

## Prime ideals in noncommutative Iwasawa algebras

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### *Abstract*

We study the prime ideal structure of the Iwasawa algebra  $\Lambda_G$  of an almost simple compact  $p$ -adic Lie group  $G$ . When the Lie algebra of  $G$  contains a copy of the two-dimensional non-abelian Lie algebra, we show that the prime ideal structure of  $\Lambda_G$  is somewhat restricted. We also provide a potential example of a prime  $c$ -ideal of  $\Lambda_G$  in the case when the Lie algebra of  $G$  is  $\mathfrak{sl}_2(\mathbb{Q}_p)$ .

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### 1. Introduction

Let  $p$  be a prime and let  $G$  be a compact  $p$ -adic Lie group. The Iwasawa algebra of  $G$

$$\Lambda_G = \mathbb{Z}_p[[G]] := \varprojlim_{N \triangleleft_o G} \mathbb{Z}_p[G/N]$$

is of interest in number theory and arithmetic geometry, particularly when  $G$  is an open subgroup of  $GL_2(\mathbb{Z}_p)$ . When  $G$  is torsion free pro- $p$ ,  $\Lambda_G$  is also a concrete example of a complete local (noncommutative in general) Noetherian integral domain with good homological properties ([10]).

Recently, J. Coates, P. Schneider and R. Sujatha ([5]) developed a structure theory for finitely generated modules over  $\Lambda_G$ . One of the main features of this theory is the notion of a *prime  $c$ -ideal*, this being a nonzero prime ideal of  $\Lambda_G$  which is reflexive as a right (and left)  $\Lambda_G$ -module. This raises interest in the prime ideal structure of  $\Lambda_G$  in general.

If  $H$  is a closed normal subgroup of  $G$  such that  $G/H$  is torsion free pro- $p$ , the kernel of the natural map  $\Lambda_G \rightarrow \Lambda_{G/H}$  is an obvious example of a prime ideal of  $\Lambda_G$ . Let  $\Omega_G = \mathbb{F}_p[[G]] := \varprojlim_{N \triangleleft_o G} \mathbb{F}_p[G/N]$  denote the  $\mathbb{F}_p$  version of Iwasawa algebras. Since  $\Omega_G \cong \Lambda_G/p\Lambda_G$  has no zero divisors when  $G$  is a uniform pro- $p$  group ([6], 7.26), we see that  $p\Lambda_G$  is also an example of a prime ideal in this case. In fact,  $\Omega_G$  has no zero divisors whenever  $G$  is torsion free pro- $p$ , see [3].

Suppose  $G$  is almost simple so that any infinite closed normal subgroup of  $G$  is open. If  $G$  is torsion free pro- $p$ , the above discussion produces two prime ideals of  $\Omega_G$ , namely the zero ideal and the maximal ideal. This prompts the following question:

*Question.* Are these the only prime ideals of  $\Omega_G$ ?

In [7], M. Harris claimed that the two-sided annihilator of the induced module  $\mathbb{Z}_p \otimes_{\Lambda_H} \Lambda_G$  for  $\Lambda_G$  is nonzero, whenever  $H$  is a suitably large subgroup of  $G$ . If true, this would provide an concrete example of a nontrivial two-sided ideal of  $\Lambda_G$  (and of  $\Omega_G$ ). Unfor-

tunately, this interesting paper contains a gap and the author would like to thank J. Ellenberg for pointing this out to him.

We are unable to answer this question at present. However, we show that the two-sided ideal structure of  $\Omega_G$  is somewhat restricted. More precisely, we prove

**THEOREM A.** *Let  $G$  be an almost simple pro- $p$  group of finite rank. Suppose that the Lie algebra of  $G$  contains a copy of the two-dimensional nonabelian Lie algebra and that  $I$  is a two-sided ideal of  $\Omega_G$ . Then*

$$\mathcal{K}(\Omega_G/I) \neq 1.$$

Here  $\mathcal{K}$  denotes the Krull (-Gabriel-Rentschler) dimension of modules for  $\Omega_G$ , studied in greater detail in [1]. Recall that a module  $M$  is said to be *1-critical* if  $M$  is not Artinian but every proper factor of  $M$  is Artinian.

