

# AN EXACT MINIMUM DEGREE CONDITION FOR HAMILTON CYCLES IN ORIENTED GRAPHS

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ABSTRACT. We show that every sufficiently large oriented graph  $G$  with  $\delta^+(G), \delta^-(G) \geq \frac{3n-4}{8}$  contains a Hamilton cycle. This is best possible and solves a problem of Thomassen from 1979.

## 1. INTRODUCTION

A central topic in graph theory is that of giving conditions under which a graph is Hamiltonian. One such result is the classical theorem of Dirac [7], which states that any graph on  $n \geq 3$  vertices with minimum degree at least  $n/2$  contains a Hamilton cycle. For an analogue in directed graphs it is natural to consider the *minimum semi-degree*  $\delta^0(G)$  of a digraph  $G$ , which is the minimum of its minimum outdegree  $\delta^+(G)$  and its minimum indegree  $\delta^-(G)$ . The corresponding result is a theorem of Ghouila-Houri [8], which states that any digraph on  $n$  vertices with minimum semi-degree at least  $n/2$  contains a Hamilton cycle. (When referring to paths and cycles in directed graphs we always mean that these are directed, without mentioning this explicitly.) Both of these results are best possible.

In 1979 Thomassen [15] raised the natural corresponding question of determining the minimum semi-degree that forces a Hamilton cycle in an *oriented graph* (i.e. in a directed graph that can be obtained from a (simple) undirected graph by orienting its edges). Over the years since the question was posed, a series of improving bounds were obtained in [16, 17, 9, 10]. Recently, Kelly, Kühn and Osthus [11] were able to obtain an approximate solution. They proved that an oriented graph on  $n$  vertices with minimum semi-degree at least  $(3/8 + o(1))n$  has a Hamilton cycle, which asymptotically matches a lower bound given by Häggkvist [9]. In this paper we obtain the following result which exactly matches the lower bound of Häggkvist, thus answering Thomassen's question for large oriented graphs.

**Theorem 1.** *There exists a number  $n_0$  so that any oriented graph  $G$  on  $n \geq n_0$  vertices with minimum semi-degree  $\delta^0(G) \geq \lceil \frac{3n-4}{8} \rceil$  contains a Hamilton cycle.*

Note that Theorem 1 implies that every sufficiently large regular tournament on  $n$  vertices contains at least  $n/8$  edge-disjoint Hamilton cycles. (To verify this, note that in a regular tournament, all in- and outdegrees are equal to  $(n-1)/2$ . We can then greedily remove Hamilton cycles as long as the degrees satisfy the condition in Theorem 1.) This is a slight improvement on the  $(1/8 + o(1))n$  bound obtained in [11] by the same argument. It is the best bound so far towards the classical conjecture of Kelly (see e.g. [3]), which states that every regular tournament on  $n$  vertices can be partitioned into  $(n-1)/2$  edge-disjoint Hamilton cycles.

Häggkvist [9] also made the following conjecture which is closely related to Theorem 1. Given an oriented graph  $G$ , let  $\delta(G)$  denote the minimum degree of  $G$  (i.e. the minimum

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number of edges incident to a vertex) and set  $\delta^*(G) := \delta(G) + \delta^+(G) + \delta^-(G)$ . Häggkvist conjectured that if  $\delta^*(G) > (3n - 3)/2$ , then  $G$  has a Hamilton cycle. (Note that this conjecture does not quite imply Theorem 1 as it results in a marginally greater minimum semi-degree condition.) In [11], this conjecture was verified approximately, i.e. if  $\delta^*(G) \geq (3/2 + o(1))n$ , then  $G$  has a Hamilton cycle. It seems possible that our approach can be extended to obtain an exact solution to this problem, but this would certainly require some additional ideas beyond those applied here.

The rest of this paper is organised as follows. The next section contains some basic notation. In Section 3 we describe the extremal example showing that Theorem 1 is best possible. We set up our main tools in Section 4, these being a digraph form of the Regularity Lemma due to Alon and Shapira [1] and the Blow-up Lemma, both in the original form of Komlós, Sárközy and Szemerédi [13] and in a recent stronger and more technical form due to Csaba [5]. Our argument uses the stability method, and so falls naturally into two cases, according to whether or not our given oriented graph  $G$  is structurally similar to the extremal example described in Section 3. In Section 5 we prove a lemma that enables us to find a Hamilton cycle when  $G$  is not structurally similar to the extremal example. The argument in this case is based on that of [11]. Then we prove our main theorem in the final section.

## 2. NOTATION

Given two vertices  $x$  and  $y$  of a directed graph  $G$ , we write  $xy$  for the edge directed from  $x$  to  $y$ . The order  $|G|$  of  $G$  is the number of its vertices. We write  $N_G^+(x)$  for the outneighbourhood of a vertex  $x$  and  $d_G^+(x) := |N_G^+(x)|$  for its outdegree. Similarly, we write  $N_G^-(x)$  for the inneighbourhood of  $x$  and  $d_G^-(x) := |N_G^-(x)|$  for its indegree. We write  $N_G(x) := N_G^+(x) \cup N_G^-(x)$  for the neighbourhood of  $x$  and  $d_G(x) := |N_G(x)|$  for its degree. We use  $N^+(x)$  etc. whenever this is unambiguous. We write  $\Delta(G)$  for the maximum of  $|N(x)|$  over all vertices  $x \in G$ . Given a set  $A$  of vertices of  $G$ , we write  $N_G^+(A)$  for the set of all outneighbours of vertices in  $A$ . So  $N_G^+(A)$  is the union of  $N_G^+(a)$  over all  $a \in A$ .  $N_G^-(A)$  is defined similarly. The directed subgraph of  $G$  induced by  $A$  is denoted by  $G[A]$  and we write  $E(A)$  for the set of its edges and put  $e(A) := |E(A)|$ . If  $S$  is a subset of the vertex set of  $G$  then  $G - S$  denotes the digraph obtained from  $G$  by deleting  $S$  and all edges incident to  $S$ .

Recall that when referring to paths and cycles in directed graphs we always mean that they are directed without mentioning this explicitly. Given two vertices  $x$  and  $y$  on a directed cycle  $C$ , we write  $xCy$  for the subpath of  $C$  from  $x$  to  $y$ . Similarly, given two vertices  $x$  and  $y$  on a directed path  $P$  such that  $x$  precedes  $y$ , we write  $xPy$  for the subpath of  $P$  from  $x$  to  $y$ . A *walk* in a directed graph  $G$  is a sequence of (not necessarily distinct) vertices  $v_1, v_2, \dots, v_\ell$  where  $v_i v_{i+1}$  is an edge for all  $1 \leq i < \ell$ . The walk is *closed* if  $v_1 = v_\ell$ . A *1-factor* of  $G$  is a collection of disjoint cycles which cover all the vertices of  $G$ . We define things similarly for undirected graphs.

Given two vertices  $x, y$  of  $G$ , an  *$x$ - $y$  path* is a directed path which joins  $x$  to  $y$ . Given two disjoint subsets  $A$  and  $B$  of vertices of  $G$ , an  *$A$ - $B$  edge* is an edge  $ab$  where  $a \in A$  and  $b \in B$ . We write  $E(A, B)$  for the set of all these edges and put  $e(A, B) := |E(A, B)|$ . We sometimes also write  $e_G(A, B)$  for  $e(A, B)$ .

We call an orientation of a complete graph a *tournament* and an orientation of a complete bipartite graph a *bipartite tournament*. Throughout the paper we omit floors and ceilings whenever this does not affect the argument. For a positive integer  $k$  we write  $[k] := \{1, \dots, k\}$ .

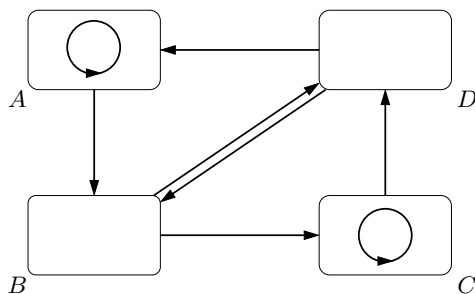


FIGURE 1. The oriented graph in the proof of Proposition 2.

### 3. THE EXTREMAL EXAMPLE

In this section, we show that the bound in Theorem 1 is best possible for every  $n$ . The construction is due to Häggkvist [9]. However, he only gives a description for the case when  $n$  is of the form  $8k + 7$ . Below, we also add the remaining cases to give the complete picture.

**Proposition 2.** *For any  $n \geq 3$  there is an oriented graph  $G$  on  $n$  vertices with minimum semi-degree  $\lceil (3n - 4)/8 \rceil - 1$  which does not contain a 1-factor, and so does not contain a Hamilton cycle.*

*Proof.* We construct  $G$  as follows. It has  $n$  vertices partitioned into 4 parts  $A, B, C, D$ , with  $|B| > |D|$ . Each of  $A$  and  $C$  spans a tournament,  $B$  and  $D$  are joined by a bipartite tournament, and we add all edges from  $A$  to  $B$ , from  $B$  to  $C$ , from  $C$  to  $D$  and from  $D$  to  $A$  (see Figure 1). Since every path which joins two vertices in  $B$  has to pass through  $D$ , it follows that every cycle contains at least as many vertices from  $D$  as it contains from  $B$ . As  $|B| > |D|$  this means that one cannot cover all the vertices of  $G$  by disjoint cycles, i.e.  $G$  does not contain a 1-factor.

It remains to show that the sizes of  $A, B, C, D$  and the tournaments can be chosen to give minimum semi-degree  $\delta^0(G) = \lceil (3n - 4)/8 \rceil - 1$ . The following table gives possible values, according to the value of  $n \pmod 8$ :

$n$	$\lceil (3n - 4)/8 \rceil - 1$	$ A $	$ B $	$ C $	$ D $
$8k - 1$	$3k - 1$	$2k - 1$	$2k + 1$	$2k - 1$	$2k$
$8k$	$3k - 1$	$2k$	$2k + 1$	$2k - 1$	$2k$
$8k + 1$	$3k - 1$	$2k$	$2k + 1$	$2k$	$2k$
$8k + 2$	$3k$	$2k$	$2k + 2$	$2k - 1$	$2k + 1$
$8k + 3$	$3k$	$2k$	$2k + 2$	$2k$	$2k + 1$
$8k + 4$	$3k$	$2k + 1$	$2k + 2$	$2k$	$2k + 1$
$8k + 5$	$3k + 1$	$2k + 1$	$2k + 2$	$2k + 1$	$2k + 1$
$8k + 6$	$3k + 1$	$2k + 2$	$2k + 2$	$2k + 1$	$2k + 1$

We choose the tournaments inside  $A$  and  $C$  to be as regular as possible (meaning that the indegree and outdegree of every vertex differ by at most 1): this can be achieved (e.g.) by arranging the vertices evenly around a circle and directing edges so that the larger part of the circle lies to the right of each edge, breaking ties arbitrarily. For the bipartite tournament between  $B$  and  $D$  there are two cases. Firstly, when  $|B| = 2k + 1$  and  $|D| = 2k$  we choose it so that  $|N^+(b) \cap D| = |N^-(b) \cap D| = k$  for every  $b \in B$  and  $\{|N^+(d) \cap B|, |N^-(d) \cap B|\} = \{k, k + 1\}$  (in either order) for every  $d \in D$ : this can be achieved by the blow-up of a 4-cycle,

i.e., write  $B = B_1 \cup B_2$ ,  $D = D_1 \cup D_2$  with  $|B_1| = k + 1$ ,  $|B_2| = |D_1| = |D_2| = k$  and direct edges from  $B_1$  to  $D_1$ , from  $D_1$  to  $B_2$ , from  $B_2$  to  $D_2$  and from  $D_2$  to  $B_1$ . Secondly, when  $|B| = 2k + 2$  and  $|D| = 2k + 1$  we choose the bipartite tournament between  $B$  and  $D$  so that  $|N^+(b) \cap D| = k + 1$  and  $|N^-(b) \cap D| = k$  for every  $b \in B$  and so that for every  $d \in D$  either  $|N^+(d) \cap B| = |N^-(d) \cap B| = k + 1$  or  $|N^+(d) \cap B| = k$  and  $|N^-(d) \cap B| = k + 2$ . This can be achieved by labelling  $B$  as  $[2k + 2]$ ,  $D$  as  $\mathbb{Z}/(2k + 1)\mathbb{Z}$  and setting  $N^+(b) \cap D := \{(k + 1)b, (k + 1)b + 1, \dots, (k + 1)b + k\}$  for  $b \in B$ . Indeed, to check that the vertices in  $D$  have the correct indegrees note that  $N^+(b) \cap D$  is a segment of consecutive vertices of  $D$  for each  $b \in B$  and the segment  $N^+(b + 1) \cap D$  starts immediately after  $N^+(b) \cap D$  and ends with the first vertex of  $N^+(b) \cap D$ .

