Admissibility in Finite Algebras

George Metcalfe

Mathematics Institute University of Bern

Joint work with Leonardo Cabrer and Christoph Röthlisberger

Workshop on Duality Theory in Algebra, Logic and Computer Science Mathematical Institute, Oxford, 15-17 August, 2012

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There are C₃-valid quasiequations such as

$$\{\neg x \approx y\} \Rightarrow x \approx \neg y,$$

and C3-admissible (perhaps not C3-valid) quasiequations like

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Two Challenges

1. How can we check A-admissibility when A is finite?

- A-admissibility corresponds to validity in the *finite* free algebra $F_A(|A|)$ and is hence decidable.
- But even $F_{C_3}(2)$ has 82 elements... We look instead for (small) subalgebras of $F_A(|A|)$ where validity matches A-admissibility.
- 2. How can we axiomatize A-admissibility in this case?
 - We seek characterizations of the finite members of Q(A) that can be embedded into (powers of) F_A(ω).
 - We obtain these characterizations via natural dualities.

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Fix a finite algebra \boldsymbol{A} for a language $\mathcal L$ with term algebra $\boldsymbol{Tm}_{\mathcal L}.$

An \mathcal{L} -quasiequation $\Sigma \Rightarrow \varphi \approx \psi$ is A-valid, written

 $\Sigma \models_{\mathbf{A}} \varphi \approx \psi,$

if for every homomorphism $g \colon \mathbf{Tm}_{\mathcal{L}} \to \mathbf{A}$,

$$g(\varphi') = g(\psi')$$

for all $\varphi' \approx \psi' \in \Sigma$ \Longrightarrow $g(\varphi) = g(\psi)$.

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An **A-unifier** of a set of \mathcal{L} -equations Σ is a homomorphism (substitution) $\sigma : \mathbf{Tm}_{\mathcal{L}} \to \mathbf{Tm}_{\mathcal{L}}$ satisfying

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(If **A** is non-trivial, then given variables x, y not occurring in Σ :

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Recall that the **free algebra** $\mathbf{F}_{\mathbf{A}}(\kappa)$ on $\kappa \leq \omega$ generators may be taken to consist of equivalence classes of terms with respect to the congruence defined on $\mathbf{Tm}_{\mathcal{L}}(\kappa) \times \mathbf{Tm}_{\mathcal{L}}(\kappa)$ by $\varphi \sim \psi$ iff $\models_{\mathbf{A}} \varphi \approx \psi$.

For any finite algebra A:

 $\Sigma \Rightarrow \varphi \approx \psi \ \text{ is A-admissible } \quad \Longleftrightarrow \quad \Sigma \models_{\mathbf{F}_{\mathbf{A}}(|\mathbf{A}|)} \varphi \approx \psi.$

Moreover, $F_A(|A|)$ is finite, so checking A-admissibility is decidable.

But $\mathbf{F}_{\mathbf{A}}(n)$ can be big even for small |A| and n... Hence we seek (small) algebras **B** such that

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Recall that $\mathbb{V}(\mathbf{A}) = \mathbb{HSP}(\mathbf{A})$ and $\mathbb{Q}(\mathbf{A}) = \mathbb{ISP}(\mathbf{A})$ for finite \mathbf{A} , where \mathbb{H} , \mathbb{I} , \mathbb{S} , and \mathbb{P} denote closure under homomorphic and isomorphic images, subalgebras, and direct products, respectively.

Theorem

The following are equivalent:

- (1) $\Sigma \Rightarrow \varphi \approx \psi$ is **A**-admissible $\iff \Sigma \models_{\mathbf{B}} \varphi \approx \psi$.
- (2) $\mathbb{Q}(\mathbf{F}_{\mathbf{A}}(|\mathbf{A}|)) = \mathbb{Q}(\mathbf{B}).$
- (3) $\mathbf{B} \in \mathbb{Q}(\mathbf{F}_{\mathbf{A}}(|A|))$ and $\mathbf{A} \in \mathbb{V}(\mathbf{B})$.

