

Recent Progress in Ramsey Theory

Jacques Verstraete

University of California, San Diego

jacques@ucsd.edu

Outline

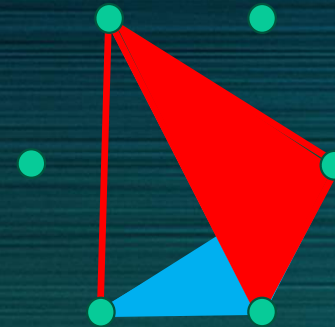
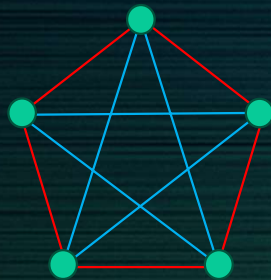
- Classical Ramsey Theory
- Random Graphs
- Pseudorandom Graphs
- Ramsey Numbers
- Random Blocks
- $r(4, t)$
- Erdős-Rogers Functions
- Open Problems

Classical Ramsey Theory

- In any sufficiently large “structure”, a relatively large “uniform” substructure exists. “Perfect disorder is mathematically impossible.”
- For integers $s, t \geq 2$, let $r(s, t)$ denote the minimum n such that every red-blue edge-coloring of K_n contains a red K_s or a blue K_t .
- These are the classical **Ramsey numbers**.

Classical Ramsey Theory

- Example: $r(2, t) = t$
- Example: $r(3, 3) = 6$



- The only other classical Ramsey numbers $r(3, t)$ known are

$$\begin{aligned} r(3, 4) &= 9 & r(3, 5) &= 14 & r(3, 6) &= 18 \\ r(3, 7) &= 23 & r(3, 8) &= 36 & r(3, 9) &= 39 \end{aligned}$$

Classical Ramsey Theory

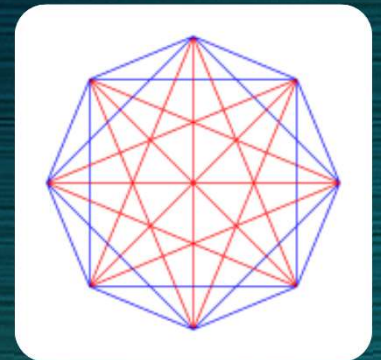
- The only other known classical Ramsey numbers $r(3,t)$ for $t \geq 4$ are:

$$r(3,4) = 9 \quad r(3,5) = 14 \quad r(3,6) = 18$$

$$r(3,7) = 23 \quad r(3,8) = 36 \quad r(3,9) = 39$$

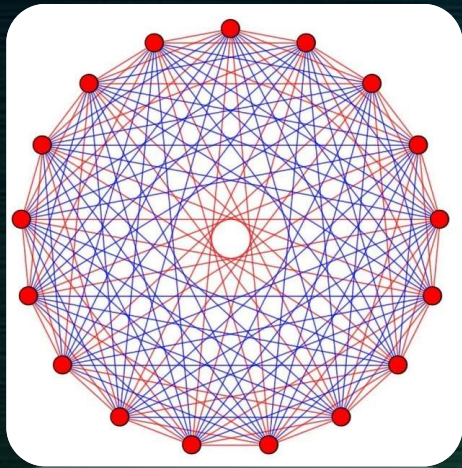
- The only known classical Ramsey numbers $r(4,t)$ for $t \geq 4$ are:

$$r(4,4) = 18 \quad r(4,5) = 25$$

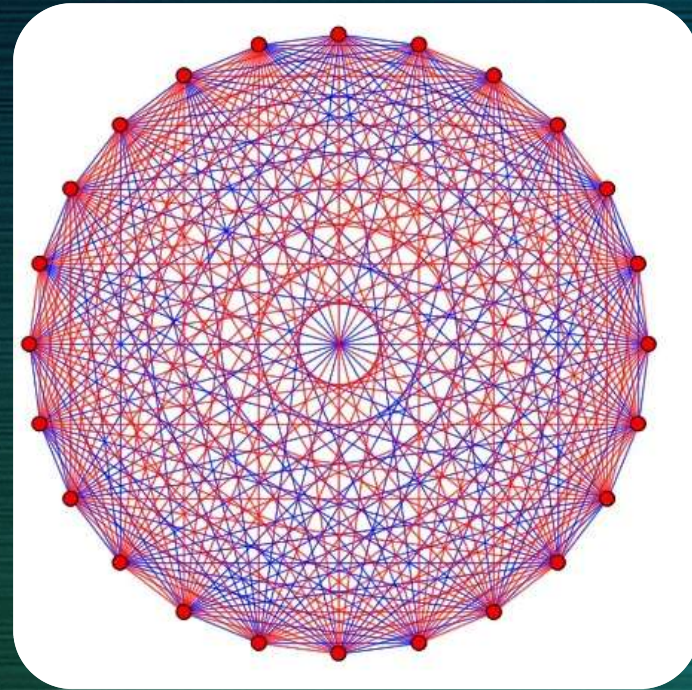


Classical Ramsey Theory

$$r(4,4) = 18$$



$$r(4,5) = 25$$



Classical Ramsey Theory

- Erdős-Szekeres Theorem (1935)

For $s, t \geq 2$,

$$r(s, t) \leq r(s-1, t) + r(s, t-1) \leq \binom{s+t-2}{s-1} < t^{s-1}.$$

Classical Ramsey Theory

- Theorem (Shearer 1982, Ajtai-Komlós-Szemerédi 1983, Li-Rousseau-Zang 1991)

For $s > 2$, as $t \rightarrow \infty$:

$$r(s, t) \lesssim \frac{t^{s-1}}{(\log t)^{s-2}}.$$

Classical Ramsey Theory

- Maximum sets of points in the plane with no k in convex position.
- Permutations of n letters with no monotone subsequence of length k .
- Unit distance graphs.
- Sets with no three-term arithmetic progressions.
- Embeddings of metric spaces with low distortion.

