

Lagrangian Mean Curvature Flow in Calabi–Yau manifolds and the Thomas–Yau Conjecture. II

Dominic Joyce, Oxford University

UK-Japan Winter School, UCL, January 2017

Based on ‘*Conjectures on Bridgeland stability for Fukaya categories of Calabi–Yau manifolds, special Lagrangians, and Lagrangian mean curvature flow*’, EMS Surv. Math. Sci. 2 (2015), 1-62. arXiv:1401.4949.

See also Thomas and Yau math.DG/0104196, math.DG/0104197.

These slides available at <http://people.maths.ox.ac.uk/~joyce/>.

Funded by the EPSRC.

Plan of talk, continuing from yesterday:

- ④ (Lagrangian) Mean Curvature Flow
- ⑤ The Thomas–Yau Conjecture, version 2.0
- ⑥ Possible surgeries during the flow
- ⑦ What goes wrong in LMCF if HF^* is obstructed

4. (Lagrangian) Mean Curvature Flow

Let (M, g) be a Riemannian manifold, and L_0 a compact submanifold in M . Write $\nu_0 \rightarrow L_0$ for the normal bundle of L_0 in M . The *mean curvature* of L_0 is H_0 , a smooth section of ν_0 . It is the negative gradient at L_0 of the volume functional

$$\text{Vol} : \{ \text{compact submanifolds of } M \} \longrightarrow (0, \infty).$$

Mean Curvature Flow (MCF) is the o.d.e. $\frac{d}{dt}L_t = H_t$ for a smooth 1-parameter family of submanifolds L_t , $t \in [0, T)$ starting at L_0 . It is the negative gradient flow of Vol , so following MCF is a way to try to minimize the volume of L_t , and get a minimal submanifold. For any compact L_0 the MCF L_t , $t \in [0, T)$ exists for some maximal time $T > 0$. If $T < \infty$ then $L_t \rightarrow L_T$ as $t \rightarrow T_-$ where L_T is a *singular* submanifold of (M, g) .

Lagrangian Mean Curvature Flow

Let (M, J, g, Ω) be a Calabi–Yau manifold, and $L_0 \subset M$ be a compact oriented Lagrangian, with mean curvature H_0 , and phase function $\Phi : L_0 \rightarrow \mathbb{U}(1)$. As L_0 is Lagrangian there is a canonical isomorphism $\nu_0 \cong T^*L_0$, where ν_0 is the normal bundle of L_0 in M . Under this isomorphism we have

$$\Gamma^\infty(\nu_0) \ni H_0 \cong -i\Phi^{-1}d\Phi \in \Gamma^\infty(T^*L_0).$$

Here $-i\Phi^{-1}d\Phi$ is a closed 1-form on L_0 with $[-i\Phi^{-1}d\Phi] = 2\pi\mu_{L_0}$ in $H_{\text{dR}}^1(L_0; \mathbb{R})$, for μ_{L_0} the Maslov class. If L_0 is graded, so we have $\phi : L_0 \rightarrow \mathbb{R}$ with $\Phi = e^{i\pi\phi}$, then $-i\Phi^{-1}d\Phi = \pi d\phi$ is exact. Now closed 1-forms in $\Gamma^\infty(T^*L_0)$ are identified with infinitesimal deformations in $\Gamma^\infty(\nu_0)$ of L_0 as a Lagrangian, and exact 1-forms with infinitesimal deformations of L_0 in its Hamiltonian isotopy class. Smoczyk proved that for the MCF L_t , $t \in [0, T)$ of L_0 , the L_t are Lagrangians, and if L_0 is Maslov zero/graded then the L_t remain in the Hamiltonian isotopy class of L_0 .

Lagrangian Mean Curvature Flow

Thus LMCF of graded Lagrangians also remains in a fixed isomorphism class in $D^bF(M, \omega)$.

