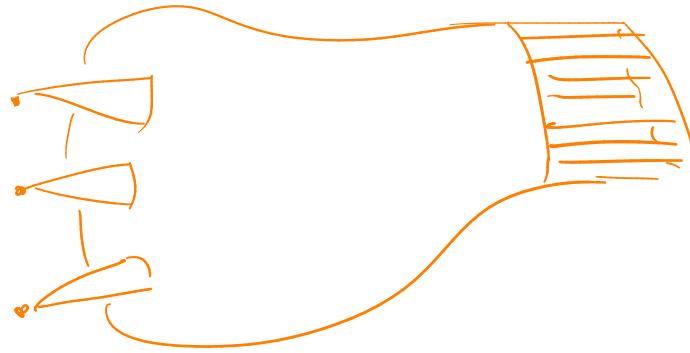


Donaldson's diagonalizability theorem.



Guillem Cazassus

Refs: * Donaldson, An application of gauge theory to the topology of 4-manifolds.

* Freed-Uhlenbeck, Instantons and four-manifolds.

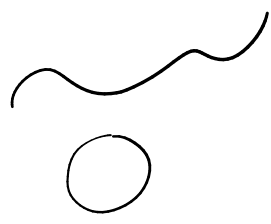
Def: A topological n -manifold X is a separated topological space such that every $x \in X$ admits a neighborhood homeomorphic to \mathbb{R}^n .

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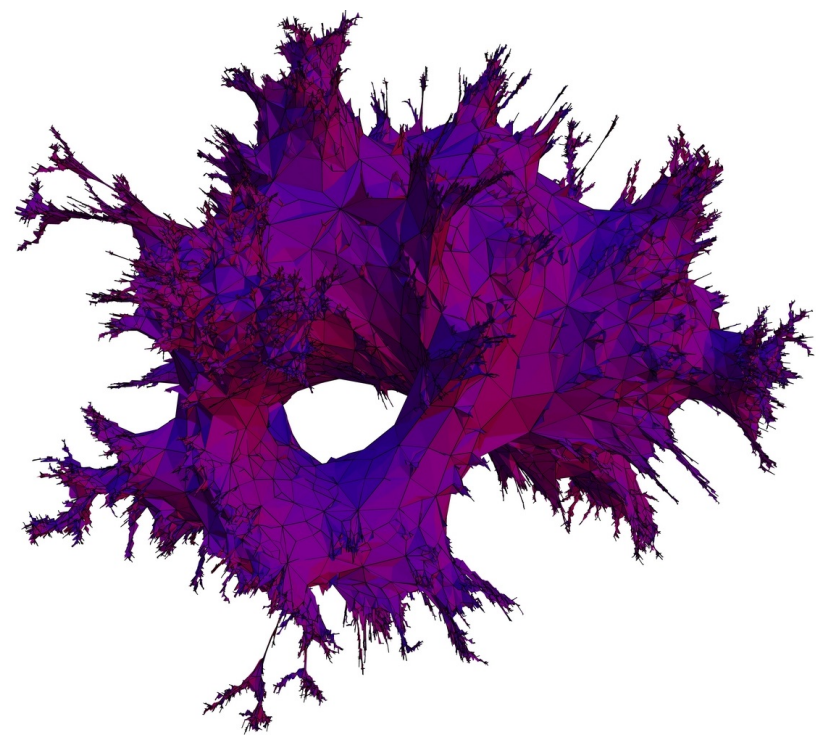
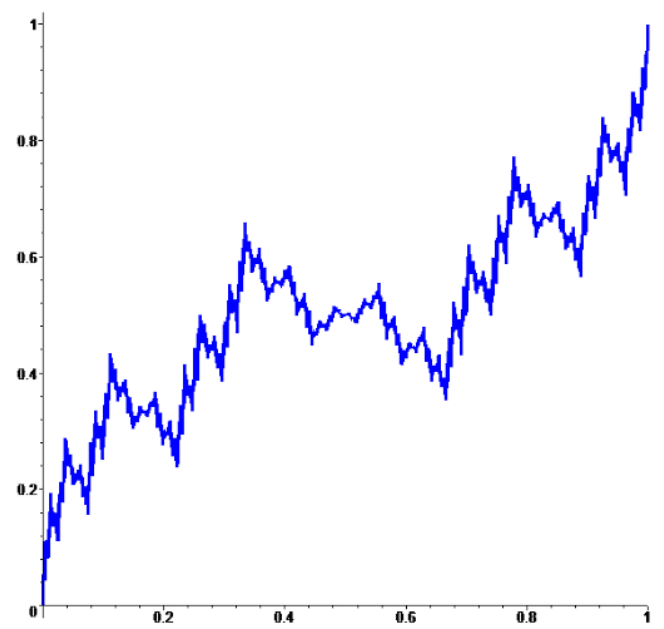
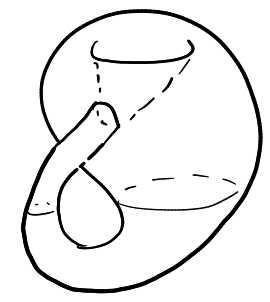
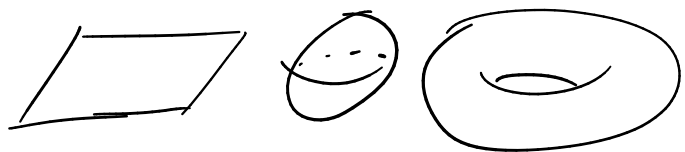
Ex: $n=0$



