

Quantization of 3-Calabi–Yau moduli spaces

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These slides available at
<http://people.maths.ox.ac.uk/~joyce/>

Plan of talk:

- 1 PTVV's shifted symplectic geometry
- 2 A Darboux theorem for shifted symplectic schemes
- 3 D-critical loci
- 4 Categorification using perverse sheaves
- 5 Motivic Milnor fibres

1. PTVV's shifted symplectic geometry

Work in the context of Toën and Vezzosi's theory of *derived algebraic geometry*, for simplicity over the field \mathbb{C} . This gives ∞ -categories of *derived \mathbb{C} -schemes* $\mathbf{dSch}_{\mathbb{C}}$ and *derived stacks* $\mathbf{dSt}_{\mathbb{C}}$. For this talk we are interested in derived schemes, though we are working on extensions to derived Artin stacks. Think of a derived \mathbb{C} -scheme \mathbf{X} as a geometric space which can be covered by Zariski open sets $\mathbf{Y} \subseteq \mathbf{X}$ with $\mathbf{Y} \simeq \mathrm{Spec} A$ for $A = (A, d)$ a commutative differential graded algebra (cdga) over \mathbb{C} .

Cotangent complexes of derived schemes and stacks

Pantev, Toën, Vaquié and Vezzosi (arXiv:1111.3209) defined a notion of *k-shifted symplectic structure* on a derived scheme or derived stack \mathbf{X} , for $k \in \mathbb{Z}$. This is complicated, but here is the basic idea. The *cotangent complex* $\mathbb{L}_{\mathbf{X}}$ of \mathbf{X} is an element of a derived category $L_{\mathrm{qcoh}}(\mathbf{X})$ of quasicoherent sheaves on \mathbf{X} . It has exterior powers $\Lambda^p \mathbb{L}_{\mathbf{X}}$ for $p = 0, 1, \dots$. The *de Rham differential* $d_{dR} : \Lambda^p \mathbb{L}_{\mathbf{X}} \rightarrow \Lambda^{p+1} \mathbb{L}_{\mathbf{X}}$ is a morphism of complexes, though not of $\mathcal{O}_{\mathbf{X}}$ -modules. Each $\Lambda^p \mathbb{L}_{\mathbf{X}}$ is a complex, so has an internal differential $d : (\Lambda^p \mathbb{L}_{\mathbf{X}})^k \rightarrow (\Lambda^p \mathbb{L}_{\mathbf{X}})^{k+1}$. We have $d^2 = d_{dR}^2 = d \circ d_{dR} + d_{dR} \circ d = 0$.

p -forms and closed p -forms

A p -form of degree k on \mathbf{X} for $k \in \mathbb{Z}$ is an element $[\omega^0]$ of $H^k(\Lambda^p \mathbb{L}_{\mathbf{X}}, d)$. A closed p -form of degree k on \mathbf{X} is an element

$$[(\omega^0, \omega^1, \dots)] \in H^k\left(\bigoplus_{i=0}^{\infty} \Lambda^{p+i} \mathbb{L}_{\mathbf{X}}[i], d + d_{dR}\right).$$

There is a projection $\pi : [(\omega^0, \omega^1, \dots)] \mapsto [\omega^0]$ from closed p -forms $[(\omega^0, \omega^1, \dots)]$ of degree k to p -forms $[\omega^0]$ of degree k .

Note that a closed p -form is not a special example of a p -form, but a p -form with an extra structure. The map π from closed p -forms to p -forms can be neither injective nor surjective.

Nondegenerate 2-forms and symplectic structures

Let $[\omega^0]$ be a 2-form of degree k on \mathbf{X} . Then $[\omega^0]$ induces a morphism $\omega^0 : \mathbb{T}_{\mathbf{X}} \rightarrow \mathbb{L}_{\mathbf{X}}[k]$, where $\mathbb{T}_{\mathbf{X}} = \mathbb{L}_{\mathbf{X}}^{\vee}$ is the tangent complex of \mathbf{X} . We call $[\omega^0]$ *nondegenerate* if $\omega^0 : \mathbb{T}_{\mathbf{X}} \rightarrow \mathbb{L}_{\mathbf{X}}[k]$ is a quasi-isomorphism.

