

# Surface subgroups in dimension 3

## Lecture 4

Recall:

Theorem 2.1:  $O = \Gamma \backslash \mathbb{H}^3$  has a finite cover  $\tilde{O}$  s.t.

1.  $\tilde{O}$  has at least one singular vertex;
2. every arc and simple closed curve of  $\text{sing}(\tilde{O})$  has order 2;
3.  $\pi_1(|\tilde{O}|)$  is infinite.

Proof outline:

$\Gamma$  is a finitely generated linear group.

Theorem: (Selberg's lemma) Any f.g. linear group  $\Gamma$  has a finite index normal subgroup  $\Gamma_1$  that is torsion free.

So,  $\Gamma_1 \backslash \mathbb{H}^3$  is a manifold (ie we've gone too far).

It is a regular cover of  $O$  with covering group  $\Gamma/\Gamma_1$ .

Consider the group  $\Gamma_2 = \Gamma_1(\mathbb{Z}/2 \times \mathbb{Z}/2)$ .

Let  $O_2 = \Gamma_2 \backslash \mathbb{H}^3$ .

Note:  $O_2$  is the quotient of a manifold by a  $\mathbb{Z}/2 \times \mathbb{Z}/2$  action.

So, every arc and simple closed curve of  $\text{sing}(O_2)$  has order 2.

Note:  $O_2$  is covered by  $(\mathbb{Z}/2 \times \mathbb{Z}/2) \backslash \mathbb{H}^3$  which has a singular vertex.

So,  $O_2$  has at least one singular vertex.

But we have no guarantee that  $\pi_1(|O_2|)$  is infinite.

Indeed,  $|O_2|$  may be the 3-sphere.

## THE GOLOD-SHAFAREVICH INEQUALITY

How do we show that a group is infinite?

Theorem: [Golod-Shafarevich] Let  $G$  be a finitely presented group  $\langle X|R \rangle$ .

If

$$\frac{d_p(G)^2}{4} \geq d_p(G) - |X| + |R|,$$

where  $d_p(G) = \dim(H_1(G; \mathbb{F}_p))$ , then  $G$  is infinite.

Every 3-manifold group has a presentation where  $|X| = |R|$ .

Now use:

Theorem: [Lubotzky ?] If a finitely generated linear group  $\Gamma$  is not virtually soluble, then for any prime  $p$ ,  $\Gamma$  has finite index subgroups  $\Gamma_1$  where  $d_p(\Gamma_1)$  is arbitrarily big.

So, we can certainly arrange that  $\pi_1(|O_3|) = \infty$  for some finite cover  $O_3 \rightarrow O_2$ .

But to ensure that  $O_3$  also has a singular vertex is a bit tricky.

## HYPERBOLIC UNDERLYING SPACE

We still have to prove:

Theorem 2.2: If a closed orientable 3-manifold  $M$  has infinite  $\pi_1$ , then either

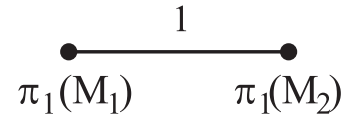
1.  $M$  is hyperbolic; or
2.  $M$  has a finite cover  $\tilde{M}$  with  $b_1 > 0$ .

Proof outline:

This requires Perelman's solution to the geometrisation conjecture.

Case 1:  $M$  is a connected sum  $M_1 \# M_2$ .

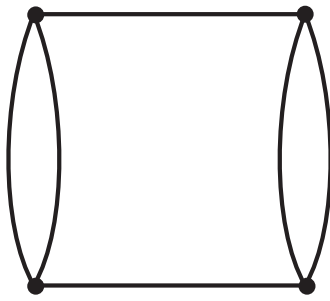
Then  $\pi_1(M)$  is a graph of groups:



Fact: Any closed orientable 3-manifold has residually finite  $\pi_1$ .

So, we may find proper finite index subgroups  $\Gamma_1 \leq \pi_1(M_1)$  and  $\Gamma_2 \leq \pi_1(M_2)$ .

We have an associated cover  $\tilde{M}$  of  $M$  with  $\pi_1(\tilde{M})$  a graph of groups:



Since the graph has a cycle,  $b_1(\tilde{M}) > 0$ .



Case 2:  $M$  is prime but has an embedded  $\pi_1$ -injective torus  $T$ .

$M = M_1 \cup_T M_2$ . So,  $\pi_1(M) =$

$$\begin{array}{ccc} & \pi_1(T) & \\ & \text{---} & \\ \bullet & & \bullet \\ \pi_1(M_1) & & \pi_1(M_2) \end{array}$$

**Fact:** Any compact orientable 3-manifold  $M_i$  with boundary a collection of  $\pi_1$ -injective tori has a finite cover  $\tilde{M}_i$ , which restricts on each component of  $\partial M_i$  to the characteristic  $p^2$  cover, for each sufficiently big prime  $p$ .