**THEOREM B.** *Let  $G$  be a compact  $p$ -valued  $p$ -adic Lie group with Lie algebra  $\mathfrak{sl}_2(\mathbb{Q}_p)$ . Let  $M$  be a finitely generated  $\Lambda_G$ -module such that  $M/pM$  is 1-critical and let  $I = \text{Ann}_{\Lambda_G}(M)$ . Then if  $I$  is nonzero,  $I$  is a prime  $c$ -ideal of  $\Lambda_G$ .*

This applies in particular when  $G = \ker(SL_2(\mathbb{Z}_p) \rightarrow SL_2(\mathbb{F}_p))$  and  $M = \mathbb{Z}_p \otimes_{\Lambda_B} \Lambda_G$  is the induced module from a Borel subgroup  $B$  of  $G$ . Thus if  $I$  is nonzero in this case, it is an explicit example of a prime  $c$ -ideal in  $\Lambda_G$  distinct from  $p\Lambda_G$ .

## 2. Endomorphism rings of 1-critical modules

In this section we obtain some information about endomorphism rings of 1-critical modules for  $\Omega_G$ . When  $G$  is pro- $p$ ,  $\Omega_G$  is local with unique simple module isomorphic to  $\mathbb{F}_p$ . One can therefore think of the 1-critical modules as a substitute for the simple modules for  $\Omega_G$  and as such their endomorphism rings are natural to consider.

**THEOREM 2.1.** *Let  $H$  be an almost simple pro- $p$  group of finite rank. Suppose that the Lie algebra of  $H$  contains a copy of the two-dimensional nonabelian Lie algebra. Let  $M$  be a finitely generated 1-critical  $\Omega_H$ -module and let  $R = \text{End}_{\Omega_H}(M)$ . Then  $R$  is a finite field extension of  $\mathbb{F}_p$ . Moreover, if  $M$  is cyclic over  $\Omega_H$ ,  $R \cong \mathbb{F}_p$ .*

We begin with a very useful primality result. Recall that a two-sided ideal  $I$  of a (not necessarily commutative) ring  $R$  is said to be *prime* if whenever  $A, B$  are two-sided ideals of  $R$  strictly containing  $I$ ,  $AB$  also strictly contains  $I$ .

Recall also that a ring  $R$  is called *semi-local* if  $R/J$  is Artinian, where  $J$  is the Jacobson radical of  $R$ .

**PROPOSITION 2.2.** *Let  $R$  be a semi-local Noetherian ring. Suppose  $M$  is a finitely generated 1-critical  $R$ -module. Then the global annihilator  $I = \text{Ann}_R(M)$  of  $M$  is prime.*

*Proof.* Let  $S = \{\text{Ann}_R(T) : 0 \neq T \triangleleft M\}$ . Since  $R$  is right Noetherian,  $S$  has a maximal element  $Y = \text{Ann}_R(N)$  say, for some nonzero submodule  $N$  of  $M$ . It's clear that as  $MI = 0$ ,  $I \subseteq Y$ .

We claim that  $Y$  is prime. If this is false, we can find ideals  $A$  and  $B$  of  $R$  such that  $Y \subsetneq A$  and  $Y \subsetneq B$  but  $AB \subseteq Y$ . Now  $NA \neq 0$  since  $Y = \text{Ann}_R(N)$  and  $Y \subsetneq A$ ; thus  $\text{Ann}_R(NA) \in S$ . But  $NAB = 0$  so  $Y \subsetneq B \subseteq \text{Ann}_R(NA)$ , contradicting the maximality of  $Y$ .

Now,  $N$  is a nonzero submodule of the 1-critical module  $M$ , so  $M/N$  is Artinian and  $MJ^n \subseteq N$  for some integer  $n$ . Hence  $MJ^n Y = 0$  and  $J^n Y \subseteq I$ .

Since  $R$  is left Noetherian,  $Y/I$  is a finitely generated left  $R/J^n$ -module, which is an Artinian ring because  $R$  is semi-local (and since  $J$  is finitely generated as a left ideal). Hence  $Y/I$  has finite length as a left  $R$ -module.

Since  $R$  is right Noetherian,  $Y/I$  must be Artinian as a right  $R$ -module by Theorem 4.1.6 of [9], so  $YJ^m \subseteq I$  for some integer  $m$ . It follows that  $MYJ^m \subseteq MI = 0$  and so  $MY$  is Artinian, being a finitely generated right module over the Artinian ring  $R/J^m$ . Because  $M$  is 1-critical,  $MY = 0$  and so  $Y = I$  is prime.  $\square$

Note that the condition that  $R$  is semi-local cannot be removed from the statement of this result, as Theorem 4.2 of [4] shows.

