## The Poincaré Conjecture

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## Poincaré's work on Analysis Situs

In *Analysis Situs*, Poincaré initiated the modern study of topology.



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# Poincaré's work on Analysis Situs

In *Analysis Situs*, Poincaré initiated the modern study of topology.

Topology is the study of spatial objects, called 'topological spaces' or 'spaces' for short.



#### Topology

A topologist views two spaces Xand Y as the 'same' if one can be deformed into the other.



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More precisely, there is a one-one correspondence between points in X and points in Y, and this correspondence is continuous in both directions.

This correspondence is known as a *homeomorphism*.



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#### Algebraic topology

Poincaré invented algebraic topology.

Here, one seeks to understand a topological space M by associating various algebraic quantities to it.

Poincaré investigated two key algebraic structures associated to a topological space M:

- its fundamental group  $\pi_1(M)$ ,
- its homology groups  $H_0(M), H_1(M), \ldots$

(Actually, the homology groups were first studied by Betti, and their group structure wasn't identified until Noether's work.)

Pick a basepoint x in M.



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Each loop in M that starts and ends at x determines an element of  $\pi_1(M)$ .



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However, if one loop  $\ell$  can be deformed into another loop  $\ell'$ , these represent the same element of  $\pi_1(M)$ .

For example,  $\pi_1(\text{torus}) \cong \mathbb{Z} \times \mathbb{Z}$ 



#### The fundamental group of the 2-sphere

The 2-sphere has trivial fundamental group.

In other words, any loop on the sphere can be contracted to a point:



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Poincaré began to investigate the strength of these invariants, by considering various examples.

He focused mainly on manifolds.

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An *n*-dimensional manifold is a space locally homeomorphic to  $\mathbb{R}^n$ .

Example: the 2-sphere, the torus



It is natural to focus on manifolds that are *closed* - this means that they are compact and have no boundary.

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Around the time of Poincaré, closed 2-manifolds were classified:

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Around the time of Poincaré, closed 2-manifolds were classified:

Theorem: Any closed 2-manifold is one of



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or one of another list that includes the 'Klein bottle'.

#### How to build a torus

Start with a square.



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Start with a square.

Glue the left side to the right.





#### How to build a torus

Start with a square.

Glue the left side to the right.

Glue the top to the bottom



Start with the Euclidean plane  $\mathbb{R}^2.$ 

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T is the torus.



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An example of a 3-manifold is the 3-torus.



#### Inside the 3-torus



#### The 3-sphere

Another example of a 3-manifold is the 3-sphere.



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But what about the fundamental group?

#### The Poincaré Conjecture

At the end of the 5th supplement to Analysis Situs, Poincaré asks:

<sup>•</sup>Consider a compact 3-dimensional manifold V without boundary. Is it possible that the fundamental group of V could be trivial, even though V is not homeomorphic to the 3-dimensional sphere?'

This has become known as the Poincaré Conjecture.

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This has become known as the Poincaré Conjecture.

His closing words to the supplement are:

'However, this question would carry us too far away.'
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Any closed 3-manifold has a triangulation.

If we thicken up the vertices and edges, we get a 'handlebody'.

The rest is also a handlebody.

So, any closed orientable 3-manifold is obtained from two handlebodies by gluing their boundaries homeomorphically.

This is called a *Heegaard splitting*.



One can read off the fundamental group from a Heegaard splitting  $H_1 \cup H_2$ .



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So, 3-manifolds are not determined by their  $\pi_1$ .



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If K is a non-trivial knot, then in fact  $\pi_1(\mathbb{R}^3 - K) \neq \mathbb{Z}.$ 

This was proved by Christos Papakyriakopoulos in 1957.





Theorem: If M is a compact 3-manifold with boundary, then  $\pi_1(\partial M) \to \pi_1(M)$  is injective, unless there is an **embedded** disc D in M with boundary a non-trivial curve in  $\partial M$ .