$n=1$



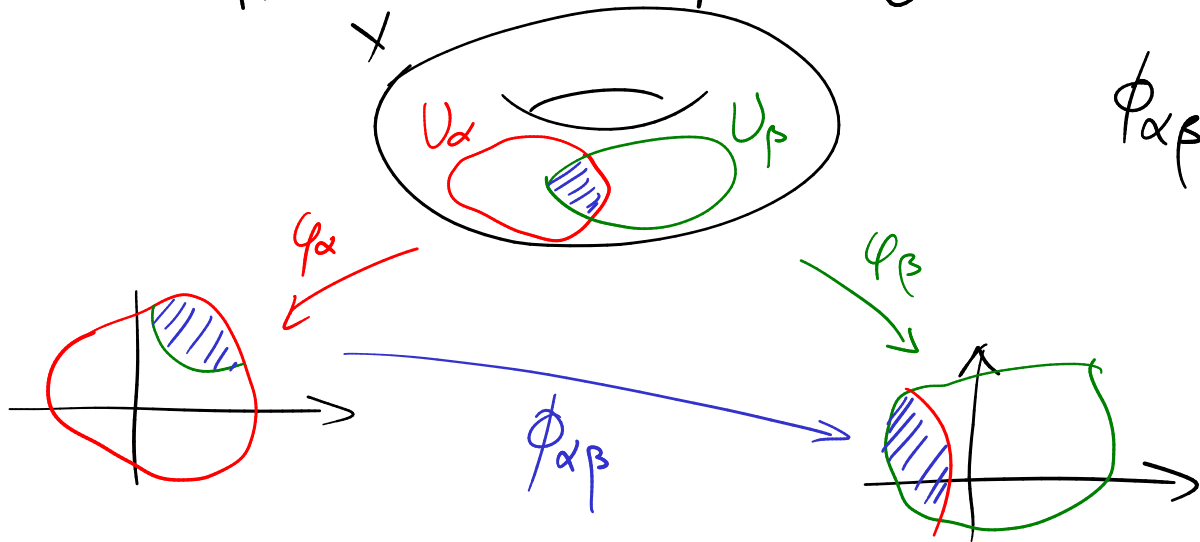
$n=2$



(pic. from <http://bettinel.perso.math.cnrs.fr/>)

Def. • A smooth atlas on a topol. manifold X is an open covering $\{U_\alpha\}$ of X , with charts $\varphi_\alpha: U_\alpha \rightarrow \mathbb{R}^m$ that differ on overlaps by smooth diffeomorphisms

$$\phi_{\alpha\beta} : \varphi_\alpha(U_\alpha \cap U_\beta) \rightarrow \varphi_\beta(U_\alpha \cap U_\beta)$$



• A smooth structure on X is an equivalence class of smooth atlases.

Q1: (Existence) Given X topol. n -manifold, does there exist a smooth structure on X ?

Q2: (Uniqueness) If so, is such a smooth str. unique? or does there exist "exotic" smooth structures, (i.e. non-diffeomorphic)

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Fun facts:

- Milnor, '56: there exists an exotic structure on S^7 . (28 in total)
- Kervaire, '60: there exists a 10-manifold that doesn't admit a smooth str.
- Answer to Q2 for $X = \mathbb{R}^m$ is "Yes" ... except when $m=4$!
→ Freedman '82: \exists exotic \mathbb{R}^4 , Taubes '87: \exists uncountably many such.
- "SPC4": Q2 for $X = S^4$ is still open.

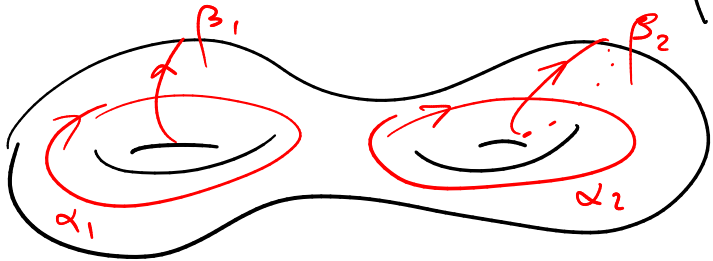
• Intersection form of closed, oriented 4-manifolds:

$$q_X: H^2(X; \mathbb{Z}) \otimes H^2(X; \mathbb{Z}) \rightarrow \mathbb{Z}.$$

$$q_X(\alpha, \beta) = (\alpha \cup \beta)[X] \quad \left(= \int_X \alpha \wedge \beta \text{ in deRham cohomology} \right)$$

Lower dim. example: Σ : closed oriented surface

$$H^1(\Sigma; \mathbb{Z}) = \mathbb{Z}\alpha_1 \oplus \mathbb{Z}\beta_1 \oplus \mathbb{Z}\alpha_2 \oplus \mathbb{Z}\beta_2$$



$$q_\Sigma: H^1(\Sigma; \mathbb{Z}) \otimes H^1(\Sigma; \mathbb{Z}) \rightarrow \mathbb{Z}$$

has matrix:

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix}$$

If $\dim X = 4$, q_X is a symmetric unimodular quadratic form.

$\det(\text{Mat } q_X) = \pm 1.$
 (follows from Poincaré duality)

Th 1: [Donaldson] X^4 : smooth, closed, oriented, simply connected.
If q_X is positive definite, then $q_X \sim \begin{pmatrix} \Delta & 0 & & 0 \\ 0 & \ddots & & \\ 0 & & -1 & \\ 0 & & & 1 \end{pmatrix}$ over \mathbb{Z} .

Th 1: [Donaldson] X^4 : smooth, closed, oriented, simply connected.
 If q_X is positive definite, then $q_X \sim \begin{pmatrix} 2 & & & & \\ & -1 & & & \\ & & 2 & & \\ & & & -1 & \\ & & & & 2 & \\ & & & & & -1 & \\ & & & & & & 2 & \\ & & & & & & & -1 & \\ & & & & & & & & 2 & \\ & & & & & & & & & -1 & \\ & & & & & & & & & & 2 \end{pmatrix}$ over \mathbb{Z} .

Rk: E_8 :

$$\begin{array}{cccccccccc} 2 & & 2 & & 2 & & 2 & & 2 & & 2 \\ \bullet & -1 & \bullet & -1 & \bullet & -1 & \bullet & -1 & \bullet & -1 & \bullet \\ & & & & | & & & & & & \\ & & & & -1 & & & & & & \\ & & & & \bullet & & & & & & \\ & & & & 2 & & & & & & \end{array}$$

$$q_{E_8} = \begin{pmatrix} 2 & & & & & & & & \\ -1 & 2 & & & & & & & \\ & -1 & 2 & & & & & & \\ & & & -1 & 2 & & & & \\ & & & & & -1 & 2 & & \\ & & & & & & & -1 & 2 & \\ & & & & & & & & & -1 & 2 \\ & & & & & & & & & & & -1 & 2 \\ & & & & & & & & & & & & & -1 & 2 \\ & & & & & & & & & & & & & & & -1 & 2 \\ & & & & & & & & & & & & & & & & & -1 & 2 \end{pmatrix}$$

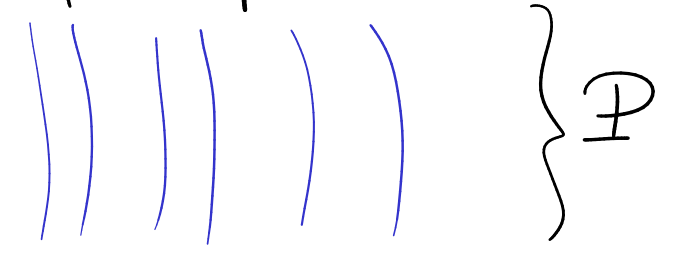
pos. definite, but not $\sim \begin{pmatrix} 2 & & & \\ & -1 & & \\ & & 2 & \\ & & & -1 \end{pmatrix}$

