## A quick introduction to stack functions

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I aim to explain parts of my papers [4,5] on 'stack functions', which are central to the sequels [6–9] and my work with Yinan Song [10–12], and are basically the same as the 'Hall algebras' used by Kontsevich and Soibelman [13].

### 1 Introduction to Artin $\mathbb{K}$ -stacks

Fix an algebraically closed field  $\mathbb{K}$  throughout. There are four main classes of 'spaces' over  $\mathbb{K}$  used in algebraic geometry, in increasing order of generality:

 $\mathbb{K}\text{-varieties} \subset \mathbb{K}\text{-schemes} \subset \text{algebraic } \mathbb{K}\text{-spaces} \subset \text{algebraic } \mathbb{K}\text{-stacks}.$ 

Algebraic stacks (also known as Artin stacks) were introduced by Artin, generalizing *Deligne-Mumford stacks*. For a good introduction to algebraic stacks see Gómez [3], and for a thorough treatment see Laumon and Moret-Bailly [14]. We make the convention that all algebraic K-stacks in this paper are *locally of finite type*, and K-substacks are *locally closed*.

Algebraic K-stacks form a 2-category. That is, we have objects which are K-stacks  $\mathfrak{F}, \mathfrak{G}$ , and also two kinds of morphisms, 1-morphisms  $\phi, \psi : \mathfrak{F} \to \mathfrak{G}$  between K-stacks, and 2-morphisms  $A : \phi \to \psi$  between 1-morphisms. An analogy to keep in mind is a 2-category of categories, where objects are categories, 1-morphisms are functors between the categories, and 2-morphisms are isomorphisms (natural transformations) between functors.

We define the set of  $\mathbb{K}$ -points of a stack.

**Definition 1.1.** Let  $\mathfrak{F}$  be a  $\mathbb{K}$ -stack. Write  $\mathfrak{F}(\mathbb{K})$  for the set of 2-isomorphisms classes [x] of 1-morphisms x : Spec  $\mathbb{K} \to \mathfrak{F}$ . Elements of  $\mathfrak{F}(\mathbb{K})$  are called  $\mathbb{K}$ -*points*, or *geometric points*, of  $\mathfrak{F}$ . If  $\phi : \mathfrak{F} \to \mathfrak{G}$  is a 1-morphism then composition
with  $\phi$  induces a map of sets  $\phi_* : \mathfrak{F}(\mathbb{K}) \to \mathfrak{G}(\mathbb{K})$ .

For a 1-morphism  $x : \operatorname{Spec} \mathbb{K} \to \mathfrak{F}$ , the stabilizer group  $\operatorname{Iso}_{\mathbb{K}}(x)$  is the group of 2-morphisms  $x \to x$ . When  $\mathfrak{F}$  is an algebraic  $\mathbb{K}$ -stack,  $\operatorname{Iso}_{\mathbb{K}}(x)$  is an algebraic  $\mathbb{K}$ -group. We say that  $\mathfrak{F}$  has affine geometric stabilizers if  $\operatorname{Iso}_{\mathbb{K}}(x)$  is an affine algebraic  $\mathbb{K}$ -group for all 1-morphisms  $x : \operatorname{Spec} \mathbb{K} \to \mathfrak{F}$ .

As an algebraic  $\mathbb{K}$ -group up to isomorphism,  $\operatorname{Iso}_{\mathbb{K}}(x)$  depends only on the isomorphism class  $[x] \in \mathfrak{F}(\mathbb{K})$  of x in  $\operatorname{Hom}(\operatorname{Spec} \mathbb{K}, \mathfrak{F})$ . If  $\phi : \mathfrak{F} \to \mathfrak{G}$  is a 1-morphism, composition induces a morphism of algebraic  $\mathbb{K}$ -groups  $\phi_* :$  $\operatorname{Iso}_{\mathbb{K}}([x]) \to \operatorname{Iso}_{\mathbb{K}}(\phi_*([x]))$ , for  $[x] \in \mathfrak{F}(\mathbb{K})$ . One important difference in working with 2-categories rather than ordinary categories is that in diagram-chasing one only requires 1-morphisms to be 2isomorphic rather than equal. The simplest kind of commutative diagram is:



by which we mean that  $\mathfrak{F}, \mathfrak{G}, \mathfrak{H}$  are  $\mathbb{K}$ -stacks,  $\phi, \psi, \chi$  are 1-morphisms, and  $F : \psi \circ \phi \to \chi$  is a 2-isomorphism. Usually we omit F, and mean that  $\psi \circ \phi \cong \chi$ .

**Definition 1.2.** Let  $\phi : \mathfrak{F} \to \mathfrak{H}, \psi : \mathfrak{G} \to \mathfrak{H}$  be 1-morphisms of K-stacks. Then one can define the *fibre product stack*  $\mathfrak{F} \times_{\phi,\mathfrak{H},\psi} \mathfrak{G}$ , or  $\mathfrak{F} \times_{\mathfrak{H}} \mathfrak{G}$  for short, with 1-morphisms  $\pi_{\mathfrak{F}}, \pi_{\mathfrak{G}}$  fitting into a commutative diagram:

$$\mathfrak{F} \times_{\mathfrak{H}} \mathfrak{G} \underbrace{\overset{\pi_{\mathfrak{F}}}{\overset{\pi_{\mathfrak{F}}}{\longrightarrow}} \mathfrak{F}}_{\pi_{\mathfrak{G}} \overset{\Psi}{\longrightarrow} \mathfrak{G}} \mathfrak{H}.$$
(1)

A commutative diagram

$$\mathfrak{E} \underbrace{\overset{\theta}{\overbrace{\eta}}}_{\eta} \mathfrak{F} \underbrace{\mathfrak{F}}_{\mathfrak{G}} \underbrace{\overset{\phi}{\overbrace{\psi}}}_{\psi} \mathfrak{F}$$

is a *Cartesian square* if it is isomorphic to (1), so there is a 1-isomorphism  $\mathfrak{E} \cong \mathfrak{F} \times_{\mathfrak{H}} \mathfrak{G}$ . Cartesian squares may also be characterized by a universal property.

#### 2 Constructible functions on stacks

Next we discuss *constructible functions* on  $\mathbb{K}$ -stacks, following [4]. For this section we need  $\mathbb{K}$  to have *characteristic zero*.

**Definition 2.1.** Let  $\mathfrak{F}$  be an algebraic  $\mathbb{K}$ -stack. We call  $C \subseteq \mathfrak{F}(\mathbb{K})$  constructible if  $C = \bigcup_{i \in I} \mathfrak{F}_i(\mathbb{K})$ , where  $\{\mathfrak{F}_i : i \in I\}$  is a finite collection of finite type algebraic  $\mathbb{K}$ -substacks  $\mathfrak{F}_i$  of  $\mathfrak{F}$ . We call  $S \subseteq \mathfrak{F}(\mathbb{K})$  locally constructible if  $S \cap C$  is constructible for all constructible  $C \subseteq \mathfrak{F}(\mathbb{K})$ .

A function  $f : \mathfrak{F}(\mathbb{K}) \to \mathbb{Q}$  is called *constructible* if  $f(\mathfrak{F}(\mathbb{K}))$  is finite and  $f^{-1}(c)$  is a constructible set in  $\mathfrak{F}(\mathbb{K})$  for each  $c \in f(\mathfrak{F}(\mathbb{K})) \setminus \{0\}$ . A function  $f : \mathfrak{F}(\mathbb{K}) \to \mathbb{Q}$  is called *locally constructible* if  $f \cdot \delta_C$  is constructible for all constructible  $C \subseteq \mathfrak{F}(\mathbb{K})$ , where  $\delta_C$  is the characteristic function of C. Write  $CF(\mathfrak{F})$  and  $LCF(\mathfrak{F})$  for the  $\mathbb{Q}$ -vector spaces of  $\mathbb{Q}$ -valued constructible and locally constructible functions on  $\mathfrak{F}$ .

Following [4, Def.s 4.8, 5.1 & 5.5] we define *pushforwards* and *pullbacks* of constructible functions along 1-morphisms.

