

# LOCALISATION AT AUGMENTATION IDEALS IN IWASAWA ALGEBRAS

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ABSTRACT. Let  $G$  be a compact  $p$ -adic analytic group and let  $\Lambda_G$  be its completed group algebra with coefficient ring the  $p$ -adic integers  $\mathbb{Z}_p$ . We show that the augmentation ideal in  $\Lambda_G$  of a closed normal subgroup  $H$  of  $G$  is localisable if and only if  $H$  is finite-by-nilpotent, answering a question of Sujatha. The localisations are shown to be Auslander-regular rings with Krull and global dimensions equal to  $\dim H$ . It is also shown that the minimal prime ideals and the prime radical of the  $\mathbb{F}_p$ -version  $\Omega_G$  of  $\Lambda_G$  are controlled by  $\Omega_{\Delta^+}$ , where  $\Delta^+$  is the largest finite normal subgroup of  $G$ . Finally, we prove a conjecture of Ardakov and Brown[1].

## 1. INTRODUCTION

1.1. **Iwasawa algebras.** Let  $G$  be a compact  $p$ -adic analytic group and let  $\Lambda_G$  and  $\Omega_G$  denote the completed group algebras of  $G$  with coefficients in  $\mathbb{Z}_p$  and  $\mathbb{F}_p$ , respectively. Otherwise known as Iwasawa algebras, these rings were first defined by Lazard [16] and have been the focus of increasing attention in recent years, primarily because of their connections to number theory and arithmetic geometry. We refer the reader to [11] and [12] for more information about these connections.

1.2. Iwasawa algebras also form a natural class of Noetherian algebras, analogous to the classes of group algebras  $kH$  of polycyclic-by-finite groups  $H$  and enveloping algebras  $\mathcal{U}(\mathfrak{g})$  of finite dimensional Lie algebras  $\mathfrak{g}$ . These rings have been extensively investigated during the '60s, '70s and '80s using the well-developed theory of noncommutative Noetherian rings [18], and a great deal is known about them. In contrast, much less is known about the structure of  $\Lambda_G$  and  $\Omega_G$  (but see [2] for a summary of what *is* known).

1.3. **Localisation at semiprime ideals.** When  $R$  is a commutative ring and  $P$  is a prime ideal, one can always localise at  $P$ . This process is fundamental to commutative algebra and algebraic geometry and consists of “inverting” all elements of  $R \setminus P$ . When  $R$  is not necessarily commutative this is no longer possible in general, and the question of when prime (and more generally, semiprime) ideals are localisable plays a major role in the theory of noncommutative Noetherian rings.

The book [15] is a definitive reference on this subject and [18, Chapter 4] provides a more condensed account.

1.4. Together with Ken Brown [1], the author studied localisation at ideals in  $\Omega_G$  arising from a closed normal subgroup  $H$  of  $G$ . It was shown [1, Theorem D] that a certain semiprime ideal  $P_H$  of  $\Omega_G$  is always localisable. Moreover, necessary and sufficient group-theoretic conditions on  $G$  and  $H$  were found to ensure that the augmentation ideal

$$w_{H,G} = \ker(\Omega_G \twoheadrightarrow \Omega_{G/H})$$

of  $\Omega_G$  is localisable [1, Theorem E], provided it is semiprime.

1.5. Having done this, it was natural to ask whether augmentation ideals in  $\Lambda_G$  are localisable. Here there are two types of augmentation ideals, namely the  $\mathbb{Z}_p$ -augmentation ideal

$$I_{H,G} = \ker(\Lambda_G \twoheadrightarrow \Lambda_{G/H})$$

and the  $\mathbb{F}_p$ -version

$$v_{H,G} = \ker(\Lambda_G \twoheadrightarrow \Omega_{G/H}).$$

A straightforward lifting argument shows that when  $v_{H,G}$  is semiprime, it is localisable in  $\Lambda_G$  if and only if  $w_{H,G}$  is localisable in  $\Omega_G$  [1, Theorem H].

1.6. Sujatha [23] asked the following question:

**Question.** *When is  $I_{H,G}$  localisable?*

Note that because  $\Lambda_{G/H}$  is semiprime [20],  $I_{H,G}$  is always a semiprime ideal of  $\Lambda_G$ . Our first result, originally a conjecture of Brown [4], provides a complete answer to this question:

**Theorem A.**  *$I_{H,G}$  is localisable if and only if  $H$  is finite-by-nilpotent.*

Recall that a group  $H$  is said to be *finite-by-nilpotent* if it has a finite normal subgroup  $F$  such that  $H/F$  is nilpotent. The proof is given in (3.7) and (3.15).

Note that a compact  $p$ -adic analytic group  $H$  is finite-by-nilpotent if and only if  $H/\Delta^+(H)$  is nilpotent, where as in [1, 1.3]  $\Delta^+(H)$  denotes the unique maximal finite normal subgroup of  $H$ .

1.7. Let  $G$  be a compact  $p$ -adic analytic group and suppose that  $H$  is a closed normal subgroup such that  $G/H$  is isomorphic to  $\mathbb{Z}_p$ . Then even though  $w_{H,G}$  might not be localisable in  $\Omega_G$ , we can ensure it becomes localisable by passing to an open subgroup: for example, choose any open pro- $p$  subgroup  $G_1$  of  $G$  and set  $H_1 = H \cap G_1$ ; then  $w_{H_1,G_1}$  is localisable in  $\Omega_{G_1}$  by [1, Theorem E].

On the other hand, the condition on  $H$  imposed by Theorem A is much more restrictive. For example, if  $G$  is open in  $\mathrm{GL}_2(\mathbb{Z}_p)$  then neither  $I_{H,G}$  nor “the”  $\mathbb{Z}_p$ -augmentation ideal  $I_{G,G}$  is localisable in  $\Lambda_G$ .

1.8. There are a number of analogous results dealing with localisation in group algebras  $kG$  of polycyclic-by-finite groups  $G$  over a field  $k$  of characteristic zero. See for example [15, Theorem A.4.8], [5], [6] and [21, §11.2]. We have been unable to find the exact analogue of Theorem A in the literature.

1.9. **Properties of the localisations.** We next study ring-theoretic properties of the localisation  $\Lambda_{G,H}$  of  $\Lambda_G$  at  $I_{H,G}$  provided it exists. Our second result is an analogue of [1, Theorems I and J]:

**Theorem B.** *Suppose that  $H/\Delta^+(H)$  is nilpotent. Then*

- (a)  $\Lambda_{G,H}$  is Auslander regular,
- (b)  $\text{gld}(\Lambda_{G,H}) = \dim H$ ,
- (c)  $\mathcal{K}(\Lambda_{G,H}) = \dim H$ .

The proof is given in (4.7). The method of proof is unusual in that the global dimension  $\text{gld}(\Lambda_{G,H})$  and the Krull dimension  $\mathcal{K}(\Lambda_{G,H})$  are computed simultaneously. The crucial fact used here is a result of Roos [8, Corollary 1.3] which ensures that  $\mathcal{K}(T) \leq \text{gld}(T)$  for any Auslander-regular ring  $T$ .

1.10. Having obtained Theorem B, the author realised that the same method can be used to answer a question left open in [1]. This asks when the localisation  $\Omega_{G,H}$  of  $\Omega_G$  at the semiprime ideal  $P_H$  mentioned in (1.4) has finite global dimension.

**Theorem C.** *Let  $H$  be a closed normal subgroup of the compact  $p$ -adic analytic group  $G$ . Then  $\Omega_{G,H}$  has finite global dimension if and only if the inverse image  $L$  of  $\Delta^+(G/H)$  in  $G$  has no elements of order  $p$ .*

We proved in [1, Theorem J(iv)] that the group-theoretic condition appearing above is necessary and conjectured that it is also sufficient. It follows immediately from the proof of [1, Theorem J(iii)] that the global dimension of  $\Omega_{G,H}$  equals  $\dim H$  whenever it is finite. The proof of Theorem C is given in (6.4).