→ Gauge theory

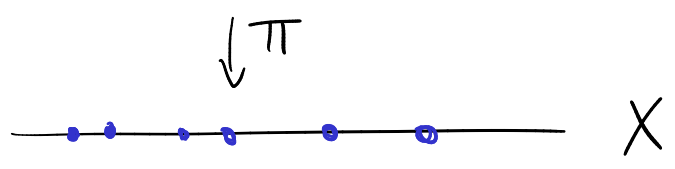
X^4 Riem. metric g , $G = SU(2)$
 $\mathfrak{g} = \underline{\mathfrak{su}(2)}$

$\mathbb{P}S 6$: principal bundle

$\pi \downarrow$
 X



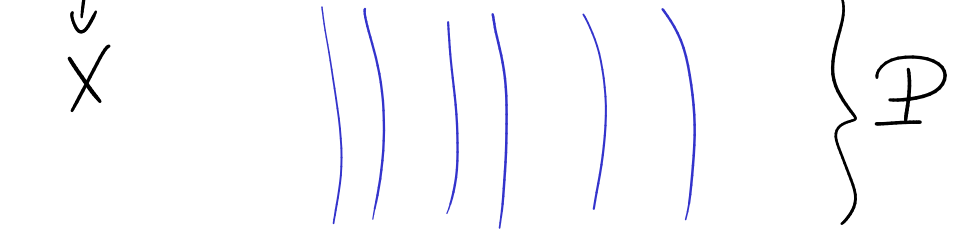
$\rightarrow V = \mathbb{P}_{\mathfrak{g}}^2 : \text{rank } 2$
 \downarrow
 X
 \mathbb{C} -vector bundle



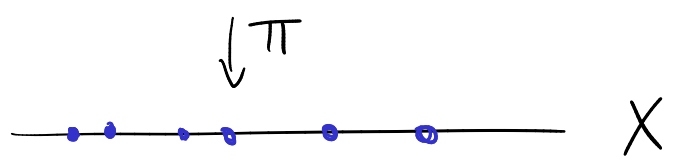
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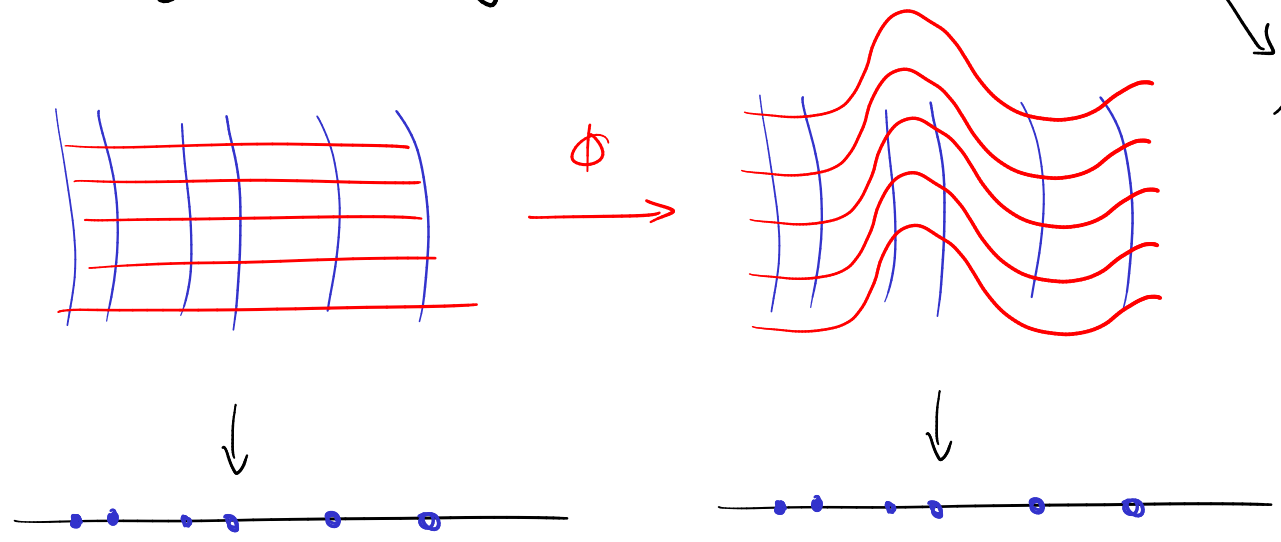


$V = \mathbb{P} \times_G \mathbb{R}^2$: rank 2
 \mathbb{R} -vector bundle



• Gauge transformations :

$\phi : \mathbb{P} \rightarrow \mathbb{P}$ G -equivariant



Gauge group :

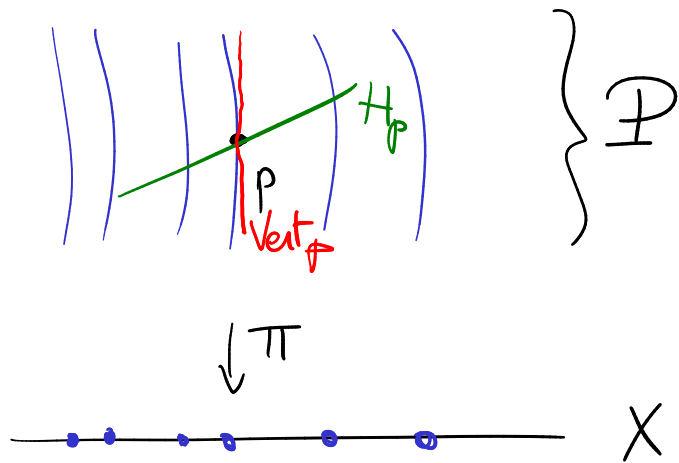
$$G_g = \text{Aut } \mathbb{P} = \{ \text{such } \phi \}$$

$$\cong C^\infty(X, G)$$

if \mathbb{P} trivial

A : connexion on \mathbb{P} : G -invariant horizontal distribution H_p :

$$T_p \mathbb{P} = H_p \oplus \underbrace{\text{Vert}_p}_{= \ker d\pi_p}$$

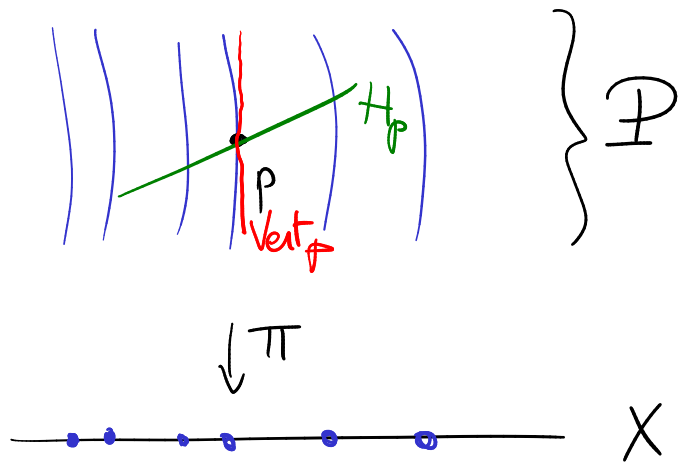


\Leftrightarrow $\mathfrak{g}_{\mathbb{P}}$ -valued 1-form $A \in \Omega^1(X; \mathfrak{g}_{\mathbb{P}})$,

