  $X, Y, Z, Z', g, h, g', h'$  be the images of  $X, Y, Z, Z', g, h, g', h'$  under  $F_{\mathbf{Man}_{\text{st}}^c}^{\mathbf{mKur}_{\text{st}}^c}$ .

Then  $g : X \rightarrow Z$ ,  $h : X \rightarrow Z$  are  $s$ -transverse. Also  $g' : X \rightarrow Z'$ ,  $h' : X \rightarrow Z'$  are ws-transverse, but are not  $s$ -transverse, as (11.33) for  $g', h'$  is not surjective at  $x = y = z = 0$ . Hence fibre products  $W = X \times_{g, Z, h} Y$  and  $W' = X \times_{g', Z', h'} Y$  exist in  $\mathbf{mKur}_{\text{st}}^c$ . Here  $W$  is  $F_{\mathbf{Man}_{\text{st}}^c}^{\mathbf{mKur}_{\text{st}}^c}([0, \infty))$ , but  $W'$  is not a manifold. We may cover  $W'$  by an m-Kuranishi neighbourhood  $(V, E, s, \psi)$ , where  $V = [0, \infty)^2$ , and  $E = [0, \infty)^2 \times \mathbb{R}$  is the trivial vector bundle over  $V$  with fibre  $\mathbb{R}$ , and  $s : V \rightarrow E$  maps  $(x, y) \mapsto (x, y, x - y)$ , and  $\psi : (x, x) \mapsto x$ .

Since  $W \not\cong W'$ , this shows that the corners of  $Z$  can affect the fibre product  $W = X \times_{g, Z, h} Y$  in  $\mathbf{mKur}_{\text{st}}^c$ . This is not true for fibre products in  $\mathbf{Man}_{\text{st}}^c$ , where we have  $X \times_{g, Z, h} Y \cong X \times_{g', Z', h'} Y$  when  $Z \subset Z'$  and  $g = g'$ ,  $h = h'$ .

### 11.3.3 Fibre products in $\mathbf{mKur}_{\text{in}}^{\text{gc}}$ and $\mathbf{mKur}^{\text{gc}}$

In §2.5.3, working in the subcategory  $\mathbf{Man}_{\text{in}}^{\text{gc}} \subset \mathbf{Man}^{\text{gc}}$  from §2.4.1, we defined  $b$ -transverse and  $c$ -transverse morphisms and  $b$ -submersions,  $b$ -fibrations, and  $c$ -fibrations. Example 11.12 explained how to fit these into the framework of Assumptions 11.1 and 11.3–11.9. The next theorem summarizes Theorems 11.19, 11.22 and 11.25, Proposition 11.21, and Corollary 11.23 applied to Example 11.12.

Here  $\mathbf{mKur}_{\text{in}}^{\text{gc}} \subset \mathbf{mKur}^{\text{gc}}$  are the 2-categories of m-Kuranishi spaces corresponding to  $\mathbf{Man}_{\text{in}}^{\text{gc}} \subset \mathbf{Man}^{\text{gc}}$  as in Definition 4.29, the corner functor  $C : \mathbf{mKur}^{\text{gc}} \rightarrow \mathbf{mKur}_{\text{in}}^{\text{gc}}$  is as in Example 4.45, and b-tangent spaces  $T_x X$  are as in Example 10.25(ii). We use the notation  $wb$ -transverse,  $wc$ -transverse,  $wb$ -submersions,  $wb$ -fibrations,  $wc$ -fibrations for the weak versions of  $b$ -transverse,  $c$ -fibrations in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$  from Definition 11.18, and  $b$ -transverse,  $c$ -transverse,  $b$ -submersions,  $b$ -fibrations, and  $c$ -fibrations for the strong versions.

**Theorem 11.34.** (a) Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be 1-morphisms in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$ . Then  $g, h$  are wb-transverse if and only if for all  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ , the following linear map is surjective:

$${}^bO_x g \oplus {}^bO_y h : {}^bO_x X \oplus {}^bO_y Y \longrightarrow {}^bO_z Z. \quad (11.37)$$

This is automatic if  $Z$  is a manifold. Also  $g, h$  are b-transverse if and only if for all  $x, y, z$ , equation (11.37) is an isomorphism, and the following is surjective:

$${}^bT_x g \oplus {}^bT_y h : {}^bT_x X \oplus {}^bT_y Y \longrightarrow {}^bT_z Z.$$

Furthermore,  $g, h$  are wc-transverse (or c-transverse) if and only if they are wb-transverse (or b-transverse), and whenever  $\mathbf{x} \in C_j(\mathbf{X})$  and  $\mathbf{y} \in C_k(\mathbf{Y})$  with  $C(g)\mathbf{x} = C(h)\mathbf{y} = \mathbf{z}$  in  $C_l(\mathbf{Z})$ , we have either  $j + k > l$ , or  $j = k = l = 0$ .

(b) If  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are wb-transverse in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$  then a fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$ , in a 2-Cartesian square:

$$\begin{array}{ccc} W & \xrightarrow{\quad f \quad} & Y \\ \downarrow e & \eta \uparrow & \downarrow h \\ X & \xrightarrow{\quad g \quad} & Z. \end{array} \quad (11.38)$$

It has  $\text{vdim } W = \text{vdim } X + \text{vdim } Y - \text{vdim } Z$ . If  $w \in W$  with  $e(w) = x$  in  $X$ ,  $f(w) = y$  in  $Y$  and  $g(x) = h(y) = z$  in  $Z$ , the following sequence is exact:

$$\begin{array}{ccccccc} 0 & \longrightarrow & {}^bT_w W & \xrightarrow[{}^bT_w e \oplus {}^bT_w f]{} & {}^bT_x X \oplus {}^bT_y Y & \xrightarrow[{}^bT_x g \oplus {}^bT_y h]{} & {}^bT_z Z \\ & & & & & & \downarrow {}^b\delta_w^{g, h} \\ 0 & \longleftarrow & {}^bO_z Z & \xleftarrow[{}^bO_x g \oplus {}^bO_y h]{} & {}^bO_x X \oplus {}^bO_y Y & \xleftarrow[{}^bO_w e \oplus {}^bO_w f]{} & {}^bO_w W. \end{array}$$

If  $g, h$  are b-transverse then  $W$  is a manifold.

(c) In (b), if  $g, h$  are wc-transverse then  $W$  has topological space  $W = \{(x, y) \in X \times Y : g(x) = h(y)\}$ , and (11.38) is also 2-Cartesian in  $\mathbf{mKur}^{\text{gc}}$ , and the following is 2-Cartesian in  $\mathbf{m\check{K}ur}_{\text{in}}^{\text{gc}}$  and  $\mathbf{m\check{K}ur}^{\text{gc}}$ , with  $C(g), C(h)$  wc-transverse:

$$\begin{array}{ccc} C(W) & \xrightarrow{\quad C(f) \quad} & C(Y) \\ \downarrow C(e) & C(\eta) \uparrow & \downarrow C(h) \\ C(X) & \xrightarrow{\quad C(g) \quad} & C(Z). \end{array}$$

Hence we have

$$C_i(W) \simeq \coprod_{\substack{j, k, l \geq 0: \\ i = j + k - l}} (C_j(X) \cap C(g)^{-1}(C_l(Z))) \times_{C(g), C_l(Z), C(h)} (C_k(Y) \cap C(h)^{-1}(C_l(Z)))$$

for  $i \geq 0$ . When  $i = 1$ , this computes the boundary  $\partial W$ .

Also, if  $g$  is a wb-fibration, or b-fibration, or wc-fibration, or c-fibration, then  $C(g)$  is a wb-fibration, ..., or c-fibration, respectively.



(d) In part (b), using the theory of  $b$ -canonical bundles and orientations from §10.7, there is a natural isomorphism of topological line bundles on  $W$ :

$${}^b\Upsilon_{\mathbf{X},\mathbf{Y},\mathbf{Z}} : {}^bK_{\mathbf{W}} \longrightarrow e^*({}^bK_{\mathbf{X}}) \otimes f^*({}^bK_{\mathbf{Y}}) \otimes (g \circ e)^*({}^bK_{\mathbf{Z}})^*. \quad (11.39)$$

Hence if  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are oriented there is a unique orientation on  $\mathbf{W}$ , called the **fibre product orientation**, such that (11.39) is orientation-preserving. Propositions 11.26 and 11.27 hold for these fibre product orientations.

(e) Let  $g : \mathbf{X} \rightarrow \mathbf{Z}$  be a 1-morphism in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$ . Then  $g$  is a  $wb$ -submersion if and only if  ${}^bO_x g : {}^bO_x \mathbf{X} \rightarrow {}^bO_z \mathbf{Z}$  is surjective for all  $x \in \mathbf{X}$  with  $g(x) = z$  in  $\mathbf{Z}$ . Also  $g$  is a  $b$ -submersion if and only if  ${}^bO_x g : {}^bO_x \mathbf{X} \rightarrow {}^bO_z \mathbf{Z}$  is an isomorphism and  ${}^bT_x g : {}^bT_x \mathbf{X} \rightarrow {}^bT_z \mathbf{Z}$  is surjective for all  $x, z$ .

Furthermore  $g$  is a  $wb$ -fibration (or a  $b$ -fibration) if it is a  $wb$ -submersion (or  $b$ -submersion) and whenever there are  $\mathbf{x}, \mathbf{z}$  in  $C_j(\mathbf{X}), C_l(\mathbf{Z})$  with  $C(g)\mathbf{x} = \mathbf{z}$ , we have  $j \geq l$ . And  $g$  is a  $wc$ -fibration (or a  $c$ -fibration) if it is a  $wb$ -fibration (or a  $b$ -fibration), and whenever  $x \in \mathbf{X}$  and  $z \in C_l(\mathbf{Z})$  with  $g(x) = \Pi_l(z) = z \in \mathbf{Z}$ , then there is exactly one  $\mathbf{x} \in C_l(\mathbf{X})$  with  $\Pi_l(\mathbf{x}) = x$  and  $C(g)\mathbf{x} = z$ .

(f) If  $g : \mathbf{X} \rightarrow \mathbf{Z}$  and  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  are 1-morphisms in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$  with  $g$  a  $wb$ -submersion (or  $wb$ -fibration) then  $g, h$  are  $wb$ -transverse (or  $wc$ -transverse, respectively). If  $g$  is a  $b$ -submersion (or  $b$ -fibration) and  $\mathbf{Y}$  is a manifold then  $g, h$  are  $b$ -transverse (or  $c$ -transverse, respectively).

(g) If (11.38) is 2-Cartesian in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$  with  $g$  a  $wb$ -submersion,  $b$ -submersion,  $wb$ -fibration,  $b$ -fibration,  $wc$ -fibration, or  $c$ -fibration, then  $f$  is a  $wb$ -submersion,  $\dots$ , or  $c$ -fibration, respectively.

(h) Compositions and products of  $wb$ -submersions,  $b$ -submersions,  $wb$ -fibrations,  $b$ -fibrations,  $wc$ -fibrations, and  $c$ -fibrations, in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$  are  $wb$ -submersions,  $\dots$ ,  $c$ -fibrations. Projections  $\pi_{\mathbf{X}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{X}$  in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$  are  $wc$ -fibrations.

(i) If  $g : \mathbf{X} \rightarrow \mathbf{Z}$  is a  $wc$ -fibration in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$ , and  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  is any 1-morphism in  $\mathbf{mKur}^{\text{gc}}$  (not necessarily in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$ ), then a fibre product  $\mathbf{W} = \mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  exists in  $\mathbf{mKur}^{\text{gc}}$ , with  $\dim \mathbf{W} = \dim \mathbf{X} + \dim \mathbf{Y} - \dim \mathbf{Z}$ , in a 2-Cartesian square (11.38) in  $\mathbf{mKur}^{\text{gc}}$ . It has topological space  $W = \{(x, y) \in \mathbf{X} \times \mathbf{Y} : g(x) = h(y)\}$ . The analogues of (c), (g) hold for these fibre products. If  $g$  is a  $c$ -fibration and  $\mathbf{Y}$  is a manifold then  $\mathbf{W}$  is a manifold.

### 11.3.4 Fibre products in $\mathbf{mKur}_{\text{in}}^c$ and $\mathbf{mKur}^c$

In §2.5.4, working in the subcategory  $\mathbf{Man}_{\text{in}}^c \subset \mathbf{Man}^c$  from §2.1, we defined  $sb$ -transverse and  $sc$ -transverse morphisms. Example 11.13 explained how to fit these into the framework of Assumptions 11.1 and 11.3–11.9, also using  $s$ -submersions from §2.5.2. The next theorem summarizes Theorems 11.19, 11.22 and 11.25 and Corollary 11.24 applied to Example 11.13.

Here  $\mathbf{mKur}_{\text{in}}^c \subset \mathbf{mKur}^c$  are the 2-categories of  $m$ -Kuranishi spaces corresponding to  $\mathbf{Man}_{\text{in}}^c \subset \mathbf{Man}^c$  as in Definition 4.29, the corner 2-functor  $C : \mathbf{mKur}^c \rightarrow \mathbf{mKur}^c$  is as in Example 4.45,  $b$ -tangent spaces  ${}^bT_x \mathbf{X}$  are as in Example 10.25(ii), and monoids  $\tilde{M}_x \mathbf{X}$  are as in Example 10.32(c).

We use the notation *wsb-transverse* and *wsc-transverse* for the notions of w-transverse in  $\mathbf{mKur}_{\text{in}}^{\mathbf{c}}$  corresponding to sb- and sc-transverse morphisms, and *sb-transverse*, *sc-transverse* for the notions of transverse. We omit some of the results on ws- and s-submersions, as they appeared already in Theorem 11.32.

**Theorem 11.35.** (a) *Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be 1-morphisms in  $\mathbf{mKur}_{\text{in}}^{\mathbf{c}}$ . Then  $g, h$  are wsb-transverse if and only if for all  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ , the following linear map is surjective:*

$${}^bO_x g \oplus {}^bO_y h : {}^bO_x X \oplus {}^bO_y Y \longrightarrow {}^bO_z Z, \quad (11.40)$$

and we have an isomorphism of commutative monoids

$$\tilde{M}_x X \times_{\tilde{M}_x g, \tilde{M}_z Z, \tilde{M}_y h} \tilde{M}_y Y \cong \mathbb{N}^n \quad \text{for } n \geq 0. \quad (11.41)$$

This is automatic if  $Z$  is a classical manifold. Also  $g, h$  are sb-transverse if and only if for all  $x, y, z$ , equations (11.40)–(11.41) are isomorphisms, and the following is surjective:

$${}^bT_x g \oplus {}^bT_y h : {}^bT_x X \oplus {}^bT_y Y \longrightarrow {}^bT_z Z.$$

Furthermore,  $g, h$  are wsc-transverse (or sc-transverse) if and only if they are wsb-transverse (or sb-transverse), and whenever  $x \in C_j(X)$  and  $y \in C_k(Y)$  with  $C(g)x = C(h)y = z$  in  $C_l(Z)$ , we have either  $j + k > l$ , or  $j = k = l = 0$ .

(b) *If  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are wsb-transverse in  $\mathbf{mKur}_{\text{in}}^{\mathbf{c}}$  then a fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{mKur}_{\text{in}}^{\mathbf{c}}$ , in a 2-Cartesian square:*

$$\begin{array}{ccc} W & \xrightarrow{\quad f \quad} & Y \\ \downarrow e & \eta \uparrow & \downarrow h \\ X & \xrightarrow{\quad g \quad} & Z. \end{array} \quad (11.42)$$

It has  $\text{vdim } W = \text{vdim } X + \text{vdim } Y - \text{vdim } Z$ . If  $w \in W$  with  $e(w) = x$  in  $X$ ,  $f(w) = y$  in  $Y$  and  $g(x) = h(y) = z$  in  $Z$ , the following sequence is exact:

$$\begin{array}{ccccccc} 0 & \longrightarrow & {}^bT_w W & \xrightarrow[{}^bT_w e \oplus {}^bT_w f]{} & {}^bT_x X \oplus {}^bT_y Y & \xrightarrow[{}^bT_x g \oplus {}^bT_y h]{} & {}^bT_z Z \\ & & & & & & \downarrow {}^b\delta_w^{g, h} \\ 0 & \longleftarrow & {}^bO_z Z & \xleftarrow[{}^bO_x g \oplus {}^bO_y h]{} & {}^bO_x X \oplus {}^bO_y Y & \xleftarrow[{}^bO_w e \oplus {}^bO_w f]{} & {}^bO_w W. \end{array}$$

If  $g, h$  are sb-transverse then  $W$  is a manifold.

(c) *In (b), if  $g, h$  are wsc-transverse then  $W$  has topological space  $W = \{(x, y) \in X \times Y : g(x) = h(y)\}$ , and (11.42) is also 2-Cartesian in  $\mathbf{mKur}^{\mathbf{c}}$ , and the following is 2-Cartesian in  $\mathbf{m\check{K}ur}_{\text{in}}^{\mathbf{c}}$  and  $\mathbf{m\check{K}ur}^{\mathbf{c}}$ , with  $C(g), C(h)$  wsc-transverse:*

$$\begin{array}{ccc} C(W) & \xrightarrow{\quad C(f) \quad} & C(Y) \\ \downarrow C(e) & C(\eta) \uparrow & \downarrow C(h) \\ C(X) & \xrightarrow{\quad C(g) \quad} & C(Z). \end{array}$$

Hence we have

$$C_i(\mathbf{W}) \simeq \coprod_{\substack{j,k,l \geq 0: \\ i=j+k-l}} (C_j(\tilde{\mathbf{X}}) \cap C(\mathbf{g})^{-1}(C_l(\tilde{\mathbf{Z}}))) \times_{C(\mathbf{g}), C_l(\tilde{\mathbf{Z}}), C(\mathbf{h})} (C_k(\tilde{\mathbf{Y}}) \cap C(\mathbf{h})^{-1}(C_l(\tilde{\mathbf{Z}})))$$

for  $i \geq 0$ . When  $i = 1$ , this computes the boundary  $\partial \mathbf{W}$ .

Also, if  $\mathbf{g}$  is a ws-submersion (or an s-submersion), then  $C(\mathbf{g})$  is a ws-submersion (or an s-submersion, respectively).

(d) In part (b), using the theory of b-canonical bundles and orientations from §10.7, there is a natural isomorphism of topological line bundles on  $W$ :

$${}^b\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}} : {}^bK_{\mathbf{W}} \longrightarrow e^*({}^bK_{\mathbf{X}}) \otimes f^*({}^bK_{\mathbf{Y}}) \otimes (g \circ e)^*({}^bK_{\mathbf{Z}})^*. \quad (11.43)$$

Hence if  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are oriented there is a unique orientation on  $\mathbf{W}$ , called the **fibre product orientation**, such that (11.43) is orientation-preserving.

(e) Let  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  be a 1-morphism in  $\mathbf{mKur}_{\text{in}}^{\text{c}}$ . Then  $\mathbf{g}$  is a ws-submersion if and only if  ${}^bO_x \mathbf{g} : {}^bO_x \mathbf{X} \rightarrow {}^bO_z \mathbf{Z}$  is surjective for all  $x \in \mathbf{X}$  with  $\mathbf{g}(x) = z$  in  $\mathbf{Z}$ , and the monoid morphism  $\tilde{M}_x \mathbf{g} : \tilde{M}_x \mathbf{X} \rightarrow \tilde{M}_z \mathbf{Z}$  is isomorphic to a projection  $\mathbb{N}^{m+n} \rightarrow \mathbb{N}^n$ . Also  $\mathbf{g}$  is an s-submersion if and only if  ${}^bO_x \mathbf{g} : {}^bO_x \mathbf{X} \rightarrow {}^bO_z \mathbf{Z}$  is an isomorphism, and  ${}^bT_x \mathbf{g} : {}^bT_x \mathbf{X} \rightarrow {}^bT_z \mathbf{Z}$  is surjective, and  $\tilde{M}_x \mathbf{g}$  is isomorphic to a projection  $\mathbb{N}^{m+n} \rightarrow \mathbb{N}^n$ , for all  $x, z$ .

(f) If  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  are 1-morphisms in  $\mathbf{mKur}_{\text{in}}^{\text{gc}}$  with  $\mathbf{g}$  a ws-submersion then  $\mathbf{g}, \mathbf{h}$  are wsc-transverse. If  $\mathbf{g}$  is an s-submersion and  $\mathbf{Y}$  is a manifold then  $\mathbf{g}, \mathbf{h}$  are sc-transverse.

## 11.4 Discussion of fibre products of $\mu$ -Kuranishi spaces

We now consider to what extent the results of §11.2–§11.3 may be extended to categories of  $\mu$ -Kuranishi spaces  $\mu\check{\mathbf{K}}\mathbf{ur}$  in Chapter 5. First consider an example:

**Example 11.36.** Let  $X = Y = *$  be the point in  $\mathbf{Man}$ , and  $Z = \mathbb{R}^n$  for  $n > 0$ , and  $g : X \rightarrow Z, h : Y \rightarrow Z$  map  $g : * \mapsto 0$  and  $h : * \mapsto 0$ . Then  $g, h$  are not transverse in  $\mathbf{Man}$ , but a fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{Man}$ , with  $W = *$ . Note that  $\dim W > \dim X + \dim Y - \dim Z$ .

Write  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}, \mathbf{g}, \mathbf{h}$  for the images of  $X, Y, Z, g, h$  either in m-Kuranishi spaces  $\mathbf{mKur}$  under  $F_{\mathbf{Man}}^{\mathbf{mKur}} : \mathbf{Man} \rightarrow \mathbf{mKur}$  from Example 4.30, or in  $\mu$ -Kuranishi spaces  $\mu\mathbf{Kur}$  under  $F_{\mathbf{Man}}^{\mu\mathbf{Kur}} : \mathbf{Man} \rightarrow \mu\mathbf{Kur}$  from Example 5.16.

Then  $\mathbf{g}, \mathbf{h}$  are w-transverse in  $\mathbf{mKur}$ , so a fibre product  $\mathbf{W} = \mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}$  exists in the 2-category  $\mathbf{mKur}$ , with  $\text{vdim } \mathbf{W} = -n$ . It is a point with obstruction space  $\mathbb{R}^n$ , covered by an m-Kuranishi neighbourhood  $(*, \mathbb{R}^n, 0, \text{id}_*)$ .

As  $\mathbf{X} = \mathbf{Y} = *$  are the terminal object in the ordinary category  $\mu\mathbf{Kur}$ , a fibre product  $\tilde{\mathbf{W}} = \mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}$  also exists in  $\mu\mathbf{Kur}$ , but it is the point  $*$ , as in  $\mathbf{Man}$ , with  $\text{vdim } \tilde{\mathbf{W}} = 0$ , so  $\text{vdim } \tilde{\mathbf{W}} > \text{vdim } \mathbf{X} + \text{vdim } \mathbf{Y} - \text{vdim } \mathbf{Z}$ .

In this example, the fibre product  $\tilde{W} = X \times_{g, Z, h} Y$  in  $\mu\mathbf{Kur}$  is ‘wrong’, not the fibre product we want – it does not have the expected dimension, and is not locally described in  $\mu$ -Kuranishi neighbourhoods by Definition 11.16.

As in Theorem 5.23 we have an equivalence  $\mathrm{Ho}(\mathbf{mKur}) \simeq \mu\mathbf{Kur}$ . The moral is that the 2-category structure in  $\mathbf{mKur}$  is crucial to get the ‘correct’ w-transverse fibre products, as the definition of 2-category fibre products in §A.4 involves the 2-morphisms in an essential way. Passing to the homotopy category  $\mathrm{Ho}(\mathbf{mKur})$ , or to  $\mu\mathbf{Kur}$ , forgetting 2-morphisms, loses too much information for (w-)transverse fibre products to be well-behaved.

Our conclusion is that we should not study (w-)transverse fibre products in categories  $\mu\dot{\mathbf{K}}\mathbf{ur}$ , but we should work in the 2-categories  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  or  $\dot{\mathbf{K}}\mathbf{ur}$  instead.

Despite this, there is nevertheless a sense in which well-behaved ‘w-transverse fibre products’ do exist in categories of  $\mu$ -Kuranishi spaces  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ :

**Definition 11.37.** Suppose  $\dot{\mathbf{M}}\mathbf{an}$  satisfies Assumptions 3.1–3.7 and 11.1, giving discrete properties  $D, E$  and notions of transverse morphisms and submersions. Let  $g' : X' \rightarrow Z', h' : Y' \rightarrow Z'$  be  $D$  morphisms in  $\mu\dot{\mathbf{K}}\mathbf{ur}$ . As in §5.6.4 we can choose  $X, Y, Z$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  with  $F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\mu\dot{\mathbf{K}}\mathbf{ur}}(X) = X', F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\mu\dot{\mathbf{K}}\mathbf{ur}}(Y) = Y'$ , and  $F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\mu\dot{\mathbf{K}}\mathbf{ur}}(Z) = Z'$ , and as in §5.6.3 we can choose 1-morphisms  $g : X \rightarrow Z, h : Y \rightarrow Z$  in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ , unique up to 2-isomorphism, such that  $F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\mu\dot{\mathbf{K}}\mathbf{ur}}([g]) = g'$  and  $F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\mu\dot{\mathbf{K}}\mathbf{ur}}([h]) = h'$ . Then  $g, h$  are  $D$ . Define  $g', h'$  to be w-transverse in  $\mu\dot{\mathbf{K}}\mathbf{ur}$  if  $g, h$  are w-transverse in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ . This is independent of choices.

If  $g', h'$  are w-transverse then a fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  by Theorem 11.17, with projections  $e : W \rightarrow X, f : W \rightarrow Y$ . Define  $W' = F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\mu\dot{\mathbf{K}}\mathbf{ur}}(W), e' = F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\mu\dot{\mathbf{K}}\mathbf{ur}}([e])$  and  $f' = F_{\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}}^{\mu\dot{\mathbf{K}}\mathbf{ur}}([f])$ . Then  $\mathrm{vdim} W' = \mathrm{vdim} X' + \mathrm{vdim} Y' - \mathrm{vdim} Z'$ , and we have a commutative square in  $\mu\dot{\mathbf{K}}\mathbf{ur}$ :

$$\begin{array}{ccc} W' & \xrightarrow{\quad\quad\quad} & Y' \\ \downarrow e' & \begin{array}{c} f' \\ \quad\quad\quad g' \end{array} & \downarrow h' \\ X' & \xrightarrow{\quad\quad\quad} & Z' \end{array} \quad (11.44)$$

In general (11.44) is *not Cartesian* in  $\mu\dot{\mathbf{K}}\mathbf{ur}$ , and  $W'$  is *not a fibre product*  $X' \times_{g', Z', h'} Y'$  in  $\mu\dot{\mathbf{K}}\mathbf{ur}$ , as Example 11.36 shows. But as  $W$  is unique up to canonical equivalence in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ , this  $W'$  is unique (that is, depends only on  $X', Y', Z', g', h'$ ) up to canonical isomorphism in  $\mu\dot{\mathbf{K}}\mathbf{ur}$ .

By an abuse of notation, we could decide to call  $W'$  a ‘w-transverse fibre product’ in  $\mu\dot{\mathbf{K}}\mathbf{ur}$ , although it is not a fibre product in the category-theoretic sense. With this convention, the results of §11.2–§11.3 extend to  $\mu$ -Kuranishi spaces in the obvious way. Such ‘w-transverse fibre products’ are an additional structure on  $\mu\dot{\mathbf{K}}\mathbf{ur}$ . Fukaya, Oh, Ohta and Ono [15, §A1.2] define non-category-theoretic ‘fibre products’  $X \times_Z Y$  of FOOO Kuranishi spaces  $X, Y$  over manifolds  $Z$  in this sense, as in Definition 7.9.

## 11.5 Transverse fibre products and submersions in $\dot{\mathbf{K}}\mathbf{ur}$

Next we generalize §11.2–§11.3 to Kuranishi spaces  $\dot{\mathbf{K}}\mathbf{ur}$ . We suppose throughout this section that the category  $\dot{\mathbf{M}}\mathbf{an}$  used to define  $\dot{\mathbf{K}}\mathbf{ur}$  satisfies Assumptions 3.1–3.7 and 11.1, and will also specify additional assumptions as needed.

### 11.5.1 Transverse fibre products of orbifolds

Transverse fibre products of orbifolds are well understood, and are discussed by Adem, Leida and Ruan [1, Def. 1.41, Def. 2.7, Ex. 2.8], Chen and Ruan [5, p. 83], Moerdijk [56, §2.1 & §3.3], and Moerdijk and Pronk [57, §5]. Here are the analogues of Definition 2.21 and Theorem 2.22(a).

**Definition 11.38.** Write  $\mathbf{Orb}$  for the 2-category of orbifolds, that is, for one of the equivalent 2-categories  $\mathbf{Orb}_{\mathbf{Pr}}$ ,  $\mathbf{Orb}_{\mathbf{Le}}$ ,  $\mathbf{Orb}_{\mathbf{ManSta}}$ ,  $\mathbf{Orb}_{C^\infty\mathbf{Sta}}$ ,  $\mathbf{Orb}_{\mathbf{Kur}}$  in §6.6. Orbifolds  $\mathfrak{X}$  have (weakly) functorial isotropy groups  $G_x\mathfrak{X}$  and tangent spaces  $T_x\mathfrak{X}$  for  $x \in \mathfrak{X}$ , as in §6.5 and §10.2. We call 1-morphisms  $\mathfrak{g} : \mathfrak{X} \rightarrow \mathfrak{Z}$ ,  $\mathfrak{h} : \mathfrak{Y} \rightarrow \mathfrak{Z}$  in  $\mathbf{Orb}$  *transverse* if for all  $x \in \mathfrak{X}$ ,  $y \in \mathfrak{Y}$  with  $\mathfrak{g}(x) = \mathfrak{h}(y) = z \in \mathfrak{Z}$  and all  $\gamma \in G_z\mathfrak{Z}$ , the tangent morphism  $T_x\mathfrak{g} \oplus (\gamma \cdot T_y\mathfrak{h}) : T_x\mathfrak{X} \oplus T_y\mathfrak{Y} \rightarrow T_z\mathfrak{Z}$  is surjective.

**Theorem 11.39.** *Suppose  $\mathfrak{g} : \mathfrak{X} \rightarrow \mathfrak{Z}$  and  $\mathfrak{h} : \mathfrak{Y} \rightarrow \mathfrak{Z}$  are transverse 1-morphisms in  $\mathbf{Orb}$ . Then a fibre product  $\mathfrak{W} = \mathfrak{X} \times_{\mathfrak{g}, \mathfrak{Z}, \mathfrak{h}} \mathfrak{Y}$  exists in the 2-category  $\mathbf{Orb}$ , with  $\dim \mathfrak{W} = \dim \mathfrak{X} + \dim \mathfrak{Y} - \dim \mathfrak{Z}$ , in a 2-Cartesian square:*

$$\begin{array}{ccc} \mathfrak{W} & \xrightarrow{\quad \mathfrak{f} \quad} & \mathfrak{Y} \\ \downarrow \mathfrak{e} & \eta \uparrow & \mathfrak{h} \downarrow \\ \mathfrak{X} & \xrightarrow{\quad \mathfrak{g} \quad} & \mathfrak{Z}. \end{array}$$

Just as a set, the underlying topological space may be written

$$W = \{(x, y, C) : x \in X, y \in Y, C \in G_x\mathfrak{g}(G_x\mathfrak{X}) \backslash G_z\mathfrak{Z} / G_y\mathfrak{h}(G_y\mathfrak{Y})\}, \quad (11.45)$$

where  $\mathfrak{e}, \mathfrak{f}$  map  $\mathfrak{e} : (x, y, C) \mapsto x$ ,  $\mathfrak{f} : (x, y, C) \mapsto y$ . The isotropy groups satisfy

$$G_{(x,y,C)}\mathfrak{W} \cong \{(\alpha, \beta) \in G_x\mathfrak{X} \times G_y\mathfrak{Y} : G_x\mathfrak{g}(\alpha) \gamma G_y\mathfrak{h}(\beta^{-1}) = \gamma\}$$

for fixed  $\gamma \in C \subseteq G_z\mathfrak{Z}$ .

**Remark 11.40. (a)** It is important that we work in a 2-category of orbifolds in Theorem 11.39. Transverse fibre products need not exist in the ordinary category  $\mathbf{Ho}(\mathbf{Orb})$ , and if they do exist they may be the ‘wrong’ fibre product.

**(b)** Note that we need not have  $W \cong \{(x, y) \in X \times Y : \mathfrak{g}(x) = \mathfrak{h}(y)\}$  in Theorem 11.39, as either a set or a topological space. We discussed a similar phenomenon for fibre products in  $\mathbf{Man}_{\mathbf{in}}^{\mathfrak{g}\mathfrak{c}}$ ,  $\mathbf{Man}_{\mathbf{in}}^{\mathfrak{c}}$  in Remark 2.37, due to working in categories of interior maps. But the reasons here are different, and due to the 2-category structure. When we are working with spaces in a 2-category, points may have isotropy groups, and these isotropy groups modify the underlying

sets/topological spaces of fibre products as in (11.45). There does not seem to be an easy description of the topology on (11.45) in terms of those on  $X, Y, Z$ .

(c) It may be surprising that we need  $T_x\mathfrak{g} \oplus (\gamma \cdot T_y\mathfrak{h})$  to be surjective for all  $\gamma \in G_z\mathfrak{Z}$  in Definition 11.38, rather than just requiring  $T_x\mathfrak{g} \oplus T_y\mathfrak{h}$  to be surjective. To see this is sensible, note that as in §10.2.3 the maps  $T_x\mathfrak{g} : T_x\mathfrak{X} \rightarrow T_z\mathfrak{Z}$  and  $T_y\mathfrak{h} : T_y\mathfrak{Y} \rightarrow T_z\mathfrak{Z}$  are defined using arbitrary choices, and are only canonical up to the actions  $\gamma \cdot T_x\mathfrak{g}, \gamma \cdot T_y\mathfrak{h}$  of  $\gamma \in G_z\mathfrak{Z}$ . Also, surjectivity of  $T_x\mathfrak{g} \oplus (\gamma \cdot T_y\mathfrak{h})$  is the transversality condition required at the point  $(x, y, C) \in W$  in (11.45), where  $C = G_x\mathfrak{g}(G_x\mathfrak{X}) \gamma G_y\mathfrak{h}(G_y\mathfrak{Y})$ .

### 11.5.2 Fibre products of global Kuranishi neighbourhoods

Here are the analogues of Definitions 11.15 and 11.16 and Theorem 11.17.

**Definition 11.41.** Suppose  $g : X \rightarrow Z, h : Y \rightarrow Z$  are continuous maps of topological spaces, and  $(U_l, D_l, B_l, r_l, \chi_l), (V_m, E_m, \Gamma_m, s_m, \psi_m), (W_n, F_n, \Delta_n, t_n, \omega_n)$  are Kuranishi neighbourhoods on  $X, Y, Z$  with  $\text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n)$  and  $\text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$ , and

$$\mathbf{g}_{ln} = (P_{ln}, \pi_{ln}, g_{ln}, \hat{g}_{ln}) : (U_l, D_l, B_l, r_l, \chi_l) \longrightarrow (W_n, F_n, \Delta_n, t_n, \omega_n),$$

$$\mathbf{h}_{mn} = (P_{mn}, \pi_{mn}, h_{mn}, \hat{h}_{mn}) : (V_m, E_m, \Gamma_m, s_m, \psi_m) \longrightarrow (W_n, F_n, \Delta_n, t_n, \omega_n),$$

are  $\mathbf{D}$  1-morphisms of Kuranishi neighbourhoods over  $(\text{Im } \chi_l, g), (\text{Im } \psi_m, h)$ .

We call  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  *weakly transverse*, or *w-transverse*, if there exist open neighbourhoods  $\dot{P}_{ln}, \dot{P}_{mn}$  of  $\pi_{ln}^*(r_l)^{-1}(0)$  and  $\pi_{mn}^*(s_m)^{-1}(0)$  in  $P_{ln}, P_{mn}$ , such that:

- (i)  $g_{ln}|_{\dot{P}_{ln}} : \dot{P}_{ln} \rightarrow W_n$  and  $h_{mn}|_{\dot{P}_{mn}} : \dot{P}_{mn} \rightarrow W_n$  are  $\mathbf{D}$  morphisms in  $\dot{\mathbf{Man}}$ , which are transverse in the sense of Assumption 11.1(b).
- (ii)  $\hat{g}_{ln}|_p \oplus \hat{h}_{mn}|_q : D_l|_u \oplus E_m|_v \rightarrow F_n|_w$  is surjective for all  $p \in \dot{P}_{ln}$  and  $q \in \dot{P}_{mn}$  with  $\pi_{ln}(p) = u \in U_l, \pi_{mn}(q) = v \in V_m$  and  $g_{ln}(p) = h_{mn}(q) = w$  in  $W_n$ .
- (iii)  $\dot{P}_{ln}$  is invariant under  $B_l \times \Delta_n$ , and  $\dot{P}_{mn}$  is invariant under  $\Gamma_m \times \Delta_n$ .

We call  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  *transverse* if they are w-transverse and in (ii)  $\hat{g}_{ln}|_p \oplus \hat{h}_{mn}|_q$  is an isomorphism for all  $p, q$ .

We call  $\mathbf{g}_{ln}$  a *weak submersion*, or a *w-submersion*, if there exists a  $B_l \times \Delta_n$ -invariant open neighbourhood  $\ddot{P}_{ln}$  of  $\pi_{ln}^*(r_l)^{-1}(0)$  in  $P_{ln}$  such that:

- (iv)  $g_{ln}|_{\ddot{P}_{ln}} : \ddot{P}_{ln} \rightarrow W_n$  is a submersion in  $\dot{\mathbf{Man}}_{\mathbf{D}}$ , as in Assumption 11.1(c).
- (v)  $\hat{g}_{ln}|_p : D_l|_u \rightarrow F_n|_w$  is surjective for all  $p \in \ddot{P}_{ln}$  with  $\pi_{ln}(p) = u \in U_l$  and  $g_{ln}(p) = w$  in  $W_n$ .

We call  $\mathbf{g}_{ln}$  a *submersion* if it is a w-submersion and in (v)  $\hat{g}_{ln}|_p$  is an isomorphism for all  $p$ .

If  $\mathbf{g}_{ln}$  is a w-submersion then  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  are w-transverse for any  $\mathbf{D}$  1-morphism  $\mathbf{h}_{mn} : (V_m, E_m, \Gamma_m, s_m, \psi_m) \rightarrow (W_n, F_n, \Delta_n, t_n, \omega_n)$  over  $(\text{Im } \psi_m, h)$ , by

Assumption 11.1(c). Also if  $\mathbf{g}_{ln}$  is a submersion then  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  are transverse for any  $\mathbf{D}$  1-morphism  $\mathbf{h}_{mn} : (V_m, E_m, \Gamma_m, s_m, \psi_m) \rightarrow (W_n, F_n, \Delta_n, t_n, \omega_n)$  over  $(\text{Im } \psi_m, h)$  for which  $E_m = 0$  is the zero vector bundle.

In Definition 6.9 we defined a weak 2-category  $\mathbf{G\acute{K}N}$  of *global Kuranishi neighbourhoods*, where:

- Objects  $(V, E, \Gamma, s)$  in  $\mathbf{G\acute{K}N}$  are a manifold  $V$  (object in  $\mathbf{Man}$ ), a vector bundle  $E \rightarrow V$ , a finite group  $\Gamma$  acting on  $V, E$  preserving the structures, and a  $\Gamma$ -equivariant section  $s : V \rightarrow E$ . Then  $(V, E, \Gamma, s, \text{id}_{s^{-1}(0)/\Gamma})$  is a Kuranishi neighbourhood on the topological space  $s^{-1}(0)/\Gamma$ , as in §6.1. They have *virtual dimension*  $\text{vdim}(V, E, \Gamma, s) = \dim V - \text{rank } E$ .
- 1-morphisms  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i) \rightarrow (V_j, E_j, \Gamma_j, s_j)$  in  $\mathbf{G\acute{K}N}$  are quadruples  $\Phi_{ij} = (P_{ij}, \pi_{ij}, \phi_{ij}, \hat{\phi}_{ij})$  satisfying Definition 6.2(a)–(e) with  $s_i^{-1}(0)$  in place of  $\bar{\psi}_i^{-1}(S)$ . Then  $\Phi_{ij} : (V_i, E_i, \Gamma_i, s_i, \text{id}_{s_i^{-1}(0)/\Gamma_i}) \rightarrow (V_j, E_j, \Gamma_j, s_j, \text{id}_{s_j^{-1}(0)/\Gamma_j})$  is a 1-morphism of Kuranishi neighbourhoods over the map  $s_i^{-1}(0)/\Gamma_i \rightarrow s_j^{-1}(0)/\Gamma_j$  induced by  $\phi_{ij}, \pi_{ij}$ , as in §6.1.
- For 1-morphisms  $\Phi_{ij}, \Phi'_{ij} : (V_i, E_i, \Gamma_i, s_i) \rightarrow (V_j, E_j, \Gamma_j, s_j)$ , a 2-morphism  $\Lambda_{ij} : \Phi_{ij} \Rightarrow \Phi'_{ij}$  in  $\mathbf{G\acute{K}N}$  is as in Definition 6.4, with  $s_i^{-1}(0)$  in place of  $\bar{\psi}_i^{-1}(S)$ .

We write  $\mathbf{G\acute{K}N}_{\mathbf{D}} \subseteq \mathbf{G\acute{K}N}$  for the 2-subcategory with 1-morphisms  $\Phi_{ij}$  which are  $\mathbf{D}$ , in the sense of Definition 6.31. The next (rather long) definition and theorem prove that w-transverse fibre products exist in  $\mathbf{G\acute{K}N}_{\mathbf{D}}$ .

**Definition 11.42.** Suppose we are given 1-morphisms in  $\mathbf{G\acute{K}N}_{\mathbf{D}}$

$$\begin{aligned} \mathbf{g}_{ln} &: (U_l, D_l, B_l, r_l) \longrightarrow (W_n, F_n, \Delta_n, t_n), \\ \mathbf{h}_{mn} &: (V_m, E_m, \Gamma_m, s_m) \longrightarrow (W_n, F_n, \Delta_n, t_n), \end{aligned}$$

with  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  w-transverse in the sense of Definition 11.41. We will construct a fibre product

$$(T_k, C_k, A_k, q_k) = (U_l, D_l, B_l, r_l) \times_{\mathbf{g}_{ln}, (W_n, F_n, \Delta_n, t_n), \mathbf{h}_{mn}} (V_m, E_m, \Gamma_m, s_m) \quad (11.46)$$

in both  $\mathbf{G\acute{K}N}_{\mathbf{D}}$  and  $\mathbf{G\acute{K}N}_{\mathbf{E}}$ .

Write  $\mathbf{g}_{ln} = (P_{ln}, \pi_{ln}, g_{ln}, \hat{g}_{ln})$  and  $\mathbf{h}_{mn} = (P_{mn}, \pi_{mn}, h_{mn}, \hat{h}_{mn})$ . Then  $\hat{g}_{ln}(\pi_{ln}^*(r_l)) = g_{ln}^*(t_n) + O(\pi_{ln}^*(r_l)^2)$  by Definition 6.2(e), so Definition 3.15(i) gives  $\epsilon : \pi_{ln}^*(D_l) \otimes \pi_{ln}^*(D_l) \rightarrow g_{ln}^*(F_n)$  with  $\hat{g}_{ln}(\pi_{ln}^*(r_l)) = g_{ln}^*(t_n) + \epsilon(\pi_{ln}^*(r_l) \otimes \pi_{ln}^*(r_l))$ . By averaging over the  $(B_l \times \Delta_n)$ -action we can suppose  $\epsilon$  is  $(B_l \times \Delta_n)$ -equivariant. Define  $\hat{g}'_{ln} : \pi_{ln}^*(D_l) \rightarrow g_{ln}^*(F_n)$  by  $\hat{g}'_{ln}(d) = \hat{g}_{ln}(d) - \epsilon(d \otimes \pi_{ln}^*(r_l))$ . Replacing  $\hat{g}_{ln}$  by  $\hat{g}'_{ln}$ , which does not change  $\mathbf{g}_{ln}$  up to 2-isomorphism as  $\hat{g}'_{ln} = \hat{g}_{ln} + O(\pi_{ln}^*(r_l))$ , we may suppose that  $\hat{g}_{ln}(\pi_{ln}^*(r_l)) = g_{ln}^*(t_n)$ . Similarly we suppose that  $\hat{h}_{mn}(\pi_{mn}^*(s_m)) = h_{mn}^*(t_n)$ .

For  $\hat{P}_{ln}, \hat{P}_{mn}$  as in Definition 11.41(i)–(iii), define

$$T_k = \hat{P}_{ln} \times_{g_{ln}|_{\hat{P}_{ln}}, W_n, h_{mn}|_{\hat{P}_{mn}}} \hat{P}_{mn} \quad (11.47)$$

to be the transverse fibre product in  $\mathbf{Man}_{\mathcal{D}}$  from Assumption 11.1(b). Then

$$\dim T_k = \dim U_l + \dim V_m - \dim W_n, \quad (11.48)$$

as  $\dim \dot{P}_{ln} = \dim U_l$ , etc. Define a finite group  $A_k = B_l \times \Gamma_m \times \Delta_n$ . Since  $g_{ln}|_{\dot{P}_{ln}}$  is  $B_l$ -invariant and  $\Delta_n$ -equivariant, and  $h_{mn}|_{\dot{P}_{mn}}$  is  $\Gamma_m$ -invariant and  $\Delta_n$ -equivariant,  $A_k$  is a symmetry group of the fibre product (11.47), so there is a natural smooth action of  $A_k$  on  $T_k$ . If we can write points of  $T_k$  as  $(p, q)$  for  $p \in \dot{P}_{ln}$ ,  $q \in \dot{P}_{mn}$  with  $g_{ln}(p) = h_{mn}(q) \in W_n$  then  $A_k$  acts on points by

$$(\beta, \gamma, \delta) : (p, q) \mapsto ((\beta, \delta) \cdot p, (\gamma, \delta) \cdot q),$$

noting that  $g_{ln}((\beta, \delta) \cdot p) = \delta \cdot g_{ln}(p) = \delta \cdot h_{mn}(q) = h_{mn}((\gamma, \delta) \cdot q)$ .

We have a morphism of vector bundles on  $T_k$ :

$$\begin{aligned} \pi_{\dot{P}_{ln}}^* (\hat{g}_{ln}) \oplus -\pi_{\dot{P}_{mn}}^* (\hat{h}_{mn}) : (\pi_{ln} \circ \pi_{\dot{P}_{ln}})^*(D_l) \oplus (\pi_{mn} \circ \pi_{\dot{P}_{mn}})^*(E_m) \\ \longrightarrow (g_{ln} \circ \pi_{\dot{P}_{ln}})^*(F_n). \end{aligned} \quad (11.49)$$

If  $t \in T_k$  with  $\pi_{\dot{P}_{ln}}(t) = p \in \dot{P}_{ln}$ ,  $\pi_{\dot{P}_{mn}}(t) = q \in \dot{P}_{mn}$ ,  $\pi_{ln}(p) = u \in U_{ln}$ ,  $\pi_{mn}(q) = v \in V_{mn}$  and  $g_{ln}(p) = h_{mn}(q) = w \in W_n$  then the fibre of (11.49) at  $t$  is  $\hat{g}_{ln}|_p \oplus -\hat{h}_{mn}|_q : D_l|_u \oplus E_m|_v \rightarrow F_n|_w$ . So Definition 11.41(ii) implies that (11.49) is surjective. Define  $C_k \rightarrow T_k$  to be the kernel of (11.49), as a vector subbundle of  $(\pi_{ln} \circ \pi_{\dot{P}_{ln}})^*(D_l) \oplus (\pi_{mn} \circ \pi_{\dot{P}_{mn}})^*(E_m)$  with

$$\text{rank } C_k = \text{rank } D_l + \text{rank } E_m - \text{rank } F_n. \quad (11.50)$$

Definition 6.2(d) for  $g_{ln}, h_{mn}$  says that  $\hat{g}_{ln}$  is  $(B_l \times \Delta_n)$ -equivariant and  $\hat{h}_{ln}$  is  $(\Gamma_m \times \Delta_n)$ -equivariant. Including the trivial actions of  $\Gamma_m$  on  $D_l, F_n$ , and of  $B_l$  on  $E_m, F_n$ , means that  $\hat{g}_{ln}, \hat{h}_{mn}$  are equivariant under  $A_k = B_l \times \Gamma_m \times \Delta_n$ . The pullbacks by  $\pi_{\dot{P}_{ln}}, \pi_{\dot{P}_{mn}}$  are also  $A_k$ -equivariant, as  $\pi_{\dot{P}_{ln}}, \pi_{\dot{P}_{mn}}$  are. So (11.49) is equivariant under the natural actions of  $A_k$ , and thus  $C_k$  has a natural  $A_k$ -action by restriction from the  $A_k$ -action on  $(\pi_{ln} \circ \pi_{\dot{P}_{ln}})^*(D_l) \oplus (\pi_{mn} \circ \pi_{\dot{P}_{mn}})^*(E_m)$ .