Classical Ramsey Theory

- Sets of points in the square with no triangles of small area.
- Grid points with no three on a line.
- Roth's Theorem and arithmetic progressions of primes.
- Random graphs, percolation and cellular automata.
- Orchard planting problem.

Classical Ramsey Theory

- Theorem (Kim 1995, Bohman-Keevash 2013, Fiz Pontiveros, Griffiths, Morris 2021)

As $t \rightarrow \infty$:

$$r(3, t) \gtrsim \frac{t^2}{4(\log t)}.$$

Classical Ramsey Theory

For $r(4, n)$, the best lower bound known is $c(n \log n)^{5/2}$ due to Spencer,³³ again by using the Lovász local lemma. The best upper bound known is $c'n^3/\log^2 n$, proved by Ajtai, Komlós and Szemerédi²⁷. So there is a nontrivial gap still remaining, as repeatedly pointed out in many problems papers³⁴ of Erdős.

*Problem*¹⁹ (\$250)
Prove or disprove that

$$(2.12) \quad r(4, n) > \frac{n^3}{\log^c n}$$

for some c , provided n is sufficiently large.

³¹P. Erdős, On the construction of certain graphs, *J. Comb. Theory* **17** (1966), 149-153

³³J. Spencer, Asymptotic lower bounds for Ramsey functions, *Discrete Math.* **20** (1977/78), 69-76.

³⁴P. Erdős, Problems and results on graphs and hypergraphs: similarities and differences, *Mathematics of Ramsey theory, Algorithms Combin.*, 5, (J. Nešetřil and V. Rödl, eds.), 12-28, Springer, Berlin, 1990.

³⁶P. Erdős, Some new problems and results in graph theory and other branches of combinatorial mathematics, *Combinatorics and graph theory (Calcutta, 1980), Lecture Notes in Math.*, 885, 9-17, Springer, Berlin-New York, 1981.

885, 9-17, Springer, Berlin-New York, 1981.

combinatorial mathematics, *Combinatorics and graph theory (Calcutta, 1980), Lecture Notes in Math.*

³⁶P. Erdős, Some new problems and results in graph theory and other branches of combina-

Springer, Berlin, 1990.

Mathematics of Ramsey theory, Algorithms Combin., 5, (J. Nešetřil and V. Rödl, eds.), 12-28,

Classical Ramsey Theory

- Theorem (Mattheus-V, Ann. Math. 2024)

As $t \rightarrow \infty$:

$$r(4, t) \gtrsim \frac{t^3}{(64 \log t)^4}.$$

- The proof of this theorem illustrates the philosophy that good Ramsey graphs “hide” inside **pseudorandom graphs**.

Media



Quanta Magazine:

- <https://www.quantamagazine.org/mathematicians-discover-new-way-to-predict-structure-in-graphs-20230622/>



UC San Diego Today

- <https://today.ucsd.edu/story/ramsey-problems>



Carnegie Mellon MCS News

- https://www.cmu.edu/math/news-events/articles/20230712_random-algorithms-math-conference.html



SIAM News

- <https://sinews.siam.org/Details-Page/off-diagonal-ramsey-numbers-from-pseudorandom-graphs>



Belgian HLN Newspaper

- <https://www.hln.be/binnenland/jonge-vlaming-zorgt-voor-doorbraak-in-wiskunde-sam-mattheus-29-kraakt-aartsmoeilijke-code~a8b931d8/>



The Brussels Times Newspaper:

- <https://www.brusselstimes.com/brussels-2/610175/young-vub-researcher-solves-decades-old-maths-problem>

Media



NewScientist

News Features Newsletters Podcasts Video Comment Culture Crosswords | **This week's magazine**

Health Space Physics Technology Environment Mind Humans Life [Mathematics](#) Chemistry Earth Society


Mathematics

Breakthrough in fiendishly hard puzzle

has mathematicians celebrating

⚠ The key to a successful party is a good mix of people

fight off an alien invasion. Now mathematicians have made the first major advance in nearly a century



⚠ The key to a successful party is a good mix of people

Random graphs

- Erdős and Rényi defined the **Bernoulli** or **mean field model** of **random graphs** G_n where the edges of the complete n -vertex graph are sampled independently with probability $1/2$.
- An event A_n occurs **a.a.s (asymptotically almost surely)** if

