

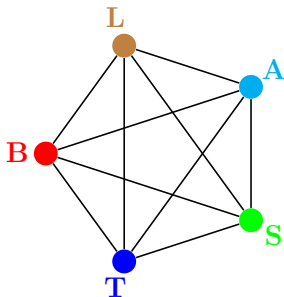
# DUALITY THEORY AND B L A S T :

## Selected Themes

### Part I: Dualities in Various Forms

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With acknowledgements to very many people



# Disclaimers

There's no C in BLAST!

- No Category theory as such in these talks.
- Shall use the language of category theory but little more: no monads, no coalgebras, no finitely presentable algebraic categories, . . . .
- Perspective on duality theory comes from Algebra.
- Almost all algebras considered will be lattice-based or semilattice-based. (So a big part of the duality story is omitted altogether.)
- Topology will generally not be pointfree Topology, though frames do make an appearance in Part II.

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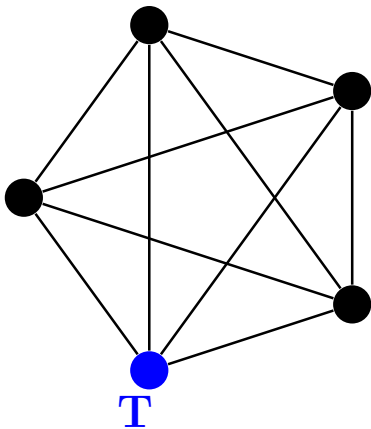
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SO: there's no Elephant in this room!

# Outline: Part I

- The framework: Stone duality and Priestley duality as prototype examples
- Dualities for finitely generated lattice-based quasivarieties
- From quasivarieties to varieties: multisorted dualities
- The best of both worlds?
- From algebras to structures

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# Marshall Stone's legacy

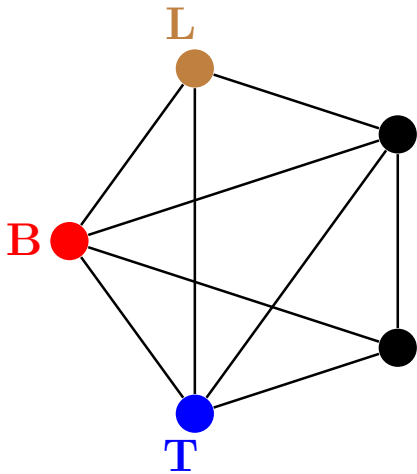
*A cardinal principle of modern mathematical research may be stated as a maxim: "One must always topologize."*

Marshall Stone, 1938)

Poster of Stone

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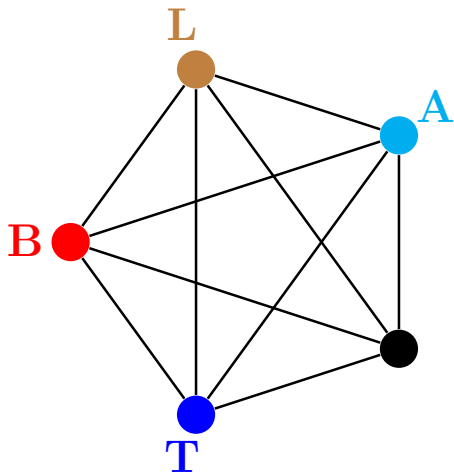
# But is topology the whole story? Or the 'right' story?

- Stone's duality for the variety  $\mathcal{B}$  of Boolean algebras uses the dual category of Boolean spaces—purely topological.
- Stone's duality for the variety  $\mathcal{D}$  of (bounded) distributive lattices in terms of spectral spaces again uses a purely topological dual category—the dual objects are  $T_0$ -spaces.

Was Stone right 'always to topologize'? YES!

What did his approach conceal? MUCH!





# What's special algebraically about $\mathcal{B}$ and $\mathcal{D}$ ?

- $\mathcal{B} = \text{ISP}(\mathbf{2})$ , where  $\mathbf{2}$  is the 2-element algebra in  $\mathcal{B}$ .
- $\mathcal{D} = \text{ISP}(\mathbf{2})$ , where  $\mathbf{2}$  is the 2-element algebra in  $\mathcal{D}$ .

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Boolean spaces:  $\mathcal{S} = \mathbb{IS}_c\mathbb{P}^+(\mathbf{2}_{\mathcal{T}})$ , where  $\mathbf{2}_{\mathcal{T}} = \langle \{0, 1\}; \mathcal{T} \rangle$ ; here  $\mathcal{T}$  denotes the discrete topology.

- Bounded distributive lattices:  $\mathcal{D} = \mathbb{ISP}(\mathbf{2})$ , where  $\mathbf{2}$  is the 2-element algebra in  $\mathcal{D}$ .

Priestley spaces:  $\mathcal{P}_{\mathcal{T}} = \mathbb{IS}_c\mathbb{P}^+(\mathbf{2}_{\mathcal{T}})$ , where  $\mathbf{2}_{\mathcal{T}} = \langle \{0, 1\}; \leq, \mathcal{T} \rangle$ , where  $\leq$  is the usual order on  $\{0, 1\}$  and  $\mathcal{T}$  is the discrete topology.

