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Flow and nematic director profiles in a microfluidic channel: the interplay of nematic material constants and backflow

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- Abstract: We numerically and analytically study the flow and nematic order parameter profiles in
- a microfluidic channel, within the Beris–Edwards theory for nematodynamics, with two different
- 3 types of boundary conditions strong anchoring/Dirichlet conditions and mixed boundary
- 4 conditions for the nematic order parameter. We primarily study the effects of the pressure gradient,
- the effects of the material constants and viscosities modelled by a parameter L_2 and the nematic
- elastic constant L^* , along with the effects of the choice of the boundary condition. We study
- 7 continuous and discontinuous solution profiles for the nematic director and these discontinuous
- solutions have a domain wall structure, with a layered structure that offers new possibilities.
- Our main results concern the onset of flow reversal as a function of L^* and L_2 , including the
- ¹⁰ identification of certain parameter regimes with zero net flow rate. These results are of value in
- tuning microfluidic geometries, boundary conditions and choosing liquid crystalline materials for
- ¹² desired flow properties.
- **Keywords:** Nematic liquid crystal; Beris–Edwards; Flow hydrodynamics; Asymptotic analysis

14 1. Introduction

Nematic liquid crystals are classical examples of partially ordered complex liquids for which 15 the constituent molecules have translational freedom but exhibit a degree of long-range orientational 16 ordering or certain preferred directions of averaged molecular alignment, that vary in space and time 17 [1]. The nematic hydrodynamics is particularly rich because of the intrinsic coupling between fluid 18 motion and nematic molecular orientations i.e. the fluid motion influences the nematic orientational 19 ordering and equally, the inhomogeneities in the orientational ordering have a kick-back effect on the 20 fluid flow, a phenomenon known as "backflow" [2]. Backflow has no counterpart in conventional 21 isotropic Newtonian fluids. Consequently, nematics can offer unusual mechanical and rheological 22 properties compared to their Newtonian counterparts, such as complex wetting transitions, surface 23 effects and stable topological defects. Backflow is of fundamental scientific interest and equally, has 24 practical consequences on switching rates of liquid crystal display devices and their refresh times 25 [3,4].26

There are two popular hydrodynamic theories for nematic liquid crystals in the literature - the Ericksen–Leslie theory [5,6] and the Beris–Edwards model [7]. In the Ericksen–Leslie framework, we

²⁹ have two variables – the flow field and the nematic director which is interepreted as the direction of

- ³⁰ preferred averaged molecular alignment in space. The typical mathematical framework comprises
- the incompressibility constraint and evolution equations for the flow field and the nematic director.

³² The evolution equations for the flow field and the nematic director are intrinsically coupled with new

anisotropic stresses, compared to the isotropic Newtonian counterpart, and the solution landscapes

- depend on flow parameters (such as the pressure gradient) and nematic parameters (nematic material
 constants, temperature, boundary conditions for the nematic director and nematic viscosities) [8].
- ³⁶ The Ericksen-Leslie theory for nematodynamics is based on the premise that the nematic is purely
- uniaxial, with one single distinguished direction of orientational ordering, referred to as "director", 37 with a constant degree of orientational order. As said before, the director is interpreted as the 38 single preferred direction of molecular alignment in the sense, that all directions perpendicular to the director are physically equivalent. Hence, the Ericksen-Leslie theory is hugely successful for 40 modelling situations which are expected to have a uniform degree of nematic ordering; this usually 41 holds for defect-free situations or for certain choices of material constants that promote perfect 42 nematic ordering such as the vanishing elastic constant limit of the Landau-de Gennes theory studied 43 in [9]. However, the Ericksen-Leslie theory cannot capture sharp variations in the degree of nematic ordering, complicated topological defects and biaxiality, for which there is a primary and secondary 45 direction of preferred molecular alignment, since the Ericksen-Leslie theory only has two dependent 46 variables. The Beris–Edwards theory is more general than the Ericksen–Leslie since it employs the 47 Landau-de Gennes Q-tensor order parameter to describe the nematic orientational ordering. The 48 Landau-de Gennes Q-tensor order parameter is a symmetric, traceless 3×3 matrix that contains 49 information about the preferred directions of nematic molecular alignment and the degree of ordering 50 about these directions within its eigenvectors and eigenvalues respectively [9–11]. The Landau-de 51 Gennes Q-tensor can capture both uniaxial and biaxial states, along with variable orientational order 52 and is hence, better suited to capture finer structural details and topological defects. The evolution 53 equations for the flow field and the Q-tensor are again coupled through "coupling stresses". A 54
- detailed discussion of the Beris–Edwards model and its connections to closely related models can
 be found in the literature [12–14].

We work in a reduced Beris-Edwards framework to model a microfluidic channel, with an 57 applied pressure gradient to induce fluid flow, and different types of boundary conditions for a 58 reduced Q-tensor on the channel walls with the usual no-slip boundary conditions for the flow 59 field. We use a reduced Q-tensor, which only has two degrees of freedom, in contrast to the 60 usual five degrees of freedom in a three-dimensional approach. This reduced approach has been 61 successfully used for severely confined systems elsewhere [15,16] and can be related to the usual 62 Landau-de Gennes *Q*-tensor explicitly [17]. In particular, we model the microfluidic channel as a 63 two-dimensional domain and this reduced approach is successful in capturing the in-plane system 64 characteristics. The two degrees of freedom of the reduced *Q*-tensor are an angle θ that describes the 65 preferred in-plane alignment of the nematic molecules or the direction of the nematic director *n* in the plane, and a scalar order parameter s, that is a measure of the degree of orientational order about the 67 director *n*. We note that the Ericksen-Leslie framework does not include the order parameter *s*. The 68 Beris–Edwards system can be recast as a coupled system for s, θ and the flow field parameterised 69 by u (since we assume unidirectional channel flow). We study the effects of certain key variables on 70 the long-time or equilibrium profiles for s, θ and u. Namely, we look at the effects of the pressure 71 gradient p_x , the nematic elastic constant, the nematic material constants and viscosities (modelled 72 by L_2) and the anchoring conditions for θ (modelled by either the winding number ω or the surface 73 anchoring coefficient B). For small L_2 , the evolution equation for the flow field effectively reduces 74 to the Navier-Stokes equation and we recover the usual Poiseuille flow. However, the flow field 75 does influence the θ profiles in this regime and we carry out some explicit analysis to compute the s 76 77 and θ profiles in this limit, for both small and large L^* . The analysis captures both continuous and discontinuous solution profiles for θ ; the discontinuous profiles are featured by domain walls with 78 layered structures such that θ jumps abruptly across an interface. Again, the discontinuous solutions 79 cannot be captured by the Ericksen-Leslie approach. The discontinuous solutions are the analogue 80 of the well studied "order reconstruction" solutions [18], with the novel feature of flow effects. For 81