**Definition 2.2.** Let  $\mathfrak{F}$  be an algebraic K-stack with affine geometric stabilizers and  $C \subseteq \mathfrak{F}(\mathbb{K})$  be constructible. Then [4, Def. 4.8] defines the *naïve Euler*  characteristic  $\chi^{\mathrm{na}}(C)$  of C. It is called *naïve* as it takes no account of stabilizer groups. For  $f \in \mathrm{CF}(\mathfrak{F})$ , define  $\chi^{\mathrm{na}}(\mathfrak{F}, f)$  in  $\mathbb{Q}$  by

$$\chi^{\mathrm{na}}(\mathfrak{F},f) = \sum_{c \in f(\mathfrak{F}(\mathbb{K})) \setminus \{0\}} c \,\chi^{\mathrm{na}}(f^{-1}(c)).$$

Let  $\mathfrak{F}, \mathfrak{G}$  be algebraic  $\mathbb{K}$ -stacks with affine geometric stabilizers, and  $\phi : \mathfrak{F} \to \mathfrak{G}$  a representable 1-morphism. Then for any  $x \in \mathfrak{F}(\mathbb{K})$  we have an injective morphism  $\phi_* : \operatorname{Iso}_{\mathbb{K}}(x) \to \operatorname{Iso}_{\mathbb{K}}(\phi_*(x))$  of affine algebraic  $\mathbb{K}$ -groups. The image  $\phi_*(\operatorname{Iso}_{\mathbb{K}}(x))$  is an affine algebraic  $\mathbb{K}$ -group closed in  $\operatorname{Iso}_{\mathbb{K}}(\phi_*(x))$ , so the quotient  $\operatorname{Iso}_{\mathbb{K}}(\phi_*(x))/\phi_*(\operatorname{Iso}_{\mathbb{K}}(x))$  exists as a quasiprojective  $\mathbb{K}$ -variety. Define a function  $m_{\phi} : \mathfrak{F}(\mathbb{K}) \to \mathbb{Z}$  by  $m_{\phi}(x) = \chi(\operatorname{Iso}_{\mathbb{K}}(\phi_*(x))/\phi_*(\operatorname{Iso}_{\mathbb{K}}(x)))$  for  $x \in \mathfrak{F}(\mathbb{K})$ .

For  $f \in \mathrm{CF}(\mathfrak{F})$ , define  $\mathrm{CF}^{\mathrm{stk}}(\phi)f : \mathfrak{G}(\mathbb{K}) \to \mathbb{Q}$  by

$$\mathrm{CF}^{\mathrm{stk}}(\phi)f(y) = \chi^{\mathrm{na}}\big(\mathfrak{F}, m_{\phi} \cdot f \cdot \delta_{\phi_*^{-1}(y)}\big) \quad \text{for } y \in \mathfrak{G}(\mathbb{K}),$$

where  $\delta_{\phi_*^{-1}(y)}$  is the characteristic function of  $\phi_*^{-1}(\{y\}) \subseteq \mathfrak{G}(\mathbb{K})$  on  $\mathfrak{G}(\mathbb{K})$ . Then  $\mathrm{CF}^{\mathrm{stk}}(\phi) : \mathrm{CF}(\mathfrak{F}) \to \mathrm{CF}(\mathfrak{G})$  is a  $\mathbb{Q}$ -linear map called the *stack pushforward*.

Let  $\theta : \mathfrak{F} \to \mathfrak{G}$  be a finite type 1-morphism. If  $C \subseteq \mathfrak{G}(\mathbb{K})$  is constructible then so is  $\theta_*^{-1}(C) \subseteq \mathfrak{F}(\mathbb{K})$ . It follows that if  $f \in \mathrm{CF}(\mathfrak{G})$  then  $f \circ \theta_*$  lies in  $\mathrm{CF}(\mathfrak{F})$ . Define the *pullback*  $\theta^* : \mathrm{CF}(\mathfrak{G}) \to \mathrm{CF}(\mathfrak{F})$  by  $\theta^*(f) = f \circ \theta_*$ . It is a linear map.

Here [4, Th.s 5.4, 5.6 & Def. 5.5] are some properties of these.

**Theorem 2.3.** Let  $\mathfrak{E}, \mathfrak{F}, \mathfrak{G}, \mathfrak{H}$  be algebraic  $\mathbb{K}$ -stacks with affine geometric stabilizers, and  $\beta : \mathfrak{F} \to \mathfrak{G}, \gamma : \mathfrak{G} \to \mathfrak{H}$  be 1-morphisms. Then

$$CF^{stk}(\gamma \circ \beta) = CF^{stk}(\gamma) \circ CF^{stk}(\beta) : CF(\mathfrak{F}) \to CF(\mathfrak{H}),$$
(2)

$$(\gamma \circ \beta)^* = \beta^* \circ \gamma^* : \mathrm{CF}(\mathfrak{H}) \to \mathrm{CF}(\mathfrak{F}), \tag{3}$$

supposing  $\beta, \gamma$  representable in (2), and of finite type in (3). If

$$\begin{array}{cccc} \mathfrak{E} & \xrightarrow{\eta} \mathfrak{G} & is \ a \ Cartesian \ square \ with \\ & \downarrow_{\theta} & \psi_{\psi} \\ \mathfrak{F} & \xrightarrow{\phi} \mathfrak{H} \\ \mathfrak{F} & \xrightarrow{\phi} \mathfrak{H} \end{array} \xrightarrow{(s \ a \ Cartesian \ square \ with \\ & \eta, \phi \ representable \ and \\ & \theta, \psi \ of \ finite \ type, \ then \\ & \uparrow_{\theta^*} & \psi^* \\ & for \ CF(\mathfrak{F}) \\ & \overset{(4)}{\longrightarrow} \\ & \mathsf{CF}(\mathfrak{F}) \\ \end{array}$$

As discussed in [4, §3.3] for the K-scheme case, equation (2) is *false* for algebraically closed fields K of characteristic p > 0. This is my reason for restricting to K of characteristic zero in those parts of my papers dealing with constructible functions. In [4, §5.3] we extend Definition 2.2 and Theorem 2.3 to *locally constructible functions*.

## **3** Stack functions

Stack functions are a universal generalization of constructible functions introduced in [5, §3]. Here [5, Def. 3.1] is the basic definition. Throughout  $\mathbb{K}$  is algebraically closed of arbitrary characteristic, except when we specify char  $\mathbb{K} = 0$ . **Definition 3.1.** Let  $\mathfrak{F}$  be an algebraic  $\mathbb{K}$ -stack with affine geometric stabilizers. Consider pairs  $(\mathfrak{R}, \rho)$ , where  $\mathfrak{R}$  is a finite type algebraic  $\mathbb{K}$ -stack with affine geometric stabilizers and  $\rho : \mathfrak{R} \to \mathfrak{F}$  is a 1-morphism. We call two pairs  $(\mathfrak{R}, \rho)$ ,  $(\mathfrak{R}', \rho')$  equivalent if there exists a 1-isomorphism  $\iota : \mathfrak{R} \to \mathfrak{R}'$  such that  $\rho' \circ \iota$  and  $\rho$  are 2-isomorphic 1-morphisms  $\mathfrak{R} \to \mathfrak{F}$ . Write  $[(\mathfrak{R}, \rho)]$  for the equivalence class of  $(\mathfrak{R}, \rho)$ . If  $(\mathfrak{R}, \rho)$  is such a pair and  $\mathfrak{S}$  is a closed  $\mathbb{K}$ -substack of  $\mathfrak{R}$  then  $(\mathfrak{S}, \rho|_{\mathfrak{S}}), (\mathfrak{R} \setminus \mathfrak{S}, \rho|_{\mathfrak{R} \setminus \mathfrak{S}})$  are pairs of the same kind.

