

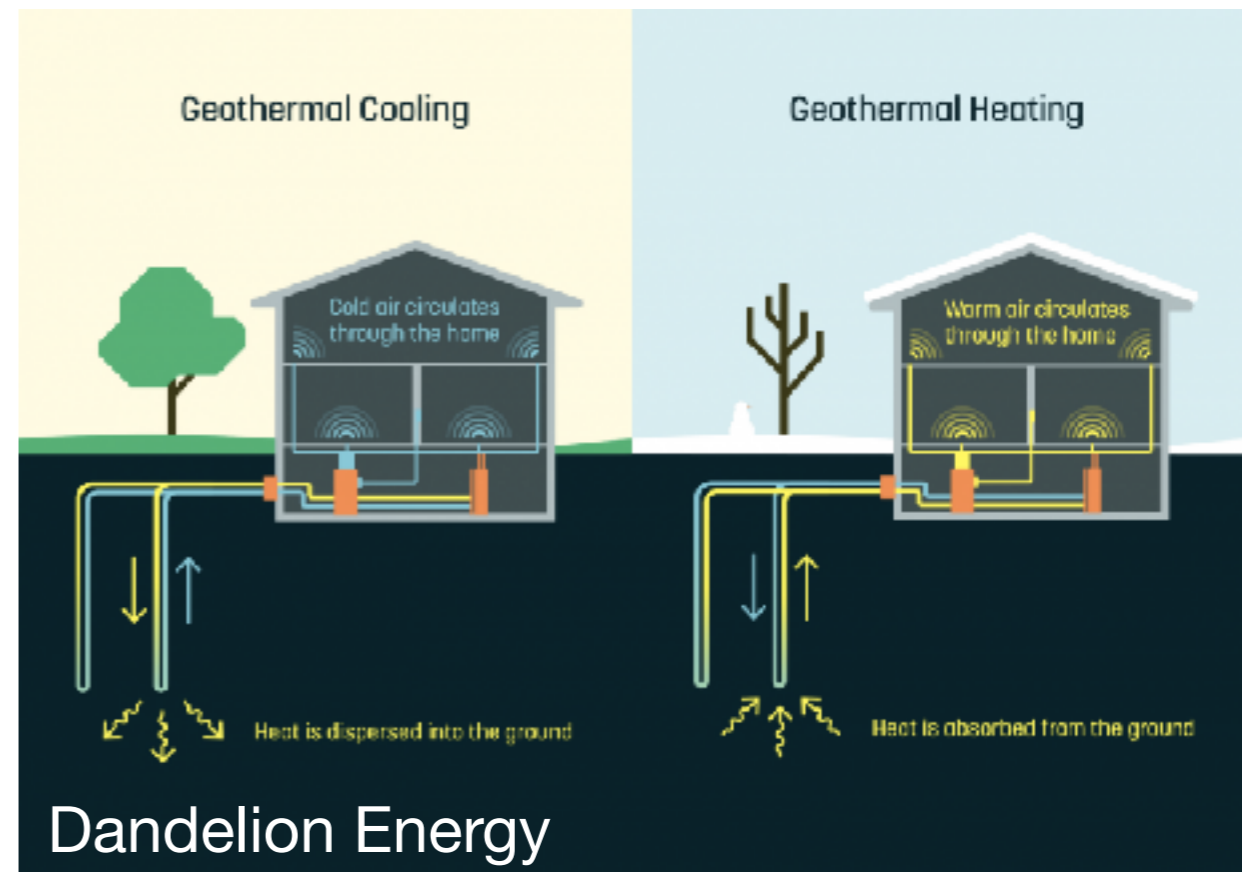
# Modelling the behaviour of geothermal heat exchangers

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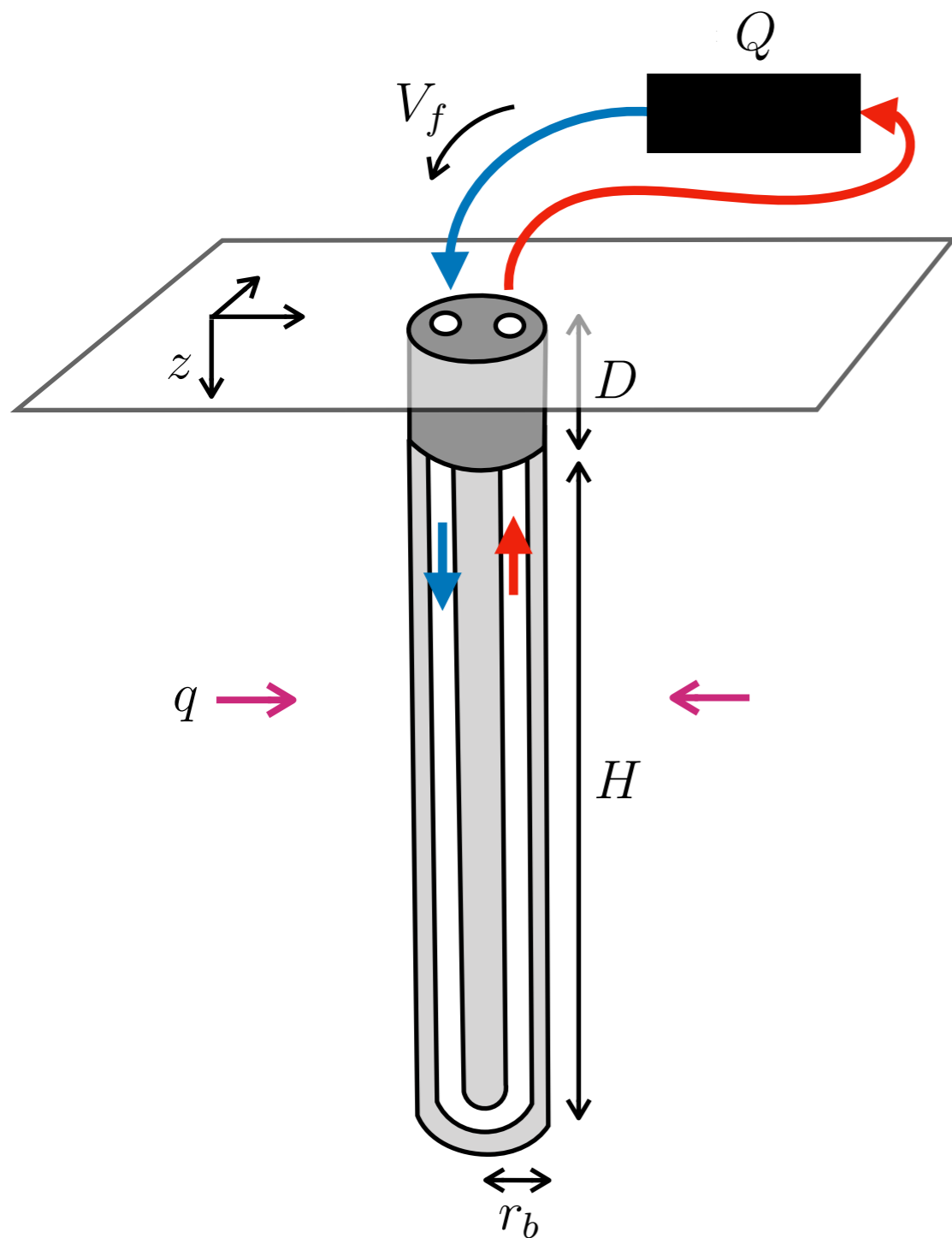


# Introduction

- Geothermal systems for heating and ventilation are increasing in popularity.
- In some locations energy is sourced from deep inside the earth (e.g. Iceland), but in most places it is extracted from the (solar-derived) heat content at shallower depths.
- The same system can be used for both heating and cooling, with geothermal energy extracted for heating in winter and injected to provide cooling in summer.
- Ideally, heat extraction and injection balance out. If not, how does performance of the system evolve?

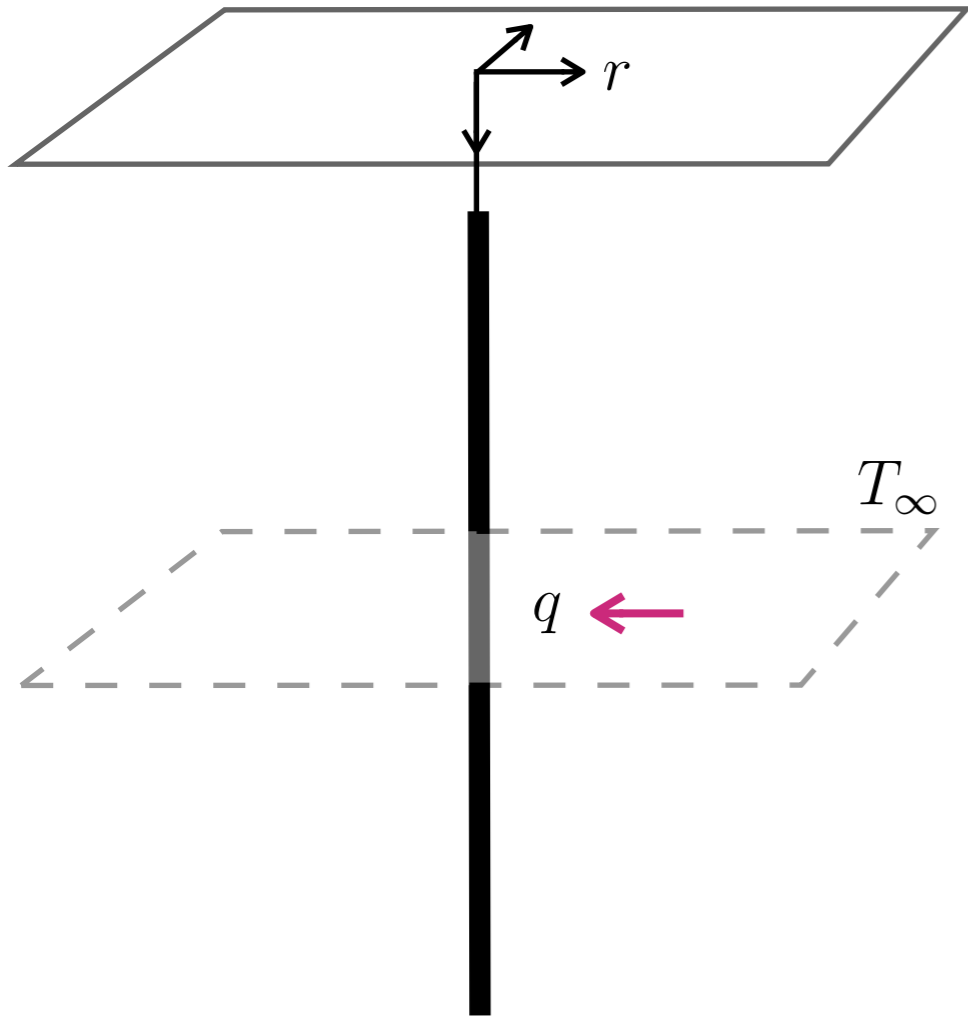


# Setup of the problem



- Fluid is circulated through a U-shaped pipe in a vertical borehole.  
Heat extracted from fluid (via refrigeration unit) at prescribed rate  $Q(t)$ 
  - What is the temperature of the carrier fluid?
  - What is the distribution of heat flux into the borehole?
  - How is the temperature surrounding the borehole affected?
- Some units designed for heat **extraction** (for central heating / hot water), some for annual heat **exchange**.