Write  $\pi_{D_l} : C_k \rightarrow (\pi_{ln} \circ \pi_{\dot{P}_{ln}})^*(D_l)$ ,  $\pi_{E_m} : C_k \rightarrow (\pi_{mn} \circ \pi_{\dot{P}_{mn}})^*(E_m)$  for the projections. Then as  $C_k$  is the kernel of (11.49) we have

$$\pi_{\dot{P}_{ln}}^* (\hat{g}_{ln}) \circ \pi_{D_l} = \pi_{\dot{P}_{mn}}^* (\hat{h}_{mn}) \circ \pi_{E_m} : C_k \longrightarrow (g_{ln} \circ \pi_{\dot{P}_{ln}})^*(F_n). \quad (11.51)$$

In sections of the left hand side of (11.49) over  $T_k$ , we have

$$\begin{aligned} (\pi_{\dot{P}_{ln}}^* (\hat{g}_{ln}) \oplus -\pi_{\dot{P}_{mn}}^* (\hat{h}_{mn}))((\pi_{ln} \circ \pi_{\dot{P}_{ln}})^*(r_l) \oplus (\pi_{mn} \circ \pi_{\dot{P}_{mn}})^*(s_m)) \\ = \pi_{\dot{P}_{ln}}^* \circ \hat{g}_{ln} \circ \pi_{ln}^*(r_l) - \pi_{\dot{P}_{mn}}^* \circ \hat{h}_{mn} \circ \pi_{mn}^*(s_m) \\ = \pi_{\dot{P}_{ln}}^* \circ g_{ln}^*(t_n) - \pi_{\dot{P}_{mn}}^* \circ h_{mn}^*(t_n) = 0, \end{aligned}$$

as  $\hat{g}_{ln}(\pi_{ln}^*(r_l)) = g_{ln}^*(t_n)$ ,  $\hat{h}_{mn}(\pi_{mn}^*(s_m)) = h_{mn}^*(t_n)$ , and  $g_{ln} \circ \pi_{\dot{P}_{ln}} = h_{mn} \circ \pi_{\dot{P}_{mn}}$ . Thus  $(\pi_{ln} \circ \pi_{\dot{P}_{ln}})^*(r_l) \oplus (\pi_{mn} \circ \pi_{\dot{P}_{mn}})^*(s_m)$  lies in the kernel of (11.49), so it is a section of  $C_k$ . Write  $q_k \in \Gamma^\infty(C_k)$  for this section. Then

$$\pi_{D_l}(q_k) = (\pi_{ln} \circ \pi_{\dot{P}_{ln}})^*(r_l) \quad \text{and} \quad \pi_{E_m}(q_k) = (\pi_{mn} \circ \pi_{\dot{P}_{mn}})^*(s_m). \quad (11.52)$$



Also  $q_k$  is  $A_k$ -equivariant, as  $(\pi_{l_n} \circ \pi_{\hat{P}_{l_n}})^*(r_l)$  and  $(\pi_{m_n} \circ \pi_{\hat{P}_{m_n}})^*(s_m)$  are.

Then  $(T_k, C_k, A_k, q_k)$  is an object in  $\mathbf{G\check{K}N}_{\mathcal{D}}$ . By (11.48), (11.50) we have

$$\begin{aligned} \text{vdim}(T_k, C_k, A_k, q_k) &= \text{vdim}(U_l, D_l, B_l, r_l) \\ &+ \text{vdim}(V_m, E_m, \Gamma_m, s_m) - \text{vdim}(W_n, F_n, \Delta_n, t_n). \end{aligned}$$

Define  $P_{kl} = T_k \times B_l$  and  $P_{km} = T_k \times \Gamma_m$ , as objects in  $\mathbf{Man}$ . Define smooth actions of  $A_k \times B_l$  on  $P_{kl}$ , and of  $A_k \times \Gamma_m$  on  $P_{km}$ , at the level of points by

$$\begin{aligned} ((\beta, \gamma, \delta), \beta') : (t, \beta'') &\longmapsto ((\beta, \gamma, \delta) \cdot t, \beta' \beta'' \beta^{-1}), \\ ((\beta, \gamma, \delta), \gamma') : (t, \gamma'') &\longmapsto ((\beta, \gamma, \delta) \cdot t, \gamma' \gamma'' \gamma^{-1}). \end{aligned}$$

Define morphisms  $\pi_{kl} = \pi_{T_k} : P_{kl} = T_k \times B_l \rightarrow T_k$  and  $\pi_{km} = \pi_{T_k} : P_{km} = T_k \times \Gamma_m \rightarrow T_k$  in  $\mathbf{Man}$ . Then  $\pi_{kl}$  is an  $A_k$ -equivariant principal  $B_l$ -bundle over  $T_k$ , and  $\pi_{km}$  an  $A_k$ -equivariant principal  $\Gamma_m$ -bundle over  $T_k$ .

Define morphisms  $e_{kl} : P_{kl} \rightarrow U_l$  and  $f_{km} : P_{km} \rightarrow V_m$  in  $\mathbf{Man}$  by

$$e_{kl}(t, \beta) = \beta \cdot \pi_{l_n} \circ \pi_{\hat{P}_{l_n}}(t), \quad f_{km}(t, \gamma) = \gamma \cdot \pi_{l_m} \circ \pi_{\hat{P}_{l_m}}(t),$$

that is,  $e_{kl}|_{T_k \times \{\beta\}} = \beta \cdot (\pi_{l_n} \circ \pi_{\hat{P}_{l_n}})$  and  $\hat{f}_{km}|_{T_k \times \{\gamma\}} = \gamma \cdot (\pi_{l_m} \circ \pi_{\hat{P}_{l_m}})$  for  $\beta \in B_l$  and  $\gamma \in \Gamma_m$ . Then  $e_{kl}$  is  $A_k$ -invariant and  $B_l$ -equivariant, and  $f_{km}$  is  $A_k$ -invariant and  $\Gamma_m$ -equivariant. Also  $e \circ \bar{\varphi}_k \circ \pi_{kl} = \bar{\chi}_l \circ e_{kl}$  on  $\pi_{kl}^{-1}(q_k^{-1}(0)) \subseteq P_{kl}$  and  $f \circ \bar{\varphi}_k \circ \pi_{km} = \bar{\psi}_m \circ f_{km}$  on  $\pi_{km}^{-1}(q_k^{-1}(0)) \subseteq P_{km}$ . And  $e_{kl}, f_{km}$  are  $\mathcal{D}$ , since  $\pi_{\hat{P}_{l_n}}, \pi_{\hat{P}_{l_m}}$  are as (11.47) is a fibre product in  $\mathbf{Man}_{\mathcal{D}}$ , and  $\beta \cdot \pi_{l_n}, \gamma \cdot \pi_{l_n}$  are étale.

Define morphisms  $\hat{e}_{kl} : \pi_{kl}^*(C_k) \rightarrow e_{kl}^*(D_l)$  and  $\hat{f}_{km} : \pi_{km}^*(C_k) \rightarrow f_{km}^*(E_m)$  by

$$\hat{e}_{kl}|_{T_k \times \{\beta\}} = (\pi_{l_n} \circ \pi_{\hat{P}_{l_n}})^*(\beta^\heartsuit) \circ \pi_{D_l}, \quad \hat{f}_{km}|_{T_k \times \{\gamma\}} = (\pi_{l_m} \circ \pi_{\hat{P}_{l_m}})^*(\gamma^\heartsuit) \circ \pi_{E_m}$$

for all  $\beta \in B_l$  and  $\gamma \in \Gamma_m$ , where  $\beta^\heartsuit : D_l \rightarrow \beta^*(D_l)$  is the isomorphism from the lift of the  $B_l$ -action on  $U_l$  to  $D_l$ , with  $\beta^*$  the pullback by  $\beta \cdot : U_l \rightarrow U_l$ , and similarly for  $\gamma^\heartsuit$ . Then  $\hat{e}_{kl}$  is  $(A_k \times B_l)$ -equivariant, and  $\hat{f}_{km}$  is  $(A_k \times \Gamma_m)$ -equivariant. We have

$$\begin{aligned} \hat{e}_{kl}(\pi_{kl}^*(q_k))|_{T_k \times \{\beta\}} &= (\pi_{l_n} \circ \pi_{\hat{P}_{l_n}})^*(\beta^\heartsuit) \circ \pi_{D_l}(\pi_{kl}^*(q_k)) \\ &= (\pi_{l_n} \circ \pi_{\hat{P}_{l_n}})^*(\beta^\heartsuit) \circ (\pi_{l_n} \circ \pi_{\hat{P}_{l_n}})^*(r_l) = (\pi_{l_n} \circ \pi_{\hat{P}_{l_n}})^*(\beta^\heartsuit(r_l)) \\ &= (\pi_{l_n} \circ \pi_{\hat{P}_{l_n}})^*(\beta^*(r_l)) = e_{kl}^*(r_l)|_{T_k \times \{\beta\}}, \end{aligned}$$

using (11.52) in the second step and  $\beta^\heartsuit(r_l) = \beta^*(r_l)$  as  $r_l$  is  $B_l$ -equivariant in the fourth. As this holds for all  $\beta \in B_l$  we see that  $\hat{e}_{kl}(\pi_{kl}^*(q_k)) = e_{kl}^*(r_l)$ , and similarly  $\hat{f}_{km}(\pi_{km}^*(q_k)) = f_{km}^*(s_m)$ .

Set  $e_{kl} = (P_{kl}, \pi_{kl}, e_{kl}, \hat{e}_{kl})$  and  $f_{km} = (P_{km}, \pi_{km}, f_{km}, \hat{f}_{km})$ . Then  $e_{kl} : (T_k, C_k, A_k, q_k) \rightarrow (U_l, D_l, B_l, r_l)$  and  $f_{km} : (T_k, C_k, A_k, q_k) \rightarrow (V_m, E_m, \Gamma_m, s_m)$  are 1-morphisms in  $\mathbf{G\check{K}N}_{\mathcal{D}}$ , as we have verified Definition 6.2(a)–(e) for  $e_{kl}, f_{km}$  above, and  $e_{kl}, f_{km}$  are  $\mathcal{D}$ .

Form the compositions  $\mathbf{g}_{ln} \circ \mathbf{e}_{kl}, \mathbf{h}_{mn} \circ \mathbf{f}_{kn} : (T_k, C_k, A_k, q_k) \rightarrow (W_n, F_n, \Delta_n, t_n)$  using Definition 6.5, where we write

$$\mathbf{g}_{ln} \circ \mathbf{e}_{kl} = (P_{kln}, \pi_{kln}, a_{kln}, \hat{a}_{kln}), \quad \mathbf{h}_{mn} \circ \mathbf{f}_{km} = (P_{kmn}, \pi_{kmn}, b_{kmn}, \hat{b}_{kmn}).$$

Then by Definition 6.5 we have

$$P_{kln} = (P_{kl} \times_{e_{kl}, U_l, \pi_{ln}} P_{ln}) / B_l = ((T_k \times B_l) \times_{e_{kl}, U_l, \pi_{ln}} P_{ln}) / B_l.$$

Define a morphism  $\Phi_{kln} : T_k \times \Delta_n \rightarrow P_{kln}$  in  $\mathbf{Man}$  at the level of points by

$$\Phi_{kln}(t, \delta) = ((t, 1), \delta \cdot \pi_{\hat{P}_{ln}}(t)) B_l.$$

We claim  $\Phi_{kln}$  is a diffeomorphism. To see this, first note that the quotient  $B_l$ -action acts freely on the  $B_l$  factor in  $T_k \times B_l$ , so we can restrict to  $T_k \times \{1\}$  and omit the quotient, giving  $P_{kln} \cong T_k \times_{\pi_{ln} \circ \pi_{\hat{P}_{ln}}, U_l, \pi_{ln}} P_{ln}$ . Then observe that if  $(t, p) \in T_k \times_{U_l} P_{ln}$  then  $\pi_{ln}[\pi_{\hat{P}_{ln}}(t)] = \pi_{ln}[u]$ , but  $\pi_{ln} : P_{ln} \rightarrow U_l$  is a principal  $\Delta_n$ -bundle, so there exists a unique  $\delta \in \Delta_n$  with  $p = \delta \cdot \pi_{\hat{P}_{ln}}(t)$ , and therefore  $T_k \times \Delta_n \cong T_k \times_{U_l} P_{ln}$ .

If we identify  $P_{kln} = T_k \times \Delta_n$  using  $\Phi_{kln}$ , then we find from Definition 6.5 that  $A_k \times \Delta_n$  acts on  $P_{kln}$  by

$$((\beta, \gamma, \delta), \delta') : (t, \delta'') \mapsto ((\beta, \gamma, \delta) \cdot t, \delta' \delta'' \delta^{-1}), \quad (11.53)$$

and  $\pi_{kln} : P_{kln} \rightarrow T_k, a_{kln} : P_{kln} \rightarrow W_n, \hat{a}_{kln} : \pi_{kln}^*(C_k) \rightarrow a_{kln}^*(F_n)$  act by

$$\begin{aligned} \pi_{kln} : (t, \delta) &\mapsto t, & a_{kln} : (t, \delta) &\mapsto \delta \cdot g_{ln} \circ \pi_{\hat{P}_{ln}}(t), \\ \hat{a}_{kln}|_{(t, \delta)} &= \hat{g}_{ln}|_{\delta \cdot \pi_{\hat{P}_{ln}}(t)} \circ \pi_{D_l}|_t = \delta^\heartsuit|_{g_{ln} \circ \pi_{\hat{P}_{ln}}(t)} \circ \hat{g}_{ln}|_{\pi_{\hat{P}_{ln}}(t)} \circ \pi_{D_l}|_t. \end{aligned}$$

Similarly, there is a natural diffeomorphism  $\Phi_{kmn} : T_k \times \Delta_n \rightarrow P_{kmn}$ , and if we use it to identify  $P_{kmn} = T_k \times \Delta_n$  then  $A_k \times \Delta_n$  acts on  $P_{kmn}$  as in (11.53), and  $\pi_{kmn} : P_{kmn} \rightarrow T_k, b_{kmn} : P_{kmn} \rightarrow W_n, \hat{b}_{kmn} : \pi_{kmn}^*(C_k) \rightarrow b_{kmn}^*(F_n)$  act by

$$\begin{aligned} \pi_{kmn} : (t, \delta) &\mapsto t, & b_{kmn} : (t, \delta) &\mapsto \delta \cdot h_{mn} \circ \pi_{\hat{P}_{mn}}(t), \\ \hat{b}_{kmn}|_{(t, \delta)} &= \delta^\heartsuit|_{h_{mn} \circ \pi_{\hat{P}_{mn}}(t)} \circ \hat{h}_{mn}|_{\pi_{\hat{P}_{mn}}(t)} \circ \pi_{E_m}|_t. \end{aligned}$$

Since  $g_{ln} \circ \pi_{\hat{P}_{ln}} = h_{mn} \circ \pi_{\hat{P}_{mn}}$  by (11.47), and (11.51) holds, we see that these identifications  $P_{kln} = T_k \times \Delta_n = P_{kmn}$  are  $A_k \times \Delta_n$ -equivariant and identify  $\pi_{kln}, a_{kln}, \hat{a}_{kln}$  with  $\pi_{kmn}, b_{kmn}, \hat{b}_{kmn}$ . That is, we have found a strict isomorphism between the 1-morphisms  $\mathbf{g}_{ln} \circ \mathbf{e}_{kl}, \mathbf{h}_{mn} \circ \mathbf{f}_{kn}$ . It follows that

$$\boldsymbol{\eta}_{klmn} = [P_{kln}, \Phi_{kmn} \circ \Phi_{kln}^{-1}, 0] : \mathbf{g}_{ln} \circ \mathbf{e}_{kl} \implies \mathbf{h}_{mn} \circ \mathbf{f}_{kn}$$

is a 2-morphism in  $\mathbf{GKN}_D$ , and we have a 2-commutative diagram in  $\mathbf{GKN}_D$ :

$$\begin{array}{ccc} (T_k, C_k, A_k, q_k) & \xrightarrow{\quad \mathbf{f}_{km} \quad} & (V_m, E_m, \Gamma_m, s_m) \\ \downarrow \mathbf{e}_{kl} & \boldsymbol{\eta}_{klmn} \uparrow & \mathbf{h}_{mn} \downarrow \\ (U_l, D_l, B_l, r_l) & \xrightarrow{\quad \mathbf{g}_{ln} \quad} & (W_n, F_n, \Delta_n, t_n). \end{array} \quad (11.54)$$

If  $g_{ln}, h_{mn}$  are transverse, not just w-transverse, then (11.49) is an isomorphism, not just surjective, so  $C_k$  is the zero vector bundle, as it is the kernel of (11.49). Thus  $(T_k, C_k, A_k, q_k, )$  is a quotient orbifold  $[T_k/A_k]$ .

**Theorem 11.43.** *In Definition 11.42, equation (11.54) is 2-Cartesian in both  $\mathbf{G\check{K}N}_D$  and  $\mathbf{G\check{K}N}_E$  in the sense of Definition A.11, so that  $(T_k, C_k, A_k, q_k)$  is a fibre product in the 2-categories  $\mathbf{G\check{K}N}_D, \mathbf{G\check{K}N}_E$ , as in (11.46).*

The proof of Theorem 11.43 is the orbifold analogue of the proof of Theorem 11.17 in §11.8, and we leave it as a (long and rather dull) exercise for the reader.

### 11.5.3 (W-)transversality and fibre products in $\mathring{\mathbf{K}ur}_D$

Here are the analogues of Definition 11.18 and Theorem 11.19.

**Definition 11.44.** Let  $g : X \rightarrow Z, h : Y \rightarrow Z$  be 1-morphisms in  $\mathring{\mathbf{K}ur}_D$ . We call  $g, h$  or *w-transverse* (or *transverse*), if whenever  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ , there exist Kuranishi neighbourhoods  $(U_l, D_l, B_l, r_l, \chi_l), (V_m, E_m, \Gamma_m, s_m, \psi_m), (W_n, F_n, \Delta_n, t_n, \omega_n)$  on  $X, Y, Z$  as in §6.4 with  $x \in \text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n), y \in \text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$  and  $z \in \text{Im } \omega_n$ , and 1-morphisms  $g_{ln} : (U_l, D_l, B_l, r_l, \chi_l) \rightarrow (W_n, F_n, \Delta_n, t_n, \omega_n), h_{mn} : (V_m, E_m, \Gamma_m, s_m, \psi_m) \rightarrow (W_n, F_n, \Delta_n, t_n, \omega_n)$  over  $(\text{Im } \chi_l, g)$  and  $(\text{Im } \psi_m, h)$ , as in Definition 6.44, such that  $g_{ln}, h_{mn}$  are w-transverse (or transverse), as in Definition 11.42.

We call  $g$  a *w-submersion* (or a *submersion*), if whenever  $x \in X$  with  $g(x) = z \in Z$ , there exist Kuranishi neighbourhoods  $(U_l, D_l, B_l, r_l, \chi_l), (W_n, F_n, \Delta_n, t_n, \omega_n)$  on  $X, Z$  as in §6.4 with  $x \in \text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n), z \in \text{Im } \omega_n$ , and a 1-morphism  $g_{ln} : (U_l, D_l, B_l, r_l, \chi_l) \rightarrow (W_n, F_n, \Delta_n, t_n, \omega_n)$  over  $(\text{Im } \chi_l, g)$ , as in Definition 6.44, such that  $g_{ln}$  is a w-submersion (or a submersion, respectively), as in Definition 11.42.

Suppose  $g : X \rightarrow Z$  is a w-submersion, and  $h : Y \rightarrow Z$  is any  $D$  1-morphism in  $\mathring{\mathbf{K}ur}$ . Let  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$ . As  $g$  is a w-submersion we can choose  $g_{ln} : (U_l, D_l, B_l, r_l, \chi_l) \rightarrow (W_n, F_n, \Delta_n, t_n, \omega_n)$  with  $x \in \text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n), z \in \text{Im } \omega_n$ , and  $g_{ln}$  a w-submersion. Choose any Kuranishi neighbourhood  $(V_m, E_m, \Gamma_m, s_m, \psi_m)$  on  $Y$  with  $y \in \text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$ . Then Theorem 6.45(b) gives a  $D$  1-morphism  $h_{mn} : (V_m, E_m, \Gamma_m, s_m, \psi_m) \rightarrow (W_n, F_n, \Delta_n, t_n, \omega_n)$  over  $(\text{Im } \psi_m, h)$ , and  $g_{ln}, h_{mn}$  are w-transverse as  $g_{ln}$  is a w-submersion. Hence  $g, h$  are w-transverse.

Similarly, suppose  $g : X \rightarrow Z$  is a submersion, and  $h : Y \rightarrow Z$  is a  $D$  1-morphism in  $\mathring{\mathbf{K}ur}$  such that  $Y$  is an orbifold as in Proposition 6.64, that is,  $Y \simeq F_{\mathring{\mathbf{O}rb}}^{\mathring{\mathbf{K}ur}}(\mathfrak{Y})$  for  $\mathfrak{Y} \in \mathring{\mathbf{O}rb}$ . Then for  $x \in X$  and  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$  we can choose  $g_{ln}, h_{mn}$  as above with  $g_{ln}$  a submersion and  $E_m = 0$ , so that  $g_{ln}, h_{mn}$  are transverse. Hence  $g, h$  are transverse.

**Theorem 11.45.** *Let  $g : X \rightarrow Z, h : Y \rightarrow Z$  be w-transverse 1-morphisms in  $\mathring{\mathbf{K}ur}_D$ . Then there exists a fibre product  $W = X_{g,Z,h}Y$  in  $\mathring{\mathbf{K}ur}_D$ , as in §A.4,*

with  $\text{vdim } \mathbf{W} = \text{vdim } \mathbf{X} + \text{vdim } \mathbf{Y} - \text{vdim } \mathbf{Z}$ , in a 2-Cartesian square:

$$\begin{array}{ccc} \mathbf{W} & \xrightarrow{\quad f \quad} & \mathbf{Y} \\ \downarrow e & \eta \uparrow & \downarrow h \\ \mathbf{X} & \xrightarrow{\quad g \quad} & \mathbf{Z}. \end{array} \quad (11.55)$$

Equation (11.55) is also 2-Cartesian in  $\dot{\mathbf{K}}\text{ur}_{\mathbf{E}}$ , so  $\mathbf{W}$  is also a fibre product  $\mathbf{X}_{g,\mathbf{Z},h}\mathbf{Y}$  in  $\dot{\mathbf{K}}\text{ur}_{\mathbf{E}}$ . Furthermore:

(a) If  $g, h$  are transverse then  $\mathbf{W}$  is an orbifold, as in Proposition 6.64. In particular, if  $g$  is a submersion and  $\mathbf{Y}$  is an orbifold, then  $\mathbf{W}$  is an orbifold.

(b) Suppose  $(U_l, D_l, B_l, r_l, \chi_l)$ ,  $(V_m, E_m, \Gamma_m, s_m, \psi_m)$ ,  $(W_n, F_n, \Delta_n, t_n, \omega_n)$  are Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$ , as in §6.4, with  $\text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n)$  and  $\text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$ , and  $g_{ln} : (U_l, D_l, B_l, r_l, \chi_l) \rightarrow (W_n, F_n, \Delta_n, t_n, \omega_n)$ ,  $h_{mn} : (V_m, E_m, \Gamma_m, s_m, \psi_m) \rightarrow (W_n, F_n, \Delta_n, t_n, \omega_n)$  are 1-morphisms of Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  over  $(\text{Im } \chi_l, g)$  and  $(\text{Im } \psi_m, h)$ , as in §6.4, such that  $g_{ln}, h_{mn}$  are  $w$ -transverse, as in §11.5.2. Then there exist a Kuranishi neighbourhood  $(T_k, C_k, A_k, q_k, \varphi_k)$  on  $\mathbf{W}$  with  $\text{Im } \varphi_k = e^{-1}(\text{Im } \chi_l) \cap f^{-1}(\text{Im } \psi_m) \subseteq W$ , and 1-morphisms  $e_{kl} : (T_k, C_k, A_k, q_k, \varphi_k) \rightarrow (U_l, D_l, B_l, r_l, \chi_l)$  over  $(\text{Im } \varphi_k, e)$  and  $f_{km} : (T_k, C_k, A_k, q_k, \varphi_k) \rightarrow (V_m, E_m, \Gamma_m, s_m, \psi_m)$  over  $(\text{Im } \varphi_k, f)$ , so that Theorem 6.45(c) gives a unique 2-morphism  $\eta_{klmn} : g_{ln} \circ e_{kl} \Rightarrow h_{mn} \circ f_{km}$  over  $(\text{Im } \varphi_k, g \circ e)$  constructed from  $\eta : g \circ e \Rightarrow h \circ f$ , such that  $T_k, C_k, A_k, q_k$  and  $e_{kl}, f_{km}, \eta_{klmn}$  are constructed from  $(U_l, D_l, B_l, r_l)$ ,  $(V_m, E_m, \Gamma_m, s_m)$ ,  $(W_n, F_n, \Delta_n, t_n)$  and  $g_{ln}, h_{mn}$  exactly as in Definition 11.42.

(c) If  $\dot{\mathbf{M}}\text{an}$  satisfies Assumption 11.3 then just as a set, the underlying topological space  $W$  in  $\mathbf{W} = (W, \mathcal{H})$  may be written

$$W = \{(x, y, C) : x \in X, y \in Y, C \in G_x \mathbf{g}(G_x \mathbf{X}) \backslash G_z \mathbf{Z} / G_y \mathbf{h}(G_y \mathbf{Y})\}, \quad (11.56)$$

where  $e, f$  map  $e : (x, y, C) \mapsto x$ ,  $f : (x, y, C) \mapsto y$ . The isotropy groups satisfy

$$G_{(x,y,C)} \mathbf{W} \cong \{(\alpha, \beta) \in G_x \mathbf{X} \times G_y \mathbf{Y} : G_x \mathbf{g}(\alpha) \gamma G_y \mathbf{h}(\beta^{-1}) = \gamma\}$$

for fixed  $\gamma \in C \subseteq G_z \mathbf{Z}$ .

(d) If  $\dot{\mathbf{M}}\text{an}$  satisfies Assumption 11.4(a) and (11.55) is a 2-Cartesian square in  $\dot{\mathbf{K}}\text{ur}_{\mathbf{D}}$  with  $g$  a  $w$ -submersion (or a submersion) then  $f$  is a  $w$ -submersion (or a submersion, respectively).

(e) If  $\dot{\mathbf{M}}\text{an}$  satisfies Assumption 10.1, with tangent spaces  $T_x X$ , and satisfies Assumption 11.5, then using the notation of §10.2, whenever (11.55) is 2-Cartesian in  $\dot{\mathbf{K}}\text{ur}_{\mathbf{D}}$  with  $g, h$   $w$ -transverse and  $w \in \mathbf{W}$  with  $e(w) = x$  in  $\mathbf{X}$ ,  $f(w) = y$  in  $\mathbf{Y}$  and  $g(x) = h(y) = z$  in  $\mathbf{Z}$ , for some possible choices of  $T_w e, T_w f, T_x g, T_y h, O_w e, O_w f, O_x g, O_y h$  in Definition 10.28 depending on  $w$ , the following is an exact sequence:

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_w \mathbf{W} & \xrightarrow{T_w e \oplus T_w f} & T_x \mathbf{X} \oplus T_y \mathbf{Y} & \xrightarrow{T_x g \oplus T_y h} & T_z \mathbf{Z} \\ & & & & & & \delta_{g,h} \downarrow \\ 0 & \longleftarrow & O_z \mathbf{Z} & \xleftarrow{O_x g \oplus O_y h} & O_x \mathbf{X} \oplus O_y \mathbf{Y} & \xleftarrow{O_w e \oplus O_w f} & O_w \mathbf{W}. \end{array} \quad (11.57)$$

Here  $\delta_w^{\mathbf{g}, \mathbf{h}} : T_z \mathbf{Z} \rightarrow O_w \mathbf{W}$  is a natural linear map defined as a connecting morphism, as in Definition 10.69.

(f) If  $\mathbf{Man}$  satisfies Assumption 10.19, with quasi-tangent spaces  $Q_x X$  in a category  $\mathcal{Q}$ , and satisfies Assumption 11.6, then whenever (11.55) is 2-Cartesian in  $\mathbf{Kur}_D$  with  $\mathbf{g}, \mathbf{h}$   $w$ -transverse and  $w \in \mathbf{W}$  with  $\mathbf{e}(w) = x$  in  $\mathbf{X}$ ,  $\mathbf{f}(w) = y$  in  $\mathbf{Y}$  and  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$ , the following is Cartesian in  $\mathcal{Q}$ :

$$\begin{array}{ccc} Q_w \mathbf{W} & \xrightarrow{\quad Q_w \mathbf{f} \quad} & Q_y \mathbf{Y} \\ \downarrow Q_w \mathbf{e} & & Q_y \mathbf{h} \downarrow \\ Q_x \mathbf{X} & \xrightarrow{\quad Q_x \mathbf{g} \quad} & Q_z \mathbf{Z}. \end{array}$$

(g) If  $\mathbf{Man}^c$  satisfies Assumption 3.22 in §3.4, so that we have a corner functor  $C : \mathbf{Man}^c \rightarrow \mathbf{Man}^c$  which extends to  $C : \mathbf{Kur}^c \rightarrow \mathbf{Kur}^c$  as in §6.3, and Assumption 11.1 holds for  $\mathbf{Man}^c$ , and Assumption 11.7 holds, then whenever (11.55) is 2-Cartesian in  $\mathbf{Kur}_D$  with  $\mathbf{g}, \mathbf{h}$   $w$ -transverse (or transverse), then the following is 2-Cartesian in  $\mathbf{Kur}_D^c$  and  $\mathbf{Kur}_E^c$ , with  $C(\mathbf{g}), C(\mathbf{h})$   $w$ -transverse (or transverse, respectively):

$$\begin{array}{ccc} C(\mathbf{W}) & \xrightarrow{\quad C(\mathbf{f}) \quad} & C(\mathbf{Y}) \\ \downarrow C(\mathbf{e}) & C(\mathbf{n}) \uparrow & C(\mathbf{h}) \downarrow \\ C(\mathbf{X}) & \xrightarrow{\quad C(\mathbf{g}) \quad} & C(\mathbf{Z}). \end{array}$$

Hence for  $i \geq 0$  we have

$$C_i(\mathbf{W}) \simeq \coprod_{\substack{j, k, l \geq 0: \\ i = j + k - l}} (C_j(\mathbf{X}) \cap C(\mathbf{g})^{-1}(C_l(\mathbf{Z}))) \times_{C(\mathbf{g}), C_l(\mathbf{Z}), C(\mathbf{h})} (C_k(\mathbf{Y}) \cap C(\mathbf{h})^{-1}(C_l(\mathbf{Z}))).$$

When  $i = 1$ , this computes the boundary  $\partial \mathbf{W}$ . In particular, if  $\partial \mathbf{Z} = \emptyset$ , so that  $C_l(\mathbf{Z}) = \emptyset$  for all  $l > 0$  by Assumption 3.22(f) with  $l = 1$ , we have

$$\partial \mathbf{W} \simeq (\partial \mathbf{X} \times_{\mathbf{g} \circ i_{\mathbf{X}}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}) \amalg (\mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h} \circ i_{\mathbf{Y}}} \partial \mathbf{Y}).$$

Also, if  $\mathbf{g}$  is a  $w$ -submersion (or a submersion), then  $C(\mathbf{g})$  is a  $w$ -submersion (or a submersion, respectively).

(h) If  $\mathbf{Man}$  satisfies Assumption 11.8, and  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  is a  $w$ -submersion in  $\mathbf{Kur}_D$ , and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  is any 1-morphism in  $\mathbf{Kur}_E$  (not necessarily in  $\mathbf{Kur}_D$ ), then a fibre product  $\mathbf{W} = \mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}$  exists in  $\mathbf{Kur}_E$ , with  $\dim \mathbf{W} = \dim \mathbf{X} + \dim \mathbf{Y} - \dim \mathbf{Z}$ , in a 2-Cartesian square (11.55) in  $\mathbf{Kur}_E$ . The analogues of (a)–(d) and (g) hold for these fibre products.

The proof of Theorem 11.45 is the orbifold analogue of the proof of Theorem 11.19 in §11.9, and we again leave it as an exercise for the reader. Most of the proof requires only cosmetic changes. For the construction of the fibre product  $\mathbf{W}$  we use Theorem 11.43 rather than Theorem 11.17, and we must include extra 2-morphisms  $\alpha_{*,*,*}, \beta_*, \gamma_*$  from §6.1 as Kuranishi neighbourhoods form a weak rather than a strict 2-category, but otherwise the proof is the same.

**Remark 11.46.** Theorem 11.45(c) should be compared with Theorem 11.19(c) and Theorem 11.39. In Theorem 11.45(c) we do not describe the topological space  $W$  of  $\mathbf{W} = \mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  (as we did in Theorem 11.19(c)), but only the underlying set, which is the same as for orbifold fibre products in Theorem 11.39. As in Remark 11.40(b), the topological space does not have an easy description.

A good way to think about this is that just as an m-Kuranishi space  $\mathbf{W}$  has an underlying topological space  $W$ , so a Kuranishi space  $\mathbf{W}$  has an underlying *Deligne–Mumford topological stack*  $\underline{W}$ , a kind of orbifold version of topological spaces, as in Noohi [58]. Such stacks form a 2-category  $\mathbf{Top}_{\mathbf{DM}}$ , and there is a weak 2-functor  $F_{\mathbf{Kur}}^{\mathbf{Top}_{\mathbf{DM}}} : \mathbf{Kur} \rightarrow \mathbf{Top}_{\mathbf{DM}}$  mapping  $\mathbf{W} \mapsto \underline{W}$ .

If  $\mathbf{Man}$  satisfies Assumption 11.3, so that  $F_{\mathbf{Man}}^{\mathbf{Top}} : \mathbf{Man} \rightarrow \mathbf{Top}$  takes transverse fibre products in  $\mathbf{Man}$  to fibre products in  $\mathbf{Top}$ , then the 2-functor  $F_{\mathbf{Kur}}^{\mathbf{Top}_{\mathbf{DM}}} : \mathbf{Kur} \rightarrow \mathbf{Top}_{\mathbf{DM}}$  takes w-transverse fibre products in  $\mathbf{Kur}$  to fibre products in  $\mathbf{Top}_{\mathbf{DM}}$ . So in Theorem 11.45(c) we could say that  $\underline{W} = \underline{X} \times_{g, \mathbf{Z}, h} \underline{Y}$  is a fibre product of topological stacks.

All of §11.2.3–§11.2.5 can now be generalized to Kuranishi spaces, mostly with only cosmetic changes. Here is the analogue of Theorem 11.22. The important difference is that as for transversality for orbifolds in Definition 11.38, we must include the action of  $\gamma \in G_z \mathbf{Z}$  on  $Q_y \mathbf{h} : Q_y \mathbf{Y} \rightarrow Q_z \mathbf{Z}$  in ‘condition  $\mathbf{T}$ ’, and on  $O_y \mathbf{h} : O_y \mathbf{Y} \rightarrow O_z \mathbf{Z}$  and  $T_y \mathbf{h} : T_y \mathbf{Y} \rightarrow T_z \mathbf{Z}$  in (11.58)–(11.59). This appears in the proof when we show the fibre product (11.47) is transverse in  $\mathbf{Man}$ , as several points in (11.47) can lie over each  $(x, y, z)$  for  $x \in \mathbf{X}$ ,  $y \in \mathbf{Y}$  with  $g(x) = h(y) = z$  in  $\mathbf{Z}$ , and the transversality conditions at these points depend on  $\gamma \in G_z \mathbf{Z}$ .

**Theorem 11.47.** *Let  $\mathbf{Man}^c$  satisfy Assumption 3.22, so that we have a corner functor  $C : \mathbf{Man}^c \rightarrow \mathbf{Man}^c$ , and suppose Assumption 11.9 holds for  $\mathbf{Man}^c$ . This requires that Assumption 10.1 holds, giving a notion of tangent spaces  $T_x X$  for  $X$  in  $\mathbf{Man}^c$ , and that Assumption 10.19 holds, giving a notion of quasi-tangent spaces  $Q_x X$  in a category  $\mathcal{Q}$  for  $X$  in  $\mathbf{Man}^c$ , and that Assumption 11.1 holds, giving discrete properties  $\mathbf{D}, \mathbf{E}$  of morphisms in  $\mathbf{Man}^c$  and notions of transverse morphisms  $g, h$  and submersions  $g$  in  $\mathbf{Man}_{\mathbf{D}}^c$ .*

*As in §6.3, §10.2 and §10.3, we define a 2-category  $\mathbf{Kur}^c$ , with a corner 2-functor  $C : \mathbf{Kur}^c \rightarrow \mathbf{Kur}^c$ , and notions of tangent, obstruction and quasi-tangent spaces  $T_x \mathbf{X}, O_x \mathbf{X}, Q_x \mathbf{X}$  for  $\mathbf{X}$  in  $\mathbf{Kur}^c$ .*

*Now Assumption 11.9(a),(d) involve a ‘condition  $\mathbf{T}$ ’ on morphisms  $g : X \rightarrow Z$ ,  $h : Y \rightarrow Z$  in  $\mathbf{Man}_{\mathbf{D}}^c$  and points  $x \in X$ ,  $y \in Y$  with  $g(x) = h(y) = z \in Z$ , and a ‘condition  $\mathbf{S}$ ’ on morphisms  $g : X \rightarrow Z$  in  $\mathbf{Man}_{\mathbf{D}}^c$  and points  $x \in X$  with  $g(x) = z \in Z$ . These conditions depend on the corner morphisms  $C(g), C(h)$  and on quasi-tangent maps  $Q_x g, Q_y h$ . Then:*

- (a) *Let  $g : \mathbf{X} \rightarrow \mathbf{Z}$ ,  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{Kur}_{\mathbf{D}}^c$ . Then  $g, h$  are w-transverse if and only if for all  $x \in \mathbf{X}$ ,  $y \in \mathbf{Y}$  with  $g(x) = h(y) = z$  in  $\mathbf{Z}$  and all  $\gamma \in G_z \mathbf{Z}$ , condition  $\mathbf{T}$  holds for  $g, h, x, y, z, \gamma$  using the morphisms  $Q_x g : Q_x \mathbf{X} \rightarrow Q_z \mathbf{Z}$  and  $\gamma \cdot Q_x h : Q_y \mathbf{Y} \rightarrow Q_z \mathbf{Z}$  in  $\mathcal{Q}$  in Assumption*

11.9(a)(i), where  $G_z\mathbf{Z}$  acts on  $Q_z\mathbf{Z}$ , and the following is surjective:

$$O_x\mathbf{g} \oplus (\gamma \cdot O_y\mathbf{h}) : O_x\mathbf{X} \oplus O_y\mathbf{Y} \longrightarrow O_z\mathbf{Z}. \quad (11.58)$$

If Assumption 10.9 also holds for tangent spaces  $T_x\mathbf{X}$  in  $\mathbf{Man}^c$  then  $\mathbf{g}, \mathbf{h}$  are transverse if and only if for all  $x \in \mathbf{X}$  and  $y \in \mathbf{Y}$  with  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$ , condition  $\mathbf{T}$  holds for  $\mathbf{g}, \mathbf{h}, x, y, z, \gamma$  as above, equation (11.58) is an isomorphism, and the following linear map is surjective:

$$T_x\mathbf{g} \oplus (\gamma \cdot T_y\mathbf{h}) : T_x\mathbf{X} \oplus T_y\mathbf{Y} \longrightarrow T_z\mathbf{Z}. \quad (11.59)$$

(b) Let  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  be a 1-morphism in  $\mathbf{Kur}_D^c$ . Then  $\mathbf{g}$  is a w-submersion if and only if for all  $x \in \mathbf{X}$  with  $\mathbf{g}(x) = z$  in  $\mathbf{Z}$ , condition  $\mathbf{S}$  holds for  $\mathbf{g}, x, z$ , and the following linear map is surjective:

$$O_x\mathbf{g} : O_x\mathbf{X} \longrightarrow O_z\mathbf{Z}. \quad (11.60)$$

If Assumption 10.9 also holds then  $\mathbf{g}$  is a submersion if and only if for all  $x \in \mathbf{X}$  with  $\mathbf{g}(x) = z$  in  $\mathbf{Z}$ , condition  $\mathbf{S}$  holds for  $\mathbf{g}, x, z$ , equation (11.60) is an isomorphism, and the following is surjective:

$$T_x\mathbf{g} : T_x\mathbf{X} \longrightarrow T_z\mathbf{Z}.$$

For the analogue of Theorem 11.25 we require  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  to be *locally orientable* Kuranishi spaces, as in §10.7.6, so that the canonical bundles  $K_{\mathbf{X}}, K_{\mathbf{Y}}, K_{\mathbf{Z}}$  are defined as in Theorem 10.83. Then the w-transverse fibre product  $\mathbf{W} = \mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}$  in  $\mathbf{Kur}_D$  is also locally orientable, so that (11.24) makes sense.

**Remark 11.48.** We can relate Theorem 11.45(c),(e) and Theorem 11.47(a) as follows. Let  $\mathbf{Man}$  satisfy all the relevant assumptions, consider a w-transverse fibre product  $\mathbf{W} = \mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}$  in  $\mathbf{Kur}$ , and suppose  $x \in \mathbf{X}$  and  $y \in \mathbf{Y}$  with  $\mathbf{g}(x) = \mathbf{h}(y) = z \in \mathbf{Z}$ . Defining the morphisms  $G_x\mathbf{g} : G_x\mathbf{X} \rightarrow G_z\mathbf{Z}$  and  $G_y\mathbf{h} : G_y\mathbf{Y} \rightarrow G_z\mathbf{Z}$  in §6.5 requires arbitrary choices. The same arbitrary choices are involved in the description (11.56) of  $W$  as a set, and in the linear maps  $T_x\mathbf{g}, O_x\mathbf{g}, T_x\mathbf{h}, O_x\mathbf{h}$  from §10.2.3 involved in (11.57)–(11.59).

If we take (11.56)–(11.59) all to be defined using the same arbitrary choices for  $G_x\mathbf{g}, G_y\mathbf{h}$ , and we write  $w \in W$  as  $(x, y, C)$  as in (11.56) with  $\gamma \in C \subseteq G_z\mathbf{Z}$ , then we may rewrite (11.57) as the exact sequence:

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_{(x,y,C)}\mathbf{W} & \longrightarrow & T_x\mathbf{X} \oplus T_y\mathbf{Y} & \xrightarrow{T_x\mathbf{g} \oplus (\gamma \cdot T_y\mathbf{h})} & T_z\mathbf{Z} \\ & & & & & & \downarrow \\ 0 & \longleftarrow & O_z\mathbf{Z} & \xleftarrow{O_x\mathbf{g} \oplus (\gamma \cdot O_y\mathbf{h})} & O_x\mathbf{X} \oplus O_y\mathbf{Y} & \longleftarrow & O_{(x,y,C)}\mathbf{W}. \end{array} \quad (11.61)$$

Thus we see that:

- We need (11.61) to be exact for all  $C \in G_x \mathbf{g}(G_x \mathbf{X}) \backslash G_z \mathbf{Z} / G_y \mathbf{h}(G_y \mathbf{Y})$ , and hence for all  $\gamma \in G_z \mathbf{Z}$ . Thus it is necessary for  $O_x \mathbf{g} \oplus (\gamma \cdot O_y \mathbf{h})$  to be surjective for all  $\gamma \in G_z \mathbf{Z}$  for w-transverse  $\mathbf{g}, \mathbf{h}$ , as in Theorem 11.47(a).
- If  $\mathbf{g}, \mathbf{h}$  are transverse then  $\mathbf{W}$  is a manifold, and  $O_{(x,y,C)} \mathbf{W} = 0$  for all  $(x, y, C)$ . Thus by (11.61) it is necessary that  $O_x \mathbf{g} \oplus (\gamma \cdot O_y \mathbf{h})$  is an isomorphism and  $T_x \mathbf{g} \oplus (\gamma \cdot T_y \mathbf{h})$  is surjective for all  $\gamma \in G_z \mathbf{Z}$  for transverse  $\mathbf{g}, \mathbf{h}$ , as in Theorem 11.47(a).

## 11.6 Fibre products in $\mathbf{Kur}, \mathbf{Kur}_{\text{st}}^c, \mathbf{Kur}^{\text{gc}}$ and $\mathbf{Kur}^c$

We now generalize §11.3 to Kuranishi spaces, using the material of §11.5.

### 11.6.1 Fibre products in $\mathbf{Kur}$

As in §11.3.1, take  $\dot{\mathbf{Man}}$  to be the category of classical manifolds  $\mathbf{Man}$ , with corresponding 2-category of Kuranishi spaces  $\mathbf{Kur}$  as in Definition 6.29. We will use tangent spaces  $T_x \mathbf{X}$  for  $\mathbf{X}$  in  $\mathbf{Kur}$  defined using ordinary tangent spaces  $T_v V$  in  $\mathbf{Man}$ . Definition 2.21 in §2.5.1 defines transverse morphisms and submersions in  $\mathbf{Man}$ . As in Example 11.10, these satisfy Assumptions 11.1, 11.3–11.5 and 11.9. So Definition 11.44 defines (w-)transverse 1-morphisms and (w-)submersions in  $\mathbf{Kur}$ . Here is the analogue of Theorem 11.28:

**Theorem 11.49. (a)** *Let  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{Kur}$ . Then  $\mathbf{g}, \mathbf{h}$  are w-transverse if and only if for all  $x \in \mathbf{X}, y \in \mathbf{Y}$  with  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$  and all  $\gamma \in G_z \mathbf{Z}$ , the following is surjective:*

$$O_x \mathbf{g} \oplus (\gamma \cdot O_y \mathbf{h}) : O_x \mathbf{X} \oplus O_y \mathbf{Y} \longrightarrow O_z \mathbf{Z}. \quad (11.62)$$

*This is automatic if  $\mathbf{Z}$  is an orbifold. Also  $\mathbf{g}, \mathbf{h}$  are transverse if and only if for all  $x, y, z, \gamma$ , equation (11.62) is an isomorphism, and the following is surjective:*

$$T_x \mathbf{g} \oplus (\gamma \cdot T_y \mathbf{h}) : T_x \mathbf{X} \oplus T_y \mathbf{Y} \longrightarrow T_z \mathbf{Z}.$$

**(b)** *If  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  are w-transverse in  $\mathbf{Kur}$  then a fibre product  $\mathbf{W} = \mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}$  exists in  $\mathbf{Kur}$ , in a 2-Cartesian square:*

$$\begin{array}{ccc} \mathbf{W} & \xrightarrow{\quad f \quad} & \mathbf{Y} \\ \downarrow e & \eta \uparrow & \downarrow h \\ \mathbf{X} & \xrightarrow{\quad g \quad} & \mathbf{Z}. \end{array} \quad (11.63)$$

*It has  $\text{vdim } \mathbf{W} = \text{vdim } \mathbf{X} + \text{vdim } \mathbf{Y} - \text{vdim } \mathbf{Z}$ . Just as a set, the underlying topological space  $W$  in  $\mathbf{W} = (W, \mathcal{H})$  may be written*

$$W = \{(x, y, C) : x \in X, y \in Y, C \in G_x \mathbf{g}(G_x \mathbf{X}) \backslash G_z \mathbf{Z} / G_y \mathbf{h}(G_y \mathbf{Y})\},$$



where  $e, \mathbf{f}$  map  $e : (x, y, C) \mapsto x$ ,  $\mathbf{f} : (x, y, C) \mapsto y$ . The isotropy groups satisfy

$$G_{(x,y,C)}\mathbf{W} \cong \{(\alpha, \beta) \in G_x\mathbf{X} \times G_y\mathbf{Y} : G_x\mathbf{g}(\alpha) \gamma G_y\mathbf{h}(\beta^{-1}) = \gamma\}$$

for fixed  $\gamma \in C \subseteq G_z\mathbf{Z}$ . If  $w \in \mathbf{W}$  with  $e(w) = x$  in  $\mathbf{X}$ ,  $\mathbf{f}(w) = y$  in  $\mathbf{Y}$  and  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$ , for some possible choices of  $T_w\mathbf{e}, T_w\mathbf{f}, \dots, O_y\mathbf{h}$  in Definition 10.28 depending on  $w$ , the following is an exact sequence:

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_w\mathbf{W} & \xrightarrow{T_w\mathbf{e} \oplus T_w\mathbf{f}} & T_x\mathbf{X} \oplus T_y\mathbf{Y} & \xrightarrow{T_x\mathbf{g} \oplus T_y\mathbf{h}} & T_z\mathbf{Z} \\ & & & & & & \delta_w^{\mathbf{g}, \mathbf{h}} \downarrow \\ 0 & \longleftarrow & O_z\mathbf{Z} & \xleftarrow{O_x\mathbf{g} \oplus O_y\mathbf{h}} & O_x\mathbf{X} \oplus O_y\mathbf{Y} & \xleftarrow{O_w\mathbf{e} \oplus O_w\mathbf{f}} & O_w\mathbf{W}. \end{array}$$

If  $\mathbf{g}, \mathbf{h}$  are transverse then  $\mathbf{W}$  is an orbifold.

(c) In part (b), using the theory of canonical bundles and orientations from §10.7.6, suppose  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are locally orientable. Then  $\mathbf{W}$  is also locally orientable, and there is a natural isomorphism of topological line bundles on  $W$ :

$$\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}} : K_{\mathbf{W}} \longrightarrow e^*(K_{\mathbf{X}}) \otimes f^*(K_{\mathbf{Y}}) \otimes (g \circ e)^*(K_{\mathbf{Z}})^*. \quad (11.64)$$

Hence if  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are oriented there is a unique orientation on  $\mathbf{W}$ , called the **fibre product orientation**, such that (11.64) is orientation-preserving. Proposition 11.26 holds for these fibre product orientations.

(d) Let  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  be a 1-morphism in  $\mathbf{Kur}$ . Then  $\mathbf{g}$  is a  $w$ -submersion if and only if  $O_x\mathbf{g} : O_x\mathbf{X} \rightarrow O_z\mathbf{Z}$  is surjective for all  $x \in \mathbf{X}$  with  $\mathbf{g}(x) = z$  in  $\mathbf{Z}$ . Also  $\mathbf{g}$  is a submersion if and only if  $O_x\mathbf{g} : O_x\mathbf{X} \rightarrow O_z\mathbf{Z}$  is an isomorphism and  $T_x\mathbf{g} : T_x\mathbf{X} \rightarrow T_z\mathbf{Z}$  is surjective for all  $x, z$ .

(e) If  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  are 1-morphisms in  $\mathbf{Kur}$  with  $\mathbf{g}$  a  $w$ -submersion then  $\mathbf{g}, \mathbf{h}$  are  $w$ -transverse. If  $\mathbf{g}$  is a submersion and  $\mathbf{Y}$  is an orbifold then  $\mathbf{g}, \mathbf{h}$  are transverse.

(f) If (11.63) is 2-Cartesian in  $\mathbf{Kur}$  with  $\mathbf{g}$  a  $w$ -submersion (or a submersion) then  $\mathbf{f}$  is a  $w$ -submersion (or a submersion).

(g) Compositions and products of ( $w$ -)submersions in  $\mathbf{Kur}$  are ( $w$ -)submersions. Projections  $\pi_{\mathbf{X}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{X}$  in  $\mathbf{Kur}$  are  $w$ -submersions.

## 11.6.2 Fibre products in $\mathbf{Kur}_{\text{st}}^{\mathbf{c}}$ and $\mathbf{Kur}^{\mathbf{c}}$

In §2.5.2, working in the subcategory  $\mathbf{Man}_{\text{st}}^{\mathbf{c}} \subset \mathbf{Man}^{\mathbf{c}}$  from §2.1, we defined  $s$ -transverse and  $t$ -transverse morphisms and  $s$ -submersions. Example 11.11 explained how make these satisfy Assumptions 11.1 and x11.3–11.9.