Define  $\underline{SF}(\mathfrak{F})$  to be the  $\mathbb{Q}$ -vector space generated by equivalence classes  $[(\mathfrak{R}, \rho)]$  as above, with for each closed  $\mathbb{K}$ -substack  $\mathfrak{S}$  of  $\mathfrak{R}$  a relation

$$[(\mathfrak{R},\rho)] = [(\mathfrak{S},\rho|_{\mathfrak{S}})] + [(\mathfrak{R}\setminus\mathfrak{S},\rho|_{\mathfrak{R}\setminus\mathfrak{S}})].$$
(5)

Define  $SF(\mathfrak{F})$  to be the Q-vector space generated by  $[(\mathfrak{R}, \rho)]$  with  $\rho$  representable, with the same relations (5). Then  $SF(\mathfrak{F}) \subseteq \underline{SF}(\mathfrak{F})$ .

Elements of  $\underline{SF}(\mathfrak{F})$  will be called *stack functions*. In [5, Def. 3.2] we relate  $CF(\mathfrak{F})$  and  $SF(\mathfrak{F})$ .

**Definition 3.2.** Let  $\mathfrak{F}$  be an algebraic  $\mathbb{K}$ -stack with affine geometric stabilizers, and  $C \subseteq \mathfrak{F}(\mathbb{K})$  be constructible. Then  $C = \coprod_{i=1}^{n} \mathfrak{R}_{i}(\mathbb{K})$ , for  $\mathfrak{R}_{1}, \ldots, \mathfrak{R}_{n}$  finite type  $\mathbb{K}$ -substacks of  $\mathfrak{F}$ . Let  $\rho_{i} : \mathfrak{R}_{i} \to \mathfrak{F}$  be the inclusion 1-morphism. Then  $[(\mathfrak{R}_{i}, \rho_{i})] \in \mathrm{SF}(\mathfrak{F})$ . Define  $\overline{\delta}_{C} = \sum_{i=1}^{n} [(\mathfrak{R}_{i}, \rho_{i})] \in \mathrm{SF}(\mathfrak{F})$ . We think of this stack function as the analogue of the characteristic function  $\delta_{C} \in \mathrm{CF}(\mathfrak{F})$  of C. Define a  $\mathbb{Q}$ -linear map  $\iota_{\mathfrak{F}} : \mathrm{CF}(\mathfrak{F}) \to \mathrm{SF}(\mathfrak{F})$  by  $\iota_{\mathfrak{F}}(f) = \sum_{0 \neq c \in f(\mathfrak{F}(\mathfrak{K}))} c \cdot \overline{\delta}_{f^{-1}(c)}$ . For  $\mathbb{K}$ of characteristic zero, define a  $\mathbb{Q}$ -linear map  $\pi_{\mathfrak{F}}^{\mathrm{stk}} : \mathrm{SF}(\mathfrak{F}) \to \mathrm{CF}(\mathfrak{F})$  by

$$\pi_{\mathfrak{F}}^{\mathrm{stk}}\left(\sum_{i=1}^{n} c_{i}[(\mathfrak{R}_{i},\rho_{i})]\right) = \sum_{i=1}^{n} c_{i} \operatorname{CF}^{\mathrm{stk}}(\rho_{i}) \mathbf{1}_{\mathfrak{R}_{i}},$$

where  $1_{\mathfrak{R}_i}$  is the function 1 in  $CF(\mathfrak{R}_i)$ . Then [5, Prop. 3.3] shows  $\pi_{\mathfrak{F}}^{\mathrm{stk}} \circ \iota_{\mathfrak{F}}$  is the identity on  $CF(\mathfrak{F})$ . Thus,  $\iota_{\mathfrak{F}}$  is injective and  $\pi_{\mathfrak{F}}^{\mathrm{stk}}$  is surjective. In general  $\iota_{\mathfrak{F}}$  is far from surjective, and  $\underline{SF}, SF(\mathfrak{F})$  are much larger than  $CF(\mathfrak{F})$ .

All the operations of constructible functions in §2 extend to stack functions.

**Definition 3.3.** Define *multiplication* ' $\cdot$ ' on <u>SF</u>( $\mathfrak{F}$ ) by

$$[(\mathfrak{R},\rho)] \cdot [(\mathfrak{S},\sigma)] = [(\mathfrak{R} \times_{\rho,\mathfrak{F},\sigma} \mathfrak{S},\rho \circ \pi_{\mathfrak{R}})].$$
(6)

This extends to a  $\mathbb{Q}$ -bilinear product  $\underline{SF}(\mathfrak{F}) \times \underline{SF}(\mathfrak{F}) \to \underline{SF}(\mathfrak{F})$  which is commutative and associative, and  $SF(\mathfrak{F})$  is closed under ' $\cdot$ '. Let  $\phi : \mathfrak{F} \to \mathfrak{G}$  be a 1-morphism of algebraic  $\mathbb{K}$ -stacks with affine geometric stabilizers. Define the pushforward  $\phi_* : \underline{SF}(\mathfrak{F}) \to \underline{SF}(\mathfrak{G})$  by

$$\phi_*: \sum_{i=1}^m c_i[(\mathfrak{R}_i, \rho_i)] \longmapsto \sum_{i=1}^m c_i[(\mathfrak{R}_i, \phi \circ \rho_i)].$$
(7)

If  $\phi$  is representable then  $\phi_* \text{ maps } \operatorname{SF}(\mathfrak{F}) \to \operatorname{SF}(\mathfrak{G})$ . For  $\phi$  of finite type, define pullbacks  $\phi^* : \underline{\operatorname{SF}}(\mathfrak{G}) \to \underline{\operatorname{SF}}(\mathfrak{F}), \ \phi^* : \operatorname{SF}(\mathfrak{G}) \to \operatorname{SF}(\mathfrak{F})$  by

$$\phi^*: \sum_{i=1}^m c_i[(\mathfrak{R}_i, \rho_i)] \longmapsto \sum_{i=1}^m c_i[(\mathfrak{R}_i \times_{\rho_i, \mathfrak{G}, \phi} \mathfrak{F}, \pi_{\mathfrak{F}})].$$
(8)

The tensor product  $\otimes : \underline{SF}(\mathfrak{F}) \times \underline{SF}(\mathfrak{G}) \to \underline{SF}(\mathfrak{F} \times \mathfrak{G})$  or  $SF(\mathfrak{F}) \times SF(\mathfrak{G}) \to SF(\mathfrak{F} \times \mathfrak{G})$  is

$$\left(\sum_{i=1}^{m} c_i[(\mathfrak{R}_i,\rho_i)]\right) \otimes \left(\sum_{j=1}^{n} d_j[(\mathfrak{S}_j,\sigma_j)]\right) = \sum_{i,j} c_i d_j[(\mathfrak{R}_i \times \mathfrak{S}_j,\rho_i \times \sigma_j)].$$
(9)

Here [5, Th. 3.5] is the analogue of Theorem 2.3.

**Theorem 3.4.** Let  $\mathfrak{E}, \mathfrak{F}, \mathfrak{G}, \mathfrak{H}$  be algebraic  $\mathbb{K}$ -stacks with affine geometric stabilizers, and  $\beta : \mathfrak{F} \to \mathfrak{G}, \gamma : \mathfrak{G} \to \mathfrak{H}$  be 1-morphisms. Then

$$\begin{aligned} &(\gamma \circ \beta)_* = \gamma_* \circ \beta_* : \underline{\mathrm{SF}}(\mathfrak{F}) \to \underline{\mathrm{SF}}(\mathfrak{H}), \qquad (\gamma \circ \beta)_* = \gamma_* \circ \beta_* : \mathrm{SF}(\mathfrak{F}) \to \mathrm{SF}(\mathfrak{H}), \\ &(\gamma \circ \beta)^* = \beta^* \circ \gamma^* : \underline{\mathrm{SF}}(\mathfrak{H}) \to \underline{\mathrm{SF}}(\mathfrak{F}), \qquad (\gamma \circ \beta)^* = \beta^* \circ \gamma^* : \mathrm{SF}(\mathfrak{H}) \to \mathrm{SF}(\mathfrak{F}), \end{aligned}$$

for  $\beta, \gamma$  representable in the second equation, and of finite type in the third and fourth. If  $f, g \in \underline{SF}(\mathfrak{G})$  and  $\beta$  is finite type then  $\beta^*(f \cdot g) = \beta^*(f) \cdot \beta^*(g)$ . If

$\mathfrak{K} \longrightarrow \mathfrak{K}$	is a Cartesian square with	$SF(\mathfrak{E})$ –	$\xrightarrow{n} \underline{SF}(\mathfrak{G})$
$ \begin{array}{ccc}                                   $	-	` /	$\eta_* \xrightarrow{\mathbf{DI}} (\mathbf{C})$
$\psi \theta \psi \psi$	$ heta, \psi$ of finite type, then	$\uparrow \theta^*$	$\psi^*$
$\dot{z} \xrightarrow{\phi} \dot{s}$	the following commutes:	$SF(\mathfrak{F}) -$	$\xrightarrow{\phi_*} > SF(\mathfrak{H}).$
v — 3)	the following commutes:	$\underline{\nabla \mathbf{I}}(0)$	$\underline{\mathbf{DI}}(\mathbf{v})$

The same applies for  $SF(\mathfrak{E}), \ldots, SF(\mathfrak{H})$  if  $\eta, \phi$  are representable.