1.11. **Minimal primes of  $\Omega_G$ .** The extra ingredient in the proof of Theorem C is a control theorem, Proposition 6.3. This states that  $P_H$  is controlled by  $\Omega_L$ :

$$P_H = (P_H \cap \Omega_L).\Omega_G$$

and follows easily from our last result:

**Theorem D.** *Let  $\Delta^+ = \Delta^+(G)$  denote the largest finite normal subgroup of the compact  $p$ -adic analytic group  $G$ . The minimal prime ideals of  $\Omega_G$  are controlled by  $\Omega_{\Delta^+}$ . There is a bijective correspondence between minimal prime ideals of  $\Omega_G$  and  $G$ -prime ideals of  $\Omega_{\Delta^+}$ , given by*

$$\begin{aligned} P &\mapsto P \cap \Omega_{\Delta^+} \\ Q.\Omega_G &\leftrightarrow Q. \end{aligned}$$

The prime radical  $N(\Omega_G)$  of  $\Omega_G$  is also controlled by  $\Omega_{\Delta+}$ :

$$N(\Omega_G) = (N(\Omega_G) \cap \Omega_{\Delta+}).\Omega_G = J(\Omega_{\Delta+}).\Omega_G.$$

Here  $J(\Omega_{\Delta+})$  denotes the Jacobson radical of  $\Omega_{\Delta+}$ .

The proof is given in (5.6) and (5.7). Theorem D can be thought of as a generalization of [1, Theorem A] and [3, Theorem 9.2].

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**1.13. Conventions.** All rings are assumed to be associative and to have a unit element. All modules are assumed to be right modules, unless explicitly stated otherwise. When we speak of a ring-theoretic property like ‘‘Noetherian’’ or ‘‘localisable’’, we implicitly mean that both the right and left handed properties hold.  $J(R)$  denotes the Jacobson radical of the ring  $R$ . For technical and aesthetic reasons, we prove most of the results stated in the Introduction for completed group algebras with slightly more general coefficients than  $\mathbb{F}_p$  and  $\mathbb{Z}_p$ ; see (2.2) and (2.3).

## 2. PRELIMINARIES

**2.1. Background on localisation.** Let  $R$  be a Noetherian ring and let  $S$  be a multiplicatively closed subset of  $R$  containing 1. Recall [18, 2.1.3] that a *right localisation* of  $R$  at  $S$  is a ring  $R_S$  together with a ring homomorphism  $\varphi : R \rightarrow R_S$  such that

- $\varphi(s)$  is a unit in  $R_S$  for all  $s \in S$ ,
- every element of  $R_S$  can be written in the form  $\varphi(r)\varphi(s)^{-1}$  and
- $\ker \varphi = \text{ass}(S)$ , where  $\text{ass}(S) := \{x \in R : xs = 0 \text{ for some } s \in S\}$  is the *assassinator* of  $S$ .

If  $R_S$  exists, it satisfies a universal property [18, Lemma 2.1.4] and is therefore unique up to isomorphism. A *left localisation* is defined similarly and is isomorphic to the right localisation whenever both exist by [18, Corollary 2.1.4].

Recall that  $S$  is said to be a *right Ore set* if for all  $r \in R$  and  $s \in S$  there exist  $r' \in R$  and  $s' \in S$  such that  $rs' = r's$ ; left Ore sets are defined similarly. By Ore’s Theorem [18, Theorem 2.1.12, Lemma 2.1.13],  $R_S$  exists if and only if  $S$  is a right Ore set. Note that in this case,  $R$  embeds into  $R_S$  if and only if  $S$  consists of *regular elements*:  $r \in R$  is *regular* if it is not a zero-divisor. Of course, if  $R$  is commutative then every multiplicatively closed set  $S$  is automatically an Ore set, and localisation at  $S$  is always possible.

If  $I$  is an ideal of  $R$ ,  $\mathcal{C}_R(I)$  denotes the multiplicatively closed subset of  $R$  consisting of all elements of  $R$  which regular modulo  $I$ ; recall that  $I$  is said to be (*right*) *localisable* if and only if  $\mathcal{C}_R(I)$  is a (right) Ore set. Note that if  $R$  happens to be commutative and  $I$  is a prime ideal of  $R$  then  $\mathcal{C}_R(I)$  is just  $R \setminus I$ .

**2.2. Notation.** Let  $K$  be a finite field extension of  $\mathbb{Q}_p$ . Let  $\mathcal{O}$  be the ring of integers of  $K$ ; this is a finite extension of  $\mathbb{Z}_p$  and a complete local discrete valuation ring. We fix a uniformizer  $\pi$  of  $\mathcal{O}$  and write  $k = \mathcal{O}/\pi\mathcal{O}$  for the residue field of  $\mathcal{O}$ ; this is a finite field of characteristic  $p$ .

Throughout this paper,  $G$  denotes a compact  $p$ -adic analytic group and  $H$  denotes a closed normal subgroup of  $G$ .

**2.3. Completed group algebras.** Let  $\mathcal{O}[[G]]$  be the completed group algebra of  $G$  with coefficients in  $\mathcal{O}$ :

$$\mathcal{O}[[G]] = \varprojlim \mathcal{O}[G/N]$$

where  $N$  runs over all the open normal subgroups of  $G$ . Similarly,

$$k[[G]] = \varprojlim k[G/N]$$

is the completed group algebra of  $G$  with coefficients in  $k$ . Note that these are just the usual group algebras when  $G$  is finite. Since we will not be considering the usual group algebra  $kG$  or  $\mathcal{O}G$  if  $G$  is infinite, we will usually denote  $k[[G]]$  by  $kG$  and  $\mathcal{O}[[G]]$  by  $\mathcal{O}G$ .

We will also write  $KG$  for the localisation of  $\mathcal{O}[[G]]$  at  $\{1, \pi, \pi^2, \dots\}$ :

$$KG := K \otimes_{\mathcal{O}} \mathcal{O}[[G]].$$

Note that if  $G$  is finite, this again coincides with the usual group algebra, however in general  $KG$  is not complete.

**2.4. The graded ring.** By a well-known result of Lazard [13, Corollary 8.34], any compact  $p$ -adic analytic group  $G$  contains an open normal *uniform* pro- $p$  subgroup  $N$  of finite index; we will use this fact in what follows without further mention. Uniform pro- $p$  groups are defined at [13, 4.1].

**Lemma.** *Let  $G$  be a uniform pro- $p$  group and let  $J$  be the augmentation ideal of  $kG$ . Then  $\text{gr}_J kG \cong k[X_1, \dots, X_d]$ , where  $d = \dim G$ .*

*Proof.* This follows from [13, Theorem 7.24]. □

When  $\mathcal{O} = \mathbb{Z}_p$ , the following result is due to Venjakob [24, Theorem 3.26].

**Proposition.**  *$\mathcal{O}G$  and  $kG$  are Auslander-Gorenstein. In particular, both rings are Noetherian.*

*Proof.* Suppose first that  $G$  is uniform. Then  $kG$  is Auslander-Gorenstein by the Lemma and [10, Theorem 2.4]. For  $\mathcal{O}G$  use [10, Theorem 2.2] and the fact that  $kG \cong \mathcal{O}G/\pi\mathcal{O}G$ .

In general, choose an open normal uniform subgroup  $N$  of  $G$ ; then  $\mathcal{O}G$  is a crossed product of  $\mathcal{O}N$  with the finite group  $G/N$ , so  $\mathcal{O}G$  is Auslander-Gorenstein by the remarks preceding [1, Lemma 5.4]. A similar argument deals with  $kG$ . □

**2.5. Primeness and semiprimeness for  $\mathcal{O}G$ .** We will need the following minor generalization of [1, Theorem F].