The main step comes next.

**PROPOSITION 2.3.** *Let  $H$  be as in Theorem 2.1 and let  $G = H \times Z$  where  $Z = \langle \theta \rangle \cong \mathbb{Z}_p$ . Write  $z = \theta - 1 \in \Omega_G$ . Let  $M$  be a finitely generated 1-critical  $\Omega_G$ -module. Then either*

- (i)  $M.z = 0$ , or
- (ii)  $M \cong \mathbb{F}_p \otimes_{\Omega_H} \Omega_G$ .

*Proof.* Since  $G$  is pro- $p$  of finite rank,  $\Omega_G$  is Noetherian and local with unique maximal ideal  $J_G$ , say.

Note that as  $\theta \in Z(G)$ ,  $z$  acts by  $\Omega_G$ -module endomorphisms on  $M$ .

As  $M$  is 1-critical, any non-zero endomorphism of  $M$  must be an injection ([9], 6.2.3). Assume that  $M.z \neq 0$ ; then  $z$  acts injectively on  $M$ .

Let  $A = \mathbb{F}_p[[z]] \subseteq Z(\Omega_G)$ . Now, as  $M$  is 1-critical and  $M.z \neq 0$ ,  $M/M.z$  is finite dimensional over  $\mathbb{F}_p$ . Because  $z$  acts injectively on  $M$ ,  $M.z^n/M.z^{n+1} \cong M/M.z$  for all  $n \geq 1$ , which means that the graded module of  $M$  with respect to the  $z$ -adic filtration is finitely generated over  $\text{gr } A \cong \mathbb{F}_p[t]$ .

As  $M$  is a finitely generated module over  $\Omega_G$ ,  $M$  is complete with respect to the  $J_G$ -adic filtration; in particular,  $\bigcap_{n=0}^{\infty} M.J_G^n = 0$ . Hence  $\bigcap_{n=0}^{\infty} M.z^n = 0$ , so the  $z$ -adic filtration on  $M$  is separated.

Because  $A$  is complete with respect to the  $z$ -adic filtration,  $M$  is finitely generated over  $A$ , by Theorem 5.7 of Chapter I of [8]. Also,  $z$  acts injectively on  $M$ , so  $A \hookrightarrow \text{End}_A(M)$ . These facts mean that  $\text{End}_A(M)$  is finitely generated as a module over  $A$ , a commutative subring. It follows from Corollary 13.1.13(iii) of [9] that  $\text{End}_A(M)$  is a PI ring.

Now, let  $\mathfrak{b}$  be the two-dimensional nonabelian Lie algebra over  $\mathbb{Q}_p$  and let  $\mathcal{L}(H)$  denote the Lie algebra of  $H$ . By assumption on  $H$ ,  $\mathfrak{b} \hookrightarrow \mathcal{L}(H)$ , so we can find a closed subgroup  $B$  of  $H$  with Lie algebra  $\mathfrak{b}$ . By passing to a subgroup of finite index if necessary, we can write  $B = X \rtimes Y$  where  $X, Y \cong \mathbb{Z}_p$ . This is clearly a uniform pro- $p$  group.

Because the centre of  $\mathfrak{b}$  is trivial, so is the centre of  $B$ . It follows that  $Z(\Omega_B) = \Omega_{\{1\}} = \mathbb{F}_p$ , by Corollary A of [2]. Hence  $\Omega_B.S^{-1} \cong \Omega_B$ , where  $S = Z(\Omega_B) - \{0\}$ .

Let  $P = \text{Ann}_{\Omega_G}(M)$  and suppose that  $P \cap \Omega_B = 0$ . Then  $\Omega_B \hookrightarrow \text{End}_A(M)$ . It follows that  $\Omega_B$  is a prime PI-ring. By Posner's Theorem ([9], 13.6.5),  $\Omega_B.S^{-1} \cong \Omega_B$  is a central simple algebra. This contradicts the fact that  $J_B$  is a non-trivial two-sided ideal of  $\Omega_B$ . Hence  $P \cap \Omega_B \neq 0$ .

