

## Existence of factorisations

Def A compact 3-manifold  $M$  with  $\partial M \neq \emptyset$  and  $\hat{M} \cong \hat{S}^3$  is called a punctured 3-cell.

### Lemma 3.14

Suppose that  $M$  is a compact 3-manifold such that every 2-sphere in  $\text{Int } M$  separates. There exists an integer  $k(M)$

such that if  $\{S_1, \dots, S_n\}$  is a collection  
of  $n$  pairwise disjoint 2-spheres in  
 $\text{Int } M$  with  $n \geq k(M)$ , then the closure  
of some component of  $M \setminus \cup S_i$  is  
a punctured 3-cell.

Proof

We fix a triangulation  $T$  of  $M$ .

Take a collection  $\{S_1, \dots, S_n\}$  of pairwise  
disjoint 2-spheres in  $M$  in general position  
with respect to  $T$ , meaning:

$\forall i$ ,  $S_i \cap T^{(6)} = \emptyset$ , and  $S_i$  intersects  
edges transversely, and  $S_i \not\in 3\text{-simplex}$ .

We easily homotope any given collection of  
spheres to satisfy this requirement.

We define a complexity  $(\alpha, \beta)$  of  $\{S_i\}$  by

$$\alpha = |\pi^{(1)} \cap \cup S_i| \text{ and } \beta = \sum_{\substack{\text{2-rings} \\ \sigma}} |\pi_\sigma(\cap \cup S_i)|.$$

We order the pairs  $(\alpha, \beta)$  lexicographically.

Now suppose that the collection  $\{S_i\}$  satisfies

- (i) the closure of every component of  $M \setminus \cup S_i$  is not a punctured 3-cell.
- (ii) Among all collections satisfying (i),  $\{S_i\}$  has minimal complexity.

Let  $D$  be a disc ( $2\text{-cell}$ ) in  $\text{Int } M$

with  $D \cap \partial S_i = \partial D$ . Since the spheres

are disjoint, we must have  $\partial D \subseteq S_i$  for

some  $i$ . Let  $E'$  and  $E''$  be the two

$2\text{-cells}$  in  $S_i$  bounded by  $\partial D$ .

Let  $S'_i = D \cup E'$  and  $S''_i = D \cup E''$ .

Claim: at least one of the collections

$\{S_1, \dots, S_i, \dots, S_n\}$ ,  $\{S'_1, \dots, S'_i, \dots, S_n\}$

satisfies (i).

Suppose otherwise. Since  $M \setminus \partial S_i$  has no

punctured 3-cell components, if  $C'$

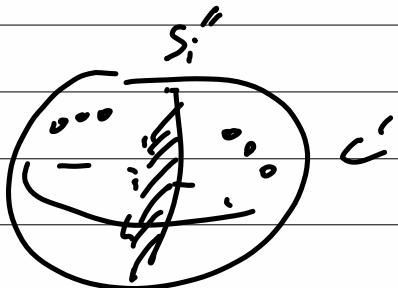
is a connected component of  $M \setminus \bigcup_{j \neq i} (U_{S_j} \cup S_j')$

which is a punctured 3-cell, then

$$S_i'' \subseteq C' \text{ or } D \subseteq \partial C'.$$

In the first case,  $S_i''$  cuts  $C'$  into

two punctured 3-cells,



and so one of them

is a component of  $M \setminus U_{S_i} \# \#$ .

$$\text{Hence } S_i'' \cap \text{Int } C' = \emptyset.$$

Now, we also have a component  $C''$

of  $M \setminus (V_S; \cup_{j \neq i} S_j)$  which is a punctured

3-cell. By the same argument,  $D \subset D_C''$ :

So  $M \setminus V_S$  contains  $C' \cup_D C''$ , which

also is a punctured 3-cell.  $\#$

This proves the claim.

We say that a collection satisfying (i)

obtained from  $\{S_i\}$  in the manner just

described is a  $D$ -modification of  $\{S_i\}$ .

We now establish further properties

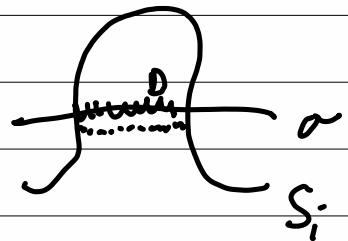
of the collection  $\{S_i\}$  satisfying (i)

and (i):

(ii.) If 2-simplex  $\sigma$  in  $T$ , or  $\cap US_i$  is  
not an  $S'$ . Otherwise, take  $D$  bounded by  $\sigma \cap US_i$  and  
to be a disc  $\alpha$

modify  $\{S_i\}$  by  $D$ . By homotopying

in a 'hood of  $D$



we arrange for the modifi-

cation to be in general position with

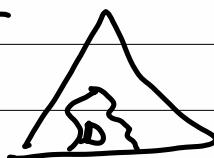
respect to  $T$ . We see that  $\beta$  did not

increase and  $\beta$  decreased.

(iv) Let  $\alpha$  be a 2-simplex. Then

$\alpha \cap U_{S_i}$  cannot be an arc with both endpoints on the same edge of  $\alpha$ .

Otherwise, the arc and a part



at the edge bound a disc  $D$ .

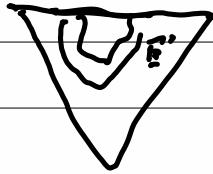
Let  $N$  be a small regular n'hod of

$D$  such that  $N \cap U_{S_i}$  is a disc  $E$ ,



and such that  $\partial E$  bounds a disc  $E'$  on

$\partial V_F$ , with  $E' \cap T'' = \emptyset$ .



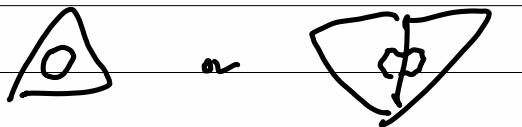
We isotopic  $S_i$  so that  $E$  is replaced by  $E'$ .

This decreases  $d$ .

(v) Let  $T$  be a 3-simplex. Every component of  $\partial T \setminus VS_i$  contains a vertex,

as otherwise we would contradict (iii)

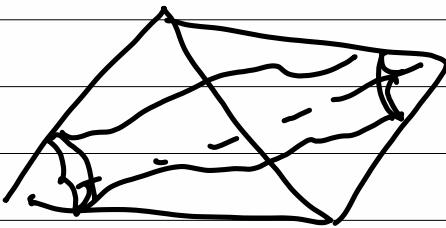
$\sim$  (iv).



(vi) If 3-simplex  $T$  in  $\bar{T}$ ,  $T \cap VS_i$  is a

disjoint union of 2-cells.

Otherwise, take a connected component  $C$  of  $\tau \cap US$ ; which is not homeomorphic to a disc.



Take "the innermost" such  $C$ :

$\exists \exists$  a component of  $\partial C$  bounding a disc  $E$  in  $\partial T$  such that if a component of  $\tau \cap US$  meets  $\text{Int } E$ , then it is a disc. We "push  $E$ " to the inside of  $\tau$ ;  $\exists$  a disc  $D$  with  $\partial D = \partial$  and

First  $D \subseteq \text{Int } \bar{\tau}$ . We look at the  $D$ -  
modification of  $\{\mathcal{S}_i\}$  which satisfies  
(ii). If  $C$  is contained in the new  
collection (as a subset), then we push  
 $\bar{\tau}$  to the inside of  $\bar{\tau}$  as well, and reduce  
d. Otherwise,  $\bar{\tau}$  is already reduced, since  
we got rid of the other boundary com-  
ponent of  $C$ , which intersected  $\bar{\tau}^D$ .