In [5, Prop. 3.7 & Th. 3.8] we relate pushforwards and pullbacks of stack and constructible functions using  $\iota_{\mathfrak{F}}, \pi_{\mathfrak{F}}^{\mathrm{stk}}$ .

**Theorem 3.5.** Let  $\mathbb{K}$  have characteristic zero,  $\mathfrak{F}, \mathfrak{G}$  be algebraic  $\mathbb{K}$ -stacks with affine geometric stabilizers, and  $\phi : \mathfrak{F} \to \mathfrak{G}$  be a 1-morphism. Then

- (a)  $\phi^* \circ \iota_{\mathfrak{G}} = \iota_{\mathfrak{F}} \circ \phi^* : CF(\mathfrak{G}) \to SF(\mathfrak{F})$  if  $\phi$  is of finite type;
- (b)  $\pi^{stk}_{\mathfrak{G}} \circ \phi_* = CF^{stk}(\phi) \circ \pi^{stk}_{\mathfrak{F}} : SF(\mathfrak{F}) \to CF(\mathfrak{G}) \text{ if } \phi \text{ is representable; and}$
- (c)  $\pi^{\mathrm{stk}}_{\mathfrak{F}} \circ \phi^* = \phi^* \circ \pi^{\mathrm{stk}}_{\mathfrak{G}} : \mathrm{SF}(\mathfrak{G}) \to \mathrm{CF}(\mathfrak{F}) \text{ if } \phi \text{ is of finite type.}$

In [5, §3] we extend all the material on  $\underline{SF}$ ,  $SF(\mathfrak{F})$  to *local stack functions*  $\underline{LSF}$ ,  $LSF(\mathfrak{F})$ , the analogues of locally constructible functions. The main differences are in which 1-morphisms must be of finite type.

### 4 Motivic invariants of Artin stacks

In [5, §4] we extend *motivic* invariants of quasiprojective  $\mathbb{K}$ -varieties to Artin stacks. We need the following data, [5, Assumptions 4.1 & 6.1].

Assumption 4.1. Suppose  $\Lambda$  is a commutative  $\mathbb{Q}$ -algebra with identity 1, and

 $\Upsilon$ : {isomorphism classes [X] of quasiprojective K-varieties X}  $\longrightarrow \Lambda$ 

a map for  $\mathbb{K}$  an algebraically closed field, satisfying the following conditions:

- (i) If  $Y \subseteq X$  is a closed subvariety then  $\Upsilon([X]) = \Upsilon([X \setminus Y]) + \Upsilon([Y])$ ;
- (ii) If X, Y are quasiprojective  $\mathbb{K}$ -varieties then  $\Upsilon([X \times Y]) = \Upsilon([X])\Upsilon([Y]);$
- (iii) Write  $\ell = \Upsilon([\mathbb{K}])$  in  $\Lambda$ , regarding  $\mathbb{K}$  as a  $\mathbb{K}$ -variety, the affine line (not the point Spec  $\mathbb{K}$ ). Then  $\ell$  and  $\ell^k 1$  for k = 1, 2, ... are invertible in  $\Lambda$ .

Suppose  $\Lambda^{\circ}$  is a Q-subalgebra of  $\Lambda$  containing the image of  $\Upsilon$  and the elements  $\ell^{-1}$  and  $(\ell^k + \ell^{k-1} + \cdots + 1)^{-1}$  for  $k = 1, 2, \ldots$ , but not containing  $(\ell - 1)^{-1}$ . Let  $\Omega$  be a commutative Q-algebra, and  $\pi : \Lambda^{\circ} \to \Omega$  a surjective Q-algebra morphism, such that  $\pi(\ell) = 1$ . Define

 $\Theta: \{\text{isomorphism classes } [X] \text{ of quasiprojective } \mathbb{K}\text{-varieties } X\} \longrightarrow \Omega$ 

by  $\Theta = \pi \circ \Upsilon$ . Then  $\Theta([\mathbb{K}]) = 1$ .

We chose the notation ' $\ell$ ' as in motivic integration  $[\mathbb{K}]$  is called the *Tate* motive and written  $\mathbb{L}$ . We have  $\Upsilon([\operatorname{GL}(m,\mathbb{K})]) = \ell^{m(m-1)/2} \prod_{k=1}^{m} (\ell^k - 1)$ , so (iii) ensures  $\Upsilon([\operatorname{GL}(m,\mathbb{K})])$  is invertible in  $\Lambda$  for all  $m \ge 1$ . Here [5, Ex.s 4.3 & 6.3] is an example of suitable  $\Lambda, \Upsilon, \ldots$ ; more are given in [5, §4.1 & §6.1].

**Example 4.2.** Let  $\mathbb{K}$  be an algebraically closed field. Define  $\Lambda = \mathbb{Q}(z)$ , the algebra of rational functions in z with coefficients in  $\mathbb{Q}$ . For any quasiprojective  $\mathbb{K}$ -variety X, let  $\Upsilon([X]) = P(X; z)$  be the virtual Poincaré polynomial of X. This has a complicated definition in [5, Ex. 4.3] which we do not repeat, involving Deligne's weight filtration when char  $\mathbb{K} = 0$  and the action of the Frobenius on l-adic cohomology when char  $\mathbb{K} > 0$ . If X is smooth and projective then P(X; z) is the ordinary Poincaré polynomial  $\sum_{k=0}^{2 \dim X} b^k(X) z^k$ , where  $b^k(X)$  is the k<sup>th</sup> Betti number in l-adic cohomology, for l coprime to char  $\mathbb{K}$ . Also  $\ell = P(\mathbb{K}; z) = z^2$ .

Let  $\Lambda^{\circ}$  be the subalgebra of P(z)/Q(z) in  $\Lambda$  for which  $z \pm 1$  do not divide Q(z). Here are two possibilities for  $\Omega, \pi$ . Assumption 4.1 holds in each case.

- (a) Set  $\Omega = \mathbb{Q}$  and  $\pi : f(z) \mapsto f(-1)$ . Then  $\Theta([X]) = \pi \circ \Upsilon([X])$  is the Euler characteristic of X.
- (b) Set  $\Omega = \mathbb{Q}$  and  $\pi : f(z) \mapsto f(1)$ . Then  $\Theta([X]) = \pi \circ \Upsilon([X])$  is the sum of the virtual Betti numbers of X.

We need a few facts about algebraic  $\mathbb{K}$ -groups. A good reference is Borel [1]. Following Borel, we define a  $\mathbb{K}$ -variety to be a  $\mathbb{K}$ -scheme which is reduced, separated, and of finite type, but not necessarily irreducible. An algebraic  $\mathbb{K}$ group is then a  $\mathbb{K}$ -variety G with identity  $1 \in G$ , multiplication  $\mu : G \times G \to G$ and inverse  $i : G \to G$  (as morphisms of  $\mathbb{K}$ -varieties) satisfying the usual group axioms. We call G affine if it is an affine  $\mathbb{K}$ -variety. Special  $\mathbb{K}$ -groups are studied by Serre and Grothendieck in [2, §§1, 5].

**Definition 4.3.** An algebraic  $\mathbb{K}$ -group G is called *special* if every principal G-bundle is Zariski locally trivial. Properties of special  $\mathbb{K}$ -groups can be found in [2, §§1.4, 1.5 & 5.5] and [5, §2.1]. In [5, Lem. 4.6] we show that if Assumption 4.1 holds and G is special then  $\Upsilon([G])$  is invertible in  $\Lambda$ .