**Proposition.** (i)  $\mathcal{O}G$  is semiprime,  
(ii)  $\mathcal{O}G$  is prime if and only if  $\Delta^+(G) = 1$ ,  
(iii)  $\mathcal{O}G$  is a domain if and only if  $G$  is torsionfree.

*Proof.* For part (i), use the argument of Neumann [20]. That the group-theoretic conditions in parts (ii) and (iii) are necessary is obvious, so we just indicate how to prove that they are sufficient.

For part (ii), note that  $kG$  is prime by [3, Theorem 9.2]. Now the lifting argument used in the proof of [1, Theorem F](ii) works. For part (iii), imitate the proof of [1, Theorem C].  $\square$

### 3. LOCALISABLE IDEALS IN $\mathcal{O}G$

3.1. As in the Introduction, define the ideal  $I_{H,G}$  of  $\mathcal{O}G$  by

$$I_{H,G} = \ker(\mathcal{O}G \rightarrow \mathcal{O}[[G/H]]).$$

Let  $I_H = I_{H,H}$ . We have  $I_H = (H-1)\mathcal{O}H$  and  $I_{H,G} = (H-1)\mathcal{O}G = I_H \cdot \mathcal{O}G = \mathcal{O}G \cdot I_H$ . Because  $\mathcal{O}[[G/H]]$  is semiprime by Proposition 2.5(i),  $I_{H,G}$  is always a semiprime ideal of  $\mathcal{O}G$ .

3.2. We first prove the  $(\Rightarrow)$  part of Theorem A. The proof is given in (3.7) and requires some preliminary results.

Define a sequence of closed normal subgroups of  $G$  by setting  $H_1 = H$  and  $H_{n+1} = \overline{(H_n, H)}$  for  $n \geq 1$ , where  $(x, y) = x^{-1}y^{-1}xy$  is the group commutator. Clearly  $H_n$  contains  $\gamma_n(H)$ , the  $n$ -th term of the lower central series of  $H$ . Now, the descending chain of closed subgroups

$$H = H_1 \geq H_2 \geq H_3 \geq \dots$$

has a subgroup  $H_m$  of least dimension. Hence  $|H_n/H_{n+1}| < \infty$  whenever  $n \geq m$ .

**3.3. Lemma.** Every right (and left) ideal of  $\mathcal{O}G$  is closed with respect to the natural topology of  $\mathcal{O}G$ .

*Proof.* By Proposition 2.4,  $\mathcal{O}G$  is Noetherian. Hence every one-sided ideal of  $\mathcal{O}G$  is the continuous image of  $(\mathcal{O}G)^n$  for some  $n \geq 1$ . Since  $\mathcal{O}G$  is compact and Hausdorff, the result follows.  $\square$

**3.4. Lemma.** Let  $P = I_{H_m, G}$ ,  $Q = I_{H_{m+1}, G}$  and  $I = I_{H, G}$ . Then  $Q \subseteq PI$ .

*Proof.* It is enough to show that  $g-1 \in PI$  for all  $g \in H_{m+1} = \overline{(H_m, H)}$ .

Since  $PI$  is closed by Lemma 3.3, it is enough to show that  $(H_m, H) \equiv 1 \pmod{PI}$ . We will show that in fact  $(x, y) \equiv 1 \pmod{PI}$  for any  $x \in H_m$  and  $y \in H$ .

This is true because if  $x \in H_m$  and  $y \in H$ , then  $x - 1 \in P$  and  $y - 1 \in I$ , so  $[x - 1, y - 1] \in PI$  and therefore  $xy \equiv yx \pmod{PI}$ .  $\square$

**3.5. Lemma.** If  $S = \mathcal{C}_{\mathcal{O}G}(I)$  is a right Ore set in  $\mathcal{O}G$ , then  $P/Q$  is  $S$ -torsion.

*Proof.*  $P$  is generated as a right ideal by elements of the form  $g - 1$ , where  $g \in H_m$ . Since  $S$  is a right Ore set, in view of [18, Lemma 2.1.8] it is sufficient to prove that for all  $g \in H_m$  there exists  $s \in S$  such that  $(g - 1)s \in Q$ .

But if  $g \in H_m$  then  $g^n \in H_{m+1}$  for some  $n \geq 1$  since  $H_m/H_{m+1}$  is finite. So

$$g^n - 1 = (g - 1)(1 + g + \cdots + g^{n-1}) \in Q.$$

Now  $s := 1 + g + \cdots + g^{n-1} \equiv n \pmod{I}$  because  $g \in H_m \subseteq H$ . Since  $\mathcal{O}G/I \cong \mathcal{O}[[G/H]]$  is torsionfree as a  $\mathbb{Z}_p$ -module,  $s$  is regular modulo  $I$  as required.  $\square$

**3.6. Lemma.** Let  $C$  be a right Ore set in  $\mathcal{O}G$  such that  $0 \notin C$ . Then  $(1 + \text{ass}(C)) \cap G$  is a finite normal subgroup of  $G$ .

*Proof.* Since  $C$  is a right Ore set,  $\text{ass}(C)$  is a two-sided ideal of  $\mathcal{O}G$  by [18, Lemma 2.1.9]. Hence  $F = (1 + \text{ass}(C)) \cap G$  is a normal subgroup of  $G$ .

Now, let  $U$  be an open normal uniform subgroup of  $G$ . If  $g \in U \setminus 1$  then  $g - 1$  is a regular element in  $\mathcal{O}U$  because  $\mathcal{O}U$  is a domain by Proposition 2.5(iii). Since  $\mathcal{O}G = \mathcal{O}U * (G/U)$  is a free right and left  $\mathcal{O}U$ -module, we see that  $g - 1$  is also a regular element of  $\mathcal{O}G$  whenever  $g \in U \setminus 1$ . Since  $0 \notin C$ , we have

$$U \cap F = \{g \in U : (g - 1)s = 0 \text{ for some } s \in C\} = 1,$$

so  $F \hookrightarrow G/U$  and  $F$  is finite.  $\square$

**3.7. Proof of Theorem A( $\Rightarrow$ ).** Suppose  $I = I_{H,G}$  is right localisable, so that  $S = \mathcal{C}_{\mathcal{O}G}(I)$  is a right Ore set. If  $M$  is a right  $\mathcal{O}G$ -module, we will denote the localisation of  $M$  at  $S$  by  $M_S$ . By Lemma 3.4 we see that

$$Q_S \subseteq (PI)_S \subseteq P_S \cdot I_S \subseteq P_S.$$

Also  $Q_S = P_S$  since  $P/Q$  is  $S$ -torsion by Lemma 3.5, so  $P_S \cdot I_S = P_S$ . But  $I_S$  is the Jacobson radical of  $\mathcal{O}G_S$  [15, Theorem 3.2.3(a)], so  $P_S = 0$  by Nakayama's Lemma. Therefore  $P \subseteq \text{ass}(S)$ .

Hence  $H_m \leq (1 + P) \cap G \leq (1 + \text{ass}(S)) \cap G$  which is finite by Lemma 3.6, so  $H_m \subseteq \Delta^+(H)$ . It follows that the  $m$ -th term of the lower central series of  $H/\Delta^+(H)$  is trivial, as required.  $\square$

**3.8.** We now turn to the proof of the converse assertion in Theorem A. The first step is a reduction to the case when  $\Delta^+(H) = 1$ .

Let  $F = \Delta^+(H)$ . Since  $H$  is normal in  $G$  and  $F$  is characteristic in  $H$ ,  $F$  is a finite normal subgroup of  $G$ . Let  $\bar{\cdot} : G \rightarrow G/F$  denote the natural surjection.

**Lemma.**  $I_{H,G} \triangleleft \mathcal{O}G$  is right localisable if and only if  $I_{\bar{H},\bar{G}} \triangleleft \bar{\mathcal{O}}\bar{G}$  is.