If \mathbf{X} is a derived scheme then $\mathbb{L}_{\mathbf{X}}$ lives in degrees $(-\infty, 0]$ and $\mathbb{T}_{\mathbf{X}}$ in degrees $[0, \infty)$. So $\omega^0 : \mathbb{T}_{\mathbf{X}} \rightarrow \mathbb{L}_{\mathbf{X}}[k]$ can be a quasi-isomorphism only if $k \leq 0$, and then $\mathbb{L}_{\mathbf{X}}$ lives in degrees $[k, 0]$ and $\mathbb{T}_{\mathbf{X}}$ in degrees $[0, -k]$. If $k = 0$ then \mathbf{X} is a smooth classical scheme, and if $k = -1$ then \mathbf{X} is quasi-smooth.

A closed 2-form $\omega = [(\omega^0, \omega^1, \dots)]$ of degree k on \mathbf{X} is called a *k -shifted symplectic structure* if $[\omega^0] = \pi(\omega)$ is nondegenerate.

Calabi–Yau moduli schemes and moduli stacks

Pantev et al. prove that if Y is a Calabi–Yau m -fold and \mathcal{M} is a derived moduli scheme or stack of (complexes of) coherent sheaves on Y , then \mathcal{M} has a natural $(2 - m)$ -shifted symplectic structure ω . So Calabi–Yau 3-folds give -1 -shifted derived schemes or stacks.

We can understand the associated nondegenerate 2-form $[\omega^0]$ in terms of *Serre duality*. At a point $[E] \in \mathcal{M}$, we have $h^i(\mathbb{T}_{\mathcal{M}})|_{[E]} \cong \text{Ext}^{i-1}(E, E)$ and $h^i(\mathbb{L}_{\mathcal{M}})|_{[E]} \cong \text{Ext}^{1-i}(E, E)^*$. The Calabi–Yau condition gives $\text{Ext}^i(E, E) \cong \text{Ext}^{m-i}(E, E)^*$, which corresponds to $h^i(\mathbb{T}_{\mathcal{M}})|_{[E]} \cong h^i(\mathbb{L}_{\mathcal{M}}[2 - m])|_{[E]}$. This is the cohomology at $[E]$ of the quasi-isomorphism $\omega^0 : \mathbb{T}_{\mathcal{M}} \rightarrow \mathbb{L}_{\mathcal{M}}[2 - m]$.

Lagrangians and Lagrangian intersections

Let (\mathbf{X}, ω) be a k -shifted symplectic derived scheme or stack. Then Pantev et al. define a notion of *Lagrangian* \mathbf{L} in (\mathbf{X}, ω) , which is a morphism $\mathbf{i} : \mathbf{L} \rightarrow \mathbf{X}$ of derived schemes or stacks together with a homotopy $i^*(\omega) \sim 0$ satisfying a nondegeneracy condition, implying that $\mathbb{T}_{\mathbf{L}} \simeq \mathbb{L}_{\mathbf{L}/\mathbf{X}}[k - 1]$.

If \mathbf{L}, \mathbf{M} are Lagrangians in (\mathbf{X}, ω) , then the fibre product $\mathbf{L} \times_{\mathbf{X}} \mathbf{M}$ has a natural $(k - 1)$ -shifted symplectic structure.

If (S, ω) is a classical smooth symplectic scheme, then it is a 0-shifted symplectic derived scheme in the sense of PTVV, and if $L, M \subset S$ are classical smooth Lagrangian subschemes, then they are Lagrangians in the sense of PTVV. Therefore the (derived) Lagrangian intersection $L \cap M = L \times_S M$ is a -1 -shifted symplectic derived scheme.

2. A Darboux theorem for shifted symplectic schemes

Theorem (Brav, Bussi and Joyce arXiv:1305.6302)

Suppose (\mathbf{X}, ω) is a k -shifted symplectic derived scheme for $k < 0$. If $k \not\equiv 2 \pmod{4}$, then each $x \in \mathbf{X}$ admits a Zariski open neighbourhood $\mathbf{Y} \subseteq \mathbf{X}$ with $\mathbf{Y} \simeq \mathrm{Spec} A$ for (A, d) an explicit cdga generated by graded variables x_j^{-i}, y_j^{k+i} for $0 \leq i \leq -k/2$, and $\omega|_{\mathbf{Y}} = [(\omega^0, 0, 0, \dots)]$ where x_j^l, y_j^l have degree l , and

$$\omega^0 = \sum_{i=0}^{\lfloor -k/2 \rfloor} \sum_{j=1}^{m_i} d_{dR} Y_j^{k+i} d_{dR} X_j^{-i}.$$

Also the differential d in (A, d) is given by Poisson bracket with a Hamiltonian H in A of degree $k + 1$.