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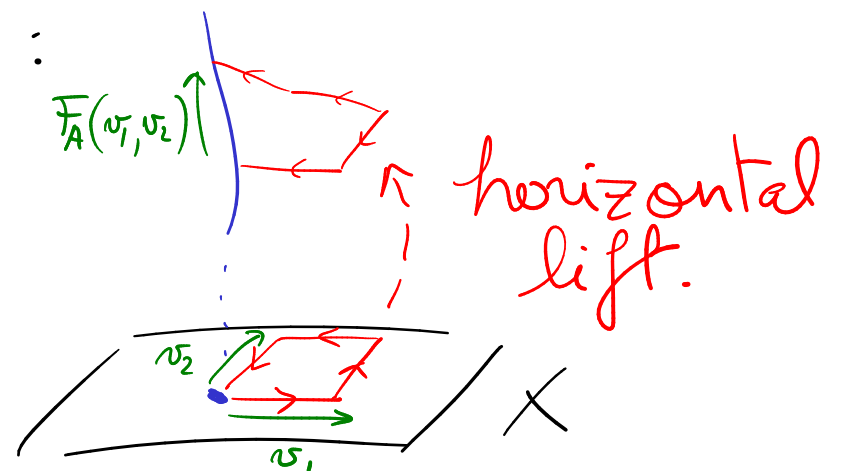


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$$\mathfrak{g}_{\mathbb{P}} = \mathbb{P} \times_{\text{Ad}G} \mathfrak{g}$$

\rightarrow curvature $F_A \in \Omega^2(X; \mathfrak{g}_{\mathbb{P}})$ ($F_A = dA + \frac{1}{2}[A \wedge A]$ in a loc. trivialization)

measures local holonomy:



$\mathcal{G} \ni \mathcal{A}$: space of connections

$\overset{U}{\text{SU}(2)}$: constant gauge transformations

$\overset{U}{\mathbb{Z}_2}$: center of $\text{SU}(2)$: acts trivially on \mathcal{A}

$$\Rightarrow \tilde{\mathcal{G}} := \mathcal{G} / \mathbb{Z}_2 \ni \mathcal{A}$$

reduced gauge
group.

Two integrals associated with a connexion A :

• The Yang-Mills functional

$$y_M(A) = \int_X |F_A|_g^2 \operatorname{vol}_X = \int_X \langle F_A \wedge *F_A \rangle$$

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• The "topological charge"

$$k = -c_2(P)[X] = \frac{-1}{8\pi^2} \int_X \langle F_A \wedge F_A \rangle \in \mathbb{Z}$$

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→ classifies P up to isomorphism

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Def: A is self dual (SD) if $F_A^- = 0$ (i.e. $*F_A = F_A$)

A is anti-self dual (ASD) if $F_A^+ = 0$ (i.e. $*F_A = -F_A$)

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Rk: SD for $X \Leftrightarrow$ ASD for \bar{X} (opposite orientation).

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$$\mathcal{M}_{SD}(\mathbb{P}, g) = \{ \text{SD axes} \} / \mathbb{Z}_2 = \mathcal{M}_{SD}(X, k)$$

Rk: • $k < 0$: $\mathcal{M}_{SD}(\mathbb{P}) = \emptyset$

• $k = 0$: SD \Leftrightarrow Flat ($F_A = 0$)

$$\mathcal{M}_{SD} = \text{Hom}(\pi_1 X, \text{SU}(2)) / \text{SU}(2) = \{pt\} \text{ if } \pi_1 X = \{1\}$$

Th 2 (Donaldson) Assume X simply connected

$P \rightarrow X$ with $k=1$

$(\pi_1=0)$ (pos. def.)
 $\begin{matrix} 0 & 0 \\ \parallel & \parallel \\ 0 & 0 \end{matrix}$

$= 8k - 3(1 - b_1 + b_2)$ (Atiyah-Hitchin-Singer)

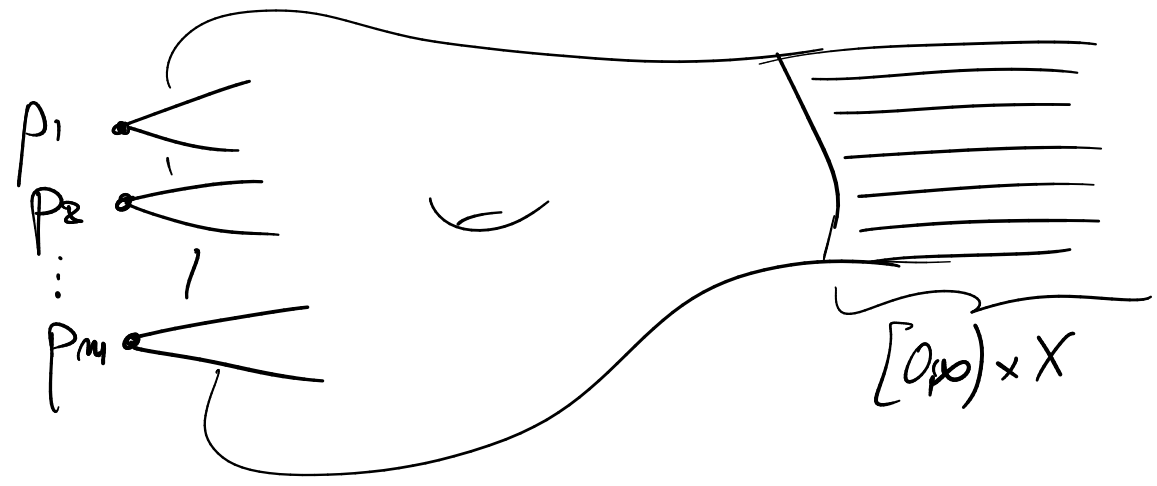
Then, for generic g :

* $\mathcal{M}_{SD}(P, g)$ is smooth, of dimension 5, away from m points p_1, \dots, p_m , where $m = \frac{1}{2} \# \{ \alpha \in H^2(X, \mathbb{Z}) \mid q_X(\alpha, \alpha) = 1 \}$

* $\mathcal{M}_{SD}(P, g)$ is oriented.