The next theorem is the analogue of Theorem 11.32. Here  $\mathbf{Kur}_{\text{st}}^{\mathbf{c}} \subset \mathbf{Kur}^{\mathbf{c}}$  are the 2-categories of Kuranishi spaces corresponding to  $\mathbf{Man}_{\text{st}}^{\mathbf{c}} \subset \mathbf{Man}^{\mathbf{c}}$  as in Definition 6.29, the corner functors  $C, C' : \mathbf{Kur}_{\text{st}}^{\mathbf{c}} \rightarrow \mathbf{Kur}_{\text{st}}^{\mathbf{c}}$  and  $C, C' : \mathbf{Kur}^{\mathbf{c}} \rightarrow \mathbf{Kur}^{\mathbf{c}}$  are as in (6.36), (stratum) tangent spaces  $T_x\mathbf{X}, \bar{T}_x\mathbf{X}$  are as in Example 10.25(i), (iii), and stratum normal spaces  $\bar{N}_x\mathbf{X}$  are as in Example 10.32(a).

We use the notation  $ws$ -transverse,  $wt$ -transverse, and  $ws$ -submersions for the notions of  $w$ -transverse and  $w$ -submersion in  $\mathbf{Kur}_{\text{st}}^{\mathbf{c}}$  corresponding to  $s$ - and

t-transverse morphisms and s-submersions, and *s-transverse*, *t-transverse*, and *s-submersions* for the corresponding notions of transverse and submersion.

**Theorem 11.50. (a)** *Let  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{Kur}_{\text{st}}^c$ . Then  $\mathbf{g}, \mathbf{h}$  are ws-transverse if and only if for all  $x \in \mathbf{X}$ ,  $y \in \mathbf{Y}$  with  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$  and all  $\gamma \in G_z \mathbf{Z}$ , the following linear maps are surjective:*

$$\tilde{O}_x \mathbf{g} \oplus (\gamma \cdot \tilde{O}_y \mathbf{h}) : \tilde{O}_x \mathbf{X} \oplus \tilde{O}_y \mathbf{Y} \longrightarrow \tilde{O}_z \mathbf{Z}, \quad (11.65)$$

$$\tilde{N}_x \mathbf{g} \oplus (\gamma \cdot \tilde{N}_y \mathbf{h}) : \tilde{N}_x \mathbf{X} \oplus \tilde{N}_y \mathbf{Y} \longrightarrow \tilde{N}_z \mathbf{Z}. \quad (11.66)$$

*This is automatic if  $\mathbf{Z}$  is a classical orbifold. Also  $\mathbf{g}, \mathbf{h}$  are s-transverse if and only if for all  $x, y, z, \gamma$ , equation (11.65) is an isomorphism, and (11.66) and the following are surjective:*

$$\tilde{T}_x \mathbf{g} \oplus (\gamma \cdot \tilde{T}_y \mathbf{h}) : \tilde{T}_x \mathbf{X} \oplus \tilde{T}_y \mathbf{Y} \longrightarrow \tilde{T}_z \mathbf{Z}.$$

*Furthermore,  $\mathbf{g}, \mathbf{h}$  are wt-transverse (or t-transverse) if and only if they are ws-transverse (or s-transverse), and for all  $x, y, z$  as above, whenever  $\mathbf{x} \in C_j(\mathbf{X})$  and  $\mathbf{y} \in C_k(\mathbf{Y})$  with  $\mathbf{\Pi}_j(\mathbf{x}) = x$ ,  $\mathbf{\Pi}_k(\mathbf{y}) = y$ , and  $C(\mathbf{g})\mathbf{x} = C(\mathbf{h})\mathbf{y} = z$  in  $C_l(\mathbf{Z})$ , we have  $j + k \geq l$ , and there is exactly one triple  $(\mathbf{x}, \mathbf{y}, z)$  with  $j + k = l$ .*

**(b)** *If  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  are ws-transverse in  $\mathbf{Kur}_{\text{st}}^c$  then a fibre product  $\mathbf{W} = \mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}$  exists in  $\mathbf{Kur}_{\text{st}}^c$ , in a 2-Cartesian square:*

$$\begin{array}{ccc} \mathbf{W} & \xrightarrow{\quad \quad \quad} & \mathbf{Y} \\ \downarrow e & \begin{array}{c} \mathbf{f} \quad \eta \uparrow \\ \quad \quad \quad \mathbf{g} \end{array} & \downarrow h \\ \mathbf{X} & \xrightarrow{\quad \quad \quad} & \mathbf{Z}. \end{array} \quad (11.67)$$

*It has  $\text{vdim } \mathbf{W} = \text{vdim } \mathbf{X} + \text{vdim } \mathbf{Y} - \text{vdim } \mathbf{Z}$ . Just as a set, the underlying topological space  $W$  in  $\mathbf{W} = (W, \mathcal{H})$  may be written*

$$W = \{(x, y, C) : x \in X, y \in Y, C \in G_x \mathbf{g}(G_x \mathbf{X}) \backslash G_z \mathbf{Z} / G_y \mathbf{h}(G_y \mathbf{Y})\}, \quad (11.68)$$

*where  $e, \mathbf{f}$  map  $e : (x, y, C) \mapsto x$ ,  $\mathbf{f} : (x, y, C) \mapsto y$ . The isotropy groups satisfy*

$$G_{(x, y, C)} \mathbf{W} \cong \{(\alpha, \beta) \in G_x \mathbf{X} \times G_y \mathbf{Y} : G_x \mathbf{g}(\alpha) \gamma G_y \mathbf{h}(\beta^{-1}) = \gamma\}$$

*for fixed  $\gamma \in C \subseteq G_z \mathbf{Z}$ . Equation (11.67) is also 2-Cartesian in  $\mathbf{Kur}^c$ .*

*If  $w \in \mathbf{W}$  with  $e(w) = x$  in  $\mathbf{X}$ ,  $\mathbf{f}(w) = y$  in  $\mathbf{Y}$  and  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$ , for some possible choices of  $T_w e, \dots, O_y \mathbf{h}, \tilde{T}_w e, \dots, \tilde{O}_y \mathbf{h}, \tilde{N}_w e, \dots, \tilde{N}_y \mathbf{h}$  in*

Definition 10.28 and §10.3.3 depending on  $w$ , the following sequences are exact:

$$\begin{array}{ccccccc}
0 & \longrightarrow & T_w \mathbf{W} & \xrightarrow{T_w \mathbf{e} \oplus T_w \mathbf{f}} & T_x \mathbf{X} \oplus T_y \mathbf{Y} & \xrightarrow{T_x \mathbf{g} \oplus T_y \mathbf{h}} & T_z \mathbf{Z} \\
& & & & & & \delta_w^{\mathbf{g}, \mathbf{h}} \downarrow \\
0 & \longleftarrow & O_z \mathbf{Z} & \xleftarrow{O_x \mathbf{g} \oplus O_y \mathbf{h}} & O_x \mathbf{X} \oplus O_y \mathbf{Y} & \xleftarrow{O_w \mathbf{e} \oplus O_w \mathbf{f}} & O_w \mathbf{W}, \\
0 & \longrightarrow & \tilde{T}_w \mathbf{W} & \xrightarrow{\tilde{T}_w \mathbf{e} \oplus \tilde{T}_w \mathbf{f}} & \tilde{T}_x \mathbf{X} \oplus \tilde{T}_y \mathbf{Y} & \xrightarrow{\tilde{T}_x \mathbf{g} \oplus \tilde{T}_y \mathbf{h}} & \tilde{T}_z \mathbf{Z} \\
& & & & & & \tilde{\delta}_w^{\mathbf{g}, \mathbf{h}} \downarrow \\
0 & \longleftarrow & \tilde{O}_z \mathbf{Z} & \xleftarrow{\tilde{O}_x \mathbf{g} \oplus \tilde{O}_y \mathbf{h}} & \tilde{O}_x \mathbf{X} \oplus \tilde{O}_y \mathbf{Y} & \xleftarrow{\tilde{O}_w \mathbf{e} \oplus \tilde{O}_w \mathbf{f}} & \tilde{O}_w \mathbf{W}, \\
0 & \longrightarrow & \tilde{N}_w \mathbf{W} & \xrightarrow{\tilde{N}_w \mathbf{e} \oplus \tilde{N}_w \mathbf{f}} & \tilde{N}_x \mathbf{X} \oplus \tilde{N}_y \mathbf{Y} & \xrightarrow{\tilde{N}_x \mathbf{g} \oplus \tilde{N}_y \mathbf{h}} & \tilde{N}_z \mathbf{Z} \longrightarrow 0.
\end{array}$$

If  $\mathbf{g}, \mathbf{h}$  are  $s$ -transverse then  $\mathbf{W}$  is an orbifold.

(c) In part (b), if (11.67) is 2-Cartesian in  $\mathbf{Kur}_{\text{st}}^{\mathbf{c}}$  with  $\mathbf{g}, \mathbf{h}$   $wt$ -transverse (or  $t$ -transverse), then the following is 2-Cartesian in  $\mathbf{Kur}_{\text{st}}^{\mathbf{c}}$  and  $\mathbf{Kur}^{\mathbf{c}}$ , with  $C(\mathbf{g}), C(\mathbf{h})$   $wt$ -transverse (or  $t$ -transverse, respectively):

$$\begin{array}{ccc}
C(\mathbf{W}) & \xrightarrow{C(\mathbf{f})} & C(\mathbf{Y}) \\
\downarrow C(\mathbf{e}) & C(\boldsymbol{\eta}) \uparrow & C(\mathbf{h}) \downarrow \\
C(\mathbf{X}) & \xrightarrow{C(\mathbf{g})} & C(\mathbf{Z}).
\end{array}$$

Hence we have

$$C_i(\mathbf{W}) \simeq \coprod_{\substack{j, k, l \geq 0: \\ i = j + k - l}} (C_j(\mathbf{X}) \cap C(\mathbf{g})^{-1}(C_l(\mathbf{Z}))) \times_{C(\mathbf{g}), C_l(\mathbf{Z}), C(\mathbf{h})} (C_k(\mathbf{Y}) \cap C(\mathbf{h})^{-1}(C_l(\mathbf{Z})))$$

for  $i \geq 0$ . When  $i = 1$ , this computes the boundary  $\partial \mathbf{W}$ .

Also, if  $\mathbf{g}$  is a  $ws$ -submersion (or an  $s$ -submersion), then  $C(\mathbf{g})$  is a  $ws$ -submersion (or an  $s$ -submersion, respectively).

The analogue of the above also holds for  $C' : \mathbf{Kur}_{\text{st}}^{\mathbf{c}} \rightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{st}}^{\mathbf{c}}$ .

(d) In part (b), using the theory of canonical bundles and orientations from §10.7.6, suppose  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are locally orientable. Then  $\mathbf{W}$  is also locally orientable, and there is a natural isomorphism of topological line bundles on  $W$ :

$$\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}} : K_{\mathbf{W}} \longrightarrow e^*(K_{\mathbf{X}}) \otimes f^*(K_{\mathbf{Y}}) \otimes (g \circ e)^*(K_{\mathbf{Z}})^*. \quad (11.69)$$

Hence if  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are oriented there is a unique orientation on  $\mathbf{W}$ , called the **fibre product orientation**, such that (11.69) is orientation-preserving. Propositions 11.26 and 11.27 hold for these fibre product orientations.

(e) Let  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  be a 1-morphism in  $\mathbf{Kur}_{\text{st}}^{\mathbf{c}}$ . Then  $\mathbf{g}$  is a  $ws$ -submersion if and only if  $\tilde{O}_x \mathbf{g} : \tilde{O}_x \mathbf{X} \rightarrow \tilde{O}_z \mathbf{Z}$  and  $\tilde{N}_x \mathbf{g} : \tilde{N}_x \mathbf{X} \rightarrow \tilde{N}_z \mathbf{Z}$  are surjective for all  $x \in \mathbf{X}$  with  $\mathbf{g}(x) = z$  in  $\mathbf{Z}$ . Also  $\mathbf{g}$  is an  $s$ -submersion if and only if  $\tilde{O}_x \mathbf{g} : \tilde{O}_x \mathbf{X} \rightarrow \tilde{O}_z \mathbf{Z}$  is an isomorphism and  $\tilde{T}_x \mathbf{g} : \tilde{T}_x \mathbf{X} \rightarrow \tilde{T}_z \mathbf{Z}$ ,  $\tilde{N}_x \mathbf{g} : \tilde{N}_x \mathbf{X} \rightarrow \tilde{N}_z \mathbf{Z}$  are surjective for all  $x, z$ .

- (f) If  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are 1-morphisms in  $\mathbf{Kur}_{\text{st}}^c$  with  $g$  a  $ws$ -submersion then  $g, h$  are  $ws$ -transverse and  $wt$ -transverse. If  $g$  is an  $s$ -submersion and  $Y$  is an orbifold then  $g, h$  are  $s$ -transverse and  $t$ -transverse.
- (g) If (11.67) is 2-Cartesian in  $\mathbf{Kur}_{\text{st}}^c$  with  $g$  a  $ws$ -submersion (or an  $s$ -submersion) then  $f$  is a  $ws$ -submersion (or an  $s$ -submersion).
- (h) Compositions and products of  $ws$ - or  $s$ -submersions in  $\mathbf{Kur}_{\text{st}}^c$  are  $ws$ - or  $s$ -submersions. Projections  $\pi_X : X \times Y \rightarrow X$  in  $\mathbf{Kur}_{\text{st}}^c$  are  $ws$ -submersions.
- (i) If  $g : X \rightarrow Z$  is a  $ws$ -submersion in  $\mathbf{Kur}_{\text{st}}^c$ , and  $h : Y \rightarrow Z$  is any 1-morphism in  $\mathbf{Kur}^c$  (not necessarily in  $\mathbf{Kur}_{\text{st}}^c$ ), then a fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{Kur}^c$ , with  $\dim W = \dim X + \dim Y - \dim Z$ , in a 2-Cartesian square (11.67) in  $\mathbf{Kur}^c$ . It has topological space  $W$  given as a set by (11.68). The analogues of (c),(g) hold for these fibre products. If  $g$  is an  $s$ -submersion and  $Y$  is an orbifold then  $W$  is an orbifold.

### 11.6.3 Fibre products in $\mathbf{Kur}_{\text{in}}^{\text{gc}}$ and $\mathbf{Kur}^{\text{gc}}$

In §2.5.3, working in  $\mathbf{Man}_{\text{in}}^{\text{gc}} \subset \mathbf{Man}^{\text{gc}}$  from §2.4.1, we defined  $b$ -transverse and  $c$ -transverse morphisms and  $b$ -submersions,  $b$ -fibrations, and  $c$ -fibrations. Example 11.12 explained how to fit these into the framework of Assumptions 11.1 and 11.3–11.9. The next theorem is the analogue of Theorem 11.34.

Here  $\mathbf{Kur}_{\text{in}}^{\text{gc}} \subset \mathbf{Kur}^{\text{gc}}$  are the 2-categories of Kuranishi spaces corresponding to  $\mathbf{Man}_{\text{in}}^{\text{gc}} \subset \mathbf{Man}^{\text{gc}}$  as in Definition 6.29, the corner 2-functor  $C : \mathbf{Kur}^{\text{gc}} \rightarrow \mathbf{K}\mathbf{ur}^{\text{gc}}$  is as in (6.36), and  $b$ -tangent spaces  $T_x X$  are as in Example 10.25(ii). We use the notation  $wb$ -transverse,  $wc$ -transverse,  $wb$ -submersions,  $wb$ -fibrations,  $wc$ -fibrations for the weak versions of  $b$ -transverse,  $\dots$ ,  $c$ -fibrations in  $\mathbf{Kur}_{\text{in}}^{\text{gc}}$  from Definition 11.44, and  $b$ -transverse,  $c$ -transverse,  $b$ -submersions,  $b$ -fibrations, and  $c$ -fibrations for the strong versions.

**Theorem 11.51.** (a) Let  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  be 1-morphisms in  $\mathbf{Kur}_{\text{in}}^{\text{gc}}$ . Then  $g, h$  are  $wb$ -transverse if and only if for all  $x \in X$ ,  $y \in Y$  with  $g(x) = h(y) = z$  in  $Z$  and all  $\gamma \in G_z Z$ , the following linear map is surjective:

$${}^b O_x g \oplus (\gamma \cdot {}^b O_y h) : {}^b O_x X \oplus {}^b O_y Y \longrightarrow {}^b O_z Z. \quad (11.70)$$

This is automatic if  $Z$  is an orbifold. Also  $g, h$  are  $b$ -transverse if and only if for all  $x, y, z, \gamma$ , equation (11.70) is an isomorphism, and the following is surjective:

$${}^b T_x g \oplus (\gamma \cdot {}^b T_y h) : {}^b T_x X \oplus {}^b T_y Y \longrightarrow {}^b T_z Z.$$

Furthermore,  $g, h$  are  $wc$ -transverse (or  $c$ -transverse) if and only if they are  $wb$ -transverse (or  $b$ -transverse), and whenever  $\mathbf{x} \in C_j(X)$  and  $\mathbf{y} \in C_k(Y)$  with  $C(g)\mathbf{x} = C(h)\mathbf{y} = \mathbf{z}$  in  $C_l(Z)$ , we have either  $j + k > l$ , or  $j = k = l = 0$ .

(b) If  $g : X \rightarrow Z$  and  $h : Y \rightarrow Z$  are  $wb$ -transverse in  $\mathbf{Kur}_{\text{in}}^{\text{gc}}$  then a fibre product  $W = X \times_{g, Z, h} Y$  exists in  $\mathbf{Kur}_{\text{in}}^{\text{gc}}$ , in a 2-Cartesian square:

$$\begin{array}{ccc} W & \xrightarrow{\quad f \quad} & Y \\ \downarrow e & \eta \uparrow & \downarrow h \\ X & \xrightarrow{\quad g \quad} & Z. \end{array} \quad (11.71)$$

It has  $\text{vdim } \mathbf{W} = \text{vdim } \mathbf{X} + \text{vdim } \mathbf{Y} - \text{vdim } \mathbf{Z}$ . If  $w \in \mathbf{W}$  with  $e(w) = x$  in  $\mathbf{X}$ ,  $f(w) = y$  in  $\mathbf{Y}$  and  $g(x) = h(y) = z$  in  $\mathbf{Z}$ , for some possible choices of  ${}^bT_w e, {}^bT_w f, {}^bT_x g, {}^bT_y h, {}^bO_w e, {}^bO_w f, {}^bO_x g, {}^bO_y h$  in Definition 10.28 depending on  $w$ , the following sequence is exact:

$$\begin{array}{ccccccc} 0 & \longrightarrow & {}^bT_w \mathbf{W} & \xrightarrow[{}^bT_w e \oplus {}^bT_w f]{} & {}^bT_x \mathbf{X} \oplus {}^bT_y \mathbf{Y} & \xrightarrow[{}^bT_x g \oplus {}^bT_y h]{} & {}^bT_z \mathbf{Z} \\ & & & & & & \downarrow {}^b\delta_w^{g,h} \\ 0 & \longleftarrow & {}^bO_z \mathbf{Z} & \xleftarrow[{}^bO_x g \oplus {}^bO_y h]{} & {}^bO_x \mathbf{X} \oplus {}^bO_y \mathbf{Y} & \xleftarrow[{}^bO_w e \oplus {}^bO_w f]{} & {}^bO_w \mathbf{W}. \end{array}$$

If  $g, h$  are  $b$ -transverse then  $\mathbf{W}$  is an orbifold.

(c) In (b), if  $g, h$  are  $wc$ -transverse then just as a set, the underlying topological space  $W$  in  $\mathbf{W} = (W, \mathcal{H})$  may be written

$$W = \{(x, y, C) : x \in X, y \in Y, C \in G_x g(G_x \mathbf{X}) \backslash G_z \mathbf{Z} / G_y h(G_y \mathbf{Y})\}, \quad (11.72)$$

where  $e, f$  map  $e : (x, y, C) \mapsto x$ ,  $f : (x, y, C) \mapsto y$ . The isotropy groups satisfy

$$G_{(x,y,C)} \mathbf{W} \cong \{(\alpha, \beta) \in G_x \mathbf{X} \times G_y \mathbf{Y} : G_x g(\alpha) \gamma G_y h(\beta^{-1}) = \gamma\}$$

for fixed  $\gamma \in C \subseteq G_z \mathbf{Z}$ . Also (11.71) is 2-Cartesian in  $\mathbf{Kur}^{\text{gc}}$ , and the following is 2-Cartesian in  $\tilde{\mathbf{K}}\text{ur}_{\text{in}}^{\text{gc}}$  and  $\tilde{\mathbf{K}}\text{ur}^{\text{gc}}$ , with  $C(g), C(h)$   $wc$ -transverse:

$$\begin{array}{ccc} C(\mathbf{W}) & \xrightarrow{\quad\quad\quad} & C(\mathbf{Y}) \\ \downarrow C(e) & \begin{array}{c} C(f) \\ C(\eta) \uparrow \end{array} & \downarrow C(h) \\ C(\mathbf{X}) & \xrightarrow{\quad\quad\quad C(g)} & C(\mathbf{Z}). \end{array}$$

Hence we have

$$C_i(\mathbf{W}) \simeq \coprod_{\substack{j,k,l \geq 0: \\ i=j+k-l}} (C_j(\mathbf{X}) \cap C(g)^{-1}(C_l(\mathbf{Z}))) \times_{C(g), C_l(\mathbf{Z}), C(h)} (C_k(\mathbf{Y}) \cap C(h)^{-1}(C_l(\mathbf{Z})))$$

for  $i \geq 0$ . When  $i = 1$ , this computes the boundary  $\partial \mathbf{W}$ .

Also, if  $g$  is a  $wb$ -fibration, or  $b$ -fibration, or  $wc$ -fibration, or  $c$ -fibration, then  $C(g)$  is a  $wb$ -fibration,  $\dots$ , or  $c$ -fibration, respectively.

(d) In part (b), using the theory of ( $b$ -)canonical bundles and orientations from §10.7.6, suppose  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are locally orientable. Then  $\mathbf{W}$  is also locally orientable, and there is a natural isomorphism of topological line bundles on  $W$ :

$${}^b\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}} : {}^bK_{\mathbf{W}} \longrightarrow e^*({}^bK_{\mathbf{X}}) \otimes f^*({}^bK_{\mathbf{Y}}) \otimes (g \circ e)^*({}^bK_{\mathbf{Z}})^*. \quad (11.73)$$

Hence if  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are oriented there is a unique orientation on  $\mathbf{W}$ , called the **fibre product orientation**, such that (11.73) is orientation-preserving. Propositions 11.26 and 11.27 hold for these fibre product orientations.

(e) Let  $g : \mathbf{X} \rightarrow \mathbf{Z}$  be a 1-morphism in  $\mathbf{Kur}_{\text{in}}^{\text{gc}}$ . Then  $g$  is a  $wb$ -submersion if and only if  ${}^bO_x g : {}^bO_x \mathbf{X} \rightarrow {}^bO_z \mathbf{Z}$  is surjective for all  $x \in \mathbf{X}$  with  $g(x) = z$

in  $\mathbf{Z}$ . Also  $\mathbf{g}$  is a  $b$ -submersion if and only if  ${}^bO_x\mathbf{g} : {}^bO_x\mathbf{X} \rightarrow {}^bO_x\mathbf{Z}$  is an isomorphism and  ${}^bT_x\mathbf{g} : {}^bT_x\mathbf{X} \rightarrow {}^bT_x\mathbf{Z}$  is surjective for all  $x, z$ .

Furthermore  $\mathbf{g}$  is a  $wb$ -fibration (or a  $b$ -fibration) if it is a  $wb$ -submersion (or  $b$ -submersion) and whenever there are  $\mathbf{x}, \mathbf{z}$  in  $C_j(\mathbf{X}), C_l(\mathbf{Z})$  with  $C(\mathbf{g})\mathbf{x} = \mathbf{z}$ , we have  $j \geq l$ . And  $\mathbf{g}$  is a  $wc$ -fibration (or a  $c$ -fibration) if it is a  $wb$ -fibration (or a  $b$ -fibration), and whenever  $x \in \mathbf{X}$  and  $\mathbf{z} \in C_l(\mathbf{Z})$  with  $\mathbf{g}(x) = \mathbf{\Pi}_l(\mathbf{z}) = \mathbf{z} \in \mathbf{Z}$ , then there is exactly one  $\mathbf{x} \in C_l(\mathbf{X})$  with  $\mathbf{\Pi}_l(\mathbf{x}) = x$  and  $C(\mathbf{g})\mathbf{x} = \mathbf{z}$ .

(f) If  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  are 1-morphisms in  $\mathbf{Kur}_{\text{in}}^{\text{sc}}$  with  $\mathbf{g}$  a  $wb$ -submersion (or  $wb$ -fibration) then  $\mathbf{g}, \mathbf{h}$  are  $wb$ -transverse (or  $wc$ -transverse, respectively). If  $\mathbf{g}$  is a  $b$ -submersion (or  $b$ -fibration) and  $\mathbf{Y}$  is an orbifold then  $\mathbf{g}, \mathbf{h}$  are  $b$ -transverse (or  $c$ -transverse, respectively).

(g) If (11.71) is 2-Cartesian in  $\mathbf{Kur}_{\text{in}}^{\text{sc}}$  with  $\mathbf{g}$  a  $wb$ -submersion,  $b$ -submersion,  $wb$ -fibration,  $b$ -fibration,  $wc$ -fibration, or  $c$ -fibration, then  $\mathbf{f}$  is a  $wb$ -submersion,  $\dots$ , or  $c$ -fibration, respectively.

(h) Compositions and products of  $wb$ -submersions,  $b$ -submersions,  $wb$ -fibrations,  $b$ -fibrations,  $wc$ -fibrations, and  $c$ -fibrations, in  $\mathbf{Kur}_{\text{in}}^{\text{sc}}$  are  $wb$ -submersions,  $\dots$ ,  $c$ -fibrations. Projections  $\pi_{\mathbf{X}} : \mathbf{X} \times \mathbf{Y} \rightarrow \mathbf{X}$  in  $\mathbf{Kur}_{\text{in}}^{\text{sc}}$  are  $wc$ -fibrations.

(i) If  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  is a  $wc$ -fibration in  $\mathbf{Kur}_{\text{in}}^{\text{sc}}$ , and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  is any 1-morphism in  $\mathbf{Kur}^{\text{sc}}$  (not necessarily in  $\mathbf{Kur}_{\text{in}}^{\text{sc}}$ ), then a fibre product  $\mathbf{W} = \mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}$  exists in  $\mathbf{Kur}^{\text{sc}}$ , with  $\dim \mathbf{W} = \dim \mathbf{X} + \dim \mathbf{Y} - \dim \mathbf{Z}$ , in a 2-Cartesian square (11.71) in  $\mathbf{Kur}^{\text{sc}}$ . It has topological space  $W$  given as a set by (11.72). The analogues of (c), (g) hold for these fibre products. If  $\mathbf{g}$  is a  $c$ -fibration and  $\mathbf{Y}$  is an orbifold then  $\mathbf{W}$  is an orbifold.

#### 11.6.4 Fibre products in $\mathbf{Kur}_{\text{in}}^c$ and $\mathbf{Kur}^c$

In §2.5.4, working in the subcategory  $\mathbf{Man}_{\text{in}}^c \subset \mathbf{Man}^c$  from §2.1, we defined  $sb$ -transverse and  $sc$ -transverse morphisms. Example 11.13 explained how to fit these into the framework of Assumptions 11.1 and 11.3–11.9, also using  $s$ -submersions from §2.5.2. The next theorem is the analogue of Theorem 11.35.

Here  $\mathbf{Kur}_{\text{in}}^c \subset \mathbf{Kur}^c$  are the 2-categories of Kuranishi spaces corresponding to  $\mathbf{Man}_{\text{in}}^c \subset \mathbf{Man}^c$  as in Definition 6.29, the corner 2-functor  $C : \mathbf{Kur}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}^c$  is as in (6.36),  $b$ -tangent spaces  ${}^bT_x\mathbf{X}$  are as in Example 10.25(ii), and monoids  $\tilde{M}_x\mathbf{X}$  are as in Example 10.32(c). We use the notation  $wb$ -transverse and  $wsc$ -transverse for the notions of  $w$ -transverse in  $\mathbf{Kur}_{\text{in}}^c$  corresponding to  $sb$ - and  $sc$ -transverse morphisms, and  $sb$ -transverse,  $sc$ -transverse for the notions of transverse. Also  $ws$ -submersions and  $s$ -submersions are as in §11.6.2.

**Theorem 11.52.** (a) Let  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{Kur}_{\text{in}}^c$ . Then  $\mathbf{g}, \mathbf{h}$  are  $wb$ -transverse if and only if for all  $x \in \mathbf{X}, y \in \mathbf{Y}$  with  $\mathbf{g}(x) = \mathbf{h}(y) = \mathbf{z}$  in  $\mathbf{Z}$  and all  $\gamma \in G_z\mathbf{Z}$ , the following linear map is surjective:

$${}^bO_x\mathbf{g} \oplus (\gamma \cdot {}^bO_y\mathbf{h}) : {}^bO_x\mathbf{X} \oplus {}^bO_y\mathbf{Y} \longrightarrow {}^bO_z\mathbf{Z}, \quad (11.74)$$

and we have an isomorphism of commutative monoids

$$\tilde{M}_x\mathbf{X} \times_{\tilde{M}_x\mathbf{g}, \tilde{M}_z\mathbf{Z}, (\gamma \cdot \tilde{M}_y\mathbf{h})} \tilde{M}_y\mathbf{Y} \cong \mathbb{N}^n \quad \text{for } n \geq 0. \quad (11.75)$$

This is automatic if  $\mathbf{Z}$  is a classical orbifold. Also  $\mathbf{g}, \mathbf{h}$  are sb-transverse if and only if for all  $x, y, z, \gamma$ , equations (11.74)–(11.75) are isomorphisms, and the following is surjective:

$${}^bT_x\mathbf{g} \oplus (\gamma \cdot {}^bT_y\mathbf{h}) : {}^bT_x\mathbf{X} \oplus {}^bT_y\mathbf{Y} \longrightarrow {}^bT_z\mathbf{Z}.$$

Furthermore,  $\mathbf{g}, \mathbf{h}$  are wsc-transverse (or sc-transverse) if and only if they are wsb-transverse (or sb-transverse), and whenever  $\mathbf{x} \in C_j(\mathbf{X})$  and  $\mathbf{y} \in C_k(\mathbf{Y})$  with  $C(\mathbf{g})\mathbf{x} = C(\mathbf{h})\mathbf{y} = \mathbf{z}$  in  $C_l(\mathbf{Z})$ , we have either  $j + k > l$ , or  $j = k = l = 0$ .

(b) If  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  are wsb-transverse in  $\mathbf{Kur}_{\text{in}}^c$  then a fibre product  $\mathbf{W} = \mathbf{X} \times_{\mathbf{g}, \mathbf{Z}, \mathbf{h}} \mathbf{Y}$  exists in  $\mathbf{Kur}_{\text{in}}^c$ , in a 2-Cartesian square:

$$\begin{array}{ccc} \mathbf{W} & \xrightarrow{\quad f \quad} & \mathbf{Y} \\ \downarrow e & \eta \uparrow & \downarrow h \\ \mathbf{X} & \xrightarrow{\quad g \quad} & \mathbf{Z}. \end{array} \quad (11.76)$$

It has  $\text{vdim } \mathbf{W} = \text{vdim } \mathbf{X} + \text{vdim } \mathbf{Y} - \text{vdim } \mathbf{Z}$ . If  $w \in \mathbf{W}$  with  $e(w) = x$  in  $\mathbf{X}$ ,  $f(w) = y$  in  $\mathbf{Y}$  and  $g(x) = h(y) = z$  in  $\mathbf{Z}$ , for some possible choices of  ${}^bT_w e, {}^bT_w f, {}^bT_x g, {}^bT_y h, {}^bO_w e, {}^bO_w f, {}^bO_x g, {}^bO_y h$  in Definition 10.28 depending on  $w$ , the following sequence is exact:

$$\begin{array}{ccccccc} 0 & \longrightarrow & {}^bT_w \mathbf{W} & \xrightarrow[{}^bT_w e \oplus {}^bT_w f]{} & {}^bT_x \mathbf{X} \oplus {}^bT_y \mathbf{Y} & \xrightarrow[{}^bT_x g \oplus {}^bT_y h]{} & {}^bT_z \mathbf{Z} \\ & & & & & & \downarrow {}^b\delta_w^{\mathbf{g}, \mathbf{h}} \\ 0 & \longleftarrow & {}^bO_z \mathbf{Z} & \xleftarrow[{}^bO_x g \oplus {}^bO_y h]{} & {}^bO_x \mathbf{X} \oplus {}^bO_y \mathbf{Y} & \xleftarrow[{}^bO_w e \oplus {}^bO_w f]{} & {}^bO_w \mathbf{W}. \end{array}$$

If  $\mathbf{g}, \mathbf{h}$  are sb-transverse then  $\mathbf{W}$  is an orbifold.

(c) In (b), if  $\mathbf{g}, \mathbf{h}$  are wsc-transverse then just as a set, the underlying topological space  $W$  in  $\mathbf{W} = (W, \mathcal{H})$  may be written

$$W = \{(x, y, C) : x \in X, y \in Y, C \in G_x \mathbf{g}(G_x \mathbf{X}) \backslash G_z \mathbf{Z} / G_y \mathbf{h}(G_y \mathbf{Y})\},$$

where  $e, f$  map  $e : (x, y, C) \mapsto x$ ,  $f : (x, y, C) \mapsto y$ . The isotropy groups satisfy

$$G_{(x, y, C)} \mathbf{W} \cong \{(\alpha, \beta) \in G_x \mathbf{X} \times G_y \mathbf{Y} : G_x \mathbf{g}(\alpha) \gamma G_y \mathbf{h}(\beta^{-1}) = \gamma\}$$

for fixed  $\gamma \in C \subseteq G_z \mathbf{Z}$ . Also (11.76) is 2-Cartesian in  $\mathbf{Kur}^c$ , and the following is 2-Cartesian in  $\check{\mathbf{K}}\mathbf{ur}_{\text{in}}^c$  and  $\check{\mathbf{K}}\mathbf{ur}^c$ , with  $C(\mathbf{g}), C(\mathbf{h})$  wsc-transverse:

$$\begin{array}{ccc} C(\mathbf{W}) & \xrightarrow{\quad C(f) \quad} & C(\mathbf{Y}) \\ \downarrow C(e) & C(\eta) \uparrow & \downarrow C(h) \\ C(\mathbf{X}) & \xrightarrow{\quad C(g) \quad} & C(\mathbf{Z}). \end{array}$$

Hence we have

$$C_i(\mathbf{W}) \simeq \coprod_{\substack{j, k, l \geq 0: \\ i = j + k - l}} (C_j(\mathbf{X}) \cap C(\mathbf{g})^{-1}(C_l(\mathbf{Z}))) \times_{C(\mathbf{g}), C_l(\mathbf{Z}), C(\mathbf{h})} (C_k(\mathbf{Y}) \cap C(\mathbf{h})^{-1}(C_l(\mathbf{Z})))$$

for  $i \geq 0$ . When  $i = 1$ , this computes the boundary  $\partial \mathbf{W}$ .

Also, if  $\mathbf{g}$  is a ws-submersion (or an s-submersion), then  $C(\mathbf{g})$  is a ws-submersion (or an s-submersion, respectively).

(d) In part (b), using the theory of (b-)canonical bundles and orientations from §10.7.6, suppose  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are locally orientable. Then  $\mathbf{W}$  is also locally orientable, and there is a natural isomorphism of topological line bundles on  $W$ :

$${}^b\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}} : {}^bK_{\mathbf{W}} \longrightarrow e^*({}^bK_{\mathbf{X}}) \otimes f^*({}^bK_{\mathbf{Y}}) \otimes (g \circ e)^*({}^bK_{\mathbf{Z}})^*. \quad (11.77)$$

Hence if  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  are oriented there is a unique orientation on  $\mathbf{W}$ , called the **fibre product orientation**, such that (11.77) is orientation-preserving.

(e) Let  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  be a 1-morphism in  $\mathbf{Kur}_{\text{in}}^{\text{c}}$ . Then  $\mathbf{g}$  is a ws-submersion if and only if  ${}^bO_x \mathbf{g} : {}^bO_x \mathbf{X} \rightarrow {}^bO_z \mathbf{Z}$  is surjective for all  $x \in \mathbf{X}$  with  $\mathbf{g}(x) = z$  in  $\mathbf{Z}$ , and the monoid morphism  $\tilde{M}_x \mathbf{g} : \tilde{M}_x \mathbf{X} \rightarrow \tilde{M}_z \mathbf{Z}$  is isomorphic to a projection  $\mathbb{N}^{m+n} \rightarrow \mathbb{N}^n$ . Also  $\mathbf{g}$  is an s-submersion if and only if  ${}^bO_x \mathbf{g} : {}^bO_x \mathbf{X} \rightarrow {}^bO_z \mathbf{Z}$  is an isomorphism, and  ${}^bT_x \mathbf{g} : {}^bT_x \mathbf{X} \rightarrow {}^bT_z \mathbf{Z}$  is surjective, and  $\tilde{M}_x \mathbf{g}$  is isomorphic to a projection  $\mathbb{N}^{m+n} \rightarrow \mathbb{N}^n$ , for all  $x, z$ .

(f) If  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$  and  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  are 1-morphisms in  $\mathbf{Kur}_{\text{in}}^{\text{gc}}$  with  $\mathbf{g}$  a ws-submersion then  $\mathbf{g}, \mathbf{h}$  are wsc-transverse. If  $\mathbf{g}$  is an s-submersion and  $\mathbf{Y}$  is an orbifold then  $\mathbf{g}, \mathbf{h}$  are sc-transverse.

## 11.7 Proof of Proposition 11.14

### 11.7.1 The case of classical manifolds $\mathbf{Man}$

First we prove the proposition for classical manifolds  $\mathbf{Man}$  in Example 11.10. Let  $g : X \rightarrow Z, h : Y \rightarrow Z$  be transverse morphisms in  $\mathbf{Man}$ , with  $W = X \times_{g, Z, h} Y$  in a Cartesian square (11.1). Write  $\Delta_Z : Z \rightarrow Z \times Z$  for the diagonal map  $\Delta_Z : z \mapsto (z, z)$ . Then  $\Delta_Z(Z)$  is an embedded submanifold of  $Z \times Z$  with normal bundle  $\nu_Z = \mathcal{T}Z \rightarrow Z$  in the exact sequence

$$0 \longrightarrow \mathcal{T}Z \xrightarrow{\text{id} \oplus \text{id}} \mathcal{T}_{\Delta_Z}(Z \times Z) \cong \mathcal{T}Z \oplus \mathcal{T}Z \xrightarrow{\text{id} \oplus -\text{id}} \nu_Z = \mathcal{T}Z \longrightarrow 0. \quad (11.78)$$

Write points of the tangent bundle  $\mathcal{T}Z$  as  $(z, u)$  for  $z \in Z$  and  $u \in T_z Z$ . By a well known construction called a ‘tubular neighbourhood’, we may choose open neighbourhoods  $T_1$  of the zero section in  $\mathcal{T}Z \rightarrow Z$  and  $U_1$  of  $\Delta_Z(Z)$  in  $Z \times Z$  and a diffeomorphism  $\Phi_1 : T_1 \rightarrow U_1$  with  $\Phi_1(z, 0) = (z, z)$  for all  $z \in Z$ , such that the derivative of  $\Phi_1$  at the zero section  $0(Z)$  induces the exact sequence (11.78). We may also choose  $T_1, U_1, \Phi_1$  so that  $\Phi_1(z, u) = (z, z')$  for all  $(z, u) \in T_1$ . This and (11.78) imply that the derivative of  $\Phi_1$  at the zero section  $0(Z) \subset T_1$  is

$$\mathcal{T}\Phi_1|_{0(Z)} = \begin{pmatrix} \text{id} & 0 \\ \text{id} & -\text{id} \end{pmatrix} : \mathcal{T}T_1|_{0(Z)} \cong \mathcal{T}Z \oplus \mathcal{T}Z \longrightarrow \mathcal{T}_{\Phi_1}U_1|_{0(Z)} \cong \mathcal{T}Z \oplus \mathcal{T}Z. \quad (11.79)$$



The direct product  $(e, f) : W \rightarrow X \times Y$  embeds  $W$  as a submanifold in  $X \times Y$ , with normal bundle  $\pi : \mathcal{T}_{g \circ e} Z \rightarrow W$  in the rightwards exact sequence

$$0 \begin{array}{c} \xrightarrow{\delta} \\ \xleftarrow{\gamma} \end{array} \mathcal{T}W \begin{array}{c} \xrightarrow{\mathcal{T}e \oplus \mathcal{T}f} \\ \xleftarrow{\gamma \oplus \delta} \end{array} \mathcal{T}_e X \oplus \mathcal{T}_f Y \begin{array}{c} \xrightarrow{\mathcal{T}g \oplus -\mathcal{T}h} \\ \xleftarrow{\alpha \oplus \beta} \end{array} \mathcal{T}_{g \circ e} Z \begin{array}{c} \xrightarrow{\delta} \\ \xleftarrow{\gamma} \end{array} 0. \quad (11.80)$$

Write points of  $\mathcal{T}_{g \circ e} Z$  as  $(w, v)$  for  $w \in W$  and  $v \in T_{g \circ e(w)} Z$ . Again, we can choose open neighbourhoods  $T_2$  of the zero section in  $\mathcal{T}_{g \circ e} Z$  and  $U_2$  of  $(e, f)(W)$  in  $X \times Y$  and a diffeomorphism  $\Phi_2 : T_2 \rightarrow U_2$  with  $\Phi_2(w, 0) = (e(w), f(w))$  for all  $w \in W$ , such that the derivative of  $\Phi_2$  at the zero section  $0(W)$  induces the exact sequence (11.80). Making  $T_2, U_2$  smaller we can suppose that  $(g \times h)(U_2) \subseteq U_1$ , so  $\Psi := \Phi_1^{-1} \circ (g \times h) \circ \Phi_2$  is a well-defined smooth map  $\Psi : T_2 \rightarrow T_1$ .

We write the derivative of  $\Phi_2$  at the zero section  $0(W) \subset T_2$  in the form

$$\mathcal{T}\Phi_2|_{0(W)} = \begin{pmatrix} \mathcal{T}e & \alpha \\ \mathcal{T}f & \beta \end{pmatrix} : \mathcal{T}T_2|_{0(W)} \cong \mathcal{T}W \oplus \mathcal{T}_{g \circ e} Z \rightarrow \mathcal{T}_{\Phi_2} U_2|_{0(W)} \cong \mathcal{T}_e X \oplus \mathcal{T}_f Y. \quad (11.81)$$

As the derivative of  $\Phi_2$  at  $0(W)$  induces (11.80), we see that  $\alpha \oplus \beta$  is a right inverse for  $\mathcal{T}g \oplus -\mathcal{T}h$  in (11.80). This induces a unique splitting of (11.80). That is, there are unique morphisms  $\gamma, \delta$  marked in (11.80) satisfying

$$\begin{aligned} \mathcal{T}g \circ \alpha - \mathcal{T}h \circ \beta &= \text{id}_{\mathcal{T}_{g \circ e} Z}, & \gamma \circ \mathcal{T}e + \delta \circ \mathcal{T}f &= \text{id}_{\mathcal{T}W}, \\ \alpha \circ \mathcal{T}g + \mathcal{T}e \circ \gamma &= \text{id}_{\mathcal{T}_e X}, & \mathcal{T}f \circ \delta - \beta \circ \mathcal{T}h &= \text{id}_{\mathcal{T}_f Y}, \\ \gamma \circ \alpha + \delta \circ \beta &= 0, & \beta \circ \mathcal{T}g + \mathcal{T}f \circ \gamma &= 0, & \mathcal{T}e \circ \delta - \alpha \circ \mathcal{T}h &= 0. \end{aligned} \quad (11.82)$$

Combining the first equation of (11.82) with (11.79), (11.81), and  $g \circ e = h \circ f$  yields

$$\begin{aligned} \mathcal{T}\Psi|_{0(W)} &= \mathcal{T}(\Phi_1^{-1} \circ (g \times h) \circ \Phi_2)|_{0(W)} = \begin{pmatrix} \text{id} & 0 \\ \text{id} & -\text{id} \end{pmatrix} \begin{pmatrix} \mathcal{T}g & 0 \\ 0 & \mathcal{T}h \end{pmatrix} \begin{pmatrix} \mathcal{T}e & \alpha \\ \mathcal{T}f & \beta \end{pmatrix} \\ &= \begin{pmatrix} \mathcal{T}(g \circ e) & \mathcal{T}g \circ \alpha \\ 0 & \text{id}_{\mathcal{T}_{g \circ e} Z} \end{pmatrix} : \mathcal{T}T_2|_{0(W)} \cong \mathcal{T}W \oplus \mathcal{T}_{g \circ e} Z \rightarrow \mathcal{T}_{\Psi} T_1|_{0(Z)} \cong \mathcal{T}_{g \circ e} Z \oplus \mathcal{T}_{g \circ e} Z. \end{aligned} \quad (11.83)$$

Suppose as in Assumption 11.1(b)(ii) that  $c : V \rightarrow X, d : V \rightarrow Y$  are morphisms in **Man**, and  $E \rightarrow V$  is a vector bundle, and  $s \in \Gamma^\infty(E)$  is a section, and  $K : E \rightarrow \mathcal{T}_{g \circ c} Z$  is a morphism, such that  $h \circ d = g \circ c + K \circ s + O(s^2)$ .

Define  $V' = \{v \in V : (c(v), d(v)) \in U_2\}$ . If  $v \in s^{-1}(0)$  then  $h \circ d(v) = g \circ c(v)$  as  $h \circ d = g \circ c + K \circ s + O(s^2)$ , so there is a unique  $w \in W$  with  $e(w) = c(v), f(w) = d(v)$ , so that  $(c(v), d(v)) \in U_2$ , and  $v \in V'$ . Hence  $V'$  is an open neighbourhood of  $s^{-1}(0)$  in  $V$ . Define smooth maps  $\Xi = \Phi_2^{-1} \circ (c, d)|_{V'} : V' \rightarrow T_2$  and  $b = \pi \circ \Xi : V' \rightarrow W$ , where  $\pi : T_2 \rightarrow W$  is the restriction of  $\pi : \mathcal{T}_{g \circ e} Z \rightarrow W$ .

Define  $t \in \Gamma^\infty(\mathcal{T}_{g \circ c} Z)$  by  $\Xi(v) = (b(v), -t(v)) \in \mathcal{T}_{g \circ e} Z$  for  $v \in V'$ . Define  $u \in \Gamma^\infty(\mathcal{T}_{g \circ c} Z|_{V'})$  by  $\Psi \circ \Xi(v) = \Phi_1^{-1}(g \circ c(v), g \circ d(v)) = (g \circ c(v), -u(v))$  for  $v \in V'$ , noting that  $\Phi_1(z, u) = (z, z')$  for  $(z, u) \in T_1$ . Combining  $h \circ d = g \circ c + K \circ s + O(s^2)$ ,  $\Phi_1^{-1}(g \circ c(v), g \circ d(v)) = (g \circ c(v), -u(v))$  and (11.79) we see that

$$u = K \circ s + O(s^2). \quad (11.84)$$

Now for  $v \in V'$  we have

$$\begin{aligned}\Psi(b(v), 0) &= \Phi_1^{-1} \circ (g \times h)(e \circ b(v), f \circ b(v)) \\ &= \Phi_1^{-1}(g \circ e \circ b(v), g \circ e \circ b(v)) = (g \circ e \circ b(v), 0), \\ \Psi(b(v), -t(v)) &= \Phi_1^{-1} \circ (g \times h)(c(v), d(v)) \\ &= \Phi_1^{-1}(g \circ c(v), h \circ d(v)) = (g \circ c(v), -u(v)).\end{aligned}$$

Together with (11.83) these give

$$g \circ c = g \circ e \circ b + 0 \circ t + O(t^2), \quad u = t + O(t^2),$$

so inverting yields

$$g \circ e \circ b = g \circ c + 0 \circ u + O(u^2), \quad t = u + O(u^2). \quad (11.85)$$

Substituting (11.84) into the first equation of (11.85) gives  $g \circ e \circ b = g \circ c + O(s)$ . Thus by Theorem 3.17(g) there exists a morphism  $K' : E|_{V'} \rightarrow \mathcal{T}_{g \circ e \circ b} Z$  with  $K|_{V'} = K' + O(s)$  in the sense of Definition 3.15(v), where  $K'$  is unique up to  $O(s)$ . Then substituting (11.84) into the second equation of (11.85) gives

$$t = K' \circ s + O(s^2). \quad (11.86)$$

For  $v \in V'$  we have

$$\Phi_2(b(v), 0) = (e \circ b(v), f \circ b(v)), \quad \Phi_2(b(v), -t(v)) = (c(v), d(v)).$$

From these and (11.81) we see that

$$c|_{V'} = e \circ b + (-\alpha) \circ t + O(t^2), \quad d|_{V'} = f \circ b + (-\beta) \circ t + O(t^2),$$

so substituting in (11.86) gives

$$c|_{V'} = e \circ b + \Lambda \circ s + O(s^2), \quad d|_{V'} = f \circ b + M \circ s + O(s^2), \quad (11.87)$$

as in equation (11.2) in Assumption 11.1, where  $\Lambda = -\alpha \circ K'$  and  $M = -\beta \circ K'$ . Then composing the first equation of (11.82) on the right with  $K'$  gives

$$K' + \mathcal{T}g \circ \Lambda = \mathcal{T}h \circ M = \mathcal{T}h \circ M + O(s), \quad (11.88)$$

which is equation (11.3). This proves Assumption 11.1(b)(ii) for  $\dot{\mathbf{Man}} = \mathbf{Man}$ .

