# Introduction to Differential Geometry

Lecture 7 of 10: Riemannian manifolds

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2019 Nairobi Workshop in Algebraic Geometry

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# 7. Riemannian manifolds7.1. Riemannian metrics

In Euclidean geometry on  $\mathbb{R}^n$ , by Pythagoras' Theorem the distance between two points  $\mathbf{x} = (x^1, \dots, x^n)$  and  $\mathbf{y} = (y^1, \dots, y^n)$  is

$$d_{\mathbb{R}^n}(\mathbf{x},\mathbf{y}) = [(x^1 - y^1)^2 + \cdots + (x^n - y^n)^2]^{1/2}.$$

Note that squares of distances, rather than distances, behave nicely, algebraically. If  $\gamma = (\gamma^1, \ldots, \gamma^n) : [0, 1] \to \mathbb{R}^n$  is a smooth path in  $\mathbb{R}^n$ , then the *length* of  $\gamma$  is

$$I(\boldsymbol{\gamma}) = \int_0^1 \left[ \left( \frac{\mathrm{d}\gamma^1}{\mathrm{d}t} \right)^2 + \dots + \left( \frac{\mathrm{d}\gamma^n}{\mathrm{d}t} \right)^2 \right]^{1/2} \mathrm{d}t.$$

Note that this is unchanged under reparametrizations of [0, 1]: replacing t by an alternative coordinate  $\tilde{t}$  multiplies  $\frac{d\gamma^{i}}{dt}$  by  $\frac{dt}{d\tilde{t}}$  and dt by  $\frac{d\tilde{t}}{dt}$ , which cancel.



Regarding  $\gamma : [0,1] \to \mathbb{R}^n$  as a smooth map of manifolds, we have

$$I(\boldsymbol{\gamma}) = \int_0^1 g_{\mathbb{R}^n}|_{\boldsymbol{\gamma}(t)} \left(\frac{\mathrm{d}\boldsymbol{\gamma}}{\mathrm{d}t}(t), \frac{\mathrm{d}\boldsymbol{\gamma}}{\mathrm{d}t}(t)\right)^{1/2} \mathrm{d}t$$

where  $\frac{\mathrm{d}\gamma}{\mathrm{d}t}(t) \in T_{\gamma(t)}\mathbb{R}^n \cong \mathbb{R}^n$ , and  $g_{\mathbb{R}^n}|_{\mathbf{x}} = (\mathrm{d}x^1)^2 + \cdots + (\mathrm{d}x^n)^2$  in  $S^2 T^*_{\mathbf{x}} \mathbb{R}^n \cong S^2(\mathbb{R}^n)^*$  for  $\mathbf{x} \in \mathbb{R}^n$ , so that  $g_{\mathbb{R}^n} \in C^{\infty}(S^2 T^* \mathbb{R}^n)$ . This is a simple example of a Riemannian metric on a manifold, being used to define lengths of curves.

## Definition

Let X be a manifold. A Riemannian metric g (or just metric) is a smooth section of  $S^2T^*X$  such that  $g|_x \in S^2T^*_xX$  is a positive definite quadratic form on  $T_xX$  for all  $x \in X$ . In index notation we write  $g = g_{ab}$ , with  $g_{ab} = g_{ba}$ . We call (X,g) a Riemannian manifold. Let  $\gamma : [0,1] \to X$  be a smooth map, considered as a curve in X. The length of  $\gamma$  is

$$\mathcal{U}(\gamma) = \int_0^1 g|_{\gamma(t)} \Big( \frac{\mathrm{d}\gamma}{\mathrm{d}t}(t), \frac{\mathrm{d}\gamma}{\mathrm{d}t}(t) \Big)^{1/2} \mathrm{d}t.$$

If X is (path-)connected, we can define a metric  $d_g$  on X, in the sense of metric spaces, by

$$d_g(x,y) = \inf_{\substack{\gamma: [0,1] o X \ C^\infty: \ \gamma(0) = x, \ \gamma(1) = y}} I(\gamma).$$

Roughly,  $d_g(x, y)$  is the length of the shortest curve  $\gamma$  from x to y.



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## Restricting metrics to submanifolds

Let  $i: X \to Y$  be an immersion or an embedding, so that X is a submanifold of Y, and  $g \in C^{\infty}(S^2T^*Y) \subseteq C^{\infty}(\bigotimes^2 T^*Y)$  be a Riemannian metric on Y. Pulling back gives  $i^{\sharp}(g) \in C^{\infty}(S^2i^*(T^*Y))$ . We have a vector bundle morphism  $di: TX \to i^*(TY)$  on X, which is injective as i is an immersion, and a dual surjective morphism  $(di)^*: i^*(T^*Y) \to T^*X$ . Symmetrizing gives  $S^2(di)^*: S^2i^*(T^*Y) \to S^2T^*X$ . Define  $i^*(g) = (S^2(di)^*)(i^{\sharp}(g)) \in C^{\infty}(S^2T^*X)$ . This is defined for any smooth map  $i: X \to Y$ . But if i is an immersion, so that  $di: TX \to i^*(TY)$  is injective, then g positive definite implies  $i^*(g)$  positive definite, so  $i^*(g)$  is a Riemannian metric on X. We call it the *pullback* or *restriction* of g to X, and write it as  $g|_X$ .