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Priestley spaces are of the form  $\langle X; \leq, \mathcal{T} \rangle$  where

- $\langle X; \mathcal{T} \rangle$  is compact Hausdorff;
- given  $x \not\leq y$  in  $X$ , there exists a  $\mathcal{T}$ -clopen up-set  $U$  with  $x \in U$  and  $y \notin U$ .

NOTE: this is stronger than saying that  $\langle X; \mathcal{T} \rangle$  is a Boolean space and  $\leq$  is closed in  $X \times X$ .

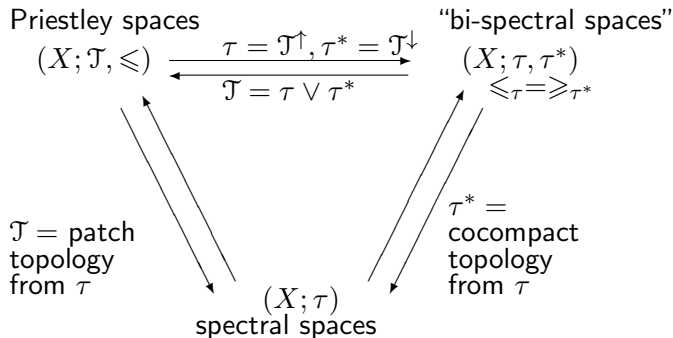
Morphisms:  $\mathcal{T}$ -continuous,  $\leq$ -preserving maps.

# Spectral spaces $\cong$ Priestley spaces, as categories

Spectral space:  $(X; \tau)$  such that

- compact
- base of compact-opens
- compact-opens closed under finite intersections
- sober [irreducible closed sets are point closures]

Morphisms:  $f$  s.t.  $f^{-1}$  takes compact-opens to compact-opens



$\mathcal{T}^{\uparrow} = \mathcal{T}$ -open up-sets,  $\mathcal{T}^{\downarrow} = \mathcal{T}$ -open down-sets,  
 $x \leq_{\tau} y$  iff  $x \in \text{cl}_{\tau}\{y\}$  [specialisation order]

# Priestley duality in full categorical dress

We have a dual equivalence between

$$\mathcal{D} = \mathbf{ISP}(\mathbf{2}) \quad \text{and} \quad \mathcal{P}_{\mathcal{T}} = \mathbf{IS}_c\mathbf{P}^+(\mathfrak{Z}_{\mathcal{T}}) \quad (\equiv \text{Priestley spaces})$$

set up by hom-functors  $H = \mathcal{D}(-, \mathbf{2})$  and  $K = \mathcal{P}_{\mathcal{T}}(-, \mathfrak{Z}_{\mathcal{T}})$ :

$$H: \mathcal{D} \rightarrow \mathcal{P}_{\mathcal{T}}, \quad \begin{cases} H(\mathbf{A}) = \mathcal{D}(\mathbf{A}, \mathbf{2}) \\ H(f) = - \circ f \end{cases}$$
$$K: \mathcal{P}_{\mathcal{T}} \rightarrow \mathcal{D}, \quad \begin{cases} K(\mathbf{X}) = \mathcal{P}_{\mathcal{T}}(\mathbf{X}, \mathfrak{Z}_{\mathcal{T}}) \\ K(\phi) = - \circ \phi \end{cases}$$

Here  $\mathbf{2} = \langle \{0, 1\}; \wedge, \vee, 0, 1 \rangle$  and  $\mathfrak{Z}_{\mathcal{T}} = \langle \{0, 1\}; \leq, \mathcal{T} \rangle$ . and  $\mathcal{T}$  denotes the discrete topology.

Specifically we have a dual adjunction  $(H, K, e, \varepsilon)$  where the unit and counit maps are given by evaluations and are isomorphisms.

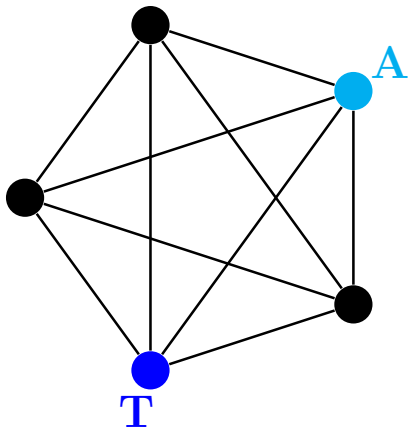
## Other examples?

Let's see which other dual equivalences follow exactly the same pattern as those between

- Boolean algebras and Boolean spaces
- (Bounded) distributive lattices and Priestley spaces

**Emphasise:** Priestley spaces are structured topological spaces rather than topological spaces.

So are Boolean spaces, but you don't recognise you have a relational structure rather than a set when the set of relations is empty.





# The basic framework: simplest case

Take  $\mathbf{M}$  a finite algebra, with underlying set  $M$ . Let  $\mathcal{A} = \text{ISP}(\mathbf{M})$ , the quasivariety generated by  $\mathbf{M}$ .

