An ultraproduct approach to rigged Hilbert spaces

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Geometry from the model theorist's point of view Oxford, September 2024

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The probabilities of possible outcomes are coded in a state or wave function. This is often presented as a unit vector in complex Hilbert space.

In particular, the position of a particle is described by a function ψ of a position variable $\bar{x}\in\mathbb{R}^n$ such that $|\psi(\bar{x})|^2$ encodes probabilities:

$$
\int_{\mathbb{R}^n} |\psi(\bar{x})|^2 d\bar{x} = 1 \quad \text{and} \quad \mathbb{P}(\bar{x} \in E) = \int_E |\psi(\bar{x})|^2 d\bar{x}.
$$

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In general, *observables* are described by self-adjoint operators, and states correspond to wave functions over their spectrum.

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In beginning physics books, these operators are often presented in a "generalised eigenvector decomposition", pretending the space is spanned by eigenvectors for the values in the spectrum, so called Dirac delta functions, functions with point support and norm 1.

Note, that Dirac did not think of his deltas as being actual vectors.

Time evolution

A quantum system evolves over time according to the Schrödinger equation

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i\hbar \frac{d}{dt}\psi = H\psi
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where H is the Hamiltonian of the system, the self-adjoint operator corresponding to the energy of the system (depends on system).

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where H is the Hamiltonian of the system, the self-adjoint operator corresponding to the energy of the system (depends on system).

Knowing the Hamiltonian, one can – in theory – calculate later states of the system

$$
\psi_t = K^t \psi_0
$$

where

$$
K^t = e^{-itH/\hbar}.
$$

Here we assume H is time-independent.

The Feynman propagator

The Feynman propagator is a function $K(x, y, t)$ giving the probability amplitude of the observable changing from value x to value y in time t .

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With eigenstates $|x\rangle$ and $|y\rangle$

 $K(x, y, t) = \langle y | K^t | x \rangle.$

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$$
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Without eigenvectors, we look at the kernel of the integral representation of K^t (if it exists)

$$
(\mathcal{K}^t\psi)(y) = \int_{\mathbb{R}} \mathcal{K}(x, y, t)\psi(x)dx
$$

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A quest for eigenvectors

With Hyttinen we have been trying to

- find structures where Dirac deltas exist (and behave like vectors)
- justify approximations by finite dimensional spaces

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- **•** find structures where Dirac deltas exist (and behave like vectors)
- justify approximations by finite dimensional spaces

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Next, we'll look at a distribution approach to Dirac deltas.

Rigged Hilbert space

A rigged Hilbert space consists of a Hilbert space H and a subspace Φ of "test functions", with a finer norm on Φ. One then has

$$
\Phi\subset H\subset \Phi^*
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where Φ^* is the *(anti-)dual* of Φ , the set of anti-linear functionals over Φ .

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 (Φ, H, Φ^*) is also called a Gelfand triple.

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Example

Let $H = L_2(R)$, and let Φ the set of *Schwartz functions*, i.e., infinitely differentiable functions $\varphi : \mathbb{R} \to \mathbb{C}$ whose derivatives tend to 0 at infinity faster than any power of $\frac{1}{|x|}.$ Then for every $x \in \mathbb{R}$ the functional $f_x \varphi = \varphi(x)$ acts as a Dirac delta function corresponding to the value x .

The spectral theorem

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Theorem

If A is a bounded self-adjoint operator on H with a cyclic vector, then there exists a measure μ on $\sigma(A)$ and a unitary operator $U : H \to L_2(\mathbb{R}, d\mu)$ such that

$$
UAU^{-1}\varphi(x)=x\varphi(x).
$$

Note: in the non-cyclic case we get an orthogonal sum of such L_2 spaces.

A map ahead

We will

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- build a spectral representation for a bounded, self-adjoint operator with a cyclic vector,
- build the spectral measure as an ultraproduct of scaled counting measures in finite dimensional spaces,
- look closer at the ultraproduct, to find something resembling a rigged Hilbert space

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Theorem (Stone)

There is a one-one correspondence between unitary operators U and self-adjoint operators A with spectrum \subseteq [0, 1] and not having 0 in the point spectrum, given by $U=e^{2\pi iA}$.

Fact

We can modify the above, to consider spectra \subset $\left[-\frac{\pi}{2}\right]$ $\frac{\pi}{2}$, $\frac{\pi}{2}$ $\frac{\pi}{2}$], and a correspondence $U = e^{iA}$.

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Now φ is cyclic also for U , in the sense that the vectors $U^k \varphi, \ k \in {\mathbb Z}$, span a dense set of H.

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Finding finite dimensional approximations of (H, U)

Consider the spanning vectors

$$
\cdots \quad U^{-n}\varphi \quad U^{-n+1}\varphi \quad \cdots \quad U^{-1}\varphi \quad \varphi \quad U\varphi \quad \cdots \quad U^{n-1}\varphi \quad U^{n}\varphi \quad \cdots
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$$

Define finite dimensional spaces

$$
H_N=\overline{\text{span}\{U^k\varphi:-N\leq k\leq N\}}
$$

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Approximations of U

Let

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and let W^+ and W^- be their corresponding orthogonal complements in H_N

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H_N = H_N^- \oplus W^+ = W^- \oplus H_N^+
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Let U_N be built from

- U on H_N^- N
- a unitary operator mapping W^+ to W^-

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Eigenvectors

In each H_N

 \bullet U_N is unitary and has an eigenvector basis $(u_N(k))_{k \leq 2N+1}$ with corresponding eigenvalues $\lambda_N(k)$,

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$$
\varphi = \sum_{k=0}^{2N} \xi_N(k) u_N(k)
$$

where each $\xi_\mathcal{N}(k)$ is a non-negative real, and $\sum_{k=0}^{2N} \xi_\mathcal{N}(k)^2 = 1$.

