

Smooth maps to the plane and Pontryagin classes

Michael Weiss
(Jt work with Rui Reis)

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Pontryagin classes

$$\text{TOP}(n) = \{\text{homeom. } \mathbb{R}^n \rightarrow \mathbb{R}^n\}$$
$$\text{O} = \bigcup \text{O}(n) , \quad \text{TOP} = \bigcup \text{TOP}(n)$$

FACT: $BO \simeq_{\mathbb{Q}} B\text{TOP}$ (Thom-Novikov, Kirby-Siebenmann), so $p_m \in H^{4m}(B\text{TOP}; \mathbb{Q})$ def'd

FACT: $BO(n) \hookrightarrow B\text{TOP}(n)$ not $\simeq_{\mathbb{Q}}$ for odd $n \gg 0$ (not expected $\simeq_{\mathbb{Q}}$ for even $n \gg 0$)

DEFINE Euler $e \in H^{2m}(B\text{STOP}(2m); \mathbb{Z})$ via Thom isom and square of Thom class.

Theorem A. $e^2 = p_m \in H^{4m}(B\text{STOP}(2m); \mathbb{Q})$.

REMARK: Hard. Analog for $BSO(2m)$ with \mathbb{Z} coeff known and easy.

REMARK. Implies $0 = p_m \in H^{4m}(B\text{TOP}(n); \mathbb{Q})$ when $n < 2m$.

We need $B\text{TOP}(n)$

Smoothing thy (esp Morlet) gives ho cartesian

$$\begin{array}{ccc} \text{Diff}_{\partial}(D^n) & \xrightarrow{\nabla} & \Omega^n \text{GL}_n(\mathbb{R}) \\ \downarrow & & \downarrow \\ \text{Homeo}_{\partial}(D^n) & \xrightarrow{\nabla} & \Omega^n \text{TOP}(n) \end{array}$$

where horiz maps given by taking “derivatives”.
 Since $\text{Homeo}_{\partial}(D^n) \simeq \star$ by Alexander, get

$$\text{Diff}_{\partial}(D^n) \simeq \Omega^{n+1}(\text{TOP}(n)/\text{O}(n))$$

CONVERSELY can use $\text{Diff}_{\partial}(D^n)$ to learn about $B\text{TOP}(n)$.
 Concordance thy: explore $\text{Diff}_{\partial}(D^n)$ using ho fib sequences

$$\Omega \text{Diff}_{\partial}(D^n) \longrightarrow \text{Diff}_{\partial}(D^n \times D^1) \longrightarrow \mathcal{R}(n, 1)$$

where $\mathcal{R}(n, 1)$ space of smooth *regular*

$$f: D^n \times D^1 \rightarrow D^1$$

such that $f|_{\partial} = \text{proj}$. Regular means everywhere nonsing. Note $\text{O}(1)$ -symmetry.

Diffeos and regular maps. Modify above: ho fibration sequences

$$\Omega^2 \text{Diff}_\partial(D^n) \longrightarrow \text{Diff}_\partial(D^n \times D^2) \longrightarrow \mathcal{R}(n, 2)$$

where $\mathcal{R}(n, 2)$ space of smooth regular

$$f: D^n \times D^2 \rightarrow D^2$$

such that $f|_\partial = \text{proj}$.

There is map

$$\begin{aligned} \nabla: \mathcal{R}(n, 2) &\longrightarrow \Omega^{n+2} \left(\frac{\text{GL}_{n+2}(\mathbb{R})}{\text{GL}_n(\mathbb{R})} \right) \\ f &\mapsto df \end{aligned}$$

Note $O(2)$ -symmetry. Restrict to $S^1 = SO(2)$. When n even, target rat homotopy equivalent to $K(\mathbb{Z}, n-3)$: therefore

$$[\nabla] \in H_{S^1}^{n-3}(\mathcal{R}(n, 2); \mathbb{Q}) .$$

Theorem B. $[\nabla] = 0 \in H_{S^1}^{n-3}(\mathcal{R}(n, 2); \mathbb{Q})$ for even $n \geq 4$.

