

# Asymptotic structure. I. Coarse tree-width

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### **Abstract**

In this paper, we develop a coarse analogue of treewidth. We prove that a graph  $G$  admits a tree-decomposition in which each bag is contained in the union of a bounded number of balls of bounded radius, if and only if  $G$  admits a quasi-isometry to a graph with bounded tree-width. (The “if” half is easy, but the “only if” half is challenging.) This generalizes a recent result of Berger and Seymour, concerning tree-decompositions when each bag has bounded radius.

# 1 Introduction

This is the first in a sequence of papers on asymptotic structure in graphs, looking at properties of graphs that are preserved under quasi-isometry. The notion of quasi-isometry is well established in geometric group theory: following work of Gromov (see [10, 11]), the large-scale geometric structure of a group can be understood by looking at properties of its Cayley graphs that are invariant under quasi-isometry. More recently, the emerging area of “coarse graph theory” has begun to look at large-scale geometry of graphs in general, using the lens of quasi-isometry. It has begun to emerge that many classical notions from graph theory have counterparts in the coarse context: for example, a coarse analogue for graph minors is provided by “fat minors” (see [2, 4]); and there are many questions about which graph-theoretic results have natural counterparts in the coarse context [9]. In this paper, we develop a coarse analogue of treewidth, and connect it via quasi-isometry to the standard notion of tree-width. Our results also hold for path-width; however, more can be said about pathwidth, and we will pursue this further in [13, 14].

We need to begin with some definitions. Graphs in this paper may be infinite. If  $X$  is a vertex of a graph  $G$ , or a subset of the vertex set of  $G$ , or a subgraph of  $G$ , and the same for  $Y$ , then  $\text{dist}_G(X, Y)$  denotes the distance in  $G$  between  $X, Y$ , that is, the number of edges in the shortest path of  $G$  with one end in  $X$  and the other in  $Y$ . (If no path exists we set  $\text{dist}_G(X, Y) = \infty$ .)

Let  $G, H$  be graphs, and let  $\phi : V(G) \rightarrow V(H)$  be a map. Let  $L, C \geq 0$ ; we say that  $\phi$  is an  $(L, C)$ -quasi-isometry if:

- for all  $u, v$  in  $V(G)$ , if  $\text{dist}_G(u, v)$  is finite then  $\text{dist}_H(\phi(u), \phi(v)) \leq L \text{dist}_G(u, v) + C$ ;
- for all  $u, v$  in  $V(G)$ , if  $\text{dist}_H(\phi(u), \phi(v))$  is finite then  $\text{dist}_G(u, v) \leq L \text{dist}_H(\phi(u), \phi(v)) + C$ ; and
- for every  $y \in V(H)$  there exists  $v \in V(G)$  such that  $\text{dist}_H(\phi(v), y) \leq C$ .

If  $X \subseteq V(G)$ , let us say the *diameter of  $X$  in  $G$*  is the maximum of  $\text{dist}_G(u, v)$  over all  $u, v \in X$ . A *tree-decomposition* of a graph  $G$  is a pair  $(T, (B_t : t \in V(T)))$ , where  $T$  is a tree (possibly infinite), and  $B_t$  is a subset of  $V(G)$  for each  $t \in V(T)$  (called a *bag*), such that:

- $V(G)$  is the union of the sets  $B_t$  ( $t \in V(T)$ );
- for every edge  $e = uv$  of  $G$ , there exists  $t \in V(T)$  with  $u, v \in B_t$ ; and
- for all  $t_1, t_2, t_3 \in V(T)$ , if  $t_2$  lies on the path of  $T$  between  $t_1, t_3$ , then  $B_{t_1} \cap B_{t_3} \subseteq B_{t_2}$ .

The *width* of a tree-decomposition  $(T, (B_t : t \in V(T)))$  is the maximum of the numbers  $|B_t| - 1$  for  $t \in V(T)$ , or  $\infty$  if there is no finite maximum; and the *tree-width* of  $G$  is the minimum width of a tree-decomposition of  $G$ . If  $T$  is a path, we call  $(T, (B_t : t \in V(T)))$  a *path-decomposition*, and the *path-width* of  $G$  is defined analogously.

Our first result is an extension of a result of Berger and Seymour [1] (which can also be derived from a combination of results of Chepoi et al. [3]). They proved:

**1.1** *For all  $r$ , if  $G$  is connected and admits a tree-decomposition  $(T, (B_t : t \in V(T)))$  such that for each  $t \in V(T)$ ,  $B_t$  has diameter at most  $r$  in  $G$ , then  $G$  admits a  $(1, 6r + 1)$ -quasi-isometry to a tree.*

This has a sort of converse, also proved in [1]: if  $G$  is connected and  $(L, C)$ -quasi-isometric to a tree then it admits a tree-decomposition  $(T, (B_t : t \in V(T)))$  such that  $B_t$  has diameter at most  $L(L + C + 1) + C$  in  $G$ , for each  $t \in V(T)$ .

We will extend 1.1 from trees to graphs of bounded tree-width, as follows (although saying that this extends 1.1 is something of a stretch, because we do not know whether 1.2 holds with  $L = 1$ ):

**1.2** *For all  $k, r$ , there exist  $L, C \geq 1$  such that if  $G$  admits a tree-decomposition  $(T, (B_t : t \in V(T)))$  such that for each  $t \in V(T)$ ,  $B_t$  is the union of at most  $k$  sets each with diameter at most  $r$  in  $G$ , then  $G$  admits an  $(L, C)$ -quasi-isometry to a graph with tree-width at most  $k$ .*

A similar result (with weaker constants) was obtained independently by R. Hickingbotham [12], by applying a result of Dvořák and Norin [8].

