# On the finite axiomatizability of some metabelian profinite groups

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A group G is finitely axiomatizable (FA) in a class C if G satisfies a firstorder sentence  $\sigma$  such that every C group satisfying  $\sigma$  is isomorphic to G. Some examples of this phenomenon where C consists of profinite groups were discussed in [NST]; one of the questions raised in that paper was: are finitely generated free pro-p groups FA, in either of the classes profinite groups, pro-p groups? This is still unknown a small star in that dissection is the following:

This is still unknown; a small step in that direction is the following:

**Theorem 1** Each f.g. free metabelian pro-p group on at least two generators is FA in the class of all profinite groups.

The proof depends on

**Theorem 2** For each  $m, d \ge 1$  the profinite wreath  $productW_{m,d} = \mathbb{Z}_p^{(m)} \overline{\mathbb{Z}}_p^{(d)}$  is FA in the class of profinite groups.

Here,

$$W_{m,d} = \underline{\lim}_{n \to \infty} C_{p^n}^{(m)} \wr C_{p^n}^{(d)}.$$

The analogue in the class of abstract groups of Theorem 1 was recently established by Kharlampovich, Miasnikov and Sohrabi; they deduce it from the stronger result that a free metabelian group is bi-interpretable with  $\mathbb{Z}$  ([KMS] Theorem 30). The proof of this is quite elaborate; it seems plausible that the analogue should hold for free metabelian pro-p groups and  $\mathbb{Z}_p$ , but this seems quite difficult.

Facts from Sections 2 and 5 of [NST] will be used without special mention. We also often use the fact  $\mathcal{H}$ : every finitely generated profinite group is Hopfian, that is, each surjective endomorphism is an isomorphism.

Logical terms ('formula', 'sentence') all refer to the ordinary first-order language of group theory. As discussed in [NST], 'isomorphism' for profinite groups will mean 'continuous isomorphism' (among finitely generated profinite groups these are actually equivalent, for non-trivial reasons).

## 1 A reduction

A subgroup H of a profinite group G is *definably closed* if there is a formula  $\phi(x)$  such that

(i) for every profinite group P, the subset

$$\phi(P) := \{ s \in P \mid P \models \phi(s) \}$$

is a closed subgroup, and

(ii)  $H = \phi(G)$ .

**Proposition 3** Let G be a pro-p group. Suppose that G has a definably closed abelian normal subgroup  $A \neq 1$  of infinite index such that  $A = C_G(a)$  for each  $1 \neq a \in A$ . Then G satisfies a sentence  $\chi$  such that for any profinite group H, if  $H \models \chi$  then H is a pro-p group.

For the proof, we combine Lemmas 4.5 and 4.6 of [S] to obtain

**Lemma 4** Let  $\Gamma$  be a profinite group and A a profinite  $\Gamma$ -module such that

for 
$$a \in A$$
,  $x \in \Gamma$ ,  $ax = a \Longrightarrow (a = 0 \lor x = 1)$ , (1)

$$pA + A(\Gamma - 1) < A,\tag{2}$$

$$\bigcap_{1 \neq x \in \Gamma} A(x-1) = 0.$$
(3)

Then both  $\Gamma$  and A are pro-p groups.

Now set  $\Gamma = G/A$  in Proposition 3. Then the conditions (1), (2) and (3) are satisfied (see the Remark following Lemma 4.6 in [S]). So G satisfies a sentence  $\alpha$  such that for any profinite group H satisfying  $\alpha$ , H has a closed, definable abelian normal subgroup B, and each of (1), (2) and (3) holds with B for A and H/B for  $\Gamma$ . It follows by the preceding lemma that H is a pro-p group.

## 2 Ring lemmas

**Lemma 5** Let  $R = \mathbb{Z}_p[[\zeta]]$  and  $M = R^{(m)}$ , a free *R*-module of rank  $m \ge 1$ . Let *K* be an *R*-submodule of *M* such that

- $M/(K+M\zeta) \cong \mathbb{Z}_p^{(m)}$
- $a\zeta \in K \implies a \in K \text{ for all } a \in M.$

Then K = 0.