Next suppose as in Assumption 11.1(b)(iii) that  $\tilde{V}', \tilde{b}, \tilde{\Lambda}, \tilde{M}, \tilde{K}'$  are alternative choices for  $V', b, \Lambda, M, K'$  above, so that  $\tilde{V}'$  is an open neighbourhood of  $s^{-1}(0)$  in  $V$ , and  $\tilde{b} : \tilde{V}' \rightarrow W$  is a smooth map, and  $\tilde{\Lambda} : E|_{\tilde{V}'} \rightarrow \mathcal{T}_{e \circ \tilde{b}} X$ ,  $\tilde{M} : E|_{\tilde{V}'} \rightarrow \mathcal{T}_{f \circ \tilde{b}} Y$  are morphisms with

$$c|_{\tilde{V}'} = e \circ \tilde{b} + \tilde{\Lambda} \circ s + O(s^2), \quad d|_{\tilde{V}'} = f \circ \tilde{b} + \tilde{M} \circ s + O(s^2), \quad (11.89)$$

$$\tilde{K}' + \mathcal{T}g \circ \tilde{\Lambda} = \mathcal{T}h \circ \tilde{M} + O(s), \quad (11.90)$$

for  $\tilde{K}' : E|_{\tilde{V}'} \rightarrow \mathcal{T}_{g \circ e \circ \tilde{b}} Z$  a morphism with  $K|_{\tilde{V}'} = \tilde{K}' + O(s)$ .

By (11.87) and (11.89), in maps  $V' \cap \tilde{V}' \rightarrow X \times Y$  we have

$$(c, d)|_{V' \cap \tilde{V}'} = (e, f) \circ b|_{V' \cap \tilde{V}'} + O(s), \quad (c, d)|_{V' \cap \tilde{V}'} = (e, f) \circ \tilde{b}|_{V' \cap \tilde{V}'} + O(s),$$

so Theorem 3.17(c) implies that

$$(e, f) \circ \tilde{b}|_{V' \cap \tilde{V}'} = (e, f) \circ b|_{V' \cap \tilde{V}'} + O(s),$$

and thus  $\tilde{b}|_{V' \cap \tilde{V}'} = b|_{V' \cap \tilde{V}'} + O(s)$ , since  $(e, f)$  is an embedding. Hence by Theorem 3.17(g) there exist morphisms  $\tilde{\Lambda}' : E|_{V' \cap \tilde{V}'} \rightarrow \mathcal{T}_{e \circ b} X|_{V' \cap \tilde{V}'}$ ,  $\tilde{M}' : E|_{V' \cap \tilde{V}'} \rightarrow \mathcal{T}_{f \circ b} Y|_{V' \cap \tilde{V}'}$  with  $\tilde{\Lambda}|_{V' \cap \tilde{V}'} = \tilde{\Lambda}' + O(s)$ ,  $\tilde{M}|_{V' \cap \tilde{V}'} = \tilde{M}' + O(s)$ , and  $\tilde{\Lambda}', \tilde{M}'$  are unique up to  $O(s)$ . Equation (11.90) and  $K|_{V'} = K' + O(s)$ ,  $K|_{\tilde{V}'} = \tilde{K}' + O(s)$  now imply that

$$K'|_{V' \cap \tilde{V}'} + \mathcal{T}g \circ \tilde{\Lambda}' = \mathcal{T}h \circ \tilde{M}' + O(s). \quad (11.91)$$

Also (11.87), (11.89),  $\tilde{\Lambda}|_{V' \cap \tilde{V}'} = \tilde{\Lambda}' + O(s)$ ,  $\tilde{M}|_{V' \cap \tilde{V}'} = \tilde{M}' + O(s)$  and Theorem 3.17(k),(l) imply that

$$(e, f) \circ \tilde{b}|_{V' \cap \tilde{V}'} = (e, f) \circ b|_{V' \cap \tilde{V}'} + (\Lambda - \tilde{\Lambda}' \oplus M - \tilde{M}') \circ s + O(s^2). \quad (11.92)$$

Define  $N : E|_{V' \cap \tilde{V}'} \rightarrow \mathcal{T}_b W|_{V' \cap \tilde{V}'}$  by

$$N = b^*(\gamma) \circ (\Lambda - \tilde{\Lambda}') + b^*(\delta) \circ (M - \tilde{M}'), \quad (11.93)$$

for  $\gamma, \delta$  as in (11.80) and (11.82). Now in maps  $V' \cap \tilde{V}' \rightarrow W$  we have

$$b|_{V' \cap \tilde{V}'} = \pi \circ \Phi_2^{-1} \circ (e, f) \circ b|_{V' \cap \tilde{V}'}, \quad \tilde{b}|_{V' \cap \tilde{V}'} = \pi \circ \Phi_2^{-1} \circ (e, f) \circ \tilde{b}|_{V' \cap \tilde{V}'}. \quad (11.94)$$

We have

$$\begin{aligned} \tilde{b}|_{V' \cap \tilde{V}'} &= b|_{V' \cap \tilde{V}'} + [\mathcal{T}\pi \circ \mathcal{T}\Phi_2^{-1} \circ (\Lambda - \tilde{\Lambda}' \oplus M - \tilde{M}')] \circ s + O(s^2) \\ &= b|_{V' \cap \tilde{V}'} + \left[ (\text{id}_{\mathcal{T}_b W} \quad 0) b^* \begin{pmatrix} \mathcal{T}e & \alpha \\ \mathcal{T}f & \beta \end{pmatrix}^{-1} \begin{pmatrix} \Lambda - \tilde{\Lambda}' \\ M - \tilde{M}' \end{pmatrix} \right] \circ s + O(s^2) \\ &= b|_{V' \cap \tilde{V}'} + \left[ (\text{id}_{\mathcal{T}_b W} \quad 0) b^* \begin{pmatrix} \gamma & \delta \\ \mathcal{T}g & -\mathcal{T}h \end{pmatrix} \begin{pmatrix} \Lambda - \tilde{\Lambda}' \\ M - \tilde{M}' \end{pmatrix} \right] \circ s + O(s^2) \\ &= b|_{V' \cap \tilde{V}'} + [b^*(\gamma) \circ (\Lambda - \tilde{\Lambda}') + b^*(\delta) \circ (M - \tilde{M}')] \circ s + O(s^2) \\ &= b|_{V' \cap \tilde{V}'} + N \circ s + O(s^2). \end{aligned} \quad (11.95)$$

Here in the first step we use (11.92), (11.94), Theorem 3.17(k), and  $\mathcal{T}(\pi \circ \Phi_2^{-1}) = \mathcal{T}\pi \circ \mathcal{T}\Phi_2^{-1}$ . In the second we use (11.81), in the third we use (11.82) to invert the matrix explicitly, and in the fourth we use (11.93). This proves equation (11.4) in Assumption 11.1(b)(iii). Also we have

$$\begin{aligned} \mathcal{T}e \circ N &= \mathcal{T}e \circ b^*(\gamma) \circ (\Lambda - \tilde{\Lambda}') + \mathcal{T}e \circ b^*(\delta) \circ (M - \tilde{M}') \\ &= b^*(\mathcal{T}e \circ \gamma) \circ (\Lambda - \tilde{\Lambda}') + b^*(\mathcal{T}e \circ \delta) \circ (M - \tilde{M}') \\ &= b^*(\text{id}_{\mathcal{T}_e X} - \alpha \circ \mathcal{T}g) \circ (\Lambda - \tilde{\Lambda}') + b^*(\alpha \circ \mathcal{T}h) \circ (M - \tilde{M}') \\ &= \Lambda - \tilde{\Lambda}' + b^*(\alpha) \circ [-\mathcal{T}g \circ (\Lambda - \tilde{\Lambda}') + \mathcal{T}h \circ (M - \tilde{M}')] \\ &= \Lambda - \tilde{\Lambda}' + b^*(\alpha) \circ [K'|_{V' \cap \tilde{V}'} - K'|_{V' \cap \tilde{V}'} + O(s)] = \Lambda - \tilde{\Lambda}' + O(s), \end{aligned}$$

using (11.93) in the first step, (11.82) in the third, and (11.88), (11.91) in the fifth. This proves the first equation of (11.5), and the second equation is similar.

Suppose  $\check{N} : E|_{V' \cap \check{V}'} \rightarrow \mathcal{T}_b W|_{V' \cap \check{V}'}$  also satisfies (11.4)–(11.5). Subtracting the equations of (11.5) for  $N, \check{N}$  gives

$$\mathcal{T}e \circ (N - \check{N}) = O(s), \quad \mathcal{T}f \circ (N - \check{N}) = O(s).$$

Hence using (11.82) in the second step we have

$$N - \check{N} = \text{id}_{\mathcal{T}W} \circ (N - \check{N}) = (\gamma \circ \mathcal{T}e + \delta \circ \mathcal{T}f) \circ (N - \check{N}) = O(s).$$

This completes Assumption 11.1(b)(iii) for  $\dot{\mathbf{M}}\mathbf{an} = \mathbf{Man}$  in Example 11.10.

### 11.7.2 The cases $\mathbf{Man}_{\text{in}}^c$ and $\mathbf{Man}_{\text{in}}^{g^c}$

Next we explain how to modify the proof in §11.7.1 to work when both  $\dot{\mathbf{M}}\mathbf{an}_{\mathcal{D}}$  and  $\dot{\mathbf{M}}\mathbf{an}_{\mathcal{E}}$  are  $\mathbf{Man}_{\text{in}}^c$  or  $\mathbf{Man}_{\text{in}}^{g^c}$ , as in Examples 11.12(a) and 11.13(a). The difficulty is that the ‘tubular neighbourhoods’  $\Phi_1 : T_1 \rightarrow U_1$  and  $\Phi_2 : T_2 \rightarrow U_2$  defined at the beginning of §11.7.1 may not exist.

To see the problem, consider  $Z = [0, \infty)$ . Then  $\mathcal{T}Z = {}^bTZ \cong [0, \infty) \times \mathbb{R}$ , where  $(x, u) \in [0, \infty) \times \mathbb{R}$  represents  $u \cdot x \frac{\partial}{\partial x} \in {}^bT_x[0, \infty)$ , and  $Z \times Z = [0, \infty)^2$  with  $\Delta_Z(Z) = \{(x, x) : x \in [0, \infty)\} \subseteq [0, \infty)^2$ . Thus  $\mathcal{T}Z$  near the zero section  $0(Z)$  is not diffeomorphic to  $Z \times Z$  near  $\Delta_Z(Z)$ , as the corners are different at  $(0, 0) \in \mathcal{T}Z$  and  $(0, 0) \in Z \times Z$ . So there do not exist open  $0(Z) \subset T_1 \subseteq \mathcal{T}Z$  and  $\Delta_Z(Z) \subset U_1 \subseteq Z \times Z$  and a diffeomorphism  $\Phi_1 : T_1 \rightarrow U_1$ .

Nonetheless, there is a construction which shares many of the important properties of tubular neighbourhoods in the corners case. We can choose open neighbourhoods  $T_1, T_2$  of  $0(Z), 0(W)$  in the vector bundles  $\mathcal{T}Z = {}^bTZ \rightarrow Z$  and  $\mathcal{T}_{g \circ e}Z = (g \circ e)^*({}^bTZ) \rightarrow W$ , and interior maps  $\Phi_1 : T_1 \rightarrow Z \times Z$ ,  $\Phi_2 : T_2 \rightarrow X \times Y$ , with the properties:

- (a)  $\Phi_1(z, 0) = (z, z)$  and  $\Phi_2(w, 0) = (e(w), f(w))$  for all  $z \in Z$  and  $w \in W$ .
- (b)  $\Phi_1(z, u) = (z, z')$  for all  $(z, u) \in T_1$ .
- (c)  ${}^b d\Phi_1 : {}^bT(T_1) \rightarrow \Phi_1^*({}^bT(Z \times Z))$  and  ${}^b d\Phi_2 : {}^bT(T_2) \rightarrow \Phi_2^*({}^bT(X \times Y))$  are vector bundle isomorphisms.
- (d) The derivatives  ${}^b d\Phi_1|_{0(Z)}$ ,  ${}^b d\Phi_2|_{0(W)}$  satisfy (11.79) and (11.81), where  $\alpha \oplus \beta$  is a right inverse for  $\mathcal{T}g \oplus -\mathcal{T}h$  in (11.80), so that (11.82) holds for some unique  $\gamma, \delta$ .
- (e) On the interiors,  $\Phi_1|_{T_1^\circ} : T_1^\circ \rightarrow Z^\circ \times Z^\circ$  and  $\Phi_2|_{T_2^\circ} : T_2^\circ \rightarrow X^\circ \times Y^\circ$  are diffeomorphisms with open subsets of their targets.

However, on  $T_1 \setminus T_1^\circ$  and  $T_2 \setminus T_2^\circ$ ,  $\Phi_1, \Phi_2$  are generally *not injective*, and the images of  $\Phi_1, \Phi_2$  are generally *not open* in  $Z \times Z$  and  $X \times Y$ . So in particular, *the inverses  $\Phi_1^{-1}$  and  $\Phi_2^{-1}$  may not exist*.

- (f) Although  $\Phi_1^{-1}, \Phi_2^{-1}$  may not exist, under some conditions on interior maps  $a, b : V \rightarrow Z$  or  $c : V \rightarrow X$ ,  $d : V \rightarrow Y$ , it may be automatic that

$(a, b) : V \rightarrow Z \times Z$  factors via  $\Phi_1 : T_1 \rightarrow Z \times Z$ , or  $(c, d) : V \rightarrow X \times Y$  factors via  $\Phi_2 : T_2 \rightarrow X \times Y$ . That is, there may exist unique interior  $i : V \rightarrow T_1$  and  $j : V \rightarrow T_2$  with  $\Phi_1 \circ i = (a, b)$  and  $\Phi_2 \circ j = (c, d)$ . If  $\Phi_1^{-1}, \Phi_2^{-1}$  existed we would have  $i = \Phi_1^{-1} \circ (a, b)$  and  $j = \Phi_2^{-1} \circ (c, d)$ . So we use factorization properties of this kind as a substitute for  $\Phi_1^{-1}, \Phi_2^{-1}$ .

For example, when  $Z = [0, \infty)$  we can take  $T_1 = \mathcal{T}Z = [0, \infty) \times \mathbb{R}$  and define  $\Phi_1 : T_1 \rightarrow Z \times Z$  by  $\Phi_1(x, u) = (x, e^{-u}x)$ . Then  $\Phi_1(z, u) = (z, z')$ , as in (b). In the natural bases  $x \frac{\partial}{\partial x}, \frac{\partial}{\partial u}$  for  ${}^bT(\mathcal{T}Z)$  and  $y \frac{\partial}{\partial y}, z \frac{\partial}{\partial z}$  for  ${}^bT(Z \times Z)$ , we see that  $\mathcal{T}\Phi_1|_{0(Z)}$  maps  $x \frac{\partial}{\partial x} \mapsto y \frac{\partial}{\partial y} + z \frac{\partial}{\partial z}$  and  $\frac{\partial}{\partial u} \mapsto -z \frac{\partial}{\partial z}$ , so  $\mathcal{T}\Phi_1|_{0(Z)}$  has matrix  $\begin{pmatrix} 1 & 0 \\ 1 & -1 \end{pmatrix}$ , and (11.79) holds as in (c). We have  $\Phi_1(\{0\} \times \mathbb{R}) = \{(0, 0)\}$ , so  $\Phi_1$  is not injective, and the image  $\Phi_1(T_1)$  is not open in  $Z \times Z$ , as in (e).

In the proof in §11.7.1, the problem is that we use  $\Phi_1^{-1}, \Phi_2^{-1}$  as follows:

- (i) We define smooth  $\Psi : T_2 \rightarrow T_1$  by  $\Psi = \Phi_1^{-1} \circ (g \times h) \circ \Phi_2$ .
- (ii) We define smooth  $\Xi : V' \rightarrow T_2$  by  $\Xi = \Phi_2^{-1} \circ (c, d)|_{V'}$ .
- (iii) Equation (11.94) involves  $\Phi_2^{-1} \circ (e, f)$ .
- (iv) Equations (11.83) and (11.95) involve  $\mathcal{T}(\Phi_1^{-1})$  and  $\mathcal{T}(\Phi_2^{-1})$ .

Here (i)–(iii) are dealt with by the factorization property of  $\Phi_1, \Phi_2$  in (f) above. For (i), if the open neighbourhood  $T_2$  of  $0(W)$  in  $\mathcal{T}_{g \circ e}Z$  is small enough there is a unique interior map  $\Psi : T_2 \rightarrow T_1$  with  $\Phi_1 \circ \Psi = (g \times h) \circ \Phi_2$ . For (ii), if  $V'$  is small enough there is a unique interior map  $\Xi : V' \rightarrow T_2$  with  $\Phi_2 \circ \Xi = (c, d)$ . For (iii),  $\Phi_2^{-1} \circ (e, f)$  is the zero section map  $0 : W \rightarrow T_2 \subseteq \mathcal{T}_{g \circ e}Z$ . For part (iv) we substitute  $\mathcal{T}(\Phi_1^{-1}) = (\mathcal{T}\Phi_1)^{-1}$  and  $\mathcal{T}(\Phi_2^{-1}) = (\mathcal{T}\Phi_2)^{-1}$ , where  $\mathcal{T}\Phi_1 = {}^b d\Phi_1$  and  $\mathcal{T}\Phi_2 = {}^b d\Phi_2$  are vector bundle isomorphisms as in (c) above. With these modifications, the proof in §11.7.1 extends to work in  $\mathbf{Man}_{\text{in}}^c$  and  $\mathbf{Man}_{\text{in}}^{\text{gc}}$ .

### 11.7.3 The cases $\mathbf{Man}^c$ and $\mathbf{Man}^{\text{gc}}$

Finally we modify the proofs in §11.7.1–§11.7.2 to work in the remaining cases of Examples 11.11–11.13, in which  $\mathbf{Man}_{\mathbf{E}}$  is  $\mathbf{Man}^c$  or  $\mathbf{Man}^{\text{gc}}$ . In §11.7.2, it was important that we worked with *interior* maps, which are functorial for b-tangent bundles  ${}^bTX$  in  $\mathbf{Man}_{\text{in}}^c, \mathbf{Man}_{\text{in}}^{\text{gc}}$ .

The new issues are that in the definition of the ‘tubular neighbourhood’  $\Phi_2 : T_2 \rightarrow X \times Y$  for  $(e, f)(W) \subseteq X \times Y$ , the map  $(e, f) : W \rightarrow X \times Y$  may no longer be interior, which was essential in §11.7.2 to define  $\Phi_2, T_2$ . Even if  $(e, f)$  is interior and  $\Phi_2, T_2$  in §11.7.2 are well defined, the maps  $c : V \rightarrow X, d : V \rightarrow Y$  in Assumption 11.1(b)(ii) need not be interior, and if they are not, the lifting property of  $(c, d) : V \rightarrow X \times Y$  in §11.7.2(f) may not hold, so that we cannot define  $\Xi : V' \rightarrow T_2$  with  $\Phi_2 \circ \Xi = (c, d)$  as in §11.7.1–§11.7.2.

Our solution is to use the corner functors  $C : \mathbf{Man}^c \rightarrow \check{\mathbf{M}}\mathbf{an}_{\text{in}}^c, C : \mathbf{Man}^{\text{gc}} \rightarrow \check{\mathbf{M}}\mathbf{an}_{\text{in}}^{\text{gc}}$  from §2.2 and §2.4.1, which map to interior morphisms. Given a transverse Cartesian square (11.1) in  $\mathbf{Man}^c$  or  $\mathbf{Man}^{\text{gc}}$  in one of the remaining cases

of Examples 11.11–11.13, we can consider the commutative diagram in  $\check{\mathbf{Man}}_{\text{in}}^c$  or  $\check{\mathbf{Man}}_{\text{in}}^{gc}$ :

$$\begin{array}{ccc} C(W) & \xrightarrow{\quad C(f) \quad} & C(Y) \\ \downarrow C(e) & & C(h) \downarrow \\ C(X) & \xrightarrow{\quad C(g) \quad} & C(Z). \end{array} \quad (11.96)$$

We can show that in the cases we are interested in, (11.96) is *locally Cartesian and locally b-transverse on  $C(W)$* . That is, if  $\mathbf{w} \in C(W)$  with  $C(e)\mathbf{w} = \mathbf{x} \in C(X)$ ,  $C(f)\mathbf{w} = \mathbf{y} \in C(Y)$  and  $C(g)\mathbf{x} = C(h)\mathbf{y} = \mathbf{z} \in C(Z)$ , then  $C(g), C(h)$  are b-transverse near  $\mathbf{x}, \mathbf{y}, \mathbf{z}$  as in §2.5.3, and (11.96) is Cartesian near  $\mathbf{w}, \mathbf{x}, \mathbf{y}, \mathbf{z}$  in  $C(W), \dots, C(Z)$ . We do not claim (11.96) is Cartesian, nor that  $C(g), C(h)$  are b-transverse, as these would be false in Example 2.26.

Thus  $(C(e), C(f))$  embeds  $C(W)$  as a submanifold of  $C(X) \times C(Y)$ , and the argument of §11.7.2 constructing ‘tubular neighbourhoods’  $\Phi_1 : T_1 \rightarrow Z \times Z$ ,  $\Phi_2 : T_2 \rightarrow X \times Y$  satisfying §11.7.2(a)–(f) works with  $C(W), \dots, C(h)$  in place of  $W, X, Y, Z, e, f, g, h$ , as  $C(e), \dots, C(h)$  are interior.

Now suppose as in Assumption 11.1(b)(ii) that  $c : V \rightarrow X$ ,  $d : V \rightarrow Y$  are morphisms in  $\mathbf{Man}^c$  or  $\mathbf{Man}^{gc}$ , and  $E \rightarrow V$  is a vector bundle, and  $s \in \Gamma^\infty(E)$  is a section, and  $K : E \rightarrow \mathcal{T}_{g \circ c} Z$  is a morphism, such that  $h \circ d = g \circ c + K \circ s + O(s^2)$ . Then we have a diagram in  $\check{\mathbf{Man}}_{\text{in}}^c$  or  $\check{\mathbf{Man}}_{\text{in}}^{gc}$ :

$$\begin{array}{ccc} V \cong C_0(V) & \xrightarrow{\quad C(d)|_{C_0(V)} \quad} & C(Y) \\ \downarrow C(c)|_{C_0(V)} & & C(h) \downarrow \\ C(X) & \xrightarrow{\quad C(g) \quad} & C(Z). \end{array}$$

Under the isomorphism  $V \cong C_0(V)$  there is a natural identification

$$\mathcal{T}_{g \circ c} Z \cong \mathcal{T}_{C(g) \circ C(c)|_{C_0(V)}} C(Z) \cong C(g \circ c)|_{C_0(V)}^* ({}^b T(C(Z))).$$

Let  $\check{K} : E \rightarrow \mathcal{T}_{C(g) \circ C(c)|_{C_0(V)}} C(Z)$  correspond to  $K$  under this identification. Then we find that  $C(h) \circ C(d)|_{C_0(V)} = C(g) \circ C(c)|_{C_0(V)} + \check{K} \circ s + O(s^2)$ . So we can repeat the argument of §11.7.1–§11.7.2 with  $C_0(V), C(W), \dots, C(Z)$ ,  $C(c)|_{C_0(V)}, C(d)|_{C_0(V)}, C(e), \dots, C(h), \check{K}$  in place of  $V, W, \dots, Z, c, d, e, \dots, h, K$ .

For Assumption 11.1(b)(ii) this constructs  $\check{V}' \subseteq C_0(V)$ , an interior morphism  $\check{b} : C_0(V) \rightarrow C(W)$  and morphisms  $\check{\Lambda} : E|_{V'} \rightarrow \mathcal{T}_{C(e) \circ \check{b}} C(X)$  and  $\check{M} : E|_{V'} \rightarrow \mathcal{T}_{C(f) \circ \check{b}} C(Y)$  with

$$C(c)|_{V'} = C(e) \circ \check{b} + \check{\Lambda} \circ s + O(s^2), \quad C(d)|_{V'} = C(f) \circ \check{b} + \check{M} \circ s + O(s^2). \quad (11.97)$$

Let  $V' \subseteq V$  be identified with  $\check{V}'$  under  $V \cong C_0(V)$ , let  $b : V' \rightarrow W$  be identified with  $\Pi \circ \check{b}$  under  $V' \cong \check{V}'$ , and let  $\Lambda : E|_{V'} \rightarrow \mathcal{T}_{e \circ b} X$ ,  $M : E|_{V'} \rightarrow \mathcal{T}_{f \circ b} Y$  be identified with  $\check{\Lambda}, \check{M}$  as for  $K \cong \check{K}$ . Then (11.97) corresponds to (11.2). The rest of Assumption 11.1(b)(ii)–(iii) follow in the same way.

## 11.8 Proof of Theorem 11.17

Work in the situation of Definition 11.16. Since (11.14) is a 2-commutative square in  $\mathbf{Gm\check{K}N}_D$ , and  $\mathbf{Gm\check{K}N}_D \subseteq \mathbf{Gm\check{K}N}_E$  is an inclusion of 2-subcategories such that the 2-morphisms in  $\mathbf{Gm\check{K}N}_D, \mathbf{Gm\check{K}N}_E$  between given 1-morphisms in  $\mathbf{Gm\check{K}N}_D$  coincide, if (11.14) is 2-Cartesian in  $\mathbf{Gm\check{K}N}_E$  then it is 2-Cartesian in  $\mathbf{Gm\check{K}N}_D$ . Thus, we must verify the universal property of 2-category fibre products in Definition A.11 for (11.14) in  $\mathbf{Gm\check{K}N}_E$ .

Suppose we are given 1-morphisms in  $\mathbf{Gm\check{K}N}_E$ :

$$\mathbf{c}_{jl} : (S_j, B_j, p_j) \longrightarrow (U_l, D_l, r_l), \quad \mathbf{d}_{jm} : (S_j, B_j, p_j) \longrightarrow (V_m, E_m, s_m),$$

with  $\mathbf{c}_{jl} = (S_{jl}, c_{jl}, \hat{c}_{jl})$  and  $\mathbf{d}_{jm} = (S_{jm}, d_{jm}, \hat{d}_{jm})$ , and let  $K = [\dot{S}_j, \hat{\kappa}] : g_{ln} \circ \mathbf{c}_{jl} \Rightarrow h_{mn} \circ \mathbf{d}_{jm}$  be a 2-morphism in  $\mathbf{Gm\check{K}N}_E$ . Then by Definition 4.3,  $\dot{S}_j$  is an open neighbourhood of  $p_j^{-1}(0)$  in  $S_{jl} \cap S_{jm} \subseteq S_j$ , and  $\hat{\kappa} : B_j|_{\dot{S}_j} \rightarrow \mathcal{T}_{g_{ln} \circ c_{jl}} W_n|_{\dot{S}_j}$  is a morphism with

$$\begin{aligned} h_{mn} \circ d_{jm}|_{\dot{S}_j} &= g_{ln} \circ c_{jl}|_{\dot{S}_j} + \hat{\kappa} \circ p_j + O(p_j^2) \quad \text{and} \\ d_{jm}^*(\hat{h}_{mn}) \circ \hat{d}_{jm}|_{\dot{S}_j} &= c_{jl}^*(\hat{g}_{ln}) \circ \hat{c}_{jl}|_{\dot{S}_j} + (g_{ln} \circ c_{jl})^*(dt) \circ \hat{\kappa} + O(p_j). \end{aligned} \quad (11.98)$$

Assumption 11.1(b)(ii) now gives an open neighbourhood  $\ddot{S}_j$  of  $p_j^{-1}(0)$  in  $\dot{S}_j$ , a morphism  $b_{jk} : \ddot{S}_j \rightarrow T_k$  in  $\mathbf{Man}_E$ , and morphisms  $\hat{\lambda} : B_j|_{\ddot{S}_j} \rightarrow \mathcal{T}_{e_{kl} \circ b_{jk}} U_l$  and  $\hat{\mu} : B_j|_{\ddot{S}_j} \rightarrow \mathcal{T}_{f_{km} \circ b_{jk}} V_m$  such that (11.2) becomes

$$c_{jl}|_{\ddot{S}_j} = e_{kl} \circ b_{jk} + \hat{\lambda} \circ p_j + O(p_j^2), \quad d_{jm}|_{\ddot{S}_j} = f_{km} \circ b_{jk} + \hat{\mu} \circ p_j + O(p_j^2). \quad (11.99)$$

Theorem 3.17(g) gives  $\tilde{\kappa} : B_j|_{\ddot{S}_j} \rightarrow \mathcal{T}_{g_{ln} \circ e_{kl} \circ b_{jk}} W_n$  with  $\tilde{\kappa} = \hat{\kappa}|_{\ddot{S}_j} + O(p_j)$ , since  $g_{ln} \circ c_{jl}|_{\ddot{S}_j} = g_{ln} \circ e_{kl} \circ b_{jk} + O(p_j)$  by (11.99), and then as in (11.3) we have

$$\tilde{\kappa} + \mathcal{T}g_{ln} \circ \hat{\lambda} = \mathcal{T}h_{mn} \circ \hat{\mu} + O(p_j). \quad (11.100)$$

Choose connections  $\nabla^{D_l}, \nabla^{E_m}, \nabla^{F_n}$  on  $D_l \rightarrow U_l, E_m \rightarrow V_m, F_n \rightarrow W_n$ , as in §3.3.3 and §B.3.2, and write  $\nabla^{g_{ln}^*(F_n)}, \nabla^{h_{mn}^*(F_n)}$  for the pullback connections from  $\nabla^{F_n}$  on  $g_{ln}^*(F_n) \rightarrow U_{ln}, h_{mn}^*(F_n) \rightarrow V_{mn}$ . Then in morphisms  $B_j|_{\ddot{S}_j} \rightarrow$

$(g_{ln} \circ e_{kl} \circ b_{jk})^*(F_n)$  we have:

$$\begin{aligned}
& b_{jk}^* [e_{kl}^*(\hat{g}_{ln}) \oplus -f_{km}^*(\hat{h}_{mn})] \circ [(\hat{c}_{jl}|_{\check{S}_j} - (e_{kl} \circ b_{jk})^*(\nabla^{D_l} r_l) \circ \hat{\lambda}) \\
& \quad \oplus (\hat{d}_{jm}|_{\check{S}_j} - (f_{km} \circ b_{jk})^*(\nabla^{E_m} s_m) \circ \hat{\mu})] \\
&= (e_{kl} \circ b_{jk})^*(\hat{g}_{ln}) \circ \hat{c}_{jl}|_{\check{S}_j} - (e_{kl} \circ b_{jk})^*(\hat{g}_{ln}) \circ (e_{kl} \circ b_{jk})^*(\nabla^{D_l} r_l) \circ \hat{\lambda} \\
& \quad - (f_{km} \circ b_{jk})^*(\hat{h}_{mn}) \circ \hat{d}_{jm}|_{\check{S}_j} + (f_{km} \circ b_{jk})^*(\hat{h}_{mn}) \circ (f_{km} \circ b_{jk})^*(\nabla^{E_m} s_m) \circ \hat{\mu} \\
&= c_{jl}^*(\hat{g}_{ln}) \circ \hat{c}_{jl}|_{\check{S}_j} - (e_{kl} \circ b_{jk})^*(\nabla^{g_{ln}^*(F_n)}(\hat{g}_{ln}(r_l))) \circ \hat{\lambda} \\
& \quad - d_{jm}^*(\hat{h}_{mn}) \circ \hat{d}_{jm}|_{\check{S}_j} + (f_{km} \circ b_{jk})^*(\nabla^{h_{mn}^*(F_n)}(\hat{h}_{mn}(s_m))) \circ \hat{\mu} + O(p_j) \\
&= c_{jl}^*(\hat{g}_{ln}) \circ \hat{c}_{jl}|_{\check{S}_j} - (e_{kl} \circ b_{jk})^*(\nabla^{g_{ln}^*(F_n)}(g_{ln}^*(t_n))) \circ \hat{\lambda} \tag{11.101} \\
& \quad - d_{jm}^*(\hat{h}_{mn}) \circ \hat{d}_{jm}|_{\check{S}_j} + (f_{km} \circ b_{jk})^*(\nabla^{h_{mn}^*(F_n)}(h_{mn}^*(t_n))) \circ \hat{\mu} + O(p_j) \\
&= c_{jl}^*(\hat{g}_{ln}) \circ \hat{c}_{jl}|_{\check{S}_j} - (g_{ln} \circ e_{kl} \circ b_{jk})^*(\nabla^{F_n} t_n) \circ \mathcal{T} g_{ln} \circ \hat{\lambda} \\
& \quad - d_{jm}^*(\hat{h}_{mn}) \circ \hat{d}_{jm}|_{\check{S}_j} + (h_{mn} \circ f_{km} \circ b_{jk})^*(\nabla^{F_n} t_n) \circ \mathcal{T} h_{mn} \circ \hat{\mu} + O(p_j) \\
&= c_{jl}^*(\hat{g}_{ln}) \circ \hat{c}_{jl}|_{\check{S}_j} - d_{jm}^*(\hat{h}_{mn}) \circ \hat{d}_{jm}|_{\check{S}_j} \\
& \quad + (g_{ln} \circ e_{kl} \circ b_{jk})^*(\nabla^{F_n} t_n) \circ [-\mathcal{T} g_{ln} \circ \hat{\lambda} + \mathcal{T} h_{mn} \circ \hat{\mu}] + O(p_j) \\
&= c_{jl}^*(\hat{g}_{ln}) \circ \hat{c}_{jl}|_{\check{S}_j} - d_{jm}^*(\hat{h}_{mn}) \circ \hat{d}_{jm}|_{\check{S}_j} + (g_{ln} \circ e_{kl} \circ b_{jk})^*(\nabla^{F_n} t_n) \circ \check{\kappa} + O(p_j) \\
&= c_{jl}^*(\hat{g}_{ln}) \circ \hat{c}_{jl}|_{\check{S}_j} - d_{jm}^*(\hat{h}_{mn}) \circ \hat{d}_{jm}|_{\check{S}_j} + (g_{ln} \circ c_{jl})^*(\nabla^{F_n} t_n) \circ \hat{\kappa}|_{\check{S}_j} + O(p_j) \\
&= 0 + O(p_j).
\end{aligned}$$

Here the second step uses (11.99) and

$$\begin{aligned}
\nabla^{g_{ln}^*(F_n)}(\hat{g}_{ln}(r_l)) &= \hat{g}_{ln} \circ \nabla^{D_l} r_l + O(r_l), \\
\nabla^{h_{mn}^*(F_n)}(\hat{h}_{mn}(s_m)) &= \hat{h}_{mn} \circ \nabla^{E_m} s_m + O(s_m).
\end{aligned}$$

The third step uses  $\hat{g}_{ln}(r_l|_{U_{ln}}) = g_{ln}^*(t_n)$  and  $\hat{h}_{mn}(s_m|_{V_{mn}}) = h_{mn}^*(t_n)$ . The fourth step uses

$$\begin{aligned}
(e_{kl} \circ b_{jk})^*(\nabla^{g_{ln}^*(F_n)}(g_{ln}^*(t_n))) &= (g_{ln} \circ e_{kl} \circ b_{jk})^*(\nabla^{F_n} t_n) \circ \mathcal{T} g_{ln}, \\
(f_{km} \circ b_{jk})^*(\nabla^{h_{mn}^*(F_n)}(h_{mn}^*(t_n))) &= (h_{mn} \circ f_{km} \circ b_{jk})^*(\nabla^{F_n} t_n) \circ \mathcal{T} h_{mn}.
\end{aligned} \tag{11.102}$$

The fifth follows from  $h_{mn} \circ f_{km} = g_{ln} \circ e_{kl}$ , the sixth from (11.100), the seventh from (11.99) and  $\check{\kappa} = \hat{\kappa}|_{\check{S}_j} + O(p_j)$ , and the last from (11.98) and Definition 3.15(vi). This proves (11.101).

Now  $b_{jk}^*(C_k) \rightarrow \check{S}_j$  is the kernel of the surjective vector bundle morphism

$$\begin{aligned}
& b_{jk}^* [e_{kl}^*(\hat{g}_{ln}) \oplus -f_{km}^*(\hat{h}_{mn})] : (e_{kl} \circ b_{jk})^*(D_l) \oplus (f_{km} \circ b_{jk})^*(E_m) \\
& \quad \longrightarrow (g_{ln} \circ e_{kl} \circ b_{jk})^*(F_n),
\end{aligned}$$

which occurs at the beginning of (11.101), and the inclusion of  $b_{jk}^*(C_k)$  as the kernel is  $b_{jk}^*(\hat{e}_{kl}) \oplus b_{jk}^*(\hat{f}_{km})$ . Since taking kernels of surjective vector bundle



morphisms commutes with reducing modulo  $O(p_j)$ , equation (11.101) implies that there is a morphism  $\hat{b}_{jk} : B_j|_{\check{S}_j} \rightarrow b_{jk}^*(C_k)$ , unique up to  $O(p_j)$ , with

$$\begin{aligned} (b_{jk}^*(\hat{e}_{kl}) \oplus b_{jk}^*(\hat{f}_{km}))(\hat{b}_{jk}) &= (\hat{c}_{jl}|_{\check{S}_j} - (e_{kl} \circ b_{jk})^*(\nabla^{D_l} r_l) \circ \hat{\lambda}) \\ &\oplus (\hat{d}_{jm}|_{\check{S}_j} - (f_{km} \circ b_{jk})^*(\nabla^{E_m} s_m) \circ \hat{\mu}) + O(p_j), \end{aligned} \quad (11.103)$$

which by Definition 3.15(vi) is equivalent to

$$\begin{aligned} \hat{c}_{jl}|_{\check{S}_j} &= b_{jk}^*(\hat{e}_{kl}) \circ \hat{b}_{jk} + (e_{kl} \circ b_{jk})^*(dr_l) \circ \hat{\lambda} + O(p_j), \\ \hat{d}_{jm}|_{\check{S}_j} &= b_{jk}^*(\hat{f}_{km}) \circ \hat{b}_{jk} + (f_{km} \circ b_{jk})^*(ds_m) \circ \hat{\mu} + O(p_j). \end{aligned} \quad (11.104)$$

We have

$$\begin{aligned} (b_{jk}^*(\hat{e}_{kl}) \oplus b_{jk}^*(\hat{f}_{km}))(\hat{b}_{jk}(p_j)) &= (\hat{c}_{jl}(p_j)|_{\check{S}_j} - (e_{kl} \circ b_{jk})^*(\nabla^{D_l} r_l) \circ \hat{\lambda} \circ p_j) \\ &\oplus (\hat{d}_{jm}(p_j)|_{\check{S}_j} - (f_{km} \circ b_{jk})^*(\nabla^{E_m} s_m) \circ \hat{\mu} \circ p_j) \\ &= (c_{jl}^*(r_l)|_{\check{S}_j} - (e_{kl} \circ b_{jk})^*(\nabla^{D_l} r_l) \circ \hat{\lambda} \circ p_j) \\ &\oplus (d_{jm}^*(s_m)|_{\check{S}_j} - (f_{km} \circ b_{jk})^*(\nabla^{E_m} s_m) \circ \hat{\mu} \circ p_j) + O(p_j^2) \\ &= (b_{jk}^* \circ e_{kl}^*(r_l)) \oplus (b_{jk}^* \circ f_{km}^*(s_m)) + O(p_j^2) \\ &= (b_{jk}^*(\hat{e}_{kl}(q_k))) \oplus (b_{jk}^*(\hat{f}_{km}(q_k))) + O(p_j^2) \\ &= (b_{jk}^*(\hat{e}_{kl}) \oplus b_{jk}^*(\hat{f}_{km}))(b_{jk}^*(q_k)) + O(p_j^2), \end{aligned} \quad (11.105)$$

where the first step comes from (11.103), the second from Definition 4.2(d) for  $\mathbf{c}_{jl}$ ,  $\mathbf{d}_{jm}$ , the third can be proved by pulling back  $r_l, s_m$  using the equations of (11.99), and the fourth follows from Definition 4.2(d) for  $\mathbf{e}_{kl}, \mathbf{f}_{km}$ .

As  $b_{jk}^*(\hat{e}_{kl}) \oplus b_{jk}^*(\hat{f}_{km})$  is injective, (11.105) shows that  $\hat{b}_{jk}(p_j) = b_{jk}^*(q_k) + O(p_j^2)$ . Thus  $\mathbf{b}_{jk} = (\check{S}_j, b_{jk}, \hat{b}_{jk}) : (S_j, B_j, p_j) \rightarrow (T_k, C_k, q_k)$  is a 1-morphism in  $\mathbf{Gm\check{K}N}_E$ .

Definition 4.3 and equations (11.99) and (11.104) now give 2-morphisms

$$\begin{aligned} \Lambda &= [\check{S}_j, \hat{\lambda}] : \mathbf{e}_{kl} \circ \mathbf{b}_{jk} \Longrightarrow \mathbf{c}_{jl}, \\ \mathbf{M} &= [\check{S}_j, \hat{\mu}] : \mathbf{f}_{km} \circ \mathbf{b}_{jk} \Longrightarrow \mathbf{d}_{jm}, \end{aligned}$$

in  $\mathbf{Gm\check{K}N}_E$ , and equation (11.100) is equivalent to the commutative diagram

$$\begin{array}{ccc} \mathbf{g}_{ln} \circ \mathbf{e}_{kl} \circ \mathbf{b}_{jk} & \xrightarrow{\text{id}_{\mathbf{g}_{ln} \circ \mathbf{e}_{kl}} * \text{id}_{\mathbf{b}_{jk}}} & \mathbf{h}_{mn} \circ \mathbf{f}_{km} \circ \mathbf{b}_{jk} \\ \Downarrow \text{id}_{\mathbf{g}_{ln}} * \Lambda & & \text{id}_{\mathbf{h}_{mn}} * \mathbf{M} \Downarrow \\ \mathbf{g}_{ln} \circ \mathbf{c}_{jl} & \xrightarrow{\mathbf{K}} & \mathbf{h}_{mn} \circ \mathbf{d}_{jm}, \end{array}$$

which is equation (A.16) for the 2-commutative square (11.14). This proves the first part of the universal property in Definition A.11.

For the second part, let  $\mathbf{b}'_{jk} = (\check{S}'_j, b'_{jk}, \hat{b}'_{jk}) : (S_j, B_j, p_j) \rightarrow (T_k, C_k, q_k)$  be a 1-morphism in  $\mathbf{Gm}\check{\mathbf{K}}\mathbf{N}_{\mathbf{E}}$ , and

$$\begin{aligned}\Lambda' &= [\check{S}'_j, \hat{\lambda}'] : \mathbf{e}_{kl} \circ \mathbf{b}'_{jk} \implies \mathbf{c}_{jl}, \\ \mathbf{M}' &= [\check{S}'_j, \hat{\mu}'] : \mathbf{f}_{km} \circ \mathbf{b}'_{jk} \implies \mathbf{d}_{jm},\end{aligned}$$

be 2-morphisms in  $\mathbf{Gm}\check{\mathbf{K}}\mathbf{N}_{\mathbf{E}}$ , such that the following commutes

$$\begin{array}{ccc} \mathbf{g}_{ln} \circ \mathbf{e}_{kl} \circ \mathbf{b}'_{jk} & \xrightarrow{\quad\quad\quad} & \mathbf{h}_{mn} \circ \mathbf{f}_{km} \circ \mathbf{b}'_{jk} \\ \Downarrow \text{id}_{\mathbf{g}_{ln}} * \Lambda' & \text{id}_{\mathbf{g}_{ln} \circ \mathbf{e}_{kl}} * \text{id}_{\mathbf{b}'_{jk}} & \text{id}_{\mathbf{h}_{mn}} * \mathbf{M}' \Downarrow \\ \mathbf{g}_{ln} \circ \mathbf{c}_{jl} & \xrightarrow{\quad\quad\quad \mathbf{K} \quad\quad\quad} & \mathbf{h}_{mn} \circ \mathbf{d}_{jm}, \end{array} \quad (11.106)$$

where making  $\check{S}'_j$  smaller, we use the same open  $p_j^{-1}(0) \subseteq \check{S}'_j \subseteq S_j$  in  $\mathbf{b}'_{jk}, \Lambda', \mathbf{M}'$ .

Then  $b'_{jk} : \check{S}'_j \rightarrow T_k$  is a morphism in  $\check{\mathbf{M}}\mathbf{an}_{\mathbf{E}}$ , and  $\hat{\lambda}' : B_j|_{\check{S}'_j} \rightarrow \mathcal{T}_{\mathbf{e}_{kl} \circ b'_{jk}} U_l$  and  $\hat{\mu}' : B_j|_{\check{S}'_j} \rightarrow \mathcal{T}_{\mathbf{f}_{km} \circ b'_{jk}} V_m$  are morphisms, where by Definition 4.3(b)

$$\begin{aligned}c_{jl}|_{\check{S}'_j} &= e_{kl} \circ b'_{jk} + \hat{\lambda}' \circ p_j + O(p_j^2), & d_{jm}|_{\check{S}'_j} &= f_{km} \circ b'_{jk} + \hat{\mu}' \circ p_j + O(p_j^2), \\ \hat{c}_{jl}|_{\check{S}'_j} &= b'^*_{jk}(\hat{e}_{kl}) \circ \hat{b}'_{jk} + (e_{kl} \circ b'_{jk})^*(dr_l) \circ \hat{\lambda}' + O(p_j), & (11.107) \\ \hat{d}_{jm}|_{\check{S}'_j} &= b'^*_{jk}(\hat{f}_{km}) \circ \hat{b}'_{jk} + (f_{km} \circ b'_{jk})^*(ds_m) \circ \hat{\mu}' + O(p_j),\end{aligned}$$

as in (11.99) and (11.104). Theorem 3.17(g) gives  $\hat{\kappa}' : B_j|_{\check{S}'_j} \rightarrow \mathcal{T}_{\mathbf{g}_{ln} \circ \mathbf{e}_{kl} \circ b'_{jk}} W_n$  with  $\hat{\kappa}' = \hat{\kappa}|_{\check{S}'_j} + O(p_j)$ , since  $\mathbf{g}_{ln} \circ \mathbf{c}_{jl}|_{\check{S}'_j} = \mathbf{g}_{ln} \circ \mathbf{e}_{kl} \circ \mathbf{b}'_{jk} + O(p_j)$  by the first equation of (11.107), and then as in (11.100), equation (11.106) is equivalent to

$$\hat{\kappa}' + \mathcal{T}\mathbf{g}_{ln} \circ \hat{\lambda}' = \mathcal{T}\mathbf{h}_{mn} \circ \hat{\mu}' + O(p_j). \quad (11.108)$$

Applying Assumption 11.1(b)(iii) to the first line of (11.107), and (11.108), shows that there exists a morphism  $\hat{\nu} : B_j|_{\check{S}_j \cap \check{S}'_j} \rightarrow \mathcal{T}_{b_{jk}} T_k|_{\check{S}_j \cap \check{S}'_j}$  with

$$b'_{jk}|_{\check{S}_j \cap \check{S}'_j} = b_{jk}|_{\check{S}_j \cap \check{S}'_j} + \hat{\nu} \circ p_j + O(p_j^2), \quad (11.109)$$

and if  $\check{\lambda}' : B_j|_{\check{S}_j \cap \check{S}'_j} \rightarrow \mathcal{T}_{\mathbf{e}_{kl} \circ b_{jk}} U_l|_{\check{S}_j \cap \check{S}'_j}$ ,  $\check{\mu}' : B_j|_{\check{S}_j \cap \check{S}'_j} \rightarrow \mathcal{T}_{\mathbf{f}_{km} \circ b_{jk}} V_m|_{\check{S}_j \cap \check{S}'_j}$  are morphisms with  $\hat{\lambda}'|_{\check{S}_j \cap \check{S}'_j} = \check{\lambda}' + O(p_j)$ ,  $\hat{\mu}'|_{\check{S}_j \cap \check{S}'_j} = \check{\mu}' + O(p_j)$ , which exist and are unique up to  $O(p_j)$  by Theorem 3.17(g), then

$$\hat{\lambda}|_{\check{S}_j \cap \check{S}'_j} = \check{\lambda}' + \mathcal{T}\mathbf{e}_{kl} \circ \hat{\nu} + O(p_j), \quad \hat{\mu}|_{\check{S}_j \cap \check{S}'_j} = \check{\mu}' + \mathcal{T}\mathbf{f}_{km} \circ \hat{\nu} + O(p_j). \quad (11.110)$$

Furthermore,  $\hat{\nu}$  satisfying (11.109)–(11.110) is unique up to  $O(p_j)$ . Now

$$\begin{aligned}b'^*_{jk}(\hat{e}_{kl}) \circ \hat{b}'_{jk}|_{\check{S}_j \cap \check{S}'_j} &= \hat{c}_{jl}|_{\check{S}_j \cap \check{S}'_j} - (e_{kl} \circ b'_{jk})^*(dr_l) \circ \hat{\lambda}'|_{\check{S}_j \cap \check{S}'_j} + O(p_j) \\ &= b^*_{jk}(\hat{e}_{kl}) \circ \hat{b}_{jk}|_{\check{S}_j \cap \check{S}'_j} + (e_{kl} \circ b_{jk})^*(dr_l) \circ \hat{\lambda} - (e_{kl} \circ b_{jk})^*(dr_l) \circ \check{\lambda}' + O(p_j) \\ &= b^*_{jk}(\hat{e}_{kl}) \circ \hat{b}_{jk}|_{\check{S}_j \cap \check{S}'_j} + (e_{kl} \circ b_{jk})^*(\nabla^{D_l} r_l) \circ \mathcal{T}\mathbf{e}_{kl} \circ \hat{\nu} + O(p_j) \\ &= b^*_{jk}(\hat{e}_{kl}) \circ \hat{b}_{jk}|_{\check{S}_j \cap \check{S}'_j} + b^*_{jk}(\nabla^{e_{kl}(D_l)}(e^*_{kl}(r_l))) \circ \hat{\nu} + O(p_j) \\ &= b^*_{jk}(\hat{e}_{kl}) \circ \hat{b}_{jk}|_{\check{S}_j \cap \check{S}'_j} + b^*_{jk}(\nabla^{e_{kl}(D_l)}(\hat{e}_{kl}(q_k))) \circ \hat{\nu} + O(p_j) \\ &= b^*_{jk}(\hat{e}_{kl}) \circ [\hat{b}_{jk}|_{\check{S}_j \cap \check{S}'_j} + b^*_{jk}(\nabla^{C_k} q_k) \circ \hat{\nu}] + O(p_j), \end{aligned} \quad (11.111)$$

using the third equation of (11.107) in the first step, (11.104) and  $e_{kl} \circ b_{jk}|_{\check{S}_j \cap \check{S}'_j} = e_{kl} \circ b'_{jk}|_{\check{S}_j \cap \check{S}'_j} + O(p_j)$  by (11.109) and  $\hat{\lambda}'|_{\check{S}_j \cap \check{S}'_j} = \hat{\lambda}' + O(p_j)$  in the second step, and (11.110) and choosing a connection  $\nabla^{D_l}$  on  $D_l \rightarrow U_l$  in the third.