small p_x , the flow is negligible as expected. The most interesting regime is when p_x and L_2 are of comparable magnitude and there is two-way coupling between the flow and nematic order, where the effects of backflow are most pronounced. We expect the asymptotic analysis in this paper to be useful for subsequent detailed analysis of the Beris–Edwards system in this interesting regime.

There is a large body of existing literature on the Ericksen-Leslie theory and the Beris Edwards 86 theory. For example, a beautiful review of existence and regularity results in the Ericksen-Leslie 87 framework can be found in [19]. In [20], the authors use perturbation methods in the Ericksen-Leslie 88 framework to study the effects of backflow on defect dynamics. In parallel, there are several papers which focus on the role of backflow in the hydrodynamics of defects, in the Beris-Edwards 90 framework, see for example [12,21,22]. In recent years, there are rigorous existence and regularity 91 results for the Beris-Edwards framework too [11,14,23] and numerical simulations for microfluidic 92 set-ups in [24,25]. The various dynamical theories of nematic liquid crystals and the key results are 93 surveyed in [26] and in [27], the authors rigorously derive the Ericksen-Leslie equations from the Beris Edwards model. In [28], the authors use a lattice-Boltzmann algorithm to study nematodynamics in 95 a microfluidic channel, in the Beris-Edwards framework, with both Dirichlet and mixed boundary 96 conditions on the channel walls. The emphasis is on the flow rate as a function of the applied pressure 97 gradient and the qualitative effects of the boundary conditions on the director profiles. Our setting 98 is similar but not the same as in [28]. For example, our Dirichlet conditions are inhomogeneous 99 i.e. different fixed boundary conditions on the two bounding surfaces, whereas the authors employ 100 the same Dirichlet condition on both surfaces in [28]. A large part of the elegant asymptotics in 101 [28] is carried out in the $L^* \rightarrow 0$ limit, for which we expect a uniform degree of nematic order or 102 $s \approx 1$ almost everywhere. This limit cannot capture the discontinuous solutions for θ described 103 above. Importantly, our emphasis is on "flow reversal" as a function of the pressure gradient, the 104 material and temperature-dependent parameter L_2 and the re-scaled elastic constant L^* and this is 105 not addressed in [28]. In fact, flow reversal or flow in the direction of increasing pressure gradient is 106 a distinct manifestation of backflow, only observable for L_2 large enough and warrants further study 107 in the future. 108

¹⁰⁹ Our main findings can be summarised as follows.

- (a) We compute a phase plane in terms of L^* and L_2 , for a fixed p_x , which demarcates regions of fluid flow in the direction of decreasing pressure from regions of fluid flow in the direction of increasing pressure and this flow reversal is a clear manifestation of backflow.
- (b) We compute the total flow rates in different parameter regimes. In particular, we show that backflow can be attained for a window of values of L^* i.e $L^*_{crit,1} < L^* < L^*_{crit,2}$ and these critical values depend on p_x , L_2 , the boundary conditions and other material parameters.
- (c) We study two different kinds of boundary conditions for θ Dirichlet and mixed boundary conditions. The mixed boundary conditions are phrased in terms of an anchoring coefficient *B* on the bottom surface and accompanied by a Dirichlet condition on the top surface. The mixed boundary conditions offer greater scope for tuning the solution landscape.
- (d) We perform some investigations on how we can choose a suitable initial condition to attain the discontinuous solution for θ at long times, and this may be useful for studying multistability in such model settings.
- The paper is organised as follows. In §2, we present the governing equations, the boundary conditions and the initial conditions. In §3, we present our results on the effects of p_x , L_2 , ω and L^* . In §4, we perform the explicit analysis in the small L_2 limit and in §5, we give some conclusions.

127 2. Theory

We study spatio-temporal pattern formation in a long microfluidic channel

$$\Omega = \left\{ (x, y) \in \mathbb{R}^2; -H < x < H; -L < y < L \right\}$$
(1)

where $L/H \ll 1$, filled with nematic liquid crystals under the action of a pressure gradient applied 128 at the end x = -H in the x direction. This pressure gradient naturally induces a fluid flow and we 129 assume a unidirectional channel flow in the x-direction. There are two main macroscopic variables 130 of interest: the flow field u = (u(x, y, t), 0, 0), where t is time, and the nematic order parameter, 131 which is a measure of the nematic ordering. We work in a reduced two-dimensional Landau-de 132 Gennes framework, similar to the setting in [15,16] for which the nematic order parameter q 133 is a symmetric, traceless 2×2 matrix, with two degrees of freedom. Equivalently, we can write 134 $q = s (n \otimes n - I/2)$ where I is the 2D identity matrix, with $s = \sqrt{2} |q|$ being the scalar order 135 parameter and $n = (\cos \theta, \sin \theta)$ being the two-dimensional director. The general Landau-de Gennes 136 nematic order parameter, Q, is a symmetric, traceless 3×3 matrix with five degrees of freedom 137 but for severely confined systems, where the vertical z-dimension is much smaller than the lateral 138 dimensions, it is reasonable to assume that structural details are independent of the z-coordinate 139 and we can relate the reduced tensor, q in this paper to the full Landau-de Gennes Q tensor as 140 has been done in [17]. A reduced approach, such as the one employed in this paper and others, 141 is analytically and computationally more efficient and is a physically relevant approach for severely 142 confined systems. 143

We work within the standard and powerful Beris–Edwards theoretical framework for nematodynamics [24]. There are three governing equations: the incompressibility constraint, an evolution equation for the flow field which is essentially the Navier–Stokes equation with an additional stress (σ) due to the nematic ordering and an evolution equation for q which has an additional stress induced by the fluid vorticity. The governing partial differential equations are given below.