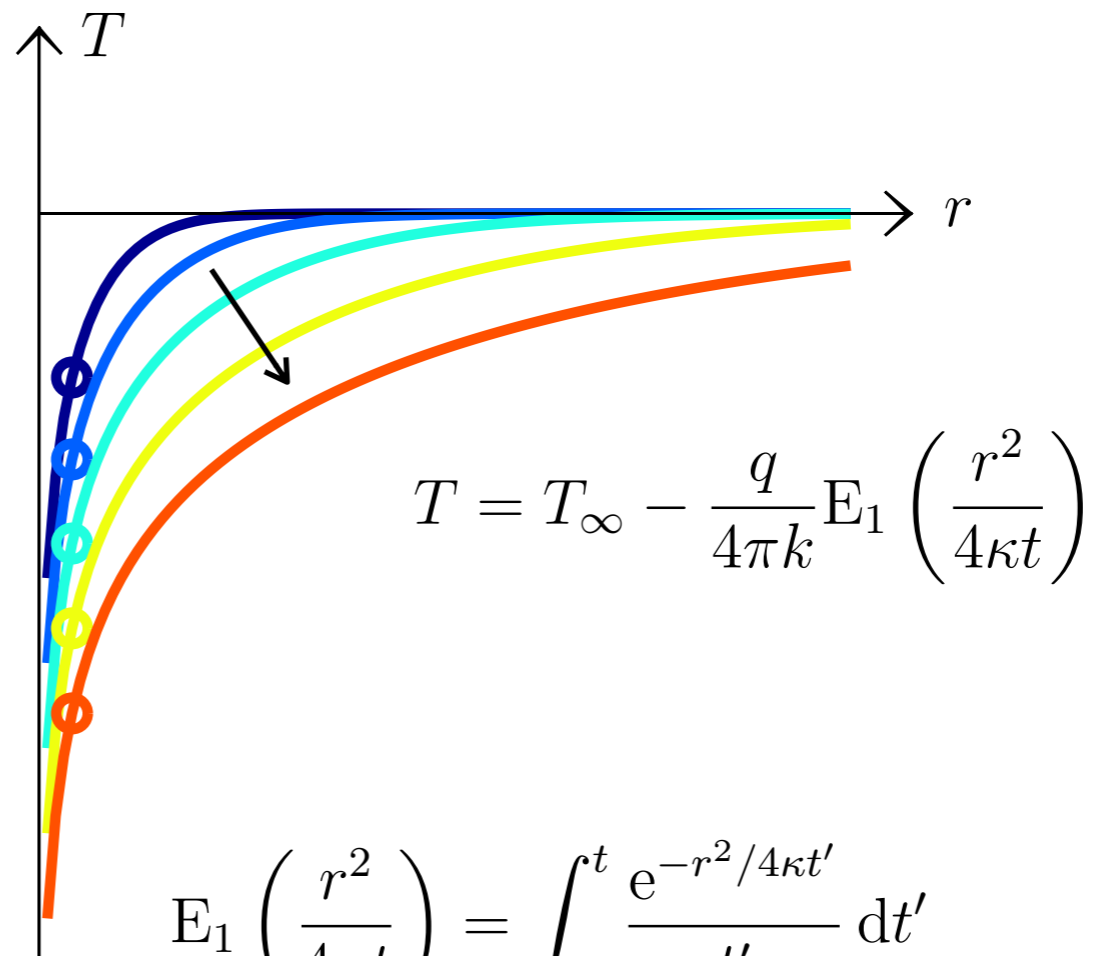
# Line source solution



$$\frac{\partial T}{\partial t} = \kappa \nabla^2 T$$

$$\lim_{r \rightarrow 0} 2\pi k r \frac{\partial T}{\partial r} = q \quad \lim_{r \rightarrow \infty} T = T_\infty$$

$$\kappa = \frac{k}{\rho c}$$

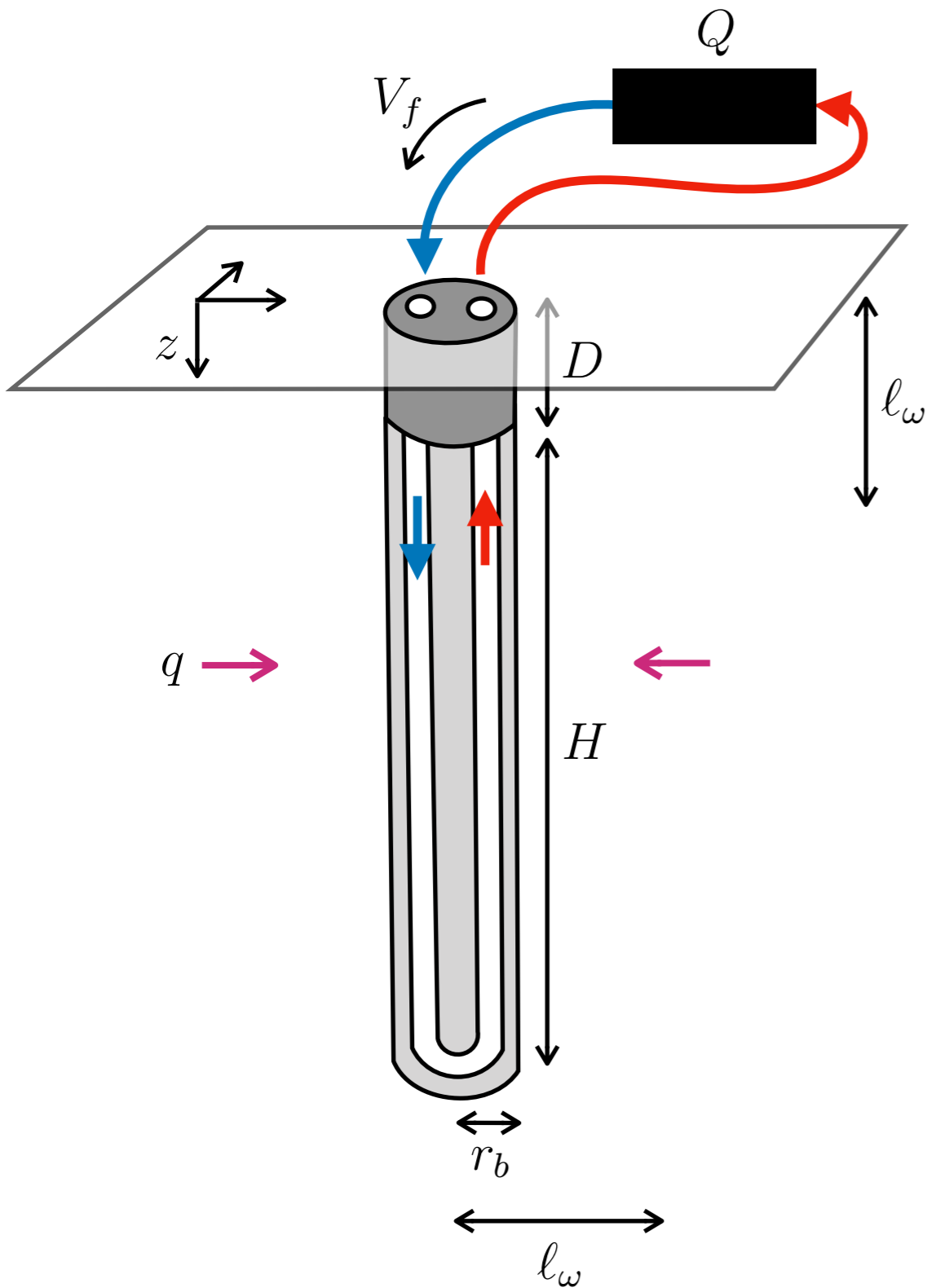


$$E_1\left(\frac{r^2}{4\kappa t}\right) = \int_0^t \frac{e^{-r^2/4\kappa t'}}{t'} dt'$$

$$\sim -\gamma - \ln\left(\frac{r^2}{4\kappa t}\right)$$

$$\gamma = 0.577 \dots$$

# Dimensions



Typical dimensions:

$$[r_b] \sim 5 \text{ cm}$$

$$[H] \sim 100 \text{ m}$$

$$[l_w] \sim ([\kappa]/[\omega])^{1/2} \sim 5 \text{ m}$$

$$[Q] \sim 1000 \text{ W}$$

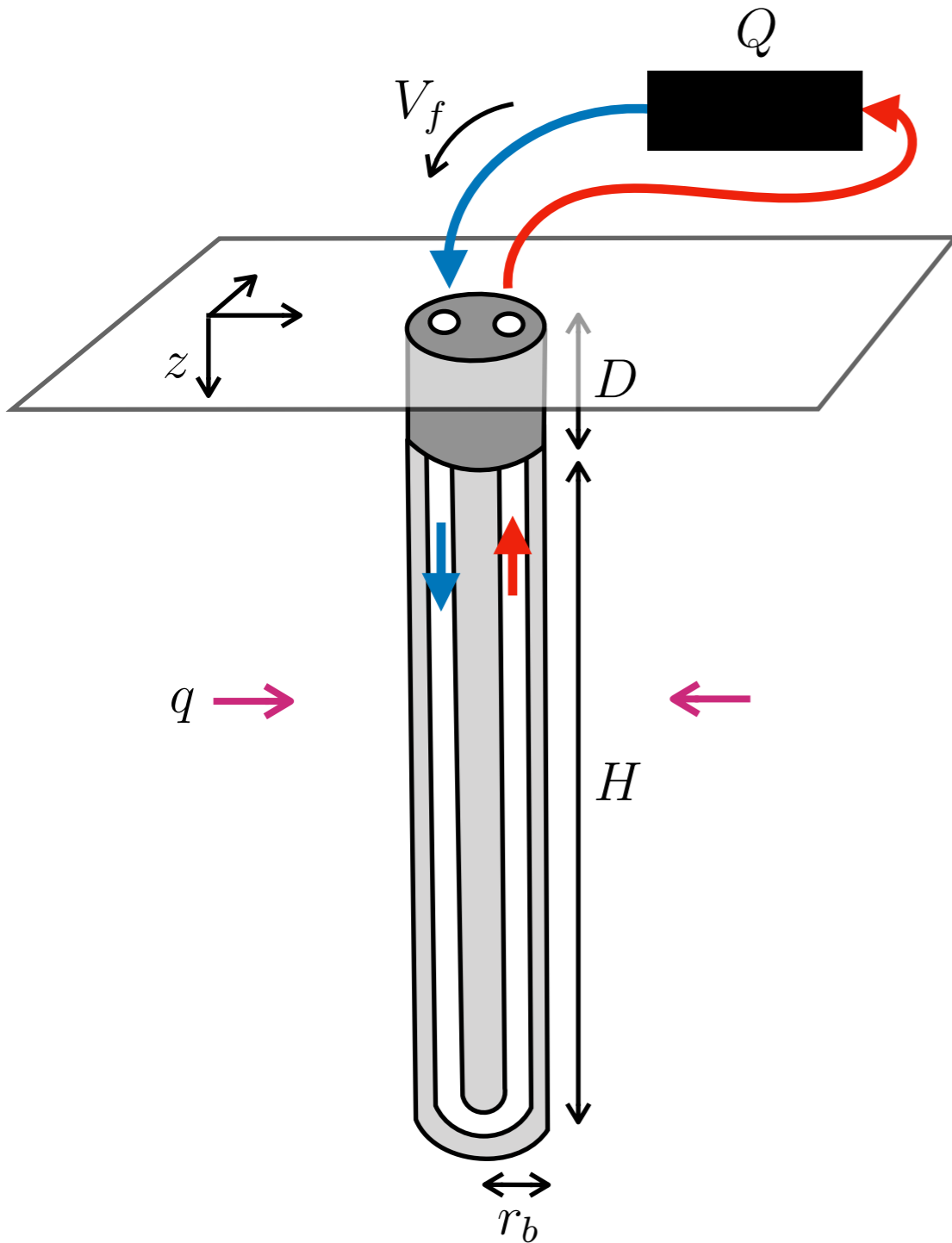
$$[q] \sim \frac{[Q]}{[H]} \sim 10 \text{ W m}^{-1}$$

$$[T] \sim \frac{[q]}{[k]} \sim 5 \text{ K}$$

$$\times [G] \sim 0.06 \text{ W m}^{-2}$$

$$r_b \ll l_w \ll H$$

# Timescales



✘  $t_f = \frac{A_f H}{V_f} \approx 0.3 \text{ h}$  Carrier fluid (advective)

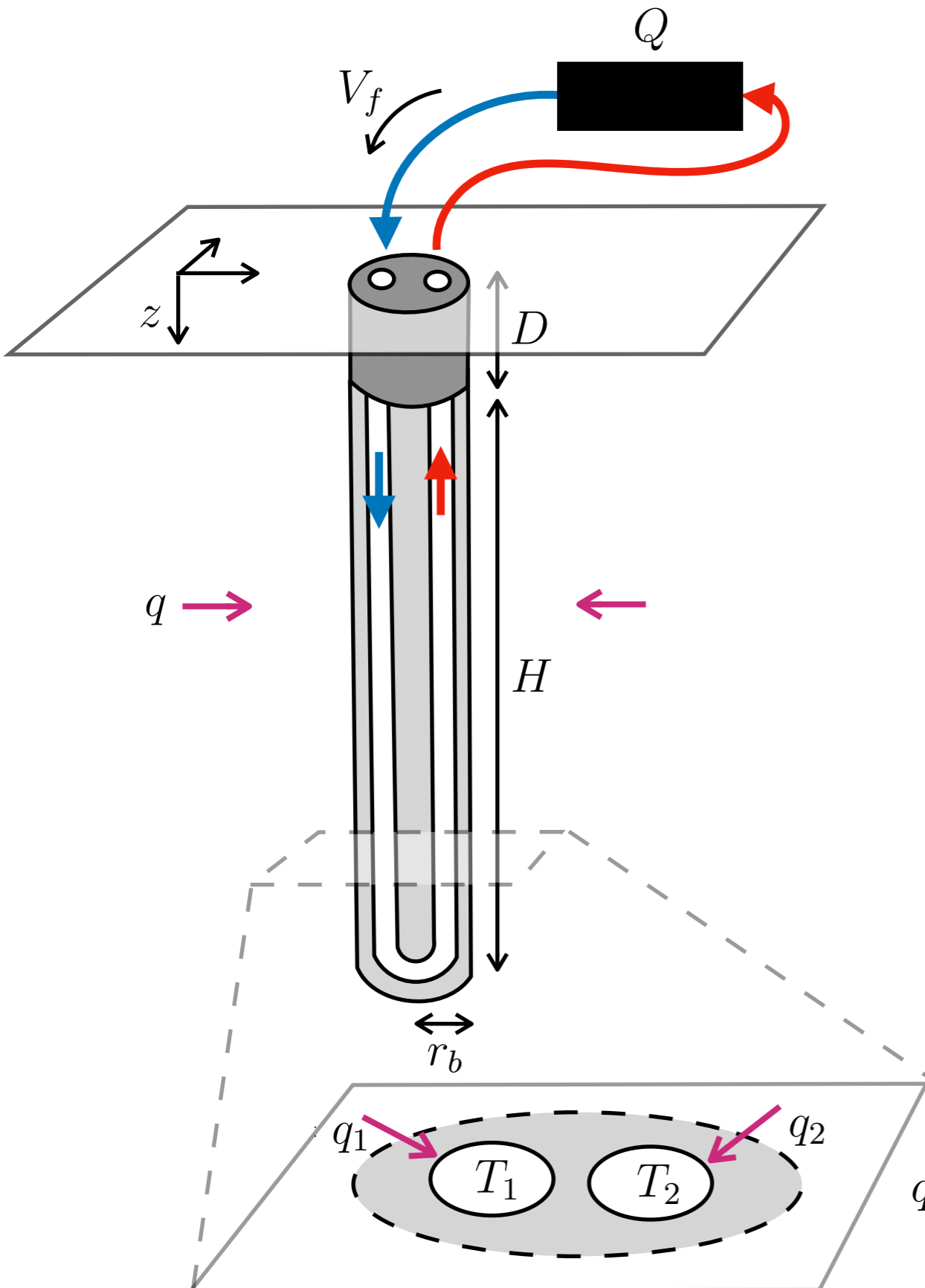
✘  $t_b = \frac{r_b^2}{\kappa} \approx 0.7 \text{ h}$  Borehole temperature (diffusive)

