

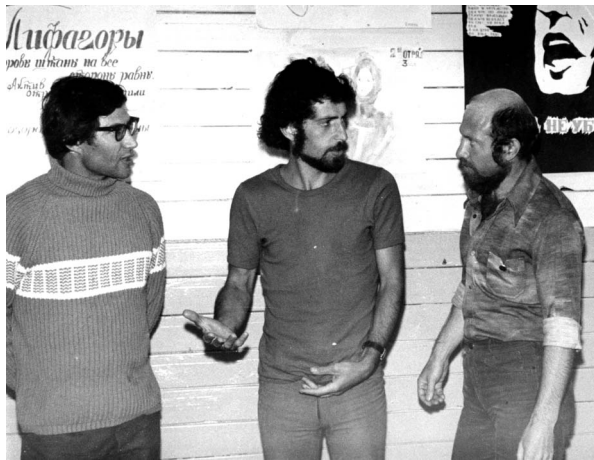
# On the automorphism groups of groups $F/R'$

Vladimir Tolstykh

Yeditepe University (Istanbul)

28 March 2010

# Summer School of Kemerovo State University, August 1978



# Seminar of Algebra and Geometry Division of KemSU, late 70s



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# Complete groups

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In particular,

$$\text{Aut}(G) = \text{Inn}(G) \cong G,$$

and  $\text{Aut}(G) \cong G$ .



# In the Sharpest Sense

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This confirms Baumslag's conjecture for the case of free groups of finite rank in the “*sharpest sense*”.

# Burnside

Burnside: let  $G$  be a centerless group. Then  $\text{Aut}(G)$  is complete if and only if the subgroup  $\text{Inn}(G)$  of all inner automorphisms of  $G$  is a characteristic subgroup of the group  $\text{Aut}(G)$  (that is, preserved under the action of all automorphisms of the group  $\text{Aut}(G)$ ).

# ...Is the Only Subgroup Such That...

Formanek (1990): let  $F_n$  be a free group of finite rank  $n \geq 2$ . Then the subgroup  $\text{Inn}(F_n)$  is the only free normal subgroup of  $\text{Aut}(F)$  having rank  $n$ .

# Infinitely generated free groups

Tolstykh (2000): the automorphism group of any *infinitely generated* and hence of any non-abelian free group is complete.

# Why $\text{Inn}(F)$ is characteristic in $\text{Aut}(F)$ ?

Let  $F$  be a nonabelian free group. Then

- the family of all inner automorphisms of  $F$  determined by powers of primitive elements of  $F$  is first-order definable in  $\text{Aut}(F)$ ; it follows that  $\text{Inn}(F)$  is a characteristic subgroup of  $\text{Aut}(F)$ ;

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- the subgroup  $\text{Inn}(F)$  is then first-order definable in  $\text{Aut}(F)$  provided that  $F$  is of infinite rank.



# Dyer and Formanek

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In the case when  $n = 3$ , the height of the corresponding automorphism tower is three.

T.

Tolstykh (2001): the automorphism group  $\text{Aut}(N)$  of any infinitely generated free nilpotent group  $N$  of *class two* is also complete.

# Kassabov

Kassabov (2003) found an upper bound  $u(n, c) \in \mathbf{N}$  for the height of the automorphism tower of  $F_{n,c}$  in terms of  $n$  and  $c$ ,

# Kassabov

Kassabov (2003) found an upper bound  $u(n, c) \in \mathbf{N}$  for the height of the automorphism tower of  $F_{n,c}$  in terms of  $n$  and  $c$ , thereby finally proving Baumslag's conjecture on finitely generated free nilpotent groups.

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By analyzing the function  $u(n, c)$  one can conclude that if  $c$  is small compared to  $n$ ,

# In three steps in the most of cases

By analyzing the function  $u(n, c)$  one can conclude that if  $c$  is small compared to  $n$ , then the height of the automorphism tower of  $F_{n,c}$  is at most three.



# Infinitely generated free nilpotent groups

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Thus the automorphism tower of any free nilpotent groups terminates after finitely many steps.