Special, but already encompasses, besides  $\mathcal{B}$  and  $\mathcal{D}$ , a range of classes much studied for their algebraic and logical importance: e.g.

- De Morgan algebras
- Kleene algebras
- Stone algebras
- $n$ -valued Łukasiewicz–Moisil algebras
- distributive bilattices

and significant subclasses of

- Heyting algebras—e.g. Gödel algebras,  $\mathcal{G}_n$
- discriminator algebras

## Objective

Given  $\mathbf{M}$  and  $\mathcal{A} = \text{ISP}(\mathbf{M})$ , we seek an **alter ego**  $\underline{\mathbf{M}}$  (or **dualising object**) for  $\mathbf{M}$  so that there exists a dual equivalence between

$$\mathcal{A} = \text{ISP}(\mathbf{M}) \quad \text{and} \quad \mathcal{X}_{\mathcal{T}} = \text{IS}_c\mathbb{P}^+(\underline{\mathbf{M}}_{\mathcal{T}})$$

set up by a dual adjunction obtained from hom-functors  $D = \mathcal{A}(-, \mathbf{M})$  and  $E = \mathcal{X}_{\mathcal{T}}(-, \underline{\mathbf{M}}_{\mathcal{T}})$ :

$$\begin{aligned} D: \mathcal{A} &\rightarrow \mathcal{X}_{\mathcal{T}}, & \begin{cases} D(\mathbf{A}) = \mathcal{A}(\mathbf{A}, \mathbf{M}) \\ D(f) = - \circ f \end{cases} \\ E: \mathcal{X}_{\mathcal{T}} &\rightarrow \mathcal{A}, & \begin{cases} E(\mathbf{X}) = \mathcal{X}_{\mathcal{T}}(\mathbf{X}, \underline{\mathbf{M}}_{\mathcal{T}}) \\ E(\phi) = - \circ \phi \end{cases} \end{aligned}$$

with ED and DE embeddings, and given by natural evaluation maps.

Hom-sets are structured from the powers in which they sit.

Morphisms, being defined by composition, essentially take care of themselves.

## But what form should the alter ego take?

The alter ego  $\underline{\mathbf{M}}_{\mathcal{T}}$  will be a discretely topologised structure on  $M$ . We shall include in the structure of  $\underline{\mathbf{M}}_{\mathcal{T}}$  relations and sometimes partial (to include total) operations too. Appropriate compatibility between  $\mathbf{M}$  and  $\underline{\mathbf{M}}_{\mathcal{T}}$  will be needed.

Given  $\underline{\mathbf{M}}_{\mathcal{T}}$ , the generated topological quasivariety  $\mathbb{IS}_c\mathbb{P}^+(\underline{\mathbf{M}}_{\mathcal{T}})$  is the class of isomorphic copies of topologically closed substructures of powers of  $\underline{\mathbf{M}}$ ; the superscript indicates the empty structure is included.

Topology here works the obvious way; relations and partial operations are lifted pointwise to powers and then by restriction to substructures.

## Definitions

The topology on  $M$  is fixed throughout, so we shall write  $\mathbb{M}$  for the structure we get from  $\mathbb{M}_{\mathcal{T}}$  by deleting  $\mathcal{T}$ .

We say  $\mathbb{M}$

- yields a **pre-duality** if  $D$  and  $E$  are well-defined functors and  $ED$  and  $DE$  are embeddings;
- yields a **duality** (or **dualises**  $\mathcal{A}$ ) if in addition  $ED(\mathbf{A}) \cong \mathbf{A}$  for all  $\mathbf{A} \in \mathcal{A}$ ;
- a **full duality** if  $\mathbb{M}$  yields a duality for which  $DE(\mathbf{X}) \cong \mathbf{X}$  for all  $\mathbf{X} \in \mathcal{X}_{\mathcal{T}}$  (then  $\mathcal{A}$  and  $\mathcal{X}_{\mathcal{T}}$  are dually equivalent).

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### Can we get a (full) duality?

- Often NO—a rich theory of dualisability exists, but this would be a sidetrack here.
- Often YES—in particular whenever  $\underline{M}$  is **lattice-based**. (though this is certainly not a necessary condition).

Time to reiterate a WARNING: this is not an exercise to which pure category theory can provide a specific answer for specific choices of  $\underline{M}$ .

# Good news!

## Theorem

(NU Strong Duality Theorem, special case (Davey/Werner; Clark/Davey))

Let  $\mathcal{A} = \text{ISP}(\mathbf{M})$ , where  $\mathbf{M}$  is a finite lattice-based algebra.

- (i) Let  $R = \mathbb{S}(\mathbf{M}^2)$ . Then  $\underline{\mathbf{M}} = \langle M; R \rangle$  yields a duality.
- (ii) If the duality in (i) is not already full, then a full duality can be obtained by taking  $R$  as above and adding to the structure all partial homomorphisms from  $\mathbf{M}^k$  to  $\mathbf{M}$  for  $0 \leq k \leq n$ , where the bound  $n$  can be explicitly computed from  $\mathbf{M}$ .

