# CAT L4: Quantum Non-Locality and Contextuality

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Direct path from sheaf theory to computing global sections using Mathematica<sup>TM</sup>!





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Can we tell from this observational history if there is interference/dependence between different parts of the system?

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Spin can be measured in any direction; so there are a continuum of possible measurements. There are **two possible outcomes** for each such measurement; spin in the specified direction, or in the opposite direction. These two directions are represented by a pair of orthogonal vectors. They are represented on the sphere as a pair of **antipodal points**.

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Note the appearance of **quantization** here: there are not a continuum of possible outcomes for each measurement, but only two!

# The Stern-Gerlach Experiment



Bell state:

EPR state:



Bell state:



Compound systems are represented by **tensor product**:  $\mathcal{H}_1 \otimes \mathcal{H}_2$ . Typical element:

$$\sum_i \lambda_i \cdot \phi_i \otimes \psi_i$$

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#### Bell's theorem: QM is essentially non-local.

Example: The Bell Model

| А              | В                     | (0,0) | (1,0) | (0,1) | (1, 1) |  |
|----------------|-----------------------|-------|-------|-------|--------|--|
| $a_1$          | $b_1$                 | 0     | 1/2   | 1/2   | 0      |  |
| $a_1$          | <i>b</i> <sub>2</sub> | 3/8   | 1/8   | 1/8   | 3/8    |  |
| a <sub>2</sub> | $b_1$                 | 3/8   | 1/8   | 1/8   | 3/8    |  |
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### Structural properties of probability tables

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Is is, famously, **not** satisfied by QM (Bell's theorem).

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Moreover, as we shall see, there are probability tables for which, as a mathematical fact, there is **no** consistent extension to a joint distribution on outcomes; so we must consider certain combinations of measurements as not jointly performable **in principle**, under any physical theory whatever.

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The measurement contexts are

 $\{a,b\}, \quad \{a',b\}, \quad \{a,b'\}, \quad \{a',b'\}.$ 

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Each row of the table specifies a **probability distribution** on events  $O^C$  for a given choice of measurements C.

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For each set of measurements  $U \subseteq X$ , we define  $\mathcal{D}_R \mathcal{E}(U)$  to be the set of probability distributions on events  $s : U \to O$ . Such an event specifies that outcome s(m) occurs for each measurement  $m \in U$ .

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$$\mathcal{D}_R \mathcal{E}(U') o \mathcal{D}_R \mathcal{E}(U) :: d \mapsto d | U,$$

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Mathematical notes: (i) This is functorial, hence defines a presheaf. (ii) We could vary *R*.

Corresponding to the choices of measurements by agents, or more generally to the idea that it may not be possible to perform all measurements together, we consider a **measurement structure**  $\mathcal{M}$ : a family of subsets of X which covers X,  $\bigcup \mathcal{M} = X$ .

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Thus each  $e_C$  is a probability distribution on the row indexed by C; it specifies a probability for the events corresponding to the observation of an outcome for each measurement in C.

We shall consider models  $\{e_C \mid C \in \mathcal{M}\}$  which are **compatible** in the sense of agreeing on overlaps: for all  $C, C' \in \mathcal{M}$ ,

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E.g. in the bipartite case, consider  $C = \{m_a, m_b\}$ ,  $C' = \{m_a, m'_b\}$ . Fix  $s_0 \in \mathcal{E}(\{m_a\})$ . Compatibility implies

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In other words, Bob's choice of measurement cannot influence Alice's outcome.

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Note that  $s \in \mathcal{E}(X) := O^X$  specifies an outcome for every measurement simultaneously, independent of the measurement context.

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If *d* is a global section for the model  $\{e_C\}$ , we recover the predictions of the model by **averaging over the values of these hidden variables**:

$$e_{C}(s) = d|C(s) = \sum_{s' \in \mathcal{E}(X), s'|C=s} d(s') = \sum_{s' \in \mathcal{E}(X)} \delta_{s'|C}(s) \cdot d(s').$$

Note also that this is a **local** model:

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#### Hence:

No such h.v. model exists (the empirical model is **non-local/contextual**) IFF there is an **obstruction to the existence of a global section** 

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Incidence matrix for (2, 2, 2) is  $16 \times 16$ .