### The higher-dimensional Poincaré conjecture

In 1960, Stephen Smale proved:

**Theorem:** If *M* is a (smooth) *n*-dimensional manifold that has the same fundamental group and homology groups as the *n*-sphere, then it is homeomorphic to the *n*-sphere, provided  $n \ge 5$ .



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He received a Fields Medal in 1966.

His work was built upon by Stallings, Zeeman, and Newman.



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### Geometrisation

A breakthrough came in the late 1970s.

Bill Thurston introduced his Geometrisation Conjecture.

This mostly relates to hyperbolic geometry ...



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In the *Elements*, Euclid introduced the axioms for plane geometry.

For example: between any two distinct points in the plane, there is a unique straight line.

There was one axiom that was much less obvious than the others. This is usually now stated as the *Parallel Postulate*:

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For each straight line  $\ell$ , and each point p not on  $\ell$ , there is a unique straight line  $\ell'$  through pthat is disjoint from  $\ell$ .



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Poincaré introduced a useful model for the hyperbolic plane.

It is the open unit disc.

'Straight lines' are circles and diameters that intersect the boundary circle orthogonally.



### Hyperbolic structures

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Euclidean plane quotiented out by the action of  $\mathbb{Z}\times\mathbb{Z}$  by translations



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# Hyperbolic 3-space

There is a natural generalisation of the hyperbolic plane to any dimension n.

For example, hyperbolic 3-space is the open unit ball.

Again, 'straight lines' are again circles perpendicular to the boundary sphere.

So, one can define a hyperbolic structure on a 3-manifold.



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This 'canonical decomposition' was well understood at the time, and was due to Kneser, Milnor, Jaco, Shalen and Johannson.

By 'geometric structure', we mean  $X/\Gamma$ , where X is one of 8 model geometries, and  $\Gamma$  is a group of isometries of X acting discretely and freely.

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The Poincaré Conjecture is just a special case of the Geometrisation Conjecture.

Thurston proved his Geometrisation Conjecture for many 3-manifolds, including

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- > all prime 3-manifolds M with infinite  $H_1(M)$ ;
- all knot and link complements.

For this, he received a Fields Medal in 1982.

# Inside a hyperbolic 3-manifold

The Seifert-Weber dodecahedral space



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This implies that we can assign a 'length' to each smooth path.

Hyperbolic straight lines are geodesics in this metric.

At each point x of a Riemannian manifold and for each 2-dimensional plane P in the tangent space at x, there is a notion of curvature in that plane, called the *sectional curvature* of P.

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One way of understanding curvature:



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Fire out geodesics from x of length r in the direction of the plane P.

Their endpoints form a closed curve with length L(r).



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Fire out geodesics from x of length r in the direction of the plane P.

Their endpoints form a closed curve with length L(r).

The sectional curvature of P is

$$\frac{1}{2\pi}\frac{d^3}{dr^3}L(r)\Big|_{r=0}$$



An alternative formulation of the Geometrisation Conjecture: Any closed orientable 3-manifold which satisfies some necessary topological constraints admits a Riemannian metric of constant curvature.

An approach: start with any metric on the manifold, and improve it. Hope to get a constant curvature metric or deduce that the topological hypotheses fail.

This was defined by Richard Hamilton.



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Starting with a Riemannian metric  $g_0$ , this specifies a 1-parameter family of Riemannian metrics  $g_t$ .



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Starting with a Riemannian metric  $g_0$ , this specifies a 1-parameter family of Riemannian metrics  $g_t$ .

If  $g_0$  has constant curvature, then the metrics don't change.



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Starting with a Riemannian metric  $g_0$ , this specifies a 1-parameter family of Riemannian metrics  $g_t$ .

If  $g_0$  has constant curvature, then the metrics don't change.

Starting with an arbitrary metric  $g_0$ , do the metrics  $g_t$  'tend to' a constant curvature metric?



In 1982, Hamilton proved:

**Theorem:** Any closed 3-manifold with 'positive Ricci curvature' is homeomorphic to the 3-sphere.

He used the Ricci flow.