$$\nabla \cdot \boldsymbol{u} = 0, \qquad \rho \frac{D\boldsymbol{u}}{Dt} = -\nabla p + \nabla \cdot \left[\mu \left(\nabla \boldsymbol{u} + (\nabla \boldsymbol{u})' \right) + \sigma \right], \tag{2}$$

where $\frac{D}{Dt} = \frac{\partial}{\partial t} + \boldsymbol{u} \cdot \nabla$ is the material derivative, ρ and μ are the density and viscosity of the fluid medium, p is the hydrodynamic pressure, and \boldsymbol{u} is the fluid velocity. The nematic stress (σ) is [9,24,29]

$$\sigma = qh - hq, \quad \text{and} \quad h = \kappa \nabla^2 q + aq - c \mid q \mid^2 q, \tag{3}$$

where $s = \sqrt{2}|q|$ is the scalar order parameter, *h* is the molecular field, κ is the nematic elastic constant and *a* and *c* are parameters related to the temperature and material properties. We work with temperatures below the nematic–isotropic transition temperature and hence we take *a* > 0. The evolution equation for the *q* tensor is given by [11,29]

$$\frac{Dq}{Dt} = q\xi - \xi q + \frac{1}{\gamma}h, \tag{4}$$

where γ is the rotational diffusion constant [10,21] and ξ is the anti-symmetric part of the velocity gradient tensor. We can also identify q with a two-dimensional vector: $q = (q_{11}, q_{22})$ where $q_{11} = \frac{s}{2} \cos 2\theta$; $q_{12} = \frac{s}{2} \sin 2\theta$.

We assume that all quantities of interest only depend on y i.e., we work in a reduced one-dimensional setting, which is a physically relevant setting for very long channels with small height. Eqs. (2) and (4) can be recast in terms of the order parameter (*s*) and the director angle (θ) in one spatial dimension as

$$\frac{\partial s}{\partial t} - \frac{\kappa}{\gamma} s_{yy} = -\frac{4\kappa s}{\gamma} \theta_y^2 - \frac{s}{\gamma} \left(\frac{c}{2}s^2 - a\right),\tag{5}$$

$$\frac{\partial\theta}{\partial t} - \frac{\kappa}{\gamma} \theta_{yy} = -u_y + \frac{2\kappa}{s\gamma} \left(s_y \theta_y \right), \tag{6}$$

$$\rho \frac{\partial u}{\partial t} - \mu u_{yy} = -p_x + \kappa \left(s^2 \theta_y\right)_{yy},\tag{7}$$

where \hat{z} denotes the unit vector in the *z* direction. Using the following scalings,

$$y = L\tilde{y}, \quad t = \frac{\gamma L^2}{\kappa}\tilde{t}, \quad s = \tilde{s}\sqrt{\frac{2a}{c}}, \quad u = \frac{\kappa}{\gamma L}\tilde{u}, \quad p_x = \frac{\mu\kappa}{\gamma L^3}\tilde{p}_x,$$
(8)

Eqs. (5)-(7) can be non-dimensionalised as

$$\tilde{s}_{\tilde{t}} - \tilde{s}_{\tilde{y}\tilde{y}} = -4\tilde{s}\theta_{\tilde{y}}^2 - \frac{\tilde{s}}{L^*} \left(\tilde{s}^2 - 1\right),\tag{9}$$

$$\theta_{\tilde{t}} - \theta_{\tilde{y}\tilde{y}} = -\tilde{u}_{\tilde{y}} + \frac{2}{\tilde{s}}\tilde{s}_{\tilde{y}}\theta_{\tilde{y}},\tag{10}$$

$$L_1 \tilde{u}_{\tilde{t}} - \tilde{u}_{\tilde{y}\tilde{y}} = -\tilde{p}_x + L_2 \left(\tilde{s}^2 \theta_{\tilde{y}}\right)_{\tilde{y}\tilde{y}},\tag{11}$$

where

$$L^* = \frac{\kappa}{aL^2}, \qquad \qquad L_1 = \frac{\rho\kappa}{\mu\gamma}, \qquad \qquad L_2 = \frac{2a\gamma}{c\mu}, \qquad (12)$$

and *L* is the half-height of the channel. Physically, L^* is the scaled elastic constant. The parameter $L_1 = Re/Er^*$ where *Re* is the Reynolds number and $Er^* = u_0 L\gamma/\kappa$ is analogous to the Ericksen number ($Er = u_0 L\mu/\kappa$) in terms of the rotational diffusion constant, γ , rather than the viscosity μ . It can also be interpreted as the ratio of the inertial to rotational forces. The parameter $L_2 = (2a/c) (Er^*/Er)$ is the product of ratio of the temperature and material constants and the ratio of the rotational to momentum diffusion.

The boundary conditions for \tilde{s} and \tilde{u} are

$$\tilde{s}(-1,\tilde{t}) = \tilde{s}(1,\tilde{t}) = 1, \tag{13}$$

$$\tilde{u}\left(-1,\tilde{t}\right) = \tilde{u}\left(1,\tilde{t}\right) = 0. \tag{14}$$

This simply means that we assume the nematic molecules are perfectly ordered at $\tilde{y} = \pm 1$ and we impose the typical no-slip boundary conditions on $\tilde{y} = \pm 1$. For the nematic director, we look at two different cases: (i) symmetric Dirichlet conditions for θ on $\tilde{y} = \pm 1$ consistent with strong anchoring and in the spirit of [8], (ii) a Neumann-type boundary condition modelling weak anchoring on $\tilde{y} = -1$ accompanied by a Dirichlet condition on y = 1 as shown below.