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$$\mathbf{B} \in \mathbb{Q}(\mathbf{F}_{\mathbf{A}}(|\mathbf{A}|))$$
 and $\mathbf{A} \in \mathbb{V}(\mathbf{B})$.

- (i) Find the smallest $m \leq |A|$ such that $\mathbf{A} \in \mathbb{H}(\mathbf{F}_{\mathbf{A}}(m))$.
- (ii) Compute the set $\mathbb{S}(\mathbf{F}_{\mathbf{A}}(m))$ of subalgebras of $\mathbf{F}_{\mathbf{A}}(m)$.
- (iii) Construct the set $Adm(\mathbf{A}) = \{\mathbf{B} \in \mathbb{S}(\mathbf{F}_{\mathbf{A}}(m)) \mid \mathbf{A} \in \mathbb{H}(\mathbf{B})\}.$
- (iv) Find a proof system to check validity in a smallest $\mathbf{B} \in \text{Adm}(\mathbf{A})$.

Steps (i)-(iii) have been implemented using the Algebra Workbench; step (iv) can be implemented using, e.g., MUItlog/MUItseq or $_{3}TAP$.

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- (i) Find the smallest $m \leq |A|$ such that $\mathbf{A} \in \mathbb{H}(\mathbf{F}_{\mathbf{A}}(m))$.
- (ii) Compute the set $\mathbb{S}(\mathbf{F}_{\mathbf{A}}(m))$ of subalgebras of $\mathbf{F}_{\mathbf{A}}(m)$.
- (iii) Construct the set $Adm(\mathbf{A}) = {\mathbf{B} \in \mathbb{S}(\mathbf{F}_{\mathbf{A}}(m)) \mid \mathbf{A} \in \mathbb{H}(\mathbf{B})}.$
- (iv) Find a proof system to check validity in a smallest $\mathbf{B} \in Adm(\mathbf{A})$.

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In some cases, A-admissibility coincides with A-validity; that is

 $\Sigma \Rightarrow \varphi \approx \psi$ is **A**-admissible $\iff \Sigma \models_{\mathbf{A}} \varphi \approx \psi$

and A is called structurally complete.

Consider, e.g., $\mathbf{S}_{\mathbf{3}}^{\rightarrow} = \langle \{-1, 0, 1\}, \rightarrow \rangle$ with operation table:

\longrightarrow	-1	0	1
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The procedure discovers a subalgebra of the 60-element free algebra $F_{S_3^{\rightarrow}}(2)$ isomorphic to S_3^{\rightarrow} , and hence that S_3^{\rightarrow} is structurally complete.

Structural completeness has also been confirmed for the 3-element implicational Łukasiewicz algebra, Gödel algebra, and Stone algebra.

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A is called **almost structurally complete** if A-admissibility coincides with A-validity for quasiequations with A-unifiable premises; that is

 $\Sigma \Rightarrow \varphi \approx \psi$ is **A**-admissible $\iff \frac{\Sigma \models_{\mathbf{A}} \varphi \approx \psi}{\Sigma}$ or Σ is not **A**-unifiable.

Lemma

For any finite algebra **A** and subalgebra **B** of $F_A(\omega)$:

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Example: A De Morgan Lattice

For the De Morgan lattice $D_4 = \langle \{ \bot, a, b, \top \}, \land, \lor, \neg \rangle$ described by



the procedure finds a smallest algebra in Adm(D₄) isomorphic to $D_4 \times 2$ with $2 \in \mathbb{S}(F_{D_4}(\omega))$, so D_4 is almost structurally complete.

Other almost structurally complete algebras include the 3-element Łukasiewicz algebra and S_3^{\rightarrow} with an involutive negation.

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• For the De Morgan algebra

$$\boldsymbol{\mathsf{D_4^b}} = \langle \{\bot, a, b, \top\}, \land, \lor, \neg, \bot, \top \rangle$$

the procedure finds a smallest 10-element algebra in $Adm(D_4^b)$.