*If every non-trivial subalgebra of  $\mathbf{M}$  is subdirectly irreducible, then  $n = 1$  suffices.*

*If  $\mathbf{M}$  has no non-trivial subalgebras, then upgrading need involve only addition of the endomorphisms of  $\mathbf{M}$  to  $\underline{\mathbf{M}}$ .*

## Picking the ingredients apart

- The **compatibility** between  $\widetilde{\mathbf{M}}$  and  $\mathbf{M}$  achieved by making the structure of  $\widetilde{\mathbf{M}}$  'algebraic' ensures that the hom-functors  $D$  and  $E$  are well defined, and are embeddings.
- The assumption that  $\mathbf{M}$  is **lattice-based** ensures that  $\mathbf{M}$  has a 3-ary near-unanimity term (the median). This ensures dualisability and, moreover, that we need at most **binary** algebraic relations.
- The duality will fail to be full if  $\widetilde{\mathbf{M}}_{\mathcal{T}}$  generates too large a topological quasivariety. Adding extra structure to  $\widetilde{\mathbf{M}}$  in the form of suitable partial (taken to include total) operations solves this.
- 'Strong' refers to a condition (with many equivalents, one being  $\widetilde{\mathbf{M}}_{\mathcal{T}}$  injective in  $\mathcal{X}$ ) guaranteeing a duality is full. In a strong duality each of the hom-functors  $D$  and  $E$  interchanges surjections and embeddings—a bonus for applications.
- **Fundamental fact:**  $\mathbf{FA}(S)$ , the free algebra on  $S$  generators, is such that  $D(\mathbf{FA}(S)) = \widetilde{\mathbf{M}}_{\mathcal{T}}^S$ .

## Simplifying a duality: entailment

The NU Strong Duality Theorem is powerful, but generally not economical.

The natural dual space of  $\mathbf{A} \in \mathcal{A} = \mathbf{ISP}(\mathbf{M})$  is  $D(\mathbf{A}) = \mathcal{A}(\mathbf{A}, \mathbf{M})$ . Assuming  $\mathfrak{M}$  yields a duality, then  $\mathbf{A} \cong \mathbf{ED}(\mathbf{A})$ , the family of all continuous structure-preserving maps from  $D(\mathbf{A})$  to  $\mathfrak{M}_{\mathcal{T}}$ .

Certainly:

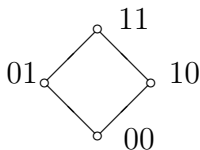
- if a binary relation is preserved, then so is its converse;
- if binary relations  $r$  and  $s$  are preserved, then so is  $r \cap s$ ;
- trivial relations (finite powers of  $M$  and any diagonal subalgebra) are automatically preserved.

These are instances from a comprehensive list of **entailment constructs**, whereby redundant relations can harmlessly be deleted from an alter ego.

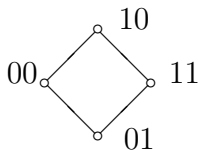


## Examples, exploiting entailment

- **Priestley duality**  $\mathbb{S}(\mathbf{2}^2)$  contains  $\mathbf{2}^2$ , diagonal subalgebra  $\{(0,0), (1,1)\}$ , and the orders  $\leq$  and  $\geq$ . From above, we need only  $\leq$ .
- **De Morgan algebras:** take  $\mathbf{M}$  the 4-element De Morgan algebra, with  $\neg$  swapping 00 and 11 and fixing 01 and 10.  $\underline{\mathbf{M}} = \langle \{00, 01; 10, 11\}; \preceq, g, \rangle$ . where  $g$  swaps 01 and 10, and fixes 00 and 11. Here  $|\mathbb{S}(\mathbf{M}^2)| = 55$ .



(lattice reduct of)  $\mathbf{M}$



$\langle \mathbf{M}; \preceq \rangle$

# Optimising a duality: the Test Algebra Theorem

Suppose we have a dualising alter ego  $\mathbf{M}_{\mathcal{T}} = \langle R; \mathcal{T} \rangle$ . Then each  $r \in R$  is algebraic, and so is the universe of a subalgebra  $\mathbf{r}$  of some  $\mathbf{M}^n$ : SO  $\mathbf{r} \in \mathcal{A}$ .

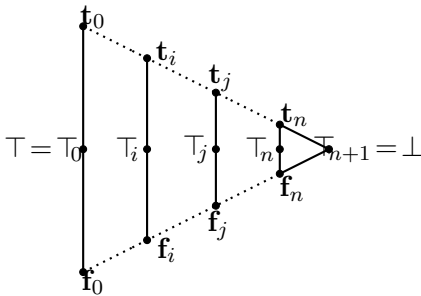
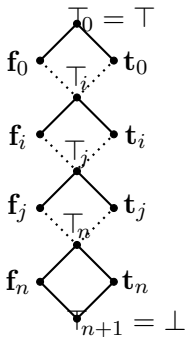
## Theorem

*The relation  $r$  can be discarded from  $R$  iff  $\mathbf{r} \cong E'D'(\mathbf{r})$ , where the hom-functors  $D'$  and  $E'$  are calculated with  $R$  replaced by  $R' = R \setminus \{r\}$ .*

