

# Topological Field Theories in Homotopy Theory I

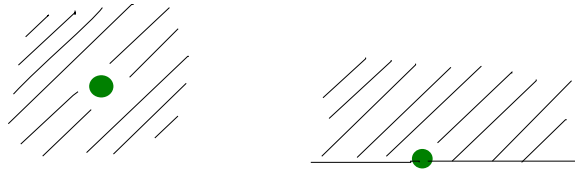
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## Manifolds

$M$  is a manifold of dimension  $d$  if locally it is diffeomorphic to

$$\mathbb{R}^d \quad \text{or} \quad \mathbb{R}_{\geq 0}^d.$$

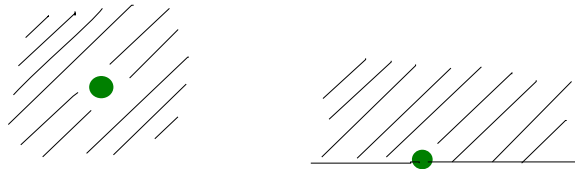


$M$  is closed if it is compact and has no boundary.

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### Fundamental problem:

- classify compact smooth manifolds  $M$  of dim  $d$ ;
- understand their groups of diffeomorphisms  $\text{Diff}(M)$ .

$d$  any : the empty  $\emptyset$  set is a manifold of any dimension

$d = 0$ :  $M$  is a collection of finitely many points

$d = 1$ :  $M$  is a collection of circles  $S^1$  and intervals  $[0, 1]$

$d = 2$ :  $M$  is a collection of orientable surfaces  $F_{g,n}$  and non-orientable surfaces  $N_{g,n}$  of genus  $g$  and with  $n$  boundary components

$F_{1,0} = \text{torus}$

$N_{1,1} = \text{Möbius band}$

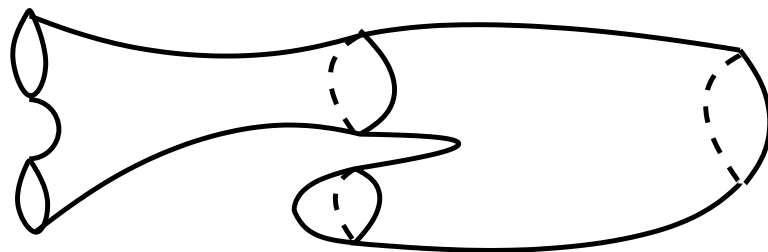
## Leitmotif = Understanding Manifolds

1. Classical Cobordism Theory (Thom, ...)
2. Topological Field Theory (Witten, Atiyah, Segal, ...)
3. Cobordism Hypothesis (Baez-Dolan, Lurie, ...)
4. Classifying spaces of cobordism categories
  - classifying space of cobordism categories
  - . (Galatius–Madsen–Tillmann–Weiss)
  - the cobordism hypothesis for invertible TQFTs
  - filtration of the classical theory
5. Extracting information on  $\text{Diff}(M)$ 
  - Mumford conjecture (Madsen–Weiss, ...)
  - higher dimensional analogues
  - . (Galatius–Randal-Williams, ...)

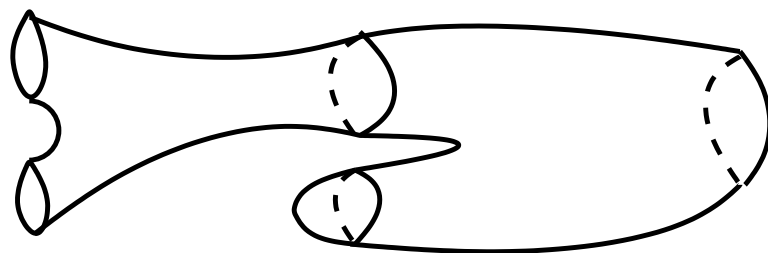
## 1. Classical Cobordism Theory

**Definition:** Two closed oriented  $(d - 1)$ -dimensional manifolds  $M_0$  and  $M_1$  are **cobordant** if there exists a compact oriented  $d$ -dimensional manifold  $W$  with boundary

$$\partial W = \bar{M}_0 \sqcup M_1$$



$$M_0 \longrightarrow W \longleftarrow M_1 \longrightarrow W' \longleftarrow M_2$$



$$M_0 \longrightarrow W \longleftarrow M_1 \longrightarrow W' \longleftarrow M_2$$

