

## Abstract

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We consider the theory of algebraically closed fields of characteristic zero with raising to powers operations. In an earlier paper we have described complete first-order theories of such a structures, provided that a diophantine conjecture CIT does hold. Here we get rid of this assumption. The theory of complex numbers with raising to real powers satisfies the description if Schanuel's conjecture holds. In particular, we have proved that a (weaker) version of Schanuel's conjecture implies that every well-defined system of exponential sums with real exponents has a solution. Recent result by Bays, Kirby and Wilkie states that the required version of Schanuel's conjecture holds for almost every choice of exponents. It follows that for the corresponding choice of real exponents we have an unconditional description of the first order theory of the complex numbers with raising to these powers.

# The theory of exponential sums

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## 1 Introduction

An exponential sum in variables  $x_1, \dots, x_n$  over the complex numbers with exponents in  $K \subseteq \mathbb{C}$  has the form

$$f(x) = \sum_{i=1}^m a_i \exp r_i x$$

where  $a_i \in \mathbb{C}$  and  $r_i x = \sum_{j=1}^n r_{ij} x_j$ ,  $r_{ij} \in K$ . When  $K = \mathbb{Z}$  this is equivalent to a Laurent polynomial in variables  $y_j = \exp x_j$ .

Systems of exponential sum equations with  $K = \mathbb{R}$  were studied in [10],[8], [11] by differential-geometric methods. In [14] we started a study of the general case using model theoretic approach. In [15] we have introduced a system of axioms for an abstract structure based on an algebraically closed field  $\mathbb{F}$  of characteristic zero with a formal operation  $x \mapsto \exp r x$ ,  $r \in K$ , in which exponential sums with exponents in  $K$  make sense (a field with raising to powers.). This theory given axiomatically resolves all the algebraic questions about systems of exponential sums, such as when a system has at least  $n$  distinct solutions. The problem with the axiom system in [15] is that it only makes sense under a diophantine conjecture CIT (conjecture on intersections of algebraic varieties with tori) stated in that paper, which was also independently formulated in an equivalent form by E.Bombieri, D.Masser and U.Zannier in [4]. This conjecture generalises the Mordell-Lang conjecture and at present remains open, besides some special cases.

The main result of the present paper is a reformulation of the axioms for a field with raising to powers  $K$  in such a way that CIT is not needed. Instead we use M.Laurent's theorem [7] that proves Lang's conjecture for the group  $\mathbb{G}_m^n(\mathbb{C})$ , along with a theorem by J.Ax

[1] stating a form of Schanuel's conjecture for differential fields. We prove that the axiom system is consistent, complete and for each  $K$  the theory is model-theoretically quite "tame", or more precisely, the theory is superstable and near model complete. The latter can be reformulated in geometric terms: Start with the family of *projective* subsets of  $\mathbb{F}^n$ , all  $n$ , obtained by applying projections  $\text{pr} : \mathbb{F}^n \rightarrow \mathbb{F}^{n-m}$  to zero-sets of exponential sums in  $\mathbb{F}^n$  and construct new sets applying Boolean operations to projective sets. The resulting family of sets will be closed under projections.

What are possible applications of these result outside model-theoretic context? An obvious idea is to try to establish that the axioms hold for  $\mathbb{F} = \mathbb{C}$ , at least for some special cases of this. Results in this direction are proved in this paper. Before formulating these we discuss the axiomatisation.

The first observation systematically followed in [14] is that the theory of exponential sums heavily depends on Schanuel's conjecture. A corollary to Schanuel's conjecture relevant to raising to powers can be formulated as follows. Let  $K \subset \mathbb{C}$  be a subfield of finite transcendence degree  $d = \text{tr.deg}(K)$ . Then, for any  $x_1, \dots, x_n \in \mathbb{C}$

$$\text{lin.dim}_K(x_1, \dots, x_n) + \text{tr.deg}(e^{x_1}, \dots, e^{x_n}) - \text{lin.dim}_{\mathbb{Q}}(x_1, \dots, x_n) + d \geq 0,$$

where  $\text{lin.dim}_K(x_1, \dots, x_n)$  is the  $K$ -linear dimension, and similarly for  $\mathbb{Q}$ .

This we call the Schanual conjecture for  $\mathbb{C}^K$ , where  $\mathbb{C}^K$  stands for the field of complex numbers with raising to powers  $K \subseteq \mathbb{C}$ .

We prove (Theorem 6.2) that in case  $K \subseteq \mathbb{R}$ , if the corresponding version of Schanuel's conjecture holds,  $\mathbb{C}^K$  is *exponentially-algebraically closed*, that is any well-defined system of exponential sums equations with exponents in  $K$  has a solution in  $\mathbb{C}$ . The conjecture that  $\mathbb{C}_{\text{exp}}$ , the field of complex numbers with  $\text{exp}$ , is exponentially-algebraically closed was made in [16]. This has been studied in [6], [12] and elsewhere including attempts to refute the conjecture. Theorem 6.2 brings hopes that in general exponential-algebraic closedness follows from Schanuel's conjecture. So far we don't know if this is true even for  $\mathbb{C}^K$  when  $K$  is not a subfield of the reals.

Another important corollary of the main theorem (Theorem 4.16) states that under Schanuel's conjecture for  $\mathbb{C}^K$  solutions to an overdetermined system of exponential sums equations lie in a finitely many, modulo  $2\pi i$ , cosets of proper  $\mathbb{Q}$ -linear subspaces. Moreover, there is

a bound on the number of such cosets, uniform in coefficients of the system (but possibly not on the exponents).

Finitely, we invoke a recent result by M.Bays, J.Kirby and A.Wilkie that implies that for "almost any" tuple  $\lambda$  in  $\mathbb{C}$ , for  $K = \mathbb{Q}(\lambda)$ , the structure  $\mathbb{C}^K$  satisfies the corresponding version of Schanuel's conjecture. Thus, the above theorems are applicable to such  $\mathbb{C}^K$  unconditionally. In particular, when also  $\lambda \subseteq \mathbb{R}$ , we know the complete theory of  $\mathbb{C}^K$ .

Of course, the Mordell-Lang conjecture is confirmed in full generality now and, as in [15], one can easily replace  $F^\times$  by any semiabelian variety  $\mathbf{A}$  and carry out the same construction and axiomatisation since also a corresponding analogue of Ax's Theorem and its corollaries is available. More precisely, one needs the following (weak CIT) to hold for  $\mathbf{A}$ .

**1.1 Theorem** (J.Kirby, [9]) *Let  $\mathbf{A}$  be a complex semiabelian variety of dimension  $g$  and  $\exp : \mathbb{C}^g \rightarrow \mathbf{A}$  the universal covering map. Given  $W(e) \subseteq \mathbf{A}^n$ , with  $W \subseteq \mathbf{A}^{n+l}$  algebraic subvariety defined over  $k$  and  $e \in \mathbf{A}^l$  there are finitely many codimension 1  $\text{End}\mathbf{A}$ -linear subspaces  $\mu(W) = \{M_1, \dots, M_m\}$  of  $\mathbb{C}^{ng}$  such that for any  $\text{End}\mathbf{A}$ -linear subspace  $N \subseteq \mathbb{C}^{ng}$ ,  $b \in \mathbf{A}^l$ , and any positive dimensional atypical component  $S$  of the intersection  $W(e) \cap \exp(N) \cdot b$  there is  $M \in \mu(W)$  and  $s \in S$  such that  $S \subseteq \exp(M) \cdot s$ .*

Here, *atypical* for an irreducible component  $S$  of the intersection of algebraic subvarieties  $W(e)$  and  $\exp(N) \cdot b$  of  $\mathbf{A}^n$  (observe that  $\exp(N)$  is an algebraic subgroup of  $\mathbf{A}^n$ ) means that

$$\dim S > \dim W(e) + \dim \exp(N) - \dim \mathbf{A}^n.$$

To prove our main result in full generality, for semi-abelian  $\mathbf{A}$ , we would need to consider  $\mathbb{C}^g$  as an  $\text{End}\mathbf{A}$ -module which, for  $g > 1$ , contains divisors of zero and makes the linear structure on  $\mathbb{C}^g$  more involved. This would complicate definitions and some argument, without visible advantages for applications. But the proof below goes through practically without changes for any elliptic curve without complex multiplication defined over  $\mathbb{Q}$ .

## 2 Definitions and notation

**2.1** This section along with definitions and notations discusses basic ingredients of Hrushovski's construction which is standard enough, so

the reader can guess the proofs if they seem too short or are absent.

We use here some of the terminology of [14], slightly improved, where we discussed  $K$ -linear and affine spaces, tori and their intersections with algebraic varieties.

For technical reasons we find it more convenient to represent the two-sorted structures  $(V, F^\times)$  in the equivalent way as one sorted structures in the language  $\mathcal{L}_K$  which is the extension of the language of vector spaces over  $\mathbb{Q}$  by:

- an equivalence relation  $E$ ,
- $n$ -ary predicates  $L(x_1, \dots, x_n)$  for linear subspaces  $L \subseteq V^n$  given by a set of  $K$ -linear equations in  $x_1, \dots, x_n$ ,
- $n$ -ary predicates  $EW$  for algebraic varieties  $W \subseteq (F^\times)^n$  definable and irreducible over  $\mathbb{Q}$ .

The interpretation can be explained in the above mentioned terms as follows:

- $E(x, y) \equiv [\exp(x) = \exp(y)]$ ,
- $L(x_1, \dots, x_n) \equiv [\langle x_1, \dots, x_n \rangle \in L]$ ,
- $EW(x_1, \dots, x_n) \equiv [\langle \exp(x_1), \dots, \exp(x_n) \rangle \in W]$ .

**2.2 Definition**  $\mathcal{E}^K$  is the class of structures  $\mathbb{F}^K$  in language  $\mathcal{L}_K$  with axioms saying that  $V$  is an infinite-dimensional vector space over  $K$ ,  $E$  is an equivalence relation on  $V$  which is congruent with respect to the relations  $EW(x_1, \dots, x_n)$ ,  $F^\times = V/E$  can be identified with the group of the multiplicative group  $F^\times$ , of a field of characteristic zero, and the predicates  $EW$  define its algebraic varieties over  $\mathbb{Q}$ . The canonical mapping

$$\exp : V \rightarrow F^\times$$

is a surjective homomorphism of the additive group of  $V$  onto the group  $F^\times$ .