$t_\omega = \frac{1}{\omega} \approx 1 \text{ y}$  Forcing timescale (annual)

$t_H = \frac{H^2}{\kappa} \approx 300 \text{ y}$  Ground temperature (diffusive)

➔ **Fluid flow and local borehole temperature are quasi-steady**

# Temperature of fluid in the pipes



$$\rho_f c_f V_f \frac{\partial T_1}{\partial z} = q_1$$

$$-\rho_f c_f V_f \frac{\partial T_2}{\partial z} = q_2$$

Downflow pipe

Upflow pipe

with  $T_2 = T_1$  at  $z = D + H$

$\rho_f c_f V_f (T_2 - T_1) = Q(t)$  at  $z = D$

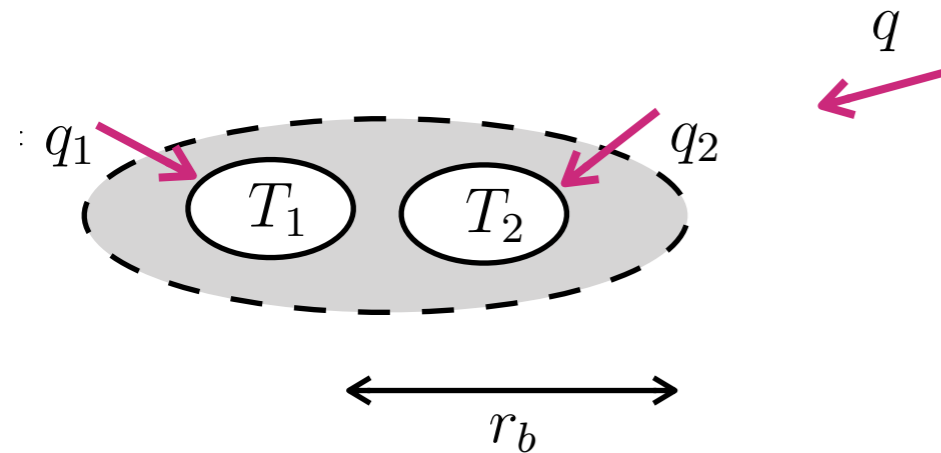
(uniform temperature assumed across each pipe cross-section)

Note  $q = q_1 + q_2$  and

$$\int_D^{D+H} q \, dz = Q$$

$$q_i = \int_{\partial P_i} k \nabla T \cdot \mathbf{n} \, ds$$

# Local borehole problem



$$\nabla^2 T = 0$$

$$T = T_1 \quad \text{on} \quad \partial P_1 \quad T = T_2 \quad \text{on} \quad \partial P_2$$

$$T \sim \frac{q}{2\pi k} \ln \left( \frac{r}{r_b} \right) + T_b + o(1) \quad \text{as} \quad r \rightarrow \infty$$

- Heat flux  $q$  and ‘apparent’ borehole temperature  $T_b$  will be required to match with the time-dependent ‘outer’ solution further from the borehole (see shortly).
- This ‘inner’ problem provides a relationship between  $T_b$  and  $q$ ,  $T_1$  and  $T_2$ , as well as expressions for  $q_1$  and  $q_2$ .
- The solution can be written in terms of two canonical solutions  $\tilde{T}^{(i)}$ , for which
 
$$\tilde{T}^{(1)} : \quad q_1 = 1 \quad q_2 = 0 \qquad \tilde{T}^{(2)} : \quad q_1 = 0 \quad q_2 = 1$$
 Values  $\tilde{T}_1^{(i)}$  and  $\tilde{T}_2^{(i)}$  such that  $\tilde{T}^{(i)} = \tilde{T}_j^{(i)}$  on  $\partial P_j$  are found as part of these solutions.

# Local borehole problem

- In terms of these canonical solutions, 
$$T = T_b + \frac{q_1}{k} \tilde{T}^{(1)} \left( \frac{r}{r_b} \right) + \frac{q_2}{k} \tilde{T}^{(2)} \left( \frac{r}{r_b} \right)$$

From this we deduce

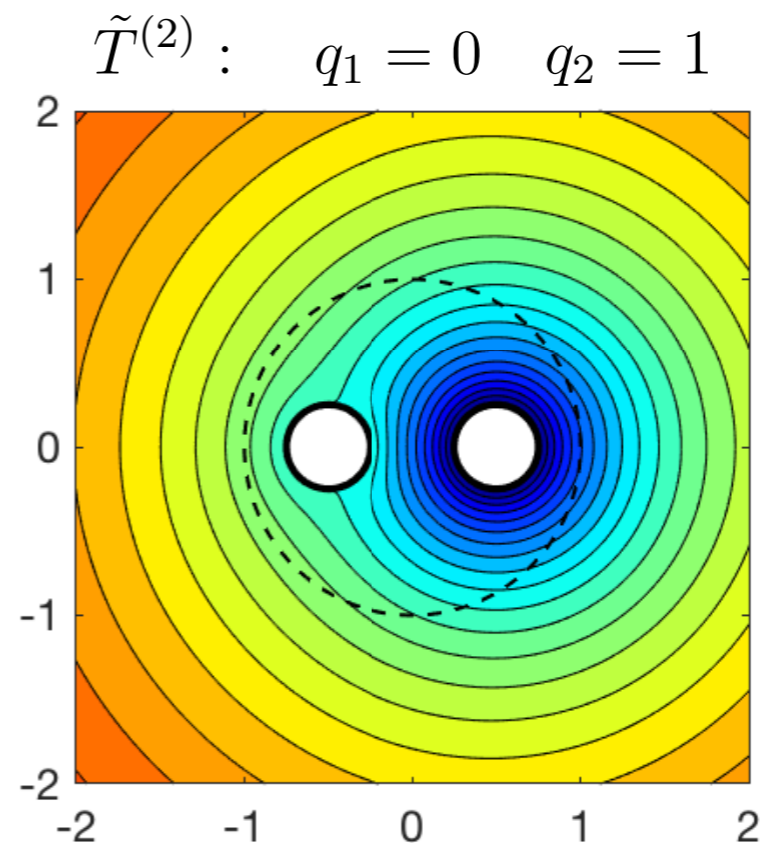
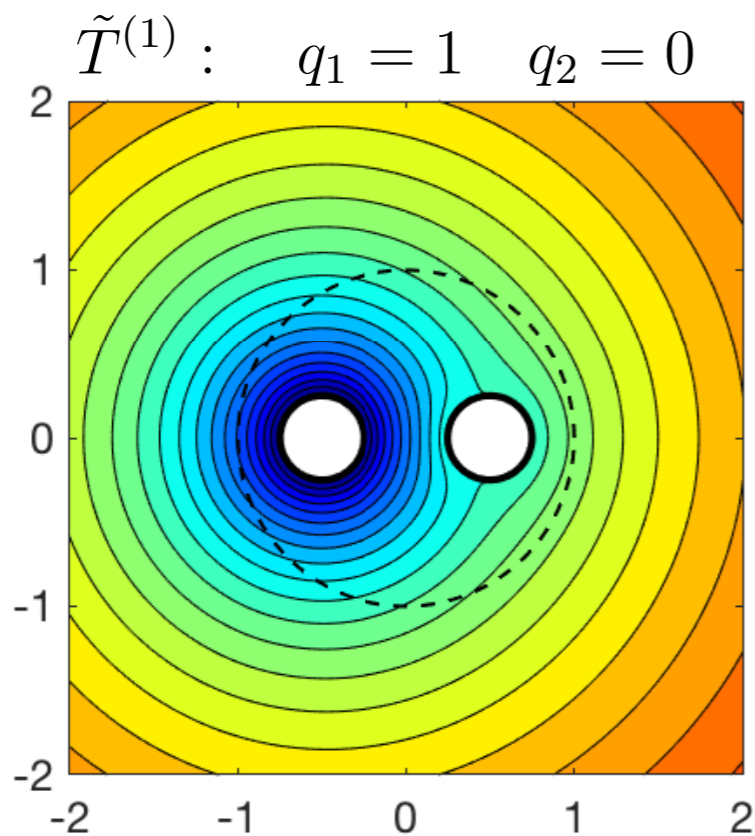
$$\begin{aligned} q_1 &= \frac{1}{2}q + \frac{k}{\tilde{R}_{12}}T_\Delta \\ q_2 &= \frac{1}{2}q - \frac{k}{\tilde{R}_{12}}T_\Delta \end{aligned}$$

and 
$$T_b = T_m + \tilde{R}_b \frac{q}{k}$$

where 
$$T_m = \frac{1}{2}(T_1 + T_2) \quad T_\Delta = T_2 - T_1$$

The ‘resistances’  $\tilde{R}_{12}$  and  $\tilde{R}_b$  are related to the  $\tilde{T}_j^{(i)}$ . They encode the borehole geometry.

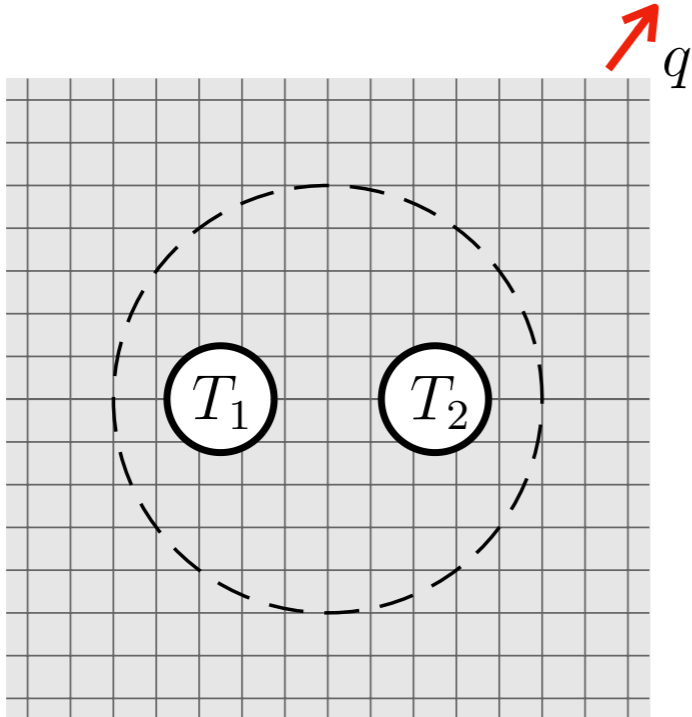
- The canonical solutions can be found numerically, or by conformal mapping, e.g.