Our proof obtains a quasi-isometry to a graph with a tree-decomposition indexed by a subdivision of the same tree  $T$  that indexed the tree-decomposition of  $G$ ; and so if  $T$  is a path, we find a quasi-isometry to a graph with bounded path-width. Consequently:

**1.3** *For all  $k, r$ , there exist  $L, C \geq 1$  such that if  $G$  admits a path-decomposition  $(T, (B_t : t \in V(T)))$  such that for each  $t \in V(T)$ ,  $B_t$  is the union of at most  $k$  sets each with diameter at most  $r$  in  $G$ , then  $G$  admits an  $(L, C)$ -quasi-isometry to a graph with path-width at most  $k$ .*

In fact, for path-decompositions, we can do much more: we can get an *additive* quasi-isometry to a graph with bounded path-width (that is, we can take  $L = 1$ ). This follows from 1.3, and the following result from [13]:

**1.4** *For all  $L, C, k$  there exists  $C'$  such that if there is an  $(L, C)$ -quasi-isometry from a graph  $G$  to a graph  $H$  with path-width at most  $k$ , then there is a  $(1, C')$ -quasi-isometry from  $G$  to a graph  $H_0$  obtained from  $H$  by subdividing and contracting edges.*

A path-decomposition is essentially a sequence of sets of vertices satisfying the “betweenness” condition. There is a more general notion (see [5, 6, 7, 13]), where we replace the sequence by a family of subsets indexed by a linearly ordered set, giving what we call “line-width”. Line-width and path-width are the same for finite graphs, but for infinite graphs they may be different. One might hope that 1.3 works with line-width in place of path-width, but we do not know.

How sharp is our bound on treewidth in 1.1? In 1.2, we start with a tree-decomposition in which each bag is the union of  $k$  bounded-radius balls, and we obtain a tree-decomposition in which each bag has size at most  $k + 1$ : and one might hope that the final  $k$  in the statement of 1.2 should be  $k - 1$ . Obviously not for  $k = 1$ ; but not when  $k \geq 2$  either. To see this when  $k = 2$ , let  $G$  be a cycle, with vertices  $v_1 \cdots v_n$  in order. For  $1 \leq i \leq n - 1$ , let  $B_{v_i} = \{v_i, v_{i+1}, v_n\}$ , and let  $T$  be the tree  $G \setminus \{v_n\}$ . Then  $(T, (B_t : t \in V(T)))$  is a tree-decomposition of  $G$ , and each of its bags is the union of two balls of bounded radius (one the singleton  $\{v_n\}$  and the other consisting of two adjacent vertices). On the other hand, for all  $(L, C)$ , if  $n$  is large enough then there is no  $(L, C)$ -quasi-isometry from  $G$  to a graph with tree-width at most 1. A similar example works for each value of  $k \geq 2$  (take a  $k \times k$  grid and subdivide each of its edges many times).

Again, 1.2 has a sort of converse, because if  $G$  admits an  $(L, C)$ -quasi-isometry to a graph with tree-width at most  $k$ , then  $G$  admits a tree-decomposition  $(T, (B_t : t \in V(T)))$  such that for each  $t \in V(T)$ ,  $B_t$  is the union of at most  $k + 1$  sets each of bounded diameter — we will prove this in the next section. But if we start with a graph  $G$  that admits a quasi-isometry to a graph with tree-width

at most  $k$ , and apply this converse, we obtain a tree-decomposition in which each bag is a union of  $k + 1$  sets of bounded diameter; and if we then apply 1.2, we obtain a quasi-isometry to a graph with tree-width at most  $k + 1$ . Somewhere we went from tree-width  $k$  to tree-width  $k + 1$ , and this is unsatisfying, at least on aesthetic grounds.

A way to get rid of it is to make a small tweak in the definition of tree-decomposition; say a *pseudo-tree-decomposition*  $(T, (B_t : t \in V(T)))$  is the same as a tree-decomposition, except we relax the condition that every edge has both ends in some bag. Instead, we insist that for every edge  $uv$ , either some bag contains both  $u, v$ , or there is an edge  $st$  of  $T$  such that  $B_s \setminus B_t = \{u\}$  and  $B_t \setminus B_s = \{v\}$ . Define *pseudo-tree-width* correspondingly (it differs from tree-width by at most one). We will prove a version of 1.2 with “tree-width at most  $k$ ” replaced by “pseudo-tree-width at most  $k - 1$ ”, and a version of 2.1 with “tree-width at most  $k$ ” replaced by “pseudo-tree-width at most  $k$ ”, and the anomalous error of one is gone. More exactly, we will prove:

**1.5** *For all  $k, r$ , there exist  $L, C$  such that if  $G$  admits a tree-decomposition  $(T, (B_t : t \in V(T)))$  such that for each  $t \in V(T)$ ,  $B_t$  is the union of at most  $k$  sets each with diameter at most  $r$  in  $G$ , then  $G$  admits an  $(L, C)$ -quasi-isometry to a graph with pseudo-tree-width at most  $k - 1$ .*

*Conversely, for all  $L, C \geq 1$ , if  $G$  admits an  $(L, C)$ -quasi-isometry to a graph with pseudo-tree-width at most  $k - 1$ , then  $G$  admits a tree-decomposition  $(T, (B_t : t \in V(T)))$  such that for each  $t \in V(T)$ ,  $B_t$  is the union of at most  $k$  sets each of diameter at most  $2L(L + C) + C$ .*

## 2 The proof of 1.5

Let us state the definition of pseudo-tree-width more formally. A *pseudo-tree-decomposition* of a graph  $G$  is a pair  $(T, (B_t : t \in V(T)))$ , where  $T$  is a tree, and  $B_t$  is a subset of  $V(G)$  for each  $t \in V(T)$  (called a *bag*), such that:

- $V(G)$  is the union of the sets  $B_t$  ( $t \in V(T)$ );
- for every edge  $e = uv$  of  $G$ , either there exists  $t \in V(T)$  with  $u, v \in B_t$ , or there is an edge  $st \in E(T)$  such that  $B_s \setminus B_t = \{u\}$  and  $B_t \setminus B_s = \{v\}$ ; and
- for all  $t_1, t_2, t_3 \in V(T)$ , if  $t_2$  lies on the path of  $T$  between  $t_1, t_3$ , then  $B_{t_1} \cap B_{t_3} \subseteq B_{t_2}$ .