Symmetric:
$$\theta(-1, \tilde{t}) = -\omega\pi$$
 and $\theta(1, \tilde{t}) = \omega\pi$, (15)

Asymmetric:
$$B\theta_{\tilde{y}}(-1,\tilde{t}) - \sin[2\theta(-1,\tilde{t})] = 0$$
 and $\theta(1,\tilde{t}) = \omega\pi$, (16)

where

$$\omega = \frac{\theta(1,\tilde{t}) - \theta(-1,\tilde{t})}{2\pi}$$
(17)

is the winding number and *B* is a rescaled anchoring strength (see figure 1 for a sketch of these configurations.) It is worth pointing out that positive *B* models tangential or planar boundary conditions on the bottom substrate i.e. it originates from a surface energy of the form $\int A \sin^2 \theta$ that is minimised by either $\theta = 0$ or $\theta = \pi$, for a positive anchoring coefficient *A* that measures the

strength of the anchoring and we integrate over the surface $\tilde{y} = -1$. The initial conditions for the system above (9–11) are given by

$$\tilde{s}\left(\tilde{y},0\right) = 1,\tag{18}$$

$$\theta\left(\tilde{y},0\right) = \frac{1}{2}\left(\tilde{y}-1\right)\left[\omega\pi - \theta(-1,0)\right] + \omega\pi,\tag{19}$$

$$\tilde{u}\left(\tilde{y},0\right) = -\frac{\tilde{p}_{x}}{2}\left(1-\tilde{y}^{2}\right),\tag{20}$$

where $\theta(-1,0)$ is the root of the equation $B\theta(-1,0) - \sin[2\theta(-1,0)] = 0$ for the asymmetric case.



Figure 1. Schematic of the director orientation in equilibrium when applying (a) symmetric anchoring conditions (15) and (b) asymmetric anchoring conditions (16).

We will often make comparisons between situations with no flow to situations with fluid flow. In the no-flow case, we simply set $\tilde{u}(\tilde{y}, \tilde{t}) = 0$ in Eqs. (9, 10) and analyse the resulting system

$$\tilde{s}_{\tilde{t}} - \tilde{s}_{\tilde{y}\tilde{y}} = -4\tilde{s}\theta_{\tilde{y}}^2 - \frac{\tilde{s}}{L^*} \left(\tilde{s}^2 - 1\right),\tag{21}$$

$$\theta_{\tilde{t}} - \theta_{\tilde{y}\tilde{y}} = \frac{2}{\tilde{s}} \tilde{s}_{\tilde{y}} \theta_{\tilde{y}}.$$
(22)

with the same boundary (Eqs. 13, 15, 16) and initial conditions (Eqs. 18, 19).

155 3. Results

The numerical computations are carried out using the finite-element-based commercial package COMSOL v5.2 [30].

158 3.1. Comparison of the flow and no-flow situation

We neglect time dependence or transient dynamics in this section and focus on the long-time 159 equilibrium profiles of \tilde{s} , \tilde{u} and θ in this section. We fix the parameters $L^* = 10^{-3}$ and $L_1 = 10^{-6}$ which 160 are physically relevant values from the typical values of material constants reported in the literature 161 and investigate the effects of the parameters, \tilde{p}_x and L_2 on the solution profiles for Eqs. (9)–(11) [9,24]. 162 The results are presented in Figs. 2 and 3 where we plot the no-flow profiles for \tilde{s} and θ for reference 163 and then compare these profiles to the distorted profiles with a non-zero pressure gradient \tilde{p}_x . In 164 Fig. 2, we study the effect of the ratio \tilde{p}_x/L_2 on the spatial profiles of \tilde{s}, \tilde{u} and θ with the symmetric 165 Dirichlet boundary conditions, (Eq. 15). Here, the solution profiles are symmetric around $\tilde{y} = 0$ due to 166

the imposed symmetry of the boundary conditions. For $L_2/|\tilde{p}_x| \ll 1$, it is relatively straightforward 167 to see that the flow profile is simply the parabolic Poiseuille flow profile. We confirm this observation 168 in §4, where we determine the precise form of \tilde{u} , along with \tilde{s} and θ , via a systematic asymptotic 169 analysis of the set-up in the limit $L_2/|\tilde{p}_x| \ll 1$. For very small values of \tilde{p}_x , the flow is weak as 170 expected. In Fig. 3, we do the same for asymmetric boundary conditions (Eq. 16). Naturally, the 171 profiles of θ are not symmetric around $\tilde{y} = 0$ in this case. The asymmetric behaviour in θ is weak, 172 but more pronounced for larger values of L_2 . The profiles of \tilde{s} and \tilde{u} are largely unaffected by the 173 asymmetric boundary conditions for θ , at least for the parameter values employed in this section. 174



Figure 2. The effect of the fluid flow on the director orientation (θ) and the order parameter (\tilde{s}) at equilibrium, for the case of symmetric boundary condition (Eq. 15). The values of the parameters used are $\omega = 1/2$, $L^* = 10^{-3}$ and $L_1 = 10^{-6}$. (Here, and elsewhere, we plot the profiles at $\tilde{t} = 10$, after which time we find the solutions have relaxed to a steady state from the initial configuration, Eqs. 18, 19 and 20.) Analytic solutions are given in §4 and §4.1.



Figure 3. The effect of the fluid flow on the director orientation (θ) and the order parameter (\tilde{s}) at equilibrium ($\tilde{t} = 10$), for the case of asymmetric boundary condition (Eq. 16). The values of the parameters used are B = 1/3, $\omega = 1/2$, $L^* = 10^{-3}$ and $L_1 = 10^{-6}$.

Further, we can also compute the total fluid flow rate

$$\int_{-1}^{1} \tilde{u} d\tilde{y} \tag{23}$$

as well as the wall shear stress,

$$\tilde{\tau}_w = \left[\frac{\partial \tilde{u}}{\partial \tilde{y}}\right]_{\tilde{y}=-1},\tag{24}$$

which is related to the skin friction coefficient, C_s , by

$$C_s = \frac{2\tau_w}{\rho u_0^2} = \frac{2\tilde{\tau}_w}{L_1 E r^{*2}}.$$
(25)

The skin friction coefficient represents the friction drag exerted by the wall, which resists the fluid movement.