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A group  $G$  is said to be *residually  $\mathcal{P}$*  if for every nonidentity element  $g$  of  $G$  there is a homomorphism  $\varphi : G \rightarrow K$  from  $G$  onto a group  $K$  with  $\mathcal{P}$  such that  $\varphi$  does not vanish on  $g$ :  $\varphi(g) \neq 1$ .

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Equivalently, the intersection of the family of all normal subgroups  $N$  of  $G$  such that the quotient group  $G/N$  has the property  $\mathcal{P}$  is trivial.

For instance, free solvable groups are residually torsion-free nilpotent.

# Automorphism groups of groups $F_n/R'$

Dyer–Formanek (1977): let  $F_n$  be a free group of finite rank  $n \geq 2$  and let  $R$  be a characteristic subgroup of  $F_n$  such that  $R \leq F_n'$  and the quotient group  $F/R$  is residually torsion-free nilpotent.

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Then the automorphism group  $\text{Aut}(F_n/R')$  of the group  $F_n/R'$  is complete.

In particular, the automorphism group of a free solvable group of finite rank  $\geq 2$  and of derived length  $\geq 2$  is complete.

# Why groups $F/R'$ ?

In brief: existence of derivations of groups  $F/R'$  in  $F/R$ -modules, modules over the group rings  $\mathbf{Z}[F/R]$ .

# Fox differential calculus

A *derivation* of a given group  $G$  in a  $G$ -module  $M$  is a map  $D : G \rightarrow M$  such that

$$D(ab) = D(a) + aD(b)$$

for every  $a, b \in G$  (here  $aD(b)$  is the result of the action of a scalar  $a \in G \subseteq \mathbf{Z}[G]$  on a vector  $D(b) \in M$ .)

# Fox differential calculus

Fox (1953): let  $F$  be a free group with a basis  $(X_i : i \in I)$ . Then for any prescribed elements  $Y_i \in \mathbf{Z}[F]$  there is a unique derivation  $D : F \rightarrow \mathbf{Z}[F]$  such that

$$D(X_i) = Y_i \quad (i \in I).$$

# Fox differential calculus

In particular, for every  $i \in I$  there is a unique derivation  $D_i$  such that

$$D_i(X_j) = \delta_{ij}$$

for all  $i, j \in I$ .

$F, R, R'$ 

Now let  $R$  be a normal subgroup of  $F$  and let  $R'$  denote the commutator subgroup of  $R$ ; the quotient group  $R/R'$  will be denoted by  $\widehat{R}$ .

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$\bar{\phantom{x}}$  will denote the homomorphism  $\mathbf{Z}[F] \rightarrow \mathbf{Z}[F/R]$  of group rings determined by the natural homomorphism  $F \rightarrow F/R$ ;

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The same symbol  $\bar{\phantom{x}}$  will be used to denote the similarly defined homomorphism  $\mathbf{Z}[F/R'] \rightarrow \mathbf{Z}[F/R]$ .



# All of 'em at once

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Let  $M$  be a free  $F/R$ -module (hence an  $F$ - and an  $F/R'$ -module) with a free basis  $(t_i : i \in I)$ .

Then the map

$$\partial(aR') = \sum \overline{D_i(a)} t_i$$

where  $a$  runs over  $F$  is a well-defined derivation of  $F/R'$  in  $M$ , since  $\overline{D_i(b)} = 0$  for every  $b \in R'$  and for every  $i \in I$ .

## Some nice features of $\partial$

Moreover,  $\partial$  is injective on  $F/R'$  (a corollary of a result by Magnus, 1939) and since

$$\begin{aligned}\partial(r_1 r_2) &= \partial(r_1) + \bar{r}_1 \partial(r_2) = \partial(r_1) + \partial(r_2), \\ \partial(gR * r) &= \partial(grg^{-1}) = \bar{g} \partial(r).\end{aligned}$$

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for all  $r_1, r_2 \in R/R'$  and  $g \in G$ ,  $R/R'$  and  $\partial(R/R')$  can be viewed as isomorphic  $\mathbf{Z}[F/R]$ -modules.