Moreover,  $|\partial M_i| \geq 2$ .

So, we get a cover  $\tilde{M}$  s.t.  $\pi_1(\tilde{M})$  has a graph of groups decomposition, in which each vertex has valence  $\geq 2$ . Again, there is a cycle.

So,  $b_1(\tilde{M}) > 0$ .

Case 3:  $M$  is Seifert fibred.

ie  $M$  is a ‘circle bundle’ over a 2-orbifold  $F$ .

$\pi_1(M)$  infinite  $\Rightarrow F$  has a finite surface cover  $\tilde{F}$ .

We get an induced  $S^1$ -bundle  $\tilde{M}$  over  $\tilde{F}$ :

$$\begin{array}{ccc} \tilde{M} & \longrightarrow & M \\ \downarrow & & \downarrow \\ \tilde{F} & \longrightarrow & F \end{array}$$

$\pi_1(M)$  infinite and  $M \neq S^2 \times S^1$  or  $\mathbb{R}P^3 \# \mathbb{R}P^3$   
 $\Rightarrow \tilde{F} \neq S^2, \mathbb{R}P^2 \Rightarrow b_1(\tilde{F}) > 0 \Rightarrow b_1(\tilde{M}) > 0$ .

Case 4:  $M$  is hyperbolic.

We are done.  $\square$

## ARITHMETIC 3-MANIFOLDS

We've now proved:

Main Theorem 1.2: [L] Any finitely generated Kleinian group  $\Gamma$  containing a finite non-cyclic subgroup is either finite, virtually free or contains a surface subgroup.

But we haven't shown:

Main Theorem 1.1: [L] Every arithmetic hyperbolic 3-manifold contains an immersed  $\pi_1$ -injective surface.

which relied on:

Theorem 1.3: [L-Long-Reid] Any arithmetic Kleinian group is commensurable with one that contains  $\mathbb{Z}/2 \times \mathbb{Z}/2$ .

I'll give an outline of this now.

## ARITHMETIC 3-MANIFOLDS

Non-standard definition: A hyperbolic 3-manifold  $M$  is **non-arithmetic** if there is a hyperbolic orbifold  $O$  s.t. every 3-orbifold commensurable with  $M$  finitely covers  $O$ .

The usual definition is in terms of

number fields, quaternion algebras and orders

or

integral points in algebraic subgroups of semi-simple Lie groups.

Let  $g, h$  be non-commuting elements in the fundamental group of an arithmetic hyperbolic 3-manifold  $M$

Fact 1. The commensurability class of  $M$  can be recovered from  $g, h$  and  $gh$ .

Fact 2. Any Kleinian group  $\Gamma$  generated by two elements  $g$  and  $h$  has an involution

$$g \mapsto g^{-1}, \quad h \mapsto h^{-1}.$$

This is realised by an isometry of  $\Gamma \backslash \mathbb{H}^3$  with non-empty fixed-point set.

Theorem 1.3 is proved by upgrading this involution to all of  $M$ , after first passing to some commensurable orbifold  $O$ .

This  $\Rightarrow \mathbb{Z}/2 \leq \pi_1(O)$ .

With more work, we get  $\mathbb{Z}/2 \times \mathbb{Z}/2 \leq \pi_1(O)$ .

## WHERE NEXT?

Conjecture: [Lubotzky-Sarnak] Any closed hyperbolic 3-manifold has a sequence of finite covers  $M_i$  s.t.  $h(M_i) \rightarrow 0$ .

Theorem 4.1: [L] The Lubotzky-Sarnak conjecture implies that every co-compact Kleinian group containing a finite non-cyclic subgroup is **large**. Hence, the Lubotzky-Sarnak conjecture implies that every arithmetic Kleinian group is large.

Recall: a group  $\Gamma$  is **large** if some finite index subgroup has a non-abelian free quotient.

## PROOF

Let  $\Gamma =$  cocompact Kleinian subgroup, containing a finite non-cyclic subgroup. Let  $O = \Gamma \backslash \mathbb{H}^3$ .

Simplifying assumption:  $\mathbb{Z}/2 \times \mathbb{Z}/2$

2.1  $\Rightarrow$  we may pass to a finite cover  $\tilde{O}$  s.t.