* each p_i has a neighborhood $N_i \simeq \text{Cone } \mathbb{C}P^2$ (or $\bar{\mathbb{C}P}^2$)

* $\mathcal{M}_{SD}(P, g)$ has an "end" $\simeq [0, \infty) \times X$ (i.e. $\mathcal{M}_{SD} - (0, \infty) \times X$ is compact)



• Th 2 \Rightarrow Th 1 Goal: apply the following lemma:

lemma: q : quadratic form on \mathbb{Z}^x positive, definite, unimodular
then $\frac{1}{2} \# \{ \alpha \in \mathbb{Z}^k \mid q(\alpha, \alpha) = 1 \} \leq r$, with equality iff $q \sim \begin{pmatrix} 1 & & \\ & \ddots & \\ & & 1 \end{pmatrix}$

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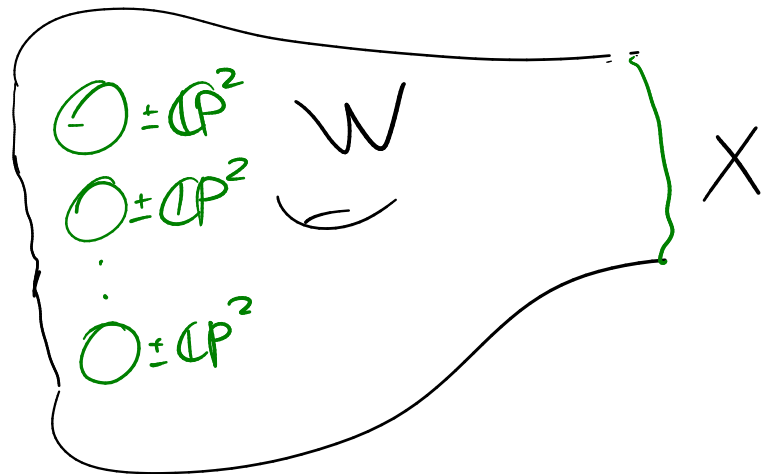
proof of lemma: If α, α' satisfy \rightarrow , they must either be proportional,
or orthogonal. \square

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Consider $W = \mathcal{M}_{SD}(\mathbb{P}, g) \setminus (0, \infty) \times X \cup \bigcup_i N_i$



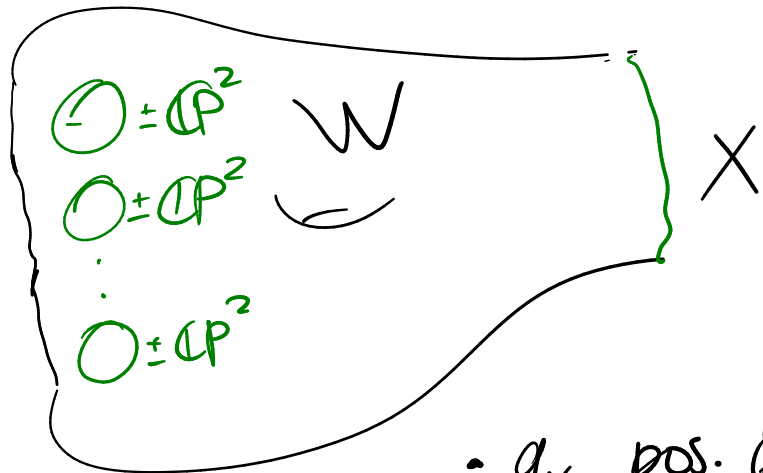
W : cobordism from $\underbrace{\mathbb{C}P^2 \cup \dots \cup \mathbb{C}P^2}_{m_1} \cup \underbrace{\overline{\mathbb{C}P^2} \cup \dots \cup \overline{\mathbb{C}P^2}}_{m_2}$ to X .

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W : cobordism from $\underbrace{\mathbb{C}P^2 \cup \dots \cup \mathbb{C}P^2}_{m_1} \cup \underbrace{\overline{\mathbb{C}P^2} \cup \dots \cup \overline{\mathbb{C}P^2}}_{m_2}$ to X .

$$\Rightarrow \sigma(X) = \sigma(\mathbb{C}P^2 \cup \dots \cup \overline{\mathbb{C}P^2}) = m_1 - m_2$$

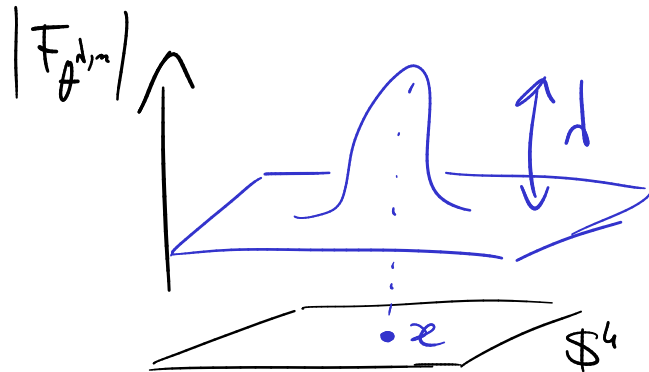
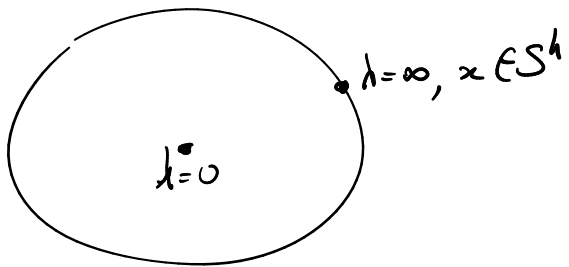
• q_X pos. definite $\Rightarrow \sigma(X) = \text{rk}(H_2 X) = r$
 $\Rightarrow r = m_1 - m_2 \leq m_1 + m_2 = n \Rightarrow$ lemma $q_X \sim \begin{pmatrix} 1 & & \\ & \ddots & \\ & & 1 \end{pmatrix}$

Ex: $X = \mathbb{S}^4 + \text{round metric}$

Th: [Atiyah - Drinfeld - Hitchin - Manin]

$$\mathcal{M}_{SD}(\mathbb{S}^4_{\text{round}}, k=1) \simeq \mathbb{B}^5 \simeq \left\{ \mathbb{H}^{\lambda, x} \mid \lambda \geq 0, x \in \mathbb{S}^4 \right\}$$

\uparrow
"scale" \uparrow
"center"