**Proof.** The quotient map  $M \to M/K$  induces an epimorphism

$$\mathbb{Z}_p^{(m)} \cong M/M\zeta \to M/(K+M\zeta) \cong \mathbb{Z}_p^{(m)}.$$

This must be an isomorphism (by  $\mathcal{H}$ ), so  $K \subseteq M\zeta$ . Thus  $K = K \cap M\zeta = K\zeta$ , which implies that  $K = \bigcap_{n \in \mathbb{N}} K\zeta^n = 0$ .

Let  $R = \mathbb{Z}_p[[\zeta_1, \ldots, \zeta_d]]$ . Let us call the tuple  $(\zeta_1, \ldots, \zeta_d)$  a base for R (together with p it forms a particular kind of system of parameters for the local ring R). The set of all such bases is denoted  $\mathcal{B}(R)$ , and for i < d set

$$\mathcal{B}_i(R) = \{ (\zeta_1, \dots, \zeta_i) \mid (\zeta_1, \dots, \zeta_d) \in \mathcal{B}(R) \text{ for some } \zeta_{i+1}, \dots, \zeta_d \}.$$

Let  $\mathcal{X}_i \subseteq \mathcal{B}_i(R)$  for  $1 \leq i < d$ . I will call the sequence  $(\mathcal{X}_1, \ldots, \mathcal{X}_{d-1})$  rich if  $\mathcal{X}_1$  contains infinitely many pairwise non-associate elements, and for i > 1 and each fixed  $(\zeta_1, \ldots, \zeta_{i-1}) \in \mathcal{X}_{i-1}$ , there are infinitely many distinct ideals of the form

$$\zeta_1 R + \dots + \zeta_i R$$

with  $(\zeta_1, \ldots, \zeta_i) \in \mathcal{X}_i$ .

**Lemma 6** Assume that  $d \ge 2$ . For  $1 \le i < d$  let  $\mathcal{X}_i$  be a subset of  $\mathcal{B}_i(R)$  such that  $(\mathcal{X}_1, \ldots, \mathcal{X}_{d-1})$  forms a rich sequence. Then for  $1 \le i < d$  we have

$$D_i := \bigcap_{\overline{\zeta} \in \mathcal{X}_i} (\zeta_1 R + \dots + \zeta_i R) = 0.$$

**Proof.** Suppose first that i = 1. As  $\zeta_1$  ranges over  $\mathcal{X}_1$ ,  $\zeta_1 R$  ranges over an infinite set of prime ideals of height 1 in the Noetherian integral domain R, which forces  $D_1 = 0$ . (This step isn't really necessary: we could allow i = 1 in the following argument; but this way may be less confusing.)

Now let i > 1 and fix  $(\zeta_1, \ldots, \zeta_{i-1}) \in \mathcal{X}_{i-1}$ . Set

$$Y = \{\zeta_i \in R \mid (\zeta_1, \dots, \zeta_i) \in \mathcal{X}_i.$$

Writing  $\pi: R \to \widetilde{R} = R/(\zeta_1 R + \dots + \zeta_{i-1} R)$  we have

$$D_i \pi \subseteq \bigcap_{\zeta_i \in Y} (\zeta_1 R + \dots + \zeta_i R) \pi$$
$$= \bigcap_{\zeta_i \in Y} \widetilde{\zeta}_i \widetilde{R}.$$

This is the intersection of an infinite set of prime ideals of height 1 in the Noetherian integral domain  $\widetilde{R}$ . It follows that  $D_i \pi = 0$ , and hence that  $D_i \subseteq \zeta_1 R + \cdots + \zeta_{i-1} R$ . As  $(\zeta_1, \ldots, \zeta_{i-1})$  ranges over  $\mathcal{X}_{i-1}$  these ideals intersect in  $D_{i-1}$ .