## Submanifolds of Euclidean space

## Example

Define  $g_{\mathbb{R}^n} = (dx^1)^2 + \cdots + (dx^n)^2$  in  $C^{\infty}(S^2(\mathbb{R}^n)^*)$ . This is a Riemannian metric on  $\mathbb{R}^n$ , which induces the usual notions of lengths of curves and distance in Euclidean geometry. We call  $g_{\mathbb{R}^n}$  the *Euclidean metric* on  $\mathbb{R}^n$ .

## Example

Let X be any submanifold of  $\mathbb{R}^n$ . Then  $g_{\mathbb{R}^n}|_X$  is a Riemannian metric on X.

Since any manifold X can be embedded in  $\mathbb{R}^n$  for  $n \gg 0$  (Whitney Embedding Theorem), this implies

#### Corollary

Any manifold X admits a Riemannian metric.

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These ideas are important even in really basic applied mathematics, physics, geography, etc:

## Example

Model the surface of the earth as a sphere  $S_R^2$  of radius R = 6,371km about 0 in  $\mathbb{R}^3$ . Then the Riemannian metric  $g_E = g_{\mathbb{R}^3}|_{S_R^2}$  determines lengths of paths on the earth. Define spherical polar coordinates  $(\theta, \varphi)$  (latitude and longitude) on  $S_R^2 \setminus \{N, S\}$  by  $\mathbf{x}(\theta, \varphi) = (R \sin \theta \cos \varphi, R \sin \theta \sin \varphi, R \cos \theta)$ . Then  $g_E = ((dx^1)^2 + (dx^2)^2 + (dx^3)^2)|_{S^2}$   $= (d(R \sin \theta \cos \varphi))^2 + (d(R \sin \theta \sin \varphi))^2 + (d(R \cos \theta))^2$   $= R^2 [(\cos \theta \cos \varphi \, d\theta - \sin \theta \sin \varphi \, d\varphi)^2$   $+ (\cos \theta \sin \varphi \, d\theta + \sin \theta \cos \varphi \, d\varphi)^2 + (-\sin \theta \, d\theta)^2]$  $= R^2 [d\theta^2 + \sin^2 \theta \, d\varphi^2].$ 

## 7.2. The Levi-Civita connection

Any Riemannian manifold (X, g) has a natural connection  $\nabla$  on TX, called the *Levi-Civita connection*. This is known as the 'Fundamental Theorem of Riemannian Geometry'.

Theorem (The Fundamental Theorem of Riemannian Geometry)

Let (X, g) be a Riemannian manifold. Then there exists a unique connection  $\nabla$  on TX, such that  $\nabla$  is torsion-free, and the induced connection  $\nabla'$  on  $\bigotimes^2 T^*X$  satisfies  $\nabla'g = 0$ . We call  $\nabla$  the **Levi-Civita connection** of g.

Usually we write  $\nabla$  for all the induced connections on  $\bigotimes^k TX \otimes \bigotimes^l T^*X$ , without comment. So  $\nabla$  allows us to differentiate all tensors T on a Riemannian manifold (X, g), without making any arbitrary choices.

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## Proof of the Fundamental Theorem

The theorem is local in X, so it is enough to prove it in coordinates  $(x^1, \ldots, x^n)$  defined on open  $U \subseteq X$ . Let  $\nabla$  be a connection on TX, with Christoffel symbols  $\Gamma^a_{bc} : U \to \mathbb{R}$ , as in §6.5, so  $g = \sum_{a,b=1}^n g_{ab} dx^a \otimes dx^b$  with  $g_{ab} = g_{ba}$ . Then  $(g_{ab})^n_{a,b=1}$  is a symmetric, positive-definite, invertible matrix of functions on U. Write  $(g^{ab})^n_{a,b=1}$  for the inverse matrix of functions. Then  $\nabla$  torsion-free is equivalent to

$$\Gamma^{a}_{bc} = \Gamma^{a}_{cb}, \tag{7.1}$$

and  $\nabla'_{c}g_{ab} = 0$  is equivalent to

$$\frac{\partial}{\partial x_c}g_{ab} - \Gamma^d_{ac}g_{db} - \Gamma^d_{bc}g_{ad} = 0.$$
 (7.2)

Calculation shows that (7.1)–(7.2) have a unique solution for  $\Gamma_{bc}^a$ :

$$\Gamma^{a}_{bc} = \frac{1}{2} g^{ad} \left( \frac{\partial}{\partial x_{c}} g_{db} + \frac{\partial}{\partial x_{b}} g_{dc} - \frac{\partial}{\partial x_{d}} g_{bc} \right).$$
(7.3)

This gives the unique connection  $\nabla$  we want.