To verify the minimum semi-degree condition we introduce the notation  $\delta_S^+, \delta_S^-$  for the minimum in- and outdegrees of vertices in  $S \in \{A, B, C, D\}$ . We can eliminate some of the checking by noting that we have always chosen  $|C| \leq |A|$  and  $|D| < |B|$ , so we have inequalities  $\delta_C^+ < \delta_C^- \leq \delta_A^+$  and  $\delta_C^+ \leq \delta_A^-$  as well as  $\delta_B^+ \leq \delta_D^+$  and  $\delta_B^- \leq \delta_D^-$ . In the cases  $n = 8k - 1, 8k, 8k + 1$  we also have  $\delta_B^+ \leq \delta_D^+$  and  $\delta_B^- \leq \delta_D^-$ , so

$$\delta^0(G) = \min\{\delta_B^+, \delta_C^+\} = \min\{|C| + \lfloor |D|/2 \rfloor, \lfloor (|C| - 1)/2 \rfloor + |D|\} = 3k - 1.$$

In the case  $n = 8k + 2$  we have  $\delta_B^+ = |C| + (k + 1) = 3k$ ,  $\delta_B^- = |A| + k = 3k$ ,  $\delta_C^+ = \lfloor (|C| - 1)/2 \rfloor + |D| = 3k$  and  $\delta_C^- = |A| + k = 3k$ , so  $\delta^0(G) = 3k$ . The constructions for the cases  $n = 8k + 3, 8k + 4$  are obtained from  $n = 8k + 2$  by increasing  $|A|$  and/or  $|C|$  by 1, and we still have  $\delta^0(G) = \delta_C^+ = 3k$ . When  $n = 8k + 5$  we have  $\delta_B^+ = |C| + (k + 1) = 3k + 2$ ,  $\delta_B^- = |A| + k = 3k + 1$ ,  $\delta_C^+ = \lfloor (|C| - 1)/2 \rfloor + |D| = 3k + 1$  and  $\delta_C^- = |A| + k = 3k + 1$ , so  $\delta^0(G) = 3k + 1$ . Finally, the construction for the case  $n = 8k + 6$  is obtained from  $n = 8k + 5$  by increasing  $|A|$  by 1 and we still have  $\delta^0(G) = \delta_C^+ = 3k + 1$ . In all cases we have  $\delta^0(G) = \lceil (3n - 4)/8 \rceil - 1$ , as required.  $\square$

**Remark.** One may add any number of edges that either go from  $A$  to  $C$  or lie within  $D$  without creating a 1-factor (although this does not increase the minimum semi-degree).

#### 4. THE DIREGULARITY LEMMA, THE BLOW-UP LEMMA AND OTHER TOOLS

In this section we collect all the information we need about the Diregularity Lemma and the Blow-up Lemma. See [14] for a survey on the Regularity Lemma and [12] for a survey on the Blow-up Lemma. We start with some more notation. The density of a bipartite graph  $G = (A, B)$  with vertex classes  $A$  and  $B$  is defined to be

$$d_G(A, B) := \frac{e_G(A, B)}{|A||B|}.$$

We often write  $d(A, B)$  if this is unambiguous. Given  $\varepsilon > 0$ , we say that  $G$  is  $\varepsilon$ -regular if for all subsets  $X \subseteq A$  and  $Y \subseteq B$  with  $|X| > \varepsilon|A|$  and  $|Y| > \varepsilon|B|$  we have that  $|d(X, Y) - d(A, B)| < \varepsilon$ . Given  $d \in [0, 1]$  we say that  $G$  is  $(\varepsilon, d)$ -super-regular if it is  $\varepsilon$ -regular and furthermore  $d_G(a) \geq (d - \varepsilon)|B|$  for all  $a \in A$  and  $d_G(b) \geq (d - \varepsilon)|A|$  for all  $b \in B$ . (This is a slight variation of the standard definition of  $(\varepsilon, d)$ -super-regularity where one requires  $d_G(a) \geq d|B|$  and  $d_G(b) \geq d|A|$ .) Given partitions  $V_0, V_1, \dots, V_k$  and  $U_0, U_1, \dots, U_\ell$  of the vertex set of some graph, we say that  $V_0, V_1, \dots, V_k$  refines  $U_0, U_1, \dots, U_\ell$  if for all  $V_i$  with  $i \geq 1$  there is some  $U_j$  with  $j \geq 0$  which contains  $V_i$ . Note that  $V_0$  need not be contained in any  $U_j$ , so this is weaker than the usual notion of refinement of partitions.

The Diregularity Lemma is a version of the Regularity Lemma for digraphs due to Alon and Shapira [1]. Its proof is quite similar to the undirected version. We will use the degree form of the Diregularity Lemma which can be easily derived (see e.g. [18] for an explicit

proof of all but the ‘refinement property’) from the standard version, in exactly the same manner as the undirected degree form.

**Lemma 3** (Degree form of the Diregularity Lemma). *For every  $\varepsilon \in (0, 1)$  and all numbers  $M', M''$  there are numbers  $M$  and  $n_0$  such that if*

- $G$  is a digraph on  $n \geq n_0$  vertices,
- $U_0, \dots, U_{M''}$  is a partition of the vertices of  $G$ ,
- $d \in [0, 1]$  is any real number,

*then there is a partition of the vertices of  $G$  into  $V_0, V_1, \dots, V_k$  and a spanning subdigraph  $G'$  of  $G$  such that the following holds:*

- $M' \leq k \leq M$ ,
- $|V_0| \leq \varepsilon n$ ,
- $|V_1| = \dots = |V_k| =: m$ ,
- $V_0, V_1, \dots, V_k$  refines the partition  $U_0, U_1, \dots, U_{M''}$ ,
- $d_{G'}^+(x) > d_G^+(x) - (d + \varepsilon)n$  for all vertices  $x \in G$ ,
- $d_{G'}^-(x) > d_G^-(x) - (d + \varepsilon)n$  for all vertices  $x \in G$ ,
- for all  $i = 1, \dots, k$  the digraph  $G'[V_i]$  is empty,
- for all  $1 \leq i, j \leq k$  with  $i \neq j$  the bipartite graph whose vertex classes are  $V_i$  and  $V_j$  and whose edges are all the  $V_i$ - $V_j$  edges in  $G'$  is  $\varepsilon$ -regular and has density either 0 or density at least  $d$ .

$V_1, \dots, V_k$  are called *clusters*,  $V_0$  is called the *exceptional set* and the vertices in  $V_0$  are called *exceptional vertices*. The last condition of the lemma says that all pairs of clusters are  $\varepsilon$ -regular in both directions (but possibly with different densities). We call the spanning digraph  $G' \subseteq G$  given by the Diregularity Lemma the *pure digraph*. Given clusters  $V_1, \dots, V_k$  and a digraph  $G'$ , the *reduced digraph  $R'$  with parameters  $(\varepsilon, d)$*  is the digraph whose vertex set is  $[k]$  and in which  $ij$  is an edge if and only if the bipartite graph whose vertex classes are  $V_i$  and  $V_j$  and whose edges are all the  $V_i$ - $V_j$  edges in  $G'$  is  $\varepsilon$ -regular and has density at least  $d$ . (So if  $G'$  is the pure digraph, then  $ij$  is an edge in  $R'$  if and only if there is a  $V_i$ - $V_j$  edge in  $G'$ .)

It is easy to see that the reduced digraph  $R'$  obtained from the regularity lemma ‘inherits’ the minimum degree of  $G$ , in that  $\delta^+(R')/|R'| > \delta^+(G)/|G| - d - 2\varepsilon$  and  $\delta^-(R')/|R'| > \delta^-(G)/|G| - d - 2\varepsilon$ . However,  $R'$  is not necessarily oriented even if the original digraph  $G$  is. The next lemma (which is essentially from [11]) shows that by discarding edges with appropriate probabilities one can go over to a reduced oriented graph  $R \subseteq R'$  which still inherits the minimum degree and density of  $G$ .

**Lemma 4.** *For every  $\varepsilon \in (0, 1)$  and there exist numbers  $M' = M'(\varepsilon)$  and  $n_0 = n_0(\varepsilon)$  such that the following holds. Let  $d \in [0, 1]$  with  $\varepsilon \leq d/2$ , let  $G$  be an oriented graph of order  $n \geq n_0$  and let  $R'$  be the reduced digraph with parameters  $(\varepsilon, d)$  obtained by applying the Diregularity Lemma to  $G$  with  $M'$  as the lower bound on the number of clusters. Then  $R'$  has a spanning oriented subgraph  $R$  such that*

- (a)  $\delta^+(R) \geq (\delta^+(G)/|G| - (3\varepsilon + d))|R|$ ,
- (b)  $\delta^-(R) \geq (\delta^-(G)/|G| - (3\varepsilon + d))|R|$ ,
- (c) for all disjoint sets  $S, T \subset V(R)$  with  $e_G(S^*, T^*) \geq 3dn^2$  we have  $e_R(S, T) > d|R|^2$ , where  $S^* := \bigcup_{i \in S} V_i$  and  $T^* := \bigcup_{i \in T} V_i$ .
- (d) for every set  $S \subset V(R)$  with  $e_G(S^*) \geq 3dn^2$  we have  $e_R(S) > d|R|^2$ , where  $S^* := \bigcup_{i \in S} V_i$ .

*Proof.* Statements (a) and (b) are proven in [11] by considering the following probabilistic argument. Let  $R$  be the spanning oriented subgraph obtained from  $R'$  by deleting edges

randomly as follows. For every unordered pair  $V_i, V_j$  of clusters we delete the edge  $ij$  (if it lies in  $R'$ ) with probability

$$(1) \quad \frac{e_{G'}(V_j, V_i)}{e_{G'}(V_i, V_j) + e_{G'}(V_j, V_i)}.$$

Otherwise we delete  $ji$  (if it lies in  $R'$ ). We interpret (1) as 0 if  $ij, ji \notin E(R')$ . So if  $R'$  contains at most one of the edges  $ij, ji$  then we do nothing. We do this for all unordered pairs of clusters independently. In [11] it was shown that  $R$  satisfies (a) and (b) with probability at least  $3/4$ . For (c), note that

$$\begin{aligned} \mathbb{E}(e_R(S, T)) &= \sum_{i \in S, j \in T} \frac{e_{G'}(V_i, V_j)}{e_{G'}(V_i, V_j) + e_{G'}(V_j, V_i)} \geq \sum_{i \in S, j \in T} \frac{e_{G'}(V_i, V_j)}{|V_i||V_j|} \\ &\geq \frac{e_G(S^*, T^*) - (d + \varepsilon)n|S|m}{m^2} > \frac{3}{2}d|R|^2. \end{aligned}$$

A Chernoff-type bound (see e.g. [2, Cor. A.14]) now implies that there exists an absolute constant  $c$  such that

$$\begin{aligned} \mathbb{P}(e_R(S, T) < d|R|^2) &\leq \mathbb{P}(|e_R(S, T) - \mathbb{E}(e_R(S, T))| > \mathbb{E}(e_R(S, T))/3) \\ &\leq e^{-c\mathbb{E}(e_R(S, T))} \leq e^{-cd|R|^2}. \end{aligned}$$

But the number of pairs  $(S, T)$  of disjoint subsets of  $V(R)$  is at most  $(2^{|R|})^2$ , so the probability that  $R$  does not satisfy (c) is at most  $2^{2|R|}e^{-cd|R|^2} < 1/4$ . (Here we used that  $|R| \geq M'$  is sufficiently large.) The proof for (d) is similar. Altogether, this implies that there must be some outcome which satisfies (a)–(d).  $\square$

The oriented graph  $R$  given by Lemma 4 is called the *reduced oriented graph with parameters*  $(\varepsilon, d)$ . The spanning oriented subgraph  $G^*$  of the pure digraph  $G'$  obtained by deleting all the  $V_i$ - $V_j$  edges whenever  $ij \in E(R') \setminus E(R)$  is called the *pure oriented graph*. Given an oriented subgraph  $S \subseteq R$ , the *oriented subgraph of  $G^*$  corresponding to  $S$*  is the oriented subgraph obtained from  $G^*$  by deleting all those vertices that lie in clusters not belonging to  $S$  as well as deleting all the  $V_i$ - $V_j$  edges for all pairs  $V_i, V_j$  with  $ij \notin E(S)$ .

In our proof of Theorem 1 we will also need the Blow-up Lemma of Komlós, Sárközy and Szemerédi [13]. It implies that dense regular pairs behave like complete bipartite graphs with respect to containing bounded degree graphs as subgraphs.

