

# Harmonic maps and the classification of stationary black-holes

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A space-time is a time oriented Lorentzian manifolds  $(\mathcal{M}, g_{\mu\nu})$

- $g_{\mu\nu}(p)$ 
  - symmetric non-degenerate bilinear form on  $T_p\mathcal{M} \approx \mathbb{R}^{n+1}$
  - signature  $(- + + \dots +)$
- $\mathcal{M} \ni p \mapsto g_{\mu\nu}(p)$  is  $C^1$ .

satisfying Einstein equations

# The Einstein field equations

(as seen in Uyuni, Bolivia, summer 2005; photographed by Madalena Miranda)



- Energy-momentum tensor

$$T_{\mu\nu} = 0$$

- Einstein equations

$$R_{\mu\nu} = 0$$

in local coordinates  $(x^0, x^1, \dots, x^n)$  read

$$\frac{1}{2}g^{\alpha\beta}(\partial_\mu\partial_\alpha g_{\beta\nu} + \partial_\nu\partial_\alpha g_{\beta\mu} - \partial_\mu\partial_\nu g_{\alpha\beta} - \partial_\alpha\partial_\beta g_{\mu\nu}) + \dots = 0$$

- Minkowski ( $\mathbb{R}^{1,3}$ )

$$\mathcal{M} = \mathbb{R}^4$$

$$g = -dt^2 + dx^2 + dy^2 + dz^2$$

- Schwarzschild

$$\mathcal{M} = \mathbb{R} \times (2m, +\infty) \times S^2$$

$$g = -\left(1 - \frac{2m}{r}\right) dt^2 + \frac{1}{1 - \frac{2m}{r}} dr^2 + r^2(d\theta^2 + \sin^2 \theta d\varphi^2)$$

- Energy-momentum tensor

$$T_{\mu\nu} = \frac{1}{4\pi} \left( F_{\mu\lambda} F_{\nu}{}^{\lambda} - \frac{1}{4} F_{\alpha\beta} F^{\alpha\beta} g_{\mu\nu} \right)$$

- $F_{\mu\nu}$  is the electromagnetic 2-form, which satisfies Maxwell's equations

$$F_{\mu\nu} = \partial_{\mu} A_{\nu} - \partial_{\nu} A_{\mu}$$

$$\nabla^{\mu} F_{\mu\nu} = 0$$

$$g = -u^{-2} dt^2 + u^2(dx^2 + dy^2 + dz^2)$$

$$A = u^{-1} dt$$

Einstein-Maxwell read

$$\frac{\partial u}{\partial t} = 0, \quad \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + \frac{\partial^2 u}{\partial z^2} = 0$$

Chruściel and Nadirashvili: for a "regular" MP spacetime

$$\mathcal{M} = \mathbb{R} \times (\mathbb{R}^3 \setminus \{\vec{a}_1, \dots, \vec{a}_N\})$$

$$u = 1 + \sum_{i=1}^N \frac{m_i}{|\vec{x} - \vec{a}_i|}$$

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$g$  has signature  $(- + + \dots +)$

- Causal Vectors

- $X$  is timelike if

$$g(X, X) < 0$$

- $X$  is null if

$$g(X, X) = 0 \text{ , and } X \neq 0$$

- Spacelike Vectors

$$g(X, X) > 0 \text{ , or } X = 0$$

- Time orientation:

$$g(T, T)|_p < 0 \quad , \forall p \in \mathcal{M}$$

- $X$  is future directed at  $q$  if

$$g(T, X)|_q < 0$$

- Chronological future

$$I^+(p) = \{q \in \mathcal{M} \mid \exists \gamma : [0, 1] \rightarrow \mathcal{M} \\ \gamma(0) = p \quad , \quad \gamma(1) = q \\ \dot{\gamma}(t) \text{ timelike future directed } \forall t\}$$

