# 1A HOMOGENISED MODEL FOR DISPERSIVE TRANSPORT AND SORPTION IN2A HETEROGENEOUS POROUS MEDIUM

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Abstract. When a fluid carrying a passive solute flows quickly through porous media, three key macroscale 4 5 transport mechanisms occur. These mechanisms are diffusion, advection and dispersion, all of which depend on 6 the microstructure of the porous medium; however, this dependence remains poorly understood. For idealised microstructures, one can use the mathematical framework of homogenisation to examine this dependence, but heterogeneous materials are more challenging. Here, we consider sorption of a solute by a two-dimensional microstructure 8 comprising an array of adsorbent obstacles of smooth but arbitrary shape, the size and spacing of which can vary 0 10 along the length of the porous medium. We use homogenisation via the method of multiple scales to systematically upscale a microscale problem involving non-periodic cells of varying area to obtain effective continuum equations for 12 macroscale transport and sorption. The equations are characterised by the local porosity, an effective local adsorption rate and an effective local anisotropic solute diffusivity. All of these macroscale properties depend nontrivially on 13 14 the two degrees of microstructural geometric freedom in our problem; obstacle size and obstacle spacing. Further, the coefficient of effective diffusivity comprises the molecular diffusivity, the suppressive effect of the presence of 15 obstacles and the enhancing effect of dispersion. To illustrate the mathematical model, we focus on a simple example 16 geometry comprising circular obstacles on a hexagonal lattice, for which we numerically determine the macroscale 17 18 permeability and effective diffusivity. We find a power law for the dispersive component of solute transport, consis-19 tent with classical Taylor dispersion.

20 Key words. Homogenisation theory, contaminant transport, filtration, sorption, heterogenous and anisotropic 21 microstructure.

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**1.** Introduction. Solute transport through porous materials is a fundamental process 23 in many applications within biology, hydrogeology and environmental challenges such as 24 contaminant transport and filtration (e.g., [15, 18, 20, 24, 26, 30]). The majority of naturally 25 occurring porous materials are intrinsically heterogeneous and/or anisotropic at the pore-scale 26 27 and the macroscopic flow and transport are known to depend critically on the pore structure, localised fluid-solid interactions and the connectivity of the fluid region [6, 32]. For exam-28 ple, Rosti et al. [28] found that microstructural changes resulting from deformation of the 29 solid phase of the porous material can cause a breakdown of Darcy's law. Despite the crucial 30 role that microstructure plays in macroscale flow and solute transport, the significance of mi-31 32 croscale geometry on these macroscale properties is often overlooked with most models that systematically link microscale structure with macroscale transport relying on a periodic mi-33 croscale structure. Heterogeneous microstructures can be grouped as either locally periodic 34 35 — that is, weakly disordered media where the heterogeneity results from intrinsic regularity — or moderately to strongly disordered media where there is no intrinsic pattern to the 36 heterogeneity [16, 25]. Here, we consider the impact of locally periodic microscale hetero-37 geneity on macroscale dispersion. We previously formally derived a homogenised model to 38 study the impact of slowly varying pore structure on macroscopic flow, transport and sorp-39 tion within a porous medium [5]. Specifically, in Auton et al. [5] we considered solute 40 transport through a heterogeneous, two-dimensional porous material comprising an array of 41 solid obstacles, where we allowed for slow but arbitrary longitudinal variations in the size 42 and spacing of obstacles. The key difference between that work and the work here is that in 43 Auton et al. [5] diffusion dominates on the microscale so that no dispersive effects arise over 44 the macroscale. In this manuscript, we are specifically interested in understanding emergent 45 dispersive effects, and so advection becomes important on the microscale. Here, we develop a 46

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homogenised model for dispersive transport through the same heterogeneous porous material. 47 48 The Péclet number is defined as the ratio of advective transport to diffusive transport and thus its size determines which transport mechanisms dominate. There are two Péclet num-49 bers of particular interest: the local Péclet number  $Pe_l$ , based on the pore size, and the global 50 Péclet number  $Pe_q$ , based on the size of the solute pulse. Dispersion arises at the macroscale 51 in the limit where advection and diffusion balance at the microscale ( $\text{Pe}_l = \mathcal{O}(1)$ ), so that, at 52 the macroscale, advection dominates ( $Pe_g \gg 1$ ). This limit is crucial for many environmental 53 and industrial applications for example in the formation and functioning of wetland systems, 54 bacteria or virus transport in ground water, water injection into oil reserves, and industrial 55 filtration (e.g., [3, 22]). The limit of strong sorption (uptake) has been considered in previ-56 ous work. Chernyavsky et. al [9] show that classic homogenisation approaches can fail for 57 58 uptake (sorption) dominated regions. Specifically, such cases only exhibit weak convergence of the homogenisation approximation to the exact solution or, for stronger uptake, the ho-59 mogenisation fails entirely. Dalwadi et. al [13, 12] showed how an approach that accounted 60 for leading-order microscale variation could be used to understand the case of strong sorption 61 in the no-advection limit. They show that that sub-linear and linear pointwise uptake leads 62 63 to effective uptakes that are always bounded above by calculated functional forms, whereas super-linear pointwise uptake can lead to a variety of behaviours. Here, we consider the dis-64 tinguished limit where advection dominates and macroscale sorption scales with macroscale 65 diffusion. 66 The first investigations into dispersion were conducted in the 1950s by Taylor [31] and 67

Aris [4]. Taylor and Aris investigated solute transport through a tube (Poiseuille flow) and 68 69 derived an asymptotic equation for the average cross-sectional concentration in the tube, finding that the dispersive component of transport is asymptotically proportional to the square of 70 the Péclet number. Experimentally, a range of power laws have been found relating Péclet 71 number and dispersion; Dronfield & Silliman [19] find that for smooth parallel plates this 72 power law is obtained but for rougher surfaces the exponent decreases, as the boundary ef-73 fects become more dominant and different dispersion mechanisms dominate. 74

75 Macroscale dispersion in the case of homogeneous porous materials (*i.e.*, porous materials which comprise a periodic, repeating microstructure) has previously been obtained 76 using homogenisation via the method of multiple scales (MMS). Salles et al. [29] compare 77 different methods for theoretically deriving the dispersion tensor: the method of moments, 78 homogenisation via the MMS, using both multiple spatial and temporal scales, and a purely 79 numerical approach based on random walks. An alternative homogenisation approach em-80 ploys a drift transformation conducted simultaneously with the homogenisation [1, 2]. Drift 81 transformations have been used within formal asymptotic investigations into Taylor disper-82 sion [21]. Davit et al. [14] give a comparison of the different upscaling methodologies: 83 homogenisation via the method of multiple scale and volume averaging. For strongly disor-84 85 dered porous materials, a leading-order homogenisation approximation alone is insufficient to accurately predict macroscalebehaviour [9, 17, 25, 33]. Often the homogenisation is con-86 ducted over a representative elementary volume (REV) which must be chosen over a scale on 87 which the macroscopic properties such as porosity are uniquely defined in a statistical sense 88 — that is, the homogenisation is, in essence, conducted on the Darcy scale [17]. Strictly, to 89 90 use homogenisation in a strongly disordered media, the cell problem must be solved for every different REV geometry. Alternatively, a leading order homogenisation may be conducted on 91 92 a single cell/REV with the microscale heterogeneity being accounted for by using a momentexpansion method or a shape-sensitivities-based method [25, 33]. Here, we focus on locally 03 periodic heterogeneous porous materials taking advantage of the slowly varying nature of the 94 heterogeneity to conduct a formal homogenisation for dispersive transport via the MMS. 95

We expect to see a rich transport behaviour when the dispersive component dominates, 96

which corresponds to high  $Pe_l$ . Liu *et al.* [23] note non-monotonicity of dispersion in a com-

98 pressed spherical packing of elastic spheres, with respect to varying particle Péclet number.

99 Further, Liu et al. [23] explain that as the Péclet number varies the macroscale dispersion

is dominated by different dispersion and diffusion mechanisms: molecular diffusion, which

dominates at small  $Pe_l$ , hold-up dispersion (areas of no/slow flow), shear (Taylor) dispersion

caused by non-uniform velocity profiles within pores or throats, and mechanical dispersion that results from the repeated separation and merging of flow passages at the junctions of the

pore space. This competition amongst the different mechanisms leads to a rich and varied behaviour.

Here, we investigate the effect of a slowly varying microstructure on dispersive trans-106 port of a solute pulse. In particular, we formally derive a homogenised model for dispersive 107 108 transport through a heterogeneous porous material comprising an array of arbitrarily shaped obstacles with two degrees of microstructural freedom. Firstly, we allow for the obstacle size 109 to vary isotropically — that is, the obstacle shape remains fixed while the size is allowed to 110 change — along the length of the porous material and, additionally, we allow for the spac-111 ing between obstacles vary along the length of the porous material. We derive the transport 112 results for a flow field under fairly general assumptions (incompressible flow subject to no-113 114 slip and no-penetration conditions on the obstacle surfaces), and subsequently we explicitly calculate the flow field and its effect on dispersion for the example of Stokes flow. To focus 115 on the effects of dispersion we consider transient advection and diffusion with removal via 116 adsorption on the solid surfaces such that advection dominates on the macroscale (§2). The 117 variation in the spacing between obstacles means standard homogenisation techniques cannot 118 119 be applied to this problem and thus we present a novel, modified, homogenisation to accom-120 modate this microscale heterogeneity. Following the homogenisation methodology laid out in Salles et al., [29] we introduce a second, fast timescale that balances with the dominant 121 advective term. We exploit the local periodicity of the pore geometry to formally homogenise 122 the pore-scale problem via the MMS [10, 11, 7]. Subsequently, we perform a drift transfor-123 mation to separate the leading-order effect of the solute pulse advecting with the flow from 124 125 the spreading around this moving frame of reference (§3). The homogenisation method provides macroscale equations that are uniformly valid over the entire porous medium. For a 126 particular cell geometry the effective diffusivity tensor, which comprises components due to 127 molecular diffusion, a reduction in spreading due to the presence of obstacles, and dispersion, 128 must be determined numerically. To demonstrate the general approach, we choose a particu-129 lar example geometry comprising a hexagonal array of circular obstacles, and determine the 130 131 effective diffusivity tensor for individual cells with a wide range of obstacle size and spacing (§4). For this example geometry, the dispersion is shown to depend on the square of the Péclet 132

number [4, 14, 31]. Finally, we discuss the merits and limitations of the model (§5).

134 2. Model Problem. We consider a porous material with the same microstructural freedom as the porous media developed in Auton et al. [5], but now with flows that are suitably 135 fast that dispersive transport is non-negligible at the macroscale. In particular, we consider 136 the steady flow of fluid carrying a passive solute through a rigid porous medium in two di-137 mensions. The solute advects, diffuses, disperses and is removed via adsorption to the solid 138 structure. The spatial coordinate is  $\tilde{x} := \tilde{x}_1 e_1 + \tilde{x}_2 e_2$ , with  $\tilde{x}_1$  and  $\tilde{x}_2$  the dimensional longi-139 tudinal and transverse coordinates, respectively, and  $e_1$  and  $e_2$  the longitudinal and transverse 140 unit vectors, respectively. The porous material is of infinite extent, in both the  $\tilde{x}_1$  and  $\tilde{x}_2$  di-141 rections. We consider a solute pulse of initial length L being advected along the length of the 142 porous material. At time  $\tilde{t} = 0$ , we fix the  $\tilde{x}_1$ -origin, defined by  $\tilde{x}_1 = 0$ , to the centre of the 143 solute pulse. 144

145 The entire domain of the porous medium, denoted  $\tilde{\Omega}$ , comprises both the fluid and the

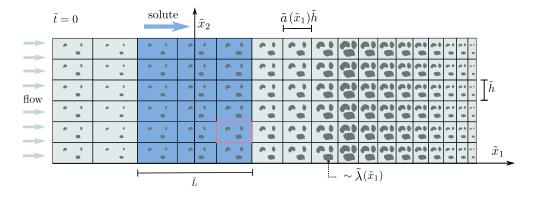


FIG. 1. We consider the flow of fluid carrying solute through a heterogeneous porous filter in two dimensions. The porous medium is formed of an array of obstacles whose size depends only on a scale factor  $\tilde{\lambda}(\tilde{x}_1)$ , located within each rectangular cell of constant transverse height  $\tilde{h}$ , and longitudinal width  $\tilde{a}(\tilde{x}_1)\tilde{h}$ . The porous medium is thus uniform in the transverse ( $\tilde{x}_2$ ) direction but heterogeneous in the longitudinal ( $\tilde{x}_1$ ) direction. We assume that the spacing between obstacles is small relative to the initial length of solute pulse,  $\tilde{L}$ , contained within the porous medium — that is,  $\varepsilon := \tilde{h}/\tilde{L} \ll 1$ . We isolate one cell with an pink rectangle; a dimensionless version of this cell is shown in detail in Figure 2.

solid structure of the domain. The latter constitutes an array of solid obstacles, as discussed in more detail below. We assume that the solute particles are negligibly small relative to the solid obstacles, and we measure the local density of solute (amount of solute per volume of fluid in kg/m<sup>3</sup>) via the concentration field  $\tilde{c}(\tilde{x}, \tilde{t})$ . This concentration field is defined within the fluid phase of the porous medium, denoted  $\tilde{\Omega}_f$ .

Note that we do not track solute once it has adsorbed to the solid surface, and we neglect any impact of this adsorption on the size of the obstacles. The latter point is justified by our assumption that the solute particles are negligible in size relative to the obstacles, and also because we are interested in macroscopic diffusive and advective timescales, which are typically far shorter than those of solute accumulation and blocking.