The result follows by induction.  $\blacksquare$ 

**Corollary 7** Suppose  $R = \mathbb{Z}_p[[X]]$  where X is the free abelian pro-p group on  $x_1, \ldots, x_d$  and  $d \ge 2$ . Let  $\mathcal{C} \subseteq X^{(d)}$  denote the set of all bases for X. Then

$$\bigcap_{\mathbf{y}\in\mathcal{C}} ((y_1 - 1)R + \dots + (y_{d-1} - 1)R)) = 0.$$

**Proof.** The ring R is equal to  $\mathbb{Z}_p[[\xi_1, \ldots, \xi_d]]$  where  $\xi_i = x_i - 1$  ([DDMS] Thm. 7.20). Set

$$\mathcal{X} = \{(y_1 - 1, \dots, y_d - 1) \mid (y_1, \dots, y_d) \in \mathcal{C}\}$$

and let  $\pi_i : \mathbb{R}^{(d)} \to \mathbb{R}^{(i)}$  denote the projection to the first *i* factors. Then

$$(\mathcal{X}\pi_1,\ldots,\mathcal{X}\pi_{d-1})$$

is a rich sequence. To see this, note that for i < d and  $(y_1, \ldots, y_{i-1}) \in C\pi_{i-1}$ , the group  $\widetilde{X} = X/\overline{\langle y_1, \ldots, y_{i-1} \rangle}$  is free abelian of rank at least 2, and  $\mathbb{Z}_p[[\widetilde{X}]]$ is naturally identified with  $R/\sum_{j=1}^{i-1}(y_j-1)R$ . Now  $\widetilde{X}$  has infinitely many 1generator direct factors  $\overline{\langle \widetilde{y} \rangle}$ , giving rise to infinitely many distinct augmentation ideals  $(\widetilde{y}-1)\mathbb{Z}_p[[\widetilde{X}]]$ , the required condition for a rich sequence. The corollary now follows from the lemma with i = d - 1.

## 3 Wreath products

Now we prove Theorem 2.

 $W := W_{m,d} = M \rtimes X$  where  $X = \overline{\langle x_1, \ldots, x_d \rangle}$  say is a free abelian prop group and the X-module M is isomorphic to  $R^{(m)}$  where  $R = \mathbb{Z}_p[[X]] = \mathbb{Z}_p[[\xi_1, \ldots, \xi_d]]$ , writing  $\xi_i = x_i - 1$ .

Taking G = W and A = M in Proposition 3, we see that W satisfies a sentence  $\chi$  such that every profinite group satisfying  $\chi$  is a pro-p group. So it will suffice to show that W is FA in the class of pro-p groups.

Assume to begin with that d = 1, and write  $x = x_1$  etc.

Say  $M = a_1 R \oplus \cdots \oplus a_m R$ . Then W satisfies a sentence  $\Psi(\mathbf{a}, x)$  asserting the following (within the class of pro-*p* groups):

- The set  $\{a_1, \ldots, a_m, x\}$  generates W
- $\overline{\langle x \rangle} = \mathcal{C}_W(x) \cong \mathbb{Z}_p,$
- $C_W(a_1) = \ldots = C_W(a_m) := M$ , say,
- M is abelian and normal in W,
- $M/[M, x] \cong \mathbb{Z}_p^{(m)}$ ,
- $M \cap C_W(x) = 1.$

(In [NST], §5.1 and §5.4, it is explained how these are expressed in first-order language.)

Now suppose that G is a pro-p group and that  $G \models \Psi(\mathbf{b}, y)$  for some  $b_1, \ldots, b_m, y \in G$ . Write B for the (topological) normal closure of  $\{b_1, \ldots, b_m\}$  and set  $Y = \langle y \rangle$ . Then  $Y = C_G(y) \cong \mathbb{Z}_p$ , B is an abelian normal subgroup contained in  $C_G(b_i)$  for each i, and G = BY. It follows that  $C_G(b_i) = B.C_Y(b_i) = B$  for each i, because  $C_G(b_i) \cap C_G(y) = 1$ . Thus  $G = B \rtimes Y$ . We consider B as an R-module via  $x \mapsto y$ , and then  $B = b_1R + \cdots + b_mR$ .

Let K be the kernel of the epimorphism  $M \to B$  that sends  $a_i$  to  $b_i$  for each *i*. The sentence  $\Psi(\mathbf{b}, y)$  implies that  $B/B\xi \cong \mathbb{Z}_p^{(m)}$  and that  $b\xi = 0 \implies b = 0$ . It follows that K satisfies the hypotheses of Lemma 5, and so K = 0. Thus B is free of rank m as a module for  $\mathbb{Z}_p Y$ , and so  $G \cong W$ .