In the fourth step of (11.111), as in (11.102) we use

$$(e_{kl} \circ b_{jk})^*(\nabla^{D_l} r_l) \circ \mathcal{T} e_{kl} = b_{jk}^*(\nabla^{e_{kl}^*(D_l)}(e_{kl}^*(r_l))) : \mathcal{T}_{b_{jk}} T_k|_{\check{S}_j \cap \check{S}'_j} \rightarrow (e_{kl} \circ b_{jk})^*(D_l),$$

where  $\nabla^{e_{kl}^*(D_l)}$  is the pullback connection on  $e_{kl}^*(D_l) \rightarrow T_k$  from  $\nabla^{D_l}$ . The fifth step uses  $\hat{e}_{kl}(q_k) = e_{kl}^*(r_l)$ , and the sixth  $\nabla^{e_{kl}^*(D_l)}(\hat{e}_{kl}(q_k)) = \hat{e}_{kl} \circ \nabla^{C_k} q_k + O(q_k)$  for  $\nabla^{C_k}$  some connection on  $C_k$ , and  $b_{jk}^*(q_k) = O(p_j)$ . This proves (11.111). Similarly we have

$$b_{jk}^*(\hat{f}_{km}) \circ \hat{b}'_{jk}|_{\check{S}_j \cap \check{S}'_j} = b_{jk}^*(\hat{f}_{km}) \circ [\hat{b}_{jk}|_{\check{S}_j \cap \check{S}'_j} + b_{jk}^*(\nabla^{C_k} q_k) \circ \hat{v}] + O(p_j). \quad (11.112)$$

Since  $\hat{e}_{kl} \oplus \hat{f}_{km} : C_k \rightarrow e_{kl}^*(D_l) \oplus f_{km}^*(E_m)$  is injective, and  $b'_{jk}|_{\check{S}_j \cap \check{S}'_j} = b_{jk}|_{\check{S}_j \cap \check{S}'_j} + O(p_j)$ , equations (11.111)–(11.112) imply that as in (4.1),

$$\hat{b}'_{jk}|_{\check{S}_j \cap \check{S}'_j} = \hat{b}_{jk}|_{\check{S}_j \cap \check{S}'_j} + b_{jk}^*(dq_k) \circ \hat{v} + O(p_j). \quad (11.113)$$

Equations (11.109) and (11.113) and  $b = b'$  imply that

$$N = [\check{S}_j \cap \check{S}'_j, \hat{v}] : \mathbf{b}_{jk} \implies \mathbf{b}'_{jk}$$

is a 2-morphism in  $\mathbf{Gm}\dot{\mathbf{K}}N_E$ , and (11.110) is equivalent to

$$\Lambda = \Lambda' \odot (\text{id}_{e_{kl}} * N) \quad \text{and} \quad M = M' \odot (\text{id}_{f_{km}} * N).$$

That  $N$  is unique with these properties follows from the uniqueness of  $\hat{v}$  satisfying (11.109)–(11.110) up to  $O(p_j)$ . This proves the second part of the universal property in Definition A.11, and completes the proof of Theorem 11.17.

## 11.9 Proof of Theorem 11.19

Suppose  $\dot{\mathbf{M}}an$  satisfies Assumptions 3.1–3.7 and 11.1. Let  $g : \mathbf{X} \rightarrow \mathbf{Z}$ ,  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  be 1-morphisms in  $\mathbf{m}\dot{\mathbf{K}}ur$ , which will usually be w-transverse in  $\mathbf{m}\dot{\mathbf{K}}ur_D$ . The aim will be to construct a fibre product  $\mathbf{W} = \mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}ur_D$  or  $\mathbf{m}\dot{\mathbf{K}}ur_E$ , with projections  $e : \mathbf{W} \rightarrow \mathbf{X}$ ,  $f : \mathbf{W} \rightarrow \mathbf{Y}$  and a 2-morphism  $\eta : g \circ e \Rightarrow h \circ f$  in a 2-Cartesian square (11.15). We will use notation (4.6)–(4.8) for  $\mathbf{X} = (X, \mathcal{T})$ ,  $\mathbf{Y} = (Y, \mathcal{J})$ ,  $\mathbf{Z} = (Z, \mathcal{K})$ , and our usual notation for  $e, \dots, h$  and  $\eta$  as in (4.9) and Definition 4.18.

### 11.9.1 Constructing $\mathbf{W}, e, f, \eta$ when Assumption 11.3 holds

Let  $g : \mathbf{X} \rightarrow \mathbf{Z}$ ,  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  be w-transverse 1-morphisms in  $\mathbf{m}\dot{\mathbf{K}}ur$ . For simplicity, we first suppose that  $\dot{\mathbf{M}}an$  also satisfies Assumption 11.3. Then as in Theorem 11.19(c) we will construct a fibre product  $\mathbf{W} = \mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  in  $\mathbf{m}\dot{\mathbf{K}}ur_D$  and  $\mathbf{m}\dot{\mathbf{K}}ur_E$ , with topological space  $W = \{(x, y) \in X \times Y : g(x) = h(y)\}$ ,

and continuous maps  $e : W \rightarrow X$ ,  $f : W \rightarrow Y$  acting by  $e : (x, y) \mapsto x$  and  $f : (x, y) \mapsto y$ . The general case, which we tackle in §11.9.2, is more complicated, as we also have to construct  $W, e, f$ .

So let  $W, e, f$  be as above, and let  $(x, y) \in W$  with  $g(x) = h(y) = z$  in  $Z$ . Then by Definition 11.18 there exist m-Kuranishi neighbourhoods  $(U_l, D_l, r_l, \chi_l)$ ,  $(V_m, E_m, s_m, \psi_m)$ ,  $(W_n, F_n, t_n, \omega_n)$  on  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  as in §4.7 with  $x \in \text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n)$ ,  $y \in \text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$  and  $z \in \text{Im } \omega_n$ , and 1-morphisms  $\mathbf{g}_{ln} : (U_l, D_l, r_l, \chi_l) \rightarrow (W_n, F_n, t_n, \omega_n)$ ,  $\mathbf{h}_{mn} : (V_m, E_m, s_m, \psi_m) \rightarrow (W_n, F_n, t_n, \omega_n)$  over  $(\text{Im } \chi_l, \mathbf{g})$  and  $(\text{Im } \psi_m, \mathbf{h})$ , as in Definition 4.54, such that  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  are w-transverse as in Definition 11.16.

Apply Definition 11.16 and Theorem 11.17 to the 1-morphisms in  $\mathbf{Gm}\dot{\mathbf{K}}\mathbf{N}_D$

$$\mathbf{g}_{ln} : (U_l, D_l, r_l) \longrightarrow (W_n, F_n, t_n), \quad \mathbf{h}_{mn} : (V_m, E_m, s_m) \longrightarrow (W_n, F_n, t_n).$$

These construct a 2-Cartesian square (11.14) in  $\mathbf{Gm}\dot{\mathbf{K}}\mathbf{N}_D$  and  $\mathbf{Gm}\dot{\mathbf{K}}\mathbf{N}_E$ . From (11.13) and Definition 4.14(b) for  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  we see that

$$\dim T_k - \text{rank } C_k = \text{vdim } \mathbf{X} + \text{vdim } \mathbf{Y} - \text{vdim } \mathbf{Z}.$$

Here by definition  $T_k$  is the transverse fibre product in  $\dot{\mathbf{M}}\mathbf{an}$ :

$$T_k = \dot{U}_{ln} \times_{\mathbf{g}_{ln}|_{\dot{U}_{ln}}, W_n, \mathbf{h}_{mn}|_{\dot{V}_{mn}}} \dot{V}_{mn}, \quad (11.114)$$

for open  $\dot{U}_{ln} \subseteq U_l$ ,  $\dot{V}_{mn} \subseteq V_m$  satisfying Definition 11.15(i),(ii). As we suppose Assumption 11.3, by Assumption 3.2(e) we take  $T_k$  to have topological space

$$T_k = \{(u, v) \in \dot{U}_{ln} \times \dot{V}_{mn} : \mathbf{g}_{ln}(u) = \mathbf{h}_{mn}(v) \in W_n\}, \quad (11.115)$$

and then  $e_{kl} : T_k \rightarrow U_l$ ,  $f_{km} : T_k \rightarrow V_m$  map  $e_{kl} : (u, v) \mapsto u$ ,  $f_{km} : (u, v) \mapsto v$ .

Since  $q_k = e_{kl}^*(r_l) \oplus f_{km}^*(s_m)$ , we see that

$$q_k^{-1}(0) = \{(u, v) \in r_l^{-1}(0) \times s_m^{-1}(0) : \mathbf{g}_{ln}(u) = \mathbf{h}_{mn}(v)\}.$$

Define  $\varphi_k : q_k^{-1}(0) \rightarrow W$  by  $\varphi_k(u, v) = (\chi_l(u), \psi_m(v))$ . This is well defined as

$$g \circ \chi_l(u) = \omega_n \circ \mathbf{g}_{ln}(u) = \omega_n \circ \mathbf{h}_{mn}(v) = h \circ \psi_m(v),$$

using Definition 4.2(e) for  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$ . As  $\chi_l, \psi_m$  are homeomorphisms with their open images,  $\varphi_k$  is a homeomorphism with the open subset

$$\text{Im } \varphi_k = \{(x, y) \in W : x \in \text{Im } \chi_l, y \in \text{Im } \psi_m\} = e^{-1}(\text{Im } \chi_l) \cap f^{-1}(\text{Im } \psi_m) \subseteq W.$$

Hence  $(T_k, C_k, q_k, \varphi_k)$  is an m-Kuranishi neighbourhood on  $W$ . Since  $e \circ \varphi_k = \chi_l \circ e_{kl}$  and  $f \circ \varphi_k = \psi_m \circ f_{km}$  on  $q_k^{-1}(0)$ ,  $e_{kl} : (T_k, C_k, q_k, \varphi_k) \rightarrow (U_l, D_l, r_l, \chi_l)$  is a 1-morphism over  $(\text{Im } \varphi_k, e)$  and  $f_{km} : (T_k, C_k, q_k, \varphi_k) \rightarrow (V_m, E_m, s_m, \psi_m)$  is a 1-morphism over  $(\text{Im } \varphi_k, f)$ . Thus, generalizing (11.14) we have a 2-commutative diagram in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_D$  from Definition 4.8:

$$\begin{array}{ccc} (W, \text{Im } \varphi_k, (T_k, C_k, q_k, \varphi_k)) & \xrightarrow{(f, \mathbf{f}_{km})} & (Y, \text{Im } \psi_m, (V_m, E_m, s_m, \psi_m)) \\ \downarrow (e, \mathbf{e}_{kl}) & \text{id} \uparrow & \downarrow (\mathbf{h}, \mathbf{h}_{mn}) \\ (X, \text{Im } \chi_l, (U_l, D_l, r_l, \chi_l)) & \xrightarrow{(g, \mathbf{g}_{ln})} & (Z, \text{Im } \omega_n, (W_n, F_n, t_n, \omega_n)). \end{array} \quad (11.116)$$

We can find such a diagram (11.116) with  $(x, y) \in \text{Im } \varphi_k \subseteq W$  for all  $(x, y)$  in  $W$ . Thus we can choose a family of such diagrams indexed by  $a$  in an indexing set  $A$  so that the subsets  $\text{Im } \varphi_k$  cover  $W$ . We change notation from subscripts  $k, l, m, n$  to subscripts  $a, \hat{a}, \ddot{a}, \ddot{\ddot{a}}$ , where  $a \in A$ , and  $\hat{a}, \ddot{a}, \ddot{\ddot{a}}$  correspond to  $a$ , but have accents to help distinguish m-Kuranishi neighbourhoods on  $W, X, Y, Z$ . Thus, for  $a \in A$  we have a family of 2-commutative diagrams in  $\mathbf{m\check{K}N}_D$

$$\begin{array}{ccc} (W, \text{Im } \varphi_a, (T_a, C_a, q_a, \varphi_a)) & \xrightarrow{(f, \mathbf{f}_{a\hat{a}})} & (Y, \text{Im } \psi_{\hat{a}}, (V_{\hat{a}}, E_{\hat{a}}, s_{\hat{a}}, \psi_{\hat{a}})) \\ \downarrow (e, \mathbf{e}_{a\hat{a}}) & \text{id} \uparrow & \downarrow (h, \mathbf{h}_{\hat{a}\ddot{a}}) \\ (X, \text{Im } \chi_{\hat{a}}, (U_{\hat{a}}, D_{\hat{a}}, r_{\hat{a}}, \chi_{\hat{a}})) & \xrightarrow{(g, \mathbf{g}_{\hat{a}\ddot{a}})} & (Z, \text{Im } \omega_{\ddot{a}}, (W_{\ddot{a}}, F_{\ddot{a}}, t_{\ddot{a}}, \omega_{\ddot{a}})), \end{array} \quad (11.117)$$

with  $W = \bigcup_{a \in A} \text{Im } \varphi_a$ , such that as in (11.14) the following is 2-Cartesian in  $\mathbf{Gm\check{K}N}_D$  and  $\mathbf{Gm\check{K}N}_E$ :

$$\begin{array}{ccc} (T_a, C_a, q_a) & \xrightarrow{\mathbf{f}_{a\hat{a}}} & (V_{\hat{a}}, E_{\hat{a}}, s_{\hat{a}}) \\ \downarrow \mathbf{e}_{a\hat{a}} & \text{id} \uparrow & \downarrow \mathbf{h}_{\hat{a}\ddot{a}} \\ (U_{\hat{a}}, D_{\hat{a}}, r_{\hat{a}}) & \xrightarrow{\mathbf{g}_{\hat{a}\ddot{a}}} & (W_{\ddot{a}}, F_{\ddot{a}}, t_{\ddot{a}}). \end{array} \quad (11.118)$$

Let  $a, b \in A$ . Then Theorem 4.56(a) gives coordinate changes

$$\begin{array}{ll} \mathbf{T}_{\hat{a}\hat{b}} : (U_{\hat{a}}, D_{\hat{a}}, r_{\hat{a}}, \chi_{\hat{a}}) \longrightarrow (U_{\hat{b}}, D_{\hat{b}}, r_{\hat{b}}, \chi_{\hat{b}}) & \text{over } \text{Im } \chi_{\hat{a}} \cap \text{Im } \chi_{\hat{b}} \text{ on } \mathbf{X}, \\ \mathbf{\Upsilon}_{\hat{a}\hat{b}} : (V_{\hat{a}}, E_{\hat{a}}, s_{\hat{a}}, \psi_{\hat{a}}) \longrightarrow (V_{\hat{b}}, E_{\hat{b}}, s_{\hat{b}}, \psi_{\hat{b}}) & \text{over } \text{Im } \psi_{\hat{a}} \cap \text{Im } \psi_{\hat{b}} \text{ on } \mathbf{Y}, \\ \mathbf{\Phi}_{\hat{a}\hat{b}} : (W_{\ddot{a}}, F_{\ddot{a}}, t_{\ddot{a}}, \omega_{\ddot{a}}) \longrightarrow (W_{\ddot{b}}, F_{\ddot{b}}, t_{\ddot{b}}, \omega_{\ddot{b}}) & \text{over } \text{Im } \omega_{\ddot{a}} \cap \text{Im } \omega_{\ddot{b}} \text{ on } \mathbf{Z}, \end{array}$$

where we choose  $\mathbf{T}_{\hat{a}\hat{a}}, \mathbf{\Upsilon}_{\hat{a}\hat{a}}, \mathbf{\Phi}_{\hat{a}\hat{a}}$  to be identities, and so Theorem 4.56(c) gives unique 2-morphisms

$$\begin{array}{ll} \mathbf{G}_{\hat{a}\hat{b}}^{\ddot{\ddot{a}}} : \mathbf{g}_{\hat{b}\hat{b}}^{\ddot{\ddot{a}}} \circ \mathbf{T}_{\hat{a}\hat{b}} \Longrightarrow \mathbf{\Phi}_{\hat{a}\hat{b}}^{\ddot{\ddot{a}}} \circ \mathbf{g}_{\hat{a}\hat{a}}^{\ddot{\ddot{a}}} & \text{over } \text{Im } \chi_{\hat{a}} \cap \text{Im } \chi_{\hat{b}} \text{ on } \mathbf{X}, \\ \mathbf{H}_{\hat{a}\hat{b}}^{\ddot{\ddot{a}}} : \mathbf{h}_{\hat{b}\hat{b}}^{\ddot{\ddot{a}}} \circ \mathbf{\Upsilon}_{\hat{a}\hat{b}} \Longrightarrow \mathbf{\Phi}_{\hat{a}\hat{b}}^{\ddot{\ddot{a}}} \circ \mathbf{h}_{\hat{a}\hat{a}}^{\ddot{\ddot{a}}} & \text{over } \text{Im } \psi_{\hat{a}} \cap \text{Im } \psi_{\hat{b}} \text{ on } \mathbf{Y}, \end{array}$$

such that the analogue of (4.62) commutes. When  $a = b$  these are identities, as  $\mathbf{T}_{\hat{a}\hat{a}}, \mathbf{\Upsilon}_{\hat{a}\hat{a}}, \mathbf{\Phi}_{\hat{a}\hat{a}}$  are identities.

Writing  $\mathbf{T}_{\hat{a}\hat{b}} = (U_{\hat{a}\hat{b}}, \tau_{\hat{a}\hat{b}}, \hat{\tau}_{\hat{a}\hat{b}})$  and  $\mathbf{\Upsilon}_{\hat{a}\hat{b}} = (V_{\hat{a}\hat{b}}, v_{\hat{a}\hat{b}}, \hat{v}_{\hat{a}\hat{b}})$ , set  $T_{ab} = e_{a\hat{a}}^{-1}(U_{\hat{a}\hat{b}}) \cap f_{a\hat{a}}^{-1}(V_{\hat{a}\hat{b}})$ . Then  $T_{ab}$  is an open neighbourhood of  $\varphi_a^{-1}(\text{Im } \varphi_a \cap \text{Im } \varphi_b)$  in  $T_a$ . Consider the 1-morphisms in  $\mathbf{Gm\check{K}N}_D$ :

$$\begin{array}{l} \mathbf{T}_{\hat{a}\hat{b}} \circ \mathbf{e}_{a\hat{a}}|_{T_{ab}} : (T_{ab}, C_a|_{T_{ab}}, q_a|_{T_{ab}}) \longrightarrow (U_{\hat{b}}, D_{\hat{b}}, r_{\hat{b}}), \\ \mathbf{\Upsilon}_{\hat{a}\hat{b}} \circ \mathbf{f}_{a\hat{a}}|_{T_{ab}} : (T_{ab}, C_a|_{T_{ab}}, q_a|_{T_{ab}}) \longrightarrow (V_{\hat{b}}, E_{\hat{b}}, s_{\hat{b}}), \end{array}$$

and the 2-morphism

$$((\mathbf{H}_{\hat{a}\hat{b}}^{\ddot{\ddot{a}}})^{-1} * \text{id}_{\mathbf{f}_{a\hat{a}}}) \odot (\mathbf{G}_{\hat{a}\hat{b}}^{\ddot{\ddot{a}}} * \text{id}_{\mathbf{e}_{a\hat{a}}}) : \mathbf{g}_{\hat{b}\hat{b}}^{\ddot{\ddot{a}}} \circ [\mathbf{T}_{\hat{a}\hat{b}} \circ \mathbf{e}_{a\hat{a}}|_{T_{ab}}] \Longrightarrow \mathbf{h}_{\hat{b}\hat{b}}^{\ddot{\ddot{a}}} \circ [\mathbf{\Upsilon}_{\hat{a}\hat{b}} \circ \mathbf{f}_{a\hat{a}}|_{T_{ab}}],$$

noting that  $g_{\dot{a}\dot{a}} \circ e_{a\dot{a}} = h_{\dot{a}\dot{a}} \circ f_{a\dot{a}}$  as in (11.118). Since (11.118) with  $b$  in place of  $a$  is 2-Cartesian in  $\mathbf{Gm\dot{K}N}_D$  by Theorem 11.17, the universal property in Definition A.11 gives a 1-morphism in  $\mathbf{Gm\dot{K}N}_D$ , unique up to 2-isomorphism,

$$\Sigma_{ab} : (T_a, C_a, q_a)|_{T_{ab}} = (T_{ab}, C_a|_{T_{ab}}, q_a|_{T_{ab}}) \longrightarrow (T_b, C_b, q_b),$$

and 2-isomorphisms in  $\mathbf{Gm\dot{K}N}_D$

$$\mathbf{E}_{ab}^{\dot{a}\dot{b}} : e_{bb} \circ \Sigma_{ab} \Longrightarrow T_{\dot{a}\dot{b}} \circ e_{a\dot{a}}|_{T_{ab}}, \quad \mathbf{F}_{ab}^{\dot{a}\dot{b}} : f_{bb} \circ \Sigma_{ab} \Longrightarrow \Upsilon_{\dot{a}\dot{b}} \circ f_{a\dot{a}}|_{T_{ab}}, \quad (11.119)$$

such that the following diagram of 2-isomorphisms commutes:

$$\begin{array}{ccc} g_{bb} \circ e_{bb} \circ \Sigma_{ab} & \xrightarrow{\text{id}} & h_{bb} \circ f_{bb} \circ \Sigma_{ab} \\ \Downarrow \text{id}_{g_{bb}} * \mathbf{E}_{ab}^{\dot{a}\dot{b}} & \searrow^{((\mathbf{H}_{ab}^{\dot{a}\dot{b}})^{-1} * \text{id}_{f_{a\dot{a}}}) \odot (\mathbf{G}_{ab}^{\dot{a}\dot{b}} * \text{id}_{e_{a\dot{a}}})} & \Downarrow \text{id}_{h_{bb}} * \mathbf{F}_{ab}^{\dot{a}\dot{b}} \\ g_{bb} \circ T_{\dot{a}\dot{b}} \circ e_{a\dot{a}}|_{T_{ab}} & \xrightarrow{\text{id}} & h_{bb} \circ \Upsilon_{\dot{a}\dot{b}} \circ f_{a\dot{a}}|_{T_{ab}}. \end{array} \quad (11.120)$$

As  $T_{\dot{a}\dot{a}}, \Upsilon_{\dot{a}\dot{a}}, \mathbf{G}_{\dot{a}\dot{a}}^{\dot{a}\dot{a}}, \mathbf{H}_{\dot{a}\dot{a}}^{\dot{a}\dot{a}}$  are identities, we can choose

$$\Sigma_{aa} = \text{id}_{(T_a, C_a, q_a)}, \quad \mathbf{E}_{aa}^{\dot{a}\dot{a}} = \text{id}_{e_{a\dot{a}}}, \quad \text{and} \quad \mathbf{F}_{aa}^{\dot{a}\dot{a}} = \text{id}_{f_{a\dot{a}}}. \quad (11.121)$$

Now let  $a, b, c \in A$ . Then Theorem 4.56(c) gives unique 2-morphisms

$$\begin{array}{ll} \mathbf{K}_{\dot{a}\dot{b}\dot{c}} : T_{\dot{b}\dot{c}} \circ T_{\dot{a}\dot{b}} \Longrightarrow T_{\dot{a}\dot{c}} & \text{over } \text{Im } \chi_{\dot{a}} \cap \text{Im } \chi_{\dot{b}} \cap \text{Im } \chi_{\dot{c}} \text{ on } \mathbf{X}, \\ \Lambda_{\dot{a}\dot{b}\dot{c}} : \Upsilon_{\dot{b}\dot{c}} \circ \Upsilon_{\dot{a}\dot{b}} \Longrightarrow \Upsilon_{\dot{a}\dot{c}} & \text{over } \text{Im } \psi_{\dot{a}} \cap \text{Im } \psi_{\dot{b}} \cap \text{Im } \psi_{\dot{c}} \text{ on } \mathbf{Y}, \end{array}$$

such that the analogue of (4.62) commutes. Using Theorem 4.56(d) we see that

$$\begin{array}{l} \mathbf{K}_{\dot{a}\dot{c}\dot{d}} \odot (\text{id}_{T_{\dot{c}\dot{d}}} * \mathbf{K}_{\dot{a}\dot{b}\dot{c}}) = \mathbf{K}_{\dot{a}\dot{b}\dot{d}} \odot (\mathbf{K}_{\dot{b}\dot{c}\dot{d}} * \text{id}_{T_{\dot{a}\dot{b}}}) : T_{\dot{c}\dot{d}} \circ T_{\dot{b}\dot{c}} \circ T_{\dot{a}\dot{b}} \Longrightarrow T_{\dot{a}\dot{d}}, \\ \Lambda_{\dot{a}\dot{c}\dot{d}} \odot (\text{id}_{\Upsilon_{\dot{c}\dot{d}}} * \Lambda_{\dot{a}\dot{b}\dot{c}}) = \Lambda_{\dot{a}\dot{b}\dot{d}} \odot (\Lambda_{\dot{b}\dot{c}\dot{d}} * \text{id}_{\Upsilon_{\dot{a}\dot{b}}}) : \Upsilon_{\dot{c}\dot{d}} \circ \Upsilon_{\dot{b}\dot{c}} \circ \Upsilon_{\dot{a}\dot{b}} \Longrightarrow \Upsilon_{\dot{a}\dot{d}}. \end{array} \quad (11.122)$$

Compare the two 2-commutative diagrams:

$$\begin{array}{ccccc} (T_a, C_a, q_a)|_{T_{abc}} & \xrightarrow{\Sigma_{ab}|_{T_{abc}}} & (T_b, C_b, q_b)|_{T_{bc}} & \xrightarrow{\Sigma_{bc}} & (T_c, C_c, q_c) & \xrightarrow{f_{c\dot{c}}} & (V_{\dot{c}}, E_{\dot{c}}, s_{\dot{c}}) \\ \downarrow e_{a\dot{a}}|_{T_{abc}} & \searrow \Sigma_{ab}|_{T_{abc}} & \downarrow e_{b\dot{b}}|_{T_{bc}} & \searrow \Sigma_{bc} & \downarrow e_{c\dot{c}} & \searrow f_{c\dot{c}} & \downarrow h_{\dot{c}\dot{c}} \\ (U_{\dot{a}}, D_{\dot{a}}, r_{\dot{a}})|_{U_{\dot{a}\dot{b}\dot{c}}} & \xrightarrow{\Sigma_{ab}|_{T_{abc}}} & (U_{\dot{b}}, D_{\dot{b}}, r_{\dot{b}})|_{U_{\dot{b}\dot{c}}} & \xrightarrow{\Sigma_{bc}} & (U_{\dot{c}}, D_{\dot{c}}, r_{\dot{c}}) & \xrightarrow{g_{\dot{c}\dot{c}}} & (W_{\dot{c}}, F_{\dot{c}}, t_{\dot{c}}) \\ \downarrow e_{a\dot{a}}|_{T_{abc}} & \searrow \Sigma_{ab}|_{T_{abc}} & \downarrow e_{b\dot{b}}|_{T_{bc}} & \searrow \Sigma_{bc} & \downarrow e_{c\dot{c}} & \searrow f_{c\dot{c}} & \downarrow h_{\dot{c}\dot{c}} \\ (U_{\dot{a}}, D_{\dot{a}}, r_{\dot{a}})|_{U_{\dot{a}\dot{b}\dot{c}}} & \xrightarrow{\Sigma_{ab}|_{T_{abc}}} & (U_{\dot{b}}, D_{\dot{b}}, r_{\dot{b}})|_{U_{\dot{b}\dot{c}}} & \xrightarrow{\Sigma_{bc}} & (U_{\dot{c}}, D_{\dot{c}}, r_{\dot{c}}) & \xrightarrow{g_{\dot{c}\dot{c}}} & (W_{\dot{c}}, F_{\dot{c}}, t_{\dot{c}}) \end{array} \quad (11.123)$$

$$\begin{array}{ccc}
(T_a, C_a, q_a)|_{T_{abc}} & \xrightarrow{f_{a\ddot{a}}|_{T_{abc}}} & (V_{\ddot{a}}, E_{\ddot{a}}, s_{\ddot{a}})|_{V_{\ddot{a}\ddot{b}\ddot{c}}} & \xrightarrow{\Upsilon_{\ddot{a}\ddot{c}}|_{V_{\ddot{a}\ddot{b}\ddot{c}}}} & (V_{\ddot{c}}, E_{\ddot{c}}, s_{\ddot{c}}) \\
\downarrow e_{a\ddot{a}}|_{T_{abc}} & \searrow \Sigma_{ac}|_{T_{abc}} & \nearrow \mathbf{F}_{ac}^{\ddot{a}\ddot{c}} \nearrow & & \downarrow \mathbf{h}_{\ddot{c}\ddot{c}} \\
(U_{\ddot{a}}, D_{\ddot{a}}, r_{\ddot{a}})|_{U_{\ddot{a}\ddot{b}\ddot{c}}} & \xrightarrow{\Upsilon_{\ddot{a}\ddot{c}}|_{U_{\ddot{a}\ddot{b}\ddot{c}}}} & (T_c, C_c, q_c, \varphi_c) & \xrightarrow{f_{c\ddot{c}}} & (V_{\ddot{c}}, E_{\ddot{c}}, s_{\ddot{c}}) \\
& & \downarrow e_{c\ddot{c}} & \nearrow \text{id} \nearrow & \downarrow \mathbf{h}_{\ddot{c}\ddot{c}} \\
& & (U_{\ddot{c}}, D_{\ddot{c}}, r_{\ddot{c}}) & \xrightarrow{g_{\ddot{c}\ddot{c}}} & (W_{\ddot{c}}, F_{\ddot{c}}, t_{\ddot{c}})
\end{array} \quad (11.124)$$

where  $T_{abc} = T_{ab} \cap T_{bc}$ , and  $U_{\ddot{a}\ddot{b}\ddot{c}}, \dots$  are defined in a similar way. By the last part of the universal property in Definition A.11 for (11.118) with  $c$  in place of  $a$ , there exists a unique 2-isomorphism  $I_{abc} : \Sigma_{bc} \circ \Sigma_{ab}|_{T_{abc}} \Rightarrow \Sigma_{ac}|_{T_{abc}}$ , such that the following commute:

$$\begin{array}{ccc}
e_{c\ddot{c}} \circ \Sigma_{bc} \circ \Sigma_{ab}|_{T_{abc}} & \xrightarrow{\text{id}_{e_{c\ddot{c}}} * I_{abc}} & e_{c\ddot{c}} \circ \Sigma_{ac}|_{T_{abc}} \\
\downarrow \mathbf{E}_{bc}^{\ddot{b}\ddot{c}} * \text{id}_{\Sigma_{ab}} & \text{id}_{\Upsilon_{\ddot{b}\ddot{c}}} * \mathbf{E}_{ab}^{\ddot{a}\ddot{b}} & \mathbf{E}_{ac}^{\ddot{a}\ddot{c}} \downarrow \\
\Upsilon_{\ddot{b}\ddot{c}} \circ e_{bb} \circ \Sigma_{ab}|_{T_{abc}} & \xRightarrow{\text{id}_{\Upsilon_{\ddot{b}\ddot{c}}} * \mathbf{E}_{ab}^{\ddot{a}\ddot{b}}} & \Upsilon_{\ddot{b}\ddot{c}} \circ \Upsilon_{\ddot{a}\ddot{b}} \circ e_{a\ddot{a}}|_{T_{abc}} & \xRightarrow{K_{\ddot{a}\ddot{b}\ddot{c}} * \text{id}_{e_{a\ddot{a}}}} & \Upsilon_{\ddot{a}\ddot{c}} \circ e_{a\ddot{a}}|_{T_{abc}},
\end{array} \quad (11.125)$$

$$\begin{array}{ccc}
f_{c\ddot{c}} \circ \Sigma_{bc} \circ \Sigma_{ab}|_{T_{abc}} & \xrightarrow{\text{id}_{f_{c\ddot{c}}} * I_{abc}} & f_{c\ddot{c}} \circ \Sigma_{ac}|_{T_{abc}} \\
\downarrow \mathbf{F}_{bc}^{\ddot{b}\ddot{c}} * \text{id}_{\Sigma_{ab}} & \text{id}_{\Upsilon_{\ddot{b}\ddot{c}}} * \mathbf{F}_{ab}^{\ddot{a}\ddot{b}} & \mathbf{F}_{ac}^{\ddot{a}\ddot{c}} \downarrow \\
\Upsilon_{\ddot{b}\ddot{c}} \circ f_{bb} \circ \Sigma_{ab}|_{T_{abc}} & \xRightarrow{\text{id}_{\Upsilon_{\ddot{b}\ddot{c}}} * \mathbf{F}_{ab}^{\ddot{a}\ddot{b}}} & \Upsilon_{\ddot{b}\ddot{c}} \circ \Upsilon_{\ddot{a}\ddot{b}} \circ f_{a\ddot{a}}|_{T_{abc}} & \xRightarrow{\Lambda_{\ddot{a}\ddot{b}\ddot{c}} * \text{id}_{f_{a\ddot{a}}}} & \Upsilon_{\ddot{a}\ddot{c}} \circ f_{a\ddot{a}}|_{T_{abc}}.
\end{array} \quad (11.126)$$

From (11.121) and (11.122) with  $c = a$  we see that  $\Sigma_{ba} \circ \Sigma_{ab} \cong \text{id}_{(T_a, C_a, q_a, \varphi_a)}$ , and similarly  $\Sigma_{ab} \circ \Sigma_{ba} \cong \text{id}_{(T_b, C_b, q_b, \varphi_b)}$ . Hence  $\Sigma_{ab} : (T_a, C_a, q_a, \varphi_a) \rightarrow (T_b, C_b, q_b, \varphi_b)$  is a coordinate change over  $\text{Im } \varphi_a \cap \text{Im } \varphi_b$ , with quasi-inverse  $\Sigma_{ba}$ . Also from (11.121) for  $a, b$  we can deduce that  $I_{aab} = I_{abb} = \text{id}_{\Sigma_{ab}}$ .

Let  $a, b, c, d \in A$ , and consider the diagram of 2-morphisms over  $\text{Im } \varphi_a \cap \text{Im } \varphi_b \cap \text{Im } \varphi_c \cap \text{Im } \varphi_d$  on  $W$ :

$$\begin{array}{ccc}
e_{dd} \circ \Sigma_{cd} \circ \Sigma_{bc} \circ \Sigma_{ab} & \xrightarrow{\text{id} * I_{abc}} & e_{dd} \circ \Sigma_{cd} \circ \Sigma_{ac} \\
\downarrow \mathbf{E}_{cd}^{\ddot{c}\ddot{d}} * \text{id} & & \downarrow \mathbf{E}_{cd}^{\ddot{c}\ddot{d}} * \text{id} \\
\Upsilon_{\ddot{c}\ddot{d}} \circ e_{c\ddot{c}} \circ \Sigma_{bc} \circ \Sigma_{ab} & \xrightarrow{\text{id} * I_{abc}} & \Upsilon_{\ddot{c}\ddot{d}} \circ e_{c\ddot{c}} \circ \Sigma_{ac} \\
\downarrow \text{id} * I_{bcd} * \text{id} & \downarrow \text{id} * \mathbf{E}_{bc}^{\ddot{b}\ddot{c}} * \text{id} & \downarrow \text{id} * \mathbf{E}_{ac}^{\ddot{a}\ddot{c}} \\
\Upsilon_{\ddot{c}\ddot{d}} \circ \Upsilon_{\ddot{b}\ddot{c}} \circ e_{bb} \circ \Sigma_{ab} & \xrightarrow{\text{id} * \mathbf{E}_{ab}^{\ddot{a}\ddot{b}}} & \Upsilon_{\ddot{c}\ddot{d}} \circ \Upsilon_{\ddot{b}\ddot{c}} \circ \Upsilon_{\ddot{a}\ddot{b}} \circ e_{a\ddot{a}} \\
& \xrightarrow{\text{id} * K_{\ddot{a}\ddot{b}\ddot{c}} * \text{id}} & \Upsilon_{\ddot{c}\ddot{d}} \circ \Upsilon_{\ddot{a}\ddot{c}} \circ e_{a\ddot{a}} \\
& \downarrow K_{\ddot{b}\ddot{c}\ddot{d}} * \text{id} & \downarrow K_{\ddot{a}\ddot{c}\ddot{d}} * \text{id} \\
\Upsilon_{\ddot{b}\ddot{d}} \circ e_{bb} \circ \Sigma_{ab} & \xrightarrow{\text{id} * \mathbf{E}_{ab}^{\ddot{a}\ddot{b}}} & \Upsilon_{\ddot{b}\ddot{d}} \circ \Upsilon_{\ddot{a}\ddot{b}} \circ e_{a\ddot{a}} \\
& \xrightarrow{\text{id} * K_{\ddot{a}\ddot{b}\ddot{d}} * \text{id}} & \Upsilon_{\ddot{b}\ddot{d}} \circ \Upsilon_{\ddot{a}\ddot{d}} \circ e_{a\ddot{a}} \\
& \downarrow \mathbf{E}_{bd}^{\ddot{b}\ddot{d}} * \text{id} & \downarrow \mathbf{E}_{ad}^{\ddot{a}\ddot{d}} \\
e_{dd} \circ \Sigma_{bd} \circ \Sigma_{ab} & \xrightarrow{\text{id} * I_{abd}} & e_{dd} \circ \Sigma_{ad}.
\end{array} \quad (11.127)$$

Here four small quadrilaterals commute by (11.125), two commute by compatibility of vertical and horizontal composition, and one commutes by (11.122). So (11.127) commutes, implying that

$$\mathrm{id}_{e_{d\dot{a}}} * (\mathrm{I}_{acd} \odot (\mathrm{id}_{\Sigma_{cd}} * \mathrm{I}_{abc})) = \mathrm{id}_{e_{d\dot{a}}} * (\mathrm{I}_{abd} \odot (\mathrm{I}_{bcd} * \mathrm{id}_{\Sigma_{ab}})). \quad (11.128)$$

Similarly we can show that

$$\mathrm{id}_{f_{d\dot{a}}} * (\mathrm{I}_{acd} \odot (\mathrm{id}_{\Sigma_{cd}} * \mathrm{I}_{abc})) = \mathrm{id}_{e_{d\dot{a}}} * (\mathrm{I}_{abd} \odot (\mathrm{I}_{bcd} * \mathrm{id}_{\Sigma_{ab}})). \quad (11.129)$$

By comparing two 2-commutative diagrams similar to (11.123)–(11.124) and using (11.122) and uniqueness of  $\epsilon$  in Definition A.11 for the 2-Cartesian square (11.118) with  $d$  in place of  $a$ , we can use (11.128)–(11.129) to show that

$$\mathrm{I}_{acd} \odot (\mathrm{id}_{\Sigma_{cd}} * \mathrm{I}_{abc}) = \mathrm{I}_{abd} \odot (\mathrm{I}_{bcd} * \mathrm{id}_{\Sigma_{ab}}).$$

Now define  $\mathbf{W} = (W, \mathcal{A})$ , where  $\mathcal{A} = (A, (T_a, C_a, q_a, \varphi_a)_{a \in A}, \Sigma_{ab}, a, b \in A, \mathrm{I}_{abc}, a, b, c \in A)$ . Then  $W$  is Hausdorff and second countable as  $X, Y$  are, and we have already proved Definition 4.14(a)–(h) for  $\mathcal{A}$  above, so that  $\mathbf{W}$  is an  $m$ -Kuranishi space in  $\mathbf{mKur}$  with  $\mathrm{vdim} \mathbf{W} = \mathrm{vdim} \mathbf{X} + \mathrm{vdim} \mathbf{Y} - \mathrm{vdim} \mathbf{Z}$ .

Define a 1-morphism  $e : \mathbf{W} \rightarrow \mathbf{X}$  in  $\mathbf{mKur}$  by

$$e = (e, e_{ai}, a \in A, i \in I, \mathbf{E}_{ab}^i, i \in I, a, b \in A, \mathbf{E}_a^{ij}, i, j \in I, a \in A),$$

where  $e_{ai} = T_{\dot{a}i} \circ e_{a\dot{a}}$  and  $\mathbf{E}_{ab}^i, \mathbf{E}_a^{ij}$  are defined by the 2-commutative diagrams

$$\begin{array}{ccc} e_{bi} \circ \Sigma_{ab} & \xrightarrow{\hspace{10em}} & e_{ai} \\ \parallel & \xrightarrow{\hspace{10em}} & \parallel \\ T_{\dot{b}i} \circ e_{b\dot{b}} \circ \Sigma_{ab} & \xrightarrow{\mathrm{id}_{T_{\dot{b}i}} * \mathbf{E}_{ab}^{\dot{a}\dot{b}}} & T_{\dot{b}i} \circ T_{\dot{a}\dot{b}} \circ e_{a\dot{a}} \xrightarrow{K_{\dot{a}\dot{b}i} * \mathrm{id}_{e_{a\dot{a}}}} T_{\dot{a}i} \circ e_{a\dot{a}}, \end{array} \quad (11.130)$$

$$\begin{array}{ccc} T_{ij} \circ e_{ai} & \xrightarrow{\hspace{10em}} & e_{aj} \\ \parallel & \xrightarrow{\hspace{10em}} & \parallel \\ T_{ij} \circ T_{\dot{a}i} \circ e_{a\dot{a}} & \xrightarrow{\mathbf{E}_a^{ij} \hspace{10em} K_{\dot{a}ij} * \mathrm{id}_{e_{a\dot{a}}}} & T_{\dot{a}j} \circ e_{a\dot{a}}. \end{array} \quad (11.131)$$

Here  $\mathbf{X} = (X, \mathcal{I})$  in (4.6), and  $T_{\dot{a}i}, K_{\dot{a}ij}$  are the implicit data in the definition of the  $m$ -Kuranishi neighbourhood  $(U_{\dot{a}}, D_{\dot{a}}, r_{\dot{a}}, \chi_{\dot{a}})$  on  $\mathbf{X}$  in Definition 4.49, and the  $K_{\dot{a}\dot{b}i}$  are the implicit data in the definition of the coordinate change  $T_{\dot{a}\dot{b}} : (U_{\dot{a}}, D_{\dot{a}}, r_{\dot{a}}, \chi_{\dot{a}}) \rightarrow (U_{\dot{b}}, D_{\dot{b}}, r_{\dot{b}}, \chi_{\dot{b}})$  in Definition 4.51.

To show that  $e$  satisfies Definition 4.17, note that (a)–(d) are immediate, and (e) follows from  $\Sigma_{aa}, \mathbf{E}_{aa}^{\dot{a}\dot{a}}, K_{\dot{a}\dot{a}i}, K_{\dot{a}ii}$  being identities, and (f)–(h) follow from the



2-commutative diagrams

$$\begin{array}{ccc}
e_{ci} \circ \Sigma_{bc} \circ \Sigma_{ab} & \xrightarrow{\quad E_{bc}^i * \text{id}_{\Sigma_{ab}} \quad} & e_{bi} \circ \Sigma_{ab} \\
\downarrow \text{id}_{e_{ci}} * I_{abc} & & \downarrow E_{ab}^i \\
\begin{array}{ccccc}
T_{\dot{c}i} \circ e_{c\dot{c}} & \xrightarrow{\text{id} * E_{bc}^{\dot{b}\dot{c}} * \text{id}} & T_{\dot{c}i} \circ T_{\dot{b}\dot{c}} & \xrightarrow{K_{\dot{b}\dot{c}i} * \text{id}} & T_{\dot{b}i} \circ \\
\circ \Sigma_{bc} \circ \Sigma_{ab} & & \circ e_{\dot{b}\dot{b}} \circ \Sigma_{ab} & & e_{\dot{b}\dot{b}} \circ \Sigma_{ab} \\
\downarrow \text{id} * I_{abc} & & \downarrow \text{id} * E_{ab}^{\dot{a}\dot{b}} & & \downarrow \text{id} * E_{ab}^{\dot{a}\dot{b}} \\
T_{\dot{c}i} \circ T_{\dot{b}\dot{c}} & \xrightarrow{K_{\dot{b}\dot{c}i} * \text{id}} & T_{\dot{b}i} \circ & & \\
\circ T_{\dot{a}\dot{a}} \circ e_{\dot{a}\dot{a}} & & \circ T_{\dot{a}\dot{a}} \circ e_{\dot{a}\dot{a}} & & \\
\downarrow K_{\dot{a}\dot{b}\dot{c}} * \text{id} & & \downarrow K_{\dot{a}\dot{b}\dot{c}} * \text{id} & & \downarrow K_{\dot{a}\dot{b}\dot{c}} * \text{id} \\
T_{\dot{c}i} \circ e_{c\dot{c}} & \xrightarrow{\text{id} * E_{ac}^{\dot{a}\dot{c}}} & T_{\dot{a}\dot{c}} \circ e_{\dot{a}\dot{a}} & \xrightarrow{K_{\dot{a}\dot{c}i} * \text{id}} & T_{\dot{a}i} \circ e_{\dot{a}\dot{a}} \\
\circ \Sigma_{ac} & & \circ e_{\dot{a}\dot{a}} & & \circ e_{\dot{a}\dot{a}}
\end{array} & & \\
e_{ci} \circ \Sigma_{ac} & \xrightarrow{\quad E_{ac}^i \quad} & e_{ai},
\end{array} \quad (11.132)$$

$$\begin{array}{ccc}
T_{ij} \circ e_{bi} \circ \Sigma_{ab} & \xrightarrow{\quad \text{id}_{T_{ij}} * E_{ab}^i \quad} & T_{ij} \circ e_{ai} \\
\downarrow E_b^{ij} * \text{id}_{\Sigma_{ab}} & & \downarrow E_a^{ij} \\
\begin{array}{ccccc}
T_{ij} \circ T_{\dot{b}i} & \xrightarrow{\text{id} * E_{ab}^{\dot{a}\dot{b}}} & T_{ij} \circ T_{\dot{b}i} & \xrightarrow{\text{id} * K_{\dot{a}\dot{b}i} * \text{id}} & T_{ij} \circ \\
\circ e_{\dot{b}\dot{b}} \circ \Sigma_{ab} & & \circ T_{\dot{a}\dot{a}} \circ e_{\dot{a}\dot{a}} & & T_{\dot{a}i} \circ e_{\dot{a}\dot{a}} \\
\downarrow K_{\dot{b}ij} * \text{id} & & \downarrow K_{\dot{b}ij} * \text{id} & & \downarrow K_{\dot{a}ij} * \text{id} \\
T_{ij} \circ e_{\dot{b}\dot{b}} & \xrightarrow{\text{id} * E_{ab}^{\dot{a}\dot{b}}} & T_{\dot{b}j} \circ e_{\dot{a}\dot{a}} & \xrightarrow{K_{\dot{a}\dot{b}j} * \text{id}} & T_{\dot{a}j} \circ e_{\dot{a}\dot{a}} \\
\circ \Sigma_{ab} & & \circ T_{\dot{a}\dot{a}} \circ e_{\dot{a}\dot{a}} & & \circ e_{\dot{a}\dot{a}}
\end{array} & & \\
e_{bj} \circ \Sigma_{ab} & \xrightarrow{\quad E_{ab}^j \quad} & e_{aj},
\end{array} \quad (11.133)$$

$$\begin{array}{ccc}
T_{jk} \circ T_{ij} \circ e_{ai} & \xrightarrow{\quad K_{ijk} * \text{id}_{e_{ai}} \quad} & T_{ik} \circ e_{ai} \\
\downarrow \text{id}_{T_{jk}} * E_a^{ij} & & \downarrow E_a^{ik} \\
\begin{array}{ccccc}
T_{jk} \circ T_{ij} \circ T_{\dot{a}i} \circ e_{\dot{a}\dot{a}} & \xrightarrow{K_{ijk} * \text{id}} & T_{ik} \circ T_{\dot{a}i} \circ e_{\dot{a}\dot{a}} & & \\
\downarrow \text{id} * K_{\dot{a}ij} * \text{id} & & \downarrow K_{\dot{a}ik} * \text{id}_{e_{\dot{a}\dot{a}}} & & \\
T_{jk} \circ T_{\dot{a}j} \circ e_{\dot{a}\dot{a}} & \xrightarrow{K_{\dot{a}jk} * \text{id}_{e_{\dot{a}\dot{a}}}} & T_{\dot{a}k} \circ e_{\dot{a}\dot{a}} & & \\
\downarrow & & \downarrow & & \\
T_{jk} \circ e_{\dot{a}\dot{a}} & \xrightarrow{\quad E_a^{jk} \quad} & e_{ak}, & & 
\end{array} & & \\
T_{jk} \circ e_{aj} & \xrightarrow{\quad E_a^{jk} \quad} & e_{ak},
\end{array} \quad (11.134)$$

for all  $a, b, c \in A$  and  $i, j, k \in I$ . Here (11.132) uses (4.62) for the 2-morphism  $K_{\dot{a}\dot{b}\dot{c}}$  constructed using Theorem 4.56(c), and (11.125), (11.130). Equation (11.133) uses (4.58) for the coordinate change  $T_{\dot{a}\dot{b}} : (U_{\dot{a}}, D_{\dot{a}}, r_{\dot{a}}, \chi_{\dot{a}}) \rightarrow (U_{\dot{b}}, D_{\dot{b}}, r_{\dot{b}}, \chi_{\dot{b}})$ , and (11.130)–(11.131). Equation (11.134) uses (4.57) for the  $m$ -Kuranishi neighbourhood  $(U_{\dot{a}}, D_{\dot{a}}, r_{\dot{a}}, \chi_{\dot{a}})$  on  $\mathbf{X}$ , and (11.131). All of (11.132)–(11.134) use compatibility of vertical and horizontal composition.

We define a 1-morphism  $\mathbf{f} : \mathbf{W} \rightarrow \mathbf{Y}$  in  $\mathbf{mKur}$  as for  $\mathbf{e}$ .

Definition 4.20 defines compositions  $\mathbf{g} \circ \mathbf{e}, \mathbf{h} \circ \mathbf{f} : \mathbf{X} \rightarrow \mathbf{Z}$ , with 2-morphisms of  $m$ -Kuranishi neighbourhoods  $\Theta_{aik}^{\mathbf{g}, \mathbf{e}}, \Theta_{ajk}^{\mathbf{h}, \mathbf{f}}$  as in (4.24). We will define a 2-morphism  $\eta : \mathbf{g} \circ \mathbf{e} \Rightarrow \mathbf{h} \circ \mathbf{f}$  in  $\mathbf{mKur}$ , where  $\eta = (\eta_{ak}, a \in A, k \in K)$ . Let  $a \in A$  and  $k \in K$ .