# Is the rank of $\mathcal{F}$ 'recognizable' by $\text{Aut}(\mathcal{F})$ ?

Let  $\mathcal{F}$  be a relatively free algebra of infinite rank  $\varkappa$ . When working to describe automorphisms of the group  $\Gamma = \text{Aut}(\mathcal{F})$  it is very helpful to know whether  $\Gamma$

*'recognizes'*

the cardinality  $\varkappa = \text{rank } \mathcal{F}$ .

# Relations of cardinality $< |\Gamma|$

To achieve that one can start with relations on  $\Gamma$  of cardinality  $< |\Gamma| = 2^{\aleph}$ .

# Setwise and pointwise stabilizers

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The pointwise  $H_{(Y)}$  and the setwise  $H_{\{Y\}}$  stabilizers of  $Y$  in  $H$  are subgroups

$$H_{(Y)} = \{h \in H : hy = y \text{ for all } y \in Y\} \text{ and}$$
$$H_{\{Y\}} = \{h \in H : hY = Y\},$$

respectively.



# Small index property

Let  $\mathcal{M}$  be a countable structure. We say that  $\mathcal{M}$  has the *small index* property if any subgroup  $H$  of the automorphism group  $\Gamma = \text{Aut}(\mathcal{M})$  of  $\mathcal{M}$  having index  $< 2^{\aleph_0}$  contains the stabilizer of  $\Gamma_{(U)}$  of a finite subset  $U$  of  $\mathcal{M}$ :

$$|\Gamma : H| < 2^{\aleph_0} \Rightarrow \exists \text{ a finite } U \subseteq \mathcal{M} \text{ s.t. } \Gamma_{(U)} \leq H.$$

# Small index property: examples

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- any free group of countably infinite rank (Bryant and Evans);
- any free nilpotent group of countably infinite rank (Bryant and Evans), etc.

# Another result by Dixon–Neumann–Thomas

Let  $\Omega$  be an infinite set. For every subgroup  $H$  of  $\Gamma = \text{Sym}(\Omega)$  having index  $\leq |\Omega|$  there is a subset  $U$  of  $\Omega$  of cardinality  $< |\Omega|$  such that

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Similar result is true for the automorphism groups of some other structures.

# Subgroups of small index

## Proposition

*Let  $G$  be a relatively free group of infinite rank  $\kappa$ ,  $\mathcal{X}$  a basis of  $G$  and  $\Sigma$  a subgroup of the automorphism group  $\Gamma = \text{Aut}(G)$  of index at most  $\text{rank } G$ . Then there is a subset  $\mathcal{U}$  of  $\mathcal{X}$  of cardinality  $< \kappa$  such that*



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(i) for every moiety  $\mathcal{Z}$  of  $\mathcal{X} \setminus \mathcal{U}$ ,  $\Sigma$  contains the subgroup  $\Gamma_{(\mathcal{X} \setminus \mathcal{Z}), \{\langle \mathcal{Z} \rangle\}}$  of automorphisms of  $G$  which fix  $\mathcal{X} \setminus \mathcal{Z}$  pointwise and preserve the subgroup  $\langle \mathcal{Z} \rangle$  generated by  $\mathcal{Z}$ ;

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(ii) for every moiety  $\mathcal{Z} = \{z_i : i \in I\}$  of  $\mathcal{X} \setminus \mathcal{U}$ , and for every subset  $\{v_i : i \in I\}$  of the subgroup  $\langle \mathcal{U} \rangle$  generated by  $\mathcal{U}$ ,  $\Sigma$  contains an automorphism  $\sigma$  of  $G$  which fixes the set  $\mathcal{X} \setminus \mathcal{Z}$  pointwise and takes an element  $z_i$  of  $\mathcal{Z}$  to  $z_i v_i$ :

$$\begin{aligned} \sigma x &= x, & x \in \mathcal{X} \setminus \mathcal{Z}, \\ \sigma z_i &= z_i v_i & i \in I. \end{aligned} \tag{1}$$

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Equivalently, the index  $|\Gamma : C(\sigma)|$  of the centralizer  $C(\sigma)$  in  $\Gamma$  is at most  $\varkappa$ .