**Lemma 5** (Blow-up Lemma, Komlós, Sárközy and Szemerédi [13]). *Given a graph  $F$  on  $[k]$  and positive numbers  $d, \Delta$ , there is a positive real  $\eta_0 = \eta_0(d, \Delta, k)$  such that the following holds for all positive numbers  $\ell_1, \dots, \ell_k$  and all  $0 < \eta \leq \eta_0$ . Let  $F'$  be the graph obtained from  $F$  by replacing each vertex  $i \in F$  with a set  $V_i$  of  $\ell_i$  new vertices and joining all vertices in  $V_i$  to all vertices in  $V_j$  whenever  $ij$  is an edge of  $F$ . Let  $G'$  be a spanning subgraph of  $F'$  such that for every edge  $ij \in F$  the graph  $(V_i, V_j)_{G'}$  is  $(\eta, d)$ -super-regular. Then  $G'$  contains a copy of every subgraph  $H$  of  $F'$  with  $\Delta(H) \leq \Delta$ . Moreover, this copy of  $H$  in  $G'$  maps the vertices of  $H$  to the same sets  $V_i$  as the copy of  $H$  in  $F'$ , i.e. if  $h \in V(H)$  is mapped to  $V_i$  by the copy of  $H$  in  $F'$ , then it is also mapped to  $V_i$  by the copy of  $H$  in  $G'$ .*

The ‘moreover’ part of the statement is not part of the usual statement of the Blow-up Lemma but is stated explicitly in its proof.

We will also need to use the following stronger and more technical version due to Csaba [5]. (The case when  $\Delta = 3$  of this is implicit in [6].) It removes the dependency of the regularity constant  $\eta$  on the number  $k$  of clusters. Also, it does not demand super-regularity. The latter is replaced by conditions (C6),(C8) and (C9) below. Moreover, it is explicitly formulated

to allow for a set  $V_0$  of exceptional vertices in the host graph  $G'$  which are not part of any regular pairs. For this to work, one first has to find a suitable partition  $L_0, \dots, L_k$  with  $|L_0| = |V_0|$  of the graph  $H$  which we are aiming to embed and a suitable bijection  $\phi: L_0 \rightarrow V_0$ . The embedding of  $H$  into  $G'$  guaranteed by the Blow-up Lemma is then found by a randomized algorithm which first embeds each vertex  $x \in L_0$  to  $\phi(x) \in V_0$  and then successively embeds the remaining vertices of  $H$ . Condition (C1) requires that there are not too many exceptional vertices and (C2) ensures that we can embed the vertices in  $L_0$  without affecting the neighbourhood of other such vertices.  $L_i$  will be embedded into the cluster  $V_i$  of  $G'$ , so we clearly need to assume (C3). Condition (C5) gives us a reasonably large set  $D$  of ‘buffer vertices’ which will be embedded last by the randomized algorithm. (C7) ensures that the exceptional vertices have large degree in all ‘neighbouring clusters’. (C8) and (C9) allow us to embed those vertices whose set of candidate images in  $G'$  has grown very small at some point of the algorithm.

In the statement of Lemma 6 and later on we write  $0 < a_1 \ll a_2 \ll a_3$  to mean that we can choose the constants  $a_1, a_2, a_3$  from right to left. More precisely, there are increasing functions  $f$  and  $g$  such that, given  $a_3$ , whenever we choose some  $a_2 \leq f(a_3)$  and  $a_1 \leq g(a_2)$ , all calculations needed in the proof of Lemma 6 are valid. Hierarchies with more constants are defined in the obvious way.

**Lemma 6** (Blow-up Lemma, Csaba [5]). *For all numbers  $\Delta, K_1, K_2, K_3$  and every positive constant  $c$  there exists an number  $N$  such that whenever  $\varepsilon, \varepsilon', \delta', d$  are positive constants with*

$$0 < \varepsilon \ll \varepsilon' \ll \delta' \ll d \ll 1/\Delta, 1/K_1, 1/K_2, 1/K_3, c$$

*the following holds. Suppose that  $G'$  is a graph of order  $n \geq N$  and  $V_0, \dots, V_k$  is a partition of  $V(G')$  such that the bipartite graph  $(V_i, V_j)_{G'}$  is  $\varepsilon$ -regular with density either 0 or  $d$  for all  $1 \leq i < j \leq k$ . Let  $H$  be a graph on  $n$  vertices with  $\Delta(H) \leq \Delta$  and let  $L_0, L_1, \dots, L_k$  be a partition of  $V(H)$  with  $|L_i| = |V_i| =: m$  for every  $i = 1, \dots, k$ . Furthermore, suppose that there exists a bijection  $\phi: L_0 \rightarrow V_0$  and a set  $I \subseteq V(H)$  of vertices at distance at least 4 from each other such that the following conditions hold:*

- (C1)  $|L_0| = |V_0| \leq K_1 dn$ .
- (C2)  $L_0 \subseteq I$ .
- (C3)  $L_i$  is independent for every  $i = 1, \dots, k$ .
- (C4)  $|N_H(L_0) \cap L_i| \leq K_2 dm$  for every  $i = 1, \dots, k$ .
- (C5) For each  $i = 1, \dots, k$  there exists  $D_i \subseteq I \cap L_i$  with  $|D_i| = \delta' m$  and such that for  $D := \bigcup_{i=1}^k D_i$  and all  $1 \leq i < j \leq k$

$$||N_H(D) \cap L_i| - |N_H(D) \cap L_j|| < \varepsilon m.$$

- (C6) If  $xy \in E(H)$  and  $x \in L_i, y \in L_j$  then  $(V_i, V_j)_{G'}$  is  $\varepsilon$ -regular with density  $d$ .
- (C7) If  $xy \in E(H)$  and  $x \in L_0, y \in L_j$  then  $|N_{G'}(\phi(x)) \cap V_j| \geq cm$ .
- (C8) For each  $i = 1, \dots, k$ , given any  $E_i \subseteq V_i$  with  $|E_i| \leq \varepsilon' m$  there exists a set  $F_i \subseteq (L_i \cap (I \setminus D))$  and a bijection  $\phi_i: E_i \rightarrow F_i$  such that  $|N_{G'}(v) \cap V_j| \geq (d - \varepsilon)m$  whenever  $N_H(\phi_i(v)) \cap L_j \neq \emptyset$  (for all  $v \in E_i$  and all  $j = 1, \dots, k$ ).
- (C9) Writing  $F := \bigcup_{i=1}^k F_i$  we have that  $|N_H(F) \cap L_i| \leq K_3 \varepsilon' m$ .

*Then  $G'$  contains a copy of  $H$  such that the image of  $L_i$  is  $V_i$  for all  $i = 1, \dots, k$  and the image of each  $x \in L_0$  is  $\phi(x) \in V_0$ .*

The additional properties of the copy of  $H$  in  $G'$  are not included in the statement of the lemma in [5] but are stated explicitly in the proof.

We aim to apply the Blow-up Lemma with  $G'$  being obtained from the underlying graph of the pure oriented graph. In order to satisfy (C8), it will suffice to ensure that all the edges

of a suitable 1-factor in the reduced oriented graph  $R$  correspond to  $(\varepsilon, d)$ -super-regular pairs of clusters. A simple calculation implies that this can be ensured by removing a small proportion of vertices from each cluster  $V_i$ , and so (C8) will be satisfied. However, (C6) requires all the edges of  $R$  to correspond to  $\varepsilon$ -regular pairs of density precisely  $d$  and not just at least  $d$ . (Although, as remarked by Csaba [5], it actually suffices that the densities are close to  $d$  in terms of  $\varepsilon$ .) This is guaranteed by the second part of the following proposition from [11].

**Proposition 7.** *Let  $M', n_0, D$  be positive numbers and let  $\varepsilon, d$  be positive reals such that  $1/n_0 \ll 1/M' \ll \varepsilon \ll d \ll 1/D$ . Let  $G$  be an oriented graph of order at least  $n_0$ . Let  $R$  be the reduced oriented graph with parameters  $(\varepsilon, d)$  and let  $G^*$  be the pure oriented graph obtained by successively applying first the Diregularity Lemma with  $\varepsilon, d$  and  $M'$  to  $G$  and then Lemma 4. Let  $S$  be an oriented subgraph of  $R$  with  $\Delta(S) \leq D$ . Let  $G'$  be the underlying graph of  $G^*$ . Then one can delete  $2D\varepsilon|V_i|$  vertices from each cluster  $V_i$  to obtain subclusters  $V'_i \subseteq V_i$  in such a way that  $G'$  contains a subgraph  $G'_S$  whose vertex set is the union of all the  $V'_i$  and such that*

- $(V'_i, V'_j)_{G'_S}$  is  $(\sqrt{\varepsilon}, d - 4D\varepsilon)$ -super-regular whenever  $ij \in E(S)$ ,
- $(V'_i, V'_j)_{G'_S}$  is  $\sqrt{\varepsilon}$ -regular and has density  $d - 4D\varepsilon$  whenever  $ij \in E(R)$ .

## 5. THE NON-EXTREMAL ARGUMENT

This section covers the main part of the argument for the case when the original oriented graph  $G$  is not close to being the ‘extremal graph’ described in Section 3. The following well-known fact will be very useful.

**Proposition 8.** *Suppose that  $G$  is a digraph such that  $|N^+(S)| \geq |S|$  for every  $S \subset V(G)$ . Then  $G$  has a 1-factor.*

*Proof.* The result follows immediately by applying Hall’s matching theorem to the following bipartite graph  $H$ : the vertex classes  $A, B$  of  $H$  are both copies of the vertex set of the original digraph  $G$  and we connect a vertex  $a \in A$  to  $b \in B$  in  $H$  if there is a directed edge from  $a$  to  $b$  in  $G$ . A perfect matching in  $H$  corresponds to a 1-factor in  $G$ .  $\square$

**Lemma 9.** *Suppose  $1/n \ll \varepsilon_0 \ll 1/k \ll \varepsilon \ll d \ll c \ll 1$ , and that  $R$  is an oriented graph on  $[k]$  such that*

- $\delta^0(R) \geq 2ck$ ,
- $|N_R^+(S)| \geq |S| + ck$  for any  $S \subset [k]$  with  $|S| \leq (1 - c)k$ , and
- $\mathcal{C}$  is a 1-factor in  $R$ .

*Also, suppose that  $R^*$  is an oriented graph obtained from  $R$  by adding a set  $U_0$  of at most  $\varepsilon_0 n$  vertices and some edges so that every  $x \in U_0$  has both an inneighbour and an outneighbour in  $[k]$ . Suppose  $G^*$  is an oriented graph on  $n$  vertices with vertex partition  $U_0, U_1, \dots, U_k$ , such that*

- (i) each  $U_i$  is independent;
- (ii) if  $ij$  is an edge on some cycle from  $\mathcal{C}$ , then the bipartite graph  $(U_i, U_j)$  consisting of all the  $U_i$ - $U_j$  edges in  $G^*$  forms an  $(\varepsilon, d)$ -super-regular pair, while if  $ij \in E(R)$ , the bipartite graph  $(U_i, U_j)$  forms an  $\varepsilon$ -regular pair of density  $d$  in  $G^*$ , and finally  $(U_i, U_j)$  is empty whenever  $ij \notin E(R)$ ;
- (iii) for all  $x \in U_0$  and  $i \in [k]$ , we have  $|N_{G^*}^+(x) \cap U_i| > c|U_i|$  whenever  $xi \in E(R^*)$  and  $|N_{G^*}^-(x) \cap U_i| > c|U_i|$  whenever  $ix \in E(R^*)$ ;
- (iv)  $|U_1| = \dots = |U_k| =: m$ .



Then  $G^*$  has a Hamilton cycle.

*Proof.* Throughout the proof of the lemma, given a cycle  $C \in \mathcal{C}$  and a vertex  $x \in C$ , we will denote the predecessor of  $x$  on  $C$  by  $x^-$  and its successor by  $x^+$ . We extend this convention to sets of vertices in the natural way. We say that a walk  $W$  in  $R^*$  is *balanced* with respect to  $\mathcal{C}$  if for each cycle  $C \in \mathcal{C}$  every vertex on  $C$  is visited the same number of times by  $W$ . We will first construct a closed walk  $W$  in  $R^*$  such that

- (a)  $W$  is balanced with respect to  $\mathcal{C}$ ;
- (b)  $W$  visits every vertex in  $[k]$  at least once and at most  $\sqrt{\varepsilon_0}n$  times;
- (c)  $W$  visits every vertex in  $U_0$  exactly once;
- (d) any two vertices in  $U_0$  are at distance at least 4 along  $W$ .

To achieve the balance property (a), we will build up  $W$  from certain special walks (which are themselves balanced with respect to  $\mathcal{C}$ ): a  $\mathcal{C}$ -shifted walk joining  $x$  to  $y$  and traversing  $t$  cycles from  $\mathcal{C}$  is a walk of the form  $a_1 C_1 a_1^- a_2 C_2 a_2^- \dots a_t C_t a_t^-$ , where  $x = a_1$ ,  $y = a_t^-$  and the  $C_i$  are (not necessarily distinct) cycles from  $\mathcal{C}$ . (Note that this definition is slightly different from the corresponding one in [11].)