A Lagrangian L_0 is a fixed point of LMCF if $d\Phi = 0$, that is, if Φ is constant, $\Phi \equiv e^{i\pi\phi_0}$, so that L_0 is special Lagrangian of phase $e^{i\pi\phi_0}$. Thus, for the functional

$$\text{Vol}_{\text{Lag}} : \{\text{compact Lagrangians in } M\} \longrightarrow (0, \infty),$$

the only critical points are special Lagrangians, which are also the absolute minima of Vol_{Lag} – there are no higher critical points. So naïvely we might hope (with Thomas and Yau) that LMCF, the negative gradient flow of Vol_{Lag} , exists for all time, and retracts

$$\{\text{compact Lagrangians in } M\} \longrightarrow \{\text{special Lagrangians in } M\}.$$

This is false – there are many finite time singularities of LMCF – but I will suggest that a more sophisticated version may be true.

Finite time singularities of Lagrangian MCF

Finite time singularities of MCF L_t , $t \in [0, T)$ as $t \rightarrow T_-$ are divided into Type I (quickly forming) and Type II (slowly forming). In a Type I singularity, part of the submanifold L_t shrinks homothetically to a point x in M with rate $(T - t)^{1/2}$, and the flow near x is modelled on an *MCF shrinker* in $\mathbb{R}^n \cong T_x M$. Type II singularities are more difficult to describe, and less well understood. An oriented Lagrangian L_0 in a Calabi–Yau m -fold (M, J, g, Ω) is called *almost calibrated* if the phase function $\Phi : L_0 \rightarrow U(1)$ has $\text{Re}(e^{-i\pi\phi_0}\Phi) > 0$ for some $\phi_0 \in \mathbb{R}$, that is, the phase variation of L_0 is less than π . Wang 2001 proved that LMCF starting from an almost calibrated Lagrangian L_0 remains almost calibrated, and does not develop a Type I singularity. Neves 2006 proved that LMCF starting from a graded Lagrangian L_0 does not develop a Type I singularity. Basically this is because there are no graded LMCF shrinkers in \mathbb{C}^m .

An important result for any Thomas–Yau type programme is:

Theorem (Neves 2010)

Let (M, J, g, Ω) be a Calabi–Yau m -fold, and L_0 a compact Lagrangian in (M, ω) . Then there exists a Hamiltonian perturbation \tilde{L}_0 of L_0 such that the Lagrangian MCF \tilde{L}_t , $t \in [0, T)$ starting from \tilde{L}_0 develops a finite time singularity at $t = T$.

In particular, no notion of ‘stability’ of Lagrangians L_0 which depends only on the Hamiltonian isotopy class can ensure that LMCF L_t , $t \in [0, \infty)$ exists for all time. So any revision of the Thomas–Yau Conjecture must cope with finite time singularities of LMCF, presumably by continuing the flow after a surgery.

5. The Thomas–Yau Conjecture, version 2.0

I’ll now explain a series of conjectures aiming to drag the Thomas–Yau Conjecture into the 20th century. Please take these in the spirit they are intended – as provisional, probably wrong in detail.

Conjecture 1 (Folklore.)

Let (M, J, g, Ω) be a Calabi–Yau m -fold. Then there exists a Bridgeland stability condition $S_\Omega = (Z, \mathcal{P})$ on $D^b F(M, \omega)$, with central charge Z given by the composition

$$K^{\text{num}}(D^b F(M, \omega)) \xrightarrow{(L, b) \mapsto [L]} H^m(M; \mathbb{Z}) / \text{torsion} \xrightarrow{\cdot [\Omega]} \mathbb{C},$$

and if (L, b) is an object in $D^b F(M, \omega)$ with L special Lagrangian with grading $\phi \equiv \phi_0$ then $(L, b) \in \mathcal{P}(\phi_0)$.