If $k \equiv 2 \pmod{4}$, we have two statements, one étale local with ω^0 standard, and one Zariski local with the components of ω^0 in the degree $k/2$ variables depending on some invertible functions.

The case of -1 -shifted symplectic derived schemes

When $k = -1$ the Hamiltonian H in the theorem has degree 0. Then the theorem reduces to:

Corollary

Suppose (\mathbf{X}, ω) is a -1 -shifted symplectic derived scheme. Then (\mathbf{X}, ω) is Zariski locally equivalent to a derived critical locus $\mathrm{Crit}(H : U \rightarrow \mathbb{A}^1)$, for U a smooth classical scheme and $H : U \rightarrow \mathbb{A}^1$ a regular function. Hence, the underlying classical scheme $X = t_0(\mathbf{X})$ is Zariski locally isomorphic to a classical critical locus $\mathrm{Crit}(H : U \rightarrow \mathbb{A}^1)$.

Combining this with results of Pantev et al. from §1 gives:

Corollary

Let Y be a Calabi–Yau 3-fold and \mathcal{M} a classical moduli scheme of coherent sheaves, or complexes of coherent sheaves, on Y . Then \mathcal{M} is Zariski locally isomorphic to the critical locus $\text{Crit}(H : U \rightarrow \mathbb{A}^1)$ of a regular function on a smooth scheme.

N.B. Heuristically, \mathcal{M} is the critical locus (in infinite-dimensions) of the holomorphic Chern–Simons functional.

Corollary

Let (S, ω) be a classical smooth symplectic scheme, and $L, M \subseteq S$ be smooth algebraic Lagrangians. Then the intersection $L \cap M$, as a subscheme of S , is Zariski locally isomorphic to the critical locus $\text{Crit}(H : U \rightarrow \mathbb{A}^1)$ of a regular function on a smooth scheme.

The case of -2 -shifted symplectic derived schemes

When $k = -2$ the theorem implies:

Corollary

Suppose (\mathbf{X}, ω) is a -2 -shifted symplectic derived scheme. Then for each x in the classical scheme $X = t_0(\mathbf{X})$, there exist a smooth scheme U , a vector bundle $E \rightarrow U$, a nondegenerate quadratic form q on E , and a section $s \in H^0(E)$ with $q(s, s) = 0$, such that a Zariski open neighbourhood of x in X is isomorphic to the closed subscheme $s^{-1}(0)$ in U .

If \mathbf{X} is a derived moduli scheme of simple coherent sheaves S on a Calabi–Yau 4-fold and $x = [S]$, we may take $\dim U = \dim \text{Ext}^1(S, S)$ and $\text{rank } E = \dim \text{Ext}^2(S, S)$. This gives new local models for 4-Calabi–Yau moduli schemes.

D–T style counting invariants for Calabi–Yau 4-folds?

In work in progress with Dennis Borisov, I hope to prove:

- Given a -2 -shifted symplectic derived \mathbb{C} -scheme (\mathbf{X}, ω) , define the structure \mathbf{X}_{dm} of a derived smooth manifold (d -manifold) on the underlying topological space X .
- ‘Orientations’ on (\mathbf{X}, ω) correspond to orientations on \mathbf{X}_{dm} .
- If (\mathbf{X}, ω) is compact (proper) and oriented, we get a *virtual cycle* on \mathbf{X}_{dm} (not necessarily of dimension zero).
- Hence, define new invariants ‘counting’ stable coherent sheaves on Calabi–Yau 4-folds with ‘orientation data’, like D–T invariants, or (a better analogy?) complexified Donaldson invariants.

Question: do these invariants have an interpretation in String Theory? Maybe to do with reducing from holonomy $SU(4)$ ($N = 2$ supersymmetries) to holonomy $Spin(7)$ ($N = 1$ supersymmetry)?