# What is a **stationary** asymptotically flat black hole?

Definitions more technical if **non-stationary**

**Stationarity:** there exists a **Killing Vector**  $K_0$  such that

$$g(K_0, K_0)|_q < 0, \text{ for some } q \in \mathcal{M}.$$

Assume also that  $K_0$  is **complete**, so that its flow generates an **action of  $\mathbb{R}$  by isometries**

$$\mathbb{R} \times \mathcal{M} \ni (s, q) \mapsto \phi_s(q) \in \mathcal{M}.$$

# What is a **stationary asymptotically flat** black hole?

(Stationary) **Asymptotic Flatness:**

Stationary region (where  $K_0$  is timelike) contains an **end**

$$\mathcal{S}_{\text{ext}} \approx \mathbb{R}^n \setminus B(R) .$$

We are able to choose coordinates in  $\mathbb{R} \times \mathcal{S}_{\text{ext}} \approx \mathcal{M}_{\text{ext}}$  s.t.

$$g_{\mu\nu} = \eta_{\mu\nu} + O_k(r^{-1})$$

$$\partial_t g_{\mu\nu} = 0$$

$$\eta_{\mu\nu} = \text{diag}(-1, +1, +1, +1)$$

# What is a stationary asymptotically flat black hole?

Exterior region:

$$\mathcal{M}_{\text{ext}} := \cup_{t \in \mathbb{R}} \phi_t(\mathcal{I}_{\text{ext}})$$

Domain of outer communications:

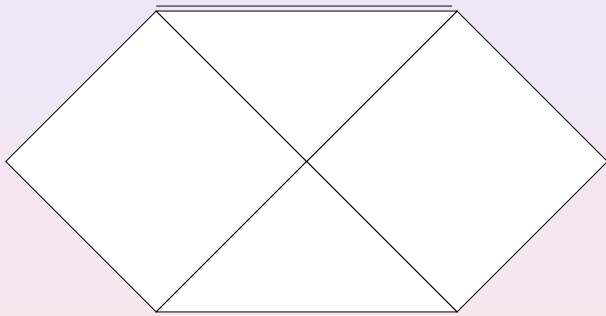
$$\text{d.o.c.} := I^-(\mathcal{M}_{\text{ext}}) \cap I^+(\mathcal{M}_{\text{ext}})$$

Black Hole:

$$\mathcal{B} := \mathcal{M} \setminus I^-(\mathcal{M}_{\text{ext}})$$

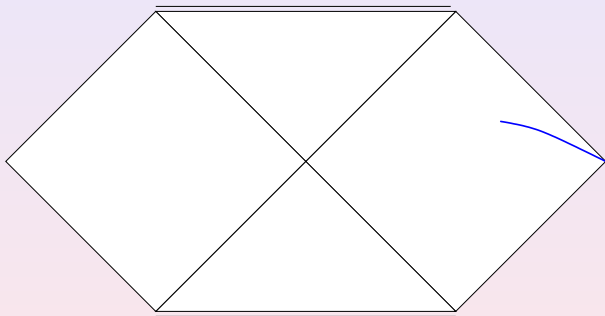
# In Schwarzschild:

Our main model our inspiration



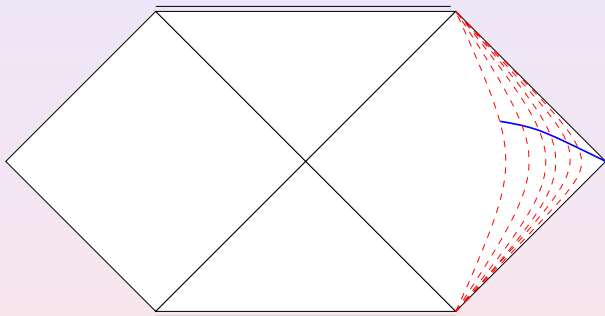
# In Schwarzschild: Asymptotic Flat End $\mathcal{I}_{ext}$