*Proof.* Since  $\pi$  is always regular modulo  $I_{H,G}$  and is a central regular element of  $\mathcal{O}G$ ,  $I_{H,G}$  is right localisable in  $\mathcal{O}G$  if and only if  $KI_{H,G}$  is right localisable in  $KG$ . Similarly,  $I_{\overline{H},\overline{G}}$  is right localisable if and only if  $KI_{\overline{H},\overline{G}}$  is right localisable.

It is well known that  $KI_F$ , the augmentation ideal of the ordinary group algebra  $KF$ , is generated as a right ideal by the central idempotent

$$f = 1 - \frac{1}{|F|} \sum_{g \in F} g.$$

Because  $F$  is normal in  $G$ ,  $f$  is central in  $KG$ . Moreover,  $KI_{F,G} = KI_F \mathcal{O}G = fKG$  is contained in  $KI_{H,G}$ . By [1, Proposition 3.6],  $KI_{H,G}$  is right localisable in  $KG$  if and only if  $KI_{H,G}/fKG$  is right localisable in  $KG/fKG$ . But the latter ring is isomorphic to  $K\overline{G}$ , and  $KI_{H,G}/fKG \cong KI_{\overline{H},\overline{G}}$  under this isomorphism.  $\square$

**3.9. Until the end of this section,** we assume that  $H$  is nilpotent and that  $\Delta^+(H) = 1$ . To avoid trivialities, assume further that  $H \neq 1$ .

Let  $Z = Z(H)$  be the centre of  $H$ . Since  $\Delta^+(Z)$  is a finite characteristic subgroup of  $Z$ , it is a finite normal subgroup of  $H$  and therefore is trivial. Hence  $Z$  is a torsionfree abelian pro- $p$  group of finite rank  $d \geq 1$ , say:  $Z \cong \mathbb{Z}_p^d$ .

**3.10.** Choose a topological generating set  $\{a_1, \dots, a_d\}$  for  $Z$  and let  $b_i = a_i - 1 \in \mathcal{O}Z$ . Because  $\mathcal{O}$  is a finitely generated  $\mathbb{Z}_p$ -module, one can check that

$$\mathcal{O}Z \cong \mathcal{O} \otimes_{\mathbb{Z}_p} \mathbb{Z}_p Z \cong \mathcal{O}[[b_1, \dots, b_d]].$$

as  $\mathcal{O}$ -modules. Hence every element of  $\mathcal{O}Z$  can be written in the form

$$\sum_{\alpha \in \mathbb{N}^d} \lambda_\alpha \mathbf{b}^\alpha$$

where  $\lambda_\alpha \in \mathcal{O}$  for all  $\alpha \in \mathbb{N}^d$ . Clearly

$$I_Z = \left\{ \sum_{\alpha \in \mathbb{N}^d} \lambda_\alpha \mathbf{b}^\alpha \in \mathcal{O}Z : \lambda_0 = 0 \right\} = \sum_{i=1}^d b_i \mathcal{O}Z.$$

Since the  $b_i$ 's commute, we have

$$I_Z^n = \sum_{\substack{\alpha \in \mathbb{N}^d \\ \langle \alpha \rangle = n}} \mathbf{b}^\alpha \mathcal{O}Z$$

for any  $n \geq 0$ . It is straightforward to see that  $I_Z^n/I_Z^{n+1}$  is  $\pi$ -torsionfree. Therefore  $I_Z^n/I_Z^{n+1}$  is a free  $\mathcal{O}$ -module of finite rank:

$$I_Z^n/I_Z^{n+1} = \bigoplus_{\substack{\alpha \in \mathbb{N}^d \\ \langle \alpha \rangle = n}} \mathcal{O}(\mathbf{b}^\alpha + I_Z^{n+1}).$$



3.11. Now consider the graded ring  $\text{gr}_I \mathcal{O}G$  of  $\mathcal{O}G$  with respect to the  $I$ -adic filtration, where  $I = I_{Z,G}$ :

$$\text{gr}_I \mathcal{O}G = \bigoplus_{n=0}^{\infty} I^n / I^{n+1}.$$

Since  $I = I_Z \cdot \mathcal{O}G$  and  $Z$  is normal in  $G$ ,  $I^n = I_Z^n \cdot \mathcal{O}G$ . By the flatness of  $\mathcal{O}G$  viewed as a left  $\mathcal{O}Z$ -module [7, Lemma 4.5], we have

$$I^n / I^{n+1} = \frac{I_Z^n \cdot \mathcal{O}G}{I_Z^{n+1} \cdot \mathcal{O}G} \cong \left( \frac{I_Z^n}{I_Z^{n+1}} \right) \otimes_{\mathcal{O}Z} \mathcal{O}G$$

as right  $\mathcal{O}G$ -modules, and similarly on the left. In fact,  $I^n / I^{n+1}$  is a  $\mathcal{O}[[G/Z]]$ - $\mathcal{O}[[G/Z]]$ -bimodule and (3.10) shows that  $I^n / I^{n+1}$  is free of finite rank both as a left and as a right  $\mathcal{O}[[G/Z]]$ -module.

3.12. **Lemma.** If  $A$  denotes  $\text{gr}_I \mathcal{O}G$ , then  $A/\pi A$  is right Noetherian.

*Proof.* Write  $A_n = I^n / I^{n+1}$  so that  $A = \bigoplus_{n=0}^{\infty} A_n$ . Then

$$A/\pi A = \bigoplus_{n=0}^{\infty} \left( \frac{A_n}{\pi A_n} \right).$$

Next,  $A_n / \pi A_n \cong (w_Z^n / w_Z^{n+1}) \otimes_{kZ} kG$  as right  $kG$ -modules, where  $w_Z$  is the image of  $I_Z$  in  $kZ$ . Also,  $w_Z$  is the augmentation ideal of  $kZ$ , so  $w_Z / w_Z^2$  is a finite dimensional  $k$ -vector space and we can find an open normal subgroup  $N$  of  $G$  containing  $Z$  which acts trivially on  $w_Z / w_Z^2$  by conjugation. Hence  $N$  acts trivially on every  $w_Z^n / w_Z^{n+1}$ . Therefore, using Lemma 2.4, we obtain a ring isomorphism

$$\begin{aligned} A/\pi A &\cong \left( \bigoplus_{n=0}^{\infty} \left( \frac{w_Z^n}{w_Z^{n+1}} \right) \otimes_{kZ} kN \right) \otimes_{kN} kG \\ &\cong k[[N/Z]][X_1, \dots, X_d] * \left( \frac{G}{N} \right). \end{aligned}$$

The result follows from Hilbert's basis theorem, Proposition 2.4 and the fact that  $G/N$  is finite.  $\square$

3.13. **Proposition.**  $A = \text{gr}_I \mathcal{O}G$  is right Noetherian.

*Proof.* In view of [19, Theorem II.3.5], to prove that  $A$  is right Noetherian it is sufficient to show that every graded right ideal  $J = \bigoplus_{n=0}^{\infty} J_n$  of  $A$  is finitely generated.