We denote PK the underlying set of axioms (*powered field with exponents in  $K$* ).

**Notation** For finite  $X, X' \subseteq V$  and  $Y, Y' \subseteq F^\times$  denote

$\text{lin.dim}_K(X)$ , the dimension of the vector space  $\text{span}_K(X)$  generated by  $X$  over  $K$ ;

$\text{lin.dim}_\mathbb{Q}(X)$ , the dimension of the vector space  $\text{span}_\mathbb{Q}(X)$  generated by  $X$  over  $\mathbb{Q}$ ;

$\text{tr.deg}(Y)$ , the transcendence degree of  $Y$ ;  
 $\delta^K(X)$ , **the predimension** of finite  $X \subseteq V$  :

$$\delta^K(X) = \text{lin.dim}_K(X) + \text{tr.deg}(\exp(X)) - \text{lin.dim}_{\mathbb{Q}}(X);$$

$$\delta^K(X/X') = \delta^K(X \cup X') - \delta^K(X');$$

For infinite  $Z \subseteq V$  and  $k \in \mathbb{Z}$ ,  $\delta^K(X/Z) \geq k$  by definition means that for any  $Y \subseteq_{\text{fin}} Z$  there is  $Y \subseteq_{\text{fin}} Y' \subseteq Z$  such that  $\delta^K(X/Y') \geq k$ , and  $\delta^K(X/Z) = k$  means  $\delta^K(X/Z) \geq k$  and not  $\delta^K(X/Z) \geq k + 1$ .

We recall that  $A \subset V$  is said to be **self-sufficient** in  $\mathbb{F}^K$  if  $\delta^K(X/A) \geq 0$  for all finite  $X \subseteq V$ . This is written as

$$A \leq \mathbb{F}^K.$$

**2.3** We let also

$$\text{lin.dim}_K(X/X') = \text{lin.dim}_K(X \cup X') - \text{lin.dim}_K(X');$$

$$\text{tr.deg}(Y/Y') = \text{tr.deg}(Y \cup Y') - \text{tr.deg}(Y');$$

$$\text{lin.dim}_{\mathbb{Q}}(X/X') = \text{lin.dim}_{\mathbb{Q}}(X \cup X') - \text{lin.dim}_{\mathbb{Q}}(X');$$

$\ker$  is the name of a unary predicate of type  $EW : x \in \ker \equiv \exp(x) = 1$ . We write  $\ker|_A$  for the realisation of this predicate in  $A$ .

Given  $d \in \mathbb{Z}$ , let  $\mathcal{E}_d^K$  be the subclass of  $\mathcal{E}^K$  consisting of all  $\mathbb{F}^K$  satisfying the condition:

$$\delta^K(X/\ker) \geq -d \text{ for all finite } X \subseteq V,$$

where  $\ker = \ker|_{\mathbb{F}}$ .

**2.4** Denote  $\text{sub}\mathcal{E}^K$  the class of the substructures of the structures of  $\mathcal{E}^K$  in the language  $\mathcal{L}_K$ .

Given an integer  $d$ , let  $\text{sub}\mathcal{E}_d^K$  be the subclass of  $\text{sub}\mathcal{E}^K$  consisting of  $A$  which satisfy  $\delta^K(X) \geq -d$  for any finite  $X \subseteq A$ .

**Remark** For any structure  $A$  in  $\text{sub}\mathcal{E}^K$  and any  $X \subseteq \ker|_A$  in the structure

$$\delta^K(X) = 0$$

and thus  $\mathcal{E}_d^K$  is empty for  $d < 0$ .

On the other hand, for any  $K$  we have by Lemmas 2.7 and 2.8 of [15]

$$\mathcal{E}_0^K \neq \emptyset.$$

**2.5** Assuming  $F^\times = \mathbb{C}^\times$ , the algebraic torus, and the Schanuel conjecture we can make a better estimates for the minimal  $d$  such that  $\mathbb{C}^K$ , the complex numbers with raising to powers  $K \subseteq \mathbb{C}$ , belongs to  $\mathcal{E}_d^K$ .

By Schanuel's conjecture, for any finite  $X \subseteq \mathbb{C}$

$$\text{tr.deg}(X, \exp(X)) \geq \text{lin.dim}_{\mathbb{Q}}(X).$$

Recall that we assumed that  $\text{tr.deg}(K)$  is finite. Obviously,

$$\text{lin.dim}_K(X) + \text{tr.deg}(\exp X) + \text{tr.deg}(K) \geq \text{tr.deg}(X, \exp(X)).$$

Hence,

$$\delta^K(X) \geq \text{tr.deg}(X, \exp(X)) - \text{lin.dim}_{\mathbb{Q}}(X) - \text{tr.deg}(K) \geq -\text{tr.deg}(K).$$

Since  $\text{lin.dim}_K(\ker) = \text{lin.dim}_{\mathbb{Q}}(\ker) = 1$  for  $\ker = 2\pi i\mathbb{Z}$ , we have

$$\delta^K(X/\ker) \geq -(\text{tr.deg}(K) + 1).$$

Thus, under the conjecture,

$$\mathbb{C}^K \in \mathcal{E}_d^K, \text{ for } d = \text{tr.deg}(K) + 1.$$

**Remark.** In case of an elliptic curve one can produce similarly the estimate  $d = \text{tr.deg}(K) + 2$  assuming the corresponding analogue of Schanuel's conjecture (see Be).

**2.6** Given  $\mathbb{F}^K \in \mathcal{E}_d^K \setminus \mathcal{E}_{d-1}^K$  one can find a finite  $A \subseteq \mathbb{F}^K$  with

$$\delta^K(A/\ker) = -d. \tag{1}$$

By minimality

$$A \cup \ker \leq \mathbb{F}^K.$$

The equality (1) does not change if we extend  $A$  by elements of  $\ker$ . In case  $\delta^K(X)$  is bounded from below by, say  $-d'$ , for all finite  $X \subseteq \ker$ , in particular, if  $\ker$  is a finite rank group, then

$$\delta^K(Y) \leq -(d + d') \text{ for all finite } Y \subseteq \mathbb{F}.$$

It follows that there is a finite  $A_0 \subseteq \ker$  such that if  $A_0 \subseteq A$  and (1) holds then the value of  $\delta^K(A)$  reaches minimum and so

$$A \leq \mathbb{F}^K \tag{2}$$

that is

$$\delta^K(X/A) = \delta^K(X/A \cup \ker) \geq 0 \text{ for every } X \subseteq \mathbb{F}.$$

**2.7** We will assume throughout that  $A$  with the property (1) and (2) does exist, as is the case for  $\mathbb{C}^K$  under Schanuel's conjecture.

In fact, this is a form of Schanuel's conjecture for  $\mathbb{C}^K$  for a given  $K \subseteq \mathbb{C}$ .

**2.8 Definition** A structure  $\mathbb{F}^K$  in  $\mathcal{E}_d^K$  is said to be  $\mathcal{E}_d^K$ -**exponentially-algebraically closed** (e.a.c.) if for any  $\mathbb{F}_1^K \in \mathcal{E}_d^K$ , such that  $\mathbb{F}^K \leq \mathbb{F}_1^K$ , any finite quantifier-free type over  $\mathbb{F}^K$  which is realized in  $\mathbb{F}_1^K$  has a realization in  $\mathbb{F}^K$ .

Denote  $\mathcal{EC}_d^K$  the class of  $\mathcal{E}_d^K$ -exponentially-algebraically closed structures, or, in the shorter form,  $\mathcal{EC}^K$ .

Using the standard Fraisse construction in the class  $\mathcal{E}_d^K$  relative to the strong embedding  $\leq$  one can prove:

**2.9 Proposition** [Proposition 1 of [15]] For any  $\mathbb{F}^K$  in  $\mathcal{E}_d^K$  there exists an  $\mathcal{E}_d^K$ -e.a.c. structure containing  $\mathbb{F}^K$ .

More difficult is the following result, based on Theorem 1.1. Its proof using Ax's Theorem is given in [15].

**2.10 Proposition** [Corollaries 1 and 2, section 4 of [15]] There exists a set EC of first order  $\forall\exists$  axioms such that, for any  $\mathbb{F}^K \in \mathcal{E}_d^K$ ,

$$\mathbb{F}^K \models \text{EC} \text{ iff } \mathbb{F}^K \text{ is exponentially-algebraically closed.}$$

**2.11** For  $W \subseteq (\mathbb{F}^\times)^{n+l}$  an algebraic variety,  $b = \langle b_1, \dots, b_l \rangle$  denote

$$W(b) = \{ \langle x_1, \dots, x_n \rangle \in (\mathbb{F}^\times)^n : \langle x_1, \dots, x_n, b_1, \dots, b_l \rangle \in W \}.$$

A subspace  $L \subseteq V^n$  is said to be  $K$ -**linear** if there are  $k_{ij} \in K$  ( $i \leq r, j \leq n$ ) such that

$$L = \{ \langle x_1, \dots, x_n \rangle \in V^n : k_{i1}x_1 + \dots + k_{in}x_n = 0 \}.$$

Define  $\dim L = \text{co-rank}(k_{ij})$ , the co-rank of the matrix  $(k_{ij})$ .

Let  $L \subseteq V^{n+l}$  be a  $K$ -linear subspace,  $\bar{a} = \langle a_1, \dots, a_l \rangle$ . Let

$$L(a) = \{ \langle x_1, \dots, x_n \rangle \in V^n : \langle x_1, \dots, x_n, a_1, \dots, a_l \rangle \in L \}.$$

We call such an  $L(a)$  a  $K$ -**affine subspace defined over**  $a$ . The same terminology is applied for  $\mathbb{Q}$  instead of  $K$ .