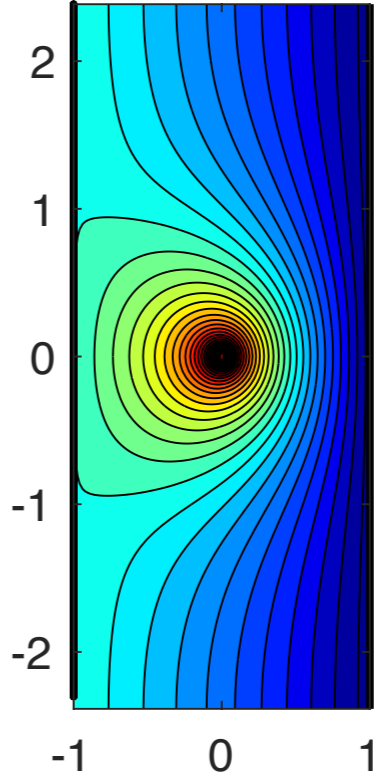
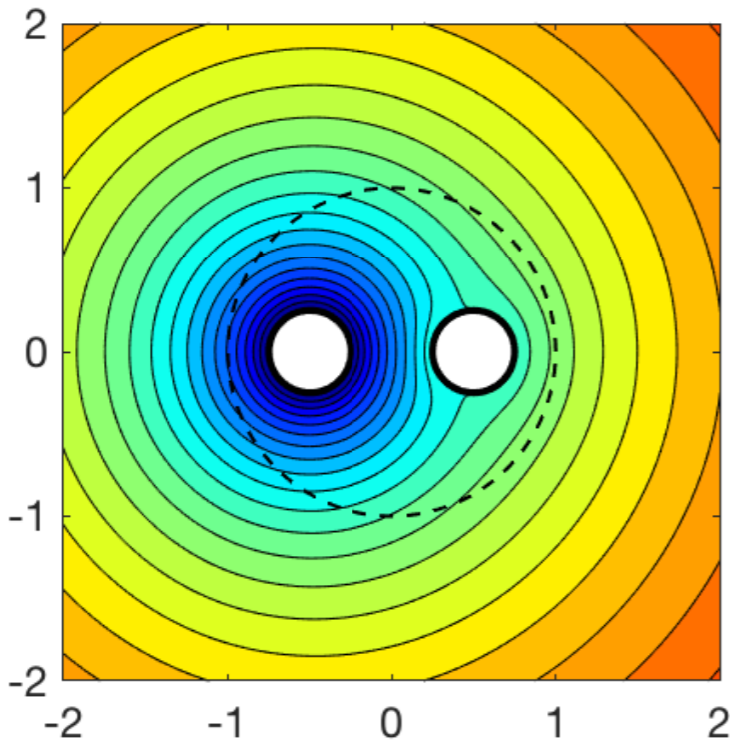
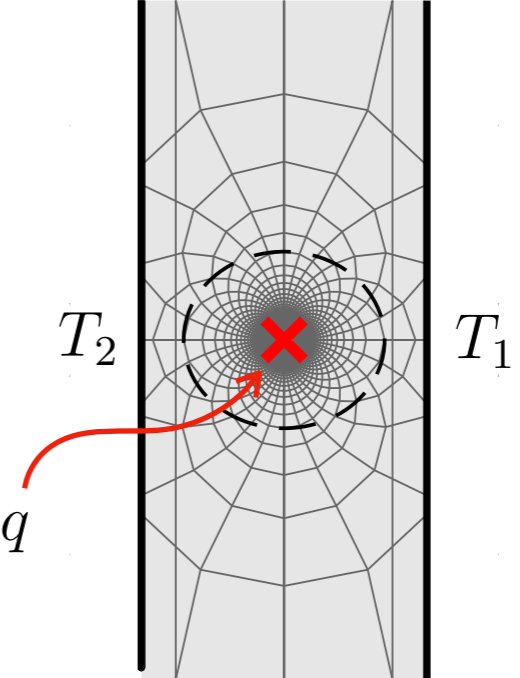
$$\tilde{R}_{12} \approx 0.42 \dots$$

$$\tilde{R}_b \approx 0.21 \dots$$

# Conformal mapping



$$\zeta = \log \left( \frac{z - a}{z + a} \right)$$



# Temperature of fluid in the pipes

Recall the pipe-flow energy balances:

$$\rho_f c_f V_f \frac{\partial T_1}{\partial z} = q_1 \quad \text{Downflow pipe}$$

$$-\rho_f c_f V_f \frac{\partial T_2}{\partial z} = q_2 \quad \text{Upflow pipe}$$

Add/subtract to write in terms of **mean** and **difference**:

$$\rho_f c_f V_f \frac{\partial T_m}{\partial z} = \frac{k}{\tilde{R}_{12}} T_\Delta$$

$$\rho_f c_f V_f \frac{\partial T_\Delta}{\partial z} = -q$$

$$T_m = \frac{1}{2} (T_1 + T_2) \quad T_\Delta = T_2 - T_1$$

Combine:

$$\ell_f^2 \frac{\partial^2 T_m}{\partial z^2} = -\frac{q}{k} \quad (D < z < D + H)$$

$$\ell_f^2 \frac{\partial T_m}{\partial z} = \frac{Q(t)}{k} \quad \text{at } z = D \quad \ell_f^2 \frac{\partial T_m}{\partial z} = 0 \quad \text{at } z = D + H$$

$$\ell_f = \tilde{R}_{12}^{1/2} \frac{\rho_f c_f V_f}{k}$$

$$T_\Delta = \tilde{R}_{12}^{1/2} \ell_f \frac{\partial T_m}{\partial z}$$

To relate  $q$  to  $T_m$  we must **match** the inner borehole solution with the **outer solution**.

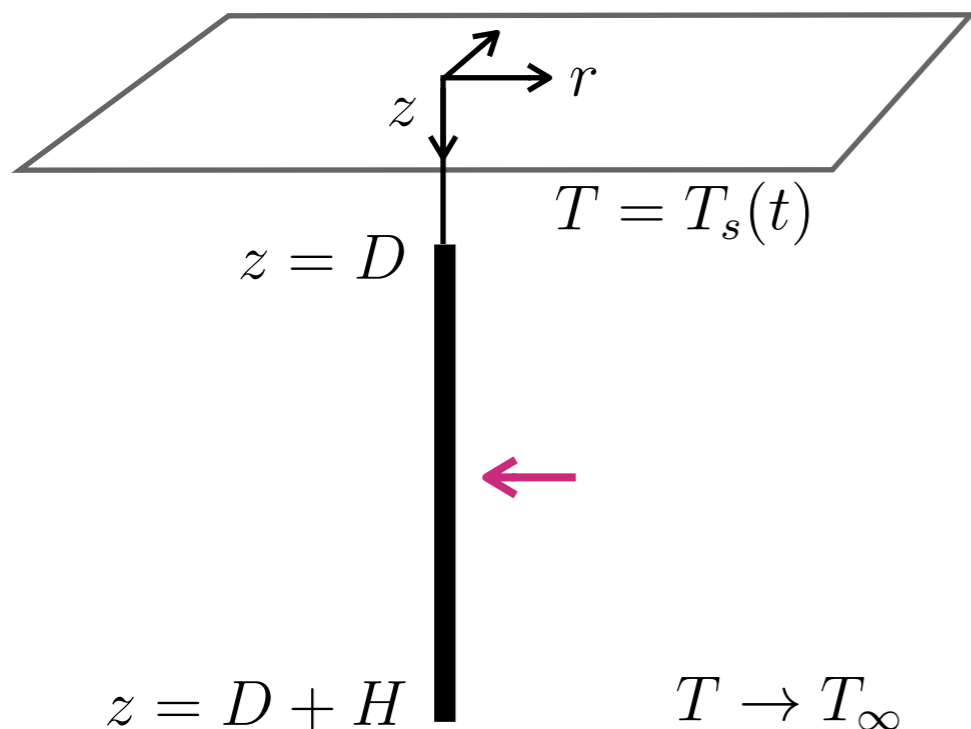
# Matching to the time-dependent problem

- The inner ‘borehole’ solution had far-field behaviour  $T \sim \frac{q}{2\pi k} \ln\left(\frac{r}{r_b}\right) + \tilde{R}_b \frac{q}{k} + T_m + o(1)$
- So the outer solution ‘sees’ the borehole as a line sink of strength  $q(z, t)$

More specifically, the temperature away from the borehole must satisfy

$$T(r, z, t) \sim \frac{q(z, t)}{2\pi k} \ln\left(\frac{r}{r_b}\right) + \tilde{R}_b \frac{q(z, t)}{k} + T_m(z, t) + o(1) \quad \text{as } r \rightarrow 0 \quad (D < z < D + H)$$

(this is a Robin-type condition, i.e. relating flux and temperature)

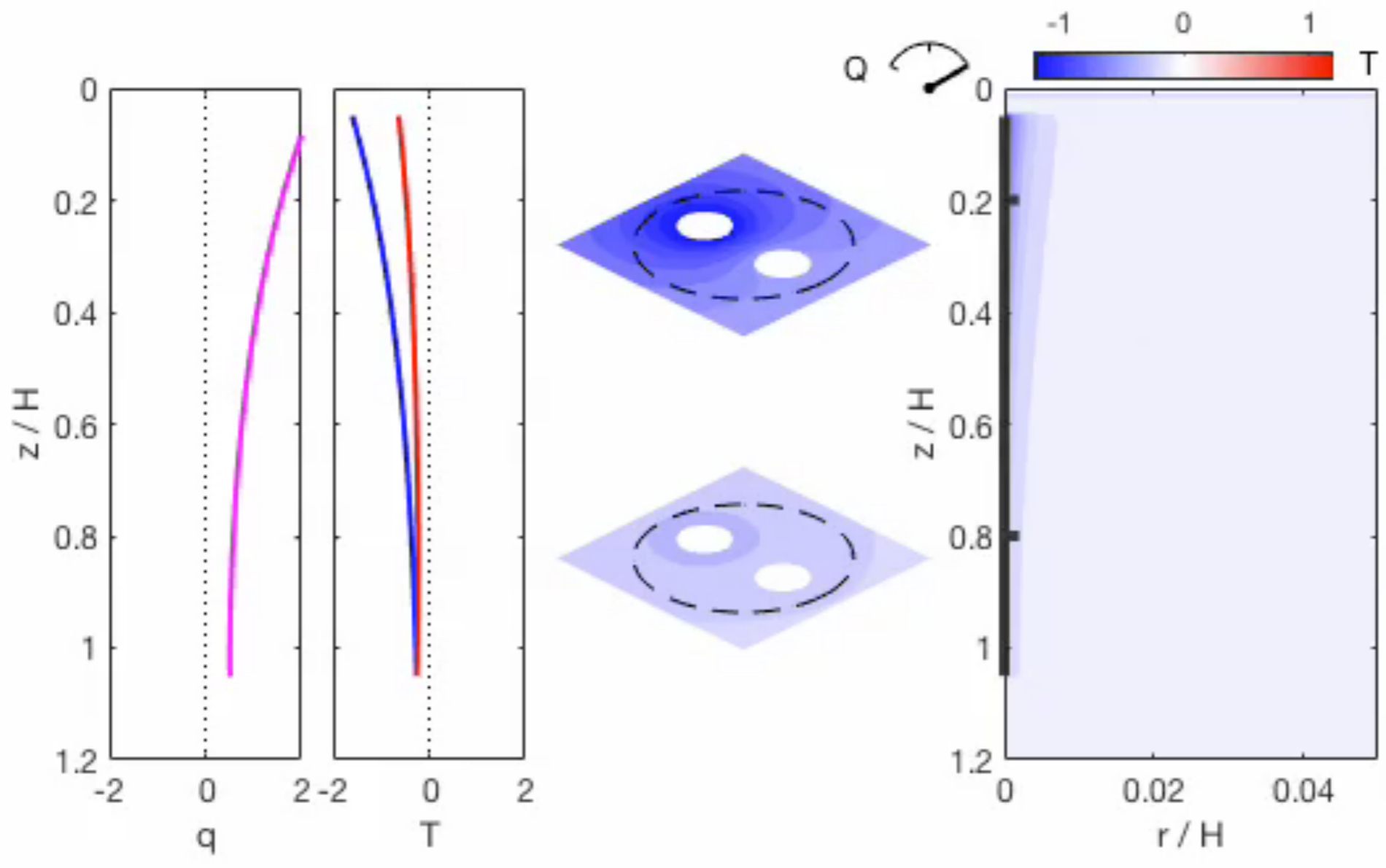


The rest of the outer problem is

$$\frac{\partial T}{\partial t} = \kappa \nabla^2 T \quad (z > 0)$$

$$T = T_s(t) \quad \text{at } z = 0 \quad T \rightarrow T_\infty \quad \text{as } r, z \rightarrow \infty$$

# Numerical solution - periodic heat exchange



# Approximate solutions - periodic heat exchange

- Decompose as Fourier components  $Q(t) = \hat{Q}e^{i\omega t}$   
 $T(r, z, t) = T_\infty + \hat{T}(r, z)e^{i\omega t}$        $q(z, t) = \hat{q}(z)e^{i\omega t}$

- Radial conduction** dominates, so

$$\hat{T}(r, z) = \frac{\hat{q}(z)}{2\pi k} K_0\left(\sqrt{i} r / \ell_\omega\right)$$

$$\sim \frac{\hat{q}(z)}{2\pi k} \ln\left(\frac{r}{r_b}\right) + \frac{\hat{q}(z)}{2\pi k} \left[ \gamma + \ln\left(\frac{r_b}{\sqrt{2}\ell_\omega}\right) + \frac{i\pi}{4} \right]$$