3. D-critical loci

Theorem (Joyce arXiv:1304.4508)

Let X be a classical \mathbb{C} -scheme. Then there exists a canonical sheaf \mathcal{S}_X of \mathbb{C} -vector spaces on X , such that if $R \subseteq X$ is Zariski open and $i : R \hookrightarrow U$ is a closed embedding of R into a smooth \mathbb{C} -scheme U , and $I_{R,U} \subseteq \mathcal{O}_U$ is the ideal vanishing on $i(R)$, then

$$\mathcal{S}_X|_R \cong \text{Ker} \left(\frac{\mathcal{O}_U}{I_{R,U}^2} \xrightarrow{d} \frac{T^*U}{I_{R,U} \cdot T^*U} \right).$$

Also \mathcal{S}_X splits naturally as $\mathcal{S}_X = \mathcal{S}_X^0 \oplus \mathbb{C}_X$, where \mathbb{C}_X is the sheaf of locally constant functions $X \rightarrow \mathbb{C}$.

The meaning of the sheaves $\mathcal{S}_X, \mathcal{S}_X^0$

If $X = \text{Crit}(f : U \rightarrow \mathbb{A}^1)$ then taking $R = X$, $i = \text{inclusion}$, we see that $f + I_{X,U}^2$ is a section of \mathcal{S}_X . Also $f|_{X^{\text{red}}} : X^{\text{red}} \rightarrow \mathbb{C}$ is locally constant, and if $f|_{X^{\text{red}}} = 0$ then $f + I_{X,U}^2$ is a section of \mathcal{S}_X^0 . Note that $f + I_{X,U} = f|_X$ in $\mathcal{O}_X = \mathcal{O}_U/I_{X,U}$. The theorem means that $f + I_{X,U}^2$ makes sense *intrinsically on X* , without reference to the embedding of X into U .

That is, if $X = \text{Crit}(f : U \rightarrow \mathbb{A}^1)$ then we can remember f up to second order in the ideal $I_{X,U}$ as a piece of data on X , not on U . Suppose $X = \text{Crit}(f : U \rightarrow \mathbb{A}^1) = \text{Crit}(g : V \rightarrow \mathbb{A}^1)$ is written as a critical locus in two different ways. Then $f + I_{X,U}^2, g + I_{X,V}^2$ are sections of \mathcal{S}_X , so we can ask whether $f + I_{X,U}^2 = g + I_{X,V}^2$. This gives a way to compare isomorphic critical loci in different smooth classical schemes.

The definition of d-critical loci

Definition (Joyce arXiv:1304.4508)

An (*algebraic*) *d-critical locus* (X, s) is a classical \mathbb{C} -scheme X and a global section $s \in H^0(\mathcal{S}_X^0)$ such that X may be covered by Zariski open $R \subseteq X$ with an isomorphism $i : R \rightarrow \text{Crit}(f : U \rightarrow \mathbb{A}^1)$ identifying $s|_R$ with $f + I_{R,U}^2$, for f a regular function on a smooth \mathbb{C} -scheme U .

That is, a d-critical locus (X, s) is a \mathbb{C} -scheme X which may Zariski locally be written as a critical locus $\text{Crit}(f : U \rightarrow \mathbb{A}^1)$, and the section s remembers f up to second order in the ideal $I_{X,U}$. We also define *complex analytic d-critical loci*, with X a complex analytic space locally modelled on $\text{Crit}(f : U \rightarrow \mathbb{C})$ for U a complex manifold and f holomorphic.

Orientations on d-critical loci

Theorem (Joyce arXiv:1304.4508)

Let (X, s) be an algebraic d-critical locus and X^{red} the reduced \mathbb{C} -subscheme of X . Then there is a natural line bundle $K_{X,s}$ on X^{red} called the **canonical bundle**, such that if (X, s) is locally modelled on $\text{Crit}(f : U \rightarrow \mathbb{A}^1)$ then $K_{X,s}$ is locally modelled on $K_U^{\otimes 2}|_{\text{Crit}(f)^{\text{red}}}$, for K_U the usual canonical bundle of U .

Definition

Let (X, s) be a d-critical locus. An *orientation* on (X, s) is a choice of square root line bundle $K_{X,s}^{1/2}$ for $K_{X,s}$ on X^{red} .

This is related to *orientation data* in Kontsevich–Soibelman 2008.