Enlarging $D^bF(M, \omega)$ by immersed or singular Lagrangians

Conjecture 1 says that all special Lagrangians in $D^bF(M, \omega)$ are \mathcal{S}_Ω -semistable. We would like to claim the converse, that every \mathcal{S}_Ω -semistable object in $D^bF(M, \omega)$ is isomorphic to a special Lagrangian object in $D^bF(M, \omega)$. But there is a problem: $D^bF(M, \omega)$ may not have enough objects. If the special Lagrangian exists, it must be unique in its isomorphism class in $D^bF(M, \omega)$, by an argument of Thomas. If this unique special Lagrangian is immersed, but $D^bF(M, \omega)$ is defined using only embedded Lagrangians, then there will be no special Lagrangian representative in $D^bF(M, \omega)$. If this unique special Lagrangian has singularities (say mild ones, for which Lagrangian Floer theory still works?) but $D^bF(M, \omega)$ is defined using only nonsingular Lagrangians, again no special Lagrangian representative exists. Our solution is to enlarge $D^bF(M, \omega)$, adding immersed and singular Lagrangians, probably not changing $D^bF(M, \omega)$ up to equivalence.

Enlarging $D^bF(M, \omega)$ by immersed or singular Lagrangians

Conjecture 2 (Dubious, needs work.)

Suppose we enlarge the definition of $D^bF(M, \omega)$ to $D^b\tilde{F}(M, \omega)$ so that it contains ‘as many Lagrangians L as possible for which HF^ can be defined’, including in particular immersed Lagrangians, and some classes of singular Lagrangians.*

Then for the stability condition \mathcal{S}_Ω in Conjecture 1, any object in $\mathcal{P}(\phi_0)$ is isomorphic in $D^b\tilde{F}(M, \omega)$ to some (L, b) , where L is special Lagrangian with grading $\phi \equiv \phi_0$, and is unique.

A weaker but more credible claim: for any small $\epsilon > 0$, any object in $\mathcal{P}(\phi_0)$ is isomorphic to some (L, b) , where L has grading $\phi : L \rightarrow (\phi_0 - \epsilon, \phi_0 + \epsilon)$, i.e. L is close to special Lagrangian.

The kinds of singularity needed get worse with increasing dimension — for $\dim_{\mathbb{C}} M = 2$, immersed Lagrangians may be enough.

HF^* for immersed Lagrangians

Akaho and Joyce J.D.G. 86 (2010) extend Fukaya–Oh–Ohta–Ono’s HF^* theory from embedded to immersed Lagrangians. In the Akaho–Joyce theory, obstructions to HF^* of L come from both ordinary J -holomorphic discs D with $\partial D \subset L$, and from ‘teardrops’:

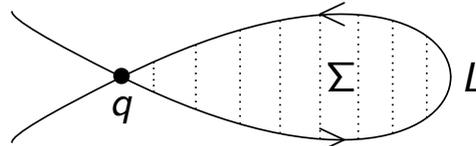


Figure 3: J -holomorphic ‘teardrop’ making immersed HF^* obstructed.

These ‘teardrops’ will be important in the study of LMCF.

A nice thing about the Akaho–Joyce immersed version of $D^b F_{\text{im}}(M, \omega)$ is that we no longer need twisted complexes: every object of $D^b F_{\text{im}}(M, \omega)$ is a single Lagrangian (L, b) , with L immersed (e.g. L can be a finite union of embedded Lagrangians intersecting each other, from a twisted complex in $D^b F(M, \omega)$).

Thomas–Yau as an attempt to prove Conjectures 1 and 2

We can now understand the Thomas–Yau Conjecture as a strategy for proving Conjectures 1 and 2. Starting with any object (L_0, b_0) in $D^b \tilde{F}(M, \omega)$, we should follow LMCF L_t , $t \in [0, \infty)$ uniquely for all time (if this is possible), and extend b_0 by ‘parallel translation’ to bounding cochains $b_t : t \in [0, \infty)$ with $(L_t, b_t) \cong (L_0, b_0)$ in $D^b \tilde{F}(M, \omega)$. Then we consider the limit $L_\infty = \lim_{t \rightarrow \infty} L_t$. If all goes very well we should have $L_\infty = L^{\phi_1} \cup \dots \cup L^{\phi_n}$, where $\phi_1 < \phi_2 < \dots < \phi_n$ in \mathbb{R} and L^{ϕ_i} is special Lagrangian with grading $\phi \equiv \phi_i$. This should correspond to a Harder–Narasimhan type filtration in $D^b \tilde{F}(M, \omega)$ of (L_∞, b_∞) into $(L^{\phi_1}, b^{\phi_1}), \dots, (L^{\phi_n}, b^{\phi_n})$, where $(L^{\phi_i}, b^{\phi_i}) \in \mathcal{P}(\phi_i)$, and this is the decomposition of $(L_0, b_0) \cong (L_\infty, b_\infty)$ in the Bridgeland stability condition \mathcal{S}_Ω .