In Fig. 4, we plot the total volumetric flow rate as a function of L_2 for two different values of 177 $\tilde{p}_x = \pm 1$, Dirichlet conditions on $\tilde{y} = \pm 1$ and two different values of *B*. The results suggest that for 178 $L_2 \ge 1$, the net flow rate is greater for $\tilde{p}_x = -1$ compared to $\tilde{p}_x = 1$. This is the most interesting 179 regime where both the pressure gradient and the liquid crystal parameter L₂ influence fluid flow and 180 it would be interesting to investigate how p_x and L_2 couple together in fluid flow profiles. Moreover, 181 the effect of the wall alignment constant B on the flow properties can be inferred from Fig. 4. This 182 suggests that by altering the wall anchoring properties (manifested through *B*) one can manipulate 183 the flow rate and the skin friction losses. For example, positive *B* corresponds to preferred tangential 184 anchoring on $\tilde{y} = -1$ and negative *B* indicates preferred normal/homeotropic boundary conditions 185 on $\tilde{y} = -1$. Since $\theta = \frac{\pi}{2}$ on $\tilde{y} = 1$ ($\omega = \frac{1}{2}$), we have homeotropic boundary conditions on $\tilde{y} = 1$. These 186 results suggest that the net flow rate and the wall shear stress are enhanced by Dirichlet conditions 187 or mixed tangential conditions on $\tilde{y} = -1$ along with normal boundary conditions on $\tilde{y} = 1$. We 188 emphasise that the wall shear stress $\tilde{\tau}_w$ is computed on $\tilde{y} = -1$ where the mixed boundary condition 189 is imposed and the other boundary wall will have a different magnitude (or even direction) of $\tilde{\tau}_w$ 190 associated with it. 191

A phase-space plot of the parameters that correspond to net zero flow rate is shown in Fig. 5, also 192 demonstrating the effect of the wall anchoring conditions. For illustrative purposes, we take $\tilde{p}_x = 1$. 193 The combination of parameters in the region below the curves in Fig. 5 corresponds to fluid flow in the 194 direction of negative pressure gradient $(-\tilde{p}_x)$, while the long-time fluid flow is in the direction of p_x or 195 the pressure gradient in the region above the curves, which is a manifestation of backflow. A similar 196 situation, in which a net zero fluid flow rate can be observed, is in electro-osmotic flows, for a critical 197 electrical field strength that exactly balances the hydrodynamic driving pressure [31]. The results in 198 Fig. 5 provide quantitative estimates for the onset of flow reversal for a specific choice of parameters. 199 A more exhaustive study on these lines can predict the onset of flow reversal for experimentally 200 relevant or applications-oriented modelling scenarios and flow reversal or tunable flow directions 201 offer new possibilities for topological defects and transport phenomena in microfluidic channels. We 202 do not explore this further in this manuscript. 203



Figure 4. Plot of (a) the total volumetric flow rate and (b) the wall shear stress (which relates to the skin friction coefficient through Eq. 25) as a function of L_2 for different values of the constant *B* in the symmetric (Dirichlet) case, Eq. (15), and the asymmetric case, Eq. (16), for θ . The total flow rate is scaled with the equivalent Poiseuille flow rate for a Newtonian fluid, $\int_{-1}^{1} \tilde{u}|_{L_2=0} d\tilde{y} = -2\tilde{p}_x/3$. The solid and the dotted lines correspond to the negative and positive values of \tilde{p}_x respectively. The values of the parameters used are $|\tilde{p}_x| = 1$, $\omega = 1/2$, $L^* = 10^{-3}$ and $L_1 = 10^{-6} \ll 1$.



Figure 5. Phase space plot of the parameters (L^* and L_2) for no overall mass flow rate. Here $\tilde{p}_x > 0$. The curve (solid) corresponding to B = 1/3 is for the asymmetric boundary condition (Eq. 16). The dotted curve is for the case of the symmetric (Dirichlet) boundary condition (Eq. 15). The values of the parameters used are $\tilde{p}_x = 1$, $\omega = 1/2$ and $L_1 = 10^{-6}$.

204 3.2. Effect of the winding number ω

The impact of the winding number ω on the long-time profiles for the symmetric (Eq. 15) and 205 asymmetric case (using Eq. 16) respectively, are shown in Figs. 6 and 7 for $L^* = 10^{-3}$. As ω increases, 206 the energetic penalties for distortions in θ increases (this can be seen by re-scaling $\theta = \omega \tilde{\theta}$ in Eq. 9), so 207 the long-time θ -profiles become more linear as ω increases. The gradient $\theta_{\tilde{u}}$ is usually maximum 208 in magnitude at $\tilde{y} = 0$ and consequently, \tilde{s} is a minimum at $\tilde{y} = 0$ since the energetic penalty 209 is proportional to \tilde{s}^2 . Further, the minimum value of \tilde{s} decreases as ω increases, again for similar 210 reasons in the sense that the order decreases to compensate for more distortion in the θ profiles. It 211 is interesting that the total flow rate decreases as ω increases, since the flow meets more resistance 212 from the increasingly distorted θ profiles i.e. there is more structure in the channel and this opposes 213 fluid flow. It seems difficult to extract this behaviour from a simple analysis of the governing partial 214 differential equations. 215



Figure 6. The effect of the winding number ω on θ , \tilde{s} and the velocity profile \tilde{u} at equilibrium ($\tilde{t} = 10$). In this case, we have considered the symmetric boundary condition in θ (Eq. 15). The values of the parameters used are $L^* = 10^{-3}$, $\tilde{p}_x = -10$, $L_2 = 1$, and $L_1 = 10^{-6}$. The legends of all the sub-figures are the same as in (a).



Figure 7. The effect of the winding number ω on θ , \tilde{s} and the velocity profile (\tilde{u}) at equilibrium ($\tilde{t} = 10$). In this case, we have considered the asymmetric boundary condition in θ (Eq. 16). The values of the parameters used are B = 1/3, $L^* = 10^{-3}$, $\tilde{p}_x = -10$, $L_2 = 1$, and $L_1 = 10^{-6}$. The legends of all the sub-figures are the same as in (a).