The porous medium can be partitioned into an array of rectangular cells of fixed height 156 h and varying width  $\tilde{a}(\tilde{x}_1)$ , where  $\tilde{a}$  is the aspect ratio of a given cell and where  $\tilde{x}_1$  is taken 157 be the centre of each cell. Each cell contains fixed and rigid obstacles of smooth but arbi-158 trary shape. The shape of each obstacle is fixed and each obstacle can only grow or shrink 159 isotropically about their respective centre of mass according to a scale factor  $\tilde{\lambda}(\tilde{x}_1)$ . The solid 160 domain is the union of these obstacles, and is denoted  $\tilde{\Omega}_s := \tilde{\Omega} \setminus \tilde{\Omega}_f$ . This construction leads 161 to a porous medium whose properties vary in the longitudinal direction but not in the trans-162 verse direction (see Figure 1). We further assume that the length of the initial solute pulse 163 is much greater than the height of each cell comprising the porous medium, which requires 164  $\varepsilon \ll 1$  where we define  $\varepsilon := h/\tilde{L}$ . This formulation allows the porous medium to have  $\mathcal{O}(1)$ 165 variations in microstructure over an  $\mathcal{O}(1)$  variation in  $\tilde{x}$ . 166

We model solute transport and adsorption via the standard advection–diffusion equation with a linear, partially adsorbing condition at the fluid–solid interface:

169 (2.1a)  $\frac{\partial \tilde{c}}{\partial \tilde{t}} = \tilde{\boldsymbol{\nabla}} \cdot \left( \tilde{D} \tilde{\boldsymbol{\nabla}} \tilde{c} - \tilde{\boldsymbol{v}} \tilde{c} \right), \quad \tilde{\boldsymbol{x}} \in \tilde{\Omega}_f,$ 

(2.1b) 
$$-\tilde{\gamma}\hat{c} = \tilde{\boldsymbol{n}}_s \cdot \left(\tilde{D}\tilde{\boldsymbol{\nabla}}\tilde{c} - \tilde{\boldsymbol{v}}\tilde{c}\right), \quad \tilde{\boldsymbol{x}} \in \partial\tilde{\Omega}_s,$$

where  $\tilde{D}$  is the coefficient of molecular diffusion,  $\tilde{v}$  is the given fluid velocity (e.g., see

173 §2.3),  $\tilde{n}_s$  is the outward-facing unit normal to  $\partial \tilde{\Omega}_s$ , and  $\tilde{\gamma} \geq 0$  is the constant adsorption

- coefficient. Further, we note that  $\tilde{\gamma} = 0$  corresponds to no adsorption and  $\tilde{\gamma} \to \infty$  corresponds to instantaneous adsorption, where the latter is equivalent to imposing  $\tilde{c} = 0$  on  $\partial \tilde{\Omega}_s$ .
- To deal with the boundaries during the upscaling, it is helpful to define a function  $f_s(\tilde{x})$
- 177 such that on the fluid–solid interface  $\partial \hat{\Omega}_s$

178 (2.2) 
$$f_s(\tilde{x}) = 0.$$

179 We also define  $\tilde{f}_s(\tilde{x}) > 0$  inside the solid phase. Then,

180 (2.3) 
$$\tilde{\boldsymbol{n}}_s(\tilde{\boldsymbol{x}}) \coloneqq \frac{\tilde{\boldsymbol{\nabla}} \tilde{f}_s}{\left|\tilde{\boldsymbol{\nabla}} \tilde{f}_s\right|}$$

is the outward-facing normal to the fluid domain.

**2.1. Dimensionless Equations.** We make Equations (2.1) dimensionless via the scalings

184 (2.4) 
$$\tilde{\boldsymbol{x}} = \tilde{L}\hat{\boldsymbol{x}}, \quad \tilde{\boldsymbol{v}} = \tilde{V}\hat{\boldsymbol{v}}, \quad \tilde{c} = \tilde{C}\hat{c}, \text{ and } \tilde{t} = \left(\frac{\tilde{L}^2}{\tilde{D}}\right)\hat{t},$$

where  $\tilde{V}$  and  $\tilde{C}$  are the average inlet velocity and the average inlet concentration, respectively;  $\hat{x}$  and  $\hat{t}$  denote the dimensionless spatial and temporal coordinates, respectively; and  $\hat{v} = \hat{v}(\hat{x})$  and  $\hat{c} = \hat{c}(\hat{x}, \hat{t})$  denote the dimensionless velocity and concentrations fields, respectively.

189 Employing the scalings in Equation (2.4), the transport problem (Eqs. 2.1) becomes

190 (2.5a) 
$$\frac{\partial \hat{c}}{\partial \hat{t}} = \hat{\nabla} \cdot \left( \hat{\nabla} \hat{c} - \operatorname{Pe}_l \frac{\hat{\boldsymbol{v}} \hat{c}}{\varepsilon} \right), \quad \hat{\boldsymbol{x}} \in \hat{\Omega}_f,$$

191 (2.5b) 
$$-\varepsilon\gamma\hat{c} = \hat{n}_s \cdot \left(\hat{\nabla}\hat{c} - \operatorname{Pe}_l \frac{\hat{v}\hat{c}}{\varepsilon}\right), \quad \hat{x} \in \partial\hat{\Omega}_s$$

Here,  $\hat{\nabla}$  is the gradient operator with respect to the spatial coordinate  $\hat{x}$ ,  $\hat{n}_s(\hat{x})$  is the outward-facing normal to  $\hat{\Omega}_f$ , the dimensionless adsorption rate  $\gamma := \tilde{\gamma}\tilde{L}/(\varepsilon\tilde{D}) = \mathcal{O}(1)$ (to obtain a distinguished limit), measures the rate of adsorption relative to that of diffusive transport, and the local Péclet number

197 (2.6) 
$$\operatorname{Pe}_l := \tilde{h}\tilde{V}/\tilde{\mathcal{D}} \equiv \varepsilon \operatorname{Pe}_{q_l}$$

where the local Péclet number measures the rate of advective transport relative to that of diffusive transport across each cell while the global Péclet number  $\text{Pe}_g := \tilde{L}\tilde{V}/\tilde{D}$  measures the rate of advective transport relative to that of diffusive transport across an  $\mathcal{O}(1)$  section of the filter. Note that dispersive effects appear in the leading-order macroscale transport equation when the global Péclet number is of  $\mathcal{O}(1/\varepsilon)$ , so that  $\text{Pe}_l = \mathcal{O}(1)$ .

Finally, the dimensionless fluid–solid interface becomes  $\hat{f}_s(\hat{x}) = 0$  and Equation (2.3) becomes

205 (2.7) 
$$\hat{\boldsymbol{n}}_s(\hat{\boldsymbol{x}}) \coloneqq \frac{\boldsymbol{\nabla} \hat{f}_s}{\left| \hat{\boldsymbol{\nabla}} \hat{f}_s \right|}.$$

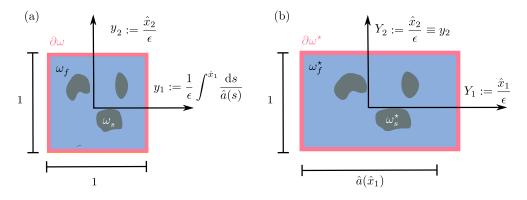


FIG. 2. An arbitrary cell within the porous medium (pink rectangle in Figure 1) represented in (a) transformed microscale coordinates and (b) physical microscale coordinates. We map the physical microscale coordinates  $Y_1$  and  $Y_2$  to transformed microscale coordinates  $y_1$  and  $y_2$  according to Equations (2.10) and (2.11) to scale the slow variation in cell width a out of the cell problem, such that each physical rectangular cell is transformed into a square. Note that  $\partial \omega := \partial \omega_{||} \cup \partial \omega_{=}$ , where  $\partial \omega_{||}$  and  $\partial \omega_{=}$  denote the vertical and horizontal cell boundaries, respectively, and that the domains and boundaries in the (physical) rectangular  $\mathbf{Y}$ -cell will be denoted as in the square  $\mathbf{y}$ -cell, but with the addition of a superscript  $\star$ .

206 **2.2. Method of multiple scales.** Following the method of multiple scales (MMS), we
207 isolate and solve the solute transport problem in an individual cell, which is characterised by
208 its aspect ratio

209 (2.8) 
$$\hat{a}(\hat{x}_1) = \tilde{a}(\tilde{x}_1),$$

and obstacle scale factor

211 (2.9) 
$$\hat{\lambda}(\hat{x}_1) = \lambda(\tilde{x}_1).$$

We then construct a model for macroscopic flow and transport through the entire porous medium from the solution to these individual cell problems via local averaging. The result is a system of equations that are uniformly valid for all  $\hat{x} \in \hat{\Omega}$ .

**2.2.1. Spatial transform.** A consequence of the obstacle size and spacing varying in the  $\hat{x}_1$  direction is that the period of the fast scale varies over the slow scale, thus, we cannot use standard homogenisation techniques here. Instead, we follow the approach from Auton *et al.* [5], based on previous methodology developed in Chapman and McBurnie [8], and Richardson and Chapman [27] and define both a transformed microscale coordinate  $y = (y_1, y_2)$  given by

221 (2.10) 
$$y_1 := \frac{1}{\varepsilon} \int^{\hat{x}_1} \frac{\mathrm{d}s}{\hat{a}(s)} \quad \text{and} \quad y_2 := \frac{\hat{x}_2}{\varepsilon},$$

for which each cell is of unit volume, and a physical microscale coordinate  $Y = (Y_1, Y_2)$ given by

224 (2.11) 
$$Y = \frac{\hat{x}}{\varepsilon}$$

for which the total cell volume is  $\hat{a}(x_1)$  and where the shape of the obstacles remains un-

changed. The benefit of introducing y is that the microscale cell size does not change over

the macroscale in this coordinate. Therefore, we may safely perform a homogenisation in *y*. Note that although  $\hat{a}(\hat{x}_1)$  and  $\hat{\lambda}(\hat{x}_1)$  can be treated as constant within a cell, they do vary over the macroscale — that is, we treat  $\hat{a}(\hat{x}_1)$  as a continuous step function that is constant within cells and changes between cells<sup>1</sup>. For further discussion of the choice of the mapping in Equation (2.10), we refer the reader to §3.1 of Auton et al. [5]. We will switch to *Y* to calculate specific integrals that arise during the homogenisation procedure as this domain is more straightforward to work with numerically.

Following the MMS, we define a macroscale spatial coordinate  $x := \hat{x}$  and we take xand y to be independent spatial parameters. Thus spatial derivatives become

236 (2.12a) 
$$\frac{\partial}{\partial \hat{x}_i} = \frac{\partial}{\partial x_i} + \frac{\sigma_{ij}}{\varepsilon} \frac{\partial}{\partial y_j}$$

238 for i, j = 1, 2, and where  $\sigma_{ij} := (\boldsymbol{\sigma})_{ij}$  and

239 (2.12b) 
$$\boldsymbol{\sigma} = \begin{pmatrix} \frac{1}{\hat{a}(x_1)} & 0\\ 0 & 1 \end{pmatrix}.$$

240 Alternatively, in vector form, the spatial derivatives become

$$\hat{\boldsymbol{\nabla}} := \boldsymbol{\nabla}_x + \frac{1}{\varepsilon} \boldsymbol{\nabla}_y^a$$

where  $\nabla_x$  is the gradient operator with respect to the coordinate x and where

244 (2.12d) 
$$\boldsymbol{\nabla}_{y}^{a} := \left(\frac{1}{\hat{a}}\frac{\partial}{\partial y_{1}}, \frac{\partial}{\partial y_{2}}\right)^{\mathsf{T}},$$

is the gradient operator associated with the y-coordinate transform.

246 **2.2.2. Temporal scales.** For a porous material with a fixed microstructure, classically 247 one would conduct the homogenisation in a frame that advects with the flow (*cf.* [1, 2]). 248 However due to the slowly varying microscale geometry in this problem, it is preferable to 249 introduce two timescales: a fast advective timescale,  $\tau = \hat{t}/\varepsilon$ , which tells us which frame to 250 move into, and a slower diffusive/dispersive timescale,  $t = \hat{t}$ , which allows us to quantify and 251 characterise the dispersive effects in which we are interested. This approach is motivated by 252 Salles *et al.* [29]. Thus the time derivatives become

253 (2.13) 
$$\frac{\partial}{\partial \hat{t}} = \frac{\partial}{\partial t} + \frac{1}{\varepsilon} \frac{\partial}{\partial \tau}$$

We therefore rewrite all functions of  $\hat{x}$  and  $\hat{t}$  as functions of x and y, and t and  $\tau$ , respectively:  $\hat{v}(\hat{x}) := v(x, y)$ , and  $\hat{c}(\hat{x}, \hat{t}) := c(x, y, t, \tau)$ . If we are referring to functions of Y in lieu of y, we adorn each function with a superscript  $\star$ . We define the domains for the microscale cells as in Figure 2, and the solid-fluid boundary as  $\partial \omega_s$  in the square y-cell and as  $\partial \omega_s^*$  in the (physical) rectangular Y-cell.

**2.3. Flow assumptions.** Our focus in this work is deriving the dispersive contaminant transport through heterogeneous porous media. Since our derivation allows for a general flow field under fairly minimal assumptions, we lean into this generality and derive the transport results for a flow field under the following minimal assumptions:

<sup>&</sup>lt;sup>1</sup>Equivalently, we may interpret the integral (Eq. 2.10) as integrating over delta functions between cells.

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1. The flow is steady and  $\hat{v}$  is bounded within each cell.

264 2. The fluid is incompressible — that is:

265 (2.14a) 
$$\hat{\boldsymbol{\nabla}} \cdot \hat{\boldsymbol{v}} = 0, \quad \hat{\boldsymbol{x}} \in \hat{\Omega}_f$$

266
 3. On the boundary of the solid obstacles, we have a no-slip and no-penetration condi 267
 tion:

268 (2.14b) 
$$\hat{\boldsymbol{v}} = 0, \quad \hat{\boldsymbol{x}} \in \partial \hat{\Omega}_s$$

4. The periodic microscale results in the flow being locally periodic over the microscale
 (*cf.* [1, 2, 5]).

In essence the flow field can come from any type of incompressible flow [1, 2]. For Stokes flow, the homogenisation of the flow problem with this general microscale geometry is considered in Auton *et al.* [5]. We will also explicitly calculate the flow field and its effect on dispersion later for the example of Stokes flow, in §4.

Note that Equation (2.14b) can be used to reduce Equation (2.5b) to

276 (2.15) 
$$-\varepsilon\gamma\hat{c} = \hat{n}_s \cdot \left(\hat{\nabla}\hat{c}\right), \quad \hat{x} \in \partial\hat{\Omega}_s;$$

however, we opt not to use this form of the boundary condition as it complicates the algebraicmanipulation in §3.2.2.