The *width* of a pseudo-tree-decomposition  $(T, (B_t : t \in V(T)))$  is the maximum of the numbers  $|B_t| - 1$  for  $t \in V(T)$ , or  $\infty$  if there is no finite maximum; and the *pseudo-tree-width* of  $G$  is the minimum width of a pseudo-tree-decomposition of  $G$ . If  $T$  is a path, we call  $(T, (B_t : t \in V(T)))$  a *pseudo-path-decomposition*, and the *pseudo-path-width* of  $G$  is defined analogously. When  $T$  is a finite path, we sometimes use the notation  $(B_1, \dots, B_n)$  (with the usual meaning) in place of  $(T, (B_t : t \in V(T)))$ .

Before we prove the main part of 1.5, let us prove its (much easier) second part, the converse:

**2.1** *If  $G$  admits an  $(L, C)$ -quasi-isometry to a graph with pseudo-tree-width at most  $k - 1$ , then  $G$  admits a tree-decomposition  $(T, (D_t : t \in V(T)))$  such that for each  $t \in V(T)$ ,  $D_t$  is the union of at most  $k$  sets each of diameter at most  $2L(L + C) + C$ .*

**Proof.** Let  $H$  be a graph with pseudo-tree-width at most  $k - 1$ , and let  $(T, (B_t : t \in V(T)))$  be a pseudo-tree-decomposition of  $H$  with width at most  $k - 1$ . Let  $\phi$  be an  $(L, C)$ -quasi-isometry from a graph  $G$  to  $H$ . For each  $h \in V(H)$ , let  $X_h$  be the set of vertices  $i \in V(G)$  such that

$\text{dist}_H(h, i) \leq L + C$ . For each  $t \in V(T)$ , let  $D_t$  be the set of all vertices  $v \in V(G)$  such that  $\phi(v) \in X_h$  for some  $h \in B_t$ . We claim that  $(T, (D_t : t \in V(T)))$  is a tree-decomposition of  $G$  satisfying the theorem. So we must check that:

- $\bigcup_{t \in V(T)} D_t = V(G)$ ;
- for every edge  $uv$  of  $G$  there exists  $t \in V(T)$  with  $\{u, v\} \subseteq D_t$ ;
- for all  $t_1, t_2, t_3 \in V(T)$ , if  $t_2$  lies on the path of  $T$  between  $t_1, t_3$ , then  $D_{t_1} \cap D_{t_3} \subseteq D_{t_2}$ ; and
- for each  $t \in V(T)$ ,  $D_t$  is the union of at most  $k$  sets each of diameter (in  $G$ ) at most  $2L(L + C) + C$ .

For the first statement, let  $v \in V(G)$ ; then  $\phi(v) \in V(H)$ , and so  $\phi(v) \in B_t$  for some  $t \in V(T)$ . In particular, since  $\phi(v) \in X_{\phi(v)}$ , it follows that  $v \in D_t$ . This proves the first statement.

For the second statement, let  $uv \in E(G)$ , and choose  $t \in V(T)$  with  $\phi(v) \in B_t$ . Since  $\phi$  is an  $(L, C)$ -quasi-isometry,  $\text{dist}_H(\phi(u), \phi(v)) \leq L + C$ , and so  $\phi(u) \in X_{\phi(v)}$ . It follows that  $u, v \in D_t$ . This proves the second statement.

For the third statement, let  $t_1, t_2, t_3 \in V(T)$ , such that  $t_2$  lies on the path of  $T$  between  $t_1, t_3$ , and let  $v \in D_{t_1} \cap D_{t_3}$ . Hence for  $i = 1, 3$ , there exists  $h_i \in B_{t_i}$  with  $\phi(v) \in X_{h_i}$ ; let  $P_i$  be a path of  $H$  between  $\phi(v), h_i$  of length at most  $L + C$ . Since  $P_1 \cup P_3$  is a connected graph with vertices in  $B_{t_1}$  and in  $B_{t_3}$ , it also has a vertex in  $B_{t_2}$ , say  $h_2$ . Thus  $h_2$  belongs to one of  $V(P_1), V(P_3)$ , and so  $\text{dist}_H(h_2, \phi(v)) \leq L + C$ ; and hence  $\phi(v) \in X_{h_2}$ , and therefore  $v \in D_{t_2}$ . This proves the third statement.

Finally, for the fourth statement, let  $t \in V(T)$ . For each  $h \in B(t)$ , let  $F_h$  be the set of all  $v \in V(G)$  such that  $\phi(v) \in X_h$ . Thus  $D_t$  is the union of the sets  $F_h$  ( $h \in B_t$ ), and there are  $|B_t| \leq k$  such sets. We claim that each  $F_h$  has diameter at most  $2L(L + C) + C$  in  $G$ . If  $u, v \in F_h$ , then each of  $\phi(u), \phi(v)$  has distance at most  $L + C$  from  $h$ , and so  $\text{dist}_H(\phi(u), \phi(v)) \leq 2(L + C)$ . Since  $\phi$  is an  $(L, C)$ -quasi-isometry, it follows that  $\text{dist}_H(u, v) \leq 2L(L + C) + C$ . This proves the fourth statement, and so proves 2.1. ■

To prove 1.5, we need the following lemma:

**2.2** *Let  $G$  be a graph, and let  $A, B$  be disjoint subsets of  $V(G)$  with union  $V(G)$ . Let  $|A|, |B| \leq k$ , and suppose that there are at most  $k$  edges between  $A, B$ . Then there is a pseudo-path-decomposition  $(B_1, \dots, B_n)$  of  $G$  with width at most  $k - 1$  and with  $A \subseteq B_1$  and  $B \subseteq B_n$ .*

**Proof.** We proceed by induction on  $k + |A| + |B|$ . If some vertex  $a \in A$  has no neighbours in  $B$ , then from the inductive hypothesis, applied to  $G \setminus \{a\}$ , there is a pseudo-path-decomposition  $(B_1, \dots, B_n)$  of  $G \setminus \{a\}$  with width at most  $k - 1$  and with  $A \setminus \{a\} \subseteq B_1$  and  $B \subseteq B_n$ . But then  $(A, B_1, \dots, B_n)$  satisfies the theorem. Thus we may assume that each vertex in  $A$  has a neighbour in  $B$ , and vice versa.