Our main model our inspiration



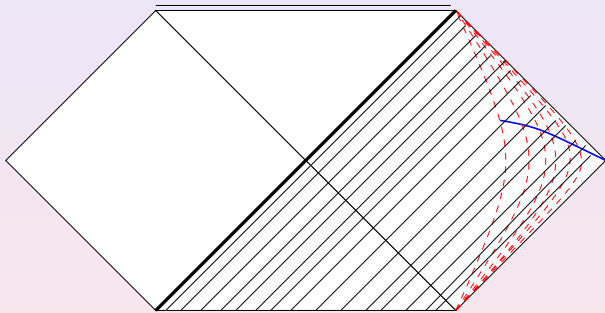
# The main model: Exterior region $\mathcal{M}_{\text{ext}}$

Our main model our inspiration



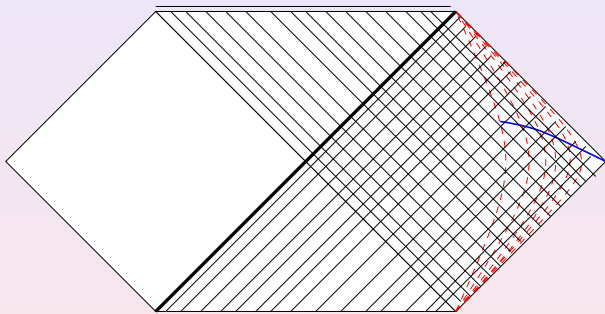
# In Schwarzschild: Past of exterior region $I^-(\mathcal{M}_{\text{ext}})$

Our main model our inspiration



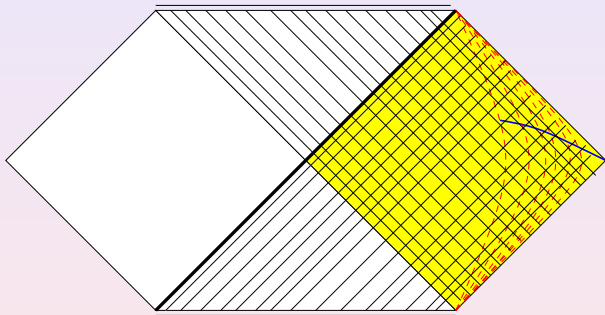
# In Schwarzschild: Future of exterior region $I^+(\mathcal{M}_{\text{ext}})$

Our main model our inspiration



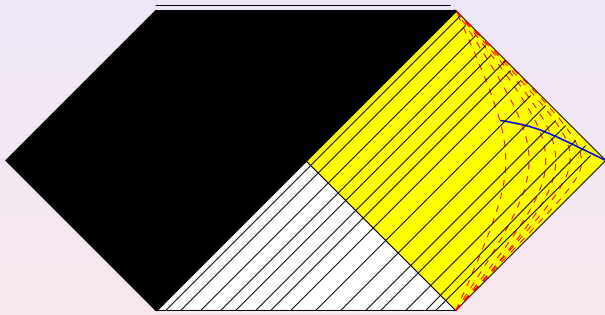
# In Schwarzschild: Domain of outer communication d.o.c.

Our main model our inspiration



# In Schwarzschild: Black hole region $\mathcal{M} \setminus I^-(\mathcal{M}_{\text{ext}})$

Our main model our inspiration



4-dimensional  
Stationary  
Electro-vacuum

¿ regular? d.o.c.

4-dimensional  
Stationary  
Electro-vacuum

¿ regular? d.o.c.

=

d.o.c. of  
Kerr-Newman, if horizon connected  
and  
Majundar-Papapetrou, otherwise

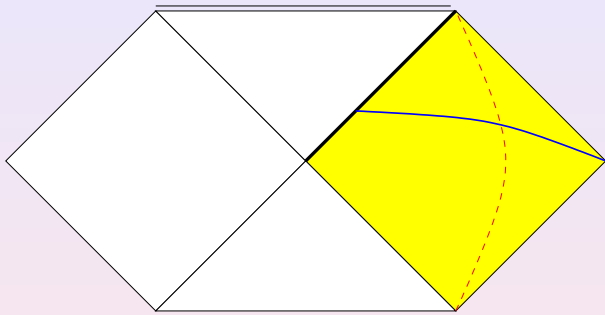
# Proved

Contributions by Israel, Hawking, Carter, Robinson, Bunting, Masood-ul-Alam, Mazur, Sudarsky, Wald, Chruściel, Weinstein, JLC