Thus  $W_{m,1}$  is FA in pro-*p* groups. Suppose now that  $d \ge 2$ , and  $W = W_{m,d}$ . The subgroups X and M are definable by

$$X := \mathcal{C}_W(x_1) = \ldots = \mathcal{C}_W(x_d) \tag{4}$$

$$M := \mathcal{C}_W(a_1) = \ldots = \mathcal{C}_W(a_m). \tag{5}$$

Let  $\Phi(\mathbf{a}, \mathbf{x})$  be a first-order formula which asserts (for the pro-*p* group *W*) that (4) and (5) hold and

$$X = \overline{\langle x_1, \dots, x_d \rangle} \cong \mathbb{Z}_p^{(d)},$$
$$W = \overline{\langle a_1, \dots, a_m, x_1, \dots, x_d \rangle}$$
$$[M, M] = 1, \quad M \lhd W$$

Suppose that G is a pro-p group and  $G \models \Phi(\mathbf{b}, \mathbf{y})$  for some  $b_i, y_j \in G$ . There is an epimorphism  $\phi_{\mathbf{b},\mathbf{y}} : W \to G$  sending  $\mathbf{a}, \mathbf{x}$  to  $\mathbf{b}, \mathbf{y}$  respectively. Then  $Y = \overline{\langle y_1, \ldots, y_d \rangle} \cong \mathbb{Z}_p^d \cong X$ , so  $\phi$  induces an isomorphism from X to Y (in view of  $\mathcal{H}$ ), and so  $K_{\mathbf{b},\mathbf{y}} := \ker \phi_{\mathbf{b},\mathbf{y}} \leq M$ .

Suppose that  $(t_1, \ldots, t_d)$  is a basis for X. Denote the (topological) normal closure of  $\{t_1, \ldots, t_{d-1}\}$  in W by  $N_t$ . So

$$N_{\mathbf{t}} = [M, t_1] \dots [M, t_{d-1}] \overline{\langle t_1, \dots, t_{d-1} \rangle},$$

and it is easy to see that

$$g \in N_{\mathbf{t}} \iff [W,g] \subseteq [M,t_1] \dots [M,t_{d-1}]$$

Thus  $N_{\mathbf{t}}$  is definable by a formula  $\nu(t_1, \ldots, t_d)$ , so by the first case, there is a formula  $\Upsilon(t_1, \ldots, t_d)$  which asserts that  $W/N_{\mathbf{t}} \cong W_{m,1}$ ; this statement is true whenever  $(t_1, \ldots, t_d)$  is a basis for X.

Finally, let  $\Theta$  be the sentence asserting, for a pro-*p* group *G*, that there exist  $b_1, \ldots, b_m, y_1, \ldots, y_d \in G$  such that (a)  $G \models \Phi(\mathbf{b}, \mathbf{y})$  and (b) for each tuple  $(s_1, \ldots, s_d)$  that generates  $Y := C_G(y_1), G \models \Upsilon(\mathbf{s})$ .

We have seen that W satifies  $\Theta$ . Suppose that the pro-p group G satisfies  $\Theta$ . Then  $\phi := \phi_{\mathbf{b},\mathbf{y}}$  maps W onto G and X onto Y. Let  $\mathbf{t}$  be a basis for X and set  $\mathbf{s} = \mathbf{t}\phi$ . Then  $N_{\mathbf{t}}\phi \leq N_{\mathbf{s}}$ , so we have an induced epimorphism  $\phi^* : F/N_{\mathbf{t}} \to G/N_{\mathbf{s}}$ . Now  $\Upsilon(\mathbf{s})$  asserts that  $G/N_{\mathbf{s}} \cong W_{m,1} \cong F/N_{\mathbf{t}}$ , and it follows by  $\mathcal{H}$  that  $K_{\mathbf{b},\mathbf{y}} \leq N_{\mathbf{t}}$ . We know that  $K_{\mathbf{b},\mathbf{y}} \leq M$ , and so

$$K_{\mathbf{b},\mathbf{y}} \le M \cap N_{\mathbf{t}} = \sum_{i=1}^{d-1} M(t_i - 1).$$

Corollary 7 shows that as **t** ranges over all bases for X, these modules intersect in zero. It follows that  $K_{\mathbf{b},\mathbf{y}} = 1$ , and so  $G \cong W$ .

