

On the existence of rainbow 4-term arithmetic progressions

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Abstract

For infinitely many natural numbers n , we construct 4-colorings of $[n] = \{1, 2, \dots, n\}$, with equinumerous color classes, that contain no 4-term arithmetic progression whose elements are colored in distinct colors. This result solves an open problem of Jungić et al. [JL+03], Axenovich and Fon-der-Flaass [AF04].

1 Introduction

Throughout the paper, we will use $AP(k)$ to denote a k -term arithmetic progression. Moreover, a coloring of $[n]$ will be called *equinumerous* if all the color classes have the same cardinality. A famous result of van der Waerden [vW27] states that for every pair of positive integers k and r , there exists a positive integer $W := W(k, r)$, such that every r -coloring of integers in $[W] = \{1, 2, \dots, W\}$ contains a *monochromatic* $AP(k)$. This theorem was generalized in numerous ways [GRS90, LR03], one being the following “density”-type theorem of Szemerédi [Sz75]: for every $k \in \mathbb{N}$ and a real number $\delta > 0$, there exists a positive integer N , such that every $S \subseteq [N]$, with $|S| \geq \delta N$, contains an $AP(k)$.

In [JL+03], Jungić et al. initiated the search for a rainbow counterpart of van der Waerden’s theorem. Namely, given positive integers k and r , what conditions on the r -coloring of $[n]$ guarantee a *rainbow* $AP(k)$, that is, an arithmetic progression of length k all of whose elements are colored in distinct colors? If every integer in $[n]$ is colored by the largest power of three that divides it, then one immediately obtains an r -coloring of $[n]$ with $r \leq \lfloor \log_3 n + 1 \rfloor$ and without rainbow $AP(3)$. So, while Szemerédi’s theorem states that a large cardinality in only one color class ensures the existence of a monochromatic $AP(k)$, one needs *all* color classes to be “large” to force a rainbow $AP(k)$.

Jungić et al. [JL+03] proved that every 3-coloring of \mathbb{N} with the upper density of each color class greater than $1/6$ yields a rainbow $AP(3)$. Using some tools from additive number theory, they obtained similar (and stronger) results for 3-colorings of \mathbb{Z}_n and \mathbb{Z}_p , some of which were recently extended by Conlon [C05]. In [JR03] Jungić and Radoičić studied the more difficult *interval* case and showed that every equinumerous 3-coloring of $[n]$ contains a rainbow $AP(3)$. Finally, Axenovich and Fon-Der-Flaass cleverly combined the previous methods with some additional ideas to obtain the following theorem, conjectured in [JL+03].

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Theorem 1 [AF04] *For every $n \geq 3$, every partition of $[n]$ into three color classes \mathcal{A} , \mathcal{B} , and \mathcal{C} with $\min(|\mathcal{A}|, |\mathcal{B}|, |\mathcal{C}|) > r(n)$, where*

$$r(n) := \begin{cases} \lfloor (n+2)/6 \rfloor & \text{if } n \not\equiv 2 \pmod{6} \\ (n+4)/6 & \text{if } n \equiv 2 \pmod{6} \end{cases} \quad (1)$$

contains a rainbow $AP(3)$.

For $n \not\equiv 2 \pmod{6}$, the following coloring

$$c(i) := \begin{cases} A & \text{if } i \equiv 1 \pmod{6} \\ B & \text{if } i \equiv 4 \pmod{6} \\ C & \text{otherwise} \end{cases}$$

shows that Theorem 1 is the best possible. When $n = 6m + 2$, $m \in \mathbb{N}$, the coloring \bar{c} shows the tightness of Theorem 1:

$$\bar{c}(i) := \begin{cases} A & \text{if } i \leq 2m + 1 \text{ and } i \text{ is odd} \\ B & \text{if } i \geq 4m + 2 \text{ and } i \text{ is even} \\ C & \text{otherwise} \end{cases}$$

Axenovich and Fon-Der-Flaass also demonstrated that for $k \geq 5$, no matter how large the smallest color class is, there is a k -coloring of $[n]$ with no rainbow $AP(k)$. Therefore, no statement similar to Theorem 1 holds for five or more colors. Their construction goes as follows: Let $n = 2mk$, $k \geq 5$. Subdivide $[n]$ into k consecutive intervals of length $2m$ each, say S_1, \dots, S_k , and let $t = \lfloor k/2 \rfloor$. Then, it is easy to see that the coloring

$$c(i) = \begin{cases} j & \text{if } i \in S_j \text{ and } j \neq t, j \neq t + 2 \\ t & \text{if } i \in S_t \cup S_{t+2} \text{ and } i \text{ is even,} \\ t + 2 & \text{if } i \in S_t \cup S_{t+2} \text{ and } i \text{ is odd.} \end{cases}$$

is equinumerous (the size of each color class is n/k) and does not contain a rainbow $AP(k)$. Notice that this coloring has large blocks of consecutive integers with the same color.

