

# The Graph Complex via Category Theory

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# Recollections I

## Notations

Fix a positive integer  $d \geq 2$ . We will use the following notations for  $g$  a positive integer:

- ▶  $M_g = \#^g S^d \times S^d$ ,  $M_{g,1}$  is  $M_g$  with an open  $2d$ -disk removed.
- ▶  $H_g = H_d(M_g; \mathbb{Z})$  (thought of as having degree 0)
- ▶  $V_g = s^{d-1} H_g \otimes \mathbb{Q}$
- ▶  $\Gamma_g = \text{Aut}(H_g, \mu, q)$ , where  $\mu$  is the intersection pairing.
- ▶  $\mathbb{L}(V_g)$  the free Lie algebra on  $V_g$  is a minimal Quillen model for  $M_{g,1}$ .
- ▶  $\omega_g = \frac{1}{2} \sum_i [\alpha_i^\#, \alpha_i] \in \mathbb{L}(V_g)$  represents (up to sign) the homotopy class of the inclusion of the boundary  $S^{2d-1} \hookrightarrow M_{g,1}$ .

## remark

The intersection pairing  $\mu$  makes  $V_g$  into a **graded anti-symmetric** inner product space.

## Recollections II

### Notations

With  $d$  and  $g$  as above:

- ▶  $X_g = \text{Baut}_{\partial}(M_{g,1})$
- ▶  $\mathfrak{g}_g = \text{Der}_{\omega_g}^+ \mathbb{L}(V_g)$ , the positive truncation of the dg Lie algebra of derivations of  $\mathbb{L}(V_g)$  that kill  $\omega_g$ .

### Theorem [BM]

The Lie algebra  $\mathfrak{g}_g$  is a Quillen model for  $\text{Baut}_{\partial,0}(M_{g,1})$ , the connected component of the identity of  $X_g$ .

### Theorem [BM]

The stabilization map  $M_{g,1} \hookrightarrow M_{g+1,1}$  induces an isomorphism

$$H_k(X_g; \mathbb{Q}) \rightarrow H_k(X_{g+1}; \mathbb{Q})$$

for  $g > 2k + 4$ , and an epimorphism for  $g = 2k + 4$ .

## Recollections III

### Notation

Letting  $g \rightarrow \infty$ , we let  $X_\infty = \text{hocolim}_g X_g$ ,  $\Gamma_\infty = \text{colim}_g \Gamma_g$ , and  $\mathfrak{g}_\infty = \text{colim}_g \mathfrak{g}_g$ , under the stabilization maps.

### Observation

The canonical map  $X_g \rightarrow X_\infty$  induces an isomorphism

$$H^k(X_\infty; \mathbb{Q}) \rightarrow H^k(X_g; \mathbb{Q})$$

for  $g > 2k + 4$ .

### Theorem [BM]

Let  $d \geq 3$ . There is an isomorphism of graded rings

$$H^*(X_\infty; \mathbb{Q}) \cong H^*(\Gamma_\infty; \mathbb{Q}) \otimes H_{CE}^*(\mathfrak{g}_\infty)^{\Gamma_\infty}.$$

### remark

As graded ring,  $H^*(\Gamma_\infty; \mathbb{Q}) = \mathbb{Q}[x_1, x_2, \dots]$  by results of Borel.

# First Reduction

## remark

Instead of computing  $H_{CE}^*(\mathfrak{g}_\infty)^{\Gamma_\infty}$ , we may dually compute the coinvariants  $H_*^{CE}(\mathfrak{g}_\infty)_{\Gamma_\infty}$ .

## remark

Given that, for  $g \geq 2$ ,  $C_*^{CE}(\mathfrak{g}_g)$  is chain homotopic to  $H_*^{CE}(\mathfrak{g}_g)$  as complexes of  $\mathbb{Q}[\Gamma_g]$ -modules, it is enough to compute  $C_*^{CE}(\mathfrak{g}_\infty)_{\Gamma_\infty}$ .

## Theorem 9.1 [BM]

There is an isomorphism of chain complexes

$$C_*^{CE}(\mathfrak{g}_\infty)_{\Gamma_\infty} \cong \Lambda \mathcal{G}^d(0).$$

## remark

We have  $H_*^{CE}(\mathfrak{g}_\infty)_{\Gamma_\infty} \cong \Lambda(H_* \mathcal{G}^d(0))$ .