We claim that there is a unique 2-morphism  $\eta_{ak} : (\mathbf{g} \circ \mathbf{e})_{ak} \Rightarrow (\mathbf{h} \circ \mathbf{f})_{ak}$  on  $\text{Im } \varphi_a \cap (g \circ e)^{-1}(\text{Im } \omega_k)$  in  $W$ , such that for all  $i \in I$  and  $j \in J$ , the following commutes on  $\text{Im } \varphi_a \cap e^{-1}(\text{Im } \chi_i) \cap f^{-1}(\text{Im } \psi_j) \cap (g \circ e)^{-1}(\text{Im } \omega_k)$  in  $W$ :

$$\begin{array}{ccc} \mathbf{g}_{ik} \circ \mathbf{e}_{ai} \xrightarrow{\Theta_{aik}^{g,e}} (\mathbf{g} \circ \mathbf{e})_{ak} \xrightarrow{\eta_{ak}} (\mathbf{h} \circ \mathbf{f})_{ak} \xleftarrow{\Theta_{ajk}^{h,f}} \mathbf{h}_{jk} \circ \mathbf{f}_{aj} \\ \parallel \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \parallel \\ \mathbf{g}_{ik} \circ \mathbf{T}_{\dot{a}i} \circ \mathbf{e}_{a\dot{a}} \xleftarrow{\mathbf{G}_{\dot{a}i}^{\ddot{a}k} * \text{id}} \Phi_{\ddot{a}k} \circ \mathbf{g}_{\dot{a}\ddot{a}} \circ \mathbf{e}_{a\dot{a}} = \Phi_{\ddot{a}k} \circ \mathbf{h}_{\dot{a}\ddot{a}} \circ \mathbf{f}_{a\dot{a}} \xrightarrow{\mathbf{H}_{\dot{a}j}^{\ddot{a}k} * \text{id}} \mathbf{h}_{jk} \circ \Upsilon_{\dot{a}j} \circ \mathbf{f}_{a\dot{a}}. \end{array} \quad (11.135)$$

To prove the claim, write  $\eta_{ak}^{ij}$  for the 2-morphism  $\eta_{ak}$  which makes (11.135) commute. Let  $i, i' \in I$  and  $j, j' \in J$ , and consider the diagram of 2-morphisms over  $\text{Im } \varphi_a \cap e^{-1}(\text{Im } \chi_i \cap (\text{Im } \chi_{i'}) \cap f^{-1}(\text{Im } \psi_j \cap \text{Im } \psi_{j'})) \cap (g \circ e)^{-1}(\text{Im } \omega_k)$ :

$$\begin{array}{ccccc} & & (\mathbf{g} \circ \mathbf{e})_{ak} & & \\ & \Theta_{aik}^{g,e} \nearrow & & \Theta_{ai'k}^{g,e} \nwarrow & \\ \mathbf{g}_{ik} \circ \mathbf{T}_{\dot{a}i} \circ \mathbf{e}_{a\dot{a}} & \xleftarrow{\mathbf{G}_{i'i}^k * \text{id}} & \mathbf{g}_{i'k} \circ \mathbf{T}_{\dot{a}i'} \circ \mathbf{e}_{a\dot{a}} & \xrightarrow{\text{id} * \mathbf{K}_{\dot{a}i'i'} * \text{id}} & \mathbf{g}_{i'k} \circ \mathbf{T}_{\dot{a}i'} \circ \mathbf{e}_{a\dot{a}} \\ & \mathbf{G}_{\dot{a}i}^{\ddot{a}k} * \text{id} \nwarrow & \Phi_{\ddot{a}k} \circ \mathbf{g}_{\dot{a}\ddot{a}} \circ \mathbf{e}_{a\dot{a}} = & \mathbf{G}_{\dot{a}i'}^{\ddot{a}k} * \text{id} \nearrow & \\ \eta_{ak}^{ij} & & \Phi_{\ddot{a}k} \circ \mathbf{h}_{\dot{a}\ddot{a}} \circ \mathbf{f}_{a\dot{a}} & & \eta_{ak}^{i'j'} \\ & \mathbf{H}_{\dot{a}j}^{\ddot{a}k} * \text{id} \nwarrow & & \mathbf{H}_{\dot{a}j'}^{\ddot{a}k} * \text{id} \nearrow & \\ \mathbf{h}_{jk} \circ \Upsilon_{\dot{a}j} \circ \mathbf{f}_{a\dot{a}} & \xleftarrow{\mathbf{H}_{j'j}^k * \text{id}} & \mathbf{h}_{j'k} \circ \Upsilon_{\dot{a}j'} \circ \mathbf{f}_{a\dot{a}} & \xrightarrow{\text{id} * \Lambda_{\dot{a}j'j'} * \text{id}} & \mathbf{h}_{j'k} \circ \Upsilon_{\dot{a}j'} \circ \mathbf{f}_{a\dot{a}} \\ & \Theta_{ajk}^{h,f} \nwarrow & & \Theta_{aj'k}^{h,f} \nearrow & \\ & & (\mathbf{h} \circ \mathbf{f})_{ak} & & \end{array} \quad (11.136)$$

Here the outer pentagons commute by (11.135), the top and bottom quadrilaterals commute by (4.16) for  $\mathbf{g} \circ \mathbf{e}$  and  $\mathbf{h} \circ \mathbf{f}$ , and the central two quadrilaterals commute by (4.59) for  $\mathbf{g}_{\dot{a}\ddot{a}}$  and  $\mathbf{h}_{\dot{a}\ddot{a}}$ . Thus (11.136) commutes, so  $\eta_{ak}^{ij} = \eta_{ak}^{i'j'}$  on the intersection of their domains in  $W$ .

Now  $\eta_{ak}^{ij}$  is defined on  $\text{Im } \varphi_a \cap e^{-1}(\text{Im } \chi_i) \cap f^{-1}(\text{Im } \psi_j) \cap (g \circ e)^{-1}(\text{Im } \omega_k)$ , and for all  $i \in I$  and  $j \in J$  these form an open cover of the domain  $\text{Im } \varphi_a \cap (g \circ e)^{-1}(\text{Im } \omega_k)$  of the 2-morphism  $\eta_{ak}$  that we want. So by the sheaf property of 2-morphisms of m-Kuranishi neighbourhoods in Theorem 4.13 and Definition A.17(iv), there is a unique 2-morphism  $\eta_{ak} : (\mathbf{g} \circ \mathbf{e})_{ak} \Rightarrow (\mathbf{h} \circ \mathbf{f})_{ak}$  over  $\text{Im } \varphi_a \cap (g \circ e)^{-1}(\text{Im } \omega_k)$  such that  $\eta_{ak}|_{\text{Im } \varphi_a \cap e^{-1}(\text{Im } \chi_i) \cap f^{-1}(\text{Im } \psi_j) \cap (g \circ e)^{-1}(\text{Im } \omega_k)} = \eta_{ak}^{ij}$  for all  $i \in I$  and  $j \in J$ , so that (11.135) commutes, proving the claim.

To show  $\eta = (\eta_{ak}, a \in A, k \in K) : \mathbf{g} \circ \mathbf{e} \Rightarrow \mathbf{h} \circ \mathbf{f}$  is a 2-morphism in  $\mathbf{m}\hat{\mathbf{K}}\mathbf{ur}$ , let

$a, a' \in A, i \in I, j \in J$  and  $k \in K$ , and consider the diagram of 2-morphisms

$$\begin{array}{ccc}
(g \circ e)_{a'k} \circ \Sigma_{aa'} & \xrightarrow{(G \circ E)_{aa'}^k} & (g \circ e)_{ak} \\
\Theta_{a'ik}^{g,e} * \text{id} \swarrow & & \Theta_{aik}^{g,e} \searrow \\
\begin{array}{ccc}
g_{ik} \circ \Gamma_{a'i} & \xrightarrow{\text{id} * E_{aa'}^{a'a'}} & g_{ik} \circ \Gamma_{a'i} \\
\circ e_{a'a'} \circ \Sigma_{aa'} & & \circ \Gamma_{a'a'} \circ e_{aa} \\
\uparrow \text{id} * & \nearrow G_{a'i}^{a'k} * \text{id} & \uparrow \text{id} * \\
\Phi_{a'k} \circ g_{a'a'} & \xrightarrow{E_{aa'}^{a'a'}} & \Phi_{a'k} \circ g_{a'a'} \\
\circ e_{a'a'} \circ \Sigma_{aa'} & & \circ \Gamma_{a'a'} \circ e_{aa}
\end{array} & \xrightarrow{K_{aa'i} * \text{id}} & \begin{array}{ccc}
g_{ik} \circ \Gamma_{a'i} & & \\
\circ \Gamma_{a'i} \circ e_{aa} & & \\
\uparrow \text{id} * & & \uparrow G_{ai}^{ak} * \text{id} \\
\Phi_{a'k} \circ g_{a'a'} & \xrightarrow{M_{aa'k}^{a'a'}} & \Phi_{a'k} \circ g_{a'a'} \\
\circ e_{a'a'} \circ \Sigma_{aa'} & & \circ \Gamma_{a'a'} \circ e_{aa}
\end{array} \\
\eta_{a'k} * \text{id}_{\Sigma_{aa'}} \parallel & & \eta_{ak} \parallel \\
\begin{array}{ccc}
\Phi_{a'k} \circ h_{a'a'} & \xrightarrow{\text{id} * F_{aa'}^{a'a'}} & \Phi_{a'k} \circ h_{a'a'} \\
\circ f_{a'a'} \circ \Sigma_{aa'} & & \circ \Gamma_{a'a'} \circ f_{aa} \\
\uparrow \text{id} * & \nearrow H_{a'i}^{a'k} * \text{id} & \uparrow \text{id} * \\
\Phi_{a'k} \circ h_{a'a'} & \xrightarrow{F_{aa'}^{a'a'}} & \Phi_{a'k} \circ h_{a'a'} \\
\circ f_{a'a'} \circ \Sigma_{aa'} & & \circ \Gamma_{a'a'} \circ f_{aa}
\end{array} & \xrightarrow{M_{aa'k}^{a'a'}} & \begin{array}{ccc}
\Phi_{a'k} \circ h_{a'a'} & & \\
\circ \Gamma_{a'a'} \circ f_{aa} & & \\
\uparrow \text{id} * & & \uparrow H_{aj}^{ak} * \text{id} \\
\Phi_{a'k} \circ h_{a'a'} & \xrightarrow{M_{aa'k}^{a'a'}} & \Phi_{a'k} \circ h_{a'a'} \\
\circ f_{a'a'} \circ \Sigma_{aa'} & & \circ \Gamma_{a'a'} \circ f_{aa}
\end{array} \\
H_{a'j}^{a'k} * \text{id} \parallel & & H_{aj}^{ak} * \text{id} \parallel \\
\begin{array}{ccc}
h_{jk} \circ \Upsilon_{a'j} & \xrightarrow{\text{id} * F_{aa'}^{a'a'}} & h_{jk} \circ \Upsilon_{a'j} \\
\circ f_{a'a'} \circ \Sigma_{aa'} & & \circ \Gamma_{a'a'} \circ f_{aa} \\
\uparrow \text{id} * & \nearrow \Lambda_{aa'j} * \text{id} & \uparrow \text{id} * \\
h_{jk} \circ \Upsilon_{a'j} & \xrightarrow{F_{aa'}^{a'a'}} & h_{jk} \circ \Upsilon_{a'j} \\
\circ f_{a'a'} \circ \Sigma_{aa'} & & \circ \Gamma_{a'a'} \circ f_{aa}
\end{array} & \xrightarrow{\Lambda_{aa'j} * \text{id}} & \begin{array}{ccc}
h_{jk} \circ \Upsilon_{a'j} & & \\
\circ \Gamma_{a'a'} \circ f_{aa} & & \\
\uparrow \text{id} * & & \uparrow \Lambda_{aj} * \text{id} \\
h_{jk} \circ \Upsilon_{a'j} & \xrightarrow{\Lambda_{aj} * \text{id}} & h_{jk} \circ \Upsilon_{a'j} \\
\circ f_{a'a'} \circ \Sigma_{aa'} & & \circ \Gamma_{a'a'} \circ f_{aa}
\end{array} \\
\Theta_{a'jk}^{h,f} * \text{id} \swarrow & & \Theta_{ajk}^{h,f} \searrow \\
(h \circ f)_{a'k} \circ \Sigma_{aa'} & \xrightarrow{(H \circ F)_{aa'}^k} & (h \circ f)_{ak}
\end{array} \quad (11.137)$$

Here the left and right hexagons commute by (11.135), the top and bottom pentagons by (4.15) for  $g \circ e, h \circ f$ , the two centre left quadrilaterals by compatibility of vertical and horizontal composition, the centre left hexagon by (11.120), and the two centre right pentagons by (4.62) for  $G_{aa'}^{a'a'}, H_{aa'}^{a'a'}$ . Thus (11.137) commutes.

The outer rectangle of (11.137) proves the restriction of Definition 4.18(a) for  $\eta$  to the intersection of its domain with  $e^{-1}(\text{Im } \chi_i) \cap f^{-1}(\text{Im } \psi_j)$ . As these open subsets cover the domain, the sheaf property of 2-morphisms of m-Kuranishi neighbourhoods implies Definition 4.18(a) for  $\eta$ . We prove Definition 4.18(b) in a similar way. Thus  $\eta : g \circ e \Rightarrow h \circ f$  is a 2-morphism in  $\mathbf{mKur}$ , and we have constructed the 2-commutative diagram (11.15) in  $\mathbf{mKur}_D$ , in the case when Assumption 11.3 holds. We will show (11.15) is 2-Cartesian in §11.9.3.

### 11.9.2 Constructing $W, e, f, \eta$ in the general case

Next we generalize the work of §11.9.1 to the case when Assumption 11.3 does not hold. Then in the first part of §11.9.1, we can no longer take  $W$  to have topological space  $\{(x, y) \in X \times Y : g(x) = h(y)\}$  with  $e : W \rightarrow X, f : W \rightarrow Y$  acting by  $e : (x, y) \mapsto x, f : (x, y) \mapsto y$ . Also for the fibre product  $T_k$  in  $\mathbf{Man}$  in (11.114), we cannot assume  $T_k$  has topological space (11.115).

We need to provide new definitions for  $W, e, f$ , and the continuous maps  $\varphi_a : q_a^{-1}(0) \rightarrow W$  for  $a \in A$ . This is very similar to the definition of the topological space  $C_k(X)$  and map  $\Pi_k : C_k(X) \rightarrow X$  for  $C_k(X), \Pi_k$  in Definition 4.39.

As in §11.9.1 we choose a family indexed by  $a \in A$  of m-Kuranishi neighbourhoods  $(U_a, D_a, r_a, \chi_a), (V_a, E_a, s_a, \psi_a), (W_a, F_a, t_a, \omega_a)$  on  $X, Y, Z$  as in §4.7

with  $\text{Im } \chi_{\dot{a}} \subseteq g^{-1}(\text{Im } \omega_{\ddot{a}})$ ,  $\text{Im } \psi_{\ddot{a}} \subseteq h^{-1}(\text{Im } \omega_{\ddot{a}})$  and  $\text{Im } \omega_{\ddot{a}}$ , and 1-morphisms  $\mathbf{g}_{\dot{a}\ddot{a}} : (U_{\dot{a}}, D_{\dot{a}}, r_{\dot{a}}, \chi_{\dot{a}}) \rightarrow (W_{\ddot{a}}, F_{\ddot{a}}, t_{\ddot{a}}, \omega_{\ddot{a}})$ ,  $\mathbf{h}_{\ddot{a}\ddot{a}} : (V_{\ddot{a}}, E_{\ddot{a}}, s_{\ddot{a}}, \psi_{\ddot{a}}) \rightarrow (W_{\ddot{a}}, F_{\ddot{a}}, t_{\ddot{a}}, \omega_{\ddot{a}})$  over  $(\text{Im } \chi_{\dot{a}}, \mathbf{g})$  and  $(\text{Im } \psi_{\ddot{a}}, \mathbf{h})$ , as in Definition 4.54, such that  $\mathbf{g}_{\dot{a}\ddot{a}}, \mathbf{h}_{\ddot{a}\ddot{a}}$  are w-transverse as in Definition 11.16, and

$$\{(x, y) \in X \times Y : g(x) = h(y)\} = \bigcup_{a \in A} \{(x, y) \in \text{Im } \chi_{\dot{a}} \times \text{Im } \psi_{\ddot{a}} : g(x) = h(y)\}.$$

Applying Definition 11.16 and Theorem 11.17 to the w-transverse 1-morphisms  $\mathbf{g}_{\dot{a}\ddot{a}}, \mathbf{h}_{\ddot{a}\ddot{a}}$  in  $\mathbf{Gm}\dot{\mathbf{K}}\mathbf{N}_{\mathcal{D}}$  gives an object  $(T_a, C_a, q_a)$  in  $\mathbf{Gm}\dot{\mathbf{K}}\mathbf{N}_{\mathcal{D}}$  in a 2-Cartesian square (11.118) in  $\mathbf{Gm}\dot{\mathbf{K}}\mathbf{N}_{\mathcal{D}}$  and  $\mathbf{Gm}\dot{\mathbf{K}}\mathbf{N}_{\mathcal{E}}$ , for all  $a \in A$ .

Now follow §11.9.1 between (11.118) and (11.126). For all  $a, b \in A$  this defines an open subset  $T_{ab} \subseteq T_a$  and a 1-morphism  $\Sigma_{ab} : (T_a, C_a, q_a)|_{T_{ab}} \rightarrow (T_b, C_b, q_b)$  in  $\mathbf{Gm}\dot{\mathbf{K}}\mathbf{N}_{\mathcal{D}}$  with  $\Sigma_{aa} = \text{id}_{(T_a, C_a, q_a)}$ , and for all  $a, b, c \in A$  it defines an open subset  $T_{abc} = T_{ab} \cap T_{bc} \subseteq T_a$  and a 2-morphism  $\mathbf{I}_{abc} : \Sigma_{bc} \circ \Sigma_{ab}|_{T_{abc}} \Rightarrow \Sigma_{ac}|_{T_{abc}}$  in  $\mathbf{Gm}\dot{\mathbf{K}}\mathbf{N}_{\mathcal{D}}$ . None of this uses  $W, e, f, \varphi_a$ , which are not yet defined.

Definition 4.2(d) for  $\Sigma_{ab}$  shows we have a continuous map

$$\Sigma_{ab}|_{q_a^{-1}(0) \cap T_{ab}} : q_a^{-1}(0) \cap T_{ab} \longrightarrow q_b^{-1}(0), \quad a, b \in A. \quad (11.138)$$

Also  $\Sigma_{aa} = \text{id}_{(T_a, C_a, q_a)}$  and Definition 4.3 for  $\mathbf{I}_{abc}$  imply that

$$\begin{aligned} \Sigma_{aa}|_{q_a^{-1}(0) \cap T_{aa}} &= \text{id} : q_a^{-1}(0) \longrightarrow q_a^{-1}(0), \\ \Sigma_{bc}|_{\dots} \circ \Sigma_{ab}|_{\dots} &= \Sigma_{ac}|_{\dots} : q_a^{-1}(0) \cap T_{ab} \cap T_{ac} \longrightarrow q_c^{-1}(0). \end{aligned} \quad (11.139)$$

Setting  $c = a$  we see that  $\Sigma_{ab}|_{q_a^{-1}(0) \cap T_{ab}} : q_a^{-1}(0) \cap T_{ab} \rightarrow q_b^{-1}(0) \cap T_{ba}$  is a homeomorphism, with inverse  $\Sigma_{ba}|_{q_b^{-1}(0) \cap T_{ba}}$ .

As for the definition of  $C_k(X)$  in Definition 4.39, define a binary relation  $\approx$  on  $\coprod_{a \in A} q_a^{-1}(0)$  by  $w_a \approx w_b$  if  $a, b \in A$  and  $w_a \in q_a^{-1}(0) \cap T_{ab}$  with  $\Sigma_{ab}(w_a) = w_b$  in  $q_b^{-1}(0)$ . Then (11.138)–(11.139) imply that  $\approx$  is an equivalence relation on  $\coprod_{a \in A} q_a^{-1}(0)$ . As in (4.49), define  $W$  to be the topological space

$$W = [\coprod_{a \in A} q_a^{-1}(0)] / \approx,$$

with the quotient topology. For each  $a \in A$  define  $\varphi_a : q_a^{-1}(0) \rightarrow W$  by  $\varphi_a : w_a \mapsto [w_a]$ , where  $[w_a]$  is the  $\approx$ -equivalence class of  $w_a$ .

Define  $e : W \rightarrow X$  and  $f : W \rightarrow Y$  by  $e([w_a]) = \chi_{\dot{a}} \circ e_{a\dot{a}}(w_a)$  and  $f([w_a]) = \psi_{\ddot{a}} \circ f_{a\ddot{a}}(w_a)$  for  $a \in A$  and  $w_a \in q_a^{-1}(0)$ . To see that  $e$  is well defined, note that if  $w_a \approx w_b$  as above, so that  $\Sigma_{ab}(w_a) = w_b$ , then

$$\chi_{\dot{a}} \circ e_{a\dot{a}}(w_a) = \chi_{\dot{b}} \circ \mathbf{T}_{\dot{a}\dot{b}} \circ e_{a\dot{a}}(w_a) = \chi_{\dot{b}} \circ e_{b\dot{b}} \circ \Sigma_{ab}(w_a) = \chi_{\dot{b}} \circ e_{b\dot{b}}(w_b),$$

using Definition 4.2(e) for the coordinate change  $\mathbf{T}_{\dot{a}\dot{b}}$  on  $X$  in the first step, and the 2-morphism  $\mathbf{E}_{ab}^{\dot{a}\dot{b}} : e_{b\dot{b}} \circ \Sigma_{ab} \Rightarrow \mathbf{T}_{\dot{a}\dot{b}} \circ e_{a\dot{a}}|_{T_{ab}}$  from (11.119) in the second. In the same way,  $f$  is well defined.

Very similar proofs to those in Definition 4.39 show that  $\varphi_a : q_a^{-1}(0) \rightarrow W$  is a homeomorphism with an open set in  $W$ , so that  $(T_a, C_a, q_a, \varphi_a)$  is an m-Kuranishi neighbourhood on  $W$ , and  $e, f$  are continuous with  $e_{a\dot{a}} : (T_a, C_a, q_a,$

$\varphi_a) \rightarrow (U_{\hat{a}}, D_{\hat{a}}, r_{\hat{a}}, \chi_{\hat{a}})$  a 1-morphism over  $(\text{Im } \varphi_a, e)$  and  $\mathbf{f}_{a\hat{a}} : (T_a, C_a, q_a, \varphi_a) \rightarrow (V_{\hat{a}}, E_{\hat{a}}, s_{\hat{a}}, \psi_{\hat{a}})$  a 1-morphism over  $(\text{Im } \varphi_a, f)$ , and  $W$  is Hausdorff and second countable with  $W = \bigcup_{a \in A} \text{Im } \varphi_a$ . Then the proofs in §11.9.1, but with these new  $W, e, f, \varphi_a$ , construct an m-Kuranishi space  $\mathbf{W} = (W, \mathcal{A})$  and 1-morphisms  $\mathbf{e} : \mathbf{W} \rightarrow \mathbf{X}$ ,  $\mathbf{f} : \mathbf{W} \rightarrow \mathbf{Y}$  and a 2-morphism  $\boldsymbol{\eta} : \mathbf{g} \circ \mathbf{e} \Rightarrow \mathbf{h} \circ \mathbf{f}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}$ .

### 11.9.3 Proving the universal property of the fibre product

We continue in the situation of §11.9.2. There, given w-transverse 1-morphisms  $\mathbf{g} : \mathbf{X} \rightarrow \mathbf{Z}$ ,  $\mathbf{h} : \mathbf{Y} \rightarrow \mathbf{Z}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathcal{D}}$ , we constructed  $\mathbf{W}, \mathbf{e}, \mathbf{f}, \boldsymbol{\eta}$  in a 2-commutative square (11.15) in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathcal{D}}$ . We will now prove that (11.15) is 2-Cartesian in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathcal{E}}$ , by verifying the universal property in Definition A.11. This will also imply that (11.15) is 2-Cartesian in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathcal{D}}$ , as  $\mathcal{D}$  implies  $\mathcal{E}$ .

Suppose we are given 1-morphisms  $\mathbf{c} : \mathbf{V} \rightarrow \mathbf{X}$  and  $\mathbf{d} : \mathbf{V} \rightarrow \mathbf{Y}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathcal{E}}$  and a 2-morphism  $\boldsymbol{\kappa} : \mathbf{g} \circ \mathbf{c} \Rightarrow \mathbf{h} \circ \mathbf{d}$ . Write  $\mathbf{V} = (V, \mathcal{L})$  with

$$\mathcal{L} = (L, (S_l, B_l, p_l, v_l)_{l \in L}, P_{l', l'' \in L}, H_{l', l'' \in L}),$$

and use our usual notation for  $\mathbf{c}, \mathbf{d}, \boldsymbol{\kappa}$ . Our goal is to construct a 1-morphism  $\mathbf{b} : \mathbf{V} \rightarrow \mathbf{W}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\mathcal{E}}$  and 2-morphisms  $\boldsymbol{\zeta} : \mathbf{e} \circ \mathbf{b} \Rightarrow \mathbf{c}$ ,  $\boldsymbol{\theta} : \mathbf{f} \circ \mathbf{b} \Rightarrow \mathbf{d}$  such that the following diagram (A.17) of 2-morphisms commutes:

$$\begin{array}{ccccc} (\mathbf{g} \circ \mathbf{e}) \circ \mathbf{b} & \xrightarrow{\boldsymbol{\eta} * \text{id}_{\mathbf{b}}} & (\mathbf{h} \circ \mathbf{f}) \circ \mathbf{b} & \xrightarrow{\boldsymbol{\alpha}_{\mathbf{h}, \mathbf{f}, \mathbf{b}}} & \mathbf{h} \circ (\mathbf{f} \circ \mathbf{b}) \\ \downarrow \boldsymbol{\alpha}_{\mathbf{g}, \mathbf{e}, \mathbf{b}} & & \downarrow \text{id}_{\mathbf{h}} * \boldsymbol{\theta} & & \downarrow \text{id}_{\mathbf{h}} * \boldsymbol{\theta} \\ \mathbf{g} \circ (\mathbf{e} \circ \mathbf{b}) & \xrightarrow{\text{id}_{\mathbf{g}} * \boldsymbol{\zeta}} & \mathbf{g} \circ \mathbf{c} & \xrightarrow{\boldsymbol{\kappa}} & \mathbf{h} \circ \mathbf{d}. \end{array} \quad (11.140)$$

Let  $a \in A$  and  $l \in L$ . Then  $(U_{\hat{a}}, D_{\hat{a}}, r_{\hat{a}}, \chi_{\hat{a}})$  is an m-Kuranishi neighbourhood on  $\mathbf{X}$ , and  $(S_l, B_l, p_l, v_l)$  is an m-Kuranishi neighbourhood on  $\mathbf{V}$  as in Example 4.50. Thus Theorem 4.56(b) gives a 1-morphism  $\mathbf{c}_{l\hat{a}} : (S_l, B_l, p_l, v_l) \rightarrow (U_{\hat{a}}, D_{\hat{a}}, r_{\hat{a}}, \chi_{\hat{a}})$  over  $(\text{Im } v_l \cap c^{-1}(\text{Im } \chi_{\hat{a}}), \mathbf{c})$ . Similarly we get a 1-morphism  $\mathbf{d}_{l\hat{a}} : (S_l, B_l, p_l, v_l) \rightarrow (V_{\hat{a}}, E_{\hat{a}}, s_{\hat{a}}, \psi_{\hat{a}})$  over  $(\text{Im } v_l \cap d^{-1}(\text{Im } \psi_{\hat{a}}), \mathbf{d})$ . Composing gives  $\mathbf{g}_{\hat{a}\hat{a}} \circ \mathbf{c}_{l\hat{a}}$  over  $\mathbf{g} \circ \mathbf{e}$  and  $\mathbf{h}_{\hat{a}\hat{a}} \circ \mathbf{d}_{l\hat{a}}$  over  $\mathbf{h} \circ \mathbf{f}$ . Hence Theorem 4.56(c) gives a unique 2-morphism  $\boldsymbol{\kappa}_{l\hat{a}} : \mathbf{g}_{\hat{a}\hat{a}} \circ \mathbf{c}_{l\hat{a}} \Rightarrow \mathbf{h}_{\hat{a}\hat{a}} \circ \mathbf{d}_{l\hat{a}}$  over  $\text{Im } v_l \cap c^{-1}(\text{Im } \chi_{\hat{a}}) \cap d^{-1}(\text{Im } \psi_{\hat{a}})$  such that the analogue of (4.62) commutes.

Writing  $\mathbf{c}_{l\hat{a}} = (S_{l\hat{a}}, c_{l\hat{a}}, \hat{c}_{l\hat{a}})$ ,  $\mathbf{d}_{l\hat{a}} = (S_{l\hat{a}}, d_{l\hat{a}}, \hat{d}_{l\hat{a}})$  and setting  $S_{l\hat{a}} = S_{l\hat{a}} \cap S_{l\hat{a}}$ , we now have a 2-commutative diagram in  $\mathbf{Gm}\check{\mathbf{K}}\mathbf{N}_{\mathcal{E}}$ :

$$\begin{array}{ccc} (S_l, B_l, p_l)|_{S_{l\hat{a}}} & \xrightarrow{\mathbf{d}_{l\hat{a}}|_{S_{l\hat{a}}}} & (V_{\hat{a}}, E_{\hat{a}}, s_{\hat{a}}) \\ \downarrow \mathbf{c}_{l\hat{a}}|_{S_{l\hat{a}}} & \boldsymbol{\kappa}_{l\hat{a}} \uparrow & \downarrow \mathbf{h}_{\hat{a}\hat{a}} \\ (U_{\hat{a}}, D_{\hat{a}}, r_{\hat{a}}) & \xrightarrow{\mathbf{g}_{\hat{a}\hat{a}}} & (W_{\hat{a}}, F_{\hat{a}}, t_{\hat{a}}). \end{array}$$

The 2-Cartesian property of (11.118) in  $\mathbf{Gm}\check{\mathbf{K}}\mathbf{N}_{\mathcal{E}}$  gives a 1-morphism

$$\mathbf{b}_{l\hat{a}} : (S_l, B_l, p_l)|_{S_{l\hat{a}}} \rightarrow (T_a, C_a, q_a),$$

and 2-morphisms

$$\boldsymbol{\zeta}_{l\hat{a}} : \mathbf{e}_{\hat{a}\hat{a}} \circ \mathbf{b}_{l\hat{a}} \Rightarrow \mathbf{c}_{l\hat{a}}|_{S_{l\hat{a}}}, \quad \boldsymbol{\theta}_{l\hat{a}} : \mathbf{f}_{\hat{a}\hat{a}} \circ \mathbf{b}_{l\hat{a}} \Rightarrow \mathbf{d}_{l\hat{a}}|_{S_{l\hat{a}}}, \quad (11.141)$$

such that the following commutes

$$\begin{array}{ccc}
\mathbf{g}_{\ddot{a}\ddot{a}} \circ \mathbf{e}_{a\dot{a}} \circ \mathbf{b}_{l\dot{a}} & \xlongequal{\quad\quad\quad} & \mathbf{h}_{\ddot{a}\ddot{a}} \circ \mathbf{f}_{a\dot{a}} \circ \mathbf{b}_{l\dot{a}} \\
\Downarrow \text{id}_{\mathbf{g}_{\ddot{a}\ddot{a}}} * \zeta_{l\dot{a}\dot{a}} & & \text{id}_{\mathbf{h}_{\ddot{a}\ddot{a}}} * \theta_{l\dot{a}\dot{a}} \Downarrow \\
\mathbf{g}_{\ddot{a}\ddot{a}} \circ \mathbf{c}_{l\dot{a}}|_{S_{l\dot{a}}} & \xlongequal{\quad\quad\quad \kappa_{l\ddot{a}} \quad\quad\quad} & \mathbf{h}_{\ddot{a}\ddot{a}} \circ \mathbf{d}_{l\dot{a}}|_{S_{l\dot{a}}}.
\end{array} \tag{11.142}$$

Now let  $a \in A$  and  $l, l' \in L$ . Then we have 1-morphisms

$$\mathbf{b}_{l\dot{a}}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}}, \mathbf{b}_{l'\dot{a}} \circ \mathbf{P}_{l'l'}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}} : (S_l, B_l, p_l)|_{S_{l\dot{a}} \cap S_{l'\dot{a}}} \longrightarrow (T_a, C_a, q_a),$$

and 2-morphisms  $\zeta_{l\dot{a}\dot{a}}, \theta_{l\dot{a}\dot{a}}$  in (11.141) such that (11.142) commutes, and

$$\begin{aligned}
\mathbf{C}_{l'l'}^{\dot{a}} \odot (\zeta_{l'\dot{a}\dot{a}} * \text{id}_{\mathbf{P}_{l'l'}}) & : \mathbf{e}_{a\dot{a}} \circ \mathbf{b}_{l'\dot{a}} \circ \mathbf{P}_{l'l'}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}} \Longrightarrow \mathbf{c}_{l\dot{a}}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}}, \\
\mathbf{D}_{l'l'}^{\ddot{a}} \odot (\theta_{l'\dot{a}\dot{a}} * \text{id}_{\mathbf{P}_{l'l'}}) & : \mathbf{f}_{a\dot{a}} \circ \mathbf{b}_{l'\dot{a}} \circ \mathbf{P}_{l'l'}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}} \Longrightarrow \mathbf{d}_{l\dot{a}}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}},
\end{aligned}$$

for  $\mathbf{C}_{l'l'}^{\dot{a}} : \mathbf{c}_{l'\dot{a}} \circ \mathbf{P}_{l'l'} \Rightarrow \mathbf{c}_{l\dot{a}}$  and  $\mathbf{D}_{l'l'}^{\ddot{a}} : \mathbf{d}_{l'\dot{a}} \circ \mathbf{P}_{l'l'} \Rightarrow \mathbf{d}_{l\dot{a}}$  given by Theorem 4.56(c).

Using Theorem 4.56(c) we can show that the following commutes:

$$\begin{array}{ccc}
\mathbf{g}_{\ddot{a}\ddot{a}} \circ \mathbf{e}_{a\dot{a}} \circ \mathbf{b}_{l'\dot{a}} \circ \mathbf{P}_{l'l'}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}} & \xlongequal{\quad\quad\quad} & \mathbf{h}_{\ddot{a}\ddot{a}} \circ \mathbf{f}_{a\dot{a}} \circ \mathbf{b}_{l'\dot{a}} \circ \mathbf{P}_{l'l'}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}} \\
\Downarrow \text{id}_{\mathbf{g}_{\ddot{a}\ddot{a}}} * (\mathbf{C}_{l'l'}^{\dot{a}} \odot (\zeta_{l'\dot{a}\dot{a}} * \text{id}_{\mathbf{P}_{l'l'}})) & & \text{id}_{\mathbf{h}_{\ddot{a}\ddot{a}}} * (\mathbf{D}_{l'l'}^{\ddot{a}} \odot (\theta_{l'\dot{a}\dot{a}} * \text{id}_{\mathbf{P}_{l'l'}})) \Downarrow \\
\mathbf{g}_{\ddot{a}\ddot{a}} \circ \mathbf{c}_{l\dot{a}}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}} & \xlongequal{\quad\quad\quad \kappa_{l\ddot{a}} \quad\quad\quad} & \mathbf{h}_{\ddot{a}\ddot{a}} \circ \mathbf{d}_{l\dot{a}}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}}.
\end{array}$$

Hence the second part of the universal property for the 2-Cartesian square (11.118) says that there is a unique 2-morphism in  $\mathbf{Gm\dot{K}N}_E$

$$\mathbf{B}_{l'l'}^a : \mathbf{b}_{l'\dot{a}} \circ \mathbf{P}_{l'l'}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}} \Longrightarrow \mathbf{b}_{l\dot{a}}|_{S_{l\dot{a}} \cap S_{l'\dot{a}}}$$

such that

$$\begin{aligned}
\mathbf{C}_{l'l'}^{\dot{a}} \odot (\zeta_{l'\dot{a}\dot{a}} * \text{id}_{\mathbf{P}_{l'l'}}) & = \zeta_{l\dot{a}\dot{a}} \odot (\text{id}_{\mathbf{e}_{a\dot{a}}} * \mathbf{B}_{l'l'}^a), \\
\mathbf{D}_{l'l'}^{\ddot{a}} \odot (\theta_{l'\dot{a}\dot{a}} * \text{id}_{\mathbf{P}_{l'l'}}) & = \theta_{l\dot{a}\dot{a}} \odot (\text{id}_{\mathbf{f}_{a\dot{a}}} * \mathbf{B}_{l'l'}^a).
\end{aligned} \tag{11.143}$$

Note that the existence of  $\mathbf{B}_{l'l'}^a$  implies that

$$\mathbf{b}_{l\dot{a}}|_{\text{Im } v_l \cap \text{Im } v_{l'} \cap c^{-1}(\text{Im } \chi_{\dot{a}}) \cap d^{-1}(\text{Im } \psi_{\dot{a}})} = \mathbf{b}_{l'\dot{a}}|_{\dots} \tag{11.144}$$

Next let  $a, a' \in A$  and  $l \in L$ . A similar argument to the above yields a unique 2-morphism in  $\mathbf{Gm\dot{K}N}_E$

$$\mathbf{B}_l^{aa'} : \Sigma_{aa'} \circ \mathbf{b}_{l\dot{a}}|_{S_{l\dot{a}} \cap S_{l\dot{a}'}} \Rightarrow \mathbf{b}_{l\dot{a}'}|_{S_{l\dot{a}} \cap S_{l\dot{a}'}}$$

such that

$$\begin{aligned}
\mathbf{C}_l^{\dot{a}\dot{a}'} \odot (\text{id}_{\Gamma_{\dot{a}\dot{a}'}} * \zeta_{l\dot{a}\dot{a}'}) \odot (\mathbf{E}_{aa'}^{\dot{a}\dot{a}'} * \text{id}_{\mathbf{b}_{l\dot{a}}}) & = \zeta_{l\dot{a}\dot{a}'} \odot (\text{id}_{\mathbf{e}_{a'\dot{a}'}} * \mathbf{B}_l^{aa'}), \\
\mathbf{D}_l^{\ddot{a}\ddot{a}'} \odot (\text{id}_{\Gamma_{\ddot{a}\ddot{a}'}} * \theta_{l\dot{a}\dot{a}'}) \odot (\mathbf{F}_{aa'}^{\ddot{a}\ddot{a}'} * \text{id}_{\mathbf{b}_{l\dot{a}}}) & = \theta_{l\dot{a}\dot{a}'} \odot (\text{id}_{\mathbf{f}_{a'\dot{a}'}} * \mathbf{B}_l^{aa'}),
\end{aligned}$$

where  $C_l^{a\dot{a}'} : T_{\dot{a}\dot{a}'} \circ c_{l\dot{a}} \Rightarrow c_{l\dot{a}'}$  and  $D_l^{\dot{a}\dot{a}'} : \Upsilon_{\dot{a}\dot{a}'} \circ d_{l\dot{a}} \Rightarrow d_{l\dot{a}'}$  are given by Theorem 4.56(c). Note that the existence of  $B_l^{aa'}$  implies that

$$b_{l\dot{a}}|_{\text{Im } v_l \cap c^{-1}(\text{Im } \chi_{\dot{a}} \cap \text{Im } \chi_{\dot{a}'}) \cap d^{-1}(\text{Im } \psi_{\dot{a}} \cap \text{Im } \psi_{\dot{a}'})} = b_{l\dot{a}'}|_{\dots} \quad (11.145)$$

As the domains of  $b_{l\dot{a}}$  for  $a \in A$  and  $l \in L$  cover  $V$ , equations (11.144) and (11.145) imply that there is a unique continuous map  $b : V \rightarrow W$  with  $b|_{\text{Im } v_l \cap \text{Im } v_{l'} \cap c^{-1}(\text{Im } \chi_{\dot{a}}) \cap d^{-1}(\text{Im } \psi_{\dot{a}})} = b_{l\dot{a}}$  for all  $a \in A$  and  $l \in L$ . Define

$$\mathbf{b} = (b, \mathbf{b}_{l\dot{a}}, l \in L, a \in A, \mathbf{B}_{l'l'}^a, l, l' \in L, \mathbf{B}_{l'}^{aa'}, a, a' \in A).$$

We will show that  $\mathbf{b} : V \rightarrow W$  is a 1-morphism in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$ . Definition 4.17(a)–(d) are immediate. For (e), setting  $l = l'$  we have  $C_{ll}^a = \text{id} = D_{ll}^a$ , so uniqueness of  $B_{ll}^a$  satisfying (11.143) gives  $B_{ll}^a = \text{id}_{b_{l\dot{a}}}$ , and similarly  $B_{l'}^{aa} = \text{id}_{b_{l\dot{a}'}}$ .

For (f), let  $l, l', l'' \in L$  and  $a \in A$ , and consider the diagram

$$\begin{array}{ccccc} e_{a\dot{a}} \circ \mathbf{b}_{l''\dot{a}} \circ P_{l''} \circ P_{l'} & \xrightarrow{\text{id} * \mathbf{B}_{l'l''}^a * \text{id}} & e_{a\dot{a}} \circ \mathbf{b}_{l'\dot{a}} \circ P_{l'} & & \\ \downarrow \text{id} * H_{l'l''} & \searrow \zeta_{l''\dot{a}\dot{a}} * \text{id} & \downarrow \zeta_{l'\dot{a}\dot{a}} * \text{id} & & \downarrow \text{id} * \mathbf{B}_{l'l'}^a \\ c_{l''\dot{a}} \circ P_{l''} \circ P_{l'} & \xrightarrow{C_{l'l''}^a * \text{id}} & c_{l'\dot{a}} \circ P_{l'} & & \\ \downarrow \text{id} * H_{l'l''} & \searrow \zeta_{l''\dot{a}\dot{a}} * \text{id} & \downarrow \zeta_{l'\dot{a}\dot{a}} & & \downarrow \text{id} * \mathbf{B}_{l'l'}^a \\ c_{l''\dot{a}} \circ P_{l''} & \xrightarrow{C_{l'l''}^a} & c_{l'\dot{a}} & & \\ \downarrow \zeta_{l''\dot{a}\dot{a}} * \text{id} & \searrow \text{id} * \mathbf{B}_{l'l''}^a & \downarrow \zeta_{l\dot{a}\dot{a}} & & \downarrow \text{id} * \mathbf{B}_{l'l''}^a \\ e_{a\dot{a}} \circ \mathbf{b}_{l''\dot{a}} \circ P_{l''} & \xrightarrow{\text{id} * \mathbf{B}_{l'l''}^a} & e_{a\dot{a}} \circ \mathbf{b}_{l\dot{a}} & & \end{array} \quad (11.146)$$

Here the top, bottom and right quadrilaterals commute by (11.143), the left by compatibility of vertical and horizontal composition, and the centre by Theorem 4.56(d). So (11.146) commutes, and so does the analogous diagram involving  $f_{a\dot{a}}, \theta_{l\dot{a}\dot{a}}, D_{l'l'}^a$  in place of  $e_{a\dot{a}}, \zeta_{l\dot{a}\dot{a}}, C_{l'l'}^a$ . Using these and uniqueness of  $B_{l'l'}^a$  satisfying (11.143), we deduce that the following commutes:

$$\begin{array}{ccc} \mathbf{b}_{l''\dot{a}} \circ P_{l''} \circ P_{l'} & \xrightarrow{\mathbf{B}_{l'l''}^a * \text{id}_{P_{l'}}} & \mathbf{b}_{l'\dot{a}} \circ P_{l'} \\ \downarrow \text{id}_{\mathbf{b}_{l''\dot{a}}} * H_{l'l''} & \mathbf{B}_{l'l''}^a & \mathbf{B}_{l'l'}^a \downarrow \\ \mathbf{b}_{l''\dot{a}} \circ P_{l''} & \xrightarrow{\mathbf{B}_{l'l''}^a} & \mathbf{b}_{l\dot{a}} \end{array}$$

This is Definition 4.17(f) for  $\mathbf{b}$ , and we prove (g),(h) in a similar way.

By the method used to construct  $\eta : \mathbf{g} \circ \mathbf{e} \Rightarrow \mathbf{h} \circ \mathbf{f}$  in §11.9.1, we can show that there are unique 2-morphisms in  $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$

$$\zeta = (\zeta_{li}, l \in L, i \in I) : \mathbf{e} \circ \mathbf{b} \Longrightarrow \mathbf{c}, \quad \theta = (\theta_{lj}, l \in L, j \in J) : \mathbf{f} \circ \mathbf{b} \Longrightarrow \mathbf{d},$$

such that the following commute for all  $l \in L$ ,  $a \in A$ ,  $i \in I$  and  $j \in J$ :

$$\begin{array}{ccc} (e \circ b)_{li} & \xrightarrow{\zeta_{li}} & c_{li} \\ \uparrow \Theta_{lai}^{e,b} & & \parallel \\ e_{ai} \circ b_{la} & \xrightarrow{\text{id} * \zeta_{la\dot{a}}} \text{T}_{\dot{a}i} \circ e_{a\dot{a}} \circ b_{la} \xrightarrow{\text{id} * \zeta_{la\dot{a}}} \text{T}_{\dot{a}i} \circ c_{l\dot{a}} \xrightarrow{C_{ll}^{\dot{a}i}} & c_{li} \circ \text{P}_{ll} \end{array} \quad (11.147)$$

$$\begin{array}{ccc} (f \circ b)_{lj} & \xrightarrow{\theta_{lj}} & d_{lj} \\ \uparrow \Theta_{laj}^{f,b} & & \parallel \\ f_{aj} \circ b_{la} & \xrightarrow{\text{id} * \theta_{la\dot{a}}} \Upsilon_{\dot{a}j} \circ f_{a\dot{a}} \circ b_{la} \xrightarrow{\text{id} * \theta_{la\dot{a}}} \Upsilon_{\dot{a}j} \circ d_{l\dot{a}} \xrightarrow{D_{ll}^{\dot{a}j}} & d_{lj} \circ \text{P}_{ll} \end{array} \quad (11.148)$$

Here  $\Theta_{lai}^{e,b}$ ,  $\Theta_{laj}^{f,b}$  are as in Definition 4.20 for  $e \circ b$ ,  $f \circ b$ , and  $C_{ll}^{\dot{a}i} : \text{T}_{\dot{a}i} \circ c_{l\dot{a}} \Rightarrow c_{li} \circ \text{P}_{ll}$ ,  $D_{ll}^{\dot{a}j} : \Upsilon_{\dot{a}j} \circ d_{l\dot{a}} \Rightarrow d_{lj} \circ \text{P}_{ll}$  are as in Definition 4.54 for  $c_{l\dot{a}}$ ,  $d_{l\dot{a}}$ .

We now prove that (11.140) commutes by considering the diagram

$$\begin{array}{ccccc} ((g \circ e) \circ b)_{lk} & \xrightarrow{(\eta * \text{id}_b)_{lk}} & ((h \circ f) \circ b)_{lk} & \xrightarrow{(\alpha_{h,f,b})_{lk}} & (h \circ (f \circ b))_{lk} \\ \downarrow \Theta_{lak}^{g \circ e, b} & & \downarrow \Theta_{lak}^{h \circ f, b} & & \downarrow \Theta_{ljk}^{g, f \circ b} \\ (g \circ e)_{ak} \circ b_{la} & \xrightarrow{\eta_{ak} * \text{id}} & (h \circ f)_{ak} \circ b_{la} & \xrightarrow{\Theta_{ajk}^{h,b} * \text{id}} & h_{jk} \circ (f \circ b)_{lj} \\ \uparrow \Theta_{aik}^{g,e} * \text{id} & & \uparrow \Theta_{aik}^{g,e} * \text{id} & & \uparrow \text{id} * \Theta_{laj}^{f,b} \\ g_{ik} \circ e_{ai} \circ b_{la} & \xrightarrow{G_{\dot{a}i}^{\dot{a}k} * \text{id}} \Phi_{\dot{a}k} \circ h_{\dot{a}\dot{a}} \circ f_{a\dot{a}} \circ b_{la} = \Phi_{\dot{a}k} \circ g_{\dot{a}\dot{a}} \circ e_{a\dot{a}} \circ b_{la} & \xrightarrow{H_{\dot{a}j}^{\dot{a}k} * \text{id}} & h_{jk} \circ f_{aj} \circ b_{la} & \xrightarrow{\text{id} * \theta_{lj}} \\ \downarrow \text{id} * \zeta_{la\dot{a}} & \downarrow \text{id} * \zeta_{la\dot{a}} & \downarrow \text{id} * \zeta_{la\dot{a}} & \downarrow \text{id} * \zeta_{la\dot{a}} & \downarrow \text{id} * \theta_{l\dot{a}\dot{a}} \\ \text{id} * \Theta_{lai}^{g,e,b} & \text{T}_{\dot{a}i} \circ c_{l\dot{a}} & \xrightarrow{\text{id} * \kappa_{l\dot{a}}} & \Phi_{\dot{a}k} \circ h_{\dot{a}\dot{a}} \circ d_{l\dot{a}} & \xrightarrow{\text{id} * \theta_{l\dot{a}\dot{a}}} \Upsilon_{\dot{a}j} \circ d_{l\dot{a}} \\ \downarrow \text{id} * \zeta_{li} & \downarrow \text{id} * C_{ll}^{\dot{a}i} & \downarrow \text{id} * C_{ll}^{\dot{a}k} & \downarrow \text{id} * D_{ll}^{\dot{a}j} & \downarrow \text{id} * D_{ll}^{\dot{a}j} \\ g_{ik} \circ (e \circ b)_{li} & \xrightarrow{\text{id} * \zeta_{li}} & g_{ik} \circ c_{li} & \xrightarrow{(\mathcal{G} \circ C)_{ll}^{\dot{a}k}} & h_{jk} \circ d_{lj} \\ \downarrow \Theta_{lik}^{g,e \circ b} & & \downarrow \Theta_{lik}^{g,c} & & \downarrow \Theta_{ljk}^{h,d} \\ (g \circ (e \circ b))_{lk} & \xrightarrow{(\text{id}_g * \zeta)_{lk}} & (g \circ c)_{lk} & \xrightarrow{\kappa_{lk}} & (h \circ d)_{lk} \end{array} \quad (11.149)$$

for all  $l \in L$ ,  $a \in A$ ,  $i \in I$ ,  $j \in J$  and  $k \in K$ . Here the left and top right pentagons commute by (4.27), the top left, bottom left, and rightmost quadrilaterals by (4.30), the bottom right quadrilateral including  $\kappa_{lk}$  by (4.62) for  $\kappa_{l\dot{a}}$ , the quadrilaterals to left and right of this by (4.60), the bottom centre left quadrilateral and the right semicircle by (11.147)–(11.148), the centre triangle by (11.142), the two quadrilaterals to the left and right of this by compatibility of vertical and horizontal composition, and the top centre pentagon by (11.135).