**2.12 Lemma** A  $K$ -affine subspace  $L(a) \subseteq V^n$  can be represented equivalently and uniformly on  $a$  as

$$L(a) = L(0) + r(a), \quad r(a) \in V^n, \quad r \text{ is a } K\text{-linear map, } V^l \rightarrow V^n$$

and  $L(0)$  is a  $K$ -linear subspace.

Moreover, if  $r'$  is any  $K$ -linear map such that  $r'(a) \in L(a)$  for all  $a \in \text{pr}_{n+1\dots n+l}L$ , then also

$$L(a) = L(0) + r'(a).$$

**Proof** Let  $L$  be determined by the system of linear equations

$$\sum_{j=1}^n q_{ij}v_j + \sum_{s=1}^l k_{is}w_s = 0, \quad q_{ij}, k_{is} \in K. \quad (3)$$

Then the system

$$\sum_{j=1}^n q_{ij}v_j + \sum_{s=1}^l k_{is}a_s = 0,$$

determines  $L(a)$ . It follows that

$$\sum_{j=1}^n q_{ij}v_j = 0 \quad (4)$$

determines  $L(0)$ .

By linear algebra there is an  $n$ -tuple  $\{r_j(w_1, \dots, w_l) : j = 1, \dots, n\}$  of  $K$ -linear functions  $V^l \rightarrow V$  such that, if for a given  $(w_1, \dots, w_l)$  the system (3) is consistent, then  $v_j = r_j(w_1, \dots, w_l)$  gives a solution to the system. Hence,  $v - r(a)$  is a solution to the homogeneous system (4) iff  $v$  is a solution of the system (3) with  $w = a$ .

The 'moreover' statement follows immediately from the fact that  $r'(a) - r(a) \in L(0)$ .  $\square$

**2.13 Lemma** Given a  $K$ -linear  $L \subseteq V^{n+l}$  and  $0$  the zero of  $V^l$

- (i) there exists a unique maximal  $\mathbb{Q}$ -linear subspace  $N_L \subseteq L$ ;
- (ii)  $N_{L(0)} = N_L(0)$ ;
- (iii) given  $a \in \text{pr}_{n+1\dots n+l}L$  and  $q(a) \in L(a) \cap \text{span}_{\mathbb{Q}}(a)$  there exists a maximal  $\mathbb{Q}$ -affine subspace  $N_{L,q(a)}(a) \subseteq L(a)$  over  $\text{span}_{\mathbb{Q}}(a)$  containing  $q(a)$ , and in this case  $N_{L,q(a)}(a) = N_L(0) + q(a)$ .

**Proof** (i)  $N_L(0)$  exists since the sum of two  $\mathbb{Q}$ -linear subspaces of  $L(0)$  is again a  $\mathbb{Q}$ -linear subspace of  $L(0)$ .

(ii) Obviously,  $N_L(0)$  is a  $\mathbb{Q}$ -linear subspace of  $L(0)$ , so  $N_{L(0)} \supseteq N_L(0)$ .

$N_{L(0)}$  is a  $\mathbb{Q}$ -linear subspaces of  $L(0)$ , so  $N_{L(0)} \times \{0\}$  is a  $\mathbb{Q}$ -linear subspaces of  $L$ , hence  $N_{L(0)} \times \{0\} \subseteq N_L$  and  $N_{L(0)} \subseteq N_L(0)$ .

(iii)  $N_L(0) + q(a)$  is a  $\mathbb{Q}$ -affine subspace of  $L(0) + q(a) = L(a)$ . If  $M + q(a)$  is another  $\mathbb{Q}$ -affine subspace of  $L(a)$ , containing  $q(a)$  then  $M = (M + q(a)) - q(a) \subseteq L(0)$  and hence  $M \subseteq N_L(0)$ ,  $M + q(a) \subseteq N_L(0) + q(a)$ .  $\square$

### 3 Intersections in semi-abelian varieties

**3.1 Lemma.** In the statement of Theorem 1.1 we can assume that  $s \in \text{acl}(b, e)$ .

**Proof**  $S$  is an irreducible component of the set  $W(e) \cap \exp(N) \cdot b$  definable over  $(e, b)$  hence it is definable over  $\text{acl}(b, e)$ . Thus it contains points from the algebraically closed field  $\text{acl}(b, e)$ .  $\square$

**3.2 Proposition.** Given  $W \subseteq (\mathbb{F}^\times)^{n+l}$ , with  $W$  algebraic subvariety defined over  $\mathbb{Q}$  there are finitely many proper  $\mathbb{Q}$ -linear subspaces  $\pi(W) = \{M_1, \dots, M_m\}$  of  $V^n$  such that for any  $e, b \in (\mathbb{F}^\times)^l$  and a  $\mathbb{Q}$ -linear subspaces  $N \subseteq V^n$ , for any positive dimensional atypical component  $S$  of the intersection  $W(e) \cap \exp(N) \cdot b$  there is a  $M \in \pi(W)$  and  $s \in S \cap \text{acl}(e, b)$  such that  $S \subseteq \exp(M) \cdot s$  and  $S$  is a typical component of  $\exp(N) \cdot b \cap \exp(M) \cdot s \cap W(e)$  with respect to the group variety  $\exp(M) \cdot s$ .

**Proof** Notice first that by obvious transformations of  $W$  we can assume that the family  $\{W(e) : e \in (\mathbb{F}^\times)^l\}$  is invariant with respect to shifts by elements of  $(\mathbb{F}^\times)^l$ , that is, for every  $b, e \in (\mathbb{F}^\times)^l$  there is  $e' \in (\mathbb{F}^\times)^l$  such that

$$W(e) \cdot b = W(e').$$

By induction on the dimension of a proper algebraic subgroup  $P$  of  $(\mathbb{F}^\times)^n$ , for any algebraic subvariety  $W_P$  of  $P \subseteq (\mathbb{F}^\times)^l$  over  $\mathbb{Q}$  we construct a collection of proper algebraic subgroups  $\pi_P(W_P)$  of  $P$  such that the statement of the lemma holds for  $\exp(N) \cdot b \cap W_P(e) \subseteq P$ .

For  $\dim P = 1$  the statement is trivially true for there is no atypical components in any intersection.

Consider the general case. Assume by induction that  $\pi_P(W_P)$  has been constructed for  $\dim P < \dim(\mathbb{F}^\times)^n$ . Notice that by invariance  $\pi_P(W_P)$  will be the same if we replace  $P$  by  $P \cdot b$ , for  $b \in (\mathbb{F}^\times)^l$  a parameter.

Assume that for all  $P \subset (\mathbb{F}^\times)^n$  proper,  $\pi_P(W_P)$  exists.

Given  $W \subseteq (\mathbb{F}^\times)^{n+l}$ , we let

$$\pi(W) = \bigcup_{Q=\exp(M), M \in \mu(W)} \pi_Q(W_Q) \cup \{M\},$$

where  $W_Q$  is  $W \cap (Q \times (\mathbb{F}^\times)^l)$ .

Now, if  $S \subseteq \exp(N) \cdot b \cap W(e)$  is atypical, then by Theorem 1.1  $S \subseteq \exp(M) \cdot s$  for some  $M \in \mu(W)$ . Hence  $S \subseteq \exp(N) \cdot b \cap W_Q(e)$ , for  $Q = \exp(M) \cdot s$ , and is a component of the intersection. In other words,  $S$  is a component of the intersection  $\exp(N \cap M) \cdot b' \cap W_Q(e)$  for some  $b' \in (\mathbb{F}^\times)^l$ . Either  $S$  is typical in this intersection with respect to  $Q = \exp(M)$ , and hence the statement of the proposition holds for the chosen  $M$  belonging to  $\pi_Q(W_Q)$  by definitions, or  $S$  is atypical but we can find by induction  $M' \in \pi_Q(W_Q) \subseteq \pi(W)$  such that  $S \subseteq \exp(M') \cdot s$  and is a typical component in the intersection with respect to  $\exp(M')$ .

□

We want to show now that under certain conditions we can factor out  $M$  in the previous proposition.

**3.3** Let  $M \subseteq V^n$  be a  $\mathbb{Q}$ -linear subspace. We see  $V^n$  as a subspace of  $V^{n+l}$ , equivalently  $V^{n+l} = V^n \dot{+} V^l$ , with  $a \in V^l$ ,  $e = \exp(a) \in (\mathbb{F}^\times)^l$ .

By definitions  $M$  is definable by  $c = \text{codim } M$  independent  $\mathbb{Q}$ -linear equations

$$m_{i1}v_1 + \cdots + m_{in}v_n = 0, \quad i = 1, \dots, c,$$

where  $(v_1, \dots, v_n) \in V^n$ . The same in matrix notation

$$\bar{m}\bar{v} = \bar{0}.$$

We now choose  $\bar{m}^\perp$ , a  $(n-c) \times n$ -matrix consisting of vectors  $(m_{j1}, \dots, m_{jn}) \in \mathbb{Q}^n$ ,  $j = c+1, \dots, n$ , which extend  $\bar{m}$  to the basis of the  $\mathbb{Q}$ -vector space  $\mathbb{Q}^n$ . We let  $M^\perp$  to be the set of solutions to the system

$$\bar{m}^\perp \bar{v} = \bar{0}.$$

This determines the definable decomposition

$$V^n = M \dot{+} M^\perp \cong M \dot{\times} V^n / M.$$

Applying  $\exp$  we correspondingly have the decomposition

$$(F^\times)^n = Q \cdot Q^\perp \cong Q \times (F^\times)^n / Q,$$

where  $Q = \exp(M)$  and  $Q^\perp = \exp(M^\perp)$ .

Note that the structure  $(M^\perp, \exp, Q^\perp)$  is by construction isomorphic to  $(V^c, \exp, (F^\times)^c)$  in language  $\mathcal{L}_K$ .

We denote the natural mappings

$$V^n \rightarrow M^\perp \text{ and } (F^\times)^n \rightarrow Q^\perp$$

associated with the above decomposition as

$$v \mapsto v + M \text{ and } x \mapsto x \cdot Q,$$

correspondingly.