216 3.3. Effect of the parameter L^*

The regime of small L^* is well understood in the no-flow case. Here \tilde{s} is approximately unity 217 everywhere and θ is linear with Dirichlet boundary conditions without flow. When a pressure 218 gradient is imposed, one can do some heuristic calculations to predict that the flow profile is 219 approximately parabolic for small L_2 , as in Figs. 8c and 9c. (See §4 for a more detailed analysis.) In 220 the case of symmetric Dirichlet boundary conditions, as L^* becomes larger, θ becomes approximately 221 constant everywhere except for a jump at $\tilde{y} = 0$ to enable the boundary conditions to be satisfied. 222 This can be seen from Eq. (9) that as L^* increases, $\theta_{\tilde{u}}$ tends to zero almost everywhere and there 223 is reduced energetic penalty associated with deviations from $\tilde{s} = 1$. In fact, $\tilde{s} = 0$ when θ has 224 a jump discontinuity, to regularise the solution. This is referred to as order reconstruction in the 225 liquid-crystal literature when the system interpolates between two fixed boundary conditions by not 226 rotating the eigenframe but by switching the leading eigenvalue at the centre of the cell. However, 227 whilst the order reconstruction phenomenon is relatively well understood without flow effects, it is 228 far less studied with flow effects. We make certain observations here. For the parameter choices 229 in Figs. 8, the θ profile switches from a continuous solution to a discontinuous solution at $\tilde{y} = 0$ 230 at $L^* \approx 0.1$. This has a very interesting effect on the flow profile (see Fig. 8c) in the sense that 231 there is a distinct region in the channel interior where $\tilde{u} < 0$ for $L^* = 0.1$ and the flow field has a 232 cusp-like minimum at $\tilde{y} = 0$. For larger values of L^* , when the system has settled into the order 233 reconstruction regime, the flow profiles are less surprising and have the usual parabolic-like profile. We point out that θ is not a constant on either side of the jump discontinuity for order reconstruction 235 with flow, in contrast to order reconstruction without flow. The qualitative features are unchanged 236 with asymmetric boundary conditions, see the results in Fig. 9c. 237

For a select range of values of L^* , both the continuous and discontinuous solutions for θ are 238 attainable, with the state achieved dependent on the initial condition. We analyse this further in §3.5. 239 There is evidence that the local fluid flow switches direction (at least locally) for certain choices 240 of L^* and we have investigated the impact of L^* on the net fluid flow rate, as shown in Fig. 10. As 241 we have seen in Fig. 5, for a given L_2 large enough, there exists a critical L^* ($L^*_{crit,1}$) for which there is 242 zero net flow. However, here we find that there is a second critical L^* ($L^*_{crit,2}$), beyond which the flow 243 switches back to the direction of the decreasing pressure. The critical scaled elastic constants $L_{crit,1}^*$ and $L_{crit,2}^*$ have almost the same values for both the symmetric and asymmetric boundary conditions, 245 for the parameter choices in Figure 10. The critical values are relatively large however, and hence 246 unlikely to be attained in most applications. 247



Figure 8. The effect of the parameter L^* on the director orientation (θ) , the order parameter (\tilde{s}) and the velocity profile (\tilde{u}) at equilibrium $(\tilde{t} = 10)$, in the case of the symmetric boundary conditions for θ (Eq. 15). The values of the parameters used are $\omega = 1/2$, $L_2 = 1$, $\tilde{p}_x = -10$ and $L_1 = 10^{-6}$. The legends of all the sub-figures are the same as in (a).



Figure 9. The effect of the parameter L^* on the director orientation (θ) , the order parameter (\tilde{s}) and the velocity profile (\tilde{u}) at equilibrium $(\tilde{t} = 10)$, in the case of the asymmetric boundary conditions for θ (Eq. 16). The values of the parameters used are $\omega = 1/2$, B = 1/3, $L_2 = 1$, $\tilde{p}_x = -10$ and $L_1 = 10^{-6}$. The legends of all the sub-figures are the same as in (a).



Figure 10. The effect of the parameter L^* on the net fluid flow rate at equilibrium ($\tilde{t} = 10$), for the asymmetric (Eq. 16) and symmetric case (Eq. 15). The values of the parameters used are B = 1/3, $\omega = 1/2$, $L_2 = 1$, $\tilde{p}_x = -10$ and $L_1 = 10^{-6}$. The total flow rate is scaled with the equivalent Poiseuille flow rate, $\int_{-1}^{1} \tilde{u}|_{L_2,L_1=0} d\tilde{y} = -2\tilde{p}_x/3$.

248 3.4. Dynamic evolution of the spatial profiles

²⁴⁹ We briefly examine the dynamic evolution of the director profile, order parameter and the fluid ²⁵⁰ flow profiles in Fig. 11 (symmetric case) and Fig. 12 (asymmetric case). We note that, even though ²⁵¹ $L_1 \ll 1$ in our simulations, the velocity is time dependent because \tilde{s} and θ are time dependent. The ²⁵² dynamics are not particularly interesting for this choice of parameters but illustrate how \tilde{s} assumes ²⁵³ a *U*-shaped profile with a shallow minimum as θ evolves from the perfectly linear initial condition, ²⁵⁴ under the effect of flow. The initial flow profile is the Poiseuille flow and the nematic effects suppress ²⁵⁵ the flow profile and distort the parabolic shape.



Figure 11. The dynamic evolution of the director orientation (θ), the order parameter (\tilde{s}) and the velocity profile (\tilde{u}) for the symmetric case (Eq. 15). The values of the parameters used are $\omega = 1/2$, $L^* = 10^{-3}$, $L_2 = 10$, $\tilde{p}_x = -10$ and $L_1 = 10^{-6}$. The legends of all the sub-figures are the same as in (a).



Figure 12. The dynamic evolution of the director orientation (θ) , the order parameter (\tilde{s}) and the velocity profile (\tilde{u}) for the asymmetric case (Eq. 16). The values of the parameters used are B = 1/3, $\omega = 1/2$, $L^* = 10^{-3}$, $L_2 = 10$, $\tilde{p}_x = -10$ and $L_1 = 10^{-6}$. The profiles of θ are asymmetric (around $\tilde{y} = 0$) because of the inhomogeneity in the θ boundary conditions (Eq. 16). The legends of all the sub-figures are the same as in (a).