Lagrangian MCF with surgeries

As I said yesterday, finite time singularities in LMCF are unavoidable. So we should not expect the LMCF L_t , $t \in [0, \infty)$ to exist for all time without singularities. However, as in Perelman’s proof of the Poincaré conjecture, it may be more realistic to hope that LMCF L_t , $t \in [0, \infty)$ exists with a series of *singular times* $0 < T_1 < T_2 < T_3 < \dots$, such that L_t for $t \in (T_{i-1}, T_i)$ is nonsingular (or possibly has nice singularities) and satisfies LMCF, and L_{T_i} is singular with $\lim_{t \rightarrow T_i^-} L_t = \lim_{t \rightarrow T_i^+} L_t = L_{T_i}$. We do not require L_{T_i} to exist in $D^b\tilde{F}(M, \omega)$, but we require (L_t, b_t) to be isomorphic in $D^b\tilde{F}(M, \omega)$ for $t \in (T_{i-1}, T_i)$ and $t \in (T_i, T_{i+1})$, so the isomorphism class in $D^b\tilde{F}(M, \omega)$ does not change as we pass through the singular time. As we pass through $t = T_i$, the topology of L_t may change by some kind of surgery, e.g. a ‘neck pinch’. We call this ‘LMCF with surgeries’.

We state this as:

Conjecture 3 (Dubious, needs work.)

Let (L_0, b_0) be an object in $D^b\tilde{F}(M, \omega)$. Then there exists a unique family L_t , $t \in [0, \infty)$ satisfying LMCF with surgeries at singular times $0 < T_1 < T_2 < T_3 < \dots$, and bounding cochains b_t for L_t for $t \in [0, \infty) \setminus \{T_1, T_2, \dots\}$ unique up to equivalence such that $(L_t, b_t) \cong (L_0, b_0)$ in $D^b\tilde{F}(M, \omega)$.

Taking the limit of (L_t, b_t) as $t \rightarrow \infty$ enables us to construct the Bridgeland stability condition \mathcal{S}_Ω on $D^b\tilde{F}(M, \omega)$.

It is essential that $D^b\tilde{F}(M, \omega)$ should contain immersed Lagrangians, and some kinds of singular Lagrangians (how bad the singularities are depends on dimension), for Conjecture 3 to hold, as otherwise the flow could cross from embedded to immersed, or from immersed to singular, at $t = T_i$, and then (L_t, b_t) would not exist in $D^b\tilde{F}(M, \omega)$ for $t > T_i$.

6. Possible surgeries during the flow

In my paper I describe (without proof) some of the surgeries I think are possible in LMCF at singular times T_i , in a feeble attempt to make Conjecture 3 sound more credible.

I will explain three of these:

- (a) ‘Neck pinch’ by shrinking a Lawlor neck, giving an immersed Lagrangian for $t > T_i$.
- (b) ‘Opening a neck’ by gluing in a Joyce–Lee–Tsui expander at an immersed point – roughly, the inverse to (a).
- (c) ‘Collapsing a zero object’, when a connected component L' of L shrinks to a point, but $(L', b') \cong 0$ in $D^b\tilde{F}(M, \omega)$, so the isomorphism class of (L, b) is not changed by deleting (L', b') .