Now, by a result of Venjakob (Theorem 7.1 of [11]), the only nonzero prime ideals of  $\Omega_B$  are  $x.\Omega_B$  and  $J_B$  where  $\Omega_X \cong \mathbb{F}_p[[x]]$ . The nonzero two-sided ideal  $P \cap \Omega_B$  of  $\Omega_B$  contains a product of nonzero prime ideals as  $\Omega_B$  is Noetherian. Since  $x \in J_B$ , we see that  $x^{p^k} \in P$  for some  $k \geq 1$  and hence  $(1 + P) \cap X \neq 1$ .

But  $(1 + P) \cap H$  is then an infinite normal subgroup of  $H$  and hence must be open in  $H$ , since  $H$  is almost simple. This forces  $J_H^m \subseteq P$  for some  $m \geq 1$ .

Let  $Q = \ker(\Omega_G \twoheadrightarrow A)$ . Then it's easy to see that  $Q = J_H \cdot \Omega_G = \Omega_G \cdot J_H$ , so  $Q^m = (J_H \cdot \Omega_G)^m \subseteq P$ . By Theorem 2.2,  $P$  is prime, so  $Q \subseteq P$ .

Hence  $A \cong \mathbb{F}_p \otimes_{\Omega_H} \Omega_G \twoheadrightarrow \Omega_G/P \twoheadrightarrow M$ ; since  $A$  is itself a 1-critical  $\Omega_G$ -module, we must have  $A \cong M$ , so (ii) holds.  $\square$

*Proof of Theorem 2.1* Let  $G$  and  $z \in \Omega_G$  be as in Proposition 2.3; it's easy to see that  $\Omega_G \cong \Omega_H[[z]]$ .

Let  $\varphi \in \text{Hom}_{\Omega_H}(M, MJ)$ , where  $J = J_H$ . Then we can make  $M$  into an  $\Omega_G$ -module by setting

$$m \cdot \sum_{n=0}^{\infty} r_n z^n = \sum_{n=0}^{\infty} \varphi^n(m) \cdot r_n.$$

The right hand side of this expression makes sense because  $\varphi(M) \subseteq MJ$ , so  $\varphi^n(M) \subseteq MJ^n$  for all  $n$ . It's clear that this defines an action of  $\Omega_G$  on  $M$  which extends the action of  $\Omega_H$  and such that  $z$  acts as  $\varphi$ . It's easy to check that  $M$  must be 1-critical as an  $\Omega_G$ -module.

By Proposition 2.3, either  $M.z = 0$  (so  $\varphi = 0$ ), or  $M \cong \mathbb{F}_p \otimes_{\Omega_H} \Omega_G$ , in which case  $M.J_H = 0$ . As  $M$  is finitely generated over  $\Omega_H$ , the latter case forces  $M$  to be finite dimensional over  $\mathbb{F}_p$ , contradicting the 1-criticality of  $M$ . Hence  $\varphi = 0$ , and therefore  $\text{Hom}_{\Omega_H}(M, MJ) = 0$ .

Now, as  $MJ$  is a characteristic  $\Omega_H$ -submodule of  $M$ , we have the exact sequence

$$0 \rightarrow \text{Hom}_{\Omega_H}(M, MJ) \rightarrow \text{Hom}_{\Omega_H}(M, M) \rightarrow \text{Hom}_{\Omega_H}(M/MJ, M/MJ)$$

which shows that  $R$  embeds into  $\text{End}_{\mathbb{F}_p}(M/MJ)$ , which is finite dimensional over  $\mathbb{F}_p$ . Note that if  $M$  is cyclic,  $R \cong \mathbb{F}_p$  because  $M/MJ \cong \mathbb{F}_p$ .

Now, as  $M$  is critical, any nonzero endomorphism of  $M$  is an injection. This means that  $R$  is a domain, and is hence a finite division ring. By Wedderburn's Theorem,  $R$  is a finite field extension of  $\mathbb{F}_p$ .  $\square$

### 3. Main results

We now have enough information to give a proof of Theorem A.

*Proof of Theorem A* Let  $R = \Omega_G$  and let  $I$  be a two-sided ideal of  $R$  with  $\mathcal{K}(R/I) = 1$ . Pick a 1-critical quotient  $M = R/L$  of  $R/I$  for some right ideal  $L$  of  $R$  and let  $P = \text{Ann}_R(M)$ . Clearly,  $I \subseteq P$ .

Let  $\bar{\cdot}$  denote the natural projection of  $R$  onto  $R/P$ . Note that  $\bar{R}$  is a prime ring, by Proposition 2.2. Since  $\bar{R} \twoheadrightarrow M$  and  $\mathcal{K}(M) = 1$ ,  $\bar{R}$  is infinite dimensional over  $\mathbb{F}_p$ .