If every vertex in  $A$  has exactly one neighbour in  $B$  and vice versa, the result is true; so we assume that some vertex in  $A$  has at least two neighbours in  $B$ , and hence  $|A| \leq k - 1$ . Let  $b \in B$  with a neighbour in  $A$ , and let  $G'$  be obtained by deleting  $b$ . In  $G'$ , there are at most  $k - 1$  edges between  $A$  and  $B \setminus \{b\}$ , and these two sets both have size at most  $k - 1$ . From the inductive hypothesis applied to  $G'$ , there is a pseudo-path-decomposition  $(C_1, \dots, C_n)$  of  $G'$  with width at most  $k - 2$  and with  $A \subseteq C_1$  and  $B \setminus \{b\} \subseteq C_n$ . Define  $B_i = C_i \cup \{b\}$  for  $1 \leq i \leq n$ ; then  $(B_1, \dots, B_n)$  is a pseudo-path-decomposition of  $G$  satisfying the theorem. This proves 2.2. ■

To prove the first part of 1.5, it suffices to prove it when  $G$  is connected (by working with each component of  $G$  separately); and it suffices to prove it when  $r = 1$ . To see the latter, let  $G$  be a connected graph that admits a tree-decomposition  $(T, (B_t : t \in V(T)))$  such that for each  $t \in V(T)$ ,  $B_t$  is the union of at most  $k$  sets each with diameter at most  $r$  in  $G$ . For each  $t \in V(T)$ , and each pair  $u, v$  of nonadjacent vertices of  $G[B_t]$  with  $\text{dist}_G(u, v) \leq r$ , add an edge joining  $u, v$ , and let  $G'$  be the resultant graph. Then  $(T, (B_t : t \in V(T)))$  is a tree-decomposition of  $G'$ , and for each  $t \in V(T)$ ,  $B_t$  is the union of at most  $k$  cliques of  $G'$ . Moreover, the identity map is an  $(r, 0)$ -quasi-isometry between  $G, G'$ ; and so if  $G'$  admits an  $(L, C)$ -quasi-isometry to a graph with pseudo-tree-width at most  $k - 1$ , then  $G$  admits an  $(rL, rC)$ -quasi-isometry to the same graph. Consequently, for given  $k$ , if  $L, C$  satisfy the theorem when  $r = 1$ , then  $rL, rC$  satisfy the theorem for general  $r$ . Hence it suffices to prove the following:

**2.3** *For all  $k$ , if  $G$  is connected and admits a tree-decomposition  $(T, (B_t : t \in V(T)))$  such that  $B_t$  is the union of at most  $k$  cliques for each  $t \in V(T)$ , then  $G$  admits a  $(2k + 2, 2k - 1)$ -quasi-isometry to a graph with pseudo-tree-width at most  $k - 1$ .*

**Proof.** Let  $(T, (B_t : t \in V(T)))$  be a tree-decomposition of  $G$  such that for each  $t \in V(T)$ ,  $B_t$  is the union of at most  $k$  cliques. Fix a root  $r \in V(T)$  (arbitrarily). For each  $t \in V(T)$ , its *ancestors* are the vertices of the path of  $T$  between  $r, t$ , and its *strict ancestors* are its ancestors different from  $t$ . If  $s$  is an ancestor of  $t$  then  $t$  is a *descendant* of  $s$ , and descendants of  $t$  different from  $t$  are *strict descendants* of  $t$ . For  $t \in V(T)$ , its *height* is the length of the path of  $T$  between  $r, t$ .

We will recursively define a set of pairs, called “cores”. Each core will be a pair  $(t, C)$  where  $t \in V(T)$  and  $C$  is a subset of  $B_t$  inducing a non-null connected subgraph, and we will call  $t$  its *birthday*. The set of all cores with the same birthday will be given an arbitrary linear order called the “birth order”, and if  $(t, C)$  precedes  $(t, C')$  in the birth order then we will say that  $(t, C)$  is an *elder sibling* of  $(t, C')$ , and  $(t, C')$  is a *younger sibling* of  $(t, C)$ . Each core  $(t, C)$  will have a *spread*  $S(t, C)$ , which is the vertex set of a certain subtree of  $T$  with root  $t$ , defined below.

Here is the inductive definition. If there exists  $t \in V(T)$  such that we have not yet defined the set of cores with birthday  $t$ , choose some such  $t$  with minimum height. We say  $v \in B_t$  is *disqualified* if there is a core  $(s, C)$  such that  $s$  is a strict ancestor of  $t$ , and  $t \in S(s, C)$ , and either  $v \in C$  or  $v$  has a neighbour in  $C$ . Let  $Z$  be the set of vertices in  $B_t$  that are not disqualified. For each component  $C$  of  $G[Z]$ , we define  $(t, C)$  to be a core; this defines the set of all cores with birthday  $t$ . Choose an arbitrary linear order, called the *birth order*, of the set of cores with birthday  $t$ . For each core  $(t, C)$ , its *spread*  $S(t, C)$  is the set of all  $t' \in V(T)$  such that

- $t'$  is a descendant of  $t$ ;
- $C \cap B_{t'} \neq \emptyset$ ;
- $t' \in S(s, C')$  for every core  $(s, C')$  such that  $s$  is a strict ancestor of  $t$  and  $t \in S(s, C')$ ; and
- $t' \in S(t, C')$  for every elder sibling  $(t, C')$  of  $(t, C)$ .

This completes the inductive definition of the set of all cores. We see that the spread of every core includes the spread of all its younger siblings; and for any two cores, either their spreads are vertex-disjoint, or one is included in the other.