## The (2, 2, 2) Incidence Matrix

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| 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|-----|
| 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0   |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0   |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1   |
| 1 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0   |
| 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0   |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 0   |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1   |
| 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0   |
| 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0   |
| 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0   |
| 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1   |
| 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0   |
| 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0   |
| 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0   |
| 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 . |
|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |     |

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| ĺ | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| İ | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| I | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 |
| I | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 0 |
| I | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1 |
| I | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| I | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 |
| I | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| I | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| I | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| I | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 |
|   | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 |
| Į | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 |

This matrix has rank 9.

A model *e* determines a vector  $\mathbf{V} = [e(s_1), \dots, e(s_p)]$ .

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Hence solutions correspond exactly to global sections — which as we have seen, correspond exactly to local hidden-variable realizations!

#### The Bell Model

### The Bell Model

|                         | (0,0) | (1,0) | (0,1) | (1, 1) |  |
|-------------------------|-------|-------|-------|--------|--|
| ( <i>a</i> , <i>b</i> ) | 0     | 1/2   | 1/2   | 0      |  |
| (a',b)                  | 3/8   | 1/8   | 1/8   | 3/8    |  |
| (a, b')                 | 3/8   | 1/8   | 1/8   | 3/8    |  |
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Solutions in the non-negative reals: this corresponds to solving the linear system over  $\mathbb{R}$ , subject to the constraint that  $X \ge 0$  (linear programming problem).

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Adding the last three equations yields

$$X_2 + X_3 + X_4 + X_6 + X_9 + X_{10} + 2X_{11} + X_{12} + X_{13} + X_{14} + X_{15} = 3/8.$$

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| $X_9$                 | + | $X_{10}$               | + | $X_{11}$               | + | $X_{12}$               | = | 1/2 |
|-----------------------|---|------------------------|---|------------------------|---|------------------------|---|-----|
| <i>X</i> 9            | + | <i>X</i> <sub>11</sub> | + | <i>X</i> <sub>13</sub> | + | <i>X</i> <sub>15</sub> | = | 1/8 |
| <i>X</i> <sub>3</sub> | + | $X_4$                  | + | <i>X</i> <sub>11</sub> | + | <i>X</i> <sub>12</sub> | = | 1/8 |
| $X_2$                 | + | $X_6$                  | + | <i>X</i> <sub>10</sub> | + | <i>X</i> <sub>14</sub> | = | 1/8 |

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Since all these numbers must be non-negative, the left-hand side of this equation must be greater than or equal to the left-hand side of the first equation, yielding the required contradiction.

We consider the possibilistic version of the Hardy model, specified by the following table.

|                         | (0,0) | (1, 0) | (0,1) | (1, 1) |
|-------------------------|-------|--------|-------|--------|
| ( <i>a</i> , <i>b</i> ) | 1     | 1      | 1     | 1      |
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Now we are interested in solutions over the **boolean semiring**, *i.e.* a boolean satisfiability problem. E.g. the equation specified by the first row of the incidence matrix gives the clause

$$X_1 \lor X_2 \lor X_3 \lor X_4$$

while the fifth yields the formula

$$\neg X_1 \land \neg X_3 \land \neg X_5 \land \neg X_7.$$

A solution is an assignment of boolean values to the variables which simultaneously satisfies all these formulas. Again, it is easy to see by a direct argument that no such assignment exists.

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**Proof** We focus on the four formulas corresponding to rows 1, 5, 9 and 16 of the incidence matrix:

| $X_1$      | $\vee$   | $X_2$      | $\vee$   | $X_3$         | $\vee$   | $X_4$         |
|------------|----------|------------|----------|---------------|----------|---------------|
| $\neg X_1$ | $\wedge$ | $\neg X_3$ | $\wedge$ | $\neg X_5$    | $\wedge$ | $\neg X_7$    |
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| $\neg X_4$ | $\wedge$ | $\neg X_8$ | $\wedge$ | $\neg X_{12}$ | $\wedge$ | $\neg X_{16}$ |

Since every disjunct in the first formula appears as a negated conjunct in one of the other three formulas, there is no satisfying assignment.