### 4 Free metabelian groups

 $F = F_d$  is a free metabelian pro-*p* group on  $d \ge 2$  generators  $g_1, \ldots, g_d$ . We set  $x_i = g_i F', X = F/F' = \langle x_1, \ldots, x_d \rangle$  and A = F'. Then A is a module for the completed group algebra  $R = \mathbb{Z}_p[[X]]$ . For  $1 \le j \le d$  set  $X_j = \langle x_1, \ldots, x_j \rangle$  and  $R_j = \mathbb{Z}_p[[X_j]]$ .

Note that  $R_j$  is equal to the power series ring  $\mathbb{Z}_p[[\xi_1, \ldots, \xi_j]]$  where  $\xi_i = x_i - 1$  for each *i* ([DDMS] Thm. 7.20). Thus it is a regular local ring of dimension 1 + j.

Write  $\Delta_{ji} = \sum_{l=1}^{i} \xi_l R_j$ ; the unique maximal ideal of  $R_j$  is  $\mathfrak{m}_j = pR_j + \Delta_{jj}$ . Recall that if  $G = \overline{\langle h_1, \ldots, h_d \rangle}$  is a pro-*p* group then  $G' = [h_1, G] \ldots [h_d, G]$ ,

a definably closed normal subgroup. In particular, A is definably closed in F.

We will often use the 'Jacobi identity' for metabelian groups,

$$[a, b, c][b, c, a][c, a, b] = 1;$$

this follows at once from the Hall-Witt identity when the derived group is abelian.

Putting  $u_{ij} = [g_i, g_j]$  we have

**Proposition 8** Each element of A is uniquely expressible as

$$a = \sum_{1 \le i < j \le d} u_{ij} r_{ij} \tag{6}$$

with  $r_{ij} \in R_j$  for each i and j.

**Proof.** The analogue of this result for the abstract free metabelian group,  $F_0$  say, is established in [MR], section 6 (cf. also [B], [BR]). The *existence* of a representation (6), also in the pro-*p* case, is easily deduced from the Jacobi identity. The proof of *uniqueness* explained in [MR] uses Fox derivatives; these induce mappings  $d_j: F'_0 \to \mathbb{Z}(F_0^{ab})$  which are  $F_0$ -module homomorphisms and satisfy

$$d_{j}(u_{ik}) = \begin{cases} 0 & j \neq i, k \\ x_{k} - 1 & j = i \\ 1 - x_{i} & j = k \end{cases}$$

It is easy to verify that each  $d_j$  extends by continuity to an *R*-module homomorphism from *A* to *R*, noting that *A* is the completion of  $F'_0$  w.r.t. the *I*-adic topology where *I* is the ideal of  $\mathbb{Z}(F_0^{ab})$  generated by *p* and  $\xi_1, \ldots, \xi_d$ , while *R* is the completion of  $\mathbb{Z}(F_0^{ab})$  w.r.t. the *I*-adic topology.

Now suppose that a in (6) is equal to 0. We have to show that each  $r_{ij}$  is zero. Arguing by induction on d, we may suppose that  $r_{ij} = 0$  for all i < j < d. Then for  $1 \le j < d$  we have

$$0 = d_j(a) = (x_d - 1)r_{jd},$$

and the result follows.  $\blacksquare$ 

The uniqueness of expression in (6) implies in particular that  $ax_1 \neq a$  if  $a \neq 0$ . It follows by symmetry that  $ax_d \neq a$  if  $a \neq 0$ , so the mapping  $a \mapsto a\xi_d$  is injective. Noting that for i < j < d we have  $u_{ij}\xi_d = u_{id}\xi_j - u_{jd}\xi_i$ , we see that A embeds in a free submodule:

#### **Corollary 9**

$$A \cong A\xi_d \le \bigoplus_{i=1}^{d-1} u_{id}R.$$

It follows in turn that  $0 \neq a \in A$  implies  $A = C_F(a)$ . So we may apply Proposition 3 to find a sentence  $\chi$ , satisfied by F, such that every profinite group satisfying  $\chi$  is a pro-p group. Thus to complete the proof of Theorem 1 it will suffice to show that F is FA in the class of pro-p groups.