Two subsets  $X, Y \subseteq V(G)$  are *anticomplete* if they are disjoint and there are no edges of  $G$  between them. We need, first:

(1) *If  $(t_1, C_1), (t_2, C_2)$  are distinct cores and their spreads intersect, then  $C_1, C_2$  are anticomplete.*

We may assume that  $t_1 \neq t_2$ . Since the spreads of  $(t_1, C_1), (t_2, C_2)$  intersect,  $t_1, t_2$  have a common descendant  $t_0$  say, so one of  $t_1, t_2$  is a strict ancestor of the other. Hence we may assume that  $t_1$  is a strict ancestor of  $t_2$ , and therefore  $t_2 \in S(t_1, C_1)$  since the spreads intersect. Since  $(t_2, C_2)$  is a core, it follows that for each  $v \in C_2$ ,  $v \notin C_1$  and  $v$  has no neighbour in  $C_1$ . Consequently,  $C_1, C_2$  are anticomplete. This proves (1).

(2) *For each  $t \in V(T)$ , there are at most  $k$  cores  $(s, C)$  such that  $t \in S(s, C)$ .*

Let  $(s_1, C_1), \dots, (s_n, C_n)$  be the set of all cores whose spread contains  $t$ , and let  $D_1, \dots, D_m$  be cliques with union  $B_t$ , with  $m \leq k$ . The sets  $C_1 \cap B_t, \dots, C_n \cap B_t$  are nonempty, and by (1) they are pairwise anticomplete. Consequently, for  $1 \leq i \leq n$ , there exists  $j_i \in \{1, \dots, m\}$  such that  $C_i \cap B_t$  contains a vertex of  $D_{j_i}$ ; and if  $i, i' \in \{1, \dots, n\}$  are distinct, then  $j_i \neq j_{i'}$ , because  $C_i \cap B_t$  and  $C_{i'} \cap B_t$  are anticomplete and  $D_{j_i}$  is a clique. Thus  $n \leq m \leq k$ . This proves (2).

For each  $v \in V(G)$ , there exists  $t \in V(T)$  with  $v \in B_t$ , and the set of such vertices  $t$  induces a subtree of  $T$ . In particular, there is a unique  $t \in V(T)$  of minimum height with  $v \in B_t$ , and we call  $t$  the *source* of  $v$ . If  $t$  is the source of  $v$ , there might or might not exist  $C \subseteq B_t$  with  $v \in C$  such that  $(t, C)$  is a core. If there exists such  $C$  we say  $v$  is *central*. If there exists a core  $(t', C')$  such that  $t'$  is a strict ancestor of  $t$  and  $t \in S(t', C')$  and  $v$  has a neighbour in  $C'$ , we say  $v$  is *peripheral*. (Note that  $v$  cannot belong to  $C'$ , from the definition of  $t$ .)

(3) *Every vertex  $v \in V(G)$  is central or peripheral, and not both.*

Let  $t$  be the birthday of  $v$ . The first statement is clear from the definition of the set of cores with birthday  $t$ . For the “not both” part, suppose that  $v$  is central and peripheral; choose  $C \subseteq B_t$  with  $v \in C$  such that  $(t, C)$  is a core, and choose a core  $(t', C')$  such that  $t'$  is a strict ancestor of  $t$  and  $t \in S(t', C')$  and  $v$  has a neighbour in  $C'$ . Since  $t \in S(t, C) \cap S(t', C')$ , and  $v \in C$  has a neighbour in  $C'$ , this contradicts (1). This proves (3).

For each  $v \in V(G)$ , we define a core  $\phi(v)$  as follows. Let  $t_1 \in V(T)$  be the source of  $v$ . If  $v$  is central,  $\phi(v)$  is the core  $(t_1, C_1)$  with  $v \in C_1$ . Now assume  $v$  is peripheral. Hence there is a strict ancestor  $t_0$  of  $t_1$  and a core  $(t_0, C_0)$  such that  $t_1 \in S(t_0, C_0)$ , and  $v$  has a neighbour in  $C_0$ . Choose such  $t_0$  of minimum height; and of all the cores  $(t_0, C_0)$  such that  $t_1 \in S(t_0, C_0)$ , and  $v$  has a neighbour in  $C_0$ , choose  $(t_0, C_0)$  with this property, as early as possible in the birth order. We define  $\phi(v) = (t_0, C_0)$ .

(4) *Let  $v \in V(G)$ , let  $\phi(v) = (t_0, C_0)$ , and let  $t \in V(T)$ , such that  $v \in B_t$ . Then exactly one of the following holds:*

- *$v$  is peripheral, and  $t \in S(t_0, C_0)$ ; or*



- there is a core  $(t', C')$  with  $t \in S(t', C')$  and  $v \in C'$ .

If both statements hold, then since  $t \in S(t_0, C_0)$  and  $t \in S(t', C')$  and there is an edge between  $C_0, C'$  (because  $v \in C'$  and has a neighbour in  $C_0$ ), this contradicts (1). So not both hold. We prove that at least one holds by induction on the height of  $t$ . If there exists  $C$  with  $v \in C$  such that  $(t, C)$  is a core, the claim is true, so we assume not. Hence, from the definition of cores, there is a core  $(t_2, C_2)$  with  $t \in S(t_2, C_2)$ , such that  $t_2$  is a strict ancestor of  $t$  and  $v$  belongs to or has a neighbour in  $C_2$ . If  $v \in C_2$ , the claim holds, so we assume that  $v \notin C_2$  and  $v$  has a neighbour in  $C_2$ .

Let  $t_1$  be the source of  $v$ . Thus,  $t_0, t_1, t_2$  all belong to the path of  $T$  between  $r, t$ , and  $t_0$  is an ancestor of  $t_1$ . Suppose that either  $t_2$  is a strict ancestor of  $t_0$ , or  $(t_2, C_2)$  is an elder sibling of  $(t_0, C_0)$ ; and hence  $v$  is peripheral, in both cases. Since  $v$  has a neighbour in  $C_2$ , this contradicts the definition of  $\phi(v)$ . So we assume that either  $t_2$  is a strict descendant of  $t_0$  or  $(t_2, C_2)$  is a younger sibling of  $(t_0, C_0)$ .