## 7.3. The Riemann curvature tensor

Let (X, g) be a Riemannian manifold. Then by the FTRG we have a natural connection  $\nabla$  on TX. As in §6.3, the curvature of  $\nabla$  is  $R \in C^{\infty}(TX \otimes T^*X \otimes \Lambda^2 T^*X)$ , which is called the *Riemann curvature tensor* of g. In index notation  $R = R^a_{bcd}$ , and it is characterized by the formula for all vector fields  $u, v, w \in C^{\infty}(TX)$ 

$$R^{a}_{bcd}u^{b}v^{c}w^{d}$$

$$=v^{c}\nabla_{c}(w^{d}\nabla_{d}u^{a})-w^{c}\nabla_{c}(v^{d}\nabla_{c}u^{a})-(v^{c}\nabla_{c}w^{d}-w^{c}\nabla_{c}v^{d})\nabla_{d}u^{a}$$

$$=v^{c}w^{d}(\nabla_{c}\nabla_{d}u^{a}-\nabla_{d}\nabla_{c}u^{a}),$$
(7.4)

using  $[v, w]^d = v^c \nabla_c w^d - w^c \nabla_c v^d$  as  $\nabla$  is torsion-free. Thus

$$R^{a}_{bcd}u^{b} = (\nabla_{c}\nabla_{d} - \nabla_{d}\nabla_{c})u^{a}.$$
(7.5)

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## Riemann curvature in coordinates

Let (X, g) be a Riemannian manifold with Riemann curvature R, and  $(x^1, \ldots, x^n)$  be coordinates on  $U \subseteq X$ . From (6.7) we have

$$R^{a}_{bcd} = \frac{\partial}{\partial x^{c}} \Gamma^{a}_{bd} - \frac{\partial}{\partial x^{d}} \Gamma^{a}_{bc} + \Gamma^{a}_{ec} \Gamma^{e}_{bd} - \Gamma^{a}_{ed} \Gamma^{e}_{bc}.$$

Substituting in (7.3) gives an expression for R in coordinates. This is rather long, but we expand the first part:

$$R^{a}_{bcd} = \frac{1}{2}g^{ae} \left( \frac{\partial^{2}g_{ed}}{\partial x^{b}\partial x^{c}} + \frac{\partial^{2}g_{bc}}{\partial x^{e}\partial x^{d}} - \frac{\partial^{2}g_{ec}}{\partial x^{b}\partial x^{d}} - \frac{\partial^{2}g_{bd}}{\partial x^{e}\partial x^{c}} \right) + \Gamma^{a}_{ec}\Gamma^{e}_{bd} - \Gamma^{a}_{ed}\Gamma^{e}_{bc}.$$

As  $\Gamma_{bc}^{a}$  involves  $g_{ab}, g^{ab}$  and  $\frac{\partial}{\partial x_{c}}g_{ab}$ , we see that  $R_{bcd}^{a}$  depends on  $g_{ab}$ , its first and second derivatives, and its inverse  $g^{ab}$ .

## Flat and locally Euclidean metrics

A Riemannian metric g is called *flat* if it has Riemann curvature  $R_{bcd}^a = 0$ . In a similar way to Theorem 6.2, one can prove:

#### Theorem

Let (X,g) be a flat Riemannian manifold. Then for each  $x \in X$ , there exist coordinates  $(x^1, \ldots, x^n)$  on an open neighbourhood U of x in X with  $g|_U = (dx^1)^2 + \cdots + (dx^n)^2$ .

That is, a flat Riemannian manifold (X, g) is locally isometric to Euclidean space  $(\mathbb{R}^n, g_{\mathbb{R}^n})$ . Here an *isometry* of Riemannian manifolds (X, g), (Y, h) is a diffeomorphism  $f : X \to Y$  with  $f^*(h) = g$ . ('Iso-metry' from Greek 'same distance'.)

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## Symmetries of Riemann curvature

It is often more convenient to work with  $R_{abcd} = g_{ae}R^e_{bcd}$  (also called the Riemann curvature) rather than  $R^a_{bcd}$ . Since  $R^a_{bcd} = g^{ae}R_{abcd}$ , the two are equivalent.

#### Theorem

Let (X,g) be a Riemannian manifold, with Riemann curvature  $R_{abcd}$ . Then  $R_{abcd}$  and  $\nabla_e R_{abcd}$  satisfy the equations

$$R_{abcd} = -R_{abdc} = -R_{bacd} = R_{cdab}, \qquad (7.6)$$

$$R_{abcd} + R_{adbc} + R_{acdb} = 0, \tag{7.7}$$

and 
$$\nabla_e R_{abcd} + \nabla_c R_{abde} + \nabla_d R_{abec} = 0.$$
 (7.8)

This can be proved using the coordinate expressions for  $R_{abcd}$ ,  $\nabla_e R_{abcd}$ . Here (7.7) and (7.8) are the *first* and *second* Bianchi identities, as in §6.5 with torsion  $T_{bc}^a = 0$ .

## Ricci curvature and scalar curvature

Let (X, g) be a Riemannian manifold. The Riemann curvature tensor  $R^a_{bcd}$  of g is a complicated object. Often it is helpful to work with components of  $R^a_{bcd}$  which are simpler.