(a) ‘Neck pinch’ by shrinking a Lawlor neck

Let Π_0, Π_1 be special Lagrangian planes in \mathbb{C}^m of the same phase, intersecting transversely at 0, and satisfying an angle condition. Lawlor 1989 defined an explicit SL m -fold N in \mathbb{C}^m diffeomorphic to $S^{m-1} \times \mathbb{R}$ and asymptotic to $\Pi_0 \cup \Pi_1$ at infinity – a ‘Lawlor neck’. As a manifold it is the connect sum of Π_0 and Π_1 at 0. I claim that a possible Type II finite time singularity of LMCF L_t , $t \in [0, T)$ in a Calabi–Yau m -fold (M, J, g, Ω) is when, near some $x \in M$, L_t in M looks like $c_t \cdot N$ in $T_x M \cong \mathbb{C}^m$ for some $c_t \in (0, \infty)$ with $c_t \rightarrow 0$ as $t \rightarrow T_-$. Since $\lim_{c \rightarrow 0} c \cdot N = \Pi_0 \cup \Pi_1$, the limit $L_T = \lim_{t \rightarrow T_-} L_t$ is actually a *nonsingular, immersed* Lagrangian, topologically different to L_t for $t \in [0, T)$.

I claim this is a *generic* singularity, in that if LMCF starting from L_0 has such a neck pinch, then so does LMCF starting from \tilde{L}_0 for any sufficiently small Hamiltonian perturbation \tilde{L}_0 of L_0 .

Work in progress with Yng-Ing Lee shows that such neck pinches happen in examples of $SO(m)$ -equivariant Lagrangian MCF in \mathbb{C}^m . Since L_T is a compact, nonsingular, immersed Lagrangian, we can continue the flow L_t , $t \in [T, T')$ by LMCF in immersed Lagrangians. This neck pinch process can cut one connected component of L_t for $t < T$ into two components for $t > T$. This is important for the Bridgeland stability condition picture. As in Conjecture 3, we hope to construct LMCF with surgeries L_t , $t \in [0, \infty)$ such that $\lim_{t \rightarrow \infty} L_t = L_\infty = L^{\phi_1} \cup \dots \cup L^{\phi_n}$ is a union of special Lagrangian components of different phases. Thus, if L_0 is connected, but (L_0, b_0) is not semistable, then the flow L_t , $t \in [0, \infty)$ has to cut L_0 into $n > 1$ components for $t \gg 0$. I believe this ‘neck pinch’ mechanism is how this happens.

(b) ‘Opening a neck’

LMCF of *immersed* Lagrangians $L_t : t \in [0, T)$ only changes L_t by Hamiltonian isotopy in a weak, local sense: the flow can slide two sheets of L_t over one another, introduce extra self-intersection points, etc. In the Akaho–Joyce immersed HF^* theory, this kind of weak Hamiltonian isotopy can move you from Lagrangians with HF^* unobstructed to Lagrangians with HF^* obstructed.

The typical problem is if we have J -holomorphic curves C_t, D_t like this:

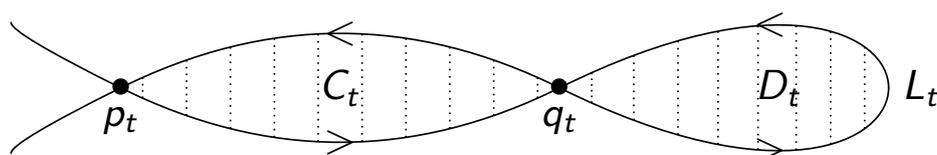


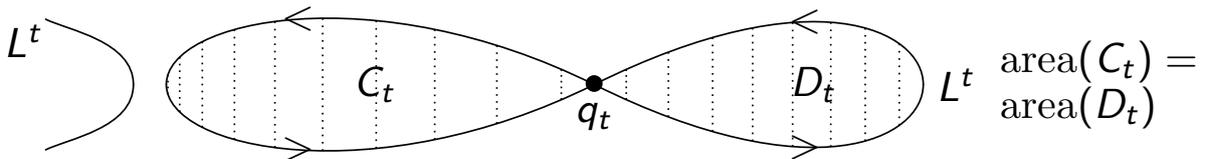
Figure 4: Wall-crossing for immersed HF^* unobstructed/obstructed.

then HF^* is unobstructed when $\text{area}(C_t) < \text{area}(D_t)$ and obstructed when $\text{area}(C_t) > \text{area}(D_t)$. But flowing to obstructed Lagrangians L_t is bad, as no bounding cochain b_t exists.