It is easy to see that  $x \mapsto x \cdot Q$  is a proper mapping on  $(F^\times)^n$  (and  $(F^\times)^{n+l}$ ) hence the images  $W / \exp M$  (that is  $W/Q$ ) and  $W(e) / \exp M$  are algebraic subvarieties of  $\exp M^\perp \times (F^\times)^l$  and  $\exp M^\perp$ , correspondingly.

The same algebraicity holds for  $S / \exp M$  and  $b \cdot \exp(N) / \exp M$ .

**3.4** On the other hand,  $\exp$  can be naturally extended to the quotient spaces

$$\exp : V^n / M \rightarrow (F^\times)^n / Q.$$

So,  $(V^n / M, \exp, (F^\times)^n / Q)$  and  $(V^{n+l} / M, \exp, (F^\times)^{n+l} / Q)$  are canonically isomorphic to  $(V^c, \exp, (F^\times)^c)$  and  $(V^{c+l}, \exp, (F^\times)^{c+l})$  correspondingly and hence, for  $(V, \exp, F^\times) \in \mathcal{E}_d^K$ ,  $u \in V^n$  and  $\vec{A} \in V^l$ ,  $A \leq \mathbb{F}^K$ ,

$$\delta^K(u+M) = \text{lin.dim}_K(u+M) + \text{tr.deg}(\exp(u+M)) - \text{lin.dim}_{\mathbb{Q}}(u+M) \geq d,$$

and

$$\delta^K(u+M/A) = \text{lin.dim}_K(u+M/A) + \text{tr.deg}(\exp(u+M) / \exp A) - \text{lin.dim}_{\mathbb{Q}}(u+M/A) \geq 0.$$

**3.5** We have also the decomposition

$$L(a) = L(\bar{0}) \cap M \dot{+} L/M(a) \tag{5}$$

where

$$L/M = L / (L \cap (M \times \{\bar{0}\})) \subseteq V^{n+l} / (M \times \{\bar{0}\}), \bar{0} \in V^l, L/M(a) \subseteq M^\perp.$$

Thus we can naturally identify  $L(a)/M$  with  $L/M(a)$ .

## 4 Axiomatizing $\mathcal{E}_d^K$ .

Fix  $A \subseteq V$  and consider pairs  $(L(a), W(\exp a))$ , where  $L$  is a  $K$ -affine subspace of  $V^n$  over  $a = \vec{A} \in V^l$  and  $W$  an algebraic subvariety of  $(\mathbb{F}^\times)^n$  over  $\mathbb{Q}$ .

**Definition** A pair  $(L(a), W(\exp a))$  is said to be **special** if  $L$  is not contained in any proper  $\mathbb{Q}$ -linear subspace of  $V^{n+l}$  and

$$\dim L(a) + \dim W(\exp a) < n. \quad (6)$$

(This corresponds to 0-*special* in the terminology of [15].)

**4.1** Let, for a  $\mathbb{Q}$ -subspace  $M$  of  $V^n$

$$d(W(\exp a), \exp(M)) = \min\{\dim(\bar{W}(\exp a) \cap \overline{w \cdot \exp M}) : w \in W(\exp a)\},$$

where  $\bar{W}$  and  $\overline{\exp M}$  is the closure in the ambient projective space.

Let

$$W^{\exp M}(\exp a) = \{w \in W(\exp a) : \dim(W(\exp a) \cap w \cdot \exp M) > d(W(\exp a), \exp M)\}.$$

Since minimal dimension fibers are located over an open subset

$W^{\exp M}(\exp a)$  is a proper closed subset of  $W(\exp a)$ ,  
maybe empty, if  $d(W(\exp a), \exp M) = \dim(W(\exp a) \cap \exp(x) \exp M)$ .

**4.2** Suppose that  $(L(a), W(\exp a))$  is special. Suppose  $M \subseteq L(0)$ . Consider the quotients  $M^\perp = V^n/M$ ,  $\exp(M)^\perp = (\mathbb{F}^\times)^n/\exp(M)$  and subsets  $L(a)/M$  and  $W(\exp a)/\exp(M)$ .

Then,  $\dim W(\exp a)/\exp(M) < n - \dim M$ , that is

$W(\exp a)/\exp(M)$  is a proper subvariety of  $(\mathbb{F}^\times)^n/\exp(M)$ .

Indeed, by addition formula,

$$\dim W(\exp a)/\exp(M) = \dim W(\exp a) - d(W(\exp a), \exp M)$$

and

$$d(W(\exp a), \exp M) \geq 0 > \dim W(\exp a) + \dim M - n,$$

since  $W(\exp a), L(a)$  is special.

**4.3** Assume  $A \leq \mathbb{F}^K$  is finite and let  $x \in V^n$ . We analyse first order consequences of this assumption. We aim to show also that the analysis yields the same conclusions and formulas when we replace  $A$  by  $B$  with  $\text{qftp}(A) = \text{qftp}(B)$ .

Suppose  $(L(a), W(\exp a))$  is **special**, Suppose  $x \in L(a)$  and  $\exp(x) \in W(\exp a)$ , in  $\mathbb{F}^K$  and  $A \leq \mathbb{F}^K$ .

Since

$$\text{lin. dim}_K(x/A) + \text{tr. deg}(\exp(x)/\exp(A)) - n \leq \dim L(a) + \dim W(\exp a) - n < 0$$

and, by  $A \leq \mathbb{F}^K$ ,

$$\delta^K(x/A) \geq 0,$$

we have  $\text{lin. dim}_{\mathbb{Q}}(x/A) < n$ , so  $x \wedge a \in N$  for some proper  $\mathbb{Q}$ -linear subspace of  $V^{n+l}$ , We assume  $N$  is minimal for  $x \wedge a$ .

We have  $\exp(x) \in S_x \subseteq \exp(N(a)) \cap W(\exp a)$ , where  $S_x$  is a component of  $\exp(N(a)) \cap W(\exp a)$ .

**Case 1.** The component  $S_x$  is of dimension 0.

Then  $\text{tr. deg}(\exp(x)/\exp(A)) = 0$ , which implies  $\text{lin. dim}_K(x/a) = \text{lin. dim}_{\mathbb{Q}}(x/a)$ , that is  $\dim N(a) = \dim N(a) \cap L(a)$  and so  $N(a) \subseteq L(a)$  is a  $\mathbb{Q}$ -affine subspace over  $a$ , thus  $N(a) = N_L(0) + q(a)$ , for some  $q(a) \in L(a) \cap \text{span}_{\mathbb{Q}}(a)$  (Lemma 2.13).

So

$$x \in N_L(0) + q(a) \text{ for some } q(a) \in L(a) \cap \text{span}_{\mathbb{Q}}(a).$$

**Subcase 1.1**  $d(W(\exp a), \exp(N_L(0))) < \dim(W(\exp a) \cap \exp(x + N_L(0)))$ .

Under this assumption  $W^{\exp(N_L(0))}(\exp a)$  is a proper closed subset of  $W(\exp a)$  containing  $\exp(x)$ , by 4.1.

Otherwise we have

**Subcase 1.2.**  $d(W(\exp a), \exp(N_L(0))) = \dim(W(\exp a) \cap \exp(x + N_L(0)))$ .

We have  $W^{\exp(N_L(0))}(\exp a) = \emptyset$  in this case.

Consider the quotients  $N_L^\perp(0) = V^n/N_L(0)$ ,  $\exp(N_L(0))^\perp = (\mathbb{F}^\times)^n/\exp(N_L(0))$  and subsets  $L/N_L(a)$  and  $W(\exp a)/\exp(N_L(0))$ . By 4.2  $W(\exp a)/\exp(N_L(0)) \subsetneq (\mathbb{F}^\times)^n/\exp(N_L(0))$ .

Obviously, for the  $x$  above,  $\exp(x/N_L(0))$  is a singleton in  $W(\exp a)/\exp(N_L(0))$  and is also equal to  $\exp(q(a)/N_L(0))$ .

Let

$$\Gamma_a = \{\exp(q(a) \wedge a) : q(a) \in \text{span}_Q(a)\}$$

This is a coset  $\Gamma^0 \cdot s(\exp a)$  of a finite rank subgroup  $\Gamma^0$  of  $(\mathbb{F}^\times)^{n+l}$  (depending on the choice of  $\exp a$ ).

By Laurent's Theorem there are finitely many, say  $k_a$ , cosets  $T_i(\exp a) \subseteq (\mathbb{F}^\times)^{n+l}$  of group subvarieties (tori)  $T_i \subseteq (\mathbb{F}^\times)^{n+l}$ ,  $T_i(\exp a) \subseteq W(\exp a)$  (note the notation,  $T_i(\exp a)$  as defined in 2.11) such that

$$\Gamma_a \cap W(\exp a) = \cup_{i \leq k_a} \Gamma_a \cap T_i(\exp a). \quad (7)$$

Hence, in this case

$$\exp(x/N_L(0)) \in \bigcup_{i \leq k_a} T_i(\exp a) \quad (8)$$

and  $T_i(\exp a) \subseteq W(\exp a)/\exp N_L(0) \subsetneq (\mathbb{F}^\times)^n/\exp(N_L(0))$ .

Note that (7) and (8) continue to hold with the same  $T_i$  and  $k_a$  if we replace  $a$  by  $b$  with  $\exp \frac{a}{n} \equiv \exp \frac{b}{n}$ , all  $n$ , in the field language, that is Galois conjugated.

**Case 2.**  $\dim S_x > 0$ . Then, by 3.2,  $S_x \subseteq c \cdot \exp(M)$ , for some  $\mathbb{Q}$ -linear subspace  $M \in \pi_W$  of  $V^{n+l}$ ,  $c \in \text{acl}(\exp a)$ , and  $S_x$  is typical in the intersection

$W(\exp a) \cap c \cdot \exp(M)$  with respect to  $c \cdot \exp(M)$ . The latter gives us, for  $a' = \ln c$ ,

$$\dim S_x = \dim(\exp(N(a)) \cap \exp(M+a')) + \dim(W(\exp a) \cap \exp(M+a')) - \dim \exp(M+a').$$

It is easy to see that  $\delta^K(x/Aa') \geq 0$  and hence we obtain

$$\dim(L(a) \cap N(a) \cap (M+a')) + \dim S_x - \dim(N(a) \cap (M+a')) \geq 0.$$

Combining with the above we get

$$\dim(L(a) \cap N(a) \cap (M+a')) + \dim(W(\exp a) \cap \exp(M+a')) - \dim \exp(M+a') \geq 0.$$

And so

$$\dim(L(0) \cap M) + \dim(W(\exp a) \cap \exp(M+a')) - \dim M \geq 0. \quad (9)$$

**Subcase 2.1**  $d(W(\exp a), \exp(M)) < \dim(W(\exp a) \cap c \cdot \exp(M))$ .

Under this assumption  $W^{\exp(M)}(\exp a)$  is a proper closed subset of  $W(\exp a)$  containing  $\exp(x)$ .