#### Definition

The Ricci curvature of g is  $R_{ab} = R_{acb}^c = g^{cd}R_{cadb}$ . By (7.6) it satisfies  $R_{ab} = R_{ba}$ , so  $R_{ab} \in C^{\infty}(S^2T^*X)$ . The scalar curvature of g is  $s = g^{ab}R_{ab} = g^{ab}R_{acb}^c$ , so that  $s : X \to \mathbb{R}$  is smooth.

Here  $R_{ab}$  is the trace of  $R_{bcd}^a$ , and s the trace of  $R_{ab}$ . We say that g is *Einstein* if  $R_{ab} = \lambda g_{ab}$  for  $\lambda \in \mathbb{R}$ , and *Ricci-flat* if  $R_{ab} = 0$ . Einstein and Ricci-flat metrics are important for many reasons; they arise in Einstein's General Relativity.

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## 7.4. Volume forms and integrating functions

Let (X,g) be a Riemannian manifold, of dimension n. Then g induces norms  $|.|_g$  on the bundles of k-forms  $\Lambda^k T^*X$ , and in particular on  $\Lambda^n T^*X$ .

If X is also oriented (§5.2) then  $\Lambda^n T^*X \setminus 0$  is divided into positive forms and negative forms, where positive forms are all proportional by positive constants.

Therefore there is a unique positive *n*-form  $dV_g \in C^{\infty}(\Lambda^n T^*X)$ with  $|dV_g|_g = 1$ . We call  $dV_g$  the volume form of g.

It can be characterized as follows: if  $x \in X$  and  $(v_1, \ldots, v_n)$  is an oriented basis of  $T_x X$  which is orthonormal w.r.t.  $g|_x$ , then  $\mathrm{d}V_g \cdot (v_1 \wedge \cdots \wedge v_n) = 1$ . In local coordinates  $(x^1, \ldots, x^n)$  we have  $\mathrm{d}V_g = \pm \left[\det(g_{ab})_{a,b=1}^n\right]^{1/2} \mathrm{d}x^1 \wedge \cdots \wedge \mathrm{d}x^n$ ,

where the sign depends on whether  $\frac{\partial}{\partial x^1}, \ldots, \frac{\partial}{\partial x^n}$  is an oriented or anti-oriented basis of  $T_x X$ .

Let (X,g) be an oriented Riemannian manifold (say compact, for simplicity), and  $f: X \to \mathbb{R}$  a smooth function. Then  $f \, \mathrm{d}V_g$  is an *n*-form on X, with X oriented, so as in §5.3 we have the integral

$$\int_X f \,\mathrm{d} V_g.$$

#### Remark

Changing the orientation of X changes the sign of both the operator  $\int_X$ , and of the *n*-form  $dV_g$ , so  $\int_X f \, dV_g$  is unchanged. Thus the orientation on X is not really important. We ignore the orientation issue from now on.

Thus, we can integrate functions on Riemannian manifolds.

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We can use these ideas to define *Lebesgue spaces* and *Sobolev* spaces, Banach spaces of functions (or tensors, etc.) on Riemannian manifolds, which are important in many p.d.e. problems. Let (X, g) be a Riemannian manifold, not necessarily compact. We say a smooth function  $f : X \to \mathbb{R}$  is *compactly-supported* if  $\operatorname{supp} f = \{x \in X : f(x) \neq 0\}$  is contained in a compact subset of X. Write  $C_{cs}^{\infty}(X)$  for the vector space of compactly supported functions  $f : X \to \mathbb{R}$ . For real  $p \ge 1$  and integer  $k \ge 0$ , define the *Lebesgue norm*  $\|.\|_{L^p}$ and *Sobolev norm*  $\|.\|_{L^p}$  on  $C_{cs}^{\infty}(X)$  by

$$\|f\|_{L^p} = \left(\int_X |f|^p \mathrm{d}V_g\right)^{1/p}, \quad \|f\|_{L^p_k} = \left(\sum_{j=0}^k \int_X |\nabla^j f|^p \mathrm{d}V_g\right)^{1/p}.$$

Then define the Banach spaces  $L^p(X)$  and  $L^p_k(X)$  to be the completions of  $C^{\infty}_{cs}(X)$  w.r.t. the norms  $\|.\|_{L^p}$  and  $\|.\|_{L^p_k}$ . Note that  $L^p(X) = L^p_0(X)$ .  $L^2(X)$  and  $L^2_k(X)$  are Hilbert spaces.

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We define Banach spaces of tensors such as  $L_k^p(\bigotimes^l TX \otimes \bigotimes^m T^*X)$  by completion of  $C_{cs}^\infty(\bigotimes^l TX \otimes \bigotimes^m T^*X)$  in the same way.

#### Example

Let (X,g) be a compact, connected Riemannian manifold. The Laplacian  $\Delta : C^{\infty}(X) \to C^{\infty}(X)$  may be defined by  $\Delta f = -g^{ab} \nabla_a \nabla_b f$ . Then  $\Delta$  extends uniquely to a bounded linear operator on Banach spaces  $\Delta : L_{k+2}^p(X) \to L_k^p(X)$ . It is known that if p > 1 and  $k \ge 0$  then  $\Delta : \{f \in L_{k+2}^p(X) : \int_X f \, \mathrm{d}V_g = 0\} \to \{h \in L_k^p(X) : \int_X h \, \mathrm{d}V_g = 0\}$  is an isomorphism of topological vector spaces. That is, if  $h \in L_k^p(X)$  then the linear elliptic p.d.e.  $\Delta f = h$  has a solution f in  $L_{k+2}^p(X)$  iff  $\int_X h \, \mathrm{d}V_g = 0$ , and if  $\int_X f \, \mathrm{d}V_g = 0$  then the solution f is unique.