Easily \Leftrightarrow to Thm A re e, p_m . (See next slide.)

Thm A is cor of Thm B

Category \mathcal{J} : objects f d real vector spaces V with \langle, \rangle , morphisms lin maps preserving \langle, \rangle .
Cts functors on \mathcal{J}

$$E : V \mapsto BO(V), \quad F : V \mapsto BTOP(V) .$$

Statement C: *The incl $E \rightarrow F$ admits nat rat left homotopy inverse (in shape of $F \rightarrow E'$ such that compos $E \rightarrow E'$ is $\simeq_{\mathbb{Q}}$).*

Show: Thm B \Rightarrow C \Rightarrow Thm A.

C \Rightarrow Thm A: such $F \rightarrow E'$ must take e, p_m classes in E' to e, p_m classes in F .

Thm B \Rightarrow C: use orthog calculus (for cts functors from \mathcal{J} to spaces). Since E rat polyn of deg 2, governed by $E(\mathbb{R}^\infty) = BO$ and first/second rate of change at ∞ , which are spectra with act of $O(1)$, $O(2)$ resp. Turns out enough to have rat splittings for incl $E(\mathbb{R}^\infty) \rightarrow F(\mathbb{R}^\infty)$ and maps betw first/second rates of change at ∞ induced by $E \rightarrow F$. First two splittings: obvious resp known, last: encoded in Thm B. Use smoothing thy descr of $\mathcal{R}(n, 2)$ to decode.

Strategy for proving Thm B. Imitate Cerf's approach to $\mathcal{R}(n, 1)$. This uses

$$\mathcal{R}(n, 1) \subset \mathcal{W}(n, 1)$$

where $\mathcal{W}(n, 1)$ space of smooth

$$f: D^n \times D^1 \rightarrow \mathbb{R}^1$$

such that $f|_{\partial} = \text{proj}$ and the crit pts of f are no worse than Morse or birth-death. He proves

– $\mathcal{W}(n, 1)$ connected (easy)

– $\text{incl } \mathcal{R}(n, 1) \rightarrow \mathcal{W}(n, 1)$ is 1-conn (hard)

and concludes that $\mathcal{R}(n, 1)$ connected (has more general statement).

So we introduce $\mathcal{W}(n, 2) \supset \mathcal{R}(n, 2)$ and show

(i) inclusion $\mathcal{R}(n, 2) \rightarrow \mathcal{W}(n, 2)$ induces zero homomorphism in $\tilde{H}_{S^1}^{n-3}(-, \mathbb{Q})$

(ii) cocycle ∇ in formul of Thm B extends to cocycle on $\mathcal{W}(n, 2)$ with enough S^1 -invariance.

Proof of (i) uses h -principle machinery and mfd calculus. Proof of (ii) hands-on geometric work. Conclusion $[\nabla] = 0$ as stated in Thm B.

Details on $\mathcal{W}(n, 2)$

DEF. $\mathcal{W}(n, 2)$ space of smooth

$$f: D^n \times D^2 \rightarrow \mathbb{R}^2$$

such that $f|_{\partial} = \text{proj}$ and the crit pts of f are no worse than fold, cusp, lips, beak-to-beak or swallowtail, and *the critical values of f are subject to some add conditions* (see below).

REMARK. The sing types listed above all have differential of rk 1 at crit pts. Classific of most common rk 1 sing germs $(\mathbb{R}^{n+2}, 0) \rightarrow (\mathbb{R}^2, 0)$ mostly indep of n . Fold and cusp are Whitney's sing, while lips, beak-to-beak and swallowtail are three ways in which two cusps can collide and annihilate each other.

REMARK. Conditions on critical values: only finitely many crit pts for each crit value; no more than one non-fold among these; if a non-fold among them, then all make different singular directions in target; if all are folds, then allow at most two of them to make a first order tangency in target.