# Example: a hierarchy of prioritised default bilattices

(Cabrer, Craig & Priestley, 2013) We set up strong dualities for  $\text{ISP}(\mathbf{K}_n)$  and (later, multisorted) for  $\text{HSP}(\mathbf{K}_n)$ , where

$$\mathbf{K}_n = (K_n; \wedge_k, \vee_k, \wedge_t, \vee_t, \neg, \top, \perp).$$



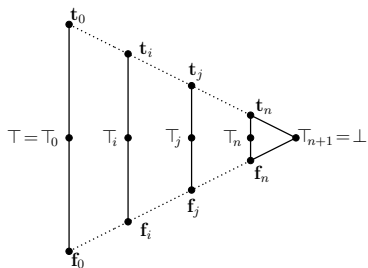
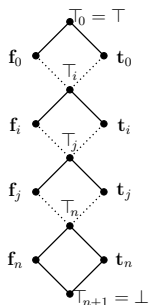
$\mathbf{K}_n$  in knowledge order  $\leq_k$  (left) and truth order  $\leq_t$  (right) with  $0 < i < j < n$ .

For  $n > 0$ , neither set of lattice operations is monotonic w.r.t. the other.

## Interpretation for default logic

The elements of  $K_n$  represent levels of truth and falsity. Knowledge represented by the truth values at level  $m + 1$  is regarded as having lower priority than from those at level  $m$ . Also one thinks of  $t_{m+1}$  as being 'less true' than  $t_m$  and  $f_{m+1}$  as 'less false' than  $f_m$ .

Base cases:  $\mathbf{K}_0$  and  $\mathbf{K}_1$  are Ginsberg's bilattices *FOUR* and *SEVEN*, with  $\neg$  added. In *SEVEN*,  $t_1$  and  $f_1$  may be given the connotation of 'true by default' and 'false by default'.



## Algebraic facts

- Every element of  $\mathbf{K}_n$  is term-definable;  $\mathbf{K}_n$  has no proper subalgebras.
- For  $m \leq n$  there exists a surjective homomorphism  $h_{n,m}: K_n \rightarrow K_m$ .
- For  $0 \leq m \leq n$ , there exists  $\mathcal{S}_{n,m} \in \mathcal{S}(\mathbf{K}_n^2)$  with elements

$$\mathcal{S}_{n,m} = \Delta_n \cup \{ (a, b) \mid a, b \leq_k \top_{m+1} \text{ or } a \leq_k b \leq_k \top_m \}.$$

where  $\Delta_n = \{ (a, a) \mid a \in K_n \}$ . We have  $\mathcal{S}_{n,j} \subsetneq \mathcal{S}_{n,i}$  for  $0 \leq i < j \leq n$ .

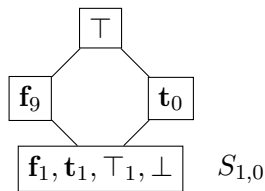
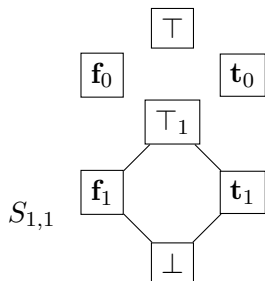
- The subalgebras  $\mathcal{S}_{n,m}$  entail every subalgebra of  $\mathbf{K}_n^2$  via converses and intersections.
- Each  $\mathbf{K}_n$  is subdirectly irreducible.
- $\text{ISP}(\mathbf{K}_n) = \text{HSP}(\mathbf{K}_n)$  iff  $n = 0$ . For  $n \geq 1$ ,

$$\text{HSP}(\mathbf{K}_n) = \text{ISP}(\mathbf{K}_n, \dots, \mathbf{K}_0).$$

## The algebraic binary relations: illustrations

$n = 0$ :  $\text{ISP}(\mathbf{K}_0)$  is the variety  $\mathcal{DB}$  of **distributive bilattices**.  $S_{0,0}$  is the **knowledge order**,  $\leq_k$ .

$n = 1$ : Our binary algebraic relations are  $S_{1,0}$  and  $S_{1,1}$  on  $K_1$ ; these can be depicted as quasi-orders.



### Theorem

**Duality theorem for  $\text{ISP}(\mathbf{K}_n)$ :** *The structure*

$\tilde{\mathbf{K}}_n = \langle K_n; S_{n,n}, \dots, S_{n,0}, \mathcal{T} \rangle$  *yields a strong, and optimal, duality on  $\text{ISP}(\mathbf{K}_n)$ .*

*For  $n = 0$ , the dual category  $\text{ISP}^+(\mathbf{K}_0)$  is  $\mathcal{P}_{\mathcal{T}}$ .*

## From ISP to HSP

In general  $\text{HSP}(\mathbf{M}) \neq \text{ISP}(\mathbf{M})$ .

However **Jónsson's Lemma** implies that for any **finitely generated lattice-based variety**  $\mathcal{A} = \text{HSP}(\mathbf{M})$  we do have

$$\text{HSP}(\mathbf{M}) = \text{ISP}(\mathfrak{M}) \text{ where } \mathfrak{M} = \text{HS}(\mathbf{M}),$$

so that  $\mathfrak{M}$  is a finite set of finite algebras,  $\mathbf{M}_0, \dots, \mathbf{M}_n$ .