• For the Kleene lattice and Kleene algebra

 $\mathbf{C_3} = \langle \{\top, a, \bot\}, \land, \lor, \neg \rangle \quad \text{and} \quad \mathbf{C_3^b} = \langle \{\top, a, \bot\}, \land, \lor, \neg, \bot, \top \rangle$

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A Problem

Consider the algebra

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The smallest algebra in Adm(P) is $F_P(2)$, but P can be embedded into $F_P(1) \times F_P(1)$, so $\mathbb{Q}(P) = \mathbb{Q}(F_P(4))$ and P is structurally complete.

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A Solution

For a finite algebra A:

- (i) Express A as a subdirect product of Q(A)-subdirectly irreducible algebras A₁,..., A_n ∈ Q(A).
- (i) For each A_i , find a smallest $B_i \in \mathbb{S}(F_A(|A_i|))$ with $A_i \in \mathbb{H}(B_i)$.
- (iii) Express each B_i as a subdirect product of $\mathbb{Q}(F_A(|A|))$ -subdirectly irreducible algebras in $\mathbb{Q}(F_A(|A|))$.
- (iv) Remove from the set of all algebras obtained in (iii), any algebra that is isomorphic to a subalgebra of another algebra in the set.

We obtain a "smallest set" of generating algebras for $\mathbb{Q}(\mathbf{F}_{\mathbf{A}}(|A|))$ according to a multiset ordering of the multiset of their cardinalities.

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Α	 A	Quasivariety $\mathbb{Q}(\mathbf{A})$	Free algebra	Output Algebra
Ł3	3	algebras for L_3	$ F_{A}(1) = 12$	6
Ł ₃ →	3	algebras for $\mathtt{k}_3^{\rightarrow}$	$ F_{A}(2) = 40$	3
B ₁	3	Stone algebras	$ F_{A}(1) = 6$	3
C ^b ₃	3	Kleene algebras	$ F_{A}(1) = 6$	4
C ₃	3	Kleene lattices	$ F_{A}(2) = 82$	4
S	3	algebras for $RM^{\rightarrow \neg}$	$ F_A(2) = 264$	6
S_3^{\rightarrow}	3	algebras for RM^{\rightarrow}	$ F_{A}(2) = 60$	3
G ₃	3	algebras for G ₃	$ F_{A}(2) = 18$	3
D ₄	4	De Morgan lattices	$ F_{A}(2) = 166$	8
D ₄ ^b	4	De Morgan algebras	$ F_A(2) = 168$	10
Ρ	4	$\mathbb{Q}(P)$	$ F_{A}(2) = 6$	6
S ₄	4	$\mathbb{Q}(S_4)$	$ F_{A}(1) = 18$	6
B ₂	5	$\mathbb{Q}(B_2)$	$ F_{A}(1) = 7$	5

Can we axiomatize A-admissibility (i.e., Q(F_A(ω)))?

- More generally, for a quasivariety Q, can we axiomatize
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An \mathcal{L} -clause $\Sigma \Rightarrow \Delta$ is \mathcal{K} -valid for a class of \mathcal{L} -algebras \mathcal{K} , written $\Sigma \models_{\mathcal{K}} \Delta,$

if for every $\mathbf{A} \in \mathcal{K}$ and homomorphism $g \colon \mathbf{Tm}_{\mathcal{L}} \to \mathbf{A}$,

 $g(\varphi) = g(\psi)$ for all $\varphi \approx \psi \in \Sigma$ $oldsymbol{g}(arphi') = oldsymbol{g}(\psi')$ for some $arphi' pprox \psi' \in \Delta.$

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For example, the clause

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For a quasivariety Q and clause $\Sigma \Rightarrow \Delta$, the following are equivalent:

- (i) $\Sigma \Rightarrow \Delta$ is *Q*-admissible.
- (ii) $\Sigma \models_{\mathbf{F}_{\mathcal{Q}}(\omega)} \Delta$.