- Applying the **matching condition**,

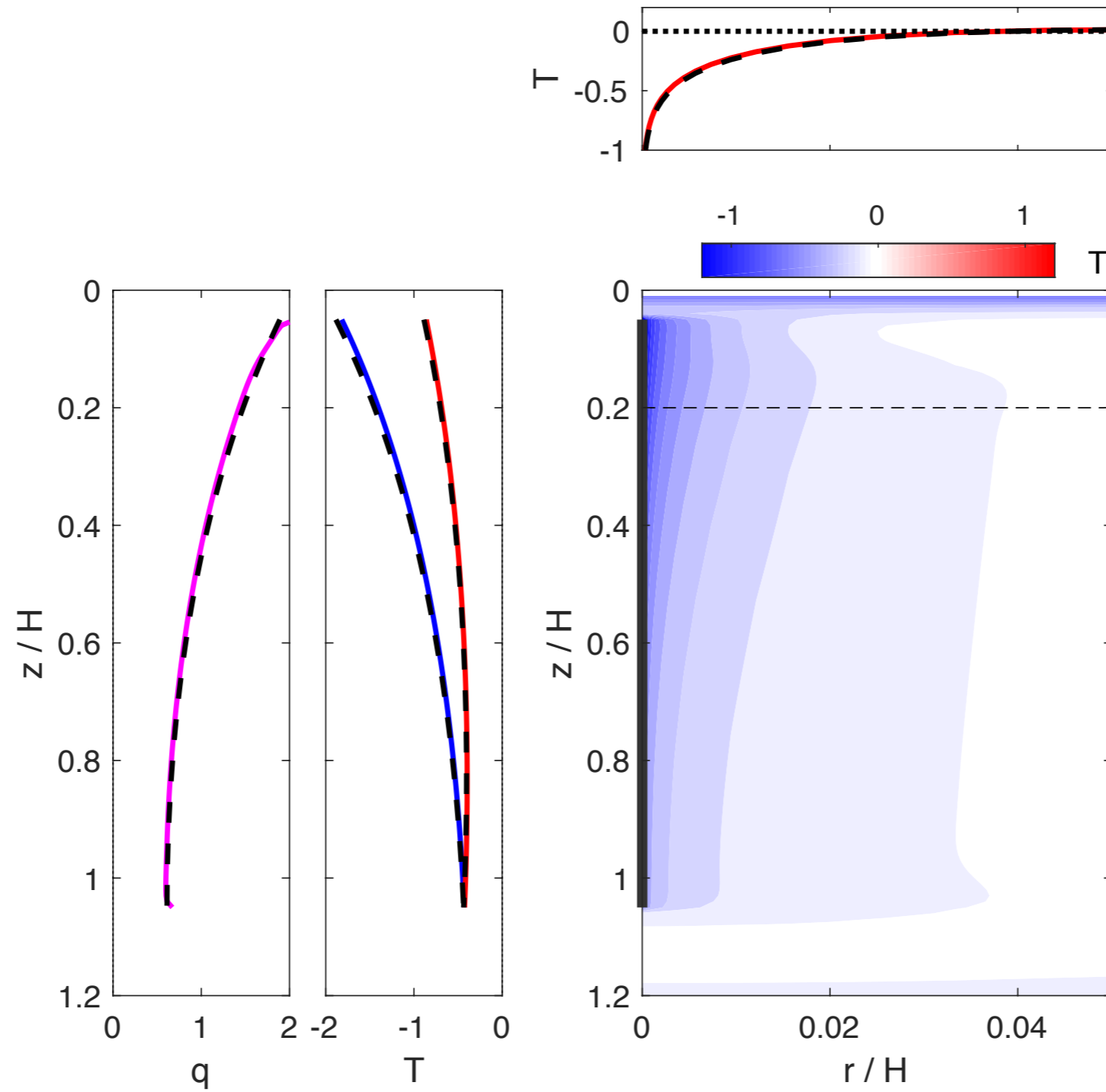
$$\hat{T}_m(z) = -\tilde{R}_\omega \frac{\hat{q}(z)}{k}$$

where  $\tilde{R}_\omega = \tilde{R}_b - \frac{\gamma}{2\pi} - \frac{1}{2\pi} \ln\left(\frac{r_b}{\sqrt{2}\ell_\omega}\right) - \frac{i}{8}$

- Combining with the pipe-flow problem  $\ell_f^2 \frac{\partial^2 \hat{T}_m}{\partial z^2} = -\frac{\hat{q}}{k}$  we can solve (analytically) for  $\hat{T}_m(z)$ ,  $\hat{q}(z)$ , etc.

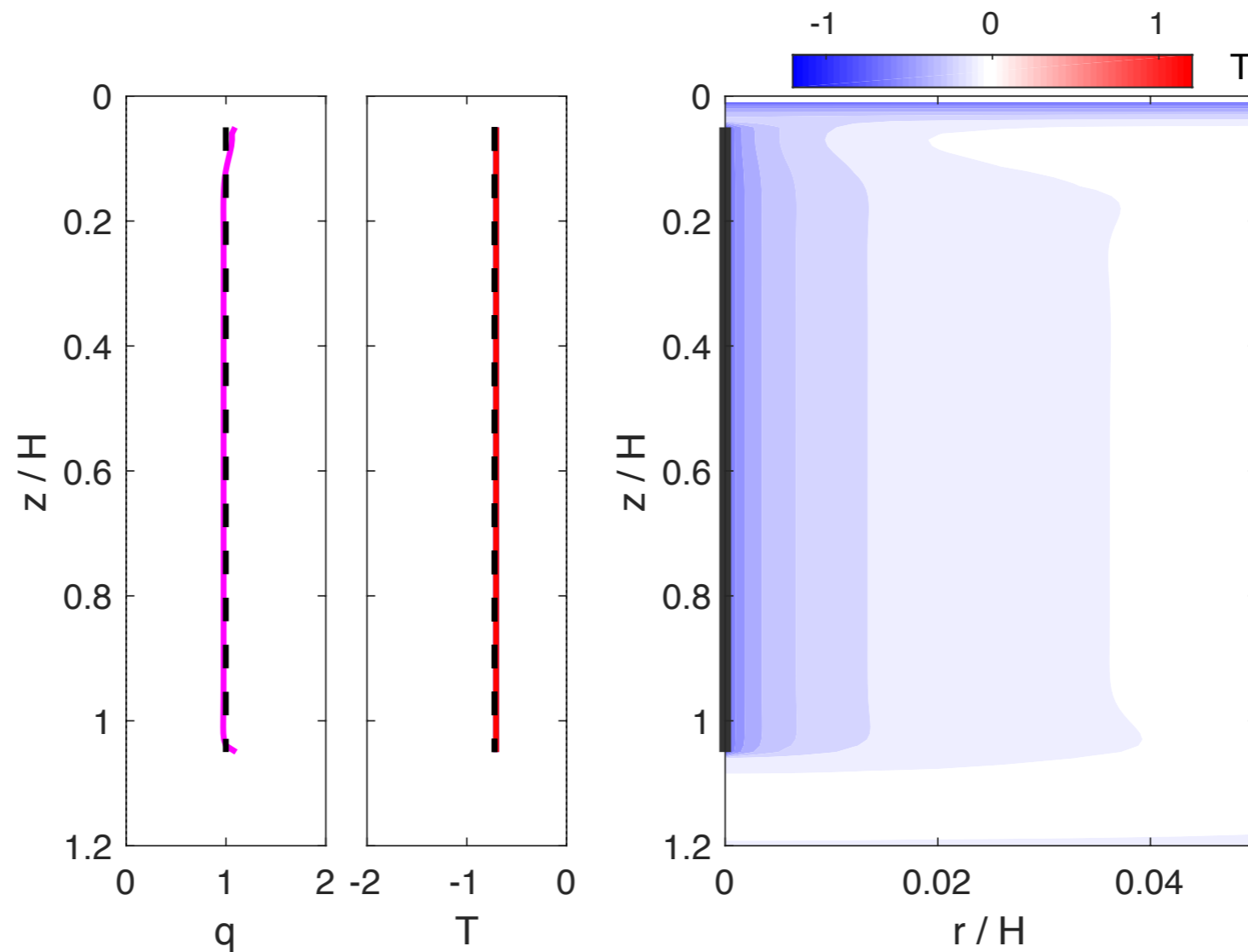
For example,  $\hat{T}_{1,2}(z = D) = -\frac{\hat{Q}}{kH} \left[ \tilde{R}_\omega^{1/2} \frac{H}{\ell_f} \coth\left(\frac{H}{\ell_f \tilde{R}_\omega^{1/2}}\right) \mp \tilde{R}_{12}^{1/2} \frac{H}{\ell_f} \right]$

# Comparison of numerical and approximate solutions



# Dependence on borehole design

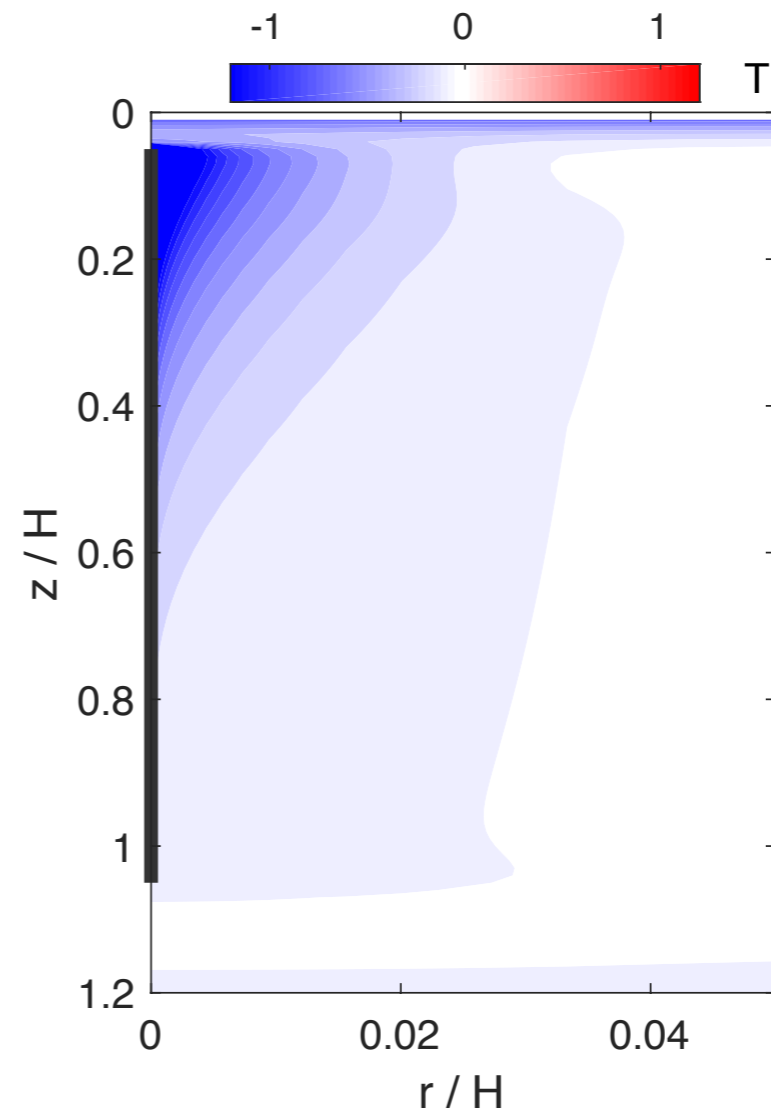
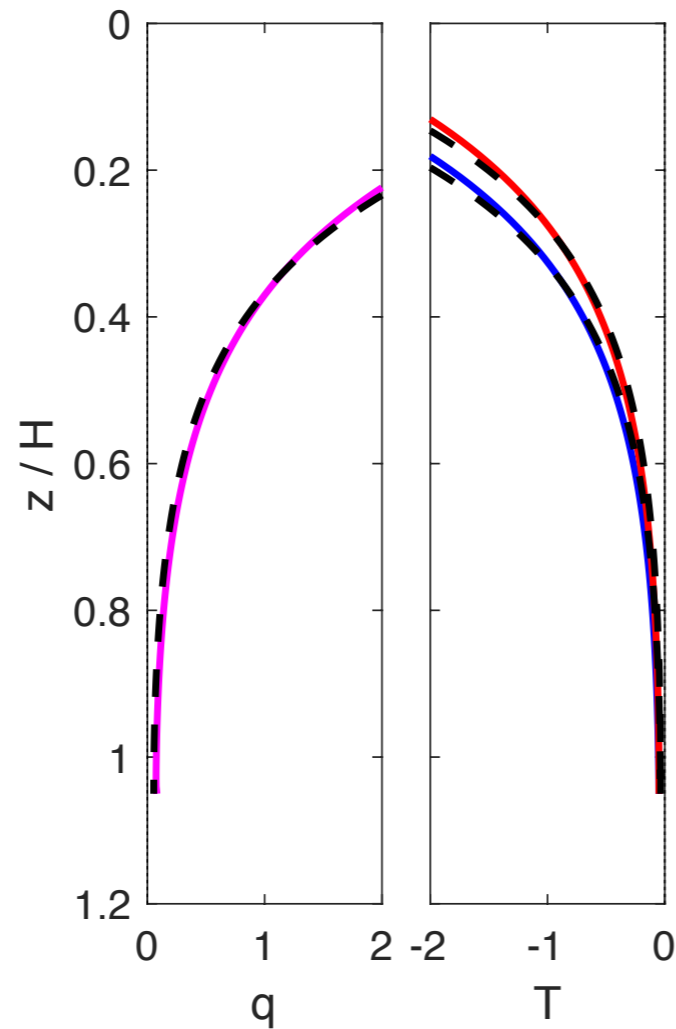
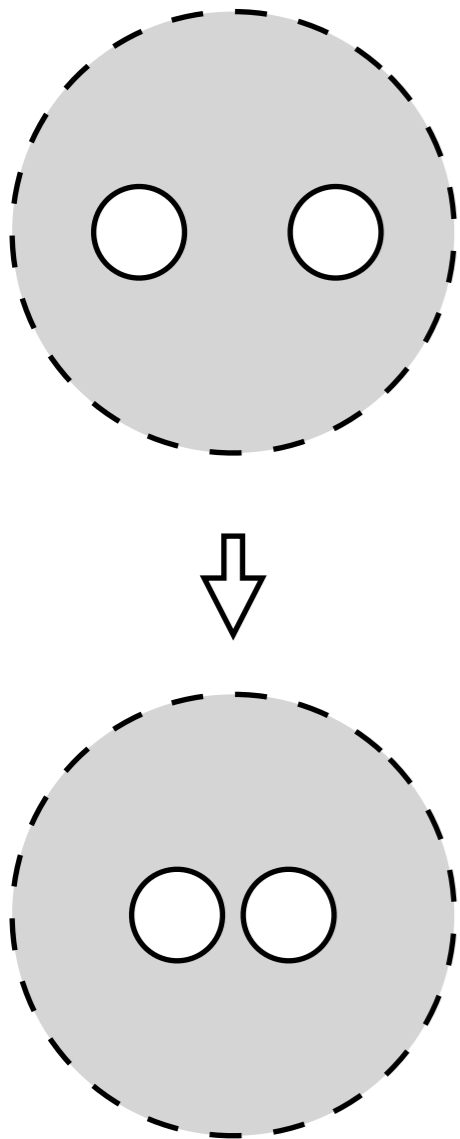
Faster fluid flow  $l_f \rightarrow \infty \Rightarrow \hat{T}_m = -\frac{\hat{Q}}{kH} \tilde{R}_\omega \approx \frac{\hat{Q}}{4\pi kH} \ln\left(\frac{\omega r_b^2}{\kappa}\right)$



$\Rightarrow$  **Rapid circulation** in the pipes leads to uniform pipe temperature and almost **uniform heat exchange** across the depth of the borehole.