# Sp-modules

## Definition

Fix a positive integer  $d$ . Let  $\mathbf{Sp}^d$  denote the category of graded finite dimensional anti-symmetric inner product spaces concentrated in degree  $d$ .

## remarks

The spaces  $V_g$  are objects of  $\mathbf{Sp}^d$ . All the morphisms of  $\mathbf{Sp}^d$  are monomorphisms.

## Definition

An  $\mathbf{Sp}^d$ -module in a category  $\mathcal{V}$  is a functor  $\mathbf{Sp}^d \rightarrow \mathcal{V}$ .

## Proposition

The assignment  $V \mapsto \text{Der}_\omega \mathbb{L}(V)$  is an  $\mathbf{Sp}^d$ -module in the category of graded Lie algebras.

## proof

$$0 \rightarrow \text{Der}_\omega \mathbb{L}(V) \rightarrow \text{Der} \mathbb{L}(V) \rightarrow \mathbb{L}^{\geq 2}(V) \rightarrow 0$$

# The Lie operad $\mathcal{L}ie$

## Recollection

There is a symmetric operad  $\mathcal{L}ie$ , called the Lie operad, with spaces  $\mathcal{L}ie(n)$  spanned by the Lie monomials in  $x_1, \dots, x_n$  containing every generator exactly once.

## Lemma

The Lie operad is a cyclic operad.

## proof

Let  $t$  denote the permutation  $(12\dots n)$  in  $\Sigma_n$ . We extend the left  $\Sigma_{n-1}$ -action on  $\mathcal{L}ie(n-1)$  via:

$$[[[ [x_1, x_2], x_3 ], x_4 ] ] \mapsto [x_1, [[x_2, x_3], x_4]]$$

## The Lie operad II

### Observation

Let us denote  $\mathcal{L}ie((n))$  the space  $\mathcal{L}ie(n-1)$  with the  $\Sigma_n$ -action defined previously. The assignment

$$V \mapsto \mathcal{L}ie((V)) := s^{-2d} \bigoplus_{n \geq 2} \mathcal{L}ie((n)) \otimes_{\Sigma_n} V^{\otimes n}$$

defines an  $\mathbf{Sp}^d$ -module. This is a Schur functor.

### Proposition [BM]

There is an isomorphism of  $\mathbf{Sp}^d$ -modules,

$$\mathcal{L}ie((V)) \cong \mathit{Der}_\omega \mathbb{L}(V).$$

### remark

One can describe explicitly the Lie bracket on  $\mathcal{L}ie((V))$  induced by the above isomorphism.



## $\Sigma$ -modules I

We fix  $\mathcal{V}$  a cocomplete symmetric monoidal category.

### Definition

Let  $\Sigma$  denote the groupoid of finite sets and bijections. A left  $\Sigma$ -module in  $\mathcal{V}$  is a functor  $\Sigma \rightarrow \mathcal{V}$ .

### Example

Any object  $V$  of  $\mathcal{V}$  defines a right  $\Sigma$ -module via  $S \mapsto \bigotimes_S V$ .  
The functor  $S \mapsto \mathcal{L}ie(S)$  is a  $\Sigma$ -module.

### Notation

We denote by  $(\Sigma \downarrow \Sigma)$  the category with objects the maps  $f : S \rightarrow T$  of finite sets, and with morphisms pairs of bijections

$$\begin{array}{ccc} S_1 & \xrightarrow{\cong} & S_2 \\ f_1 \downarrow & & \downarrow f_2 \\ T_1 & \xrightarrow{\cong} & T_2. \end{array}$$

## $\Sigma$ -modules II

### Notation

Fix a finite set  $S$ . We denote by  $(S \downarrow \Sigma)$  the category with objects the maps  $f : S \rightarrow T$  of finite sets, and with morphisms bijections

$$\begin{array}{ccc} & S & \\ f_1 \swarrow & & \searrow f_2 \\ T_1 & \xrightarrow{\cong} & T_2. \end{array}$$

### Definition

Let  $\mathcal{C}$  and  $\mathcal{D}$  be  $\Sigma$ -modules. Their composition  $\mathcal{C} \circ \mathcal{D}$  is the  $\Sigma$ -module specified by

$$\mathcal{C} \circ \mathcal{D}(S) := \operatorname{colim}_{(f:S \rightarrow T)} \mathcal{C}(T) \otimes \bigotimes_{s \in S} \mathcal{D}(f^{-1}(s)),$$

where the colimit is taken over the category  $(S \downarrow \Sigma)$ .