If  $t = t_1$  the result is true, so we assume that  $t \neq t_1$ . Let  $s$  be the parent of  $t$ ; so  $s$  lies in the path of  $T$  between  $t_1, t$ , and therefore  $v \in B_s$ . From the inductive hypothesis, either  $v$  is peripheral and  $s \in S(t_0, C_0)$ , or there is a core  $(t', C')$  with  $s \in S(t', C')$  and  $v \in C'$ .

Suppose the first holds. Since either  $t_0$  is a strict ancestor of  $t_2$ , or  $(t_0, C_0)$  is an elder sibling of  $(t_2, C_2)$ , and since  $S(t_2, C_2)$  contains  $t$  and  $t_2 \in S(t_0, C_0)$ , it follows (from the second half of the definition of cores) that  $S(t_2, C_2) \subseteq S(t_0, C_0)$ . Thus  $t \in S(t_0, C_0)$  and the claim is true.

So we assume the second holds, that is, there is a core  $(t', C')$  with  $s \in S(t', C')$  and  $v \in C'$ . If  $t \in S(t', C')$  the claim holds, so we assume not. Since  $t_2$  is a strict ancestor of  $t$  and  $t \in S(t_2, C_2)$ , it follows that  $t_2$  is an ancestor of  $s$  and  $s \in S(t_2, C_2)$ . But there is an edge between  $C_2, C'$ , since  $v \in C'$  and  $v$  has a neighbour in  $C_2$ ; and so from (1), either  $(t', C') = (t_2, C_2)$  or the spreads of  $(t', C')$  and  $(t_2, C_2)$  are disjoint. The first is impossible since  $t \notin S(t', C')$  and  $t \in S(t_2, C_2)$ , and the second is impossible since  $s$  belongs to both spreads. This proves (4).

(5) Let  $P$  be a path of  $T$  with one end  $r$ , and let  $v \in V(G)$ . Let  $\phi(v) = (t_0, C_0)$ . Let  $\mathcal{C}(P, v)$  be the set of cores  $(t, C)$  such that  $t \in V(P)$  and  $v \in C$ . Let the members of  $\mathcal{C}(P, v)$  with birthday different from  $t_0$  be  $(t_1, C_1), \dots, (t_n, C_n)$ , numbered such that  $t_0, t_1, \dots, t_n$  have strictly increasing height. Then:

- $t_i \notin S(t_h, C_h)$  for  $0 \leq h < i \leq n$ ;
- for  $1 \leq i \leq n$ , let  $s_i$  be the parent of  $t_i$ : then  $s_i \in S(t_{i-1}, C_{i-1})$ ;
- $n \leq k - 1$ .

The first bullet holds by (1), since  $v \in C_i$  and either  $v \in C_h$ , or  $h = 0$  and  $v$  has a neighbour in  $C_h$ .

For the second bullet, let  $t'_0$  be the source of  $v$ . Thus  $t_0$  is an ancestor of  $t'_0$  (possibly  $t'_0 = t_0$ ), and  $t_1, \dots, t_n$  are strict descendants of  $t'_0$  (to see that  $t_1 \neq t'_0$ , observe that this is trivially true if  $v$  is not central, and true if  $v$  is central since then  $t_0 = t'_0$ .) Let  $1 \leq i \leq n$ . If  $v \notin B_{s_i}$ , then  $i = 1$  and  $t_i = t'_0$ , which is impossible. So  $v \in B_{s_i}$ . If  $s_i \in S(t_0, C_0)$ , then  $t_{i-1} \in S(t_0, C_0)$ , and so  $i = 1$  by the first bullet of (5) (because otherwise  $t_{i-1} \notin S(t_0, C_0)$ ) and the claim is true. So we assume that  $s_i \notin S(t_0, C_0)$ . From (4), there is a core  $(t', C')$  with  $s_i \in S(t', C')$  and  $v \in C'$ . Hence  $(t', C') = (t_h, C_h)$  for some  $h \in \{0, \dots, i-1\}$ . If  $h < i-1$ , then  $t_{i-1} \in S(t_h, C_h)$ , contradicting the first bullet of (5). Thus  $h = i-1$  and the claim holds.

For the third bullet, we may assume that  $n \geq 1$ . For  $0 \leq i \leq n$  define  $g(i)$  to be the number of cores  $(t, C)$  such that  $t$  is a strict ancestor of  $t_i$  and  $t_i \in S(t, C)$ . We will prove by induction on  $i$  that  $g(i) \leq k - i - 1$ . Since there is a core  $(t_0, C_0)$ , it follows that  $g(0) \leq k - 1$  by (2). Inductively, suppose that  $1 \leq i \leq n$ , and  $g(i-1) \leq k - (i-1) - 1$ . Let  $A_{i-1}$  be the set of all cores  $(t, C)$  such that  $t$  is a strict ancestor of  $t_{i-1}$  and  $t_{i-1} \in S(t, C)$ ; and let  $A_i$  be the set of all cores  $(t, C)$  such that  $t$  is a strict ancestor of  $t_i$  and  $t_i \in S(t, C)$ . Thus  $g(i-1) = |A_{i-1}|$  and  $g(i) = |A_i|$ . We claim that  $A_i \subseteq A_{i-1}$ . Let  $(t, C) \in A_i$ , and suppose that  $(t, C) \notin A_{i-1}$ . Thus  $t$  is a strict ancestor of  $t_i$ , and a descendant of  $t_{i-1}$ . Since  $t_i \notin S(t_{i-1}, C_{i-1})$ , and  $C_{i-1} \cap B_{t_i} \neq \emptyset$  (because it contains  $v$ ), the definition of  $S(t_{i-1}, C_{i-1})$  implies that there is a core  $(d, D)$  such that  $d$  is a strict ancestor of  $t_{i-1}$ , and  $t_{i-1} \in S(d, D)$ , and  $t_i \notin S(d, D)$ . But this contradicts the definition of the spread of  $(t, C)$ , since  $d$  is a strict ancestor of  $t_{i-1}$  and  $t_i \in S(t, C)$ .