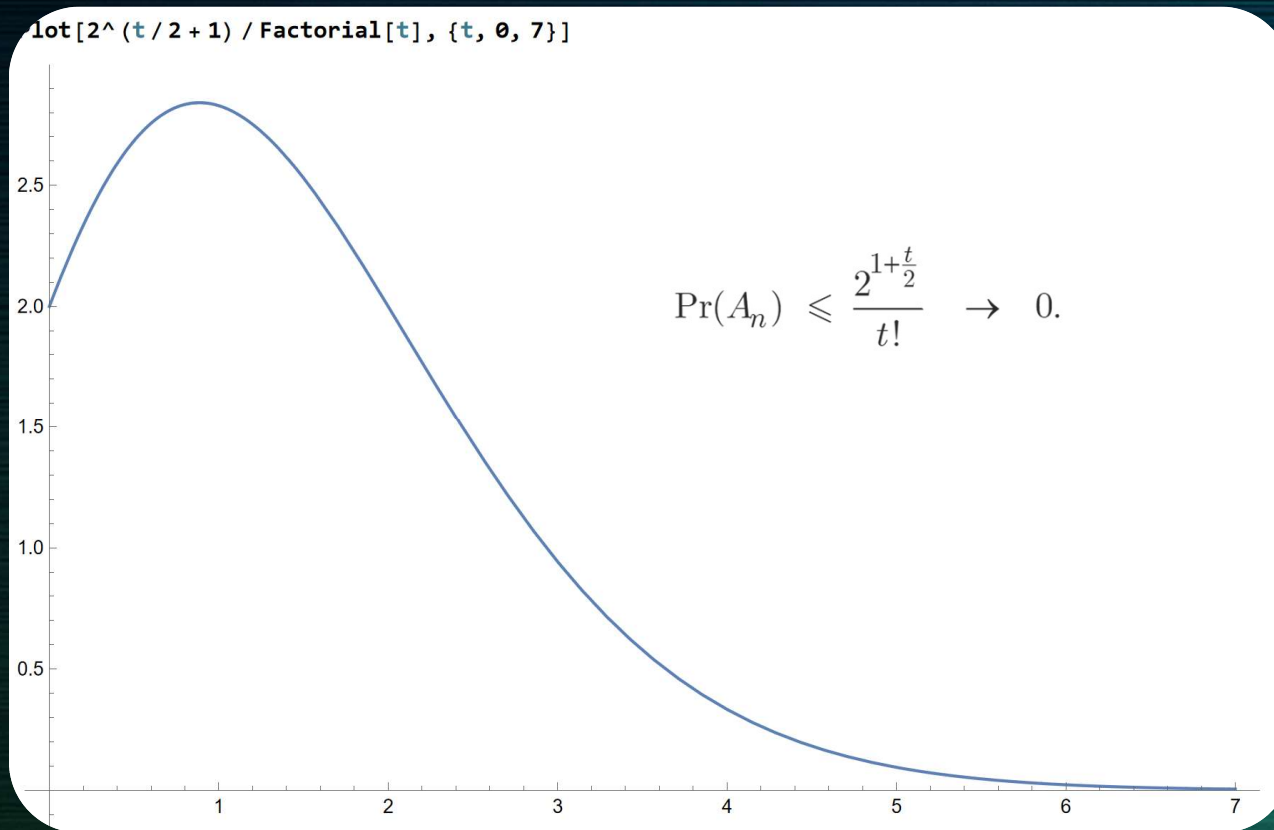
$$\Pr(A_n) \rightarrow 1 \quad \text{as } n \rightarrow \infty.$$

Random graphs

- Let A_n be the event that $G = G_n$ has no **clique** or **independent** set of size t .
- If $n > \sqrt{2}^t$

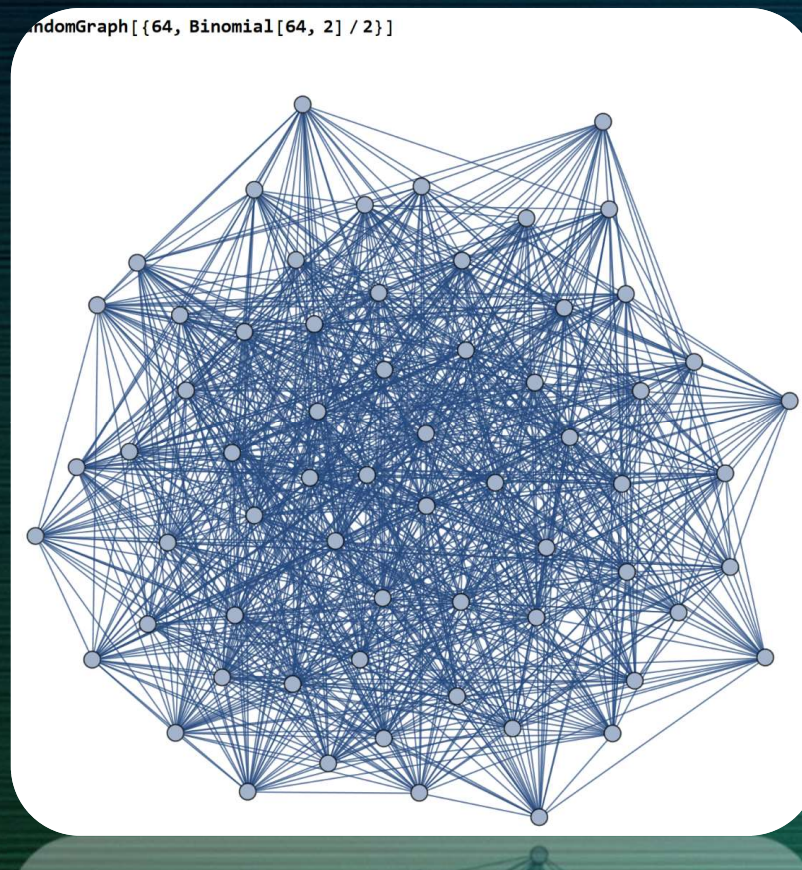
$$\begin{aligned}\Pr(A_n) &\leq 2 \cdot 2^{-\binom{t}{2}} \cdot \binom{n}{t} \\ &\leq 2^{1-\frac{1}{2}t(t-1)} \cdot \frac{n^t}{t!} \\ &\leq 2^{1-\frac{1}{2}t(t-1)} \cdot \frac{\sqrt{2}^t}{t!} < \frac{2^{1+\frac{t}{2}}}{t!} \rightarrow 0.\end{aligned}$$

Random graphs



Random graphs

- Therefore there is a graph with $n > \sqrt{2}^t$ vertices and no clique or independent set of size t .



Random graphs

- We can do better (**deletion method**): delete one vertex from each clique or independent set of size t so that the average number of vertices left is at least

$$n - 2 \cdot 2^{-\binom{t}{2}} \cdot \binom{n}{t}$$

- Maximize this over n to get an N -vertex graph with no clique or independent set of size t , where