Orientations, spin structures, and String Theory

Orientations (or *orientation data*) are an extra structure on 3-Calabi–Yau moduli spaces \mathcal{M} . The obstruction to existence of an orientation lies in $H^2(\mathcal{M}, \mathbb{Z}_2)$, and if they exist, the family of orientations is parametrized by $H^1(\mathcal{M}, \mathbb{Z}_2)$. Orientations are essential for categorified and motivic Donaldson–Thomas theory. There is a version of orientations for 4-Calabi–Yau moduli spaces \mathcal{M} , obstruction in $H^1(\mathcal{M}, \mathbb{Z}_2)$, family parametrized by $H^0(\mathcal{M}, \mathbb{Z}_2)$, needed for 4-C–Y counting invariants, as in §2.

There is also a notion of *spin structure* on 3-C–Y moduli spaces \mathcal{M} , with obstruction in $H^3(\mathcal{M}, \mathbb{Z}_2)$, and family of spin structures parametrized by $H^2(\mathcal{M}, \mathbb{Z}_2)$. They appear to be essential in double categorification using matrix factorization categories.

Question: what is the meaning of orientations and spin structures in String Theory? I think they should be important.

A truncation functor from -1 -symplectic derived schemes

Theorem (Brav, Bussi and Joyce arXiv:1305.6302)

Let (\mathbf{X}, ω) be a -1 -shifted symplectic derived scheme. Then the classical scheme $X = t_0(\mathbf{X})$ extends naturally to an algebraic d -critical locus (X, s) . The canonical bundle of (X, s) satisfies $K_{X,s} \cong \det \mathbb{L}_{\mathbf{X}}|_{X^{\text{red}}}$.

That is, we define a *truncation functor* from -1 -shifted symplectic derived schemes to algebraic d -critical loci. Examples show this functor is not full. Think of d -critical loci as *classical truncations* of -1 -shifted symplectic derived schemes.

An alternative semi-classical truncation, used in D–T theory, is *schemes with symmetric obstruction theory*. D -critical loci appear to be better, for both categorified and motivic D–T theory.

The corollaries in §2 imply:

Corollary

Let Y be a Calabi–Yau 3-fold and \mathcal{M} a classical moduli scheme of coherent sheaves, or complexes of coherent sheaves, on Y . Then \mathcal{M} extends naturally to a d -critical locus (\mathcal{M}, s) . The canonical bundle satisfies $K_{\mathcal{M},s} \cong \det(\mathcal{E}^\bullet)|_{\mathcal{M}^{\text{red}}}$, where $\phi : \mathcal{E}^\bullet \rightarrow \mathbb{L}_{\mathcal{M}}$ is the natural (symmetric) obstruction theory on \mathcal{M} .

Corollary

Let (S, ω) be a classical smooth symplectic scheme, and $L, M \subseteq S$ be smooth algebraic Lagrangians. Then $X = L \cap M$ extends naturally to a d -critical locus (X, s) . The canonical bundle satisfies $K_{X,s} \cong K_L|_{X^{\text{red}}} \otimes K_M|_{X^{\text{red}}}$. Hence, choices of square roots $K_L^{1/2}, K_M^{1/2}$ give an orientation for (X, s) .

4. Categorification using perverse sheaves

Theorem (Brav, Bussi, Dupont, Joyce, Szendrői arXiv:1211.3259)

Let (X, s) be an algebraic d -critical locus, with an orientation $K_{X,s}^{1/2}$. Then we can construct a canonical perverse sheaf $P_{X,s}^\bullet$ on X , such that if (X, s) is locally modelled on $\text{Crit}(f : U \rightarrow \mathbb{A}^1)$, then $P_{X,s}^\bullet$ is locally modelled on the perverse sheaf of vanishing cycles $\mathcal{P}\mathcal{V}_{U,f}^\bullet$ of (U, f) .

Similarly, we can construct a natural \mathcal{D} -module $D_{X,s}^\bullet$ on X , and a natural mixed Hodge module $M_{X,s}^\bullet$ on X .