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## Introduction to Differential Geometry

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# 8. More about Riemannian manifolds 8.1. Examples: spheres and hyperbolic spaces

Let g, h be Riemannian metrics on a manifold X. We call g, hconformally equivalent if  $g = f \cdot h$  for smooth  $f : X \to (0, \infty)$ . Then g, h define the same notion of angles between vectors in  $T_x X$ , since angles depend only on ratios between distances. We will show that the complement of a point in the sphere  $(S_R^n, g_R)$  of radius R in  $\mathbb{R}^{n+1}$  is conformally equivalent to Euclidean space  $(\mathbb{R}^n, h_{\text{Euc}})$ . Define a bijection between points  $(y_0, y_1, \ldots, y_n)$  in  $S_R^n \setminus (R, 0, \ldots, 0)$  and  $(x_1, \ldots, x_n)$  in  $\mathbb{R}^n$  such that  $(R, 0, \ldots, 0), (y_0, y_1, \ldots, y_n)$  and  $(0, x_1, \ldots, x_n)$  are collinear in  $\mathbb{R}^{n+1}$ . An easy calculation shows that

$$(y_0, y_1, \dots, y_n) = \left(\frac{R^3}{R^2 + r^2}, \frac{R^2 x_1}{R^2 + r^2}, \dots, \frac{R^2 x_n}{R^2 + r^2}\right)$$

where  $r^2 = x_1^2 + \dots + x_n^2$ .

Regarding  $(x_1, \ldots, x_n)$  as coordinates on  $S_R^n \setminus (R, 0, \ldots, 0)$ , this enables us to compute  $g_R$  in the coordinates  $(x_1, \ldots, x_n)$ : we have  $g_R = dy_0^2 + dy_1^2 + \cdots + dy_n^2$ 

$$= \left(\frac{R^3 \cdot 2r \mathrm{d}r}{(R^2 + r^2)^2}\right)^2 + \sum_{i=1}^n \left(\frac{R^2 \mathrm{d}x_i}{R^2 + r^2} - \frac{R^2 x_i \cdot 2r \mathrm{d}r}{(R^2 + r^2)^2}\right)^2 \tag{8.1}$$

$$=\frac{R^4}{(R^2+r^2)^2}(\mathrm{d} x_1^2+\cdots+\mathrm{d} x_n^2)=\frac{R^4}{(R^2+x_1^2+\cdots+x_n^2)^2}\cdot h_{\mathrm{Euc}}.$$

Hence  $(S_R^n, g_R)$  (take away a point) is conformally equivalent to  $(\mathbb{R}^n, h_{\text{Euc}})$ . Observe that translations in  $\mathbb{R}^n$  preserve  $h_{\text{Euc}}$ , and so preserve the conformal structure of  $S_R^n$ , but are not isometries of  $S_R^n$ . The group of isometries of  $(S_R^n, g_R)$  is O(n+1), a compact Lie group of dimension  $\frac{1}{2}n(n+1)$  (next time). But the group of conformal transformations (angle-preserving maps) of  $(S_R^n, g_R)$  is larger, it is  $O_+(n+1, 1)$ , a noncompact Lie group of dimension  $\frac{1}{2}(n+1)(n+2)$ .

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## Hyperbolic space $\mathcal{H}^n$

Equation (8.1) has an interesting feature: we can replace R by an imaginary number iR, and get a new Euclidean metric on  $\mathbb{R}^n$ :

$$\frac{R^4}{(R^2 - x_1^2 - \dots - x_n^2)^2} \cdot h_{\text{Euc}}$$

which is defined except on the sphere of radius R in  $\mathbb{R}^n$ . Taking R = 1, define *n*-dimensional hyperbolic space  $(\mathcal{H}^n, g_{\mathcal{H}^n})$  by

$$\mathcal{H}^{n} = \{(x_{1}, \dots, x_{n}) \in \mathbb{R}^{n} : x_{1}^{2} + \dots + x_{n}^{2} < 1\},\$$
$$g_{\mathcal{H}^{n}} = \frac{1}{(1 - x_{1}^{2} - \dots - x_{n}^{2})^{2}} \cdot (dx_{1}^{2} + \dots + dx_{n}^{2}).$$

Morally this is a 'sphere of radius  $\sqrt{-1}$ '. It has a large isometry group  $O_+(n,1)$ , of dimension  $\frac{1}{2}n(n+1)$ . Whereas spheres  $S_R^n$  are Einstein with positive scalar curvature, hyperbolic spaces are Einstein with negative scalar curvature. Hyperbolic spaces were historically important in the development of non-Euclidean geometry.