Let  $Q$  be the quotient ring of  $\bar{R}$ ; by Goldie's Theorem ([9], 2.3.6) we know that  $Q$  is simple Artinian, because  $\bar{R}$  is prime Noetherian. Say  $Q \cong M_n(D)$  for some division ring  $D$  and integer  $n \geq 1$ . Here  $D = \text{End}_Q(V)$  where  $V$  is the unique simple  $Q$ -module. In what follows, we use the fact that  $Q$  is a flat  $\bar{R}$ -module.

Suppose  $\bar{L}Q < \bar{R}Q$ , i.e.  $MQ \neq 0$ . Since  $M$  is finitely generated over  $\bar{R}$ ,  $MQ$  is finitely generated over  $Q$  and is hence isomorphic to a direct sum of  $k$  copies of  $V$  for some integer  $k > 0$ . Hence  $\text{End}_Q(MQ) \cong M_k(D)$ .

Let  $N$  be the torsion submodule of  $M$  with respect to  $\mathcal{C}_{\bar{R}}(0)$  (the set of regular elements

of  $\bar{R}$ ), so that  $M/N$  is torsion free with respect to  $\mathcal{C}_{\bar{R}}(0)$  and  $(M/N)Q \cong MQ$ . Now if  $N \neq 0$ ,  $M/N$  is finite dimensional over  $\mathbb{F}_p$  (because  $M$  is 1-critical) and so  $\text{End}_R(M/N)$  must also be finite dimensional over  $\mathbb{F}_p$ ; if  $N = 0$ ,  $\text{End}_R(M/N)$  is finite dimensional over  $\mathbb{F}_p$  by Theorem 2.1.

As  $M/N$  is finitely generated over  $\bar{R}$ , torsion free with respect to  $\mathcal{C}_{\bar{R}}(0)$  and as  $\bar{R}$  is prime Goldie,  $M/N$  is torsionless, by Theorem 3.4.7 of [9]. Hence by Theorem 3.4.6 of [9],  $\text{End}_R(M/N)$  is a right order in  $\text{End}_Q((M/N)Q) = \text{End}_Q(MQ) \cong M_k(D)$ , so  $M_k(D)$  and hence  $Q \cong M_n(D)$  must be finite dimensional over  $\mathbb{F}_p$ .

This is impossible as  $\bar{R} \hookrightarrow Q$  with  $\bar{R}$  infinite dimensional over  $\mathbb{F}_p$ . So in fact  $MQ = 0$  and hence  $\bar{L}$  must contain a regular element  $\bar{x}$  of  $\bar{R}$ . Now we get a chain

$$\bar{R} > \bar{x}\bar{R} > \bar{x}^2\bar{R} > \dots > \bar{0}$$

of right ideals of  $\bar{R}$  with each quotient isomorphic to  $\bar{R}/\bar{x}\bar{R}$ , because  $\bar{x}$  is regular in  $\bar{R}$ . Hence

$$\mathcal{K}(R/I) \geq \mathcal{K}(\bar{R}) \geq \mathcal{K}(\bar{R}/\bar{x}\bar{R}) + 1 \geq \mathcal{K}(R/L) + 1 = 2,$$

a contradiction.  $\square$

To prove Theorem B, we first prove an analogue of Proposition 2.2 for  $\Lambda_G$ . First, an elementary Lemma.

LEMMA 3.1. *Let  $G$  be a compact  $p$ -adic Lie group. Let  $M$  be a finitely generated  $p$ -torsion free  $\Lambda_G$ -module and let  $I = \text{Ann}_{\Lambda_G}(M)$ . Then:*

- (i)  $\Lambda_G/I$  is  $p$ -torsion free,
- (ii) If  $M$  has finite rank over  $\mathbb{Z}_p$ , so does  $\Lambda_G/I$ .