## $\Sigma$ -modules III

### remark

Monoids in the category of  $\Sigma$ -modules with monoidal structure given by  $\circ$  correspond precisely to symmetric operads.

### Definition

Assuming  $\mathcal{V}$  is cocomplete and symmetric monoidal. The **Schur functor** associated to a  $\Sigma$ -module  $\mathcal{C}$  is the functor  $\mathcal{C}[-] : \mathcal{V} \rightarrow \mathcal{V}$  specified by

$$\mathcal{C}[V] := \operatorname{colim}_{S \in \Sigma} \mathcal{C}(S) \otimes V^{\otimes S} \cong \bigoplus_{n \geq 0} \mathcal{C}(n) \otimes_{\Sigma_n} V^{\otimes n}.$$

### remark

There is a natural isomorphism of functors

$$\mathcal{C}[\mathcal{D}[V]] \cong (\mathcal{C} \circ \mathcal{D})[V].$$

# Matchings I

## Definition

A matching on a finite set  $S$  is a set  $M$  of disjoint 2-element subsets whose union is  $S$ . We denote by  $\mathcal{M}_S$  the set of all matchings on  $S$ .

## remark

Matchings define a  $\Sigma$ -module  $\mathcal{M} : \Sigma \rightarrow \mathbf{Set}$ . If  $|S|$  is odd,  $\mathcal{M}_S = \emptyset$ .

## Notations

For a finite set  $S$ , we denote  $sgn_S$  the sign representation of  $\Sigma_S$ . Given  $\sigma \in \Sigma_n$ ,  $V$  a graded vector space, and  $x = x_1 \otimes \dots \otimes x_n \in V^{\otimes n}$ . We denote by  $sgn(\sigma, x)$  the sign for which

$$(x_1 \otimes \dots \otimes x_n)\sigma = sgn(\sigma, x)x_{\sigma_1} \otimes \dots \otimes x_{\sigma_n}.$$

## Matchings II

### Theorem [BM]

Let  $V$  be a graded anti-symmetric inner product space concentrated in degree  $d - 1$ . There is a pairing

$$\langle -, - \rangle : \mathcal{M}_{2k} \otimes V^{\otimes 2k} \rightarrow \text{sgn}_{2k}$$

$$\langle \{\{\sigma_1, \sigma_2\}, \dots, \{\sigma_{2k-1}, \sigma_{2k}\}\}, x_1 \otimes \dots \otimes x_n \rangle = \text{sgn}(\sigma) \text{sgn}(\sigma, x) \langle x_{\sigma_1}, x_{\sigma_2} \rangle \dots \langle x_{\sigma_{2k-1}}, x_{\sigma_{2k}} \rangle$$

This pairing gives rise to a morphism of  $\Sigma_{2k}$ -modules of degree  $-2k(d - 1)$ :

$$\psi : (V^{\otimes 2k})_{\mathbf{Sp}(V)} \rightarrow \mathcal{M}_{2k} \otimes \text{sgn}_{2k}$$

$$\psi([x]) := \sum_{M \in \mathcal{M}_{2k}} \langle M, x \rangle M,$$

that is an isomorphism if  $\dim(V) \geq 2k$ .