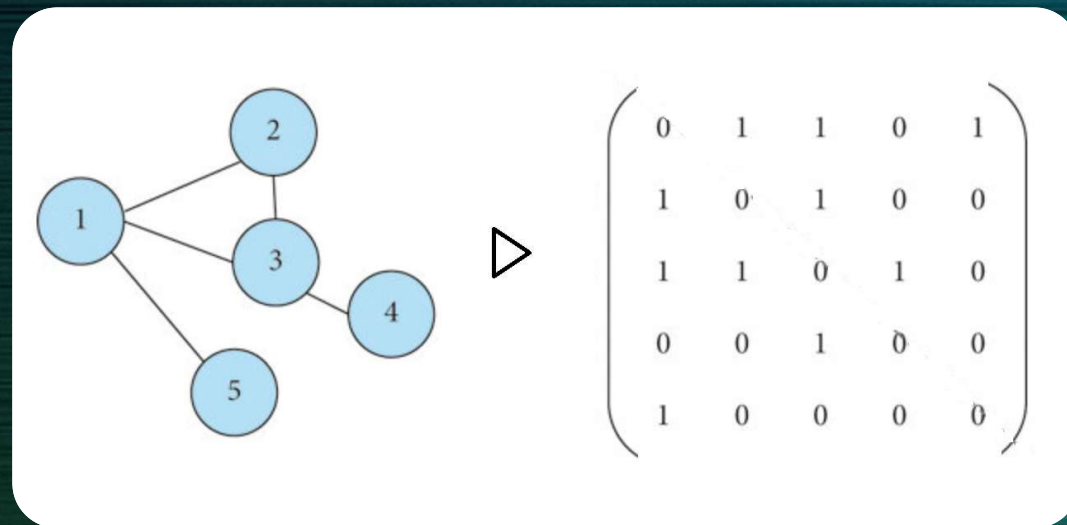
$$N > \frac{t}{e} \cdot \sqrt{2}^t$$

Pseudorandom graphs

- Any graph G has an adjacency matrix

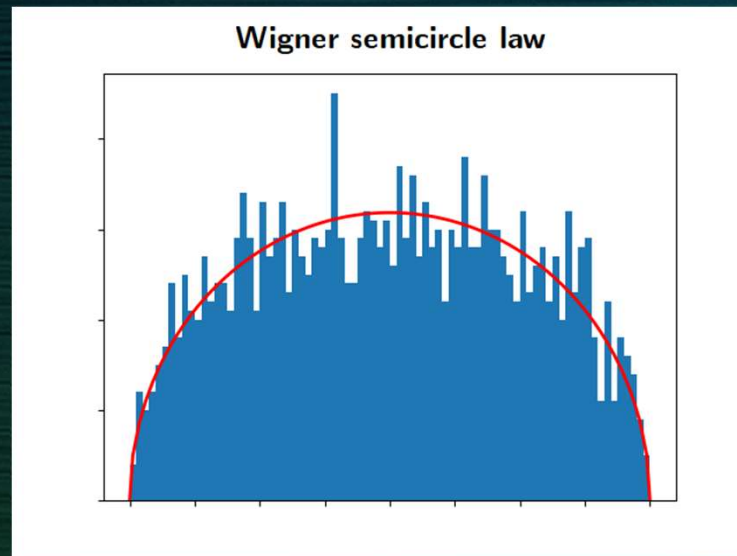
$$A_{ij} = \begin{cases} 1 & \text{if } ij \in E(G) \\ 0 & \text{if } ij \notin E(G) \end{cases}$$

- For example,



Pseudorandom graphs

- The adjacency matrix has **eigenvalues** that are real, since it is symmetric.
- In the case of random graphs, **Wigner's semicircle law** shows they look like:



Pseudorandom graphs

- If the eigenvalues are $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ and G is d -regular and

$$\lambda = \max\{|\lambda_i| : i > 1\}$$

then G is λ -pseudorandom.

- Alon-Boppana Theorem

$$\lambda > \left(2 - \frac{1}{\lfloor \text{diam}(G)/2 \rfloor}\right) \cdot \sqrt{d-1}.$$

- The infinite d -ary tree is the universal cover of d -regular graphs.

Pseudorandom graphs

- If X is any set of in a λ -pseudorandom graph, then

$$2e(X) = \langle Ax, x \rangle$$

- If $\{e_1, e_2, \dots, e_n\}$ is an orthonormal basis of eigenvectors let

$$x = x_1e_1 + x_2e_2 + \dots + x_ne_n$$

Pseudorandom graphs

- Then

$$2e(X) = \langle Ax, x \rangle = \sum_{i=1}^n \lambda_i x_i^2$$

- Recalling $\lambda_1 = d$

$$\left| 2e(X) - dx_1^2 \right| = \left| \sum_{i=2}^n \lambda_i x_i^2 \right|$$

Pseudorandom graphs

- Since the first eigenvector is constant,

$$x_1 = \langle x, e_1 \rangle = \frac{|X|}{\sqrt{n}}$$

- Finally,

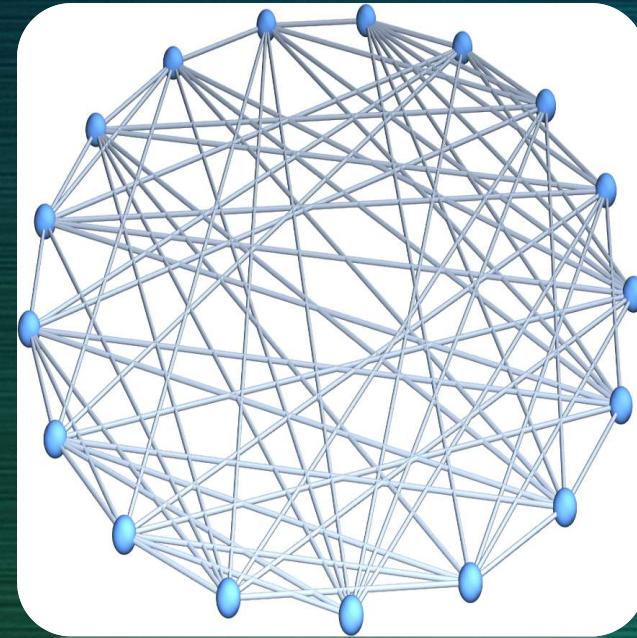
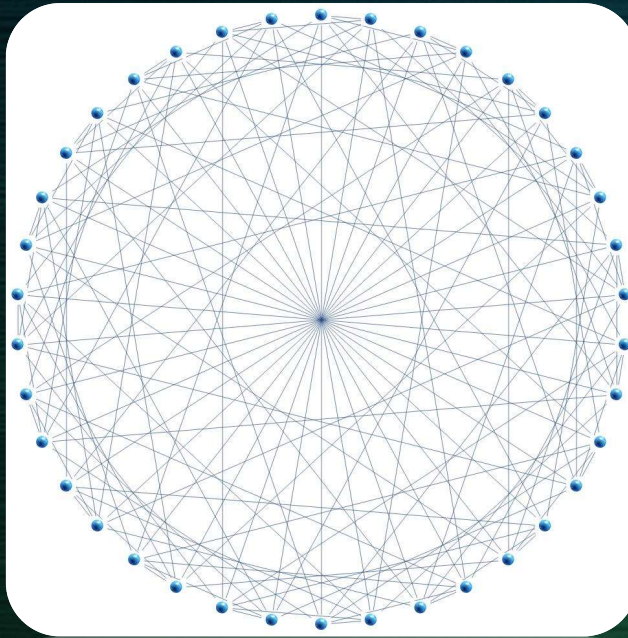
$$\left| 2e(X) - \frac{d}{n}|X|^2 \right| \leq \lambda \left| \sum_{i=1}^n x_i^2 \right| \leq \lambda |X|.$$