## Multisorted dualities

We look for an alter ego  $\mathfrak{M}_{\mathcal{T}} = \langle M_0 \dot{\cup} \dots \dot{\cup} M_n; R, H, \mathcal{T} \rangle$ , where now  $\mathcal{T}$  is the disjoint union of the discrete topologies on each  $M_i$ . and  $R$  and  $H$  are algebraic, 'between  $\mathbf{M}_i$ 's'. SO an algebraic binary relation is a subalgebra of some  $\mathbf{M}_i \times \mathbf{M}_j$ .

We form powers of  $\mathfrak{M}_{\mathcal{T}}$  'by sorts':  $\mathfrak{M}_{\mathcal{T}}^S = M_0^S \dot{\cup} \dots \dot{\cup} M_n^S$ , with  $R$ ,  $H$  and  $\mathcal{T}$  lifted in the obvious way.

The generated topological quasivariety  $\mathcal{X}_{\mathcal{T}} = \mathbb{I}S_c\mathbb{P}^+(\mathfrak{M}_{\mathcal{T}})$  has objects which are multisorted structures which are isomorphic copies of closed substructures of powers of  $\mathfrak{M}_{\mathcal{T}}$ . Morphisms in  $\mathcal{X}_{\mathcal{T}}$  are continuous maps preserving the sorts and the structure amongst them.

Given  $\mathbf{A} \in \mathcal{A}$ , we let  $D(\mathbf{A}) = \mathcal{A}(\mathbf{A}, \mathbf{M}_0) \dot{\cup} \dots \dot{\cup} \mathcal{A}(\mathbf{A}, \mathbf{M}_n)$ ,

Given  $\mathbf{X} = \mathbf{X}_0 \dot{\cup} \dots \dot{\cup} \mathbf{X}_n \in \mathcal{X}$ , we let  $E(\mathbf{X}) = \mathcal{X}(\mathbf{X}, \mathfrak{M}_{\mathcal{T}})$ , viewing it as a subalgebra of  $\mathbf{M}_0^{X_0} \times \dots \times \mathbf{M}_n^{X_n}$ .

Everything extends from the single-sorted case to the multisorted one. In particular the NU Strong Duality Theorem. Now  $D(\mathbf{FA}(S)) = \mathfrak{M}_{\mathcal{T}}^S$ .



# Duality theorem for $\mathcal{A} = \text{HSP}(\mathbf{K}_n)$

## Theorem

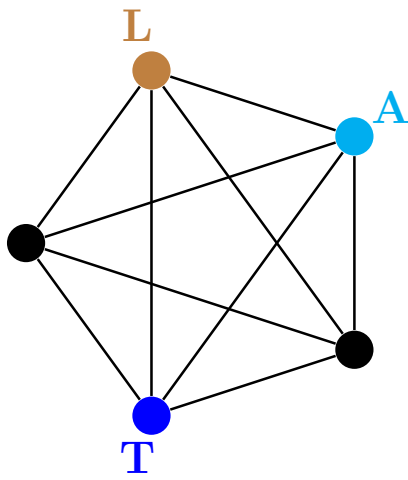
Write  $\text{HSP}(\mathbf{K}_n)$  as  $\text{ISP}(\mathfrak{M})$ , where  $\mathfrak{M} = \{\mathbf{K}_0 \dots, \mathbf{K}_n\}$ . Then the alter ego

$$\mathfrak{M}_{\mathcal{T}} = \langle K_0 \dot{\cup} \dots \dot{\cup} K_n; \{S_{m,m}\}_{0 \leq m \leq n}, \{h_{i,i-1}\}_{1 \leq i \leq n}, \mathcal{T} \rangle,$$

yields a strong, and optimal, duality on  $\text{HSP}(\mathbf{K}_n)$ .

The dual category for  $\text{HSP}(\mathbf{K}_n)$  can be described for general  $n$ .

This duality leads to a structure theorem for members of  $\text{HSP}(\mathbf{K}_n)$  which is beyond the reach of traditional bilattice methods.



## $\mathcal{D}$ - $\mathcal{P}_{\mathcal{T}}$ -based dualities

Assume  $\mathcal{A}$  is a variety of  $\mathcal{D}$ -based algebras, not necessarily finitely generated.

- 1 Take the class  $\mathbf{U}(\mathcal{A})$  (the  $\mathcal{D}$ -reducts).
- 2 Seek to equip the associated class of Priestley spaces,  $\mathcal{Z} := \mathbf{HU}(\mathcal{A})$ , with additional (relational or functional) structure so that, for each  $\mathbf{A} \in \mathcal{A}$ ,  $\mathbf{KHU}(\mathbf{A})$  becomes an algebra in  $\mathcal{A}$  isomorphic to  $\mathbf{A}$ ;
- 3 Identify a suitable class of morphisms, to make  $\mathcal{Z}$  into a category.

If this gives a dual equivalence between  $\mathcal{A}$  and  $\mathcal{Z}$ , we say we have a  $\mathcal{D}$ - $\mathcal{P}_{\mathcal{T}}$ -based duality.