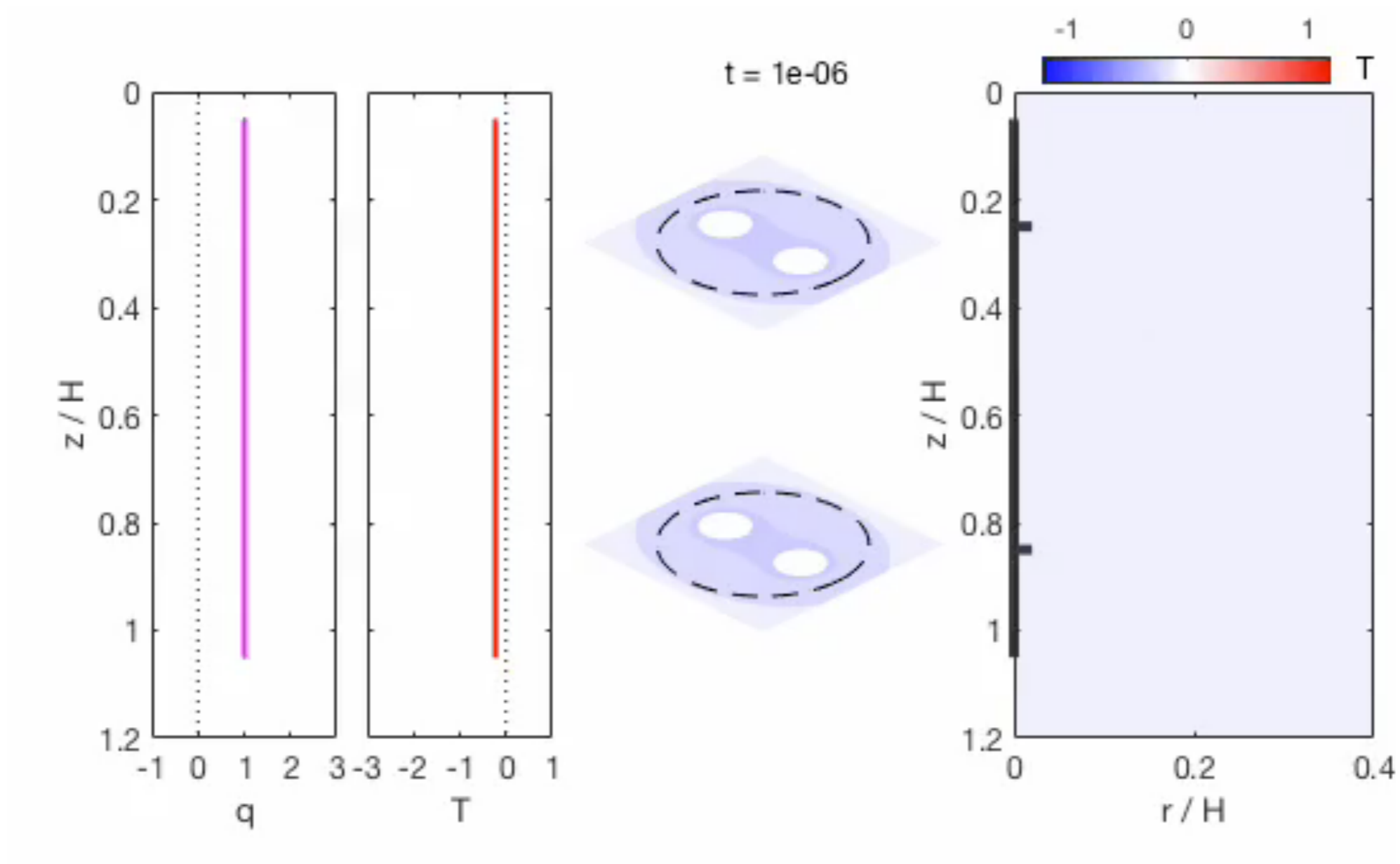
# Dependence on borehole design

Smaller  $\tilde{R}_{12}$



➔ More **efficient thermal connection** between pipes leads to a **concentration of heat exchange near the top of the borehole** (and larger temperature excursions).

# Numerical solution - constant heat extraction



# Early time behaviour

- For early times **radial conduction** dominates and the solution is approximately independent of depth, with  $q(z, t) = Q/H$

- The two-dimensional line-source solution applies:

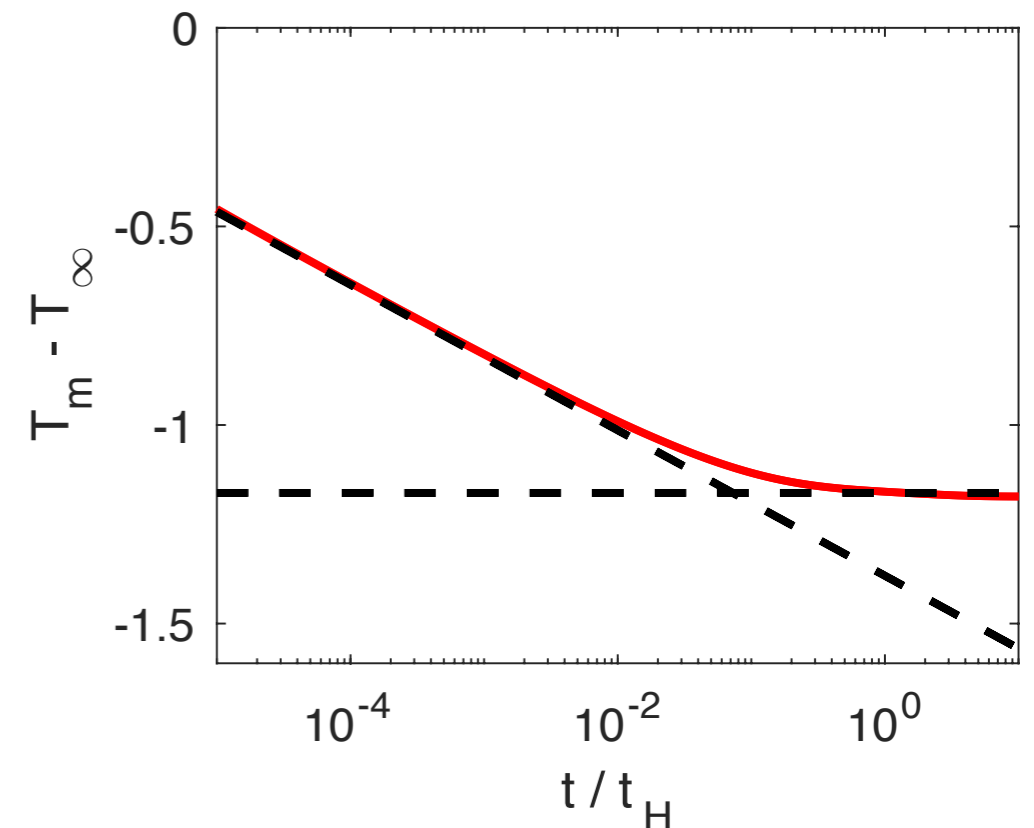
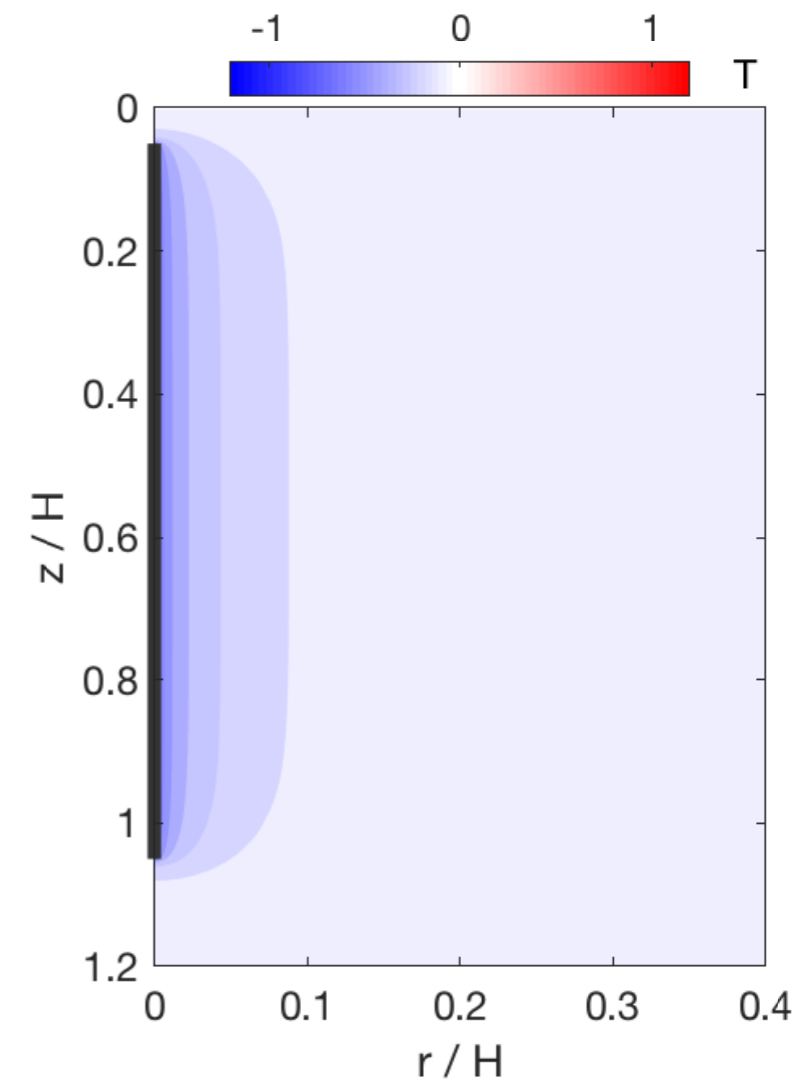
$$T(r, t) = T_\infty - \frac{q}{4\pi k} \text{E}_1 \left( \frac{r^2}{4\kappa t} \right)$$

$$\sim \frac{q}{2\pi k} \ln \left( \frac{r}{r_b} \right) + \frac{q}{4\pi k} \left[ \gamma + \ln \left( \frac{r_b^2}{4\kappa t} \right) \right] + T_\infty + o(1)$$