Cobordism is an **equivalence relation**; denote equivalence classes by

$$\mathfrak{N}_{d-1}^+$$

It is a **group** with **product**  $\amalg$  and **inverse**

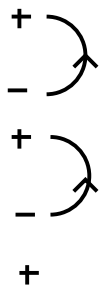
$$M^{-1} = \bar{M}$$

Together they form a **graded ring** with **multiplication**  $\times$

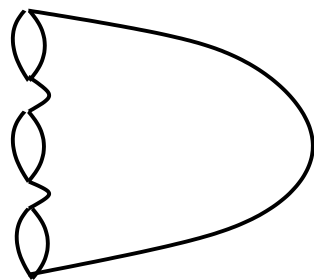
$$\bigoplus_{d>0} \mathfrak{N}_{d-1}^+$$

## Examples:

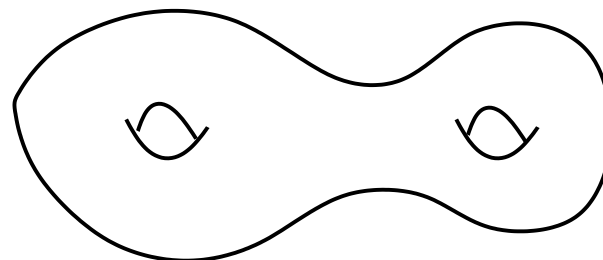
$$\mathfrak{n}_0^+ = \mathbb{Z}$$



$$\mathfrak{n}_1^+ = \{0\}$$



$$\mathfrak{n}_2^+ = \{0\}$$





**Theorem [Thom]**  $\mathfrak{N}_d^+ = \pi_d(\Omega^\infty \mathbf{MSO})$

Recall: For any space  $X$ ,

$$\pi_d(X)$$

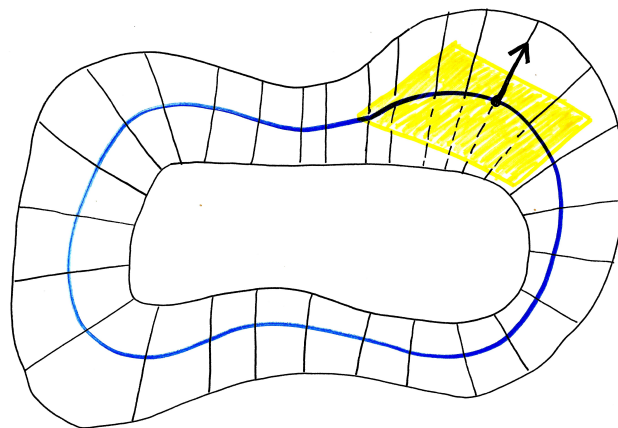
is the group of homotopy classes of based maps from  $S^d$  to  $X$

**Theorem [Thom]**  $\mathfrak{N}_d^+ = \pi_d(\Omega^\infty \mathbf{MSO})$

where

$$\Omega^\infty \mathbf{MSO} := \lim_{n \rightarrow \infty} \lim_{k \rightarrow \infty} \text{maps}_*(S^n, (U_{n,k})^c)$$

and  $U_{n,k} \rightarrow Gr^+(n, k)$  is the universal  $n$ -dimensional bundle over the Grassmannian manifold of oriented  $n$ -planes in  $\mathbb{R}^{n+k}$ .