In [5, Th. 4.9] we extend  $\Upsilon$  to Artin stacks, using Definition 4.3.

**Theorem 4.4.** Let Assumption 4.1 hold. Then there exists a unique morphism of  $\mathbb{Q}$ -algebras  $\Upsilon' : \underline{SF}(\operatorname{Spec} \mathbb{K}) \to \Lambda$  such that if G is a special algebraic  $\mathbb{K}$ -group acting on a quasiprojective  $\mathbb{K}$ -variety X then  $\Upsilon'([[X/G]]) = \Upsilon([X])/\Upsilon([G])$ .

Thus, if  $\mathfrak{R}$  is a finite type algebraic  $\mathbb{K}$ -stack with affine geometric stabilizers the theorem defines  $\Upsilon'([\mathfrak{R}]) \in \Lambda$ . Taking  $\Lambda, \Upsilon$  as in Example 4.2 yields the *virtual Poincaré function*  $P(\mathfrak{R}; z) = \Upsilon'([\mathfrak{R}])$  of  $\mathfrak{R}$ , a natural extension of virtual Poincaré polynomials to stacks. Clearly, Theorem 4.4 only makes sense if  $\Upsilon([G])^{-1}$  exists for all special  $\mathbb{K}$ -groups G. This excludes the Euler characteristic  $\Upsilon = \chi$ , for instance, since  $\chi([\mathbb{K}^{\times}]) = 0$  is not invertible. We overcome this in [5, §6] by defining a finer extension of  $\Upsilon$  to stacks that keeps track of maximal tori of stabilizer groups, and allows  $\Upsilon = \chi$ . This can then be used with  $\Theta, \Omega$  in Assumption 4.1.

### 5 Stack functions over motivic invariants

In  $[5, \S4-\S6]$  we integrate the stack functions of  $\S3$  with the motivic invariant ideas of  $\S4$  to define more stack function spaces.

**Definition 5.1.** Let Assumption 4.1 hold, and  $\mathfrak{F}$  be an algebraic K-stack with affine geometric stabilizers. Consider pairs  $(\mathfrak{R}, \rho)$ , with equivalence, as in Definition 3.1. Define  $\underline{SF}(\mathfrak{F}, \Upsilon, \Lambda)$  to be the  $\Lambda$ -module generated by equivalence classes  $[(\mathfrak{R}, \rho)]$ , with the following relations:

- (i) Given  $[(\mathfrak{R}, \rho)]$  as above and  $\mathfrak{S}$  a closed  $\mathbb{K}$ -substack of  $\mathfrak{R}$  we have  $[(\mathfrak{R}, \rho)] = [(\mathfrak{S}, \rho|_{\mathfrak{S}})] + [(\mathfrak{R} \setminus \mathfrak{S}, \rho|_{\mathfrak{R} \setminus \mathfrak{S}})]$ , as in (5).
- (ii) Let  $\mathfrak{R}$  be a finite type algebraic  $\mathbb{K}$ -stack with affine geometric stabilizers, U a quasiprojective  $\mathbb{K}$ -variety,  $\pi_{\mathfrak{R}} : \mathfrak{R} \times U \to \mathfrak{R}$  the natural projection, and  $\rho : \mathfrak{R} \to \mathfrak{F}$  a 1-morphism. Then  $[(\mathfrak{R} \times U, \rho \circ \pi_{\mathfrak{R}})] = \Upsilon([U])[(\mathfrak{R}, \rho)].$
- (iii) Given  $[(\mathfrak{R}, \rho)]$  as above and a 1-isomorphism  $\mathfrak{R} \cong [X/G]$  for X a quasiprojective  $\mathbb{K}$ -variety and G a special algebraic  $\mathbb{K}$ -group acting on X, we have  $[(\mathfrak{R}, \rho)] = \Upsilon([G])^{-1}[(X, \rho \circ \pi)]$ , where  $\pi : X \to \mathfrak{R} \cong [X/G]$  is the natural projection 1-morphism.

Define a  $\mathbb{Q}$ -linear projection  $\Pi^{\Upsilon,\Lambda}_{\mathfrak{F}}: \underline{\mathrm{SF}}(\mathfrak{F}) \to \underline{\mathrm{SF}}(\mathfrak{F},\Upsilon,\Lambda)$  by

$$\Pi_{\mathfrak{F}}^{\Upsilon,\Lambda}:\sum_{i\in I}c_i[(\mathfrak{R}_i,\rho_i)]\longmapsto \sum_{i\in I}c_i[(\mathfrak{R}_i,\rho_i)],$$

using the embedding  $\mathbb{Q} \subseteq \Lambda$  to regard  $c_i \in \mathbb{Q}$  as an element of  $\Lambda$ .

We also define variants of these: spaces  $\underline{SF}$ ,  $\overline{SF}(\mathfrak{F}, \Upsilon, \Lambda)$ ,  $\underline{SF}$ ,  $\overline{SF}(\mathfrak{F}, \Upsilon, \Lambda^{\circ})$  and  $\underline{SF}$ ,  $\overline{SF}(\mathfrak{F}, \Theta, \Omega)$ , which are the  $\Lambda, \Lambda^{\circ}$ - and  $\Omega$ -modules respectively generated by  $[(\mathfrak{R}, \rho)]$  as above, with  $\rho$  representable for  $\overline{SF}(\mathfrak{F}, *, *)$ , and with relations (i),(ii) above but (iii) replaced by a finer, more comflicated relation [5, Def. 5.17(iii)]. There are natural projections  $\Pi_{\mathfrak{F}}^{\Upsilon,\Lambda}, \overline{\Pi}_{\mathfrak{F}}^{\Upsilon,\Lambda^{\circ}}, \overline{\Pi}_{\mathfrak{F}}^{\Theta,\Omega}$  between various of the spaces. We can also define *local stack function* spaces  $\underline{LSF}, \underline{LSF}, \underline{LSF}(\mathfrak{F}, *, *)$ .

In [5] we give analogues of Definitions 3.2 and 3.3 and Theorems 3.4 and 3.5 for these spaces. For the analogue of  $\pi_{\mathfrak{F}}^{\mathrm{stk}}$ , suppose  $X : \Lambda^{\circ} \to \mathbb{Q}$  or  $X : \Omega \to \mathbb{Q}$  is an algebra morphism with  $X \circ \Upsilon([U]) = \chi([U])$  or  $X \circ \Theta([U]) = \chi([U])$  for varieties U, where  $\chi$  is the Euler characteristic. Define  $\bar{\pi}_{\mathfrak{F}}^{\mathrm{stk}} : SF(\mathfrak{F}, \Upsilon, \Lambda^{\circ}) \to CF(\mathfrak{F})$  or  $\bar{\pi}_{\mathfrak{F}}^{\mathrm{stk}} : SF(\mathfrak{F}, \Theta, \Omega) \to CF(\mathfrak{F})$  by

$$\bar{\pi}_{\mathfrak{F}}^{\mathrm{stk}}\left(\sum_{i=1}^{n} c_{i}[(\mathfrak{R}_{i},\rho_{i})]\right) = \sum_{i=1}^{n} \mathbf{X}(c_{i}) \operatorname{CF}^{\mathrm{stk}}(\rho_{i}) \mathbf{1}_{\mathfrak{R}_{i}}.$$

The operations '·',  $\phi_*, \phi^*, \otimes$  on  $\underline{SF}(*, \Upsilon, \Lambda), \ldots, \overline{SF}(*, \Theta, \Omega)$  are given by the same formulae. The important point is that (6)–(9) are compatible with the relations defining  $\underline{SF}(*, \Upsilon, \Lambda), \ldots, \overline{SF}(*, \Theta, \Omega)$ , or they would not be well-defined.