279 **2.4.** Averaging. For a given quantity  $Z(x, y, t, \tau) = Z^*(x, Y, t, \tau)$ , there are two dif-280 ferent averages of interest: the intrinsic (fluid) average

281

282 (2.16) 
$$\langle Z \rangle (\boldsymbol{x}, t, \tau) := \frac{1}{|\omega_f(x_1)|} \int_{\omega_f(x_1)} Z(\boldsymbol{x}, \boldsymbol{y}, t, \tau) \, \mathrm{d}S_y \equiv$$
  
283 
$$\frac{1}{|\omega_f^*(x_1)|} \int_{\omega_f^*(x_1)} Z^*(\boldsymbol{x}, \boldsymbol{Y}, t, \tau) \, \mathrm{d}S_Y = \langle Z^* \rangle (\boldsymbol{x}, t, \tau),$$

where the total fluid area in the transformed cell  $|\omega_f|$  (or physical cell  $|\omega_f^*|$ ) is a function of  $\hat{a}(x_1)$  and  $\lambda(x_1)$ ; and the volumetric average

287 (2.17) 
$$\frac{1}{|\omega(x_1)|} \int_{\omega(x_1)} Z(\boldsymbol{x}, \boldsymbol{y}, t, \tau) \, \mathrm{d}S_y \equiv \frac{1}{|\omega^{\star}(x_1)|} \int_{\omega^{\star}(x_1)} Z^{\star}(\boldsymbol{x}, \boldsymbol{Y}, t, \tau) \, \mathrm{d}S_Y,$$

where  $|\omega| = 1$  and  $|\omega^*| = \hat{a}$ . Here,  $dS_y := dy_1 dy_2$  is an area element of the transformed microscale fluid region,  $dS_Y := dY_1 dY_2$  is an area element of the physical microscale fluid region and the porosity  $\hat{\phi}$  is

291 (2.18) 
$$\hat{\phi}(x_1) = \frac{|\omega_f(x_1)|}{|\omega(x_1)|} \equiv |\omega_f(x_1)| \left( = \frac{|\omega_f^*(x_1)|}{|\omega^*(x_1)|} \right),$$

since  $|\omega(x_1)| \equiv 1$  by construction. Thus, the intrinsic average  $\langle c \rangle$  is the amount of solute per unit fluid area within the porous medium, while  $\hat{\phi}\langle c \rangle$ , the volumetric average of the concentration, is the amount of solute per unit total area. We will use the intrinsic average (Eq. 2.16) in the work that follows.

### **3. Homogenisation.**

**3.1. Incompressible flow.** Here, we use incompressibility of flow and its boundary condition to determine some micro- and macroscale flow expressions. In particular, we expand the fluid velocity field as follows:

300 (3.1) 
$$\boldsymbol{v}(\boldsymbol{x},\boldsymbol{y}) = \boldsymbol{v}^{(0)}(\boldsymbol{x},\boldsymbol{y}) + \varepsilon \boldsymbol{v}^{(1)}(\boldsymbol{x},\boldsymbol{y}) + \varepsilon^2 \boldsymbol{v}^{(2)}(\boldsymbol{x},\boldsymbol{y}) + \cdots$$
 as  $\varepsilon \to 0$ .

Using the assumptions detailed in §2.3, we apply the multiple spatial scales ansatz (Eq. 2.12) and the expansion (Eq. 3.1) to Equations (2.14) and compare orders of  $\varepsilon$  yielding a cascade of equations in orders of  $\varepsilon$ :

304 (3.2a) 
$$\boldsymbol{\nabla}_y^a \cdot \boldsymbol{v}^{(0)} = 0, \quad \boldsymbol{y} \in \omega_f,$$

305 (3.2b) 
$$\nabla_x \cdot \boldsymbol{v}^{(r)} = -\nabla_y^a \cdot \boldsymbol{v}^{(r+1)}, \quad \boldsymbol{y} \in \omega_f, \text{ for } r \in \mathbb{N}_{\geq 0},$$

$$\mathbf{y}^{(r)} = \mathbf{0}, \quad \mathbf{y} \in \partial \omega_s \text{ for } r \in \mathbb{N}_{\geq 0}$$

where Equation (3.2a) tells us that the leading-order microscale velocity is incompressible on the microscale and Equation (3.2c) yields a no-slip and no-penetration condition on the solid obstacles at all orders. The Dirichlet (no slip) boundary condition means that the full transformation machinery is not required for this part of the analysis. Further, using flow assumption 4 (periodicity of v over the microscale; see §2.3) and expansion (Eq. 3.1), we determine

314 (3.2d) 
$$v_i^{(r)}$$
, periodic on  $\boldsymbol{y} \in \partial \omega_{=}(x_1)$  and  $\partial \omega_{||}(x_1)$ , for  $r \in \mathbb{N}_{\geq 0}$  and  $i \in \{1, 2\}$ .

We consider the intrinsic average (Eq. 2.16) of Equation (3.2b);

317 (3.3) 
$$\frac{1}{|\omega_f(x_1)|} \int_{\omega_f(x_1)} \nabla_x \cdot \boldsymbol{v}^{(r)} \, \mathrm{d}S_y = 1$$

 $-\frac{1}{|\omega_f(x_1)|} \int_{\omega_f(x_1)} \boldsymbol{\nabla}_y^a \cdot \boldsymbol{v}^{(r+1)} \mathrm{d}S_y, \qquad \boldsymbol{y} \in \omega_f, \quad \text{for } r \in \mathbb{N}_{\geq 0},$ 

322 (3.4a) 
$$\int_{\omega_f} \nabla_x \cdot \boldsymbol{z} \, \mathrm{d}S_y = \nabla_x \cdot \int_{\omega_f} \boldsymbol{z} \, \mathrm{d}S_y + \int_{\partial \omega_s} \boldsymbol{N} \cdot \boldsymbol{z} \, \mathrm{d}s_y,$$

323 where the macroscale perturbation to the normal  $N = N_i e_i$  is defined as

324 (3.4b) 
$$N := \frac{\nabla_x f_s}{|\nabla_y f_s|}.$$

325 The transport theorem (Eq. 3.4a) is derived in Appendix A of Auton et al. [5].

Thus, we apply the transport theorem (Eq. 3.4a) to the left-hand side of Equation (3.3) and use the no-slip and no-penetration conditions at the  $i^{\text{th}}$  order (Eq. 3.2c) so that Equation (3.3) becomes

330 (3.5) 
$$\frac{1}{|\omega_f(x_1)|} \boldsymbol{\nabla}_x \cdot \int_{\omega_f(x_1)} \boldsymbol{v}^{(r)} \, \mathrm{d}S_y = -\frac{1}{|\omega_f(x_1)|} \int_{\omega_f(x_1)} \boldsymbol{\nabla}_y^a \cdot \boldsymbol{v}^{(r+1)} \mathrm{d}S_y, \quad \boldsymbol{y} \in \omega_f, \text{ for } r \in \mathbb{N}_{\geq 0}$$

Applying the divergence theorem to the right-hand side of Equation (3.5) combined with the 333 334 solid boundary condition (Eq. 3.2c), periodicity (Eq. 3.2d) and the definition of the intrinsic average (Eq. 3.3) yields 335 336

337 (3.6) 
$$\frac{1}{|\omega_f(x_1)|} \boldsymbol{\nabla}_x \cdot \int_{\omega_f(x_1)} \boldsymbol{v}^{(r)} \, \mathrm{d}S_y \equiv \frac{1}{|\omega_f(x_1)|} \boldsymbol{\nabla}_x \cdot \langle \hat{\phi} \boldsymbol{v}^{(r)} \rangle = 0, \qquad \boldsymbol{y} \in \omega_f, \quad \text{for } r \in \mathbb{N}_{\geq 0}.$$

Equation (3.6) corresponds to incompressibility over the macroscale all asymptotic orders. 340 Note that Equations (3.2) and (3.6) are not sufficient to define the flow field, however they do 341 give us relations on both the micro- and macroscales, which we will use for the homogenisa-342 tion of the solute transport problem. 343

344 **3.2. Solute transport with dispersion.** We now homogenise the solute transport problem (2.5). While the setup is similar to that of §3.3 of Auton *et al.* [5], the key difference is the 345 importance of dispersive effects. Among other technical differences, this also requires the in-346 troduction of a second temporal scale to allow for the systematic derivation of the macroscale 347 solute-transport equation with dispersive effects. In particular, we first determine a fast evo-348 lution equation for the solute due to advection; then we consider higher-order equations in 349 the concentration problem to determine a transport equation that balances all transport mech-350 anisms. Finally we combine these results to obtain an advection-diffusion equation which 351 accounts for the emergent dispersion. 352

**3.2.1. Treatment of the normal to the solid.** Under the multiple scales framework, the 353 unit normal to the solid interface is written as a function of both the macro- and microscales: 354  $\hat{n}_s(\hat{x}) = n_s(x, y)$ , and similarly for the function  $\hat{f}_s(\hat{x}) = f_s(x, y)$ , which vanishes on the 355 solid interface. As in Auton *et al.* [5], to determine the correct form of  $n_s(x, y)$  we must 356 apply the multiple-scales transformations (Eqs. 2.12) consistently to Equation (2.3) which 357 yields 358

359 (3.7a)  
360 
$$\boldsymbol{n}_{s} = \frac{\left(\sigma_{ij}n_{j}^{y} + \varepsilon N_{i}\right)\boldsymbol{e}_{i}}{\left[\sigma_{kl}\sigma_{km}n_{l}^{y}n_{m}^{y}\right]^{1/2} + O(\varepsilon)}$$

where the geometric normal to the transformed solid obstacles  $n^y = n_i^y e_i$  is given by 361

362 (3.7b) 
$$\boldsymbol{n}^{y} := \frac{\boldsymbol{\nabla}_{y} f_{s}}{|\boldsymbol{\nabla}_{y} f_{s}|} = \frac{\frac{\partial f_{s}}{\partial y_{i}} \boldsymbol{e}_{i}}{\left[\frac{\partial f_{s}}{\partial y_{i}} \frac{\partial f_{s}}{\partial y_{i}}\right]^{1/2}}.$$

363

Note that in Equation (3.7b), and in subsequent calculations, we invoke the summation 364 convention. It is also helpful to define the leading-order physical microscale unit normal 365  $\boldsymbol{n}^{Y} = n_{i}^{Y} \boldsymbol{e}_{i}$  as follows 366

367 (3.7c) 
$$\boldsymbol{n}^{Y} = \frac{\sigma_{ij} \frac{\partial f_s}{\partial y_j} \boldsymbol{e}_i}{\left[\sigma_{kl} \sigma_{km} \frac{\partial f_s}{\partial y_l} \frac{\partial f_s}{\partial y_m}\right]^{1/2}}$$

such that  $n_s \sim n^Y$  as  $\varepsilon \to 0$ . Importantly, the transformed unit normal we work with in the 368 369 homogenisation (3.7c) is not equal to the geometric normal (3.7b) in general.

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Thus using Equation (3.7), Equations (2.5) become

371 (3.8a) 
$$\frac{\partial c}{\partial \tau} + \varepsilon \frac{\partial c}{\partial t} = \left(\varepsilon \frac{\partial}{\partial x_i} + \sigma_{ij} \frac{\partial}{\partial y_j}\right) \left[\frac{\partial c}{\partial x_i} + \frac{\sigma_{ik}}{\varepsilon} \frac{\partial c}{\partial y_k} - \operatorname{Pe}_l \frac{v_i c}{\varepsilon}\right], \quad \boldsymbol{y} \in \omega_f(x_1),$$

372 373

374 (3.8b) 
$$-\varepsilon\gamma c \left[\sigma_{kl}\sigma_{km}n_{l}^{y}n_{m}^{y}\right]^{1/2} + O(\varepsilon^{2}) =$$
  
275  $\left[\sigma_{kl}\sigma_{km}n_{l}^{y}n_{m}^{y}\right]^{1/2} + O(\varepsilon^{2}) = 0$ 

$$\left(\varepsilon N_i + \sigma_{ij} n_j^y\right) \left[\frac{\partial c}{\partial x_i} + \frac{\sigma_{ik}}{\varepsilon} \frac{\partial c}{\partial y_k} - \operatorname{Pe}_l \frac{v_i c}{\varepsilon}\right], \quad \boldsymbol{y} \in \partial \omega_s(x_1),$$

377 with

378 (3.8c)  $v_i, c,$  periodic on  $\boldsymbol{y} \in \partial \omega_{=}(x_1)$  and  $\partial \omega_{||}(x_1),$ 

writing  $v = v_i e_i$ . Note that, for clarity of presentation in what follows, we have multiplied by  $\varepsilon$  when deriving Equation (3.8a) from Equation (2.5a).

381 3.2.2. The dispersive homogenisation. As for the flow problem, we consider an as 382 ymptotic expansion of the concentration field of the form (3.9a)

383 
$$c(\boldsymbol{x}, \boldsymbol{y}, t, \tau) = c^{(0)}(\boldsymbol{x}, \boldsymbol{y}, t, \tau) + \varepsilon c^{(1)}(\boldsymbol{x}, \boldsymbol{y}, t, \tau) + \varepsilon^2 c^{(2)}(\boldsymbol{x}, \boldsymbol{y}, t, \tau) + \cdots$$
 as  $\varepsilon \to 0$ .

Equations (3.8) at leading order,  $\mathcal{O}(1/\varepsilon)$ , give

385 (3.10a) 
$$0 = \sigma_{ij} \frac{\partial}{\partial y_j} \left( \sigma_{ik} \frac{\partial c^{(0)}}{\partial y_k} - \operatorname{Pe}_l v_i^{(0)} c^{(0)} \right), \quad \boldsymbol{y} \in \omega_f(x_1),$$

386

387 (3.10b) 
$$0 = \sigma_{ij} n_j^y \left( \sigma_{ik} \frac{\partial c^{(0)}}{\partial y_k} - \operatorname{Pe}_l v_i^{(0)} c^{(0)} \right), \quad \boldsymbol{y} \in \partial \omega_s(x_1),$$

388 and

389 (3.10c) 
$$v_i^{(0)}, c^{(0)},$$
 periodic on  $\boldsymbol{y} \in \partial \omega_=(x_1)$  and  $\partial \omega_{||}(x_1)$ .

As shown in §SM1 of the Supplementary Materials, the general solution to the system of Equations (Eqs. 3.10) is that  $c^{(0)}$  is independent of y. This implies  $\partial c^{(0)}/\partial y_i \equiv 0$ , for

392 i = 1, 2, and hence that  $\langle c^{(0)} \rangle \equiv c^{(0)}$ .