256 3.5. Effect of the initial condition

²⁵⁷ Next, we make some preliminary comments on the effect of the initial condition on the ²⁵⁸ equilibrium solution. As noted in §3.3, as we increase the parameter L^* , the θ solution transitions ²⁵⁹ from a continuous to a discontinuous profile, at a critical value of L^* referred to as L^*_{switch} . This ²⁶⁰ motivates the question of whether, by an appropriate choice of initial condition, there are parameter ²⁶¹ regimes that admit multiple steady-state solutions with a basin of attraction.

In Fig. 13, we consider a specific case of no fluid flow and symmetric Dirichlet boundary conditions in θ (Eq. 15) and show that the system can indeed exist in multiple steady states.

We find that there is a window of values of $L^* < L^*_{switch} \approx 0.0335$ for $\omega = 1/2$ (and L^*_{switch} 264 decreases as we increase ω) for which a continuous and discontinuous steady-state solution can be 265 achieved, depending on the initial conditions, indicating that multiple steady states may only be possible in some parameter regimes. The continuous solution is stable in this parameter regime and 267 the discontinuous solution is unstable with respect to perturbations near the centre of the cell. This 268 is consistent with theoretical work in the field. The order reconstruction or discontinuous solution 269 exists for all values of L^* , for our choice of symmetric Dirichlet conditions, with no flow. It is the 270 unique solution for suitably large L^* and unstable for suitably small L^* [18]. However, the instability 271 only manifests in certain directions, so that, for an appropriate choice of initial condition, we can 272 recover the discontinuous solution for smaller values of L^* . As L^* increases, we recover the order 273 reconstruction or discontinuous solution for all initial conditions. These results are promising in the 274 context of bistable devices, particularly if the order reconstruction or discontinuous solution can be 275 "stabilised" by an appropriate control and we have two stable solutions - the continuous and the 276 discontinuous solution for small values of L^* . 27



Figure 13. Equilibrium profiles of the (a) director orientation, θ and the (b) order parameter \tilde{s} for two different initial conditions for \tilde{s} and a linear initial profile for θ , without any fluid flow. The blue curves are the equilibrium profiles for θ and \tilde{s} for $\tilde{s}(\tilde{y}, 0) = \tilde{y}^2$ and the green curves are the equilibrium profiles for $\tilde{s}(\tilde{y}, 0) = 1$. We have considered symmetric condition for θ given by Eq. (15). The values of the parameters used are $\omega = 1/2$ and $L^* = 0.03$.

278 4. Steady-state analysis

In this section, we analytically study the system Eqs. (9), (10) and (11) in steady state. We assume that $L_2/|\tilde{p}_x| \ll 1$ so that the flow affects the nematic orientationally ordering but not vice versa, and that a uniform pressure gradient $\tilde{p}_{\tilde{x}} = -G$ is applied. In particular, this regime does not capture backflow where the nematic order affects fluid flow.

We integrate Eq. (11) with respect to \tilde{y} twice and apply boundary conditions, Eq. (14) to give the following leading order Poiseuille-type solution for \tilde{u} ,

$$\tilde{u} = -\frac{G}{2} \left(\tilde{y}^2 - 1 \right). \tag{26}$$

Substituting (26) into (10) and rearranging we obtain

$$\left(\tilde{s}^2\theta_{\tilde{y}}\right)_{\tilde{y}} = -G\tilde{y}\tilde{s}^2.$$
(27)

We are thus left to solve Eq. (9) and (27), subject to Eq. (13), and either Eq. (15) or Eq. (16).

The numerics have uncovered the possibility for two types of steady solution: continuous or discontinuous solutions in θ . We will study each of these in turn in the following subsections.

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286 4.1. Continuous solutions in θ

We first study the symmetric strong-anchoring regime or Dirichlet boundary conditions for θ . Integrating Eq. (27) with respect to \tilde{y} twice and applying the boundary conditions (Eq. 15) gives an explicit expression for θ in terms of \tilde{s} :

$$\theta = -G \int_0^{\tilde{y}} \frac{\mathrm{d}\eta}{\tilde{s}(\eta)^2} \int_0^{\eta} \zeta \tilde{s}(\zeta)^2 \,\mathrm{d}\zeta + c_1 \int_0^{\tilde{y}} \frac{\mathrm{d}\eta}{\tilde{s}(\eta)^2},\tag{28}$$

where

$$c_1 = \frac{\omega \pi + G \int_0^1 \frac{\mathrm{d}\eta}{\tilde{s}(\eta)^2} \int_0^\eta \zeta \tilde{s}(\zeta)^2 \,\mathrm{d}\zeta}{\int_0^1 \frac{\mathrm{d}\eta}{s(\eta)^2}}.$$
(29)

Substituting for $\theta_{\tilde{y}}$ in Eq. (9) using Eq. (28), we obtain the integro-differential equation for \tilde{s} ,

$$\tilde{s}_{\tilde{y}\tilde{y}} = 4\tilde{s} \left(-\frac{G}{\tilde{s}^2} \int_0^{\tilde{y}} \eta \tilde{s}(\eta)^2 \,\mathrm{d}\eta + \frac{c_1}{\tilde{s}^2} \right)^2 + \frac{\tilde{s}}{L^*} (\tilde{s}^2 - 1).$$
(30)

²⁸⁷ This must be solved subject to the boundary conditions (Eq. 13).

An analogous procedure follows for the asymmetric anchoring conditions (Eq. 16), but we do not present the details here.

290 4.1.1. Small-*L** limit

It is observed that continuous solutions can only be obtained for $L^* \ll 1$. We thus explore the system in this reduced regime. In this case, the leading-order solution in L^* to Eq. (30) can immediately be seen to be $\tilde{s} = 1$. As a result, Eq. (28) yields the corresponding leading-order solution for θ ,

$$\theta = -\frac{G\tilde{y}^3}{6} + \left(\omega\pi + \frac{G}{6}\right)\tilde{y}.$$
(31)

A similar method in the asymmetric case, Eq. (16), yields the leading-order solution

$$\theta = -\frac{G}{6}(y^3 - 1) + c_2(y - 1) + \omega\pi, \tag{32}$$

with c_2 satisfying the transcendental equation

$$\left(\frac{G}{2} + c_2\right)B = \sin\left[\frac{2G}{3} - 4c_2 + 2\omega\pi\right].$$
(33)

We note also that in the small- L^* limit, we may relax the assumption that L_2 is small. In this case, the flow profile is still parabolic to leading order, but is given by

$$\tilde{u} = -\frac{G}{2(1-L_2)} \left(\tilde{y}^2 - 1 \right).$$
(34)

We recall that $L_2 > 0$ is positive since we are working with low temperatures, so a > 0. Negative values of L_2 describe higher temperatures for which $\tilde{s} \approx 1$ does not hold. We also note that the flow profiles in the preceding section do not agree with the perfectly parabolic profile described above. This is largely because L^* is not sufficiently small in the simulations for the sake of computational efficiency.