## Matchings III

### Definition

From now on, we fix  $\mathcal{V}$  as the category of graded  $\mathbb{Q}$ -vector spaces. Fix a positive integer  $d$ . We denote by  $\mathbf{Sp}$  the category of graded anti-symmetric inner product spaces concentrated in degree  $d - 1$ . Given an  $\mathbf{Sp}$ -module  $M : \mathbf{Sp} \rightarrow \mathcal{V}$ , we denote

$$M_{\mathbf{Sp}} := \operatorname{colim}_{V \in \mathbf{Sp}} M(V).$$

### Example

There is an  $\mathbf{Sp} - \Sigma$ -bimodules given by

$$\mathbf{Sp} \times \Sigma^{op} \rightarrow \mathcal{V}, \quad (V, S) \mapsto V^{\otimes S}.$$

### Corollary

There is an isomorphism of right  $\Sigma$ -modules

$$(V^{\otimes S})_{\mathbf{Sp}} \cong s^{|S|(d-1)} \mathcal{M}_S \otimes \operatorname{sgn}_S.$$

# The Graph Complex I

## Definition

A graph  $G = (f : F \rightarrow V, E)$  consists of a set  $F$  of half-edges, a set  $V$  of vertices, a function  $f : F \rightarrow V$ , and a matching  $E$  on  $F$ . The elements of  $E$  are thought of as the edges of the graph.

## Notation

We denote by  $\mathcal{G}raph$  the groupoid of graphs and their isomorphisms.

## Construction

Let  $\mathcal{C} : \Sigma \rightarrow \mathcal{V}$ . There is an induced functor  $\mathcal{C} : \mathcal{G}raph \rightarrow \mathcal{V}$ , with value at  $G = (f : F \rightarrow V, E)$  given by

$$\mathcal{C}(G) = \bigotimes_{v \in V} \mathcal{C}(f^{-1}(v)).$$

## Recollection: The Grothendieck Construction

### Definition

Let  $I$  be a category, and  $F : I \rightarrow \mathbf{Cat}$  be a functor to the category of small categories. The Grothendieck construction  $I \int F$  is the category whose objects are pairs  $(i, x)$ , with  $i \in I$  and  $x \in F(i)$ .

### Lemma

For every functor  $D : I \int F \rightarrow \mathcal{V}$  into a cocomplete category, there is a canonical isomorphism

$$\operatorname{colim}_{(i,x) \in I \int F} D(i, x) \cong \operatorname{colim}_{i \in I} \operatorname{colim}_{x \in F(i)} D(i, x).$$

### Example

There is a functor  $(- \downarrow \Sigma) : \Sigma \rightarrow \mathbf{Cat}$  that sends  $S$  to  $(S \downarrow \Sigma)$ . The Grothendieck construction  $\Sigma \int (- \downarrow \Sigma)$  is isomorphic to  $(\Sigma \downarrow \Sigma)$  (as a category over  $\Sigma$ ).



# The Graph Complex II

## Example

Let  $\mathcal{M} : (\Sigma \downarrow \Sigma) \rightarrow \mathbf{Cat}$  denote the functor that sends  $f : S \rightarrow T$  to  $\mathcal{M}_S$  viewed as a discrete category. The Grothendieck construction on  $\mathcal{M}$  is isomorphic to *Graph*.

## Notation

Given a  $\Sigma$ -module  $\mathcal{C}$ , the Schur functor  $V \mapsto \mathcal{C}((V))$  is an  $\mathbf{Sp}$ -module with

$$\mathcal{C}((V)) := s^{2-2d} \bigoplus_{n \geq 0} \mathcal{C}(n) \otimes_{\Sigma_n} V^{\otimes n}.$$

## remark

For  $\mathcal{C} = \mathcal{L}ie((-))$  this agrees with the previously used notation, as  $\mathcal{L}ie((0)) = \mathcal{L}ie((1)) = 0$ .

# The Graph Complex III

## Theorem [BM]

One can describe the complex  $\Lambda s\mathcal{C}((V))_{\mathbf{Sp}}$  explicitly.

proof

The  $\mathbf{Sp}$ -module  $V \mapsto \Lambda sV$  is identified with the Schur functor associated to the  $\Sigma$ -module  $\Lambda s$  given by  $\Lambda s(T) := s^{|T|} \text{sgn}_T$ . We compute:

$$\begin{aligned}\Lambda s\mathcal{C}((V)) &\cong (\Lambda s \circ \mathcal{C})[V] \\ &= \text{colim}_{S \in \Sigma} (\Lambda s \circ \mathcal{C})(S) \otimes V^{\otimes S} \\ &= \text{colim}_{S \in \Sigma} \text{colim}_{f: S \rightarrow T} \Lambda s(T) \otimes \mathcal{C}((f)) \otimes V^{\otimes S} \\ &\cong \text{colim}_{f \in (\Sigma \downarrow \Sigma)} \Lambda s(T) \otimes \mathcal{C}((f)) \otimes V^{\otimes S}.\end{aligned}$$