However, the question about the existence of equinumerous 4-colorings of $[n]$ without rainbow $AP(4)$ s remained unresolved. In [AF04] a 4-coloring of $[n]$, $n = 10m + 1$, with the smallest color class of size $2m = (n - 1)/5$ and no rainbow $AP(4)$ was constructed.

In this note, we settle the question.

Theorem 2 *For every positive integer n , $n \equiv 0 \pmod{8}$, there exists an equinumerous 4-coloring of $[n]$ with no rainbow $AP(4)$.*

In the next section, we present our construction. It is important to note that in this coloring there is a color which appears on consecutive integers. An important step in establishing the existence of a rainbow $AP(3)$ in every equinumerous 3-coloring of $[n]$ is proving that at least one of the colors is *recessive*, i.e., it does not appear on consecutive integers. Therefore, a natural way to possibly force the existence of a rainbow $AP(4)$ is to assume that every color is recessive. This is our motivation for the second construction, presented in Section 3, where (to our surprise) for

every $n \equiv 0 \pmod{24}$, we construct an equinumerous 4-coloring of $[n]$ with no rainbow $AP(4)$ and no two consecutive integers having the same color.

In fact, our example provides an equinumerous colouring, in four colours, of \mathbb{Z}_{24} which does not contain an $AP(4)$. This is easily seen to extend to \mathbb{Z}_{24k} for any $k \in \mathbb{N}$, and thus also curtails any hope of a \mathbb{Z}_n analogue of Theorem 1 for $AP(4)$ s.

2 Proof of Theorem 2

Let $n = 8m$, $m \in \mathbb{N}$. Define the coloring λ as follows: for every $i \in [n]$, let

$$\lambda(i) := \begin{cases} A & \text{if } i \equiv 1 \pmod{4} \text{ and } i < 4m; \text{ or if } i \equiv 3 \pmod{4} \text{ and } i > 4m \\ B & \text{if } i \equiv 2 \pmod{4} \text{ and } i < 4m; \text{ or if } i \equiv 0 \pmod{4} \text{ and } i > 4m \\ C & \text{if } i \equiv 3 \pmod{4} \text{ and } i < 4m; \text{ or if } i \equiv 0 \pmod{4} \text{ and } i \leq 4m \\ D & \text{if } i \equiv 1 \pmod{4} \text{ and } i > 4m; \text{ or if } i \equiv 2 \pmod{4} \text{ and } i > 4m \end{cases}$$

It is immediately clear that every color class has exactly $2m$ elements, so λ is equinumerous. The proof that λ does not contain a rainbow $AP(4)$ will be a straightforward case analysis. Suppose that $\{x, y, z, w\}$ form a rainbow $AP(4)$; more precisely, $\lambda(x) = A$, $\lambda(y) = B$, $\lambda(z) = C$, and $\lambda(w) = D$. Then, $z \leq 4m < w$. We will assume that $x \leq 4m$, the other case is symmetric. Therefore, we have the following three possibilities:

Case 1. $x < y < 4m$. Since $\lambda(x) = A$ and $\lambda(y) = B$, then $x \equiv 1 \pmod{4}$ and $y \equiv 2 \pmod{4}$. There are three subcases according to the order of x , y , and z .

Subcase 1a. $x < y < z \leq 4m < w$.

Since $x + z \equiv 2y \pmod{4}$, we have $z \equiv 3 \pmod{4}$. Then, $x + w \equiv y + z \pmod{4}$ implies $w \equiv 0 \pmod{4}$, which is a contradiction with $\lambda(w) = D$.

Subcase 1b. $x < z < y < 4m < w$.

Then, $x + y \equiv 2z \pmod{4}$ yields $2z \equiv 3 \pmod{4}$, which is impossible.

Subcase 1c. $z < x < y < 4m < w$.

Then, $x + w \equiv 2y \pmod{4}$ yields $w \equiv 3 \pmod{4}$, which is a contradiction with $\lambda(w) = D$.

Case 2. $y < x < 4m$. Again, $\lambda(x) = A$ and $\lambda(y) = B$ imply $x \equiv 1 \pmod{4}$ and $y \equiv 2 \pmod{4}$. There are three subcases according to the order of x , y , and z .