$M^d \subset$  tubular neighbourhood  $N(M) \subset \mathbb{R}^{d+n}$

$\mapsto$

$$S^{d+n} = (R^{d+n})^c \xrightarrow{\text{collapse}} (N(M))^c \xrightarrow{\phi_{N(M)}} (U_{n,d})^c$$

$$(x, v) \mapsto (N_x M, v).$$

**Theorem [Thom]**  $\mathfrak{X}_*^+ \otimes \mathbb{Q} \simeq \mathbb{Q}[\mathbb{C}P^2, \mathbb{C}P^4, \dots]$ .

**Theorem [Thom]**  $\mathfrak{N}_*^+ \otimes \mathbb{Q} \simeq \mathbb{Q}[\mathbb{C}P^2, \mathbb{C}P^4, \dots]$ .

**Proof:** For fixed  $*$  and large  $n$  and  $k$ ,

$$\begin{aligned}
 \pi_*(\Omega^\infty \mathbf{MSO}) \otimes \mathbb{Q} &= \pi_*\left(\lim_{n \rightarrow \infty} \lim_{k \rightarrow \infty} \text{maps}_*(S^n, (U_{n,k})^c)\right) \otimes \mathbb{Q} \\
 &= \pi_*(\text{maps}_*(S^n, (U_{n,k})^c)) \otimes \mathbb{Q} \\
 &= \pi_{*+n}((U_{n,k})^c) \otimes \mathbb{Q} \\
 &= H_{*+n}((U_{n,k})^c) \otimes \mathbb{Q} \quad \text{by Serre} \\
 &= H_*(Gr^+(n, k)) \otimes \mathbb{Q} \quad \text{by Thom.}
 \end{aligned}$$



René Thom (1923–2002); Fields Medal 1958

## 2. Topological Field Theory

$\mathcal{Cob}_d^\delta$  is the discrete cobordism category with

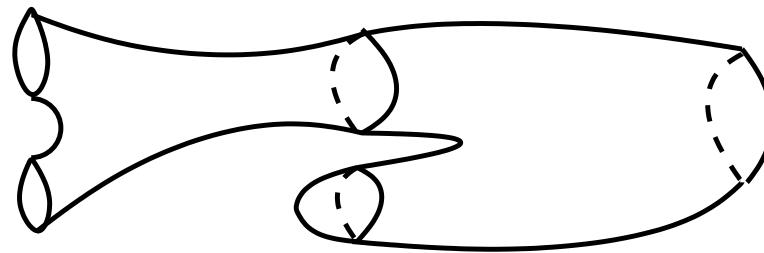
**Objects:** closed oriented  $d-1$  dimensional manifolds  $M$

**Morphisms from  $M_0$  to  $M_1$ :**

$d$ -dimensional cobordism  $W$  with  $\partial W = \bar{M}_0 \sqcup M_1$

— modulo diffeomorphisms rel. boundary

**Composition:** gluing of cobordisms.



$$W' \circ W : M_0 \longrightarrow M_1 \longrightarrow M_2$$

**Definition:** A  $d$ -dimensional TFT is a functor

$$\mathcal{F} : \mathcal{Cob}_d^\delta \longrightarrow \mathcal{V}$$

to the category  $\mathcal{V}$  of vector spaces that takes disjoint union of manifolds to tensor products of vector spaces.

**Example:** the unit has to be mapped to the unit; hence

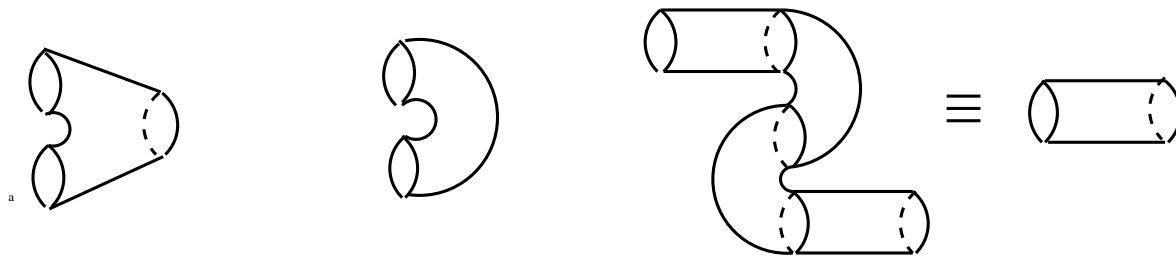
$$\mathcal{F}(\emptyset) = \mathbb{C}$$



**Folk Theorem:** 2-dimensional TFTs are in one-to-one correspondence with finite dimensional, commutative Frobenius algebras:

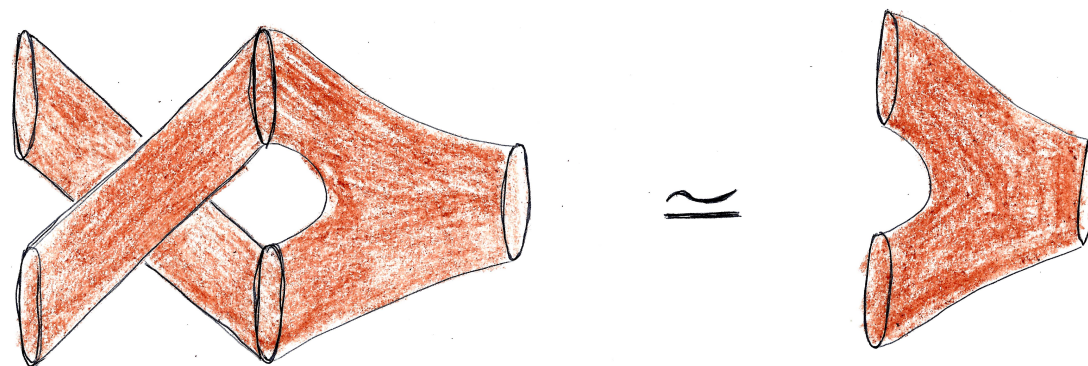
$$\mathcal{F} \longleftrightarrow A := \mathcal{F}(S^1)$$

and hence  $\mathcal{F}(\amalg_n S^1) = A^{\otimes n}$



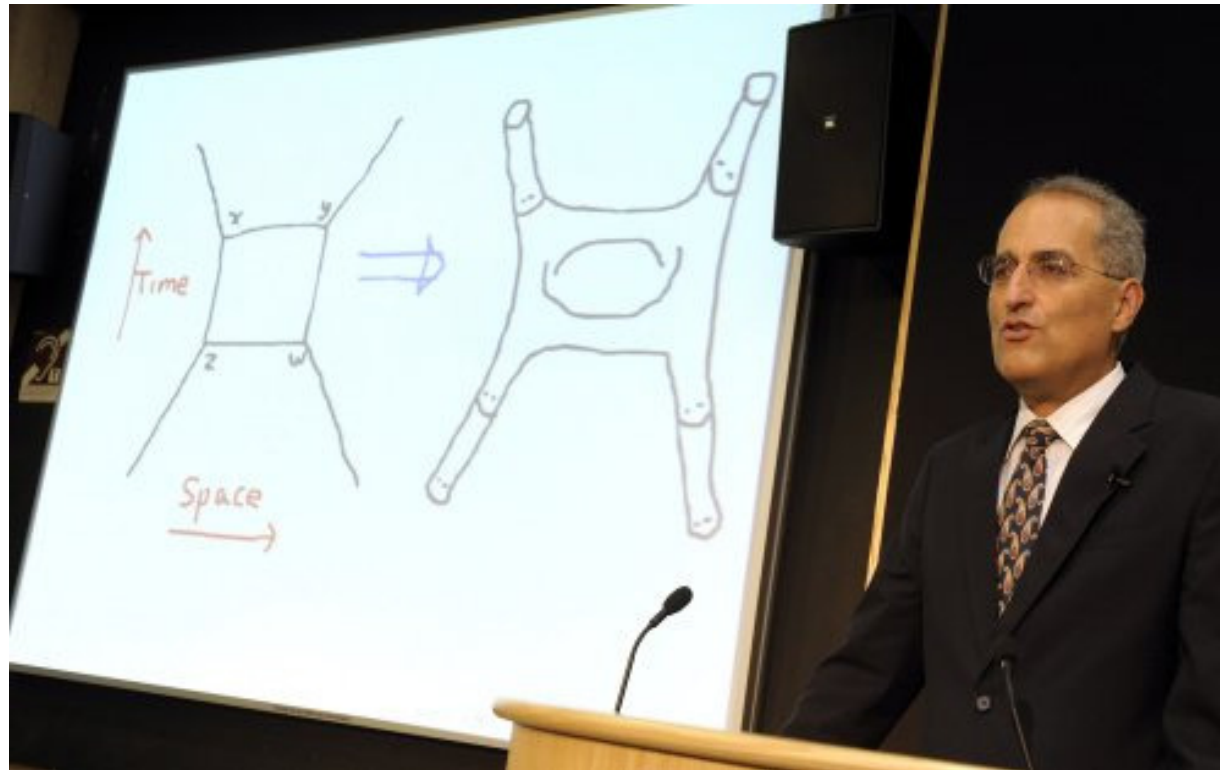
$$\mu : A \otimes A \rightarrow A \quad \langle, \rangle : A \otimes A \rightarrow \mathbb{C} \quad Id : A \rightarrow A$$