In [5, Prop. 4.14] we identify  $\underline{SF}(\operatorname{Spec} \mathbb{K}, \Upsilon, \Lambda)$ . The proof involves showing that  $\Upsilon'$  in Theorem 4.4 is compatible with Definition 5.1(i)–(iii) and so descends to  $\Upsilon': \underline{SF}(\operatorname{Spec} \mathbb{K}, \Upsilon, \Lambda) \to \Lambda$ , which is the inverse of  $i_{\Lambda}$ .

**Proposition 5.2.** The map  $i_{\Lambda} : \Lambda \to \underline{SF}(\operatorname{Spec} \mathbb{K}, \Upsilon, \Lambda)$  taking  $i_{\Lambda} : c \mapsto c[\operatorname{Spec} \mathbb{K}]$  is an isomorphism of algebras.

Here [5, Prop.s 5.21 & 5.22] is a useful way of representing these spaces.

**Proposition 5.3.**  $\underline{SF}(\mathfrak{F}, \mathfrak{T}, \Lambda)$ ,  $\underline{SF}(\mathfrak{F}, \mathfrak{T}, \Lambda^{\circ})$  and  $\underline{SF}, \overline{SF}(\mathfrak{F}, \Theta, \Omega)$  are generated over  $\Lambda, \Lambda^{\circ}$  and  $\Omega$  respectively by elements  $[(U \times [\operatorname{Spec} \mathbb{K}/T], \rho)]$ , for Ua quasiprojective  $\mathbb{K}$ -variety and T an algebraic  $\mathbb{K}$ -group isomorphic to  $(\mathbb{K}^{\times})^k \times K$  for  $k \ge 0$  and K finite abelian.

Suppose  $\sum_{i \in I} c_i[(U_i \times [\operatorname{Spec} \mathbb{K}/T_i], \rho_i)] = 0$  in one of these spaces, where *I* is finite set,  $c_i \in \Lambda, \Lambda^\circ$  or  $\Omega, U_i$  is a quasiprojective  $\mathbb{K}$ -variety and  $T_i$  an algebraic  $\mathbb{K}$ -group isomorphic to  $(\mathbb{K}^{\times})^{k_i} \times K_i$  for  $k_i \ge 0$  and  $K_i$  finite abelian, with  $T_i \not\cong T_j$  for  $i \ne j$ . Then  $c_j[(U_j \times [\operatorname{Spec} \mathbb{K}/T_j], \rho_j)] = 0$  for all  $j \in I$ .

In [5, §5.2] we define operators  $\Pi^{\mu}, \Pi_{n}^{\text{vi}}, \Pi_{\mathfrak{F}}^{\nu}$  on  $\underline{\mathrm{SF}}(\mathfrak{F}), \underline{\mathrm{SF}}(\mathfrak{F}, *, *)$  (but not on  $\underline{\mathrm{SF}}(\mathfrak{F}, \Upsilon, \Lambda)$ ). Very roughly speaking,  $\Pi_{n}^{\text{vi}}$  projects  $[(\mathfrak{R}, \rho)] \in \underline{\mathrm{SF}}(\mathfrak{F})$  to  $[(\mathfrak{R}_{n}, \rho)]$ , where  $\mathfrak{R}_{n}$  is the  $\mathbb{K}$ -substack of points  $r \in \mathfrak{R}(\mathbb{K})$  whose stabilizer groups  $\mathrm{Iso}_{\mathbb{K}}(r)$  have rank n, that is, maximal torus  $(\mathbb{K}^{\times})^{n}$ .

Unfortunately, it is more complicated than this. The right notion is not the actual rank of stabilizer groups, but the *virtual rank*. This is a difficult idea which treats  $r \in \mathfrak{R}(\mathbb{K})$  with nonabelian stabilizer group  $G = \operatorname{Iso}_{\mathbb{K}}(r)$  as a linear combination of points with 'virtual ranks' in the range rk  $C(G) \leq n \leq \operatorname{rk} G$ . Effectively this *abelianizes stabilizer groups*, that is, using virtual rank we can treat  $\mathfrak{R}$  as though its stabilizer groups were all abelian, essentially tori  $(\mathbb{K}^{\times})^n$ .

Here is a way to interpret the spaces of Definition 5.1, explained in [5]. In §2, pushforwards  $CF^{\text{stk}}(\phi) : CF(\mathfrak{F}) \to CF(\mathfrak{G})$  are defined by 'integration' over the fibres of  $\phi$ , using the Euler characteristic  $\chi$  as measure. In the same way, given  $\Lambda, \Upsilon$  as in Assumption 4.1 we could consider  $\Lambda$ -valued constructible functions  $CF(\mathfrak{F})_{\Lambda}$ , and define a pushforward  $\phi_* : CF(\mathfrak{F})_{\Lambda} \to CF(\mathfrak{G})_{\Lambda}$  by 'integration' using  $\Upsilon$  as measure, instead of  $\chi$ . But then  $(\psi \circ \phi)_* = \psi_* \circ \phi_*$  may no longer hold, as this depends on properties of  $\chi$  on non-Zariski-locally-trivial fibrations which are false for other  $\Upsilon$  such as virtual Poincaré polynomials. The space  $\underline{SF}(\mathfrak{F}, \Upsilon, \Lambda)$  is very like  $CF(\mathfrak{F})_{\Lambda}$  with pushforwards  $\phi_*$  defined using  $\Upsilon$ , but satisfies  $(\psi \circ \phi)_* = \psi_* \circ \phi_*$  and other useful functoriality properties. So we can use it as a substitute for  $CF(\mathfrak{F})$ . The spaces  $\underline{SF}, \overline{SF}(\mathfrak{F}, *, *)$  are similar but also keep track of information on the maximal tori of stabilizer groups.

# 6 'Virtual rank' and projections $\Pi_n^{\text{vi}}$ on $\underline{SF}(\mathfrak{F})$

The most difficult part of [5] is [5, §5–§6], which discusses 'virtual rank' of stack functions, and defines projections  $\Pi_n^{\text{vi}}$  on  $\underline{SF}(\mathfrak{F})$  to stack functions of 'virtual rank n'. These are important in [4,6,6,7] to define a *Lie subalgebra*  $SF_{\text{al}}^{\text{ind}}(\mathfrak{Obj}_{\mathcal{A}})$ of the Ringel–Hall algebra  $SF_{\text{al}}(\mathfrak{Obj}_{\mathcal{A}})$ , of stack functions with 'virtual rank 1', that is, stack functions 'supported on virtual indecomposables'.

The reason the  $\Pi_n^{\text{vi}}$  are important in Ringel-Hall algebra questions is that they have a deep, nontrivial compatibility with multiplication \* in  $\text{SF}_{al}(\mathfrak{Obj}_{\mathcal{A}})$ . This is difficult to state, but is (partially) explained in [7, §5.2–§5.3]. The simplest instance of it is that  $\text{SF}_{al}^{\text{ind}}(\mathfrak{Obj}_{\mathcal{A}})$ , which is just  $\Pi_1^{\text{vi}}(\text{SF}_{al}(\mathfrak{Obj}_{\mathcal{A}}))$ , is closed under the Lie bracket [f, g] = f \* g - g \* f. The action of  $\Pi_n^{\text{vi}}$  on a stack function  $[(\mathfrak{R}, \rho)]$  depends on the *stabilizer groups* 

The action of  $\Pi_n^{v_1}$  on a stack function  $[(\mathfrak{R}, \rho)]$  depends on the *stabilizer groups* of  $\mathfrak{R}$ . Thus, they are truly a phenomenon to do with Artin stacks, and have no analogue in the world of schemes.

As motivation we first introduce 'real rank' projections  $\Pi_n^{\rm re}$ , which project  $[(\mathfrak{R}, \rho)]$  to  $[(\mathfrak{R}_n, \rho)]$ , where  $\mathfrak{R}_n$  is the substack of  $\mathfrak{R}$  whose stabilizer groups have rank n (that is, the maximal torus of the stabilizer groups has dimension n). If all stabilizer groups of  $\mathfrak{R}$  are abelian, then  $\Pi_n^{\rm vi}$  coincides with  $\Pi_n^{\rm re}$  on  $[(\mathfrak{R}, \rho)]$ . But if  $\mathfrak{R}$  has nonabelian stabilizer groups, then the  $\Pi_n^{\rm vi}$  treat points of  $\mathfrak{R}$  as if they were  $\mathbb{Q}$ -linear combinations of points with ranks.