Otherwise, we have

**Subcase 2.2.**  $d(W(\exp a), \exp(M)) = \dim(W(\exp a) \cap c \cdot \exp(M))$ .

So,

$$W^{\exp(M)}(\exp a) = \emptyset. \quad (10)$$

We now apply the factorisation of 3.3-3.4.

Obviously, for our  $x$ ,

$$\exp(x/M) \in S_x / \exp(M) \cap \exp(L(a)/M)$$

and  $S_x / \exp(M)$  is a singleton in  $(\mathbb{F}^\times)^{n - \dim M}$  defined over the same parameters as  $S_x$ , that is over  $\text{acl}(\exp(A))$ .

Now we notice that

$$\begin{aligned} & \dim L/M(a) + \dim W(\exp a) / \exp M = \\ &= \dim L(a) - \dim L(0) \cap M + \dim W(\exp a) - d(W(\exp a), \exp(M)) = \\ &= [\dim L(a) + \dim W(\exp a)] - [\dim L(0) \cap M + d(W(\exp a), \exp(M))]. \end{aligned}$$

The sum in the first bracket is less than  $n$  by assumptions, and the sum in the second bracket is not less than  $\dim M$  by (9). Hence

$$\dim L/M(a) + \dim W(\exp a) / \exp M < n - \dim M,$$

that is *the pair is special*.

This means that after factorisation by  $M$  we are in case 1 again.

Hence either, as in subcase 1.1,

**4.4**  $W^{\exp(N_{L/M}(0))}(\exp a)$  is a proper closed subset of  $W(\exp a) / \exp M$  containing  $\exp(x + M)$ .

or, as in subcase 1.2,

**4.5**

$$\exp(x + N_{L/M}(0) + M) \in \bigcup_{i \leq k_a} T_i(\exp a),$$

for group subvarieties  $T_i$ ,

$$T_i(\exp a) \subseteq W(\exp a) / \exp(N_{L/M}(0) + M) \subsetneq (\mathbb{F}^\times)^n / \exp(N_{L/M}(0) + M).$$

Note again as in subcase 1.2 that the latter continues to hold with the same  $T_i$  if we replace  $a$  by  $b$  with  $\exp \frac{a}{n} \equiv \exp \frac{b}{n}$ , all  $n$ , in the field language, that is Galois conjugate.



**4.6** For  $L$  and  $W$  as above let, for  $a$  of the length equal to the size of  $A$ ,

$$\begin{aligned} \Phi_{L,W,A}(a, x) := & x \hat{\ } a \in L \ \& \ \exp(x \hat{\ } a) \in W \rightarrow \bigvee_{M \in \pi_W \vee M = N_L(0) \vee M = \{0\}} \\ & [\exp(x) \in W^{\exp M}(\exp a) \vee \exp(x + M) \in W^{\exp N_{L/M}}(\exp a) \vee \\ & \vee \exp(x + M) \in \bigcup_{i \leq k_a} \exp(N_{i,a,M})] \end{aligned}$$

This is a quantifier-free formula and, by the analysis above

$$A \leq \mathbb{F}^K \Rightarrow \mathbb{F}^K \models \forall x \Phi_{L,W,A}(\vec{A}, x).$$

**4.7 Consequence of the analysis.** Given  $A \leq \mathbb{F}^K$  and a special pair  $L, W$ , the ingredients of formula  $\Phi_{L,W,A}(a, x)$ , except for  $\exp(N_{i,a,M})$ , depend on  $A$  as parameters only. And  $\exp(N_{i,a,M})$ , as noted in cases 1.2 and 2.2 where these have been introduced, are of the form  $T_i(\exp \frac{a}{m})$ ,  $m \in \mathbb{N}$ ,  $T_i$  are group subvarieties (subtori) of  $(\mathbb{F}^\times)^{n+\ell}$  and  $T_i$  depend on the Galois type of  $\exp(\text{span}_Q A) \subseteq \mathbb{F}^\times$  only.

In particular, if

$$B \leq \mathbb{F}^K \ \text{and} \ \exp(\text{span}_Q A) \equiv_{\text{fields}} \exp(\text{span}_Q B)$$

then

$$\mathbb{F}^K \models \forall x \Phi_{L,W,A}(\vec{B}, x).$$

**4.8** We define a **strong embedding type** with variables  $Y$ ,  $|Y| = |A|$ ,  $\vec{Y} = y$ ,

$$\text{sttp}_A(y) := \text{qftp}_A(y) \cup \{\forall x \Phi_{L,W,A}(y, x) : (L(y), W(\exp y)) \text{ special}\},$$

where  $\text{qftp}_A(y)$  denotes the quantifier-free type of  $A$  in variables  $Y$ .

This is a type consisting of universal formulas.

Now we can reformulate 4.7

$$\mathbf{4.9} \ B \leq \mathbb{F}^K \ \text{and} \ \mathbb{F}^K \models \text{qftp}_A(B) \Rightarrow \mathbb{F}^K \models \text{sttp}_A(B).$$

**We assume below that  $\mathbb{F}^K \in \mathcal{E}_d^K$  and  $A$  has been chosen so that  $A \leq \mathbb{F}^K$  as well as  $A \cup \ker \leq \mathbb{F}^K$  as discussed in 2.7.**

**4.10 Lemma.**

$$\mathbb{F}^K \models \text{sttp}_A(B) \Rightarrow B \leq \mathbb{F}^K.$$

**Proof** Assume w.l.o.g. that  $x \in V^n$  is  $\mathbb{Q}$ -linearly independent over  $B$ ,  $a = \vec{B}$ ,  $L(a)$  is the minimal  $K$ -affine subspace over  $A$  containing  $x$ , and  $W(\exp a)$  the minimal algebraic variety over  $\exp(B)$  containing  $\exp(x)$ . Notice that under this choice  $\exp x$  is multiplicatively independent over  $\exp B$ .

We show that  $(L(a), W(\exp a))$  can not be special, thus proving  $\delta^K(x/B) \geq 0$ .

Indeed, if the pair were special,  $\forall x \Phi_{L,W,A}(a, x)$  would imply that  $x$  satisfies one of the conditions on the second or third line of the definition of  $\Phi_{L,W,A}(a, x)$ .

Any of the conditions on the second line contradicts the assumptions that  $W(\exp a)$  is the algebraic locus of  $\exp x$  over  $\exp B$ , since  $W^Q(\exp a)$ , for  $Q$  a group subvariety, is a proper subvariety of  $W(\exp a)$  by 4.1 and 4.4.

The condition on the third line can not hold because by 4.5 it would contradict the fact that  $\exp x$  is multiplicatively independent over  $\exp B$ .  $\square$

**4.11 Proposition.** The following two conditions are equivalent:

$$\mathbb{F}^K \models \text{sttp}_A(B) \tag{11}$$

and

$$B \leq \mathbb{F}^K \quad \& \quad \mathbb{F}^K \models \text{qftp}_A(B) \tag{12}$$

**Proof** Lemma 4.9 proves (12)  $\Rightarrow$  (11). The converse follows from Lemma 4.10 and the definition of  $\text{sttp}$ .  $\square$

**4.12** Let  $\mathbb{F}^K$  be a member of  $\mathcal{E}_d^K$  and  $A \subseteq \mathbb{F}^K$  be a finite subset containing generators of  $\ker(\exp)$  such that

$$\delta^K(A) = -d.$$

It follows that  $A \leq \mathbb{F}^K$ .

Let

$$\text{SCH}_A = \{ \exists X \bigwedge S(X) : S \subset \text{sttp}_A(X), \text{ finite}, |X| = n \},$$

be the set of  $\exists\forall$ -sentences stating the consistency of type  $\text{sttp}_A$ .

Recall the notation PK for the axioms of powered fields with powers in  $K$ .

**4.13 Lemma.** Let  $\mathbb{F}^K$  be a model of  $\text{PK} + \text{SCH}_A$  which realises the type  $\text{sttp}_A$ . Then  $\mathbb{F}^K \in \mathcal{E}_d^K \setminus \mathcal{E}_{d-1}^K$ .

**Proof** By assumption we have a finite subset  $B \subseteq \mathbb{F}$  such that  $\mathbb{F}^K \models \text{sttp}_A(B)$ . By Proposition 4.11

$$B \leq \mathbb{F}^K.$$

As a consequence of  $\text{qftp}_A$  we have  $\delta^K(B) = -d$ . It follows that  $\mathbb{F}^K \notin \mathcal{E}_{d-1}^K$ .

To see that  $\mathbb{F}^K \in \mathcal{E}_d^K$  we need to prove that  $\delta^K(Z) \geq -d$  for any finite  $Z \subseteq \mathbb{F}^K$ .

Let  $Y$  be a  $\mathbb{Q}$ -linear basis of  $\text{span}_{\mathbb{Q}}(Z) \cap \text{span}_{\mathbb{Q}}(B)$ . We have then  $\text{lin.dim}_{\mathbb{Q}}(Z/B) = \text{lin.dim}_{\mathbb{Q}}(Z/Y)$  and thus  $\delta^K(Z/Y) \geq \delta^K(Z/B) \geq 0$ . But  $\delta^K(Z) = \delta^K(Z/Y) + \delta^K(Y)$ , so  $\delta^K(Z) \geq \delta^K(Y) \geq -d$ .  $\square$

**4.14 Theorem.** Assume  $\delta^K(A) = -d$ . The following two conditions are equivalent for a structure  $\mathbb{F}^K$  :

- (i)  $\mathbb{F}^K \models \text{PK} + \text{SCH}_A$ ;
- (ii)  $\mathbb{F}^K \in \mathcal{E}_d^K \setminus \mathcal{E}_{d-1}^K$  and  $\text{qftp}_A$  is realised in some  ${}^*\mathbb{F}^K \succ \mathbb{F}^K$ .