Consequently  $A_{i-1} \subseteq A_i$  for  $1 \leq i \leq n$ . But for  $1 \leq i \leq n$ , since  $C_{i-1} \cap B_{t_i} \neq \emptyset$  and yet  $t_i \notin S(t_{i-1}, C_{i-1})$ , there is a core  $(d, D)$  such that  $d$  is a strict ancestor of  $t_{i-1}$ , and  $t_{i-1} \in S(d, D)$ , and  $t_i \notin S(d, D)$ . But then  $(d, D) \in A_{i-1} \setminus A_i$ , and so  $g(i) \leq |A_{i-1}| - 1 \leq (k - (i-1) - 1) - 1 = k - i - 1$ . This proves the third bullet and so proves (5).

Next we construct a graph  $J$ . Its vertex set is the set of all triples  $(s, t, C)$  where  $(t, C)$  is a core and  $s$  is in its spread. Consequently  $s$  is a descendant of  $t$  for all vertices  $(s, t, C)$  of  $J$ . If  $(s_1, t_1, C_1), (s_2, t_2, C_2) \in V(J)$  are distinct, they are adjacent in  $J$  if either:

- $s_1 = s_2$  and  $\text{dist}_G(C_1, C_2) \leq 3$ , or
- $s_1, s_2$  are adjacent in  $T$  and  $C_1 \cap C_2 \neq \emptyset$ .

In particular, if  $(s, t, C) \in V(J)$  and  $s \neq t$ , let  $s'$  be the parent of  $s$ ; then  $(s', t, C) \in V(J)$  is adjacent in  $J$  to  $(s, t, C) \in V(J)$ , and edges of this type are called *green* edges. All edges of  $J$  that are not green are called *red*. We will eventually show that there is a  $(2k+2, 2k-1)$ -quasi-isometry from  $G$  to the graph obtained from  $J$  by contracting all green edges. But first we prove some properties of  $J$ .

(6)  $J$  has pseudo-tree-width at most  $k-1$ .

For each  $s \in V(T)$ , let  $A_s$  be the set of all  $(s, t, C) \in V(J)$ . Thus the sets  $A_s$  ( $s \in V(T)$ ) are pairwise disjoint and have union  $V(J)$ . Let  $s, t \in V(T)$  where  $s$  is the parent of  $t$ . There may be edges of  $J$  between  $A_s$  and  $A_t$ , but we claim that there are at most  $k$  such edges. Choose a set  $\mathcal{F}$  of at most  $k$  cliques with union  $B_s$ . For each edge  $e \in E(J)$  between  $A_s, A_t$ , we define  $F_e \in \mathcal{F}$  as follows. Let the ends of  $e$  be  $(s, s_1, C_1) \in V(J)$  and  $(t, t_1, D_1)$ . Then  $C_1 \cap D_1 \neq \emptyset$ ; choose  $F_e \in \mathcal{F}$  that contains a vertex in  $C_1 \cap D_1$ . We claim that  $F_{e_1} \neq F_{e_2}$  for all distinct edges  $e_1, e_2$  between  $A_s, A_t$ . To see this, let  $e_i$  have ends  $(s, s_i, C_i) \in V(J)$  and  $(t, t_i, D_i)$  for  $i = 1, 2$ . Either  $(s_1, C_1) \neq (s_2, C_2)$  or  $(t_1, D_1) \neq (t_2, D_2)$ . In the first case,  $C_1, C_2$  are anticomplete by (1); so no clique intersects both  $C_1, C_2$ ; and so  $F_{e_1} \neq F_{e_2}$ . In the second case,  $D_1, D_2$  are anticomplete by (1); so no clique intersects both  $D_1, D_2$ ; and so  $F_{e_1} \neq F_{e_2}$ . Since  $|\mathcal{F}| \leq k$ , this proves that there are at most  $k$  edges of  $J$  between  $A_s, A_t$ .

Let  $f = st$  be an edge of  $T$ , where  $s$  is the parent of  $t$ . From 2.2, since  $|A_s|, |A_t| \leq k$  by (2), there is a pseudo-path-decomposition  $(B_1^f, \dots, B_{n(f)}^f)$  of  $J[A_s \cup A_t]$  with width at most  $k-1$  and with  $A_s \subseteq B_1^f$  and  $A_t \subseteq B_{n(f)}^f$ . This defines  $n(f)$ , for each edge  $f$  of  $T$ . Subdivide each edge  $f \in E(T)$

$n(f)$  times, making a tree  $T'$ . Define  $C_t = B_t$  for each  $t \in V(T)$ . For each  $f = st \in E(T)$  where  $s$  is the parent of  $t$ , let  $s, u_1, \dots, u_{n(f)}, t$  be the vertices in order of the path formed by subdividing  $f$ , and define  $C_{u_i} = B_i^f$  for  $1 \leq i \leq n(f)$ . This defines a pseudo-tree-decomposition of  $J$  with width at most  $k - 1$ , and so proves (6).

The function  $\phi$  does not map into  $V(J)$ , since  $\phi(v)$  is a pair, not a triple. For each  $v \in V(G)$ , define  $\psi(v) = (t, t, C)$  where  $\phi(v) = (t, C)$ .

(7) *Let  $v \in V(G)$ , and let  $(t, C)$  be a core with  $v \in C$ . Then there is a path of  $J$  between  $\psi(v)$  and  $(t, t, C)$  with at most  $k - 1$  red edges.*

Let  $P$  be the path of  $T$  between  $r, t$ , and define  $(t_0, C_0), \dots, (t_n, C_n)$  as in (5). By the second bullet of (5), for  $0 \leq i < n$ , there is a path of  $J$  from  $(t_{i-1}, t_{i-1}, C_{i-1})$  to  $(t_i, t_i, C_i)$  in which all edges are green except the last; and since  $n \leq k - 1$  (again by (5)), and  $(t, C) = (t_n, C_n)$ , this proves (7).