We claim that for any two vertices  $x, y \in [k]$  there is a  $\mathcal{C}$ -shifted walk in  $R$  joining  $x$  to  $y$  which traverses at most  $c^{-1} + 1$  cycles from  $\mathcal{C}$ . To see this, let  $S_i \subset [k]$  be the set of vertices that can be reached from  $x$  by a  $\mathcal{C}$ -shifted walk traversing at most  $i$  cycles from  $\mathcal{C}$ . Then  $S_1 = \{x^-\}$  and  $S_{i+1} = (N_R^+(S_i))^-$  for  $i \geq 1$ . By assumption on  $R$ , if  $|S_i| \leq (1-c)k$  then we have  $|S_{i+1}| \geq |S_i| + ck$ . So if  $i \geq c^{-1}$  we have  $|S_i| \geq (1-c)k$ . The minimum semi-degree condition on  $R$  implies that  $|N_R^-(y^+)| \geq 2ck$ . So there is a vertex  $z \in S_i \cap N_R^-(y^+)$  and we can reach  $y$  by following a  $\mathcal{C}$ -shifted walk to  $z$  which traverses at most  $i$  cycles from  $\mathcal{C}$  and then adding  $zy^+Cy$  (where  $C$  is the cycle from  $\mathcal{C}$  containing  $y$ ).

For each pair  $x, y$  let  $W(x, y)$  denote such a  $\mathcal{C}$ -shifted walk. To construct  $W$ , we start at vertex  $1 \in [k]$  and follow the walks  $W(1, 2^-), 2^-2, W(2, 3^-), 3^-3, \dots, W(k-1, k^-)$ . Note that altogether these walks yield a single (balanced)  $\mathcal{C}$ -shifted walk joining  $1$  to  $k^-$  which visits every vertex of  $R$  at least once. Next we aim to extend this walk to incorporate the vertices in  $U_0$ . For this, suppose that  $U_0 = \{v_1, \dots, v_q\}$ , say. For each  $i \in [q]$ , we now select vertices  $w_i \in N_{R^*}^+(v_i)$ ,  $u_i \in N_{R^*}^-(v_i)$  and follow the walks  $W(k, u_1), u_1 v_1 w_1, W(w_1, u_2), u_2 v_2 w_2, \dots, W(w_{q-1}, u_q), u_q v_q w_q$ . Finally we close the walk by following  $W(w_q, 1^-)$  and the edge  $1^-1$ . Note that the resulting closed walk  $W$  is balanced with respect to  $\mathcal{C}$  since this holds for each of the walks  $W(*, *)$ . Moreover,  $W$  traverses at least one cycle of  $\mathcal{C}$  between each visit to  $U_0$  (by definition), so any two vertices in  $U_0$  are at distance at least 4 along  $W$ . Every vertex in  $U_0$  is visited exactly once, and vertices in  $[k]$  are visited at most  $(k + |U_0|)(c^{-1} + 1) < \sqrt{\varepsilon_0}n$  times, so  $W$  has the required properties (a)–(d).

We will use the Blow-up Lemma (Lemma 6) to ‘transform’  $W$  into a Hamilton cycle of  $G^*$ . As a preliminary step, it will be useful to consider an auxiliary (undirected) graph  $K^*$  which it is obtained from  $G^*$  by replacing every  $\varepsilon$ -regular pair  $(U_i, U_j)$  of nonzero density (i.e. those which correspond to edges of  $R$ ) by a complete bipartite graph. The neighbourhoods of the vertices in  $U_0$  are not affected. We can find a Hamilton cycle  $C_{\text{Ham}}$  in  $K^*$  as follows. First we find a one-to-one mapping of  $W$  to a cycle  $W'$  in  $K^*$  such that each vertex of  $U_0$  is mapped to itself and for each  $i \in [k]$  visits of  $W$  to a vertex  $i \in R$  are mapped to distinct vertices in  $U_i$ ; to do this we first greedily select distinct images for  $u_i$  and  $w_i$  for all  $i \in [q]$ , which is possible by assumption (iii) and the inequality  $|U_0| \leq \varepsilon_0 n \leq cn/(5k) \leq c|U_i|/4$ . We can then complete the rest of the mapping arbitrarily since  $W$  visits every vertex  $i \in R$  at most  $\sqrt{\varepsilon_0}n$  times. Next we will extend  $W'$  to a Hamilton cycle. For each cycle  $C \in \mathcal{C}$ , let  $m_C$  denote the number of times that  $W$  visits a vertex  $c \in C$  (note that this number is the same for all vertices  $c \in C$ ). Fix one particular occasion on which  $W$  ‘winds around’  $C$  and

replace the corresponding path in  $W'$  by a path  $P_C$  with the same endpoints that winds around the ‘blow-up’  $\bigcup_{c \in C} U_c$  of  $C$  in  $K^*$  exactly  $m - m_C + 1$  times, so that it exhausts all the vertices in  $\bigcup_{c \in C} U_c$ . Since  $\mathcal{C}$  is a 1-factor the resulting cycle  $C_{\text{Ham}}$  uses all vertices in  $K^*$ , i.e. it is Hamiltonian. For future reference we note that the number of times that  $P_C$  winds around  $\bigcup_{c \in C} U_c$  is  $m - m_C + 1 \geq m - \sqrt{\varepsilon_0}n \geq m - \sqrt{\varepsilon_0}2mk \geq (1 - \varepsilon)m$ . (Here we used property (b) and  $\varepsilon_0 \ll 1/k, \varepsilon$ .)

Now we use the version of the Blow-up Lemma by Csaba (Lemma 6) to show that  $C_{\text{Ham}}$  corresponds to a Hamilton cycle in  $G^*$ . We fix additional constants  $\varepsilon', \delta'$  with  $\varepsilon \ll \varepsilon' \ll \delta' \ll d$ . We will apply the Blow-up Lemma with  $H$  being the underlying graph of  $C_{\text{Ham}}$  and  $G'$  being obtained from the underlying graph of  $G^*$  as follows: if  $xi \in E(R^*)$  with  $x \in U_0$  and  $i \in [k]$ , then delete all those edges which correspond to edges oriented from  $U_i$  to  $x$ ; similarly, if  $ix \in E(R^*)$  with  $x \in U_0$  and  $i \in [k]$ , then delete all those edges which correspond to edges oriented from  $x$  to  $U_i$ . This deletion ensures that when we embed  $C_{\text{Ham}}$  as an undirected cycle in  $G'$  the directions of the available edges will in fact make it a directed cycle in  $G^*$ . For all  $i \geq 0$ ,  $U_i$  will play the role of  $V_i$  in the Blow-up Lemma and we take  $L_0, L_1, \dots, L_k$  to be the partition of  $H$  induced by  $V_0, V_1, \dots, V_k$ .  $\phi : L_0 \rightarrow V_0$  will be the obvious bijection (i.e. the identity). To define the set  $I \subseteq V(H)$  of vertices of distance at least 4 from each other which is used in the Blow-up Lemma, for each  $C \in \mathcal{C}$  let  $P'_C$  be the subpath of  $H$  corresponding to the path  $P_C$  (defined in the construction of  $C_{\text{Ham}}$ ). Note that  $|P'_C| = |P_C| \geq (1 - \varepsilon)m|C|$ . For each  $i = 1, \dots, k$ , let  $C_i \in \mathcal{C}$  denote the cycle containing  $i$  and let  $J_i \subseteq L_i$  consist of all those vertices in  $L_i \cap V(P'_{C_i})$  which have distance at least 4 from the endvertices of  $P'_{C_i}$ . Thus in the graph  $H$  each vertex  $u \in J_i$  has one of its neighbours in the set  $L_i^-$  corresponding to the predecessor of  $i$  on  $C_i$  and its other neighbour in the set  $L_i^+$  corresponding to the successor of  $i$  on  $C_i$ . Moreover, all the vertices in  $J_i$  have distance at least 4 from all the vertices in  $L_0$  and the lower bound on  $|P_C|$  implies that  $|J_i| \geq 9m/10$ . It is easy to see that one can greedily choose a set  $I_i \subseteq J_i$  of size  $m/10$  such that the vertices in  $\bigcup_{i=1}^k I_i$  have distance at least 4 from each other. Let  $I := L_0 \cup \bigcup_{i=1}^k I_i$ .

Let us now check conditions (C1)–(C9). (C1) holds with  $K_1 := 1$  since  $|L_0| = |U_0| \leq \varepsilon_0 n \leq dn$ . (C2) holds by definition of  $I$ . (C3) holds since  $H$  is a Hamilton cycle in  $K^*$  and  $K^*$  inherits the independence property (i) in the statement of the lemma from  $G^*$ . This also implies that for every edge  $xy \in H$  with  $x \in L_i, y \in L_j$  ( $i, j \geq 1$ ) we must have that  $ij \in E(R)$  (provided that the direction of  $xy$  was chosen such that  $xy \in C_{\text{Ham}}$ ). Thus (C6) holds as every edge of  $R$  corresponds to an  $\varepsilon$ -regular pair of clusters having density  $d$ . (C4) holds with  $K_2 := 1$  because

$$|N_H(L_0) \cap L_i| \leq 2|L_0| = 2|U_0| \leq 2\varepsilon_0 n \leq dm.$$

(The final inequality follows from the fact that  $\varepsilon_0 \ll 1/k, d$ .) For (C5) we need to find a set  $D \subseteq I$  of buffer vertices. Pick any set  $D_i \subseteq I_i$  with  $|D_i| = \delta'm$  and let  $D := \bigcup_{i=1}^k D_i$ . Since  $I_i \subseteq J_i$  we have that  $|N_H(D) \cap L_j| = 2\delta'm$  for all  $j = 1, \dots, k$ . Hence

$$||N_H(D) \cap L_i| - |N_H(D) \cap L_j|| = 0$$

for all  $1 \leq i < j \leq k$  and so (C5) holds. (C7) holds by assumption (iii) on  $R^*$  and the fact that our walk  $W$  used only edges of  $R^*$ .

(C8) and (C9) are now the only conditions we need to check. Given a set  $E_i \subseteq V_i = U_i$  of size at most  $\varepsilon'm$ , we wish to find  $F_i \subseteq (L_i \cap (I \setminus D)) = I_i \setminus D$  and a bijection  $\phi_i : E_i \rightarrow F_i$  such that every  $v \in E_i$  has a large number of neighbours in every cluster  $V_j$  for which  $L_j$  contains a neighbour of  $\phi_i(v)$ . Pick any set  $F_i \subseteq I_i \setminus D$  of size  $|E_i|$ . (This can be done since  $|D \cap I_i| = \delta'm$  and so  $|I_i \setminus D| \geq m/10 - \delta'm \gg \varepsilon'm$ .) Let  $\phi_i : E_i \rightarrow F_i$  be an arbitrary

bijection. To see that (C8) holds with these choices, consider any vertex  $v \in E_i \subseteq V_i$  and let  $j$  be such that  $L_j$  contains a neighbour of  $\phi_i(v)$  in  $H$ . Since  $\phi_i(v) \in F_i \subseteq I_i \subseteq J_i$ , this means that  $j$  must be a neighbour of  $i$  on the cycle  $C_i \in \mathcal{C}$  containing  $i$ . But this implies that  $|N_{G'}(v) \cap V_j| \geq (d - \varepsilon)m$  since each edge of the union  $\bigcup_{C \in \mathcal{C}} C \subseteq R$  of all the cycles from  $\mathcal{C}$  corresponds to an  $(\varepsilon, d)$ -super-regular pair in  $G'$ .

Finally, writing  $F := \bigcup_{i=1}^k F_i$  we have

$$|N_H(F) \cap L_i| \leq 2\varepsilon' m$$

(since  $F_j \subseteq J_j$  for each  $j = 1, \dots, k$ ) and so (C9) is satisfied with  $K_3 := 2$ . Hence (C1)–(C9) hold and so we can apply the Blow-up Lemma to obtain a Hamilton cycle in  $G'$  such that the image of  $L_i$  is  $V_i$  for all  $i = 1, \dots, k$  and the image of each  $x \in L_0$  is  $\phi(x) \in V_0 = U_0$ . By construction of  $G'$  this undirected Hamilton cycle corresponds to a directed Hamilton cycle in  $G^*$ .  $\square$

## 6. PROOF OF THEOREM 1

Define additional constants so that

$$1/n_0 \ll \varepsilon_0 \ll \varepsilon \ll d \ll c \ll \eta \ll 1.$$

Suppose  $G$  is an oriented graph on  $n \geq n_0$  vertices with minimum semi-degree  $\delta^0(G) \geq \frac{3n-4}{8}$  and no Hamilton cycle. Apply the Diregularity Lemma (Lemma 3) to  $G$  with parameters  $\varepsilon^2/3, d$  to obtain a partition  $V_0, V_1, \dots, V_k$  of  $V(G)$ . Let  $R$  be the reduced oriented graph with parameters  $(\varepsilon^2/3, d)$  given by applying Lemma 4 and let  $G^*$  be the pure oriented graph. Lemma 4(a) and (b) implies

$$(2) \quad \delta^0(R) > (3/8 - 1/(2n) - d - \varepsilon^2)k > (3/8 - 2d)k.$$

We divide the remainder of the argument into two cases, according to whether there is a set  $S \subset [k]$  with  $k/3 < |S| < 2k/3$  and  $|N_R^+(S)| < |S| + 2ck$ .