#### Proposition

Let V be the vector over  $\mathbb{R}_{\geq 0}$  for a probabilistic model,  $V_b$  the boolean vector obtained by replacing non-zero elements of V by 1. If MX = V has a solution over  $\mathbb{R}_{\geq 0}$ , then  $MX = V_b$  has a solution over the booleans.

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Conclusion: Bell < Hardy.

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- For each i ∈ n, m ∈ M<sub>i</sub>, and o ∈ O<sub>i</sub>, a unit vector ψ<sub>m,o</sub> in H<sub>i</sub>, subject to the condition that the vectors {ψ<sub>m,o</sub> | o ∈ O<sub>i</sub>} form an orthonormal basis of H<sub>i</sub>.

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For each choice of measurement  $\overline{m} \in M$ , and outcome  $\overline{o} \in O$ , the usual 'statistical algorithm' of quantum mechanics defines a probability  $p_{\overline{m}}(\overline{o})$  for obtaining outcome  $\overline{o}$  from performing the measurement  $\overline{m}$  on  $\rho$ :

$$p_{\overline{m}}(\overline{o}) = |\langle \psi \mid \psi_{\overline{m},\overline{o}} \rangle|^2,$$

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To compute the tensor product of vectors:

$$\sum_{i} a_i |i\rangle \otimes \sum_{j} b_j |j\rangle = \sum_{i,j} a_i b_j |ij\rangle.$$

We consider the two-qubit system, with  $X_2$  and  $Y_2$  measurement in the computational basis. We take R = 0, G = 1. The eigenvectors for  $X_1$  are taken to be

$$\sqrt{rac{3}{5}}|0
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angle, \qquad -\sqrt{rac{2}{5}}|0
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and  $p_{X_1Y_1}(RR) = 0.09$ , which is very near the maximum attainable value.

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and  $p_{X_1Y_1}(RR) = 0.09$ , which is very near the maximum attainable value. The possibilistic collapse of this model is thus a Hardy model.

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Probabilistic models have local hidden-variable realizations with negative probabilities if and only if they satisfy no-signalling.

Thus negative probabilities characterize the no-signalling rather than the quantum realm.
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#### Theorem

The linear subspace generated by the local models over an arbitrary measurement cover  $\mathcal{M}$  coincides with that generated by the no-signalling models. Their common dimension is

$$D := \sum_{U \in \mathcal{U}} (I-1)^{|U|}$$

where I = |O| and  $\mathcal{U}$  is the abstract simplicial complex generated by  $\mathcal{M}$ .

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As a special case, we derive a formula for the dimension for Bell-type (n, k, l)-scenarios:

$$D=(k\cdot(l-1)+1)^n.$$

#### Reasons

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In the case of (n, 2, 2, ) systems, this result can be visualized in terms of elegant self-similarity properties of the inductively defined incidence matrices M(n):

$$\mathbf{M}(1) = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix} \qquad \mathbf{M}(n+1) = \begin{bmatrix} \mathbf{M}(n) & \mathbf{M}(n) & 0 & 0 \\ 0 & 0 & \mathbf{M}(n) & \mathbf{M}(n) \\ \mathbf{M}(n) & 0 & \mathbf{M}(n) & 0 \\ 0 & \mathbf{M}(n) & 0 & \mathbf{M}(n) \end{bmatrix}$$

and of the probability vectors  $\boldsymbol{\mathsf{V}}$  corresponding to no-signalling models, from which it follows that

$$\operatorname{rank}(\mathbf{M}(n)) = \operatorname{rank}([\mathbf{M}(n)|\mathbf{V}]) = 3^n$$

#### Example: PR Boxes have global sections over $\ensuremath{\mathbb{R}}$

÷.

|         | (0,0) | (1, 0) | (0, 1) | (1, 1) |  |
|---------|-------|--------|--------|--------|--|
| (a, b)  | 1/2   | 0      | 0      | 1/2    |  |
| (a',b)  | 1/2   | 0      | 0      | 1/2    |  |
| (a, b') | 1/2   | 0      | 0      | 1/2    |  |
| (a',b') | 0     | 1/2    | 1/2    | 0      |  |

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The PR boxes exhibit super-quantum correlations, and cannot be realized in quantum mechanics.