Proceeding to the next order,  $\mathcal{O}(1)$ , in Equation (3.8) yields

394 (3.11a) 
$$\frac{\partial c^{(0)}}{\partial \tau} = -\frac{\partial}{\partial x_i} \left( \operatorname{Pe}_l v_i^{(0)} c^{(0)} \right) + \sigma_{ij} \frac{\partial \mathcal{A}_i}{\partial y_j}, \quad \boldsymbol{y} \in \omega_f.$$

$$\begin{array}{ll} \begin{array}{l} 385\\ 386 \end{array} \quad (3.11b) \qquad \qquad 0=\sigma_{ij}n_j^y\mathcal{A}_i, \quad \boldsymbol{y}\in\partial\omega_s, \end{array}$$

397 with

398 (3.11c)  $v_i^{(1)}, c^{(1)},$  periodic on  $\boldsymbol{y} \in \partial \omega_{=}(x_1)$  and  $\partial \omega_{||}(x_1),$ 

399 where

400 (3.11d) 
$$\mathcal{A}_{i} := \frac{\partial c^{(0)}}{\partial x_{i}} + \sigma_{ik} \frac{\partial c^{(1)}}{\partial y_{k}} - \operatorname{Pe}_{l} \left( v_{i}^{(0)} c^{(1)} + v_{i}^{(1)} c^{(0)} \right),$$

and where we have used  $\partial c^{(0)}/\partial y_i = 0$  and the no-slip and no-penetration boundary conditions on the solid surface (Eq. 3.2c). Integrating Equation (3.11a) over the transformed microscale fluid domain  $\omega_f$  gives

404 (3.12a) 
$$|\omega_f| \frac{\partial c^{(0)}}{\partial \tau} = -\operatorname{Pe}_l \int_{\omega_f} \frac{\partial}{\partial x_i} \left( v_i^{(0)} c^{(0)} \right) \mathrm{d}S_y + \int_{\omega_f} \frac{\partial \left( \sigma_{ij} \mathcal{A}_i \right)}{\partial y_j} \mathrm{d}S_y$$

where we have noted that  $\sigma_{ij}$  is independent of  $y_k$  for all i, j, k = 1, 2. On application of the divergence theorem to the last term of Equation (3.12a) we find

407 (3.12b) 
$$\int_{\omega_f} \frac{\partial (\sigma_{ij} \mathcal{A}_j)}{\partial y_j} dS_y = \int_{\partial \omega_s} \sigma_{ij} \mathcal{A}_j n_j^y ds_y + \int_{\partial \omega} n_j^{\Box} \sigma_{ij} \mathcal{A}_i ds_y \equiv 0$$

where  $ds_y$  signifies an element of a scalar line integral, and  $\mathbf{n}^{\Box} = n_i^{\Box} \mathbf{e}_i$  is the outwardfacing unit normal to the external square boundary  $\partial \omega$ . Both terms on the right-hand side of Equation (3.12b) vanish; the first term due to the boundary condition (Eq. 3.11b) and the second term as  $\sigma_{ij} \mathcal{A}_i$  is periodic on  $\partial \omega$ .

412 Thus, applying the transport theorem (Eq. 3.4a) to Equation (3.12a) leads to

413 (3.13) 
$$\frac{\partial c^{(0)}}{\partial \tau} = -\frac{\operatorname{Pe}_l}{|\omega_f|} \left[ \frac{\partial}{\partial x_i} \left( \int_{\omega_f} v_i^{(0)} c^{(0)} \,\mathrm{d}S_y \right) + \int_{\partial \omega_s} N_i v_i^{(0)} c^{(0)} \,\mathrm{d}s_y \right], \quad \boldsymbol{y} \in \omega_f.$$

<sup>414</sup> The last term on the right-hand side of Equation (3.13) vanishes due to the no-slip and no-

415 penetration condition (Eq. 3.2c) on  $\partial \omega_s$ , hence Equation (3.13) becomes

416 (3.14) 
$$\frac{\partial c^{(0)}}{\partial \tau} = -\frac{\operatorname{Pe}_l}{\hat{\phi}} \boldsymbol{\nabla}_x \cdot \left( \hat{\phi} \langle \boldsymbol{v}^{(0)} \rangle c^{(0)} \right) = -\operatorname{Pe}_l \langle \boldsymbol{v}^{(0)} \rangle \cdot \boldsymbol{\nabla}_x c^{(0)}, \quad \boldsymbol{y} \in \omega_f$$

where we have use the definition of porosity (Eq. 2.18) and macroscale incompressibility 417 (Eq. 3.6; with r = 0). Equation (3.14) governs the leading-order fast-time evolution of con-418 419 centration due to advection — that is, the leading-order effect is that the solute pulse advects according to the fast time scale. This result is consistent with previous dispersion works 420 (e.g., [21]). However, recall that goal of this analysis remains to determine a macroscale 421 equation for the concentration that balances all transport mechanisms: advection, diffusion, 422 dispersion and removal. Since Equation (3.14) does not yield any information on how the 423 solute spreads as it is advected, we must continue until we determine an equation for how the 424 425 concentration varies relative to the slower timescale. To do this, we must proceed to a higher asymptotic order; the first step of this is to determine a closed system of equations for  $c^{(1)}$ . 426

With this goal in mind we use the relationship determined in Equation (3.14) to eliminate
the fast-time derivative in Equation (3.11a), leading to

$$\begin{array}{ll} {}^{430} & (3.15) & \sigma_{ij}\frac{\partial}{\partial y_j} \left(\frac{\partial c^{(0)}}{\partial x_i} + \sigma_{ik}\frac{\partial c^{(1)}}{\partial y_k}\right) = \\ {}^{431} & \operatorname{Pe}_l \left[ -\langle v_i^{(0)} \rangle \frac{\partial c^{(0)}}{\partial x_i} + \frac{\partial}{\partial x_i} \left(v_i^{(0)}c^{(0)}\right) + \sigma_{ij}\frac{\partial}{\partial y_j} \left(v_i^{(0)}c^{(1)} + v_i^{(1)}c^{(0)}\right) \right], \quad \boldsymbol{y} \in \omega_f \\ \end{array}$$

Using Equations (3.2a) and (3.2b; with r = 0) and that  $c^{(0)}$  is independent of the microscale, Equation (3.15) becomes

(3.16a)

435 
$$\sigma_{ij} \left[ \operatorname{Pe}_{l} v_{i}^{(0)} \frac{\partial c^{(1)}}{\partial y_{j}} - \frac{\partial}{\partial y_{j}} \left( \frac{\partial c^{(0)}}{\partial x_{i}} + \sigma_{ik} \frac{\partial c^{(1)}}{\partial y_{k}} \right) \right] = \operatorname{Pe}_{l} \left( \left\langle v_{i}^{(0)} \right\rangle - v_{i}^{(0)} \right) \frac{\partial c^{(0)}}{\partial x_{i}}, \quad \boldsymbol{y} \in \omega_{f},$$

436 subject to

437 (3.16b) 
$$\sigma_{ij}n_j^y \left(\frac{\partial c^{(0)}}{\partial x_i} + \sigma_{ik}\frac{\partial c^{(1)}}{\partial y_k}\right) = 0, \quad \boldsymbol{y} \in \partial \omega_s$$

The form of Equations (3.16) suggest that we can scale  $\partial c^{(0)} / \partial x_i$  out of the problem via the substitution

440 (3.17) 
$$c^{(1)}(\boldsymbol{x}, \boldsymbol{y}, t, \tau) = -\Gamma_n(\boldsymbol{x}, \boldsymbol{y}) \frac{\partial}{\partial x_n} \left[ c^{(0)}(\boldsymbol{x}, t, \tau) \right] + \breve{C}^{(1)}(\boldsymbol{x}, t, \tau),$$

441 where  $\check{C}^{(1)}$  is a scalar function and  $\Gamma = \Gamma_n e_n$  is a vector function, such that  $\langle \Gamma \rangle = 0$ . 442 Substituting Equation (3.17) into Equation (3.16), we obtain a system of equations for  $\Gamma_k$ :

(3.18a)

443 
$$\sigma_{ij} \left[ \operatorname{Pe} v_i^{(0)} \frac{\partial \Gamma_n}{\partial y_j} - \frac{\partial}{\partial y_j} \left( \sigma_{ik} \frac{\partial \Gamma_n}{\partial y_k} \right) \right] = -\operatorname{Pe}_l \left( \langle v_n^{(0)} \rangle - v_n^{(0)} \right), \quad \boldsymbol{y} \in \omega_f,$$

444 (3.18b) 
$$\sigma_{ij}n_j^y \left(\delta_{in} - \sigma_{ik}\frac{\partial \Gamma_n}{\partial y_k}\right) = 0, \quad \boldsymbol{y} \in \partial \omega_s$$

 $445 \quad (3.18c) \qquad \qquad \Gamma_n \quad \text{periodic on} \quad \boldsymbol{y} \in \partial \omega_{=} \text{ and } \partial \omega_{||}.$ 

447 Our overarching goal remains to derive the macroscale dispersion equation. To achieve 448 this, we must consider one final asymptotic order. Equation (3.8) at  $O(\varepsilon)$  gives

449 (3.19a) 
$$\frac{\partial c^{(0)}}{\partial t} + \frac{\partial c^{(1)}}{\partial \tau} = \sigma_{ij} \frac{\partial \mathcal{B}_i}{\partial y_j} + \frac{\partial \mathcal{A}_i}{\partial x_i}, \quad \boldsymbol{y} \in \omega_f,$$

$$450 \quad (3.19b) \quad -\varepsilon\gamma c^{(0)} \left[\sigma_{kl}\sigma_{km}n_l^y n_m^y\right]^{1/2} = \sigma_{ij}n_j^y \mathcal{B}_i + N_i \mathcal{A}_i, \quad \boldsymbol{y} \in \partial \omega_s,$$

452 where

453 (3.19c) 
$$\mathcal{B}_i := \frac{\partial c^{(1)}}{\partial x_i} + \sigma_{ik} \frac{\partial c^{(2)}}{\partial y_k} - \operatorname{Pe}_l \left( v_i^{(2)} c^{(0)} + v_i^{(1)} c^{(1)} + v_i^{(0)} c^{(2)} \right).$$

Integrating Equation (3.19a) over the transformed microscale fluid domain  $\omega_f$  and using the transport theorem (Eq. 3.4a) we obtain

(3.20)

456 
$$|\omega_f| \frac{\partial c^{(0)}}{\partial t} + \frac{\partial}{\partial \tau} \left( \int_{\omega_f} c^{(1)} \, \mathrm{d}S_y \right) = \int_{\omega_f} \sigma_{ij} \frac{\partial \mathcal{B}_i}{\partial y_j} \, \mathrm{d}S_y + \frac{\partial}{\partial x_i} \int_{\omega_f} \mathcal{A}_i \, \mathrm{d}S_y + \int_{\partial \omega_s} N_i \mathcal{A}_i \, \mathrm{d}s_y.$$

We deal with the first term on the right-hand side of Equation (3.20) by noting  $\sigma_{ij}$  is independent of  $\boldsymbol{y}$  and applying the divergence theorem to  $\sigma_{ij}\mathcal{B}_i$ . Then, applying periodicity on the cell boundary and Equation (3.19b), we determine that

460 (3.21) 
$$\int_{\omega_f} \sigma_{ij} \frac{\partial \mathcal{B}_i}{\partial y_j} \, \mathrm{d}S_y = -\gamma c^{(0)} \int_{\partial \omega_s} \left[ \sigma_{kl} \sigma_{km} n_l^y n_m^y \right]^{1/2} \, \mathrm{d}s_y - \int_{\partial \omega_s} N_i \mathcal{A}_i \, \mathrm{d}s_y.$$

461 Substituting Equation (3.21) into Equation (3.20), we obtain (3.22)

462 
$$|\omega_f| \frac{\partial c^{(0)}}{\partial t} + \frac{\partial}{\partial \tau} \left( \int_{\omega_f} c^{(1)} \, \mathrm{d}S_y \right) = \frac{\partial}{\partial x_i} \int_{\omega_f} \mathcal{A}_i \, \mathrm{d}S_y - \gamma c^{(0)} \int_{\partial \omega_s} \left[ \sigma_{kl} \sigma_{km} n_l^y n_m^y \right]^{1/2} \, \mathrm{d}s_y.$$

Using Equation (3.17) and the fact that  $\langle \Gamma \rangle \equiv 0$  by definition, Equation (3.22) can be expressed as

465  $466 \quad (3.23a) \quad |\omega_f| \frac{\partial c^{(0)}}{\partial t} + \frac{\partial}{\partial \tau} \left( \int_{\omega_f} \check{C}^{(1)} \, \mathrm{d}S_y \right) =$   $467 \quad \nabla_x \cdot \left\{ \hat{\phi} \hat{D} \cdot \nabla_x c^{(0)} - \hat{\phi} \operatorname{Pe}_l \left[ \langle \boldsymbol{v}^{(0)} \rangle \check{C}^{(1)} + \left( \frac{1}{\hat{\phi}} \int_{\omega_f} \boldsymbol{v}^{(1)} \mathrm{d}S_y \right) c^{(0)} \right] \right\} - \hat{F}(\hat{\phi}, \hat{a}) c^{(0)},$ 

469 where

470 (3.23b) 
$$\hat{F}(\hat{\phi}, \hat{a}) := \frac{\gamma}{|\omega_f|} \int_{\partial \omega_s} \left[ \sigma_j \sigma_j n_j^y n_j^y \right]^{1/2} \mathrm{d}s_y,$$

471 and where

472 (3.23c) 
$$\hat{D}(\hat{\phi}, \hat{a}) := I - \hat{D}_{obst} + \hat{D}_{disp}$$

473 such that

474 (3.23d) 
$$\left(\hat{D}_{obst}\right)_{ij} := \frac{1}{|\omega_f|} \int_{\omega_f} \sigma_{ik} \frac{\partial \Gamma_j}{\partial y_k} dS_y,$$

(3.23e) 
$$\left( \hat{\boldsymbol{D}}_{\text{disp}} \right)_{ij} \coloneqq -\frac{\operatorname{Pe}_l}{|\omega_f|} \left( \int_{\omega_f(x_1)} v_i^{(0)} \Gamma_j \mathrm{d}S_y \right)$$

We introduce the macroscale intrinsic averaged concentration and fluid velocity fields accurate up to and including the first-order asymptotic correction:  $\hat{C}$  and  $\hat{V}$ , respectively. In particular,  $\hat{C}$  is defined by

480 (3.24a) 
$$\hat{\mathcal{C}} := \langle c^{(0)} \rangle + \varepsilon \langle c^{(1)} \rangle,$$

(3.24b) 
$$\equiv C^{(0)} - \varepsilon \hat{\boldsymbol{\nabla}} C^{(0)} \cdot \langle \boldsymbol{\Gamma} \rangle + \varepsilon \breve{C}^{(1)},$$

$$_{483}^{482}$$
 (3.24c)  $\equiv C^{(0)} + \varepsilon \check{C}^{(1)},$ 

484 since  $\Gamma$  is constructed such that  $\langle \Gamma \rangle \equiv 0$  and  $c^{(0)}$  is independent of the microscale so that 485  $C^{(0)} := \langle c^{(0)} \rangle \equiv c^{(0)}$ . Similarly,  $\hat{\mathcal{V}}$  is defined

486 (3.24d) 
$$\hat{\boldsymbol{\mathcal{V}}} := \boldsymbol{V}^{(0)} + \varepsilon \boldsymbol{V}^{(1)},$$

487 where

488 (3.24e) 
$$V^{(r)} \coloneqq \langle \boldsymbol{v}^{(r)} \rangle$$

489 for  $r \in \{0, 1\}$ . Note that  $\hat{\mathcal{C}} \sim C^{(0)}$  and  $\hat{\mathcal{V}} \sim \mathcal{V}^{(0)}$ .