**Case 1.**  $|N_R^+(S)| \geq |S| + 2ck$  for every  $S \subset [k]$  with  $k/3 < |S| < 2k/3$ .

Note that if  $0 \neq |S| \leq k/3$  then  $|N_R^+(S)| \geq \delta^+(R) > |S| + k/30$  (say), and if  $|S| > 2k/3$  then  $|S| + |N_R^-(i)| > k$  for any  $i \in [k]$ , i.e.  $S \cap N_R^-(i) \neq \emptyset$ , and  $N_R^+(S) = [k]$ . So  $|N_R^+(S)| \geq |S|$  for every  $S \subset [k]$ , and Proposition 8 implies that there is a 1-factor  $\mathcal{C}$  in  $R$ .

We would now like to apply Lemma 9 to  $R, \mathcal{C}$  and  $G^*$  to find a Hamilton cycle. However, the size of the exceptional set  $V_0$  may be larger than the number of exceptional vertices allowed for by the lemma. To deal with this problem, we first partition  $V(G) \setminus V_0$  into sets  $A$  and  $B$ . Then we further refine the above ‘regularity partition’ within  $A \cup V_0$  to obtain a very small ‘exceptional set’  $A_0''$ . It then turns out that we can use Lemma 9 to find a Hamilton path in  $(A \cup V_0) \setminus A_0''$  and a Hamilton cycle in  $B \cup A_0''$  which together form a Hamilton cycle in  $G^*$ .

By adding at most  $k$  vertices to  $V_0$  we may assume that each  $V_i$  contains an even number of vertices.

**Claim 1.1.** *There is a partition of  $V \setminus V_0$  into sets  $A$  and  $B$  which has the following properties:*

- $|A_i|, |B_i| = \frac{1}{2}|V_i|$ , where we write  $A_i := V_i \cap A$  and  $B_i := V_i \cap B$  for every  $i \in [k]$ ;
- $|N_G^+(x) \cap A_i|, |N_G^+(x) \cap B_i| = \frac{1}{2}|N_G^+(x) \cap V_i| \pm n^{2/3}$  for every vertex  $x \in G$ ; and similarly for  $N_G^-(x)$ ;
- $R$  is the oriented reduced graph with parameters  $(\varepsilon^2, 3d/4)$  corresponding to the partition  $A_1, \dots, A_k$  of the vertex set of  $G^*[A]$ ; this also holds for the partition  $B_1, \dots, B_k$ .

*Proof.* For each cluster  $V_i$  consider a random partition of  $V_i$  into two sets  $A_i$  and  $B_i$  obtained by assigning a vertex  $x \in V_i$  to  $A_i$  with probability  $1/2$  independently of all other vertices in  $V_i$ . So  $A := \bigcup_{i=1}^k A_i$  and  $B := \bigcup_{i=1}^k B_i$ . Then the probability that  $|A_i| = |B_i|$  is at least  $1/(3\sqrt{|V_i|})$  (see e.g. [4, p. 6]). Also, standard Chernoff bounds imply that the probability that there is a vertex  $x \in G$  whose degree in  $A_i$  is too large or too small is exponentially small in  $|V_i|$ . So with non-zero probability we obtain a partition as desired. To see the third property, note that the definition of regularity implies that the pair  $(A_i, A_j)$  consisting of all the  $A_i$ - $A_j$  edges in  $G^*$  is  $\varepsilon^2$ -regular and has density at least  $3d/4$  whenever  $ij \in E(R)$ . On the other hand,  $(A_i, A_j)$  is empty whenever  $ij \notin E(R)$  since  $(V_i, V_j) \supset (A_i, A_j)$  is empty in this case.  $\square$

Apply Proposition 7, with  $G^*[B]$  playing the role of  $G^*$ ,  $\mathcal{C}$  playing the role of  $S$  (so  $D = 2$ ), the  $B_i$  playing the role of the  $V_i$  and  $\varepsilon, d$  replaced by  $\varepsilon^2, 3d/4$ . We obtain a subgraph  $G'_B$  of  $G^*[B]$  and sets  $B'_i \subseteq B_i$  so that a pair  $(B'_i, B'_j)$  is  $\varepsilon$ -regular of density  $3d/4 - 8\varepsilon^2 \geq d/2$  whenever  $ij \in E(R)$ , and so that  $(B'_i, B'_j)$  is  $(\varepsilon, d/2)$ -super-regular whenever  $ij$  is an edge of  $\mathcal{C}$ . Let  $B' := B'_1 \cup \dots \cup B'_k$ . Let  $V'_0$  be obtained from  $V_0$  by adding the vertices in  $B_i \setminus B'_i$  for all  $i \in [k]$ . Since  $|V_0| \leq (\varepsilon^2/3)n + k$  and  $|B_i \setminus B'_i| \leq 4\varepsilon^2|B_i|$  we have

$$|V'_0| < \varepsilon n.$$

Apply the Diregularity Lemma (Lemma 3) to  $G^*[A \cup V'_0]$  with parameters  $\varepsilon_0^2, d/4$  and initial partition  $V'_0, A_1, \dots, A_k$  to obtain a partition  $A'_0, A'_1, \dots, A'_\ell$  (where the exceptional set is  $A'_0$ ) which refines  $V'_0, A_1, \dots, A_k$ . We then apply Lemma 4 to obtain an oriented reduced graph  $R_A$  with parameters  $(\varepsilon_0^2, d/4)$ . Claim 1.1 together with the fact that  $|V'_0| < \varepsilon n$  implies  $\delta^0(G^*[A \cup V'_0]) > (3/8 - \varepsilon)|A| > (3/8 - 4\varepsilon)|A \cup V'_0|$ , so  $\delta^0(R_A) > (3/8 - d)\ell$ .

**Claim 1.2.** *For any  $T \subset [\ell]$  with  $0.34\ell < |T| < 0.66\ell$  we have  $|N_{R_A}^+(T)| > |T| + c\ell$ .*

*Proof.* Let  $m := |A_i| = |A|/k$ , for  $1 \leq i \leq k$ , and  $m' := |A'_x|$ , for  $1 \leq x \leq \ell$ . Then  $|A| + |V'_0| = \ell m' + |A'_0|$ . Since  $|V'_0| \leq \varepsilon n$  we get  $m' \leq (|A| + \varepsilon n)/\ell \leq (1 + 3\varepsilon)|A|/\ell$ , and since  $|A'_0| \leq \varepsilon_0^2(|A| + |V'_0|) < \varepsilon_0^2 n$  we get  $m' \geq (|A| - \varepsilon_0^2 n)/\ell \geq (1 - 3\varepsilon_0^2)|A|/\ell$ . For  $i \in [k]$  let  $L_i := \{x \in [\ell] : A'_x \subset A_i\}$ . Then

$$|L_i| \leq \frac{m}{m'} \leq \frac{km + |V'_0|}{km'} = \frac{\ell m' + |A'_0|}{km'} < \frac{\ell}{k} \left( 1 + \frac{\varepsilon_0^2 n}{(1 - 3\varepsilon_0^2)|A|} \right) < (1 + 3\varepsilon_0^2)\ell/k.$$

Let  $Z := \{i \in [k] : |L_i| < (1 - 5\varepsilon)\ell/k\}$ . We will show that  $Z$  is comparatively small. For this, note that if  $i \in Z$ , then

$$|A_i \setminus A'_0| = |L_i|m' \leq (1 - 5\varepsilon)(\ell/k)(1 + 3\varepsilon)|A|/\ell \leq (1 - \varepsilon)|A|/k.$$

Since  $|A_i| = m = |A|/k$ , this in turn shows that  $|A_i \cap A'_0| \geq \varepsilon|A|/k$ . So  $|Z| \geq \varepsilon k$  would imply that  $|A'_0| \geq |Z|\varepsilon|A|/k \geq \varepsilon^2|A| > \varepsilon_0^2 n$ , contradiction. Thus we must have  $|Z| \leq \varepsilon k$ .

Let  $T_i := T \cap L_i$ ,  $S := \{i \in [k] : |T_i| > d\ell/k\}$  and  $T' := \bigcup_{i \in S} T_i$ . Then  $|T_i| \leq |L_i| \leq (1 + 3\varepsilon_0^2)\ell/k$  and  $|T \setminus T'| \leq (k - |S|)d\ell/k + |\{x \in [\ell] : A'_x \subset V'_0\}| < (d + 3\varepsilon)\ell$  imply that

$$(3) \quad |S| \geq \frac{|T'|}{(1 + 3\varepsilon_0^2)\ell/k} > |T|k/\ell - 2dk.$$

Therefore  $|S| > k/3$ . Since we are in Case 1, it follows that either  $|S| \geq 2k/3$  or  $|N_R^+(S)| > |S| + 2ck$ . Suppose first that the latter holds. Note that if  $i \in S$  and  $j \in N_R^+(i)$  then

$$(4) \quad |L_j \setminus N_{R_A}^+(T)| < d\ell/k.$$

Indeed, if not then  $\bigcup_{x \in T_i} A'_x \subset A_i$  and  $\bigcup_{x \in L_j \setminus N_{R_A}^+(T)} A'_x \subset A_j$  would span a bipartite subgraph of  $(A_i, A_j)$  with vertex classes of size at least  $|A'_x|d\ell/k > \varepsilon^2|A_i|$  and with density at most  $d/3$  (by Lemma 3 and the fact that  $R_A$  has density parameter  $d/4$ ). This would contradict the fact that  $(A_i, A_j)$  is an  $\varepsilon^2$ -regular pair of density at least  $3d/4$  in  $G^*[A]$  by Claim 1.1. Therefore

$$\begin{aligned} |N_{R_A}^+(T)| &\stackrel{(4)}{>} \sum_{j \in N_R^+(S)} (|L_j| - d\ell/k) > (|N_R^+(S)| - |Z|)(1 - 2d)\ell/k \\ &> (|S| + 2ck - \varepsilon k)(1 - 2d)\ell/k \stackrel{(3)}{>} |T| + c\ell. \end{aligned}$$

(For the last inequality we also used that  $\varepsilon \ll d \ll c$ .) The argument in the case when  $|S| \geq 2k/3$  is almost the same: as mentioned at the beginning of Case 1 we now have  $N_R^+(S) = [k]$  and so  $|N_{R_A}^+(T)| > (k - \varepsilon k)(1 - 2d)\ell/k > (1 - 3d)\ell > |T| + c\ell$ .  $\square$

Now the same argument that we used to find the 1-factor  $\mathcal{C}$  in  $R$  gives us a 1-factor  $\mathcal{C}_A$  in  $R_A$ . Apply Proposition 7, with  $G^*[A \cup V_0']$  playing the role of  $G^*$ ,  $\mathcal{C}_A$  playing the role of  $S$  (so  $D = 2$ ), the  $A'_i$  playing the role of the  $V_i$  and  $\varepsilon, d$  replaced by  $\varepsilon_0^2, d/4$ . We obtain a subgraph  $G'_A$  of  $G^*[A \cup V_0']$  and sets  $A''_i \subseteq A'_i$  so that a pair  $(A''_i, A''_j)$  is  $\varepsilon_0$ -regular of density  $d/4 - 8\varepsilon_0^2 \geq d/8$  whenever  $ij \in E(R_A)$ , and so that  $(A''_i, A''_j)$  is  $(\varepsilon_0, d/8)$ -super-regular whenever  $ij$  is an edge of  $\mathcal{C}_A$ . Let  $A' := A''_1 \cup \dots \cup A''_\ell$  and let  $A''_0$  be the set obtained from  $A'_0$  by adding all the vertices in  $A'_i \setminus A''_i$  for all  $i \in [\ell]$ . Then

$$|A''_0| < \varepsilon_0^2 n + 4\varepsilon_0^2 n < \varepsilon_0 n.$$

Let  $G_B^* := G^*[B' \cup A''_0]$ . We will apply Lemma 9 to find a Hamilton cycle in  $G_B^*$ . For this, note that the reduced oriented graph  $R$  defined above satisfies the initial two conditions of Lemma 9 (c.f. the discussion just before the statement of Claim 1.1). Moreover, we will let the vertex partition  $B'_1, \dots, B'_k$  of  $G_B^* - A''_0$  play the role of  $U_1, \dots, U_k$  in the lemma. The role of  $U_0$  will be played by  $A''_0$ . Now define an oriented graph  $R_B^*$  with vertex set  $[k] \cup A''_0$  as follows. The restriction of  $R_B^*$  to  $[k]$  is  $R$ . For each  $x \in A''_0$  add an edge from  $x$  to  $i \in [k]$  if  $|N_{G^*}^+(x) \cap B'_i| > c|B'_i|$  and an edge from  $i \in [k]$  to  $x$  if  $|N_{G^*}^-(x) \cap B'_i| > c|B'_i|$ . Using Claim 1.1, it is easy to see that  $x$  has indegree and outdegree at least  $(3/8 - \sqrt{c})k$ . However there may be double edges, so delete edges to obtain an oriented graph (which we still call  $R_B^*$ ) in which each  $x \in A''_0$  has indegree and outdegree at least  $(3/16 - \sqrt{c})k \geq k/10$  (say, although we only need these degrees to be non-zero). Now applying Lemma 9 to the oriented graphs  $R$ ,  $R_B^*$  (playing the role of  $R^*$ ) and  $G_B^*$  (playing the role of  $G^*$ ) gives a directed Hamilton cycle  $C_B$  in  $G_B^*$ .