Thus (11.149) commutes. The outside of (11.149) proves the restriction of the ‘ $lk$ ’ component of (11.140) to the intersection of its domain with  $b^{-1}(\text{Im } \varphi_a) \cap c^{-1}(\text{Im } \chi_i) \cap d^{-1}(\text{Im } \psi_j)$ . As these intersections for all  $a \in A$ ,  $i \in I$ ,  $j \in J$  cover the whole domain, the sheaf property of 2-morphisms of  $\mathbf{m}\text{-Kur}_E$  neighbourhoods implies that (11.140) commutes. This proves the first part of the universal property in Definition A.11, the existence of  $\mathbf{b}$ ,  $\zeta$ ,  $\theta$  satisfying (11.140).

For the second part, suppose  $\tilde{\mathbf{b}} : \mathbf{V} \rightarrow \mathbf{W}$  is a 1-morphism in  $\mathbf{m}\text{-Kur}_E$  and  $\tilde{\zeta} : e \circ \tilde{\mathbf{b}} \Rightarrow c$ ,  $\tilde{\theta} : f \circ \tilde{\mathbf{b}} \Rightarrow d$  are 2-morphisms such that the analogue of (11.140)



commutes. Then  $\tilde{\mathbf{b}}$  contains 1-morphisms  $\tilde{\mathbf{b}}_{la} : (S_l, B_l, p_l, v_l) \rightarrow (T_a, C_a, q_a, \varphi_a)$ , and running the construction of  $\zeta, \theta$  above in reverse, we find that as in (11.141) there are unique 2-morphisms  $\tilde{\zeta}_{la\dot{a}} : e_{a\dot{a}} \circ \tilde{\mathbf{b}}_{la} \Rightarrow c_{l\dot{a}}, \tilde{\theta}_{la\dot{a}} : f_{a\dot{a}} \circ \tilde{\mathbf{b}}_{la} \Rightarrow d_{l\dot{a}}$  such that the analogues of (11.147)–(11.148) commute for all  $i \in I$  and  $j \in J$ :

$$\begin{array}{ccc} (e \circ \tilde{\mathbf{b}})_{li} & \xrightarrow{\hspace{10em}} & c_{li} \\ \uparrow \Theta_{l_{ai}}^{e, \tilde{\mathbf{b}}} & \xrightarrow{\zeta_{li}} & \parallel \\ e_{ai} \circ \tilde{\mathbf{b}}_{la} & \xrightarrow{\text{id} * \tilde{\zeta}_{la\dot{a}}} \text{T}_{\dot{a}i} \circ e_{a\dot{a}} \circ \tilde{\mathbf{b}}_{la} \xrightarrow{\hspace{1em}} \text{T}_{\dot{a}i} \circ c_{l\dot{a}} \xrightarrow{C_{ll}^{\dot{a}i}} & c_{li} \circ \text{P}_{ll}, \\ \\ (f \circ \tilde{\mathbf{b}})_{lj} & \xrightarrow{\hspace{10em}} & d_{lj} \\ \uparrow \Theta_{l_{aj}}^{f, \tilde{\mathbf{b}}} & \xrightarrow{\tilde{\theta}_{lj}} & \parallel \\ f_{aj} \circ \tilde{\mathbf{b}}_{la} & \xrightarrow{\text{id} * \tilde{\theta}_{la\dot{a}}} \Upsilon_{\dot{a}j} \circ f_{a\dot{a}} \circ \tilde{\mathbf{b}}_{la} \xrightarrow{\hspace{1em}} \Upsilon_{\dot{a}j} \circ d_{l\dot{a}} \xrightarrow{D_{ll}^{\dot{a}j}} & d_{lj} \circ \text{P}_{ll}. \end{array}$$

From the analogue of (11.140) we can use the analogue of (11.149) in reverse to prove that the analogue of (11.142) commutes:

$$\begin{array}{ccc} g_{\dot{a}\dot{a}} \circ e_{a\dot{a}} \circ \tilde{\mathbf{b}}_{la} & \xrightarrow{\hspace{1em}} & h_{\dot{a}\dot{a}} \circ f_{a\dot{a}} \circ \tilde{\mathbf{b}}_{la} \\ \downarrow \text{id}_{g_{\dot{a}\dot{a}}} * \tilde{\zeta}_{la\dot{a}} & & \downarrow \text{id}_{h_{\dot{a}\dot{a}}} * \tilde{\theta}_{la\dot{a}} \\ g_{\dot{a}\dot{a}} \circ c_{l\dot{a}} & \xrightarrow{\kappa_{l\dot{a}}} & h_{\dot{a}\dot{a}} \circ d_{l\dot{a}}. \end{array}$$

Then the second part of the universal property of the 2-Cartesian square (11.118) shows that there is a unique 2-isomorphism  $\epsilon_{la} : \mathbf{b}_{la} \Rightarrow \tilde{\mathbf{b}}_{la}$  with  $\zeta_{l\dot{a}} = \tilde{\zeta}_{l\dot{a}} \odot (\text{id}_{e_{a\dot{a}}} * \epsilon_{la})$  and  $\theta_{l\dot{a}} = \tilde{\theta}_{l\dot{a}} \odot (\text{id}_{f_{a\dot{a}}} * \epsilon_{la})$ . We can then check  $\epsilon = (\epsilon_{la}, l \in L, a \in A) : \mathbf{b} \Rightarrow \tilde{\mathbf{b}}$  is the unique 2-morphism with  $\zeta = \tilde{\zeta} \odot (\text{id}_e * \epsilon)$  and  $\theta = \tilde{\theta} \odot (\text{id}_f * \epsilon)$ . This completes the proof that (11.15) is 2-Cartesian in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_E$ , and hence in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_D$ . We have now proved the first part of Theorem 11.19.

#### 11.9.4 Proof of parts (a)–(h)

Finally we prove parts (a)–(h) of Theorem 11.19.

**Part (a).** Suppose  $g, h$  in §11.9.1–§11.9.3 are transverse, not just w-transverse. Then in §11.9.1–§11.9.2 we can choose the diagrams (11.117)–(11.118) for  $a \in A$  with  $g_{\dot{a}\dot{a}}, h_{\dot{a}\dot{a}}$  transverse, not just w-transverse. So as in Definition 11.16 we have  $C_a = 0$ , as  $C_a$  is the kernel of (11.11), which is an isomorphism. Thus the m-Kuranishi structure on  $\mathbf{W}$  has m-Kuranishi neighbourhoods  $(T_a, C_a, q_a, \varphi_a)$  with  $C_a = q_a = 0$  for all  $a \in A$ . Therefore  $\mathbf{W}$  is a manifold as in the proof of Theorem 10.45.

**Part (b).** Suppose  $(U_l, D_l, r_l, \chi_l), (V_m, E_m, s_m, \psi_m), (W_n, F_n, t_n, \omega_n), g_{ln}, h_{mn}$  are as in Theorem 11.19(b), and  $(T_k, C_k, q_k), e_{kl}, f_{km}$  are constructed from them as in Definition 11.16. Then in §11.9.2, we can choose the diagram (11.117) for some  $a \in A$  to be (11.116), so that  $(T_a, C_a, q_a) = (T_k, C_k, q_k)$ . Thus  $(T_a, C_a, q_a, \varphi_a)$  in the m-Kuranishi structure  $\mathcal{A}$  of  $\mathbf{W} = (W, \mathcal{A})$  in §11.9.1–§11.9.2 has  $T_a = T_k, C_a = C_k$ , and  $q_a = q_k$ , as in Theorem 11.19(b).

By Example 4.50,  $(T_a, C_a, q_a, \varphi_a)$  is an  $m$ -Kuranishi neighbourhood on  $\mathbf{W}$ . The definitions of  $\mathbf{e}, \mathbf{f}, \boldsymbol{\eta}$  in §11.9.1–§11.9.2 then imply that  $e_{a\check{a}} = e_{kl}$  and  $f_{a\check{a}} = f_{km}$  are 1-morphisms of  $m$ -Kuranishi neighbourhoods over  $\mathbf{e} : \mathbf{W} \rightarrow \mathbf{X}$ ,  $\mathbf{f} : \mathbf{W} \rightarrow \mathbf{Y}$  as in §4.7, and comparing (4.62) and (11.135) shows that the unique 2-morphism  $\boldsymbol{\eta}_{a\check{a}\check{a}\check{a}} = \boldsymbol{\eta}_{klmn} : \mathbf{g}_{ln} \circ e_{kl} \Rightarrow \mathbf{h}_{mn} \circ f_{km}$  constructed from  $\boldsymbol{\eta} : \mathbf{g} \circ \mathbf{e} \Rightarrow \mathbf{h} \circ \mathbf{f}$  in Theorem 4.56(b) is the identity, as in (11.116) and (11.117).

This proves part (b) in the special case that we choose to construct  $\mathbf{W}, \mathbf{e}, \mathbf{f}, \boldsymbol{\eta}$  in §11.9.1–§11.9.2 including the given data  $(U_l, D_l, r_l, \chi_l), \dots, \mathbf{h}_{mn}$ . But any other possible choices of  $\mathbf{W}', \mathbf{e}', \mathbf{f}', \boldsymbol{\eta}'$  in a 2-Cartesian square (11.15) are canonically equivalent to  $\mathbf{W}, \mathbf{e}, \mathbf{f}, \boldsymbol{\eta}$ , by properties of fibre products, and we can use the canonical equivalence  $\mathbf{i} : \mathbf{W} \rightarrow \mathbf{W}'$  and 2-morphisms  $\mathbf{e}' \circ \mathbf{i} \Rightarrow \mathbf{e}, \mathbf{f}' \circ \mathbf{i} \Rightarrow \mathbf{f}$  to convert  $(T_a, C_a, q_a, \varphi_a), e_{a\check{a}}, f_{a\check{a}}$  to  $m$ -Kuranishi neighbourhoods and 1-morphisms over  $\mathbf{W}', \mathbf{e}', \mathbf{f}'$  satisfying the required conditions.

**Part (c).** We have already proved (c) in §11.9.1 and §11.9.3, as in §11.9.1, when  $\mathbf{Man}$  satisfies Assumption 11.3 we constructed  $\mathbf{W}, \mathbf{e}, \mathbf{f}$  with topological space  $W = \{(x, y) \in X \times Y : g(x) = h(y)\}$ , and maps  $e : (x, y) \mapsto x, f : (x, y) \mapsto y$ .

**Part (d).** Suppose  $\mathbf{Man}$  satisfies Assumption 11.4(a), and we are given a 2-Cartesian square (11.15) in  $\mathbf{mKur}_D$  with  $\mathbf{g}$  a  $w$ -submersion, so that  $\mathbf{g}, \mathbf{h}$  are  $w$ -transverse. Let  $w \in \mathbf{W}$  with  $e(w) = x$  in  $\mathbf{X}$  and  $f(w) = y$  in  $\mathbf{Y}$ . Then in (b) we can choose  $\mathbf{g}_{ln} : (U_l, D_l, r_l, \chi_l) \rightarrow (W_n, F_n, t_n, \omega_n), \mathbf{h}_{mn} : (V_m, E_m, s_m, \psi_m) \rightarrow (W_n, F_n, t_n, \omega_n)$  with  $x \in \text{Im } \chi_l, y \in \text{Im } \psi_m$  and  $\mathbf{g}_{ln}$  a  $w$ -submersion. So (b) gives  $(T_k, C_k, q_k, \varphi_k), e_{kl}, f_{km}$  constructed as in Definition 11.16, and  $w \in \text{Im } \varphi_k$ .

Then  $g_{ln}|_{\check{U}_{ln}} : \check{U}_{ln} \rightarrow W_n$  is a submersion in the fibre product (11.114) for  $T_k$  by Definition 11.15(iii), so  $f_{km} : T_k \rightarrow V_m$  is a submersion by Assumption 11.4(a). Also  $\hat{g}_{ln}|_{\check{U}_{ln}}$  is surjective by Definition 11.15(iv), which implies that  $\hat{f}_{km} : C_k \rightarrow f_{km}^*(D_m)$  is surjective by the definition of  $C_k, \hat{f}_{km}$  in Definition 11.16. Hence  $\mathbf{f}_{km} = (T_k, f_{km}, \hat{f}_{km})$  is a  $w$ -submersion by Definition 11.15. As we can find such  $\mathbf{f}_{km}$  over  $(\text{Im } \varphi_k, \mathbf{f})$  with  $w \in \text{Im } \varphi_k$  for all  $w \in \mathbf{W}$ , we see that  $\mathbf{f} : \mathbf{W} \rightarrow \mathbf{X}$  is a  $w$ -submersion by Definition 11.18.

**Part (e).** Suppose  $\mathbf{Man}$  satisfies Assumptions 10.1 and 11.5, and we are given a 2-Cartesian square (11.15) in  $\mathbf{mKur}_D$  with  $\mathbf{g}, \mathbf{h}$   $w$ -transverse. Let  $w \in \mathbf{W}$  with  $e(w) = x$  in  $\mathbf{X}, f(w) = y$  in  $\mathbf{Y}$ , and  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$ . Choose  $(T_k, C_k, q_k, \varphi_k), \dots, (W_n, F_n, t_n, \omega_n)$  and  $e_{kl}, \dots, \mathbf{h}_{mn}$  as in (b) with  $w \in \text{Im } \varphi_k, x \in \text{Im } \chi_l, y \in \text{Im } \psi_m$  and  $z \in \text{Im } \omega_n$ . Set  $t_k = \varphi_k^{-1}(w), u_l = \chi_l^{-1}(x),$

$v_m = \psi_m^{-1}(y)$  and  $w_n = \omega_n^{-1}(z)$ , and consider the commutative diagram:

$$\begin{array}{ccccccc}
& & 0 & & 0 & & \\
& & \downarrow & & \downarrow & & \\
\cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & T_{t_k} T_k & \xrightarrow{d_{t_k} q_k} & C_k|_{t_k} \xrightarrow{0} 0 \xrightarrow{0} \cdots \\
& & \downarrow 0 & & \downarrow \begin{pmatrix} T_{t_k} e_{kl} \\ T_{t_k} f_{km} \end{pmatrix} & & \downarrow \begin{pmatrix} \hat{e}_{kl}|_{t_k} \\ \hat{f}_{km}|_{t_k} \end{pmatrix} \\
\cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & T_{u_l} U_l \oplus T_{v_m} V_m & \xrightarrow{\begin{pmatrix} d_{u_l} r_l & 0 \\ 0 & d_{v_m} s_m \end{pmatrix}} & D_l|_{u_l} \oplus E_m|_{v_m} \xrightarrow{0} 0 \xrightarrow{0} \cdots \\
& & \downarrow 0 & & \downarrow \begin{pmatrix} T_{u_l} g_{ln} & -T_{v_m} h_{mn} \end{pmatrix} & & \downarrow \begin{pmatrix} \hat{g}_{ln}|_{u_l} & -\hat{h}_{mn}|_{v_m} \end{pmatrix} \\
\cdots & \xrightarrow{0} & 0 & \xrightarrow{0} & T_{w_n} W_n & \xrightarrow{d_{w_n} t_n} & F_n|_{w_n} \xrightarrow{0} 0 \xrightarrow{0} \cdots \\
& & \downarrow 0 & & \downarrow 0 & & \downarrow 0
\end{array} \quad (11.150)$$

Here the second column is exact by Assumption 11.5 applied to the transverse fibre product (11.114) at  $t_k$ , and the third column is exact by Definition 11.16.

As in equation (10.27) of Definition 10.21, the cohomology groups of the first row of (11.150) at the second and third columns are  $T_w \mathbf{W}$  and  $O_w \mathbf{W}$ , and similarly the second and third rows have cohomology  $T_x \mathbf{X} \oplus T_y \mathbf{Y}$ ,  $O_x \mathbf{X} \oplus O_y \mathbf{Y}$  and  $T_z \mathbf{Z}$ ,  $O_z \mathbf{Z}$ .

In the setting of Definition 10.69, regard (11.150) as a diagram (10.89), a short exact sequence of complexes  $E^\bullet, F^\bullet, G^\bullet$ , the first, second and third rows of (11.150) respectively, with the third column of (11.150) in degree zero. Thus Definition 10.69 constructs a long exact sequence (10.90) from (11.150). This sequence is equation (11.16) in Theorem 11.19(d), as we want.

In more detail, our identification of the cohomology of the rows of (11.150) shows that the vector spaces in (10.90) are  $0, T_w \mathbf{W}, T_x \mathbf{X} \oplus T_y \mathbf{Y}, \dots, O_z \mathbf{Z}, 0$  as in (11.16). Comparing Definitions 10.21 and 10.69 we see that the morphisms  $H^k(\theta^\bullet), H^k(\psi^\bullet)$  in (10.90) for  $k = -1, 0$  are  $T_w \mathbf{e} \oplus T_w \mathbf{f}, \dots, O_x \mathbf{g} \oplus -O_y \mathbf{h}$ , as in (11.16). We define  $\delta_w^{\mathbf{g}, \mathbf{h}}$  in (11.16) to be the connecting morphism  $\delta_{\theta^\bullet, \psi^\bullet}^{-1}$  in (10.90) from Definition 10.69. A proof similar to the definition of  $T_x \mathbf{f}, O_x \mathbf{f}$  in Definition 10.21 shows  $\delta_w^{\mathbf{g}, \mathbf{h}}$  is independent of the choices of  $(T_k, C_k, q_k, \varphi_k), \dots, \mathbf{h}_{mn}$  above.

**Part (f).** Suppose  $\mathbf{Man}$  satisfies Assumptions 10.19 and 11.6, and we are given a 2-Cartesian square (11.15) in  $\mathbf{mKur}_D$  with  $\mathbf{g}, \mathbf{h}$   $w$ -transverse. Let  $w \in \mathbf{W}$  with  $\mathbf{e}(w) = x$  in  $\mathbf{X}$ ,  $\mathbf{f}(w) = y$  in  $\mathbf{Y}$ , and  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$ . Choose  $(T_k, C_k, q_k, \varphi_k), \dots, (W_n, F_n, t_n, \omega_n)$  and  $\mathbf{e}_{kl}, \dots, \mathbf{h}_{mn}$  as in part (b) with  $w \in \text{Im } \varphi_k$ ,  $x \in \text{Im } \chi_l$ ,  $y \in \text{Im } \psi_m$  and  $z \in \text{Im } \omega_n$ . Set  $t_k = \varphi_k^{-1}(w)$ ,  $u_l = \chi_l^{-1}(x)$ ,  $v_m = \psi_m^{-1}(y)$  and  $w_n = \omega_n^{-1}(z)$ .

As the fibre product (11.114) is transverse, Assumption 11.6 says that

$$\begin{array}{ccc}
Q_{t_k} T_k & \xrightarrow{\quad} & Q_{v_m} V_m \\
\downarrow Q_{t_k} f_{km} & \begin{array}{c} Q_{t_k} e_{kl} \\ Q_{u_l} g_{ln} \end{array} & \begin{array}{c} Q_{v_m} h_{mn} \\ \downarrow \end{array} \\
Q_{u_l} U_l & \xrightarrow{\quad} & Q_{w_n} W_n
\end{array} \quad (11.151)$$

is Cartesian in  $\mathcal{Q}$ . Now Definition 10.30 gives isomorphisms  $Q_{w,k} : Q_w \mathbf{W} \rightarrow Q_{t_k} T_k, \dots, Q_{z,n} : Q_z \mathbf{Z} \rightarrow Q_{w_n} W_n$  in  $\mathcal{Q}$  such that (10.42) commutes for  $e_{kl}, f_{km}, g_{ln}, h_{mn}$ . Thus (11.151) is isomorphic in  $\mathcal{Q}$  to the commutative square (11.17), so (11.17) is Cartesian in  $\mathcal{Q}$ , as we have to prove.

**Part (g).** Suppose  $\mathbf{Man}^c$  satisfies Assumptions 3.22, 11.1, and 11.7, and we are given a 2-Cartesian square (11.15) in  $\mathbf{mKUR}_D$  with  $g, h$  w-transverse. Since  $C : \mathbf{Man}^c \rightarrow \check{\mathbf{Man}}^c$  maps  $\mathbf{Man}_D^c \rightarrow \check{\mathbf{Man}}_D^c$  by Assumption 11.7, the corner 2-functor  $C : \mathbf{mKUR}^c \rightarrow \check{\mathbf{mKUR}}^c$  from §4.6 maps  $\mathbf{mKUR}_D^c \rightarrow \check{\mathbf{mKUR}}_D^c$ . Thus applying  $C$  to (11.15) shows (11.18) is a 2-commutative square in  $\check{\mathbf{mKUR}}_D^c$ . We must show that  $C(g), C(h)$  are w-transverse, and (11.18) is 2-Cartesian.

Choose  $(T_k, C_k, q_k, \varphi_k), \dots, (W_n, F_n, t_n, \omega_n)$  and  $e_{kl}, \dots, h_{mn}$  as in part (b). Then Definitions 4.60 and 4.61 construct m-Kuranishi neighbourhoods  $(T_{(a,k)}, C_{(a,k)}, q_{(a,k)}, \varphi_{(a,k)})$  on  $C_a(\mathbf{W})$  for  $a \geq 0$ , and so on, and 1-morphisms  $e_{(a,k)(b,l)}, \dots, h_{(c,m)(d,n)}$  over  $C(e), \dots, C(h)$  in a 2-commutative diagram in  $\check{\mathbf{GmKN}}_D^c$ :

$$\begin{array}{ccc} \coprod_{a \geq 0} (T_{(a,k)}, C_{(a,k)}, q_{(a,k)}) & \longrightarrow & \coprod_{c \geq 0} (V_{(c,m)}, E_{(c,m)}, s_{(c,m)}) \\ \downarrow \coprod_{a,b \geq 0} e_{(a,k)(b,l)} & \begin{array}{c} \coprod_{a,c \geq 0} f_{(a,k)(c,m)} \\ \text{id} \uparrow \\ \coprod_{b,d \geq 0} g_{(b,l)(d,n)} \end{array} & \downarrow \coprod_{c,d \geq 0} h_{(c,m)(d,n)} \\ \coprod_{b \geq 0} (U_{(b,l)}, D_{(b,l)}, r_{(b,l)}) & \longrightarrow & \coprod_{d \geq 0} (W_{(d,n)}, F_{(d,n)}, t_{(d,n)}) \end{array} \quad (11.152)$$

This is the result of applying the corner 2-functor to (11.14).

Applying  $C : \mathbf{Man}^c \rightarrow \check{\mathbf{Man}}^c$  to the transverse fibre product (11.114) in  $\mathbf{Man}^c$  and using Assumption 11.7 shows we have a fibre product in  $\check{\mathbf{Man}}^c$

$$C(T_k) = C(\dot{U}_{ln}) \times_{C(g_{ln}|_{\dot{U}_{ln}}), C(W_n), C(h_{mn}|_{\dot{V}_{mn}})} C(\dot{V}_{mn}), \quad (11.153)$$

where  $C(g_{ln}|_{\dot{U}_{ln}}), C(h_{mn}|_{\dot{V}_{mn}})$  are transverse in  $\check{\mathbf{Man}}^c$ . Note that the manifolds and smooth maps in (11.152) are the Cartesian square from (11.153).

Also, the vector bundles and linear maps in (11.152) are pullbacks of those in (11.14), so that  $C_{(a,k)} = \Pi_a^*(C_k)$ ,  $\hat{e}_{(a,k)(b,l)} = \Pi_a^*(\hat{e}_{kl})$ , and so on. Therefore they satisfy the same surjectivity and exactness conditions as do those in (11.14). Thus Definition 11.15(i),(ii) for  $g_{ln}, h_{mn}$  imply Definition 11.15(i),(ii) for  $g_{(b,l)(d,n)}, h_{(c,m)(d,n)}$ , so  $g_{(b,l)(d,n)}, h_{(c,m)(d,n)}$  are w-transverse for all  $b, c, d \geq 0$ , and the bottom and right 1-morphisms in (11.152) are w-transverse. As the domains of such  $g_{(b,l)(d,n)}, h_{(c,m)(d,n)}$  cover  $C(X) \times_{C(g), C(Z), C(h)} C(Y)$ , we see that  $C(g), C(h)$  are w-transverse, as we want. The same proof shows that if  $g, h$  are transverse then  $C(g), C(h)$  are transverse.

Given all this, equation (11.152) is built from the w-transverse 1-morphisms  $\coprod_{b,d \geq 0} g_{(b,l)(d,n)}$  and  $\coprod_{c,d \geq 0} h_{(c,m)(d,n)}$  in exactly the same way that equation (11.14) is built from the w-transverse 1-morphisms  $g_{ln}$  and  $h_{mn}$  in Definition 11.16. Therefore Theorem 11.17 shows that (11.152) is 2-Cartesian in  $\check{\mathbf{GmKN}}_D^c$  and  $\check{\mathbf{GmKN}}_E^c$ .

In §11.9.3 we showed that when the 2-commutative square (11.15) can be covered by a family of diagrams (11.117)–(11.118) for  $a \in A$  with (11.118) 2-Cartesian in  $\mathbf{GmKN}_D$  and  $\mathbf{GmKN}_E$ , then (11.15) is 2-Cartesian in  $\mathbf{mKUR}_D$

and  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_E$ . Since (11.18) can be covered by a family of diagrams (11.152) which are 2-Cartesian in  $\check{\mathbf{G}}\mathbf{m}\check{\mathbf{K}}\mathbf{N}_D^c$  and  $\check{\mathbf{G}}\mathbf{m}\check{\mathbf{K}}\mathbf{N}_E^c$ , the same proof shows that (11.18) is 2-Cartesian in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_D^c$  and  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_E^c$ , as we want.

In the w-transverse 2-Cartesian square (11.18) in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_D^c$ , suppose  $w' \in C_i(\mathbf{W}) \subseteq C(\mathbf{W})$  with  $C(e)w' = x'$  in  $C_j(\mathbf{X})$ ,  $C(f)w' = y'$  in  $C_k(\mathbf{Y})$  and  $C(g)x' = C(h)y' = z'$  in  $C_l(\mathbf{Z})$ . Locally near  $w'$  we have a w-transverse fibre product  $C_i(\mathbf{W}) \simeq C_j(\mathbf{X}) \times_{C_l(\mathbf{Z})} C_k(\mathbf{Y})$ , so the first part of Theorem 11.19 gives

$$\begin{aligned} \text{vdim } \mathbf{W} - i &= \text{vdim } C_i(\mathbf{W}) = \text{vdim } C_j(\mathbf{X}) + \text{vdim } C_k(\mathbf{Y}) - \text{vdim } C_l(\mathbf{Z}) \\ &= \text{vdim } \mathbf{X} - j + \text{vdim } \mathbf{Y} - k - \text{vdim } \mathbf{Z} + l. \end{aligned}$$

But also  $\text{vdim } \mathbf{W} = \text{vdim } \mathbf{X} + \text{vdim } \mathbf{Y} - \text{vdim } \mathbf{Z}$ , so that  $i = j + k - l$ . Therefore (11.18) being 2-Cartesian in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_D^c$  implies equation (11.19) holds in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_D^c$ . When  $i = 1$  and  $\partial\mathbf{Z} = \emptyset$ , in the union over  $j, k, l$  in (11.19) the only possibilities are  $(j, k, l) = (1, 0, 0)$  and  $(0, 1, 0)$ , yielding equation (11.20).

**Part (h).** Suppose  $\dot{\mathbf{M}}\mathbf{an}$  satisfies Assumption 11.8, and  $g : \mathbf{X} \rightarrow \mathbf{Z}$  is a w-submersion in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_D$ , and  $h : \mathbf{Y} \rightarrow \mathbf{Z}$  is any morphism in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_E$ . Then we can construct the fibre product  $\mathbf{W} = \mathbf{X} \times_{g, \mathbf{Z}, h} \mathbf{Y}$  in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_E$  by the method of §11.9.1–§11.9.3, but working in  $\mathbf{Gm}\check{\mathbf{K}}\mathbf{N}_E, \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_E$  rather than  $\mathbf{Gm}\check{\mathbf{K}}\mathbf{N}_D, \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_D$  throughout, and taking the  $g_{ln}, g_{\check{a}\check{a}}$  to be  $D$  w-submersions. The proofs of (a)–(d) and (g) above still work, with the obvious modifications.

This completes the proof of Theorem 11.19.

## 11.10 Proof of Theorem 11.22

### 11.10.1 Proof of Theorem 11.22(a)

Let  $\dot{\mathbf{M}}\mathbf{an}^c$  satisfy Assumptions 3.22 and 11.9. Suppose  $g : \mathbf{X} \rightarrow \mathbf{Z}, h : \mathbf{Y} \rightarrow \mathbf{Z}$  are 1-morphisms in  $\mathbf{m}\check{\mathbf{K}}\mathbf{ur}_D^c$ , and  $x \in \mathbf{X}, y \in \mathbf{Y}$  with  $g(x) = h(y) = z$  in  $\mathbf{Z}$ .

For the first ‘only if’ part of (a), suppose  $g, h$  are w-transverse. Then by Definition 11.18 there exist m-Kuranishi neighbourhoods  $(U_l, D_l, r_l, \chi_l), (V_m, E_m, s_m, \psi_m), (W_n, F_n, t_n, \omega_n)$  on  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  with  $x \in \text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n), y \in \text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$  and  $z \in \text{Im } \omega_n$ , and 1-morphisms  $g_{ln} : (U_l, D_l, r_l, \chi_l) \rightarrow (W_n, F_n, t_n, \omega_n), h_{mn} : (V_m, E_m, s_m, \psi_m) \rightarrow (W_n, F_n, t_n, \omega_n)$  over  $(\text{Im } \chi_l, g)$  and  $(\text{Im } \psi_m, h)$ , such that  $g_{ln}, h_{mn}$  are w-transverse.

Write  $u_l = \chi_l^{-1}(x) \in U_l, v_m = \psi_m^{-1}(y) \in V_m$  and  $w_n = \omega_n^{-1}(z) \in W_n$ . By (10.27)–(10.28) we have a commutative diagram with exact rows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_x \mathbf{X} \oplus & \longrightarrow & T_{u_l} U_l \oplus & \xrightarrow{d_{u_l} r_l \oplus d_{v_m} s_m} & D_l|_{u_l} \oplus & \longrightarrow & O_x \mathbf{X} \oplus & \longrightarrow & 0 \\ & & T_y \mathbf{Y} & & T_{v_m} V_m & & E_m|_{v_m} & & O_y \mathbf{Y} & & \\ & & \downarrow T_x g \oplus T_y h & & \downarrow T_{u_l} g_{ln} \oplus & & \hat{g}_{ln}|_{u_l} \oplus & & \downarrow O_x g \oplus O_y h & & \\ & & & & T_{v_m} h_{mn} & & \hat{h}_{mn}|_{v_m} & & & & \\ 0 & \longrightarrow & T_z \mathbf{Z} & \longrightarrow & T_{w_n} W_n & \xrightarrow{d_{w_n} t_n} & F_n|_{w_n} & \longrightarrow & O_z \mathbf{Z} & \longrightarrow & 0. \end{array} \quad (11.154)$$

As  $g_{ln}, h_{mn}$  are w-transverse, the third column of (11.154) is surjective by Definition 11.15(ii). Also  $g_{ln} : U_{ln} \rightarrow W_n$  and  $h_{mn} : V_{mn} \rightarrow W_n$  are transverse

in  $\mathbf{Man}^c$  near  $u_l \in U_{ln}$  and  $v_m \in V_{mn}$ , so Assumption 11.9 says that the third column of (11.154) is surjective, and ‘condition  $\mathbf{T}$ ’ holds for the data:

- (i) The quasi-tangent maps  $Q_{u_l}g_{ln} : Q_{u_l}U_l \rightarrow Q_{w_n}W_n$  and  $Q_{v_m}h_{mn} : Q_{v_m}V_m \rightarrow Q_{w_n}W_n$  in  $\mathcal{Q}$ .
- (ii) For all  $i, j, k \geq 0$ , the family of triples  $(\mathbf{u}, \mathbf{v}, \mathbf{w})$  for  $\mathbf{u} \in C_i(U_l)$ ,  $\mathbf{v} \in C_j(V_m)$  with  $\Pi_i(\mathbf{u}) = u_l$ ,  $\Pi_j(\mathbf{v}) = v_m$ , and  $C(g_{ln})\mathbf{u} = C(h_{mn})\mathbf{v} = \mathbf{w}$  in  $C_k(W_n)$ .

As the third column of (11.154) is surjective, the fourth column is surjective by exactness of rows, so (11.21) is surjective.

Definition 10.30 gives isomorphisms  $Q_{x,l} : Q_x\mathbf{X} \rightarrow Q_{u_l}U_l$ , etc., which identify  $Q_x\mathbf{g} : Q_x\mathbf{X} \rightarrow Q_z\mathbf{Z}$  and  $Q_y\mathbf{h} : Q_y\mathbf{Y} \rightarrow Q_z\mathbf{Z}$  with  $Q_{u_l}g_{ln}, Q_{v_m}h_{mn}$  in (i) above. Also the maps  $\chi_{(i,l)}, \psi_{(j,m)}, \omega_{(k,n)}$  from the definition of  $C_i(\mathbf{X}), C_j(\mathbf{Y}), C_k(\mathbf{Z})$  in Definition 4.39 identify the sets in (ii) above with the corresponding sets from  $C(\mathbf{g})|_{\dots} : C_i(\mathbf{X}) \rightarrow C_k(\mathbf{Z}), C(\mathbf{h})|_{\dots} : C_j(\mathbf{Y}) \rightarrow C_k(\mathbf{Z})$  over  $x, y, z$ . Hence condition  $\mathbf{T}$  holding for (i),(ii) above implies that condition  $\mathbf{T}$  holds for  $\mathbf{g}, \mathbf{h}$  at  $x, y, z$ , noting the requirement in Assumption 11.9(a) that condition  $\mathbf{T}$  only involves objects  $Q_xX, \dots$  in  $\mathcal{Q}$  up to isomorphism, and subsets  $\Pi_i^{-1}(x) \subseteq C_i(X), \dots$  up to bijection. This proves the first ‘only if’ part of (a).

For the second ‘only if’ part of (a), suppose also that  $\mathbf{g}, \mathbf{h}$  are transverse. Then condition  $\mathbf{T}$  still holds for  $\mathbf{g}, \mathbf{h}$  at  $x, y, z$ , and the third column of (11.154) is an isomorphism by Definition 11.15, and the second column is still surjective, so by exactness of rows the fourth column (which is (11.21)) is an isomorphism, and the first column (which is (11.22)) is surjective, as we have to prove.

For the first ‘if’ part of (a), suppose condition  $\mathbf{T}$  holds for  $\mathbf{g}, \mathbf{h}, x, y, z$  and (11.21) is surjective, for all  $x, y, z$  as above. Choose m-Kuranishi neighbourhoods  $(U_l, D_l, r_l, \chi_l), (V_m, E_m, s_m, \psi_m), (W_n, F_n, t_n, \omega_n)$  on  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  with  $x \in \text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n)$ ,  $y \in \text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$  and  $z \in \text{Im } \omega_n$ . Theorem 4.56(b) gives 1-morphisms  $\mathbf{g}_{ln} : (U_l, D_l, r_l, \chi_l) \rightarrow (W_n, F_n, t_n, \omega_n)$ ,  $\mathbf{h}_{mn} : (V_m, E_m, s_m, \psi_m) \rightarrow (W_n, F_n, t_n, \omega_n)$  over  $(\text{Im } \chi_l, \mathbf{g})$  and  $(\text{Im } \psi_m, \mathbf{h})$ .

Write  $u_l = \chi_l^{-1}(x) \in U_l$ ,  $v_m = \psi_m^{-1}(y) \in V_m$  and  $w_n = \omega_n^{-1}(z) \in W_n$ . As condition  $\mathbf{T}$  holds for  $\mathbf{g}, \mathbf{h}, x, y, z$ , it holds for the data in (i),(ii) above, reversing the previous argument. Thus Assumption 11.9(c) says there exist open  $(u_l, 0) \in U_{l'} \hookrightarrow U_{ln} \times \mathbb{R}^a$  and  $(v_m, 0) \in V_{m'} \hookrightarrow V_{mn} \times \mathbb{R}^b$  for  $a, b \geq 0$ , and transverse morphisms  $g_{l'n} : U_{l'} \rightarrow W_n$ ,  $h_{m'n} : V_{m'} \rightarrow W_n$  with  $g_{l'n}(u, 0) = g_{ln}(u)$ ,  $h_{m'n}(v, 0) = h_{mn}(v)$  for all  $u \in U_{ln}$ ,  $v \in V_{mn}$  with  $(u, 0) \in U_{l'}$  and  $(v, 0) \in V_{m'}$ .

As for  $(V_{(n)}, E_{(n)}, s_{(n)}, \psi_{(n)})$  in Definition 10.38, define vector bundles  $D_{l'} \rightarrow U_{l'}, E_{m'} \rightarrow V_{m'}$  by  $D_{l'} = \pi_{U_l}^*(D_l) \oplus \mathbb{R}^a$ ,  $E_{m'} = \pi_{V_m}^*(E_m) \oplus \mathbb{R}^b$ . Define sections  $r_{l'} = \pi_{U_l}^*(r_l) \oplus \text{id}_{\mathbb{R}^a}$  in  $\Gamma^\infty(D_{l'})$  and  $s_{m'} = \pi_{V_m}^*(s_m) \oplus \text{id}_{\mathbb{R}^b}$  in  $\Gamma^\infty(E_{m'})$ . Then  $r_{l'}^{-1}(0) = (r_l^{-1}(0) \times \{0\}) \cap U_{l'}$  and  $s_{m'}^{-1}(0) = (s_m^{-1}(0) \times \{0\}) \cap V_{m'}$ . Define  $\chi_{l'} : r_{l'}^{-1}(0) \rightarrow X$  by  $\chi_{l'}(u, 0) = \chi_l(u)$ , and  $\psi_{m'} : s_{m'}^{-1}(0) \rightarrow Y$  by  $\psi_{m'}(v, 0) = \psi_m(v)$ . Then  $(U_{l'}, D_{l'}, r_{l'}, \chi_{l'})$  and  $(V_{m'}, E_{m'}, s_{m'}, \psi_{m'})$  are m-Kuranishi neighbourhoods on  $X, Y$ , with  $x \in \text{Im } \chi_{l'}$  and  $y \in \text{Im } \psi_{m'}$ .

As for  $\Phi_{(n)*}$  in Definition 10.38, we have coordinate changes

$$\begin{aligned} \mathbb{T}_{l'l} &= (U_{l'}, \pi_{U_l}, \text{id}_{\pi_{U_l}^*}(D_l) \oplus 0) : (U_{l'}, D_{l'}, r_{l'}, \chi_{l'}) \longrightarrow (U_l, D_l, r_l, \chi_l), \\ \Upsilon_{m'm} &= (V_{m'}, \pi_{V_m}, \text{id}_{\pi_{V_m}^*}(E_m) \oplus 0) : (V_{m'}, E_{m'}, s_{m'}, \psi_{m'}) \longrightarrow (V_m, E_m, s_m, \psi_m). \end{aligned}$$

Using notation (4.6)–(4.8) for  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  and defining  $\mathbb{T}_{l'i} = \mathbb{T}_{li} \circ \mathbb{T}_{l'l}$ ,  $\mathbb{K}_{l'ii'} = \mathbb{K}_{lii'} * \text{id}_{\mathbb{T}_{l'l}}$ ,  $\Upsilon_{m'j} = \Upsilon_{mj} \circ \Upsilon_{m'm}$ ,  $\Lambda_{m'jj'} = \Lambda_{mjj'} * \text{id}_{\Upsilon_{m'm}}$  for  $i, i' \in I$  and  $j, j' \in J$ , where  $\mathbb{T}_{li}, \mathbb{K}_{lii'}$  and  $\Upsilon_{mj}, \Lambda_{mjj'}$  are the implicit extra data making  $(U_l, D_l, r_l, \chi_l), (V_m, E_m, s_m, \psi_m)$  into m-Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$  as in §4.7, then  $\mathbb{T}_{l'i}, \mathbb{K}_{l'ii'}$  and  $\Upsilon_{m'j}, \Lambda_{m'jj'}$  make  $(U_{l'}, D_{l'}, r_{l'}, \chi_{l'})$  and  $(V_{m'}, E_{m'}, s_{m'}, \psi_{m'})$  into m-Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}$ . Similarly

$$\begin{aligned} \mathbf{g}_{ln} \circ \mathbb{T}_{l'l} &= (U_{l'}, g_{ln} \circ \pi_{U_l}, \pi_{U_l}^*(\hat{g}_{ln}) \circ \pi_{\pi_{U_l}^*}(D_l) \oplus 0) : \\ &\quad (U_{l'}, D_{l'}, r_{l'}, \chi_{l'}) \longrightarrow (W_n, F_n, t_n, \omega_n), \\ \mathbf{h}_{mn} \circ \Upsilon_{m'm} &= (V_{m'}, h_{mn} \circ \pi_{V_m}, \pi_{V_m}^*(\hat{h}_{mn}) \circ \pi_{\pi_{V_m}^*}(E_m) \oplus 0) : \\ &\quad (V_{m'}, E_{m'}, s_{m'}, \psi_{m'}) \longrightarrow (W_n, F_n, t_n, \omega_n), \end{aligned}$$

are 1-morphisms of m-Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  over  $\mathbf{g}, \mathbf{h}$ .

We have morphisms  $g_{l'n} : U_{l'} \rightarrow W_n$  and  $g_{ln} \circ \pi_{U_{ln}} : U_{l'} \rightarrow W_n$  in  $\mathbf{Man}^c$ . Define open  $T \subseteq D_{l'}$  and a morphism  $t : T \rightarrow W_n$  by

$$\begin{aligned} T &= \{((u, (x_1, \dots, x_a)), (d, (y_1, \dots, y_a))) \in D_{l'} : (u, (y_1, \dots, y_a)) \in U_{l'}\}, \\ t &: ((u, (x_1, \dots, x_a)), (d, (y_1, \dots, y_a))) \longmapsto g'_{ln}(u, (y_1, \dots, y_a)). \end{aligned}$$

Then whenever both sides are defined we have

$$\begin{aligned} t \circ 0_{D_{l'}}(u, (x_1, \dots, x_a)) &= g'_{ln}(u, (0, \dots, 0)) = g_{ln}(u) = g_{ln} \circ \pi_{U_l}(u, (x_1, \dots, x_a)), \\ t \circ r_{l'}(u, (x_1, \dots, x_a)) &= g'_{ln}(u, (x_1, \dots, x_a)). \end{aligned}$$

Thus if we define  $\hat{\eta} = \theta_{T,t} : D_{l'} \rightarrow \mathcal{T}_{g_{ln} \circ \pi_{U_l}} W_n$ , using the notation of Definition B.32, then in the notation of Definitions 3.15(vii) and B.36(vii) we have

$$g_{l'n} = g_{ln} \circ \pi_{U_{ln}} + \hat{\eta} \circ r_{l'} + O(r_{l'})^2. \quad (11.155)$$

Equation (11.155) implies that  $g_{l'n} = g_{ln} \circ \pi_{U_{ln}} + O(r_{l'})$ . So by Theorem 3.17(g) there exists  $\hat{g}'_{l'n} : D_{l'} \rightarrow g_{l'n}^*(F_n)$  with

$$\hat{g}'_{l'n} = (\hat{g}_{ln} \circ \pi_{\pi_{U_l}^*}(D_l) \oplus 0) + O(r_{l'}).$$

Define a vector bundle morphism  $\hat{g}_{l'n} : D_{l'} \rightarrow g_{l'n}^*(F_n)$  by

$$\hat{g}_{l'n} = \hat{g}'_{l'n} + g_{l'n}^*(\nabla t_n) \circ \hat{\eta},$$

for  $\nabla$  some connection on  $F_n \rightarrow W_n$ . Then we have

$$\hat{g}_{l'n} = (\hat{g}_{ln} \circ \pi_{\pi_{U_l}^*}(D_l) \oplus 0) + g_{l'n}^*(dt_n) \circ \hat{\eta} + O(r_{l'}), \quad (11.156)$$

in the sense of Definition 3.15(iv),(vi).

From Definitions 4.2 and 4.3 and (11.155)–(11.156) we can show that

$$\mathbf{g}_{l'n} = (U_{l'}, g_{l'n}, \hat{g}_{l'n}) : (U_{l'}, D_{l'}, r_{l'}, \chi_{l'}) \longrightarrow (W_n, F_n, t_n, \omega_n)$$

is a 1-morphism of m-Kuranishi neighbourhoods over  $(\text{Im } \chi_{l'}, g)$ , and

$$\boldsymbol{\eta} = [U_{l'}, \hat{\eta}] : \mathbf{g}_{ln} \circ \mathbb{T}_{l'l} \Longrightarrow \mathbf{g}_{l'n}$$

is a 2-morphism. Then using §4.7.1, we can make  $\mathbf{g}_{l'n}$  into a 1-morphism over  $(\text{Im } \chi_{l'}, \mathbf{g})$  in a unique way such that  $\boldsymbol{\eta} : \mathbf{g}_{ln} \circ \mathbb{T}_{l'l} \Rightarrow \mathbf{g}_{l'n}$  is the unique 2-morphism given by Theorem 4.56(c). Similarly we construct

$$\mathbf{h}_{m'n} = (V_{m'}, h_{m'n}, \hat{h}_{m'n}) : (V_{m'}, E_{m'}, s_{m'}, \psi_{m'}) \longrightarrow (W_n, F_n, t_n, \omega_n)$$

over  $(\text{Im } \psi_{m'}, \mathbf{h})$ , and a 2-morphism  $\boldsymbol{\zeta} : \mathbf{h}_{mn} \circ \Upsilon_{m'm} \Rightarrow \mathbf{h}_{m'n}$ .

Consider equation (11.154) for  $\mathbf{g}_{l'n}, \mathbf{h}_{m'n}$  at  $(u_l, 0) \in U_{l'}, (v_m, 0) \in V_{m'}, (w_n, 0) \in W_n$ . Then the second column of (11.154) is surjective as  $g_{l'n}, h_{m'n}$  are transverse, and the fourth column is surjective as (11.21) is surjective. Hence the third column is surjective by exactness. Thus Definition 11.15(ii) holds at  $(u_l, 0), (v_m, 0)$ , and this is an open condition. Also Definition 11.15(i) holds as  $g_{l'n}, h_{m'n}$  are transverse. Thus making  $U_{l'}, V_{m'}$  smaller, we can suppose  $\mathbf{g}_{l'n}, \mathbf{h}_{m'n}$  are w-transverse. As we can find such  $\mathbf{g}_{l'n}, \mathbf{h}_{m'n}$  with  $x \in \text{Im } \chi_{l'}$  and  $y \in \text{Im } \psi_{m'}$  for any  $x, y, z$  as above,  $\mathbf{g}, \mathbf{h}$  are w-transverse by Definition 11.18. This proves the first ‘if’ part of (a).

For the second ‘if’ part, suppose that Assumption 10.9 holds for  $\mathbf{Man}^c$ , and for all  $x \in \mathbf{X}, y \in \mathbf{Y}$  with  $\mathbf{g}(x) = \mathbf{h}(y) = z$  in  $\mathbf{Z}$ , condition  $\mathbf{T}$  holds for  $\mathbf{g}, \mathbf{h}, x, y, z$ , (11.21) is an isomorphism, and (11.22) is surjective. For such  $x, y, z$ , we use Assumption 10.9 and Proposition 10.39 to choose m-Kuranishi neighbourhoods  $(U_l, D_l, r_l, \chi_l), (V_m, E_m, s_m, \psi_m), (W_n, F_n, t_n, \omega_n)$  on  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$  which are minimal at  $x \in \text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n), y \in \text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$  and  $z \in \text{Im } \omega_n$ . Theorem 4.56(b) gives 1-morphisms  $\mathbf{g}_{ln} : (U_l, D_l, r_l, \chi_l) \rightarrow (W_n, F_n, t_n, \omega_n), \mathbf{h}_{mn} : (V_m, E_m, s_m, \psi_m) \rightarrow (W_n, F_n, t_n, \omega_n)$  over  $(\text{Im } \chi_l, \mathbf{g})$  and  $(\text{Im } \psi_m, \mathbf{h})$ .

Consider (11.154) for these  $\mathbf{g}, \mathbf{h}$ . Then the first column is (11.22), and so surjective, and the fourth column is (11.21), and so an isomorphism. But the middle morphisms  $d_{u_l} r_l, d_{v_m} s_m, d_{w_n} t_n$  are zero by minimality at  $x, y, z$  with  $u_l = \chi_l^{-1}(x), v_m = \psi_m^{-1}(y)$  and  $w_n = \omega_n^{-1}(z)$ . Hence by exactness the second column of (11.154) is surjective, and the third column is an isomorphism.

The argument for the first ‘if’ part shows that  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  satisfy condition  $\mathbf{T}$  at  $u_l, v_m, w_n$ . This, surjectivity of the second column of (11.154), and Assumption 11.9(a),(b) imply that  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  are transverse near  $u_l, v_m$ . So making  $U_{lm} \subseteq U_l$  and  $V_{mn} \subseteq V_m$  smaller we can suppose  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  are transverse.

As the third column of (11.154) is an isomorphism, Definition 11.15(ii) holds at  $u_l, v_m$ , so making  $U_{lm} \subseteq U_l, V_{mn} \subseteq V_m$  smaller again we can suppose Definition 11.15(ii) holds at all  $u \in U_{ln}, v \in V_{mn}$  with  $\mathbf{g}_{ln}(u) = \mathbf{h}_{mn}(v) \in W_n$ . Then  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  are transverse. As we can find such  $\mathbf{g}_{ln}, \mathbf{h}_{mn}$  with  $x \in \text{Im } \chi_l$  and  $y \in \text{Im } \psi_m$  for any  $x, y, z$  as above,  $\mathbf{g}, \mathbf{h}$  are transverse by Definition 11.18. This proves the second ‘if’ part, and completes Theorem 11.22(a).