Commutativity:



$$\mu \circ \tau = \mu : A \otimes A \longrightarrow A$$

Associativity and unitality are similar.



Edward Witten



Michael Atiyah

## Motivation:

$d$ -dimensional TFTs define **topological invariants** for  $d$ -dimensional closed manifolds:

If  $\partial W = \emptyset$  then it defines a morphisms  $W : \emptyset \rightarrow \emptyset$ , and  $\mathcal{F}$  assigns a number to  $W$  depending only on its topology:

$$\mathcal{F}(W) : \mathcal{F}(\emptyset) = \mathbb{C} \longrightarrow \mathcal{F}(\emptyset) = \mathbb{C}$$

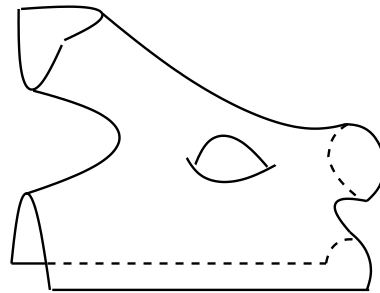
**Physical inspiration:** locality!

### 3. Cobordism Hypothesis

**Physical inspiration:** locality!

**Categorification:**

points, cobordisms, cobordisms of cobordisms, ...



$Cob_d^\delta$  is replaced by  $d$ -fold category  $exCob_d^\delta$

$\mathcal{V}$  replaced by a  $d$ -fold symmetric monoidal category  $\mathcal{V}_d$

Study **extended TFTs**

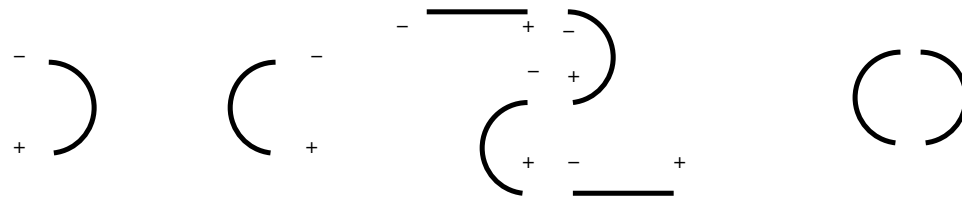
$$\mathcal{F} : exCob_d^\delta \longrightarrow \mathcal{V}_d$$

**Cobordism hypothesis (weak) [Baez-Dolan]**

Extended TFTs are determined by  $\mathcal{F}(*)$ .

**Example:** 1-dimensional theories

Let  $\mathcal{F}(*_+) = V$  and  $\mathcal{F}(*_-) = V'$ .