*Proof.* (i) If  $px \in I$ ,  $Mx\Lambda_G.p = 0$ . Because  $M$  is  $p$ -torsion free, this forces  $Mx = 0$  and hence  $x \in I$ . (ii) Say  $M \cong \mathbb{Z}_p^d$  for some integer  $d$ . The action of  $\Lambda_G$  on  $M$  gives rise to a  $\mathbb{Z}_p$ -module homomorphism  $\Lambda_G \rightarrow \text{End}_{\mathbb{Z}_p}(M) \cong M_d(\mathbb{Z}_p)$  with kernel precisely  $I$ . Since  $M_d(\mathbb{Z}_p)$  also has finite rank over  $\mathbb{Z}_p$ , the result follows.  $\square$

PROPOSITION 3.2. *Let  $G$  be a pro- $p$  group of finite rank and let  $M$  be a finitely generated  $\Lambda_G$ -module such that  $M/pM$  is 1-critical. Then the global annihilator  $I = \text{Ann}_{\Lambda_G}(M)$  is prime.*

*Proof.* Since  $G$  is pro- $p$  of finite rank,  $\Lambda_G$  is Noetherian and local with unique maximal ideal  $J$ , say.

Let  $Y = \text{Ann}_{\Lambda_G}(N)$  be a maximal element of the set  $\{\text{Ann}_{\Lambda_G}(L) : 0 \neq L \leq M\}$  for some nonzero submodule  $N$  of  $M$ . The same proof as the one used in Proposition 2.2 shows that  $Y$  is a prime ideal containing  $I$ .

Let  $T/N$  be the  $p$ -torsion part of  $M/N$ , so  $p^n T \subseteq N$  for some integer  $n$  and  $M/T$  is  $p$ -torsion free. If  $0 \neq x \in N$ , we can write  $x = p^k y$  with  $y \notin pM$ , because the  $J$ -adic filtration on  $M$  is separated and  $p \in J$ . Then  $p^k(y + T) = 0$  so  $y \in T - pM$  as  $M/T$  is  $p$ -torsion free, which means that  $pM$  is strictly contained in  $pM + T$ .

Since  $M/pM$  is 1-critical, this forces  $M/(pM + T) \cong (M/T)/p(M/T)$  to be finite dimensional over  $\mathbb{F}_p$ , and hence  $M/T$  must have finite rank over  $\mathbb{Z}_p$ .

Let  $U = \text{Ann}_{\Lambda_G}(M/T)$ . By Lemma 3.1 (ii),  $\Lambda_G/U$  has finite rank over  $\mathbb{Z}_p$ . Now  $(M/T).U = 0$  so  $MU \subseteq T$ . Hence  $MUp^n \subseteq Tp^n \subseteq N$  and so  $MUp^n Y \subseteq NY = 0$ . This means that  $Up^n Y = p^n UY \subseteq I$ . As  $\Lambda_G/I$  is  $p$ -torsion free by Lemma 3.1(i),  $UY \subseteq I$ .

Because  $\Lambda_G$  is left Noetherian,  $Y/I$  is hence a finitely generated left  $\Lambda_G/U$ -module and

hence must have finite rank over  $\mathbb{Z}_p$ . Moreover,  $\Lambda_G/I$  is  $p$ -torsion free and hence so is  $Y/I$ .

Let  $V = \text{Ann}_{\Lambda_G}(Y/I)$ , where  $Y/I$  is viewed as a *right*  $\Lambda_G$ -module. We have  $(Y/I)V = 0$  so  $YV \subseteq I$ , i.e.  $MYV \subseteq MI = 0$ . Hence  $MY$  is a finitely generated module over the ring  $\Lambda_G/V$  (which has finite rank over  $\mathbb{Z}_p$  by Lemma 3-1(ii)), and so  $MY$  also has finite rank over  $\mathbb{Z}_p$ .

Now, the natural map  $MY \rightarrow M \rightarrow M/pM$  has kernel  $MY \cap pM \supseteq pMY$  and  $MY/pMY$  is finite dimensional over  $\mathbb{F}_p$  because  $MY$  is free of finite rank over  $\mathbb{Z}_p$ . Since  $M/pM$  is 1-critical, this map must be 0 and so  $MY \subseteq pM$ . An obvious induction argument shows that  $MY \subseteq p^n M$  for all integers  $n \geq 0$ , whence  $MY = 0$ .

Hence  $Y \subseteq I$ , but  $I \subseteq Y$  so  $Y = I$  is prime.  $\square$

**We will assume for the remainder of this paper that  $G$  is a compact  $p$ -valued  $p$ -adic Lie group.**

The following basic Lemma is fundamental to everything that follows.