4-dimensional  
Stationary  
Electro-vacuum  
Analytic  
 $I^+$ -regular d.o.c.  
with non-degenerate horizons  
=  
d.o.c. of  
a Weinstein solution

4-dimensional  
Stationary  
Electro-vacuum  
Analytic  
 $I^+$ -regular d.o.c.  
with connected, non-degenerate horizon  
=  
d.o.c. of  
Kerr-Newmann

# Schwarzschild as $I^+$ -regular



For such a choice of initial surface we have:

$$\text{d.o.c.} \cup \mathcal{E}^+ \approx \mathbb{R} \times \overline{\mathcal{I}}$$



$\mathcal{E}^+$  is either

- Non-rotating ( $K_0$  is tangent to the generators of  $\mathcal{E}^+$ )  
If non-degenerate we have:

$$dK_0^b \wedge K_0^b = 0$$

Static Black holes are Schwarzschild ?

Yes! For all space-time dimensions, if positivity of mass applies.

Requires analyticity !?

- Rotating ( $K_0$  isn't tangent to the generators of  $\mathcal{E}^+$ )

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 $\exists$  a second global and complete Killing vector  $K_1$ ?  
Yes! As long as  $(M, g)$  and  $\mathcal{E}^+$  are analytic.

In here lies the infamous need for analyticity.

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In here lies the infamous need for analyticity.

$\mathcal{E}^+$  is a priori only a Lipschitz continuous, null hypersurface  
If we assume  $I^+$ -regularity

$\mathcal{E}^+$  is as regular as the metric allows

in particular

$\mathcal{E}^+$  is analytic if the metric is

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In here lies the infamous need for analyticity.

Alexakis, Ionescu and Klainerman have proven rigidity for:

$C^\infty$  and  $I^+$ -regular black holes  
and

close to Kerr geometries

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Yes! As long as  $(M, g)$  and  $\mathcal{E}^+$  are analytic.

$K_0$  and  $K_1$  generate action of  $\mathbb{R} \times S^1$  by isometries

The Stationary-Axisymmetric case.

# Orthogonal integrability and the Area function

Constructing Weyl coordinates

Assuming (electro-)vacuum

$$dK_0 \wedge K_0 \wedge K_1 = dK_1 \wedge K_0 \wedge K_1 = 0$$

The area function

$$W := -\det \begin{pmatrix} g(K_0, K_0) & g(K_0, K_1) \\ g(K_1, K_0) & g(K_1, K_1) \end{pmatrix}$$

- $W > 0$  in d.o.c. \ axis and
- $W=0$  at  $\partial(\text{d.o.c.}) \cup \text{axis}$

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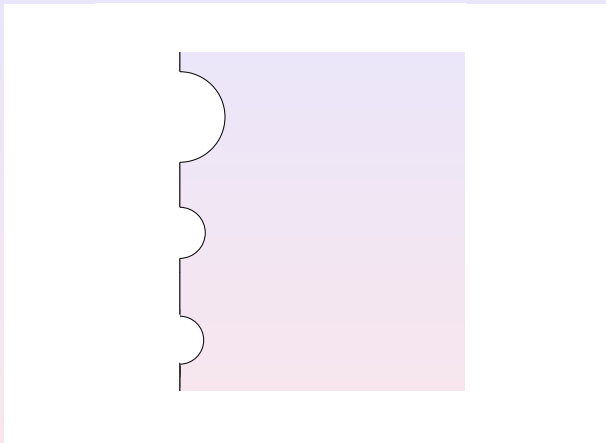
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- $W=0$  at  $\partial(\text{d.o.c.}) \cup \text{axis}$

# The orbit space

## Constructing Weyl coordinates



$$\text{d.o.c.} \setminus \text{axis} \approx \underbrace{\mathbb{R} \times \mathbb{R}^+}_{\text{Orbit Space}} \times \underbrace{\mathbb{R} \times S^1}_{\text{Group}}$$

# The orbit space metric

## Constructing Weyl coordinates

- Suppose **all horizon components are non-degenerate**
- Then the orbit space metric

$$q(Z_1, Z_2) = g(Z_1, Z_2) - h^{\mu\nu} g(Z_1, K_\mu) g(Z_2, K_\nu) \quad , \quad h_{\mu\nu} = g(K_\mu, K_\nu)$$

may be extended to an **axisymmetric AF** metric in  $\mathbb{R}^2$ .