- Applying the **matching condition**,

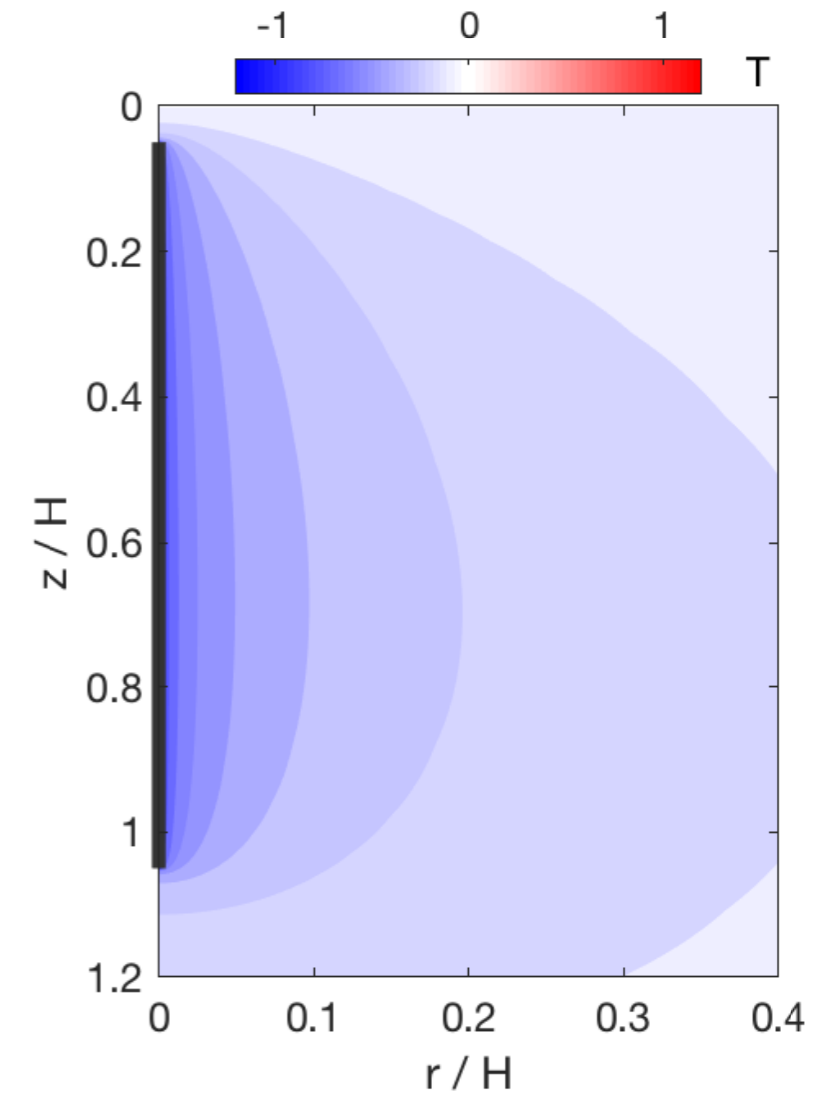
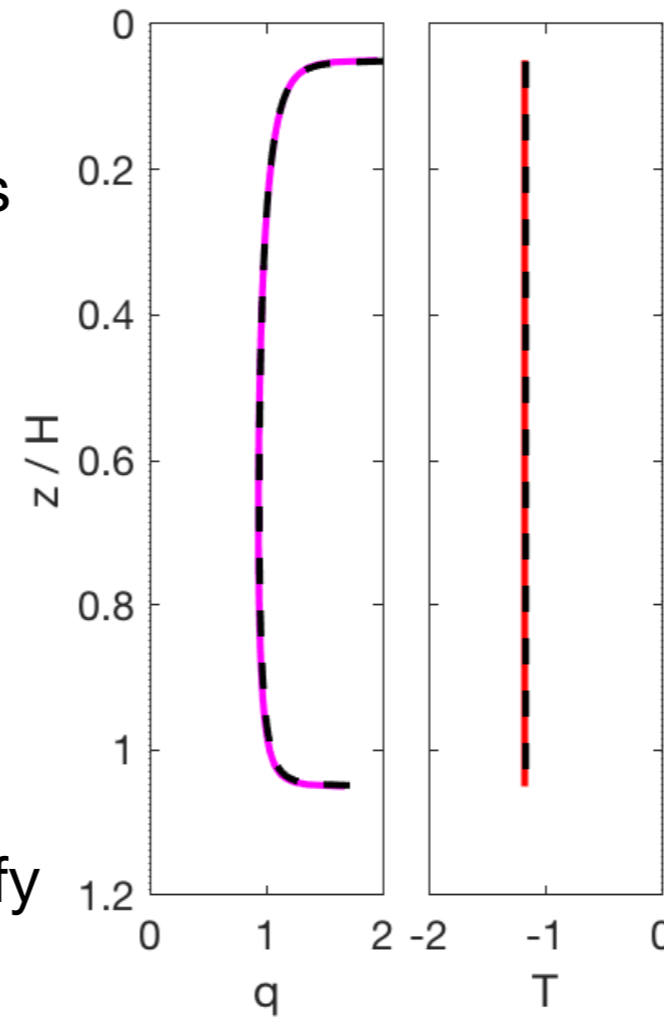
$$T_m(t) - T_\infty = -\tilde{R}_q(t) \frac{q}{k}$$

where  $\tilde{R}_q(t) = \tilde{R}_b - \frac{\gamma}{4\pi} - \frac{1}{4\pi} \ln \left( \frac{r_b^2}{4\kappa t} \right)$



# Steady state

- For long times axial conduction plays a significant role and the solution approaches a **steady state**.
- The heat flux is ultimately delivered from the surface (i.e. from the sun).
- Adding an image line-source to satisfy the surface boundary condition,



$$T(r, z) = T_{\infty} - \frac{1}{4\pi k} \int_D^{D+H} q(z') \left\{ \frac{1}{((z - z')^2 + r^2)^{1/2}} - \frac{1}{((z + z')^2 + r^2)^{1/2}} \right\} dz'$$

- Applying the matching condition yields an **integral equation** for  $q(z)$  :

$$T_m - T_{\infty} = -\frac{q(z)}{k} \left[ \tilde{R}_b + \frac{1}{4\pi} \ln \left\{ \frac{4(z^2 - D^2)(D + H - z)}{r_b^2(D + H + z)} \right\} \right] + \frac{1}{4\pi k} \int_D^{D+H} (q(z) - q(z')) \left\{ \frac{1}{|z - z'|} - \frac{1}{|z + z'|} \right\} dz'$$

with  $T_m$  determined from the constraint

$$\int_D^{D+H} q(z) dz = Q$$

# Borehole arrays

- For arrays of boreholes, the long-time approach to a steady state involves interaction of neighbouring boreholes.

- Use a **multiple-scales** approach:

$$\mathbf{X} = \frac{\mathbf{x} - (\mathbf{x} \cdot \hat{\mathbf{e}}_z)\hat{\mathbf{e}}_z}{\epsilon} \quad \tau = \frac{t}{\epsilon^2}$$

(horizontal coordinate on 'spacing' scale, and corresponding 'fast' timescale)

- Expand solution  $T(\mathbf{X}, \tau, \mathbf{x}, t) = T_0 + \epsilon^2 T_2 + \dots$

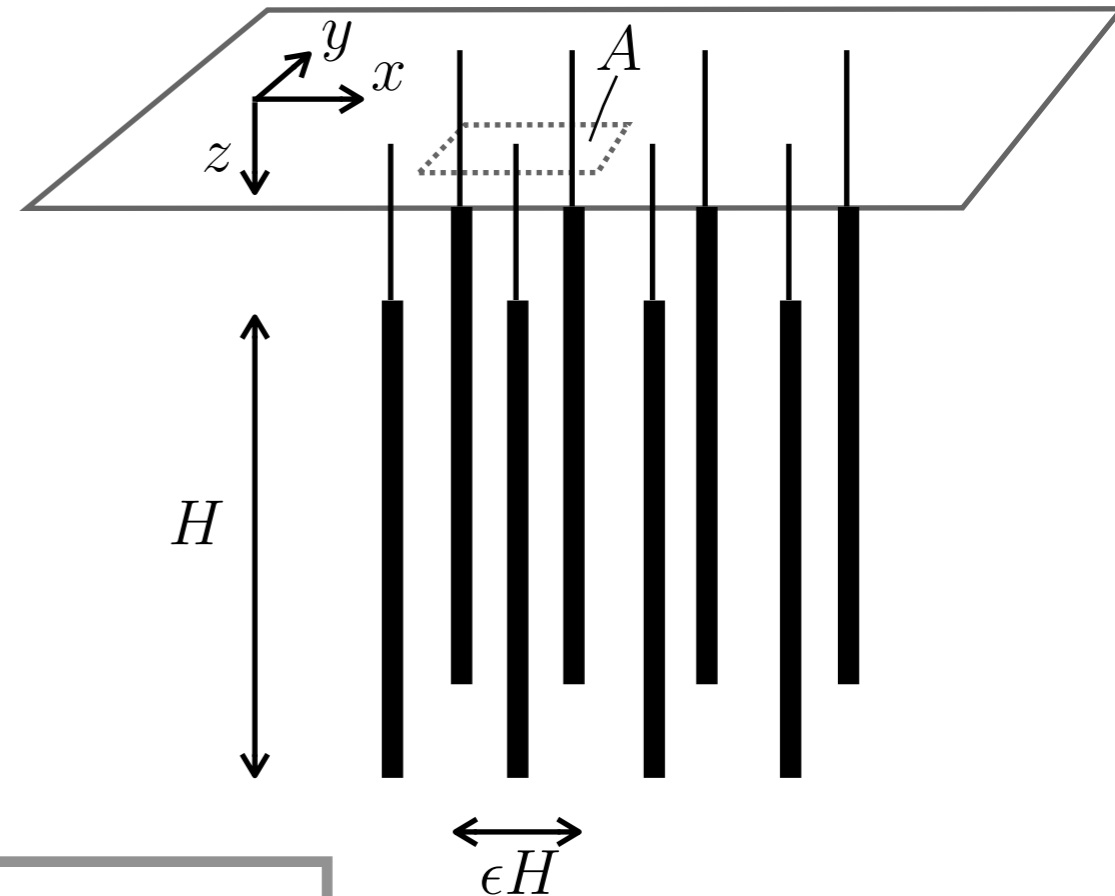
to heat equation with line sources:

$$\frac{1}{\kappa} \left( \frac{1}{\epsilon^2} \frac{\partial T}{\partial \tau} + \frac{\partial T}{\partial t} \right) = \frac{1}{\epsilon^2} \nabla_{\mathbf{X}}^2 T + \frac{2}{\epsilon} \nabla_x \cdot \nabla_{\mathbf{X}} T + \nabla_x^2 T - \frac{1}{\epsilon^2} \frac{q}{k} \delta(\mathbf{X})$$

where  $T \sim \frac{q}{2\pi k} \ln |\mathbf{X}| + \frac{q}{k} \frac{\tilde{R}}{\epsilon^2} + T_m + o(1)$  as  $|\mathbf{X}| \rightarrow 0$  with  $q(\tau, \mathbf{x}, t) = \epsilon^2 q_0 + \epsilon^4 q_2 + \dots$

$$T_m(\tau, t) = T_{m0} + \epsilon^2 T_{m2} + \dots$$

- We have assumed a distinguished limit in which  $\tilde{R} = \epsilon^2 \left[ \frac{1}{2\pi} \ln \left( \frac{\epsilon}{r_b} \right) + \tilde{R}_b \right] = O(1)$



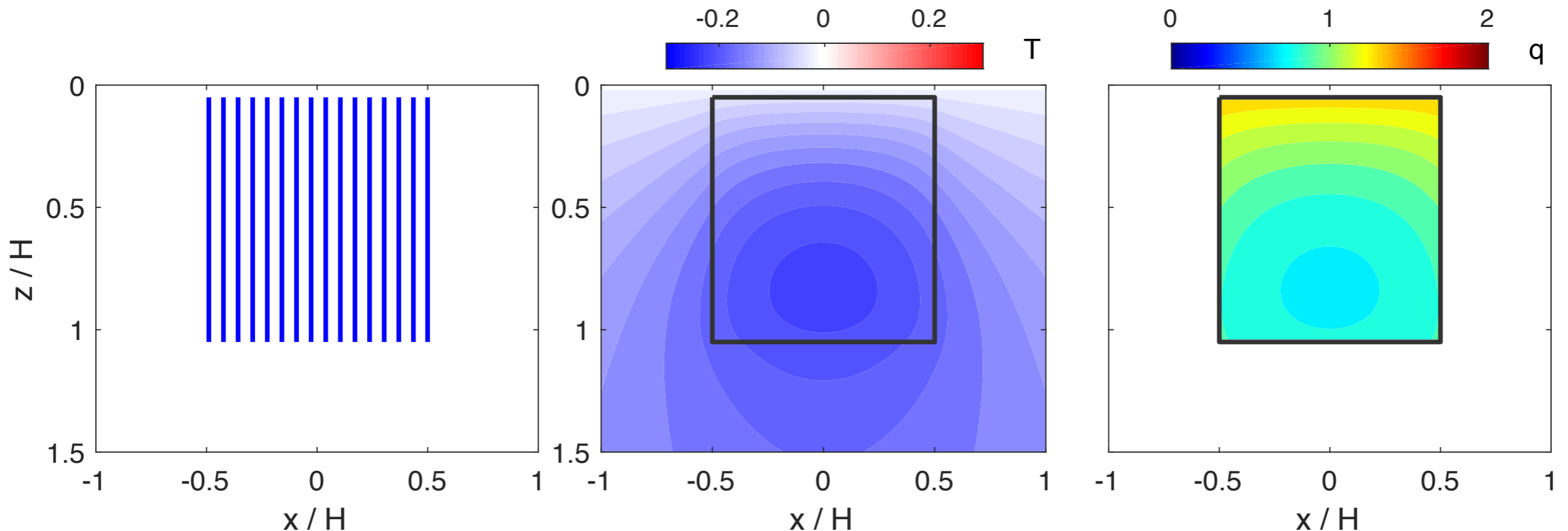
# Borehole arrays

- At leading order,  $T_0 = \bar{T}_0(\mathbf{x}, t)$       $\bar{q}_0(\mathbf{x}, t) = \frac{k}{\tilde{R}} (\bar{T}_0(\mathbf{x}, t) - \bar{T}_{m0}(t))$
- At next order, a solvability condition (requiring periodicity in  $\mathbf{X}$  and  $\tau$ ) gives

$$\boxed{\frac{1}{\kappa} \frac{\partial \bar{T}_0}{\partial t} = \nabla_x^2 \bar{T}_0 + \frac{\rho}{\tilde{R}} (\bar{T}_{m0} - \bar{T}_0)} \quad \text{where } \rho = \frac{1}{A} \text{ is the borehole density.}$$

i.e. the mean temperature field ‘sees’ the boreholes as a diffuse source/sink.