### 11.10.2 Proof of Theorem 11.22(b)

We can prove part (b) in a very similar way to part (a) in §11.10.1. We work with  $\mathbf{g}, x, z$  rather than  $\mathbf{g}, \mathbf{h}, x, y, z$ , and instead of (11.154) we use the equation

$$\begin{array}{ccccccccc} 0 & \longrightarrow & T_x \mathbf{X} & \longrightarrow & T_{u_l} U_l & \xrightarrow{d_{u_l} r_l} & D_l|_{u_l} & \longrightarrow & O_x \mathbf{X} & \longrightarrow & 0 \\ & & \downarrow T_x \mathbf{g} & & \downarrow T_{u_l} g_{ln} & & \hat{g}_{ln}|_{u_l} \downarrow & & \downarrow O_x \mathbf{g} & & \\ 0 & \longrightarrow & T_z \mathbf{Z} & \longrightarrow & T_{w_n} W_n & \xrightarrow{d_{w_n} t_n} & F_n|_{w_n} & \longrightarrow & O_z \mathbf{Z} & \longrightarrow & 0. \end{array}$$

We leave the details to the reader.

### 11.11 Proof of Theorem 11.25

Work in the situation of Theorem 11.25. Equation (11.26) defines an isomorphism  $\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}|_w : K_{\mathbf{W}}|_w \rightarrow e^*(K_{\mathbf{X}}) \otimes f^*(K_{\mathbf{Y}}) \otimes (g \circ e)^*(K_{\mathbf{Z}})^*|_w$  for each  $w \in W$ . Thus there is a unique map of sets  $\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}$  in (11.24) which satisfies (11.26) for all  $w \in W$ . We must show that this map  $\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}$  is an isomorphism of topological line bundles. It is sufficient to do this locally near each  $w$  in  $W$ .

Fix  $w \in W$  with  $e(w) = x$  in  $X$ ,  $f(w) = y$  in  $Y$  and  $g(x) = h(y) = z$  in  $Z$ . Let  $(U_l, D_l, r_l, \chi_l)$ ,  $(V_m, E_m, s_m, \psi_m)$ ,  $(W_n, F_n, t_n, \omega_n)$  be  $m$ -Kuranishi neighbourhoods on  $\mathbf{X}, \mathbf{Y}, \mathbf{Z}$ , with  $x \in \text{Im } \chi_l \subseteq g^{-1}(\text{Im } \omega_n)$ ,  $y \in \text{Im } \psi_m \subseteq h^{-1}(\text{Im } \omega_n)$  and  $z \in \text{Im } \omega_n$ , and let

$$\begin{aligned} g_{ln} &= (U_{ln}, g_{ln}, \hat{g}_{ln}) : (U_l, D_l, r_l, \chi_l) \longrightarrow (W_n, F_n, t_n, \omega_n), \\ h_{mn} &= (V_{mn}, h_{mn}, \hat{h}_{mn}) : (V_m, E_m, s_m, \psi_m) \longrightarrow (W_n, F_n, t_n, \omega_n), \end{aligned}$$

be  $w$ -transverse 1-morphisms over  $(\text{Im } \chi_l, \mathbf{g})$  and  $(\text{Im } \psi_m, \mathbf{h})$ .

Theorem 11.19(b) now gives an  $m$ -Kuranishi neighbourhood  $(T_k, C_k, q_k, \varphi_k)$  on  $\mathbf{W}$  with  $\text{Im } \varphi_k = e^{-1}(\text{Im } \chi_l) \cap f^{-1}(\text{Im } \psi_m) \subseteq W$ , so that  $w \in \text{Im } \varphi_k$ , and 1-morphisms

$$\begin{aligned} e_{kl} &= (T_k, e_{kl}, \hat{e}_{kl}) : (T_k, C_k, q_k, \varphi_k) \longrightarrow (U_l, D_l, r_l, \chi_l), \\ f_{km} &= (T_k, f_{km}, \hat{f}_{km}) : (T_k, C_k, q_k, \varphi_k) \longrightarrow (V_m, E_m, s_m, \psi_m) \end{aligned}$$

over  $(\text{Im } \varphi_k, \mathbf{e})$  and  $(\text{Im } \varphi_k, \mathbf{f})$  with  $g_{ln} \circ e_{kl} = h_{mn} \circ f_{km}$ , such that  $T_k, C_k, q_k$  and  $e_{kl}, f_{km}$  are constructed from  $(U_l, D_l, r_l, \chi_l)$ ,  $(V_m, E_m, s_m, \psi_m)$ ,  $(W_n, F_n, t_n, \omega_n)$  and  $g_{ln}, h_{mn}$  as in Definition 11.16. Thus

$$T_k = \dot{U}_{ln} \times_{g_{ln}|_{\dot{U}_{ln}}, W_n, h_{mn}|_{\dot{V}_{mn}}} \dot{V}_{mn}$$

is a transverse fibre product in  $\dot{\mathbf{Man}}_{\mathcal{D}}$  for  $\dot{U}_{ln} \subseteq U_{ln}$ ,  $\dot{V}_{mn} \subseteq V_{mn}$  open.

Set  $t_k = \varphi_k^{-1}(w)$ ,  $u_l = \chi_l^{-1}(x)$ ,  $v_m = \psi_m^{-1}(y)$  and  $w_n = \omega_n^{-1}(z)$ , and as in §11.9.4, consider the commutative diagram (11.150), with rows complexes and columns exact. In the setting of Definition 10.69, regard (11.150) as a diagram (10.89), a short exact sequence of complexes  $E^\bullet, F^\bullet, G^\bullet$ , the first, second and third rows of (11.150) respectively, with the third column of (11.150) in degree

zero, so that the second and third columns of (11.150) become complexes  $B_{-1}^\bullet$  and  $B_0^\bullet$ . Then (11.25) is the exact sequence (10.90) constructed from (11.150) in Definition 10.69, by the proof of Theorem 11.19(e), so Proposition 10.70 yields

$$\begin{aligned} & (-1)^{\text{rank } C_k \dim W_n} \cdot (\Theta_{E^\bullet} \otimes \Theta_{F^\bullet}^{-1} \otimes \Theta_{G^\bullet}) ((\Psi_{B_{-1}^\bullet})^{-1} \otimes \Psi_{B_0^\bullet}) \\ & = (-1)^{\dim O_w \mathbf{W} \dim T_z \mathbf{Z}} \cdot \Psi_{A^\bullet}. \end{aligned} \quad (11.157)$$

From Definition 10.66 and Theorem 10.71 we deduce that

$$\Theta_{T_k, C_k, q_k, \varphi_k} |_{t_k} = \Theta_{E^\bullet} : (\det T_{t_k}^* T_k \otimes \det C_k |_{t_k}) \longrightarrow K_{\mathbf{X}} |_w, \quad (11.158)$$

$$\Theta_{W_n, F_n, t_n, \omega_n} |_{w_n} = \Theta_{G^\bullet} : (\det T_{w_n}^* W_n \otimes \det F_n |_{w_n}) \longrightarrow K_{\mathbf{Z}} |_z. \quad (11.159)$$

Also  $F^\bullet$  in (11.150) is the direct sum of two complexes coming from  $(U_l, D_l, r_l, \chi_l)$  and  $(V_m, E_m, s_m, \psi_m)$ . So Proposition 10.68 implies that the following commutes:

$$\begin{array}{ccc} \frac{\det(T_{u_l}^* U_l \oplus T_{v_m}^* V_m) \otimes}{\det(D_l |_{u_l} \oplus E_m |_{v_m})} & \xrightarrow{\Theta_{F^\bullet}} & \frac{\det(T_x^* \mathbf{X} \oplus T_y^* \mathbf{Y}) \otimes}{\det(O_x \mathbf{X} \oplus O_y \mathbf{Y})} \\ \downarrow \begin{array}{l} (-1)^{\text{rank } D_l \dim V_m} \cdot \\ I_{T_{u_l}^* U_l, T_{v_m}^* V_m} \otimes I_{D_l |_{u_l}, E_m |_{v_m}} \end{array} & & \downarrow \begin{array}{l} (-1)^{\dim O_x \mathbf{X} \dim T_y \mathbf{Y}} \cdot \\ I_{T_x^* \mathbf{X}, T_y^* \mathbf{Y}} \otimes I_{O_x \mathbf{X}, O_y \mathbf{Y}} \end{array} \\ \frac{(\det T_{u_l}^* U_l \otimes \det D_l |_{u_l}) \otimes}{(\det T_{v_m}^* V_m \otimes \det E_m |_{v_m})} & \xrightarrow{\begin{array}{l} \Theta_{U_l, D_l, r_l, \chi_l} |_{u_l} \otimes \\ \Theta_{V_m, E_m, s_m, \psi_m} |_{v_m} \end{array}} & K_{\mathbf{X}} |_x \otimes K_{\mathbf{Y}} |_y. \end{array} \quad (11.160)$$

Combining equations (11.26) and (11.157)–(11.160) implies that

$$\begin{aligned} & (-1)^{\text{rank } C_k \dim W_n + \text{rank } D_l \dim V_m} \cdot (\Theta_{T_k, C_k, q_k, \varphi_k} |_{t_k}^{-1} \otimes \\ & \Theta_{U_l, D_l, r_l, \chi_l} |_{u_l} \otimes \Theta_{V_m, E_m, s_m, \psi_m} |_{v_m} \otimes \Theta_{W_n, F_n, t_n, \omega_n} |_{w_n}^{-1}) \\ & \circ (I_{T_{u_l}^* U_l, T_{v_m}^* V_m} \otimes I_{D_l |_{u_l}, E_m |_{v_m}}) (\Psi_{B_{-1}^\bullet} \otimes (\Psi_{B_0^\bullet})^{-1}) = \Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}} |_w. \end{aligned} \quad (11.161)$$

Now (11.161) is the restriction to  $t_k \in q_k^{-1}(0)$  of the equation

$$\begin{aligned} & (-1)^{\text{rank } C_k \dim W_n + \text{rank } D_l \dim V_m} \cdot (\Theta_{T_k, C_k, q_k, \varphi_k}^{-1} \otimes e_{kl} |_{q_k^{-1}(0)}^* (\Theta_{U_l, D_l, r_l, \chi_l}) \\ & \otimes f_{km} |_{q_k^{-1}(0)}^* (\Theta_{V_m, E_m, s_m, \psi_m}) \otimes (g_{ln} \circ e_{kl}) |_{q_k^{-1}(0)}^* (\Theta_{W_n, F_n, t_n, \omega_n}^{-1})) \\ & \circ (I_{e_{kl}^* (T^* U_l), f_{km}^* (T^* V_m)} \otimes I_{e_{kl}^* (D_l), f_{km}^* (E_m)}) |_{q_k^{-1}(0)} (\Psi_{\tilde{B}_{-1}^\bullet} \otimes (\Psi_{\tilde{B}_0^\bullet})^{-1}) \\ & = \varphi_k^* (\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}), \end{aligned} \quad (11.162)$$

where  $\tilde{B}_{-1}^\bullet, \tilde{B}_0^\bullet$  are the complexes of topological vector bundles on  $q_k^{-1}(0)$  whose fibres at  $t_k$  are the second and third columns of (11.150). Here  $\Theta_{T_k, C_k, q_k, \varphi_k}, \dots, \Theta_{W_n, F_n, t_n, \omega_n}$  are isomorphisms of topological line bundles by Theorem 10.71, and  $I_{e_{kl}^* (T^* U_l), f_{km}^* (T^* V_m)}, I_{e_{kl}^* (D_l), f_{km}^* (E_m)}$  are also isomorphisms, and  $\Psi_{\tilde{B}_{-1}^\bullet}, \Psi_{\tilde{B}_0^\bullet}$  are nonvanishing continuous sections of topological line bundles.

Thus (11.162) implies that  $\varphi_k^* (\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}})$  is a continuous, nonvanishing section of  $\varphi_k^* ((K_{\mathbf{W}})^* \otimes e^* (K_{\mathbf{X}}) \otimes f^* (K_{\mathbf{Y}}) \otimes (g \circ e)^* (K_{\mathbf{Z}})^*)$  on  $q_k^{-1}(0)$ . Therefore  $\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}$

is a nonvanishing section of  $(K_W)^* \otimes e^*(K_X) \otimes f^*(K_Y) \otimes (g \circ e)^*(K_Z)^*$ , or equivalently an isomorphism  $K_W \rightarrow e^*(K_X) \otimes f^*(K_Y) \otimes (g \circ e)^*(K_Z)^*$ , on the open subset  $\text{Im } \varphi_k \subseteq W$ , as  $\varphi_k : q_k^{-1}(0) \rightarrow \text{Im } \varphi_k$  is a homeomorphism. Since we can cover  $W$  by such open subsets  $\text{Im } \varphi_k$ , we see that  $\Upsilon_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}$  is an isomorphism of topological line bundles, as we have to prove.

## Chapter 12

# M-homology and M-cohomology (Not written yet.)

Review of ‘M-homology’ and ‘M-cohomology’, which are new (co)homology theories  $MH_*(X; R), MH^*(X; R)$  of manifolds and orbifolds  $X$ , due to the author [44]. They satisfy the Eilenberg–Steenrod axioms, and so are canonically isomorphic to usual (co)homology  $H_*(X; R), H^*(X; R)$ , e.g. singular homology  $H_*^{\text{si}}(X; R)$ . They are specially designed for forming virtual (co)chains for (m-)Kuranishi spaces, and have very good (co)chain level properties.

## Chapter 13

# Virtual (co)cycles and (co)chains for (m-)Kuranishi spaces in M-(co)homology (Not written yet.)

We define an additional structure on an (m-)Kuranishi space with corners  $\mathbf{X}$ , and on 1-morphisms  $\mathbf{f} : \mathbf{X} \rightarrow \mathbf{Y}$ , called a *vc-structure*. If  $\mathbf{X}$  is a compact, oriented (m-)Kuranishi space with corners,  $Y$  is a classical manifold, and  $\mathbf{f} : \mathbf{X} \rightarrow Y$  is a 1-morphism equipped with a vc-structure, we will define a *virtual chain*  $[\mathbf{X}]_{\text{virt}}$  in M-chains  $MC_{\text{vdim } \mathbf{X}}(Y; \mathbb{Z})$  (in the m-Kuranishi case) or  $MC_{\text{vdim } \mathbf{X}}(Y; \mathbb{Q})$  (in the Kuranishi case).

These vc-structures and virtual chains have lots of nice properties, which will be important in applications in symplectic geometry. If  $\partial\mathbf{X} = \emptyset$  then  $\partial[\mathbf{X}]_{\text{virt}} = 0$ , so we have a homology class  $[[\mathbf{X}]_{\text{virt}}]$  in M-homology  $MH_{\text{vdim } \mathbf{X}}(Y; \mathbb{Z})$  or  $MH_{\text{vdim } \mathbf{X}}(Y; \mathbb{Q})$ , the *virtual class*.

Such virtual chain and virtual cycle constructions are important in current approaches to symplectic geometry, such as the work of Fukaya–Oh–Ohta–Ono, Hofer–Wysocki–Zehnder and McDuff–Wehrheim discussed in §7.5 — see Remark 7.14 and Theorem 7.20. The point about our construction is that it will have very good technical properties, which will make defining theories such as Lagrangian Floer cohomology, Fukaya categories, and Symplectic Field Theory, much more convenient.

## Chapter 14

### Orbifold strata of Kuranishi spaces (Not written yet.)

## Chapter 15

Bordism and cobordism for  
(m-)Kuranishi spaces  
(Not written yet.)

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# Glossary of notation, all volumes

Page references are in the form volume-page number. So, for example, II-57 means page 57 of volume II.

- $\Gamma(\mathcal{E})$  global sections of a sheaf  $\mathcal{E}$ , I-230
- $\Gamma^\infty(E)$  vector space of smooth sections of a vector bundle  $E$ , I-10, I-238
- $\Omega_{\mathbf{X}} : K_{\partial\mathbf{X}} \rightarrow N_{\partial\mathbf{X}} \otimes i_{\mathbf{X}}^*(K_{\mathbf{X}})$  isomorphism of canonical line bundles on boundary of an (m- or  $\mu$ -)Kuranishi space  $\mathbf{X}$ , II-67, II-76
- $\Theta_{V,E,\Gamma,s,\psi} : (\det T^*V \otimes \det E)|_{s^{-1}(0)} \rightarrow \bar{\psi}^{-1}(K_{\mathbf{X}})$  isomorphism of line bundles from a Kuranishi neighbourhood  $(V, E, \Gamma, s, \psi)$  on a Kuranishi space  $\mathbf{X}$ , II-75
- $\Theta_{V,E,s,\psi} : (\det T^*V \otimes \det E)|_{s^{-1}(0)} \rightarrow \psi^{-1}(K_{\mathbf{X}})$  isomorphism of line bundles from an m-Kuranishi neighbourhood  $(V, E, s, \psi)$  on an m-Kuranishi space  $\mathbf{X}$ , II-62
- $\Upsilon_{\mathbf{X},\mathbf{Y},\mathbf{Z}} : K_{\mathbf{W}} \rightarrow e^*(K_{\mathbf{X}}) \otimes f^*(K_{\mathbf{Y}}) \otimes (g \circ e)^*(K_{\mathbf{Z}})^*$  isomorphism of canonical bundles on w-transverse fibre product of (m-)Kuranishi spaces, II-96
- $\alpha_{g,f,e} : (g \circ f) \circ e \Rightarrow g \circ (f \circ e)$  coherence 2-morphism in weak 2-category, I-224
- $\beta_f : f \circ \text{id}_X \Rightarrow f$  coherence 2-morphism in weak 2-category, I-224
- $\delta_w^{g,h} : T_z\mathbf{Z} \rightarrow O_w\mathbf{W}$  connecting morphism in w-transverse fibre product of (m-)Kuranishi spaces, II-92, II-116
- $\gamma_f : \text{id}_Y \circ f \Rightarrow f$  coherence 2-morphism in weak 2-category, I-224
- $\gamma_f : N_{\partial X} \rightarrow (\partial f)^*(N_{\partial Y})$  isomorphism of normal line bundles of manifolds with corners, II-11
- $\nabla$  connection on vector bundle  $E \rightarrow X$  in  $\mathbf{Man}$ , I-38, I-241
- $C(X)$  corners  $\coprod_{k=0}^{\dim X} C_k(X)$  of a manifold with corners  $X$ , I-8
- $C(\mathbf{X})$  corners  $\coprod_{k=0}^{\infty} C_k(\mathbf{X})$  of an (m or  $\mu$ -)Kuranishi space  $\mathbf{X}$ , I-91, I-124, I-161

- $C : \dot{\mathbf{K}}\mathbf{ur}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}^c$  corner 2-functor on Kuranishi spaces, I-161
- $C : \mathbf{Man}^c \rightarrow \check{\mathbf{M}}\mathbf{an}^c$  corner functor on manifolds with corners, I-9
- $C' : \mathbf{Man}^c \rightarrow \check{\mathbf{M}}\mathbf{an}^c$  second corner functor on manifolds with corners, I-9
- $C : \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}^c$  corner 2-functor on m-Kuranishi spaces, I-91
- $C : \mu\dot{\mathbf{K}}\mathbf{ur}^c \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}^c$  corner functor on  $\mu$ -Kuranishi spaces, I-124
- $C : \dot{\mathbf{O}}\mathbf{rb}^c \rightarrow \check{\mathbf{O}}\mathbf{rb}^c$  corner 2-functor on orbifolds with corners, I-178
- $C^\infty(X)$   $\mathbb{R}$ -algebra of smooth functions  $X \rightarrow \mathbb{R}$  for a manifold  $X$ , I-10, I-233
- $C_k(\mathbf{X})$   $k$ -corners of an (m- or  $\mu$ -)Kuranishi space  $\mathbf{X}$ , I-81, I-123, I-157
- $C_k(\mathfrak{X})$   $k$ -corners of an orbifold with corners  $\mathfrak{X}$ , I-178
- $C_k : \dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$   $k$ -corner 2-functor on Kuranishi spaces, I-161
- $C_k : \mathbf{Man}_{\text{si}}^c \rightarrow \check{\mathbf{M}}\mathbf{an}_{\text{si}}^c$   $k$ -corner functor on manifolds with corners, I-9
- $C_k : \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$   $k$ -corner 2-functor on m-Kuranishi spaces, I-91
- $C_k : \mu\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$   $k$ -corner functor on  $\mu$ -Kuranishi spaces, I-124
- $C_k : \dot{\mathbf{O}}\mathbf{rb}_{\text{si}}^c \rightarrow \check{\mathbf{O}}\mathbf{rb}_{\text{si}}^c$   $k$ -corner 2-functor on orbifolds with corners, I-178
- $C^{\text{op}}$  opposite category of category  $\mathcal{C}$ , I-221
- $C^\infty\mathbf{Rings}$  category of  $C^\infty$ -rings, I-234
- $C^\infty\mathbf{Sch}^{\text{aff}}$  category of affine  $C^\infty$ -schemes, I-37, I-236
- $\partial : \dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  boundary 2-functor on Kuranishi spaces, I-161
- $\partial : \mathbf{Man}_{\text{si}}^c \rightarrow \check{\mathbf{M}}\mathbf{an}_{\text{si}}^c$  boundary functor on manifolds with corners, I-9
- $\partial : \mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mathbf{m}\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  boundary 2-functor on m-Kuranishi spaces, I-91
- $\partial : \mu\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^c \rightarrow \mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^c$  boundary functor on  $\mu$ -Kuranishi spaces, I-124
- $\text{depth}_X x$  the codimension  $k$  of the corner stratum  $S^k(X)$  containing a point  $x$  in a manifold with corners  $X$ , I-6
- $\mathbf{DerMan}_{\text{BN}}$  Borisov and Noel's  $\infty$ -category of derived manifolds, I-103
- $\mathbf{DerMan}_{\text{Spi}}$  Spivak's  $\infty$ -category of derived manifolds, I-103
- $\det(E^\bullet)$  determinant of a complex of vector spaces or vector bundles, II-52
- $df : TX \rightarrow f^*(TY)$  derivative of a smooth map  $f : X \rightarrow Y$ , I-11
- ${}^bdf : {}^bTX \rightarrow f^*({}^bTY)$  b-derivative of a smooth map  $f : X \rightarrow Y$  of manifolds with corners, I-12

- dMan** 2-category of d-manifolds, a kind of derived manifold, I-103
- $\partial\mathbf{X}$  boundary of an (m- or  $\mu$ -)Kuranishi space  $\mathbf{X}$ , I-86, I-124, I-160, I-161
- $\partial\mathfrak{X}$  boundary of an orbifold with corners  $\mathfrak{X}$ , I-178
- $f_{\text{top}} : X_{\text{top}} \rightarrow Y_{\text{top}}$  underlying continuous map of morphism  $f : X \rightarrow Y$  in  $\dot{\mathbf{Man}}$ , I-31
- GKN** 2-category of global Kuranishi neighbourhoods over **Man**, I-142
- G $\dot{\mathbf{K}}$ N** 2-category of global Kuranishi neighbourhoods over  $\dot{\mathbf{Man}}$ , I-142
- GKN<sup>c</sup>** 2-category of global Kuranishi neighbourhoods over manifolds with corners **Man<sup>c</sup>**, I-142
- GmKN** 2-category of global m-Kuranishi neighbourhoods over **Man**, I-59
- Gm $\dot{\mathbf{K}}$ N** 2-category of global m-Kuranishi neighbourhoods over  $\dot{\mathbf{Man}}$ , I-58
- GmKN<sup>c</sup>** 2-category of global m-Kuranishi neighbourhoods over manifolds with corners **Man<sup>c</sup>**, I-59
- G $\mu$ KN** category of global  $\mu$ -Kuranishi neighbourhoods over **Man**, I-111
- G $\mu$  $\dot{\mathbf{K}}$ N** category of global  $\mu$ -Kuranishi neighbourhoods over  $\dot{\mathbf{Man}}$ , I-110
- G $\mu$ KN<sup>c</sup>** category of global  $\mu$ -Kuranishi neighbourhoods over manifolds with corners **Man<sup>c</sup>**, I-111
- $G_x f : G_x \mathbf{X} \rightarrow G_y \mathbf{Y}$  morphism of isotropy groups from 1-morphism  $f : \mathbf{X} \rightarrow \mathbf{Y}$  in  $\dot{\mathbf{Kur}}$ , I-168
- $G_x \mathbf{X}$  isotropy group of a Kuranishi space  $\mathbf{X}$  at a point  $x \in \mathbf{X}$ , I-166
- $G_x \mathfrak{X}$  isotropy group of an orbifold  $\mathfrak{X}$  at a point  $x \in \mathfrak{X}$ , I-176
- $\text{Ho}(\mathcal{C})$  homotopy category of 2-category  $\mathcal{C}$ , I-226
- $I_f^\diamond : \Pi_{\text{top}}^{-1}(\mathcal{T}_f Y) \rightarrow \mathcal{T}_{C(f)} C(Y)$  morphism of tangent sheaves in  $\dot{\mathbf{Man}}^c$ , I-269
- $I_X^\diamond : \Pi_k^*({}^b T X) \rightarrow {}^b T(C_k(X))$  natural morphism of b-tangent bundles over a manifold with corners  $X$ , I-12
- $i_{\mathbf{X}} : \partial\mathbf{X} \rightarrow \mathbf{X}$  natural (1-)morphism of boundary of an (m- or  $\mu$ -)Kuranishi space  $\mathbf{X}$ , I-86, I-124, I-160
- $I_X : {}^b T X \rightarrow T X$  natural morphism of (b-)tangent bundles of a manifold with corners  $X$ , I-11
- $K_f : f^*(K_{\mathbf{Y}}) \rightarrow K_{\mathbf{X}}$  isomorphism of canonical bundles from étale (1-)morphism of (m- or  $\mu$ -)Kuranishi spaces  $f : \mathbf{X} \rightarrow \mathbf{Y}$ , II-65

$\mathbf{KN}$	2-category of Kuranishi neighbourhoods over manifolds $\mathbf{Man}$ , I-142
$\dot{\mathbf{KN}}$	2-category of Kuranishi neighbourhoods over $\dot{\mathbf{Man}}$ , I-141
$\mathbf{KN}^c$	2-category of Kuranishi neighbourhoods over manifolds with corners $\mathbf{Man}^c$ , I-142
$\mathbf{KN}_S(X)$	2-category of Kuranishi neighbourhoods over $S \subseteq X$ in $\mathbf{Man}$ , I-142
$\dot{\mathbf{KN}}_S(X)$	2-category of Kuranishi neighbourhoods over $S \subseteq X$ in $\dot{\mathbf{Man}}$ , I-142
$\mathbf{KN}_S^c(X)$	2-category of Kuranishi neighbourhoods over $S \subseteq X$ in $\mathbf{Man}^c$ , I-142
$\mathbf{Kur}$	2-category of Kuranishi spaces over classical manifolds $\mathbf{Man}$ , I-153
$\dot{\mathbf{Kur}}$	2-category of Kuranishi spaces over $\dot{\mathbf{Man}}$ , I-151
$\dot{\mathbf{Kur}}_P$	2-category of Kuranishi spaces over $\dot{\mathbf{Man}}$ , and 1-morphisms with discrete property $P$ , I-154
$\dot{\mathbf{Kur}}_{\text{tr}G}$	2-subcategory of Kuranishi spaces in $\dot{\mathbf{Kur}}$ with all $G_x X = \{1\}$ , I-169
$\dot{\mathbf{Kur}}_{\text{tr}\Gamma}$	2-subcategory of Kuranishi spaces in $\dot{\mathbf{Kur}}$ with all $\Gamma_i = \{1\}$ , I-169
$\mathbf{Kur}^{\text{ac}}$	2-category of Kuranishi spaces with a-corners, I-153
$\mathbf{Kur}^c$	2-category of Kuranishi spaces with corners, I-153
$\dot{\mathbf{Kur}}^c$	2-category of Kuranishi spaces with corners over $\dot{\mathbf{Man}}^c$ of mixed dimension, I-161
$\dot{\mathbf{Kur}}_P^c$	2-category of Kuranishi spaces with corners over $\dot{\mathbf{Man}}^c$ of mixed dimension, and 1-morphisms which are $P$ , I-161
$\mathbf{Kur}_{\text{bn}}^c$	2-category of Kuranishi spaces with corners, and b-normal 1-morphisms, I-154
$\mathbf{Kur}_{\text{in}}^c$	2-category of Kuranishi spaces with corners, and interior 1-morphisms, I-154
$\mathbf{Kur}_{\text{si}}^c$	2-category of Kuranishi spaces with corners, and simple 1-morphisms, I-154
$\dot{\mathbf{Kur}}_{\text{si}}^c$	2-category of Kuranishi spaces with corners over $\dot{\mathbf{Man}}^c$ of mixed dimension, and simple 1-morphisms, I-161
$\mathbf{Kur}_{\text{st}}^c$	2-category of Kuranishi spaces with corners, and strongly smooth 1-morphisms, I-154
$\mathbf{Kur}_{\text{st, bn}}^c$	2-category of Kuranishi spaces with corners, and strongly smooth b-normal 1-morphisms, I-154
$\mathbf{Kur}_{\text{st, in}}^c$	2-category of Kuranishi spaces with corners, and strongly smooth interior 1-morphisms, I-154



$\mathbf{Kur}_{\text{we}}^{\text{c}}$	2-category of Kuranishi spaces with corners and weakly smooth 1-morphisms, I-153
$\dot{\mathbf{K}}\mathbf{ur}^{\text{c}}$	2-category of Kuranishi spaces with corners associated to $\dot{\mathbf{M}}\mathbf{an}^{\text{c}}$ , I-157
$\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^{\text{c}}$	2-category of Kuranishi spaces with corners associated to $\dot{\mathbf{M}}\mathbf{an}^{\text{c}}$ , and simple 1-morphisms, I-157
$\mathbf{Kur}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, I-153
$\mathbf{Kur}_{\text{bn}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and b-normal 1-morphisms, I-155
$\mathbf{Kur}_{\text{in}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and interior 1-morphisms, I-155
$\mathbf{Kur}_{\text{si}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and simple 1-morphisms, I-155
$\mathbf{Kur}_{\text{st}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and strongly a-smooth 1-morphisms, I-155
$\mathbf{Kur}_{\text{st,bn}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and strongly a-smooth b-normal 1-morphisms, I-155
$\mathbf{Kur}_{\text{st,in}}^{\text{c,ac}}$	2-category of Kuranishi spaces with corners and a-corners, and strongly a-smooth interior 1-morphisms, I-155
$\mathbf{Kur}^{\text{gc}}$	2-category of Kuranishi spaces with g-corners, I-153
$\mathbf{Kur}_{\text{bn}}^{\text{gc}}$	2-category of Kuranishi spaces with g-corners, and b-normal 1-morphisms, I-155
$\mathbf{Kur}_{\text{in}}^{\text{gc}}$	2-category of Kuranishi spaces with g-corners, and interior 1-morphisms, I-155
$\mathbf{Kur}_{\text{si}}^{\text{gc}}$	2-category of Kuranishi spaces with g-corners, and simple 1-morphisms, I-155
$K_X$	canonical bundle of a ‘manifold’ $X$ in $\dot{\mathbf{M}}\mathbf{an}$ , II-10
$K_{\mathbf{X}}$	canonical bundle of an (m- or $\mu$ -)Kuranishi space $\mathbf{X}$ , II-62, II-74
${}^b K_{\mathbf{X}}$	b-canonical bundle of an (m- or $\mu$ -)Kuranishi space with corners $\mathbf{X}$ , II-66
$\mathbf{Man}$	category of classical manifolds, I-7
$\dot{\mathbf{M}}\mathbf{an}$	category of ‘manifolds’ satisfying Assumptions 3.1–3.7, I-31
$\ddot{\mathbf{M}}\mathbf{an}$	another category of ‘manifolds’ satisfying Assumptions 3.1–3.7, I-46
$\mathbf{Man}^{\text{ac}}$	category of manifolds with a-corners, I-18

- $\mathbf{Man}_{\mathbf{bn}}^{\mathbf{ac}}$  category of manifolds with a-corners and b-normal maps, I-18
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{ac}}$  category of manifolds with a-corners and interior maps, I-18
- $\mathbf{Man}_{\mathbf{st}}^{\mathbf{ac}}$  category of manifolds with a-corners and strongly a-smooth maps, I-18
- $\mathbf{Man}_{\mathbf{st},\mathbf{bn}}^{\mathbf{ac}}$  category of manifolds with a-corners and strongly a-smooth b-normal maps, I-18
- $\mathbf{Man}_{\mathbf{st},\mathbf{in}}^{\mathbf{ac}}$  category of manifolds with a-corners and strongly a-smooth interior maps, I-18
- $\mathbf{Man}^{\mathbf{b}}$  category of manifolds with boundary, I-7
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{b}}$  category of manifolds with boundary and interior maps, I-7
- $\mathbf{Man}_{\mathbf{si}}^{\mathbf{b}}$  category of manifolds with boundary and simple maps, I-7
- $\mathbf{Man}^{\mathbf{c}}$  category of manifolds with corners, I-5
- $\dot{\mathbf{M}}\mathbf{an}^{\mathbf{c}}$  category of ‘manifolds with corners’ satisfying Assumption 3.22, I-47
- $\check{\mathbf{M}}\mathbf{an}^{\mathbf{c}}$  category of ‘manifolds with corners’ of mixed dimension, I-48
- $\tilde{\mathbf{M}}\mathbf{an}^{\mathbf{c}}$  category of manifolds with corners of mixed dimension, I-8
- $\mathbf{Man}_{\mathbf{bn}}^{\mathbf{c}}$  category of manifolds with corners and b-normal maps, I-5
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{c}}$  category of manifolds with corners and interior maps, I-5
- $\check{\mathbf{M}}\mathbf{an}_{\mathbf{in}}^{\mathbf{c}}$  category of manifolds with corners of mixed dimension and interior maps, I-8
- $\mathbf{Man}_{\mathbf{si}}^{\mathbf{c}}$  category of manifolds with corners and simple maps, I-5
- $\dot{\mathbf{M}}\mathbf{an}_{\mathbf{si}}^{\mathbf{c}}$  category of ‘manifolds with corners’ of mixed dimension, and simple morphisms, I-48
- $\mathbf{Man}_{\mathbf{st}}^{\mathbf{c}}$  category of manifolds with corners and strongly smooth maps, I-5
- $\check{\mathbf{M}}\mathbf{an}_{\mathbf{st}}^{\mathbf{c}}$  category of manifolds with corners of mixed dimension and strongly smooth maps, I-8
- $\mathbf{Man}_{\mathbf{st},\mathbf{bn}}^{\mathbf{c}}$  category of manifolds with corners and strongly smooth b-normal maps, I-5
- $\mathbf{Man}_{\mathbf{st},\mathbf{in}}^{\mathbf{c}}$  category of manifolds with corners and strongly smooth interior maps, I-5
- $\mathbf{Man}_{\mathbf{we}}^{\mathbf{c}}$  category of manifolds with corners and weakly smooth maps, I-5
- $\mathbf{Man}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, I-18

- $\mathbf{Man}_{\mathbf{bn}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and b-normal maps, I-19
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and interior maps, I-18
- $\mathbf{Man}_{\mathbf{si}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and simple maps, I-19
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and strongly a-smooth maps, I-19
- $\mathbf{Man}_{\mathbf{st},\mathbf{bn}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and strongly a-smooth b-normal maps, I-19
- $\mathbf{Man}_{\mathbf{st},\mathbf{in}}^{\mathbf{c},\mathbf{ac}}$  category of manifolds with corners and a-corners, and strongly a-smooth interior maps, I-19
- $\mathbf{Man}^{\mathbf{gc}}$  category of manifolds with g-corners, I-16
- $\mathbf{Man}_{\mathbf{in}}^{\mathbf{gc}}$  category of manifolds with g-corners and interior maps, I-16
- $\mathbf{mKN}$  2-category of m-Kuranishi neighbourhoods over manifolds  $\mathbf{Man}$ , I-59
- $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}$  2-category of m-Kuranishi neighbourhoods over  $\dot{\mathbf{M}}\mathbf{an}$ , I-58
- $\mathbf{mKN}^{\mathbf{c}}$  2-category of m-Kuranishi neighbourhoods over manifolds with corners  $\mathbf{Man}^{\mathbf{c}}$ , I-59
- $\mathbf{mKN}_S(X)$  2-category of m-Kuranishi neighbourhoods over  $S \subseteq X$  in  $\mathbf{Man}$ , I-59
- $\mathbf{m}\dot{\mathbf{K}}\mathbf{N}_S(X)$  2-category of m-Kuranishi neighbourhoods over  $S \subseteq X$  in  $\dot{\mathbf{M}}\mathbf{an}$ , I-58
- $\mathbf{mKN}_S^{\mathbf{c}}(X)$  2-category of m-Kuranishi neighbourhoods over  $S \subseteq X$  in  $\mathbf{Man}^{\mathbf{c}}$ , I-59
- $\mathbf{mKur}$  2-category of m-Kuranishi spaces over classical manifolds  $\mathbf{Man}$ , I-72
- $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}$  2-category of m-Kuranishi spaces over  $\dot{\mathbf{M}}\mathbf{an}$ , I-72
- $\mathbf{m}\dot{\mathbf{K}}\mathbf{ur}_P$  2-category of m-Kuranishi spaces over  $\dot{\mathbf{M}}\mathbf{an}$ , and 1-morphisms with discrete property  $P$ , I-78
- $\mathbf{mKur}^{\mathbf{ac}}$  2-category of m-Kuranishi spaces with a-corners, I-72
- $\mathbf{mKur}_{\mathbf{bn}}^{\mathbf{ac}}$  2-category of m-Kuranishi spaces with a-corners, and b-normal 1-morphisms, I-79
- $\mathbf{mKur}_{\mathbf{in}}^{\mathbf{ac}}$  2-category of m-Kuranishi spaces with a-corners, and interior 1-morphisms, I-79

- $\mathbf{mKur}_{\text{si}}^{\text{ac}}$  2-category of m-Kuranishi spaces with a-corners, and simple 1-morphisms, I-79
- $\mathbf{mKur}_{\text{st}}^{\text{ac}}$  2-category of m-Kuranishi spaces with a-corners, and strongly a-smooth 1-morphisms, I-79
- $\mathbf{mKur}_{\text{st,bn}}^{\text{ac}}$  2-category of m-Kuranishi spaces with a-corners, and strongly a-smooth b-normal 1-morphisms, I-79
- $\mathbf{mKur}_{\text{st,in}}^{\text{ac}}$  2-category of m-Kuranishi spaces with a-corners, and strongly a-smooth interior 1-morphisms, I-79
- $\mathbf{mKur}^{\text{b}}$  2-category of m-Kuranishi spaces with boundary, I-93
- $\mathbf{mKur}_{\text{in}}^{\text{b}}$  2-category of m-Kuranishi spaces with boundary, and interior 1-morphisms, I-93
- $\mathbf{mKur}_{\text{si}}^{\text{b}}$  2-category of m-Kuranishi spaces with boundary, and simple 1-morphisms, I-93
- $\mathbf{mKur}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, I-72
- $\mathbf{m\check{K}ur}^{\text{c}}$  2-category of m-Kuranishi spaces with corners over  $\mathbf{Man}^{\text{c}}$  of mixed dimension, I-87
- $\mathbf{m\check{K}ur}_{\mathcal{P}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners over  $\mathbf{Man}^{\text{c}}$  of mixed dimension, and 1-morphisms which are  $\mathcal{P}$ , I-91
- $\mathbf{mKur}_{\text{bn}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and b-normal 1-morphisms, I-78
- $\mathbf{mKur}_{\text{in}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and interior 1-morphisms, I-78
- $\mathbf{mKur}_{\text{si}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and simple 1-morphisms, I-78
- $\mathbf{m\check{K}ur}_{\text{si}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners over  $\mathbf{Man}^{\text{c}}$  of mixed dimension, and simple 1-morphisms, I-87
- $\mathbf{mKur}_{\text{st}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and strongly smooth 1-morphisms, I-78
- $\mathbf{mKur}_{\text{st,bn}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and strongly smooth b-normal 1-morphisms, I-78
- $\mathbf{mKur}_{\text{st,in}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners, and strongly smooth interior 1-morphisms, I-78
- $\mathbf{mKur}_{\text{we}}^{\text{c}}$  2-category of m-Kuranishi spaces with corners and weakly smooth 1-morphisms, I-72

- $\mathbf{mKur}^c$  2-category of m-Kuranishi spaces with corners associated to  $\mathbf{Man}^c$ , I-81
- $\mathbf{mKur}^{c,ac}$  2-category of m-Kuranishi spaces with corners and a-corners, I-72
- $\mathbf{mKur}_{bn}^{c,ac}$  2-category of m-Kuranishi spaces with corners and a-corners, and b-normal 1-morphisms, I-79
- $\mathbf{mKur}_{in}^{c,ac}$  2-category of m-Kuranishi spaces with corners and a-corners, and interior 1-morphisms, I-79
- $\mathbf{mKur}_{si}^{c,ac}$  2-category of m-Kuranishi spaces with corners and a-corners, and simple 1-morphisms, I-79
- $\mathbf{mKur}_{st}^{c,ac}$  2-category of m-Kuranishi spaces with corners and a-corners, and strongly a-smooth 1-morphisms, I-79
- $\mathbf{mKur}_{st,bn}^{c,ac}$  2-category of m-Kuranishi spaces with corners and a-corners, and strongly a-smooth b-normal 1-morphisms, I-79
- $\mathbf{mKur}_{st,in}^{c,ac}$  2-category of m-Kuranishi spaces with corners and a-corners, and strongly a-smooth interior 1-morphisms, I-79
- $\mathbf{mKur}_{si}^c$  2-category of m-Kuranishi spaces with corners associated to  $\mathbf{Man}^c$ , and simple 1-morphisms, I-81
- $\mathbf{mKur}^{gc}$  2-category of m-Kuranishi spaces with g-corners, I-72
- $\mathbf{mKur}_{bn}^{gc}$  2-category of m-Kuranishi spaces with g-corners, and b-normal 1-morphisms, I-79
- $\mathbf{mKur}_{in}^{gc}$  2-category of m-Kuranishi spaces with g-corners, and interior 1-morphisms, I-79
- $\mathbf{mKur}_{si}^{gc}$  2-category of m-Kuranishi spaces with g-corners, and simple 1-morphisms, I-79
- $\mu\mathbf{KN}$  category of  $\mu$ -Kuranishi neighbourhoods over manifolds  $\mathbf{Man}$ , I-111
- $\mu\dot{\mathbf{K}}\mathbf{N}$  category of  $\mu$ -Kuranishi neighbourhoods over  $\dot{\mathbf{Man}}$ , I-110
- $\mu\mathbf{KN}^c$  category of  $\mu$ -Kuranishi neighbourhoods over manifolds with corners  $\mathbf{Man}^c$ , I-111
- $\mu\mathbf{KN}_S(X)$  category of  $\mu$ -Kuranishi neighbourhoods over  $S \subseteq X$  in  $\mathbf{Man}$ , I-111
- $\mu\dot{\mathbf{K}}\mathbf{N}_S(X)$  category of  $\mu$ -Kuranishi neighbourhoods over  $S \subseteq X$  in  $\dot{\mathbf{Man}}$ , I-110
- $\mu\mathbf{KN}_S^c(X)$  category of  $\mu$ -Kuranishi neighbourhoods over  $S \subseteq X$  in  $\mathbf{Man}^c$ , I-111
- $\mu\mathbf{Kur}$  category of  $\mu$ -Kuranishi spaces over classical manifolds  $\mathbf{Man}$ , I-117
- $\mu\dot{\mathbf{K}}\mathbf{ur}$  category of  $\mu$ -Kuranishi spaces over  $\dot{\mathbf{Man}}$ , I-116

- $\mu\check{\mathbf{K}}\mathbf{ur}_{\mathcal{P}}$  category of  $\mu$ -Kuranishi spaces over  $\check{\mathbf{M}}\mathbf{an}$ , and morphisms with discrete property  $\mathcal{P}$ , I-119
- $\mu\mathbf{Kur}^{\text{ac}}$  category of  $\mu$ -Kuranishi spaces with a-corners, I-117
- $\mu\mathbf{Kur}_{\text{bn}}^{\text{ac}}$  category of  $\mu$ -Kuranishi spaces with a-corners, and b-normal morphisms, I-120
- $\mu\mathbf{Kur}_{\text{in}}^{\text{ac}}$  category of  $\mu$ -Kuranishi spaces with a-corners, and interior morphisms, I-120
- $\mu\mathbf{Kur}_{\text{si}}^{\text{ac}}$  category of  $\mu$ -Kuranishi spaces with a-corners, and simple morphisms, I-120
- $\mu\mathbf{Kur}_{\text{st}}^{\text{ac}}$  category of  $\mu$ -Kuranishi spaces with a-corners, and strongly a-smooth morphisms, I-120
- $\mu\mathbf{Kur}_{\text{st, bn}}^{\text{ac}}$  category of  $\mu$ -Kuranishi spaces with a-corners, and strongly a-smooth b-normal morphisms, I-120
- $\mu\mathbf{Kur}_{\text{st, in}}^{\text{ac}}$  category of  $\mu$ -Kuranishi spaces with a-corners, and strongly a-smooth interior morphisms, I-120
- $\mu\mathbf{Kur}^{\text{b}}$  category of  $\mu$ -Kuranishi spaces with boundary, I-125
- $\mu\mathbf{Kur}_{\text{in}}^{\text{b}}$  category of  $\mu$ -Kuranishi spaces with boundary, and interior morphisms, I-125
- $\mu\mathbf{Kur}_{\text{si}}^{\text{b}}$  category of  $\mu$ -Kuranishi spaces with boundary, and simple morphisms, I-125
- $\mu\mathbf{Kur}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners, I-117
- $\mu\check{\mathbf{K}}\mathbf{ur}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners over  $\check{\mathbf{M}}\mathbf{an}^{\text{c}}$  of mixed dimension, I-124
- $\mu\check{\mathbf{K}}\mathbf{ur}_{\mathcal{P}}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners over  $\check{\mathbf{M}}\mathbf{an}^{\text{c}}$  of mixed dimension, and morphisms which are  $\mathcal{P}$ , I-124
- $\mu\mathbf{Kur}_{\text{bn}}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners, and b-normal morphisms, I-119
- $\mu\mathbf{Kur}_{\text{in}}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners, and interior morphisms, I-119
- $\mu\mathbf{Kur}_{\text{si}}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners, and simple morphisms, I-119
- $\mu\check{\mathbf{K}}\mathbf{ur}_{\text{si}}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners over  $\check{\mathbf{M}}\mathbf{an}^{\text{c}}$  of mixed dimension, and simple morphisms, I-124

- $\mu\mathbf{Kur}_{\text{st}}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners, and strongly smooth morphisms, I-119
- $\mu\mathbf{Kur}_{\text{st,bn}}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners, and strongly smooth b-normal morphisms, I-119
- $\mu\mathbf{Kur}_{\text{st,in}}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners, and strongly smooth interior morphisms, I-119
- $\mu\mathbf{Kur}_{\text{we}}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners and weakly smooth morphisms, I-117
- $\mu\dot{\mathbf{K}}\mathbf{ur}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners associated to  $\dot{\mathbf{M}}\mathbf{an}^{\text{c}}$ , I-122
- $\mu\mathbf{Kur}^{\text{c,ac}}$  category of  $\mu$ -Kuranishi spaces with corners and a-corners, I-117
- $\mu\mathbf{Kur}_{\text{bn}}^{\text{c,ac}}$  category of  $\mu$ -Kuranishi spaces with corners and a-corners, and b-normal morphisms, I-120
- $\mu\mathbf{Kur}_{\text{in}}^{\text{c,ac}}$  category of  $\mu$ -Kuranishi spaces with corners and a-corners, and interior morphisms, I-120
- $\mu\mathbf{Kur}_{\text{si}}^{\text{c,ac}}$  category of  $\mu$ -Kuranishi spaces with corners and a-corners, and simple morphisms, I-120
- $\mu\mathbf{Kur}_{\text{st}}^{\text{c,ac}}$  category of  $\mu$ -Kuranishi spaces with corners and a-corners, and strongly a-smooth morphisms, I-120
- $\mu\mathbf{Kur}_{\text{st,bn}}^{\text{c,ac}}$  category of  $\mu$ -Kuranishi spaces with corners and a-corners, and strongly a-smooth b-normal morphisms, I-120
- $\mu\mathbf{Kur}_{\text{st,in}}^{\text{c,ac}}$  category of  $\mu$ -Kuranishi spaces with corners and a-corners, and strongly a-smooth interior morphisms, I-120
- $\mu\dot{\mathbf{K}}\mathbf{ur}_{\text{si}}^{\text{c}}$  category of  $\mu$ -Kuranishi spaces with corners associated to  $\dot{\mathbf{M}}\mathbf{an}^{\text{c}}$ , and simple morphisms, I-122
- $\mu\mathbf{Kur}^{\text{gc}}$  category of  $\mu$ -Kuranishi spaces with g-corners, I-117
- $\mu\mathbf{Kur}_{\text{bn}}^{\text{gc}}$  category of  $\mu$ -Kuranishi spaces with g-corners, and b-normal morphisms, I-120
- $\mu\mathbf{Kur}_{\text{in}}^{\text{gc}}$  category of  $\mu$ -Kuranishi spaces with g-corners, and interior morphisms, I-120
- $\mu\mathbf{Kur}_{\text{si}}^{\text{gc}}$  category of  $\mu$ -Kuranishi spaces with g-corners, and simple morphisms, I-120
- $\tilde{M}_x f : \tilde{M}_x X \rightarrow \tilde{M}_y Y$  monoid morphism for morphism  $f : X \rightarrow Y$  in  $\mathbf{Man}_{\text{in}}^{\text{c}}$ , I-14
- $\tilde{M}_x X$  monoid at a point  $x$  in a manifold with corners  $X$ , I-14

- $N_{C_k(X)}$  normal bundle of  $k$ -corners  $C_k(X)$  in a manifold with corners  $X$ , I-12
- ${}^bN_{C_k(X)}$  b-normal bundle of  $k$ -corners  $C_k(X)$  in a manifold with corners  $X$ , I-12
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- $\mathbf{Orb}_{\text{we}}^c$  2-category of orbifolds with corners, I-175
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