Suppose first that  $J$  is such that  $A/J$  is  $\pi$ -torsion free. Now, the image of  $J$  in  $A/\pi A$  is a graded right ideal and  $A/\pi A$  is right Noetherian, so we can find  $z_1, \dots, z_t \in J$  such that  $z_i \in A_{d_i}$  for some integers  $d_1, \dots, d_t$  and

$$J + \pi A = \sum_{i=1}^t z_i A + \pi A.$$

Equating graded components gives

$$J_n + \pi A_n = \sum_{i=1}^t z_i A_{n-d_i} + \pi A_n$$

for all  $n \in \mathbb{Z}$ , setting  $A_n = 0$  for  $n < 0$ . Since  $A_n$  and  $A_n/J_n$  are  $\pi$ -torsionfree,  $J_n \cap \pi^a A_n = \pi^a J_n$  for any  $a \geq 0$ . Hence

$$(\dagger) \quad (J_n \cap \pi^a A_n) + \pi^{a+1} A_n = \left( \sum_{i=1}^t z_i \pi^a A_{n-d_i} \right) + \pi^{a+1} A_n$$

for all  $a$  and  $n$ . Fix  $n$  and let  $K_n = \sum_{i=1}^t z_i A_{n-d_i}$ . Clearly  $K_n \subseteq J_n$ ; we claim that in fact  $K_n = J_n$ . To see this, let  $x \in J_n$ . By  $(\dagger)$  with  $a = 0$ , we can find  $r_{i0} \in A_{n-d_i}$  for  $i = 1, \dots, t$  and  $x_1 \in A_n$  such that

$$x = \sum_{i=1}^t z_i r_{i0} + \pi x_1.$$

Since  $\sum_{i=1}^t z_i r_{i0} \in K_n \subseteq J_n$ , we see that  $\pi x_1 \in J_n \cap \pi A_n$ . Applying  $(\dagger)$  with  $a = 1$  gives elements  $r_{i1} \in A_{n-d_i}$  and  $x_2 \in A_n$  such that

$$\pi x_1 = \sum_{i=1}^t z_i \pi r_{i1} + \pi^2 x_2.$$

Continuing like this, we obtain elements  $r_{i0}, r_{i1}, \dots \in A_{n-d_i}$  for each  $i = 1, \dots, t$  such that

$$x - \sum_{i=1}^t z_i \left( \sum_{a=0}^k \pi^a r_{ia} \right) \in \pi^{k+1} A_n$$

for all  $k \in \mathbb{N}$ . Since  $A_{n-d_i}$  is a free right  $\mathcal{O}[[G/Z]]$ -module of finite rank by (3.11), it is complete with respect to the  $\pi$ -adic filtration, so for each  $i = 1, \dots, t$  the partial sums  $\sum_{a=0}^k \pi^a r_{ia}$  converge to an element  $r_i = \sum_{a=0}^{\infty} \pi^a r_{ia} \in A_{n-d_i}$ . It follows that

$$x = \sum_{i=1}^t z_i r_i \in K_n$$

so  $J_n = K_n$  as claimed. Taking the direct sum over all  $n \in \mathbb{Z}$  gives  $J = \sum_{i=1}^t z_i A$ , so  $J$  is finitely generated.

Now if  $J$  is an arbitrary graded right ideal of  $A$ , let  $L = \{x \in A : \pi^m x \in J \text{ for some } m \geq 0\}$ . This is again a graded right ideal of  $A$  and  $A/L$  is  $\pi$ -torsionfree so  $L$  is finitely generated by the above. Hence we can find some  $m \geq 0$  such that  $\pi^m L \subseteq J \subseteq L$ . Now  $J/\pi^m L$  is a right ideal of the ring  $A/\pi^m A$  which is right Noetherian because  $A/\pi A$  is, so  $J/\pi^m L$  is a finitely generated right  $A$ -module. It follows that  $J$  is finitely generated, as required.  $\square$

3.14. **Lemma.** Let  $R$  be a right Noetherian ring and let  $P$  be a right localisable semiprime ideal of  $R$ . Let  ${}_R V_R$  be a bimodule which is free of finite rank on both sides and such that  $VP = PV$ . Then for any  $v \in V$  and any  $s \in S = \mathcal{C}_R(P)$ , there exists  $v' \in V$  and  $s' \in S$  such that

$$vs' = sv'.$$

*Proof.* Let  $\bar{\cdot} : R \rightarrow R/P$  denote the natural surjection. We have a map of right  $R$ -modules  $\varphi_s : V_R \rightarrow V_R$  given by left multiplication by  $s$ . This induces a map of right  $\bar{R}$ -modules

$$\bar{\varphi}_s : V/VP \rightarrow V/VP.$$

Since  $V/VP = V/PV$  is a free left  $\bar{R}$ -module and  $s \in S$  is right regular modulo  $P$ , we see that  $\ker(\bar{\varphi}_s) = 0$ . Now  $(V/VP)_S$  is a free right  $(R/P)_S$ -module of finite rank, and  $(R/P)_S$  is semisimple Artinian by Goldie's Theorem [18, Theorem 2.3.6]. Hence  $(V/VP)_S$  is a right Artinian  $R_S$ -module, so the localised map

$$(\bar{\varphi}_s)_S : (V/VP)_S \rightarrow (V/VP)_S$$

is surjective. Hence  $sV_S + V_S P_S = V_S$  and therefore  $(V_S/sV_S).P_S = V_S/sV_S$ . Now  $P_S$  is the Jacobson radical of  $R_S$  and  $V_S/sV_S$  is a finitely generated right  $R_S$ -module, so  $V_S = sV_S$  by Nakayama's Lemma.

Now if  $v \in V$ , we can find  $w \in V$  and  $t \in S$  such that  $v1^{-1} = swt^{-1}$  inside  $V_S$ . Hence  $vt - sw$  lies in the right  $S$ -torsion submodule of  $V$  and therefore we can find some  $u \in S$  such that  $(vt - sw)u = 0$ . Now set  $s' = tu \in S$  and  $v' = wu \in V$ .  $\square$

3.15. **Proof of Theorem A( $\Leftarrow$ ).** By Lemma 3.8, we can assume that  $\Delta^+(H) = 1$ , so  $H$  is nilpotent. Proceed by induction on the nilpotency class of  $H$ . When this is zero,  $H = 1$  and  $I_{H,G} = 0$  is right localisable by Goldie's Theorem [18, Theorem 2.3.6] because  $\mathcal{O}G$  is semiprime by Proposition 2.5(i).

Assume therefore that the nilpotency class of  $H$  is nonzero, so that the centre  $Z$  of  $H$  is nontrivial. Let  $\bar{\cdot} : G \rightarrow G/Z$  denote the natural surjection and  $I = I_{Z,G}$ . Also, let  $R = \mathcal{O}\bar{G} \cong \mathcal{O}G/I$  and  $P = I_{H,G}/I = I_{\bar{H},\bar{G}}$ . Since  $\bar{H}$  is nilpotent of class strictly smaller than that of  $H$ ,  $P$  is a semiprime right localisable ideal in  $R$  by induction.

Thus  $S = \mathcal{C}_R(P)$  is a right Ore set in  $R$  and we have to show that  $T = \mathcal{C}_{\mathcal{O}G}(I_{H,G})$  is a right Ore set in  $\mathcal{O}G$ .

We can view  $S$  as a subset of

$$\text{gr}_I \mathcal{O}G = R \oplus \frac{I}{I^2} \oplus \frac{I^2}{I^3} \oplus \cdots$$

consisting of homogeneous elements of degree 0. It is straightforward to see that  $T$  is the "saturated lift of  $S$ " in the language of [14]:

$$T = \{t \in \mathcal{O}G : \sigma_I(t) \in S\}.$$

Moreover, that  $T$  is a right Ore set in  $\mathcal{O}G$  follows from [14, Corollary 2.2], provided

- (a) the Rees ring  $\widetilde{\mathcal{O}G}$  with respect to the  $I$ -adic filtration is right Noetherian, and  
 (b)  $S$  is a right Ore set in  $\text{gr}_I \mathcal{O}G$ .

Now,  $\mathcal{O}G$  is complete with respect to the  $I$ -adic filtration and  $\text{gr}_I \mathcal{O}G$  is right Noetherian by Proposition 3.13, so (a) follows from [17, Chapter II, Proposition 2.2.1].

To show (b) holds, let  $r \in \text{gr}_I \mathcal{O}G$  and let  $s \in S$ . Then  $r \in V := \bigoplus_{n=0}^m I^n/I^{n+1}$  for some  $m \in \mathbb{N}$ . Note that  $V$  is an  $R - R$ -bimodule, free of finite rank on both sides by the remarks made in (3.11).