LEMMA 3-3. *Equip  $\Lambda_G$  with the filtration constructed in Proposition 7.2 of [5]. Let  $M$  be a finitely generated  $\Lambda_G$ -module equipped with the filtration deduced from  $\Lambda_G$ , and let  $\text{gr } M$  denote the associated graded module. Then*

$$\mathcal{K}(M) \leq \mathcal{K}(\text{gr } M).$$

*Proof.* The filtration on  $\Lambda_G$  was observed to be Zariskian in Lemma 4.1 of [5], so the result follows from Theorem 7.1.3 of Chapter I of [8].  $\square$

We recall that a finitely generated  $\Lambda_G$  module  $M$  is said to be *pseudo-null* if

$$\text{Hom}_{\Lambda_G}(L, S/\Lambda_G) = 0$$

for any submodule  $L$  of  $M$ ; here  $S$  denotes the skew-field of fractions of  $\Lambda_G$ . It is shown in Theorem 4.10 (3) of Chapter III of [8] that  $M$  is pseudo-null if and only if the graded module  $\text{gr } M$  for the commutative ring  $\text{gr } \Lambda_G$  satisfies

$$\mathcal{K}(\text{gr } M) \leq \mathcal{K}(\text{gr } \Lambda_G) - 2.$$

Since  $\text{gr } \Lambda_G$  is a polynomial ring in  $\dim G + 1$  variables over  $\mathbb{F}_p$ , we have

LEMMA 3-4. *Let  $M$  be a finitely generated  $\Lambda_G$ -module such that  $\mathcal{K}(M) \geq \dim G$ . Then  $M$  is not pseudo-null.*

The following Lemma is due to Peter Schneider and we are grateful to him for allowing us to include it here.

LEMMA 3-5. *Suppose that  $M$  is a finitely generated bounded  $\Lambda_G$ -module which is not pseudo-null and whose annihilator ideal  $P$  is prime; then  $P$  is a prime  $c$ -ideal.*

*Proof.* Let  $M_0$  denote the maximal pseudo-null submodule of  $M$ , so that  $M \neq M_0$ . By Lemma 4.3(i) of [5], the annihilator ideal  $I$  of  $M/M_0$  is a nonzero proper reflexive ideal in  $\Lambda_G$ .

As such it is a nontrivial product of prime  $c$ -ideals  $P_1, \dots, P_r$  under the product on the set of fractional  $c$ -ideals defined in section 4 of [5].

Since obviously  $P \subseteq I$  we have  $P \subseteq P_1 \cdot \dots \cdot P_r \subseteq P_1 \cap \dots \cap P_r$ . But the  $P_i$  are of height one, hence  $P = P_i = I$  is a prime  $c$ -ideal.  $\square$

We can now give a proof of Theorem B.

*Proof of Theorem B* Note first that  $\mathfrak{sl}_2(\mathbb{Q}_p)$  is a simple Lie algebra containing a copy of the two-dimensional nonabelian Lie algebra  $\mathfrak{b}$ , so Theorem A applies.

Any compact  $p$ -valued  $p$ -adic Lie group is automatically pro- $p$  of finite rank, so  $I$  is prime by Proposition 3.2. It remains to show that  $I$  is a  $c$ -ideal.

By assumption,  $I \neq 0$ , so  $\Lambda_G/I$  is bounded. By Lemma 3.5 applied to  $\Lambda_G/I$ , we see that it's sufficient to prove that  $\Lambda_G/I$  is not pseudo-null.

Now,  $\Lambda_G/I$  is  $p$ -torsion free by Lemma 3.1 (ii), so  $p+I$  is a regular element of the ring  $\Lambda_G/I$ . It follows that

$$\mathcal{K}(\Lambda_G/I) > \mathcal{K}(\Lambda_G/(I + p\Lambda_G)) = \mathcal{K}(\Omega_G/Q),$$

where  $Q$  is the image of  $I$  in  $\Omega_G$ .

Since  $M$  is finitely generated,  $(\Omega_G/Q)^k$  surjects onto  $M/pM$  for some integer  $k$ . Since  $\mathcal{K}(M/pM) = 1$ ,  $Q$  is a two-sided ideal of  $\Omega_G$  such that  $\mathcal{K}(\Omega_G/Q) \geq 1$ .

By Theorem A,  $\mathcal{K}(\Omega_G/Q) \geq 2$ , so  $\mathcal{K}(\Lambda_G/I) \geq 3 = \dim G$ . By Lemma 3.4,  $\Lambda_G/I$  is not pseudo-null, as required.  $\square$

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