(8) *Let  $v_1, v_2 \in V(G)$  be adjacent. Then there is a path of  $J$  between  $\psi(v_1), \psi(v_2)$  using at most  $k$  red edges.*

Let  $\psi(v_i) = (t_i, t_i, C_i)$  for  $i = 1, 2$ , and let  $t'_i$  be the source of  $v_i$  for  $i = 1, 2$ . Since  $v_i$  belongs to or has a neighbour in  $C_i$ , for  $i = 1, 2$ , and  $v_1 v_2 \in E(G)$ , it follows that  $\text{dist}_G(C_1, C_2) \leq 3$ . There exists  $s \in V(T)$  with  $v_1 v_2 \in B_s$ , since  $v_1 v_2$  is an edge; and by choosing  $s$  of minimum height we may assume that  $s$  is the source of one of  $v_1, v_2$ , say  $v_2$ , and so  $s = t'_2$ .

A *green path* of  $J$  means a path of  $J$  containing only green edges. Suppose that  $t_2 \in S(t_1, C_1)$ . Consequently there is a green path of  $J$  between  $(t_1, t_1, C_1)$  and  $(t_2, t_1, C_1)$ , with vertex set all the triples  $(t, t_1, C)$  such that  $t$  is in the path of  $T$  between  $t_1, t_2$ , in order. Since there is a (red) edge of  $J$  between  $(t_2, t_1, C_1)$  and  $(t_2, t_2, C_2)$  (from the definition of  $J$ , since  $\text{dist}_G(C_1, C_2) \leq 3$ ), the claim is true. Thus we may assume that  $t_2 \notin S(t_1, C_1)$ . In particular,  $t_2$  is a strict descendant of  $t'_1$ .

Since  $t_2$  is in the path of  $T$  between  $t'_1, t'_2$ , and  $v_1 \in B_{t'_1} \cap B_{t'_2}$ , it follows that  $v_1 \in B_{t_2}$ . Since  $t_2 \notin S(t_1, C_1)$ , (4) implies that there is a core  $(d, D)$  with  $t_2 \in S(d, D)$  and  $v_1 \in D$ . Thus  $(t_1, t_1, C_1)$  is joined to  $(d, d, D)$  by a path of  $J$  with only  $k - 1$  red edges, by (7);  $(d, d, D)$  is joined to  $(t_2, d, D)$  by a green path; and  $(t_2, d, D)$  is adjacent to  $(t_2, t_2, C_2)$  via a red edge, since  $\text{dist}_G(C_2, D) \leq 2$  (because  $v_2$  has a neighbour in both). This proves (8).

(9) *For each core  $(t, C)$ ,  $G[C]$  has diameter at most  $2k - 1$ .*

$G[C]$  has no stable set of size  $k + 1$  (because  $C$  can be partitioned into at most  $k$  cliques), and therefore  $G[C]$  has no induced path with  $2k + 1$  vertices. Since it is connected, it has diameter at most  $2k - 1$ . This proves (9).

(10) *If  $(s_1, t_1, C_1)$  and  $(s_2, t_2, C_2)$  are joined by a green path of  $J$ , and  $v_1 \in C_1$  and  $v_2 \in C_2$ , then  $\text{dist}_G(v_1, v_2) \leq 2k - 1$ .*

Any two vertices of  $J$  joined by a green edge have the same second and third coordinates, and so  $t_1 = t_2$  and  $C_1 = C_2$ . Consequently  $v_1, v_2 \in C_1$ , and the result follows from (9). This proves (10).

(11) Let  $v_1, v_2 \in V(G)$ , and suppose  $P$  is a path of  $J$  between  $\psi(v_1), \psi(v_2)$  containing at most  $n$  red edges. Then  $\text{dist}_G(v_1, v_2) \leq (2k + 2)n + 2k - 1$ .

If  $n = 0$  the result follows from (10), so we assume that  $n \geq 1$ . Let  $P$  have ends  $b_0$  and  $a_{n+1}$ , and let the red edges of  $P$  be  $a_1b_1, a_2b_2, \dots, a_nb_n$  in order, numbered such that there is a green subpath of  $P$  between  $b_i, a_{i+1}$  for  $0 \leq i \leq n$ . For  $1 \leq i \leq n$ , define  $\alpha_i, \beta_i$  as follows: let  $a_i = (s, t, C)$  and  $b_i = (s', t', C')$  say; choose  $\alpha_i \in C$  and  $\beta_i \in C'$  with distance at most three in  $G$ . (This is possible from the definition of red edges.) Let  $\beta_0 = v_1$  and  $\alpha_{n+1} = v_2$ . Thus  $\text{dist}_G(\alpha_i, \beta_i) \leq 3$  for  $1 \leq i \leq n$ ; and  $\text{dist}_G(\beta_i, \alpha_{i+1}) \leq 2k - 1$  by (10). Consequently  $\text{dist}_G(v_1, v_2) \leq (2k + 2)n + 2k - 1$ .

(12) For each  $j \in J$ , there exists  $v \in V(G)$  such that there is a path of  $J$  between  $j$  and  $\psi(v)$  using at most  $k - 1$  red edges.

Let  $j = (s, t, C)$ , and choose  $v \in C \cap B_s$ . There is a green path between  $j$  and  $(t, t, C)$ ; and by (7), since  $v \in C \subseteq B_t$ , there is a path between  $(t, t, C)$  and  $\psi(v)$  containing at most  $k - 1$  red edges. This proves (12).

Let  $H$  be obtained from  $J$  by contracting all green edges. Thus each vertex of  $H$  is formed by identifying all the vertices  $(s, t, C)$  for a fixed core  $(t, C)$ , and so we can identify  $V(H)$  with the set of all cores in the natural way. From (6), and since contraction does not increase pseudo-tree-width,  $H$  has pseudo-tree-width at most  $k - 1$ , and from (8), (11), (12), the function  $\psi$  is a  $(2k + 2, 2k - 1)$ -quasi-isometry from  $G$  to  $H$ . This proves 2.3 and hence (with 2.1) proves 1.5.  $\blacksquare$

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