- Construct **asymptotically flat isothermal coordinates**

$$q = e^{2\hat{u}}(dx^2 + dy^2) \quad , \quad \hat{u} = O_k(r^{-1})$$

- Construct  $\rho : \text{Orbit Space} \rightarrow [0, +\infty)$  satisfying

$$\Delta_q \rho = 0 \quad , \quad \rho|_{\mathcal{E}^+ \cup \text{axis}} \equiv 0$$

- Then  $d\rho \neq 0$  and

$$q = e^{2u}(dr^2 + dz^2) \quad , \quad u = O_k(r^{-1})$$

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# Global coordinates for d.o.c. \ axis

## Constructing Weyl coordinates

- Field equations and Area Function Theorem imply

$$\Delta_q \sqrt{W} = 0 \quad , \quad \sqrt{W}|_{\mathcal{E}^+ \cup \text{Axis}} \equiv 0$$

and maximum principle yields

$$\rho = \sqrt{W}$$

- We conclude that the metric on d.o.c. \ axis has a global coordinate representation as

$$g = -\rho^2 e^{2\lambda} dt^2 + e^{-2\lambda} (d\varphi - v dt)^2 + e^{2\nu} (d\rho^2 + dz^2) .$$

$$K_0 = \partial_t \quad , \quad K_1 = \partial_\varphi$$

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# Reduction to a harmonic map

Setting

$$\lambda := -\frac{1}{2} \log(g(K_1, K_1)) \quad \frac{1}{2} d\omega = *(dK_1^b \wedge K_1^b)$$

in Weyl coordinates the vacuum Einstein equations

$$R_{\mu\nu} = 0$$

are equivalent to

$$(\Delta\lambda - 2e^{4\lambda} |\nabla\omega|^2)^2 + e^{4\lambda} (\Delta\omega + 4\nabla\lambda \cdot \nabla\omega)^2 = 0$$

# Reduction to a harmonic map

$\Phi = (\lambda, \omega)$  is, for every  $\Omega \subset \subset \mathbb{R}^3 \setminus \text{axis}$ , a critical point of

$$\int_{\Omega} |\nabla \lambda|^2 + e^{4\lambda} |\nabla \omega|^2$$

A harmonic map

$$\Phi = (\phi^1, \dots, \phi^n) : (M, g) \rightarrow (N, h)$$

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Space-time metric is uniquely determined by the **harmonic map**

$$\Phi = (\lambda, \omega) : \mathbb{R}^3 \setminus \text{axis} \rightarrow \mathbb{H}^2$$

where  $\mathbb{H}^2$  is the hyperbolic space with metric

$$b := d\lambda^2 + e^{4\lambda} d\omega^2$$

# Existence and Uniqueness

Weinstein Solutions

For any set of axis data:

- $\{\omega_i\}$  values of  $\omega$  on the connected components of the axis
- $I_i$  intervals representing horizons in the  $(\rho, z)$ -plane

there exists a unique harmonic map

$$\Phi : \mathbb{R}^3 \setminus \text{axis} \rightarrow \mathbb{H}^2$$

whose distance to the reference (not necessarily harmonic) map  $\Phi_0$  with the same axis data satisfies

$$\begin{aligned}d(\Phi, \Phi_0) &\in L^\infty \\d(\Phi, \Phi_0) &\rightarrow_{r \rightarrow +\infty} 0\end{aligned}$$

$\mathbb{H}^2$  has negative sectional curvature.

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**Fundamental requirement:**  $\mathbb{H}^2$  has negative sectional curvature.

