

# Binomial coefficients

## Miscellaneous Curiosities

### Recall:

- For  $n$  a non-negative integer and  $r$  an integer,  

$$\binom{n}{r} = \text{number of subsets of size } r \text{ of a set of size } n$$

$$= \frac{n!}{r!(n-r)!} \quad \text{if } 0 \leq r \leq n$$

$$= 0 \quad \text{else.}$$
- Pascal's triangle
- Pascal's Formula:  $\binom{n}{r} = \binom{n-1}{r} + \binom{n-1}{r-1}$
- $\binom{n}{r} = \binom{n}{n-r}$
- $\sum_{r=0}^n \binom{n}{r} = 2^n$
- $(x+y)^n = \sum_{r=0}^n \binom{n}{r} x^{n-r} y^r$

### Remark:

$\binom{n}{r}$  = number of paths from root of Pascal's triangle to the  $(n, r)$  position.

### Further identities:

- $k \binom{n}{k} = n \binom{n-1}{k-1}$  (immediate from  $\binom{n}{k} = \frac{n(n-1)\dots(n-k+1)}{k(k-1)\dots 1}$ )
- $(x+1)^n = \sum_{r=0}^n \binom{n}{r} x^r$
- $0 = ((-1) + 1)^n = \sum_{r=0}^n \binom{n}{r} (-1)^r$ ;  
 so alternating sum of binomial coefficients is 0;  
 so sum of even coefficients = sum of odd coefficients =  $2^{n-1}$ .

### Yet further identities:

- (i)  $\binom{n+1}{r+1} = \sum_{s=0}^n \binom{s}{r}$
- (ii)  $\sum_{r=0}^n \binom{n}{r}^2 = \binom{2n}{n}$
- (iii)  $\sum_{r=0}^n r \binom{n}{r} = n2^{n-1}$

### Proofs:

- (i) Iteratively apply Pascal's formula:
 
$$\begin{aligned} \binom{n+1}{r+1} &= \binom{n}{r+1} + \binom{n}{r} \\ &= \binom{n-1}{r+1} + \binom{n-1}{r} \\ &= \dots \\ &= \binom{0}{r+1} + \binom{0}{r} + \dots + \binom{n-1}{r} \\ &= \binom{0}{r} + \dots + \binom{n-1}{r} \end{aligned}$$

Alternative inductive proof:

Easily holds for  $n = r = 0$ .

Suppose inductively it holds for smaller  $n + r$ .

Then using Pascal's formula, we have:

$$\begin{aligned} \binom{n+1}{r+1} &= \binom{n}{r+1} + \binom{n}{r} \\ &= \sum_{k=0}^{n-1} \binom{k}{r} + \sum_{k=0}^{n-1} \binom{k}{r-1} \\ &= \sum_{k=0}^{n-1} \left( \binom{k}{r} + \binom{k}{r-1} \right) \\ &= \sum_{k=0}^{n-1} \binom{k+1}{r} \\ &= \sum_{k=1}^n \binom{k}{r} \\ &= \sum_{k=0}^n \binom{k}{r} \end{aligned}$$

(ii) Consider diamonds in Pascal's triangle.

OR: Given a set  $S$  of size  $2n$ , arbitrarily split it into two sets  $S_1, S_2$  of size  $n$ .

Then an  $n$ -subset  $S'$  of  $S$  corresponds to the pair  $(S' \cap S_1, S' \cap S_2)$ .

The pairs of subsets arising in this way are precisely those of sizes summing to  $n$ ,

so

$$\binom{2n}{n} = \sum_{r=0}^n \binom{n}{r} \binom{n}{n-r} = \sum_{r=0}^n \binom{n}{r}^2$$

(iii) Neat algebraic proof:

$$\begin{aligned} n(x+1)^{n-1} &= \frac{d}{dx} (x+1)^n = \frac{d}{dx} \sum_{r=0}^n \binom{n}{r} x^r \\ &= \sum_{r=0}^n r \binom{n}{r} x^{r-1} \end{aligned}$$

This holds for all  $x$ ; taking  $x = 1$  gives the result.

**Examples of (i):**

- $\binom{n}{1} = \sum_{s=0}^{n-1} \binom{s}{0} = \sum_{s=0}^{n-1} 1 = n$
- $\binom{n}{2} = \sum_{s=0}^{n-1} \binom{s}{1} = \sum_{s=0}^{n-1} s = n$ th triangular number
- $\binom{n}{3} = \sum_{s=0}^{n-1} \binom{s}{2} = n$ th pyrimidal number

## Multinomial theorem

What is the coefficient  $a_{r,s,t}$  of  $x^r y^s z^t$  in the expansion of  $(x + y + z)^n$ ?

Clearly  $a_{r,s,t} \neq 0$  only if  $r + s + t = n$ .

$a_{r,s,t}$  is the number of ways of choosing  $r$   $x$ 's,  $s$   $y$ 's, and  $t$   $z$ 's from the  $n$  factors  $(x + y + z)$ ;

i.e. the number of strings like "xyzyxyzyzy" with this many of each letter;

i.e. the number of permutations of the multiset  $\{r * x, s * y, t * z\}$ .

So as we saw before,

$$a_{r,s,t} = \frac{n!}{r!s!t!}.$$

Write  $\binom{n}{r \ s \ t}$  for this number.