Literature is full of examples!

# Which way to go?

- 1 **Natural duality theory**: for any finitely generated  $\mathcal{D}$ -based variety we can call on the NU Strong Duality Theorem (single-sorted or multisorted).
- 2  **$\mathcal{D}$ - $\mathcal{P}_{\mathcal{T}}$ -based dualities**.
- 3 From, and for, **logic**: algebraic and relational (Kripke-style) semantics for non-classical propositional logics.

Both (1) and (2) provide valuable tools for studying algebraic properties of  $\mathcal{D}$ -based varieties.

Normally, For a given variety, a discrete duality (as in (3)) differs from a  $\mathcal{D}$ - $\mathcal{P}_{\mathcal{T}}$ -based just through the absence or presence of topology. Canonical extensions provide a systematic approach to (3).

# Rivals?

	Pro	Con
natural duality	<p>a strong duality can always be found</p> <p><math>\mathbf{M}</math> governs how the duality works</p> <p>good categorical properties, notably w.r.t. free algebras: <math>D(F\mathcal{A}) = \underline{\mathbf{M}}_{\mathcal{T}}^S</math></p>	<p>duality may be complicated (may need entailment to simplify)</p> <p>restriction to finitely generated classes (usually)</p> <p>concrete representation is via functions, not sets, if <math> M  &gt; 2</math></p>
$\mathcal{D}\text{-}\mathcal{P}_{\mathcal{T}}$ -based duality	<p>close relationship to Kripke-style semantics</p> <p>concrete representation via sets</p>	<p>products seldom cartesian; free algebras hard to find</p>

## Dualities in collaboration

Priestley duality per se has excellent properties: in particular

- embeddings/surjections in  $\mathcal{D}$  correspond to surjections/embeddings in  $\mathcal{P}_{\mathcal{T}}$ ;
- finite products in  $\mathcal{D}$  correspond to finite disjoint unions in  $\mathcal{P}_{\mathcal{T}}$ ;
- coproducts in  $\mathcal{D}$  correspond to cartesian products in  $\mathcal{P}_{\mathcal{T}}$ ;
- it is 'logarithmic'—a significant asset computationally.

With a  $\mathcal{D}$ - $\mathcal{P}_{\mathcal{T}}$ -based duality one can exploit to some extent these excellent features of the parent duality. For a suitable class  $\mathcal{A} = \mathbb{HSP}(\mathbf{M})$ , it can be a great way to get a handle on, e.g.

- congruences, and subdirectly irreducible algebras,
- subalgebras, in particular of  $\mathbf{M}^2$ .

But a  $\mathcal{D}$ - $\mathcal{P}_{\mathcal{T}}$ -based duality will seldom give easy access to free algebras or more to generally coproducts in  $\mathcal{A}$ . For such categorical notions we want a categorically natural duality—a natural duality.

SO: to get the best of both worlds we'd like to have BOTH a  $\mathcal{D}$ - $\mathcal{P}_{\mathcal{T}}$ -based duality and a natural one, when available.

## From a natural dual space to the associated Priestley dual space

For simplicity, take  $\mathcal{A} = \text{ISP}(\mathbf{M})$ , where  $\mathbf{M}$  is finite and  $\mathcal{D}$ -based. Let  $H: \mathcal{D} \rightarrow \mathcal{P}_{\mathcal{T}}$  and  $K: \mathcal{P}_{\mathcal{T}} \rightarrow \mathcal{D}$  be the hom-functors setting up Priestley duality. Assume we have a forgetful functor  $U: \mathcal{A} \rightarrow \mathcal{D}$ , given on objects by a term-reduct.

The key to linking  $D(\mathbf{A})$  and  $HU(\mathbf{A})$  (for any  $\mathbf{A} \in \mathcal{A}$ ) is

$$\Omega = HU(\mathbf{M}).$$

For  $\omega_1, \omega_2 \in \Omega$ , consider the following sublattice of  $U(\mathbf{M}^2)$ :

$$(\omega_1, \omega_2)^{-1}(\leq) := \{ (a, b) \in \mathbf{M}^2 \mid \omega_1(a) \leq \omega_2(b) \}.$$

Let  $R_{\omega_1, \omega_2}$  be the set (possibly empty) of **algebraic relations** maximal w.r.t. being contained in  $(\omega_1, \omega_2)^{-1}(\leq)$ .

FACT (part of the Multisorted Piggyback Duality Theorem)

$$R = \bigcup \{ R_{\omega_1, \omega_2} \mid \omega_1, \omega_2 \in \Omega \}.$$

yields a duality on  $\mathcal{A}$ .

## From $D(\mathbf{A})$ to $HU(\mathbf{A})$ , continued

Fix  $\mathbf{A} \in \mathcal{A}$ , Remember that the dual space  $D(\mathbf{A}) = \mathcal{A}(\mathbf{A}, \mathbf{M})$  is viewed as a closed substructure of  $\mathbb{M}^A$  and carries relations  $r^{D(\mathbf{A})}$ , for  $r \in R$ , obtained by pointwise lifting.