Choose any vertex  $v$  of  $G_B^*$  and let  $v^+$  be the vertex that succeeds  $v$  on  $C_B$ . Let  $G_A^*$  be the digraph obtained from  $G^*[A']$  by adding a new vertex  $v_*$  which has the following neighbours: the outneighbourhood of  $v_*$  is  $N_{G^*}^+(v) \cap A'$  and the inneighbourhood is  $N_{G^*}^-(v^+) \cap A'$ . Let  $R_A^*$  be the digraph obtained from  $R_A$  by adding a new vertex  $v_*$  and edges as follows. Add an edge from  $v_*$  to  $i \in [\ell]$  if  $|N_{G^*}^+(v) \cap A'_i| > c|A'_i|$  and an edge from  $i \in [\ell]$  to  $v_*$  if  $|N_{G^*}^-(v^+) \cap A'_i| > c|A'_i|$ . Using Claim 1.1 it is easy to see that  $v_*$  has indegree and outdegree at least  $(3/8 - \sqrt{c})\ell$ . Again, we can delete edges to arrive at an oriented graph  $R_A^*$  in which  $v_*$  has indegree and outdegree at least  $(3/16 - \sqrt{c})\ell \geq \ell/10$ . Now Lemma 9 applied to  $R_A$  (playing the role of  $R$ ),  $\mathcal{C}_A$  (playing the role of  $\mathcal{C}$ ),  $R_A^*$  (playing the role of  $R^*$ ) and  $G_A^*$  (playing the role of  $G^*$ ) gives a directed Hamilton cycle  $C_A$  in  $G_A^*$ . Note that this yields a Hamilton path in  $G^*[A']$  which starts in  $v_*^+$  and ends in  $v_*^-$ , where  $v_*^+$  and  $v_*^-$  are the

successor and predecessor of  $v_*$  on  $C_A$ . Now  $v^+C_Bvv_*^+C_Av_*^-v^+$  is a Hamilton cycle in  $G^*$  and thus in  $G$ . This contradiction completes the analysis of Case 1.

**Case 2.** *There is a set  $S \subset [k]$  with  $k/3 < |S| < 2k/3$  and  $|N_R^+(S)| < |S| + 2ck$ .*

The strategy in this case is as follows: by exploiting the minimum semi-degree condition, we will first show that  $G$  has roughly a similar structure as the extremal example described in Section 3. In a sequence of further claims, we will then either find a Hamilton cycle or obtain further structural information on  $G$  which means that it must be even more similar to the extremal example. Eventually, we arrive at a contradiction, since being (almost) exactly like the extremal example is incompatible with the minimum semi-degree condition. Unless stated otherwise, all neighbourhoods, degrees and numbers of edges refer to the oriented graph  $G$  from now on. Let

$$A_R := S \cap N_R^+(S), \quad B_R := N_R^+(S) \setminus S, \quad C_R := [k] \setminus (S \cup N_R^+(S)), \quad D_R := S \setminus N_R^+(S).$$

Let  $A := \bigcup_{i \in A_R} V_i$  and define  $B, C, D$  similarly. By definition we have  $e_R(A_R, C_R) = e_R(A_R, D_R) = e_R(D_R, C_R) = e_R(D_R) = 0$ . Since  $R$  has parameters  $(\varepsilon^2/3, d)$ , Lemma 4(c,d) implies that we have

$$(5) \quad e(A, C), e(A, D), e(D, C), e(D) < 3dn^2.$$

From now on we will not calculate explicit constants multiplying  $c$ , and just write  $O(c)$ . The constants implicit in the  $O(*)$  notation will always be absolute.

**Claim 2.1.**  $|A|, |B|, |C|, |D| = (1/4 \pm O(c))n$ .

*Proof.* First we show that  $A_R, B_R, C_R$  and  $D_R$  are non-empty. Since the average value of  $|N_R^+(x) \cap S|$  over all  $x \in S$  is less than  $|S|/2$ , we have

$$|B_R| > \delta^+(R) - |S|/2 \stackrel{(2)}{>} (3/8 - 2d)k - k/3 > k/30.$$

Also  $|D_R| = |B_R| + |S| - |N_R^+(S)| > k/30 - 2ck > 0$ . Since  $e_R(D_R) = 0$ , for any  $x \in D_R$  we have  $|N_R(x)| \leq |A_R| + |B_R| + |C_R| = |N_R^+(S)| + |C_R|$ . Thus

$$|C_R| \stackrel{(2)}{>} 2(3/8 - 2d)k - |N_R^+(S)| > 2(3/8 - 2d)k - (2/3 + 2c)k > 0$$

and also  $|A_R| = |C_R| + |N_R^+(S)| + |S| - k > 2(3/8 - 2d)k + |S| - k > 0$ .

Pick a vertex  $u_R \in D_R$  whose degree in  $R$  is minimal, a vertex  $v_R \in A_R$  whose outdegree in  $R$  is minimal and a vertex  $w_R \in C_R$  of whose indegree in  $R$  is minimal. Since the minima are at most the averages, inequality (2) implies that  $2(3/8 - 2d)k < d(u_R) \leq |A_R| + |B_R| + |C_R|$ ,  $(3/8 - 2d)k < d^+(v_R) \leq |A_R|/2 + |B_R|$  and  $(3/8 - 2d)k < d^-(w_R) \leq |B_R| + |C_R|/2$ . We also have the inequality  $|B_R| - |D_R| = |N_R^+(S)| - |S| < 2ck$ . Thus we may define positive reals  $r_A, r_B, r_C, r_D$  by

- $r_A := |A_R|/2 + |B_R| - (3/8 - 2d)k$ ,
- $r_B := \frac{3}{2}(|D_R| - |B_R| + 2ck)$ ,
- $r_C := |B_R| + |C_R|/2 - (3/8 - 2d)k$ ,
- $r_D := |A_R| + |B_R| + |C_R| - 2(3/8 - 2d)k$ .

Then

$$r_A + r_B + r_C + r_D = \frac{3}{2}(|A_R| + |B_R| + |C_R| + |D_R|) + 3ck - 4(3/8 - 2d)k = (3c + 8d)k < 4ck.$$

This in turn implies that

- $|D_R| = k - (|A_R| + |B_R| + |C_R|) = k - 2(3/8 - 2d)k - r_D = k/4 \pm 5ck$ ,
- $|B_R| = |D_R| + 2ck - \frac{2}{3}r_B = k/4 \pm 10ck$ ,

- $|A_R| = 2((3/8 - 2d)k - |B_R| + r_A) = k/4 \pm 30ck$  and
- $|C_R| = 2((3/8 - 2d)k - |B_R| + r_C) = k/4 \pm 30ck$ .

Altogether, this gives  $|A|, |B|, |C|, |D| = (1/4 \pm 31c)n$ .  $\square$

**Claim 2.2.**

- $e(A) > (1/2 - O(c))n^2/16$ ,
- $e(A, B) > (1 - O(c))n^2/16$ ,
- $e(B, C) > (1 - O(c))n^2/16$ ,
- $e(B, D) > (1/2 - O(c))n^2/16$ ,
- $e(C) > (1/2 - O(c))n^2/16$ ,
- $e(C, D) > (1 - O(c))n^2/16$ ,
- $e(D, A) > (1 - O(c))n^2/16$ ,
- $e(D, B) > (1/2 - O(c))n^2/16$ .

*Proof.* Since  $e(A, C), e(A, D) < 3dn^2$  by (5) we have

$$\sum_{x \in A} d^+(x) \leq |A|^2/2 + |A||B| + 6dn^2 = (3/2 + O(c))n^2/16.$$

On the other hand,  $\sum_{x \in A} d^+(x) \geq |A|(3n - 4)/8 = (3/2 - O(c))n^2/16$ . So we must have  $e(A) > (1/2 - O(c))n^2/16$  and  $e(A, B) > (1 - O(c))n^2/16$ . Also, since  $e(A, C), e(D, C) < 3dn^2$  we have

$$(3/2 - O(c))n^2/16 < \sum_{x \in C} d^-(x) < |B||C| + |C|^2/2 + 6dn^2 = (3/2 + O(c))n^2/16,$$

so  $e(C) > (1/2 - O(c))n^2/16$  and  $e(B, C) > (1 - O(c))n^2/16$ . Next, writing  $\overline{D} := A \cup B \cup C$  and using the inequalities  $e(D), e(D, C), e(A, D) < 3dn^2$  gives

$$\begin{aligned} (3 - O(c))n^2/16 &< e(D, \overline{D}) + e(\overline{D}, D) + 2e(D) \\ &< e(D, A) + e(D, B) + e(B, D) + e(C, D) + 12dn^2 \\ &\leq |D|(|A| + |B| + |C|) + 12dn^2 = (3 + O(c))n^2/16, \end{aligned}$$

so  $e(D, A) > (1 - O(c))n^2/16$  and  $e(C, D) > (1 - O(c))n^2/16$ . Finally, since  $e(D, C), e(D) < 3dn^2$  we have

$$(3/2 - O(c))n^2/16 < \sum_{x \in D} d^+(x) < |A||D| + e(D, B) + 6dn^2 < (1 + O(c))n^2/16 + e(D, B)$$

and so  $e(D, B) > (1/2 - O(c))n^2/16$ . Since  $e(A, D), e(D) < 3dn^2$  we have

$$(3/2 - O(c))n^2/16 < \sum_{x \in D} d^-(x) < e(B, D) + |C||D| + 6dn^2 < (1 + O(c))n^2/16 + e(B, D)$$

and so  $e(B, D) > (1/2 - O(c))n^2/16$ .  $\square$

Henceforth we will make no use of the information in (5). This has the advantage of making our picture invariant under the relabelling  $A \leftrightarrow C, B \leftrightarrow D$ . Also, we add  $V_0$  to  $A$ . Since  $|V_0| \leq (\varepsilon^2/3)n$  and  $\varepsilon \ll c$ , this does not affect the assertions of Claims 2.1 and 2.2 (except as usual the constant in the  $O(c)$ -notation).

Given a vertex  $x \in G$ , we will use the compact notation  $x$  has property  $W : A_*^* B_*^* C_*^* D_*^*$  as follows. The notation starts with some  $W \in \{A, B, C, D\}$ , namely the set that  $x$  belongs to. Next, for each of  $A, B, C, D$  its superscript symbol refers to the intersection with  $N^+(x)$  and its subscript to the intersection with  $N^-(x)$ . A symbol ' $> \alpha$ ' describes an intersection of size at least  $(\alpha - O(\sqrt{c}))n/4$  and a symbol ' $< \alpha$ ' describes an intersection of size at

most  $(\alpha + O(\sqrt{c}))n/4$ . The absence of a symbol means that no statement is made about that particular intersection, and we can omit any of  $A, B, C, D$  if it has no superscript or subscript. For example, to say  $x$  has property  $B:A^{>1}C^{<1/2}_{<1/3}$  means that  $x \in B$ ,  $|N^+(x) \cap A| > (1 - O(\sqrt{c}))n/4$ ,  $|N^+(x) \cap C| < (1/2 + O(\sqrt{c}))n/4$  and  $|N^-(x) \cap C| < (1/3 + O(\sqrt{c}))n/4$ .