As previously discussed, the dispersive effects characterised via Equation (3.23) occur over a slower timescale than the advective effects characterised via Equation (3.14). We can combine these into a single equation by collapsing our two timescales back into the physical single timescale using the relation between  $\hat{t}$ , t and  $\tau$  given in Equation (2.13)— that is, we sum Equation (3.14) and  $\varepsilon$  times Equation (3.23) and then recombine the timescales to eliminate t and  $\tau$  in lieu of  $\hat{t}$ . This procedure yields

496 (3.25) 
$$\frac{\partial \mathcal{C}}{\partial \hat{t}} = \frac{1}{\hat{\phi}} \hat{\boldsymbol{\nabla}} \cdot \left( \hat{\phi} \hat{\boldsymbol{D}} \cdot \hat{\boldsymbol{\nabla}} \hat{\mathcal{C}} - \hat{\phi} \operatorname{Pe}_{g} \hat{\boldsymbol{\mathcal{V}}} \hat{\mathcal{C}} \right) - \hat{F}(\hat{\phi}, \hat{a}) \hat{\mathcal{C}}, \quad \hat{\boldsymbol{x}} \in \hat{\Omega},$$

where we have additionally used the definition of  $Pe_g$  (Eq. 2.6), and have replaced  $\nabla_x$  with  $\nabla$ since Equation (3.25) depends only on  $x = \hat{x}$  and  $\hat{t}$ . Note that this rearrangement is easily verified by considering the expansion of Equation (3.25) subject to Equation (3.24), retaining

500 leading-order and first-order corrections only.

501 **3.2.3. Transforming to the physical microscale coordinate.** To interpret the rate 502  $F(\hat{\phi}, \hat{a})$  physically, it is helpful to map its definition Equation (3.23b) to the physical mi-503 croscale coordinate Y, defined in Equation (2.11). This integral coordinate transform is 504 shown in detail in §3.3.1 of Auton *et al.* [5] and leads to

505 (3.26) 
$$\hat{F}(\hat{\phi}, \hat{a}) = \frac{\gamma |\partial \omega_s^{\star}|}{|\omega_f| |\omega^{\star}|} \equiv \frac{\gamma |\partial \omega_s^{\star}|}{|\omega_f^{\star}|} \equiv \frac{\gamma |\partial \omega_s^{\star}|}{\hat{a}\hat{\phi}},$$

where we have used Equations (2.17), (2.18) and (3.7);  $\hat{F}$  is just the product of the dimensionless adsorption rate and ratio of surface area of solid to fluid fraction in a transformed cell.

Similarly, we map the physical microscale coordinate Y, defined in Equation (2.11). This mapping transforms the cell problem (Eqs. 3.18) to

511 (3.27a) 
$$-\operatorname{Pe}_{l} v_{i}^{(0)^{\star}} \frac{\partial \Gamma_{n}^{\star}}{\partial Y_{i}} + \frac{\partial}{\partial Y_{i}} \left( \frac{\partial \Gamma_{n}^{\star}}{\partial Y_{i}} \right) = \operatorname{Pe}_{l} \left( V_{n}^{(0)^{\star}} - v_{n}^{(0)^{\star}} \right), \quad \boldsymbol{Y} \in \omega_{f}^{\star},$$

512 (3.27b) 
$$n_i^{Y^\star} \frac{\partial \Gamma_n^\star}{\partial Y_i} = n_n^{Y^\star}, \quad Y \in \partial \omega_s^\star$$

$$[\xi_{12}^{*}]_{4}^{3}$$
 (3.27c)  $\Gamma_{n}^{*}$  periodic on  $Y \in \partial \omega_{=}^{*}$  and  $\partial \omega_{\parallel}^{*}$ ,

515 with

516 (3.27d) 
$$\langle \Gamma_n^* \rangle = 0,$$

such that Equations (3.23d) and (3.23e) become

518 (3.28a) 
$$\left(\hat{D}_{obst}\right)_{ij} := \frac{1}{|\omega_f^{\star}|} \int_{\omega_f^{\star}} \frac{\partial \Gamma_j^{\star}}{\partial Y_i} dS_Y \equiv \frac{1}{\hat{a}\hat{\phi}} \int_{\omega_f^{\star}} \frac{\partial \Gamma_j^{\star}}{\partial Y_i} dS_Y$$

519 and

520 (3.28b) 
$$\left(\hat{\boldsymbol{D}}_{\text{disp}}\right)_{ij} := -\frac{\operatorname{Pe}_l}{|\omega_f^{\star}|} \left(\int_{\omega_f^{\star}(x_1)} v_i^{(0)} \Gamma_j \mathrm{d}S_Y\right) \equiv -\frac{\operatorname{Pe}_l}{\hat{a}\hat{\phi}} \left(\int_{\omega_f^{\star}(x_1)} v_i^{(0)} \Gamma_j \mathrm{d}S_Y\right).$$

To evaluate  $\hat{D}$ , we solve the transformed cell problem, (Eqs. 4.7), numerically in COM-SOL Multiphysics<sup>®</sup>, where  $v_i^{(0)}$  is in general determined via the solution to another cell problem, the details of which depend on the prescribed flow. In §4, we prescribe Stokes flow and specify the appropriate cell problems. While Stokes flow is typically associated with slow flow, and dispersion with fast flow, we note that both are consistent when  $\tilde{D} \ll \tilde{V}\tilde{h} \ll \tilde{\nu}$ , where  $\tilde{\nu}$  is the kinematic viscosity of the fluid; this gives the local Reynolds number  $\text{Re}_l :=$  $\tilde{V}\tilde{L}/\tilde{\nu} \ll 1$  and  $\text{Pe}_l \equiv \tilde{V}\tilde{h}/\tilde{D} \gg 1$ .

Using the definition of  $\hat{\mathcal{V}}$  (Eq. 3.24d) and the incompressibility of  $v^{(0)}$  and  $v^{(1)}$  (Eq. 3.6), we find that  $\hat{\mathcal{V}}$  is also incompressible — that is,

530 (3.29) 
$$\hat{\boldsymbol{\nabla}} \cdot \hat{\boldsymbol{\mathcal{V}}} = 0$$

Hence, Equation (3.25) becomes 531

532 (3.30a) 
$$\frac{\partial \mathcal{C}}{\partial \hat{t}} = \frac{1}{\hat{\phi}} \hat{\boldsymbol{\nabla}} \cdot \left( \hat{\phi} \hat{\boldsymbol{D}} \cdot \hat{\boldsymbol{\nabla}} \hat{\mathcal{C}} \right) - \operatorname{Pe}_{g} \hat{\boldsymbol{\mathcal{V}}} \cdot \hat{\boldsymbol{\nabla}} \hat{\mathcal{C}} - \gamma \frac{|\partial \omega_{s}^{\star}|}{\hat{a} \hat{\phi}} \hat{\mathcal{C}}, \quad \hat{\boldsymbol{x}} \in \hat{\Omega},$$

with 533

534 (3.30b) 
$$\hat{D} = I - \hat{D}_{obst} + \hat{D}_{disp}$$

such that  $\hat{D}_{\text{obst}}$  and  $\hat{D}_{\text{disp}}$  are defined in Equation (3.28). Recalling that  $\text{Pe}_g = \mathcal{O}(1/\varepsilon)$ , it 535 might appear as though the second term on the right-hand side of Equation (3.30a). This 536 is a consequence of the advective effects being important over a faster timescale than the 537 538 dispersive effects, as also occurs in classic dispersion analyses. To understand the leadingorder importance of the dispersive effects we now perform a drift transformation into the 539 advective frame. 540

541 **3.3. Rescaled Dispersion Equation.** In this section we rescale the dispersion equation (Eq. 3.30) to derive a leading-order equation for the removal, diffusion and dispersion of a 542 solute. As previously mentioned, the leading-order effect of the flow on the solute is the 543 solute pulse advects with the flow. Here, we separate these effects — we take the leading-544 545 order velocity of the advection of the solute pulse and then investigate the leading order 546 spreading around the pulse. Since the fast flow is governed by the global Péclet number such 547 that  $\operatorname{Pe}_g = \mathcal{O}(1/\varepsilon)$ , we first rescale the spatial domain via

548 (3.31a) 
$$\hat{x} = \frac{X}{\varepsilon}$$

so that Equation (3.30a) becomes 549

550 (3.31b) 
$$\frac{\partial \mathcal{C}}{\partial \hat{t}} - \frac{\varepsilon^2}{\phi} \nabla_X \cdot (\phi \boldsymbol{D} \cdot \nabla_X \mathcal{C}) + \operatorname{Pe}_l \left( \boldsymbol{\mathcal{V}} \cdot \nabla_X \right) \mathcal{C} = -\gamma \frac{|\partial \omega_s^*|}{a\phi} \mathcal{C},$$

where we denote functions of X (independent of  $\hat{x}$ ) without a hat. 551

552 To investigate the diffusive and dispersive solute transport, we then rescale around a pulse of solute, which advects with the flow: 553

554 (3.32a) 
$$\boldsymbol{X} = \boldsymbol{X}_0(T) + \varepsilon \boldsymbol{\xi},$$

(3.32b)555

559 (3.32d) 
$$X_0(0) = 0,$$

(3.32e)  $\nabla_{\xi} = \varepsilon \nabla_X$  and 562

$$\frac{\partial}{\partial T} = \frac{\partial}{\partial \hat{t}} + \operatorname{Pe}_{l} \boldsymbol{\mathcal{V}}(\boldsymbol{X}_{0}) \cdot \boldsymbol{\nabla}_{X} = \frac{\partial}{\partial \hat{t}} + \operatorname{Pe}_{l} \left( \frac{\boldsymbol{V}^{(0)}(\boldsymbol{X}_{0})}{\varepsilon} + \boldsymbol{V}^{(1)}(\boldsymbol{X}_{0}) \right) \cdot \boldsymbol{\nabla}_{\xi}.$$

561

 $\frac{\mathrm{d}\boldsymbol{X}_{0}}{\mathrm{d}T} = \mathrm{Pe}_{l}\boldsymbol{\mathcal{V}}(\boldsymbol{X}_{0}) = \mathrm{Pe}_{l}\left(\boldsymbol{V}^{(0)}(\boldsymbol{X}_{0}) + \varepsilon\boldsymbol{V}^{(1)}(\boldsymbol{X}_{0})\right),$ 2c)h

 $\hat{t} = T$ ,

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#### HOMOGENISATION FOR DISPERSIVE TRANSPORT IN HETEROGENEOUS POROUS MEDIA 17

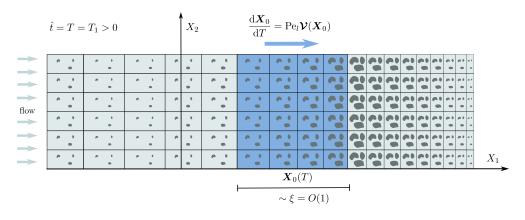


FIG. 3. We consider the evolution of a pulse of solute at some later time  $\hat{t} = T = T_1$  subject to fast fluid flow  $(\text{Pe}_g = \mathcal{O}(1/\varepsilon))$ . Advection dominates the propagation of the solute and thus to see the diffusive and dispersive effects, we define a drift transformation (Eqs. 3.32) that advects with the flow, the speed of which is shown on the schematic. The centre of the pulse is given by the dimensionless co-ordinate  $X_0(T)$  such that  $X_0(0) = 0$  and the  $\boldsymbol{\xi}$ -axis moves with the pulse enabling investigating relative to the dominant advective transport. [Note that  $\boldsymbol{\xi}$  is scaled so that the pulse is  $\mathcal{O}(1)$  relative to  $\boldsymbol{\xi}$ .

This transformation places the origin of the  $\boldsymbol{\xi}$ -axes at the centre of the solute pulse, which travels with velocity  $\operatorname{Pe}_l \boldsymbol{\mathcal{V}}(\boldsymbol{X}_0)$  (see Figure 3). ]This allows us to understand the evolution of the spread of the solute from its initial pulse of unit dimensionless length (relative to  $\boldsymbol{\xi}$ ). Under this scaling,  $\mathcal{O}(1)$  variations in the solute concentration will be characterised by  $\mathcal{O}(1)$ variations in  $\boldsymbol{\xi}$ ; thus we enforce

571 (3.33) 
$$\mathcal{C}(\boldsymbol{X},\hat{t}) = \mathcal{C}(\boldsymbol{\xi},T).$$

As the solute pulse propagates along the length of the filter (X), the microstructure of the filter, characterised by  $\phi$  and a, varies. This variation tells us that  $\mathcal{V} = \mathcal{V}(X)$ , D = D(X),  $\phi = \phi(X)$  and a = a(X) which are locally

575 (3.34a) 
$$\boldsymbol{\mathcal{V}}(\boldsymbol{X} = \boldsymbol{X}_0 + \varepsilon \boldsymbol{\xi}) = \boldsymbol{\mathcal{V}}(\boldsymbol{X}_0) + \varepsilon \left(\boldsymbol{\xi} \cdot \boldsymbol{\nabla}_X\right) \boldsymbol{\mathcal{V}}|_{\boldsymbol{X} = \boldsymbol{X}_0} + \cdots$$

576 (3.34b) 
$$D(X = X_0 + \varepsilon \boldsymbol{\xi}, T) = D(X_0, T) + \varepsilon (\boldsymbol{\xi} \cdot \boldsymbol{\nabla}_X) D|_{\boldsymbol{X} = \boldsymbol{X}_0} + \cdots$$

$$\begin{cases} 577\\ 578 \end{cases} (3.34c) \qquad \phi(\boldsymbol{X} = \boldsymbol{X}_0 + \varepsilon \boldsymbol{\xi}, T) = \phi(\boldsymbol{X}_0, T) + \varepsilon \left( \boldsymbol{\xi} \cdot \boldsymbol{\nabla}_X \right) \phi|_{\boldsymbol{X} = \boldsymbol{X}_0} + \cdots .$$

579 Using Equations (3.32) and (3.34a), Equation (3.31b) becomes

580 (3.35) 
$$\frac{\partial \mathcal{C}}{\partial T} = (\mathbf{D})_{ij} \Big|_{\mathbf{X} = \mathbf{X}_0} \frac{\partial^2 \mathcal{C}}{\partial \xi_i \partial \xi_j} - \operatorname{Pe}_l \xi_i \frac{\partial \mathcal{C}}{\partial \xi_j} \frac{\partial \mathcal{V}_j}{\partial X_i} \Big|_{\mathbf{X} = \mathbf{X}_0} - \gamma \frac{|\partial \omega_s^{\star}|}{a\phi} \mathcal{C},$$

where the drift transformation accounts for the leading-order advective transport of C. Note that the second term on the right-hand side of Equation (3.35) gives an O(1) correction for advection.