#### 6.1 Real rank and projections $\Pi_n^{\text{re}}$

We define a family of commuting projections  $\Pi_n^{\text{re}} : \underline{SF}(\mathfrak{F}) \to \underline{SF}(\mathfrak{F})$  for  $n = 0, 1, \ldots$  which project to the part of  $\underline{SF}(\mathfrak{F})$  spanned by  $[(\mathfrak{R}, \rho)]$  such that the stabilizer group  $\operatorname{Aut}_{\mathbb{K}}(r)$  has rank n for all  $r \in \mathfrak{R}(\mathbb{K})$ . The superscript 're' is short for 'real', meaning that the  $\Pi_n^{\text{re}}$  decompose  $\underline{SF}(\mathfrak{F})$  by the real (actual) rank of stabilizer groups.

**Definition 6.1.** If  $\mathfrak{R}$  is an algebraic  $\mathbb{K}$ -stack and  $r \in \mathfrak{R}(\mathbb{K})$  then  $\operatorname{Aut}_{\mathbb{K}}(r)$  is an algebraic  $\mathbb{K}$ -group, so the rank  $\operatorname{rk}(\operatorname{Aut}_{\mathbb{K}}(r))$  is well-defined. There is a natural topology on  $\mathfrak{R}(\mathbb{K})$ , in which the open sets are  $\mathfrak{U}(\mathbb{K})$  for open  $\mathbb{K}$ -substacks  $\mathfrak{U} \subseteq \mathfrak{R}$ . In this topology the function  $r \mapsto \operatorname{rk}(\operatorname{Aut}_{\mathbb{K}}(r))$  is upper semicontinuous. Thus, there exist locally closed  $\mathbb{K}$ -substacks  $\mathfrak{R}_n$  in  $\mathfrak{R}$  for  $n = 0, 1, \ldots$ , such that  $\mathfrak{R}(\mathbb{K}) = \coprod_{n \geq 0} \mathfrak{R}_n(\mathbb{K})$ , and  $r \in \mathfrak{R}(\mathbb{K})$  has  $\operatorname{rk}(\operatorname{Aut}_{\mathbb{K}}(r)) = n$  if and only if  $r \in \mathfrak{R}_n(\mathbb{K})$ . If  $\mathfrak{R}$  is of finite type then  $\mathfrak{R}_n = \emptyset$  for  $n \gg 0$ .

Now let  $\mathfrak{F}$  be an algebraic  $\mathbb{K}$ -stack with affine geometric stabilizers, and  $\underline{SF}(\mathfrak{F})$  be as in §3. Define  $\mathbb{Q}$ -linear maps  $\Pi_n^{\mathrm{re}} : \underline{SF}(\mathfrak{F}) \to \underline{SF}(\mathfrak{F})$  for  $n = 0, 1, \ldots$ on the generators  $[(\mathfrak{R}, \rho)]$  of  $\underline{SF}(\mathfrak{F})$  by  $\Pi_n^{\mathrm{re}} : [(\mathfrak{R}, \rho)] \mapsto [(\mathfrak{R}_n, \rho|_{\mathfrak{R}_n})]$ , for  $\mathfrak{R}_n$ defined as above. If  $\mathfrak{S}$  is a closed substack of  $\mathfrak{R}$  it is easy to see that  $\mathfrak{S}_n$  is a closed substack of  $\mathfrak{R}_n$  and  $(\mathfrak{R} \setminus \mathfrak{S})_n = \mathfrak{R}_n \setminus \mathfrak{S}_n$ . Thus,  $\Pi_n^{\mathrm{re}}$  is compatible with the relations (5) in  $\underline{\mathrm{SF}}(\mathfrak{F})$ , and is well-defined. If  $\rho : \mathfrak{R} \to \mathfrak{F}$  is representable then so is  $\rho|_{\mathfrak{R}_n}$ , so the restriction to  $\mathrm{SF}(\mathfrak{F})$  maps  $\Pi_n^{\mathrm{re}} : \mathrm{SF}(\mathfrak{F}) \to \mathrm{SF}(\mathfrak{F})$ .

Here are some easy properties of the  $\Pi_n^{\rm re}$ :

**Proposition 6.2.** In the situation above, we have:

- (i)  $(\Pi_n^{\text{re}})^2 = \Pi_n^{\text{re}}$ , so that  $\Pi_n^{\text{re}}$  is a projection, and  $\Pi_m^{\text{re}} \circ \Pi_n^{\text{re}} = 0$  for  $m \neq n$ .
- (ii) For all  $f \in \underline{SF}(\mathfrak{F})$  we have  $f = \sum_{n \ge 0} \prod_{n=1}^{re} f$ , where the sum makes sense as  $\prod_{n=1}^{re} f = 0$  for  $n \gg 0$ .
- (iii) If  $\phi : \mathfrak{F} \to \mathfrak{G}$  is a 1-morphism of algebraic  $\mathbb{K}$ -stacks with affine geometric stabilizers then  $\Pi_n^{\mathrm{re}} \circ \phi_* = \phi_* \circ \Pi_n^{\mathrm{re}} : \underline{\mathrm{SF}}(\mathfrak{F}) \to \underline{\mathrm{SF}}(\mathfrak{G}).$
- (iv) If  $f \in \underline{SF}(\mathfrak{F}), g \in \underline{SF}(\mathfrak{G})$  then  $\prod_{n=0}^{\mathrm{re}} (f \otimes g) = \sum_{m=0}^{n} \prod_{m=0}^{\mathrm{re}} (f) \otimes \prod_{n=m}^{\mathrm{re}} (g)$ .

### 6.2 Operators $\Pi^{\mu}$ and projections $\Pi_n^{\text{vi}}$

Now we define some linear maps  $\Pi^{\mu} : \underline{SF}(\mathfrak{F}) \to \underline{SF}(\mathfrak{F})$ .

**Definition 6.3.** A weight function  $\mu$  is a map

 $\mu: \{ \mathbb{K} \text{-groups } (\mathbb{K}^{\times})^k \times K, k \ge 0, K \text{ finite abelian, up to isomorphism} \} \to \mathbb{Q}.$ 

For any algebraic K-stack  $\mathfrak{F}$  with affine geometric stabilizers, we will define a linear map  $\Pi^{\mu} : \underline{SF}(\mathfrak{F}) \to \underline{SF}(\mathfrak{F})$ . Now  $\underline{SF}(\mathfrak{F})$  is generated by elements  $[(\mathfrak{R}, \rho)]$ with  $\mathfrak{R}$  1-isomorphic to a global quotient [X/G], for X a quasiprojective Kvariety and G a special algebraic K-group, with maximal torus  $T^G$ . Define  $C_G(T^G) = \{\gamma \in G : \gamma \delta = \delta \gamma \ \forall \delta \in T^G\}$  to be the *centralizer* of  $T^G$  in G, and  $N_G(T^G) = \{\gamma \in G : \gamma T^G = T^G \gamma\}$  to be the *normalizer* of  $T^G$  in G, and  $W(G, T^G) = N_G(T^G)/C_G(T^G)$  to be the Weyl group of G. Then  $W(G, T^G)$ acts on  $T^G$  by conjugation: if  $w = \gamma C_G(T^G)$  for  $\gamma \in N_G(T^G)$  then we define  $w \cdot \delta = \gamma \delta \gamma^{-1}$  in  $T^G$  for all  $\delta \in T^G$ .