Now set

$$C = C_{\mathbf{g}} = C_F(g_d)$$
  

$$H = H_{\mathbf{g}} = AC$$
  

$$B = B_{\mathbf{g}} = A\xi_1 + \dots + A\xi_{d-1}$$
  

$$Z = Z_{\mathbf{g}} = \{a \in A \mid a\xi_d \in B\}$$

(If d = 2, this means that Z = B.)

It follows from Proposition 8 that

$$A/B = Z/B \oplus (D+B)/B$$

where

$$D = \bigoplus_{i=1}^{d-1} u_{id}R,$$

and that  $D \cap B = \bigoplus_{i=1}^{d-1} u_{id}(R\xi_1 + \dots + R\xi_{d-1})$ . This implies that

$$A/Z \cong (D+B)/B \cong D/(D \cap B) \cong S^{(d-1)}$$

where  $S = \mathbb{Z}_p[[\overline{\langle x_d \rangle}]].$ 

Also  $C = \overline{\langle g_d \rangle}$ . Thus

$$\frac{H}{Z} = \frac{A}{Z} \overline{\langle g_d \rangle} \cong \mathbb{Z}_p^{(d-1)} \overline{\langle} \mathbb{Z}_p = W_{d-1,1},$$

the wreath product discussed above.

Now all the subgroups mentioned, with the possible exception of D, are definable relative to the parameters  $(g_1, \ldots, g_d)$ . In view of Theorem 2, there is a formula  $\Omega(t_1, \ldots, t_d)$  such that  $F \models \Omega(\mathbf{g})$  expresses the fact that  $C_{\mathbf{g}} = \overline{\langle g_d \rangle}$  and  $H_{\mathbf{g}}/Z_{\mathbf{g}} \cong W_{d-1,1}$ .

Let  $\mu$  be a sentence asserting for a pro-p group G that G is metabelian and that  $G/\gamma_3(G) \cong F/\gamma_3(F)$  (recall that  $F/\gamma_3(F)$  is FA in pro-p groups by [NST], Theorem 5.15). In particular, if  $G \models \mu$  then G is generated by d elements.

Suppose now that G is a pro-p group and that G satisfies

$$\mu \wedge (\forall t_1, \ldots, t_d) \left( \beta_d(t_1, \ldots, t_d) \to \Omega(t_1, \ldots, t_d) \right)$$

where  $G \models \beta_d(t_1, \ldots, t_d)$  iff  $G = \overline{\langle t_1, \ldots, t_d \rangle}$ . Let  $\theta : F \to G$  be an epimorphism and set  $K = \ker \theta$ .

The induced epimorphism  $F/\gamma_3(F) \to G/\gamma_3(G)$  is an isomorphism by  $\mathcal{H}$ , so  $K \leq \gamma_3(F) = [A, F]$ .

Let  $t_i = g_i \theta$  for each *i*. Then  $H_{\mathbf{g}} \theta = H_{\mathbf{t}}$  and  $Z_{\mathbf{g}} \theta \subseteq Z_{\mathbf{t}}$ , so  $\theta$  induces an epimorphism  $\theta^* : H/Z \to H_{\mathbf{t}}/Z_{\mathbf{t}}$ . Since  $t_1, \ldots, t_d$  generate  $G, G \models \Omega(\mathbf{t})$ , so  $H_{\mathbf{t}}/Z_{\mathbf{t}} \cong W_{d-1,1} \cong H/Z$ , whence  $\theta^*$  is an isomorphism (by  $\mathcal{H}$ ); since  $K \leq [A, F] \leq H$  it follows that  $K \leq Z$ . Thus

$$K \le Z \cap [A, F] = Z \cap (B + A\xi_d) \le Z \cap D \le B = B_{\mathbf{g}}.$$

This holds irrespective of the chosen basis **g** for F. Now Corollaries 9 and 7 together show that as **g** runs over all such bases, the submodules  $B_{\mathbf{g}}$  interesct in zero. Thus K = 1, and so  $G \cong F$ .