# Controlling the distance

## Distance function

The pointwise distance between the two maps  $\Phi_i = (\lambda_i, \omega_i)$  is

$$\begin{aligned} \cosh(d) - 1 &= \frac{1}{2}(e^{2(U_1 - U_2)} + e^{2(U_2 - U_1)} - 2) \\ &\quad + 2\rho^{-4} e^{2(U_1 + U_2)} (\omega_1 - \omega_2)^2 \end{aligned}$$

where we have used the rescaling

$$U := \lambda + \log \rho = -\frac{1}{2} \log(g_{\varphi\varphi} / \rho^2)$$

# Controlling the distance

Where the axis meets the horizon

$$U = -\frac{1}{2} \log(g_{\varphi\varphi}/\rho^2)$$

and

$$g_{\varphi\varphi} = f(x, y) x^2, \quad C^{-1} \leq f(x, y) \leq C$$

So the key is in understanding the map

$$x + iy \leftrightarrow \rho + iz$$

In fact

$$x + iy = a\sqrt{-z + ip} + O(\rho^2 + z^2)$$

yielding

$$U = \log(\sqrt{z + \sqrt{\rho^2 + z^2}}) + O(1)$$

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# Controlling the distance

Where the axis meets the horizon

Also

$$|\omega_j - \hat{\omega}| \leq Cx_j^2$$

consequently

$$\begin{aligned} \frac{(\omega_1 - \omega_2)^2}{\rho^4 e^{-2U_1 - 2U_2}} &= \frac{(\omega_1 - \omega_2)^2}{g_1(\partial_\varphi, \partial_\varphi)g_2(\partial_\varphi, \partial_\varphi)} \leq 2 \frac{(\omega_1 - \hat{\omega})^2 + (\omega_2 - \hat{\omega})^2}{g_1(\partial_\varphi, \partial_\varphi)g_2(\partial_\varphi, \partial_\varphi)} \\ &= 2 \underbrace{\left( \frac{\omega_1 - \hat{\omega}}{g_1(\partial_\varphi, \partial_\varphi)} \right)^2}_{\leq C^2} \underbrace{\frac{g_1(\partial_\varphi, \partial_\varphi)}{g_2(\partial_\varphi, \partial_\varphi)}}_{= e^{2(U_2 - U_1)}} \\ &+ 2 \underbrace{\left( \frac{\omega_2 - \hat{\omega}}{g_2(\partial_\varphi, \partial_\varphi)} \right)^2}_{\leq C^2} \underbrace{\frac{g_2(\partial_\varphi, \partial_\varphi)}{g_1(\partial_\varphi, \partial_\varphi)}}_{= e^{2(U_1 - U_2)}} \leq C \end{aligned}$$

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# Controlling the distance

Spacial infinity

The coordinate system

$$(x = \rho \cos \varphi, y = \rho \sin \varphi, z)$$

is asymptotically flat and

$$g_{\varphi\varphi} = \rho^2(1 + O(r^{-1}))$$

Using the multipole expansion of Beig and Simon to improve the decay rates provided by asymptotic flatness

$$\omega = 4J - \frac{J}{2} \frac{z}{r} \left( \frac{3\rho^2 - z^2}{r^2} + 9 \right) + \rho O(\log r/r^2)$$

# What is missing?

- Remove analyticity condition
  - Alexakis, Ionescu and Klainerman's 2009 results go a long way
- Remove degeneracy condition
  - We expect our results to solve some of the main issues
- All non-static and non-connected Weinstein solutions are singular?
  - Weinstein and Li: non-regularity of the relevant harmonic maps for slowly rotating black holes
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# What is missing?

- Remove analyticity condition
  - Alexakis, Ionescu and Klainerman's 2009 results go a long way
- Remove degeneracy condition
  - We expect our results to solve some of the main issues
- All non-static and non-connected Weinstein solutions are singular?
  - Weinstein and Li: non-regularity of the relevant harmonic maps for slowly rotating black holes
  - Neugebauer and Hennig **last month!**