

# Linear Algebra 3: Dual spaces

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Lectures for Part A of Oxford FHS in Mathematics and Joint Schools

- Linear functionals and the dual space
- Dual bases
- Annihilators
- An example
- The second dual.

**Important note:** Throughout this lecture  $F$  is a field and  $V$  is a vector space over  $F$ .

## Linear functionals

**Definition:** a **linear functional** on  $V$  is a function  $f : V \rightarrow F$  such that

$$f(\alpha_1 v_1 + \alpha_2 v_2) = \alpha_1 f(v_1) + \alpha_2 f(v_2)$$

for all  $\alpha_1, \alpha_2 \in F$  and all  $v_1, v_2 \in V$ .

**Note:** thus a linear functional is a linear transformation  $V \rightarrow F$ , where  $F$  is construed as a 1-dimensional vector space over itself.

**Example:** if  $V = F^n$  (column vectors) and  $y$  is a  $1 \times n$  row vector then the map  $v \mapsto yv$  is a linear functional on  $V$ .

## Dual spaces

**Definition:** The dual space  $V'$  of  $V$  is defined as follows:

Set  $:=$  set of linear functionals on  $V$

$0 :=$  zero function [ $v \mapsto 0$  for all  $v \in V$ ]

$(f_1 + f_2)(v) := f_1(v) + f_2(v)$  [pointwise addition]

$(\lambda f)(v) := \lambda f(v)$  [pointwise multiplication by scalars]

**Note:** Check that the vector space axioms are satisfied.

**Note:** Sometimes  $V'$  is written  $V^*$  or  $\text{Hom}(V, F)$  or  $\text{Hom}_F(V, F)$ .

## Dual basis, I

**Theorem:** *Suppose that  $V$  is finite-dimensional. For every basis  $v_1, v_2, \dots, v_n$  of  $V$  there is a basis  $f_1, f_2, \dots, f_n$  of  $V'$  such that*

$$f_i(v_j) = \begin{cases} 1 & \text{if } i = j, \\ 0 & \text{if } i \neq j. \end{cases}$$

*In particular,  $\dim V' = \dim V$ .*

**Proof.**

## Dual basis, II

**Note:** The basis  $f_1, f_2, \dots, f_n$  is known as the **dual basis** of  $v_1, v_2, \dots, v_n$ . Clearly, it is unique.

**Example:** If  $V = F^n$  ( $n \times 1$  column vectors) then we may identify  $V'$  with the space of  $1 \times n$  row vectors. The canonical basis  $e_1, e_2, \dots, e_n$  then has dual basis  $e'_1, e'_2, \dots, e'_n$ .

## Annihilators

**Definition:** For a subset  $U$  of  $V$  the **annihilator** is defined by

$$U^\circ := \{f \in V' \mid f(u) = 0 \text{ for all } u \in U\}.$$

**Note:** For any subset  $U$  the annihilator  $U^\circ$  is a subspace. It is  $\{f \in V' \mid U \subseteq \text{Ker } f\}$ .

**Theorem.** *Suppose that  $V$  is finite-dimensional and  $U$  is a subspace. Then*

$$\dim U + \dim U^\circ = \dim V.$$

**Proof.**

## A worked example

Part of an old Schools question: Let  $V$  be a finite-dimensional vector space over a field  $F$ . Show that if  $U_1, U_2$  are subspaces then  $(U_1 + U_2)^\circ = U_1^\circ \cap U_2^\circ$  and  $(U_1 \cap U_2)^\circ = U_1^\circ + U_2^\circ$ .

Response.

## The second dual

**Theorem.** Define  $\Phi : V \rightarrow V''$  by  $(\Phi v)(f) := f(v)$  for all  $v \in V$  and all  $f \in V'$ . Then  $\Phi$  is linear and one-one [injective]. If  $V$  is finite-dimensional then  $\Phi$  is an isomorphism.

**Proof.**