Joyce–Lee–Tsui J.D.G. 84 (2010) find explicit LMCF expanders N in \mathbb{C}^m asymptotic to a union of Lagrangian planes $\Pi_0 \cup \Pi_1$, very like Lawlor necks. At the time T when $\text{area}(C_T) = \text{area}(D_T)$, we do a surgery, gluing in a JLT expander N at p_T asymptotic to $T_{p_T}^+ L_T \cup T_{p_T}^- L_T$ in $T_{p_T} M \cong \mathbb{C}^m$.

A calculation in my paper shows that the angle conditions for existence of the JLT expander hold iff $\frac{d}{dt}(\text{area}(C_t) - \text{area}(D_t)) > 0$, that is, iff we are crossing from HF^* unobstructed to obstructed.

For $t > T$ the J -holomorphic curves look like this:



As $\text{area}(C_t) = \text{area}(D_t)$, the contributions of C_t, D_t to obstructing HF^* of L_t cancel, and HF^* is unobstructed.

Begley–Moore arXiv:1501.07823 prove my conjecture that LMCF $L_t : t \in [T, T + \epsilon)$ gluing in the JLT expander at p_T exists.

(c) ‘Collapsing a zero object’

Let L_0 be a compact Lagrangian in \mathbb{C}^m . If L_0 is contained in a ball of radius R , then LMCF $L_t : t \in [0, T)$ starting from L_0 must shrink to a point in \mathbb{C}^m within time $T = R^{1/2}$, unless it becomes singular first. Similarly, any Lagrangian L_0 contained in a small ball in (M, J, g, Ω) must shrink to a point under LMCF in bounded time, unless it becomes singular first.

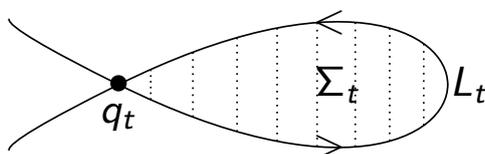
Now if (L_0, b_0) lies in $D^b \tilde{F}(M, \omega)$ with L_0 in a small ball in M , then L_0 is displaceable, so that $(L_0, b_0) \cong 0$ is a zero object in $D^b \tilde{F}(M, \omega)$. Suppose we have LMCF $L_t, t \in [0, T)$ with $L_t = L'_t \amalg L''_t$, with bounding cochains $b_t = b'_t \amalg b''_t$, where L'_t is contained in a small ball in M and shrinks to a point in M at $t = T$. Then $(L'_t, b'_t) \cong 0$, so that $(L_t, b_t) \cong (L''_t, b''_t)$ in $D^b \tilde{F}(M, \omega)$. At $t = T$ we delete (L'_t, b'_t) , and continue the flow for $t > T$ by flowing L''_t . This gives an LMCF surgery which does not change the isomorphism class in $D^b \tilde{F}(M, \omega)$. Neves’ 2010 examples can be explained using (a),(c).

7. What goes wrong in LMCF if HF^* is obstructed

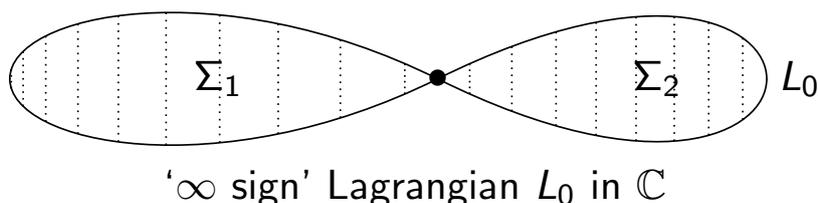
Conjecture 3 claims long-time existence L_t , $t \in [0, \infty)$ of LMCF with surgeries starting with a Lagrangian L_0 with HF^* unobstructed, i.e. with an object (L_0, b_0) in $D^b\tilde{F}(M, \omega)$.