Since  $Z$  commutes with  $H$ ,  $I^n I_{H,G} = I_{H,G} I^n$  for any  $n \geq 0$ , so

$$\left( \frac{I^n}{I^{n+1}} \right) \cdot P = P \cdot \left( \frac{I^n}{I^{n+1}} \right)$$

for all  $n \geq 0$ . Hence  $VP = PV$ , so by Lemma 3.14, we can find  $r' \in V \subseteq \text{gr}_I \mathcal{O}G$  and  $s' \in S$  such that  $rs' = sr'$  as required.

Of course, everything above has a left-handed version, so we also have proved that  $I_{H,G}$  is left localisable if  $H/\Delta^+(H)$  is nilpotent. The result follows.  $\square$

#### 4. PROPERTIES OF THE LOCALISATIONS

4.1. This section is devoted to the proof of Theorem B, which is given in (4.7). As in §3, we begin with a reduction to the case when  $\Delta^+(H) = 1$ . First, a well-known group theoretic result.

**Lemma.** *Suppose  $H$  is nilpotent and that  $\Delta^+(H) = 1$ . Then  $H$  is torsionfree.*

*Proof.* The subset  $F$  of  $H$  consisting of all elements of finite order is a subgroup by the proof of [13, 0.4(vii)]. Choose an open normal uniform subgroup  $U$  of  $H$ ; then  $U$  is torsionfree by [13, Theorem 4.5], so  $U \cap F = 1$  and  $F$  is finite. Clearly  $F$  is normal, so  $F = \Delta^+(H)$  and the result follows.  $\square$

4.2. **Until the end of this section**, we will assume that  $I_{H,G}$  is localisable, or equivalently that  $H/\Delta^+(H)$  is nilpotent by Theorem A.

Choose an open normal torsionfree subgroup  $L/H$  of  $G/H$ . The next result is a direct analogue of [1, Lemma 5.1]. We will denote the localisation of  $\mathcal{O}G$  at  $I_{H,G}$  by  $\mathcal{O}G_H$ .

**Lemma.** *Suppose that  $\Delta^+(H) = 1$ . Then*

- (i)  $\mathcal{O}G_H$  is a crossed product of  $\mathcal{O}L_H$  with the finite group  $G/L$ :

$$\mathcal{O}G_H \cong \mathcal{O}L_H * (G/L),$$

- (ii)  $T := \mathcal{C}_{\mathcal{O}G}(I_{H,G})$  consists of regular elements of  $\mathcal{O}G$ .

*Proof.* The proof of part (i) is very similar to the proof of [1, Lemma 5.1] so we will omit the details. The main things to note are:

- $H$  is torsionfree by Lemma 4.1, so  $L$  is also torsionfree,

- $\mathcal{O}L$  is a domain by Proposition 2.5(iii) because  $L$  is torsionfree,
- $S := \mathcal{C}_{\mathcal{O}L}(I_{H,L})$  is an Ore set in  $\mathcal{O}L$  consisting of regular elements,
- $S$  is also an Ore set in  $\mathcal{O}G$  consisting of regular elements of  $\mathcal{O}G$ , and
- $\mathcal{O}G_T \cong \mathcal{O}G_S$ .

The last isomorphism shows that  $\text{ass}(T) = \text{ass}(S)$ , but  $S$  consists of regular elements so  $\text{ass}(T) = 0$  and part (ii) follows.  $\square$

4.3. We will need the following result in the proof of Theorem B.

**Proposition.** *Let  $F = \Delta^+(H)$ . Then  $\text{ass}(\mathcal{C}_{\mathcal{O}G}(I_{H,G})) = I_{F,G}$ .*

*Proof.* Let  $\bar{\cdot} : G \rightarrow G/F$  denote the natural surjection. We have seen in the proof of Lemma 3.8 that  $KI_{H,G}$  is localisable in  $KG$  and that it contains the ideal  $KI_{F,G}$  which is generated by the central idempotent  $f$ . Note that because  $\pi \in \mathcal{C}_{\mathcal{O}G}(I_{H,G})$ ,  $\mathcal{O}G_H$  is isomorphic to the localisation of  $KG$  at  $KI_{H,G}$ . Let  $u$  denote the image of  $f$  in  $\mathcal{O}G_H$ .

Now, the Jacobson radical of  $\mathcal{O}G_H$  is equal to  $I_{H,G} \cdot \mathcal{O}G_H$  so it contains  $u$ . But then  $1 - u$  is invertible and  $u$  is an idempotent, so  $u = 0$ . Hence

$$I_{F,G} \subseteq \mathcal{O}G \cap fKG \subseteq \text{ass}(\mathcal{C}_{\mathcal{O}G}(I_{H,G})).$$

In order to prove the reverse inclusion, we may assume that  $F = 1$ . But now  $\mathcal{C}_{\mathcal{O}G}(I_{H,G})$  consists of regular elements of  $\mathcal{O}G$  by Lemma 4.2(ii) and the result follows.  $\square$

**Corollary.**  $\mathcal{O}G_H \cong \mathcal{O}\overline{G_H}$ .

**Until the end of this section,** we will assume that  $\Delta^+(H) = 1$ .

4.4. **Proposition.**  $\dim H \leq \mathcal{K}(\mathcal{O}H_H)$ .

*Proof.* We will construct a chain of prime ideals in  $R := \mathcal{O}H_H$  of length  $d = \dim H$ .

We can assume that  $d \geq 1$  to avoid trivialities. Now  $\dim Z \geq 1$  where  $Z$  is the centre of  $H$  as in (3.9). Let  $A$  be a closed subgroup of  $Z$  with  $\dim A = 1$ ; then  $A$  is normal in  $H$ . Let  $H_1$  be the inverse image in  $H$  of  $\Delta^+(H/A)$ , this is again a normal subgroup of  $H$  with  $\dim H_1 = 1$ . Note that  $I_{H_1,H}R$  is a nonzero ideal in  $R$  by Proposition 4.3 because  $H_1$  is infinite.

Since  $\Delta^+(H/H_1) = 1$  and  $\dim H/H_1 = d - 1$ , we can iterate the above construction and choose a sequence of closed normal subgroups

$$1 = H_0 < H_1 < \cdots < H_d = H$$

such that  $\Delta^+(H/H_i) = 1$  for all  $i = 0, \dots, d - 1$ . This gives rise to a sequence of ideals in  $R$

$$0 \subset I_{H_1,H}R \subset I_{H_2,H}R \subset \cdots \subset I_{H_d,H}R$$

and each inclusion is strict by the above remarks. It is easy to see that

$$R/I_{H_i, H}R \cong \mathcal{O}[[H/H_i]]_{H/H_i},$$

a localisation of  $\mathcal{O}[[H/H_i]]$ . Since  $\Delta^+(H/H_i) = 1$  by construction,  $\mathcal{O}[[H/H_i]]$  is a prime ring by Proposition 2.5(ii), so each  $I_{H_i, H}R$  is a prime ideal in  $R$ .

The result now follows from [18, Lemma 6.4.5].  $\square$

**4.5. Lemma.**  $\mathcal{O}H_H \hookrightarrow \mathcal{O}G_H$  and  $\mathcal{O}G_H$  is a faithfully flat  $\mathcal{O}H_H$ -module.

*Proof.* Let  $R = \mathcal{O}H_H$  and  $T = \mathcal{O}G_H$ . Since  $\Delta^+(H) = 1$ ,  $\mathcal{O}H \hookrightarrow R$  and  $\mathcal{O}G \hookrightarrow T$  by Proposition 4.3.