# 8.2. Riemannian 2-manifolds and surfaces in $\mathbb{R}^3$

For a Riemannian 2-manifold (X, g), the Ricci curvature  $R_{ab}$  and Riemann curvature  $R_{bcd}^a$  are determined by the scalar curvature sand g by  $R_{ab} = \frac{1}{2}sg_{ab}$  and  $R_{bcd}^a = \frac{1}{2}s(\delta_c^a g_{bd} - \delta_d^a g_{bc})$ . The scalar curvature s is often called the *Gaussian curvature*, and written  $\kappa$ . Suppose X is a 2-submanifold of  $\mathbb{R}^3$ , (s, t) are coordinates on X, and the embedding  $X \hookrightarrow \mathbb{R}^3$  is  $\mathbf{r}(s, t) = (x(s, t), y(s, t), z(s, t))$ . Then the Riemann metric  $g = g_{\mathbb{R}^3}|_X$  on X (often called the *first fundamental form*) is

$$\begin{split} g &= E \mathrm{d} s^2 + F (\mathrm{d} s \mathrm{d} t + \mathrm{d} t \mathrm{d} s) + G \mathrm{d} t^2, \quad \text{with} \\ E &= \left| \frac{\partial \mathbf{r}}{\partial s} \right|^2, \quad F = \left\langle \frac{\partial \mathbf{r}}{\partial s}, \frac{\partial \mathbf{r}}{\partial t} \right\rangle, \quad G = \left| \frac{\partial \mathbf{r}}{\partial t} \right|^2. \end{split}$$

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Define **n** to be the unit normal vector to X in  $\mathbb{R}^3$ , that is,

$$\mathbf{n} = \frac{\frac{\partial \mathbf{r}}{\partial s} \times \frac{\partial \mathbf{r}}{\partial t}}{\left|\frac{\partial \mathbf{r}}{\partial s} \times \frac{\partial \mathbf{r}}{\partial t}\right|}.$$

Then the second fundamental form is

$$\mathbb{I} = L \mathrm{d}s^2 + M(\mathrm{d}s \mathrm{d}t + \mathrm{d}t \mathrm{d}s) + N \mathrm{d}t^2, \quad \text{with}$$

$$L = \boldsymbol{n} \cdot \frac{\partial^2 \boldsymbol{r}}{\partial s^2}, \quad M = \boldsymbol{n} \cdot \frac{\partial^2 \boldsymbol{r}}{\partial s \partial t}, \quad N = \boldsymbol{n} \cdot \frac{\partial^2 \boldsymbol{r}}{\partial t^2}.$$

The *principal curvatures*  $\kappa_1, \kappa_2$  are the solutions  $\lambda$  of

$$\det \begin{bmatrix} \lambda \begin{pmatrix} E & F \\ F & G \end{pmatrix} - \begin{pmatrix} L & M \\ M & N \end{pmatrix} \end{bmatrix} = 0.$$

The Gaussian curvature (= scalar curvature) is

$$\kappa = \kappa_1 \kappa_2 = (LN - M^2)/(EG - F^2).$$

Although  $L, M, N, \kappa_1, \kappa_2$  depend on the embedding of X in  $\mathbb{R}^3$ , the Gaussian curvature  $\kappa = \kappa_1 \kappa_2$  depends only on (X, g). A sphere  $S_R^2$  of radius R in  $\mathbb{R}^3$  has principal curvatures  $\kappa_1 = \kappa_2 = R^{-1}$  everywhere, so  $\kappa = R^{-2}$ .

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## The Gauss–Bonnet Theorem

Recall that if X is a compact *n*-manifold it has finite-dimensional de Rham cohomology groups  $H_{dR}^k(X, \mathbb{R})$  for k = 0, ..., n. The Betti numbers are  $b^k(X) = \dim H_{dR}^k(X, \mathbb{R})$ , and the Euler characteristic is  $\chi(X) = \sum_{k=0}^{n} (-1)^k b^k(X)$ . If n = 2 and X is a surface of genus g then  $\chi(X) = 2 - 2g$ .

Theorem (Gauss–Bonnet)

Let (X, g) be a compact Riemannian 2-manifold, with Gauss curvature  $\kappa$ . Then  $\int_X \kappa \, \mathrm{d}V_g = 2\pi \chi(X).$ 

This is an avatar of a lot of important geometry in higher dimensions – index theorems, characteristic classes. For a simpler analogy, let  $\gamma : S^1 \to \mathbb{R}^2$  be an immersed curve, and  $\kappa : S^1 \to \mathbb{R}$  be the curvature (rate of change of angle of tangent direction). Then  $\int_{S^1} \kappa \, ds = 2\pi W(\gamma)$ , where  $\int \cdots ds$  is integration w.r.t arc-length, and  $W(\gamma)$  is the winding number of  $\gamma$ .