If in (i)  $\mathbb{F}^K$  also satisfies EC then in (ii)  $\mathcal{E}_d^K$  should be replaced by  $\mathcal{EC}_d^K$ .

**Proof** Assume (i). By the definition of  $\text{SCH}_A$  there is  ${}^*\mathbb{F}^K \succ \mathbb{F}^K$  which realises  $\text{sttp}_A$ , say by  $B$ . By Lemma 4.13,  ${}^*\mathbb{F}^K \in \mathcal{E}_d^K \setminus \mathcal{E}_{d-1}^K$ , so  ${}^*\mathbb{F}^K \in \mathcal{E}_d^K \setminus \mathcal{E}_{d-1}^K$ .

It follows that  $\mathbb{F}^K \in \mathcal{E}_d^K$ , since  $\delta^K(X/\ker) \geq -d$  for all  $X \subseteq {}^*\mathbb{V}$ .

It remains to see that  $\mathbb{F}^K \notin \mathcal{E}_{d-1}^K$ . Indeed, if it were in  $\mathcal{E}_{d-1}^K$ , we would have  $A' \leq \mathbb{F}^K$  with  $\delta^K(A') = -d'$ ,  $d' \leq d-1$ , and by the analysis in 4.3 arrive at the fact that  $\mathbb{F}^K$  and  ${}^*\mathbb{F}^K$  realise  $\text{sttp}_{A'}$ , hence using again 4.13,  ${}^*\mathbb{F}^K \in \mathcal{E}_{d'}^K$ , a contradiction. This proves (ii).

Now, conversely, assume (ii).

We claim that  ${}^*\mathbb{F}^K \in \mathcal{E}_d^K$ . Indeed, since  $\mathbb{F}^K \in \mathcal{E}_d^K$  by 4.3 we find a  $B$  with  $\delta^K(B/\ker) = -d$ , so  $B \leq \mathbb{F}^K$  and  $\mathbb{F}^K \models \text{SCH}_B$ . So  ${}^*\mathbb{F}^K \models \text{SCH}_B$  and as shown in the first part of the proof, it follows  ${}^*\mathbb{F}^K \in \mathcal{E}_d^K$ .

By assumptions, up to isomorphism,  $A \subseteq {}^*\mathbb{F}^K$ . Since  $\delta^K(A) = -d$ , we have  $A \leq {}^*\mathbb{F}^K$ . It follows  ${}^*\mathbb{F}^K \models \text{SCH}_A$ , so  $\mathbb{F}^K \models \text{SCH}_A$  and (i) proved.

The last statement of the theorem follows from Proposition 2.10.  $\square$

**4.15** Let  $L \subseteq V^n$  be a  $K$ -linear subspace of dimension  $l$  and  $W \subseteq (\mathbb{F}^\times)^{n+m}$  be a variety over  $\mathbb{Q}$ . Define the set of special parameters

$$P_l(W) = \{p \in \mathbb{F}^m : \dim W(p) < n - l\}.$$

This set is constructible (quantifier-free definable in the field language).

**Theorem.** Assume  $\mathbb{F}^K \models \text{PK} + \text{SCH}_A$ . There are a number  $N$  and codimension-1  $\mathbb{Q}$ -linear subspaces  $M_1, \dots, M_N \subsetneq V^n$  depending on  $L$  and  $W$  such that for every  $p \in P_l(W)$  for some  $a_1, \dots, a_N \in V^n$

$$\{z \in V^n : z \in L \ \& \ \exp(z) \in W(p)\} \subseteq \bigcup_{i \leq N} (M_i + a_i + \ker^n).$$

**Proof** First we consider the case of a single  $p \in P_l$ . Choose a finite set  $B \subset V$  so that  $p \subseteq \exp(B)$  and  $B \cup \ker \leq \mathbb{F}^K$ . Let  $z = \langle z_1, \dots, z_n \rangle \in L$  such that  $\exp(z) \in W(p)$ . By the choice of  $B$

$$\delta^K(z/B \cup \ker) \geq 0.$$

But  $\text{lin. dim}_K(z/B \cup \ker) + \text{tr. deg}(\exp(z)/\exp(B)) < n$ . It follows,  $\text{lin. dim}_{\mathbb{Q}}(z/B \cup \ker) < n$ . In other words,

$$m_1 z_1 + \dots + m_n z_n - b \in \ker,$$

for some  $m_1, \dots, m_n \in \mathbb{Z}$ , not all zero, and  $b \in \text{span}_{\mathbb{Q}}(B)$ . Denote

$$M = \{\langle x_1, \dots, x_n \rangle \in V^n : m_1 x_1 + \dots + m_n x_n = 0\}.$$

We have proved that

$$z \in L \ \& \ \exp(z) \in W(p) \Rightarrow z \in M + a + \ker^n \text{ for some } a \in \text{span}_{\mathbb{Q}}(B)^n \text{ and } M \tag{13}$$

**Claim.** For a given  $p$  there is finitely many  $M$  and  $a$  such that (13).

Indeed, if not then the type saying that  $z \in L \ \& \ \exp(z) \in W(p)$  and  $z \notin M + a + \ker^n$ , for  $M$  running through all  $\mathbb{Q}$ -linear subspaces

of codimension 1 and  $a \in \text{span}_{\mathbb{Q}}(B)^n$  is consistent. This type would be realised in some  ${}^*\mathbb{F}^K \succ \mathbb{F}^K$  contradicting (13).

The proved claim implies the existence of the bound  $N_p$  on the number of cosets  $M + a + \ker^n$  satisfying (13). We need to show that there is an  $N$  that bounds all the  $N_p$ . Assuming such a bound does not exist we can find a  $p \in P_l(W)$  in some  ${}^*\mathbb{F}^K \succ \mathbb{F}^K$  for which no finite bound  $N_p$  does exist, contradicting the Claim.  $\square$

**4.16 Corollary.** *Suppose  $\mathbb{C}^K$ , the structure on complex numbers, for some  $K \subseteq \mathbb{C}$  satisfies the assumptions 2.7 for some  $A \subseteq \mathbb{C}$ , that is*

$$\mathbb{C}^K \models \text{PK+SCH}_A.$$

*Then there are codimension-1  $\mathbb{Q}$ -linear subspaces  $M_1, \dots, M_N \subsetneq \mathbb{C}^n$  such that for every  $p \in P_l(W)$  there are  $a_1, \dots, a_N \in \mathbb{C}^n$  with the property that for every irreducible component  $S$  of the analytic set  $\{z \in \mathbb{C}^n : z \in L \ \& \ \exp(z) \in W(p)\}$  there is  $j \in \{1, \dots, N\}$  and  $k \in \mathbb{Z}^n$  such that  $S \subseteq M_j + a_j + 2\pi i k$ .*

The corollary is immediate from the theorem once one takes into account that irreducible components of the analytic set  $M_i + a_i + \ker^n$  are of the form  $M_i + a_i + 2\pi i k$ .

**4.17 Proposition** *An  $\omega$ -saturated model of  $\text{PK+SCH}_A$  is of infinite dimension.*

**Proof.** Let  $\mathbb{F}^K$  be a saturated model of the axioms. We assume that type  $\text{sttp}_A$  is realised by  $A$ .

We need, for every  $n$ , to find an  $n$ -tuple  $a_1, \dots, a_n$  such that for every  $b_1, \dots, b_m$ ,

$$\delta^K(a_1, \dots, a_n, b_1, \dots, b_m/A) \geq n$$

equivalently, assuming  $a_1, \dots, a_n, b_1, \dots, b_m$  are  $\mathbb{Q}$ -linearly independent over  $A$ ,

$$\text{lin. dim}_K(a_1, \dots, a_n, b_1, \dots, b_m/A) +$$

$$+ \text{tr. deg}(\exp a_1, \dots, \exp a_n, \exp b_1, \dots, \exp b_m / \exp A) \geq n + m.$$

It is enough to find for any special pairs  $(L_1, V_1), \dots, (L_l, V_l)$  in the  $n+m$ -space, elements  $a_1, \dots, a_n$  such that for every  $b_1, \dots, b_m$ ,  $\mathbb{Q}$ -linearly independent over  $\{a_1, \dots, a_n\} \cup A$ , for each  $j = 1, \dots, l$ , either

$\langle a_1, \dots, a_n, b_1, \dots, b_m \rangle \notin L_j$  or  $\langle \exp a_1, \dots, \exp a_n, \exp b_1, \dots, \exp b_m \rangle \notin V_j$ .

**Claim.** There is a number  $k(j)$  and proper  $\mathbb{Q}$ -linear subspaces  $N_{i,j} \subset \mathbb{F}^n$ ,  $i = 1, \dots, k(j)$  such that for any  $a_1, \dots, a_n$ , for any  $b_1, \dots, b_m$ ,  $\mathbb{Q}$ -linearly independent over  $\{a_1, \dots, a_n\} \cup A$ , if  $\langle a_1, \dots, a_n, b_1, \dots, b_m \rangle \in L_j$  and  $\langle \exp a_1, \dots, \exp a_n, \exp b_1, \dots, \exp b_m \rangle \in V_j$  then  $\langle a_1, \dots, a_n \rangle \in N_{i,j} + \text{span}A$  for some  $i \leq k(j)$ .

Indeed, otherwise, in a saturated model we will have  $a_1, \dots, a_n, b_1, \dots, b_m$  such that  $\text{lin.dim}_{\mathbb{Q}}(a_1, \dots, a_n, b_1, \dots, b_m/A) = n + m$  and

$$\text{lin.dim}_K(a_1, \dots, a_n, b_1, \dots, b_m/A) +$$

$$+ \text{tr.deg}(\exp a_1, \dots, \exp a_n, \exp b_1, \dots, \exp b_m) \leq \dim L_j + \dim V_j < n + m$$

which contradicts the fact  $\delta^K(a_1, \dots, a_n, b_1, \dots, b_m/A) \geq 0$  established in 4.11. Claim proved.