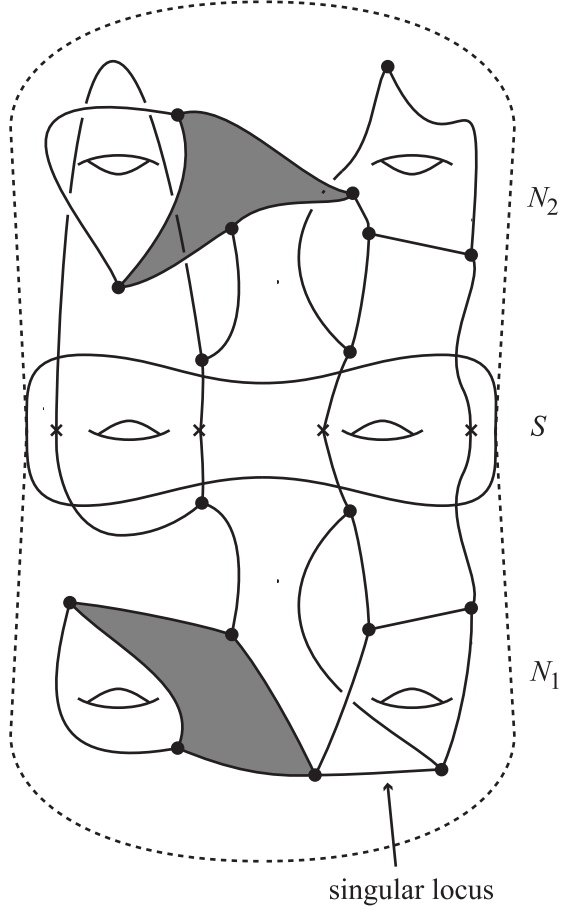
1.  $\tilde{O}$  has at least one singular vertex;
2. every arc and simple closed curve of  $\text{sing}(\tilde{O})$  has order 2;
3.  $\pi_1(|\tilde{O}|)$  is infinite

Let  $M = |\tilde{O}|$ .

2.2  $\Rightarrow M$  is hyperbolic or  $M$  has a finite cover with  $b_1 > 0$ .

LS conjecture  $\Rightarrow M$  has a sequence of finite covers  $M_i$  s.t.  $h(M_i) \rightarrow 0$ .

We get induced orbifold covers  $O_i$  of  $\tilde{O}$ , where  $|O_i| = M_i$ .





Each  $O_i$  is divided into two sub-orbifolds  $N_1$  and  $N_2$ .

We may ensure:

$$d_2(N_i) > d_2(\partial N_i) + 1, \quad i = 1, 2$$

Take 2 independent classes in  $\ker(H^1(N_1; \mathbb{Z}/2) \rightarrow H^1(\partial N_1; \mathbb{Z}/2))$

and let  $\tilde{O}_i$  be the associated 4-fold cover of  $O_i$ .

This contains 4 disjoint (possibly non-orientable) surfaces, whose union is non-separating.

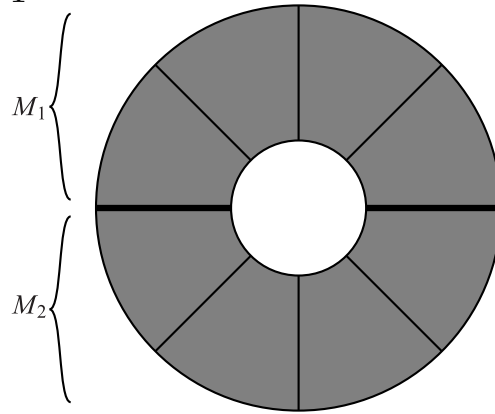
So, we get a surjection  $\pi_1(\tilde{O}_i) \rightarrow *^4(\mathbb{Z}/2)$ .

Since  $*^4(\mathbb{Z}/2)$  is virtually free non-abelian,  $\pi_1(\tilde{O}_i)$  is large.  $\square$

## APPROACHES TO THE LUBOTZKY-SARNAK CONJECTURE

Note: If  $M$  has a finite cover  $\tilde{M}_1$  with  $b_1(\tilde{M}_1) > 0$ , then it has sequence of finite covers  $\tilde{M}_i$  with  $h(\tilde{M}_i) \rightarrow 0$ .

Take cyclic covers of  $\tilde{M}_1$ :



Thus:

[Theorem 4.2:](#) [L-Long-Reid] The positive virtual  $b_1$  conjecture for closed hyperbolic 3-manifolds implies that every arithmetic Kleinian group is large.

## APPROACHES TO THE LUBOTZKY-SARNAK CONJECTURE

A group  $\Gamma$  is **LERF** if, for every finitely generated subgroup  $H \leq G$ , and for every  $g \in G - H$ , there is a finite index subgroup  $K \leq G$  s.t.  $H \leq K$  and  $g \notin K$ .

Old conjecture: Every finitely generated Kleinian group is LERF.

Topological consequence: Suppose  $\pi_1(M)$  is LERF, where  $M$  is compact. For every cover  $M_1 \rightarrow M$  where  $\pi_1(M_1)$  is finitely generated, and for every compact subset  $C \subset M_1$ , there is a finite cover  $M_2$  of  $M$  s.t.

$$M_1 \xrightarrow{p} M_2 \longrightarrow M$$

and  $p|_C$  is an embedding.

So, 2.3  $\Rightarrow$

Theorem 4.3: [L-Long-Reid] If  $M$  is a closed hyperbolic 3-manifold and  $\pi_1(M)$  is LERF, it satisfies the Lubotzky-Sarnak conjecture.