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# Minimal surfaces in $\mathbb{R}^3$

Let  $X \hookrightarrow \mathbb{R}^3$  be an (oriented) embedded surface in  $\mathbb{R}^3$ . The *mean* curvature  $H : X \to \mathbb{R}^3$  is  $H = \frac{1}{2}(\kappa_1 + \kappa_2)$ , the average of the principal curvatures of X. The *mean curvature vector* is  $H\mathbf{n}$ . (The sign of H depends on the orientation of X, but  $H\mathbf{n}$  is independent of orientation.) We call X a *minimal surface* if H = 0. It turns out that X is minimal if and only if X is locally volume-minimizing in  $\mathbb{R}^3$  (the equation H = 0 is the Euler–Lagrange equation for the volume functional on surfaces in  $\mathbb{R}^3$ ).

Minimal surfaces are important in physical problems – if you dip a twisted loop of wire in the washing up and it is spanned by a bubble, this will be a minimal surface (to first approximation), as the surface tension in the bubble tries to minimize its area. Finding a minimal surface with given boundary is called *Plateau's problem*. More generally, a bubble separating two regions in  $\mathbb{R}^3$  with different air pressures should satisfy the p.d.e. H = constant (e.g. a sphere).

## Isothermal coordinates

Let (X, g) be a Riemannian 2-manifold. Then near each point  $x \in X$  there exists a local coordinate system  $(x_1, x_2)$  such that  $g = f(x_1, x_2) \cdot (dx_1^2 + dx_2^2).$ 

for  $f(x_1, x_2)$  a smooth positive function. That is, in 2 dimensions any Riemannian metric is locally conformally equivalent to the Euclidean plane ( $\mathbb{R}^2, g_{\text{Euc}}$ ). Such coordinates ( $x_1, x_2$ ) are called *isothermal coordinates*. This is false in dimension > 2. If also X is oriented, and we take ( $x_1, x_2$ ) to be oriented coordinates, we can take  $x_1 + ix_2$  to be a complex local coordinate on X. Such complex coordinates have holomorphic transition functions, and make X into a Riemann surface.

Basically, a conformal structure (Riemannian metric modulo conformal equivalence) on an oriented 2-manifold is equivalent to the data of how to rotate vectors 90° in each tangent space  $T_X X$  (i.e. multiply by *i* in  $\mathbb{C}$ ), and this is equivalent to a complex structure.



Let (X, g) be a Riemannian manifold. Consider a smooth immersed curve  $\gamma : [a, b] \to X$ . The length of  $\gamma$  is

$$I(\gamma) = \int_a^b g(\dot{\gamma}(t), \dot{\gamma}(t))^{1/2} \,\mathrm{d}t.$$

To a first approximation, a geodesic is a locally length-minimizing curve  $\gamma$ , that is, it satisfies the Euler–Lagrange equations for the length functional I on curves  $\gamma$ . Actually, this turns out not to be well behaved. If  $F : [a', b'] \rightarrow [a, b]$  is any diffeomorphism then  $\gamma$  is locally length-minimizing iff  $\gamma \circ F$  is locally length-minimizing, as length is independent of parametrization. Thus, geodesics defined this way would come in infinite-dimensional families.

Instead, we define the *energy* of a curve  $\gamma$  in (X, g) by

$$E(\gamma) = \int_{a}^{b} g(\dot{\gamma}(t), \dot{\gamma}(t)) \,\mathrm{d}t.$$

We define a *geodesic*  $\gamma : [a, b] \to X$  or  $\gamma : \mathbb{R} \to X$  to satisfy the Euler–Lagrange equations for the energy functional E on curves  $\gamma$ . Then  $\gamma$  is a geodesic iff:

- $\gamma$  is *locally length-minimizing*, i.e.  $\gamma$  satisfies the Euler–Lagrange equation for the length functional *I*; and
- $\gamma$  is parametrized with constant speed, that is,  $g(\dot{\gamma}(t), \dot{\gamma}(t))$  is (locally) constant along  $\gamma$ .

Example

Take (X, g) to be Euclidean *n*-space  $(\mathbb{R}^n, h_{\text{Euc}})$ . Then  $\gamma = (\gamma_1, \ldots, \gamma_n) : [a, b] \to \mathbb{R}^n$  satisfies the geodesic equations iff  $\frac{d^2 \gamma_i}{dt^2} = 0$  for  $i = 1, \ldots, n$ . Hence geodesics are of the form  $\gamma(t) = \mathbf{a}t + \mathbf{b}$  for  $\mathbf{a}, \mathbf{b} \in \mathbb{R}^n$ . That is, they are straight lines in  $\mathbb{R}^n$ traversed with constant speed.

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## The geodesic equations in local coordinates

Let  $(x_1, \ldots, x_n)$  be local coordinates on X. Write  $g = g_{ij}(x_1, \ldots, x_n)$ , and let  $g^{ij}$  be the inverse matrix of functions. Write a smooth map  $\gamma : [a, b] \to X$  as  $\gamma = (x_1(t), \ldots, x_n(t))$  in coordinates. Then  $\gamma$  satisfies the geodesic equations iff we can extend  $\gamma$  to a 2n-tuple  $(x_1(t), \ldots, x_n(t), y_1(t), \ldots, y_n(t))$ satisfying the o.d.e.s

$$\frac{\mathrm{d}x_j}{\mathrm{d}t} = \sum_{i=1}^n g^{ij}(x_1(t), \dots, x_n(t)) \cdot y_i(t),$$

$$\frac{\mathrm{d}y_k}{\mathrm{d}t} = -\frac{1}{2} \sum_{i,j=1}^n \frac{\partial g^{ij}}{\partial x_k} (x_1(t), \dots, x_n(t)) \cdot y_i(t) y_j(t).$$
(8.2)