Now, let  $a_0$  be a non-zero element of  $\ker$  and  $k \in K \setminus \mathbb{Q}$ . Set  $a_1 = ka_0$ . Let  $p_2, \dots, p_n$  be integers which we will define later and let  $a_i = p_i a_1$ , for  $i = 2, \dots, n$ .

We choose the  $p_i$  so that  $\langle a_1, \dots, a_n \rangle \notin \bigcup_{i,j} N_{i,j}$ .

These are as required.  $\square$

## 5 Completeness, near model completeness and superstability

**5.1 Definition** The extension of the initial language  $\mathcal{L}_K$  by existential predicates

$$E_P(\bar{x}) \equiv \exists \bar{y} P(\bar{x}, \bar{y}),$$

where  $P$  is a quantifier-free formula, is denoted  $\mathcal{L}_K^E$ .

We assume throughout that  $A$  is a finite subset of  $\text{sub}\mathcal{E}_d^K$ ,  $\delta^K(A) = -d$

**5.2 Lemma.** Assuming  $\mathbb{F}_1^K \subseteq \mathbb{F}^K$  as  $\mathcal{L}_K^E$ -structures and  $\mathbb{F}^K \models \text{PK} + \text{SCH}_A$ , we have  $\mathbb{F}_1^K \models \text{PK} + \text{SCH}_A$  and  $\mathbb{F}_1^K \leq \mathbb{F}^K$ .

**Proof**  $\mathbb{F}_1^K \in \mathcal{E}_d^K$  for every  $\mathcal{L}_K$ -substructure of  $\mathbb{F}^K$ , since facts of the form  $\delta^K(X) = m$  are fixed by quantifier-free types.

To see that  $\mathbb{F}_1^K \leq \mathbb{F}^K$  it is enough to show that for a finite  $B$

$$B \leq \mathbb{F}_1^K \Rightarrow B \leq \mathbb{F}^K.$$

This follows from Proposition 4.11 if we take into account that  $\text{sttp}_B$  is  $\mathcal{L}_K^E$ -quantifier-free.

It remains to see that an elementary extension  $^*\mathbb{F}_1^K$  of  $\mathbb{F}_1^K$  contains a copy of  $A$ . This is immediate by the fact that the condition on consistency of  $\text{qftp}_A$  is given by existential  $\mathcal{L}_K$ -formulas, so that is by  $\mathcal{L}_K^E$ -quantifier-free ones.  $\square$

**5.3 Lemma.** *Assume  $\mathbb{F}_1^K, \mathbb{F}_2^K \in \mathcal{E}_d^K$  and  $\mathbb{F}_1^K \models \text{EC}$ . Suppose  $\mathbb{F}_2^K \leq \mathbb{F}_1^K$ . Then  $\mathbb{F}_2^K \subseteq \mathbb{F}_1^K$  in the language  $\mathcal{L}_K^E$ .*

**Proof** Recall that by Proposition 2.10  $\mathbb{F}_1^K \in \mathcal{EC}^K$ . Let  $a \subseteq \mathbb{F}_1^K$  be finite and suppose  $\mathbb{F}_2^K \models \exists y P(a, y)$ , where  $P(x, y)$  is quantifier-free. By the definition of  $\mathcal{EC}^K$  we get then  $\mathbb{F}_1^K \models \exists y P(a, y)$ .  $\square$

**5.4 Corollary.** *For  $\mathbb{F}_1^K, \mathbb{F}_2^K \in \mathcal{EC}_d^K$*

$$\mathbb{F}_1^K \subseteq \mathbb{F}_2^K \text{ as } \mathcal{L}_K^E\text{-structures} \quad \text{iff} \quad \mathbb{F}_1^K \leq \mathbb{F}_2^K.$$

We then have by Proposition 4.11.

**5.5 Corollary.**

$$\mathbb{F}_1^K \in \mathcal{EC}_A^K \text{ if and only if } \mathbb{F}_1^K \models \text{PK} + \text{SCH}_A + \text{EC}$$

We say that a (partial) map  $\varphi : \mathbb{F}_1^K \rightarrow \mathbb{F}_2^K$  is an  $\mathcal{L}_K^E$ -**monomorphism**, if it is injective and for any  $k$ -ary  $\mathcal{L}_K^E$ -predicate  $S$  and any  $k$ -tuple  $a$  from the domain of  $\varphi$

$$\mathbb{F}_1^K \models S(a) \quad \text{iff} \quad \mathbb{F}_2^K \models S(\varphi(a)).$$

**5.6 Lemma.** *Let  $\mathbb{F}_1^K$  and  $\mathbb{F}_2^K$  satisfy  $\text{PK} + \text{SCH}_A + \text{EC}$ , and  $B_1 \leq \mathbb{F}_1^K$ ,  $B_2 \leq \mathbb{F}_2^K$  such that there is an  $\mathcal{L}_K$ -monomorphism*

$$\varphi : B_1 \rightarrow B_2.$$

*Let  $\mathbb{F}_{B_1}^K$  and  $\mathbb{F}_{B_2}^K$  be the expansions of  $\mathbb{F}_1^K, \mathbb{F}_2^K$  by constants naming elements of  $B_1$  and  $B_2$  in correspondence with  $\varphi$ . Then*

$$\mathbb{F}_{B_1}^K \equiv \mathbb{F}_{B_2}^K.$$

**Proof** We prove that given  $\omega$ -saturated elementary extensions  ${}^*\mathbb{F}_1^K$  of  $\mathbb{F}_1^K$  and  ${}^*\mathbb{F}_2^K$  of  $\mathbb{F}_2^K$ , given finite  $C \subseteq {}^*\mathbb{F}_1^K$ ,  $c \in {}^*\mathbb{F}_1^K$  and a  $\mathcal{L}_K^E$ -monomorphism  $\varphi$  of  $B_1 \cup C$  into  ${}^*\mathbb{F}_2^K$  one can extend the monomorphism to  $c$ . By symmetry, this yields a winning strategy for the Ehrenfeucht-Fraïssé game, and we are done.

We may assume that  $\varphi$  is the identity and  $B_1 \cup C = B = \varphi(B)$ . It is enough to show that under the assumption for any  $c \in {}^*\mathbb{F}_1^K$  we can extend  $\varphi$  to some  $B' \supseteq Bc$  as an  $\mathcal{L}_K$ -monomorphism and  $B' \leq {}^*\mathbb{F}_1^K$ ,  $\varphi(B') \leq {}^*\mathbb{F}_2^K$ .

If  $\partial(c/B) = 1$  then define  $B' = Bc$  and  $\varphi(c)$  to be any element from  ${}^*\mathbb{F}_2^K$  which is not in the  $\partial$ -closure of  $A$  in  ${}^*\mathbb{F}_2^K$  (use ID). Then  $B'$  and  $\varphi(B')$  are as required.

If  $\partial(c/B) = 0$  then extend  $c$  to a finite string  $\bar{c}$  from  ${}^*\mathbb{F}_1^K$  so that  $\delta^K(\bar{c}/B) = 0$ . The quantifier free type of  $\bar{c}$  over  $B$  is consistent with  $\mathbb{F}_1^K$ , by 5.3, and so is realised in  ${}^*\mathbb{F}_1^K$ , by  $\bar{b}$  say. Since  $\delta^K(\bar{b}/B) = 0$ , we have  $A\bar{b} \leq {}^*\mathbb{F}_2^K$ . So, we can define  $B' = B\bar{c}$  and  $\varphi(\bar{c}) = \bar{b}$ .  $\square$

**5.7 Lemma.** *Let  $\mathbb{F}_1^K, \mathbb{F}_2^K$  be  $\omega$ -saturated models of  $\text{PK} + \text{SCH}_A + \text{EC}$ ,  $B_1, B_2$  finite subsets of  $\mathbb{F}_1^K, \mathbb{F}_2^K$ , correspondingly, and  $\varphi : B_1 \rightarrow B_2$  is a  $\mathcal{L}_K^E$ -monomorphism. Then, there exists a finite subset  $\tilde{B}_1$  such that  $\tilde{B}_1 \leq \mathbb{F}_1^K$  and  $\varphi$  can be extended to  $\tilde{B}_1$  in such a way that*

$$\varphi(\tilde{B}_1) = \tilde{B}_2 \leq \mathbb{F}_2^K.$$

**Proof** Let  $\bar{b}_1$  be the string of all elements of  $B_1$  and  $\bar{c}$  in  $\mathbb{F}_1^K$  such that  $\delta^K(\bar{b}_1\bar{c}) = \partial(\bar{b}_1)$ . It follows  $B_1\bar{c} \leq \mathbb{F}_1^K$ . Let  $m = \partial(\bar{b}_1)$ .

Let  $q^0(\bar{x}\bar{y})$  be the  $\mathcal{L}_K$ -quantifier-free type of  $\bar{b}_1\bar{c}$ . Let  $\bar{b}_2$  be a string in  $\mathbb{F}_2^K$  which corresponds to  $\bar{b}_1$ . Then the  $\mathcal{L}_K^E$ -monomorphism guarantees that  $q^0(\bar{b}_2\bar{y})$  is consistent and thus there is  $\bar{d}$  in  $\mathbb{F}_2^K$  realising the type, in particular  $\partial(\bar{b}_2) \leq \delta^K(\bar{b}_1\bar{c}) = m = \partial(\bar{b}_1)$ . By symmetry  $\partial(\bar{b}_2) = m = \partial(\bar{b}_1)$ . Since  $\delta^K(\bar{b}_2\bar{d}) = \partial(\bar{b}_2)$ , we have  $\bar{b}_2\bar{d} \leq \mathbb{F}_2^K$ . Now Lemma 5.3 says that  $\bar{b}_2\bar{d}$  is of the same  $\mathcal{L}_K^E$ -quantifier-free type as  $\bar{b}_1\bar{c}$ .  $\square$

**5.8 Main Theorem.** *Given  $\mathbb{F}^K \in \mathcal{EC}_d^K$ , let finite  $A \leq \mathbb{F}^K$ . Then the following hold:*

(i) *The axioms  $\text{PK} + \text{SCH}_A + \text{EC}$  determine the complete theory  $\text{Th}(\mathbb{F}^K)$  of  $\mathbb{F}^K$ .*



- (ii) The theory  $\text{Th}(\mathbb{F}^K)$  has quantifier elimination in language  $\mathcal{L}_K^E$ .
- (iii)  $\text{Th}(\mathbb{F}^K)$  is superstable.
- (iv) The group structure on  $\ker$  is embedded in  $\mathbb{F}^K$  conservatively, that is no new relations are induced (using parameters) on  $\ker$  from  $\mathbb{F}^K$ .