Pseudorandom graphs

- If the eigenvalues are $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ and G is d -regular and

$$\lambda = \max\{|\lambda_i| : i > 1\}$$

then G is λ -pseudorandom.

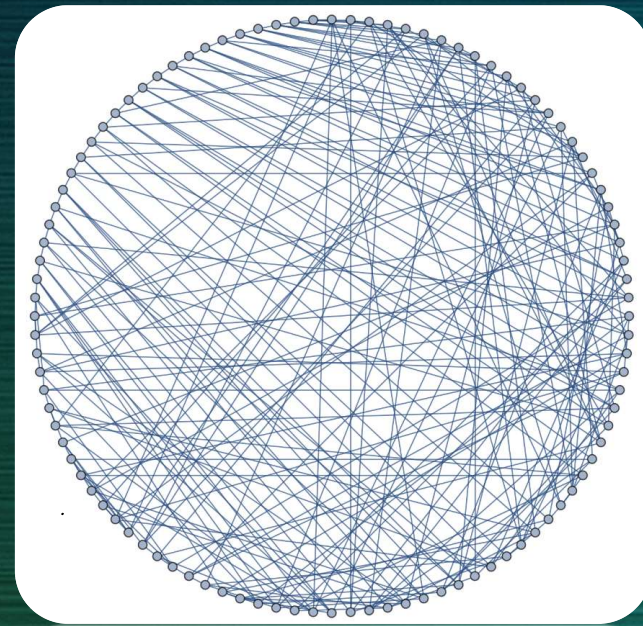
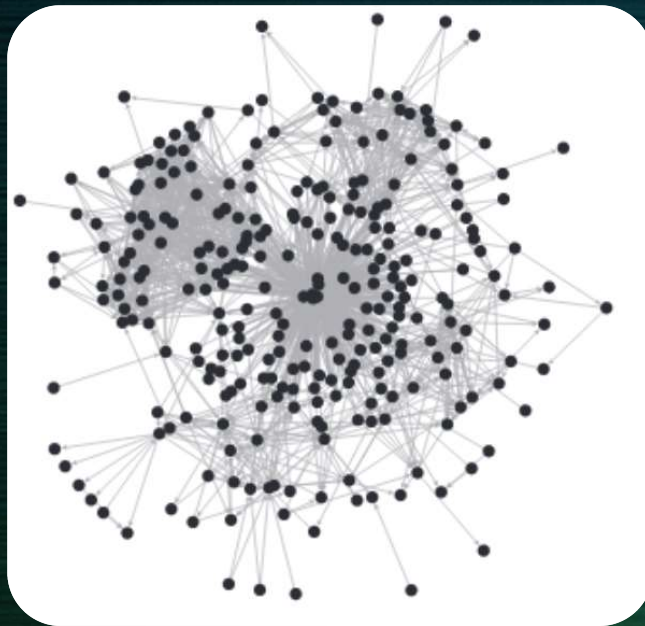


Pseudorandom graphs

- If the eigenvalues are $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ and G is d -regular and

$$\lambda = \max\{|\lambda_i| : i > 1\}$$

then G is **pseudorandom**.



Pseudorandom graphs

- Let n be a prime congruent to 1 mod 4.
- The vertex set of the **Paley graph** P_n is $\{0,1,2,\dots,n\}$.
- The edges are pairs $\{i,j\}$ such that $|i-j|$ is a **quadratic residue mod n** .
- Every edge is in $(n-5)/4$ triangles and every pair of non-adjacent vertices has $(n-1)/4$ common neighbors.

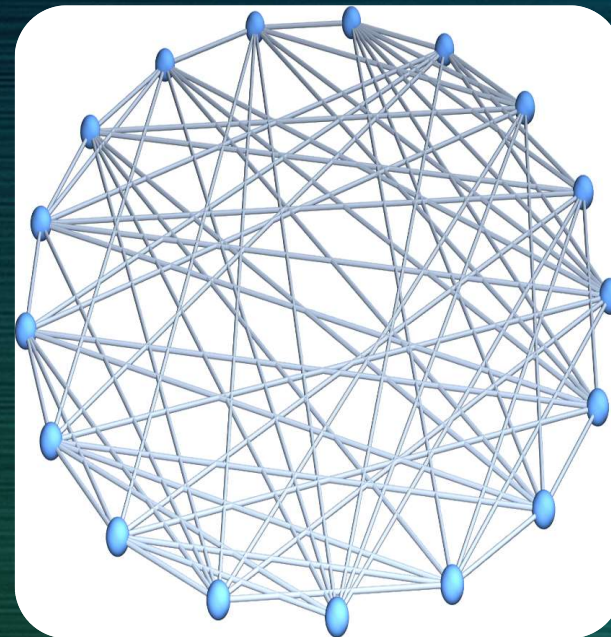
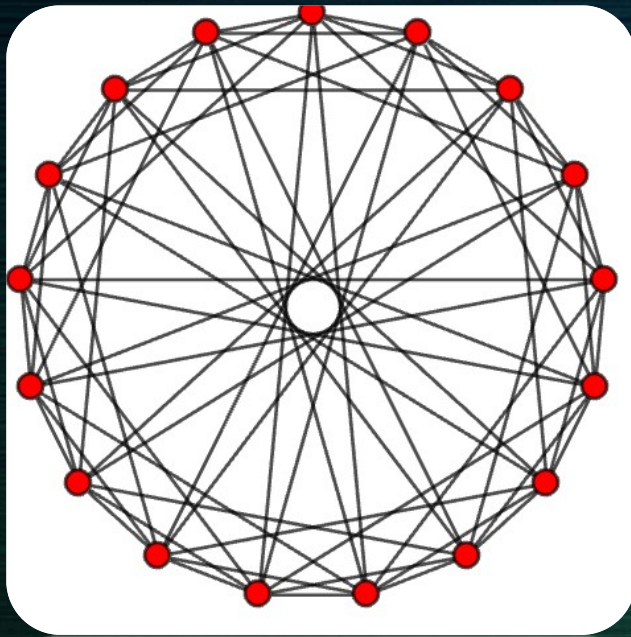
Pseudorandom graphs