- Carrier fluid temperature  $\bar{T}_{m0}(t)$  is determined by the constraint  $\int \frac{\rho k}{\tilde{R}} (\bar{T}_0 - \bar{T}_{m0}) d\mathbf{x} = \bar{Q}$



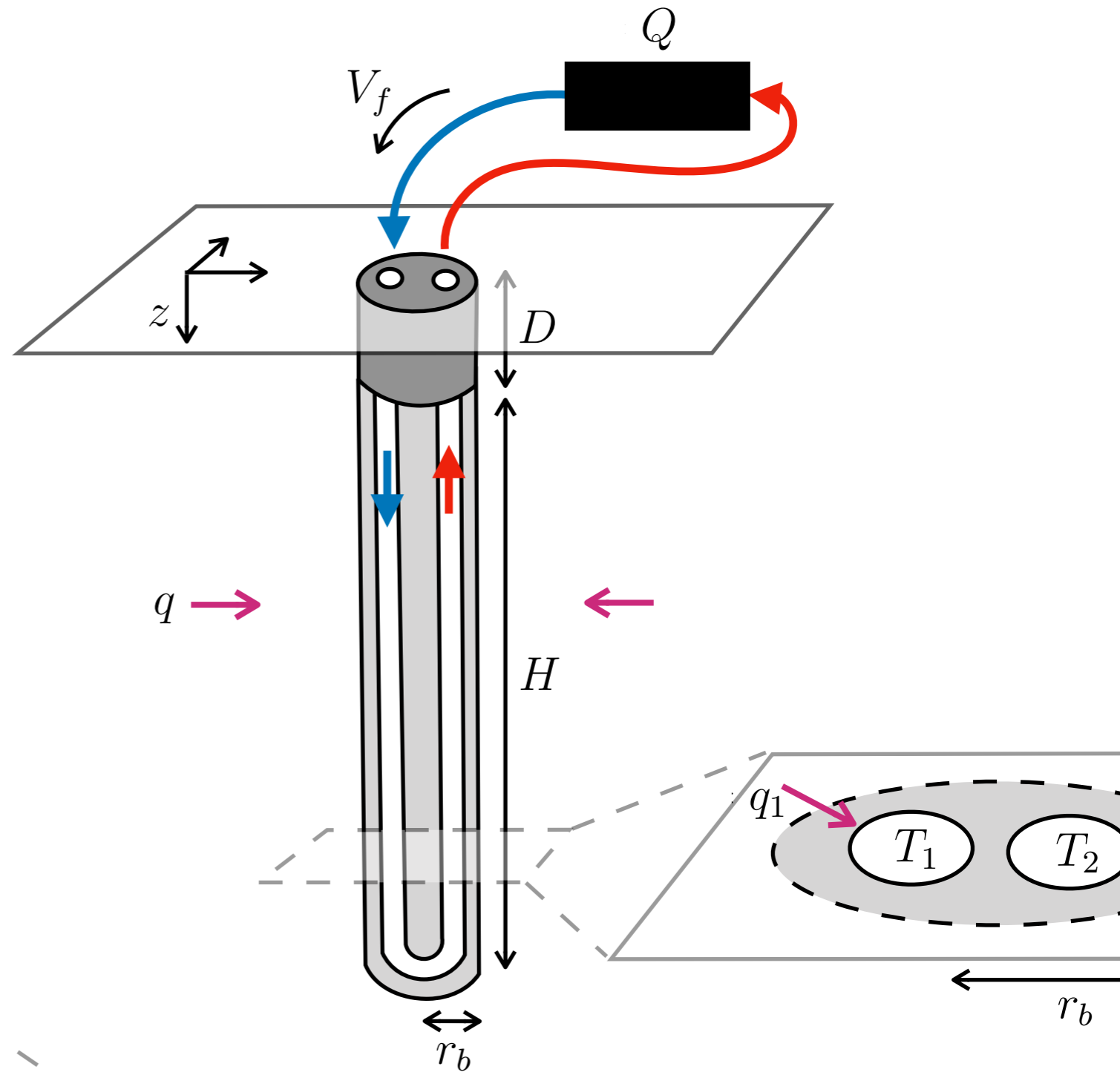
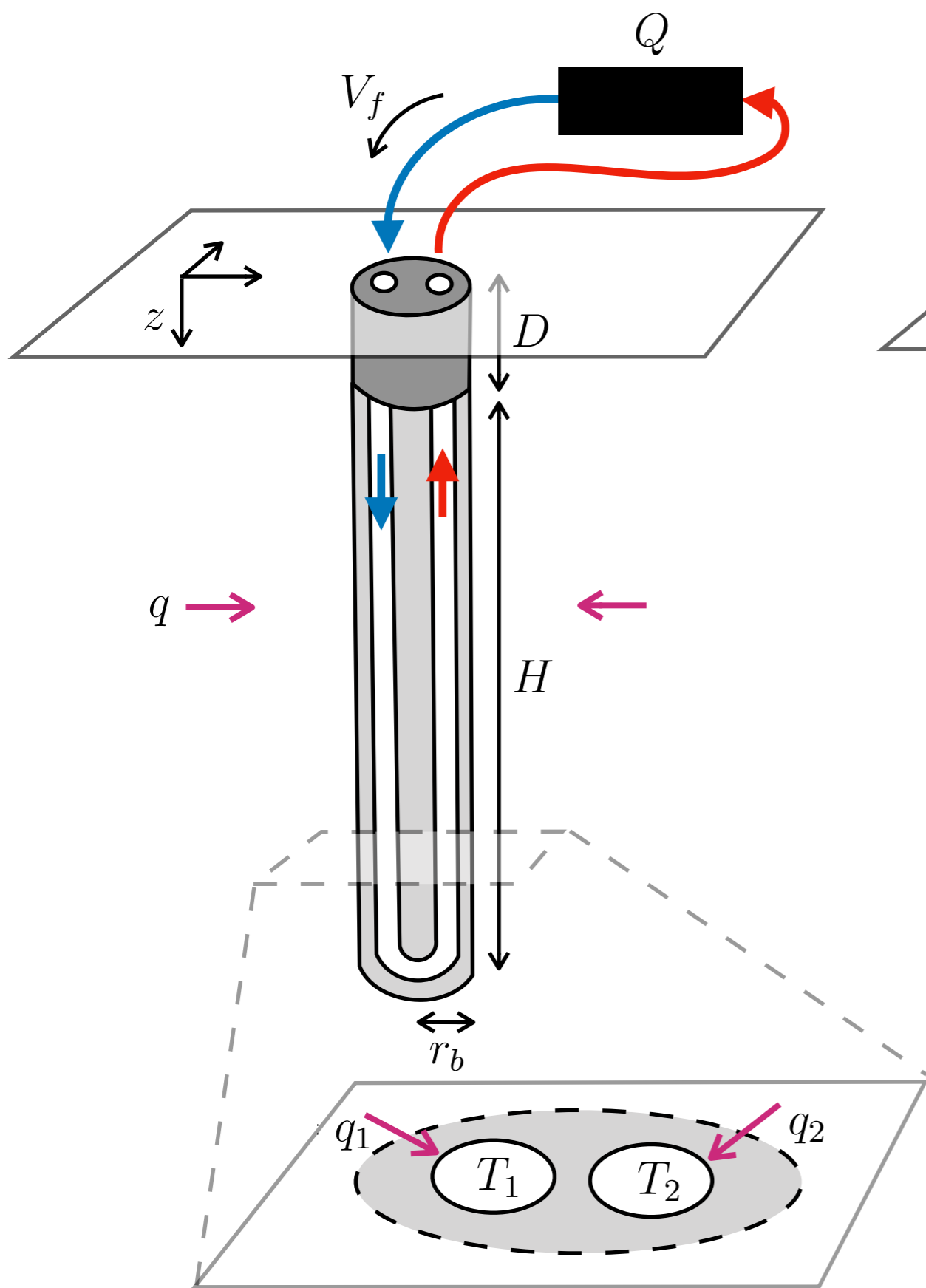
# Summary

- Accurate models of borehole heat exchangers are required for their appropriate design.
- Boreholes effectively act as **line sources / sinks of heat**, with strength related to the temperature of the circulating fluid,

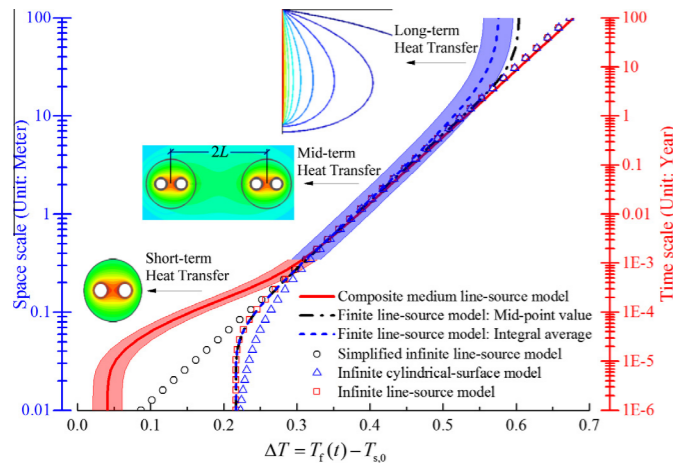
$$T \sim \frac{q}{2\pi k} \ln \left( \frac{r}{r_b} \right) + \tilde{R}_b \frac{q}{k} + T_m + o(1)$$

- For given heat extraction rates, fluid temperature scales with **length of borehole** and **logarithm of borehole radius**, and depends on **arrangements of pipes** in borehole.
- Too **slow circulation** of the fluid in the pipes leads to non-uniform temperature and heat extraction, with potential problems due to freezing and operation of heat pump.
- The temperature field in/around an **array of boreholes** can be approximated using a **homogenised model**.

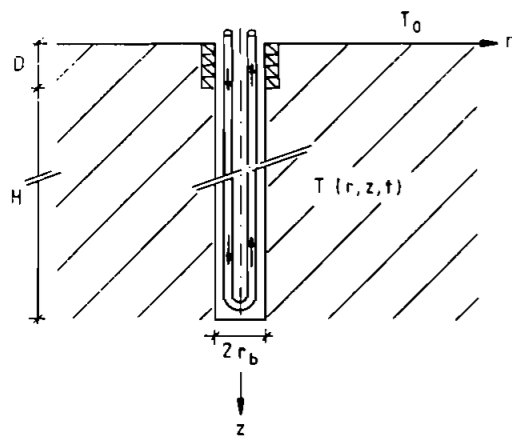




# Li & Lai 2015 - review of analytical methods

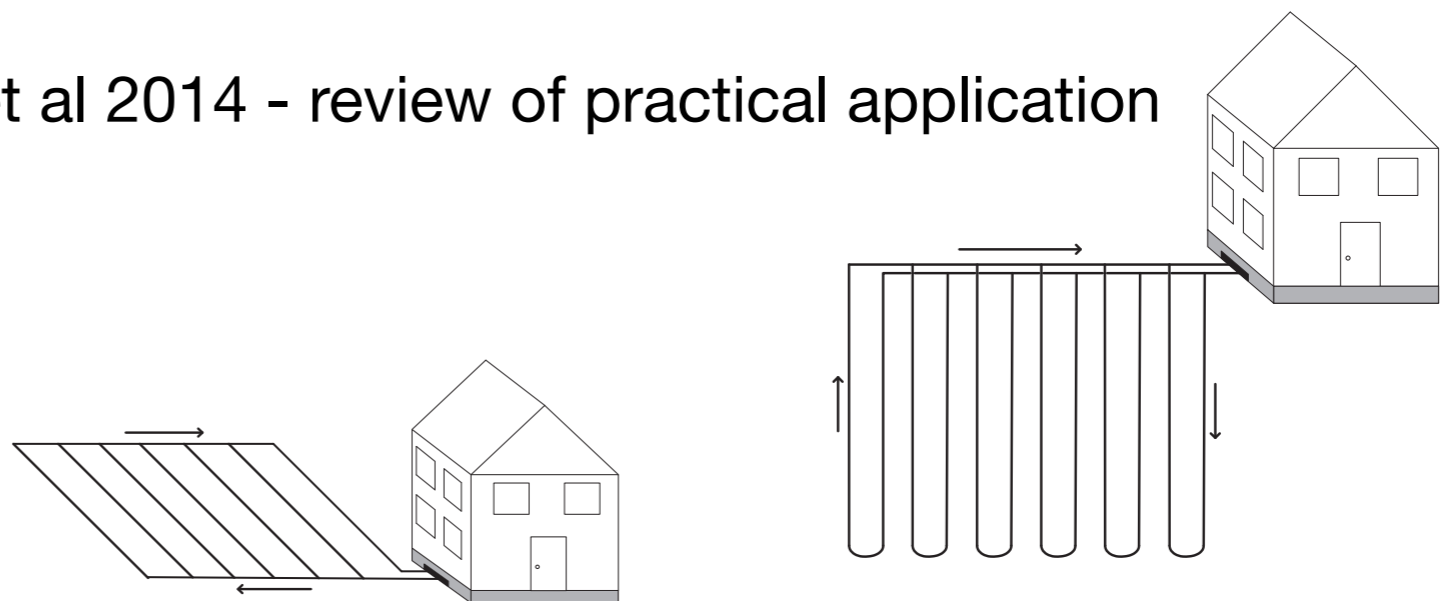


Claesson & Eskilson 1988 - early paper on general methods. Good.



Eskilson & Claesson 1988 - early numerical model

Self et al 2014 - review of practical application



Hermanns & Perez 2014 - asymptotic methods