In contrast, I expect that for Lagrangians L_0 with HF^* obstructed, there may be finite time singularities at $t = T$ in LMCF such that we cannot continue the flow for $t > T$, even after a surgery.

In the Akaho–Joyce immersed HF^* theory, obstructions to HF^* of L_t can be caused by J -holomorphic ‘teardrops’ Σ_t with small area:



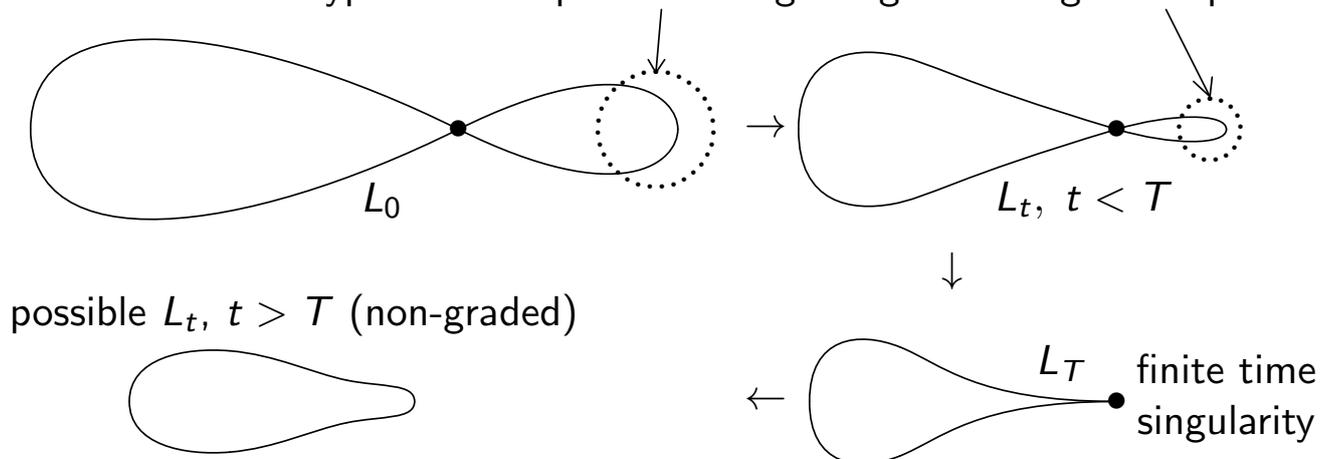
I expect that there can be Type II singularities of immersed LMCF L_t , $t \in [0, T)$ with a teardrop curve Σ_t in which $\text{area}(\Sigma_t) \rightarrow 0$ as $t \rightarrow T_-$, and L_T has a singular ‘cusp’, after which one cannot continue the flow. For 1-dimensional LMCF in \mathbb{C} we can prove this using known theorems: start with L_0 an ‘ ∞ sign’ immersed graded Lagrangian in \mathbb{C} , with $\text{area}(\Sigma_1) > \text{area}(\Sigma_2)$



‘ ∞ sign’ Lagrangian L_0 in \mathbb{C}

Then LMCF L_t , $t \in [0, T)$ looks like this:

Type II blow up in these regions gives the ‘grim reaper’



One can continue the flow for $t > T$, but only in *non-graded* embedded Lagrangians, which we exclude. There is no way to continue LMCF in graded Lagrangians for $t > T$.

I also expect similar behaviour in higher dimensions. In my paper I sketch how singularities might form in m dimensions with Type II blow-up a Joyce–Lee–Tsui LMCF translator in \mathbb{C}^m , through shrinking J -holomorphic teardrops.

Thank you for listening!