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# Perelman's work

In 2003, Grigori Perelman announced a solution to the Geometrisation Conjecture.



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#### Perelman's work

His also work uses the Ricci flow, and employed a staggering mastery of geometric analysis. [math.DG] 10 Mar 2003

arXiv:math/0303109v1

His proof was distinctly sketchy.

Ricci flow with surgery on three-manifolds

Grisha Perelman\*

This is a technical paper, which is a continuation of [I]. Here we verify most of the assertions, mode in [I, 313]: the exceptions are (1) the statement that a 3-manifold which collapses with local lower bound for sectional curvature is a graph nanifold - 1 this is deferred to a separate paper, a the proof has nothing to do with the Ricci flow, and (2) the chain about the lower bound for the volume of the maximal horms and the smoothness of the solution from some time on, which turned out to be unjustified, and, on the other hand, irrelevant for the other conclusions.

The Ricei flow with aurgery was considered by Hamilton [H 5,45]: unformulably, list segment, as writter, contains an unjutified statement ( $R_{\rm MA,K} = T$ , on page 62, lines 7-10 from the botton), which I was unable to fix. Our approach is somewhat different, and is ainset at eventually concurrenting a canonical Ricei flow, defined on a largest possible subset of space-time, - a goal, that has not boen addived yet in the present work. For this reason, we consider two scale bounds: the cutoff radius A, which is the radius of the necks, where the surgerises are performed, and the much larger radius r, such that the solution on the scales less than r has standard geometry. The point is to make h arbitrarily small while keeping r bounded away from zero.

#### Notation and terminology

B(x,t,r) denotes the open metric ball of radius r, with respect to the metric at time t, centered at x.

 $P(x, t, r, \triangle t)$  denotes a parabolic neighborhood, that is the set of all points (x', t') with  $x' \in B(x, t, r)$  and  $t' \in [t, t + \triangle t]$  or  $t' \in [t + \triangle t, t]$ , depending on the sign of  $\triangle t$ .

A ball  $B(x, t, e^{-1}r)$  is called an  $\epsilon$ -neck, if, after scaling the metric with factor  $r^{-2}$ , it is  $\epsilon$ -close to the standard neck  $\mathbb{S}^{2} \times \mathbb{I}$ , with the product metric, where  $\mathbb{S}^{2}$ has constant scalar curvature one, and  $\mathbb{I}$  has length  $2e^{-1}$ ; here  $\epsilon$ -close refers to  $\mathbb{C}^{N}$  topology, with  $N > e^{-1}$ .

A parabolic neighborhood  $P(x, t, e^{-1}r, r^2)$  is called a strong e-neck, if, after scaling with factor  $r^{-2}$ , it is e-close to the evolving standard neck, which at each

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<sup>\*</sup>St.Petersburg branch of Steklov Mathematical Institute, Fontanka 27, St.Petersburg 191011, Russia. Email: perelman@pdmi.ras.ru or perelman@math.sunysb.edu

After several years of intensive study, his arguments were agreed to be essentially correct.

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Terence Tao:

'it is now certain that Perelman's orginal argument was indeed essentially complete and correct in every important detail'

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Perelman was awarded a Fields Medal in 2006 and the Clay Millenium Prize in 2010.

#### Dimension 4

In 1982, Mike Freedman proved:

**Theorem.** Any closed 4-manifold with the same fundamental group and homology groups as the 4-sphere is homeomorphic to the 4-sphere.



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He received a Fields Medal in 1986.



We still don't really understand dimension 4.

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**Question.** Must any closed smooth 4-manifold with the same fundamental group and homology groups as the 4-sphere be diffeomorphic to the 4-sphere?

This is the *Smooth 4-dimensional Poincaré Conjecture*.

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Figure 8: The two component cocore link with m - 1.

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This is the *Smooth 4-dimensional Poincaré Conjecture*.

There are many potential counterexamples.

But we can't prove that they are counterexamples!



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