Finally, although there is no explicit dependence on  $\phi$  or *a* except in the removal term, the position  $X_0$  travels through the porous media and thus the effects of the varying microstructure are reflected in the effective diffusivity and flow gradients.

**4. Illustrative Example.** In this section, we prescribe a Stokes flow and examine a specific pore structure where the solid domain constitutes an array of solid circular obstacles in a hexagonal array. This could model, for example, the microscale geometry of a granular material.

#### 591 4.1. Stokes flow. Dimensionless Stokes flow is given by

592 (4.1) 
$$-\hat{\boldsymbol{\nabla}}\hat{p} + \varepsilon^2\hat{\nabla}^2\hat{\boldsymbol{v}} = \boldsymbol{0}$$

where  $\hat{p}$  is the dimensionless pressure, which has been scaled to balance macroscopic pressure 593 gradient with viscous dissipation at the pore scale. The full homogenisation of Stokes flow for 594 a material subject to the same two degrees of microstructural freedom is given in Auton et al. 595 [5]. Here, for completeness, we briefly recap the relevant results of the flow homogenisation 596 in Auton et al. [5]. In particular, we present the flow cell-problem which we subsequently 597 solve numerically using COMSOL Multiphysics<sup>®</sup>. The flow homogenisation leads to a set of 598 equations for the microscale velocity and pressure: 599

600 (4.2a) 
$$\boldsymbol{v}^{(0)} = -\boldsymbol{\mathcal{K}}(\boldsymbol{x}, \boldsymbol{y}) \cdot \boldsymbol{\nabla}_{\boldsymbol{x}} p^{(0)}, \qquad \boldsymbol{y} \in \omega_f,$$

$$p^{(1)} = -\mathbf{\Pi}(\boldsymbol{x}, \boldsymbol{y}) \cdot \boldsymbol{\nabla}_{\boldsymbol{x}} p^{(0)} + \breve{p}(\boldsymbol{x}), \qquad \boldsymbol{y} \in \omega_f,$$

where  $p^{(i)}$  is the *i*<sup>th</sup>-order microscale pressure,  $\breve{p}(x)$  is a scalar function which remains un-603 determined but is not important to our analysis, and where  $\mathcal{K}(x, y)$  is a tensor function and 604  $\Pi(x, y)$  is a vector function, both of which are numerically determined via solution of the 605 flow cell-problem 606

607 (4.3a) 
$$\boldsymbol{I} - \boldsymbol{\nabla}_{\boldsymbol{y}}^{a} \otimes \boldsymbol{\Pi} + \left(\boldsymbol{\nabla}_{\boldsymbol{Y}}^{a}\right)^{2} \boldsymbol{\mathcal{K}} = \boldsymbol{0}, \quad \boldsymbol{y} \in \omega_{f}(x_{1}),$$

608 (4.3b) 
$$\boldsymbol{\nabla}_{\boldsymbol{y}}^{a} \cdot \boldsymbol{\mathcal{K}} = \boldsymbol{0}, \quad \boldsymbol{y} \in \omega_{f}(x_{1}),$$

$$\boldsymbol{\mathcal{K}} = \boldsymbol{0}, \quad \boldsymbol{\mathcal{Y}} \in \partial \omega_s(x_1),$$

611 with

612 (4.3d) 
$$\mathcal{K}_{ij} := (\mathcal{K})_{ij}$$
 and  $\Pi_i := (\Pi)_i$  periodic on  $\mathbf{y} \in \partial \omega_{=}$  and  $\partial \omega_{||}$ ,

613 where

614 (4.3e) 
$$\left( \boldsymbol{\nabla}_{y}^{a} \otimes \boldsymbol{\Pi} \right)_{ij} = \frac{\partial \Pi_{j}}{\partial y_{i}} \text{ and } (\boldsymbol{\nabla}_{y}^{a} \cdot \boldsymbol{\mathcal{K}})_{i} = \frac{\partial \mathcal{K}_{ji}}{\partial y_{j}}.$$

In the physical microscale coordinate Y, Equations (4.3) becomes 615

616 (4.4a) 
$$\boldsymbol{I} - \boldsymbol{\nabla}_Y \otimes \boldsymbol{\Pi}^\star + \nabla_Y^2 \boldsymbol{\mathcal{K}}^\star = \boldsymbol{0}, \quad \boldsymbol{Y} \in \omega_f^\star(x_1),$$

617 (4.4b) 
$$\nabla_{\mathbf{Y}} \cdot \mathcal{K}^{\star} = \mathbf{0}, \quad \mathbf{Y} \in \omega_{\mathbf{f}}^{\star}(x_1),$$

- $\boldsymbol{\mathcal{K}}^{\star} = \boldsymbol{0}, \quad \boldsymbol{Y} \in \omega_{f}^{\star}(x_{1}), \\ \boldsymbol{\mathcal{K}}^{\star} = \boldsymbol{0}, \quad \boldsymbol{Y} \in \partial \omega_{s}^{\star}(x_{1}),$ (4.4c)618
- 620 with

621 (4.4d) 
$$\mathcal{K}_{ij}^{\star} := (\mathcal{K}^{\star})_{ij}$$
 and  $\Pi_i^{\star} := (\Pi^{\star})_i$  periodic on  $Y \in \partial \omega_{=}^{\star}$  and  $\partial \omega_{\parallel}^{\star}$ .

On taking the intrinsic average of Equation (4.2a), we determine the homogenised flow 622 623 equation, essentially equivalent to Darcy's equation:

624 (4.5) 
$$\boldsymbol{V}^{(0)} = -\langle \boldsymbol{\mathcal{K}}^{\star}(\boldsymbol{x}, \boldsymbol{Y}) \rangle \cdot \boldsymbol{\nabla}_{\boldsymbol{x}} \hat{\boldsymbol{P}},$$

where  $\hat{P}(\hat{x}_1)$  is the macroscale leading-order pressure, and the macroscale permeability ten-625 626 sor

627 (4.6) 
$$\dot{K}(x) := \langle \mathcal{K}^{\star}(x, Y) \rangle \equiv \langle \mathcal{K}(x, y) \rangle$$

HOMOGENISATION FOR DISPERSIVE TRANSPORT IN HETEROGENEOUS POROUS MEDIA 19

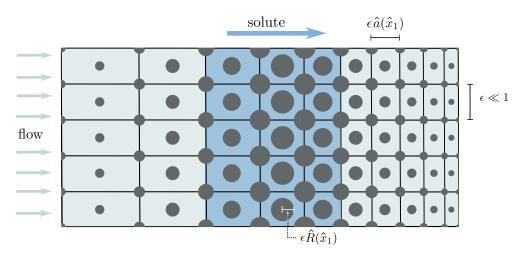


FIG. 4. We consider the flow of fluid carrying solute through a heterogeneous porous material in two dimensions for a specific illustrative example. Here, the porous medium is formed of an array of circular obstacles of dimensionless radius  $\hat{R}(\hat{x}_1)$ , located in both the centre and at the corners of a rectangular cell of transverse height  $\varepsilon \ll 1$  and longitudinal width  $\varepsilon \hat{a}(\hat{x}_1)$ .

is the intrinsic average of  $\mathcal{K}^*$  over the physical microscale, or equivalently is the intrinsic average of  $\mathcal{K}$  over the transformed microscale.

Using the velocity decomposition given in Equation (4.2a), the transport cell-problem (Eqs. 3.27) becomes

633 (4.7a) 
$$-\operatorname{Pe}_{l}\mathcal{K}_{ij}^{\star}\frac{\partial\hat{P}}{\partial x_{j}}\frac{\partial\Gamma_{n}^{\star}}{\partial Y_{i}} - \frac{\partial}{\partial Y_{i}}\left(\frac{\partial\Gamma_{n}^{\star}}{\partial Y_{i}}\right) = 634 \\ -\operatorname{Pe}_{l}\left(\frac{1}{|\omega_{f}^{\star}|}\int_{\omega_{f}^{\star}} -\mathcal{K}_{nj}^{\star} \,\mathrm{d}S_{Y} + \mathcal{K}_{nj}^{\star}\right)\frac{\partial\hat{P}}{\partial x_{j}}, \quad \mathbf{Y} \in \omega_{f}^{\star}$$

636

632

637 (4.7b) 
$$n_i^{Y^\star} \frac{\partial \Gamma_n^\star}{\partial Y_i} = n_n^{Y^\star}, \quad Y \in \partial \omega_s^\star$$

$$\Gamma_n^{\star} \text{ periodic on } \boldsymbol{Y} \in \partial \omega_{=}^{\star} \text{ and } \partial \omega_{||}^{\star},$$

640 with

641 (4.7d) 
$$\langle \Gamma_n^* \rangle = 0,$$

642 and Equation (3.28b) becomes

643 (4.7e) 
$$\left(\hat{D}_{\text{disp}}\right)_{ij} := -\frac{\text{Pe}}{\hat{a}\hat{\phi}} \left(\int_{\omega_f^{\star}(x_1)} \mathcal{K}_{ik} \Gamma_j \mathrm{d}S_Y\right) \frac{\partial \hat{P}}{\partial x_k}.$$

**4.2. Filter geometry.** Here, we consider a specific pore structure which has a solid domain comprising solid circular obstacles in a hexagonal array. Specifically, each rectangular cell contains a fixed, rigid circular obstacle of dimensionless radius  $\hat{R}(\hat{x}_1)$  at its centre and quarter circles of radius  $\hat{R}(\hat{x}_1)$  at each corner (see Figure 4). Since  $\hat{R}(\hat{x}_1)$  controls the obstacle size over the length of the medium, we take the scale factor  $\hat{\lambda}(\hat{x}_1) = \hat{R}(\hat{x}_1)$ . The height of each cell is fixed, thus, the maximum possible value of  $\hat{R}$  is 1/2. To prevent the obstacles from overlapping, we must enforce conditions on  $\hat{a}$  for a given  $\hat{R}$ — that is, we define a minimum value of  $\hat{a}$  that depends on the value of  $\hat{R}$ :

652 (4.8a) 
$$\hat{a}_{\min}(\hat{R}) := \begin{cases} \hat{a} = 2\hat{R}, & \text{if } 0 < \hat{R} < \hat{R}_{\text{crit}} \\ \hat{a} = \sqrt{16\hat{R}^2 - 1}, & \text{if } \hat{R}_{\text{crit}} \le \hat{R} \le 1/2. \end{cases}$$

653 where

654 (4.8b) 
$$\hat{R}_{\text{crit}} := \frac{1}{2\sqrt{3}},$$

and with corresponding minimum porosity  $\hat{\phi}_{\min}(\hat{R})$ . We enforce that  $\hat{a} \geq \hat{a}_{\min}(\hat{R})$  and, 655 consequently,  $\phi \ge \hat{\phi}_{\min}(\hat{R})$ . When  $0 < \hat{R} \le \hat{R}_{\text{crit}}$  and  $\hat{a} = \hat{a}_{\min}(\hat{R})$  we lose transverse 656 connectivity of the domain (Figure 5; dotted line). When  $\hat{R}_{crit} \leq \hat{R} \leq 1/2$  and  $\hat{a} = \hat{a}_{min}(R)$ 657 we lose both the transverse and longitudinal directions (Figure 5; dashed line). Further, when 658  $\hat{R} = 1/2$  and  $\hat{a} = \hat{a}_{\min}(R) \equiv \sqrt{3}$  then there is connectivity in the transverse direction but no 659 connectivity in the longitudinal direction (Figure 5; yellow line). The boundary on the right 660 of the domain corresponds to the limit  $\hat{\phi} \to 1$ , which occurs when  $\hat{R} \to 0$  and when  $\hat{a} \to \infty$ . 661 These constraints define the attainable region of the  $\hat{a}$ ,  $\hat{R}$  and  $\hat{\phi}$  parameter space (Figure 5; 662 shaded grey). 663

664 This construction leads to a porous medium whose properties vary in the longitudinal 665 direction but not in the transverse direction (Figure 4). The microstructure depends on  $\hat{a}$ ,  $\hat{\phi}$ 666 and  $\hat{R}$ , any two of which are independent and the third prescribed by the geometric relation

667 (4.9) 
$$\hat{\phi}(\hat{x}_1) = \frac{|\omega_f(\hat{x}_1)|}{|\omega(\hat{x}_1)|} = \frac{|\omega_f^{\star}(\hat{x}_1)|}{|\omega^{\star}(\hat{x}_1)|} \equiv 1 - \frac{2\pi \hat{R}(\hat{x}_1)^2}{\hat{a}(\hat{x}_1)},$$

668 where we have used  $|\omega^{\star}| = \hat{a}$  and  $|\omega_{f}^{\star}| = \hat{a} - 2\pi \hat{R}^{2}$ .