- The vertex set of the **Paley graph** P_{17} is $\{0,1,2,\dots,17\}$.
- The edges are pairs $\{i,j\}$ such that $|i-j|$ is a **quadratic residue mod 17**.
- Paley graph P_{17} is **8-regular** and eigenvalues are

$$8, \underbrace{\frac{1+\sqrt{17}}{2}, \dots, \frac{1+\sqrt{17}}{2}}_{8 \text{ times}}, \underbrace{\frac{1-\sqrt{17}}{2}, \dots, \frac{1-\sqrt{17}}{2}}_{8 \text{ times}}$$

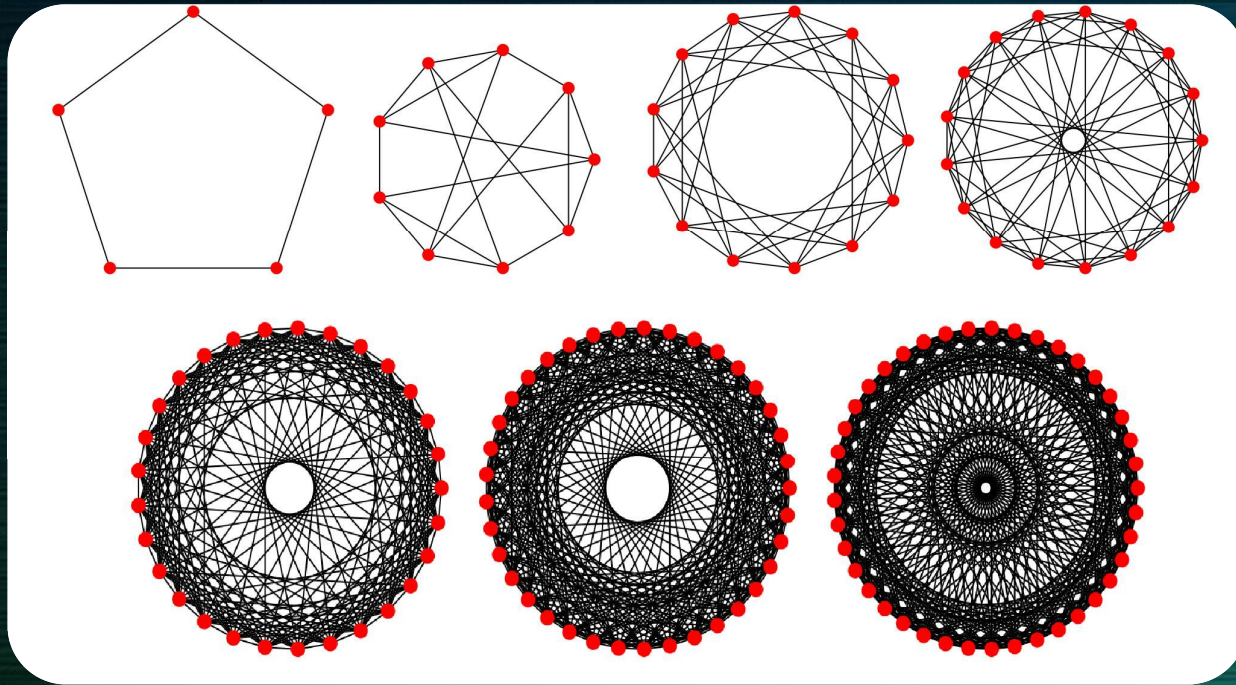
Pseudorandom graphs

- Paley graph P_{17} is 8-regular



Pseudorandom graphs

- Paley graphs



Pseudorandom graphs

- In a d -regular n -vertex graph, the number of independent sets of size $n/(d+1)$ is at least $(d+1)^t$.
- Alon and Rödl (2004) showed that one can do better in pseudorandom graphs.

Pseudorandom graphs

- Theorem (Mubayi-V, JEMS 2023)

The number of independent sets of size $t = n(\log n)^2/d$ is at most

$$\left(\frac{4e^2\lambda}{(\log n)^2} \right)^t$$

Pseudorandom graphs

- When $n = 2^k - 1$ the number of independent sets of size $t = kn/d$ in P_n is at most

$$\left(\frac{4e^2\lambda}{k}\right)^t = \left(\frac{2e^2(1+\sqrt{n})}{k}\right)^t$$

- Randomly sample vertices with probability

$$p = \frac{k}{2e^2(1+\sqrt{n})}$$

Pseudorandom graphs

- Let X be the number of sampled vertices minus one vertex from each independent set of size $t = kn/d < 2k + 1$. Then

$$E(X) = pn - 1$$

- This gives a graph with no independent set of size t and no clique of size t , where the number of vertices is

$$pn - 1 = \frac{kn}{2e^2(1 + \sqrt{n})} - 1 > \frac{k}{2e^2}(\sqrt{n} - 2) > \frac{t}{4e^2} 2^{\frac{t}{2}}$$

Ramsey Numbers

- In a **triangle-free graph** whose adjacency matrix has eigenvalues $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$

$$\text{tr}(A^3) = \sum_{i=1}^n \lambda_i^3 = 0.$$

- If the graph is λ -pseudorandom, then

$$d^3 \geq (n-1)\lambda^3.$$

Ramsey Numbers

- The **Alon-Boppana Theorem** shows

$$\lambda \gtrsim 2\sqrt{d-1}.$$

- We conclude an optimal pseudorandom triangle-free graph has

$$d = \Omega(n^{\frac{2}{3}}) \quad \text{and} \quad \lambda = O(n^{\frac{1}{3}}).$$

- The first examples were constructed by Alon (1991) and later by Kopparty.