Subcase 2a. $y < x < z \leq 4m < w$.

Since $y + z \equiv 2x \pmod{4}$, we have $z \equiv 0 \pmod{4}$. Then, $y + w \equiv x + z \pmod{4}$ implies $w \equiv 3 \pmod{4}$, which is a contradiction with $\lambda(w) = D$.

Subcase 2b. $y < z < x < 4m < w$.

Then, $y + x \equiv 2z \pmod{4}$ yields $2z \equiv 3 \pmod{4}$, which is impossible.

Subcase 2c. $z < y < x < 4m < w$.

Then, $y + w \equiv 2x \pmod{4}$ yields $w \equiv 0 \pmod{4}$, which is a contradiction with $\lambda(w) = D$.

Case 3. $x < 4m < y$. Since $\lambda(x) = A$ and $\lambda(y) = B$, then $x \equiv 1 \pmod{4}$ and $y \equiv 0 \pmod{4}$. Now, there are four subcases according to the order of x and z , and of y and w .

Subcase 3a. $x < z \leq 4m < y < w$.

Then, $x + y \equiv 2z \pmod{4}$ yields $2z \equiv 1 \pmod{4}$, which is impossible.

Subcase 3b. $x < z \leq 4m < w < y$.

Since $x + w \equiv 2z \pmod{4}$, we have $w \equiv 1 \pmod{4}$, and, hence, $z \equiv 1 \pmod{4}$. Then, $x + y \equiv z + w \pmod{4}$ implies $y \equiv 1 \pmod{4}$, which is a contradiction.

Subcase 3c. $z < x < 4m < y < w$.

This case is impossible, since $y + z \equiv 2x \equiv 2 \pmod{4}$ and $y \equiv 0 \pmod{4}$ yield $z \equiv 2 \pmod{4}$, which contradicts $\lambda(z) = C$.

Subcase 3d. $z < x < 4m < w < y$.

Then, $x + y \equiv 2w \pmod{4}$ yields $2w \equiv 1 \pmod{4}$, which is impossible. \square

3 A construction with recessive colors

First, we introduce a new notation: Given a 4-coloring $\nu = (\nu(0), \nu(1), \dots, \nu(k-1)) \in \{A, B, C, D\}^k$ of \mathbb{Z}_k , let $\bar{\nu}$ denote the 4-coloring of \mathbb{N} such that for every $i \in \mathbb{N}$, $\bar{\nu}(i) = \nu(i \pmod{k})$.

Now, let $n = 24m$, $m \in \mathbb{N}$. Define the 4-coloring μ of $[n]$ as follows: for every $i \in [n]$, let

$$\mu(i) := \begin{cases} A & \text{if } i \equiv 3, 6, 9, 16, 18, 20 \pmod{24} \\ B & \text{if } i \equiv 1, 8, 10, 12, 19, 22 \pmod{24} \\ C & \text{if } i \equiv 5, 7, 13, 15, 21, 23 \pmod{24} \\ D & \text{if } i \equiv 0, 2, 4, 11, 14, 17 \pmod{24} \end{cases}$$

In other words, $\mu([n])$ is a prefix of $\bar{\nu}(\mathbb{N})$ of length n , where ν denotes the 4-coloring of \mathbb{Z}_{24} given by:

$$\nu := (B, D, A, D, C, A, C, B, A, B, D, B, C, D, C, A, D, A, B, A, C, B, C, D).$$

It is immediately clear that every color class of μ has exactly $6m$ elements, so μ is equinumerous. Moreover, no two consecutive integers receive the same color. What remains to be checked is the non-existence of a rainbow $AP(4)$. Since $\mu([n])$ is a prefix of $\bar{\nu}(\mathbb{N})$, it suffices to show that there does not exist a rainbow $AP(4)$ in ν , that is, a 4-tuple (x, y, z, w) , $x, y, z, w \in \mathbb{Z}_{24}$, and a *common difference* $d \in \mathbb{Z}_{24}$, such that

$$y \equiv x + d \pmod{24}, \quad z \equiv x + 2d \pmod{24}, \quad \text{and } w \equiv x + 3d \pmod{24},$$

with $\nu(x), \nu(y), \nu(z), \nu(w)$, being pairwise distinct. Notice that any such 4-tuple (with common difference d) yields (w, z, y, x) , another 4-tuple with the same property, whose (common) difference is $24 - d$. Hence, we can restrict our attention to 4-tuples with difference at most 12.