- evaluation  $e : V \otimes V' \rightarrow \mathbb{C}$
- co-evaluation  $e^* : \mathbb{C} \rightarrow V' \otimes V$
- $V$  is finite dimensional as

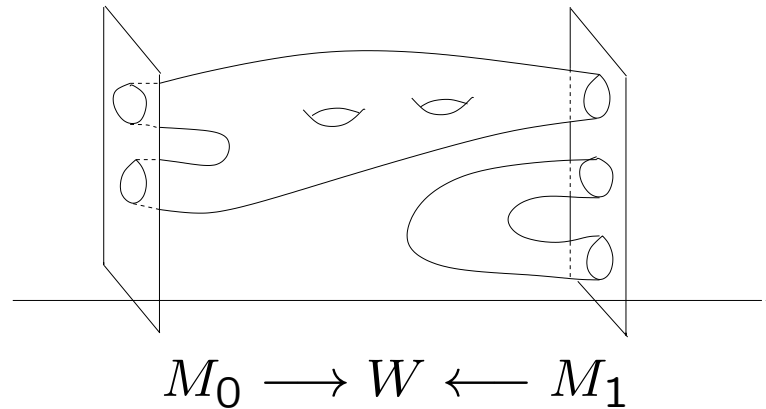
$$id : V \xrightarrow{id \otimes e^*} V \otimes V' \otimes V \xrightarrow{e \otimes id} V$$

- $e \circ e^* = \dim(V) : \mathbb{C} \rightarrow \mathbb{C}$



## Enriched TFTs

Consider moduli spaces of all compact  $(d - 1)$ - and  $d$ -manifolds embedded in  $\mathbb{R}^{d+n}$ ,  $n \rightarrow \infty$ , to form the topological category  $\mathcal{Cob}_d$ .



The homotopy type of the space of morphisms:

$$\begin{aligned} \text{mor}_{\text{Cob}_d}(M_0, M_1) &\simeq \coprod_W \text{Emb}^\partial(W, \mathbb{R}^{d+\infty}) / \text{Diff}(W; \partial) \\ &\simeq \coprod_W B\text{Diff}(W; \partial) \end{aligned}$$

where the disjoint union is taken over all diffeomorphism classes of cobordisms  $W$ .

Note:  $\text{Emb}^\partial(W, \mathbb{R}^{d+\infty})$  is weakly contractible, and  $\text{Diff}(W; \partial)$  acts freely

**Theorem [Hopkins-Lurie, Lurie]:**

$$\mathcal{F} : exCob_d^{fr} \rightarrow \mathcal{V}_d$$

is determined by  $\mathcal{F}(*)$ , the value on a point.

Vice versa, any object in  $\mathcal{V}_d$  satisfying certain duality and non-degeneracy properties gives rise to a TFT.

**More general:** for non-orientable, oriented, ...,  $\mathcal{F}$  is still determined by  $\mathcal{F}(*)$  but there are group actions that have to be considered.

## Excursion: tangential structures

Recall:

$$\mathrm{Vect}_n(W) = [W, BO(n)] = [W, Gr(n, \infty)]$$

$$E \leftrightarrow \phi_E$$

**Definition:** Let  $\theta(n) : \mathcal{X}(n) \rightarrow BO(n)$  be a fiber bundle. A  $\theta(n)$ -structure on  $W^d$  is a lift of  $\phi_E : W \rightarrow BO(n)$  to  $\mathcal{X}(n)$  for  $E = TW \oplus \mathbb{R}^{n-d}$

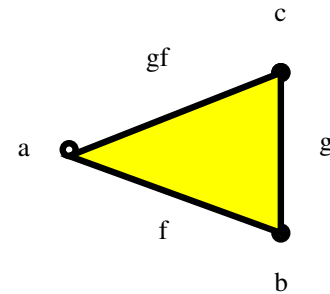
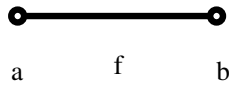
Oriented  $+$ :  $\mathbb{Z}/2\mathbb{Z} \rightarrow BSO(n) \rightarrow BO(n)$