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Note: The spaces H_N extend each other, but the bases do not.

A first glimpse of the ultraproduct model

Let U be a non-principal ultrafilter on ω .

Our central model will be the metric ultraproduct of the spaces (H_N, U_N) .

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As φ is cyclic, there is a natural embedding of H into H^m :

Definition

For $P(X, Y) \in \mathbb{C}[X, Y]$, let $P(U_N, U_N^{-1})$ $\binom{n-1}{N}$ be natural interpretation as an operator on H_N , e.g.,

$$
X^2Y(U_N,U_N^{-1})=U_N\circ U_N\circ U_N^{-1}=U_N.
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Then $P(\mathit{U_{N}}, \mathit{U_{N}^{-1}}$ $\binom{n-1}{N}(\varphi)$ makes sense in almost all H_N , and thus we can define $G^m : H \to H^m$ by

$$
G^m(P(U_N,U_N^{-1})(\varphi))=(P(U_N,U_N^{-1})(\varphi))_{N<\omega}/\mathcal{U}.
$$

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Spectral measure in H_N

Remember: in each H_N , $\varphi = \sum_{k=0}^{2N} \xi_N(k) u_N(k)$

Definition

For each $N < \omega$, define a measure μ_N for subsets $X \subset \mathbb{C}$:

$$
\mu_N(X) = \sum_{k < 2N, \lambda_N(k) \in X} \xi_N(k)^2
$$

Note that for all $X \subset \mathbb{C}$, $\mu_N(X) \leq 1$, as $\|\varphi\| = 1$.

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 \bullet define an outer measure based on the μ^n -value of boxes bounded by nice lines

$$
\mu^*(Y) = \inf \left\{ \sum_{k=0}^{\infty} \mu^n(X_k) \mid X_k \text{ a nice box, } Y \subseteq \bigcup_{k < \omega} X_k \right\}
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 $\bullet\,$ by Caratheodory's construction, find a $\sigma\text{-algebra}$ of sets for which μ^* is a measure QQ

Spectral representation for U

Consider the space $L_2(S, \mu^*)$, where S is a suitable compact subset of $\mathbb C$, the complement of which has zero μ^* -measure.

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Let $D(S)$ be the subspace of $C(S)$ that consists of functions

 $f_P(\lambda) = P(\lambda, \overline{\lambda})$

where $P \in \mathbb{C}[X, Y]$ and $\overline{\lambda}$ is the complex conjugate of λ .

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where $P \in \mathbb{C}[X, Y]$ and $\overline{\lambda}$ is the complex conjugate of λ . Define U_D and U_D^* by

 $U_D(f_P) = f_{XP}$ and $U_D^*(f_P) = f_{YP}$.

Note that $U_D(f_P)(\lambda) = \lambda f_P(\lambda)$, and $U_D^*(f_P)(\lambda) = \overline{\lambda} f_P(\lambda)$.

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Theorem

- \bullet The measure μ^* is zero outside the spectrum of U.
- \bullet There is an isometry mapping $L_2(S,\mu^*)$ to H, and (the extension of) U_D to U . (We find it going via H^m .)
- \bullet We can transfer the measure μ^* from the unit circle to the real line to get (from the isometry above) an isomorphism between $L_2(\sigma(A), \mu)$ and (H, A) .

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Dissecting the ultraproduct construction

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- **1** form the product
- **2** throw out the infinite elements
- **3** find the subspace of infinitesimal elements and quotient them out

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If X is the range of this "absolute value", then $\mathbb{R}^u = X \cup \{-r \mid r \in X\}$ is a real closed field containing the reals. In particular, it is linearly ordered and can be used to compare the "norm" $||v||^u$ of vectors $v \in H^u$ and rational numbers.

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We den define $\langle u|v \rangle$ to be

- $q \in \mathbb{C}$ such that $\langle u | v \rangle$ is infinitesimally close to q, if such a q exists
- ∞ otherwise

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Other norms

We look two functions from H_N to \mathbb{C} .

Definition $("L₁-norm")$

Let $X \subseteq S \subseteq \mathbb{C}$ be a closed set. Define

$$
\|\sum_{k<2N+1}a_nu_N(n)\|_{\infty}^X=\sup{\{\xi_N(n)^{-1}|a_n| \mid \lambda_N(n)\in X\}},
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where 0^{-1} is interpreted as 0.

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$$

Considering ultraproducts of these, we get – on part of H^u – seminorms that can be used for the "metric steps" of the ultraproduct construction: throwing out "bad" elements and moding out in[fini](#page-60-0)t[es](#page-62-0)[i](#page-58-0)[m](#page-59-0)[a](#page-61-0)[l](#page-62-0)[s.](#page-50-0) 2990

Distributions

- For $f\in\mathcal{C}(\mathcal{S}),\ \|F^u(f)\|_0\leq \|F^u(f)\|_2\leq \|F^u(f)\|_\infty,$ where F^u is a particular embedding of $C(S)$ into H^u (used also to find the isometry between $L_2(S, \mu^*)$ and H).
- Distributions can be found as vectors in the space H^{m0} , the metric ultraproduct built from the 0-norm.
- Under extra assumptions, the distributions can be used to calculate Feynman propagators in the physics style.

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