For this geometry, we may explicitly evaluate the effective adsorption rate  $\hat{F}(\hat{\phi}, \hat{a})$  in Equation (3.23b) using the formulation from Equation (3.26), giving

671 (4.10) 
$$\hat{F}(\hat{\phi}, \hat{a}) = \frac{\gamma |\partial \omega_s^{\star}|}{|\omega_f^{\star}|} = \frac{\gamma |\partial \omega_s^{\star}|}{\hat{a}\hat{\phi}} = \frac{4\gamma \pi \hat{R}}{\hat{a}\hat{\phi}} = \frac{2\gamma \left(1 - \hat{\phi}\right)}{\hat{R}\hat{\phi}}$$

In Figure 6, we investigate the effect of microscale geometry on the macroscale solute 672 removal via a numerical analysis of Equation (4.10). The partially absorbing boundary condi-673 tion on the microscale (cf. Equation (2.1b)) leads to an effective sink term F in the macroscale 674 transport problem given by Equation (4.10);  $\hat{F}$  is the product of the dimensionless adsorp-675 tion rate  $\gamma$  and the ratio of the perimeter of an obstacle  $(4\pi R)$  to the fluid area within a 676 cell  $(\hat{a}\phi)$  for a hexagonal array of circular obstacles. Hence, the strength of the sink term 677 is proportional to  $\gamma$  and depends strongly on the microscale geometry of the problem. We 678 consider  $F/\gamma$  to isolate the effects of microstructure. The attainable region of the  $\phi - F/\gamma$ 679 plane (Figure 6, left; shaded grey) is bounded by the constraints  $\hat{a} \geq \hat{a}_{\min}$  and  $0 < \hat{R} \leq 1/2$ ; 680 the boundaries have the same line styles and colours as the corresponding boundaries in 681 Figure 5. Fixing  $\hat{R} \in \{0.1, 0.2, R_{\text{crit}}, 0.4, 0.49\}$  (Figure 6a) where  $\hat{R}_{\text{crit}}$  is defined in Equa-682 tion (4.8b), we see that the maximum removal for a given R is achieved when  $\hat{a} = \hat{a}_{\min}$ . 683 Further, the global maximal removal is achieved for  $\hat{R} = \hat{R}_{crit}$  and  $a_{min} = 2R_{crit}$ . Fixing 684  $\hat{\phi} \in \{0.15, 0.2, 0.3, 0.45, 0.6, 0.75, 0.85, 0.95\}$  (Figure 6b) we see that the maximum removal 685 for a given  $\hat{\phi}$  is also achieved when  $\hat{a} = \hat{a}_{\min}$ . Note that  $\hat{F}/\gamma$  decreases as  $\hat{\phi}$  increases at fixed 686

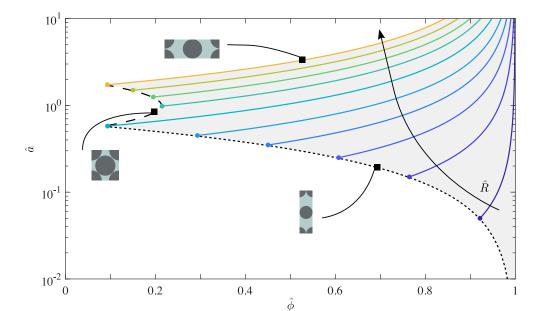


FIG. 5. The porosity  $\hat{\phi}$  of a hexagonal cell increases with aspect ratio a and decreases with obstacle radius  $\hat{R}$  according to Equation (4.9). We show  $\hat{a}$  versus  $\hat{\phi}$  for  $\hat{R} \in \{0.025, 0.075, 0.125, 0.175, 0.225, 1/(2\sqrt{3}), 0.35, 0.4, 0.45, 0.5\}$  (coloured lines, dark to light). The attainable region of the  $\hat{\phi}$ - $\hat{a}$  plane (shaded grey) is defined by  $\hat{a} \geq \hat{a}_{\min}(\hat{R})$  (Eq. 4.8a), for  $0 < \hat{R} \leq 1/2$  with the  $\hat{\phi}$ - $\hat{a}$ - $\hat{R}$  relationship defined in Equation (4.9). In particular, when  $0 < \hat{R} < \hat{R}_{crit}$ ,  $\hat{a}_{\min} \equiv 2\hat{R}$  so that the lower bound (dotted line) is defined by  $\hat{\phi} = 1 - \pi\hat{a}/2$ , with  $\hat{a} \in (0, 2\hat{R}_{crit})$ ; along this boundary we lose transverse connectivity but maintain longitudinal connectivity. When  $\hat{R}_{crit} \leq \hat{R} < 1/2$ ,  $\hat{a}_{\min} \equiv \sqrt{16\hat{R}^2 - 1}$  so that the left-hand bound (dashed line) is defined by  $\hat{\phi} = 1 - \pi(\hat{a}^2 + 1)/(8\hat{a})$ , with  $\hat{a} \in [1/\sqrt{3}, \sqrt{3})$ ; along this boundary we lose transverse connectivity in both the longitudinal and transverse directions. The upper bound (yellow line) is attained when  $\hat{R} = 1/2$  and is parameterised by  $\hat{\phi} = 1 - \pi/(2\hat{a})$ , for  $\hat{a} \in [\sqrt{3}, \infty)$ ; along this boundary we lose longitudinal connectivity. Note that the smallest attainable  $\hat{\phi}$  for any  $\hat{R}$ ,  $\hat{a}$  combination is  $\hat{\phi}_{\min} = 1 - \pi/(2\sqrt{3})$  which is achieved for with two distinct combinations of  $\hat{a}$  and  $\hat{R}$ :  $\hat{a} = 1/\sqrt{3}$ ,  $\hat{R} = 1/(2\sqrt{3})$  and  $\hat{a} = \sqrt{3}$ ,  $\hat{R} = 1/2$ .

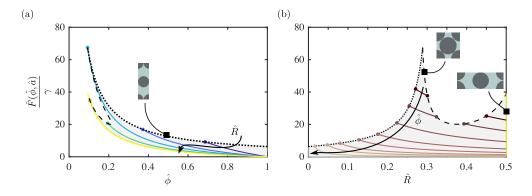


FIG. 6. The effective adsorption rate  $\hat{F}$  normalised with  $\gamma$  against (a)  $\phi$  for  $\hat{R} \in \{0.1, 0.2, \hat{R}_{crit}, 0.4, 0.49\}$ (blue to yellow) and (b)  $\hat{R}$  for fixed values of  $\hat{\phi} \in \{0.15, 0.2, 0.3, 0.45, 0.6, 0.75, 0.85, 0.95\}$  (dark to light). The attainable region of the  $\hat{\phi}$ - $\hat{F}/\gamma$  plane (shaded grey) is bounded by the constraints that  $\hat{a} \geq \hat{a}_{min}$  for  $0 < \hat{R} \leq 1/2$ , with the line styles of the boundary the same as in Figure 5. In all cases,  $\hat{F}$  is as defined in Equation (4.10).

 $\hat{R}$ , as should be expected, but also as  $\hat{R}$  increases at fixed  $\hat{\phi}$ ; the latter occurs because an increase in obstacle size requires a correspondingly larger increase in cell size to keep  $\hat{\phi}$  constant. Recall that the minimum attainable porosity is achieved for two distinct values of  $\hat{R}$ :  $\hat{R} = \hat{R}_{crit}$  and  $\hat{R} = 1/2$ , and with  $\hat{a} = \hat{a}_{min}$  and although in that limit the porosity is equal, the maximum removal is nearly double in the case that  $\hat{R} = \hat{R}_{crit}$  instead of the case where  $\hat{R} = 1/2$ , highlighting the crucial role of microscale geometry in macroscopic transport and removal.

4.3. Macroscale flow and transport properties. For the specific geometry described 694 above, we explore the impact of microstructure on macroscopic flow and dispersive transport 695 by analysing the permeability and effective diffusivity tensors,  $\hat{K}$  (Figure 7) and  $\hat{D}$  (Fig-696 ures 8a,b and 9a,b) and the physical components of  $\hat{D}$ :  $\hat{D}_{obst}$  and  $\hat{D}_{disp}$  (Figures 8c-f and 697 9c-f). Finally we investigate the impact of continuously varying  $Pe_l$  on  $\hat{D}_{disp}$  (Figure 10). 698 To determine  $\hat{K}$  and  $\hat{D}$  we solve Equations (4.4) and (4.7a)–(4.7d) over a unit cell for each 699 cell geometry. Firstly, we must build and subsequently discretise the cell domain, adding a 700 boundary layer surrounding each solid obstacle. The chosen mesh size varies based on the 701 miscroscale geometry. We split the study into several steps; first the flow problem must be 702 solved for each of the two flow components (i = 1 and i = 2) individually before these 703 solutions are used to solve the transport problem. These iterative steps generate a significant 704 computational time saving. In particular, we solve Equations (4.4), which can be thought 705 706 of as a form of Stokes equations, for both flow components. Next, we use these flow solutions to solve Equations (4.7a)–(4.7d) individually for  $\Gamma_1$  and then  $\Gamma_2$ . This methodology 707 allows the partial differential equation (PDE) solvers to be provided with the converged flow 708 solutions initially, which is responsible for the computational time saving. We choose to 709 use COMSOL Multiphysics<sup>®</sup> to determine the solutions. We discretise the domain using 710 the 'User-controlled mesh'  $\rightarrow$  'Fluid dynamics', and with a boundary layer around all solid 711 obstacles. On this domain, we solve Equations (4.4) using Creeping Flow interface ('Fluid 712 Flow'  $\rightarrow$  'Single Phase Flow'  $\rightarrow$  'Laminar flow (spf)') for both components of flow (cf. 713 [5]). Within the same code we feed this information into the Coefficient Form PDE inter-714 face ('Mathematics'  $\rightarrow$  'PDE interfaces'  $\rightarrow$  'Coefficient form PDE') and subsequently solve 715 Equations (4.7a)–(4.7d) individually for  $\Gamma_1$  and subsequently  $\Gamma_2$ . 716 717 As in Auton et al. [5], we have two degrees of microstructural freedom, which allows

us to explore the anisotropy in the system. However, unlike in Auton *et al.* [5],  $\hat{D}$  depends 718 on  $\operatorname{Pe}_l \hat{\nabla} \hat{P}$ , where, without loss of generality, we fix  $|\hat{\nabla} \hat{P}| = 1$ . For arbitrary  $\hat{\nabla} \hat{P}$ , with 719 this geometry and any other geometry exhibiting at least two lines of symmetry through the 720 cell centre that lie parallel to the  $\hat{x}$  axes, K is diagonal but D has non-zero off-diagonal 721 components due to the dependence of  $\hat{D}_{disp}$  on  $\text{Pe}_l \hat{\nabla} \hat{P}$ . In what follows, we restrict our 722 investigations to the case when  $\hat{\nabla} \hat{P} = (-1, 0)^{\mathsf{T}}$ ; in this limit both  $\hat{K}$  and  $\hat{D}$  are diagonal 723 matrices. Note that, to consider the case of fixed flux rather than fixed pressure gradient we 724 would calculate the pressure gradient for a given flux via use of Darcy's Law (Eq. 4.5), giving 725  $\boldsymbol{\nabla} P = \hat{\boldsymbol{K}}^{-1} \boldsymbol{V}.$ 726

4.3.1. Comparison with limiting cases. We validate our analysis for this geometry in several ways. First, in Figure 10 we demonstrate that the components of  $\hat{D}_{disp}$  each scale with  $\operatorname{Pe}_l^2$ , in agreement with the classic Taylor dispersion scaling [4, 31, 14]. Note also that  $\left(\hat{D}_{disp}\right)_{22} > \left(\hat{D}_{disp}\right)_{11}$ . This is because we use  $\hat{a} = 1$  in these figures, corresponding to the hexagonal pattern of the obstacles being shorter in the  $\hat{x}_1$  direction compared to the  $\hat{x}_2$ direction. The aspect ratio of the hexagonal pattern is equal at  $\hat{a} = \sqrt{3}$ , and shorter in the  $\hat{x}_2$ direction compared to the  $\hat{x}_1$  direction for  $\hat{a} > \sqrt{3}$ .

734 Secondly, we have confirmed that the effective permeability and effective diffusivity van-

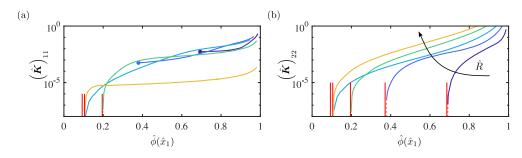


FIG. 7. The non-zero components of the effective permeability tensor against porosity for  $\hat{R} \in \{0.1, 0.2, \hat{R}_{crit}, 0.4, 0.49\}$  (blue to yellow; cf. Eq. 4.8b), with  $\hat{a}$  varying according to Equation (4.9). (a) the effective longitudinal permeability  $(\hat{K})_{11}$  and (b) effective transverse permeability  $(\hat{K})_{22}$ . The components of  $\hat{K}$  are independent of Pe<sub>1</sub> and their difference implies macroscale anisotropy. When  $\hat{a} = \hat{a}_{min}(R)$  (Eq. 4.8a), the behaviour of  $(\hat{K})_{ii}$  depends on the value of  $\hat{R}$ : if  $0 < \hat{R} \leq \hat{R}_{crit}$  then  $(\hat{K})_{22} \rightarrow 0$  whereas if  $\hat{R} < \hat{R}_{crit}$  then both  $(\hat{K})_{11}, (\hat{K})_{22} \rightarrow 0$ . The values to which  $(\hat{K})_{ii}$  asymptote are shown as solid red vertical lines, and the interpolation between the last numerically obtained data point and the minimum obtainable porosity for the given  $\hat{R}$  is shown as a dotted red line. As  $\hat{\phi} \rightarrow 1$  ( $\hat{a} \rightarrow \infty$ ) then  $(\hat{K})_{11}$  and  $(\hat{K})_{22}$  diverge as the resistance to flow vanishes. We fix  $\hat{\nabla} P = (-1, 0)^{\intercal}$ .

ish when the filter loses connectivity  $(\hat{a} \rightarrow \hat{a}_{\min}(\hat{R}))$ . When  $\hat{a} \rightarrow \hat{a}_{\min}(\hat{R})$  with any fixed 735  $\hat{R} < 1/2$ , the obstacles move closer together in the longitudinal direction and the pore space 736 becomes disconnected in the transverse direction. Further, for  $R \ge R_{\rm crit}$  the pore space is 737 simultaneously disconnected in the longitudinal direction. Thus, as  $\hat{a} \rightarrow \hat{a}_{\min}(\hat{R})$ , for all 738 values of  $\hat{R}$ ,  $(\hat{K})_{22}$  and  $(\hat{D})_{22}$  vanish and when  $\hat{R} \ge \hat{R}_{\text{crit}}$ ,  $(\hat{K})_{11}$  and  $(\hat{D})_{11}$  vanish 739 while for  $\hat{R} < \hat{R}_{\text{crit}}$ ,  $(\hat{K})_{11}$  and  $(\hat{D})_{11}$  obtain their global minimum values in this limit but do not vanish as the longitudinal permeability does not vanish (Figures 7, 8a,b and 9a, b). 740 741 When R = 1/2, there is no transverse connectivity for all choices of  $\hat{a}$ . When connectivity 742 is lost, the solid obstacles fully hinder the spreading of the solute so that  $(\hat{D}_{obst})_{ii} \rightarrow 1$  and 743  $(\hat{D}_{disp})_{...} \rightarrow 0$  (Figures 8c–f and 9c–f), leading to an overall vanishing effective diffusivity. 744 Finally, we also see that the permeability diverges as the obstacles vanish ( $\hat{\phi} \rightarrow 1$ ); 745 as  $\hat{\phi} \to 1$  ( $\hat{a} \to \infty$ ), both  $(\hat{K})_{11}$  and  $(\hat{K})_{22}$  diverge as the resistance to flow vanishes (Figure 7) and additionally  $(\hat{D}_{obst})_{ii} \to 0$  as molecular diffusion becomes unobstructed 746 747 (Figures 8c,d, 9c,d). 748

**4.3.2.** Qualitative effect on solute transport of varying  $\text{Pe}_l$ . For the majority of the  $\hat{a}$ -750  $\hat{R}-\hat{\phi}$  parameter space, the magnitude of  $\hat{D}$  is greater when  $\text{Pe}_l = 250$  (Figure 9) than when 751  $\text{Pe}_l = 10$  (Figure 8). This is largely explained by the  $\text{Pe}_l^2$  scaling for large  $\text{Pe}_l$  discussed 752 above, which increases the relative importance of the dispersive component of the effective 753 diffusivity (Figure 10).