Next, it is easy to resolve the cases when the difference is an even number. Indeed, suppose there exists a rainbow $AP(4)$ (x, y, z, w) in \mathbb{Z}_{24} , whose difference d is an even number. Observe that $\nu(i) = C$ if and only if $i \equiv 5 \pmod{8}$, or $i \equiv 7 \pmod{8}$. So, if x is an even number, then every element of the 4-tuple (x, y, z, w) is even and, thus, is not colored with C . This contradicts (x, y, z, w) being rainbow. If x is an odd number, then $\{x \pmod{8}, y \pmod{8}, z \pmod{8}, w \pmod{8}\}$ is one of the following: $\{1, 1, 1, 1\}$, $\{3, 3, 3, 3\}$, $\{5, 5, 5, 5\}$, $\{7, 7, 7, 7\}$ (when $d \equiv 0 \pmod{8}$, $x \equiv 1, 3, 5, 7 \pmod{8}$); $\{1, 3, 5, 7\}$ (when $d \equiv 2, 6 \pmod{8}$, $x \equiv 1, 3, 5, 7 \pmod{8}$); $\{1, 5, 1, 5\}$ (when $d \equiv 4 \pmod{8}$, $x \equiv 1, 5 \pmod{8}$); or $\{3, 7, 3, 7\}$ (when $d \equiv 4 \pmod{8}$, $x \equiv 3, 7 \pmod{8}$). Therefore, either two or none of the elements of the rainbow (x, y, z, w) receive color C in ν , which is a contradiction.

Finally, assume that the common difference d is odd. Our coloring ν of \mathbb{Z}_{24} does not contain a rainbow $AP(4)$ if and only if none of the sequences $\{\overline{\nu}(i + jd)\}_{j=0}^{\infty}$, $i \in [24]$, contains all the colors (A , B , C , and D) in four consecutive positions. We partition our analysis into three cases.

Case 1. $d \in \{1, 5\}$.

The case $d = 1$ is trivial, as every sequence $\{\overline{\nu}(i + j)\}_{j=0}^{\infty}$, $i \in [24]$, is just a suffix of $\overline{\nu}(\mathbb{N})$, which does not contain all the colors in four consecutive positions. If $d = 5$, then every sequence $\{\overline{\nu}(i + 5j)\}_{j=0}^{\infty}$, $i \in [24]$, is a suffix of $\overline{\nu'}(\mathbb{N})$, where ν' is the same coloring as ν , except that the colors A and D are interchanged.

Case 2. $d \in \{7, 11\}$.

If $d = 7$, then every sequence $\{\overline{\nu}(i + 7j)\}_{j=0}^{\infty}$, $i \in [24]$, is a suffix of $\overline{\gamma}(\mathbb{N})$, where

$$\gamma := (B, B, C, B, C, B, B, D, A, A, C, A, C, A, A, B, D, D, C, D, C, D, D, A).$$

Clearly, no four consecutive positions receive pairwise distinct colors.

The case $d = 11$ is similar: every sequence $\{\overline{\nu}(i + 11j)\}_{j=0}^{\infty}$, $i \in [24]$, is a suffix of $\overline{\gamma'}(\mathbb{N})$, where γ' is the same coloring as γ , except that the colors A and D are interchanged.

Case 3. $d \in \{3, 9\}$. Unlike in the previous cases, each sequence $\{\overline{\nu}(i + dj)\}_{j=0}^{\infty}$ is periodic modulo 8, rather than 24, since the greatest common divisor of d and 24 is 3.

If $d = 3$, then every sequence $\{\overline{\nu}(i + 3j)\}_{j=0}^{\infty}$, $i \in [24]$, is a suffix of one of the following three sequences: $\overline{\beta^{(1)}}(\mathbb{N})$, $\overline{\beta^{(2)}}(\mathbb{N})$, $\overline{\beta^{(3)}}(\mathbb{N})$, where

$$\beta^{(1)} := (B, D, C, B, C, A, B, B),$$

$$\beta^{(2)} := (D, C, B, D, D, D, A, C),$$

$$\beta^{(3)} := (A, A, A, B, C, A, C, D).$$

Clearly, no four consecutive positions receive pairwise distinct colors.

The case $d = 9$ is similar; the reader can easily check that each sequence $\{\overline{\nu}(i + 9j)\}_{j=0}^{\infty}$, $i \in [24]$, is a suffix of one of the above three sequences. \square

4 Concluding remarks

We are still puzzled by the contrast discovered in [JL+03, AF04] and further sharpened in this note; namely, every equinumerous k -coloring of $[kn]$ contains a rainbow $AP(k)$ if and only if $k = 3$. We are not anywhere close to understanding this phenomenon.

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