Every algebraic  $\mathbb{K}$ -subgroup L of  $T^G$  is of the form  $(\mathbb{K}^{\times})^k \times K$  for  $k \ge 0$ , K a finite abelian group. Let  $\mathcal{S}(T^G)$  be the set of subsets of  $T^G$  defined by Boolean operations upon subgroups L of  $T^G$ . Given a weight function  $\mu$  as above, define a *measure*  $d\mu : \mathcal{S}(T^G) \to \mathbb{Q}$  to be additive upon disjoint unions of sets in  $\mathcal{S}(T^G)$ , and to satisfy  $d\mu(L) = \mu(L)$  for all algebraic  $\mathbb{K}$ -subgroups L of  $T^G$ . Now define

$$\Pi^{\mu}([(\mathfrak{R},\rho)]) = \int_{t\in T^{G}} \frac{|\{w\in W(G,T^{G}): w\cdot t=t\}|}{|W(G,T^{G})|} \left[\left([X^{\{t\}}/C_{G}(\{t\})], \rho\circ\iota^{\{t\}}\right)\right] \mathrm{d}\mu.$$
(10)

Here  $X^{\{t\}}$  is the subvariety of X fixed by t, and  $\iota^{\{t\}} : [X^{\{t\}}/C_G(\{t\})] \to [X/G]$  is the obvious 1-morphism of Artin stacks.

The point of this is that the integrand in (10), regarded as a function of  $t \in T^G$ , is a constructible function taking only finitely many values, and the level sets of the function lie in  $\mathcal{S}(T^G)$ , so they are measurable with respect to  $d\mu$ , and the integral is well-defined.

As the integrand in (10) is invariant under the action of  $W(G, T^G)$ , we can simplify (10) by pushing the integration down to  $T^G/W(G, T^G)$ :

$$\Pi^{\mu}\big([(\mathfrak{R},\rho)]\big) = \int_{tW(G,T^G)\in T^G/W(G,T^G)} \big[\big([X^{\{t\}}/C_G(\{t\})],\rho\circ\iota^{\{t\}}\big)\big]\mathrm{d}\mu.$$
(11)

If  $\mathfrak{R}$  has abelian stabilizer groups, then  $\Pi^{\mu}([(\mathfrak{R}, \rho)])$  simply weights each point r of  $\mathfrak{R}$  by  $\mu(\operatorname{Stab}_{\mathfrak{R}}(r))$ . However, if  $\mathfrak{R}$  has nonabelian stabilizer groups, then  $\Pi^{\mu}([(\mathfrak{R}, \rho)])$  replaces each point r with stabilizer group G by a  $\mathbb{Q}$ -linear combination of points with stabilizer groups  $C_G(\{t\})$  for  $t \in T^G$ , where the  $\mathbb{Q}$ -coefficients depend on the values of  $\mu$  on subgroups of  $T^G$ .

Then [5, Th.s 5.11 & 5.12] shows:

**Theorem 6.4.** In the situation above,  $\Pi^{\mu}([(\mathfrak{R}, \rho)])$  is independent of the choices of  $X, G, T^{G}$  and 1-isomorphism  $\mathfrak{R} \cong [X/G]$ , and  $\Pi^{\mu}$  extends to unique linear maps  $\Pi^{\mu} : \underline{\mathrm{SF}}(\mathfrak{F}) \to \underline{\mathrm{SF}}(\mathfrak{F})$  and  $\Pi^{\mu} : \mathrm{SF}(\mathfrak{F}) \to \mathrm{SF}(\mathfrak{F})$ .

**Theorem 6.5.** (a)  $\Pi^1$  defined using  $\mu \equiv 1$  is the identity on <u>SF</u>( $\mathfrak{F}$ ).

- (b) If  $\phi : \mathfrak{F} \to \mathfrak{G}$  is a 1-morphism of algebraic  $\mathbb{K}$ -stacks with affine geometric stabilizers then  $\Pi^{\mu} \circ \phi_* = \phi_* \circ \Pi^{\mu} : \underline{\mathrm{SF}}(\mathfrak{F}) \to \underline{\mathrm{SF}}(\mathfrak{G}).$
- (c) If  $\mu_1, \mu_2$  are weight functions as in Definition 6.3 then  $\mu_1\mu_2$  is also a weight function and  $\Pi^{\mu_2} \circ \Pi^{\mu_1} = \Pi^{\mu_1} \circ \Pi^{\mu_2} = \Pi^{\mu_1\mu_2}$ .

**Definition 6.6.** For  $n \ge 0$ , define  $\Pi_n^{\text{vi}}$  to be the operator  $\Pi^{\mu_n}$  defined with weight  $\mu_n$  given by  $\mu_n([H]) = 1$  if dim H = n and  $\mu_n([H]) = 0$  otherwise, for all K-groups  $H \cong (\mathbb{K}^{\times})^k \times K$  with K a finite abelian group.

The analogue of Proposition 6.2 holds for the  $\Pi_n^{\text{vi}}$ . Note that (i) follows from Theorem 6.5(c), (ii) from Theorem 6.5(a), and (iii) from Theorem 6.5(b).

Proposition 6.7. In the situation above, we have:

- (i)  $(\Pi_n^{\text{vi}})^2 = \Pi_n^{\text{vi}}$ , so that  $\Pi_n^{\text{vi}}$  is a projection, and  $\Pi_m^{\text{vi}} \circ \Pi_n^{\text{vi}} = 0$  for  $m \neq n$ .
- (ii) For all  $f \in \underline{SF}(\mathfrak{F})$  we have  $f = \sum_{n \ge 0} \prod_{n=1}^{\text{vi}} (f)$ , where the sum makes sense as  $\prod_{n=1}^{\text{vi}} (f) = 0$  for  $n \gg 0$ .
- (iii) If  $\phi : \mathfrak{F} \to \mathfrak{G}$  is a 1-morphism of algebraic  $\mathbb{K}$ -stacks with affine geometric stabilizers then  $\Pi_n^{\mathrm{vi}} \circ \phi_* = \phi_* \circ \Pi_n^{\mathrm{vi}} : \underline{\mathrm{SF}}(\mathfrak{F}) \to \underline{\mathrm{SF}}(\mathfrak{G}).$
- (iv) If  $f \in \underline{SF}(\mathfrak{F}), g \in \underline{SF}(\mathfrak{G})$  then  $\Pi_n^{\mathrm{vi}}(f \otimes g) = \sum_{m=0}^n \Pi_m^{\mathrm{vi}}(f) \otimes \Pi_{n-m}^{\mathrm{vi}}(g)$ .

Now the operators  $\Pi_n^{\text{vi}}$  do not make sense on the spaces  $\underline{SF}(\mathfrak{F}, \Upsilon, \Lambda)$  of §5, because they are not compatible with the relation Definition 5.1(iii). So in [5, §5.3] we define stack function spaces  $\underline{SF}, \overline{SF}(\mathfrak{F}, \Upsilon, \Lambda)$  in which Definition 5.1(iii) is replaced by a finer, more complicated relation compatible with the  $\Pi_n^{\text{vi}}$ , so that  $\Pi_n^{\text{vi}}$  is well-defined on  $\underline{SF}(\mathfrak{F}, \Upsilon, \Lambda)$ . These have a nice way of representing elements of  $\underline{SF}, \overline{SF}(\mathfrak{F}, \Upsilon, \Lambda)$ : **Proposition 6.8.**  $\underline{SF}(\mathfrak{F}, \Upsilon, \Lambda)$  and  $SF(\mathfrak{F}, \Upsilon, \Lambda)$  are generated over  $\Lambda$  by elements  $[(U \times [\operatorname{Spec} \mathbb{K}/T], \rho)]$ , for U a quasiprojective  $\mathbb{K}$ -variety and T an algebraic  $\mathbb{K}$ -group isomorphic to  $(\mathbb{K}^{\times})^k \times K$  for  $k \ge 0$  and K finite abelian.

We can do Ringel-Hall algebras using the spaces  $SF(\mathfrak{F}, \Upsilon, \Lambda)$ . They should be useful for studying algebra morphisms of Kontsevich–Soibelman type, and more generally, because Proposition 6.8 will allow us to assume that our stack functions all have stabilizer groups  $(\mathbb{K}^{\times})^k$ . In effect, this is like being able to assume that all the sheaves on the Calabi–Yau 3-fold that you ever have to deal with are of the form  $E_1 \oplus \cdots \oplus E_k$  with  $E_i$  simple and  $\operatorname{Hom}(E_i, E_j) = 0$  for  $i \neq j$ , so that  $\operatorname{Aut}(E_1 \oplus \cdots \oplus E_k) = (\mathbb{K}^{\times})^k$ . So, we eliminate questions of how to deal with more complicated stabilizer groups of sheaves.

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