However, the qualitative behaviour of D is similar for both values of  $Pe_l$ . The main qualitative difference in  $(\hat{D})_{11}$  between the two values of  $Pe_l$  is in the behaviour of the  $\hat{R} = 0.4$  curve; when  $Pe_l = 10$  as  $\hat{\phi} \to 1$ , we have an apparent monotonic increase of  $(\hat{D})_{11}$ , while for  $Pe_l = 250$ , there is a local maxima for  $\hat{\phi} \sim 0.9$  ( $\hat{a} \sim 10$ ). For  $\hat{R} \ge \hat{R}_{crit}$ ,

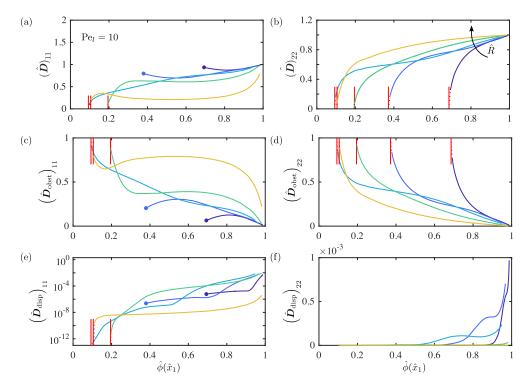


FIG. 8. We investigate the behaviour of the non-zero components of the effective diffusivity  $\hat{\mathbf{D}}$  and the contribution to  $\hat{\mathbf{D}}$  due to the presence of obstacles  $\hat{\mathbf{D}}_{obst}$  and that resulting from dispersive effects  $\hat{\mathbf{D}}_{disp}$  (cf. Eq. 3.28) when  $\operatorname{Pe}_{l} = 10$ . Note that, all quantities are plotted against  $\hat{\phi}$ , for the same fixed  $\hat{R}$  values as in Figure 6a and Figure 7. Further, all the line colours and styles are the same as in Figure 6a and Figure 7. In particular, note that the red vertical lines show the values to which the functions asymptote. (a) the longitudinal effective diffusivity and (b) the transverse effective diffusivity mirror the behaviour of  $(\hat{K})_{ii}$  when  $\hat{a} = \hat{a}_{min}$ — that is, when  $(\hat{K})_{ii}$  vanishes,  $(\hat{D})_{ii}$  also vanishes. (c) the longitudinal component of  $\hat{D}_{obst}$  and (d) the transverse component of  $\hat{D}_{obst}$  represent the reduction of solute spreading due to the presence of obstacles; as expected when the presence of obstacles fully inhibits spreading both  $(\hat{D}_{obst})_{11}$  and  $(\hat{D}_{obst})_{22}$  tend to unity which occurs when  $(\hat{K})_{ii} \rightarrow 0$ . (e) the longitudinal component of  $\hat{D}_{disp}$  provide a measure for the enhancement of spreading due to shear forces;  $(\hat{D}_{disp})_{ii}$  vanishes when  $(\hat{K})_{ii} \rightarrow 0$ . Recall that  $\hat{D}_{disp}$  is a function of  $\operatorname{Pe}_{l} \hat{\nabla} \hat{P}$  and thus depends on the value of  $\operatorname{Pe}_{l}$ . There is a non-monotonicity in  $(\hat{D}_{disp})_{22}$  with respect to both  $\hat{\phi}$  and  $\hat{R}$  and  $(\hat{D}_{disp})_{11} > (\hat{D}_{disp})_{22}$ .

758  $(\hat{D})_{22}$  increases monotonically from its minimum value to its maximum value as  $\hat{\phi} \to 1$ 759 for both values of Pe<sub>l</sub>. However, for  $\hat{R} < \hat{R}_{crit}$ , when Pe<sub>l</sub> = 10,  $(\hat{D})_{22}$  also undergoes the 760 same monotonic behaviour, while when Pe<sub>l</sub> = 250,  $(\hat{D})_{22}$  obtains its maximum value for 761 some  $\hat{\phi} < 1$ . This non-monotonicity suggests that there is some microscale geometry that 762 optimises the dispersive transport.

763 Qualitatively both  $(\hat{D}_{obst})_{11}$  and  $(\hat{D}_{obst})_{22}$  are very similar for the cases where  $\text{Pe}_l =$ 764 10 and  $\text{Pe}_l = 250$  for all  $\hat{R}$ . This is because the impingement on spreading due to the presence

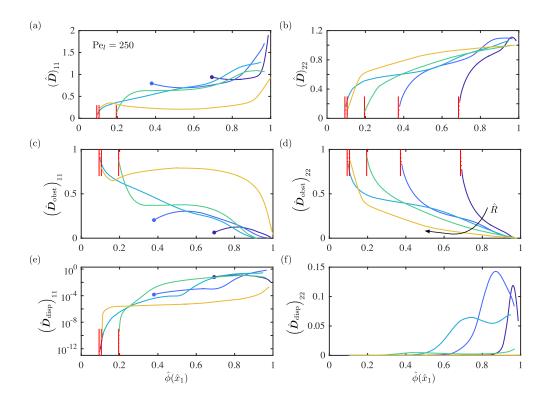


FIG. 9. A replica of Figure 8, but for  $Pe_l = 250$ . We note the same limiting behaviour as Figure 8 in when  $\hat{a} = \hat{a}_{min}$ , but note that the maximum magnitude of  $\hat{D}_{disp}$  is around two orders of magnitude greater than the maximum magnitude of  $\hat{D}_{disp}$  with  $Pe_l = 10$ .

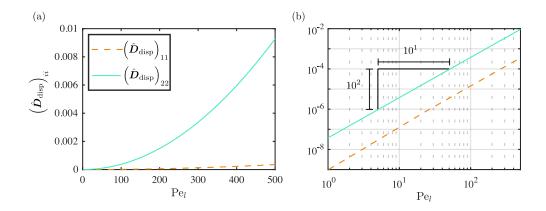


FIG. 10. The non-zero components of the effective diffusivity: the longitudinal diffusivity  $(\hat{D}_{disp})_{11}$  (dashed line, orange) and the transverse diffusivity  $(\hat{D}_{disp})_{22}$  (solid line, aqua) against  $\operatorname{Pe}_l$ , when  $\hat{a} \equiv 1$  and  $\hat{\nabla} \hat{P} = (-1,0)^{\mathsf{T}}$ . (a) Both  $(\hat{D}_{disp})_{11}$  and  $(\hat{D}_{disp})_{22}$  increase monotonically with  $\operatorname{Pe}_l$ . (b)  $(\hat{D}_{disp})_{ii}$  is shown on a log-log scale, which shows that  $(\hat{D}_{disp})_{22} > (\hat{D}_{disp})_{11}$  and  $(\hat{D}_{disp})_{ii} \propto \operatorname{Pe}_l^2$  [4, 14, 31].

of obstacles becomes negligible as the obstacles become arbitrarily spaced in the longitudinal 765 direction. The only substantive difference in  $(\hat{D}_{obst})_{11}$  between the  $Pe_l = 10$  and  $Pe_l = 250$ 766 cases is in the non-monotonic behaviour as  $\hat{R}$  varies for large fixed  $\hat{\phi}$ . For example, when 767  $\hat{\phi} = 0.8$ , in the case that  $\text{Pe}_l = 10$  we have that  $\left(\hat{D}_{\text{obst}}\right)_{11}$  increases monotonically with 768 increasing  $\hat{R}$  whereas for  $\text{Pe}_l = 250$  the smallest value of  $(\hat{D}_{\text{obst}})_{11}$  occurs for  $\hat{R} = \hat{R}_{\text{crit}}$ . 769 We expect to see non-monotonicities when the dispersive component dominates, which 770 corresponds to high  $Pe_l$ ; this is because of the competing transport mechanisms [23]. At 771 small  $Pe_l$ , diffusion dominates, while as  $Pe_l$  increases the different dispersive mechanisms 772 become increasingly important. In particular, as well as the classical dispersion caused by 773 non-uniform velocity profiles within pores or throats, there are also effects due to hold-up 774 dispersion. This occurs due to areas of low flow and mechanical dispersion resulting from 775 the repeated separation and merging of flow passages at the junctions of the pore space [23]. 776 For  $Pe_l = 10$ , the contribution to  $\hat{D}$  from dispersion is negligible (Figure 8e,f) and thus as 777  $\hat{\phi} \rightarrow 1$ ,  $(\hat{D})_{ii} \sim 1$  (Figure 8a,b). However, for  $\text{Pe}_l = 250$  the dispersive effects in the limit 778  $\hat{\phi} \to 1$  are  $\mathcal{O}(1)$  (Figure 9a,b) and thus  $(\hat{D})_{ii}$  is increased beyond unity (Figure 9e,f). In 779 particular, Figure 9b shows a non-monotonicity in  $(\hat{D})_{22}$  — that is, the maximum transverse 780 spreading is not achieved in the limit  $\hat{\phi} \to 1$ , but rather for some combination of  $\hat{a}$  and 781  $\hat{\phi} < 1$ . Figure 9f, highlights the origin of this non-monotonicity; we see that it is solely due to 782  $(\hat{D}_{disp})_{22}$ , as  $(\hat{D}_{obst})_{22}$  shows a clear monotonic decrease as  $\hat{\phi}$  increases. Further, although 783 no non-monotonic behaviour is seen in  $(\hat{D})_{22}$  when  $\text{Pe}_l = 10$  (Figure 8b),  $(\hat{D}_{\text{disp}})_{22}$  shows 784 a non-monotonicity with increasing  $\hat{\phi}$  (Figure 8f). 785

5. Conclusions. We have presented a formal derivation for dispersive transport within 786 787 a heterogeneous porous medium comprising cells of varying size each containing multiple arbitrarily shaped obstacles, for a general, incompressible, fluid flow [1, 2]. We considered 788 an advection-dominating regime, which introduces dispersion into the problem; this is im-789 portant in many industrial filtration scenarios, where dispersion becomes important [3]. The 790 homogenisation was conducted using both multiple spatial and temporal scales, enabling us 791 to deal with both the dispersive limit [29] and the microscale heterogeneity [5]. The het-792 793 erogeneity within the porous material originates from slowly varying obstacle size and/or obstacle spacing along the length of the porous medium; the latter also induces strong an-794 isotropy within the problem. This results in a near-periodic microscale problem; importantly, 795 the variation in spacing means that the period of the microscale depends on the macroscale, 796 797 which means that the upscaling of this problem requires a nontrivial modification of classic 798 homogenisation. This builds on our previous work for slower flows with no dispersive effects [5]. To account for the dominant advective terms during the homogenisation, we introduced 799 a second, fast temporal scale following the methodology presented in Salles et al. [29]. The 800 fast timescale highlights how the transport equation is dominated by advection at leading 801 802 order — that is, the solute advects (convects) with the fast fluid flow. We use this result to eliminate terms at higher orders and, on recombining the two temporal scales, we determine 803 804 the leading-order transport equation on the slow timescale. This homogenised equation is an advection-diffusion-reaction equation, in which advection dominates, with an anisotropic 805 effective diffusivity tensor, which itself comprises components due to molecular diffusivity, 806 a reduction in spreading due to the presence of obstacles ( $D_{obst}$ ), and a dispersive compo-807 nent  $(\hat{D}_{disp})$ , which depends on the product of the local Péclet number,  $Pe_l$ , and pressure 808

gradient,  $\nabla P$ . The permeability, effective diffusivity and the removal terms are functions 809 of the porosity, obstacle spacing and a scale factor controlling the variation in obstacle size 810 across the medium; any two of these are free choices, which prescribe the third. To deal 811 with the dominance of advection, we performed a subsequent drift transform to the frame 812 of reference moving with the solute pulse. Under this transformation, a careful application 813 of Taylor's expansions provides the leading-order equation that governs the spreading of the 814 solute (cf. Eq. 3.35). The resulting macroscale equations are computationally inexpensive 815 to solve, allowing for optimisation of parameters through large sweeps, which would not be 816 possible with direct numerical simulation (DNS). 817

In §4 we considered a simple geometry comprising circular obstacles in a hexagonal 818 array and fixed a particular incompressible fluid flow: Stokes flow. We determined the corre-819 820 sponding permeability and effective diffusivity numerically, and show how these depend on the radius of the obstacles and the aspect ratio of the cells. This work illustrates and quan-821 tifies how the permeability, diffusivity and dispersivity of a porous medium depend not only 822 on the porosity of the medium, but also on its microstructure and the magnitude and direc-823 tion of the driving pressure gradient. The dispersive component of the effective diffusivity is 824 shown to be proportional to  $\operatorname{Pe}_{l}^{2}$  (Figure 10), in agreement with the classical Taylor dispersion 825 scaling [4, 31]. 826

While we focus on the two dimensional case here for clarity in dealing with the nonstandard homogenisation approach, we note that it is straightforward to generalise our results to the three-dimensional problem. We would expect the three-dimensional results to be qualitatively similar to the two-dimensional problem considered here in general, with the important exception of a non-vanishing connectivity when obstacles touch.

As in Auton et al. [5], we have assumed that the solute particles are negligibly small. If 832 one were explicitly interested in understanding the effect of the smallest distances between 833 adjacent obstacles (choke points), finite-size effects of particles may need to be considered, 834 including the subsequent effect on geometry. The freedom in the microscale geometry allows 835 for the construction of a porous structure with sufficiently wide longitudinal connectivity as 836 837 to avoid blockages. Further, we note that applying a stress to the porous medium may vary the spacing between obstacles. This could be accounted for by coupling our model to an 838 appropriate stress-strain relationship. 839

We have validated our results against limiting cases; DNS for flow and transport in a 840 broader range of relevant geometries would provide further validation and may lead to addi-841 tional insight, and should be the subject of future work. Here, we have limited our consid-842 eration to the case when the pressure gradient is purely longitudinal (*i.e.*,  $\hat{\nabla}\hat{P} \equiv (-1,0)^{\intercal}$ ), 843 however further investigation into the cases where  $\hat{\nabla}\hat{P} \neq (-1,0)^{\mathsf{T}}$  is warranted and will 844 undoubtedly yield further insights into the effect of microscale heterogeneity on macroscopic 845 dispersive transport and removal. We also note that, the details of the behaviour of  $D_{disp}$  in 846 the limit  $\hat{a} \to \infty$  require more careful investigation as  $Pe_l$  increases. 847

In summary, the results presented in this manuscript form a comprehensive framework for describing the macroscropic dispersive transport and removal properties of a heterogeneous porous medium, subject to a general, incompressible flow.

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#### **7.** Data. The MATLAB codes for Figures 5–10 and the corresponding data produced by

858 COMSOL Multiphysics<sup>®</sup> have been uploaded to Github: https://github.com/Lucy

859 Auton/A-homogenised-model-for-dispersive-transport-and-

860 sorption-in-a-heterogeneous-porous-medium-MATLAB

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