296 4.2. Discontinuous solutions in θ

We now study the case to allow for discontinuities in θ . On physical grounds, $\tilde{s} = 0$ vanishes at such discontinuities to "regularise" the discontinuities. While such point discontinuities may appear anywhere within the domain, for illustrative purposes we consider the case where a single point discontinuity in θ is present, at $\tilde{y} = 0$. We focus on the symmetric strong anchoring regime, but again note that similar methods apply to the asymmetric boundary conditions. We solve in the domain $0 < \tilde{y} \le 1$ and replace the boundary conditions (Eqs. 13 and 15) with

$$\tilde{s}(0,\tilde{t}) = 0,$$
 $\tilde{s}(1,\tilde{t}) = 1,$ (35)

$$\theta_{\tilde{y}}(0^+, \tilde{t}) = \text{finite}, \qquad \qquad \theta(1, \tilde{t}) = \omega \pi.$$
 (36)

Since $L_2 \ll 1$, the velocity profile is not influenced by the discontinuities and is still given by Eq. (26). Integrating Eq. (27) and applying the modified boundary conditions, we find that θ is now given

by

$$\theta = \omega \pi + G \int_{\tilde{y}}^{1} \frac{\mathrm{d}\eta}{\tilde{s}(\eta)^{2}} \int_{0}^{\eta} \zeta \tilde{s}(\zeta)^{2} \,\mathrm{d}\zeta.$$
(37)

Substituting for θ in Eq. (37) into Eq. (9) yields

$$\tilde{s}_{\tilde{y}\tilde{y}} = \frac{4G^2}{\tilde{s}^3} \left(\int_0^{\tilde{y}} \eta \tilde{s}(\eta)^2 \,\mathrm{d}\eta \right)^2 + \frac{\tilde{s}}{L^*} (\tilde{s}^2 - 1), \tag{38}$$

²⁹⁸ subject to Eq. (35).

When there is no external flow, $\tilde{p}_x = G = 0$ and Eq. (37) gives simply $\theta = \omega \pi$. The solution for \tilde{s} is then given implicitly from Eq. (30) as

$$\tilde{y} = \sqrt{2L^*} \int_0^{\tilde{s}} \frac{d\eta}{\sqrt{\eta^4 - 2\eta^2 + c_3}},$$
(39)

where c_3 is given by

$$\sqrt{2L^*} \int_0^1 \frac{\mathrm{d}\eta}{\sqrt{\eta^4 - 2\eta^2 + c_3}} = 1,\tag{40}$$

for a given L^* . Note that, equivalently, Eq. (40) could be viewed as providing explicitly the value of

L^{*} corresponding to a particular chosen value of c_3 . The solution for $-1 \le \tilde{y} < 0$ is found by an odd reflection of the solution in $0 < \tilde{y} \le 1$.

When the pressure gradient $G \ll 1$, the system possesses a distinguished limit when $L^* = O(1/G^2)$. (Note we assume that $L_2 \ll G$ so that the second term on the right-hand side of Eq. (11) can still be ignored.) In this relatively simple case, the equations are amenable to asymptotic analysis, and we are able to write the solution for \tilde{s} and θ explicitly as

$$\tilde{s} = \tilde{y} + \frac{G^2 \tilde{y}}{168} (\tilde{y}^6 - 1) + \frac{\tilde{y}}{20L^*} (\tilde{y}^4 - 1) - \frac{\tilde{y}}{6L^*} (\tilde{y}^2 - 1) + O(G^4),$$
(41)

$$\theta = \omega \pi + \frac{G}{12} \left(\tilde{y}^3 - 1 \right) + O(G^2). \tag{42}$$

302 5. Conclusions

In this paper, we investigate the nematic order parameter (captured by θ and \tilde{s}) and flow profiles in a one-dimensional microfluidic channel, with Dirichlet boundary conditions and mixed boundary conditions for θ , as a function of the pressure gradient, the boundary conditions themselves (in

terms of ω and B), the nematic elastic constant (L^{*}) and the scaled viscosities (L₂) in a reduced 306 Beris–Edwards setting. For small L_2 , we can analyse the system and obtain at least semi-explicit 307 solutions for the nematic order parameter and the flow profile, both with and without an applied pressure gradient. We consider continuous and discontinuous profiles for θ separately, again 309 including the effect of the pressure gradient. In the discontinuous case, θ is effectively piecewise 310 constant (without flow) for such solutions and discontinuities in θ are regularised by isotropic points 311 with $\tilde{s} = 0$. We can analytically construct solutions with multiple discontinuities although we suspect 312 that these solutions lose stability with respect to higher-dimensional perturbations. The analytical results set the scene for some interesting control problems on how to stabilise discontinuous solutions 314 for small L^* and these discontinuous solutions could offer interesting examples of domain walls with 315 $\tilde{s} = 0$ in three dimensions. 316

Our most interesting observations include the onset of flow reversal in these model microfluidic 317 systems. We compute specific criteria for flow reversal (flow in the direction of the pressure gradient) 318 as a function of L^* and L_2 and in particular, based on the results in Figure 10, we expect the curve 319 in Fig. 5 to fold back on itself, so that for a given L_2 large enough, flow reversal only occurs for a 320 certain range of values L^* and not in the entire region above the dotted and solid curves in Fig 5. The 321 observed flow reversal is a distinct manifestation of backflow and only occurs for L_2 large enough. We 322 plan to investigate discontinuous order reconstruction solutions in the presence of flow and backflow 323 in microfluidic channels as a function of temperature (treating a as a parameter or accounting for 324 cases when L_2 changes sign), geometrical dimensions and the anchoring coefficient B in subsequent 325 work. 326

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