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Equivalently, the index  $|\Gamma : C(\sigma)|$  of the centralizer  $C(\sigma)$  in  $\Gamma$  is at most  $\kappa$ .

The family  $S$  of all automorphisms  $\sigma$  of  $G$  whose conjugacy class is small is a normal subgroup of  $\text{Aut}(G)$ .

# Examples

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Let  $N$  be an infinitely generated free nilpotent group of class  $c \geq 3$ ,  $\mathcal{X}$  is a basis of  $N$ ,  $u_2, \dots, u_c$  be some fixed elements of  $\mathcal{X}$  and  $\sigma \in \text{Aut}(N)$  is such that

$$\sigma(x) = x[u_2, x, u_3, \dots, u_c] \quad (x \in \mathcal{X}).$$

Then  $\sigma$  has small conjugacy class in  $\text{Aut}(N)$ ...



# Examples

...since for every  $x, y \in \mathcal{X}$  letting  $w(t; \vec{u})$  denote the word  $t[u_2, t, u_3, \dots, u_c]$ , we have that

$$\begin{aligned}\sigma(xy) &= x[u_2, x, u_3, \dots, u_c] \cdot y[u_2, y, u_3, \dots, u_c] = xy[u_2, xy, u_3, \dots, u_c], \\ &= w(x; \vec{u}) \cdot w(y; \vec{u}) = w(xy; \vec{u})\end{aligned}$$

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and, in effect,

$$\sigma(z) = z[u_2, z, u_3, \dots, u_c] = w(z; \vec{u})$$

for every  $z \in N$ .

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and, in effect,

$$\sigma(z) = z[u_2, z, u_3, \dots, u_c] = w(z; \vec{u})$$

for every  $z \in N$ . It easily follows that

$$\pi\sigma\pi^{-1}(z) = w(z; \pi\vec{u}) \quad [z \in N]$$

and therefore the conjugacy class of  $\sigma$  is small.

# 'Homomorphic' terms/words

## Proposition

Let  $G$  be a relatively free group of infinite rank  $\kappa$ ,  $\mathcal{X}$  a basis of  $G$ . The conjugacy class of a  $\sigma \in \text{Aut}(G)$  is small if and only if there are finitely many elements  $u_1, \dots, u_s$  of  $\mathcal{X}$  and a term  $w(*; *_{1}, \dots, *_{s})$  of the language of group theory (a group word in symbols  $*, *_{1}, \dots, *_{s}$ ) such that

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for all  $x \in \mathcal{X}$  and

$$w(xy; u_1, \dots, u_s) = w(x; u_1, \dots, u_s) \cdot w(y; u_1, \dots, u_s)$$

for all  $x, y \in \mathcal{X}$  (in effect,  $\sigma(g) = w(g; u_1, \dots, u_s)$  for all  $g \in G$ ).

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(ii) the cardinal

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is equal to  $\varkappa$ ;

(iii) the conjugacy class of a nonidentity  $\sigma \in \Gamma$  is small if and only if  $|\sigma^\Gamma| \leq |\pi^\Gamma|$  for every nonidentity  $\pi \in \Gamma$ ;

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## Proposition

Let  $G$  be a centerless relatively free group of infinite rank  $\varkappa$ .

(i) Suppose that the cardinality of the conjugacy class  $\rho^\Gamma$  of a  $\rho \in \Gamma$  is less than  $\varkappa$ . Then  $\rho$  is the identity;

(ii) the cardinal

$$\min\{|\pi^\Gamma| : \pi \in \Gamma, \pi \neq \text{id}\}$$

is equal to  $\varkappa$ ;

(iii) the conjugacy class of a nonidentity  $\sigma \in \Gamma$  is small if and only if  $|\sigma^\Gamma| \leq |\pi^\Gamma|$  for every nonidentity  $\pi \in \Gamma$ ;

(iv) the subgroup  $S$  of all elements of  $\Gamma$  whose conjugacy class is small is a characteristic subgroup of  $\Gamma$ .