We say that a vertex  $x$  is *excellent* if it has one of the following properties

$$A:A^{>1/2}_{>1/2}B^{>1}D^{>1}, \quad B:A_{>1}C^{>1}D^{>1/2}_{>1/2}, \quad C:B_{>1}C^{>1/2}_{>1/2}D^{>1} \text{ or } D:A^{>1}B^{>1/2}_{>1/2}C^{>1}.$$

In other words, a vertex is excellent if its out- and inneighbourhoods are almost the same as those of the vertices in the extremal oriented graph in Section 3. Claim 2.2 implies that at most  $O(\sqrt{c})n$  vertices are not excellent.

We say that a vertex is *acceptable* if it has one of the following properties

- $A:B^{>1/100}D^{>1/100}, A:A^{>1/100}D^{>1/100}, A:A_{>1/100}B^{>1/100}, A:A^{>1/100}_{>1/100},$
- $B:A_{>1/100}C^{>1/100}, B:A_{>1/100}D^{>1/100}, B:C^{>1/100}D^{>1/100}, B:D^{>1/100}_{>1/100},$
- $C:B_{>1/100}D^{>1/100}, C:B_{>1/100}C^{>1/100}, C:C_{>1/100}D^{>1/100}, C:C^{>1/100}_{>1/100},$
- $D:A^{>1/100}C_{>1/100}, D:A^{>1/100}B_{>1/100}, D:B^{>1/100}C_{>1/100}, D:B^{>1/100}_{>1/100}.$

In other words, a vertex is acceptable if it has a significant outneighbourhood in one of its two *out-classes* and a significant inneighbourhood in one of its two *in-classes*, where ‘out-classes’ and ‘in-classes’ are to be understood with reference to the extremal oriented graph. So for example  $A$  has out-classes  $A$  and  $B$  and in-classes  $A$  and  $D$ . We will also call an edge *acceptable* if it is of the type allowed in the extremal oriented graph (so for example an edge from  $A$  to  $B$  is acceptable but an edge from  $B$  to  $A$  is not). Note that an excellent vertex is also acceptable.

In what follows, we will carry out  $O(\sqrt{c})n$  reassignments of vertices between the sets  $A, B, C$  and  $D$  (and a similar number of ‘path contractions’ as well). After each sequence of such reassignments it is understood that the hidden constant in the  $O(\sqrt{c})$ -notation of the definition of an acceptable/excellent vertex is increased. Also, it will sometimes be convenient to use the notation  $A := P(1), B := P(2), C := P(3), D := P(4)$ .

**Claim 2.3.** *By reassigning vertices that are not excellent to  $A, B, C$  or  $D$  we can arrange that every vertex of  $G$  is acceptable. We can also arrange that there is no vertex that is not excellent but would become so if it was reassigned.*

*Proof.* We start by making any reassignments necessary to satisfy the second statement of the claim. (To do this, we reassign all the vertices in question in one step. As we are reassigning  $O(\sqrt{c})n$  vertices we can then change the hidden constant in the  $O(\sqrt{c})$ -notation to make sure that the vertices which were excellent before are still excellent.)

To satisfy the first statement of the claim, for any vertex  $x \in G$  we let  $P_x^+ := \{1 \leq i \leq 4 : |N^+(x) \cap P(i)| > n/400\}$ ,  $P_x^- := \{1 \leq i \leq 4 : |N^-(x) \cap P(i)| > n/400\}$ , and  $P_x := P_x^+ \cup P_x^-$ . By the minimum semi-degree condition  $|P_x^+| \geq 2$ ,  $|P_x^-| \geq 2$  and  $|P_x| \geq 3$ . If there is some  $i$  such that  $i + 1 \in P_x^+$  and  $i - 1 \in P_x^-$  (where we use addition and subtraction mod 4) then we can put  $x$  into  $P(i)$  and it will have property  $P(i) : P(i - 1)_{>1/100}P(i + 1)^{>1/100}$ , i.e.  $x$  will become acceptable. Otherwise we must have either  $P_x^+ = \{1, 3\}$  and  $P_x^- = \{2, 4\}$ , or  $P_x^- = \{1, 3\}$  and  $P_x^+ = \{2, 4\}$ . In either case we can put  $x$  into  $A = P(1)$ : in the first case it will have property  $A : A^{>1/100}D_{>1/100}$  and in the second case property  $A : A_{>1/100}B^{>1/100}$ . So in both cases  $x$  will become acceptable. As before, by increasing the hidden constant in the  $O(\sqrt{c})$ -notation if necessary, we can ensure that the properties of all other vertices are maintained.  $\square$



In the proof of the following claims, contractions of paths will play an important role. Given a path  $P$  in  $G$  whose initial vertex  $p_1$  and final vertex  $p_2$  lie in the same class  $P(i)$ , the *contraction of  $P$*  yields the following digraph  $H$ : we add a new vertex  $p$  to the class  $P(i)$  and remove (the vertices of) the path  $P$  from  $G$ .  $N_H^+(p)$  will consist of all those  $x \in N^+(p_2)$  for which  $p_2x$  is acceptable and  $N_H^-(p)$  will consist of all those  $x \in N^-(p_1)$  for which  $xp_1$  is acceptable. Note that any cycle in  $H$  which includes  $p$  corresponds to a cycle in  $G$  which includes  $P$ . The paths  $P$  that we use in the proof of Claim 2.4 will have initial and final vertices in the same class and will be *acceptable*, meaning that every edge on  $P$  is acceptable. Note that each such acceptable path  $P$  must be *BD-balanced*, meaning that if we delete the initial vertex of  $P$  we are left with a path that meets  $B$  and  $D$  the same number of times. This may be seen from the observations that visits of  $P$  to  $B \cup D$  alternate between  $B$  and  $D$ , and if the path is in  $A$  and then leaves it must visit  $B$  and  $D$  an equal number of times before returning to  $A$  (and similarly for  $C$ ). Note that if we have  $|B| = |D|$  and contract a *BD-balanced* path, then the resulting digraph will still satisfy  $|B| = |D|$ . The ‘moreover’ part of the following claim is used later in the proof to turn a graph with  $|B| = |D| + 1$  into one with  $|B| = |D|$  under certain circumstances.

**Claim 2.4.** *If  $|B| = |D|$  and every vertex is acceptable then  $G$  has a Hamilton cycle. Moreover, the assertion also holds under the following slightly weaker assumption:  $|B| = |D|$  and there exists some vertex  $x$  such that every vertex except  $x$  is acceptable, there is at least one acceptable edge going into  $x$  and at least one acceptable edge coming out of  $x$ .*

*Proof.* We will use the ‘standard’ version of the Blow-up Lemma (Lemma 5) to prove the first assertion. For this, the idea is to first find suitable paths which together contain all the non-excellent vertices. We will contract these paths into vertices so that the resulting digraph  $G_1$  consists entirely of excellent vertices. Then we will find suitable paths whose contraction results in a digraph  $G_2$  which satisfies  $|A| = |B| = |C| = |D|$  and all of whose vertices are excellent. We can then apply the Blow-up Lemma to its underlying graph to find a directed Hamilton cycle in  $G_2$  which ‘winds around’  $A, B, C, D$ . The choice of our paths will then imply that this Hamilton cycle corresponds to one in  $G$ .

Let  $v_1, \dots, v_t$  be the vertices which are acceptable but not excellent. For each  $v_i$ , choose an excellent outneighbour  $v_i^+$  and an excellent inneighbour  $v_i^-$  so that all of these vertices are distinct and so that the edges  $v_i v_i^+$  and  $v_i^- v_i$  are acceptable. Note that this can be done since  $t = O(\sqrt{c})n$ . Let  $P'_i$  be a path of length at most 3 starting at  $v_i^+$  and ending at an excellent vertex which lies in the same class as  $v_i^-$ , and where the successive vertices lie in successive classes, i.e. the successor of a vertex  $x \in V(P) \cap P(i)$  lies in  $P(i+1)$ . (So if for example  $v_i^-$  has the first of the acceptable properties of a vertex in  $A$ , then we can choose  $v_i^+ \in B$ ,  $v_i^- \in D$  and so  $P'_i$  would have its final vertex in  $D$ . Also, if  $v_i^-$  and  $v_i^+$  lie in the same class then  $P'_i$  consists of the single vertex  $v_i^+$ .) Again, the paths  $P'_i$  can be chosen to be disjoint. Let  $P_i := v_i^- v_i v_i^+ P'_i$ . Then the  $P_i$  are acceptable and thus *BD-balanced*. Let  $G_1$  be the digraph obtained from  $G$  by contracting the paths  $P_i$ . (Note that  $G_1$  is not necessarily an oriented graph as we may be creating double edges inside  $A$ , inside  $C$  as well as between  $B$  and  $D$ .) Then every vertex of  $G_1$  is excellent (by changing the constant involved in the  $O(\sqrt{c})$  notation in the definition of an excellent vertex if necessary). Moreover, the sets  $A, B, C, D$  in  $G_1$  still satisfy  $|B| = |D|$  and we still have that the sizes of the other pairs of sets differ by at most  $O(\sqrt{c})n$ .

Now suppose that  $|A| < |C|$  and let  $s := |C| - |A|$ . Greedily find a path  $P_C$  of length  $s$  consisting entirely of (excellent) vertices in  $C$ . Let  $G_2$  be the digraph obtained by contracting  $P_C$ . Then in  $G_2$ , we have  $|A| = |C|$  and  $|B| = |D|$ . If  $|A| > |C|$  we can achieve equality

in the similar way by contracting a path in  $A$ . Note that since  $s = O(\sqrt{c})n$ , all vertices of  $G_2$  are still excellent. Now suppose that in  $G_2$  we have  $|B| > |A|$ . Let  $s := |B| - |A|$ . This time, we greedily find a path  $P_B$  of length  $2s$  in the bipartite digraph induced by  $B$  and  $D$  which starts and ends in  $|B|$ . Note that  $P_B$  is  $BD$ -balanced. By contracting  $P_B$ , we obtain a digraph (which we still call  $G_2$ ) with  $|A| = |B| = |C| = |D|$  and all of whose vertices are still excellent. Finally, suppose that in  $G_2$  we have  $|B| < |A|$ . In this case we can equalize the sets by contracting paths of length  $|A| - |B|$  within each of  $A$  and  $C$  as above.

Thus we have arranged that  $|A| = |B| = |C| = |D|$  in  $G_2$ . Let  $G'_2$  be the underlying graph corresponding to the set of edges oriented from  $P(i)$  to  $P(i+1)$ , for  $1 \leq i \leq 4$ . Since all vertices of  $G_2$  are excellent and we chose  $c \ll \eta \ll 1$ , each pair  $(P(i), P(i+1))$  is  $(\eta, 1)$ -super-regular in  $G'_2$ . Also,  $G'_2$  is simple, i.e. there are no multiple edges. Let  $F'$  be the 4-partite graph with vertex classes  $A = P(1), B = P(2), C = P(3), D = P(4)$  where the 4 bipartite graphs induced by  $(P(i), P(i+1))$  are all complete. Clearly  $F'$  has a Hamilton cycle, so we can apply Lemma 5 with  $k = 4, \Delta = 2$  to find a Hamilton cycle  $C_{\text{Ham}}$  in  $G'_2$ . The ‘moreover’ part of Lemma 5 implies that we can assume that  $C_{\text{Ham}}$  continually ‘winds around’  $A, B, C, D$ , i.e. one neighbour on  $C_{\text{Ham}}$  of a vertex  $x \in P(i)$  lies in  $P(i+1)$  and the other in  $P(i-1)$ .  $C_{\text{Ham}}$  corresponds to a directed Hamilton cycle in  $G_2$  and thus in turn to a directed Hamilton cycle in  $G$ .

Now, we deduce the ‘moreover’ part from the first part of Claim 2.4. Similarly as in the first part, the approach is to find a suitable path  $P$  containing  $x$  which we can contract into a single vertex so that the resulting digraph still satisfies  $|B| = |D|$  and now all of its vertices are acceptable. Choose  $x^-$  and  $x^+$  so that  $x^-x$  and  $xx^+$  are acceptable edges. Since  $x^-$  is acceptable, it has an excellent inneighbour  $x^{--}$  so that the edge  $x^{--}x^-$  is acceptable. Let  $P(i)$  be the class which contains  $x^{--}$ . Let  $P'$  be a path of length at most 3 starting at  $x^+$  and ending at an excellent vertex in  $P(i)$  so that successive vertices lie in successive classes. Let  $P := x^{--}x^-xx^+P'$ . Then  $P$  is acceptable and thus  $BD$ -balanced. Let  $H$  be the digraph obtained from  $G$  by contracting  $P$ . Then in  $H$  we still have  $|B| = |D|$ . All vertices that were previously acceptable/excellent are still so (possibly with a larger error term  $O(\sqrt{c})$ ). The contraction of  $P$  may have yielded multiple edges (i.e. pairs of vertices with one edge in each direction between them). If so, for each such pair we delete one of these edges arbitrarily and still denote the resulting oriented graph by  $H$ . Since  $x^{--}$  and the terminal vertex of  $P$  are both excellent, this means that the new vertex resulting from the contraction of  $P$  is still acceptable. So we can apply the first part of the claim to obtain a Hamilton cycle in  $H$  which clearly corresponds to one in the original oriented graph  $G$ .  $\square$

The picture is still invariant under the relabelling  $A \leftrightarrow C, B \leftrightarrow D$ , so we may assume that  $|B| \geq |D|$ . Since we are assuming there is no Hamilton cycle, Claim 2.4 gives  $|B| > |D|$ .