Generalising to arbitrarily many variables, we have

**Theorem:**

$$(x_1 + \dots + x_t)^n = \sum_{n_i \geq 0, n_1 + \dots + n_t = n} \binom{n}{n_1 \ n_2 \ \dots \ n_t} x_1^{n_1} x_2^{n_2} \dots x_t^{n_t}$$

Here,  $\binom{n}{n_1 \ n_2 \ \dots \ n_t} = \frac{n!}{n_1! \dots n_t!}$  are the multinomial coefficients (only defined if  $n_1 + \dots + n_t = n$ ).

**Note:**

$$\binom{n}{r} = \binom{n}{r \ n-r}.$$

The number of terms in the multinomial expansion of  $(x_1 + \dots + x_t)^n$  is the number of  $n$ -combinations with  $t$  types in unlimited supply, which we saw is

$$\binom{n+t-1}{n}.$$

**Unnatural exponents:**  $(x + y)^\alpha$ **Theorem [Newton's Binomial Theorem]:**

Let  $\alpha$  be a real (or even complex) number.

Suppose  $0 \leq |x| < |y|$ .

Then

$$(x + y)^\alpha = \sum_{k=0}^{\infty} \binom{\alpha}{k} x^k y^{\alpha-k}$$

where

$$\binom{\alpha}{k} = \frac{\alpha(\alpha-1)\dots(\alpha-k+1)}{k!}.$$

Note that for  $\alpha$  natural, this agrees with our previous definition.

**Proof (not on syllabus):**

Dividing through by  $y^\alpha$ , sufficient to show that for  $|z| < 1$ ,

$$(1 + z)^\alpha = \sum_{k=0}^{\infty} \binom{\alpha}{k} z^k.$$

We show this for complex  $z$  with  $|z| < 1$ .

$(1 + z)^\alpha = \exp(\alpha \log(1 + z))$  for any choice of branch.

This is holomorphic on the domain  $|z| < 1$ ,

so the Taylor series at 0 converges to the value of the function on this domain.

Since  $\frac{d}{dz}(1 + z)^\alpha = \alpha(1 + z)^{\alpha-1}$  and  $(1 + 0)^\alpha = 1$ ,

this gives

$$\begin{aligned} (1 + z)^\alpha &= ((1 + z)^\alpha|_{z=0}) \frac{z^0}{0!} + \\ &\quad (\alpha(1 + z)^{\alpha-1}|_{z=0}) \frac{z^1}{1!} + \\ &\quad (\alpha(\alpha-1)(1 + z)^{\alpha-2}|_{z=0}) \frac{z^2}{2!} + \dots \\ &= \sum_{k=0}^{\infty} (\alpha(\alpha-1)\dots(\alpha-k+1)) \frac{z^k}{k!} \\ &= \sum_{k=0}^{\infty} \binom{\alpha}{k} z^k \end{aligned}$$

□

**Examples:**

- $\frac{1}{1+z} = (1 + z)^{-1}$   
 $= \sum_{k=0}^{\infty} \binom{-1}{k} z^k$

$$\begin{aligned}
&= \sum_{k=0}^{\infty} \frac{(-1)^k (-2)^k \dots (-k)^k}{k * (k-1) * \dots * 1} z^k \\
&= \sum_{k=0}^{\infty} (-1)^k z^k \\
&= 1 - z + z^2 - z^3 + \dots
\end{aligned}$$

•

$$\begin{aligned}
\sqrt{37} &= \sqrt{6^2 + 1} = 6\sqrt{1 + 1/36} = 6(1 + 1/36)^{1/2} \\
&= 6\left(\sum_{k=0}^{\infty} \binom{1/2}{k} (1/36)^k\right)
\end{aligned}$$

Now for  $k > 0$ ,

$$\begin{aligned}
\binom{1/2}{k} &= \frac{\frac{1}{2} \frac{1-2}{2} \dots \frac{1-2(k-1)}{2}}{k!} \\
&= \frac{(-1)^{k-1} 1 * 3 * 5 * \dots * (2k-3)}{2^k k!} \\
&= \frac{(-1)^{k-1} (2k-2)!}{2^k (2 * 4 * \dots * (2k-2)) k!} \\
&= \frac{(-1)^{k-1} (2k-2)!}{2^{2k-1} (k-1)! k!} \\
&= \frac{(-1)^{k-1} (2k-2)}{k 2^{2k-1}} \binom{2k-2}{k-1}
\end{aligned}$$

So

$$\begin{aligned}
\sqrt{1+z} &= 1 + \sum_{k=1}^{\infty} \frac{(-1)^{k-1}}{k 2^{2k-1}} \binom{2k-2}{k-1} z^k \\
&= 1 + \frac{1}{2}z - \frac{1}{8}z^2 + \frac{1}{16}z^3 - \frac{1}{25}z^4 + \dots
\end{aligned}$$

So  $\sqrt{37} = 6(1 + 1/36)^{1/2}$ 

$$\begin{aligned}
&\approx 6(1 + 1/(2 * 36) - 1/(8 * 36^2) + 1/(16 * 36^3) - 1/(25 * 36^4)) \\
&= 6.0828
\end{aligned}$$

(Error is very small:  $(6 * (1 + 1/(2 * 36) - 1/(8 * 36^2) + 1/(16 * 36^3) - 1/(25 * 36^4)))^2 = 36.99999992692224$ )