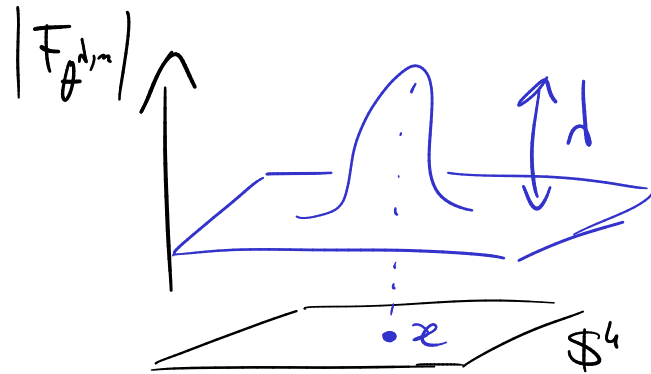
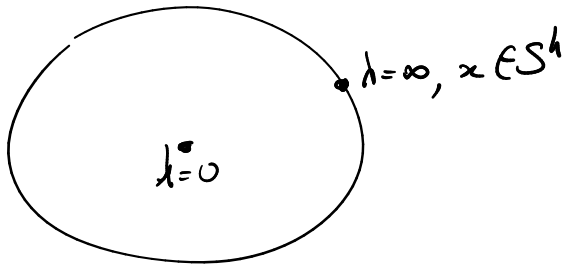


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↑
"scale" ↑
"center"



• Ingredients in proof of Th2:

- Compactness
- Smoothness
- (→ Orientability)

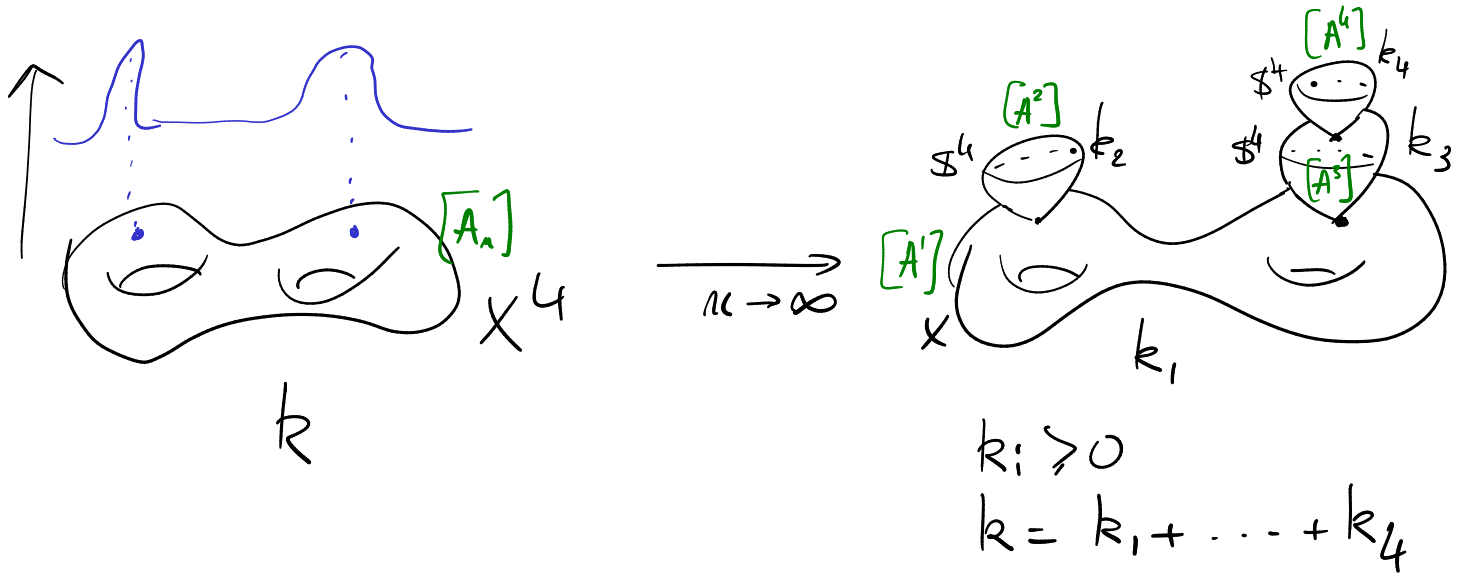
Compactness

• If $|F_A|$ bounded \leadsto Uhlenbeck compactness.

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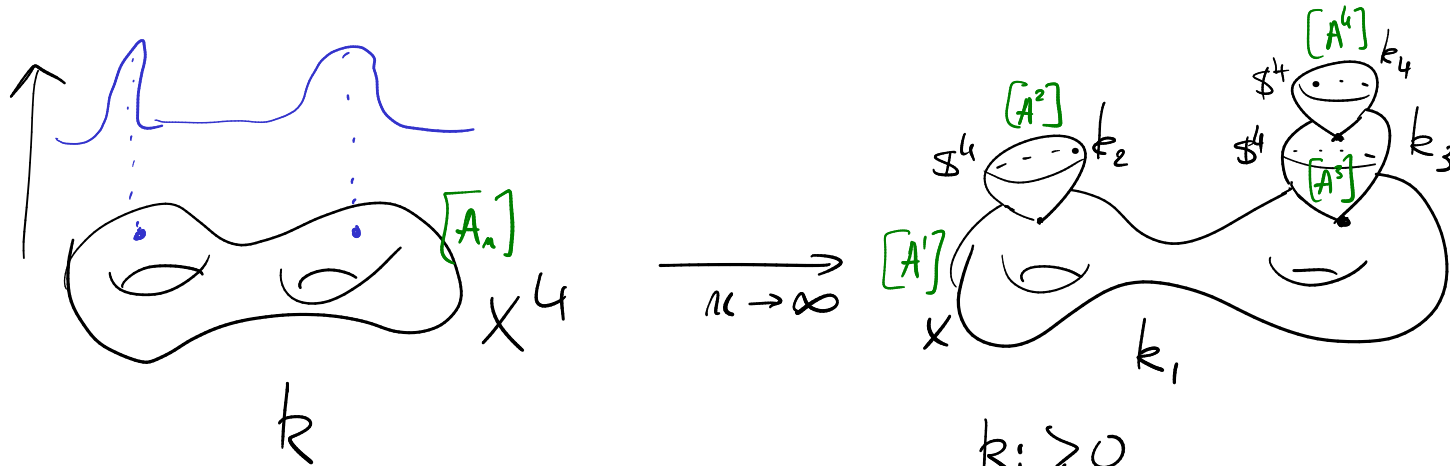
• If $|F_A|$ concentrates near points: $[A_n]$: seq. of points in $d_{sp}(X, k=1)$



Compactness

• If $|F_A|$ bounded \leadsto Uhlenbeck compactness.