The first corollary in §2 implies:

Corollary

Let Y be a Calabi–Yau 3-fold and \mathcal{M} a classical moduli scheme of coherent sheaves, or complexes of coherent sheaves, on Y , with (symmetric) obstruction theory $\phi : \mathcal{E}^\bullet \rightarrow \mathbb{L}_{\mathcal{M}}$. Suppose we are given a square root $\det(\mathcal{E}^\bullet)^{1/2}$ for $\det(\mathcal{E}^\bullet)$ (i.e. **orientation data**, K -S). Then we have a natural perverse sheaf $P_{\mathcal{M},s}^\bullet$ on \mathcal{M} .

The hypercohomology $\mathbb{H}^*(P_{\mathcal{M},s}^\bullet)$ is a finite-dimensional graded vector space. The pointwise Euler characteristic $\chi(P_{\mathcal{M},s}^\bullet)$ is the Behrend function $\nu_{\mathcal{M}}$ of \mathcal{M} . Thus

$$\sum_{i \in \mathbb{Z}} (-1)^i \dim \mathbb{H}^i(P_{\mathcal{M},s}^\bullet) = \chi(\mathcal{M}, \nu_{\mathcal{M}}) = DT(\mathcal{M}).$$

That is, $\mathbb{H}^*(P_{\mathcal{M},s}^\bullet)$ is a categorification of the Donaldson–Thomas invariant $DT(\mathcal{M})$.

Question: is $\mathbb{H}^*(P_{\mathcal{M},s}^\bullet)$ a mathematical definition of a space of BPS states in String Theory? Relevance of orientations?

Categorifying Lagrangian intersections

The second corollary in §2 implies:

Corollary

Let (S, ω) be a classical smooth symplectic scheme of dimension $2n$, and $L, M \subseteq S$ be smooth algebraic Lagrangians, with square roots $K_L^{1/2}, K_M^{1/2}$ of their canonical bundles. Then we have a natural perverse sheaf $P_{L,M}^\bullet$ on $X = L \cap M$.

We think of the hypercohomology $\mathbb{H}^*(P_{L,M}^\bullet)$ as being morally related to the *Lagrangian Floer cohomology* $HF^*(L, M)$ by

$$\mathbb{H}^i(P_{L,M}^\bullet) \approx HF^{i+n}(L, M).$$

We are working on defining 'Fukaya categories' for algebraic/complex symplectic manifolds using these ideas.

Relation of these ideas to Kapustin and Rozansky 2-category $\check{L}(S)$ of complex symplectic manifold in String Theory, arXiv:0909.3642?

5. Motivic Milnor fibres

By similar arguments to those used to construct the perverse sheaves $P_{X,s}^\bullet$ in §4, we prove:

Theorem (Bussi, Joyce and Meinhardt arXiv:1305.6428)

Let (X, s) be an algebraic d -critical locus with an orientation $K_{X,s}^{1/2}$. Then we can construct a natural motive $MF_{X,s}$ in a certain ring of $\hat{\mu}$ -equivariant motives $\bar{M}_X^{\hat{\mu}}$ on X , such that if (X, s) is locally modelled on $\text{Crit}(f : U \rightarrow \mathbb{A}^1)$, then $MF_{X,s}$ is locally modelled on $\mathbb{L}^{-\dim U/2}([X] - MF_{U,f}^{\text{mot}})$, where $MF_{U,f}^{\text{mot}}$ is the **motivic Milnor fibre** of f .

Relation to motivic D–T invariants

The first corollary in §2 implies:

Corollary

*Let Y be a Calabi–Yau 3-fold and \mathcal{M} a classical moduli scheme of coherent sheaves, or complexes of coherent sheaves, on Y , with (symmetric) obstruction theory $\phi : \mathcal{E}^\bullet \rightarrow \mathbb{L}_{\mathcal{M}}$. Suppose we are given a square root $\det(\mathcal{E}^\bullet)^{1/2}$ for $\det(\mathcal{E}^\bullet)$ (i.e. **orientation data**, K–S). Then we have a natural motive $MF_{\mathcal{M},s}^\bullet$ on \mathcal{M} .*

This motive $MF_{\mathcal{M},s}^\bullet$ is essentially the motivic Donaldson–Thomas invariant of \mathcal{M} defined (partially conjecturally) by Kontsevich and Soibelman 2008. K–S work with motivic Milnor fibres of formal power series at each point of \mathcal{M} . Our results show the formal power series can be taken to be a regular function, and clarify how the motivic Milnor fibres vary in families over \mathcal{M} .