Ramsey Numbers

- Consider the **Cayley sum graph** with vertex set \mathbb{F}_q^3 and generators

$$S = \{(xy, xy^2, xy^3) : x \in A, y \in \mathbb{F}_q^*\}$$

where A is a sum-free set closed under additive inverse.

- Eigenvalues are controlled by **character sums** / **Gauss sums**.

Ramsey Numbers

- Similarly, an **optimal pseudorandom** K_s -free graph has

$$d = \Omega(n^{1-\frac{1}{2s-3}}) \quad \text{and} \quad \lambda = O(d^{\frac{1}{2}}).$$

- **Theorem (Mubayi-V, 2023)**

If an optimal pseudorandom K_s -free graph exists, then

$$r(s, t) = \Omega\left(\frac{t^{s-1}}{\log^{2s-4} t}\right).$$

Random Blocks

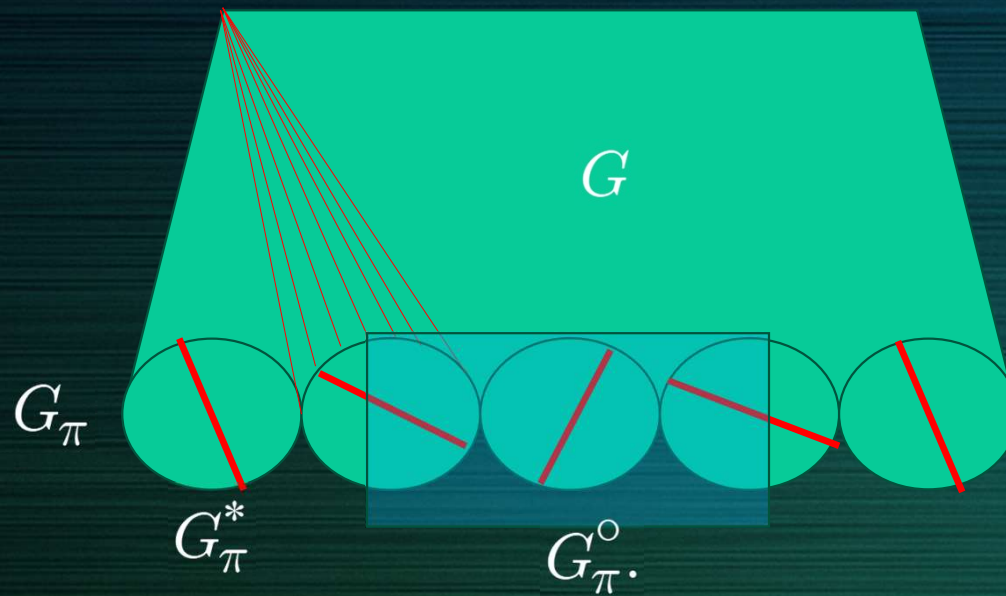
- Let G be a bipartite graph with parts A and B of sizes n and m .
- The **projection** G_π of G onto is the graph consisting of edges between vertices of A at distance two.
- This graph is a union of m **designated cliques**, one for each vertex in B .
- If the graph is a **point-line incidence graph**, then the designated cliques are edge-disjoint.

Random Blocks

- In each designated clique of G_π , independently take a random complete bipartite graph to obtain a random graph G_π^* .
- We refer to this as a **random block construction**.

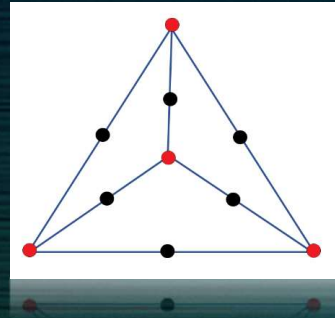
Random Blocks

$$G \rightarrow G_{\pi} \rightarrow G_{\pi}^* \rightarrow G_{\pi}^{\circ}$$



Random Blocks

- Suppose G does not contain a 1-subdivision of K_4 :



- Then every K_4 in G_π contains a triangle in one of the designated cliques.
- Therefore G_π^* is a random K_5 -free graph.

$$r(4, t) = \tilde{\Theta}(t^3)$$

$$G \rightarrow G_\pi \rightarrow G_\pi^* \rightarrow G_\pi^\circ$$

bipartite incidence graph
 Hermitian unital
 no subdivision of K_4
 O'Nan/Paasche configuration

projection
 strongly regular graph
 pseudorandom
 designated clique structure

random blocks
 martingales
 pseudorandom

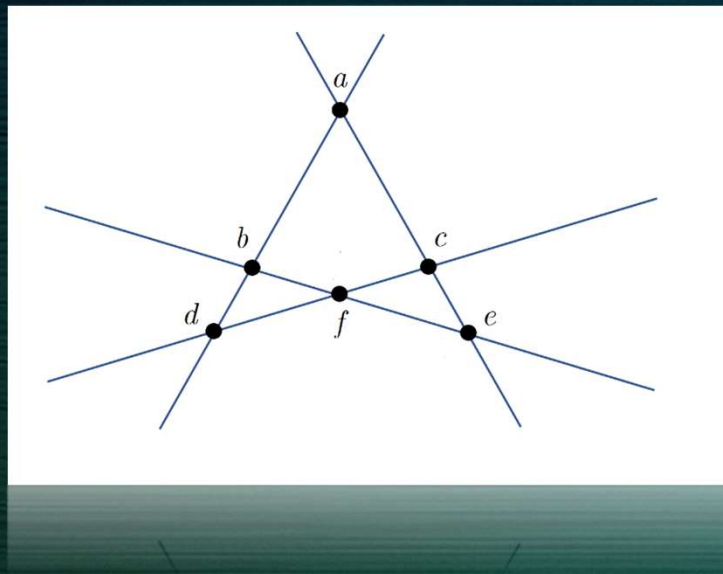
counting independent sets
 random sampling
 Ramsey graph