**Proof** (i) and (ii) It follows from Lemmas 5.6 (with  $B_1 \cong A \cong B_2$ ) and 5.7 that the theory is complete and submodel complete. The latter implies elimination of quantifiers (see e.g. Theorem 13.1 of S).

(iii) To prove superstability consider  $\mathbb{F}^K \in \mathcal{EC}_A^K$  of cardinality  $\lambda$ . We want to establish the cardinality of the set  $S(\mathbb{F}^K)$  of complete 1-types over  $\mathbb{F}^K$ . Let  ${}^*\mathbb{F}^K$  be an elementary extension of  $\mathbb{F}^K$  which realises all  $n$ -types over  $\mathbb{F}^K$  for all  $n$ . Let  $S^\#(\mathbb{F}^K)$  the set of all complete  $n$ -types over  $\mathbb{F}^K$  which are realised in  ${}^*\mathbb{F}^K$  by  $n$ -tuples  $\bar{b} = \langle b_1, \dots, b_n \rangle$  such that  $\delta^K(\bar{b}/\mathbb{F}^K) = \partial(b_1/\mathbb{F}^K)$ . It follows that  $\text{card } S(\mathbb{F}^K) \leq \text{card } S^\#(\mathbb{F}^K)$ .

From general properties of  $\leq$  we get  $\mathbb{F}\bar{b} \leq {}^*\mathbb{F}^K$ , and by Lemma 5.3 the  $\mathcal{L}_K^E$ -quantifier-free type of  $\bar{b}$  over  $\mathbb{F}^K$  is determined by the  $\mathcal{L}_K$ -quantifier-free type of that. Thus  $\text{card } S(\mathbb{F}^K)$  is less or equal to the cardinality of  $QFS(\mathbb{F}^K)$ , the set of all  $\mathcal{L}_K$ -quantifier-free complete types over  $\mathbb{F}^K$ .

We claim that  $QFS(\mathbb{F}^K) \leq \lambda + 2^\omega$ . Indeed, each quantifier-free  $\mathcal{L}_K$ -type of  $\bar{b}$  over  $\mathbb{F}^K$  is uniquely determined by the minimal  $K$ -affine subspace  $L$  over  $\mathbb{F}^K$  containing  $\bar{b}$  and, for each  $l \in \mathbb{N}$ , the minimal algebraic variety  $W^{\frac{1}{l}}$  containing  $\exp(\frac{\bar{b}}{l})$ . Notice that, once  $W = W^1$  is known, for each  $l$  there is at most  $l^n$  choices of  $W^{\frac{1}{l}}$  ( $n = |\bar{b}|$ ), all conjugated by torsion elements of  $(\mathbb{F}^\times)^n$  of order  $l$ . This branches into at most  $2^\omega$  types for each of  $\lambda$ -many varieties  $W$ .

(iv) Consider again a saturated model  $\mathbb{F}^K$  of the theory, let  $C \leq \mathbb{F}^K$  be an arbitrary finite self-sufficient set and let  $B = \ker \cup C$ . Clearly,  $B \leq \mathbb{F}^K$ . We claim first that for every finite tuple  $\bar{b}$  in  $B$  the complete  $\mathcal{L}_K$ -type of  $C \cup \bar{b}$  is determined by the quantifier-free  $\mathcal{L}_K$ -type of the tuple. This is again a direct consequence of  $C \cup \bar{b} \leq \mathbb{F}^K$ , by Lemma 5.6. Now, since any type of a tuple in the definable  $B$  is equivalent to a  $\mathcal{L}_K$ -quantifier-free type, any definable subset of  $B^n$  is quantifier-free definable, by compactness. We deduce that any  $C$ -definable subset of  $\ker^n$  is  $\mathcal{L}_K(C)$ -quantifier-free definable, hence any subset of  $\ker^n$  definable with parameters is  $\mathcal{L}_K$ -quantifier-free definable.

More specifically, let  $\bar{b}$  be  $\mathbb{Q}$ -linearly independent over  $C$ . We claim that then it is  $K$ -linearly independent over  $C$ , which follows from the assumption that  $\delta^K(\bar{b}/C) \geq 0$ .

It follows that quantifier-free  $\mathcal{L}_K(C)$ -formulas without parameters restricted to  $\ker$  are Boolean combinations of formulas of the form  $m_1x_1 + \dots + m_nx_n = k_1c_1 + \dots + k_pc_p$ , for some  $m_1, \dots, m_n \in \mathbb{Z}$ ,  $k_1, \dots, k_p \in K$ , and of the form  $\frac{x}{m} \in \ker$  (equivalently,  $\exp(\frac{x}{m}) = 1$ ). The latter can be equivalently rewritten as  $\exists y \in \ker x = my$ . This is the standard form for core formulas in the theory of the  $\mathbb{Z}$ -group  $(\ker, +, 0)$ . Which proves that the subsets of  $\ker^n$  definable in  $\mathbb{F}^K$  are the same as ones definable in  $(\ker, +, 0)$ .  $\square$

## 6 Raising to powers in the complex numbers

**6.1** Consider the structure  $\mathbb{C}^K$  for  $K \subseteq \mathbb{C}$ . Assume Schanuel's conjecture or, more specifically, its form derived in 2.5:

$$\mathbb{C}^K \in \mathcal{E}_d^K \text{ and } A \leq \mathbb{C}^K.$$

**6.2 Theorem.** *Assume the corollary of Schanuel's conjecture in the form 6.1. Suppose  $K \subseteq \mathbb{R}$ . Then*

$$\mathbb{C}^K \models \text{PK} + \text{SCH}_A + \text{EC}.$$

*In particular, the axioms define the complete theory of the structure which also has the properties described in the Main Theorem 5.8 .*

**Proof** PK and  $\text{SCH}_A$  are immediate by assumptions.

It remains to establish EC, the exponential-algebraic closedness. This property was proved in [14], Theorem 3, under the extra assumption that Schanuel's conjecture holds *uniformly*. The latter is used in the proof just ones, as a condition for the statement of Theorem 2. But the statement of Theorem 2 is exactly Corollary 4.16, proved here using only assumptions of the present theorem.  $\square$

Note that the theorem states in particular that the corresponding form of Schanuel's conjecture (for  $K \subseteq \mathbb{R}$ ) implies EC, exponential-algebraic closedness.

**6.3** We recall the following result by A.Wilkie, J.Kirby and M.Bays.

**Theorem.** ([2] 1.3) *Let  $\mathbb{F}_{\text{exp}}$  be any exponential field, let  $\ker$  be the kernel of its exponential map, let  $C$  be an ecl-closed subfield of  $\mathbb{F}_{\text{exp}}$ , and let  $\lambda$  be an  $m$ -tuple which is exponentially algebraically independent over  $C$ ,  $K = \mathbb{Q}(\lambda)$ . Then for any tuple  $z$  from  $\mathbb{F}$  :*

$$\text{tr.deg}(\exp(z)/C(\lambda)) + \text{lin.dim}_K(z/\ker) - \text{lin.dim}_{\mathbb{Q}}(z/\ker) \geq 0. \quad (14)$$

*In particular, this holds for the exponential field  $\mathbb{C}_{\text{exp}}$  of complex numbers and  $C = \text{ecl}(\emptyset)$ .*

Here, an **exponential field**  $\mathbb{F}_{\text{exp}}$  is a  $(\mathbb{F}, +, \cdot, \exp)$  a field structure with a homomorphism  $\exp : \mathbb{F} \rightarrow \mathbb{F}^\times$ . An **ecl-closed subfield** is an exponential subfield  $C \subseteq \mathbb{F}$  that is exponentially-algebraically closed inside  $\mathbb{F}_{\text{exp}}$  (see [2] for details). In the exponential field  $\mathbb{C}_{\text{exp}}$  the ecl-closure  $\text{ecl}(X)$  of a countable subset  $X$  is countable, by Lemma 5.12 of [16]. In particular, all but countably many complex numbers are exponentially algebraically independent over  $\text{ecl}(\emptyset)$ .

#### 6.4 Corollary of (14).

Since

$$\text{tr.deg}(\exp(z)/C, \lambda) = \text{tr.deg}(\exp(z), \lambda/C) - \text{tr.deg}(\lambda/C) \leq \text{tr.deg}(\exp z/C)$$

we have as a corollary

$$\text{tr.deg}(\exp(z)/C) + \text{lin.dim}_K(z/\ker) - \text{lin.dim}_{\mathbb{Q}}(z/\ker) \geq 0,$$

and a weaker version, which is of interest to us here,

$$\delta^K(z/\ker) = \text{tr.deg}(\exp z) + \text{lin.dim}_K(z/\ker) - \text{lin.dim}_{\mathbb{Q}}(z/\ker) \geq 0,$$

which amounts to say that

$$\mathbb{F}^K \in \mathcal{E}_0.$$

**6.5 Corollary.** *Let a finite subset  $\lambda \subseteq \mathbb{C}$  be exponentially-algebraically independent over  $\text{ecl}(\emptyset)$  and let  $K = \mathbb{Q}(\lambda)$ . Then  $\mathbb{C}^K$  satisfies PK+SCH<sub>0</sub>, where SCH<sub>0</sub> denotes SCH<sub>A</sub> with  $A = \{2\pi i\}$ .*

*In particular, the statement of Corollary 4.16 holds for  $\mathbb{C}^K$ .*

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