Here  $D\gamma = (x_1(t), \ldots, x_n(t), y_1(t), \ldots, y_n(t))$  is naturally a curve in the cotangent bundle  $T^*X$ , and  $\gamma = (x_1(t), \ldots, x_n(t))$  is its projection to X. We can think of  $D\gamma$  as a flowline of a fixed vector field v on  $T^*X$  depending on g, called the *geodesic flow*. From the geodesic equations (8.2) and standard results about o.d.e.s we see that for any  $x \in X$  and any vector  $v \in T_x X$ , there exists a unique solution  $\gamma : I \to X$  to the geodesic equations with  $\gamma(0) = x$  and  $\dot{\gamma}(0) = v$ , where  $0 \in I \subseteq \mathbb{R}$  is an open interval, which we can take to be maximal.

A Riemannian manifold (X, g) is called *complete* if we can take  $I = \mathbb{R}$  for all such x, v. If X is compact then any g is complete, but many noncompact Riemannian manifolds such as  $(\mathbb{R}^n, g_{\text{Euc}})$  and  $(\mathcal{H}^n, h_{\mathcal{H}^n})$  are complete. Roughly, to be complete means that the boundary/edge of (X, g) is at infinite distance from the interior of X.

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#### Example

In the 2-sphere  $S_R^2$  of radius R in  $\mathbb{R}^3$ , the geodesics are the great circles, that is, intersections of  $S_R^2$  with a plane  $\mathbb{R}^2$  in  $\mathbb{R}^3$  passing through the centre (0, 0, 0) of  $S_R^2$ . So for example on the earth, the equator is a closed geodesic.

Note that geodesics need not globally be a shortest path: you can make the equator shorter by deforming it through lines of latitude. But geodesics have stationary length, and a geodesic  $\gamma$  gives the shortest path between points x, y on  $\gamma$  if x, y are sufficiently close.

## Example

Take  $(\mathcal{H}^2, g_{\mathcal{H}^2})$  to be the hyperbolic plane  $\{(x, y) \in \mathbb{R}^2 : x^2 + y^2 < 1\}$  with  $g_{\mathcal{H}^2} = (1 - x^2 - y^2)^{-1}(dx^2 + dy^2)$ . Then geodesics in  $\mathcal{H}^2$  are the intersection of  $\mathcal{H}^2$  with circles and straight lines in  $\mathbb{R}^2$  which intersect the unit circle  $x^2 + y^2 = 1$  at right angles.

# Geodesic triangles in a Riemannian 2-manifold

Let (X, g) be a Riemannian 2-manifold. Suppose we are given points  $A, B, C \in X$ , and geodesic segments AB, BC, CA in X with endpoints A, B, C, which enclose a triangle ABC homeomorphic to a disc  $D^2$ . Let  $\alpha, \beta, \gamma$  be the internal angles of the triangle at A, B, C computed using g. (That is,  $\alpha$  is the angle in  $(T_AX, g|_A)$ between the tangent vectors to AB, AC at A, etc.) Then one can show that

$$\alpha + \beta + \gamma - \pi = \int_{ABC} \kappa \, \mathrm{d}V_{g}, \qquad (8.3)$$

where  $\kappa : X \to \mathbb{R}$  is the Gaussian curvature of g. If (X, g) is  $(\mathbb{R}^2, g_{\text{Euc}})$  then  $\kappa = 0$  and (8.3) becomes  $\alpha + \beta + \gamma = \pi$ , that is, the angles in a triangle in  $\mathbb{R}^2$  add up to  $\pi$ .



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If (X, g) is the unit sphere  $S^2$  then  $\kappa = 1$ , so (8.3) becomes  $\alpha + \beta + \gamma = \pi + \operatorname{area}(ABC)$ . Thus, the angles in a triangle on  $S^2$  add up to more than  $\pi$ .

If (X, g) is the hyperbolic plane  $(\mathcal{H}^2, g_{\mathcal{H}^2})$  then  $\kappa = -1$ , so (8.3) becomes  $\alpha + \beta + \gamma = \pi - \operatorname{area}(ABC)$ . Thus, the angles in a triangle on  $S^2$  add up to less than  $\pi$ . Also, all triangles have area less than  $\pi$ , however long their sides.

We can use (8.3) to prove the Gauss-Bonnet Theorem. Suppose (X,g) is a compact Riemannian 2-manifold. Choose a division of X into small triangles  $\Delta_1, \ldots, \Delta_N$  with geodesic sides, and sum (8.3) over  $1, \ldots, N$ . We get

$$2\pi(\# \text{vertices}) - \pi(\# \text{triangles}) = \int_X \kappa \, \mathrm{d}V_g.$$

Since 2#edges = 3#triangles we have

#triangles = 2(#edges - #triangles).

Then using  $\chi(X) = \#$ vertices - #edges + #triangles proves G–B.