Framed  $fr$ :  $O(n) \rightarrow EO(n) \rightarrow BO(n)$

**Example:**  $S^d$  is  $EO(n)$ -framed if  $n > d$

## 4. Classifying space of cobordism categories

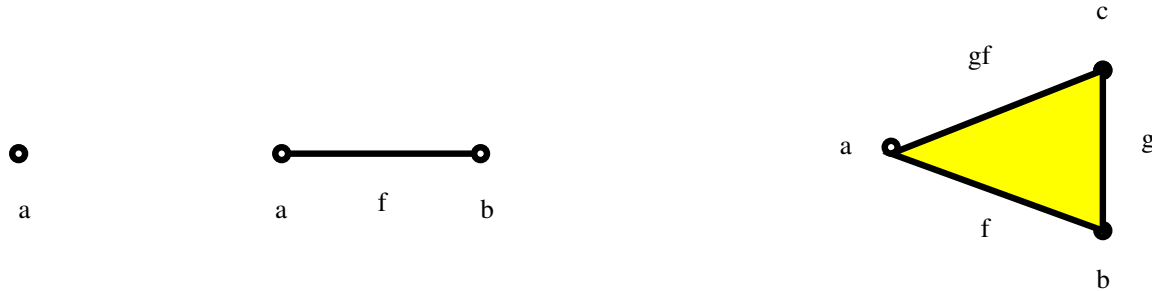
$B : \text{Topological Categories} \longrightarrow \text{Spaces}, \quad \mathcal{C} \mapsto B\mathcal{C}$



**Example:** for a group  $G$  get  $BG$

## 4. Classifying space of cobordism categories

$B : \text{Topological Categories} \longrightarrow \text{Spaces}, \quad \mathcal{C} \mapsto B\mathcal{C}$



- morphisms  $\mapsto$  paths **which are homotopy invertible!**
- for every  $a \in ob_{\mathcal{C}}$ , there is a characteristic map

$$\alpha : mor_{\mathcal{C}}(a, a) \longrightarrow \text{maps}([0, 1], \partial; B\mathcal{C}, a) = \Omega B\mathcal{C}$$

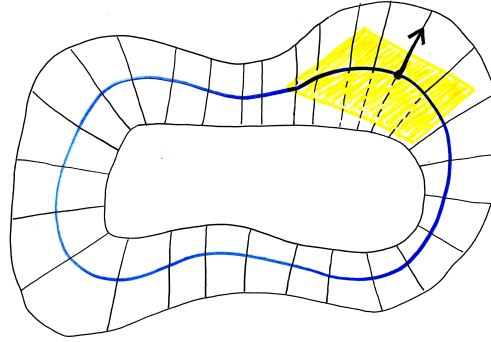
- monoidal cats  $\mapsto E_1$ -spaces ( $\Omega$ -spaces)
- symmetric monoidal cats  $\mapsto E_{\infty}$ -spaces ( $\Omega^{\infty}$ -spaces)

**Theorem** [Galatius, Madsen, Tillmann, Weiss]

$$\Omega B(\mathcal{C}ob_d) \simeq \Omega^\infty \mathbf{MTSO}(d) = \lim_{n \rightarrow \infty} \Omega^{d+n}((U_{d,n}^\perp)^c)$$

where  $U_{d,n}^\perp$  is the orthogonal complement of the universal bundle  $U_{d,n} \rightarrow Gr^+(d, n)$ .