• If $|F_A|$ concentrates near points: $[A_n]$: seq. of points in $\mathcal{M}_{SD}(X, k=1)$

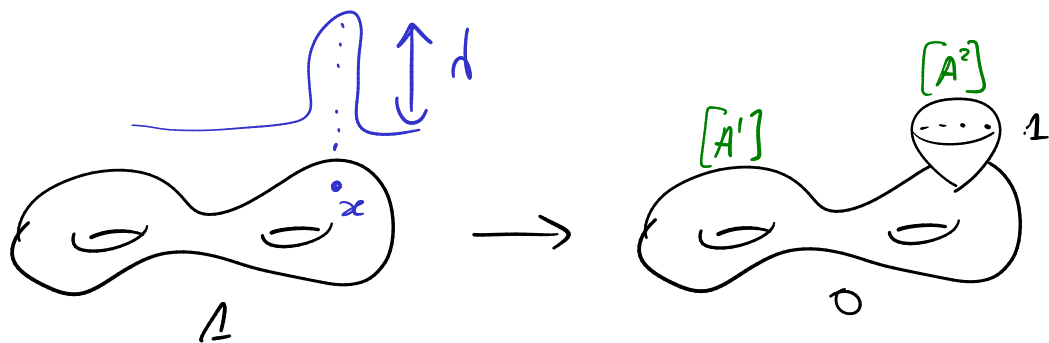


$k=1$: can only have

$$k_i \geq 0$$

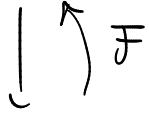
$$k = k_1 + \dots + k_4$$

$$\Rightarrow \text{End}(\mathcal{M}_{SD}(X, k=1)) \overset{[A^1]}{\cap} \overset{[A^2]}{\cap} \approx \underbrace{[n_0, \infty)}_{= \text{pt.}} \times \underbrace{X}_{= \text{pt.}} \times \underbrace{\mathcal{M}_{SD}(X, k=0)}_{= \text{pt.}} \times \underbrace{\mathcal{M}_{SD}(\mathbb{S}^1, k=1)}_{= \text{pt.}}$$



Smoothness / singularities

\mathcal{E} ← some Banach bundle



$\tilde{\mathcal{G}}$



↑
space of
connections
on P

↖
space of
metrics
on X^k .

reduced →
gauge group
 $\text{Aut } P / \mathbb{Z}_2$

$$F(A, g) = F_A^-$$

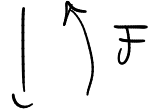
$$\rightarrow \mathcal{M}_{SD}^g(X, k=1) = \left(F^{-1}(0) \cap \mathcal{A} \times \{g\} \right) / \tilde{\mathcal{G}}$$

Smoothness / singularities

$$F(A, g) = F_A^{-1}$$

$$\rightarrow \mathcal{M}_{SD}^g(X, k=1) = \left(F^{-1}(0) \cap \mathcal{A} \times \{g\} \right) / \tilde{\mathcal{G}}$$

\mathcal{E} ← some Banach bundle



reduced → $\tilde{\mathcal{G}}$
gauge group
 $\text{Aut } P / \mathbb{Z}_2$

$\mathcal{A} \times \mathcal{M}$
↑
space of
connections
on P

space of
metrics
on X^k .

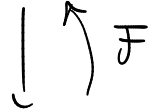
1) Show $F^{-1}(0)$ smooth ($D F_{|_{F^{-1}(0)}}$ surjective + IFT)

Smoothness / singularities

$$F(A, g) = F_A^{-1}$$

$$\rightarrow \mathcal{M}_{SD}^g(X, k=1) = (F^{-1}(0) \cap \mathcal{A} \times \{g\}) / \tilde{G}$$

\mathcal{E} ← some Banach bundle



reduced gauge group $\tilde{G} \hookrightarrow \text{Aut } P / \mathbb{Z}_2$

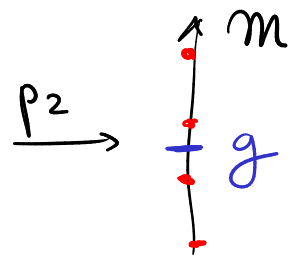
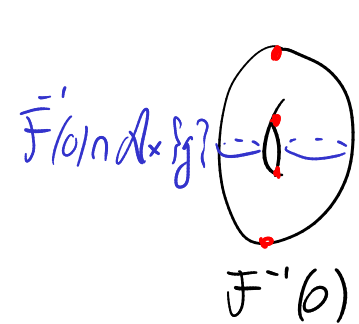
$$\tilde{G} \hookrightarrow \mathcal{A} \times \mathcal{M}$$

↑ space of connexions on P

← space of metrics on X^k .

1) Show $F^{-1}(0)$ smooth ($D F|_{F^{-1}(0)}$ surjective + IFT)

2) Sard-Smale theorem applied to $F^{-1}(0) \xrightarrow{p_2} \mathcal{M}$
 $(A, g) \mapsto g$

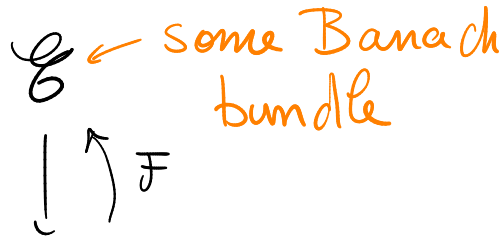


$\Rightarrow F^{-1}(0) \cap \mathcal{A} \times \{g\}$ smooth for generic g .

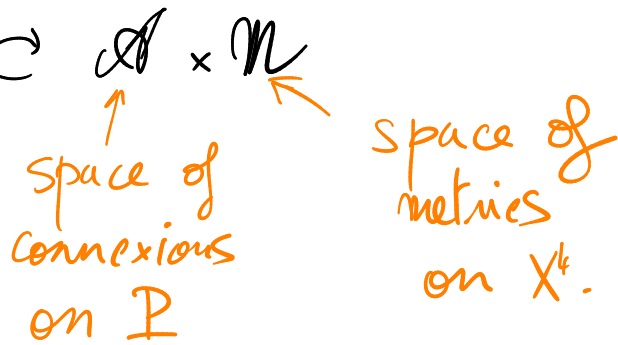
Smoothness / singularities

$$F(A, g) = F_A^{-1}$$

$$\rightarrow \mathcal{M}_{SD}^g(X, k=1) = (F^{-1}(0) \cap \mathcal{A} \times \{g\}) / \tilde{\mathcal{G}}$$

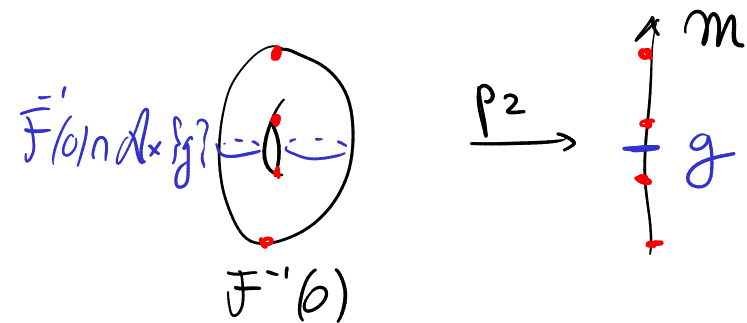


reduced gauge group $\tilde{\mathcal{G}} \hookrightarrow \text{Aut } P / \mathbb{Z}_2$



1) Show $F^{-1}(0)$ smooth ($DF_{|F^{-1}(0)}$ surjective + IFT)

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 $(A, g) \mapsto g$



$\Rightarrow F^{-1}(0) \cap \mathcal{A} \times \{g\}$ smooth for generic g .