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Example solution:

$$[1/2, 0, 0, 0, -1/2, 0, 1/2, 0, -1/2, 1/2, 0, 0, 1/2, 0, 0, 0].$$

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This vector can be taken as giving a **local hidden-variable realization of the PR box using negative probabilities**. Similar explicit realizations can be given for the other PR boxes.

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Equivalently, the model has no global section compatible with its support.

Note that this is a very weak requirement: just that **some** assignment is possible. The negative result is correspondingly very strong.

Given an empirical model e, we define the set

$$S_e := \{s \in \mathcal{E}(X) : \forall C \in \mathcal{M}. s | C \in \text{supp}(e_C)\}.$$

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We shall now show that the well-known GHZ models, of type (n, 2, 2) for all n > 2, are strongly contextual. This will establish a strict hierarchy

```
\mathsf{Bell} < \mathsf{Hardy} < \mathsf{GHZ}
```

of increasing strengths of obstructions to non-contextual behaviour for these salient models.





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Note that X and Y do not commute; hence according to quantum mechanics, they are **incompatible**; they cannot be measured together.

In each finite dimension n > 2 we have the GHZ state, written in the Z basis as

$$\frac{|\uparrow\cdots\uparrow\rangle+\ |\downarrow\cdots\downarrow\rangle}{\sqrt{2}}.$$

Physically, this corresponds to n particles prepared in a certain entangled state.

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If we measure each particle with a choice of X or Y observable, the probability for each outcome is given by the inner product

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This computation is controlled by the product of the  $|\downarrow\rangle$ -coefficients of the basis vectors: cyclic group generated by  $i \cong \mathbb{Z}_4$ .



## Logical Specification Of GHZ Models
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NB: a model with these properties can be realized in quantum mechanics.

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Thus for any  $Y^{(i)}$ ,  $Y^{(j)}$  assigned the **same** value, if we substitute X's in those positions they must receive **different** values. Similarly, for any  $Y^{(i)}$ ,  $Y^{(j)}$  assigned different values, the corresponding  $X^{(i)}$ ,  $X^{(j)}$  must receive the same value.

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Suppose not all  $Y^{(i)}$  are assigned the same value. Then for some i, j, k,  $Y^{(i)}$  is assigned the same value as  $Y^{(j)}$ , and  $Y^{(j)}$  is assigned a different value to  $Y^{(k)}$ . Thus  $Y^{(i)}$  is also assigned a different value to  $Y^{(k)}$ . Then  $X^{(i)}$  is assigned the same value as  $X^{(k)}$ , and  $X^{(j)}$  is assigned the same value as  $X^{(k)}$ . By transitivity,  $X^{(i)}$  is assigned the same value as  $X^{(j)}$ , yielding a contradiction.

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The remaining cases are where all Y's receive the same value. Then any pair of X's must receive different values. But taking any 3 X's, this yields a contradiction, since there are only two values, so some pair must receive the same value.

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- The same methods and structures can be applied to the study of notions of locality and contextuality in other areas, e.g. relational databases, logics of independence, social choice theory.
- Interplay between abstract mathematics, foundations of physics, and computational exploration.

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- Still, all the ideas we have discussed can be represented faithfully in quantum mechanics. Leads to some interesting developments, e.g. a **Generalized No-Signalling Theorem**.
- A unified approach to non-locality and contextuality. Kochen-Specker theorem also falls within the scope of our theory; it is exactly about the non-existence of global sections.
- The mathematical aspects can be pursued much more deeply. Opens the prospect of applying the powerful tools developed in sheaf theory to the study of quantum (and computational) foundations.
- The same methods and structures can be applied to the study of notions of locality and contextuality in other areas, e.g. relational databases, logics of independence, social choice theory.
- Interplay between abstract mathematics, foundations of physics, and computational exploration.

S. Abramsky and A. Brandenburger, The Sheaf-Theoretic Structure of Non-Locality and Contextuality. Available at arXiv:1102.0264.