$$r(4, t) = \tilde{\Theta}(t^3)$$

- Starting point: the bipartite incidence graph G of a **Hermitian unital** in $\text{PG}(2, q^2)$.
- Take all points (x, y, z) satisfying $x^{q+1} + y^{q+1} + z^{q+1} = 0$.
- Then G is an m by n bipartite graph with $m = q^3 + 1$, $n = q^2(q^2 - q + 1)$, and every vertex in the part of size m has degree q^2 , and every vertex in the part of size n has degree $q + 1$.

$$r(4, t) = \tilde{\Theta}(t^3)$$

- The key is that the Hermitian unital does not contain **four lines in general position** (the **O'Nan/Pasche configuration**), as proved by O'Nan (1972).

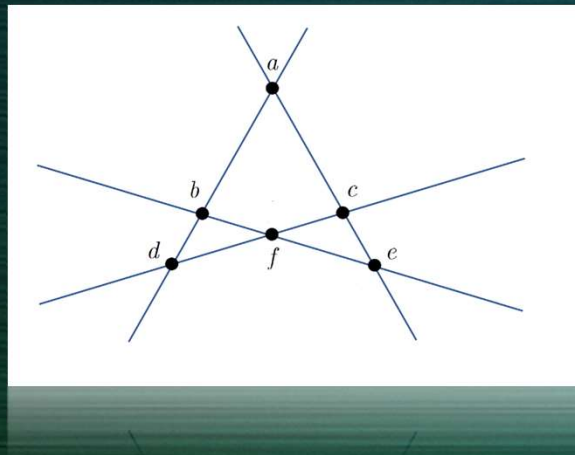


$$r(4, t) = \tilde{\Theta}(t^3)$$

- As $\{a, b, d\}, \{a, c, d\}, \{b, e, f\}, \{c, d, f\}$ are collinear triples, we may choose generators so

$$d = a + b \quad e = a + c \quad f = a + b + c.$$

- Let A be the matrix whose rows are a, b and c and let B be the matrix whose rows are a^q, b^q and c^q . Then A and B are non-singular and so is AB .



$$r(4, t) = \tilde{\Theta}(t^3)$$

- However

$$\begin{aligned} \det(AB) &= \det \begin{pmatrix} \sigma(a, a) & \sigma(a, b) & \sigma(a, c) \\ \sigma(b, a) & \sigma(b, b) & \sigma(b, c) \\ \sigma(c, a) & \sigma(c, b) & \sigma(c, c) \end{pmatrix} \\ &= \sigma(a, b)\sigma(b, c)\sigma(c, a) - \sigma(b, a)\sigma(a, c)\sigma(c, b) = 0 \end{aligned}$$

Erdős-Rogers Functions

- Given graphs F and G , let $f_{F,G}(n)$ denote the maximum number of vertices in an F -free subgraph of every G -free n -vertex graph. (Erdős-Rogers 1962)
- Erdős-Rogers, Bollobás-Hind, Dudek-Rödl, Dudek-Retter-Rödl, Krivelevich, Alon-Krivelevich, Wolfowitz, Gowers-Janzer, Janzer-Sudakov, ...
- Theorem (Wolfovits)

$$f_{K_3, K_4}(n) \leq n^{\frac{1}{2}} (\log n)^{120}$$

Erdős-Rogers Functions

- It is easy to see

$$f_{K_s, K_{s+1}}(n) > \sqrt{n} - 1.$$

- In fact (Shearer)

$$f_{K_s, K_{s+1}}(n) > \sqrt{\frac{n \log n}{\log \log n}}.$$



Erdős-Rogers Functions

- Theorem (Mubayi-V, 2024)

Let $s > 3$. Then for any K_4 -free graph F containing a k -cycle,

$$bn^{\frac{1}{3} + \frac{1}{3k}} < f_{F, K_4}(n) < cn^{\frac{1}{2}} \log n.$$

Erdős-Rogers Functions

- Theorem (Mubayi-V, 2024)

For any triangle-free graph F ,

$$f_{F, K_3}(n) = n^{\frac{1}{2} + o(1)}.$$



note.jnt

Open Problem I

- Conjecture (V)

For $s > 2$,

$$f_{K_s, K_{s+1}}(n) = \Theta(n^{\frac{1}{2}} \log n).$$

- Problem

Suppose the neighborhood of every vertex in an n -vertex graph G of maximum degree d induces a bipartite graph. Does G contain an induced triangle-free subgraph of size

$$\omega(d) \cdot \frac{n \log d}{d}?$$

Open Problem I

- Theorem (Ajtai, Komlós, Pintz, Spencer, Szemerédi 1982, V-Wilson, 2024)

Every locally sparse n -vertex triple system of maximum degree d has an independent set of size at least

$$\frac{n\sqrt{\log d}}{4\sqrt{d}}.$$

Open Problem II

- For some $C > 0$, is the number of independent sets of size

$$t = \left\lceil \frac{Cn \log n}{d} \right\rceil$$

in a λ -pseudorandom graph at most

$$\left(\frac{C\lambda}{\log n} \right)^t ?$$

Open Problem III

- In $\text{PG}(2, q^3)$ is there a set of roughly q^4 points and q^6 lines of size q each such that **no five lines** are in general position?