3) quotient by $\tilde{\mathcal{G}}$: two cases $\begin{cases} \rightarrow A \text{ is reducible} \\ \rightarrow A \text{ is irreducible} \end{cases}$

$$\begin{array}{ccc}
 P \text{ SU}(2)\text{-bundle} & \leadsto & V = P \times_{\text{SU}(2)} \mathbb{C}^2 \\
 \downarrow & & \downarrow \\
 X & & X
 \end{array}$$
 : rank 2 \mathbb{C} -vector bundle

Def: A is reducible if it splits: $V = \mathcal{L}_1 \oplus \mathcal{L}_2$, $A = a_1 \oplus a_2$

$\Leftrightarrow \text{Stab}_A = U(1) \subset \mathbb{C}^*$

\bullet A is irreducible otherwise

$\Leftrightarrow \text{Stab}_A = \{1\} \subset \mathbb{C}^*$

\mathbb{C} -line bundles $U(1)$ -connections

P $SU(2)$ -bundle $\rightarrow V = P \times_{SU(2)} \mathbb{C}^2$: rank 2 \mathbb{C} -vector bundle

\downarrow
 X

Def: A is reducible if it splits: $V = \mathcal{L}_1 \oplus \mathcal{L}_2$, $A = a_1 \oplus a_2$

$\Leftrightarrow \text{Stab}_A = U(1) \subset \mathbb{C}^*$

$\cdot A$ is irreducible otherwise

$\Leftrightarrow \text{Stab}_A = \{1\} \subset \mathbb{C}^*$

\uparrow \uparrow
 \mathbb{C} -line bundles $U(1)$ -connections

Reducibles are classified by $\alpha = c_2(\mathcal{L}_2) \in H^2(X; \mathbb{Z})$

because V is an $SU(2)$ -bundle.

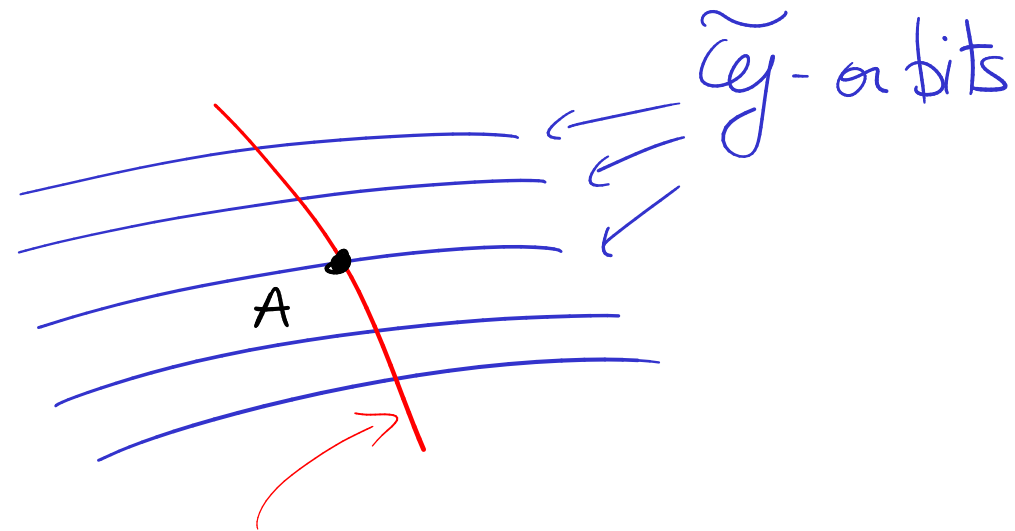
$\cdot 0 = c_2(V) = c_1(\mathcal{L}_1) + c_2(\mathcal{L}_2)$

$\cdot 1 = -c_2(V)[X] = -(c_1(\mathcal{L}_1) \cup c_1(\mathcal{L}_2))[X]$
 $= (\alpha \cup \alpha)[X]$

$1 = q_X(\alpha, \alpha)$

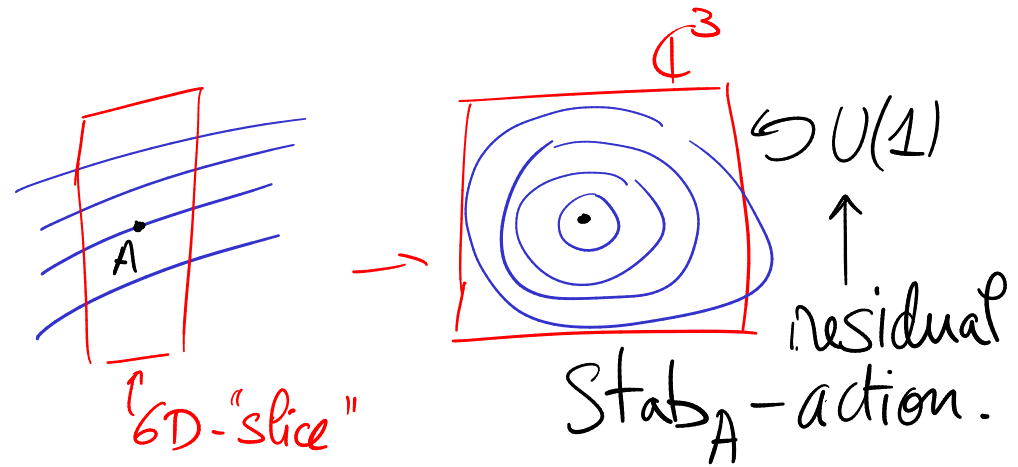
local picture of $\{A \mid \tilde{F}_A = 0\}$ near A ($\mathcal{M}_{SD} = \frac{\{F_A = 0\}}{\mathbb{C}^*}$)

A irreducible:



5D-slice
(Coulomb gauge)

A reducible:



$$\rightarrow (\mathbb{C}^3 / U(1)) \simeq \text{Cone}(\mathbb{S}^5 / U(1)) = \text{Cone}(\mathbb{C}P^2)$$