This completes the proof of Theorem 1.

## 5 The case d = 2

There is a much simpler proof when d = 2. Assume now that F is the free metabelian pro-p group on generators g, h. Adapting the notation of the preceding section, write A = F', x = Ag, y = Ah, u = [g,h],  $R = \mathbb{Z}_p[[F/F']] = \mathbb{Z}_p[[\xi,\eta]]$  where  $\xi = x - 1$ ,  $\eta = y - 1$ . Thus

$$A = uR \cong R$$

by Proposition 8, and

$$\gamma_3(F) = [A, g][A, h]$$
$$= A\xi + A\eta$$

using additive notation for the F/F'-module A. Set

$$H = F/\gamma_3(F),$$

this is the free class-2 nilpotent pro-*p* group (the Heisenberg group over  $\mathbb{Z}_p$ ).

Let  $\Psi(s,t)$  be a first-order formula such that for a pro-*p* group *G* and *s*,  $t \in G$ ,  $G \models \Psi(s,t)$  if and only if

- 1. s and t generate G
- 2. B := [G, s][G, t] is abelian (recall that given 1., B is in fact the derived group of G)
- 3.  $G/[B,s][B,t] \cong H$  (note that given **2.**,  $[B,s][B,t] = \gamma_3(G)$ )

4. For  $a, b \in B$ ,

$$[a,s][b,t] = 1 \iff a = [c,t] \land b = [c^{-1},s]$$
 for some  $c \in B$ .

(Here we use the fact that H is FA in the class of pro-p groups, a special case of [NST], Theorem 5.15.)

Now I claim (a) F satisfies  $\Psi(g, h)$  and (b) if G is a pro-p group G,  $s, t \in G$ , and  $G \models \Psi(s, t)$  then  $F \cong G$  by a map sending g to s and h to t.

This shows that F is FA in the class of pro-p groups; as above we quote Proposition 3 to infer that F is FA in the class of all profinite groups.

*Proof of* (a). Only condition **4.** needs comment. Writing A additively, this asserts for  $a, b \in A$  that

$$a\xi + b\eta = 0 \iff a = c\eta \wedge b = -c\xi$$
 for some  $c \in A$ .

As  $A \cong R$ , this follows from the fact that  $R\xi \cap R\eta = R\xi\eta$  (while neither of  $\xi$ ,  $\eta$  is a zero-divisor).

Proof of (b). Now G is a metabelian pro-p group generated by s and t, so there exists an epimorphism  $\theta: F \to G$  with  $g\theta = s$  and  $h\theta = t$ . In view of  $\mathcal{H}$ , Condition **3.** implies that the induced epimorphism  $F/\gamma_3(F) \to G/\gamma_3(G)$  is an isomorphism. It follows that ker  $\theta := K$  is contained in  $\gamma_3(F) = [A, g][A, h]$ .

Suppose now that  $w = [a, g][b, h] \in K$ . Then

$$1 = [a, g][b, h]\theta = [a', s][b', t]$$

where  $a' = a\theta$ ,  $b' = b\theta \in A\theta = B$ . According to **4.**, there exists  $c' \in B$  such that a' = [c', t] and  $b' = [c'^{-1}, s]$ . Say  $c' = c\theta$  for some  $c \in A$  (this exists because  $\theta$  maps A onto B). Then

$$a = [c, h]w_1, \ b = [c^{-1}, g]w_2$$

with  $w_1, w_2 \in K$ . Thus translating into additive notation we have

$$w = [[c, h]w_1, g][[c^{-1}, g]w_2, h]$$
  
=  $(c\eta + w_1)\xi + (-c\xi + w_2)\eta$   
=  $w_1\xi + w_2\eta$ .

It follows that  $K \subseteq [K, F]$ . As F is a pro-p group this forces K = 1, so  $\theta$  is an isomorphism as required.

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