Note: the Thom class is in dimension  $-d$



The characteristic map:

$$\text{mor}_{\text{Cob}_d}(\emptyset, \emptyset) \ni W \subset N(W) \subset \mathbb{R}^{d+n}$$

$\mapsto$

$$\alpha(W) : S^{d+n} = (R^{d+n})^c \xrightarrow{\text{collapse}} N(W)^c \xrightarrow{\phi_{T(W)}} (U_{d,n}^\perp)^c$$

$$(x, v) \mapsto (T_x W, v)$$

In Thom's theory:

$$(x, v) \mapsto (N_x W, v) \in (U_{n,d})^c.$$



## Filtration of classical cobordism theory

The inclusion of multi-categories

$$exCob_1 \subset \cdots \subset exCob_{d-1} \subset exCob_d \subset \cdots$$

induces on taking multi-classifying spaces a filtration

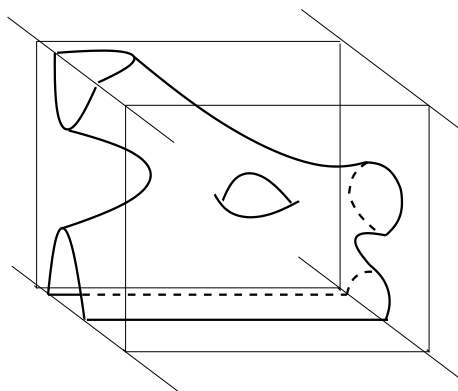
$$\Omega^\infty S^\infty \rightarrow \cdots \rightarrow \Omega^{\infty-(d-1)} \mathbf{MTSO}(d-1) \rightarrow \Omega^{\infty-d} \mathbf{MTSO}(d) \cdots$$

of Thom's space  $\Omega^\infty \mathbf{MSO}$  which respects the additive and multiplicative structure

All Thom classes are in degree zero!

## An even finer filtration

$$\begin{aligned}
 \Omega^\infty \mathbf{MSO} &\simeq \lim_{n \rightarrow \infty} \lim_{d \rightarrow \infty} \Omega^n(U_{n,d})^c \\
 &\simeq \lim_{d \rightarrow \infty} \lim_{n \rightarrow \infty} \Omega^n(U_{d,n}^\perp)^c \\
 &\simeq \lim_{d \rightarrow \infty} \lim_{n \rightarrow \infty} B(\mathcal{Cob}_{d,n}^d)
 \end{aligned}$$



A 2-morphism in  $\mathcal{Cob}_{2,1}^2$ .

For the framed theory, this is the constant filtration

$$B(\text{exCob}_1^{fr}) \simeq \cdots \simeq B(\text{exCob}_d^{fr}) \simeq \cdots \simeq \Omega^\infty S^\infty$$

**Compare:**

Classically, framed cobordism theory is isomorphic to stable homotopy theory.

For the framed theory, this is the constant filtration

$$B(\text{exCob}_1^{fr}) \simeq \cdots \simeq B(\text{exCob}_d^{fr}) \simeq \cdots \simeq \Omega^\infty S^\infty$$

**Proof sketch:**

$$B(\text{exCob}_d^{fr}) = \lim_{n \rightarrow \infty} \Omega^n (U_{d,n}^{fr, \perp})^c \simeq \Omega^\infty S^\infty$$

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$fr$  is defined by  $EO(d) \rightarrow BO(n)$  and

$$EO(d) = \lim_{n \rightarrow \infty} U_{d,n} \simeq *$$

$U_{d,n}^{fr}$  is the universal bundle over the Stiefel manifold of framed  $d$ -planes in  $\mathbb{R}^{d+n}$  so that

$$(U_{d,n}^{fr, \perp})^c \text{ is approximately } S^n$$

## Cobordism Hypothesis for invertible theories

An extended framed TFT

$$\mathcal{F} : \text{exCob}_d^{fr} \longrightarrow \mathcal{V}_d$$

induces a map of infinite loop spaces

$$B\mathcal{F} : B(\text{exCob}_d^{fr}) \simeq \Omega^\infty S^\infty \longrightarrow B(\mathcal{V}_d).$$

$\Omega^\infty S^\infty$  is the free infinite loop space on one point

$\implies B\mathcal{F}$  is determined by its value on that point,  $B\mathcal{F}(*)$ .

If  $\mathcal{F}$  is **invertible** (in the sense that the images of all morphisms are invertible) it **factors through**  $B\mathcal{F}$ .

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