Because  $\mathcal{C}_{\mathcal{O}H}(I_H) \subseteq \mathcal{C}_{\mathcal{O}G}(I_{H,G})$ , the natural injection  $\mathcal{O}H \rightarrow \mathcal{O}G \rightarrow T$  factors through  $\mathcal{O}H \rightarrow R$  by the universal property of localisation [18, Lemma 2.1.4]. Hence  $R \hookrightarrow T$  as required for the first part.

Now,  $\mathcal{O}G$  is a flat  $\mathcal{O}H$ -module. Because localisation is exact,  $T$  is a flat  $\mathcal{O}H$ -module. For any  $R$ -module  $X$ , we have  $X \otimes_{\mathcal{O}H} R \cong X$  by [18, Proposition 7.4.2(i)], so

$$X \otimes_R T \cong X \otimes_{\mathcal{O}H} R \otimes_R T \cong X \otimes_{\mathcal{O}H} T.$$

Hence  $T$  is a flat  $R$ -module.

Finally,  $\mathcal{O}H/I_H \cong \mathcal{O}$  is a domain, so the localisation  $R/I_H R$  is a division ring (in fact, it is isomorphic to the field  $K$ ). Since  $J := I_H R$  is the Jacobson radical of  $R$ ,  $J$  is the unique maximal right and left ideal of  $R$ . But now  $JT = I_H \cdot \mathcal{O}G \cdot T = I_{H,G}T$  is the Jacobson radical of  $T$  so  $JT \neq T$ . Hence  $T$  is a faithfully flat  $R$ -module by [18, Proposition 7.2.3].  $\square$

**Corollary.**  $\mathcal{K}(\mathcal{O}H_H) \leq \mathcal{K}(\mathcal{O}G_H)$ .

*Proof.* Apply [18, Lemma 6.5.3(ii)].  $\square$

**4.6. Lemma.**  $\mathcal{O}G_H$  is Auslander-Gorenstein.

*Proof.* Since  $\Delta^+(H) = 1$ ,  $\mathcal{C}_{\mathcal{O}G}(I_{H,G})$  consists of regular elements of  $\mathcal{O}G$  by Lemma 4.2(ii). Now  $\mathcal{O}G$  is Auslander-Gorenstein by Proposition 2.4, so we can apply [9, Proposition 2.1].  $\square$

**4.7. Proof of Theorem B.** By the right exactness of tensor product, we see that  $(\mathcal{O}H/I_H) \otimes_{\mathcal{O}H} T \cong T/I_H T$ . By the proof of Lemma 4.5,  $T = \mathcal{O}G_H$  is a flat  $\mathcal{O}H$ -module, so

$$\mathrm{pd}_T(T/I_H T) \leq \mathrm{pd}_{\mathcal{O}H}(\mathcal{O}H/I_H) = \mathrm{pd}_{\mathcal{O}H}(\mathcal{O}).$$

By [7, Corollary 4.4] and [22, Corollaire 1], we have  $\mathrm{pd}_{\mathcal{O}H}(\mathcal{O}) = \dim H$ . Now  $J(T) = I_H T$  and  $T$  is semilocal, so [18, Theorem 7.3.14(ii)] gives

$$\mathrm{gld}(T) \leq \mathrm{gld}(T/I_H T) + \mathrm{pd}_T(T/I_H T) \leq \dim H,$$

bearing in mind [18, 7.1.5]. Thus  $T$  has finite global dimension. Because  $T$  is Auslander-Gorenstein by Lemma 4.6, part (a) follows.

We prove parts (b) and (c) simultaneously. By Proposition 4.4 and Corollary 4.5, we have  $\dim H \leq \mathcal{K}(\mathcal{O}H_H) \leq \mathcal{K}(T)$ . But  $\mathcal{K}(T) \leq \text{gld}(T)$  by [8, Corollary 1.3] because  $T$  is Auslander-Gorenstein, and we have seen above that  $\text{gld}(T) \leq \dim H$ . The result follows.  $\square$

## 5. MINIMAL PRIMES IN $kG$

5.1. We now turn to the study of the minimal prime ideals of  $kG$ . First, a very general result.

**Lemma.** *Let  $A$  be a closed subgroup of  $G$ . Then  $kG$  is a faithfully flat  $kA$ -module. For any right ideal  $I$  of  $kA$ , we have  $I = IkG \cap kA$ .*

*Proof.* Note that  $kG$  is a flat  $kA$ -module by [7, Lemma 4.5]. For any right  $kA$ -module  $M$  we have an isomorphism of  $k$ -vector spaces

$$M \otimes_{kA} kG \cong M \otimes_k k \otimes_{kA} kG \cong M \otimes_k \text{Ind}_A^G k.$$

Since  $k$  is a field and  $\text{Ind}_A^G k$  is always nonzero,  $\text{Ind}_A^G k$  is a faithfully flat  $k$ -module. Hence if  $M \otimes_{kA} kG = 0$  then  $M = 0$  as required. The second statement follows from [18, Lemma 7.2.5] applied to the  $kA$ -module  $kA/I$ .  $\square$

5.2. Let  $\Delta^+ = \Delta^+(G)$  and  $J = J(k\Delta^+)$ . Because  $\Delta^+$  is finite, this is a nilpotent ideal. Moreover,  $J$  is clearly invariant under conjugation by elements of  $G$ , so the right ideal  $JkG$  of  $kG$  is actually two-sided:

$$J \cdot kG = kG \cdot J.$$

This makes it easy to see that  $JkG$  is nilpotent and hence contained in the prime radical of  $kG$ . In what follows, we let  $\bar{\cdot} : kG \rightarrow kG/JkG$  denote the canonical map. By Lemma 5.1, we see that  $\overline{k\Delta^+} \cong k\Delta^+/J$  is a semisimple Artinian subring of  $\overline{kG}$ .

5.3. The group  $G$  acts on the centrally primitive idempotents of  $\overline{k\Delta^+}$ . Whenever  $\mathcal{C}$  is a  $G$ -orbit on these idempotents,  $\widehat{\mathcal{C}} = \sum_{e \in \mathcal{C}} e$  is a central idempotent in  $\overline{kG}$ . Let  $f_1, \dots, f_r$  be the central idempotents of  $kG$  obtained in this way. It is easy to see that they are pairwise orthogonal and that  $1 = f_1 + f_2 + \dots + f_r$ .

**Theorem.**  *$f_i \cdot \overline{kG}$  is a prime ring for all  $i = 1, \dots, r$ .*

*Proof.* When  $J = 0$ , this is precisely the content of [3, Theorem 9.2]. It is possible to make appropriate modifications to the proof in [3, §10] to cover the general case. Because this is straightforward, long and mainly consists of replacing  $kG$  by  $\overline{kG}$  and  $k\Delta^+$  by  $\overline{k\Delta^+}$  in various places, we will omit the details.  $\square$

5.4. As in [3, §9], Theorem 5.3 has many consequences. Before we deduce them, we need an elementary Lemma.

**Lemma.** *Let  $R$  be a ring and let  $1 \neq e \in R$  be a central idempotent. Suppose that  $eR$  is a prime ideal in  $R$ . Then  $eR$  is a minimal prime.*

*Proof.* Let  $Q$  be a prime ideal of  $R$  contained in  $eR$  and let  $f = 1 - e$ . Now if  $f \in Q$ ,  $f = f^2 \in fQ \subseteq feR = 0$  so  $f = 0$  and  $e = 1$ , a contradiction. Because  $0 = eR.fR \subseteq Q$  and  $Q$  is prime,  $e \in Q$  so  $Q = eR$  as required.  $\square$

5.5. Keeping the notation of (5.3), let  $e_i = 1 - f_i \in \overline{k\Delta^+}$ ,  $i = 1, \dots, r$ . These are again nonzero central idempotents of  $\overline{kG}$ .