Define  $\preceq$  on  $D(\mathbf{A}) \times \Omega$  by

$$(x, \omega_1) \preceq (y, \omega_2) \iff (x, y) \in r^{D(\mathbf{A})} \text{ for some } r \in R_{\omega_1, \omega_2}.$$

### Theorem

*(Cabrer & Priestley, 2012)* Let  $\approx$  be the equivalence relation  $\preceq \cap \succeq$ , Then the map  $\Psi: (D(\mathbf{A}) \times \Omega)/\approx \rightarrow HU(\mathbf{A})$  given by

$$[(x, \omega)]_{\approx} \longmapsto \omega \circ x \quad (x \in D(\mathbf{A}), \omega \in HU(\mathbf{M}))$$

is well defined and a Priestley space isomorphism.

SO:  $\mathbf{Y}_{\mathbf{A}} = (D(\mathbf{A}) \times \Omega)/\approx$  'is' the Priestley dual of  $U(\mathbf{A})$ .



## Remarks

- When any additional operations in  $\mathbf{A}$  are determined by the underlying lattice order, then  $\mathbf{A}$  is uniquely determined by  $\text{HU}(\mathbf{A})$ . This happens, e.g., whenever  $\mathbf{M}$  is a Heyting algebra or is pseudocomplemented.
- In general, we fully recapture  $\mathbf{A}$  only once we equip  $\mathbf{Y}_{\mathbf{A}}$  with extra structure to model additional operations. Work in progress as to how to do this in general; special cases easy to handle—whatever, what happens with  $\mathbf{M}$  fully determines process for general  $\mathbf{A}$ .
- In a few cases, there exists  $\omega \in \Omega$  such that  $\text{D}(\mathbf{A}) \times \{\omega\} \cong \text{HU}(\mathbf{A})$  and, at the level of Priestley space reducts, the natural duality ‘is’ a  $\mathcal{D}\text{-}\mathcal{P}_{\mathcal{T}}$ -based duality. This happens, e.g., for De Morgan algebras and Stone algebras.
- The translation process is the key to understanding how coproducts work in finitely generated  $\mathcal{D}$ -based quasivarieties (Cabrer and Priestley, 2012). If you didn’t hear Leo Cabrer’s talk on this at TACL, too bad!

## Two dualities in partnership: Priestley duality and Banaschewski duality

$$\begin{aligned}\mathcal{D} &:= \text{ISP}(\mathbf{2}), & \mathcal{P} &:= \text{IS}^0\mathbb{P}^+(\mathbf{2}) \text{ (posets),} \\ \mathcal{P}_{\mathcal{T}} &:= \text{IS}_c\mathbb{P}(\mathbf{2}_{\mathcal{T}}), & \mathcal{D}_{\mathcal{T}} &:= \text{IS}_c^0\mathbb{P}^+(\mathbf{2}_{\mathcal{T}}) \text{ (Boolean-topological DLs)}\end{aligned}$$

Technical note:  $\mathbb{P}$  allows empty indexed products, yielding the total 1-element structure;  $\mathbb{P}^+$  doesn't. Operator  $\mathbb{S}$  excludes the empty structure while  $\mathbb{S}^0$  includes it, when there are no nullary operations.

$$\begin{array}{ccc} \mathcal{D} & \begin{array}{c} \xrightarrow{H} \\ \xleftarrow{K} \end{array} & \mathcal{P}_{\mathcal{T}} \\ \begin{array}{c} \uparrow \\ \downarrow \end{array} \begin{array}{c} n_{\mathcal{D}} \\ b \end{array} & & \begin{array}{c} \uparrow \\ \downarrow \end{array} \begin{array}{c} n_{\mathcal{P}} \\ b \end{array} \\ \mathcal{D}_{\mathcal{T}} & \begin{array}{c} \xrightarrow{F} \\ \xleftarrow{G} \end{array} & \mathcal{P} \end{array}$$

Here the top adjunction gives Priestley duality. The bottom one gives the duality between  $\mathcal{P}$  and  $\mathcal{D}_{\mathcal{T}}$  (Banaschewski, 1976).

Symbol  $b$  denotes the functor forgetting topology.

## Unanswered questions

- Does the duality between  $\mathcal{P}$  and  $\mathcal{D}_{\mathcal{T}}$  fit into a theory of natural dualities, for structures, rather than for algebras?

**ANSWER:** YES. We can formulate a notion of compatibility between two structures  $\mathbf{M}_1$  and  $\mathbf{M}_2$  on the same finite set  $M$  (each may include relations and partial (including total) operations). But dualisability questions are non-trivial in general. The duality between  $\mathcal{P}$  and  $\mathcal{D}_{\mathcal{T}}$  fits into this generalised framework.

- **Buy one, get one free?:** When In general, does one duality (such as that between  $\mathcal{D}$  and  $\mathcal{P}_{\mathcal{T}}$ ), have a partner duality obtained by swapping the topology from one category to the other?

**ANSWER:** Yes, sometimes, but not always.

- In the example, what are the vertical arrows in the square diagram doing?

**ANSWER:** It might have something to do with **canonical extensions** . . . .