(iii) For each finite set of equations Π :

 $\models_{\mathcal{Q}} \Pi \quad \Leftrightarrow \quad \models_{\mathcal{U}} \Pi \qquad (\mathcal{U} = \{ \mathbf{A} \in \mathcal{Q} \mid \Sigma \models_{\mathbf{A}} \Delta \}).$

If $|\Delta| = 1$, then the following is also equivalent to (i)-(iii): (iv) For each equation $\alpha \approx \psi$:

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If $|\Delta| = 1$, then the following is also equivalent to (i)-(iii): (iv) For each equation $\varphi \approx \psi$:

 $\models_{\mathcal{Q}} \varphi \approx \psi \quad \Leftrightarrow \quad \models_{\mathcal{Q}'} \varphi \approx \psi \qquad (\mathcal{Q}' = \{ \mathbf{A} \in \mathcal{Q} \mid \Sigma \models_{\mathbf{A}} \Delta \}).$

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$$\{\top \approx \mathbf{Z} \lor (\bigwedge_{i=1}^{n} (\mathbf{y}_{i} \to \mathbf{x}_{i}) \to (\mathbf{y}_{n+1} \lor \mathbf{y}_{n+2}))\} \Rightarrow$$
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Lemma

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- (1) Λ is a basis for the admissible clauses of Q.
- (2) For each finite $\mathbf{B} \in \mathcal{Q}$: $\mathbf{B} \in \mathbb{IS}(\mathbf{F}_{\mathcal{Q}}(\omega))$ iff \mathbf{B} satisfies Λ .

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How Can Natural Dualities Help?

• Suppose that \underline{A} yields a strong natural duality on $\mathbb{Q}(A)$ (A finite).

- A basis Λ for the admissible clauses of Q(A) characterizes the finite algebras of Q(A) that can be embedded into F_{Q(A)}(ω).
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(i)
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(ii) The dual Priestley space of **A** is a non-empty bounded poset.

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$$\{x \lor y \approx \top\} \quad \Rightarrow \quad \{x \approx \top, \ y \approx \top\} \tag{1}$$

Theorem

 $\{(1), (2)\}$ is a basis for the admissible clauses of \mathcal{BDL} .

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(iii) **A** satisfies the quasiequations:

 $\{x \leq \neg x, \ \neg(x \lor y) \leq x \lor y, \ \neg y \lor z \approx \top \} \quad \Rightarrow \quad z \approx \top$ $\{x \leq \neg x, \ y \leq \neg y, \ x \land y \approx \bot \} \quad \Rightarrow \quad x \lor y \leq \neg(x \lor y).$ (6)

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Admissibility in Finite Algebras

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-1	1	1	1
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Theorem

A basis for the **S**-admissible quasiequations is:

 $\{0 \leq \neg((x_1 \to x_1) \leftrightarrow \ldots \leftrightarrow (x_n \to x_n))\} \quad \Rightarrow \quad x \approx y \qquad (n = 1, 2, \ldots).$

In fact $\mathbb{Q}(\mathbf{F}_{\mathbf{S}}(\omega)) = \mathbb{Q}(\mathbf{2} \times \mathbf{S})$ is not finitely axiomatizable.

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 $\{0 \leq \neg((x_1 \to x_1) \leftrightarrow \ldots \leftrightarrow (x_n \to x_n))\} \quad \Rightarrow \quad x \approx y \qquad (n = 1, 2, \ldots).$

In fact $\mathbb{Q}(\mathbf{F}_{\mathbf{S}}(\omega)) = \mathbb{Q}(\mathbf{2} \times \mathbf{S})$ is not finitely axiomatizable.

\rightarrow	-1	0	1
-1	1	1	1
0	-1	0	1
1	-1	-1	1

Let $0 \preceq \varphi$ denote $\varphi \rightarrow \varphi \approx \varphi$ and $\varphi \leftrightarrow \psi =_{df} \neg((\varphi \rightarrow \psi) \rightarrow \neg(\psi \rightarrow \varphi)).$

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G. Metcalfe and C. Röthlisberger. Unifiability and admissibility in finite algebras. *Proceedings of Computability in Europe 2012*, LNCS 7318, Springer, 2012.

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