**Claim 2.5.** *For each of the properties  $A:C_{>1/100}, A:B_{>1/100}, C:A^{>1/100}, C:B^{>1/100}$ , there are less than  $|B| - |D|$  vertices with that property.*

*Proof.* Suppose to the contrary that for example we can find  $|B| - |D| =: t$  vertices  $v_1, \dots, v_t$  in  $A$  having the property  $A:C_{>1/100}$ . Select distinct excellent vertices  $v_1^-, \dots, v_t^-$  in  $C$  with  $v_i^- \in N^-(v_i)$  for  $1 \leq i \leq t$ . This can be achieved greedily, as  $|B| - |D| = O(\sqrt{c})n < n/1000$ , say. Since  $v_1, \dots, v_t$  are acceptable vertices in  $A$  we can greedily select distinct excellent vertices  $v_i^+ \in N^+(v_i) \cap (A \cup B)$ . For each  $i$  such that  $v_i^+ \in B$  let  $b_i^+ := v_i^+$ . For each  $i$  such that  $v_i^+ \in A$  select  $b_i^+$  to be an excellent vertex in  $N^+(v_i^+) \cap B$ ; we can ensure that  $b_1^+, \dots, b_t^+$  are distinct. Similarly we can select excellent vertices  $b_i^- \in N^-(v_i^-) \cap B$  which are distinct from each other and from  $b_1^+, \dots, b_t^+$ . Thus we have constructed  $t = |B| - |D|$  vertex disjoint paths  $P_1, \dots, P_t$  where  $P_i$  starts at  $b_i^-$  in  $B$ , goes through  $C$ , then it uses 1

or 2 vertices of  $A$ , and it ends at  $b_i^+$  in  $B$ . Consider a new oriented graph  $H$ , obtained from  $G$  by deleting all the vertices on  $P_1, \dots, P_t$  and adding new vertices  $b_1, \dots, b_t$  such that the outneighbourhood of  $b_i$  is  $N^+(b_i^+) \cap C$  and the inneighbourhood of  $b_i$  is  $N^-(b_i^-) \cap A$ . (We do not simply contract the paths  $P_i$  since we do not want to create double edges at this stage.) Note that each  $b_i$  is acceptable and the analogues of  $B$  and  $D$  in  $H$  now have equal size. So we can apply Claim 2.4 to find a Hamilton cycle in  $H$ . This corresponds to a Hamilton cycle in  $G$ , which contradicts our assumption. Therefore there are less than  $|B| - |D|$  vertices with property  $A: C_{>1/100}$ .

The statement for property  $C: A^{>1/100}$  follows in a similar way, as we can again start by finding a matching of size  $|B| - |D|$  consisting of edges directed from  $C$  to  $A$ . The arguments for the other two properties are also similar. For instance, if we have  $|B| - |D|$  vertices  $v_1, \dots, v_t$  in  $A$  having property  $A: B_{>1/100}$  we can find a matching  $b_1^- v_1, \dots, b_t^- v_t$  of edges directed from  $B$  to  $A$  such that the  $b_i^-$  are excellent. We can extend this to  $|B| - |D|$  vertex disjoint paths  $P_1, \dots, P_t$ , where  $P_i$  starts with the edge  $b_i^- v_i$ , it then goes either directly to an excellent vertex in  $B$  or it uses one more vertex from  $A$  before it ends at an excellent vertex in  $B$ . Now we construct a new oriented graph  $H$  similarly as before and find a Hamilton cycle in  $H$  and then in  $G$ .  $\square$

We now say that a vertex is *good* if it is acceptable, and also has one of the properties

$$A: B_{<1/100} C_{<1/100}, \quad B: A^{<1/100} B_{<1/100} C_{<1/100}, \quad C: A^{<1/100} B^{<1/100} \quad \text{or} \quad D:$$

(The last option means that every acceptable vertex in  $D$  is automatically good.) Note that an excellent vertex is not necessarily good.

**Claim 2.6.** *By reassigning at most  $O(\sqrt{c})n$  vertices we can arrange that every vertex is good.*

*Proof.* While  $|B| > |D|$  we reassign vertices that are bad (i.e. not good) as follows. Suppose there is a bad vertex  $x \in B \cup C$  with  $|N^+(x) \cap A| > n/400$ . If we also have  $|N^-(x) \cap C| > n/400$  or  $|N^-(x) \cap B| > n/400$ , then by reassigning  $x$  to  $D$  it will become a good vertex. (Recall that for a vertex in  $D$ , being acceptable and good is the same.) If not, then  $|N^-(x) \cap C|, |N^-(x) \cap B| \leq n/400$ , and so  $|N^-(x) \cap A| \geq n/400$ . In this case we can make  $x$  good by reassigning it to  $A$ . Exactly the same argument works if there is a bad vertex  $x \in B \cup C$  with  $|N^+(x) \cap B| > n/400$ . If  $x$  was in  $B$  we have decreased  $|B| - |D|$  by 1 or 2, whereas by Claim 2.5 we will make at most  $O(\sqrt{c})n$  such reassignments of vertices  $x \in C$ .

Similarly, suppose there is a bad vertex  $x \in A \cup B$  with  $|N^-(x) \cap B| > n/400$  or  $|N^-(x) \cap C| > n/400$ . If we also have  $|N^+(x) \cap A| > n/400$  or  $|N^+(x) \cap B| > n/400$ , then by reassigning  $x$  to  $D$  it becomes good. If not, then  $|N^+(x) \cap A|, |N^+(x) \cap B| \leq n/400$ , and so  $|N^+(x) \cap C|, |N^+(x) \cap D| \geq n/400$ . In this case we can reassign  $x$  to  $C$ . Again, if  $x$  was in  $B$  we have decreased  $|B| - |D|$  by 1 or 2, whereas by Claim 2.5 we will make at most  $O(\sqrt{c})n$  such reassignments of vertices  $x \in A$ .

The above cases cover all possibilities of a vertex being bad. If during this process  $|B|$  and  $|D|$  become equal we can apply Claim 2.4 to find a Hamilton cycle. Alternatively, it may happen that  $|B| - |D|$  goes from +1 to -1 if a vertex  $x$  is moved from  $B$  to  $D$ . In this case we claim that we can put  $x$  into  $A$  or  $C$  to achieve the following property: every vertex except  $x$  is acceptable, there is at least one acceptable edge going into  $x$  and at least one acceptable edge coming out of  $x$ .

To see this, suppose first that one of  $N^-(x) \cap A$  or  $N^-(x) \cap D$  is non-empty. If one of  $N^+(x) \cap A$  or  $N^+(x) \cap B$  is also non-empty we can put  $x$  into  $A$ . Otherwise  $N^+(x) \cap C$  and

$N^+(x) \cap D$  are both non-empty. Now we can put  $x$  into  $C$  unless  $N^-(x) \cap B$  and  $N^-(x) \cap C$  are both empty, which cannot happen, as then  $x$  would not have been a bad vertex of  $B$ . Now suppose that  $N^-(x) \cap A$  and  $N^-(x) \cap D$  are both empty, so  $N^-(x) \cap B$  and  $N^-(x) \cap C$  are both non-empty. We can put  $x$  into  $C$  unless  $N^+(x) \cap C$  and  $N^+(x) \cap D$  are both empty. But this implies that  $x$  satisfies the property  $B: A^{>1} B_{>1/2}^{>1/2} C_{>1}$ . This cannot happen, as then  $x$  would have been reassigned to  $D$  as an excellent vertex in Claim 2.3. Therefore we can apply the ‘moreover’ part of Claim 2.4 to find a Hamilton cycle. But since we are assuming there is no Hamilton cycle the process must terminate with an assignment of vertices where all vertices are good.  $\square$

Let  $M$  be a maximum matching consisting of edges in  $E(B, A) \cup E(B) \cup E(C, A) \cup E(C, B)$ . Say that  $M \cap E(B, A)$  matches  $B_A \subset B$  with  $A_B \subset A$ , that  $M \cap E(B)$  is a matching on  $B_B \subset B$ , that  $M \cap E(C, A)$  matches  $C_A \subset C$  with  $A_C \subset A$  and that  $M \cap E(C, B)$  matches  $C_B \subset C$  with  $B_C \subset B$ . Note that  $e(M) = |A_B| + |A_C| + |B_B|/2 + |B_C|$ .

We must have  $e(M) < |B| - |D|$ . Otherwise, by a similar argument to that in Claim 2.5 we could extend  $t := |B| - |D|$  edges  $v_i^- v_i$  of  $M$  to  $|B| - |D|$  vertex disjoint paths  $P_1, \dots, P_t$ , where  $P_i$  includes  $v_i^- v_i$ , starts and ends at excellent vertices  $b_i^-, b_i^+$  in  $B$ , and uses two more vertices from  $B$  than from  $D$ . Then we would find a Hamilton cycle as in Claim 2.5, which would be a contradiction.

**Claim 2.7.**  $e(M) = 0$  and  $|B| - |D| = 1$ .

*Proof.* We will first prove that  $e(M) = 0$ . Assume to the contrary that  $e(M) \geq 1$ . Let  $A' := A \setminus (A_B \cup A_C)$ ,  $B' := B \setminus (B_A \cup B_B \cup B_C)$  and  $C' := C \setminus (C_A \cup C_B)$ . By the maximality of  $M$  there are no edges from  $B' \cup C'$  to  $A'$  or from  $B' \cup C'$  to  $B'$ . Since all vertices are good this implies

$$\begin{aligned} e(C, A) &\leq e(C_A \cup C_B, A) + e(C, A_B \cup A_C) \leq (|C_A \cup C_B| + |A_B \cup A_C|)(1/100 + O(\sqrt{c})n/4) \\ (6) \quad &\leq 2e(M)(n/4)/99 \leq e(M)|A|/49. \end{aligned}$$

Similarly  $e(B, A) < e(M)|A|/49$ . So by considering the average indegree of the vertices in  $A$  we can find a vertex  $a \in A$  such that

$$d^-(a) < |A|/2 + |D| + 2e(M)/49.$$

Also, we can obtain  $e(C, B) < e(M)|C|/49$  in a similar way as (6). So by averaging, we can find a vertex  $c \in C$  with

$$d^+(c) < |C|/2 + |D| + 2e(M)/49.$$

By the maximality of  $M$ , every edge in  $B$  is incident with a vertex of  $B_A \cup B_B \cup B_C$ . Together with the fact that every vertex is good, this implies that

$$e(B) < |B_A \cup B_B \cup B_C| \cdot 2(1/100 + O(\sqrt{c})n/4) < 2e(M)|B|/49.$$

So we can find  $b \in B$  with

$$d(b) < |A| + |C| + |D| + 4e(M)/49.$$

Now we use the minimum semi-degree condition and the above inequalities to get

$$(7) \quad \frac{3n-4}{2} \leq d^-(a) + d^+(c) + d(b) < \frac{3}{2}(|A| + |C| + 2|D|) + 8e(M)/49$$

Substituting  $n = |A| + |B| + |C| + |D|$  and simplifying gives

$$(8) \quad |B| - |D| \leq (2/3)(2 + 8e(M)/49) = 4/3 + 16e(M)/147.$$

On the other hand, we previously observed that  $|B| - |D| \geq e(M) + 1$ . Combining this gives  $131e(M)/147 \leq 1/3$ , which is only possible if  $e(M) = 0$ . Now (8) immediately implies the claim.  $\square$

Note that  $e(M) = 0$  implies that  $e(B \cup C, A) = 0$ . So by averaging, there is a vertex  $a \in A$  with  $d^-(a) \leq (|A| - 1)/2 + |D|$ . Since  $e(M) = 0$  also implies that  $e(C, B) = 0$  and  $e(B) = 0$  there is vertex  $c \in C$  with  $d^+(c) \leq (|C| - 1)/2 + |D|$  and a vertex  $b \in B$  with  $d(b) \leq |A| + |C| + |D|$ . So as in (7), using  $|D| = |B| - 1$  we obtain that

$$\frac{3n - 4}{2} \leq d^-(a) + d^+(c) + d(b) \leq \frac{3}{2}(|A| + |C| + 2|D|) - 1 = \frac{3}{2}(n - 1) - 1,$$

which is impossible. This contradiction to our initial assumption on  $G$  completes the proof.  $\square$

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