# The Graph Complex IV

proof continued

As colimits commute with colimits and tensor products of graded vector spaces, we find:

$$\begin{aligned}\Lambda_S \mathcal{C}((V))_{\mathbf{Sp}} &\cong \operatorname{colim}_{f \in (\Sigma \downarrow \Sigma)} \Lambda_S(T) \otimes \mathcal{C}((f)) \otimes (V^{\otimes S})_{\mathbf{Sp}} \\ &\cong \operatorname{colim}_{f \in (\Sigma \downarrow \Sigma)} \Lambda_S(T) \otimes \mathcal{C}((f)) \otimes s^{|S|(d-1)} \mathcal{M}_S \otimes \operatorname{sgn}_S\end{aligned}$$

Viewing  $\mathcal{M}_S$  as a discrete category, we may rewrite the above expression as:

$$\operatorname{colim}_{f \in (\Sigma \downarrow \Sigma)} \operatorname{colim}_{M \in \mathcal{M}_S} s^{|S|(d-1)} \Lambda_S(T) \otimes \mathcal{C}((f)) \otimes \operatorname{sgn}_S$$

Using the above description of  $\mathcal{G}raph$  as a Grothendieck construction, and some changes of notations yield:

$$\mathcal{G}^d \mathcal{C} := \operatorname{colim}_{G \in \mathcal{G}raph} s^{(3-2d)|V|+|F|(d-1)} \operatorname{sgn}_V \otimes \operatorname{sgn}_F \otimes \mathcal{C}((G)).$$

## Concluding remarks

### remark

Any object of  $\mathcal{G}^d\mathcal{C}$  is represented by a graph  $(f : F \rightarrow V, E)$  together with an orientation of the vertices, an orientation of the half-edges, and for every vertex  $v$ , an element  $\xi_v \in \mathcal{C}(f^{-1}(v))$ .

### remark

If  $\mathcal{C}$  is a cyclic operad, the  $\mathbf{Sp}$ -module  $V \mapsto \mathcal{C}((V))$  admits a Lie algebra structure. Thus, we are entitled to consider the chain complex

$$C_*^{CE}(\mathcal{C}((V)))_{\mathbf{Sp}} = (\wedge s\mathcal{C}((V)))_{\mathbf{Sp}}, \partial).$$

**Warning:** This depends on  $d$ .

### remark

For  $d = 1$ , this is the complex of  $\mathcal{C}$ -labeled graphs. If, in addition,  $\mathcal{C} = \mathcal{L}ie((-))$ , we recover the graph complex.

## A proof of Theorem 9.1

We have:

$$C_*^{CE}(\mathfrak{g}_\infty)_{\Gamma_\infty} \cong C_*^{CE}(\mathcal{L}ie((V)))_{\mathbf{Sp}} = \mathcal{G}^d \mathcal{L}ie.$$

This isomorphism follows from

$$Der_{\omega_g}^+ \mathbb{L}(V_g) = Der_{\omega_g} \mathbb{L}(V_g) \cong \mathcal{L}ie((V_g)),$$

together with the fact that  $\Gamma_g \subseteq Sp(V_g)$  is dense, which implies that

$$C_*^{CE}(\mathfrak{g}_g)_{\Gamma_g} \cong C_*^{CE}(\mathcal{L}ie((V_g)))_{\mathbf{Sp}(V_g)}.$$

If we denote by  $\mathcal{G}^d(0)$  the subcomplex of  $\mathcal{G}^d \mathcal{L}ie$  on the connected graphs, we have

$$\mathcal{G}^d \mathcal{L}ie \cong \Lambda \mathcal{G}^d(0),$$

as disjoint union of graphs endow  $\mathcal{G}^d \mathcal{L}ie$  with a differential graded commutative product.