**Theorem.** *The minimal primes of  $\overline{kG}$  are precisely  $\{e_1.\overline{kG}, \dots, e_r.\overline{kG}\}$ .*

*Proof.* Since  $\overline{kG}/e_i.\overline{kG} \cong f_i.\overline{kG}$  is a prime ring by Theorem 5.3, each  $e_i.\overline{kG}$  is prime. By Lemma 5.4 each  $e_i.\overline{kG}$  is minimal.

Now if  $Q$  is a minimal prime of  $\overline{kG}$ ,  $Q$  contains  $(e_1.\overline{kG}) \cdots (e_r.\overline{kG}) = 0$  so  $e_i.\overline{kG} \subseteq Q$  for some  $i$ . By the minimality of  $Q$ ,  $Q = e_i.\overline{kG}$  and the result follows.  $\square$

**Corollary.**  *$\overline{kG}$  is semiprime.*

*Proof.* If  $x \in \bigcap_{i=1}^r e_i.\overline{kG}$ , then  $f_i x = 0$  for all  $i = 1, \dots, r$ . Hence  $x = (f_1 + \cdots + f_r)x = 0$ , so  $0$  is a semiprime ideal of  $\overline{kG}$ .  $\square$

5.6. **Prime radical of  $kG$ .** It is now straightforward to lift information to  $kG$ :

**Theorem.** *The prime radical  $N(kG)$  of  $kG$  is controlled by  $k\Delta^+$ :*

$$N(kG) = JkG = (N(kG) \cap k\Delta^+).kG.$$

*Proof.* We have seen in (5.2) that  $JkG \subseteq N(kG)$ . But  $\overline{kG} = kG/JkG$  is semiprime by Corollary 5.5, so  $N(kG) = JkG$ . The last equality follows from Lemma 5.1.  $\square$

5.7. **Minimal primes of  $kG$ .** Recall [18, 10.5.3] that if  $G$  is a group acting by ring automorphisms on a ring  $R$ , then an ideal  $P$  of  $R$  is said to be  $G$ -prime if whenever  $A$  and  $B$  are  $G$ -invariant ideals of  $R$  such that  $AB \subseteq P$ , then either  $A \subseteq P$  or  $B \subseteq P$ . By [18, Corollary 10.5.7], the minimal primes above  $P$  form a single  $G$ -orbit and  $P$  is the intersection of the minimal primes above it; thus any  $G$ -prime ideal is semiprime, but not necessarily prime.

**Theorem.** *The minimal prime ideals of  $kG$  are controlled by  $k\Delta^+$ . There is a bijective correspondence between minimal prime ideals of  $kG$  and  $G$ -prime ideals of  $k\Delta^+$ , given by*

$$\begin{aligned} P &\mapsto P \cap k\Delta^+ \\ Q.kG &\leftarrow Q. \end{aligned}$$



*Proof.* Choose  $a_i \in k\Delta^+$  such that  $\bar{a}_i = e_i \in \overline{k\Delta^+}$ , and let  $P_i = a_i kG + JkG$  be the inverse image of  $e_i \overline{kG}$  in  $kG$ . By Theorems 5.5 and 5.6,  $\bar{P}_i$  is a minimal prime of  $\overline{kG}$  and  $JkG$  is the prime radical of  $kG$ , so  $P_i$  is a minimal prime of  $kG$ . Moreover, all the minimal primes arise in this way, so  $\{P_1, \dots, P_r\}$  is the complete list of minimal primes of  $kG$ .

Now  $P_i = (a_i k\Delta^+ + J).kG$  so  $P_i \cap k\Delta^+ = a_i k\Delta^+ + J$  and

$$P_i = (P_i \cap k\Delta^+).kG$$

by Lemma 5.1. Thus the  $P_i$ 's are all controlled by  $k\Delta^+$ .

Finally  $\bar{P}_i \cap \overline{k\Delta^+} = e_i \overline{k\Delta^+}$  is a  $G$ -prime ideal of  $\overline{k\Delta^+}$  by construction, so  $P_i \cap k\Delta^+$  is a  $G$ -prime ideal of  $k\Delta^+$ . If  $Q$  is a  $G$ -prime ideal of  $k\Delta^+$ , then  $k\Delta^+/Q$  is semisimple Artinian because  $Q$  is semiprime. Now the centrally primitive idempotents of  $k\Delta^+/Q$  must lie in a single  $G$ -orbit, so  $Q = a_i k\Delta^+ + J$  for some  $i$ . Hence all  $G$ -prime ideals of  $k\Delta^+$  are of the form  $P_i \cap k\Delta^+$  for some  $i$ , and the result follows.  $\square$

## 6. AN APPLICATION

6.1. In [1], Ken Brown and the author studied a certain semiprime ideal  $P_H$  of  $\Omega_G$  coming from a closed normal subgroup  $H$  of a compact  $p$ -adic analytic group  $G$ . We briefly recall the definition. Recall (1.4) that  $w_{H,G}$  denotes the kernel of the map  $\Omega_G \rightarrow \Omega_{G/H}$ .

6.2. Choose an open pro- $p$  subgroup  $N$  of  $H$  which is normal in  $G$ . Then  $P_H$  is defined to be the prime radical of  $w_{N,G}$ :

$$P_H := \sqrt{w_{N,G}}.$$

It is shown in [1, Lemma 3.2] that  $P_H$  is independent of the choice of  $N$  and is thus well-defined. Now let  $L$  be the inverse image of  $\Delta^+(G/H)$  in  $G$ . Then any open subgroup of  $H$  is open in  $L$ , so  $P_H = P_L$ .

6.3. We can use this observation to obtain a more explicit description of  $P_H$ .

**Proposition.** *The ideal  $P_H$  is controlled by  $\Omega_L$ :*

$$P_H = J(\Omega_L)\Omega_G = (P_H \cap \Omega_L)\Omega_G.$$

*Proof.* Choose  $N$  as in (6.2). Then  $P_H/w_{N,G}$  is the prime radical of the ring  $\Omega_G/w_{N,G} \cong \Omega_{G/N}$ . It is easy to see that  $\Delta^+(G/N) = L/N$ , so by Theorem 5.6

$$P_H/w_{N,G} = J(\Omega_{L/N})\Omega_{G/N}.$$

Now  $w_{N,L} = w_{N,N}\Omega_L$  is contained in  $J(\Omega_L)$  by the remarks in [1, 1.2], because  $N$  is an open pro- $p$  subgroup of  $L$ . Hence  $J(\Omega_{L/N}) = J(\Omega_L)/w_{N,L}$ . Because  $\Omega_G$  is a

flat  $\Omega_L$ -module, we obtain

$$J(\Omega_{L/N})\Omega_{G/N} \cong \frac{J(\Omega_L)}{w_{N,L}} \otimes_{\Omega_L} \Omega_G \cong \frac{J(\Omega_L)\Omega_G}{w_{N,L}\Omega_G} = \frac{J(\Omega_L)\Omega_G}{w_{N,G}},$$

so  $P_H = J(\Omega_L)\Omega_G$ . The second equality follows from Lemma 5.1.  $\square$

**6.4. Proof of Theorem C.** It was proved in [1, Theorem J(iv)] that the finiteness of the global dimension of  $\Omega_{G,H}$  forces  $L$  to have no elements of order  $p$ .

Conversely, suppose that  $L$  has no elements of order  $p$  and let  $R = \Omega_L$ . By the well-known result of Brumer [7],  $R$  has finite global dimension. Now,  $T := \Omega_{G,H}$  is a semilocal Noetherian ring with Jacobson radical  $J(T) = P_H T = J(R)T$  by Proposition 6.3. Because  $\Omega_G$  is a flat  $R$ -module and because localisation is exact,  $T$  is a flat  $R$ -module. Hence

$$\text{pd}_T(T/J(T)) \leq \text{pd}_R(R/J(R)) \leq \text{gld } R < \infty.$$

Now apply [18, Theorem 7.3.14(ii)].

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