# Free groups

## Corollary

*Let  $F$  be a free group of infinite rank  $\kappa$ . Then  $\sigma \in \text{Aut}(F)$  has small conjugacy class if and only if  $\sigma$  is an inner automorphism of  $F$ . Consequently,  $\text{Inn}(F)$  is the largest (free) normal subgroup of  $\text{Aut}(F)$  of cardinality  $\kappa$ .*

...when their ranks are equal

### Corollary

Let  $\mathfrak{V}$  be a variety of groups whose free groups are centerless. Then for any infinitely generated free groups  $G_1, G_2 \in \mathfrak{V}$

$$\text{Aut}(G_1) \cong \text{Aut}(G_2) \iff \text{rank}(G_1) = \text{rank}(G_2).$$

# How do they act on $R/R'$ ?

## Lemma

*Let  $F$  be an infinitely generated free group,  $R$  a fully invariant subgroup of  $F$  and the group ring  $\mathbf{Z}[F/R]$  is a domain whose units are trivial:*

$$U(\mathbf{Z}[F/R]) = \pm F/R.$$

*Suppose that the conjugacy class of a  $\sigma \in \text{Aut}(F/R')$  in  $\text{Aut}(F/R')$  is small. Then there is a  $v \in F/R'$  such that*

$$\sigma r = v r v^{-1}.$$

*for every  $r$  in  $R/R'$ .*

# Dyer

Dyer (1974), extending Shmel'kin's result on free solvable groups (1967):  
if  $F$  is a free group and a normal subgroup  $R$  is such that the quotient  
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then any automorphism of the group  $F/R'$  which fixes  $R/R'$  pointwise is  
an inner automorphism of  $F/R'$  determined by an element of  $R/R'$ .



# Group rings of orderable groups

Let  $G$  be an orderable group. Then the group ring  $\mathbf{Z}[G]$  of  $G$  is a domain whose units are trivial:

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- Any residually orderable group is orderable;
- torsion-free nilpotent groups are orderable.

## Corollary

*Let  $F$  be an infinitely generated free group and  $R$  a fully invariant subgroup of  $F$  such that  $F, R$  satisfy the conditions of Dyer's theorem and the group ring  $\mathbf{Z}[F/R]$  is a domain whose units are trivial. Then the group  $\text{Aut}(F/R')$  is complete. In particular, the automorphism groups of infinitely generated free solvable groups of derived length  $\geq 2$  are complete.*

Let  $F$  be an infinitely generated free group and  $R$  a fully invariant normal subgroup of  $F$ .

In what follows

$G$  denotes  $F/R'$

$\widehat{R}$  denotes  $R/R'$ .

If  $H$  is a subgroup of  $G$ , then  $I_H$  denotes the group of inner automorphisms determined by elements of  $H$ .

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- (iii) if  $R \leq F'$ , then  $[S, S]$  is the subgroup  $I_{[G, G]}$  of all inner automorphisms of  $G$  determined by elements of  $[G, G]$ . In particular,

$$I_{\widehat{R}} = S_{(\widehat{R})} \cap [S, S].$$

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- (iv) elements of the form  $\tau_x \gamma$  where  $x \in G$  whose image under the natural homomorphism  $G = F/R' \rightarrow F/R$  is a primitive element of the group  $F/R$  and  $\gamma \in S_{(\widehat{R})}$  form a second-order definable family of the group  $\text{Aut}(G)$ .

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- (c) *the group  $L(\sigma) = \text{NC}(\sigma)I_{[G,G]}$  contains no elements of the set  $S_{(\widehat{R})} \setminus I_{\widehat{R}}$ .*

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It follows that  $\sigma$  is an inner automorphism of  $G$ , that

$\text{NC}(\sigma)I_{[G,G]} = \text{Inn}(G)$ , and that  $\text{Inn}(G)$  is a characteristic subgroup of  $\text{Aut}(G)$ .



### Theorem (Tolstykh, 2010)

*Let  $F$  be an infinitely generated free group,  $R \leq F'$  a fully invariant subgroup of  $F$  such that the quotient group  $F/R$  is residually torsion-free nilpotent. Then the group  $\text{Aut}(F/R')$  is complete.*